

1 **The influence of earthquake gates on surface rupture length**

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15 **Key Points:**

- 16 ● We map step-overs, bends, gaps, splays, and strands from 31 strike-slip surface ruptures
17 at 1:50,000 scale and investigate their potential as earthquake gates.
- 18 ● Most step-overs wider than 1.2 km and bends with angles $>30^\circ$ consistently halt
19 propagating ruptures, suggesting surficial complexity extends to depth.
- 20 ● Our findings support that earthquake gates limit the size of large events.

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26 **Abstract**

27 Propagating earthquakes must overcome geometrical complexity on fault networks to grow into
28 large, surface rupturing events. We map step-overs, bends, gaps, splays, and strands of length
29 scales ~100-500 meters from the surface ruptures of 31 strike-slip earthquakes, recording whether
30 ruptures propagated past the feature. We find that step-overs and bends can arrest rupture and
31 develop a statistical model for passing probability as a function of geometry for each group. Step-
32 overs wider than 1.2 km, single bends larger than 32° , and double bends larger than 38° are
33 breached by rupture half of the time. ~20% of the ruptures terminate on straight segments. We
34 examine how the distribution of earthquake gates influences surface rupture length, inferring an
35 exponential relationship between rupture length and event probability for a given fault. Our
36 findings support that earthquake gates limit the size of large events and help discriminate between
37 different proposed models of rupture propagation.

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40 **Plain Language Summary**

41 Zones of geometrical complexity along faults can behave as barriers or earthquake gates that
42 sometimes halt propagating earthquakes. We map five types of geometrical complexities from
43 historical surface rupture maps and regional fault maps: step-overs, bends, gaps, splays, and
44 strands at 1:50,000 scale, corresponding to features >100-500 m in length. This is a finer scale
45 than previous studies, which focused on kilometer-scale zones of geometrical complexity. We
46 classify each mapped zone of geometrical complexity as breached (earthquake propagated past) or
47 unbreached (earthquake halted) and measure the width of step-overs and strands, the length of
48 gaps, and the angle of splays and bends. Based on these measurements, we model the probability
49 that each feature will be breached given its geometry. Step-overs wider than 1.2 km, single bends
50 larger than 32° , and double bends larger than 38° are breached by rupture half of the time. ~20%
51 of the ruptures terminate on straight segments. Using our probabilities, we show that the presence
52 and geometry of earthquake gates in the 100-500 m length scale plays a first-order control on the
53 low likelihood of large surface rupturing earthquakes.

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57 Introduction

58 Earthquake surface ruptures are composed of fault segments bound by zones of geometrical
59 complexity (e.g., Wesnousky, 2006; Manighetti et al., 2007; Klinger, 2010; Perrin et al., 2016;
60 Hamling et al., 2017). These zones of geometrical complexity can act as earthquake gates where
61 the probability of rupture propagation is conditional on prior earthquake history, rupture dynamics,
62 material properties, and the stress conditions on neighboring fault segments. For earthquakes on
63 vertically dipping strike-slip faults, where the thickness of the seismogenic zone limits down-dip
64 rupture propagation, geometrical complexities have been proposed to exert an important control
65 on rupture length, and thus magnitude (e.g., Wesnousky, 2006).

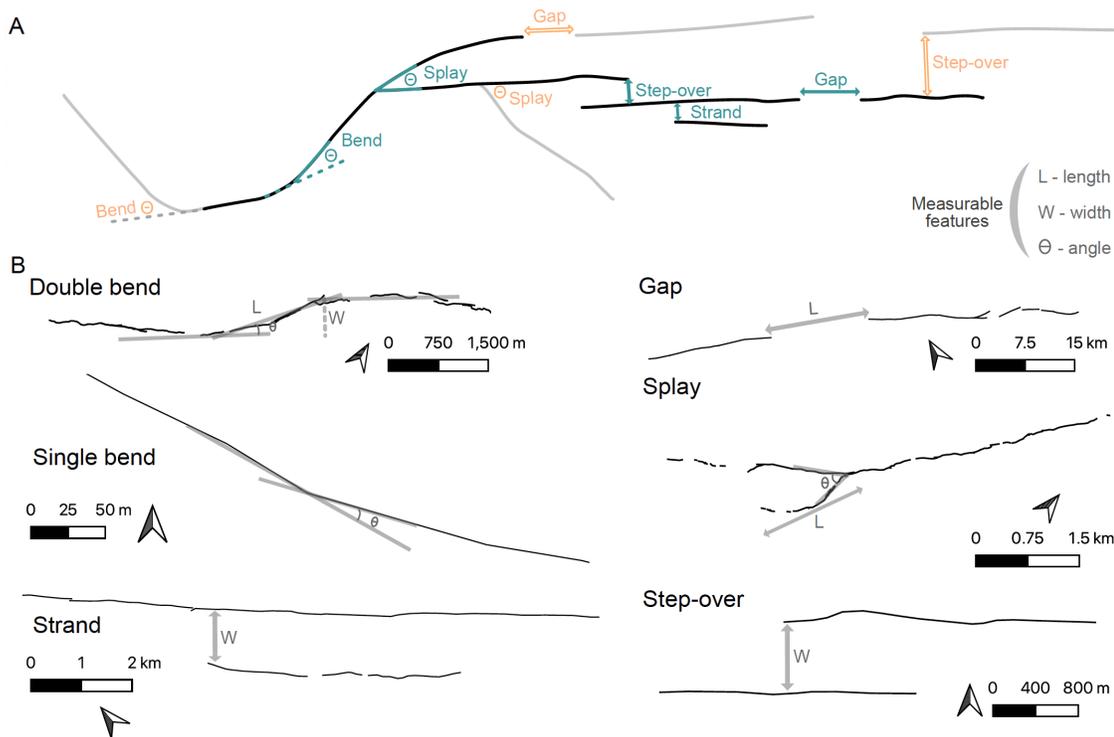
66 Historical earthquake rupture maps provide tests for geometrical controls on rupture
67 propagation that serve as validation for rupture simulator forecasts and dynamic rupture models
68 (e.g., Lettis et al., 2002; Wesnousky, 2006, 2008; Biasi and Wesnousky, 2016, 2017, 2021). Most
69 previous studies relied on simplified rupture maps, limiting the minimum size of earthquake gates
70 considered to kilometer-scale. This scale is practical for hazard applications, as it is comparable to
71 the resolution of complexity on regional fault maps and is commensurable with model
72 discretization in rupture simulators (Biasi and Wesnousky, 2021; Milner et al., 2022).

73 Though limited in potential for prospective hazard assessment, observations suggest that
74 finer scale geometrical complexity can also exhibit earthquake gate behavior. For example, the
75 2014 Napa earthquake terminated in a 750-meter-wide step-over, too small to be included in most
76 previous studies. With new surface rupture maps from recent events, concurrent with ongoing
77 efforts to standardize past rupture maps (e.g., Sarmiento et al., 2021; Nurminen et al., 2022) and
78 improve regional fault maps, it is now possible to consider whether finer scale geometrical
79 complexity can act as an earthquake gate and how the distribution of this complexity influences
80 the probability of rupture propagation and final event size.

81 In this study, we map geometrical complexities at 1:50,000 scale, which corresponds with
82 features >100-500 meters in length scale, from 31 strike-slip surface rupture maps in the unified
83 Fault Displacement Hazard Initiative (FDHI) database (Sarmiento et al., 2021) and their
84 corresponding regional fault maps (see supplementary methods). We consider five types of
85 geometrical complexity: step-overs, bends, splays, gaps, and strands (Figure 1). Step-overs are
86 spaces between neighboring, parallel, overlapping faults. Bends are locations where the fault
87 changes strike. Bends may come in pairs (double bends) where the fault returns to its original

88 orientation. Step-overs and double bends may be classified as a restraining (net contraction) or
 89 releasing (net extension), but single bends cannot be classified as such without knowledge of
 90 rupture propagation direction. Gaps are spaces between coplanar faults, distinct from step-overs,
 91 where faults are not coplanar. Splays are locations where the fault branches. We also consider fault
 92 strands that are parallel to subparallel of the continuous, main rupture that are activated without
 93 the rupture reaching the terminus of the main fault.

94 From our maps, we estimate the passing probabilities of the different features as a function
 95 of their geometry, characterizing their potential as earthquake gates. Using these probability
 96 distributions, we analyze the joint probability of the observed breached gates and straight segments
 97 for each event and characterize the relationship of these probabilities to the observed surface
 98 rupture length.



99
 100 **Figure 1.** Geometrical complexity mapped in this study. (a) Simplified cartoon showing the
 101 features characterized. The black lines denote the surface rupture whereas the light gray lines
 102 represent the regional faults that did not rupture during the event. The widths, lengths, and angles
 103 measured are shown in teal for the breached features and in orange for the unbreached features.
 104 (b) Examples of breached features from the FDHI rupture map database (Sarmiento et al., 2021).
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106 What geometrical complexities act as earthquake gates?

107 We classify each mapped feature as breached or unbreached, depending on whether the
108 rupture propagated past the feature. To consider the size and geometry distribution of the
109 earthquake gates we map, we estimate empirical cumulative distribution functions (ECDFs) for
110 each population (Figure 2), separated into breached and unbreached groups, and restraining and
111 releasing categories when possible. We infer that features with statistically distinct breached and
112 unbreached populations are likely to act as earthquake gates, where passing probability is
113 conditional in part on geometry. We use the two-sample Kolmogorov-Smirnoff (KS) test to assess
114 whether different subset groups of an earthquake gate are statistically different. We use the p-value
115 derived from the test, which is the probability of rejecting the null hypothesis that samples in the
116 two subset groups were drawn from the same distribution. The convention here for statistical
117 significance is $p < 0.05$.

118 We mapped a total of 71 step-overs, where 26 are releasing and 45 are restraining. The
119 widest breached step-over is ~ 1.8 km wide and restraining. The breached and unbreached step-
120 over populations are distinct, though the restraining and releasing groups are statistically
121 indistinguishable (p-values of ~ 0.5 and 0.7 for breached and unbreached populations respectively).
122 We also map 7 strands, up to ~ 2 km away from the rupturing fault. We mapped a total of 130 gaps,
123 where only 5 were unbreached. The largest breached gap is ~ 15 km long. Despite the low number
124 of unbreached gaps mapped, the breached and unbreached ECDFs are statistically distinct (p-value
125 of 0.01). Mapping an unbreached gap requires the rupturing fault and faults of parallel strike ahead
126 of it to have been mapped in the regional map to a sufficient resolution to include gaps in the fault
127 system. The low number of unbreached gaps we map may reflect the limited resolution of
128 candidate, unactivated faults on available regional fault maps.

129 We map a total of 449 bends and analyze these separated into restraining versus releasing,
130 and single versus double categories (Figure 2). The largest breached single bend is $\sim 47^\circ$ and the
131 largest breached double bend is $\sim 42^\circ$. The breached and unbreached single and double bends are
132 statistically different ($p = 3 \times 10^{-17}$ and $p = 0.005$), but the breached restraining and releasing
133 populations are not (p-values of 0.1 and 0.7 for breached and unbreached respectively).

134 We map 47 splays. The angles of splays that were ruptured versus splays that were
135 bypassed cannot be separated by the KS test ($p = 0.7$). In most cases where a splay was activated,

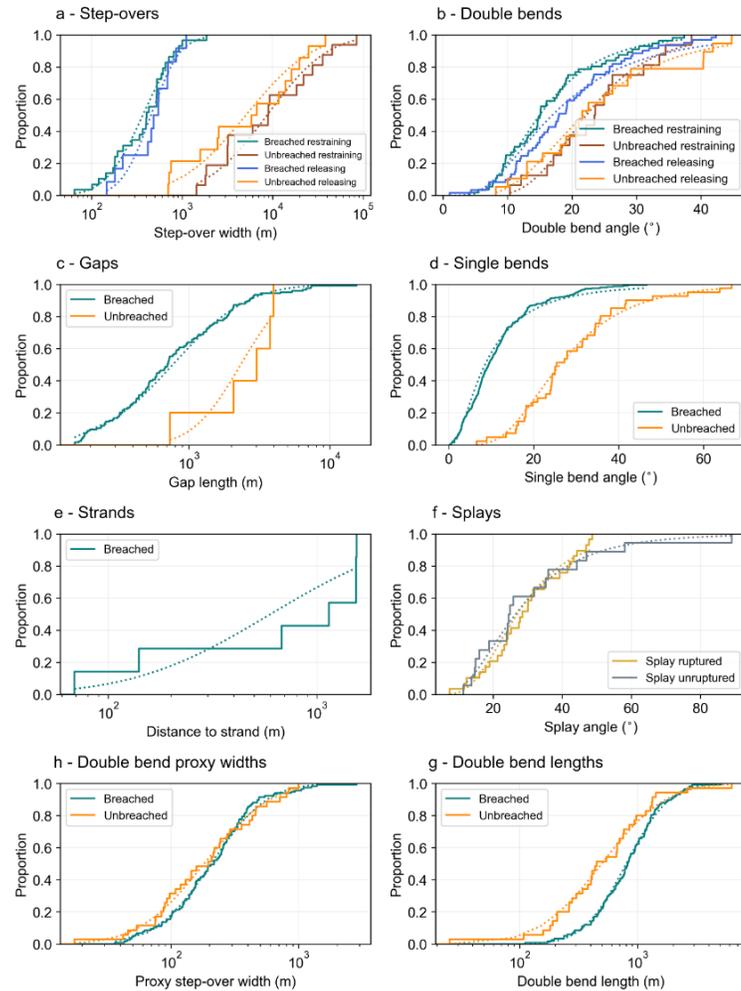
136 the rupture propagated less than 3 km onto the splay fault. Modeling studies suggest rupture arrest
137 at splays is related to the kinematics of the junction and the length of the fault branch (Poliakov et
138 al., 2002; Kame et al., 2004). Though we do not classify our splays into transpressional or
139 transtensional because the direction of rupture propagation is only known for some events, the fact
140 that we only observe two complete rupture arrests at splays suggests that the presence of a splay
141 plays a small role in the behavior of the rupture on the principal fault, despite the fact that most
142 splay branches mapped were relatively short, which should hinder rupture propagation by allowing
143 the two fault segments to interact as the rupture stops on the shorter one (Bhat et al., 2007). Overall,
144 our results suggest that splays do not play an important role in rupture arrest at the mapping scale
145 and that small splays may be surficial features without depth-persistence.

146 An important difference between characterizing step-overs from simplified rupture maps
147 and the detailed rupture maps in the FDHI database is that the simplified rupture maps may not
148 include linking structures. Breached step-overs wider than 2 km measured in previous work (e.g.
149 Lettis et al., 2002) are hard-linked by faults in the more detailed rupture maps. We classify these
150 hard-linked steps as breached double bends or splays, depending on what feature achieves the
151 linkage. This is the case for the steps along the Landers earthquake which are hard linked by splay
152 faults and were previously described as “complex step-overs” (e.g., Biasi and Wesnousky, 2016).

153 As part of their evolution, step-overs can become hard-linked by fault segments, evolving
154 into double bends (Figure S1). We analyze our bend population by looking at two additional
155 geometrical characteristics, a bend length (Lozos et al., 2011), and a proxy step-over width (Figure
156 S1). When we parameterize bends by length or proxy step-over width, we find no clear differences
157 between the breached and unbreached populations (Figure 2h, g). This suggests that step-overs
158 that evolve into double bends become mechanically different features with higher passing
159 probability for the same (proxy) width. An important implication of this observation is that the
160 hard linkage we observe at the surface may persist at depth. This supports that earthquake gates of
161 small dimensions can span the entire seismogenic zone and play a role in modulating rupture
162 dynamics.

163 Rupture termination sometimes occurs on a straight portion of a fault, absent an observed
164 earthquake gate, where the active fault continues for at least one kilometer past the rupture tip.

165 This is the case for ~20% of the rupture termini in this study, comparable to the 10% of Biasi and
 166 Wesnousky (2016), who used a five-kilometer threshold for rupture continuation.



167

168 **Figure 2.** Empirical cumulative distribution function for the features mapped in this study (solid)
 169 and log-normal cumulative distribution fit for each ECDF (dotted). a: Restraining and releasing
 170 step-overs, parameterized based on width. b: Restraining and releasing double bends,
 171 parameterized based on angle. c: Gap length. d: Single bends, parametrized based on angle. e:
 172 Strands, parametrized based on their distance to the principal fault. f: Splays, separated into
 173 ruptured or unruptured and categorized by angle. g: Double bend proxy step-over width (Figures
 174 1 and S1). h: Double bend length.

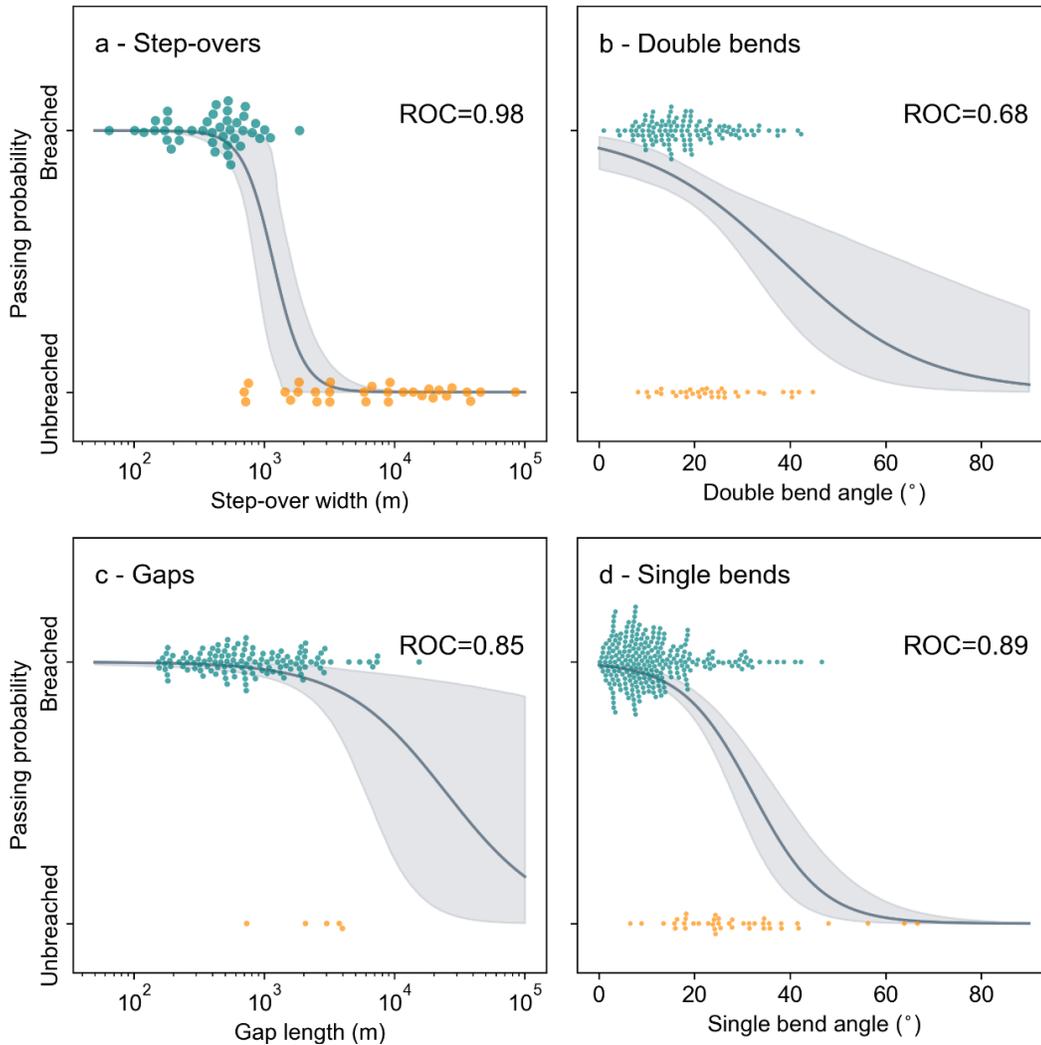
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176 Passing probabilities of earthquake gates

177 Step-overs, gaps, and bends have statistically different breached and unbreached
178 populations, acting as earthquake gates. We estimate passing probability as a function of geometry
179 using a logistic model. This model describes the probability of a binary outcome (breached versus
180 unbreached) as a continuous function of the geometrical properties of an earthquake gate, without
181 requiring arbitrary binning of the data (see supplementary methods). We use unweighted logistic
182 regressions despite the number of features in the breached and unbreached classes being different
183 in the gaps and bends groups. We do this because, especially for the bends, the range of breached
184 and unbreached bend angles largely overlaps, so that the relative frequency of breached and
185 unbreached features is what distinguishes the two groups. Weighting the data inversely by
186 frequency would obscure this effect.

187 Because restraining and releasing features are not statistically different, we combine these
188 groups when estimating passing probabilities. Our logistic models (Figure 3) suggest that step-
189 overs wider than ~ 1.2 km will be breached less than half of the time. Step-overs >5 km will be
190 breached $<1\%$ of the time, consistent with the fact that they are not observationally documented
191 without linking structures in the rupture maps. The logistic models predict that gaps longer than
192 ~ 24.5 km will be breached less than half of the time. This distance is considerably larger than for
193 step-overs, which we interpret as evidence that the absence of sufficient unbreached gap
194 measurements precludes a robust estimate of passing probabilities for gaps, or that gaps are not
195 earthquake gates. Double bends $>38^\circ$ and single bends $>32^\circ$ are predicted to be breached less than
196 half of the time.

197 We assess the performance of our logistic regressions using an ROC score and confusion
198 matrix (Pedregosa et al., 2011, supplementary methods, Figures 3 and S3). Both metrics support
199 that step-over width is a strong predictor of rupture arrest. The logistic regressions struggle to
200 predict unbreached bends well. This is because the populations of breached and unbreached bends
201 largely span the same bend angles and are only separated by the changes in the breached and
202 unbreached frequency of that angle, which makes it difficult to predict with a binary classifier.
203 Therefore, at the mapping scale, only large bend angles ($>40^\circ$) consistently halt earthquake
204 ruptures.



205

206 **Figure 3.** Logistic regressions (gray) showing the passing probabilities of geometrical features.
 207 The data are shown as beehive plots, which show all data points in each classification, breached
 208 in teal and unbreached in orange. Restraining and releasing features are combined (shown
 209 separately in Figure S2). a: Passing probability as a function of step-over width. b: Passing
 210 probability as a function of double bend angle. c: Passing probability versus gap length. d: Passing
 211 probability as a function of single bend angle. The gray shading shows the 95% confidence interval
 212 calculated by bootstrapping.

213 Biasi and Wesnousky (2016) predict step-overs wider than 3 km will be breached <50% of
 214 the time. Three kilometers exceeds our largest observed breached step-over, which is ~1.8 km
 215 wide. Biasi and Wesnousky (2017) also predict that bends sharper than 25° bend will be breached
 216 <50%. These finding are consistent with the estimate of Ozawa et al. (2023) using quasi-dynamic

217 rupture models (Figure S4). We predict much larger passing probabilities of ~70% for single and
 218 double bends of that size. The differences between our passing probabilities and those in previous
 219 work arise from the use of different rupture maps (simplified versus not) and mapping at a finer
 220 scale. Mechanically, breaching the larger bends we map may require a locally heterogeneous stress
 221 field, as the large angle change would make the bend segment very incompatible with a uniform
 222 stress field, even at low static friction values (Biasi and Wesnousky, 2017). A change in fault rake
 223 from strike-slip to dip-slip could also explain larger bend angles but we lack the data to test this
 224 option (see methods). Nevertheless, the fact that releasing and restraining features are statistically
 225 indistinguishable (Figure 2) is also consistent with a locally heterogeneous stress field, since
 226 homogeneous stress fields consistent yield distinctly different behavior for restraining and
 227 releasing features (e.g. Lozos et al., 2011).

228 Whether surficial fault geometry corresponds to that at depth is a challenge for using
 229 surface rupture maps to understand the physics of earthquake propagation. The different breached
 230 and unbreached populations and associated passing probabilities we obtain suggest a correlation
 231 between fault geometry at the surface and rupture propagation at depth. Together with the
 232 difference in rupture behavior through step-overs and double bends of the same dimensions, this
 233 suggests that the features we map at the surface, of 100-500 m length scales, extend downdip to
 234 the seismogenic zone.

235 **Geometrical controls on surface rupture length**

236 For each of the events examined, we model an event likelihood that reflects the pre-existing
 237 geometrical complexity in the hosting fault system as measured on the surface. We model event
 238 likelihood as the joint likelihood of continuing past the collective straight fault segments, $p(L)$,
 239 and breaching n gates each with passing probability p_i in an event: $P_{EQ} = P(L) \prod_{i=1}^N p_i$. We
 240 assume a constant chance of arrest at any point along without barriers and that the probabilities of
 241 stopping at different barriers are independent. Accordingly, the probability that segments reach a
 242 certain length in the absence of gates is the survival function of the exponential distribution,
 243 $p(L) = e^{-\lambda L}$ where L is the rupture length, and $\lambda = 1 \times 10^{-5}$ arrests/m is calculated by
 244 dividing the total number of arrests on straight segments by the total rupture length of all events.
 245 We derive passing probabilities for each feature as a function of its geometry from our logistic

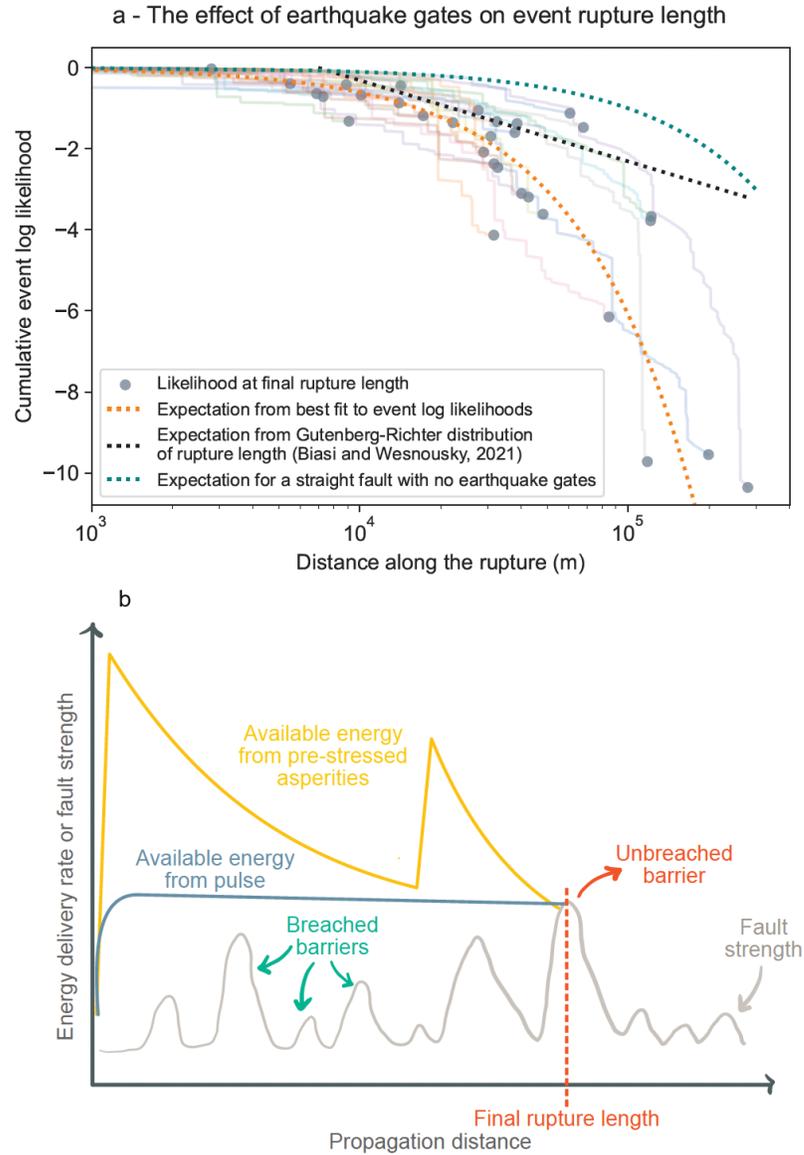
246 models (Figure 3). We exclude gaps from the likelihood estimates given the small number of
247 unbreached gaps sampled and the fact that they do not clearly behave as gates.

248 To investigate the relationship of rupture length to event likelihood, we compute
249 likelihoods as cumulative probabilities along each mapped rupture (Figure 4a), following a similar
250 approach to Biasi and Wesnousky (2021). As ruptures encounter earthquake gates, the cumulative
251 log-likelihood of each event decreases. Because these ruptures are long, gates with high passing
252 probabilities contribute largely to reducing the event likelihood, even if their role in rupture arrest
253 is unlikely. The final likelihood of each event is well related to the rupture length exponentially
254 (Figure 4a), where the average spacing between neighboring gates is ~ 2 km (Figure S5).

255 Earthquake scaling is typically considered in the context of the Gutenberg-Richter
256 relationship, which predicts a power-law relationship between event frequency and rupture length
257 (Figure 4a). Like in previous work on deriving probabilities from surface ruptures, the likelihood-
258 length relationship does not match this prediction (Biasi and Wesnousky, 2021). With independent
259 stopping probabilities at earthquake gates, as is inferred here, event likelihood will follow an
260 exponential relationship, as opposed to a power law. To produce a power-law relationship would
261 require that passing probabilities increase with rupture length, which is not supported by the
262 observed distribution of earthquake gates (Figures 4a and S5). The Gutenberg-Richter relationship
263 is defined for a population of earthquakes but may not fully describe the behavior of individual
264 faults. Instead, each fault appears to have its own set of earthquake gates that contribute towards
265 limiting rupture length. The possibility of non-Gutenberg-Richter behavior on a single fault is
266 well-supported in the geological literature for surface-rupturing earthquakes (e.g. Schwartz and
267 Coppersmith, 1984) but contrasts from the Gutenberg-Richter behavior associated with small
268 earthquakes on single faults (Shelley et al., 2016). The distinction may have to do with the
269 energetics of small versus seismogenic zone spanning events.

270 In this dataset, earthquakes often ended at barriers, where $\sim 80\%$ of the rupture
271 termini occurred at earthquake gates, supporting that barriers play a fundamental role in rupture
272 arrest (Aki, 1979, 1989; King and Nabelek, 1985; Klinger et al., 2006; Rockwell and Klinger,
273 2013). The distribution of breached barriers documented here also provide guidance on the
274 appropriate model for rupture growth and propagation. An end-member model arising from linear
275 elastic fracture mechanics is a crack with a uniform pre-stress in an infinite space, where the elastic

276 energy delivery rate would increase with rupture propagation length (e.g., Freund, 1998). In this
277 model, stronger barriers would be required to stop rupture with greater propagation distance. We
278 do not find a correlation between event size and barrier size, or barrier size along the rupture
279 (Figures S6, S7 and S8). Therefore, this end-member is likely not appropriate and some
280 heterogeneity in the stress field is required. An alternative crack-model with pre-stressed asperities
281 results in a variable energy delivery rate (Lay and Kanamori, 1981; Li et al., 2023). Under this
282 model, the available elastic energy is supplied by the asperities and decreases as the rupture
283 propagates into regions with smaller pre-stress (Figure 4b). Seismological evidence supports that
284 large surface rupturing events may be fueled by several asperities along the rupture (e.g. Li et al.,
285 2023). This model predicts that larger gates would be breached in proximity to asperities, where
286 the energy delivery rate is largest. We find no relationship between the geometry of breached gates
287 and the distance to the event epicenter or the amplitude of the displacement, proxies for the
288 locations of asperities (Figures S9 and S10), though the displacement data is limited for older
289 events and certain regions. Pulses offer a third alternative. Ruptures tend to propagate as pulses
290 once the seismogenic zone has been saturated (e.g. Heaton, 1990; Melgar and Hayes, 2017; Weng
291 and Ampuero, 2019), which would result in a constant energy release rate under a homogeneous
292 stress field. This model is consistent with the lack of correlation between breached gate size and
293 location along the rupture, but incomplete, as some of our observations require a heterogeneous
294 pre-stress distribution (e.g., large breached bend angles and indistinguishable releasing and
295 restraining features). A propagating pulse encountering a collection of asperities of variable size
296 that provide a variable energy delivery rate can explain both the observations requiring a
297 heterogeneous pre-stress on the fault, and the absence of strong spatial relationships for the
298 distribution of breached earthquake gates on the fault. Dynamic rupture models incorporating a
299 distribution of earthquake gates similar to that described may provide a future test of this hybrid
300 model.



301
 302 **Figure 4.** a: Cumulative event likelihood versus distance along the surface rupture. Each colored
 303 line represents one event. The scattered dots indicate the event likelihood at its final rupture length.
 304 The rupture lengths are based on the FDHI event coordinate system (ECS) reference lines
 305 (Sarmiento et al., 2021). The orange line represents the best fit to the final event likelihoods. The
 306 black line represents the predicted decrease in event likelihood with rupture length using the
 307 Gutenberg-Richter relationship for magnitude scaling. All likelihoods estimated using base e . b:
 308 Schematic cartoon of how an earthquake gate will bring rupture to arrest, conditional on the
 309 available elastic energy being lower than the strength of the barrier. Schematic elastic energy for
 310 a crack with two pre-stressed asperities and a pulse in a homogeneous stress field shown.

311 When an earthquake terminates at a barrier, elevated residual stresses, if not relaxed, can
312 promote rupture propagation past the barrier in a future event. This behavior is observed in multi-
313 cycle rupture models (e.g., Duan and Oglesby, 2006; Molina-Ormazabal et al., 2023), laboratory
314 experiments (Cebry et al., 2023), and inferred from the occurrence of aftershocks at barriers where
315 ruptures terminate (Aki, 1979). Earthquake gates may therefore act as a barrier during an event,
316 and as an asperity in a future one. The data in this study only permit assessing the behavior of
317 individual gates over one earthquake cycle, but considering the data together offers insights into
318 the frequency over which earthquake gates may act as an energy source, overlapping with locations
319 of high slip on the fault, or energy sinks, overlapping with locations of low slip. We find that most
320 of the large earthquake gates correspond with locations of low slip (Figure S10), consistent with
321 ubiquitous barrier behavior, though small gates span a wide range of slip values. The very rare
322 overlap of high slip values and unbreached earthquake gates suggests that, while earthquake gates
323 may also act as asperities, this relationship is not frequent enough or the effect sufficiently large
324 to stand out in our surface-rupture dataset. This is consistent with recent experimental work by
325 Cebry et al. (2023), which showed that a high normal stress bump (a bend) behaved most
326 frequently as a barrier but occasionally as an energy source, or asperity.

327 **Conclusions**

328 We map step-overs, bends, gaps, splays, and strands along the surface rupture maps of 31
329 strike-slip earthquakes at 1:50,000 scale, labeling these features as breached and unbreached. We
330 use these measurements to fit a logistic model to each feature that estimates passing probabilities
331 as a function of geometry. Step-over width as measured at the surface is an excellent predictor of
332 arrest. Bend angle is a worse predictor, although the ratio of unbreached to breached bends
333 increases consistently with increasing bend angle. The fact that gates are preferred stopping points
334 provides evidence that the surficial features can persist to depth. A more direct test of this idea is
335 provided by the different behavior of step-overs and double bends of the same (proxy) width,
336 which suggests that step-overs persist as discrete unlinked fault strands at depth. Our results call
337 for models with geometrically complex faults consistent with our mapping scale to explore what
338 dynamic rupture conditions may match our passing probabilities.

339 We use earthquake gate passing probabilities in each event to build an empirical model for

340 the growth and arrest of large earthquakes given the complexity of the hosting fault system. The
341 cumulative event likelihood tabulated along rupture strike supports a barrier model as a factor in
342 controlling earthquake size, where relatively straight fault segments are bounded by geometrical
343 barriers that must be breached for the rupture to continue growing.

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349

350 **Open Research**

351 The rupture maps are available from the FDHI database (Sarmiento et al., 2021), accessed
352 May 2022. Data and code can be accessed at [Data and code](#). All materials will be transferred to
353 a Zenodo repository for permanent storage following acceptance.

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Geophysical Research Letters

Supporting Information for

The influence of earthquake gates on surface rupture length

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Rupture termini documentation

Earthquake gate maps for each event

Introduction

This file contains the mapping method followed, supplementary figures S1 to S11, table S12, and the maps of each event and its corresponding earthquake gate. The maps are generated in 30 x 30 in files at 300 dpi so that they can be easily zoomed into and examined.

30 **Supplementary Methods**

31 **Earthquake Gate Mapping**

32 We choose to focus on strike-slip events because vertically dipping faults tend to remain
33 constant in dip with depth so that surface geometry, besides fine-scale heterogeneity, can be used
34 as a proxy for the geometry at depth. We rely on the surface rupture maps compiled in the Fault
35 Displacement Hazard Initiative (FDHI) database (Sarmiento et al., 2021). At the time of access for
36 this manuscript (May, 2022), the database encompassed sixty-six, globally distributed, surface
37 rupturing earthquakes (M_w 5-8), of which thirty-one are strike-slip. The database includes surface
38 rupture maps for each event, where ruptures are classified as primary or distributed, displacement
39 measurements, and additional information, such as lithology or slope. Surface ruptures are mapped
40 to 1-meter precision in the database, though individual maps differ in the level of detail captured
41 in the surface rupture. This variability is in part related to the different degrees of complexity in
42 the hosting fault system, and in part a result of differences in mapping methods and extent across
43 ruptures.

44 We map earthquake gates from the surface ruptures in the FDHI database at a 1:50,000
45 scale, which roughly corresponds to mapping features with lengths exceeding 100-500 meters. At
46 this scale, we expect the level of detail across ruptures to be roughly comparable. The surface
47 rupture maps in the FDHI database include ruptures classified as principal and distributed. To
48 ensure that we only include primary faults, which are the seismogenic structures in the events in
49 our analysis, we consider the ruptures characterized as principal in the database. This also allows
50 for comparison across events with different spatial coverage of the off-fault deformation field.

51 Prior work has either relied on simplified rupture maps (e.g., Wesnousky, 2006) or
52 simplified ruptures to segments long enough (~ 7 km) to make results commensurable with
53 UCERF3 model discretization and comparable to standard fault maps (Biasi and Wesnousky,
54 2017, 2021). We map earthquake gates directly from the surface rupture maps, without simplifying
55 the rupture traces. An important consequence of our scale of choice (1:50,000) is that larger
56 features (for example, the large, regional-scale releasing bend in the Balochistan earthquake which
57 spans 6 km) are mapped into its smaller constituents that occur at the mapping scale (i.e. several
58 shorter bends that make up the regional one). Our scale of choice results in the mapping of smaller
59 step-overs that were previously not classified in prior work due to their small size but does not

60 influence the maximum breached step-over width that can be measured as long as the step is not
61 hard-linked, in which case it would be mapped as a bend or a splay.

62 We characterize gates as restraining or releasing when possible, depending on the
63 volumetric deformation fostered by the type of slip and the geometry of the fault segments. To do
64 this, we assume all fault segments involved in the rupture have strike-slip kinematics consistent
65 with the focal mechanism for the event. At large scales, this is a reasonable approximation for all
66 the strike-slip ruptures in the FDHI database except for the Denali earthquake, from which we
67 remove the portion of the rupture that occurred on the Susitna Glacier Thrust, where the earthquake
68 initiated (e.g., Crone et al., 2004). However, at finer scales, including our mapping scale,
69 transitions from strike-slip to more oblique or vertical slip can lead to larger bend angles. We do
70 not account for this limitation due to the absence of information to do so consistently for all events,
71 following the rationale of Biasi and Wesnousky (2017).

72 A portion of the Kobe earthquake ruptured offshore and is not available in our map, with
73 the section being onshore also being only a partial rupture to the surface, resulting in comparatively
74 short surface rupture for the event magnitude. Incomplete rupture to the surface is also a limitation
75 that applies to the smaller magnitude events considered here, such as the Chalfant Valley
76 earthquake.

77 We characterize five different types of earthquake gates in this study: step-overs, gaps,
78 bends, splays, and strands (Figure 1). We distinguish between breached features where the rupture
79 transferred through and continued for at least 1 kilometer, and unbreached features, where the
80 rupture halted immediately or within 1 km past the gate. For the case of splays, we classify cases
81 where the rupture transferred onto a splay (regardless of whether it also continued on the main
82 fault), as ruptured and instances where an available intersecting splay fault was foregone as
83 unruptured. Note the use of different terminology from breached and unbreached to indicate that
84 at least one fault strand was always active past the splay (Figure 1).

85 For each of the gates of interest, we measure the relevant geometrical attribute. For bends
86 and splays, this is the bend angle, which is the difference between the fault strike as it enters the
87 feature and the fault strike as it exits the feature. In the case of multi-stranded bends, we map the
88 bend strand with the smallest angle. We distinguish between single bends, where the fault strike
89 changes once, and double bends, where the fault strike changes for a segment and then returns to
90 the original strike (see examples in Figure 1). Because natural double bends have angles that are

91 not perfectly identical on each side of the bend limb, we take the average of the two angles. In
92 most cases, the angle difference between the two angles is well below 10 degrees. Step-overs occur
93 where a fault ends and the rupture is forced to jump onto a neighboring segment or come to arrest.
94 We also map locations where the rupture activates parallel to subparallel neighboring fault strands
95 without reaching the terminus of the principal fault. By definition, strands may only exist as
96 breached features, as there was no fault terminus that forced a jump. For step-overs and strands,
97 we measure the distance between parallel or subparallel fault segments at their minimum,
98 orthogonal to the fault segments when possible. For gaps, we measure the length of the gap
99 between the active rupture and another fault, or between parts of the active rupture if breached, in
100 the fault-parallel direction. Note that we do not have the ability to distinguish gaps that represent
101 pauses on the rupture on the same fault versus gaps that represent the spacing between two
102 sequential faults of parallel strike.

103 We rely on different active fault databases to characterize unbreached features, where we
104 measure the angle or distance between the ruptured fault and unruptured active faults in the
105 database. The reference databases we use are listed in Supplementary Table S11. For the United
106 States, the resolution of the regional faults associated with the events in this study in the Qfaults
107 database is comparable to the resolution of the primary rupturing faults in the FDHI database. For
108 the Darfield event in New Zealand, we use the NZAFD database, mapped at 1:250,000 (Langridge
109 et al., 2016). The Active Faults of Eurasia Database (AFEAD) database for Eurasia, which we use
110 for events in Turkey and Asia, is mapped at 1:500,000 scale (Bachmanov et al., 2021). Last, the
111 GEM database, which we use only for the San Miguel and Pisayambo earthquakes in Mexico and
112 Ecuador respectively, is mapped at 1:1,000,000 scale (Styron and Pagani, 2020). In the interest of
113 classifying unbreached features as restraining or releasing, when the inactive fault kinematics are
114 unknown, we assume these are the same as the rupturing faults'. When two unbreached step-overs
115 may be measured at a fault's terminus, we map both, following the choice of previous workers
116 (e.g., Wesnousky, 2006). Note that some events (e.g., Galway Lake and Ridgecrest foreshock)
117 have unbreached step-overs at both of their termini with the same fault (e.g., the faults in the
118 Landers event and the Garlock fault respectively), in which case both unbreached step-overs are
119 mapped. When a gap and a step-over of the same size exist, and one gets breached but the other
120 one does not, we map both the breached and unbreached features. The same occurs where there is
121 a bend but the rupture instead skips the bend and jumps ahead to a more straight portion of the

122 fault. This only occurs in the case of very similarly sized earthquake gates available at the same
123 location, otherwise, we only map the smallest gate present. We provide our mapped earthquake
124 gates as shapefiles (see data availability section) and shown over the rupture maps and regional
125 fault maps in this supplementary section.

126

127 **Passing Probability and Event Likelihood Estimates**

128 To determine whether the forms of geometrical complexity we map (Figure 1) act as
129 barriers to rupture propagation, we analyze the distribution of breached and unbreached gates in
130 terms of the geometrical attribute measured (angle or length). We look at the cumulative
131 distribution functions of breached and unbreached gates and use a Kolmogorov-Smirnoff (KS) test
132 to determine whether the breached and unbreached populations are statistically different.

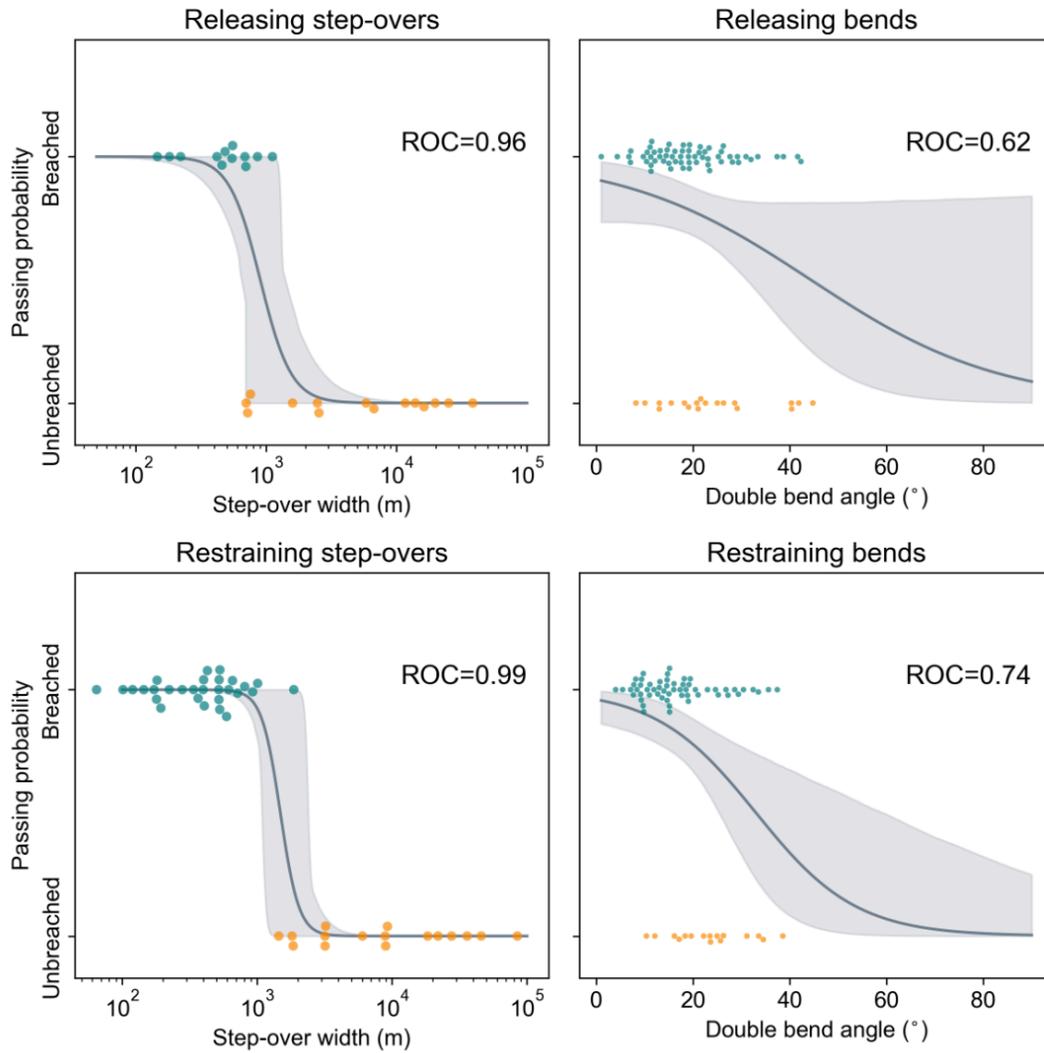
133 For those features where the breached and unbreached populations are statistically different
134 (Figure 2), we compute passing probabilities as a function of the geometrical characteristics of the
135 gate. To do so, we use a logistic function, which describes the probability of a binary outcome
136 (breached or unbreached) as a continuous function of the geometry of an earthquake gate. To fit
137 logistic regressions through our data, we use the Python package scikit learn (Pedregosa et al.,
138 2011). An advantage of using logistic regressions over past methods is that estimating probabilities
139 does not rely on arbitrary binning of the data. We evaluate the performance of our logistic models
140 for each type of earthquake gate using Receiver Operating Characteristic (ROC) scores and
141 confusion matrices, which is standard procedure for these models (Pedregosa et al., 2011). ROC
142 scores can range from 0.5 to 1, with increasing values indicating that more data points have been
143 correctly predicted by the logistic regression.

144 **Supplementary Figures**



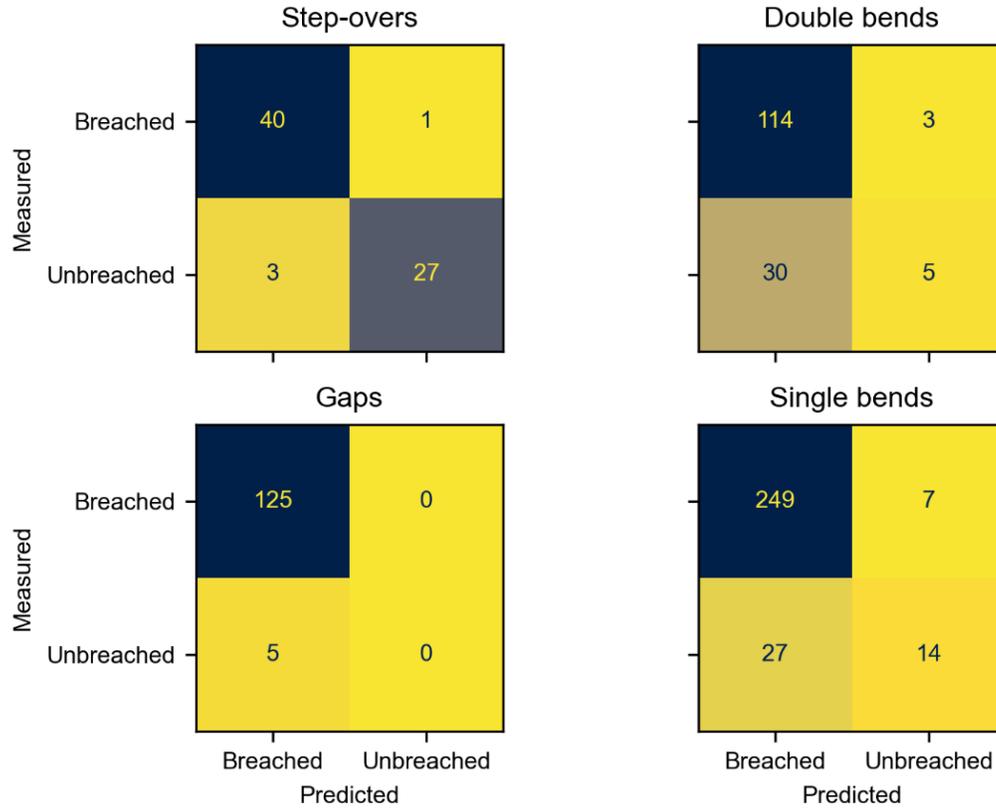
145

146 **Figure S1.** Releasing double bend from the 2014 Yutian earthquake. The rupture map is shown in
147 gray. The pink and purple lines show the bend length as defined by Lozos et al. (2011) and the
148 proxy step-over width respectively. The proxy step-over width is ~ 2.5 km wide.



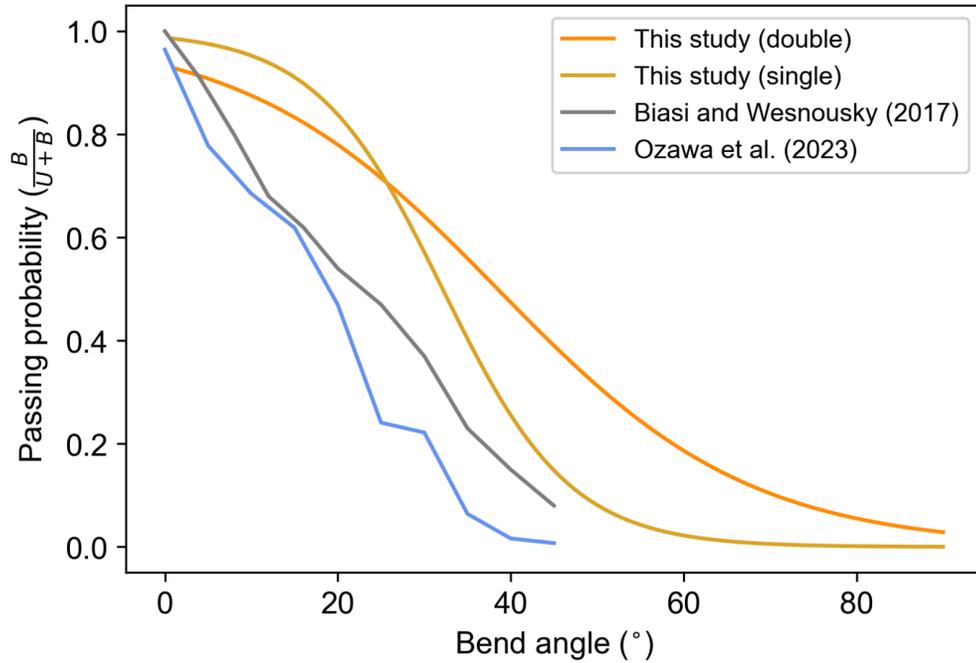
149

150 **Figure S2.** Logistic regressions (gray) showing the passing probabilities of restraining and
 151 releasing step-overs and double bends. The data are shown as beehive plots, which show all data
 152 points in each classification, breached in teal and unbreached in orange. The ROC score for each
 153 logistic regression is shown on the top right of each panel. Top and bottom left: Passing probability
 154 as a function of step-over width. Top and bottom right: Passing probability as a function of double
 155 bend angle. The gray shading shows the 95% confidence intervals of the regressions calculated by
 156 bootstrapping.



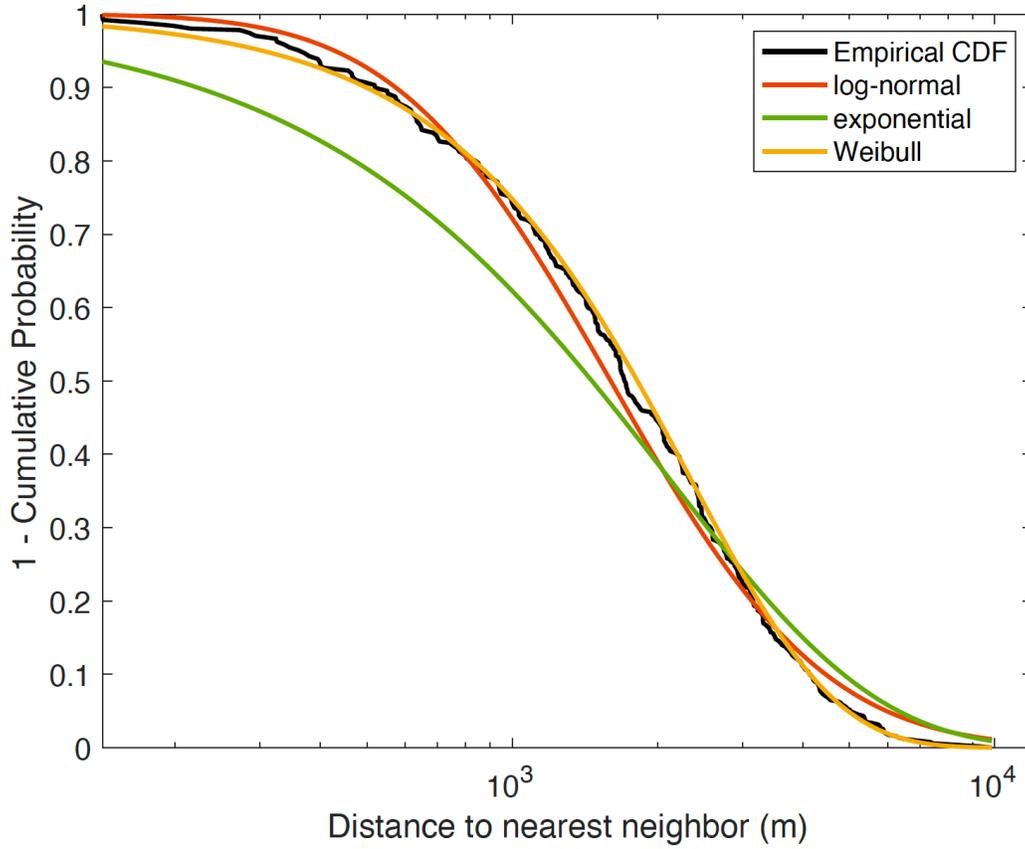
157

158 **Figure S3.** Confusion matrices for the logistic models for step-overs, single and double bends,
 159 and gaps in Figure 3. Darker colors in the matching diagonals indicate better diagnosis of the
 160 breached and unbreached features by the logistic fits.



161

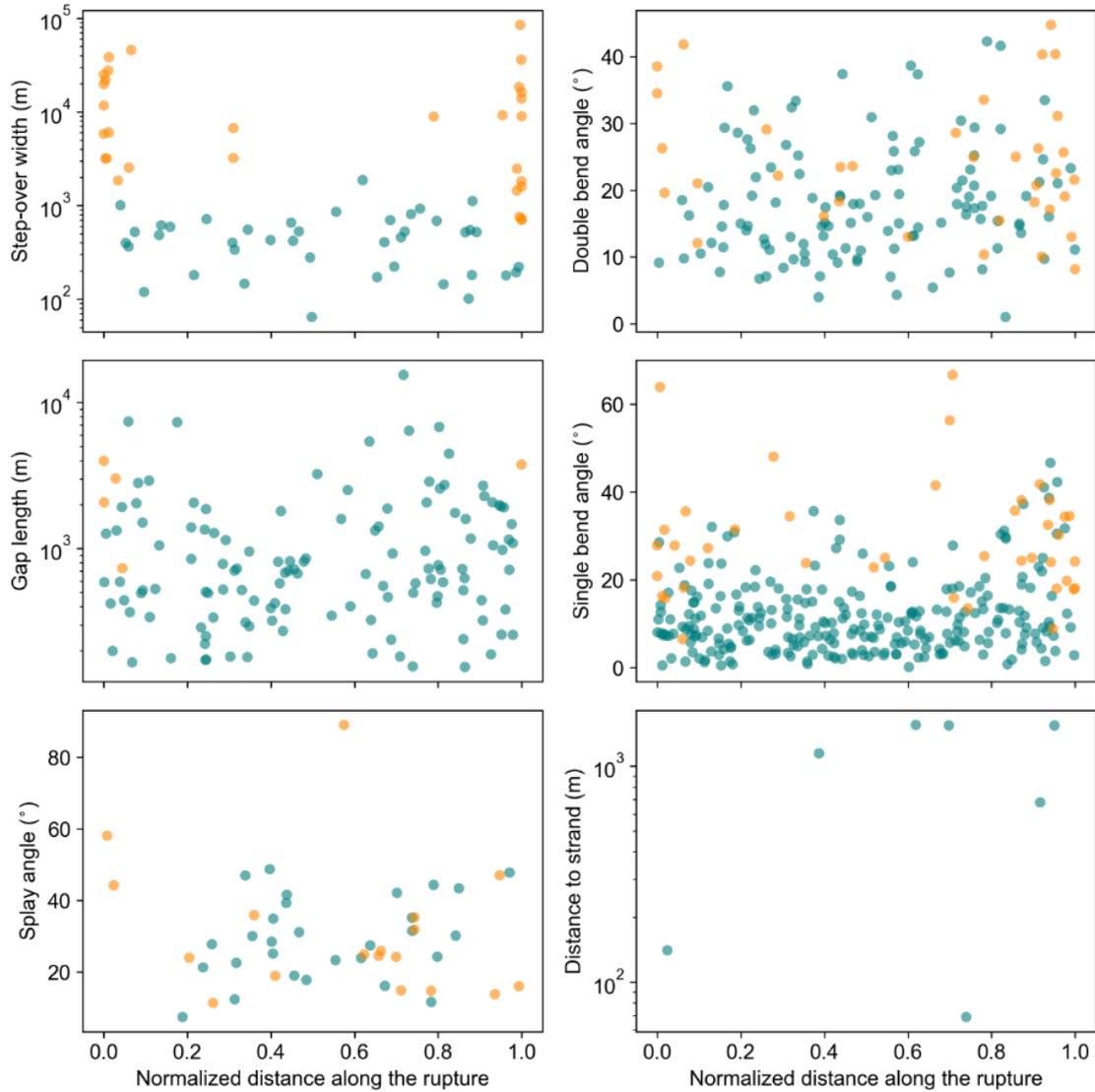
162 **Figure S4.** Comparison of the passing probabilities for different bend angles estimated in Biasi
 163 and Wesnousky (2017), Ozawa et al. (2023), and this study. Passing probability estimated as the
 164 number of breached bends per bin over the total number of bends in that bin in previous studies
 165 and with logistic regressions here. Note that the Biasi and Wesnousky (2017) passing probabilities
 166 include both single and double bends without discriminating between them, and the Ozawa et al.
 167 (2023) passing probabilities only include double bends.



168

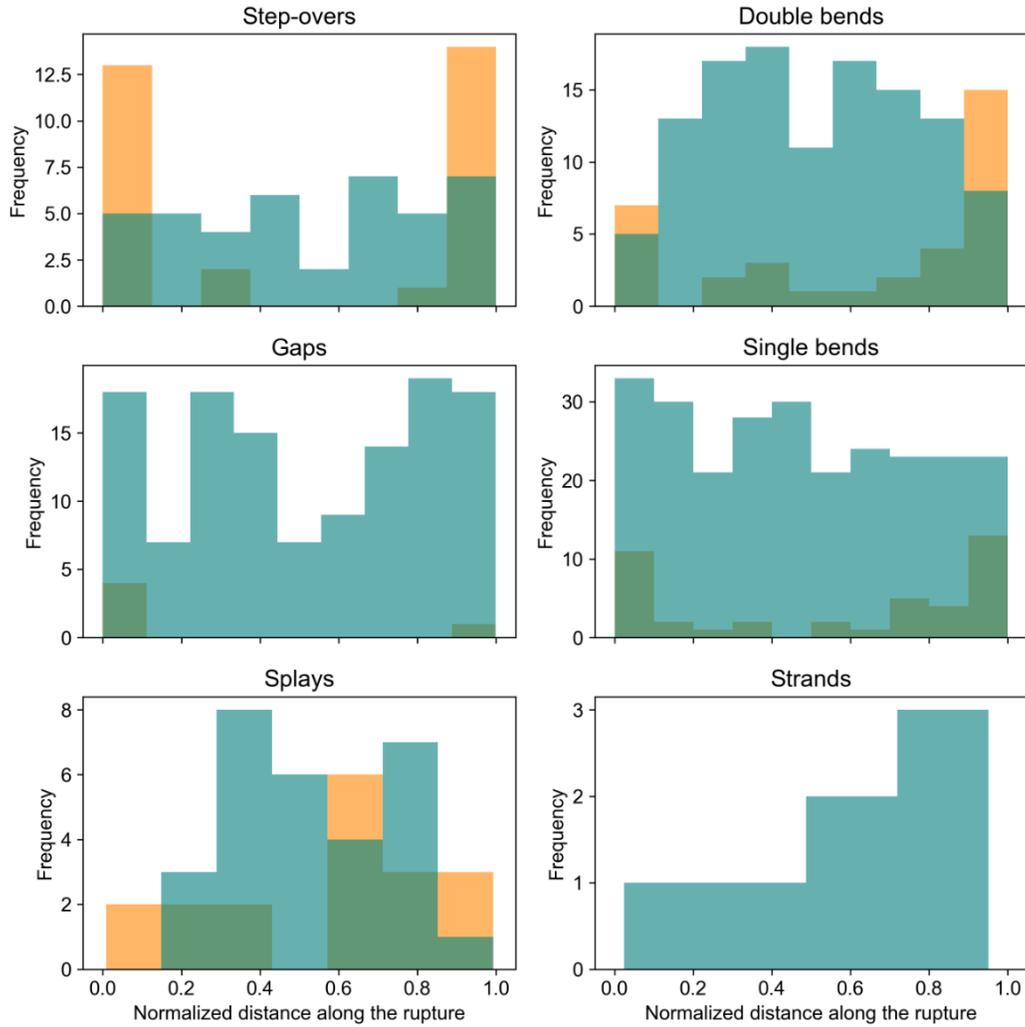
169 **Figure S5.** Empirical complementary cumulative distribution function of the distances to nearest
 170 neighbor for all breached earthquake gates. Complementary cumulative distribution functions for
 171 a log-normal, an exponential, and a Weibull fit are shown in orange, green, and yellow,
 172 respectively.

173



174

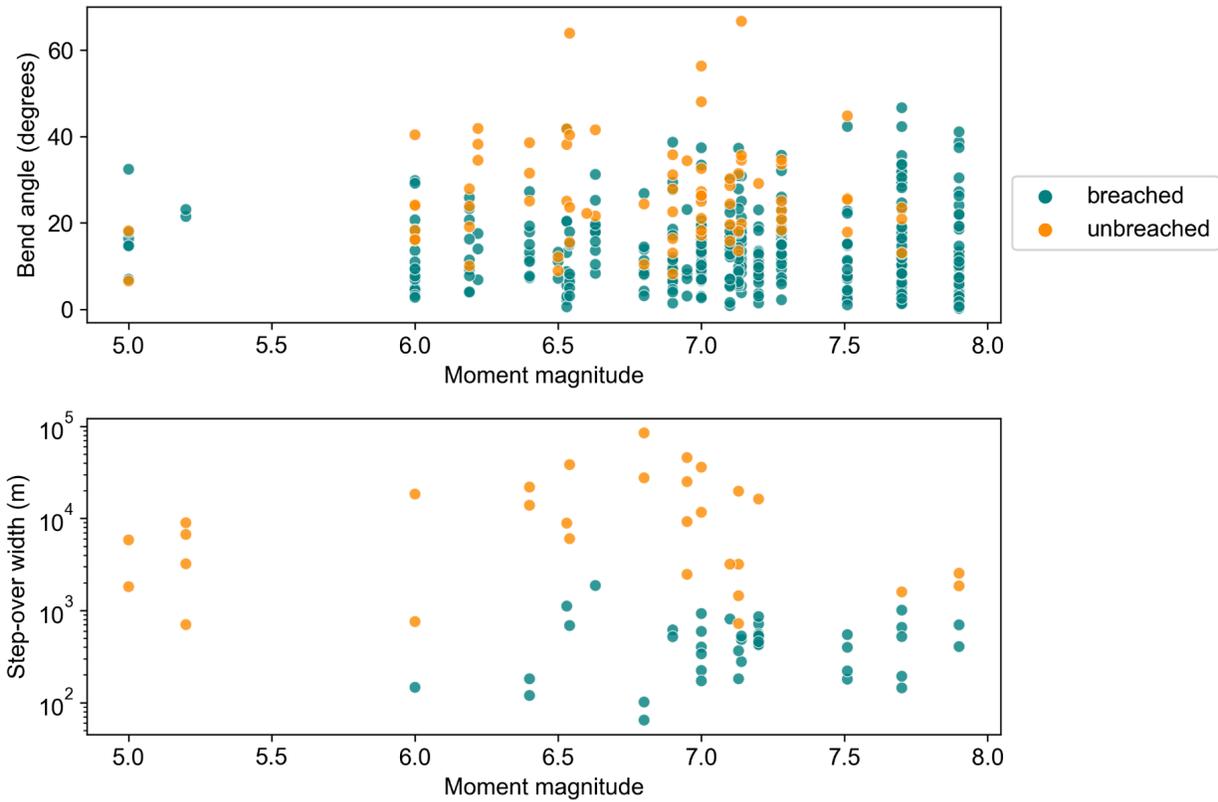
175 **Figure S6.** Distribution of breached (teal) and unbreached (orange) earthquake gates along the
 176 normalized surface rupture lengths of the 31 strike-slip events. The rupture lengths are based on
 177 the FDHI database event coordinate systems (ECS) reference lines (Sarmiento et al., 2021). There
 178 are some unbreached gates not at the edge of the ruptures. This is because, at some locations, there
 179 were two or more earthquake gates available, so that the gate the rupture continues past is mapped
 180 as breached and the remaining ones get mapped as unbreached (see methods for details).



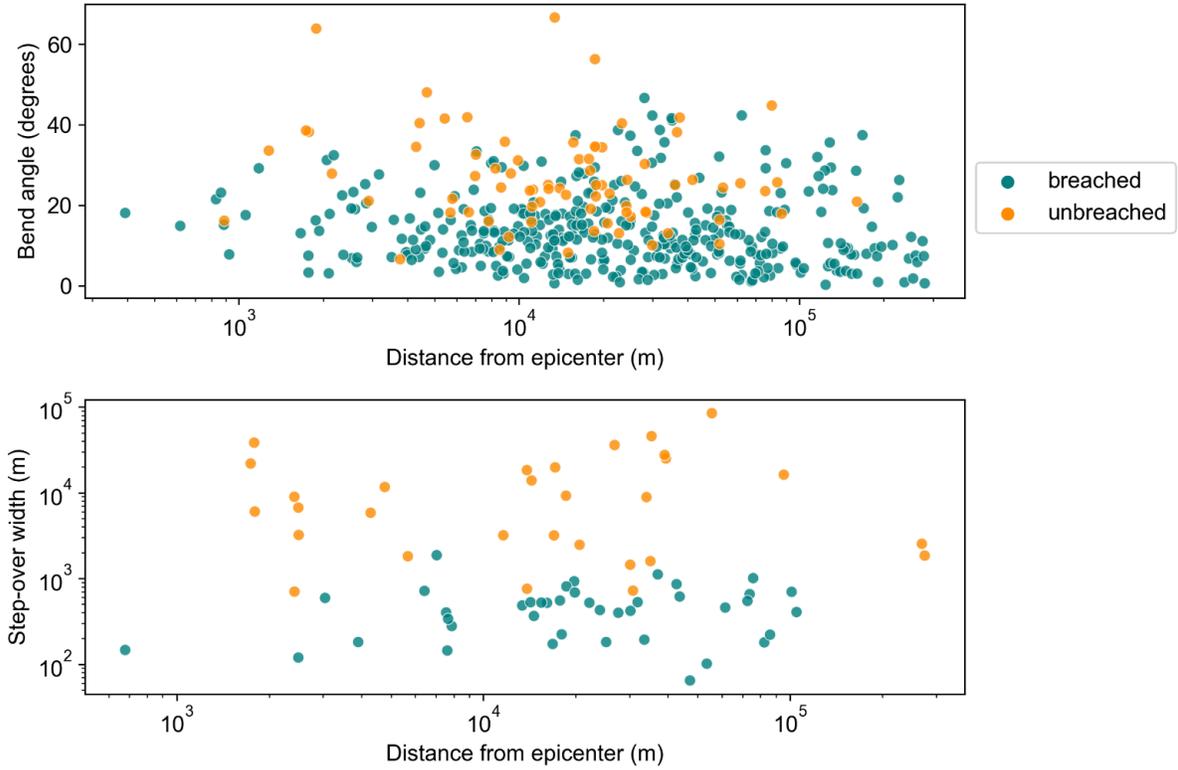
181

182 **Figure S7.** Frequency of earthquake gates, breached and unbreached in teal and orange
 183 respectively, along the normalized surface rupture length for each earthquake gate type.
 184 Transparency is used to allow for visualization of the unbreached boxes (orange). Because we do
 185 not consider rupture propagation direction, as it is unknown for many of the events, the orientation
 186 of the x axis of this plot does not carry meaning.

187

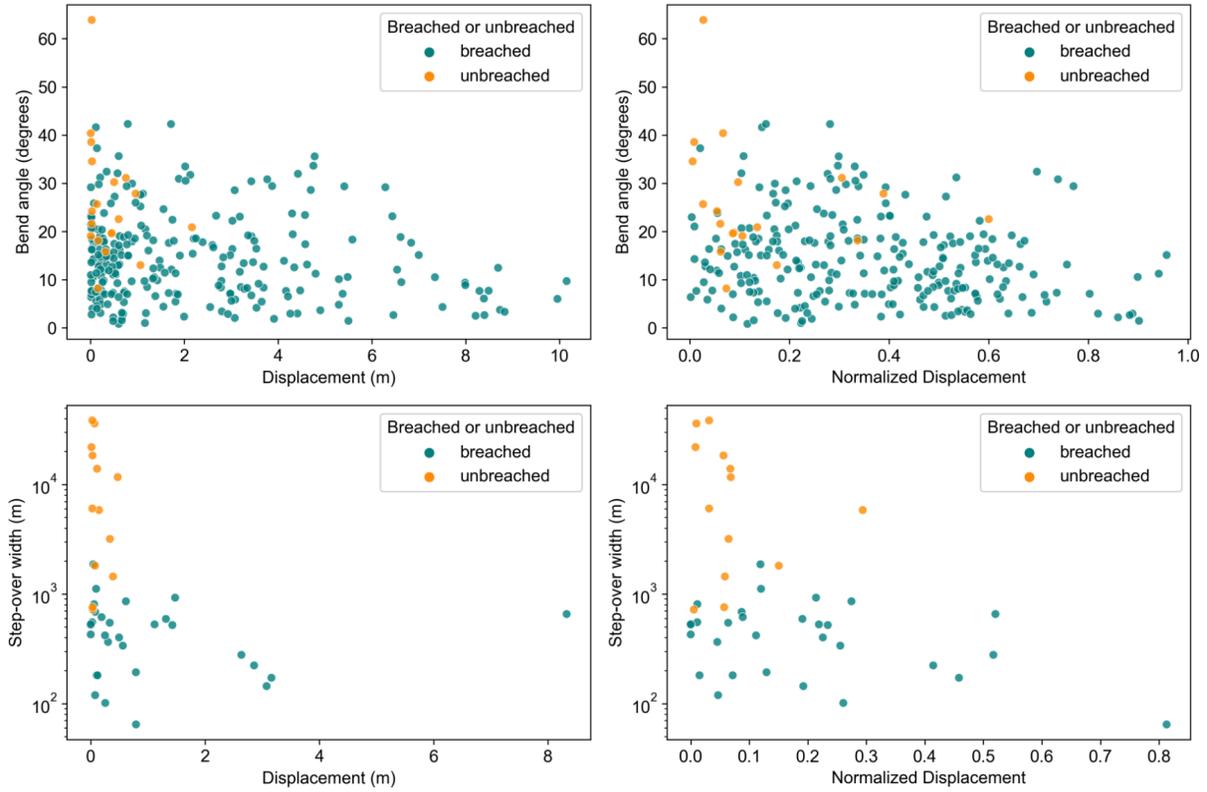


188
189 **Figure S8.** Bend angle (top) and step-over width (bottom) versus event moment magnitude for
190 each of the events considered in this study.
191



192

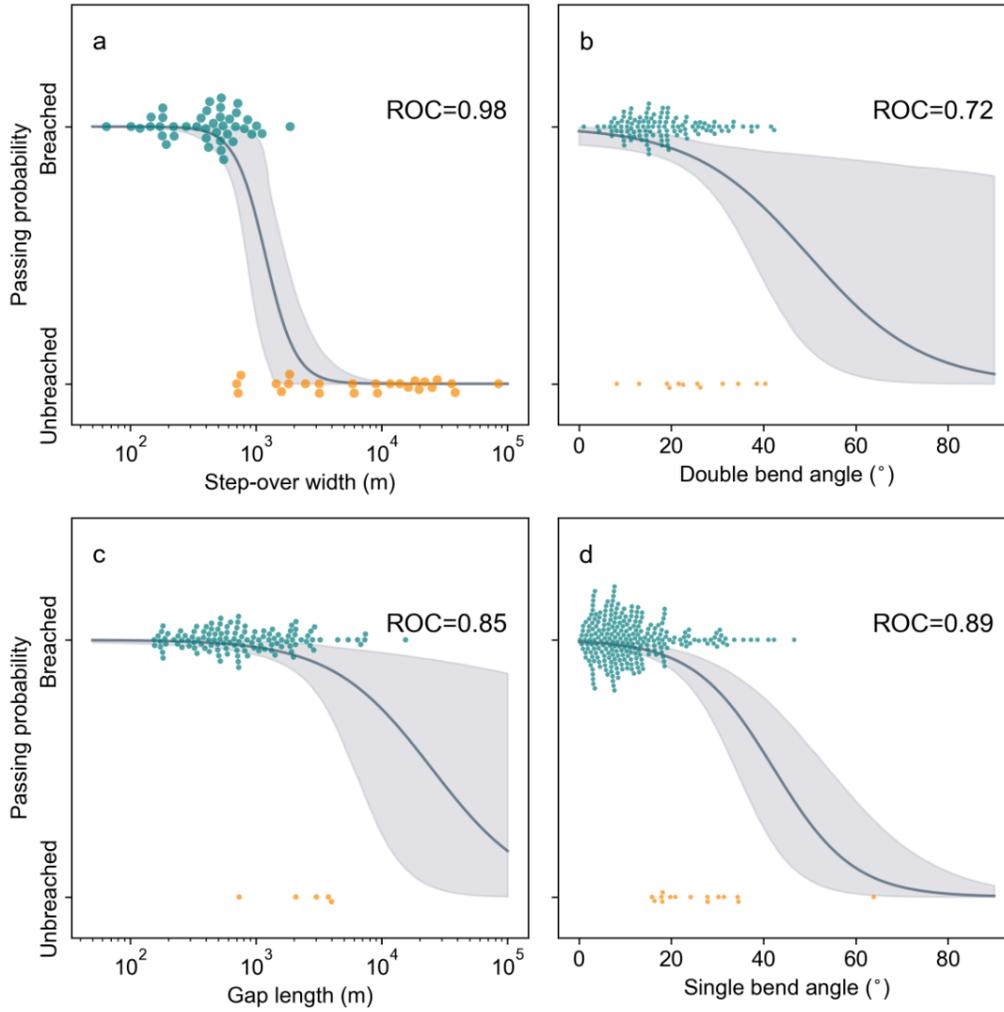
193 **Figure S9.** Gate size versus minimum distance to event epicenter. The event epicenters are sourced
194 from the FDHI database (Sarmiento et al. (2021)). Note some epicenters in the database are off-
195 fault.



196

197 **Figure S10.** Average slip at bends (top), including both single and double bends, and step-overs
 198 (bottom) as a function of bend angle and step-over width. The slip is computed as the average
 199 value for all slip measurements available within 500 meters of the earthquake gate. The plots on
 200 the left have the mean slip and the ones on the right have the mean slip normalized by the maximum
 201 slip of the event the gate was measured for.

202



203
 204
 205
 206
 207

Figure S11. Passing probabilities as a function of geometry including only unbreached earthquake gates at rupture termini (within 5% of the rupture length of each termini). All breached gates are included.

208

Reference Fault Map	Location	References
Quaternary Fault and Fold Database of the United States	United States	USGS and CGS
New Zealand Active Faults Database (NZAFD)	New Zealand	Langridge et al. (2016)
The Active Faults of Eurasia Database (AFEAD)	Europe and Asia	Bachmanov et al. (2021)
GEM Global Active Faults Database	Central and South America	Styron and Pagani (2020)

209

210 **Table S12.** Reference maps of active faults to measure unbreached feature characteristics with
 211 respect to.

1 Number of mapped features

Feature	Number mapped
Step-overs	71
Releasing step-overs	26
Restraining step-overs	45
Bends	449
Single bends	297
Double bends	152
Releasing double bends	80
Restraining double bends	72
Gaps	130
Splays	47
Strands	7

2 p-values from the ks tests

Feature A	Feature B	p-value from ks test
Breached double bend	Unbreached double bend	5.049231e-03
Breached single bend	Unbreached single bend	2.679407e-17
Breached step-over	Unbreached step-over	2.340031e-14
Breached gaps	Unbreached gaps	1.418856e-02
Breached splay	Unbreached splay	6.938317e-01
Releasing unbreached bend	Restraining unbreached bend	7.370006e-01
Releasing breached bend	Restraining breached bend	1.402596e-01
Releasing breached step-over	Restraining breached step-over	4.827584e-01
Releasing unbreached step-over	Restraining unbreached step-over	6.820546e-01

3 Passing probabilities from the logistic regressions

Feature	Closest geometry to passing probability = 50%	Units
Double bends	38	degrees
Single bends	32	degrees
Step-overs	1170	meters
Gaps	24500	meters

4 Passing probability on straight section

Feature	Passing probability per meter	Stopping probability per meter
Straight segment	0.99999	0.00001

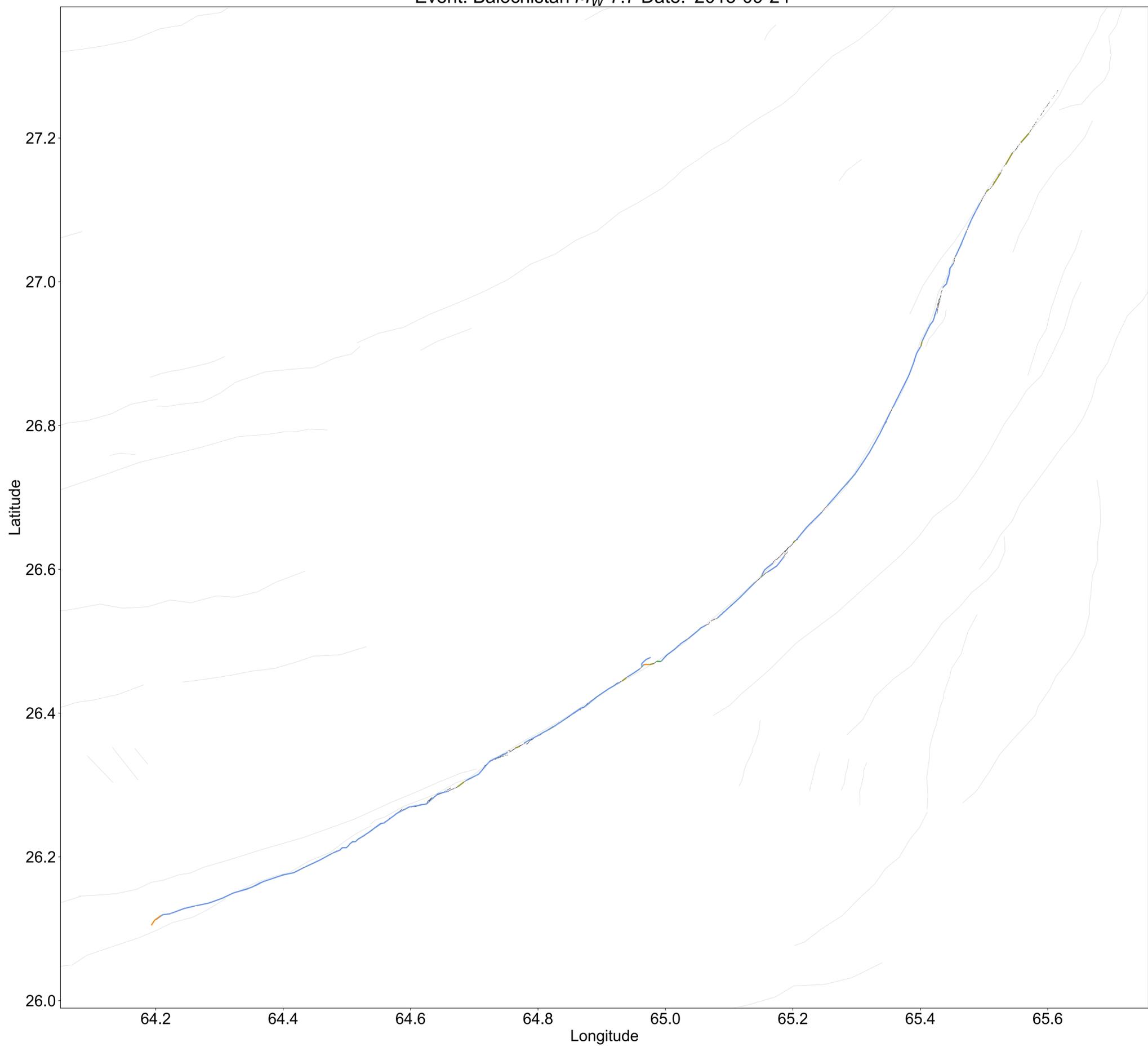
Event	Termini on straight segments/Total termini	Features at termini
1. Parkfield 1966	1/2	Bend
2. Izmit-Kocaeli	1/2	Bend
3. Landers	4/6	Bends
4. Hector Mine	0/3	Bends, step-overs, gap
5. Balochistan	1/2	Bend
6. Borrego	1/2	Bend
7. Imperial 1979	1/2	Bends, step-over
8. Superstition Hills	0/2	Step-overs, bends
9. Kobe	0/3	Bends
10. Denali	2/2	-
11. Duzce	0/2	Bends
12. Napa	0/3	Step-over, bends, gap
13. Yushu	0/2	Bends
14. Hualien	0/2	Bends
15. Darfield	0/2	Step-overs, bend
16. Galway Lake	0/2	Step-overs
17. Chalfant Valley	0/2	Bends
18. Zirkuh	1/2	Step-over
19. Ridgecrest (foreshock)	0/2	Step-overs, bend
20. Kumamoto	1/3	Bends
21. Ridgecrest (mainshock)	0/2	Step-over, bends
22. Imperial 1940	0/2	Step-overs, bends
23. San Miguel	0/2	Step-overs, bend
24. Yutian	1/2	Bend
25. Luzon	0/2	Bends, step-over, gap
26. Elmore Ranch	0/2	Bends
27. Pisayambo	0/2	Step-overs, bends
28. Izu Peninsula	0/2	Bends
29. Izu Oshima	1/2	Bend
30. Neftegorsk	0/2	Bends
31. Parkfield 2004	1/2	Bend
All events	16/70	-

Table 1: Number of termini on straight fault segments and on earthquake gates for the events on the FDHI database.

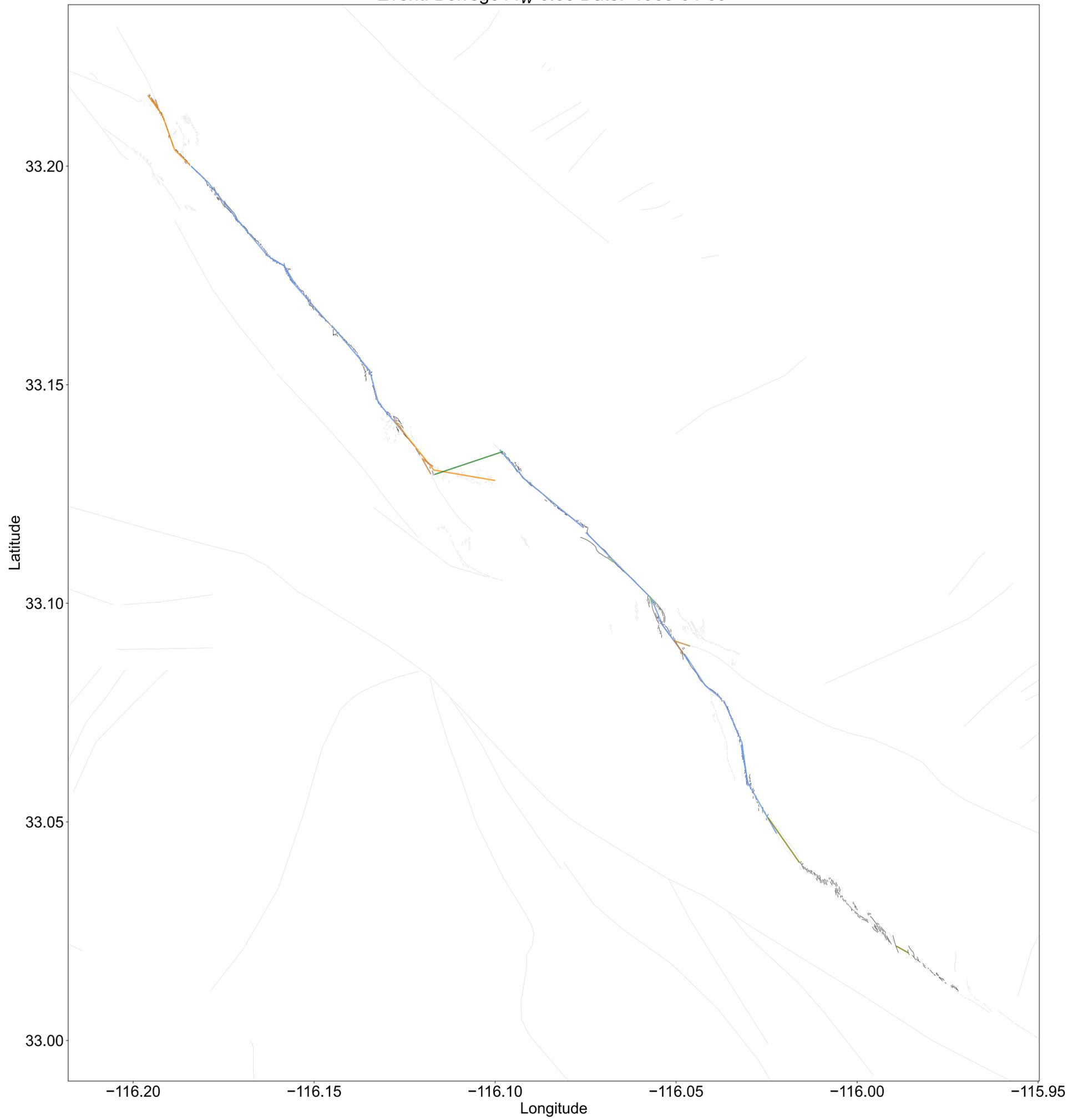
Earthquake gate types

-  steptover breached
-  steptover unbreached
-  bend breached
-  bend unbreached
-  strand breached
-  splay breached
-  splay unbreached
-  gap breached
-  gap unbreached

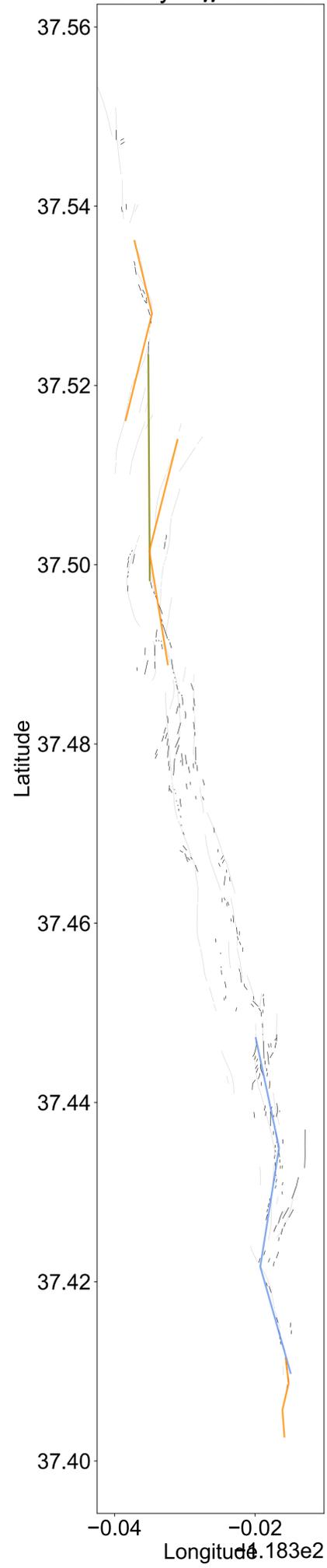
Event: Balochistan M_W 7.7 Date: '2013-09-24'



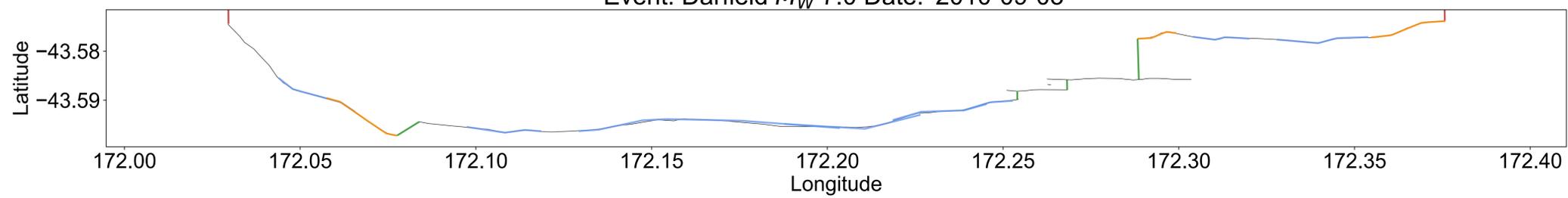
Event: Borrego M_W 6.63 Date: '1968-04-09'



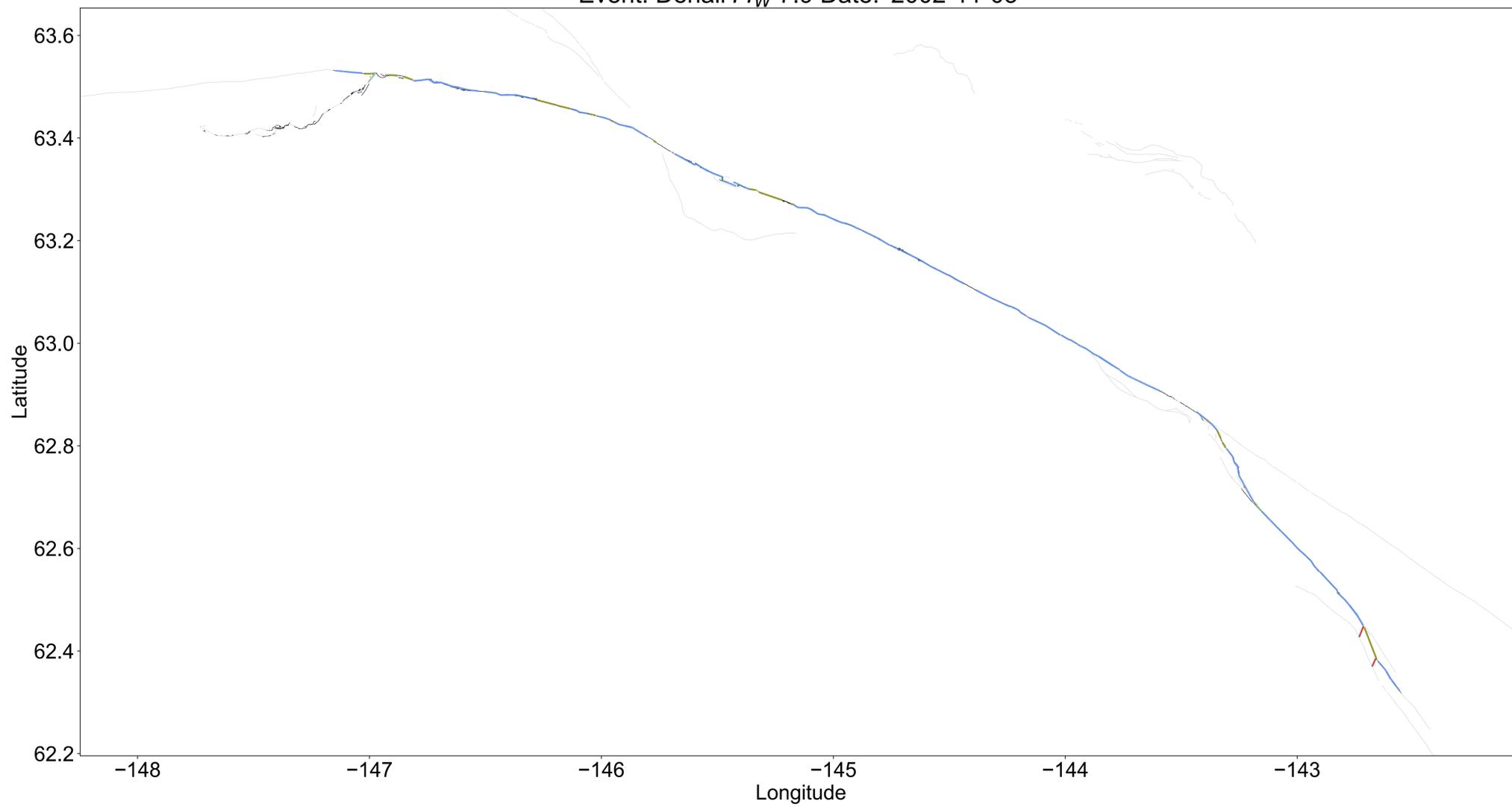
Event: ChalfantValley M_W 6.19 Date: '1986-07-21'



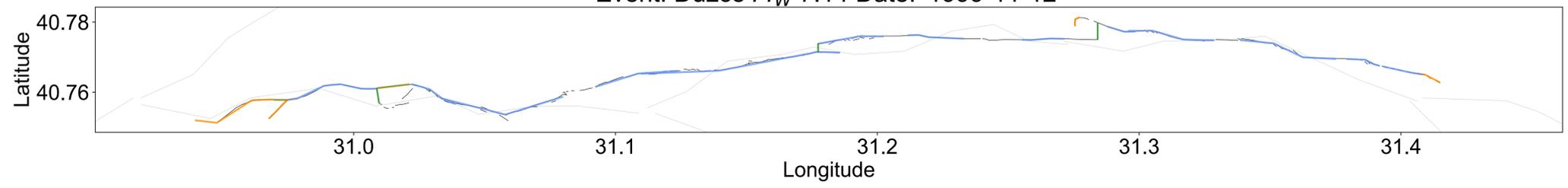
Event: Darfield M_W 7.0 Date: '2010-09-03'



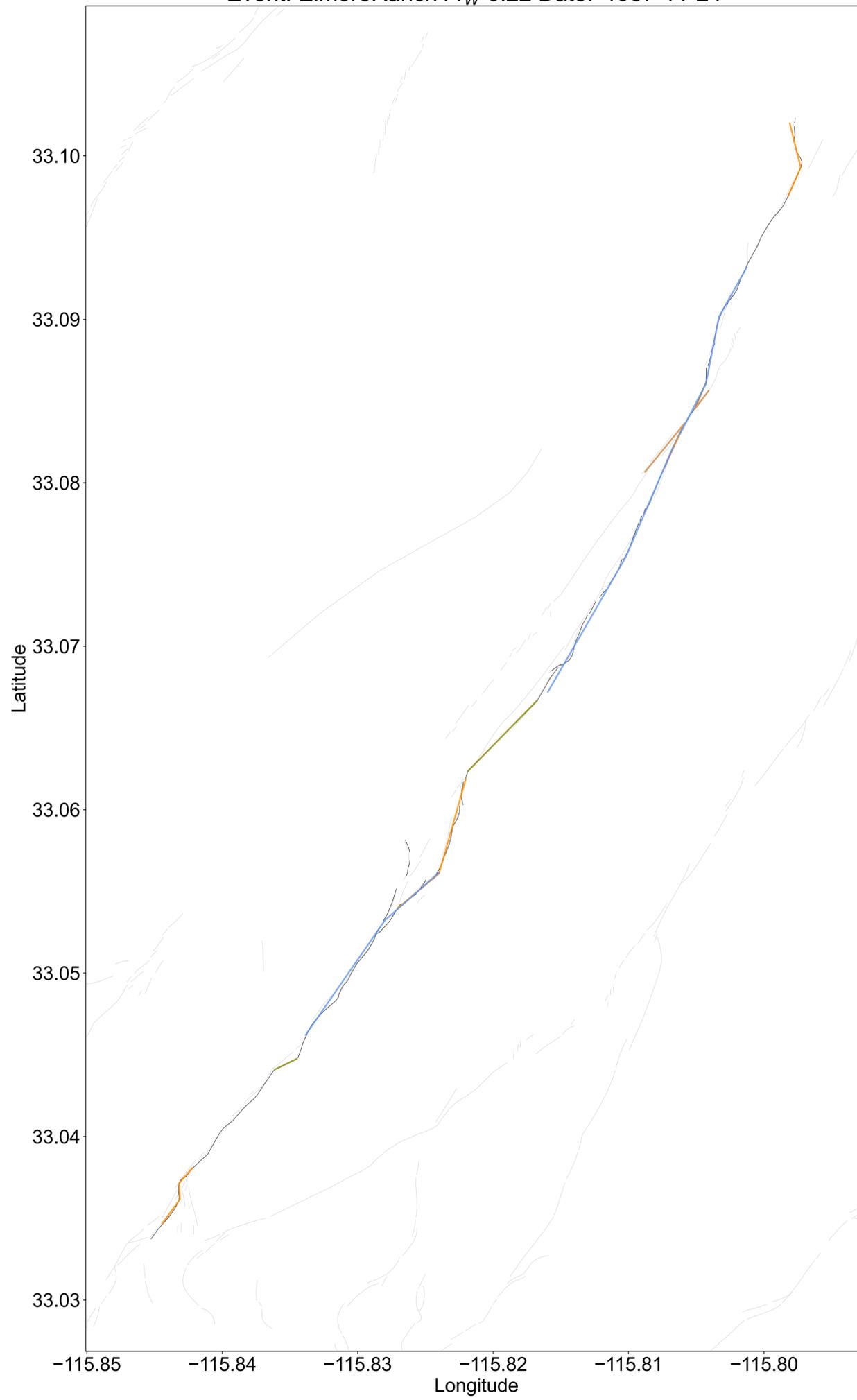
Event: Denali M_W 7.9 Date: '2002-11-03'



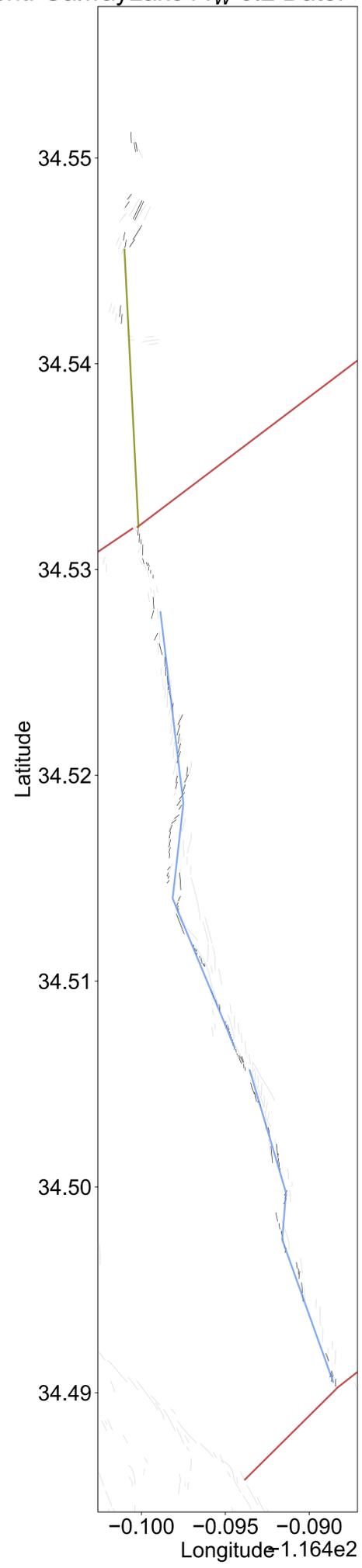
Event: Duzce M_W 7.14 Date: '1999-11-12'



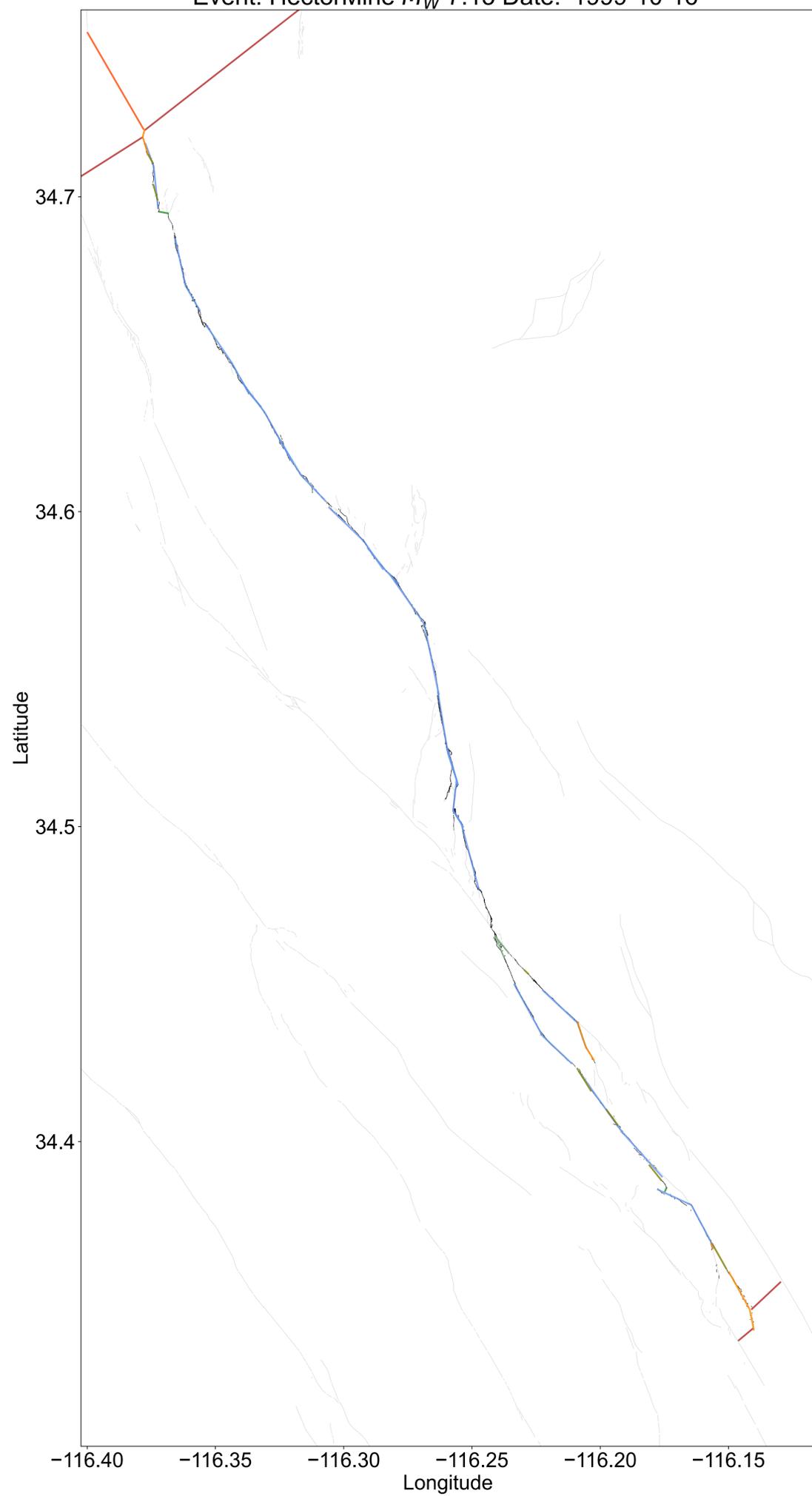
Event: ElmoreRanch M_W 6.22 Date: '1987-11-24'



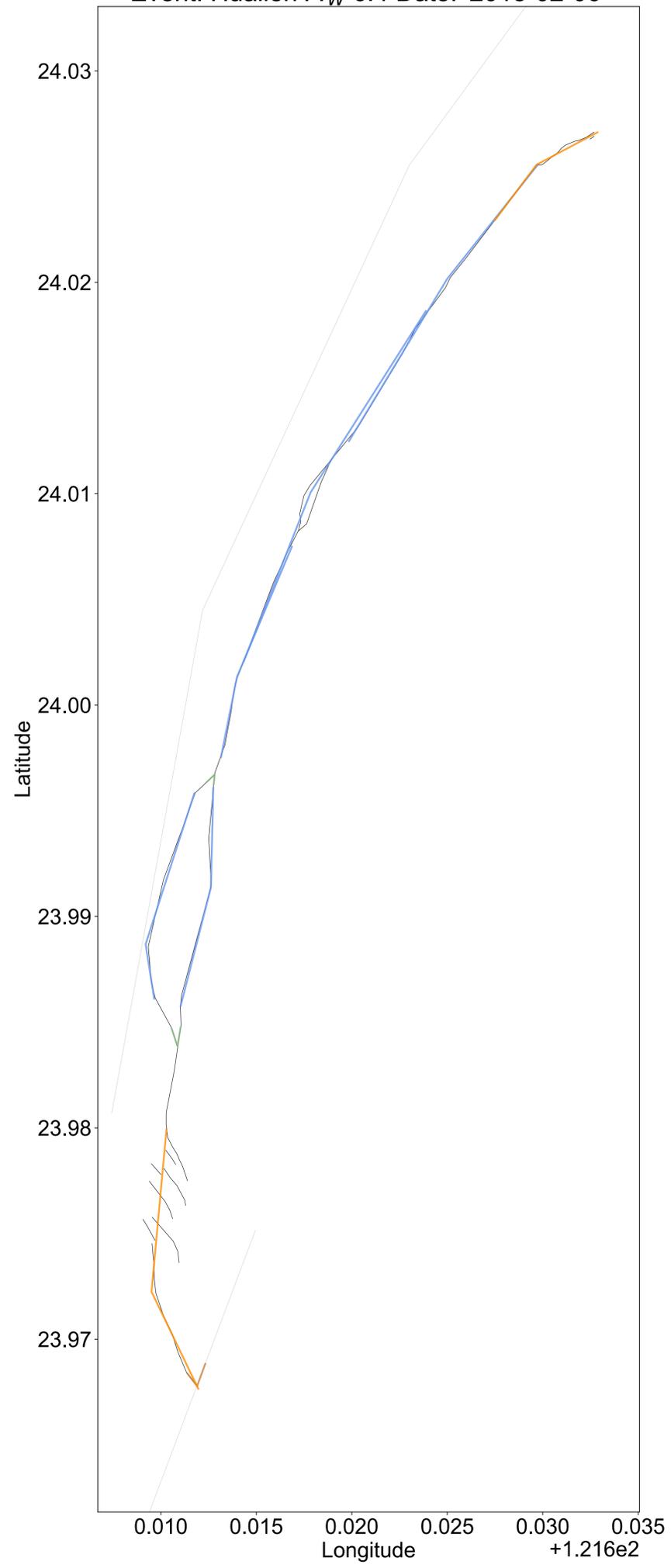
Event: GalwayLake M_W 5.2 Date: '1975-06-01'



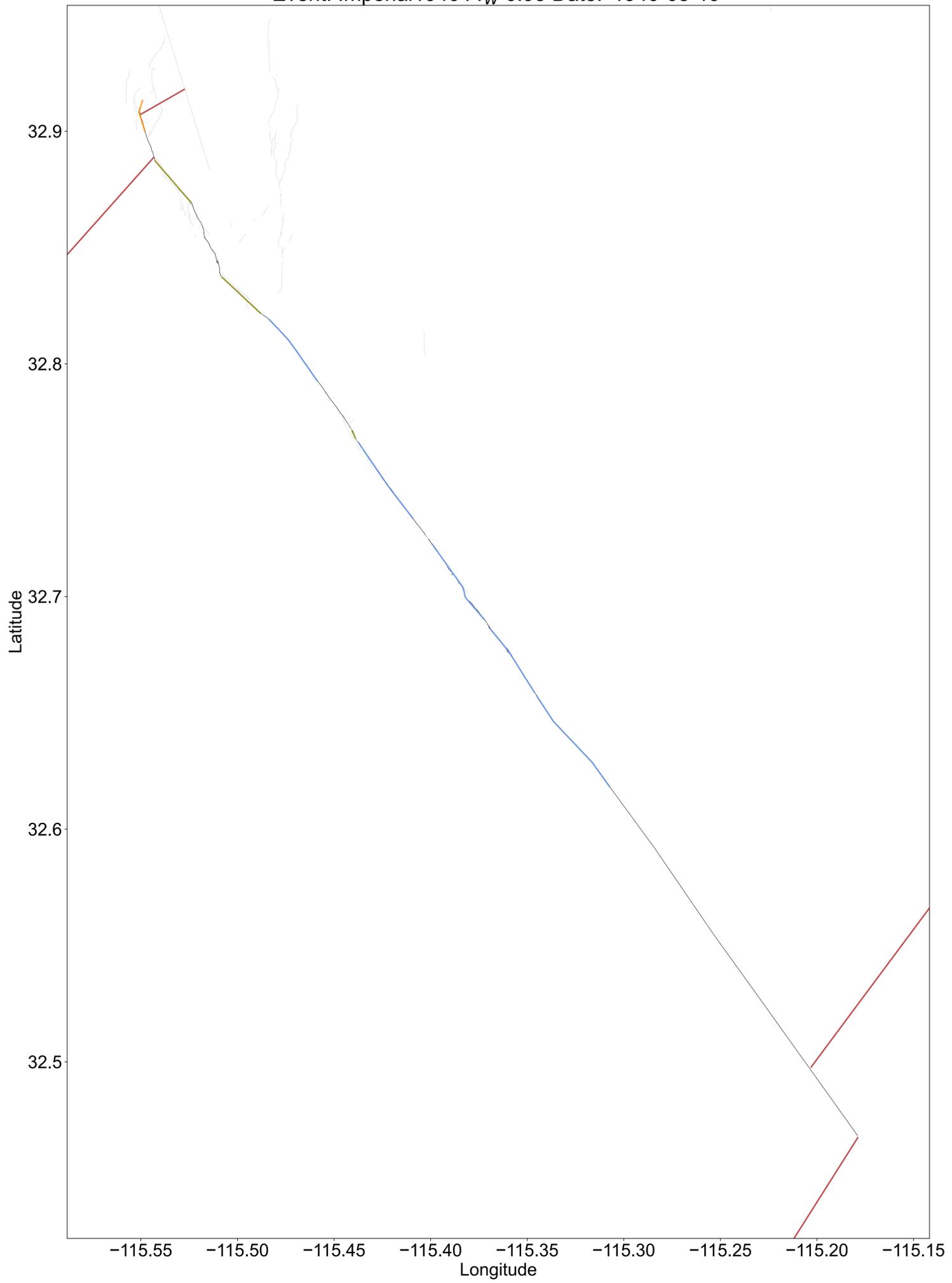
Event: HectorMine M_W 7.13 Date: '1999-10-16'



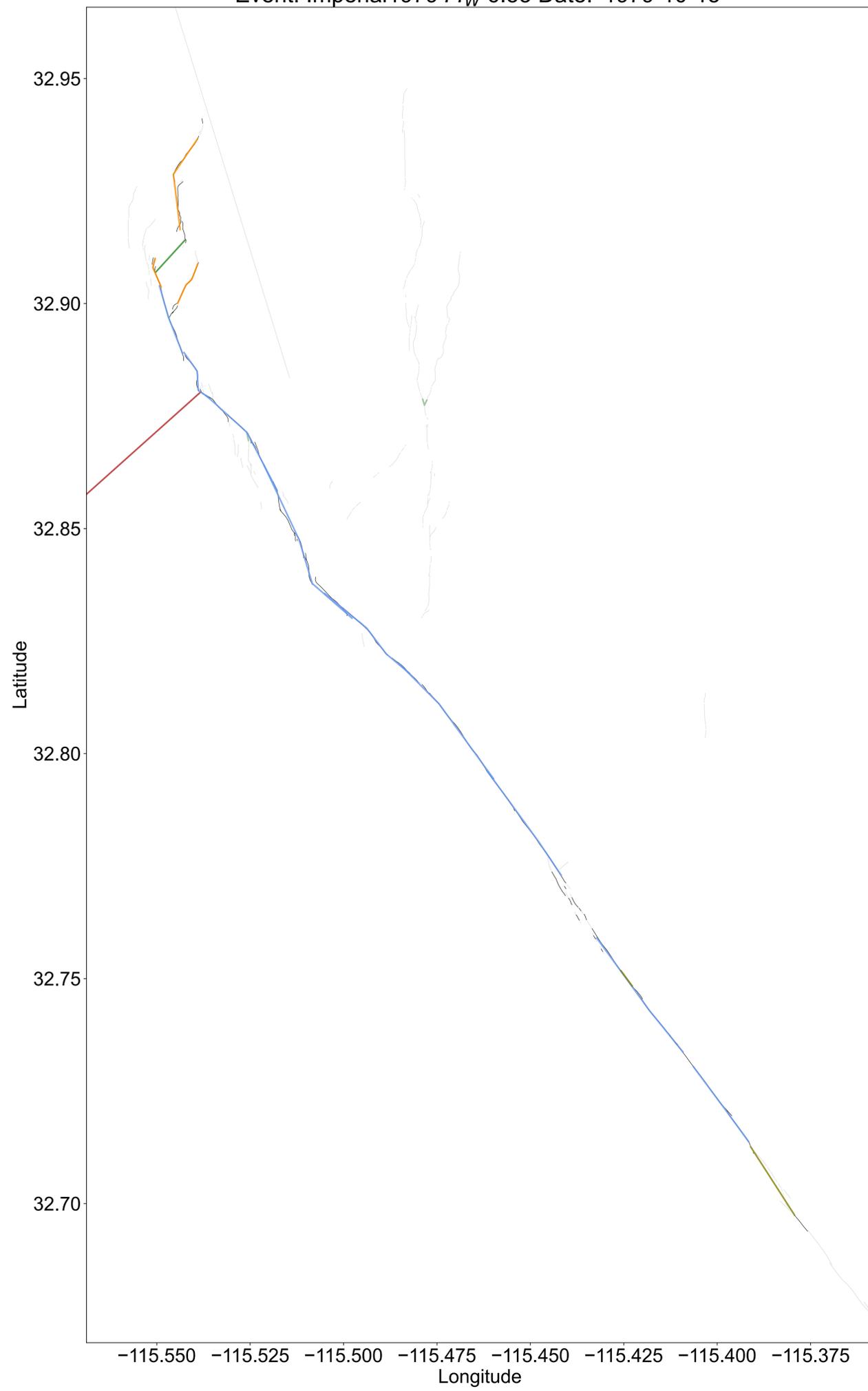
Event: Hualien M_W 6.4 Date: '2018-02-06'



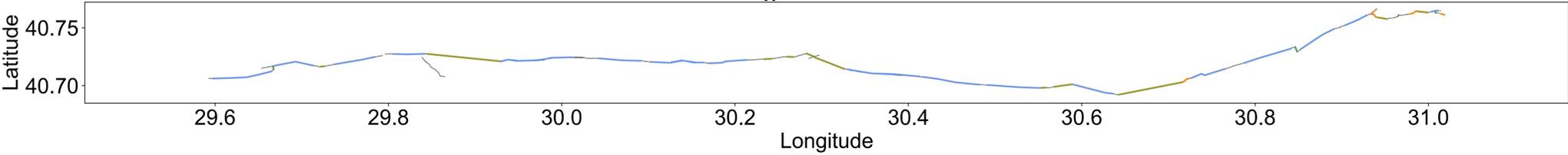
Event: Imperial1940 M_W 6.95 Date: '1940-05-19'



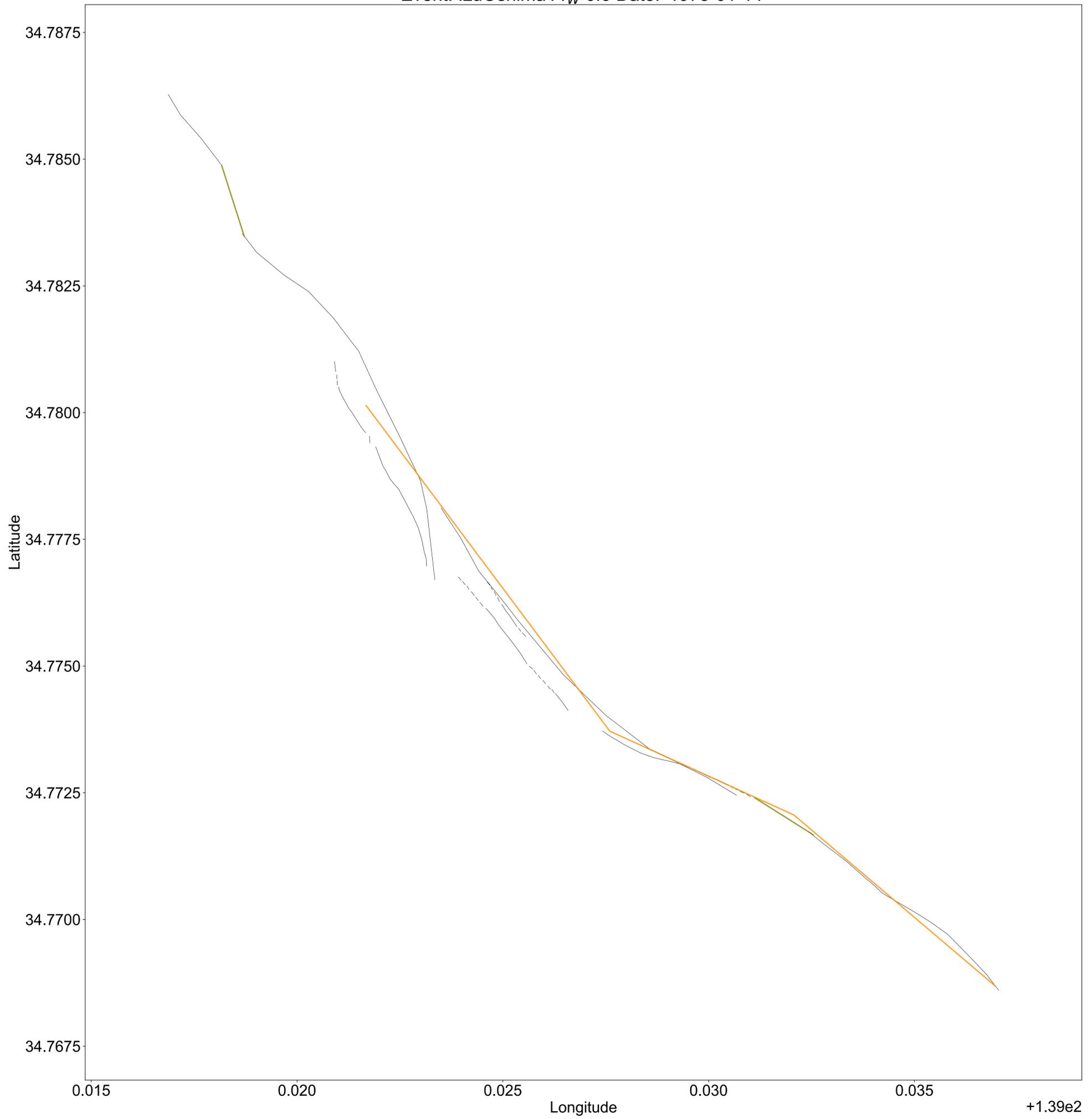
Event: Imperial1979 M_W 6.53 Date: '1979-10-15'



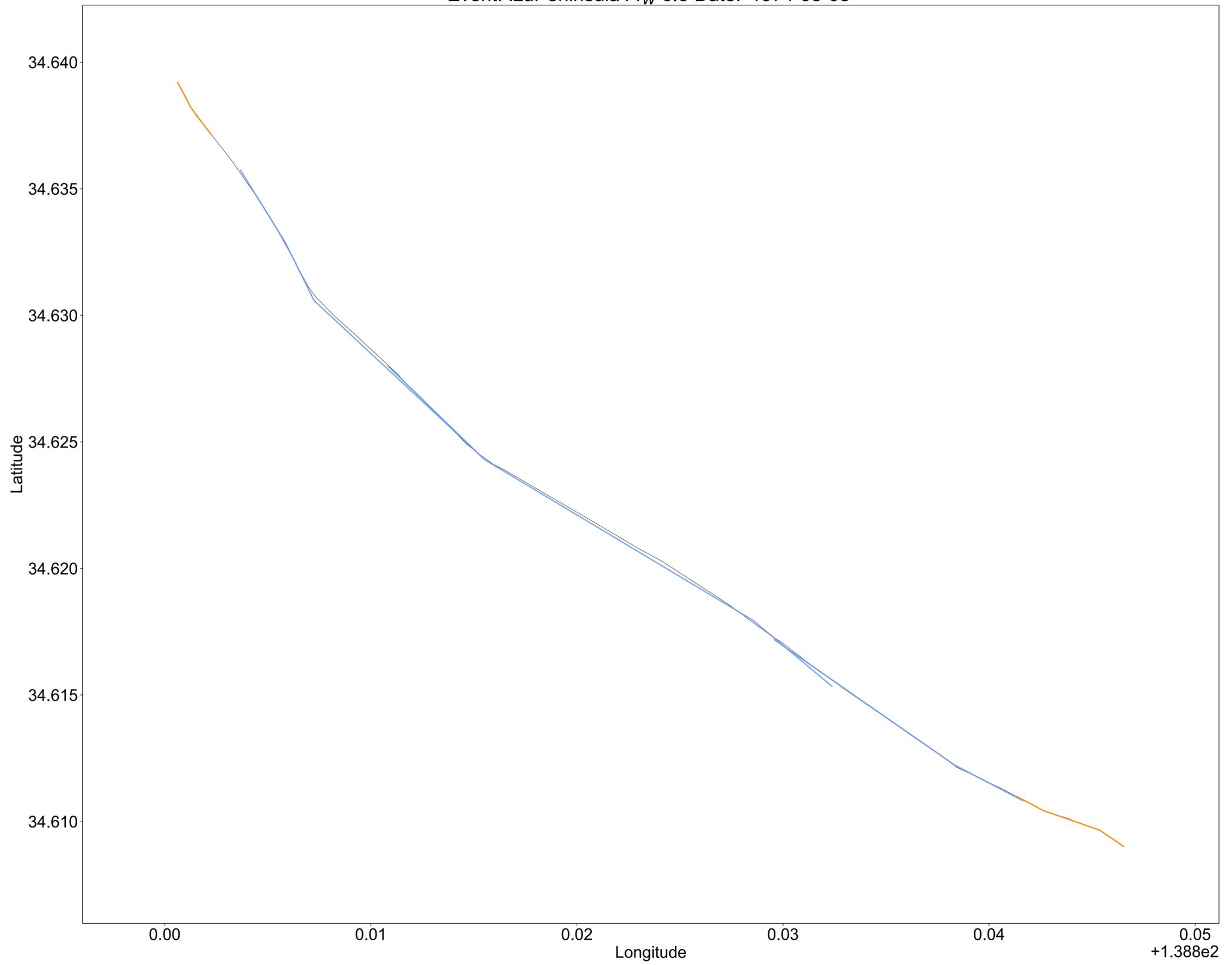
Event: Izmit M_W 7.51 Date: '1999-08-17'



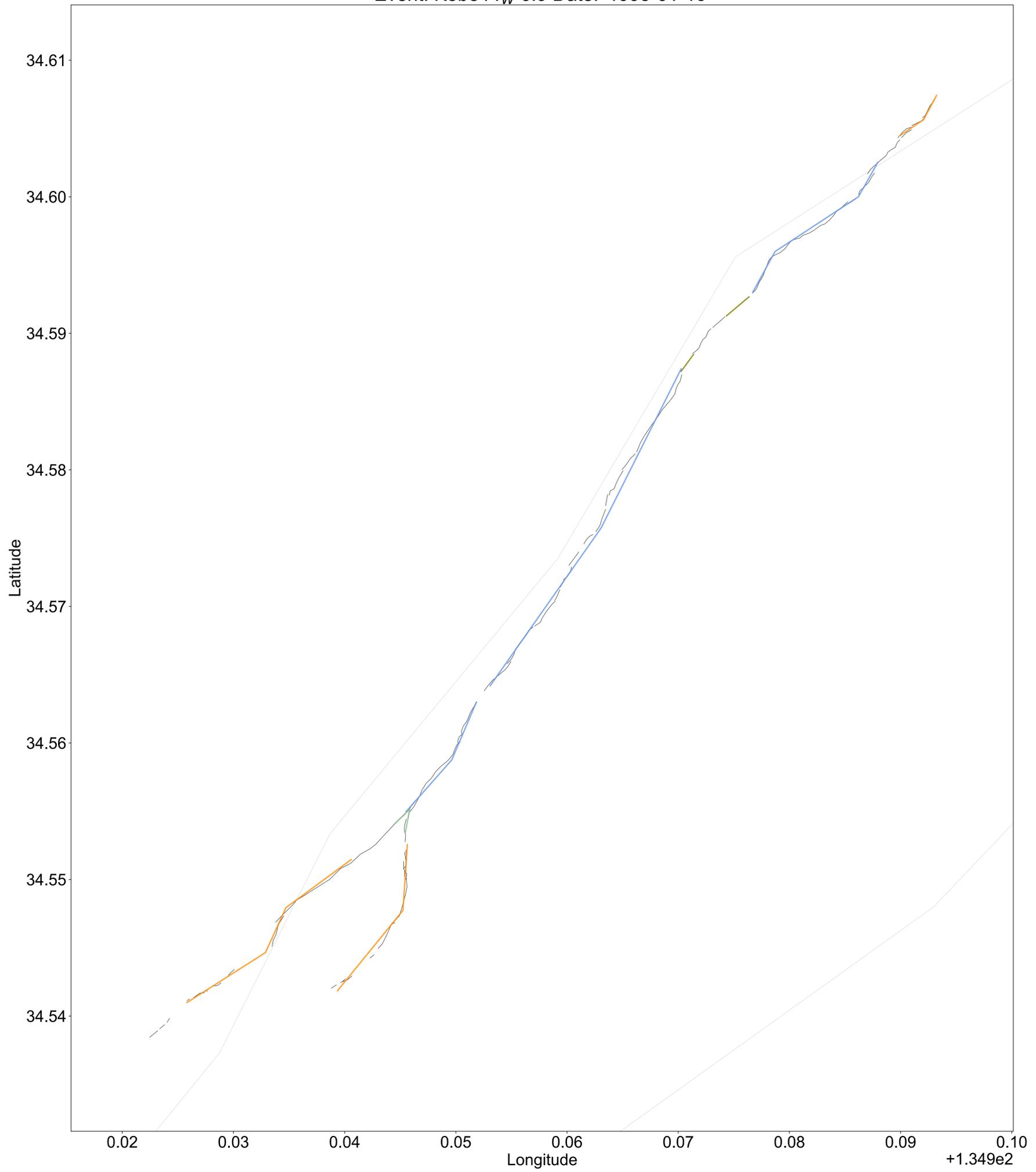
Event: IzuOshima M_W 6.6 Date: '1978-01-14'



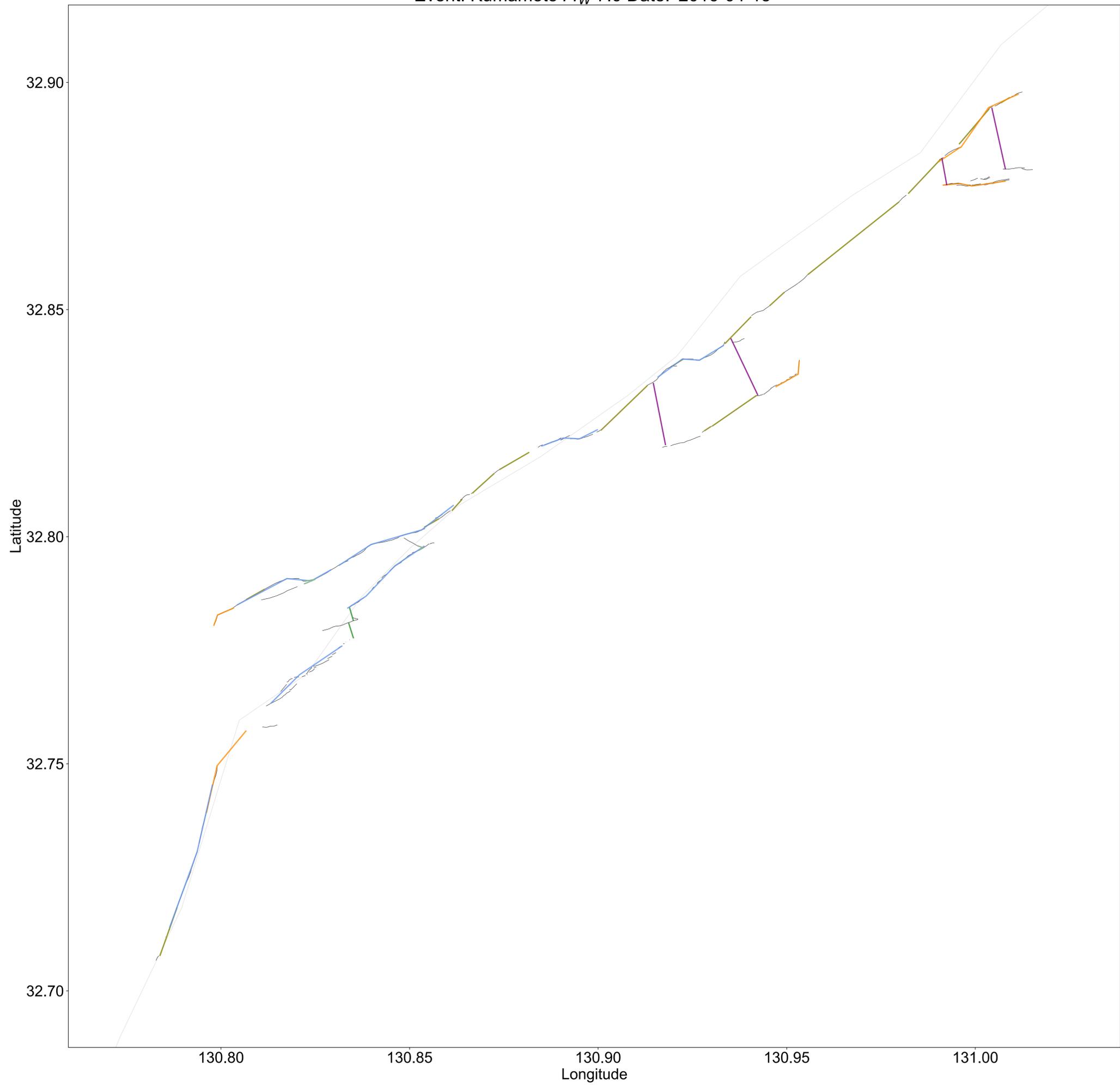
Event: IzuPeninsula M_W 6.5 Date: '1974-05-08'



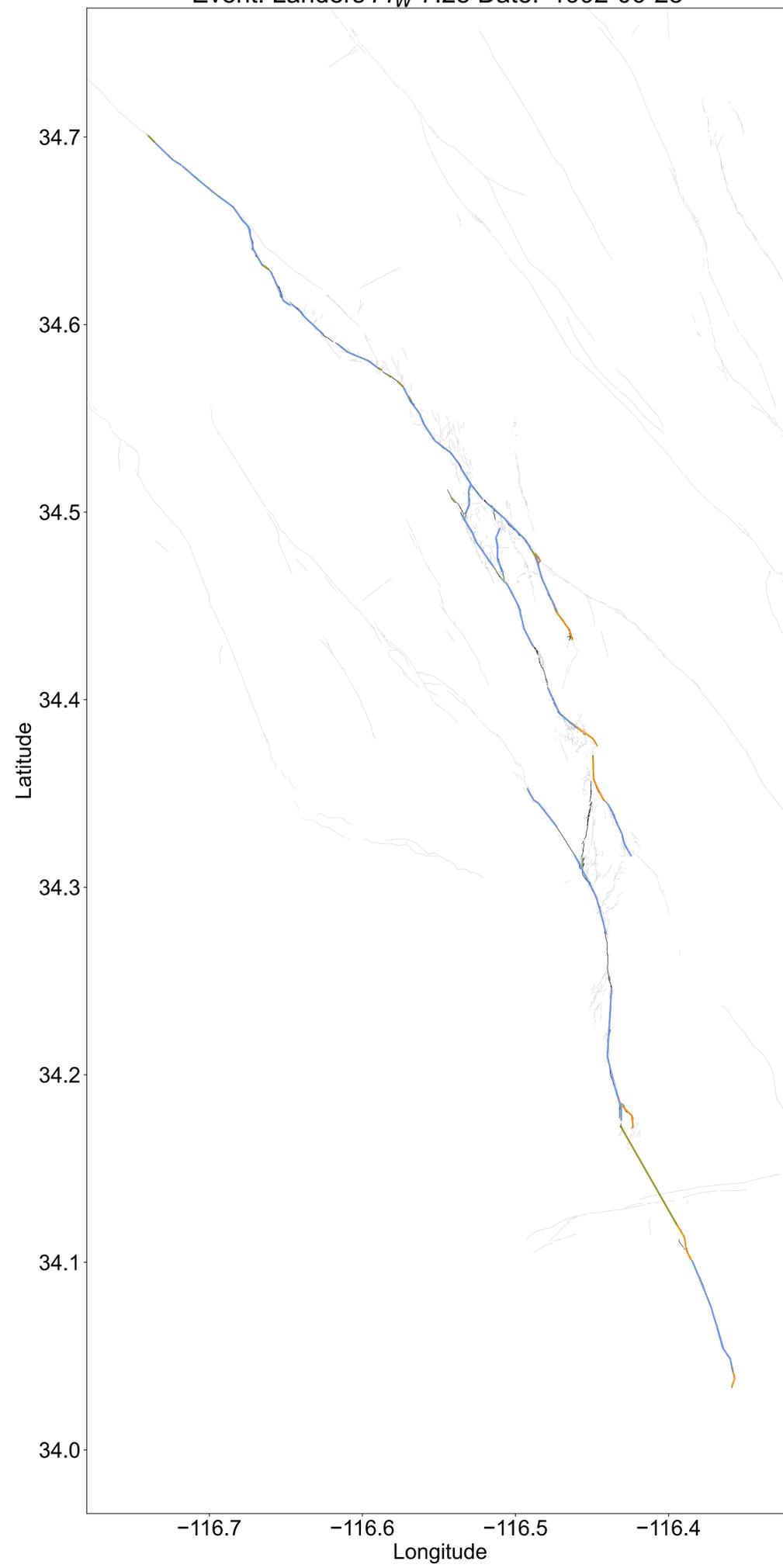
Event: Kobe M_W 6.9 Date: '1995-01-16'



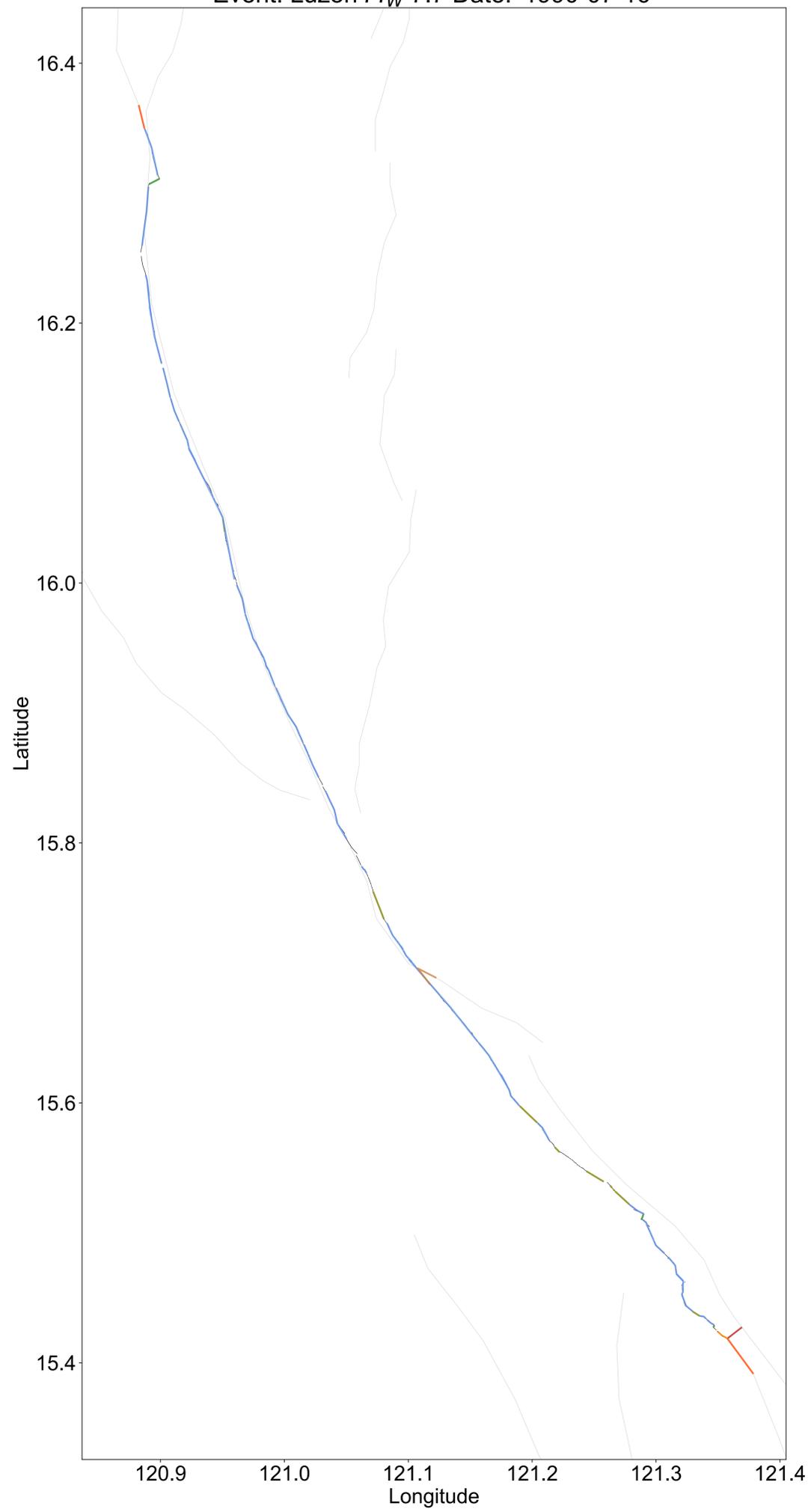
Event: Kumamoto M_W 7.0 Date: '2016-04-15'



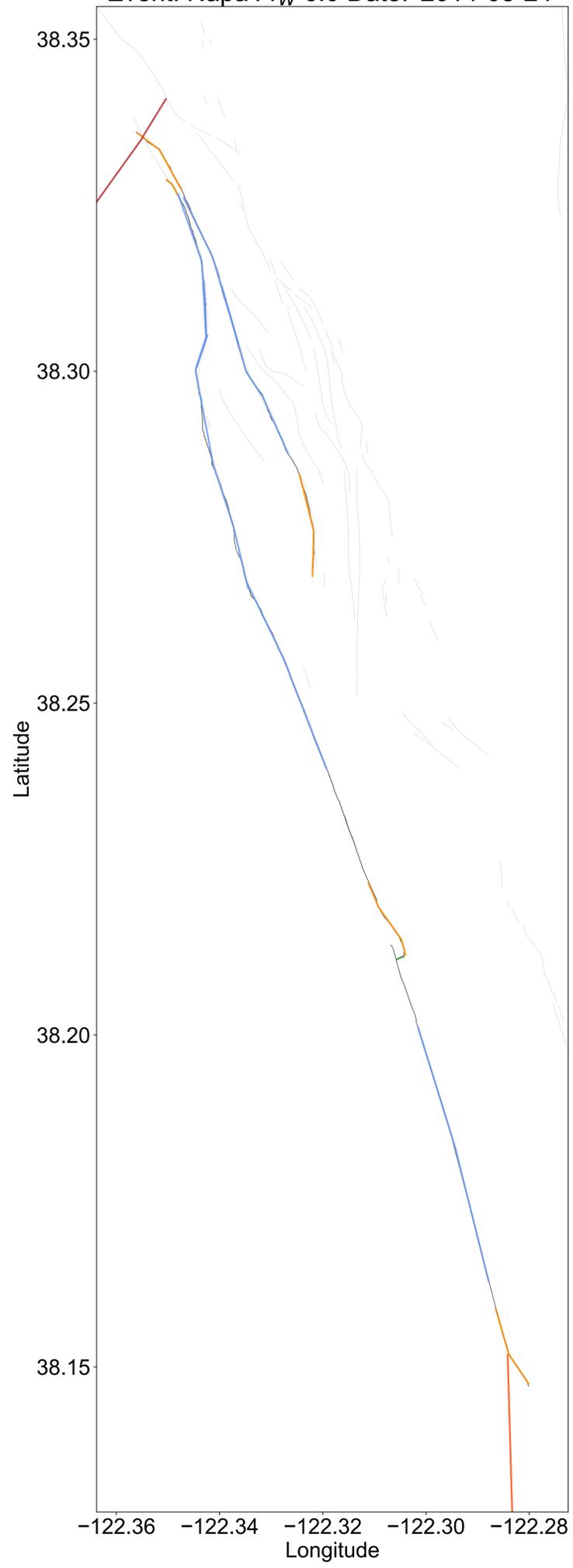
Event: Landers M_W 7.28 Date: '1992-06-28'



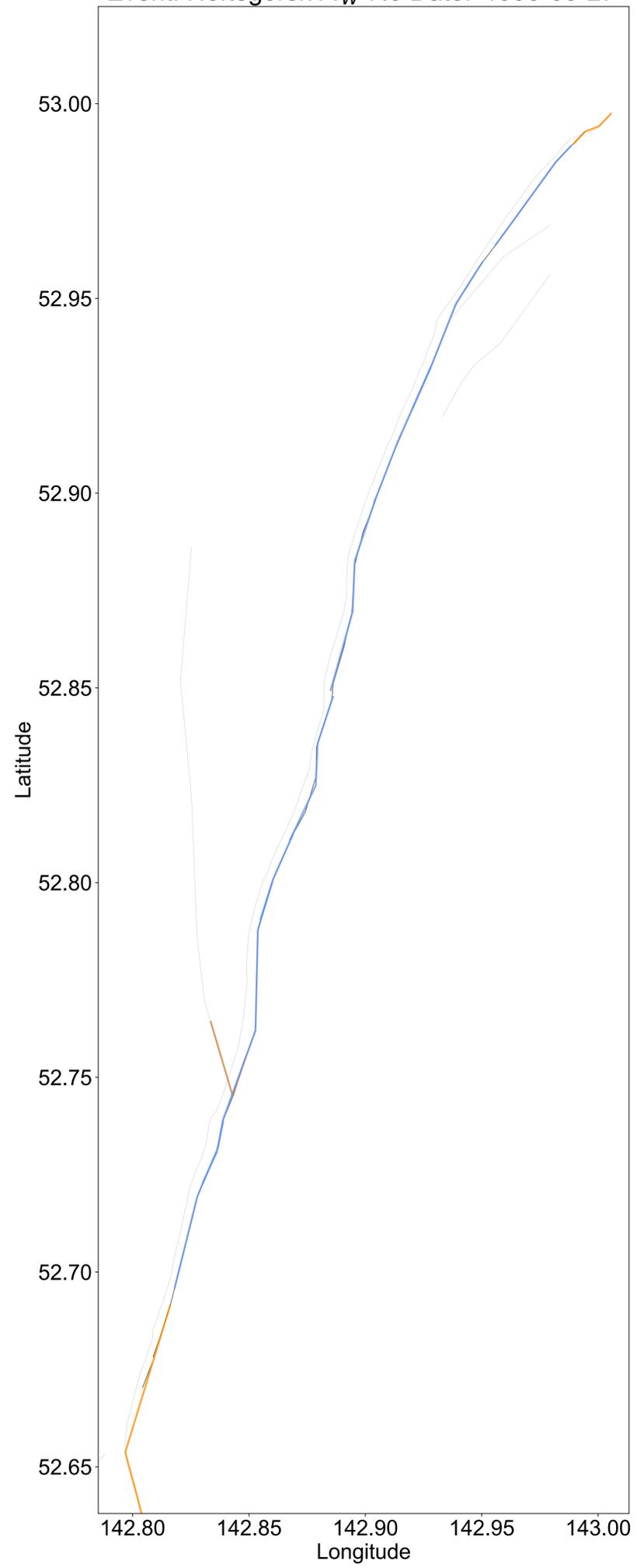
Event: Luzon M_W 7.7 Date: '1990-07-16'



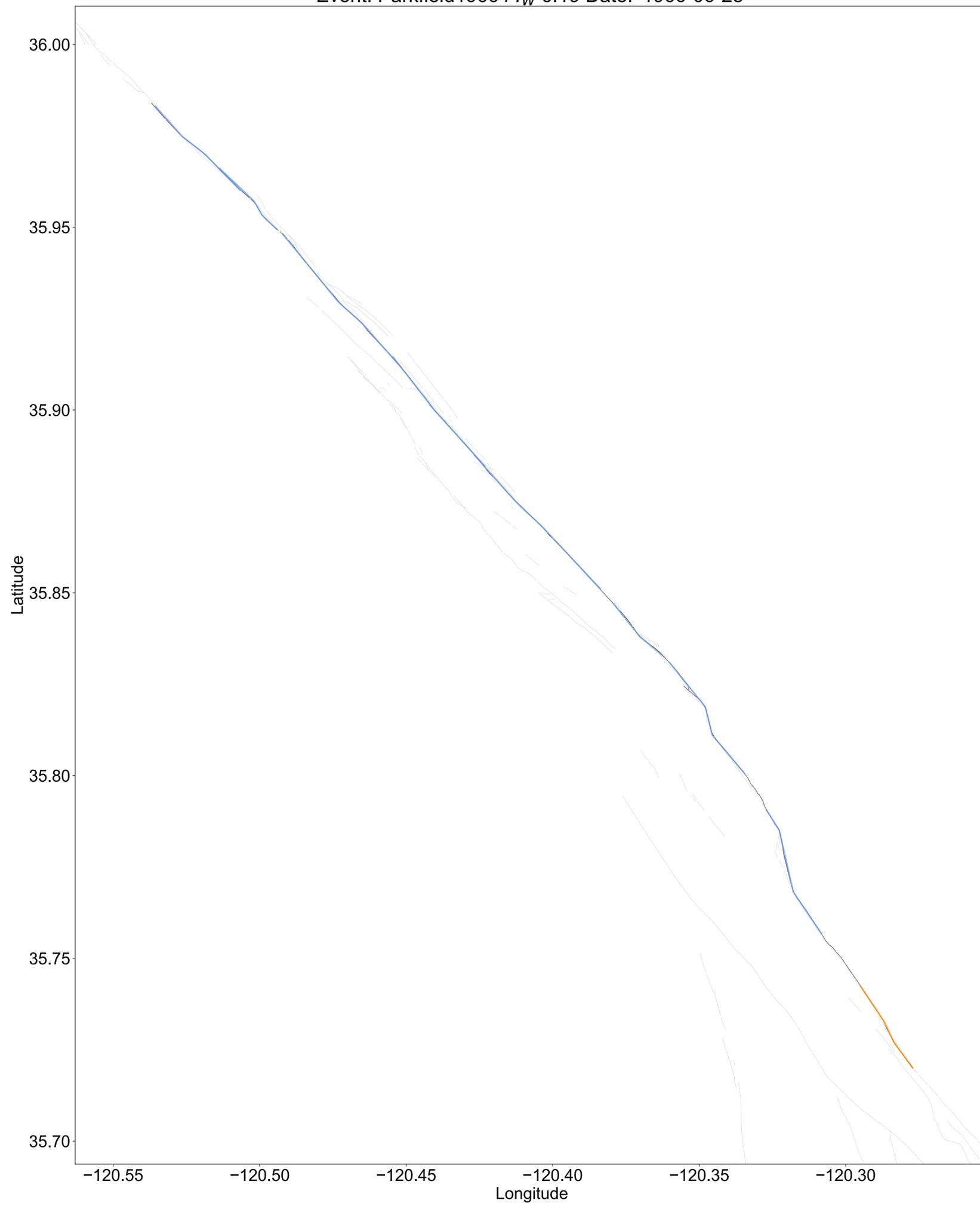
Event: Napa M_W 6.0 Date: '2014-08-24'



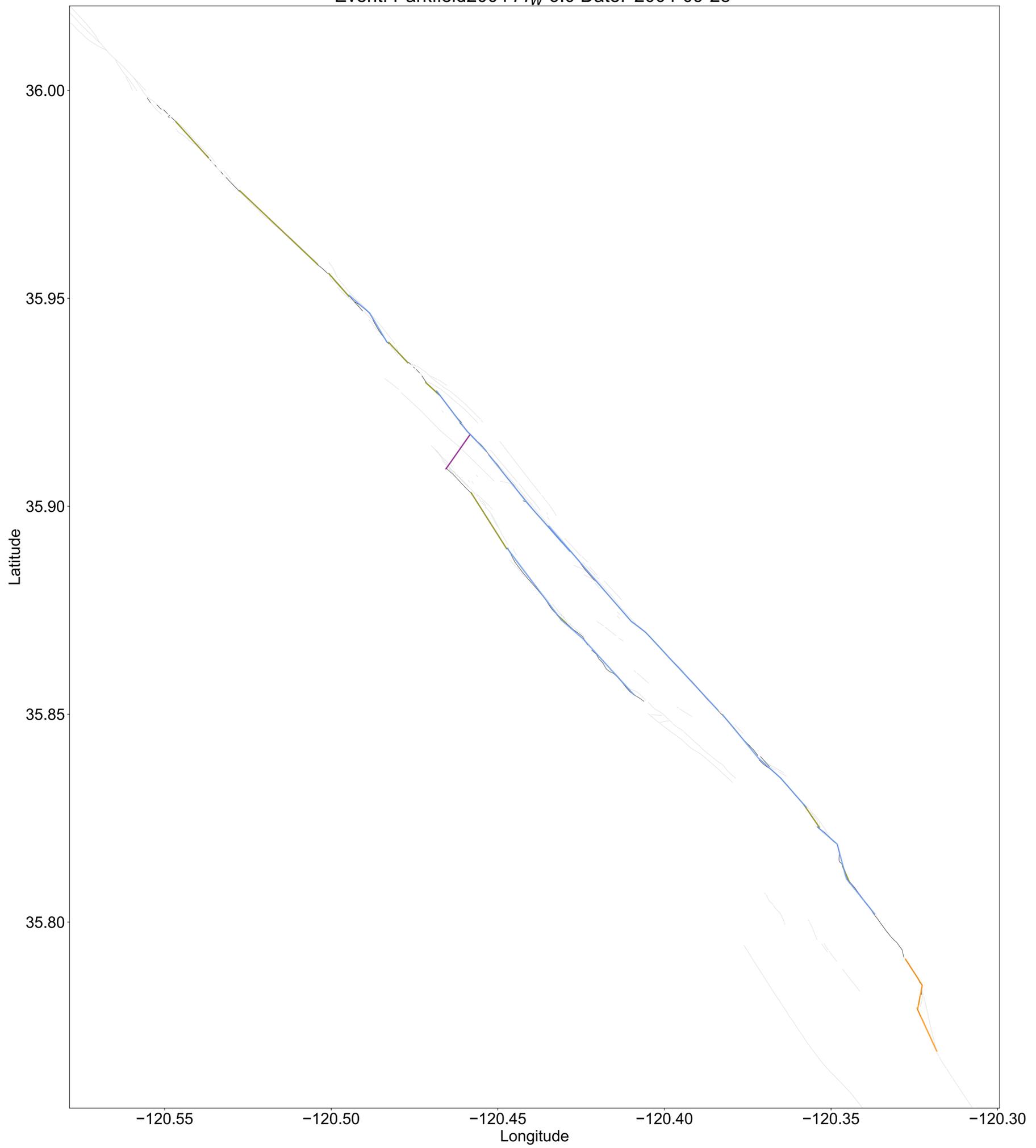
Event: Neftegorsk M_W 7.0 Date: '1995-05-27'



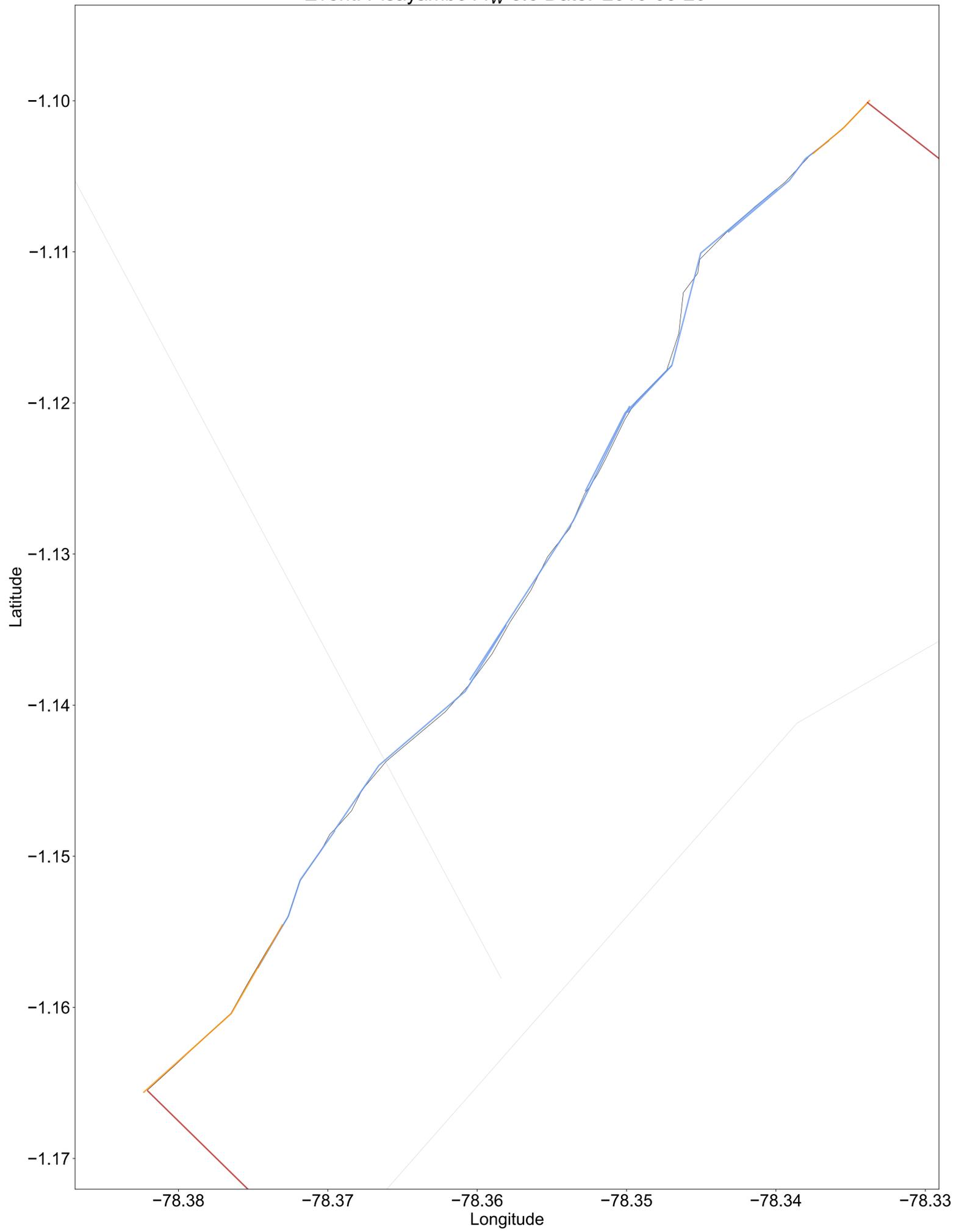
Event: Parkfield1966 M_W 6.19 Date: '1966-06-28'



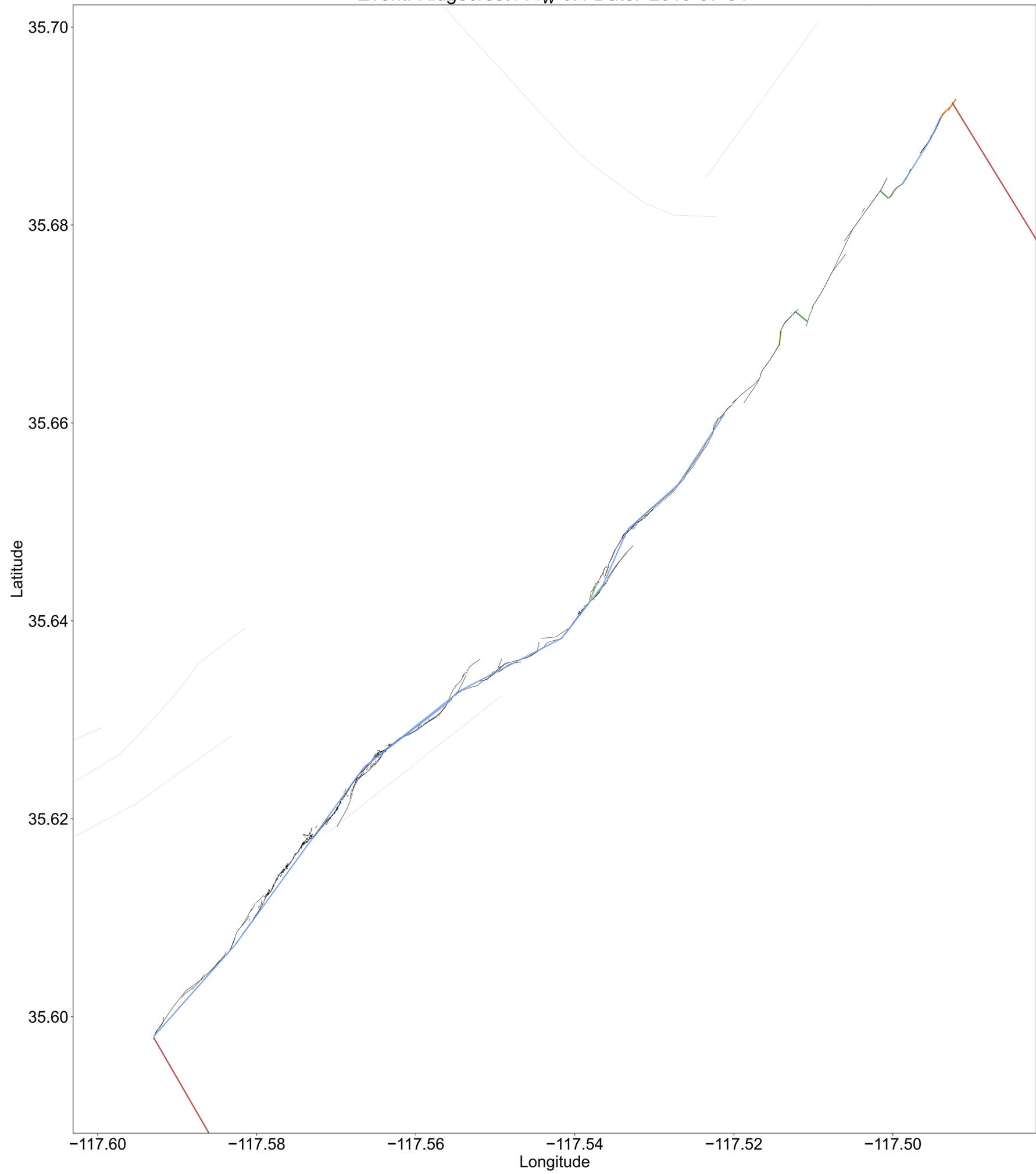
Event: Parkfield2004 M_W 6.0 Date: '2004-09-28'



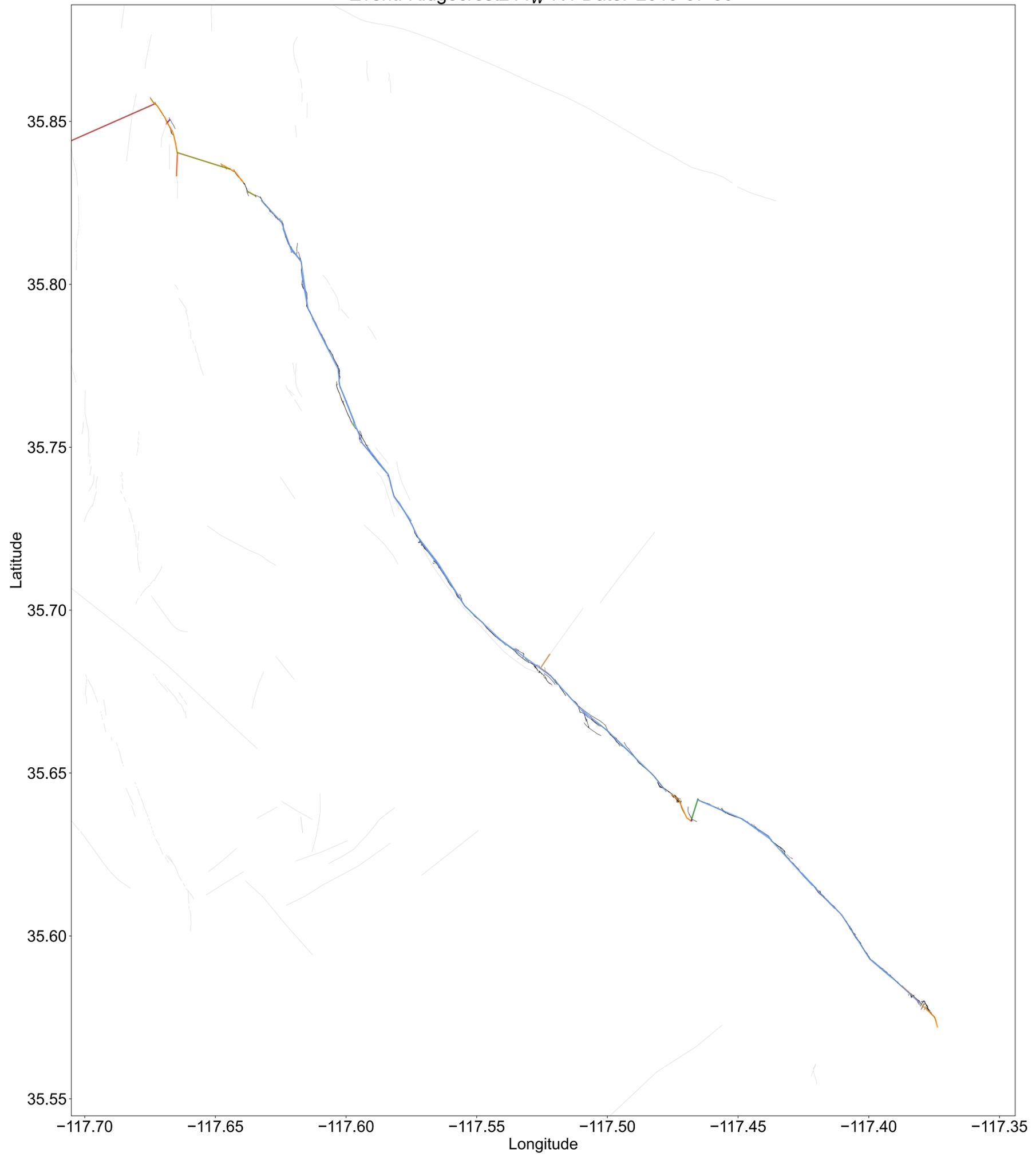
Event: Pisayambo M_W 5.0 Date: '2010-03-26'



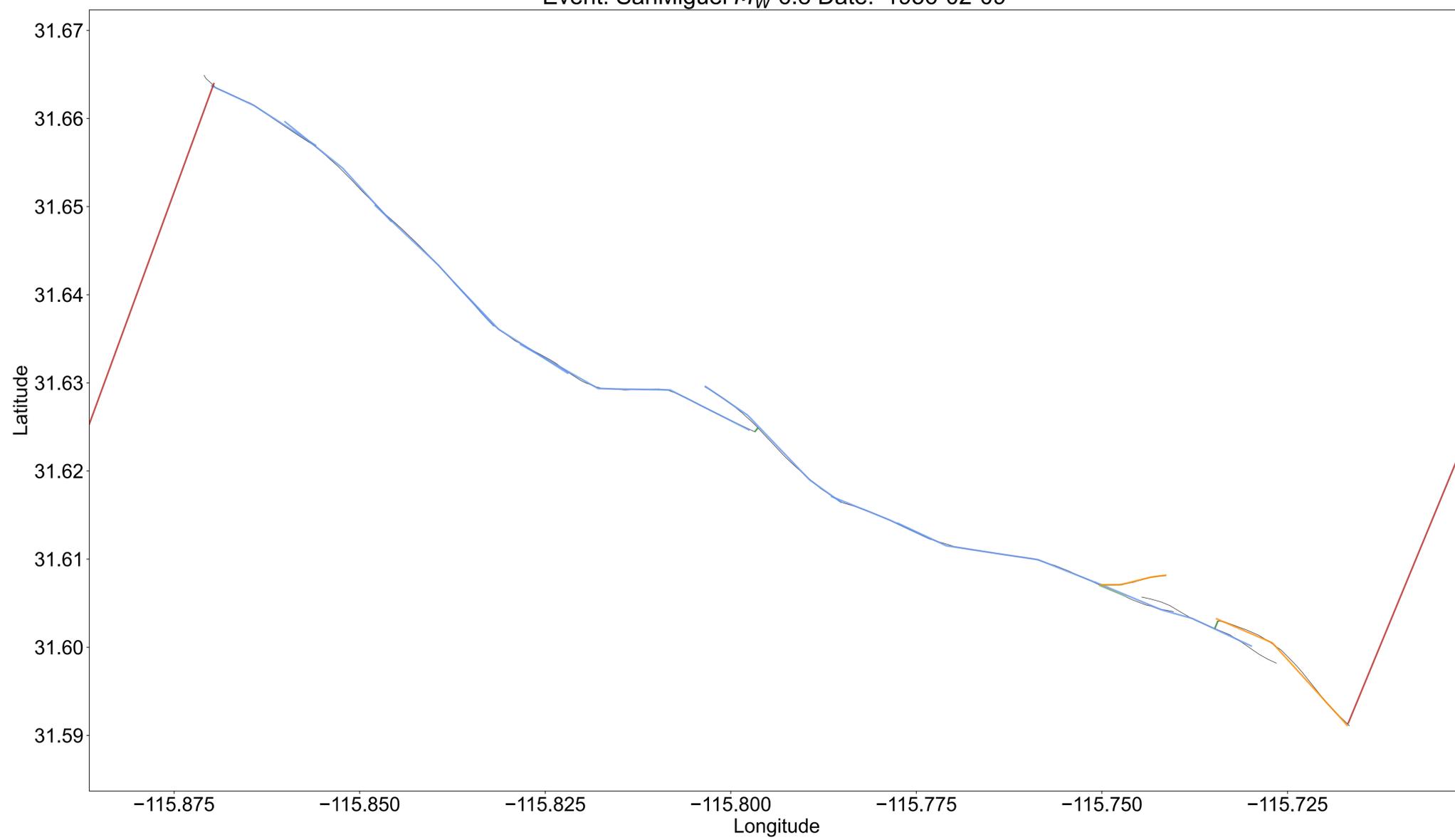
Event: Ridgecrest1 M_W 6.4 Date: '2019-07-04'



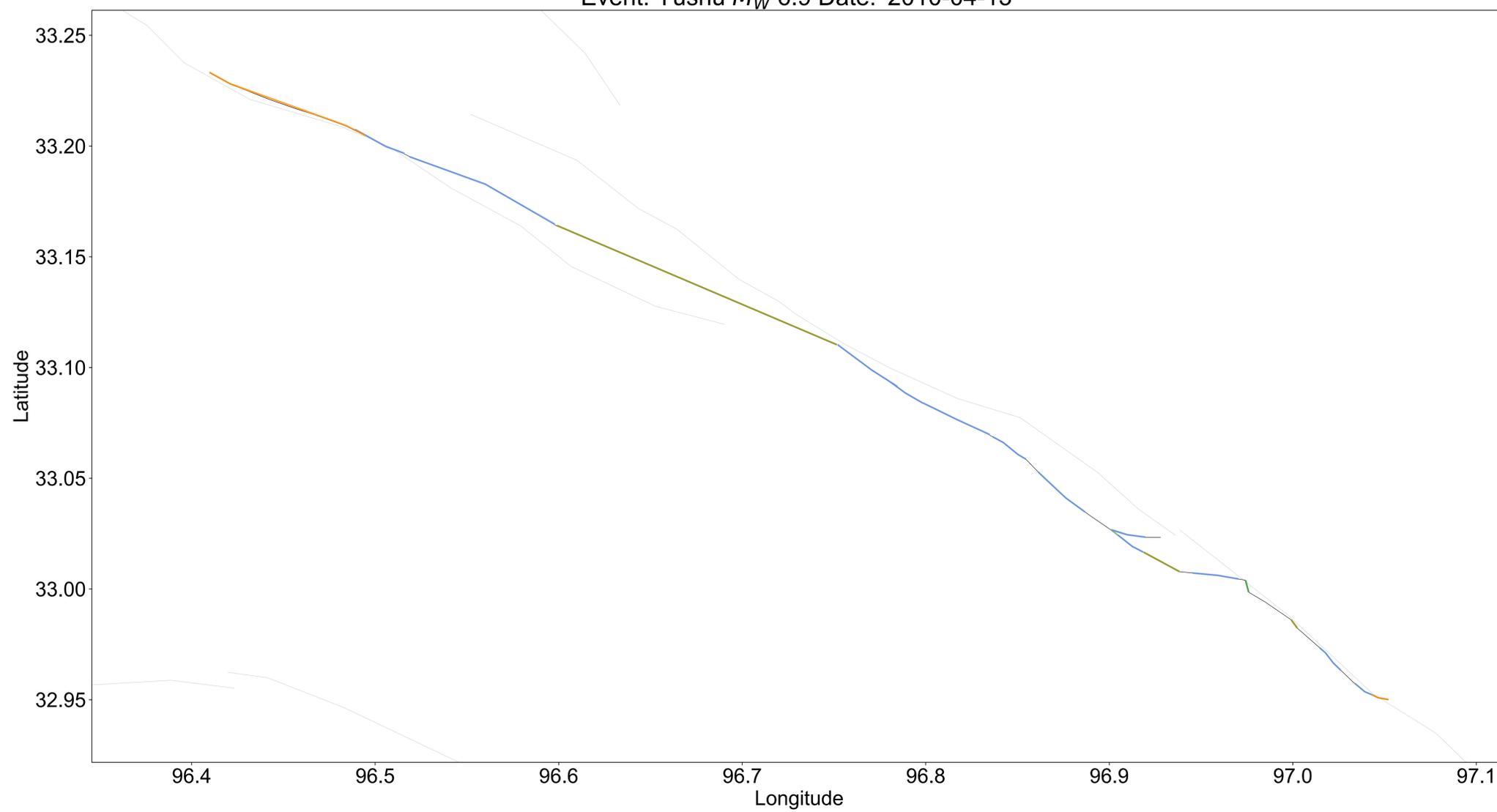
Event: Ridgecrest2 M_W 7.1 Date: '2019-07-06'



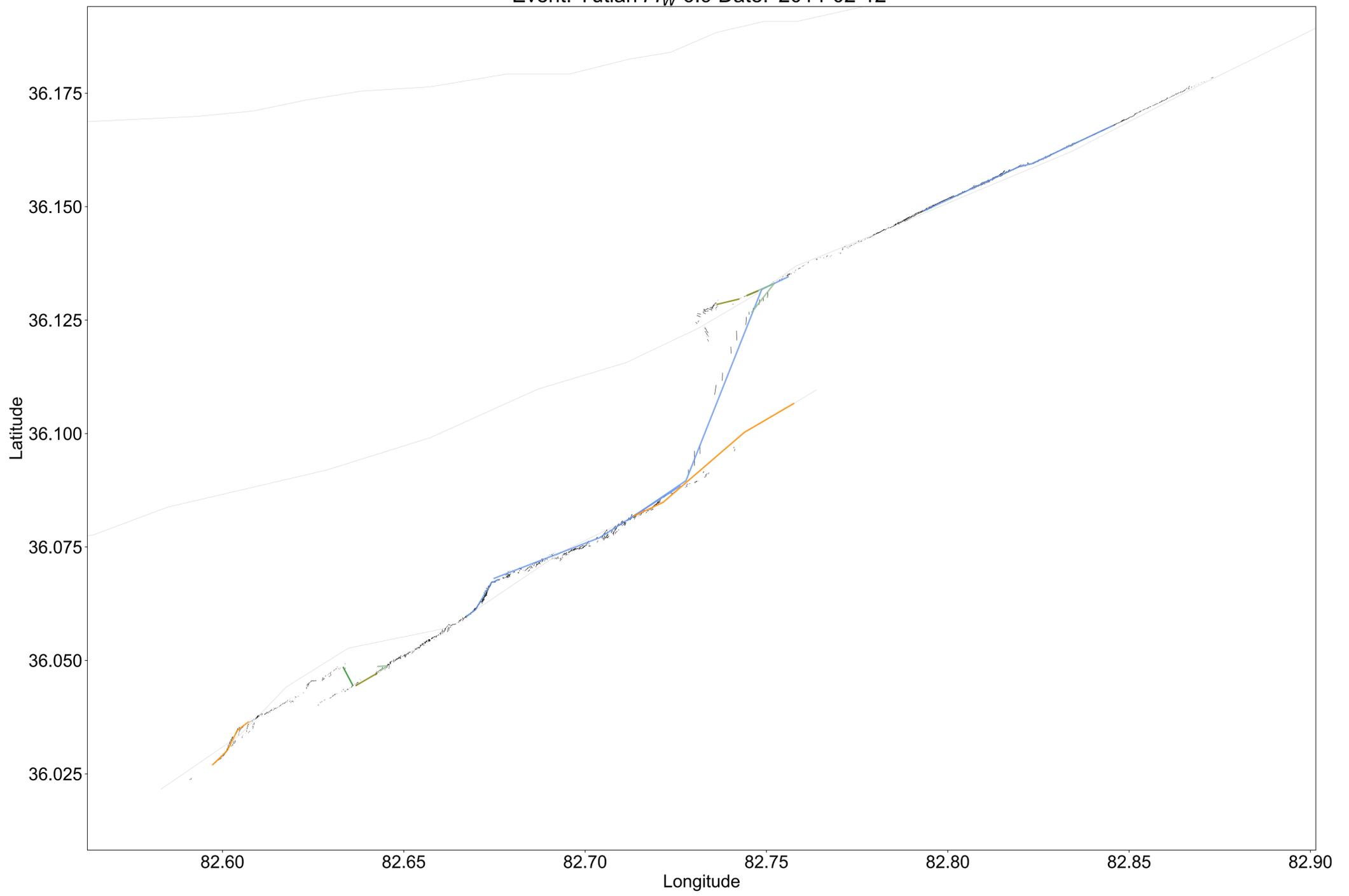
Event: SanMiguel M_W 6.8 Date: '1956-02-09'



Event: Yushu M_W 6.9 Date: '2010-04-13'



Event: Yutian M_W 6.9 Date: '2014-02-12'



Event: Zirkuh M_W 7.2 Date: '1997-05-10'

