

1 **The Role of Snowmelt Temporal Pattern in Flood Estimation for A Small Snow-Dominated**
2 **Basin in the Sierra Nevada**
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20 **Key Points:**

- 21 • Standard rainfall hyetographs substantially underestimate floods in a small snow-dominated
22 basin in the Sierra Nevada
23
- 24 • Snowmelt hyetograph shows a more rapid rise (i.e., higher intensity) compared to the standard
25 rainfall hyetographs used in hydrologic design
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- 27 • A general method to develop probabilistic hyetographs that represent the underling flood-
28 generation mechanism is described
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37 **Abstract**

38 Prior research confirmed the substantial bias from using precipitation-based intensity-duration-
39 frequency curves (PREC-IDF) in design flood estimates and proposed next-generation IDF curves
40 (NG-IDF) that represent both rainfall and snow processes in runoff generation. This study
41 improves the NG-IDF technology for a snow-dominated test basin in the Sierra Nevada. A well-
42 validated physics-based hydrologic model, the Distributed Hydrology Soil Vegetation Model
43 (DHSVM), is used to continuously simulate snowmelt and streamflow that are used as benchmark
44 datasets to systematically assess the NG-IDF technology. We find that, for the studied small snow-
45 dominated basin, the use of standard rainfall hyetographs in the NG-IDF technology leads to
46 substantial underestimation of design floods. Thus, we propose probabilistic hyetographs that can
47 represent unique patterns of events with different underlying mechanisms. For the test basin where
48 flooding events are generated entirely by snowmelt, we develop a hyetograph that characterizes
49 snowmelt temporal patterns, which greatly improves the performance of NG-IDF technology in
50 design flood estimates. In contrast to the standard rainfall hyetographs characterized by a
51 symmetrically peaked, bell-shaped curve, the snowmelt hyetograph displays a more rapid rise (i.e.,
52 greater intensity) and a distinct diurnal pattern influenced by solar energy input. The results also
53 show that the uncertainty of hyetography plays an important role in design flood estimation and
54 can have important implications for future flood projections.

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60 **Plain Language Summary**

61 In recent years, flood hazards have gained increasing attention from national and international
62 homeland security communities. Accurately assessing floods is crucial for many hydrologic
63 applications, including infrastructure design, planning, and renewal, as well as the national flood
64 insurance program. This research focuses on evaluating and enhancing the next-generation flood
65 design technology, which is an improvement over the traditional rainfall-based method that does
66 not account for snow processes in flood generation. Our study reveals a significant underestimation
67 of floods when using standard rainfall temporal pattern in a small snow-dominated basin. To
68 address this issue, we propose probabilistic curves that consider the temporal patterns of snowmelt,
69 resulting in a considerable reduction in flood estimation errors. In contrast to the standard rainfall
70 temporal pattern characterized by a symmetrically peaked, bell-shaped curve, the snowmelt
71 temporal pattern displays a more rapid rise (i.e., greater intensity) and a distinct diurnal pattern
72 influenced by solar energy input. The results demonstrate that the next-generation flood design
73 technology has the potential to complement the traditional method for hydrologic design in snow-
74 dominated regions, providing a consistent design approach in both rain-dominated and snow-
75 dominated areas.

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83 **1 Introduction**

84 The repeated recurrence of high-profile flood events (e.g., California in 2017, Michigan in 2020,
85 Germany and Belgium in 2021, Yellowstone National Park in 2022) has resulted in major public
86 safety concerns and motivated U.S. Department of Homeland Security communities to explore
87 new sources and tools for designing proper infrastructure and facilities (ASCE, 2018; ESTCP,
88 2018). Traditionally, engineers use statistics of observed extreme precipitation, referred to as
89 precipitation-based intensity-duration-frequency (PREC-IDF) curves (Chow et al., 1988), for
90 infrastructure design to withstand extreme flooding events, such as the National Oceanic and
91 Atmospheric Administration (NOAA) Atlas 14 (Perica et al., 2013). This PREC-IDF approach
92 assumes the phase of precipitation as rainfall that immediately starts the rainfall-runoff process. In
93 the mountainous regions of the western United States where snowmelt or rain-on-snow (ROS) is
94 the dominant flood-generating mechanism, the use of the PREC-IDF approach can lead to
95 significant biases (i.e., largely underestimation) of design basis events that subsequently propagate
96 into infrastructure design (Hamlet, 2018; Hou et al., 2019; Yan et al., 2019a, 2020a). For instance,
97 Cho and Jacobs (2020) utilized gridded snow water equivalent (*SWE*) data for the conterminous
98 United States (CONUS) to calculate design snowmelt values. They then compared these values to
99 the NOAA Atlas 14 for the 44 U.S. states. Their findings indicate that standard design values are
100 surpassed by design snowmelt values in 23% of the total extent.

101 In snow-dominated regions of the United States, there is a lack of consistent and
102 coordinated surface water design manuals (Yan et al., 2018). Instead, different methods are
103 employed, varying from a basic "blind approach" that solely utilizes the PREC-IDF curves to a
104 "tuning factor approach" that involves augmenting the PREC-IDF values with a snowmelt factor,
105 and more advanced techniques such as utilizing physics-based hydrologic modeling. For example,

106 Snohomish County in Washington State, which experiences a range of hydrologic conditions (from
107 rain-dominant to transitional rain-snow to snow-dominant), recommends the use of NOAA PREC-
108 IDF curves for designing facilities like wetpool treatment facilities (SCDM, 2016). In contrast,
109 Chelan County in the same state, with snow-dominated watersheds, uses the tuning factor approach
110 outlined in the Stormwater Management Manual for Eastern Washington (SWMMEW, 2019).
111 However, this approach is backed by data from only nine sites and rests on the premise that the
112 observed December–February average daily snow depth will melt during a 72h ROS event. The
113 federal Unified Facilities Criteria (UFC) suggests using National Resource Conservation Service
114 (NRCS) Technical Release 55 (TR-55) for small watershed design and the Storm Water
115 Management Model (Rossman, 2004) for large, high-risk infrastructure design projects (UFC,
116 2013).

117 Considering the significant expense associated with the use of advanced physically based
118 models, including staff proficiency, manpower, and computational resources, along with the local
119 regulations discussed previously, it's reasonable to anticipate that IDF-based technology will
120 remain crucial in hydrologic design in the foreseeable future, particularly for small-scale
121 infrastructure projects. Furthermore, agencies and regulators are more likely to adopt
122 modifications of the current IDF technology instead of a complete technological shift to a
123 physically based hydrologic modeling approach. Yan et al. (2018) proposed next-generation IDF
124 (NG-IDF) curves to overcome the deficiency of PREC-IDF and provided a consistent IDF design
125 approach for both rain- and snow-dominated regions. Briefly, the NG-IDF curves used the concept
126 of “water available for runoff (W)” rather than “rainfall” to capture the actual water reaching the
127 land surface from combined or individual effects of rainfall, snowmelt, and/or ROS. Based on the
128 observations from nearly 400 Snowpack Telemetry (SNOTEL) stations across the western United

129 States, Yan et al. (2019a) compared the design flood estimates by using PREC-IDF and NG-IDF
130 curves coupled with the NRCS TR-55 single-event rainfall-runoff model (Cronshey et al., 1986).
131 They showed that about 70% of the stations in the western United States were subject to
132 underdesign with the use of PREC-IDF curves, for which the PREC-IDF curves generated lower
133 design floods by 324%. The lower estimation is due to the fact that in snow-dominated regions,
134 precipitation falls as snow during winter, and the subsequent spring snowmelt or ROS events
135 exhibit higher intensities.

136 Follow-up research in the NG-IDF context extended the application of NG-IDF beyond
137 SNOTEL to cover the CONUS (Sun et al., 2019, 2022a). However, there are several remaining
138 challenges associated with NG-IDF that we address explicitly in this research. First, an outstanding
139 issue with the use of NG-IDF technology in practice is the choice of hyetograph (i.e., W temporal
140 pattern), which is used to temporally distribute the W magnitude over the selected duration (e.g.,
141 24-h). Yan et al. (2020b) found that by assuming a uniform W hyetograph, the design flood
142 estimates from NG-IDF technology were consistently underestimated in snow-dominated regions
143 of the western United States. Despite that the choice of hyetograph has a significant impact on
144 design flood estimates (Huff, 1990; Hettiarachchi et al., 2018), currently, all standard hyetographs
145 are developed for rainfall cases only such as triangular/NRCS hyetographs (McCuen, 1998; Perica
146 et al., 2013) and we are unaware of a hyetograph developed or studied for W with different
147 underlying mechanisms.

148 In regions where snowmelt and ROS events are the dominant flood-generating mechanisms,
149 the use of the standard 24h symmetrically peaked, bell-shaped rainfall hyetograph may lead to
150 underestimation of W intensity. This is due to the tendency of snowpack to freeze overnight and
151 melt rapidly during periods of high net solar radiation (Gleason et al., 2013; Musselman et al.,

152 2017). Moreover, the *W* hyetograph may become nonstationary in the future due to global
153 warming-induced changes in precipitation phase, flood-generating mechanisms, and snowmelt
154 rate. For example, mountainous regions that were previously dominated by snow in March and
155 April during the late 20th century will likely experience increased rainfall frequency in those
156 months during the mid-21st century (Klos et al., 2014; USGCRP, 2018; Cho et al., 2021). In a
157 warmer climate, snowpack decline is expected to decrease ROS events at lower elevations while
158 increasing them at higher elevations due to a transition from snowfall to rain (Musselman et al.,
159 2018; Li et al., 2019). Additionally, snowpack will melt earlier and at a lower rate due to reduced
160 energy availability (Musselman et al., 2017; Yan et al., 2019b). Land use changes, such as
161 afforestation activities, may increase ROS frequency (Mooney and Lee, 2022), while postfire land
162 may increase snowmelt rate (Gleason et al., 2013).

163 Second, NG-IDF technology has not undergone validation at a basin scale that is more
164 relevant for hydrological design compared to the point or the hillslope scale used in previous
165 studies (Yan et al., 2019a, 2020b). Previous NG-IDF studies also assumed uniform bare soil cover
166 conditions, neglecting the complexity of land surface and associated processes that drive runoff in
167 real design problems. Lastly, the uncertainties associated with the *W* hyetograph selection on
168 design flood estimates are unknown. The shape of hyetographs is subject to uncertainties due to
169 the inherent natural variability of the climate. For instance, the standard NRCS rainfall hyetographs
170 may differ from the corresponding temporal distribution curves derived from analyzing a specific
171 local storm. Additionally, the shape of hyetograph can also vary in response to atmospheric
172 temperature fluctuations. For instance, Wasko and Sharma (2015) indicate that higher
173 temperatures, irrespective of the climatic region or season, tend to result in steeper temporal
174 patterns. Consequently, it is crucial to accurately quantify the impacts of hyetograph shape

175 uncertainties on the estimation of design floods. This paper aims to address these challenges.
176 Specifically, we aim to

- 177 1. Propose a general method to develop a hyetograph of W considering snowmelt and/or
178 ROS processes to illustrate the role of snowmelt temporal patterns in estimating design
179 hydrologic extremes,
- 180 2. Evaluate performances of NG-IDF technology in design flood estimates at a design
181 basin scale following the current TR-55 hydrologic design guideline, and
- 182 3. Quantify the contribution of W hyetograph selection to the uncertainty in NG-IDF
183 design flood estimates.

184

185 **2 Methodology**

186 In this section, we introduce the assessment framework of the NG-IDF technology in design flood
187 estimates, followed by detailed descriptions of the study area, data sources, and each framework
188 component.

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190 **2.1 Assessment Framework**

191 The framework for quantitative assessment of design flood estimates from the NG-IDF technology
192 is presented in Figure 1. First, we generate continuous simulations of streamflow for the basin of
193 interest using the DHSVM model. DHSVM is forced by 15min meteorological inputs. Using the
194 Mountain Microclimate Simulation Model (Hungerford et al., 1989), daily Livneh meteorological
195 data (Livneh et al., 2013) are disaggregated into 15min time steps. Simulations from process-based
196 models are used because long-term flow measurements are generally scarce at high-latitude
197 locations and especially for headwater streams that fit the small-scale engineering design basin,

198 due to inherent difficulties of access (Bales et al., 2006; Curran et al., 2016; Lundquist et al., 2016).
199 With no observations found within the basin of interest, DHSVM is calibrated and validated
200 against the available snow and streamflow observations at a higher level of the hydrologic unit
201 (which contains the small design basin). Second, the NG-IDF curves are developed based on the
202 DHSVM simulated annual maximum water available for runoff (W) following the methods
203 detailed in section 2.5. Meanwhile, flood frequency statistics (e.g., the 10-year flood) are also
204 derived directly from the DHSVM annual maximum streamflow data, which is used as the
205 benchmark to assess design floods estimated using the NG-IDF technology in the last step. Third,
206 based on the DHSVM W time series, hyetographs of W are studied and proposed for NG-IDF
207 curves. Fourth, with a selection of W hyetograph, the derived NG-IDF curves are used to drive the
208 TR-55 event-based model to estimate the associated design floods. Last, the design floods from
209 TR-55 are compared to the benchmark estimates of design floods from DHSVM continuous
210 streamflow simulation with uncertainty quantification. In our frequency analysis of DHSVM
211 streamflow and NG-IDF curves, we utilize the Monte Carlo method to measure the uncertainty
212 present in the sample data. Further information regarding the quantification of uncertainty is
213 provided in section 2.7.

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215 **[Place Figure 1 here]**

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217 **2.2 Study Area**

218 The Upper West Walker Basin (UWWB) is a sub-basin of the West Walker Basin (Hydrologic
219 Unit Code: 16050302) located in the eastern Sierra Nevada Mountains, California (Figure 2a). The
220 UWWB has a drainage area of about 633 km² and encompasses an elevation range of about 1,500
221 m. The primary source of streamflow is derived from the spring snowmelt, which originates from

222 winter precipitation in the form of snow. Runoff from snowmelt flows through the DoD Marine
223 Corps Mountain Warfare Training Center (MCMWTC) to Walker Lake with peak streamflow
224 occurring between April and June (Hatchett et al., 2016). In this study, the assessment of NG-IDF
225 technology focuses on a selected small test basin that drains into MCMWTC, rather than
226 considering the entire UWVB (Figure 2b). We focus on infrastructure safety at the DoD
227 MCMWTC site due to its critical role in force training to defend U.S. national security interests.
228 The test basin has an area of 2.36 km², which aligns with the TR-55 design scale for small
229 watersheds (Cronshey et al., 1986). The test basin has uniform bedrock-derived soil but contains
230 multiple land covers. The dominant land cover is grassland (32%) and forest (31%). The data
231 sources used to derive this information will be introduced in the next section.

232

233 **[Place Figure 2 here]**

234

235 **2.3 DHSVM Hydrological Simulation**

236 DHSVM (Wigmosta et al., 1994) is a physics-based, spatially distributed hydrologic model that
237 explicitly solves water and energy balances for each model grid cell with a spatial resolution
238 ranging from 10 m to 150 m. DHSVM includes a two-layer canopy submodel that represents
239 canopy processes such as canopy snow processes and evapotranspiration, a two-layer energy-
240 balance submodel for snow accumulation and melt, a four-layer soil submodel, and three-
241 dimensional surface and saturated subsurface flow routing submodels (Wigmosta et al., 2002).
242 DHSVM was originally developed for simulating hydrologic response in mountainous terrain and
243 subsequent developments have enhanced the snow submodel to better represent climate-forest-
244 snow interactions (Sun et al., 2018, 2022b), extended the model capability for simulating urban

245 hydrology and water quality (Cao et al., 2016; Yan et al., 2021; Fullerton et al., 2022), and
246 parallelized the model structure for large-scale application at high-performance computing
247 infrastructure (Perkins et al., 2019).

248 The required meteorological forcing data for DHSVM include precipitation, air
249 temperature, wind speed, relative humidity, downward solar, and downward longwave radiation.
250 In this study, we ran the DHSVM at a 90 m scale and 15min time step for 33 years from water
251 years 1981–2011 with a 3-year spin-up period. The 15min meteorological forcing data were
252 generated by disaggregating the daily Livneh meteorological data (Livneh et al., 2013) using the
253 Mountain Microclimate Simulation Model (MTCLIM) (Hungerford et al., 1989). Subdaily
254 precipitation assumes daily precipitation occurred at a uniform rate throughout the day. Subdaily
255 air temperatures were estimated using third-order Hermite polynomials spline based on daily
256 minimum and maximum air temperatures. Wind speed is assumed to be constant throughout the
257 day. Relative humidity was calculated based on subdaily temperatures, with the assumption that
258 the dew point is equal to the daily minimum temperature. Downward shortwave radiation was
259 calculated based on daily temperature range and dewpoint temperature using the Thornton and
260 Running (1999) algorithm, where dewpoint temperature was estimated based on daily minimum
261 temperature and precipitation. Downward longwave radiation was calculated using the method
262 described by Prata (1996) and is dependent on subdaily temperatures. For more information on the
263 MTCLIM algorithm, refer to Hungerford et al. (1989). In this study, because the meteorological
264 forcing, *SWE*, and flow measurements are only available at 24h scale, we developed IDF curves
265 solely at a 24h scale and then utilized the hyetograph to disaggregate the 24h precipitation/*W* into
266 subdaily scales for TR-55 modeling. Moreover, all other meteorological factors influencing
267 snowmelt within this basin exhibit variations occurring at 15-minute intervals. Given that this

268 basin is primarily influenced by snowmelt rather than rainfall, the effect of subdaily fluctuations
269 in precipitation on peak flow simulations in the DHSVM model will be negligible.

270 Other data used for DHSVM model input and parameterization include the U.S. Geological
271 Survey (USGS) digital elevation model (DEM) terrain data (Danielson and Gesch, 2011), the
272 NRCS Soil Survey Geographic Database (SSURGO) soil data
273 (<http://soils.usda.gov/survey/geography/>), and the Multi-Resolution Land Characteristics
274 Consortium National Land Cover Database (<https://www.mrlc.gov/>). Because no snow or flow
275 measurement exists within or nearby the test basin, we calibrated and validated the DHSVM model
276 for the UWVB, using daily streamflow data from two USGS gauges (ID 10296500 and 10296000)
277 and daily *SWE* data from the Sonora SNOTEL site (Figure 2a). Data from the SNOTEL site were
278 first screened following a rigorous three-stage SNOTEL quality control filter (Yan et al., 2018)
279 and subsequently bias corrected for snowfall undercatch (Sun et al., 2019). The resulting SNOTEL
280 data is referred to as bias-corrected quality-controlled (BCQC) SNOTEL data and is available at
281 <https://climate.pnnl.gov/>. DHSVM calibration and validation use the common period of available
282 streamflow, *SWE*, and Livneh meteorological data from the water year 1984 to 2011.

283 The performance of the DHSVM for simulating daily streamflow and *SWE* is evaluated
284 using three statistical metrics including the root-mean-square-error (RMSE), Nash-Sutcliffe
285 efficiency (NSE) (Nash and Sutcliffe, 1970), and Kling-Gupta efficiency (KGE) (Gupta et al.,
286 2009). The metrics RMSE and NSE focus on the modeling skills of high flow, while the KGE
287 metric is a multi-objective metric that takes into account the water balance, flow variability, and
288 correlation. The value of NSE varies from $-\infty$ to 1 and a value of 1 indicates a perfect fit between
289 observations and simulations. The KGE metric addresses several shortcomings in NSE (e.g.,
290 underestimation of the variability) and is now increasingly used for hydrologic model calibration

291 and evaluation (Knoben et al., 2019; Mizukami et al., 2019; Clark et al., 2021). Like NSE, the
292 value of KGE ranges between $-\infty$ and 1, and a value of 1 indicates a perfect agreement between
293 simulations and observation.

294

295 **2.4 TR-55 Event-based Rainfall-Runoff Modeling**

296 The NRCS TR-55 guideline (Cronshey et al., 1986) provides a standard procedure for hydrologic
297 design at small-scale watersheds. If the watershed is not divided and the channel routing is not
298 taken into account, it is advisable to refrain from using TR-55 for basins larger than 250 km²
299 (Ponce and Hawkins, 1996). The model described in TR-55 assumes a rainfall amount uniformly
300 imposed on the watershed over a specified duration. A design storm depth per unit area (selected
301 from IDF curves with a predefined design hyetograph) is converted to a runoff depth using the
302 runoff curve number (CN) approach, which estimates runoff as a function of the antecedent
303 moisture condition (AMC) and watershed physical characteristics (e.g., soil type, vegetation cover).
304 Runoff is then transformed into a hydrograph by using the unit hydrograph (UH) routing method
305 (Mockus, 1957) that depends on the runoff travel time through segments of the watershed (i.e.,
306 time of concentration). The dimensionless NRCS UH has two parameters, peak flood and time to
307 peak, which are empirically estimated using the basin area and time of concentration.

308 The basin average CN is set to 90 which corresponds to the wet AMC based on the CN
309 table of the TR-55 guideline (Table S1). The physical explanation behind the use of wet AMC is
310 that snowmelt events usually last for days to weeks and are more like to infiltrate soils (except
311 when the soil is frozen), therefore producing a high AMC for runoff generation (Jencso et al., 2009;
312 Yan et al., 2019a). The test basin time of concentration (t_c) is estimated to be 44min following the
313 TR-55 procedure (Cronshey et al., 1986). The critical design duration approach (Roger et al.,

314 2012; Yan et al., 2020b) is used here to identify potential peak design flood to make a fair
315 comparison with design flood estimates from DHSVM continuous simulations. More specifically,
316 the critical design duration refers to the duration that produces the largest peak flow. In this study,
317 for each selected average recurrence interval (ARI), such as the 50-year event, we calculate the
318 corresponding flood peaks for 24h, 48h, 72h, and 96h durations. The duration that yields the
319 highest flood peak is identified as the critical design duration and utilized for the assessments.

320

321 **2.5 NG-IDF Curves vs. DHSVM Design Floods**

322 To estimate design floods from NG-IDF curves, we follow the following steps. First, from
323 DHSVM continuous simulations, we construct the basin mean time series of W with a 15min
324 interval through mass balance as $W = P - \Delta SWE + S$, where P is precipitation, S indicates
325 condensation or evaporation/sublimation of snowpack, and ΔSWE is the change in SWE . We then
326 aggregated the 15min W time series for constructing basin-scale NG-IDF curves at selected
327 durations varying from 1–4 days (Perica et al., 2013). We did not include the subdaily duration
328 because the input precipitation data has no diurnal variability, and DHSVM was calibrated for
329 daily flow and SWE observations (i.e., to reduce uncertainties in estimated NG-IDF curves). For
330 each duration, the annual maximum (water year) W data set was extracted using a moving window
331 approach. As an illustration, when considering a 24h period, a moving window with a size of 96
332 is utilized to extract 96 sets of 15min data points. The window advances 15min at a time to estimate
333 the maximum 24h W for a given year.

334 Following the NOAA Atlas 14 (Bonnin et al., 2011), the generalized extreme value (GEV)
335 distribution was fit to the annual maximum W data set based on L-moments statistics (Hosking
336 and Wallis, 1997). Before the frequency analysis, we used the nonparametric Mann-Kendall test

337 (Mann, 1945; Kendall, 1975) to examine the stationarity assumption (Milly et al., 2008) of the
338 annual maximum W data set. To investigate the independence and stationarity, we additionally
339 utilized the nonparametric Wald-Wolfowitz test (Wald and Wolfowitz, 1943). The NG-IDF curves
340 were then developed for four selected exceedance probabilities: 0.2, 0.1, 0.04, and 0.02, which
341 correspond to extreme events with ARIs of 5, 10, 25, and 50 years. The ARI was cut off at 50-year
342 to reduce uncertainties in NG-IDF curves from extrapolating longer return periods from 28 years
343 of simulations. For design floods estimated from continuous DHSVM simulations, the approach
344 is similar to the frequency analysis procedure for NG-IDF curve development. We first extracted
345 the DHSVM continuously simulated annual maximum streamflow (also based on water years) and
346 examined the stationarity assumption using the Mann-Kendall test. We then used the same GEV
347 distribution to fit the data set using the L-moments statistics and estimated the benchmark design
348 floods for the ARIs of 5, 10, 25, and 50 years. It is noted that other methods like peaks-over-
349 threshold (Coles, 2001) or r-largest order statistics (Smith, 1986) can expand the dataset and reduce
350 uncertainties in frequency analysis. To eliminate any discrepancies that could arise from dissimilar
351 sample sizes or subjective threshold values in frequency analysis and focus solely on the snow
352 process, we utilized the same GEV distribution for both NG-IDF, PREC-IDF, and flood frequency
353 analysis. Furthermore, our evaluation of floods adhered to standard hydrologic design methods,
354 like NOAA Atlas 14, which employs the GEV distribution. Considering that DHSVM basin-wide
355 continuous flow simulations provide more reliable estimates of design floods, the difference
356 between the two design flood estimates (DHSVM vs. NG-IDF) is a good indication of the
357 limitations underlying the NG-IDF technology, which can include simplified physical hydrologic
358 process, assumption of equal ARI between design storm and design flood, and selection of
359 hyetograph. As an example, many studies (Viglione and Blöschl, 2009; Viglione et al., 2009) have

360 shown that flood ARI can be more or less frequent than the corresponding storm ARI depending
 361 on the storm duration, watershed time of concentration, and antecedent moisture condition. As
 362 previously mentioned in the Introduction, although the IDF approach has its limitations, it is still
 363 sensible to expect that this method will continue to be essential in hydrologic design, particularly
 364 for smaller infrastructure projects, in the near future.

365 Besides a deterministic assessment of the relative difference between the two design flood
 366 estimates, we further provide a probabilistic assessment to test if these differences are statistically
 367 significant. A Monte Carlo (MC) simulation suggested by Hosking & Wallis (1997) and NOAA
 368 Atlas 14 (Bonnin et al., 2011) was used to quantify the sample data uncertainty (i.e., the uncertainty
 369 of GEV parameters). A total of 1,000 synthetic ensembles were generated to quantify the GEV
 370 parameter uncertainties associated with NG-IDF curves and DHSVM flood frequency analysis. In
 371 this study, we used the “lmom” package (version 2.6) (Hosking, 2017) in “R” (version 3.4.3) to
 372 perform all L-moments and MC analyses. The Z statistic (Mikkelsen et al., 2005; Madsen et al.,
 373 2009; Ganguli and Coulibaly, 2017; Yan et al., 2020b) was used to test the statistically significant
 374 differences of the design flood estimates between the NG-IDF technology (q_{ng}) and DHSVM
 375 continuous simulation method (q_{dhsvm}):

$$Z = \frac{q_{dhsvm} - q_{ng}}{\sqrt{0.5(s_{dhsvm}^2 + s_{ng}^2)}} \quad (1)$$

376 where q_{dhsvm} is the design flood estimated from DHSVM flood frequency analysis, q_{ng} is the
 377 design flood obtained from the NG-IDF technology, s_{dhsvm} is the DHSVM design flood standard
 378 deviation estimated from the 1,000 DHSVM design flood ensemble, and s_{ng} is the standard
 379 deviation of the NG-IDF derived design flood, which is estimated from the 1,000 design flood
 380 ensemble generated from running TR-55 using each of the 1,000 NG-IDF ensemble members.

381

382 **2.6 Hyetograph of Water Available for Runoff**

383 We developed and compared the W hyetographs generated from two approaches. The first
384 approach follows the NOAA Atlas 14 that develops standard hyetographs for rainfall cases only
385 (i.e., assuming all W events are rainfall events), while the second and new approach introduced
386 here develops hyetographs based on the dominant mechanism of W events.

387 The NOAA Atlas 14 method (Bonnin et al., 2011) was modified from the methodology
388 originally proposed by Huff (1990). We computed W accumulation for specific periods (i.e., 1–4
389 days) to be consistent with the durations used in the NG-IDF curves. For each selected duration,
390 the following steps were repeated. First, a moving window approach was used to estimate W
391 accumulation over the selected duration based on the 15min DHSVM basin mean W time series.
392 The largest three W accumulations were then obtained for each month over the entire simulation
393 period. Following the NOAA Atlas 14, the 2-year ARI W magnitude was used as the minimum
394 threshold to select large W events for developing hyetographs. Different thresholds were evaluated,
395 including the 25-year ARI, and found that the results were comparable to the 2-year ARI. As a
396 result, the 2-year ARI was selected to generate more samples for the development of probabilistic
397 hyetographs. Each event was then converted into a ratio of the cumulative 15min W to the total
398 W for that duration (i.e., percent of total W), and a ratio of the cumulative time to the total time
399 (i.e., percent of duration). Thus, the last value of the summation ratios is always equal to 100% in
400 the hyetograph. The obtained ensemble large W events were further subdivided into quartiles
401 based on where in the hyetograph (i.e., temporal distribution) most W occurred to provide more
402 specific information on the observed varying hyetographs (Bonnin et al., 2011). For example, the
403 1st-quartile data consists of events where the greatest percentage of the total W fell during the 1st-

404 quartile of the duration, i.e., the first 6 hours of a 24h period. For the W events classified for each
405 quartile, we can then estimate the cumulative probability of occurrences (i.e., quantiles). For
406 example, the 10% hyetograph curve (90% quantile) indicates that 10% of the corresponding W
407 events have temporal distributions above the curve.

408 Contrary to the NOAA Atlas 14 hyetograph method that considers rainfall events only, the
409 new approach developed here takes into account the generating mechanism of W events, including
410 rainfall, snowmelt, and ROS (Yan et al., 2018). As will be shown in section 3.2, the hyetograph
411 shapes of W driven by different mechanisms show substantial differences. For example, the
412 temporal distribution of snowmelt-driven W events shows an explicit diurnal cycle associated with
413 the diurnal variability of solar radiation, while the temporal distribution of rainfall events presents
414 a symmetrically peaked, bell-shaped curve. Thus, it is questionable to develop a unified W
415 hyetograph that simply combines the temporal patterns of all large W events generated from
416 different mechanisms. A better approach to developing the W hyetograph is first to identify the
417 dominant W generating mechanism for the study basin, and then generate the W hyetograph for
418 events with the same dominant mechanism. Following Yan et al. (2019b) and Sun et al. (2022a),
419 we classified the daily W time series into three mechanism classes:

- 420 1. Rainfall dominated: daily W of at least 10 mm and contains less than 20% snowmelt,
- 421 2. Snowmelt dominated: daily W of at least 10 mm and the W contains less than 20% P ,
- 422 and
- 423 3. ROS dominated: daily P of at least 10 mm falling on snowpack of at least 10 mm SWE ,
- 424 and W contains at least 20% snowmelt.

425

426 **2.7 Uncertainty in Design Floods**

427 Besides proposing a hyetograph of W , another goal here is to disentangle and quantify uncertainty
428 contributions of the W sample data and W hyetograph selection on the design flood estimates
429 through the standard TR-55 procedure. The individual uncertainty contribution of an NG-IDF
430 sample set or W hyetograph is estimated using a sequential sampling procedure similar to that
431 used by Schewe et al. (2014) and Samaniego et al. (2017). For example, assume we quantify the
432 W hyetograph uncertainty using 50 ensemble members and NG-IDF sample data uncertainty using
433 1,000 ensemble members. The component of the NG-IDF sample data uncertainty is characterized
434 by calculating the range of design floods across all 1,000 NG-IDF MC samples separately for each
435 selected W hyetograph, which is then averaged over all 50 W hyetograph ensembles. The
436 component of the W hyetograph uncertainty is estimated in a similar fashion. We first calculate
437 the design flood range across all 50 W hyetograph ensembles for each NG-IDF sample set and
438 then average them over 1,000 NG-IDF samples. The above procedure was applied separately to
439 each selected duration (i.e., 1–4 days) and ARI (i.e., 5–50 years). The range statistic is used here
440 to understand the full range of the dispersion. The statistically significant difference between the
441 two averaged range statistics is tested using the aforementioned Z statistic.

442

443 **3 Results and Discussion**

444 In the following, the analyses performed for NG-IDF technology and DHSVM continuous
445 simulation are reported. We first report the results of the DHSVM evaluation, the development of
446 NG-IDF curves, and DHSVM flood frequency estimations in section 3.1. Second, we discuss the
447 water available for runoff (W) hyetographs and compare the design flood estimates derived from
448 NG-IDF technology to the corresponding DHSVM benchmark in sections 3.2 and 3.3. Last, we

449 disentangle and quantify the uncertainty contributions of the W sample data and W hyetograph to
450 TR-55 design flood estimates in section 3.4.

451

452 **3.1 DHSVM Evaluation and NG-IDF Curves**

453 The historical records for both streamflow and SWE over water years 1984–2011 were split into
454 two periods of 19 and 9 years in length: 1984–2002 for DHSVM calibration and 2003–2011 for
455 validation. Model calibration was conducted manually by comparing the daily simulations with
456 observations of SWE and streamflow, sequentially. Figure 3 presents the DHSVM model
457 performance in streamflow and SWE simulations for both calibration and validation periods.
458 Except for one year (1997) when the observed streamflow peak was significantly greater than the
459 simulated value at the USGS gauge 10296000, other periods had a very good agreement between
460 the simulations and observations. In the calibration period, statistical comparisons of measured
461 versus simulated daily values resulted in KGE values of 0.84, 0.82, and 0.74, NSE values of 0.73,
462 0.72, and 0.77, and RMSE values of 6.25 m³/s, 6.25 m³/s, and 131 mm for the USGS gauges
463 10296500, 10296000, and the Sonora SNOTEL site, respectively. The performance of the
464 calibrated model on the validation data set had slightly lower skill, with KGE values of 0.63, 0.82,
465 and 0.76, NSE values of 0.53, 0.68, and 0.78, and RMSE values of 9.28 m³/s, 7.94 m³/s, and 141
466 mm for the USGS gauges 10296500, 10296000, and the Sonora SNOTEL site, respectively. The
467 January 1997 observed peak flow in the Walker River was greater than that of previous and
468 subsequent floods. The January 1997 flood was caused by ROS resulting from unseasonably warm
469 rain in the Sierra Nevada. Accurate simulation of ROS flooding is challenging due to various
470 factors such as rain intensity and amount, prevailing freezing level, and spatial distribution of snow
471 cover. Either of these uncertainties could contribute to bias in predicting the peak flow during ROS

472 events (Fehlmann et al., 2019). Although SNOTEL simulation indicated a good match in *SWE*
473 simulation in 1997, one SNOTEL site may not represent all mountain ranges, and the SNOTEL
474 network is biased towards specific types of terrain and vegetation (Mote et al., 2016). Interpolated
475 precipitation data at higher mountains may be subject to bias due to limited gauge coverage or
476 gauge undercatch (Groisman and Legates, 1994; Serreze et al., 2001; Lundquist et al., 2019),
477 which could also lead to underestimation of the 1997 flood. We also examined the 28-year annual
478 maximum time series of daily streamflow and *W* from DHSVM simulations and observations. The
479 mean absolute relative differences for the annual maximum streamflow (AM-S) are 20.2% and
480 19.0% at the USGS gauges 10296500 and 10296000, for the annual maximum *W* (AM-W) is 19.6%
481 at the Sonora SNOTEL, respectively. Given the hydrologically challenging context, it is good in
482 practice if errors in estimated flood peaks are within 20% of the value derived from validation data
483 (Calver et al., 2009; Yan et al., 2020b). In summary, model calibration and validation results gave
484 satisfactory and comparable performances on both streamflow and snow simulations. This
485 validated DHSVM model was used as the benchmark for the following assessment of NG-IDF
486 technology.

487
488 **[Place Figure 3 here]**
489

490 After extracting the basin mean AM-W time series, we used the nonparametric Mann-
491 Kendall test to examine the stationarity assumption for frequency analysis. For each selected
492 duration (24–96 hours), no statistically significant trend was identified (p -value>5%). The
493 supplementary Wald-Wolfowitz test (p -value>5%) verified the assumption of stationarity and
494 independence for frequency analysis as well. Figure 4 presents the basin-scale NG-IDF curves for

495 the four selected durations varying from 24h to 96h. The associated basin-scale PREC-IDF curves
496 are provided in Figure S1. The shaded areas characterized the 90% confidence intervals associated
497 with the W sample data uncertainty in frequency analysis. It is observed that extreme events with
498 longer ARIs had larger uncertainties; it comes as no surprise because we used 28 years of data to
499 extrapolate the 50-year events. For example, for a 5-year 24h event (i.e., an event with an ARI of
500 5 years and duration of 24 hours), the range of the 90% confidence intervals was 6.2 mm; while
501 for a 50-year 24h event, the range of 90% confidence intervals was 27.7 mm. Figure 5 presents the
502 DHSVM simulated hydrograph and AM-S time series for the test basin. About 93% of AM-S data
503 occurred between February–May, indicating the snowmelt-dominant flood-generating mechanism
504 for the test basin. Based on the nonparametric Mann-Kendall and Wald-Wolfowitz tests, no
505 statistically significant trend was identified for the AM-S time series. After confirming the
506 stationary assumption, we estimated the DHSVM design flood benchmark in addition to their
507 associated uncertainties for NG-IDF assessment.

508

509 **[Place Figures 4–5 here]**

510

511 **3.2 Water Available for Runoff Hyetograph**

512 Based on the classification criteria described in section 2.6, we first identified the dominant
513 mechanism for the selected large daily W events. During the 28-year simulation period, we found
514 125 snowmelt events, 3 rainfall events, and 0 ROS events. For the 3 large rainfall events, we
515 confirmed that their occurrence dates (e.g., July 24, 1998) were far away from their associated
516 AM-S dates (e.g., April 22, 1998), indicating that the large rainfall events did not lead to an AM-

517 S. Thus, the W hyetograph developed in this study was generated from the snowmelt mechanism
518 only.

519 Following the procedure used by the NOAA Atlas 14, for each selected duration, we
520 extracted large W accumulations (i.e., > 2-year ARI event) estimated from the basin mean 15min
521 W time series. Any large W accumulation that contained the 3 rainfall events was removed for the
522 following hyetograph development. As a result, a total of 55, 46, 42, and 34 large W events
523 generated from the snowmelt mechanism only were retained for the 24, 48, 72, and 96h duration,
524 respectively. Figure 6 illustrates the ensemble temporal distributions of W events (from snowmelt
525 only), in terms of percent of total W versus percent of duration, at each selected duration. For
526 illustration purposes only, Figure S2 presents the ensemble temporal distributions of W events
527 including the 3 large rainfall events for the 24h duration case. Contrary to the rainfall temporal
528 distribution, it is observed that the snowmelt temporal distribution showed a more rapid rise (i.e.,
529 higher intensity) and an explicit diurnal pattern controlled by solar energy input. For instance, at
530 nighttime, there is no change in the W temporal distribution. Among the 3 large rainfall events,
531 the largest percentage of the total rainfall fell during the 1st-quartile of the duration (i.e., first 6
532 hours of 24h duration) was only about 60% (Figure S2); while for snowmelt events, this value was
533 100% (i.e., all snowpack melted in the first 6 hours). After acquiring all ensemble temporal
534 distributions of W , we further divided each distribution by quartiles based on where in distribution
535 the most W occurred. Analysis shows that the 24h and 48h events were dominated in the 1st-
536 quartile while the 72h and 96h events were dominated in the 2nd-quartile. About 78% and 50% of
537 the 55 and 46 large W events occurred in the 1st-quartile for the 24h and 48h durations,
538 respectively; and about 43% and 50% of the 42 and 34 large W events occurred in the 2nd-quartile
539 for the 72h and 96h durations, respectively.

540

541

[Place Figure 6 here]

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Based on the obtained ensemble temporal distributions at each selected duration, we then develop a probabilistic hyetograph of W by estimating the exceedance probabilities of W occurrence (i.e., quantiles) at each time step. Contrary to the probabilistic rainfall hyetograph developed in the NOAA Atlas 14 that combines all large rainfall cases, the unique diurnal pattern associated with the snowmelt-dominant W hyetograph requires special attention. Taking a 24h duration event for example, if all obtained W temporal distributions are divided evenly into the 1st-quartile and 4th-quartile (e.g., the horizontal lines occur evenly at the 10% or 90% y-axis value), the estimated median (50% exceedance probability) curve will be close to the uniform distribution over the 24h duration (e.g., a 1:1 line), which substantially underestimates the snowmelt intensity. To address this issue, we developed the probabilistic W hyetograph based only on the large W events that occurred in the dominant quartile, similar to the alternative quartile-based rainfall hyetograph in the NOAA Atlas 14.

Figure 7 presents the probabilistic hyetographs of W for the test basin over the four selected durations. The graph represents the cumulative probability of occurrence at 20% increments and a moving window smoothing technique was performed on each curve (Bonnin et al., 2011). For the 24h and 48h durations, the probabilistic hyetographs were developed using ensemble W events where most W occurred in the 1st-quartile; for the 72h and 96h durations, they were developed using the W events where most W occurred in the 2nd-quartile. For each duration, the 10% hyetograph curve indicates that 10% of the corresponding W events had temporal distributions that fell above the curve (i.e., 10% exceedance probability); the 50% curve represents

563 the median temporal distribution. The broad range between these curves represents the broad
564 uncertainties associated with the W hyetograph in design flood estimates. In this study, we tested
565 the median (50% curve) hyetograph and an optimized hyetograph method in the NG-IDF modeling.
566 In the optimized hyetograph method, all curves varying from 10% to 90% (at a 10% increment)
567 were used in the NG-IDF modeling and the best results (i.e., closest to the DHSVM benchmark)
568 were retained. The median hyetograph was used to test whether a hyetograph under the “average”
569 condition can lead to acceptable results; the optimized hyetograph method was used to evaluate
570 what are the best results we can achieve with the use of W hyetographs in the NG-IDF modeling.
571 Note that our objective is not to overfit the model in order to make NG-IDF estimation align with
572 DHSVM benchmark through optimized hyetograph selection. Rather, our aim is to measure the
573 level of uncertainty in hyetograph selection during flood estimation and to emphasize the
574 significance of taking hyetograph uncertainty into account when conducting flood risk analysis, as
575 detailed in section 3.4.

576

577

[Place Figure 7 here]

578

579 **3.3 NG-IDF Modeling**

580 Before using the developed snowmelt W hyetographs, we first tested the standard rainfall
581 hyetographs in the NG-IDF modeling for comparisons. In our previous studies, we extensively
582 investigated the difference between PREC-IDF and NG-IDF curves (Yan et al., 2018, 2019a,
583 2020b). However, in this study, our focus is solely on NG-IDF modeling. Specifically, we analyze
584 NG-IDF modeling using a rainfall hyetograph in comparison to a developed snowmelt hyetograph.
585 Figure 8 compared the developed snowmelt W hyetograph (using 24h median hyetograph as a

586 proof-of-concept) against five standard rainfall hyetographs: uniform hyetograph proposed in the
587 rational method and four types of NRCS rainfall hyetographs widely used over the U.S. Results
588 suggest that all rainfall hyetographs substantially underestimate the W intensity in the snow-
589 dominated test basin. In the following comparisons, we used two rainfall hyetographs – the
590 uniform hyetograph and the NRCS Type IA hyetograph that is recommended for hydrologic design
591 in the Sierra Nevada and Cascade mountains (McCuen, 1998). The uniform hyetograph is included
592 because only daily precipitation data are available, and we uniformly disaggregated the
593 precipitation data over 24 hrs.

594

595

[Place Figure 8 here]

596

597 Figure 9a compares the design flood estimates from the DHSVM continuous simulations
598 (q_{dhsvm}) and NG-IDF modeling (q_{ng}) with the use of uniform hyetograph and NRCS Type IA
599 hyetograph, respectively. Note that we used the critical design duration approach to identify
600 potential peak design flood in the NG-IDF technique. In addition to the deterministic estimates,
601 sample uncertainties associated with DHSVM flood and NG-IDF frequency analysis were also
602 quantified and shown as the 90% confidence intervals (i.e., error bars) in Figure 9a. As described
603 in section 2.5, the Z statistic was used to test the statistically significant differences in the design
604 flood estimates between the two methods. The associated p -values of the pairwise comparison
605 between DHSVM and NG-IDF estimates were also shown in Figure 9a. It is observed that
606 compared to q_{dhsvm} , q_{ng} with the use of uniform and NRCS hyetographs both showed statistically
607 significant underestimations of design floods (p -value < 1%) for all four ARIs, even though the
608 wet AMC and critical design duration were used to reduce the potential underestimation of the

609 design flood. In sum, these results suggested that standard rainfall hyetographs can lead to a
610 substantial underestimate of flood risk and it is necessary to develop new hyetographs to enhance
611 NG-IDF performance. Note that the larger confidence interval associated with the NG-IDF method
612 are due to the TR-55 nonlinear rainfall-runoff process used to convert W magnitude into flood
613 magnitude, whereas DHSVM directly generates design flood magnitude through frequency
614 analysis of annual maximum flood. Similar results are found in Yan et al. (2019a).

615

616

[Place Figure 9 here]

617

618 Figure 9b compares the design flood estimates from q_{dhsvm} and q_{ng} with the use of
619 median and optimized W hyetographs, respectively. To select the optimized hyetographs, various
620 curves ranging from 10% to 90% were tested (with a 10% increment) in the NG-IDF modeling.
621 The optimized hyetographs were then chosen based on their similarity to the DHSVM benchmark,
622 with the best-fit curve being selected. Similar to Figure 9a, the error bars represent the 90%
623 confidence intervals of design flood estimates associated with the sample data uncertainties in the
624 NG-IDF curves; the p -values of the pairwise comparison indicate the Z statistics. It is observed
625 that compared to the results with the use of standard rainfall hyetographs (Figure 9a), the use of
626 either median or optimized W hyetographs substantially improved the design flood estimates.
627 When using the median W hyetograph, the absolute relative errors between q_{dhsvm} and q_{ng} were
628 about 23%, 8%, 9%, and 20% for the 5-, 10-, 25-, and 50-year events, respectively. The absolute
629 relative errors were further reduced to about 5%, 1%, 2%, and 0.5% for the 5-, 10-, 25-, and 50-
630 year events with the use of optimized W hyetograph, respectively. When considering the sample
631 uncertainties in the NG-IDF curves, we found statistically insignificant differences (i.e., p -value >

632 5%) between the q_{dhsvm} and q_{ng} for all four selected ARIs with the use of median and optimized
633 W hyetographs, respectively. These results suggested that W hyetographs offer good performance
634 for NG-IDF technology in hydrologic design and the median W hyetograph may be appropriate.
635 However, the broad range in the W hyetograph shown in Figure 7 can result in a broad range of
636 design peak flow or volume estimates, leading to large uncertainties associated with the W
637 hyetograph selection. We argue that the probabilistic W hyetograph should be used in a way that
638 reflects the goals of the user, and no single W hyetograph (e.g., median curve) works the best
639 under all design conditions. A practical path forward is to quantify the uncertainty contribution of
640 W hyetograph selection in NG-IDF design flood estimates and then perform a design risk analysis
641 that depends on the risk tolerance of a particular asset or project. To illustrate, a wide variety of
642 design floods or volumes can be estimated. To minimize the risk of failure in the design of critical
643 infrastructure, it is advisable for users to focus on temporal distributions that are more likely to
644 produce higher peaks instead of median cases. Additionally, a decision-analytic cost-loss-ratio
645 model (Murphy, 1977) can be utilized to determine the total cost associated with a specific
646 hyetograph. Furthermore, users should evaluate whether utilizing results from one of the quartiles
647 instead of all samples would yield more suitable outcomes for their particular circumstances.

648 It should be noted that when conducting frequency analysis on annual maximum W and
649 streamflow, an important assumption is made that all samples are stationary, independent, and
650 identically distributed. In the case of the small basin examined in this study, this assumption holds
651 true because all samples are generated from the snowmelt process and no statistically significant
652 trends have been observed. However, for larger basins that involve multiple flood-generation
653 processes, such as flood mixtures, additional attention is required when estimating flood quantiles.
654 Previous studies (Murphy, 2001; Barth et al., 2019) have highlighted the need to account for such

655 flood mixtures. Furthermore, with the expected impact of climate change, flood-generation
656 processes may undergo changes, such as a decrease in ROS events at lower elevations and an
657 increase at higher elevations (Musselman et al., 2018; Li et al., 2019). Consequently, it is crucial
658 to employ a physically informed approach for flood frequency analysis, considering both the
659 historical period and future projections. For instance, Barth et al. (2017) employed the mechanism
660 of atmospheric rivers to partition annual peak flows in the western U.S. They estimated flood
661 quantiles by considering a mixed population. Additionally, Yu et al. (2022) demonstrated that
662 neglecting mixture effects can lead to substantial uncertainties in estimating the magnitudes of
663 extreme flood statistics. As explained in section 2.6, the classification of W into rainfall, snowmelt,
664 and ROS events can assist in partitioning flood peaks and conducting flood frequency analysis
665 using mixed flood populations.

666

667 **3.4 Sample and Hyetograph Uncertainty Contributions on Design Floods**

668 In this NG-IDF technology assessment, both methods used simulations from validated DHSVM,
669 and therefore the two major uncertainty sources associated with the NG-IDF technology
670 assessment were the data sample uncertainty in NG-IDF frequency analysis and W hyetograph
671 uncertainty in the TR-55 modeling. Following the procedure described in section 2.7, we
672 quantified the uncertainty contribution of each component to the mean range of design flood
673 estimates using the range statistic in Figure 10.

674

675

[Place Figure 10 here]

676

677 Using the standard Z test, the differences between the two mean ranges were all statistically
678 significant (i.e., p -value < 5%) except for the 72h, 5-year event as shown in Figure 10. The
679 magnitudes of both mean ranges tend to increase with ARI, suggesting larger uncertainty
680 associated with less frequent extreme events. For events with lower ARIs such as 5-year or 10-
681 year, both the data sample and W hyetograph uncertainties are important to the design flood
682 uncertainties (relative difference <50%); for events with higher ARIs such as 50-year, the data
683 sample uncertainty dominates (relative difference >100%), due to extrapolating to large ARIs
684 using short- to modest-length records (i.e., 28 years). This is mainly because the shape parameter
685 of extreme value distribution determines the nature of the tail of the distribution and its value has
686 significant impacts on the severity of large ARI events. Unfortunately, no matter what estimation
687 method is selected, the shape parameter is difficult to estimate and sensitive to extreme events,
688 especially given short records (Cooley et al., 2007; Cooley and Sain, 2010). These findings are
689 also consistent with the latest federal flood frequency guideline Bulletin 17C (England et al., 2018),
690 which recommended using regional information to reduce data sample uncertainty, particularly
691 when records are short (less than 30 years).

692 It is worth noting that the contribution of the W hyetograph uncertainty, although smaller
693 than the data sample uncertainty at larger ARIs, cannot be neglected, considering that the
694 associated mean range of design flood estimates exceeded the absolute value of the DHSVM
695 benchmark as shown in Figure 9. For example, for the 24h, 50-year event, the mean range of design
696 flood contributed from the W hyetograph uncertainty was 4.66 m³/s, exceeding the absolute value
697 of the DHSVM benchmark of 3.74 m³/s. Especially for small infrastructures such as drainage
698 system design, many local/federal surface water design manuals (UFC, 2013; SCDM, 2016;
699 SWMMEW, 2019) recommend ARIs of 5-year to 25-year. The sample data uncertainty versus the

700 W hyetograph uncertainty is actually the *epistemic uncertainty* versus *aleatory uncertainty* which
701 involves a lack of knowledge about the response data or random natural variability (Beven and
702 Smith, 2015). The *epistemic uncertainty* can be suitably resolved by improving the model while
703 the *aleatory uncertainty* cannot be diminished. For example, we can use the peaks-over-threshold
704 method, the regionalization method, or extend the simulation period to reduce the sample data
705 uncertainty in NG-IDF curves; however, the W hyetograph uncertainty cannot be reduced because
706 of the natural variability. These results support that we must consider W hyetograph uncertainty
707 in NG-IDF design and nonstationary changes of W hyetograph in future flood projections, and the
708 developed W hyetograph can provide information using a probabilistic approach to risk
709 assessment that is adjustable based on design cost and changing operating conditions. As
710 mentioned in the Introduction section, the IDF design approach necessitates not only a storm
711 magnitude but also a predetermined hyetograph, with the shape of the hyetograph differing
712 depending on the underlying mechanism, such as the standard rainfall hyetograph versus the
713 snowmelt hyetograph illustrated in Figure 8. Numerous studies (Cheng and AghaKouchak, 2014;
714 Ragno et al., 2018; Hou et al., 2019; Schlef et al., 2023) have examined the potential changes in
715 IDF curves resulting from global warming. However, climate change will cause different regions
716 to experience varying shifts in their dominant flood-generating mechanisms, with higher
717 mountains potentially experiencing more ROS events.

718

719 **4 Conclusions**

720 In this study, we assessed the performance of the recently developed NG-IDF technology in design
721 flood estimates for hydrologic design at a snow-dominated small basin located in the eastern Sierra
722 Nevada Mountains, California where the snowmelt flows through the U.S. DoD's MCMWTC.

723 Based on evaluations of NG-IDF technology, we proposed a new probabilistic W hyetograph that
724 explicitly represents the temporal patterns of snowmelt and quantified the contribution of W
725 hyetograph uncertainty in design flood estimates.

726 Based on the results of this study, we have four major conclusions:

727 1) The standard rainfall hyetographs such as uniform distribution proposed in the rational
728 method, or NRCS temporal distributions lead to substantial underestimation of design floods and
729 therefore are inappropriate for the small snow-dominated basin in the Sierra Nevada. There is an
730 emerging need to systematically develop W hyetograph over the CONUS.

731 2) The median W hyetograph generates acceptable flood estimates but the probabilistic W
732 hyetograph represents a broad range of uncertainty or *aleatory uncertainty* which is caused by
733 random natural variability and cannot be diminished, resulting in a broad range of variability in
734 design peak flow or volume estimates. A full risk analysis that includes W hyetograph uncertainty
735 is recommended for risk-based hydrologic design and future climate change impact studies on
736 flood risk (e.g., changes in hyetograph versus changes in storm magnitude).

737 3) Instead of simply “pooling” all ensembles of large W events together in developing a
738 probabilistic W hyetograph, it is important to investigate the underlying mechanism (e.g., rainfall,
739 snowmelt, ROS) to gain a physical understanding of their behaviors. For example, the test basin
740 in this study was dominated by the snowmelt mechanism and therefore the W hyetograph
741 presented an explicit diurnal pattern controlled by solar energy input.

742 4) Sample data uncertainty in the NG-IDF frequency analysis and W hyetograph
743 uncertainty in the TR-55 modeling equally contribute to the design flood uncertainties at smaller
744 ARIs such as 5-year event (which is commonly used in hydrologic design such as culverts); sample
745 data uncertainty dominates the W hyetograph uncertainty at larger ARIs such as 50-year event.

746 The sample data uncertainty or *epistemic uncertainty* can be suitably resolved by improving the
747 model such as the regionalization method or extending the DHSVM simulation period.
748 Nevertheless, it should be acknowledged that these findings are derived from a small snow-
749 dominated basin in the Sierra Nevada, and as such, the outcomes may differ for larger snow-
750 dominated areas with varying basin sizes, climates, and vegetation.

751 Despite the promising results with the use of the developed W hyetograph, we
752 acknowledge that further assessments are still necessary to make NG-IDF technology ready for
753 practicing design. The conclusion reached in this study pertains solely to the small study basin in
754 the Sierra Nevada Mountains. Obviously, more case studies are necessary to develop regional W
755 hyetographs generated from different physical mechanisms and validate them in different
756 hydroclimate regions. The characteristics of a basin, including its size, topography, climate, and
757 vegetation type, can have an impact on the shape of the hyetograph and the estimation of design
758 flood. For instance, in a large basin with rainfall- and snow-dominated regions, the standard
759 rainfall hyetograph may be suitable if summer thunderstorms are the primary cause of flooding
760 instead of spring snowmelt (Gochis et al., 2015). However, at lower elevations where snow has
761 historically dominated, the standard rainfall hyetograph may become applicable in the future due
762 to a shift in precipitation phase from snowfall to rain. Conversely, at higher elevations, the
763 snowmelt hyetograph may currently be effective but could underestimate design flood estimation
764 in the future due to an increase in the frequency of ROS events under climate change (Musselman
765 et al., 2018). Additionally, the vegetation type in a basin can also affect the hyetograph shape, with
766 evergreen forests having a higher occurrence of ROS events than open spaces (Mooney and Lee,
767 2022), and postfire lands potentially accelerating the rate of snowmelt (Gleason et al., 2013). Next,
768 we plan to use the well-validated DHSVM model with the developed regionally coherent snow

769 parameters (Sun et al., 2019) to construct regional W hyetographs over the CONUS and test them
770 against different hillslope configurations and selected validation basins using the general method
771 presented in this study.

772

773 **Open Research**

774 The DHSVM source code is available at (Perkins et al., 2023).

775 The BCQC SNOTEL data used in this study are available at

776 <https://climate.pnnl.gov/?category=Hydrology&card=2520c45b90be6207c5b68aef3817da52>

777 The USGS and the National Geospatial-Intelligence Agency Global Multi-resolution Terrain
778 Elevation Data 2010 are available at (Danielson and Gesch, 2011).

779 The Livneh meteorological forcing data are available at (Livneh et al., 2015).

780 The National Land Cover Database is available at

781 <https://www.mrlc.gov/data?f%5B0%5D=category%3Aland%20cover&f%5B1%5D=region%3A>

782 conus

783 The NRCS Soil Survey SSURGO soil data are available at (Soil Survey Staff, 2022).

784 The USGS streamflow observations data for gauge 10296500 are available at

785 https://waterdata.usgs.gov/nwis/dv?cb_00060=on&format=rdb&site_no=10296500&legacy=&re

786 ferred_module=sw&period=&begin_date=1984-01-01&end_date=2013-12-31

787 The USGS streamflow observations data for gauge 10296000 are available at

788 https://waterdata.usgs.gov/nwis/dv?cb_00060=on&format=rdb&site_no=10296000&legacy=&re

789 ferred_module=sw&period=&begin_date=1984-01-01&end_date=2013-12-31

790

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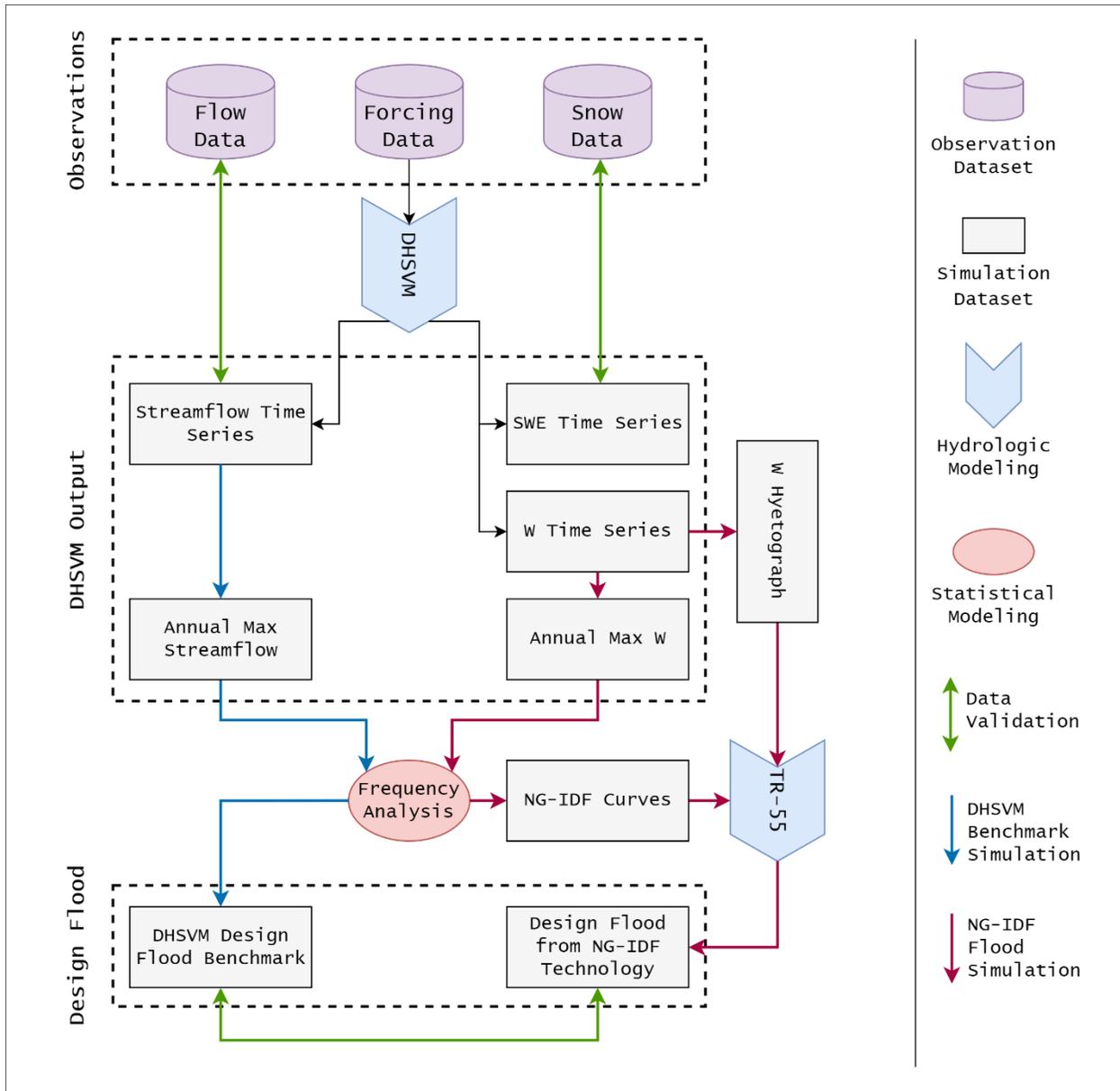
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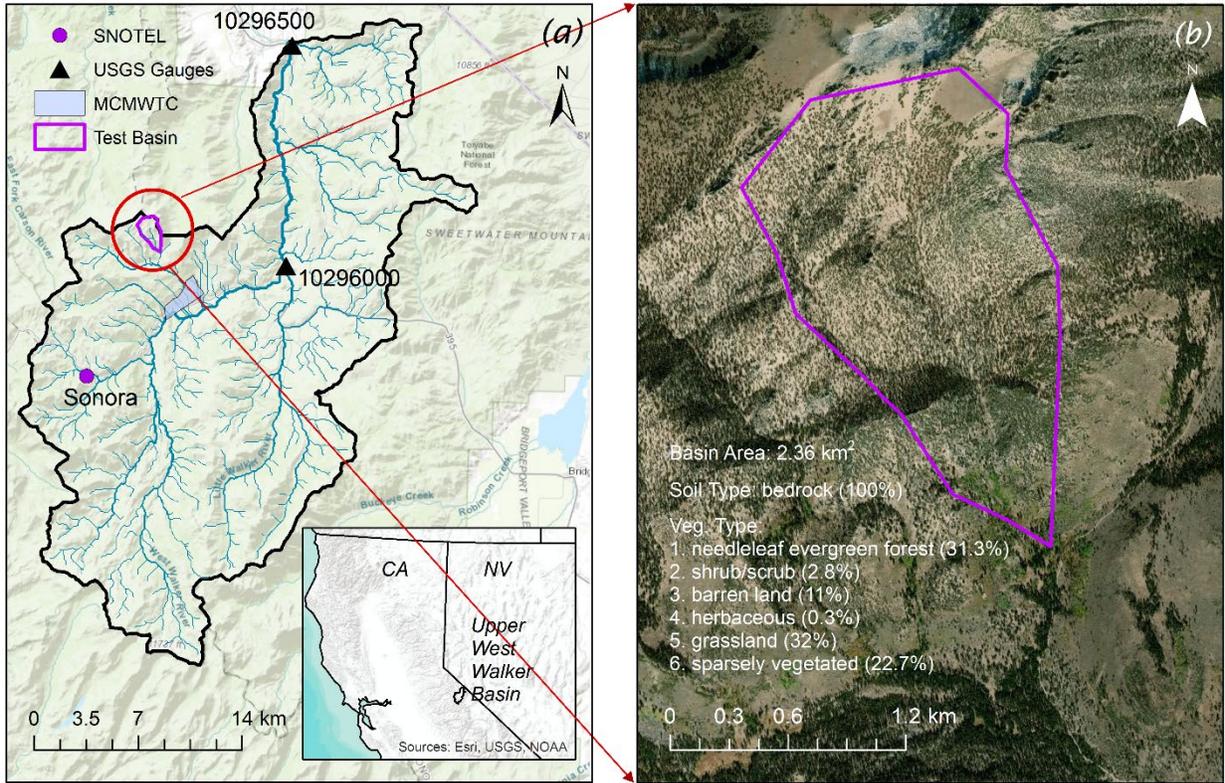
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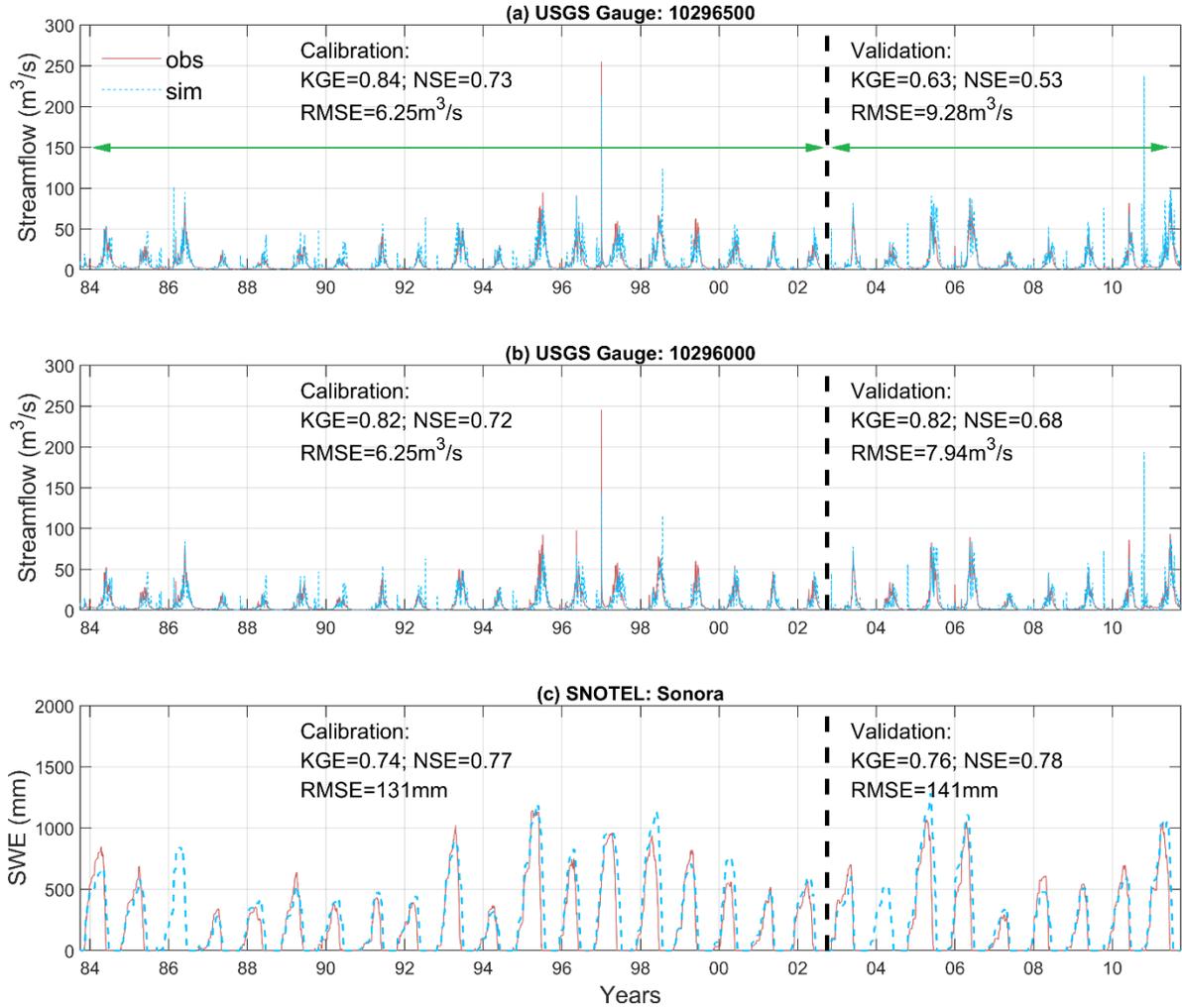
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Figure 1. The framework for developing water available for runoff hyetograph and evaluating the NG-IDF technology in design flood estimates using DHSVM continuous simulations.

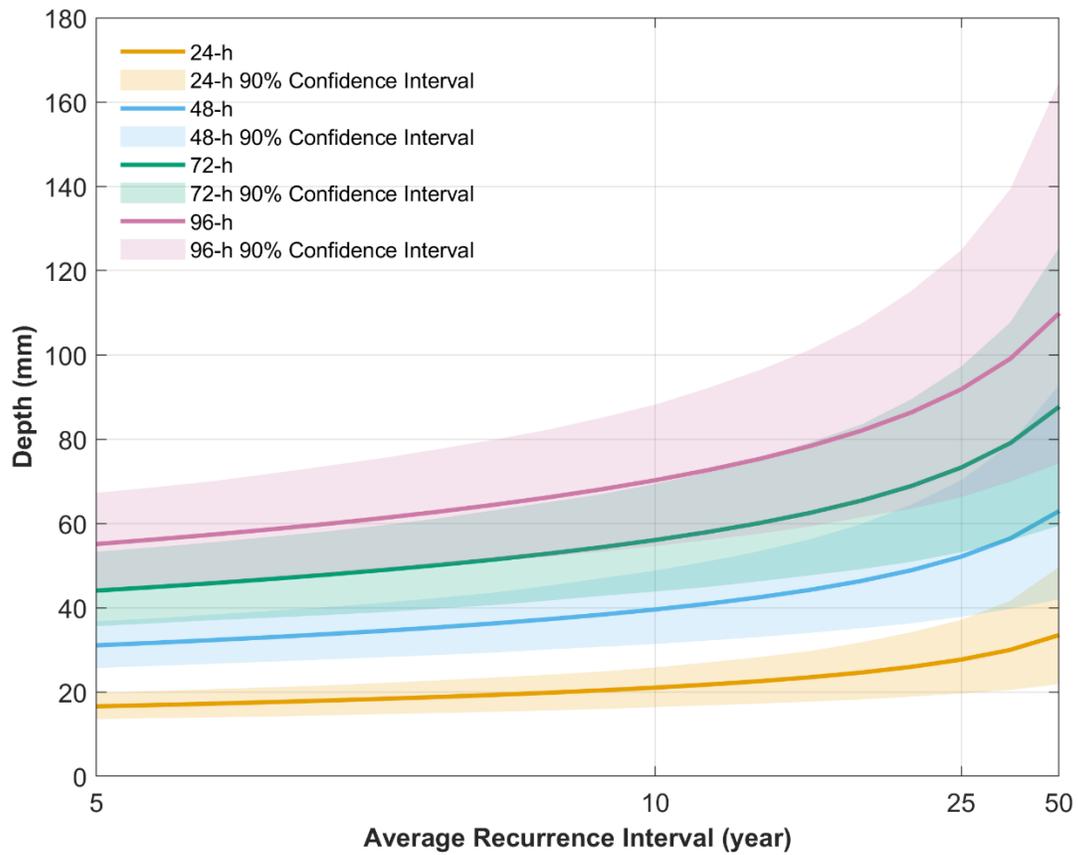


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Figure 2. The location of Upper West Walker Basin and the selected small snow-dominated basin that flows into the U.S. Department of Defense (DoD) Marine Corps Mountain Warfare Training Center (MCMWTC).

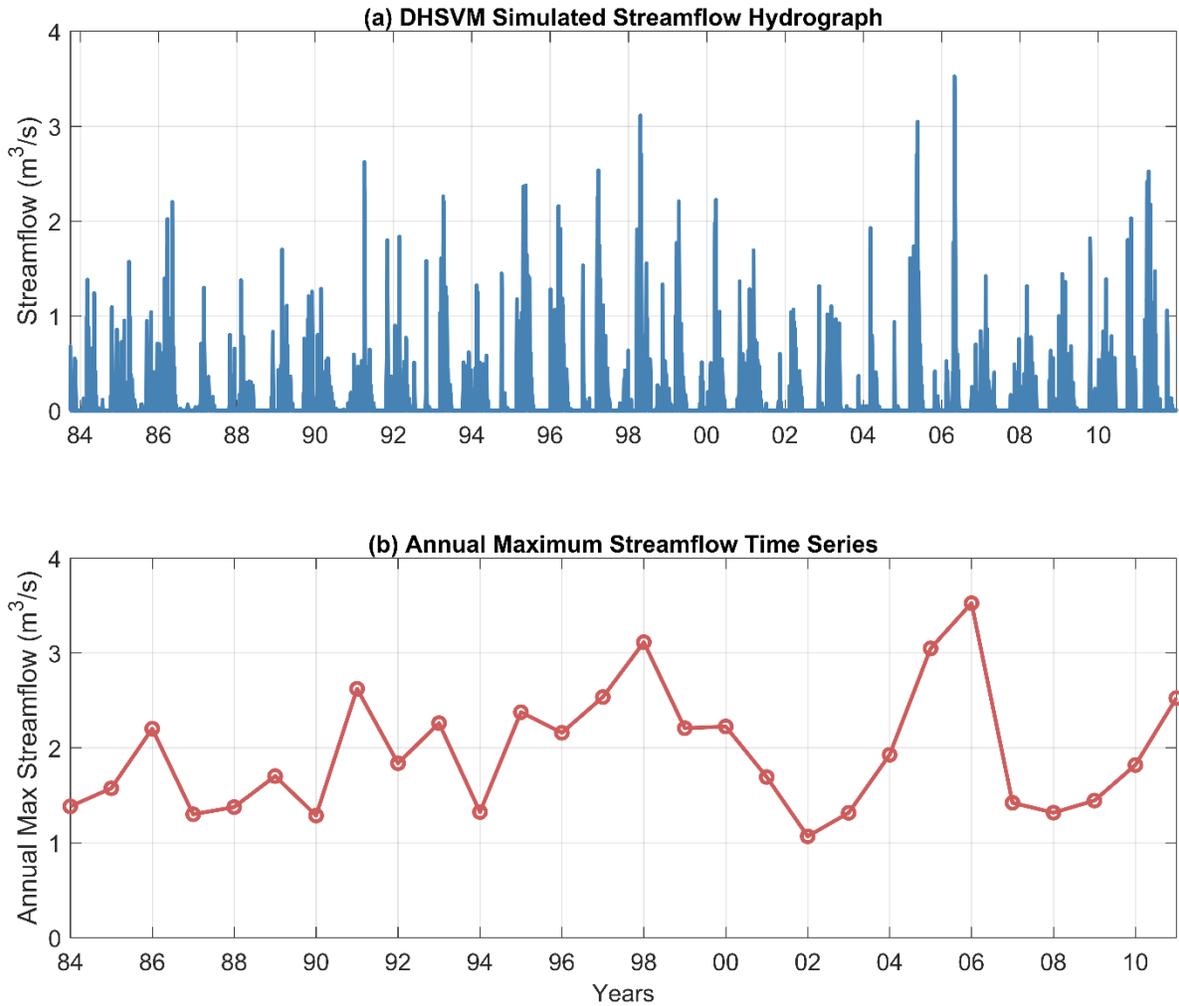


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 1131 **Figure 3.** Comparison of measured and simulated daily streamflow (a and b) and snow water
 1132 equivalent (c) for the Upper West Walker Basin.
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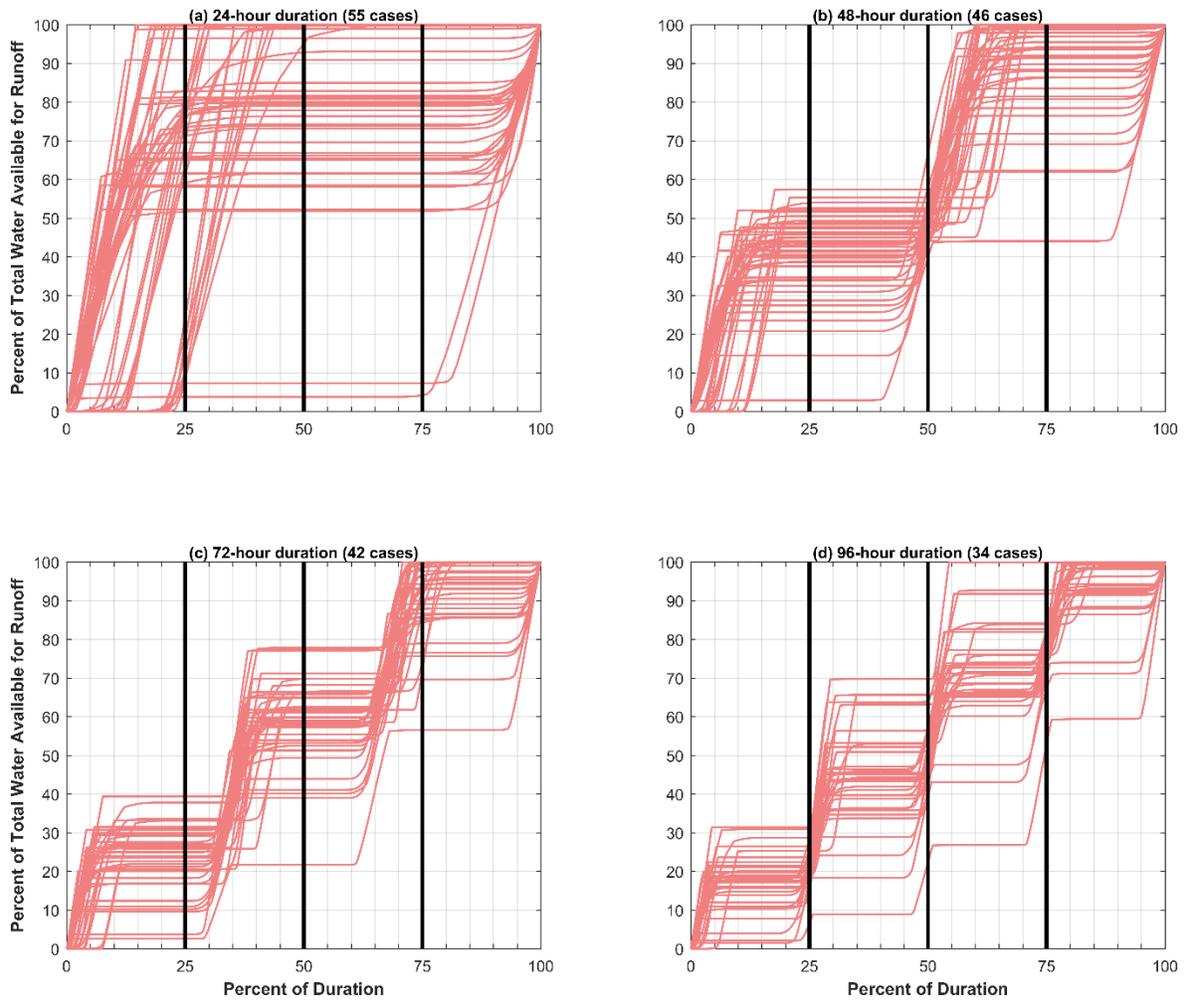
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Figure 4. Basin-scale NG-IDF curves with the associated 90% confidence intervals for the four selected durations varying from 24h to 96h.



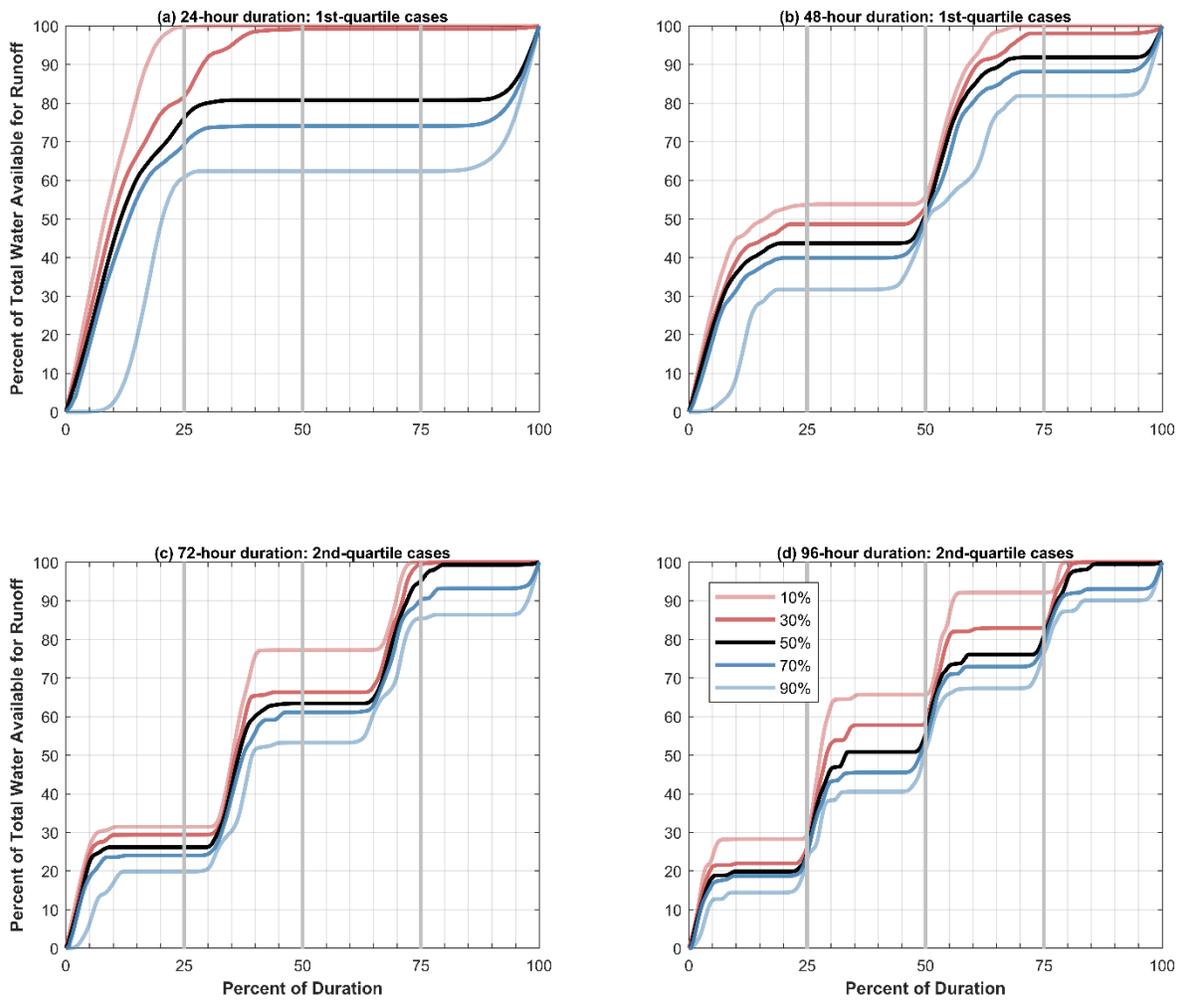
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Figure 5. (a) DHSVM simulated 15min hydrograph for the small test basin. (b) Annual maximum (water year) flood time series (obtained from the 15min hydrograph) for the small snow-dominated basin.



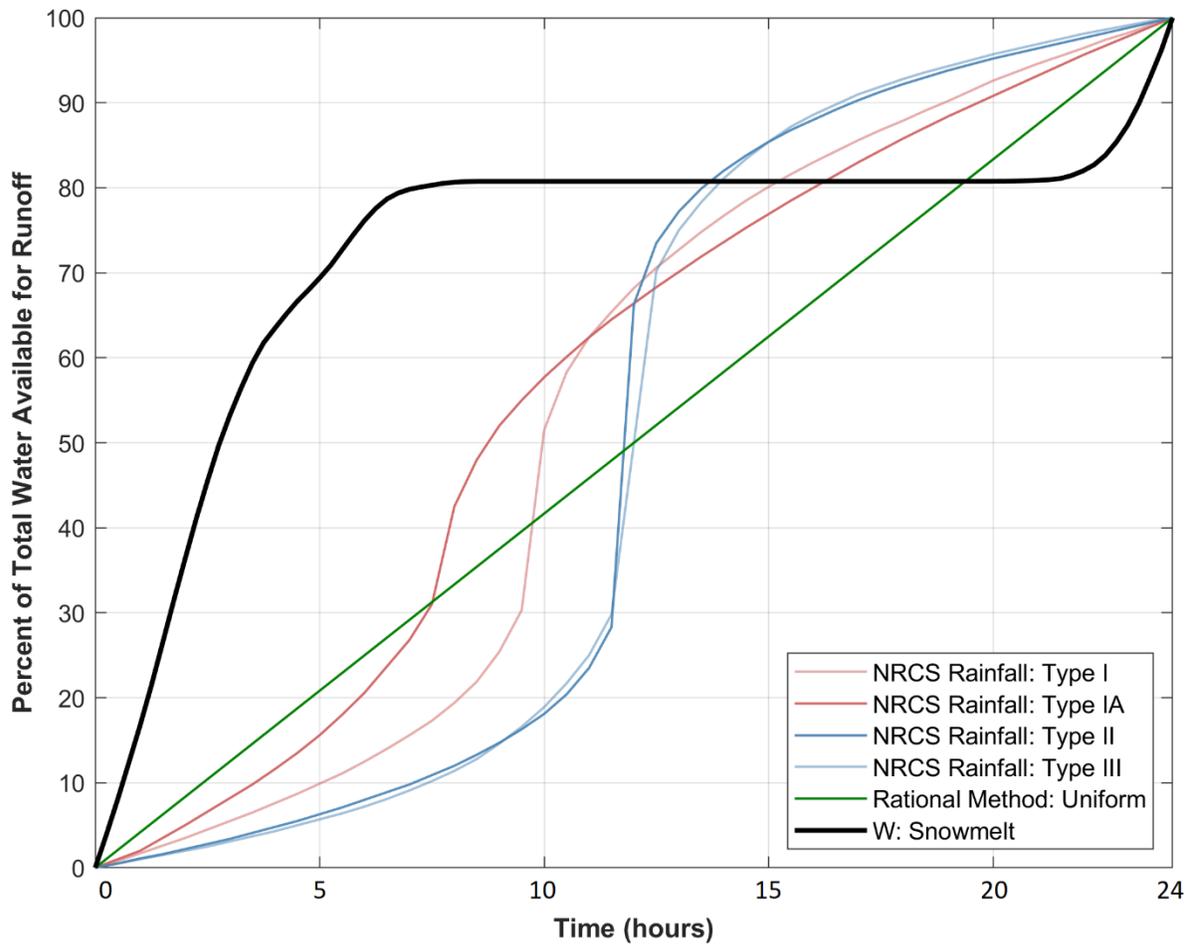
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Figure 6. Ensemble hyetographs of water available for runoff (W) for each selected duration. All hyetographs were derived from large snowmelt events only.



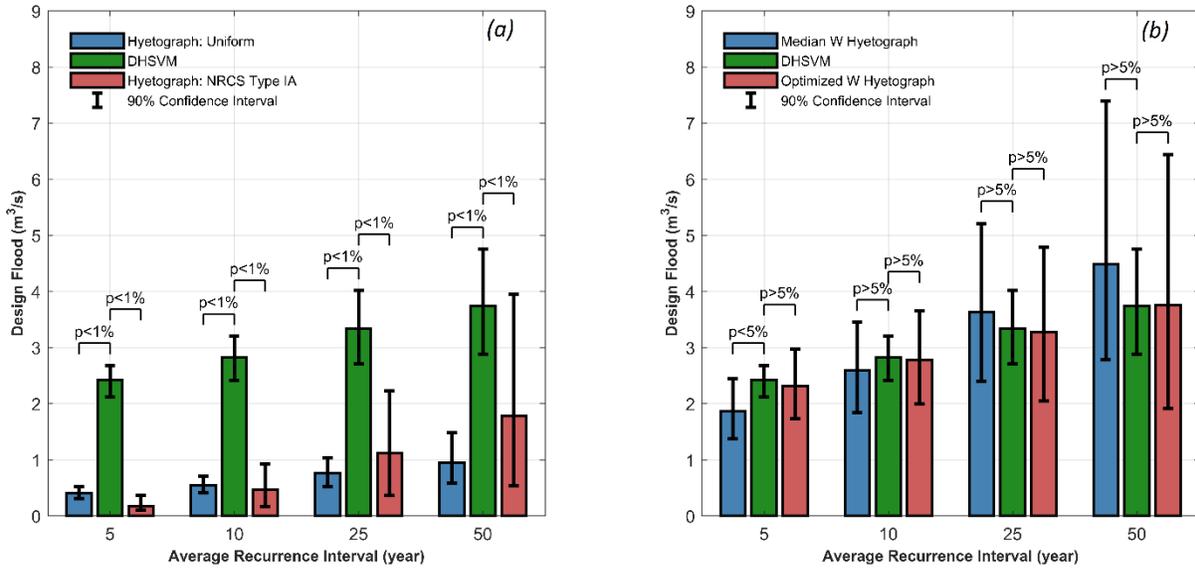
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Figure 7. Probabilistic water available for runoff (W) hyetograph for the test basin. The graph represents the cumulative probability of occurrence at 20% increments based only on the W events that belonged to the dominant quartile. Using the 24h duration as an example, the 1st-quartile is the dominant quartile which means that most of the W events had their greatest percentage of the total W fell during the 1st-quarter, i.e., the first 6h.



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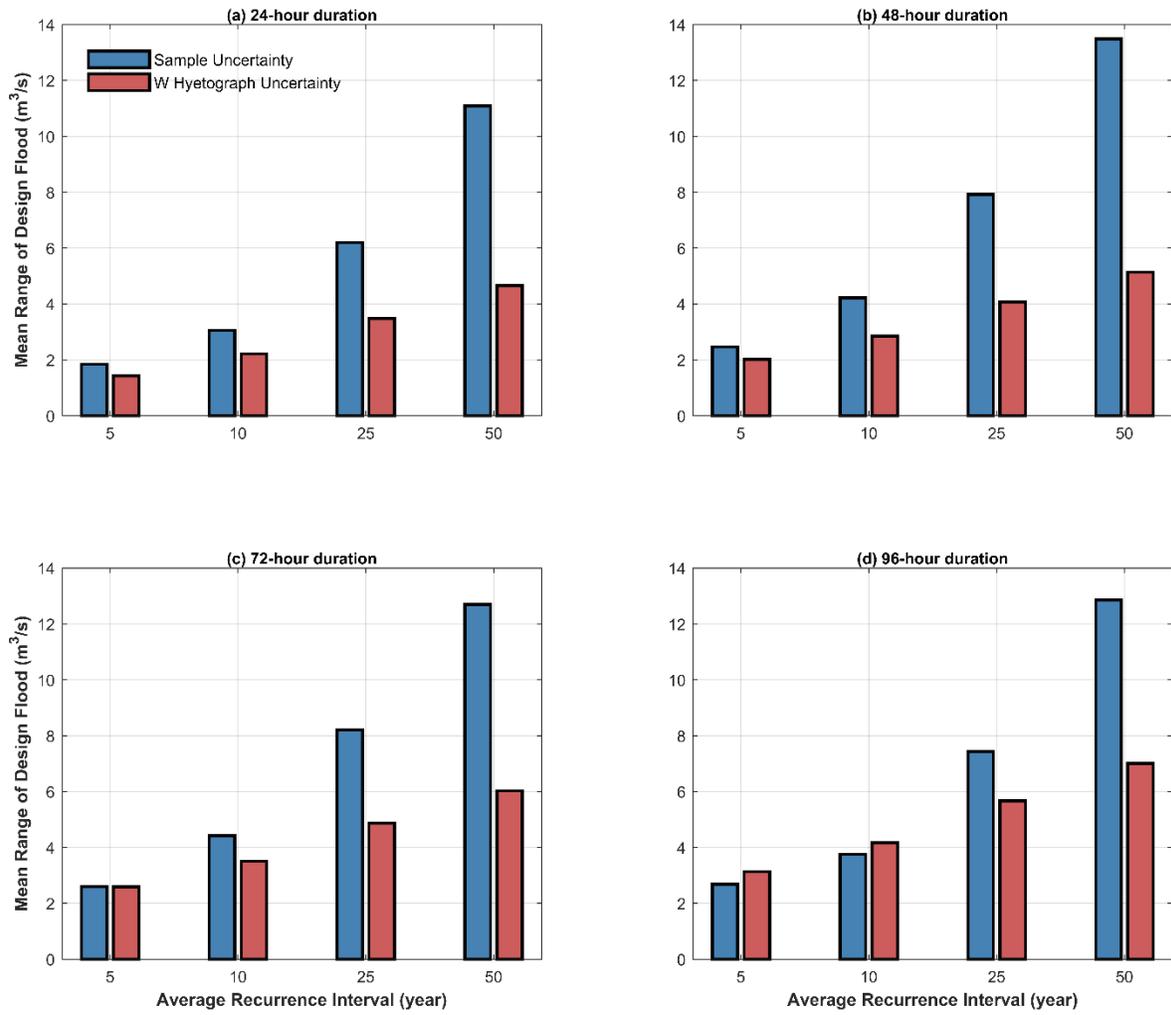
Figure 8. The standard NRCS rainfall hyetographs and uniform rainfall hyetograph versus the snowmelt hyetograph.



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1220 **Figure 9.** (a) Comparison of design flood estimations from DHSVM and NG-IDF technology in
1221 which two standard rainfall hyetographs were used. The error bar represents the associated 90%
1222 confidence intervals due to sample data uncertainty. The pair bracket presents the p -value from Z
1223 statics in the significance test. (b) Similar to (a) but the developed snowmelt hyetographs were
1224 used in the NG-IDF technology. Median W hyetograph indicates the 50% hyetographs extracted
1225 from Figure 7, separately for each duration. Optimized W hyetograph indicates that we ran the
1226 TR-55 with different W hyetographs (e.g., varying from 10% curve to 90% curve) and reported
1227 the best design flood estimates we had achieved.

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Figure 10. Mean range of design flood estimates depicting the uncertainty contribution of the sample data and water available for runoff hyetograph inTR-55 modeling for the four selected durations and ARIs.