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2 **A Multi-stage inversion framework for dynamic fracture characterization and long-**
3 **term thermal performance prediction in an Enhanced Geothermal System**

4 **Kun Zhang¹, Hui Wu^{1, 2*}**

5 ¹ School of Earth and Space Sciences, Peking University, Beijing, China.

6 ² Peking University Ordos Research Institute of Energy, Ordos, 017010, China.

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8 Corresponding author: Hui Wu (hui.wu@pku.edu.cn)

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10 **Key Points:**

- 11 • A multi-stage inversion framework integrating data assimilation with thermo-hydro-
- 12 mechanical model for dynamic fracture characterization.
- 13 • Application to a field-scale model demonstrates an improved thermal prediction accuracy
- 14 compared with previous single-stage inversion.
- 15 • Effect of inversion timing on aperture inversion and thermal prediction is analyzed to
- 16 provide implications for real-world applications.

17 **Abstract**

18 Fractures play important roles in fluid and heat flow during heat extraction from an enhanced
19 geothermal system (EGS). Quantifying the associated uncertainties in fractures is critical for
20 predicting long-term thermal performance of EGSs. Considerable advancements have been made
21 regarding the inversion of fracture characteristics such as aperture distribution. However, most
22 previous studies assumed a constant fracture aperture to simplify the inversion, while both
23 experimental and numerical results indicated significant variations in fracture aperture due to
24 complex thermo-hydro-mechanical (THM) coupled processes during heat extraction. This study
25 introduces a multi-stage inversion framework that integrates the Ensemble Smoother with
26 Multiple Data Assimilation (ES-MDA) with a THM coupled model to capture the dynamic
27 evolution of fracture aperture. The framework executes multiple aperture inversions at different
28 times during EGS operation. In each inversion stage, we use ES-MDA to invert for fracture
29 aperture by assimilating tracer data, and then perform THM modeling to analyze fracture
30 aperture evolution under coupled THM processes and predict thermal performance. We propose
31 a principle to assure a smooth transition between two consecutive inversion stages, that the
32 posterior aperture fields obtained in an inversion stage are used as the prior aperture fields for the
33 following stage, and the temperature field simulated in the previous inversion stage serves as the
34 initial temperature field for the following stage. Application of the framework to a synthetic
35 field-scale EGS model demonstrates its efficacy in capturing the dynamic evolution of fracture
36 aperture, resulting in more accurate thermal predictions compared with previous inversion
37 methods assuming constant fracture aperture.

38 **Plain Language Summary**

39 Enhanced geothermal systems (EGS) generally rely on artificial and/or natural fractures as
40 pathways for fluid circulation (typically water) and heat transfer to extract heat from hot dry
41 rocks. Due to the deep geological location, directly observing and measuring fracture
42 characteristics is rather difficult, if not impossible. Researchers typically use geophysical and
43 hydrological data to indirectly infer fracture characteristics. However, previous studies mostly
44 speculated only on the initial fracture characteristics in an EGS, neglecting or being unable to
45 capture dynamic changes in fractures during heat production, inevitably leading to biased long-
46 term thermal prediction. We propose a multi-stage inversion framework that estimates the
47 distribution of fracture apertures at different stages of EGS operation. This allows us to
48 continuously and accurately capture changes in fracture aperture caused by coupled thermo-
49 hydro-mechanical (THM) processes. In this framework, we use an ensemble smoother to infer
50 fracture aperture distributions from tracer test data, along with a specialized computer code to
51 simulate the coupled THM processes for thermal prediction. Examining this framework on a
52 synthetic field-scale EGS model shows more accurate thermal performance predictions
53 compared with traditional inversion methods. Accurate thermal predictions are beneficial for
54 better planning for geothermal energy utilization and effective risk management.

55 **1 Introduction**

56 The increasing global demand for sustainable and clean energy resources has driven the
57 exploration and development of various innovative energy technologies. Among these
58 technologies, enhanced geothermal systems (EGS) are considered promising in improving the
59 current global energy consumption structure due to their ability to extract heat from hot dry rocks
60 that contain abundant renewable geothermal resources (Li et al., 2022; Olasolo et al., 2016;

61 Tester et al., 2007). In EGS development, the accurate estimation of long-term thermal
62 performance is essential for the optimization of engineering decisions and risk management (Wu
63 et al., 2021a). As hot dry rocks largely reside at several kilometers below the ground surface,
64 the development of an EGS generally encounters complex geological conditions that are rather
65 difficult to measure/observe directly. Important information on subsurface properties, such as
66 matrix permeability, fracture distribution and fracture aperture field, is often quite limited,
67 leading to a high level of uncertainties in EGS development (Liu et al., 2018; Pollack & Mukerji,
68 2019; Vogt et al., 2012; Witter et al., 2019). These uncertainties impede a comprehensive
69 understanding of fluid circulation and heat transfer processes within an EGS reservoir, thus
70 posing a significant challenge to the thermal performance estimation of the EGS.

71 In recent decades, substantial efforts have been dedicated to developing inversion
72 methods to resolve subsurface uncertainties and improve the prediction capability of EGS
73 thermal performance. For an EGS reservoir, a major uncertainty associated with fluid flow and
74 thermal transport processes is fracture characteristics as fractures are primary fluid flow paths
75 during heat extraction. Widely used fracture inversion algorithms involve stochastic approaches
76 (Jiang et al., 2023; Ringel et al., 2021; Somogyvári et al., 2017; Wu et al., 2021b), deep learning
77 methods (Chandna & Srinivasan, 2022; Jiang et al., 2021; Vu & Jardani, 2022) and ensemble-
78 based data assimilation (Elahi & Jafarpour, 2018; Liem et al., 2022; Liem & Jenny, 2020; Ping &
79 Zhang, 2013; Wu et al., 2021a). Amongst, ensemble-based methods are considered
80 computationally more efficient and enable an easy integration with forward models for inverse
81 problems. The variability among ensemble-based realizations can represent uncertainties arising
82 from different sources. The inversion of fracture characteristics also heavily relies on the quality
83 and quantity of available geological, geophysical and hydrological data such as seismic and
84 electrical data, hydraulic and tracer testing data, etc. (Berkowitz, 2002; Ren et al., 2023; Tarrahi
85 et al., 2015; Wu et al., 2019, 2021b). Previous research indicates that microseismic data enables
86 the identification of fracture networks in EGS reservoir (Tarrahi et al., 2015), while tracer data
87 can effectively inform hydraulic characteristics of reservoirs and provides key information about
88 fracture aperture and distribution (Egert et al., 2020; Elahi & Jafarpour, 2018; Liu et al., 2023;
89 Ren et al., 2023; Wu et al., 2021a). Interwell tracer test have been applied in
90 conventional/unconventional reservoirs for decades, demonstrating its effectiveness in reservoir
91 characterization (Abbaszadeh-Dehghani & Brigham, 1984; Chen et al., 2022; Shook & Suzuki,
92 2017). Our previous work successfully developed a data assimilation framework to interpret
93 tracer test data, facilitating the inversion of the fracture aperture distribution in an EGS (Wu et
94 al., 2021a). With the inversion framework, predicting long-term thermal performance of a sing-
95 fracture EGS under constant fracture properties has been properly addressed.

96 A significant challenge associated with EGS fracture characterization is that fracture
97 aperture/permeability dynamically evolves due to the coupled hydro-thermal-mechanical-
98 chemical processes rather than remains constant during the production lifetime of an EGS. For
99 example, thermal drawdown-induced thermal stress has been recognized as a major mechanism
100 for fracture aperture evolution. The thermal stress can reduce the effective compressive stress
101 that acts on the fractural flow pathways and therefore increases the apertures of fracture. As an
102 EGS mainly relies on fractures for fluid flow and heat transfer, the influence of thermal stress on
103 fracture characteristics is critical for heat production from the EGS. In fact, thermal stress has
104 proven a main cause of flow channeling (or short-circuiting), a widely recognized phenomenon
105 that fluid concentrates in several preferential flow channels between injection and production
106 wells (Fu et al., 2016; Gee et al., 2021; Ghassemi & Suresh Kumar, 2007; Guo et al., 2016;

107 McLean & Espinoza, 2023; Vik et al., 2018). As a result of flow channeling, effective heat
108 exchange area between fracture fluid and adjacent rock formations is reduced and heat recovery
109 is impaired. Guo et al. (2016) conducted a comprehensive investigation on the effect of thermal
110 stress through a single-fracture EGS model. They concluded that thermal stress could lead to
111 premature thermal breakthrough, and ignoring the influence of thermal stress might overestimate
112 the EGS lifespan by more than 20 years. In addition, chemical reactions (dissolution and
113 precipitation) constitute another crucial mechanism for the dynamic evolution of fracture
114 characteristics (Detwiler, 2008; Pandey et al., 2014; Salimzadeh & Nick, 2019; Song et al., 2022;
115 Yasuhara et al., 2011). Dissolution may lead to an increase in fracture aperture, while
116 precipitation typically leads to a reduction in aperture.

117 Unfortunately, most previous studies ignored the dynamic evolution of fracture aperture
118 while performing fracture inversion and thermal prediction for EGSs. In other words, only the
119 initial fracture aperture was inverted for and used for subsequent analyses such as thermal
120 performance prediction. Although Wu et al. (2021a) proposed an effective tracer data
121 interpretation framework for fracture aperture inversion in a single-fracture EGS model, they
122 adopted a thermo-hydraulic (TH) model for thermal simulation, thus did not consider fracture
123 aperture evolution due to thermal stress. The inferred aperture distribution was assumed to
124 remain constant during the lifetime of the EGS model. As aforementioned, the thermal
125 drawdown-induced thermal stress may cause significant changes in fracture aperture and further
126 affect long-term thermal performance. Directly using the initially inferred fracture aperture
127 distribution for flow and thermal modeling inevitably leads to biased thermal predictions. To
128 improve the inversion accuracy and provide a reliable thermal prediction for engineering
129 decision making, both the initial fracture aperture distribution and its dynamic evolution during
130 heat extraction should be appropriately characterized through advanced inversion strategies.

131 In this study, we propose a multi-stage data assimilation framework for the inversion of
132 fracture aperture from tracer data and capture the dynamic evolution of aperture distribution
133 during the lifetime of EGSs. The proposed framework extends the framework in Wu et al.
134 (2021a) by integrating a thermo-hydro-mechanical (THM) coupled model to account for the
135 thermal stress effect and incorporates multi-stage fracture inversions. Each inversion stage uses
136 the posterior aperture ensemble from the previous inversion stage as the prior ensemble, ensuring
137 a progressive refinement of the inversion model rather than a random modification. The key
138 novelty of our study is to dynamically characterize fracture aperture evolution through multi-
139 stage inversion to gradually improve the accuracy of long-term thermal prediction of EGSs.

140 The remainder of the paper is organized as follows. Section 2 briefly describes the major
141 components of the proposed framework. Section 3 introduces a synthetic field-scale EGS model
142 for subsequent verification of the proposed framework. In Section 4, we apply the proposed
143 framework to the EGS model and investigates the effectiveness of the framework in capturing
144 dynamic fracture aperture evolution and thermal performance prediction. Section 5 provides
145 discussions and implications regarding the application of the proposed framework.

146 **2 Multi-stage inversion strategy**

147 As mentioned before, although the framework proposed by Wu et al. (2021a) is capable
148 of fracture aperture inversion and thermal prediction, it assumes a constant aperture distribution
149 and therefore is unable to capture the dynamic evolution of fracture aperture during heat
150 extraction. To address this issue, we propose a multi-stage inversion strategy based on the

151 framework from Wu et al. (2021a) (Figure 1). The key of the strategy is to perform multiple
152 aperture inversions using tracer data obtained at different times during EGS operation, and thus,
153 dynamically update fracture aperture distribution to improve thermal prediction accuracy.
154 Compared with many previous studies that only performed a onetime aperture inversion (e.g.,
155 Elahi and Jafarpour, 2018; Liem et al., 2022; Liem and Jenny, 2020; Wu et al., 2021), the present
156 study aims to invert for fracture aperture multiple times to obtain snapshots of aperture field
157 during the lifetime of an EGS. The rationale and technical feasibility of such a multi-stage
158 inversion is that tracer testing can be performed repeatedly during fluid circulation in EGS
159 reservoirs with relatively low cost. Since the time required to complete a tracer testing (days to
160 months) is much shorter than the lifespan of an EGS (decades), the aperture field almost remains
161 constant during the period of a tracer testing, and therefore the aperture inversion result can be
162 considered a reasonable estimate of the aperture field at the time of this tracer testing.

163 In the following, we first briefly introduce the data assimilation framework from Wu et
164 al. (2021a), and then describe the multi-stage inversion strategy for the inversion of dynamic
165 aperture evolution.

166 2.1 A data assimilation framework for aperture inversion and thermal prediction

167 The data assimilation framework from Wu et al. (2021a) includes three major
168 components: (1) Low-rank parameterization of fracture aperture; (2) Fracture aperture inversion
169 through Ensemble Smoother with Multiple Data Assimilation (ES-MDA); (3) Thermal modeling
170 and prediction based on the inverted apertures. A brief description of each component is given as
171 follows.

172 2.1.1 Low-rank parameterization

173 A significant challenge in fracture aperture inversion is the ill-posedness issue arising
174 from the inherent complexity of aperture distribution and the limited availability of
175 measurements. Dimensionality reduction methods have been applied to map high-dimensional
176 aperture fields to low-rank latent spaces, thus reduce the complexity of original aperture fields
177 and accommodate the data scarcity issue. In the framework of Wu et al. (2021a), principal
178 component analysis (PCA) was used for dimensionality reduction through a set of principal
179 components that retain the most critical features of original aperture fields. These principal
180 components can be obtained either by performing singular value decomposition (SVD) or
181 calculating the eigenvectors and eigenvalues of the original aperture fields. The components are
182 ranked based on their significance, with the higher-ranked components explaining higher
183 variances in original aperture fields. By truncating less significant components, PCA allows to
184 represent the original aperture fields in a more compact form. We refer to Wu et al. (2021a) for
185 further information on the low-rank parameterization of fracture aperture fields with PCA.

186 2.1.2 ES-MDA inversion

187 The latent parameters obtained from PCA are then inverted for using ES-MDA, an
188 ensemble smoother that repeatedly assimilates measurements with an expanded measurement
189 error covariance matrix to iteratively estimate model parameters (Emerick and Reynolds, 2013).
190 Without going into mathematical derivation details, we briefly overview the workflow of ES-
191 MDA. Detailed information on ES-MDA algorithm can be found in the reference (Emerick &
192 Reynolds, 2013; Evensen, 2018; Le et al., 2016; Ranazzi & Sampaio, 2019; Todaro et al., 2022).

193 ES-MDA begins with generating a prior ensemble of latent parameters with an ensemble
 194 size of N_e , denoted as $\mathbf{Z}^0 = [\mathbf{z}_1^0 \mathbf{z}_2^0 \dots \mathbf{z}_{N_e}^0] \in \mathbb{R}^{l \times N_e}$, where l is the dimension of latent parameter.
 195 As the latent parameters obtained from PCA approach follow the standard normal distribution,
 196 the prior ensemble parameter \mathbf{Z}^0 is randomly sampled from $\mathcal{N}(0,1)$. With the prior parameter
 197 ensemble, ES-MDA then proceeds with iterative forecast and update steps.

198 At each iteration of ES-MDA, we first remap the latent parameters into aperture fields,
 199 and then perform tracer modeling with the aperture fields to forecast model responses \mathbf{y}_j^i (tracer
 200 breakthrough data in this study, i denotes iteration index and j denotes realization number in the
 201 ensemble). In the update step, the ensemble of latent parameters is updated as follows,

$$202 \quad \mathbf{z}_j^i = \mathbf{z}_j^{i-1} + \mathbf{C}_{ZY}^{i-1} (\mathbf{C}_{YY}^{i-1} + \alpha_i \mathbf{R})^{-1} (\mathbf{y}_{\text{obs}} + \sqrt{\alpha_i} \mathbf{e}_j - \mathbf{y}_j^{i-1}) \quad (1)$$

203 where $\mathbf{C}_{ZY}^{i-1} \in \mathbb{R}^{l \times N_a}$ denotes the cross-covariance matrix between the ensemble of parameters
 204 \mathbf{Z}^{i-1} and its corresponding model predictions \mathbf{y}^{i-1} ; $\mathbf{C}_{YY}^{i-1} \in \mathbb{R}^{N_a \times N_a}$ denotes the auto-covariance
 205 matrix of predictions; $\mathbf{R} \in \mathbb{R}^{N_a \times N_a}$ denotes the auto-covariance matrix of the measurement errors
 206 of the observation data (\mathbf{y}_{obs}) to be assimilated; \mathbf{e}_j is the measurement error following a Gaussian
 207 distribution $\mathcal{N}(0, \mathbf{R})$; α_i is the inflation factor at the current iteration that must satisfy
 208 $\sum_{i=1}^{N_a} \alpha_i^{-1} = 1$, where N_a denotes the total iteration number.

209 After the current update, the procedure continues to the next forecast and update steps
 210 until the final iteration is completed.

211 2.1.3 Thermal performance prediction

212 The third component of the framework from Wu et al. (2021a) is to perform thermal
 213 modeling and make long-term thermal performance predictions. The posterior ensemble of latent
 214 parameters obtained from ES-MDA is remapped to the posterior ensemble of fracture aperture
 215 fields, which is then incorporated into a thermo-hydraulic model for thermal modeling.

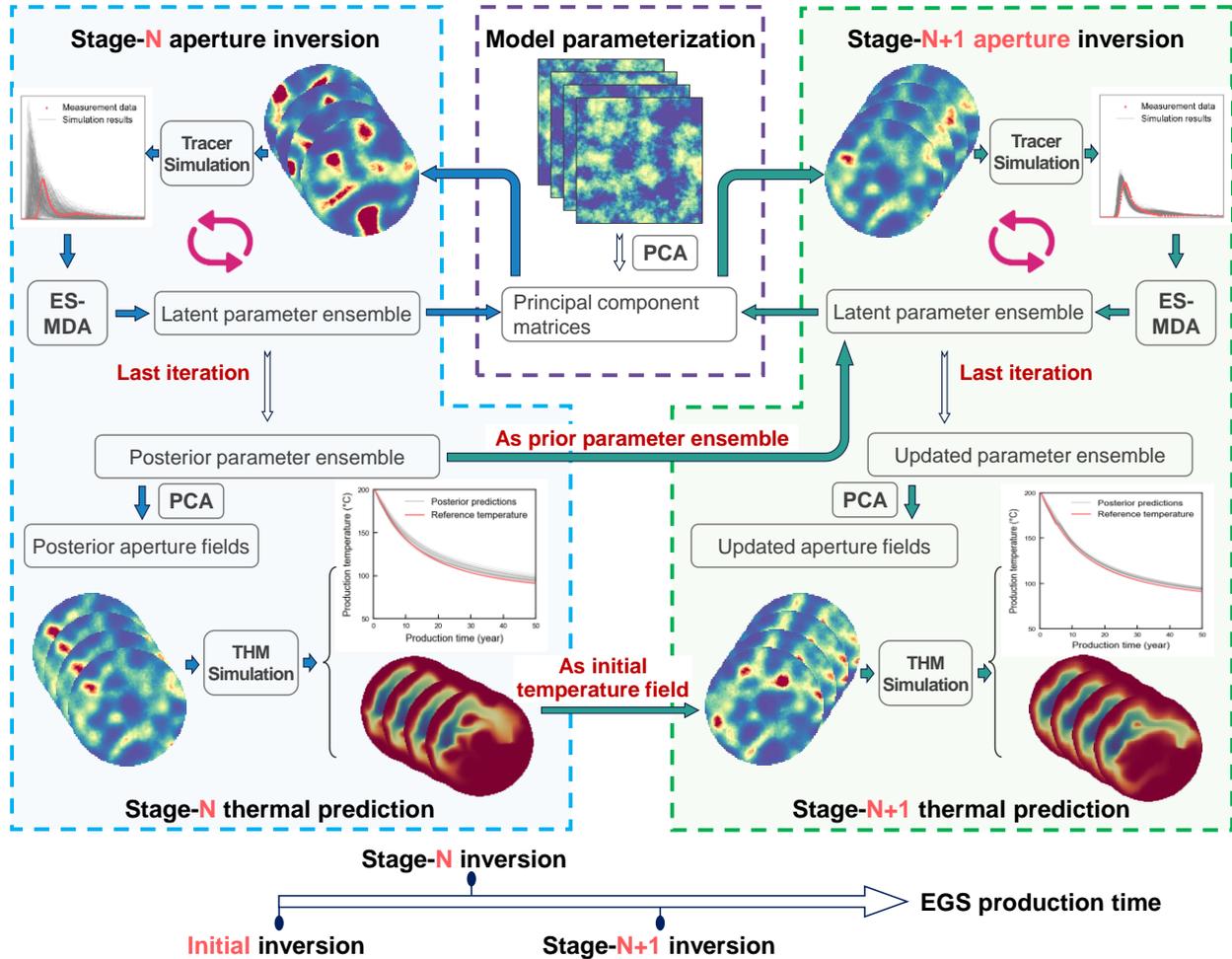
216 2.2 Multi-stage inversion strategy

217 The framework from Wu et al. (2021a) can be considered as a one-time inversion as ES-
 218 MDA is executed only once to characterize the initial fracture aperture distribution. To capture
 219 the dynamic evolution of fracture aperture during EGS heat recovery, the present study further
 220 extends the framework from Wu et al. (2021a) to a multi-stage inversion framework, which
 221 performs multiple tracer tests and fracture aperture inversions throughout the lifetime of the EGS
 222 (Figure 1). Such a framework dynamically updates fracture aperture based on new tracer data to
 223 accommodate the impacts of the mechanical and chemical influences on fracture aperture and
 224 flow fields.

225 The multi-stage framework consists of multiple consecutive inversion stages, with each
 226 stage refining model parameters based on insights obtained from previous inversion stages and
 227 new observations/measurements. For the initial stage, the prior ensemble is randomly generated
 228 from $\mathcal{N}(0,1)$ as aforementioned. For subsequent inversion stages, the posterior ensemble from
 229 the previous inversion is utilized as the prior ensemble. This approach capitalizes on the fact that
 230 the previous inversion has already reduced uncertainties in aperture distribution, thus providing a
 231 relatively reliable starting point for the subsequent inversion stage. Since tracer data is used as
 232 the major inversion data in the present study, a tracer testing is performed before each inversion

233 stage to provide new measurements. Within each stage, the major inversion procedures are the
 234 same as that in Wu et al. (2021a), as illustrated in Section 2.1.

235 After the completion of each inversion stage, we incorporate the obtained posterior
 236 fracture aperture field into a thermo-hydro-mechanical model to perform THM coupled
 237 simulations for thermal performance prediction. Note that each time fracture aperture is updated,
 238 the simulated temperature field at the end of the previous THM simulation is utilized as the
 239 initial temperature field for the following THM simulation.



240

241 Figure 1. Multi-stage inversion framework to invert for the dynamic evolution of fracture
 242 aperture from tracer test data.

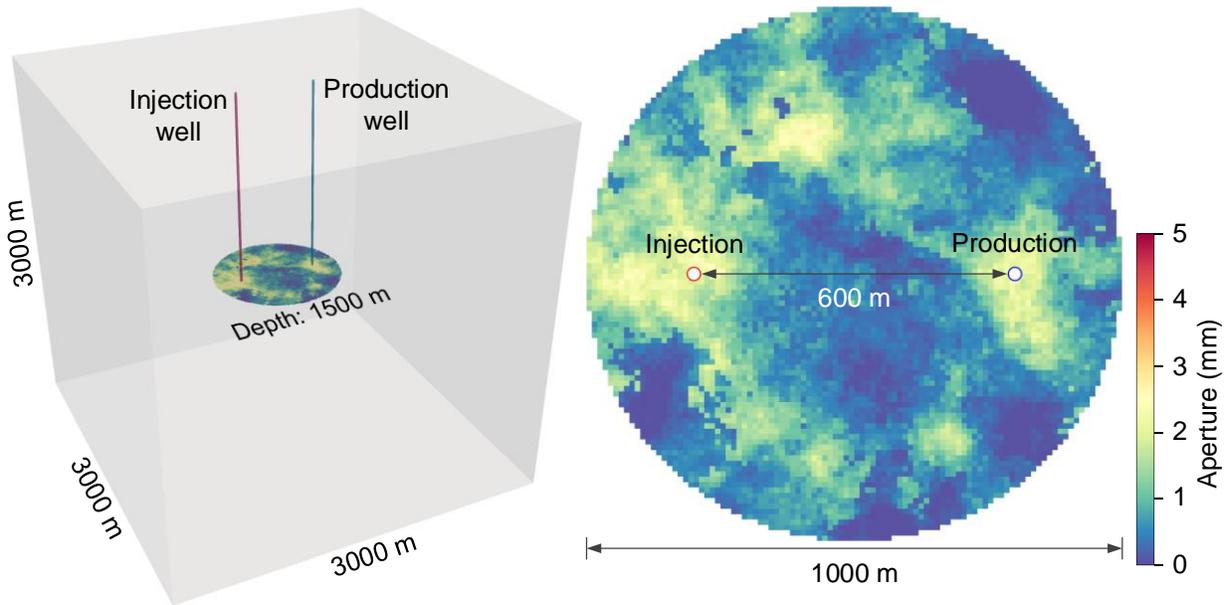
243 3 Numerical model

244 3.1 Model setup

245 In this section, we design a synthetic field-scale EGS model with a single fracture to
 246 examine the capability of the proposed multi-stage inversion framework in dynamic aperture
 247 inversion as well as long-term thermal forecasting. The EGS model spans dimensions of 3 km ×
 248 3 km × 3 km, and has one injection well and one production well connected by a horizontal
 249 circular fracture in a low-permeability rock formation (Figure 2). The diameter of the fracture is

250 1 km, and the distance between the injection and production wells is 600 m. The model is
 251 initially saturated with water, and exhibits a vertical geothermal gradient of 40 °C/km with an
 252 initial temperature of 200 °C at the depth of the fracture. We use an orthogonal grid to discretize
 253 the reservoir model. Specifically, the fracture is discretized with a thin layer of solid elements,
 254 each has a resolution of 10 m × 10 m × 4 mm, and the rock matrix elements adjacent to the
 255 fracture are sized at 10 m × 10 m × 1 cm. The mesh resolution gradually coarsens from the
 256 fracture to far field, maintaining a balance between computational costs and modeling accuracy.

257 To simulate the high heterogeneity of fracture aperture distribution, we design a
 258 procedure employing three different Gaussian distributions to create a fracture aperture field
 259 (Figure 2). First, the sequential gaussian simulation algorithm is applied to generate three 100 ×
 260 100 two-dimensional random Gaussian fields following $\mathcal{N}(0.6 \text{ mm}, (0.7 \text{ mm})^2)$, $\mathcal{N}(0.4 \text{ mm},$
 261 $(0.5 \text{ mm})^2)$, $\mathcal{N}(0.8 \text{ mm}, (0.9 \text{ mm})^2)$, respectively. The correlation length of the three
 262 Gaussian fields is 200 m. Then, we perform element-wise replacements in the first aperture field:
 263 elements with aperture values less than 0.6 mm are replaced with corresponding elements from
 264 the second field, and otherwise from the third field. The reservoir simulation with this specially
 265 designed fracture is considered as the synthetic reference model.



266

267 Figure 2. A synthetic field-scale EGS model with a production well and an injection well
 268 connected by a horizontal penny-shaped fracture. Left: Spatial relationship between the modeling
 269 domain, the production and injection wells, and the fracture. Right: Reference fracture aperture
 270 field.

271 3.2 Tracer and thermo-hydro-mechanical modeling

272 We utilize GEOS, a THM coupled numerical simulator developed at the Lawrence
 273 Livermore National Laboratory (Guo et al., 2016; Settgast et al., 2017, 2018), for tracer and
 274 THM modeling in this study. For tracer modeling, we first perform flow simulation to obtain
 275 fracture flow field. Subsequently, we simulate tracer transport process by solving the advection-
 276 dispersion-sorption equation based on the fracture flow field. Notice that we only consider the
 277 fracture for tracer modeling as the matrix has little impact on tracer transport owing to its

278 relatively low permeability and the negligible matrix diffusion effect (Wu et al., 2021a). We
 279 assume an initial hydrostatic pressure in the model, setting the pressure at the fracture depth to
 280 30 MPa. A source flux condition is imposed at the injection well with a constant flow rate of 10
 281 L/s, and the fluid pressure at the production well is held constant at 30 MPa. Fracture boundaries
 282 are assumed impermeable.

283 Tracers are then released from the injection well into the fracture for one hour, followed
 284 by a 40-hour simulation of tracer transport to acquire tracer breakthrough curves at the
 285 production well. According to the suggestion from our previous study (Wu et al., 2021a), we
 286 consider both conservative and sorptive tracers to provide sufficient data for aperture inversion.
 287 For sorptive tracers, we presume an equilibrium adsorption process with a partition coefficient of
 288 0.1 mm.

289 We perform THM coupled modeling to solve heat transfer process in both the fracture
 290 and the surrounding rock formations. The THM coupled model is comprised of two key solvers
 291 (Fu et al., 2016; Guo et al., 2016): the fluid and heat flow solver (TH solver), and the thermo-
 292 mechanical solver. The two solvers are managed iteratively in a one-way coupled manner. In
 293 each iteration time step, the reservoir temperature and fluid pressure fields are first obtained from
 294 the TH solver, and then the temperature field is conveyed to the thermo-mechanical solver to
 295 calculate thermal stress and update total stress of each rock matrix element. With the fluid
 296 pressure and the updated total stress, we follow Guo et al. (2016) to employ the Barton–Bandis
 297 model (Bandis et al., 1983; Barton et al., 1985) to update the thermal-drawdown induced fracture
 298 aperture w ,

$$299 \quad w = w_{\max} - \frac{a\sigma'_n}{1 + b\sigma'_n} \quad (2)$$

300 where w_{\max} denotes the aperture at a zero effective stress state; σ'_n represents the effective stress
 301 normal to the fracture that equals to the difference between the total stress and the pore fluid
 302 pressure; a and b represent two material related state parameters and their detailed expressions
 303 can be found in Guo et al. (2016). Subsequently, we use the updated fracture aperture to re-
 304 invoke the TH solver for the computation of flow and temperature fields, and continue the
 305 aforementioned iterative process.

306 We impose zero-flux boundary conditions for fluid flow and heat transfer, as well as zero
 307 normal displacement constraints, at the model boundaries in the THM modeling. The three
 308 principal components of the in-situ stress at the fracture depth are set to 60 MPa, 90 MPa, and 54
 309 MPa, respectively. Then, we inject relatively cool water of 50 °C with a constant flow rate of
 310 10.0 L/s into the injection well for 50 years.

311 In addition, we also perform TH modeling for comparison to demonstrate the impact of
 312 thermal stress on thermal performance.

313 Table 1 shows the parameters for tracer and THM modeling. Since we represent the
 314 fracture plane as a thin layer in the model, the porosity and permeability of the fracture can be
 315 equivalently calculated as $\phi = w/H$ and $\kappa_f = w^3/(12H)$ respectively according to Guo et al.
 316 (2016) and Berkowitz (2002), where H is the thickness of the fracture layer. Tracer and thermal
 317 breakthrough curves for the reference model are shown in Figure 3.

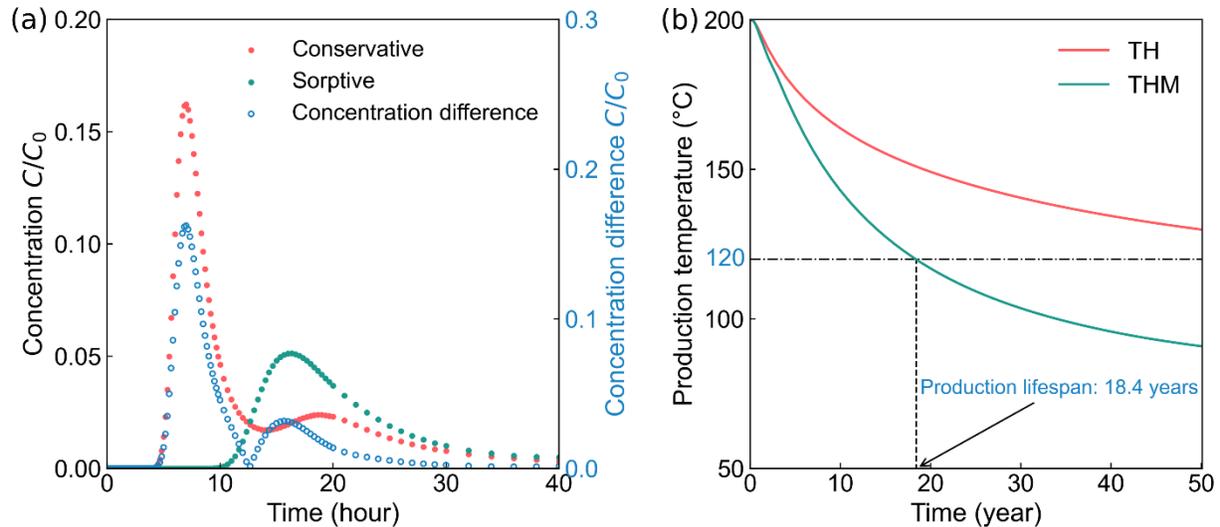
318 Table 1 Material parameters for simulations of the field-scale EGS model

Parameter	Value
Porosity of rock matrix	0.01
Permeability of rock matrix (m^2)	1×10^{-16}
Density of rock matrix (kg/m^3)	2,500
Rock bulk modulus (GPa)	33.3
Rock shear modulus (GPa)	20
Thermal conductivity of rock matrix (W/m/K)	2.5
Specific heat capacity of rock matrix (J/kg/K)	790
Linear thermal expansion coefficient of rock matrix (K^{-1})	8.0×10^{-6}
Density of water (kg/m^3)	887.2
Specific heat capacity of water (J/kg/K)	4,460
Compressibility of water (Pa^{-1})	5×10^{-10}
Volumetric thermal expansion coefficient of water (K^{-1})	7.66×10^{-4}
Dynamic viscosity of water ($\text{Pa}\cdot\text{s}$)	1.42×10^{-4}
Diffusion coefficient (m^2/s)	1×10^{-9}
Longitudinal dispersivity (m)	0.2
Transverse dispersivity (m)	0.02
Partition coefficient (mm)	0.1

319 During the 40 hours of transport, the concentration distribution of the tracers exhibits
320 distinct peaks. For conservative tracer, two peaks are observed, reflecting the heterogeneity in
321 the reference fracture aperture field (Figure 2). This multi-peak behavior suggests the presence of
322 multiple flow channels within the fracture. In contrast to the conservative tracer, the sorptive
323 tracer shows delayed arrival time and smaller peak magnitude due to the sorption effect when the
324 sorptive tracer transports along the fracture. Due to the along-path flow resistance, the simulated
325 injection well pressure is 54.4 kPa higher than that at the production well. Both the simulated
326 tracer breakthrough curves and the well pressure difference are used as inversion data for
327 subsequent ES-MDA inversions to characterize fracture aperture distribution. In the present
328 study, each tracer breakthrough curve contains 105 data points, and therefore the total inversion
329 dataset comprises 211 data points. In our preliminary model tests, we found that the 211
330 observations were insufficient to properly constrain the uncertainties in fracture aperture,
331 manifesting as the poor convergence behavior of ES-MDA. To address this issue, we empirically
332 augment the difference between the conservative and sorptive tracer breakthrough curves into the
333 inversion data set (Figure 3a). Such a treatment is similar to tricks used in machine learning to
334 expand datasets, such as geometrically rotating and transforming the original data.

335 The comparison between TH and THM modeling indicates that thermal-drawdown
336 induced thermal stress significantly impairs the thermal performance (Figure 3b). We adopt a
337 threshold of 120 °C to determine the production lifespan, i.e., heat production is terminated when
338 the production temperature decreases from the initial 200 °C to 120 °C. For the TH results, the
339 production lifespan exceeds 50 years, while for the THM model, the production lifespan
340 drastically reduces to 18.4 years. This substantial reduction indicates the vital role of thermal

341 stress in EGS heat production, and neglecting it can result in significant overestimation of heat
 342 extraction potential. The two production temperature curves are used as key indicators for
 343 assessing the thermal performance prediction ability of subsequent ES-MDA inversion models.



344

345 Figure 3. Tracer and thermal simulation results of the synthetic EGS model. (a) Tracer
 346 breakthrough curves measured at the production well. The measured tracer concentration is
 347 normalized by injected tracer concentration C_0 . Concentration difference between the
 348 conservative and sorptive tracers is also displayed. (b) Production temperature curves obtained
 349 from the TH and THM modeling.

350 4 Aperture inversion and thermal prediction

351 We first perform a onetime aperture inversion using the previous data assimilation
 352 framework from Wu et al. (2021a), and then a multi-stage aperture inversion using the proposed
 353 inversion framework in the present study. Their results are compared in terms of aperture
 354 distribution, fracture flow field, fracture temperature distribution, as well as production
 355 temperature to demonstrate the capability of the proposed multi-stage inversion framework in
 356 dynamic aperture inversion and long-term thermal prediction.

357 4.1 Onetime aperture inversion and the corresponding thermal prediction

358 According to the data assimilation framework in Wu et al. (2021a), we first map the high
 359 dimensional aperture field to a low dimensional latent space through PCA, and then apply ES-
 360 MDA to invert for the latent parameters from the tracer and pressure data. The inferred latent
 361 parameters are then converted to an aperture field, which is then used in the 3D EGS model to
 362 solve the long-term heat extraction process.

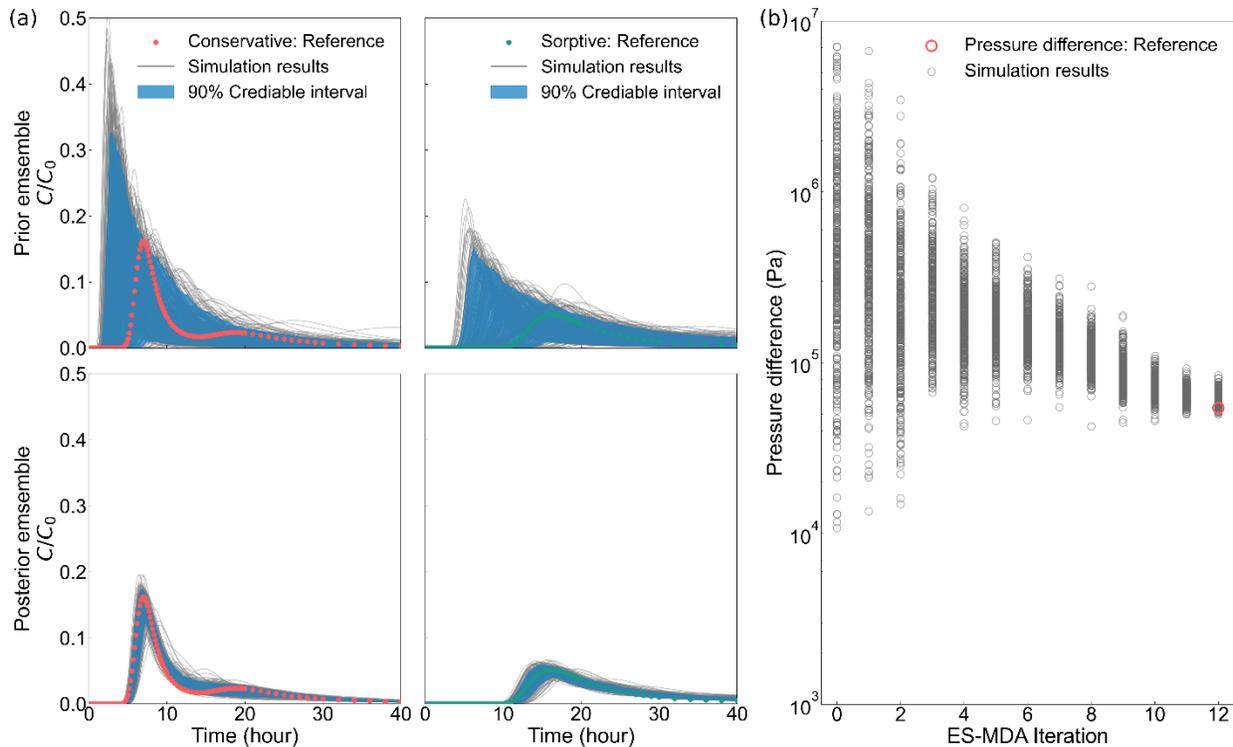
363 4.1.1 Onetime aperture inversion

364 We use sequential gaussian simulation to generate 5,000 spatially auto-correlated
 365 aperture fields on a $1 \text{ km} \times 1 \text{ km}$ domain discretized into a 100×100 regular grid, each
 366 following a normal distribution with a mean of 0.66 mm, a standard deviation of 0.75 mm and a
 367 correlation length of 150 m. We then apply PCA to the 5,000 aperture fields to obtain 5,000

368 principal components, which are arranged in descending order based on their significance,
 369 namely, percentage of preserved variance in original aperture fields. We subsequently use the
 370 first l principal components for aperture field generation. A larger l results in a more
 371 sophisticated aperture field. Based on our previous work (Wu et al., 2021a), the first 100
 372 principal components are sufficient to preserve the primary characteristics of the original
 373 aperture field. Therefore, we set $l = 100$ for the following inversion.

374 We then use ES-MDA to assimilate the tracer breakthrough curves and pressure data in
 375 Figure 3a (316 data points in total). The ensemble size is set to 400, and the assimilation
 376 undergoes 12 iterations to achieve a stable inversion result. The geometric method (Emerick,
 377 2019) is adopted to determine the inflation factor α , which is decreased by 10% after each
 378 iteration. To ensure the assimilation performance, the specification of covariances of
 379 measurement error is crucial. Here, we define measurement covariances by setting the standard
 380 deviation according to the relationship (Todaro et al., 2022): $3\sigma = p/100 \cdot y_{obs}$, where p is a
 381 user-defined parameter and y_{obs} is the observation value. We set $p = 1$, $p = 0.1$ for tracer and
 382 pressure data, respectively.

383 Compared with prior realizations, the posterior realizations yield tracer breakthrough
 384 curves exhibiting a significantly enhanced agreement with the reference tracer and pressure data
 385 (Figure 4). This improvement is notably evident in the alignment of peak timings, shape profiles,
 386 and overall magnitude of tracer concentration. In addition, the pressure difference between the
 387 injection and production wells simulated from the posterior realizations also matches much better
 388 with the reference values than that from the prior realizations does (Figure 4b).

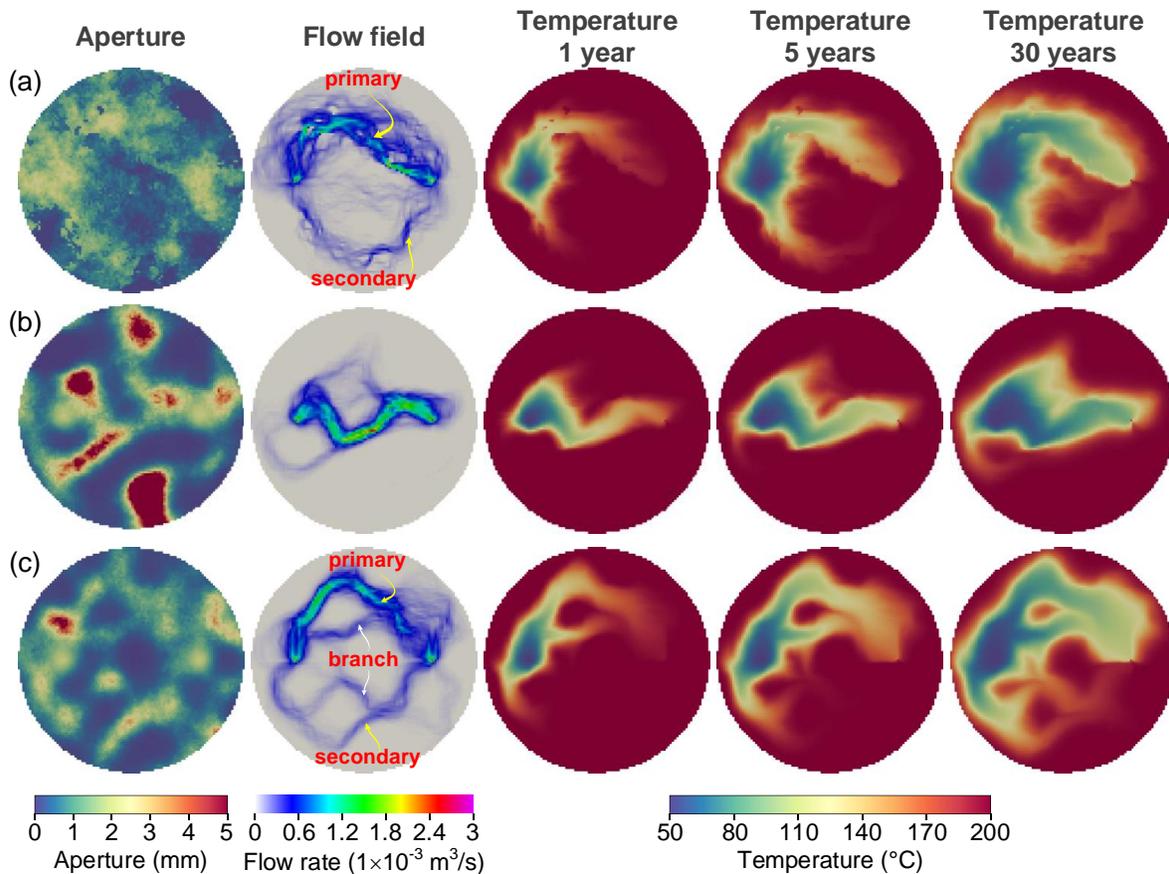


389

390 Figure 4. Numerical results of tracer and well pressure difference from prior and posterior
 391 realizations. (a) Comparison between the reference and predicted tracer breakthrough curves.

392 The top and bottom rows display the comparison for the prior and posterior ensembles,
 393 respectively. The simulated tracer curves are shown in gray, and the dots represent the reference
 394 tracer breakthrough data. The dark blue shadings represent the 90% credible intervals for the
 395 predicted tracer breakthrough curves. (b) Evolution of the predicted well pressure difference with
 396 ES-MDA iterations. The predicted pressure difference results are shown in gray circles, while
 397 the reference value is denoted by the red circle.

398 We randomly select a realization from the prior ensemble for analysis (Figure 5). The
 399 prior realization exhibits a highly dissimilar aperture distribution compared with the reference
 400 model. The following ES-MDA inversion gradually updates the aperture distribution and the
 401 obtained posterior aperture closely resembles the reference aperture (Figure 5c). The posterior
 402 model successfully resolves the primary and secondary flow channels observed in the reference
 403 flow field (as annotated in the second column in Figure 5). A major difference between the
 404 posterior and reference flow fields is the two relatively weak branch flow channels between the
 405 primary and secondary flow channels (Figure 5c). In the reference flow field, we only vaguely
 406 observe many narrow branch flow channels between the primary and secondary flow channels,
 407 while in the posterior flow field, the overall effect of these narrow branch channels seems to be
 408 represented by the two branch channels. This difference might be attributed to the insufficient
 409 characterization of detailed aperture features in the inversion aperture model.

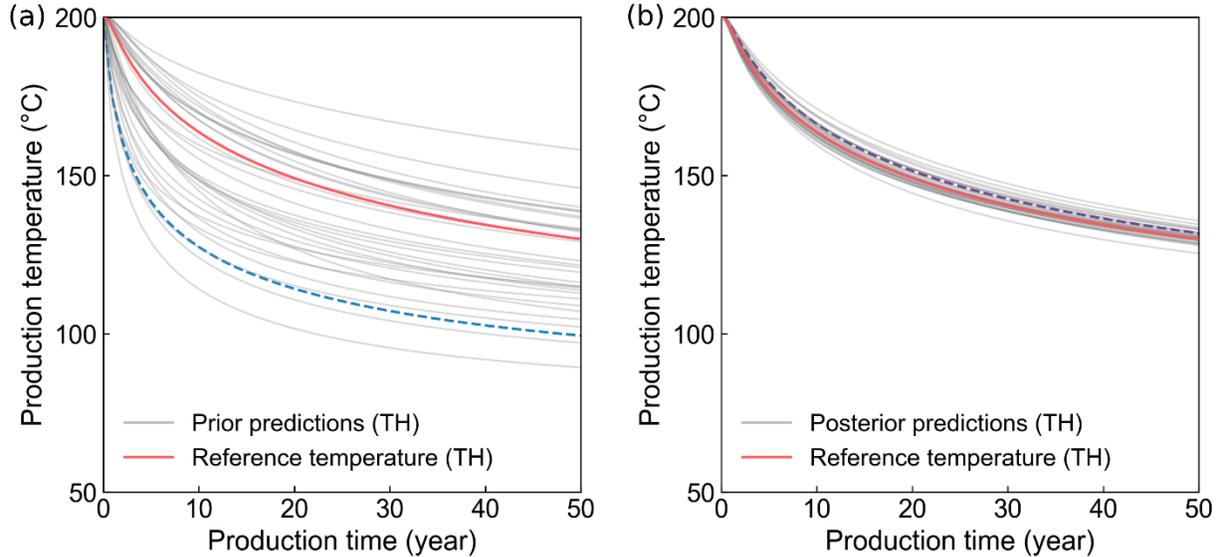


410

411 Figure 5. Comparison of aperture field, flow field, and temperature distribution within the
 412 fracture. (a) Results from the reference model. (b) Results from a randomly selected prior
 413 realization. (c) Results from the corresponding posterior realization.

414 4.1.2 Thermal simulation and prediction

415 With the prior and posterior ensembles, we then conduct thermal simulations to
 416 investigate the predictive capability of the posterior realizations in terms of EGS thermal
 417 performance. We first consider a relatively simple TH model without the mechanical process,
 418 meaning that the impact of thermal stress on aperture is ignored and the aperture field remains
 419 constant during the thermal simulation. Since the posterior realization better resolves the fracture
 420 flow pattern than the prior realization does, the fracture temperature evolution simulated with the
 421 posterior realization also resembles the reference model results better (Figure 5). The production
 422 temperature predicted by the posterior realization agrees with the production temperature of the
 423 reference model quite well (Figure 6b). Besides the selected realizations in Figure 5, we
 424 randomly select 30 additional prior realizations and their corresponding posterior realizations for
 425 TH modeling to further examine their predictive capability (Figure 6). Compared with the
 426 considerable uncertainty in thermal predictions from the prior realizations (Figure 6a), the
 427 uncertainty from the posterior realizations is significantly reduced, and most of the posterior
 428 production temperature curves reasonably match the reference temperature curve.



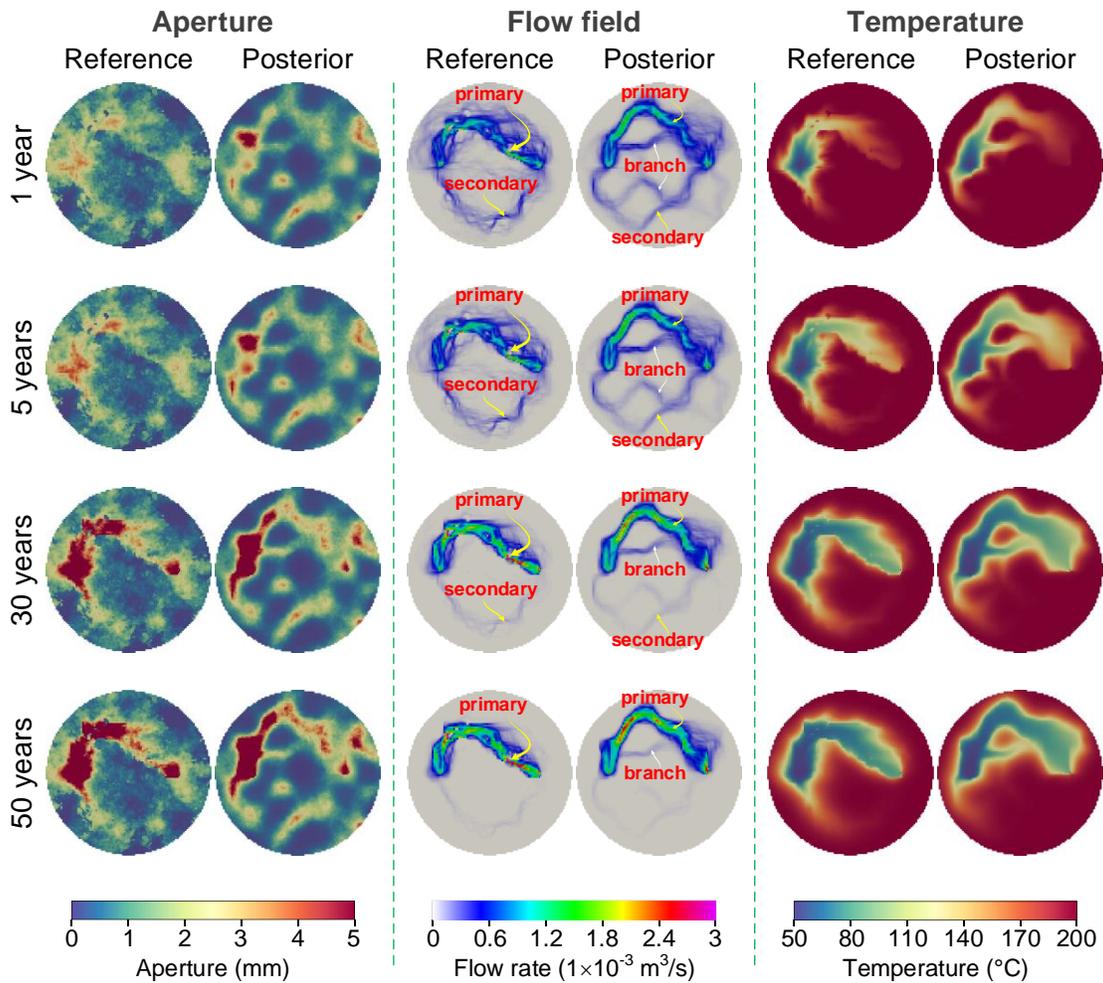
429

430 Figure 6. Predictions of production temperature from TH simulation for the randomly selected 30
 431 prior (a) and posterior (b) realizations. The gray solid lines represent the results for the randomly
 432 selected realizations from the prior and posterior ensembles. The red solid line indicates the
 433 production temperature for the reference model from TH simulation. The blue dashed line in (a)
 434 is the production temperature curve for the selected prior realization in Figure 5, and its
 435 corresponding posterior curve is denoted by the dashed purple line in (b).

436 4.1.3 Effects of thermal stress on aperture evolution and thermal performance

437 The above results indicate that the posterior realizations can properly predict long-term
 438 thermal performance under the constant aperture scenario (i.e., TH model). However, in a more
 439 realistic scenario that incorporates mechanical process, fracture aperture will dynamically evolve
 440 under the thermoporoelastic effect (Gee et al., 2021; Guo et al., 2016). To further verify the
 441 predictive capability of the posterior realizations under the impact of thermoporoelastic effect,

442 we perform THM simulations using the randomly selected realizations in Figure 5. We compare
 443 the aperture distribution, fracture flow field as well as fracture temperature distribution at
 444 different production times between the posterior and reference models (Figure 7). Due to the
 445 presence of thermal stress, the aperture fields in both the posterior and reference models evolve
 446 significantly during heat extraction, and the fracture flow fields gradually become more and
 447 more concentrated, i.e., thermal-drawdown induced flow channeling (Guo et al., 2016). Since
 448 heat transfer highly relies on fracture flow pattern, flow channeling reduces effective heat
 449 transfer area and accelerates thermal breakthrough (Figure 7). Compared with the results from
 450 TH modeling, the production temperature from THM modeling decreases remarkably, for both
 451 the reference model and the posterior realizations (Figure 8).

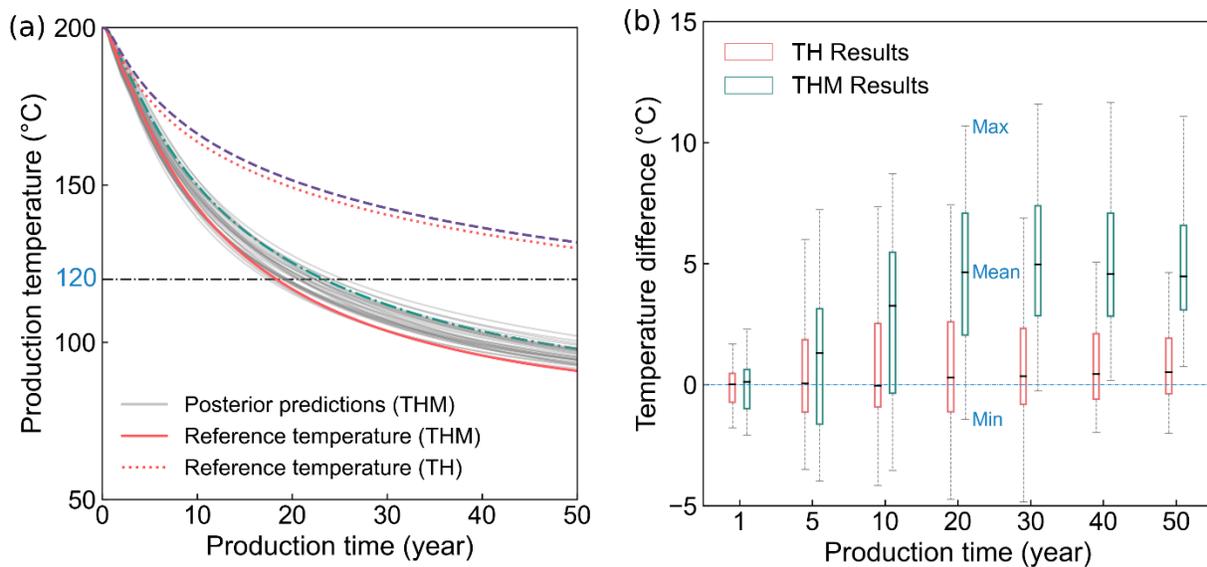


452

453 Figure 7. Evolution of fracture aperture, flow field, and fracture temperature in THM
 454 simulations. Both the reference model and the selected posterior realization from Figure 5 are
 455 shown.

456 An interesting observation is that the evolution of aperture and flow fields from the
 457 posterior realization is similar to that from the reference model (Figure 7). After 30 years
 458 production, both the reference and posterior models show a dominating flow channel between
 459 the injection and production wells. The secondary channel almost disappears in both posterior
 460 and reference realizations. However, there exists a major difference of the flow field between the

461 reference and posterior models, that a branch flow path still exists alongside the dominating
 462 channel from the posterior realization but not in that from the reference model. The effect of this
 463 branch flow path on thermal performance is nonnegligible as it increases the effective heat
 464 transfer area between fluid and rock formation (temperature distribution in Figure 7). With the
 465 consideration of dynamic aperture evolution, the predicted production temperature from the
 466 posterior realization gradually deviates from the reference result (Figure 8b). Specifically, due to
 467 the presence of the branch flow channel, the posterior realizations tend to exhibit a relatively
 468 slower thermal breakthrough compared with the reference model. Such a result indicates that
 469 although the posterior realization is able to capture the primary features of the initial flow field in
 470 the reference model by fitting tracer data, it may produce a biased flow field due to the dynamic
 471 variation of the aperture field during heat extraction, ultimately leading to an overestimated
 472 production temperature.



473

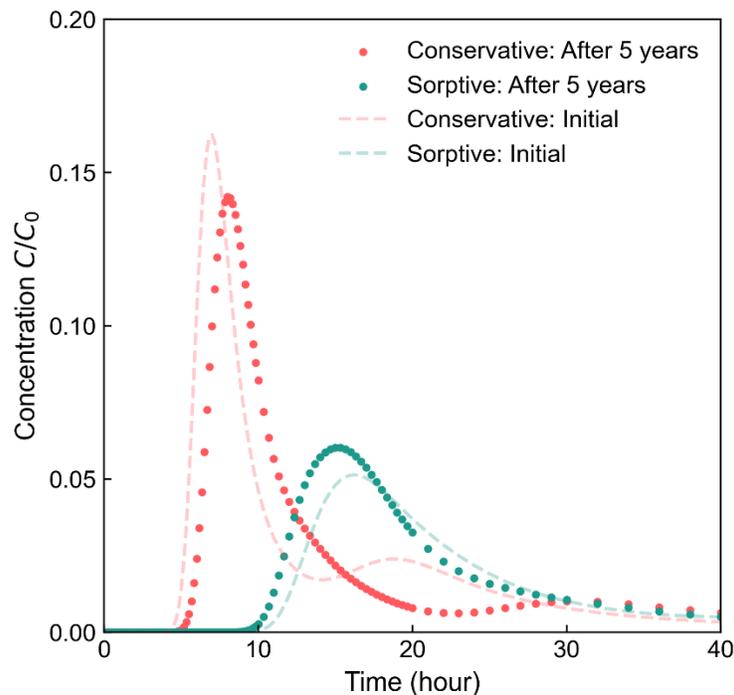
474 Figure 8. Predictions of production temperature from THM simulation for the randomly selected
 475 30 posterior realizations. (a) Temperature breakthrough curves from the reference model and
 476 posterior realizations. The green dashdot line is the production temperature curve obtained from
 477 the selected posterior realization shown in Figure 7, and its corresponding curve from TH
 478 simulation is represented by the purple dashed line for comparison. (b) Difference in heat
 479 production temperature between the reference and the 30 posterior realizations at different
 480 production times. TH and THM results are compared. The box plots show the maximum,
 481 minimum and mean of temperature difference.

482 4.2 Multi-stage aperture inversion and the corresponding thermal prediction

483 The above analysis demonstrates that ignoring the dynamic evolution of fracture aperture
 484 during inversion renders the posterior model with compromised long-term thermal prediction
 485 capability. To assess the capability of the proposed multi-stage inversion framework in capturing
 486 dynamic aperture evolution, we further performed the second and third tracer inversions after
 487 five and fifteen years production.

488 4.2.1 Second aperture inversion

489 We first use the aperture field from the reference model after five years production
 490 (Second row, first column in Figure 7) to generate a new tracer and pressure dataset for the
 491 second aperture inversion. Model parameters and tracer injection conditions are the same as that
 492 used for the first tracer modeling at the initial stage (Table 1). Due to the variation of fracture
 493 aperture and flow field, both the conservative and sorptive tracer breakthrough curves exhibit
 494 noticeable changes in terms of peak magnitude and the arrival time of the peak (Figure 9). For
 495 the conservative tracer, the arrival time is slightly delayed, accompanied by a reduction in its
 496 peak magnitude. The secondary peak becomes almost negligible as most of the injected fluid
 497 concentrates in the primary flow channel corresponding to the first peak. Conversely, for the
 498 sorptive tracer, the arrival time slightly advances, along with an increase in peak magnitude. The
 499 pressure difference between the injection and production wells decreases significantly from 54.4
 500 kPa to 24.24 kPa because of the increase of fracture aperture under the thermal stress effect.



501

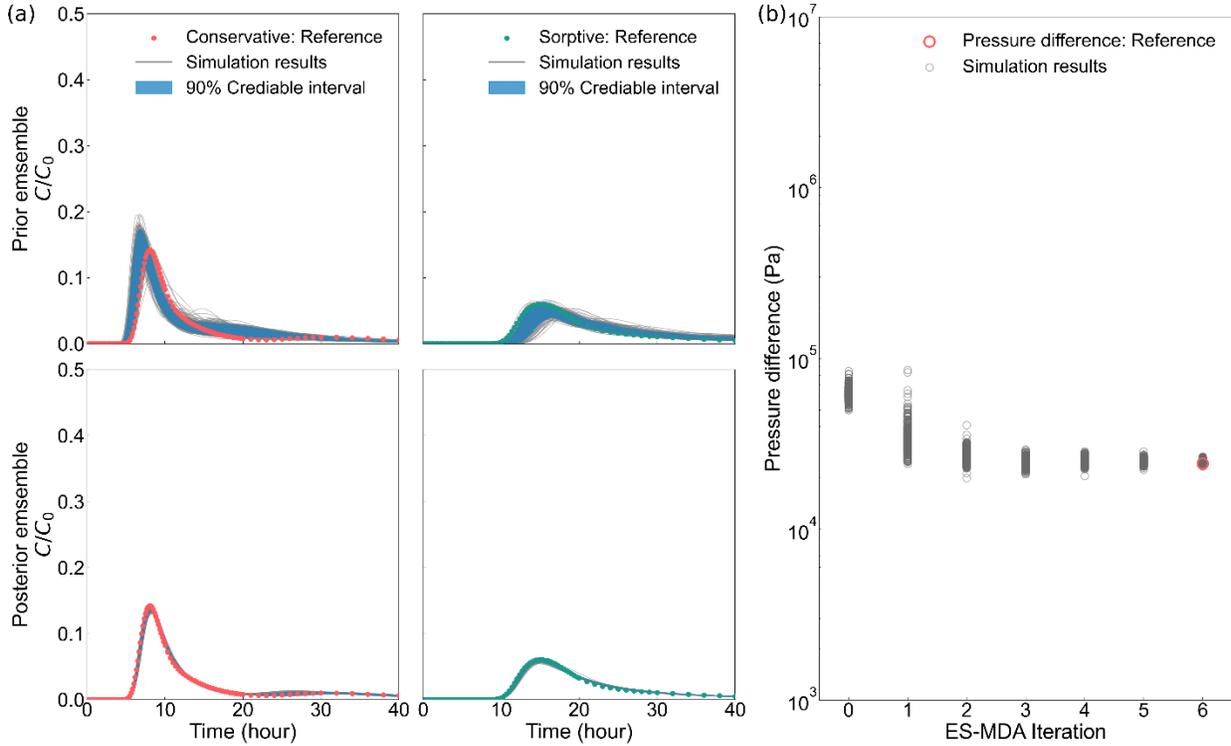
502 Figure 9. Tracer breakthrough curves obtained from the reference model. The dashed lines are
 503 the tracer results from the initial aperture field while the dots represent the tracer results after 5
 504 years production.

505 The second inversion follows the same steps as the initial inversion. Note that tracer
 506 dataset is still augmented by adding the difference between the conservative and sorptive tracer
 507 breakthrough curves to achieve an appropriate inversion result. The settings for measurement
 508 errors remain consistent with those of the initial inversion. Through the initial ES-MDA
 509 inversion, we have acquired a posterior ensemble that successfully resolves the initial fracture
 510 flow pattern, and therefore it is appropriate to use the posterior ensemble as the prior ensemble
 511 for this second inversion. It is noteworthy that at the fifth year of heat production, the impact of
 512 thermal stress is not particularly pronounced such that the changes in the fracture aperture field
 513 are limited compared to the initial state and the majority of features remain preserved (Figure 7).

514 Therefore, the secondly inversed fracture apertures should, theoretically, not deviate excessively
 515 from the one in the first inversion. In this regard, we suggest adopting the following modification
 516 scheme to update the latent parameters during the ES-MDA inversion process,

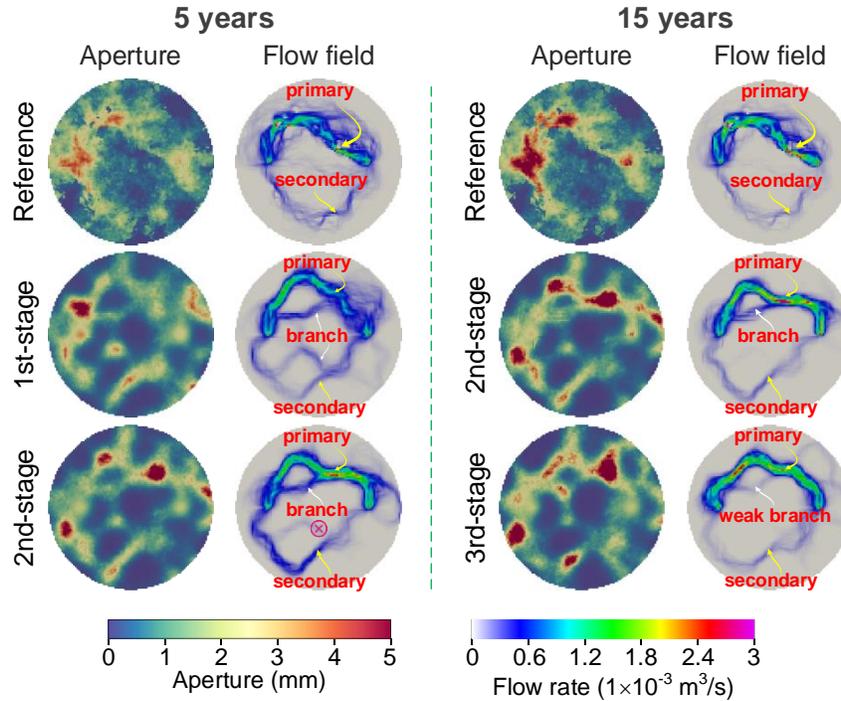
$$517 \quad \mathbf{z}_j^i = \mathbf{z}_j^{i-1} + \gamma \mathbf{C}_{ZY}^{i-1} (\gamma \mathbf{C}_{YY}^{i-1} + \alpha_i \mathbf{R})^{-1} (\mathbf{y}_{\text{obs}} + \sqrt{\alpha_i} \mathbf{e}_j - \mathbf{y}_j^{i-1}) \quad (3)$$

518 where γ is a user-defined parameter to scale the error variance \mathbf{C}_{ZZ} of latent parameter \mathbf{z} , which
 519 is set to 0.02 in this study. The modified formula is inspired by the ensemble representation of
 520 model gradient in the derivation of the ES-MDA algorithm (Evensen, 2018).



521
 522 Figure 10. Numerical results of tracer and well pressure difference from the prior and posterior
 523 realizations for the second inversion. (a) Comparison between the reference and predicted tracer
 524 breakthrough curves. (b) Evolution of the predicted well pressure difference with ES-MDA
 525 iterations.

526 Since the initial aperture/flow fields share many common features with the aperture/flow
 527 fields after five years production (Figure 7), the uncertainty of the prior results is relatively low
 528 (Figure 10). The inversion achieves a stable result after six iterations. Posterior realizations from
 529 the second inversion match perfectly with the reference model in terms of tracer breakthrough
 530 and well pressure difference (Figure 10). We still use the selected realization in Figure 5 to
 531 analyze the aperture and flow fields. The posterior aperture/flow fields from the second inversion
 532 closely resemble that from the initial inversion, but with appropriate local modifications to match
 533 the tracer and pressure data obtained at the fifth year of production (Figure 11). An important
 534 modification in the flow field is that the primary flow channel undergoes slight downward
 535 movement, and one of the branch flow paths almost disappears, making the overall flow field
 536 more similar to the reference flow field compared with that from the initial inversion.

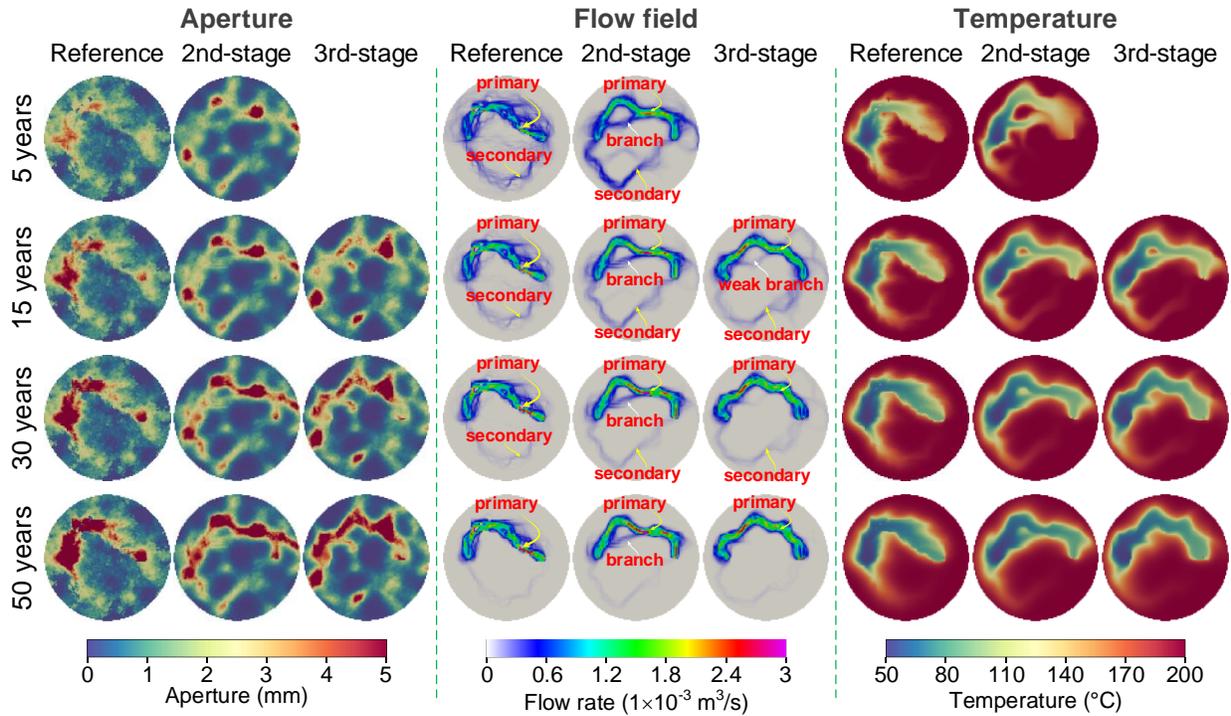


537

538 Figure 11. Comparison of the fracture aperture and flow field at the fifth and fifteenth year of
 539 production between the reference model and the selected posterior realization. At the fifth year,
 540 we compare the results from the first stage (initial stage) and the second stage to illustrate the
 541 change of aperture and flow fields due to the second inversion. At the fifteenth year, we compare
 542 the results from the second and third stages to illustrate the change caused by the third inversion.

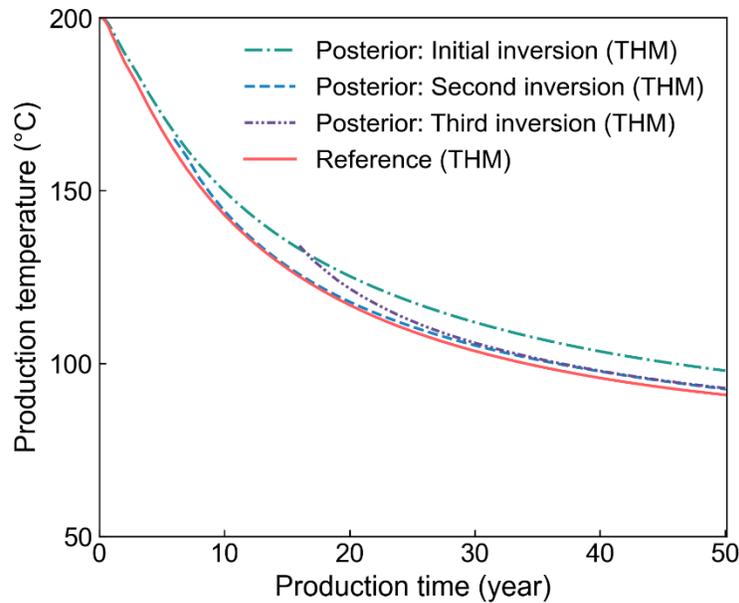
543 4.2.2 Thermal prediction with the posterior ensemble from the second inversion

544 We then use the posterior realizations from the second inversion to perform a second-
 545 stage THM simulation to model the thermal process of the EGS model after the fifth year. Note
 546 that the temperature field at the fifth year simulated by the posterior realizations from the first
 547 inversion is used as the initial temperature field for this second-stage THM simulation. We
 548 compare the reference model with the selected posterior realization (from the second inversion)
 549 in terms of aperture field, flow field and fracture temperature distribution at the 5th, 15th, 30th and
 550 50th year respectively (Figure 12). As one of the branch flow channel resolved by the initial
 551 inversion merges into the secondary flow channel, the effective heat transfer area is reduced and
 552 the fracture temperature distributions (eighth column in Figure 12) modeled by the posterior
 553 realization after the second inversion resemble the reference model results better than that
 554 modeled by the posterior realization after the first inversion (sixth column in Figure 7). The
 555 predicted production temperature from the second inversion stage is lower than the previous
 556 predictions from the first inversion stage, and agrees better with the reference temperature
 557 breakthrough curve (Figure 13).



558

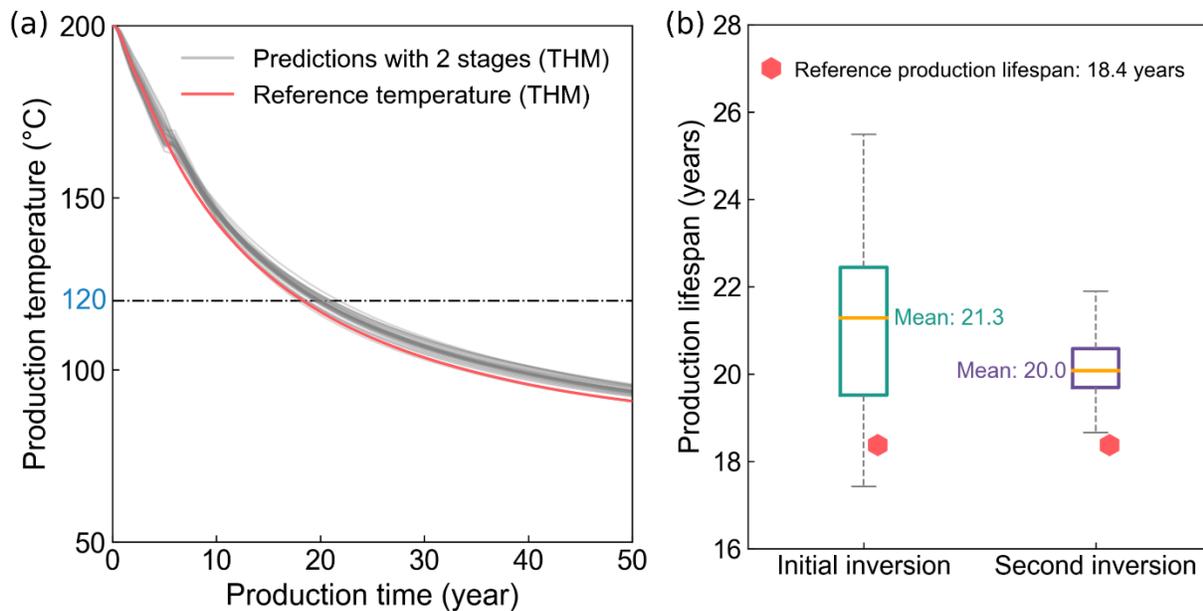
559 Figure 12. Comparison of fracture aperture, flow field, and temperature distribution between the
 560 reference model and the selected posterior realization after the second and third inversions.



561

562 Figure 13. Production temperature for the reference model, as well as the selected posterior
 563 realizations after the initial, second and third inversions. Note that the temperature curves for the
 564 posterior realizations after the second and third inversions start from the fifth and fifteenth year,
 565 respectively.

566 We further examine thermal predictions from the previously selected 30 realizations after
 567 the second inversion (Figure 14). The prediction uncertainty decreases remarkably compared
 568 with the predictions after the initial inversion. Although there is a sudden and subtle change in
 569 the predicted temperature curve at the fifth year (Figure 14a) due to the sudden change of
 570 fracture aperture, the overall long-term thermal performance under the thermal-hydro-
 571 mechanical coupled conditions is appropriately covered by the posterior predictions from the 30
 572 realizations. We also calculate the production lifespan of the EGS model according to the
 573 predicted temperature curves (Figure 14b). As annotated in Figure 14b, the production lifespan
 574 of the reference model is 18.4 years, and the average production lifespan predicted by the
 575 posterior predictions after the second inversion (20.0 years) is more accurate than that predicted
 576 by the posterior predictions after the initial inversion (21.3 years). More importantly, the
 577 prediction range of the production lifespan reduces from 17.4-25.5 years for the first inversion,
 578 to 18.6-21.9 years for the second inversion.



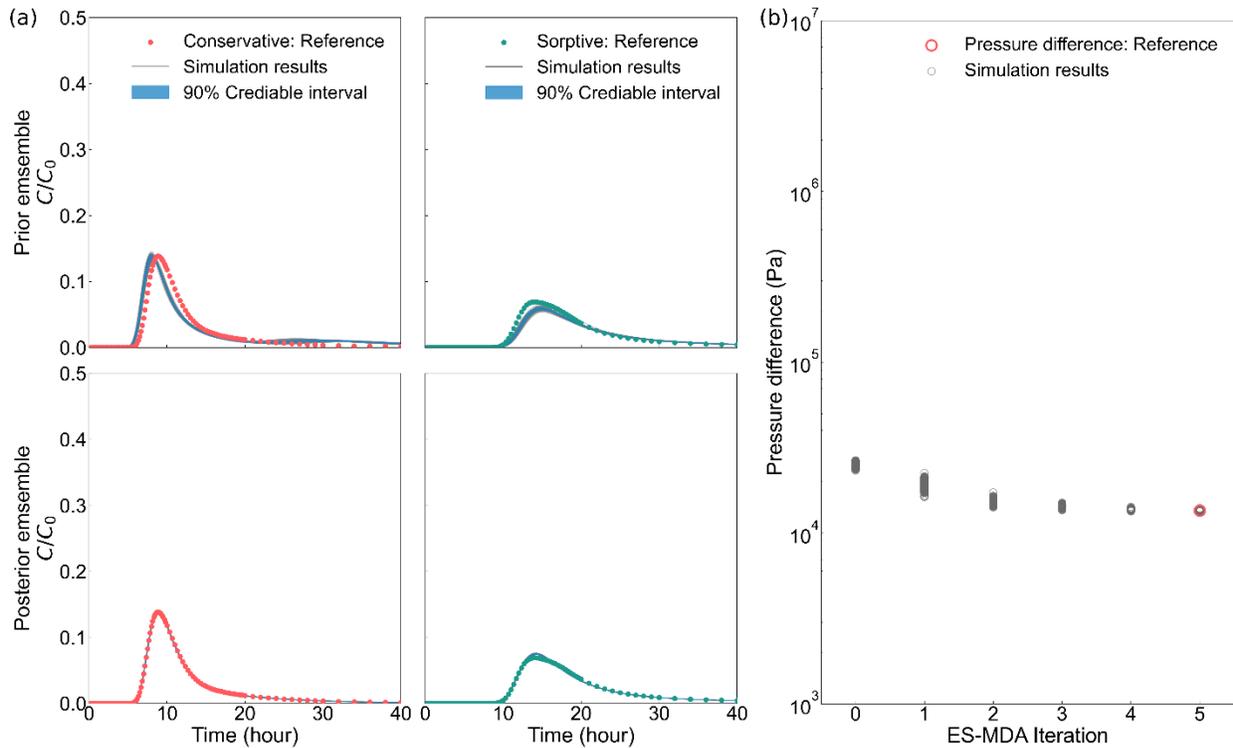
579

580 Figure 14. Predictions of production temperature from THM simulation for the randomly
 581 selected 30 posterior realizations after the second inversion. (a) Temperature breakthrough curves
 582 from the reference model and posterior realizations. Note that predictions at the first five years
 583 are obtained from the initial inversion, while predictions after the fifth year are from the second
 584 inversion. (b) Comparison of heat production lifespan between the reference and posterior
 585 realizations. Both the results from the initial and second inversions are shown.

586 4.2.3 Third aperture inversion

587 As the second inversion shows a remarkable improvement in thermal prediction, we
 588 further perform a third inversion at the fifteenth year of production (Figure 12). The posterior
 589 ensemble from the second inversion is employed as the prior ensemble for the third inversion.
 590 Given the favorable match of the flow field obtained in the second inversion with the reference
 591 model, the third inversion theoretically requires only minor adjustments on the aperture fields
 592 from the second inversion. Therefore, we continue to adopt the scaling approach, as discussed in

593 Section 4.2.1, for the error variance (\mathbf{C}_{zz}) of latent parameters, with the scale parameter γ set as
 594 0.004. The third inversion quickly yields a stable result after six iterations (Figure 15). A key
 595 modification is that the branch channel alongside the primary channel is further weakened,
 596 making the overall flow field even closer to the reference flow field compared with that from the
 597 second inversion (Figure 11).



598

599 Figure 15. Numerical results of tracer and well pressure difference from the prior and posterior
 600 realizations for the third inversion.

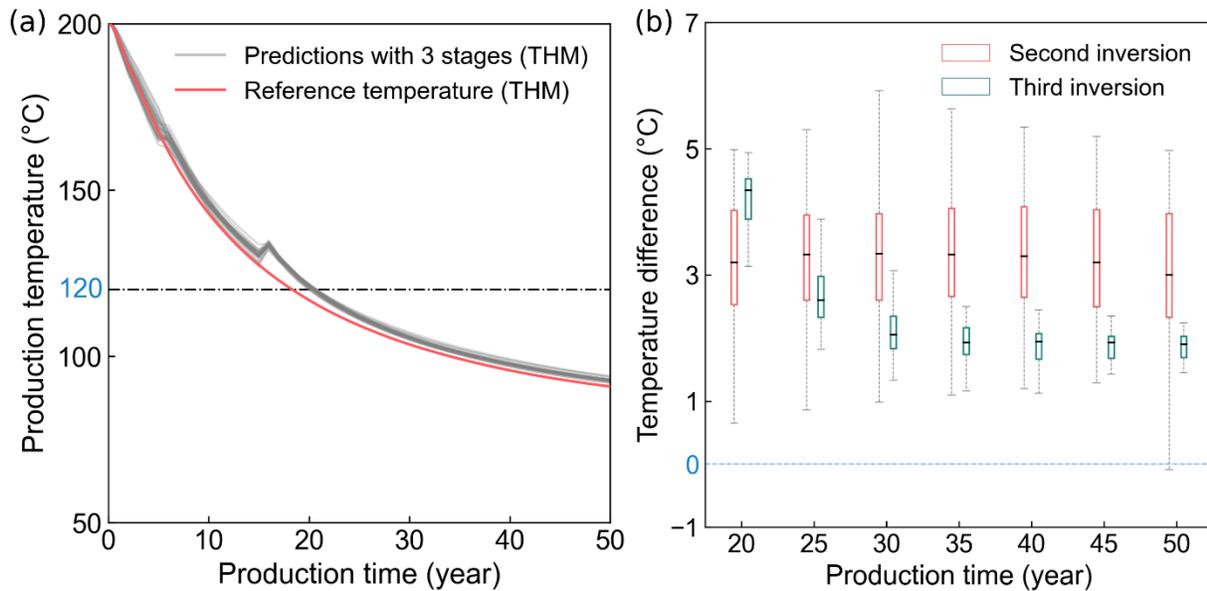
601

4.2.4 Thermal prediction with the posterior ensemble from the third inversion

602

603 We then perform THM simulations using the posterior realizations from the third
 604 inversion to predict the thermal performance of the EGS model after 15 years. The temperature
 605 field at the fifteenth year simulated by the second-stage realizations is used as the initial
 606 temperature field for this third-stage THM simulation. We compare the selected posterior
 607 realizations from the second and third inversions with the reference model in terms of
 608 aperture/flow fields and fracture temperature distribution at the 15th, 30th and 50th year
 609 respectively (Figure 12). As a result of localized modification in the aperture field during the
 610 third inversion, the branch flow path that appears in the second inversion progressively
 611 diminishes and merges into the primary channel. Such a modification further enhances the
 612 thermal prediction capability of the inversion model, mainly manifesting as the reduction of
 613 prediction uncertainty Figure 16. Notice that there are discontinuities in the predicted
 614 temperature curve at the fifteenth year between the second and third inversion realizations due to
 615 the sudden modification of fracture aperture (Figure 13 and 16). However, this discontinuity
 616 swiftly stabilized. After 20 years, the thermal production curve closely resembles the reference
 curve, with an overall average temperature error less than 3 °C (Figure 16b). This is mainly

617 attributed to the correction to the branch flow channel in the third inversion, leading to a further
 618 reduction in the effective heat transfer area.



619

620 Figure 16. Predictions of production temperature from THM simulation for the randomly
 621 selected 30 posterior realizations after the third inversion. (a) Temperature breakthrough curves
 622 from the reference model and posterior realizations. Note that predictions at the first five years
 623 are obtained from the initial inversion, while predictions between years five and fifteen are
 624 obtained from the second inversion, and predictions after the fifteenth year are from the third
 625 inversion. (b) Comparison of the production temperature difference between the reference and
 626 posterior realizations. Both the results from the second and third inversions are shown.

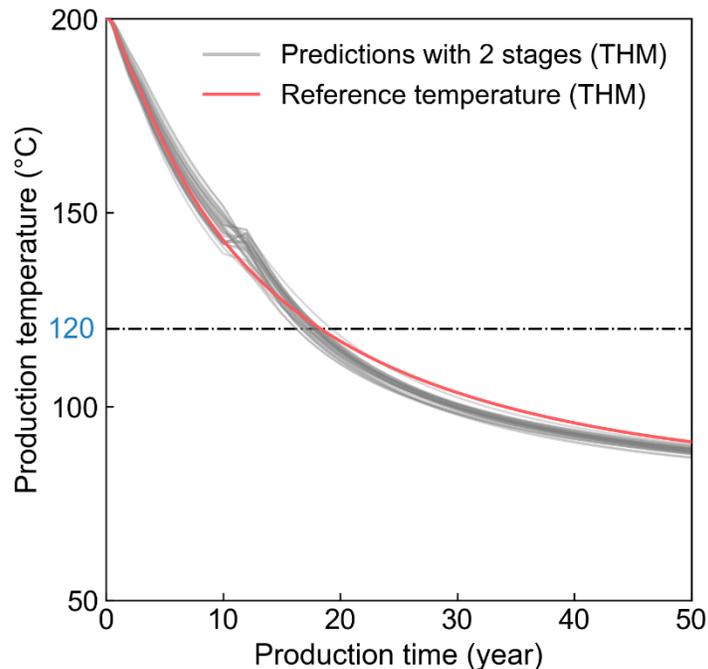
627 5 Discussions

628 5.1 When to perform the second and subsequent aperture inversions?

629 The proposed multi-stage inversion framework requires to perform multiple inversions
 630 during the operation of an EGS to accommodate the continuously varying reservoir conditions,
 631 i.e., dynamic fracture aperture evolution in the current study. Except for the initial inversion
 632 which is generally performed before heat extraction, the timing of subsequent inversions is an
 633 essential decision that needs to be carefully determined. For the EGS model in this study, we
 634 perform the second and third inversion at the fifth and fifteenth years after the commencement of
 635 heat extraction respectively. The two timings appear to be appropriate as the long-term thermal
 636 performance is accurately predicted after the second and third aperture inversions (Figure 16).

637 In real-world applications, as a tracer testing can be completed in several weeks or
 638 months and the cost of tracer testing is relatively low compared with that of drilling and long-
 639 term thermal operations, we could conduct tracer testing every year and perform frequent
 640 aperture inversion accordingly on a yearly base. Nevertheless, the necessity of such a frequent
 641 tracer testing and aperture inversion should be carefully considered. Since thermal conduction in
 642 rock formations is relatively slow, it generally requires a considerable amount of time to develop

643 significant thermal stress to alter fracture aperture field and affect thermal performance
 644 remarkably. This is evident from the thermal performance comparison in Figure 8a that the
 645 thermal curves predicted by the posterior realizations are very close to the reference thermal
 646 curves within the first several years. Therefore, although economically and technically viable, it
 647 is unnecessary to repeat tracer testing and aperture inversion too frequently. However, the time
 648 interval between two consecutive inversions should not be too long either, otherwise the thermal
 649 prediction during this time interval might significantly deviates from field measurements. To
 650 examine the effect of inversion timing on thermal prediction, we perform a second-stage
 651 inversion at the tenth year (Figure 17). The predicted production temperature at the tenth year
 652 shows considerable uncertainty compared with that in Figure 14 where the second-stage
 653 inversion happens at the fifth year. In addition, as the simulated temperature field at the end of
 654 the first inversion stage is used as the initial temperature field for THM modeling in the second
 655 stage, a large inversion time interval may lead to a significant deviation in temperature field,
 656 which may further compromise the thermal prediction capability of the inversion results. The
 657 second-stage inversion at the tenth year ultimately results in an underestimated thermal
 658 performance (Figure 17).



659

660 Figure 17. Temperature breakthrough curves from the reference model and the randomly
 661 selected 30 posterior realizations after a second stage inversion at the tenth year.

662 The timing of the second tracer testing and aperture inversion highly depends on the
 663 hydrogeological conditions of EGS reservoirs. For the single-fracture EGS model in the current
 664 study, a second-stage tracer testing and aperture inversion at the fifth year appears to be a
 665 reasonable choice, and two or three inversion stages seem to be sufficient to provide accurate
 666 long-term thermal predictions. In real-world applications, a straightforward principle to
 667 determine the inversion timing is to compare the simulated production temperature with field
 668 measurements. In presence of a large temperature difference, a new tracer testing can be
 669 performed to provide new data for another round of inversion to correct fracture aperture field.

670 5.2 Chemical reactions induced aperture evolution

671 The present study focuses on the impact of thermal stress on fracture aperture evolution.
672 As pointed out in the literature, there are some other processes, such as chemical reactions, that
673 may also induce significant aperture alterations during heat extraction from EGSs (Ameli et al.,
674 2014; Pandey et al., 2014; Xu et al., 2004). The injection of low-temperature fluids into EGS
675 reservoirs alters the chemical balance of pre-existing minerals, leading to mineral dissolution and
676 precipitation on fracture surfaces. Coupled simulations investigating chemical reaction effects
677 reveal that dissolution may enlarge fracture aperture, while precipitation tends to reduce aperture
678 (Pandey et al., 2018; Salimzadeh & Nick, 2019; Song et al., 2022). The behavior and extent of
679 chemical reactions in fractured reservoirs depend strongly on the host rock composition, fractural
680 flow, and temperature fields.

681 Our proposed multi-stage inversion framework is capable of addressing chemical
682 reactions-induced aperture change during fracture inversion. In practical applications, inversions
683 can be conducted at different production times to capture evolutions in fracture aperture resulting
684 from chemical reactions. Between two inversion stages, the THM solver can be approximately
685 employed for thermal prediction, eliminating the need to directly simulate the impact of chemical
686 reactions on thermal performance. This approximation is reasonable because in most existing
687 geothermal power plants located in sandstone and granite reservoirs, the chemical reactions
688 occur much more slowly in comparison to thermoporoelastic effects, typically over a longer time
689 scale (Pandey et al., 2014; Rawal & Ghassemi, 2014). However, in carbonate geothermal
690 reservoirs, chemical reactions may proceed at a relatively fast pace (Goldscheider et al., 2010;
691 Pandey et al., 2014). Relying solely on updating fracture apertures at each inversion stage and
692 without explicitly simulating the effects of chemical reactions in the actual thermal simulation
693 may lead to a reduction in the accuracy of heat production predictions within each stage. For
694 such scenarios, we need to enrich the forward model to include chemical reactions module and
695 necessary couplings with other physical fields, and thus the proposed inversion framework could
696 still be applied for dynamic aperture inversion and thermal prediction.

697 5.3 Implications for dynamic reservoir characterization

698 The dynamic evolution of rock properties due to complex thermo-hydro-mechanical-
699 chemical coupled processes is well acknowledged in a broad range of subsurface reservoir
700 applications, such as oil and gas extraction, CO₂ geological sequestration, waste water disposal,
701 nuclear waste disposal, as well as geothermal energy recovery presented in this study. An
702 accurate characterization of the dynamic evolution of key reservoir parameters, including
703 permeability, porosity, and fracture aperture, is essential for the modeling and prediction of
704 reservoir performance. However, due to the inherent geological and physical/chemical
705 complexities associated with subsurface reservoirs, the characterization of reservoir parameters
706 is quite challenging, and most previous studies actually ignored the dynamic evolution of these
707 parameters. The current study provides a novel method to infer the dynamic evolution of
708 reservoir parameters through a newly proposed multi-stage inversion framework. The feasibility
709 of the framework is tested through a synthetic EGS model, in which the dynamic evolution of
710 fracture aperture caused by THM coupled processes is appropriately captured through the
711 inversion of tracer data. Given the flexibility of the proposed framework, it can be easily
712 extended to the dynamic characterization of other reservoir parameters, such as rock

713 permeability, porosity, and oil saturation, as long as a forward model connecting these
714 parameters with available field measurements can be developed.

715 Our current work primarily focuses on employing tracer data for reservoir inversion.
716 Tracer tests, owing to their low cost and convenience of execution, are suitable for multiple tests
717 in practical applications, making the proposed multi-stage inversion using tracer data applicable
718 for real-world reservoir development. In addition to tracer data, other geophysical data, including
719 seismic and electrical data, can also be repeatedly obtained with relatively low cost once the
720 relevant sensors and devices are installed in the field. Therefore, these geophysical data are also
721 suitable for the proposed multi-stage inversion framework, and can be used either separately or
722 jointly with tracer data to provide further constraints on reservoir parameters. More importantly,
723 as seismic and electrical data depend not only on flow properties but also on rock mechanical
724 properties, the incorporation of seismic and electrical data may enable the dynamic
725 characterization of other reservoir parameters such as rock modulus and water/oil saturation. Of
726 course, the extension of the proposed framework to the characterization of other reservoir
727 parameters using various geological, geophysical and hydrogeological data requires further
728 investigation and verification.

729 **6 Conclusions**

730 This study proposes a multi-stage data assimilation framework to capture the dynamic
731 evolution of fracture aperture during heat extraction from EGS reservoirs, and thus provide
732 accurate long-term thermal predictions to guide field operations and optimizations. The
733 framework involves multiple aperture inversions performed at different times throughout the
734 lifetime of EGS reservoirs. In each inversion, we use ES-MDA method to invert for fracture
735 aperture distribution from tracer and pressure data. Between two consecutive inversion stages,
736 the later inversion stage utilizes the posterior aperture ensemble from the previous stage as the
737 prior ensemble, enabling a progressively refined characterization of the fracture apertures. The
738 temperature field obtained at the end of the previous THM simulation serves as the initial
739 temperature field for the subsequent THM simulation, ensuring continuous long-term thermal
740 performance predictions.

741 A synthetic field-scale single-fracture EGS model is developed to demonstrate the
742 efficacy of the proposed framework. Compared with previous one-time inversion, the proposed
743 multi-stage inversion strategy effectively captures the dynamic evolution of fracture apertures
744 and flow patterns. As a result, the accuracy of long-term heat performance predictions is
745 enhanced, and the associated uncertainties are significantly reduced. Numerical results also show
746 the importance of selecting an appropriate inversion timing. For the single-fracture EGS model
747 in this work, two to three inversion stages seem to be sufficient, and performing the second and
748 third stages at the fifth and fifteenth years appears to be appropriate.

749 Since this study mainly focuses on single dominant fracture inversions and only considers
750 the case of one injection well and one production well, it would be meaningful to extend the
751 current framework to accommodate complex fracture networks and multi-well EGS reservoir
752 applications. Additionally, we use conservative and sorptive tracer data for inversion. Although
753 proved to be useful, the two types of data do not contain any temperature information, and it
754 would be worth exploring in the future the use of thermo-sensitive tracers to further improve
755 fracture characterization and thermal prediction in EGSs.

756 **Acknowledgments**

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761 **Data Availability Statement**

762 The multiphysics simulator GEOS used in this study for tracer, thermo-hydro-mechanical
 763 modeling is open sourced and is available at <https://github.com/GEOS-DEV/GEOS> (Settgast et
 764 al., 2018). The computer codes and data used for fracture aperture inversion are available at
 765 <https://doi.org/10.5281/zenodo.10417269> (Zhang & Wu, 2023).

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