

1     **Enhancing Synthetic Rating Curve Development Through Empirical Roughness**  
2                                   **Built for Hydrofabric Datasets**  
3

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12    **Key Points:**

- 13       • We established synthetic rating curves (SRC) at 7,270 gaged locations and calibrated roughness  
14       to minimize error in predicted streamflow.
- 15       • A predictive model based on hydrofabric network properties was built to estimate roughness to  
16       support SRC creation in ungauged basins.
- 17       • These predictions achieved a correlation of 0.89 but are likely dependent on the resolution of the  
18       DEM and hydrofabric used.

## 19 **Abstract**

20 Rating curves are commonly developed through direct observation, open channel flow models,  
21 or mechanical methods, each relying on in-situ measurement. As part of a U.S. effort to provide  
22 high resolution, continental scale, flood mapping, synthetic rating curves (SRCs) were developed  
23 across the National Hydrography Dataset (NHDPlusV2) to translate flows, like those generated  
24 by the NOAA National Water Model, into river depths. This approach uses Digital Elevation  
25 Models (DEM) to define the necessary cross-sectional properties for Manning's equation. A  
26 significant limitation, alongside an opportunity for broad improvement, has been assigning  
27 suitable roughness without local information. We applied the DEM based methodology to  
28 generate SRCs at 7,270 locations with known USGS rating curves, and calibrated roughness to  
29 minimize the error between predicted and observed flow. Subsequently, we tested several  
30 approaches based on land cover, stream order, and the hydrographic network to estimate the  
31 optimized values in a manner that can be extended to ungauged catchments. Among these, a  
32 predictive Machine Learning (ML) model based on the NHDPlusV2 network attributes  
33 demonstrated superior ability to estimate the optimized roughness with a Spearman correlation  
34 of 0.89. Sensitivity analysis showed improving accuracy of DEM and roughness is crucial for  
35 accurate estimation of the lower and mid/upper parts of SRC, respectively. Finally, we applied  
36 the predictive model over the NHDPlusV2, generating reach-level roughness estimates that can  
37 directly support national flood mapping efforts. The method is generalizable to any hydrofabric  
38 network that contains topology; however the generated values are dependent on the DEM and  
39 hydrofabric used.

40

## 41 **Plain Language Summary**

42 Synthetic rating curves (SRCs) have been developed for every river segment in the United States  
43 as part of the Continental Flood Inundation Mapping Framework (CFIM). A mathematical  
44 equation called the Manning's equation and a Digital Elevation Model (DEM) map are the  
45 baseline requirements for creating these SRCs. Studies have shown that with careful estimation  
46 of roughness, these SRCs can be used to create detailed, real-time flood maps when paired with  
47 streamflow simulations like those from the NOAA National Water Model. Normally, channel  
48 roughness is estimated from field surveys, model calibration, or tables that ask about the channel  
49 and its surroundings. However, in practice, this approach is limited to surveyed locations. Here  
50 we used the DEM based SRC methodology to generate SRCs at 7,270 locations with known  
51 USGS rating curves. From these we identified the best roughness value that would minimize the  
52 error between predicted and observed flow. We tested several approaches for predicting these  
53 values including using land cover, stream order, and hydrographic properties of the National  
54 Hydrography Dataset (NHDPlusV2). The latter proved most capable at predicting roughness and  
55 was applied over the ~2.7 million NHDPlusV2 reaches.

## 56 **1 Introduction**

57 Stage-discharge relationships are pivotal in flood mapping and routing, providing  
58 essential insights into river behavior during flood events (Guerrero et al., 2012; Guven & Aytok,  
59 2009). Manning roughness coefficients, which signify channel and floodplain resistance to flow,  
60 are integral to refining these relationships (Mansanarez et al., 2019). Accurate estimation of  
61 Manning roughness is particularly crucial in ungauged locations, where streamflow data is scarce

62 (Karamouz & Mahani, 2021). By employing empirical relationships, remote sensing data, or land  
63 use analysis, hydrologists can estimate Manning roughness coefficients for such areas,  
64 improving flood mapping and routing accuracy (Zheng et al., 2018). This enhanced precision  
65 aids in better understanding flood dynamics and facilitates more effective flood risk  
66 management, ultimately reducing socio-economic impacts associated with floods.

67 Stage-discharge relationships depend on the hydraulic characteristics of the stream  
68 channel, are known to vary over time, and are subject to numerous sources of uncertainty,  
69 including unstable control, non-uniform flow, and local stage variability (A. Hamilton & Moore,  
70 2012; S. Hamilton, 2008; McMahon & Peel, 2019; Muste et al., 2012; Westerberg et al., 2011).  
71 A rating curve represents a relationship between two variables, most commonly discharge (Q)  
72 and an elevation relative to a datum, more commonly referred to as stage (m). While there are  
73 many approaches for establishing rating curves, they broadly include empirical (direct and  
74 indirect measurements), mechanical, and theoretical methods. *Direct empirical methods* require  
75 streamflow measurements following an approach developed in the 1890s (Kean & Smith, 2005;  
76 Rojas et al., 2020). However, obtaining measurements can pose challenges particularly during  
77 high flow events and maintenance requires considerable resources leading to an increasing  
78 number of defunded gauges (Kean & Smith, 2005). *Indirect empirical methods* employ a variety  
79 of flow models that require measured channel geometry, specified water surface elevations, and  
80 an empirical roughness value to characterize resistance to flow (Benson & Dalrymple, 1967).  
81 Roughness is known to vary with stage and is typically calibrated for a specific set of flow rates  
82 (Barnes, 1967; Jarrett, 1984; Kubrak et al., 2019; Limerinos, 1970; Marcus et al., 1992).  
83 However, since resistance cannot be assigned without prior knowledge, indirect methods have  
84 limited ability to generate complete, stage-discharge relationships (Kean & Smith, 2005).  
85 Furthermore, even when calibrated, empirical roughness only captures friction, or skin  
86 resistance, while neglecting drag generated by the normal forces acting on a water volume (Kean  
87 & Smith, 2005). As a result, *mechanical models* have been used to estimate drag and friction  
88 explicitly using in-situ measurements of channel geometry, as well as the physical roughness of  
89 the bed, banks, floodplain, and vegetation density (Kean & Smith, 2005). These models have  
90 been shown to provide more accurate discharge estimates at a lower cost than many indirect  
91 methods (Kean & Smith, 2005, 2010).

92 While observations, empirical, and mechanical methods are ideal, the requirement for on-  
93 site measurements limit their application at large scales. Assigning roughness values represents  
94 one of the most challenging processes to generalize and is one of the most sensitive parameters  
95 in streamflow calculations (Hutton et al., 2012). To provide continental flood forecasts (J  
96 Michael Johnson et al., 2019; J. Michael Johnson, Narock, et al., 2022; Maidment, 2016), and  
97 enhanced emergency response (Dallo et al., 2020; J Michael Johnson et al., 2018), Zheng et al.  
98 (2017) proposed a method to estimate reach-level synthetic ratings curves (SRC) from Digital  
99 Elevations Models (DEM) as part of the National Flood Interoperability Experiment (NFIE;  
100 Maidment, 2016). This theoretical rating curve method estimates the hydraulic characteristics  
101 from a Height Above Nearest Drainage (HAND) raster (Nobre et al., 2011; Rennó et al., 2008)  
102 and the National Hydrography Dataset (NHDPlusV2, McKay et al., 2012), making the method  
103 extendable to ungauged basins. In the first iteration of the NFIE, and in the following  
104 Continental Flood Inundation Mapping framework (CFIM), a default global roughness of 0.05  
105 was used. (Zheng et al., 2017) found a global roughness for SRCs resulted in variable accuracy,  
106 but also that accurate depth estimates could be achieved for the studied Tar River Watershed by  
107 calibrating roughness to a stage-discharge relation produced from HEC-RAS modeling. (Zheng

108 et al., 2018) implemented the HAND approach using a LIDAR DEM, calling the approach  
109 ‘GeoFlood’, finding it capable of capturing the Federal Emergency Management Agency  
110 (FEMA) flood plain coverage with 60–90% accuracy when adjusting the roughness to best align  
111 the SRC to a measured United States Geological Survey (USGS) rating curve. As part of that  
112 study, the authors highlighted an extreme sensitivity to even small variations in roughness. Other  
113 studies have carried out indirect evaluations of the skill of SRCs by comparing HAND-based  
114 inundation maps to remotely sensed flood products and aerial imagery in which the assignment  
115 of roughness was identified as a principal limiting factor in accurate flood prediction (Garousi-  
116 Nejad et al., 2019; J Michael Johnson et al., 2019). Today, the CFIM approach is actively being  
117 developed as an open-source flood inundation mapping software (FIM) operated and maintained  
118 by the National Oceanic and Atmospheric Administration’s (NOAA) National Weather Service  
119 (NWS) (NOAA-OWP, 2021, p.). In FIM3, a stream order-based roughness is applied to move  
120 beyond *default global roughness* values. A study by (Qi & Liu, 2019) demonstrated the  
121 importance of considering land-use changes and its significant impact on alteration of roughness  
122 coefficient that results in drastic changes in estimated extreme flood peaks.

123 Collectively, this emerging body of evidence recognizes that improved estimates of  
124 roughness are crucial for the success of the continental flood mapping framework. The objective  
125 of this work is to estimate a national set of reach-level empirical roughness values suitable for  
126 theoretical rating curves to enhance operational flood prediction and other hydroscience  
127 calculations reliant on estimated roughness. We propose a novel approach for more accurate  
128 estimation of roughness using a Machine Learning (ML) model trained on NHDPlusV2 network  
129 attributes and compare our results to widely accepted methods for estimating roughness in both  
130 academic literature and operational settings. By calculating the explicit spatial representation of  
131 roughness within the context of national scale FIM efforts, we directly address many of the  
132 shortcomings associated with the static parameterization of roughness, enabling us to more  
133 concretely isolate the various sources of error within the SRC. In the *data* section, we outline the  
134 datasets used. In the *methods* section, we describe the existing SRC calculation techniques; the  
135 methods used to optimize roughness to USGS rating curves, and to estimate roughness based on  
136 stream order, land cover, and the hydrofabric network. Lastly, we introduce performance metrics  
137 for evaluating model skill. The *discussion* examines SRC performance using the different  
138 roughness estimates; how different sections of the rating curves exhibit error; and the sensitivity  
139 of SRC generation to the input DEM, hydrofabric, and selected roughness. Finally, the  
140 conclusions highlight the implications of this work as well as the limitations of the provided data  
141 and opportunities for the continued use of the broader approach.

## 142 **2 Data**

### 143 **2.1 Observed USGS Rating Curves**

144 The USGS measures rating curves on a 6–8-weeks schedule and disseminates the  
145 information Water Information System (NWIS: <https://waterdata.usgs.gov/nwis/sw>) (Beran &  
146 Piasecki, 2008; De Cicco et al., 2018). A sample of 7,270 active USGS rating curves were  
147 collected from NWIS, and recorded stage values were converted to depths by subtracting the  
148 reported zero-flow stage from all stage values. Normalizing depths to the zero-flow record  
149 allows us to estimate the local reference datum by assuming the zero-flow is referenced to the  
150 surveyor-defined channel bottom.

151 For each rating curve, a natural cubic spline was fitted and used to estimate stage values  
152 for 25 evenly spaced intervals ranging from 0 to the maximum observed streamflow. Observed  
153 USGS rating curves are subject to random gage measurement errors and systematic errors  
154 resulting from cross section changes, scouring, bed fill and backwater effects (McMillan &  
155 Westerberg, 2015). However, we assume these relationships to be accurate at the scale of a  
156 continental study, and our goal is to approximate these recorded relationships. Future work can  
157 build on extensive studies like those carried out in Australia (McMahon & Peel, 2019) to  
158 characterize the uncertainty in the observed ratings.

## 159 **2.2 Hydrofabric Data**

160 The medium-resolution National Hydrography Dataset Version 2 (NHDPlusV2: 1:100,000 scale)  
161 digitally mapped the surface water network of the continental United States (CONUS) into ~2.7  
162 million river segments with similar hydrologic characteristics (McKay et al., 2012) NHDPlusV2  
163 comprises the original NHD Flowline geometries, the 30-meter National Elevation Dataset, and  
164 "value-added attributes" (VAA's) that encompass pre-calculated network characteristics  
165 enhancing network analysis. While VAAs are precomputed for NHDPlusV2, they can be  
166 generated for any set of hydrofabric data with a topology (D. Blodgett et al., 2020, 2023; D. L.  
167 Blodgett & Johnson, 2022a). In this research, we aggregated VAA analysis attributes into single  
168 file, accessible as a HydroShare resource (J M Johnson, 2021). Methods for accessing the tabular  
169 VAA table were incorporated into the USGS nhdplusTools R package (D. L. Blodgett &  
170 Johnson, 2022b) to support this research. By segregating attribute data from geometry, we can  
171 more readily use this information in statistical and ML models. In future iterations of hydrofabric  
172 whether it be MERIT (Yamazaki et al., 2019), TDX-hydro (McCormack et al., 2022), the  
173 USGS/NOAA Reference Fabric (Bock et al., 2022), or the NOAA Next Generation Water  
174 Resource Modeling Framework hydrofabric (J. Michael Johnson, 2022), similar characteristics  
175 can be computed.

## 176 **2.3 Height Above Nearest Drainage Data**

177 The Height Above Nearest Drainage (HAND) is a normalized elevation dataset that describes the  
178 height of each cell above the nearest designated flow path (Nobre et al., 2011). In 2018, (Y. Y.  
179 Liu et al., 2018) generated a HAND dataset for the Continental United States (CONUS) using  
180 the 10-meter USGS National Elevation Dataset (NED), the NHDPlusV2, and the  $D_{\infty}$  distance  
181 down calculation available in TauDEM (Tesfa et al., 2011). All HAND data, along with  
182 intermediate processing steps, are accessible on the University of Texas (UT) Corral server  
183 (<https://web.corral.tacc.utexas.edu/nfiedata/>). This dataset has been updated as part of the  
184 Continental Flood Inundation Mapping (CFIM) framework implemented at Oak Ridge National  
185 Laboratory (Y. Y. Liu et al., 2020) and was used in this research.

## 186 **3 Methods**

187 We employed the DEM-based SRC methodology to generate and validate roughness values  
188 based on their capacity to replicate streamflow-depth relationships akin to recorded USGS rating  
189 curves. In this section, we outline the process of establishing reach level hydraulic properties  
190 (3.1), estimating roughness (3.2), comparing SRCs to observed values (3.3), and evaluating the  
191 sensitivity of the input parameters (3.4).  
192

193 **3.1 Estimation of Reach Average Hydraulic Properties**

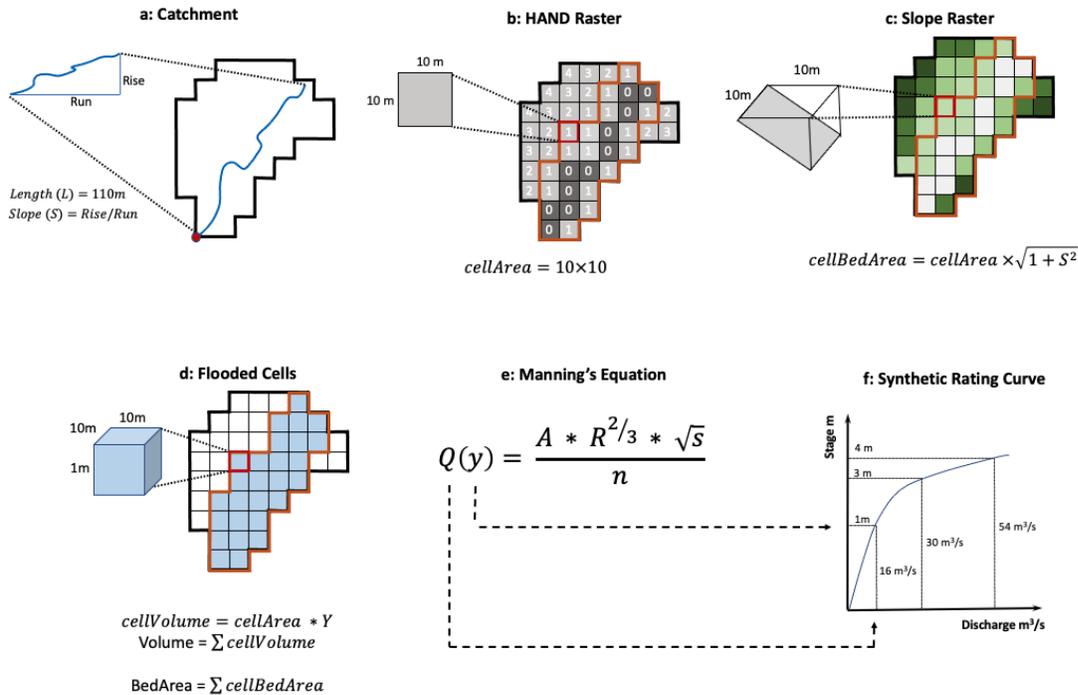
194 Manning’s equation (equation 1) characterizes open channel flow as a function of channel  
 195 velocity, flow area, slope, and roughness (Chow, 1959; Farmer et al., 2019; Pavelsky, 2014).  
 196 Originally developed for uniform flow conditions where the water-surface profile and energy  
 197 gradient are parallel to the streambed, and the cross-sectional area, hydraulic radius, and depth  
 198 remain constant throughout the reach. It can be assumed that equation 1 is equally valid for the  
 199 nonuniform reaches typically found in floodplains (Jarrett, 1984).

200  
 201 
$$Q(y) = \frac{A(y) \times R(y)^{2/3} \times \sqrt{S}}{n} \tag{1}$$

202  
 203 where:

- 204  $Q(y)$  = the discharge at depth  $y$  ( $m^3/s$ ),  
 205  $A(y)$  = the cross-sectional area at depth  $y$  ( $m^2$ )  
 206  $R(y)$  = the hydraulic radius at depth  $y$  (m)  
 207  $S$  = the longitudinal slope (m/m)  
 208  $n$  = the Manning’s roughness coefficient  
 209

210 The method proposed by Zheng, Tarborton et al. (2018) calculates cross-sectional area ( $A$ ),  
 211 hydraulic radius ( $R$ ) and streambed slope ( $S$ ) from HAND, the hydrofabric information. It  
 212 necessitates a user-defined roughness as illustrated in Figure 1. This iterative process is  
 213 replicated for a predetermined set of stage ( $Y$ ) values.  
 214



215  
 216

217 **Figure 1:** Process for creating stage-discharge relationships as defined in Zheng, Tarboton, et al., (2018).  
 218 (a) The catchment boundary establishes contributing cells, and the flowpath length (L) and slope (S) are  
 219 then defined by the hydrofabric. (b) The HAND raster stores the elevation above the nearest river cell in  
 220 the contributing area. (c) The slope raster defines the effective bed surface area of each cell dependent on  
 221 the raster resolution. (d) For a defined stage (e.g.,  $Y=2$ ), inundated cells (outlined in orange across panels)  
 222 are determined as those where  $HAND \leq Y$ . The volume and bed area of the inundated cells are then  
 223 computed from (b) and (c). (e) Manning's Equation estimates a flow rate Q. (f) A collection of Y-Q  
 224 relations defines an SRC.

225 As illustrated in Figure 1a, possible contributing cells (all those that are “nearest a drainage”) are  
 226 selected, in this case using the NHDPlusV2 catchment. The NHDPlus VAA attributes provide  
 227 the stream length (L; m) and longitudinal slope (S; m/m), and the HAND raster provides the  
 228 elevation difference between each grid cell and the nearest flow path. A slope raster (1c) contains  
 229 the percent slope (cellSlope) of each grid cell, which can be used to estimate the effective ground  
 230 surface or bed area (BA) at a given depth (Equation 2).

$$231 \quad 232 \quad BA = cellres^2 \sqrt{1 + cellslope^2} \quad (2)$$

233 For any defined stage (Y; meters), the HAND raster can be used to identify inundated cells  
 234 where the HAND value is less than Y (1d). At each of these cells, a water volume (V) can be  
 235 calculated as the depth of ponded water multiplied by the cell area (Equation 3).

$$236 \quad 237 \quad 238 \quad V(y) = cellres^2 \times (Y - HAND) \quad (3)$$

239 For all inundated cells, the total bed area ( $\sum BA$ ) and volume ( $\sum V(y)$ ) can approximate the cross-  
 240 sectional area (Equation 4), wetted perimeter (Equation 5), and hydraulic radius (Equation 6)  
 241 needed in Manning's Equation (Figure 1e).

242 where:

$$243 \quad 244 \quad 245 \quad 246 \quad A(y) = \sum \frac{V(y)}{L} \quad (4)$$

$$247 \quad 248 \quad WP(y) = \sum \frac{BA(y)}{L} \quad (5)$$

$$249 \quad 250 \quad R(y) = \frac{A(y)}{WP(y)} \quad (6)$$

251 Iterating this calculation over a defined set of depths using a defined single value or stage-  
 252 varying roughness yields a streamflow-depth table resembling a rating curve (Figure 1f).

### 253 **3.2 Estimating the Roughness Terms**

254 In various hydrology subfields, roughness is often estimated based on stream order or  
 255 land cover characteristics. Models such as WRF-Hydro assign roughness as a function of  
 256 Strahler stream order for overland flow calculation and Muskingum-Cunge hydrograph routing  
 257 (Gochis et al., 2016a). In 2016, Li introduced the NHDPlus Inundation Modeler V4.0, which  
 258 relies on a separate stream order-based approach (Li, 2016). In both lumped and distributed  
 259 hydrologic models, land cover datasets are frequently used to assign roughness through

260 reclassification tables, with similar methodologies applied in dam breach analysis and other 2D  
 261 hydrologic and hydraulic models (Janssen, 2016; Kalyanapu et al., 2009; Z. Liu et al., 2019).

262 Furthermore studies have demonstrated that a stage-varying composite roughness ( $N$ ),  
 263 based on defined in-channel and overbank regions may outperform a single roughness value  
 264 (Boulomytis et al., 2017; Kubrak et al., 2019; Nguyen & Fenton, 2005). The composite approach  
 265 has been shown to reduce error in hydraulic models by as much as 70% for surveyed reaches  
 266 (Tuozzolo et al., 2019). Although there are multiple ways to define a composite roughness,  
 267 (Tullis, 2012) found Horton's equation (Equation 7: Chow, 1959) to yield the most consistent  
 268 results across disparate channel types.

$$270 \quad N = \frac{(P_{ch}(n_1)^{1.5} + P_{ob}(n_2)^{1.5})^{\frac{2}{3}}}{P_{total}^{\frac{2}{3}}} \quad (7)$$

271 Where:

272  $N$  = composite roughness value,

273  $n_1$  = in-channel  $n$

274  $n_2$  = overbank  $n$

275  $P_{ch}$  = wetted channel perimeter (m)

276  $P_{ob}$  = wetted overbank perimeter (m)

277  $P_{total}$  = total wetted perimeter (m).

278  
 279 In locations where a USGS rating curve is available, we can determine an optimized roughness  
 280 by minimizing the error between observed and simulated flows. In locations without a known  
 281 rating curve, we can build on prior methodologies and assign single value and composite  
 282 roughness values based on stream order or land cover. Additionally, we introduce a ML  
 283 approach that leverages the VAAs of the hydrographic network to estimate roughness based on  
 284 patterns found in the optimization exercise. Within these approaches, multiple variants are tested,  
 285 resulting in eleven unique methods that are described below.

### 286 **3.2.1 Optimization**

287 Optimized roughness values aim to minimize the error between simulated and observed  
 288 discharge for given depths. By fitting the roughness term alone, it is assumed (tested in 3.4) that  
 289 uncertainty in other inputs (DEM and hydrofabric) are minimal. To define a single roughness for  
 290 each NHDPlusV2 catchment, we solved equation 1 using a nonlinear least squares regression  
 291 model (NLS) based on the Gauss-Newton algorithm with 50 maximum iterations, a convergence  
 292 tolerance of 1e-09, a lower bound of 0.01, an upper bound of 0.40, and an initial guess of 0.05 (J.  
 293 M. Johnson et al., 2024; J. Michael Johnson, Coll, et al., 2022). Considering the DEM and  
 294 hydrofabric data as static, the roughness value ( $n$ ) is the only term in Manning's equation that can  
 295 be adjusted via calibration. The lower and upper bounds were selected based on literature-driven  
 296 values for reasonable floodplain roughness, with the initial guess derived from the CFIM  
 297 precedent. In all cases, the NLS solver converged, and varying the initial guess had no  
 298 discernible impact on the estimation in a sample of 500 basins.

299 A composite roughness was defined for each catchment by treating all cells with a  
 300 HAND value of 0 as in-channel, and the remaining as out-of-channel. Both  $n_1$  and  $n_2$  were

301 estimated using a NLS model with bounds of (0.01 and 0.20), and (0.01 and 0.40), respectively,  
 302 with an initial guess of 0.05 for each. A final constraint ensured that  $n_i$  was less than  $n_{in}$  in each  
 303 solution. Multiple starting values were tested in a selected subset of basins with no notable  
 304 differences in the results. Combined, the single value and composite optimized results provide a  
 305 validation dataset used throughout this research.

### 306 3.2.2 Stream Order-Based Estimation

307 Previous studies (Cosgrove et al., 2020; Gochis et al., 2016b; Li, 2016) have used stream order  
 308 as a proxy for roughness. We aim to evaluate how these approximation tables compare to the  
 309 mean and median single value optimized derived from the USGS rating curves. Table 2 presents  
 310 these values alongside those used in Li (2016) and the WRF-Hydro/National Water Model  
 311 version 2.0 RouteLink file. In the National Water Model implementation of WRF-Hydro, both an  
 312 in-channel roughness ( $n$ ) and a compound channel roughness ( $n_{CC}$ ) are associated with each  
 313 flowline feature of NHDPlus.

314  
 315 **Table 1.** Roughness values used for the Stream order approximations

Order	Mean Optimized	Median Optimized	Li-Assignment	WRF-Hydro $n$	WRF-Hydro $n_{CC}$
<i>Source</i>			<i>Li (2016)</i>	<i>Gochis (2016)</i>	<i>Gochis (2016)</i>
1	0.196	0.187	0.14	0.060	0.12
2	0.181	0.169	0.12	0.060	0.12
3	0.157	0.134	0.09	0.055	0.11
4	0.128	0.103	0.09	0.055	0.11
5	0.107	0.079	0.07	0.050	0.10
6	0.088	0.057	0.06	0.050	0.10
7	0.083	0.051	0.03	0.045	0.09
8	0.067	0.043	0.03	0.045	0.09
9	0.047	0.029	0.03	0.040	0.08
10	0.043	0.037	0.03	0.040	0.08

316

### 317 3.2.3 Land Cover Estimation

318 Land cover information can provide a spatially heterogeneous perspective of the  
 319 landscape, yet it is prone to sampling and resampling error as well as scale-related classification  
 320 uncertainties (J Michael Johnson & Clarke, 2021; Kim et al., 2024). Foster and Maxwell (2019)  
 321 identified that vegetation-defined heterogeneity influenced behavior and determined  $n$  values in  
 322 the stream network, but grid resolution did not reveal a clear scaling relationship. Hence, we are  
 323 interested in both single flood plain values, akin to those used in flood mapping studies,  
 324 and stage-varying roughness values.

325 The 2019 National Land Cover Database (NLCD) underwent reclassification using  
 326 roughness values proposed by Kalyanapu et al. (2009) and extended by Liu et al. (2019). A  
 327 single floodplain roughness was calculated for each reach by averaging all cells submerged by  
 328 the maximum stage in the rating curve. Furthermore, a stage-varying roughness was generated  
 329 by calculating the average land cover roughness using only the inundated cells at each stage.

330

### 331 3.2.4 Hydrofabric Gradient Boosted Machines (GBM)

332 Roughness is dependent on a variety of channel characteristics, making it a suitable  
 333 candidate for exploration with predictive ML models. After evaluating multiple options, a  
 334 Gradient Boosted Machine (GBM) algorithm was selected. GBMs are known to enhance model  
 335 generalizability (Friedman, 2001) but due to lack of inherent regularization, and highly complex  
 336 decision boundaries they tend to focus on difficult-to-fit data points and result in overfitting.  
 337 However, adjusting its hyperparameters and applying early stopping can mitigate this issue. In  
 338 contrast to supervised single predictive models or those based on ensemble averages (e.g.,  
 339 random forests), GBMs sequentially add new models to an ensemble and update a trained base  
 340 learner with each iteration.

341 Fitting GBMs necessitates several hyperparameters, including the number of trees (T); an  
 342 interaction depth (K); a learning rate ( $\lambda$ ); and subsampling controls (p). The interaction depth  
 343 (K) determines the number of splits in each tree and the pace at which the algorithm proceeds  
 344 down the gradient descent. Smaller learning rates ( $\lambda$ ) reduce the likelihood of overfitting but  
 345 prolong the convergence time. While these parameters confer flexibility to GBM models, they  
 346 demand intensive tuning to select appropriate values. Hence, a grid of potential hyperparameters  
 347 for this problem was defined as follows (Equation 8):  
 348  
 349

$$350 \text{ hyperparameters} = \left\{ \begin{array}{l} k = 1, 2, \dots, 15 \\ T = 500, 1000, \dots, 5000 \dots 10000, 15000, \dots, 40000 \\ \lambda = 0.001, 0.005, 0.01, \dots, 0.1 \\ R = 5, 10, 15 \\ p = 0.3 \end{array} \right\} \quad (8)$$

351 To identify the variables that most accurately predict roughness, we defined a training dataset  
 352 using a stratified random sampling method, selecting 500 or 80% of the gauged locations from  
 353 each HUC2 from the Watershed Boundary Dataset. Developing a GBM approach involves a  
 354 three-step process.

#### 355 *Step 1:*

356 Initially, a series of 16,065 GBMs were fitted for each hyperparameter combination (Equation 8),  
 357 using all numeric variables from the NHDPlusV2 VAA as predictors. For each model,  
 358 the relative influence of each predictor was computed in addition to the number of times it was  
 359 selected for splitting, weighted by the squared improvement provided at each split. These results  
 360 were averaged over all trees (Friedman, 2001), and those with the highest relative influence were  
 361 paired with a subjective evaluation of how easily they could be computed for general hydrofabric  
 362 networks, yielding the final set of 5 core predictors:  
 363

- 364 i. **Drainage Area:** Drainage area (km<sup>2</sup>) of the single flowpath catchment
- 365 ii. **Flowpath Length:** Flowline length in kilometers
- 366 iii. **Arbolate Sum:** The cumulative length of the upstream drainage network (mainstem and  
 367 tributaries) from the outlet of the catchment
- 368 iv. **Path Length:** The distance from the flowline outlet to the end of the network along the  
 369 mainstem path
- 370 v. **Slope:** A unitless fraction (cm/cm) of the flow path slope derived from the 30m NED

371 **Step 2:**

372 Using only these five predictors, a new set of 16,065 GBMs was trained for all hyperparameter  
 373 combinations, and the combination producing the minimal RMSE was selected to train a final  
 374 model. That model used the following:

- 375  
 376 i.  $k = 12$   
 377 ii.  $T = 40,000$   
 378 iii.  $\lambda = 0.025$   
 379 iv.  $R = 10$   
 380 v.  $p = 0.3$

381 Upon completion, the predicted roughness values generated with this model had an RMSE of  
 382 0.045, and a nRMSE of 26.4%, when compared to the single value optimized roughness values.

383 **Step 3:**

384 Using the model trained in step 2, we predicted roughness across the NHDPlusV2 network.  
 385 Similarly, any river segment with a known drainage area, flowpath length, arbolate sum, path  
 386 length, and slope can serve as input to develop a predicted single value roughness. It is critical to  
 387 note that the predicted values are unique to the input NHDPlusV2 dataset; extrapolation or  
 388 conflation to a different network would likely provide poor results. Nonetheless, new data can  
 389 be generated from the model provided a network topology is known

390 **3.3 Synthetic Rating Curve Comparison**

391 This optimized roughness ( $n$ ), a standard default value (0.05), a stream order based, land cover  
 392 based, and GBM approaches produce eleven synthetic (and one observed) rating curve at each of  
 393 the 7, 270 gauged locations. For clarity, these methods are summarized in Table 2.

394

395 **Table 2:** Methods and approaches for assigning roughness for rating curves

#	Category	Name	Description
1	observed	USGS	25 evenly distributed Q-Y points built from a cubic spline fit to the observed USGS rating curve
2	default	global-roughness	Roughness of 0.05 assigned to all reaches
3	optimized	single-value	Single roughness, fit to the observed rating curve using a non-linear solver with a lower and upper bound of 0.01 and 0.4.
4	optimized	composite	Composite roughness using Horton's method where $n_1$ and $n_2$ were fit to the observed rating curve using a non-linear solver with a lower and upper bound of {0.01, 0.01} and {0.2, 0.4}.
5	stream order	Li-assignment	Roughness assigned by stream order based on Li, 2016
6	stream order	wrf-N	Roughness assigned by stream order from the 'Manning's roughness' ( $n$ ) in the NWM v2.1 RouteLink file
7	stream order	wrf-Ncc	Roughness assigned by stream order from the 'Compound Channel Manning's $n'$ ( $nCC$ ) in the NWM v2.0 RouteLink file
8	stream order	mean-optimized	Roughness assigned by stream order based on mean values from the calibrated method
9	stream order	median-optimized	Roughness assigned by stream order based on median values from the calibrated method

10	land cover	single-value	Single value assigned via a reclassified land cover map using those values submerged by maximum RC stage
11	land cover	stage-varying	Stage varying values assigned via a reclassified land cover map using cells submerged by the current RC stage
12	hydrofabric	GBM	Values assigned based on output of trained GBM model using the NHDPlus VAA attributes as predictor variables

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To assess SRC accuracy, the simulated discharge values produced with each roughness, using the USGS rating curve stage values, were compared to the USGS discharge values using the root mean squared error normalized to the mean of observed discharge (nRMSE; see Equation 9).

$$nRMSE = 100 \times \sqrt{\frac{(Q_{src} - Q_{obs})^2}{Q_{obs}}} \quad (9)$$

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where:

$$Q_{obs} = \text{observed discharge}$$

$$Q_{src} = \text{simulated discharge.}$$

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nRMSE was calculated for the entire rating curve as well as the lower, middle, and upper sections. While defining “good” and “bad” nRMSE is subjective, a cut off of 30% nRMSE has been used to determine whether a site displays reasonable performance in prior research (Gleason & Smith, 2014; Yoon et al., 2016). For readability throughout the text, we adopt this shorthand and describe errors of 30% or less as reasonable, and errors of 100% or more as extreme.

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### 3.4 Parameter Sensitivity

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One open question about SRCs is how sensitive the results are to input data including the DEM, hydrofabric, and roughness. To decompose the SRC into its primary components, Manning’s Equation can be rewritten by substituting equations 4, 5, 6 into equation 1, and rearranging such that:

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$$Q(y) = V \times \frac{1}{n} \times \frac{1}{L} \times \sqrt{S} \quad (10)$$

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Where

422

$$V = \frac{Vol(y)^{\frac{5}{3}}}{BA(y)^{\frac{2}{3}}}$$

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To understand the role of each of the input dataset in streamflow estimation, we want to perform sensitivity analyses with respect to the four uncertain parameters - V, n, L, and S - by implementing a separate sensitivity analysis at stages 1, 2, 3 ... 25 using a factorial design.

To build the factorial design for sensitivity analysis, we need to establish reasonable range bands for each of these variables that are adjustable to each site. The volume factors are driven by the DEM which is most easily influenced by the vertical accuracy as highlighted in case studies leveraging LIDAR (Zheng et al., 2018). The 10m NED has a documented vertical accuracy of 3.04 meters (Gesch et al., 2014) so we elected a test set of 0.5, 1 and 2 meters in the

432 positive and negative directions basin wide. These were implemented by adjusting the HAND  
433 values throughout a given catchment prior to estimating volume and bed area.

434 To test roughness, we allow roughness to range from 0%, 10%, 25%, 50%, 100% and  
435 200% error from the optimized single-value estimates while enforcing the lower and upper limits  
436 of 0.01 and 0.40. Length is a byproduct of the hydrofabric resolution and higher resolution data  
437 inputs like the NHD High-Resolution will likely increase reach length as more detail is captured.  
438 Following research aligning multi-scalar flowline data from the EPA River Reach, Medium  
439 Resolution NHDPlus and High Resolution NHD through the identification of common  
440 mainstems (D. Blodgett et al., 2020), a high-level comparison revealed a range of variability  
441 which we reduced to 0%, 5%, 10% and 15% percent of the NHDPlusV2 flowline values in the  
442 positive and negative direction.

443 Slope is a product of the hydrofabric and underlying DEM. While the HAND and Slope  
444 rasters are based on the 10m NED, the NHDPlus flowline slope is an attribute derived from the  
445 30m NED. A random sample of 100 flowlines from this study were used to extract transects  
446 from the 10m NED. The difference between the smoothed slopes of these transects (5 point  
447 rolling mean) and the listed slope attributes of the NHDPlus were represented as a 0%, 25%,  
448 50% and 75% error in each direction of the recorded values.

449 Using these possible variations, 363 randomly sampled sites were evaluated to ensure  
450 accuracy at the 95% confidence level. The results of the design were analyzed with an Analysis  
451 of Variance (ANOVA) method to deduce the main effects and two factor interactions using the  
452 *multisensi* R package (Bidot et al., 2018). Upon completion, the results were averaged across  
453 locations.

## 454 **4 Results**

455 A total of 81,070 synthetic rating curves (SRCs) were computed for comparison. Section  
456 4.1 describes the performance of each roughness method, section 4.2 addresses errors exhibited  
457 in each section of the rating curve, section 4.3 addresses the skill of the GBM model, and section  
458 4.4 looks at the sensitivity of the SRCs to the roughness, DEM, and hydrofabric inputs used in  
459 Manning's equation.

### 460 **4.1 Synthetic Rating Curve Performance Analysis**

461 Figure 2A illustrates the percentage of locations achieving reasonable and extreme error  
462 for each roughness method, alongside the Spearman Correlation compared to the optimized  
463 single value roughness. In the outlined section of the table, the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> quartile (Q1,  
464 Q2, Q3) nRMSE for the complete rating curve, and the mean nRMSE for each section of the  
465 rating curve are displayed. These statistics are derived solely from sites producing nRMSE <  
466 100% for that method.

467 The two optimized approaches provide the least error across all metrics but are confined  
468 to gaged locations. SRCs generated with an optimized composite roughness offer marginal  
469 improvement over those with an optimized single value, and occasionally exhibit degraded  
470 performance. This implies that a composite view, particularly when considering locations where  
471 HAND = 0 as in channel, is not critical to SRC roughness estimation. The optimized single value  
472 approach achieved reasonable error in ~80% of the tested locations, suggesting models  
473 potential accuracy across a broad spectrum of locations. Nonetheless, the remaining 20%  
474 highlight areas where the HAND-based SRC model may be incomplete or other sources of  
475 uncertainty contribute to the error.

476           Amongst methods extendable to ungauged basins, the GBM method demonstrates superior  
477 results and notably reduced error compared to stream order and land cover approaches. Notably,  
478 it generates nearly four times as many SRCs with reasonable error as other nonsite optimized  
479 methods. The correlation with the optimized single value is also double compared to the next  
480 closest method.

481           All four stream order methods exhibit similar performance metrics and offer marginal  
482 improvement over the global default. Stream order information primarily reduces the number of  
483 sites with extreme error ( $nRMSE > 100\%$ ). This is done best by the mean optimized stream order  
484 values. This performance improvement over a global default value emphasizes that roughness is  
485 a local phenomenon, and the thematic assignment is too generalized to provide significant  
486 performance gains. The two land cover methods demonstrate identical performance, with neither  
487 land cover method offering an improvement over the stream order methods, and added  
488 substantial computational burden.

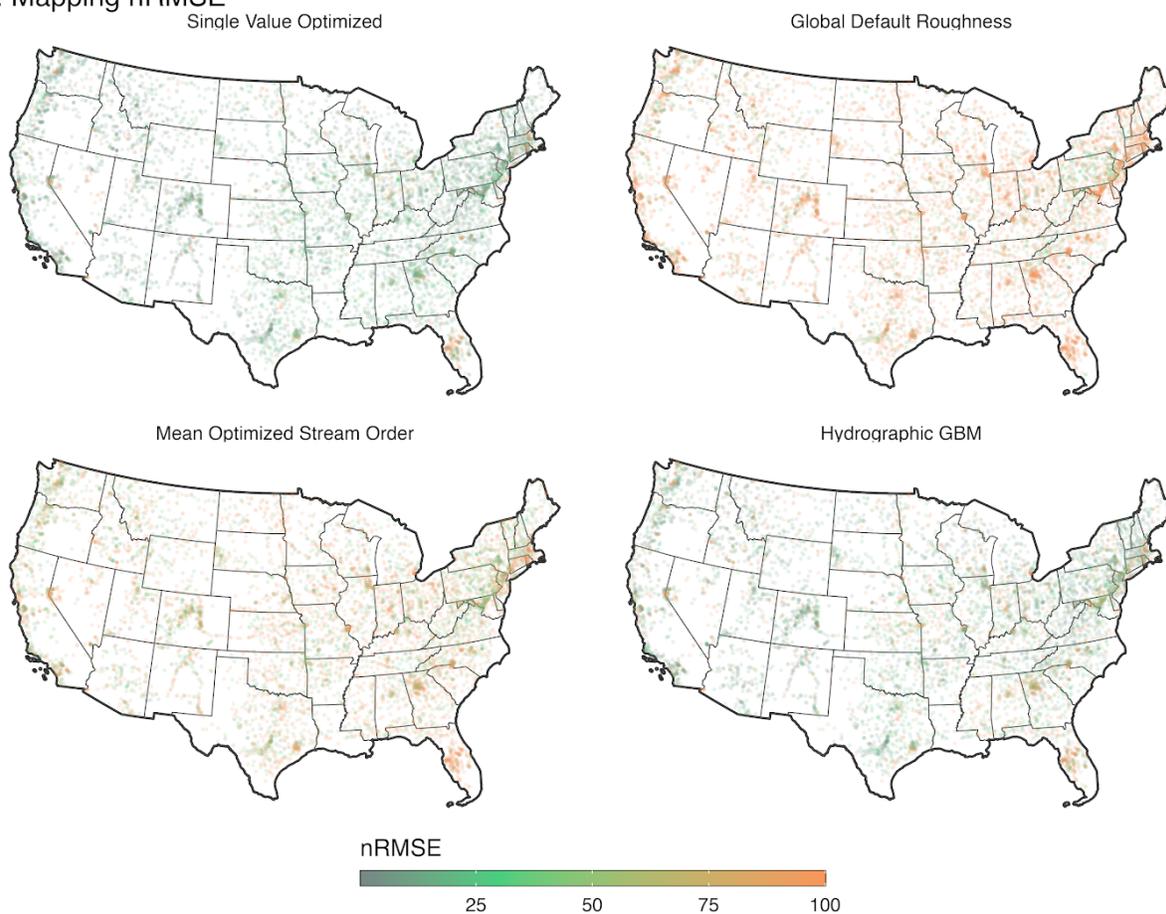
489           Finally, a default global roughness of 0.05 achieves  $nRMSE \leq 30\%$  in just 10% of the  
490 locations emphasizing the need for a spatially heterogeneous approach and the value in seeking a  
491 more sophisticated approach. To visualize these statistical patterns spatially, Figure 2B maps the  
492  $nRMSE$  from the best performing method for each approach. While the magnitudes of error in  
493 the optimized and GBM methods differ, the maps highlight regions of the country where large  
494 errors persist across all methods. Prominent examples include the gulf coast of Florida, the  
495 eastern seaboard, the Atlanta metro region, and to a lesser extent, the lower Mississippi  
496 floodplain.

497

A. Evaluation Metrics

Method	Approach	nRMSE	nRMSE	Correlation with Optimized	Q1	Q2	Q3	mean nRMSE	mean nRMSE	mean nRMSE
		≤30%	>100%		nRMSE	nRMSE	nRMSE	lower	middle	upper
Single Value	Optimized	78.10	8.81	1.00	6.14	11.88	21.58	40.22	15.79	11.73
Composite N	Optimized	76.74	10.32	NA	4.62	10.54	20.86	37.12	15.16	11.20
GBM	Hydrographic	61.85	16.21	0.88	7.46	14.76	31.38	44.70	22.40	18.61
Median Optimized	Stream Order	16.10	40.37	0.44	28.53	49.13	71.63	58.11	44.18	42.39
Mean Optimized	Stream Order	15.74	35.21	0.43	30.65	52.60	74.27	60.15	46.35	44.33
Li Assignment	Stream Order	15.09	46.29	0.41	27.74	48.10	72.06	57.90	43.83	42.08
wrf-nCC	Stream Order	14.59	40.51	0.40	30.42	51.80	73.52	59.93	46.03	43.88
wrf-n	Stream Order	12.15	59.52	0.40	25.95	46.53	73.16	56.60	43.06	42.09
Single Value	Land cover	11.50	34.74	-0.05	38.00	63.57	82.95	64.98	52.66	51.15
Stage-Varying	Land cover	11.32	34.66	NA	38.01	63.53	82.74	64.52	52.42	51.20
Global roughness	Default	11.28	61.32	NA	26.60	48.09	73.87	57.20	43.52	42.56

B. Mapping nRMSE



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 500 **Figure 2:** (a) Synthetic rating curve accuracy across methods. Quartile error values and the percentage of  
 501 sites with reasonable and extreme errors are listed. The methods are sorted by the Q2 criteria, and darker  
 502 hues represent better performance and are applied column-wise. (b) The nRMSE from each method is  
 503 mapped.

504 Across all methods, there is consistently more error in the lower section of the rating  
 505 curve compared to the middle and upper portions. SRCs developed with a global default and  
 506 mean optimized stream order have the highest mean nRMSE across all sections of the curve. The

507 single value optimized and hydrofabric GBM approaches both reduce the mean nRMSE in each  
 508 section; however, the error remains higher by a factor of 2+ in the lower third. This pattern may  
 509 have to do with (1) lack of bathymetric representation in HAND data for in-channel flows, (2)  
 510 the datum adjustment applied to achieve a zero-flow USGS rating curve, or (3) that at smaller  
 511 flows/depths, small errors are more impactful than the errors at higher flows/depths.  
 512 Nonetheless, estimated SRCs demonstrate greater accuracy at the higher end of the rating curve,  
 513 which holds promise for flood mapping studies and other use cases. Moreover, this staggered  
 514 performance suggests the potential to optimize  $n$  for various sections of the rating curve based on  
 515 flow which could be provided by the National Water Model. While a composite  $n$  was not found  
 516 to be a valuable addition, a stage-varying  $n$  might offer improvements.

#### 517 **4.2 Model and Optimized Skill**

518 The error for the single-value optimized method provides the best possible result given  
 519 the current DEM, hydrofabric, and roughness bounded to the range of  $\{0.01, 0.4\}$ . Taking the  
 520 DEM and hydrofabric data as static, the only term in Manning's equation that can be calibrated is  
 521 the roughness value. The roughness value serves as a proxy for representing the ratio of water  
 522 volume to discharge. Very large  $n$  values represent situations where a very strong resistance to  
 523 flow is used to reduce discharge when HAND volumes are large for a given stage, but the actual  
 524 SRC derived discharge is low. Very low values of  $n$  represent the opposite situation where  
 525 calculated water volumes based on HAND properties are low but the required discharge is quite  
 526 large. This observation may suggest a need to represent channel bathymetry to accommodate  
 527 excess HAND water volumes. This section focuses on comparisons between the single optimized  
 528 value and GBM approaches, particularly the cases where the imposed lower and upper limits  
 529 were reached – likely to address issues in other inputs to Manning's equation.

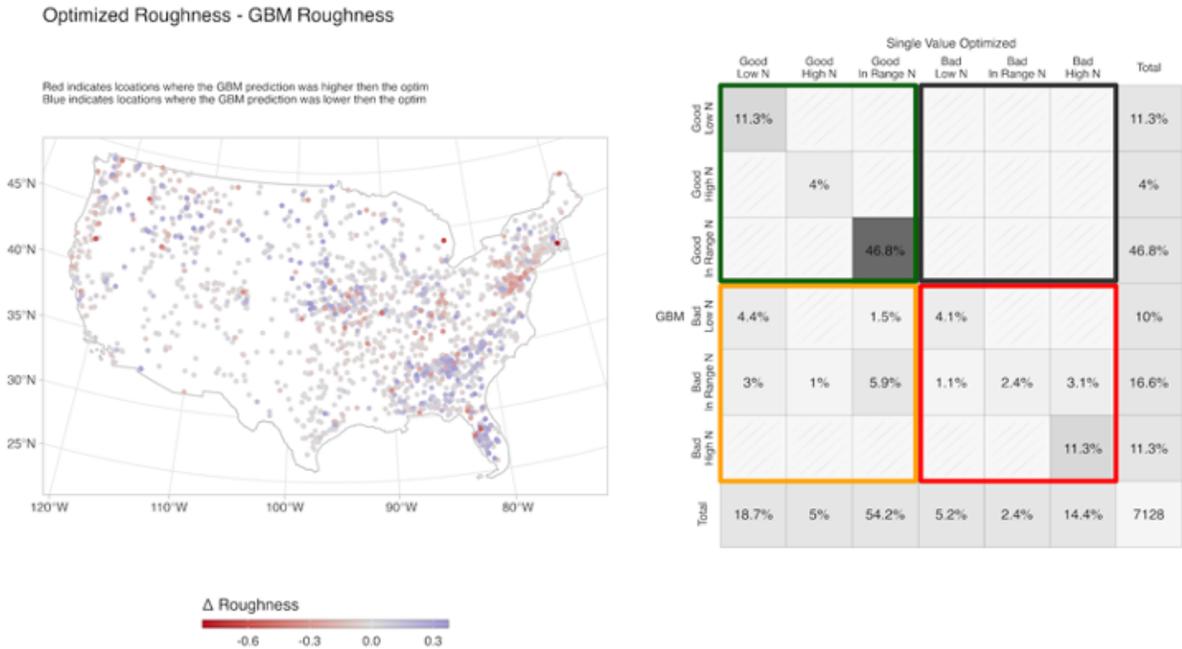
530 The error for the single-value optimized method provides the best possible result given  
 531 the current DEM, hydrofabric, and roughness bounded to the range of  $\{0.01, 0.4\}$ . Figure 3A  
 532 shows the difference in roughness between the optimized and GBM approach. Sites with an  
 533 absolute value of  $\Delta N < 0.01$  have been removed from the map. In this Figure, sites that appear  
 534 red indicate that the  $N$  value of the GBM based on network attributes is greater than the  
 535 optimized  $n$  value; conversely, sites that are blue indicate the opposite. On the East coast, we  
 536 observe a landscape where the GBM tends to show greater roughness compared to the optimized  
 537 values while in the southeast, the GBM tends to show lower  $N$ . The rest of the country indicates  
 538 a more mixed approach, with a slight tendency for the GBM to predict  $n$  lower than the  
 539 optimized approach (blue).

540 Next, we categorized the *skill* of the rating curve (by the nRMSE  $< 30\%$  threshold) and  
 541 whether the roughness pushed toward the upper limit ( $>0.35$ ), the lower limit ( $<0.05$ ), or was  
 542 within a fair range ( $0.05 \leq n \leq 0.35$ ). In total, this yielded six categories that were used to classify  
 543 the GBM and optimized-based SRCs. Figure 3B shows the confusion matrix of these divided  
 544 into four color-coded quadrants while Figure 3C shows categorical classification. The first  
 545 column (green and orange box) represents the total number of sites that were well-served by the  
 546 site-by-site optimization. In total, 77.9% of the locations achieved reasonable error, and 54.2%  
 547 did so without stretching the roughness value toward the imposed limits. For those that did push  
 548 roughness towards an imposed limit, the bulk stretched towards the low value. This highlights  
 549 the tendency of the GBM model to favor lower roughness and a broader notion that HAND  
 550 volumes tend to under-predict the actual volume flowing through the river channel. The first row  
 551 (green and black box) represents the total number of sites that were well-served by the GBM

552 model. In total, 62.1% of the sites were able to achieve reasonable error, with ~15% stretching  
553 the roughness value toward the imposed limits.

554 Starting in the upper left, the green section shows that 62.1% of sites achieve a  
555 reasonable nRMSE in both the single-value optimization and GBM. 46.8% of these did so with  
556 an in-range roughness, while ~15% pushed the upper and lower limits. Specifically, there is a  
557 strong preference to push the optimization roughness towards the lower limit of 0.01. Moving  
558 counterclockwise, the black box is empty, highlighting that the GBM cannot find solutions  
559 where the optimization failed. The red box represents situations where both the optimization and  
560 GBM methods were unable to find a solution. The implicit concern is that the errors in the input  
561 data are larger than what roughness adjustments alone can correct in ~22% of the tested  
562 locations.

563 In total, 17.8% of sites produced bad optimizations with the same pattern for both site-  
564 based optimized and GBM methods. For example if a site had a bad nRMSE with a low n, the  
565 GBM produced the same which is encouraging for the skill of the GBM, but suggests future  
566 work might look to eliminate these sites in the model training. In the remaining 4.2% percent, the  
567 GBM took on a low or high optimized n, and brought it into the expected range without  
568 improving the performance.



**Percent of sites per stream order that fall into each classification**

*Single Site Optimized Approach*

	Order 1	Order 2	Order 3	Order 4	Order 5	Order 6	Order 7	Order 8	Order 9	Order 10
Good High N	6.8	8.8	8.6	5.4	3.4	2.6	3.0	0.0	0	0
Bad High N	56.8	33.3	17.2	9.7	6.5	4.8	5.7	6.0	4	0
Good Low N	2.4	3.9	8.6	16.2	25.8	35.6	38.3	40.5	40	50
Bad Low N	0.5	1.3	1.3	3.4	7.6	10.6	10.5	21.4	24	0
Good In Range N	31.2	50.5	61.1	62.6	54.2	44.2	39.2	31.0	28	50
Bad In Range N	2.4	2.2	3.2	2.7	2.5	2.1	3.3	1.2	4	0

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571 **Figure 3:** (a) The difference in roughness produced by the GBM and Optimized roughness values are  
572 mapped. Red (negative values) indicate locations where the GBM prediction produces higher values than  
573 the optimized approach. Blue (positive values) indicate locations where the GBM prediction produces  
574 roughness lower than the optimized approach. Sites where the difference was less than  $\pm 0.01$  were  
575 excluded (b) The GBM and single site optimized results were categorized by the skill of the rating curve  
576 (by the nRMSE < 30% threshold), and whether the roughness pushed toward the upper limit ( $>0.35$ ), the  
577 lower limit ( $<0.05$ ), or was within the range ( $0.05 \leq N \leq 0.35$ ). (c) The percent of sites, per stream order,  
578 that fall into each classification. Darker hues represent larger percentages. The horizontal line after order  
579 4 represented a break in SRC creation performance identified in prior research.

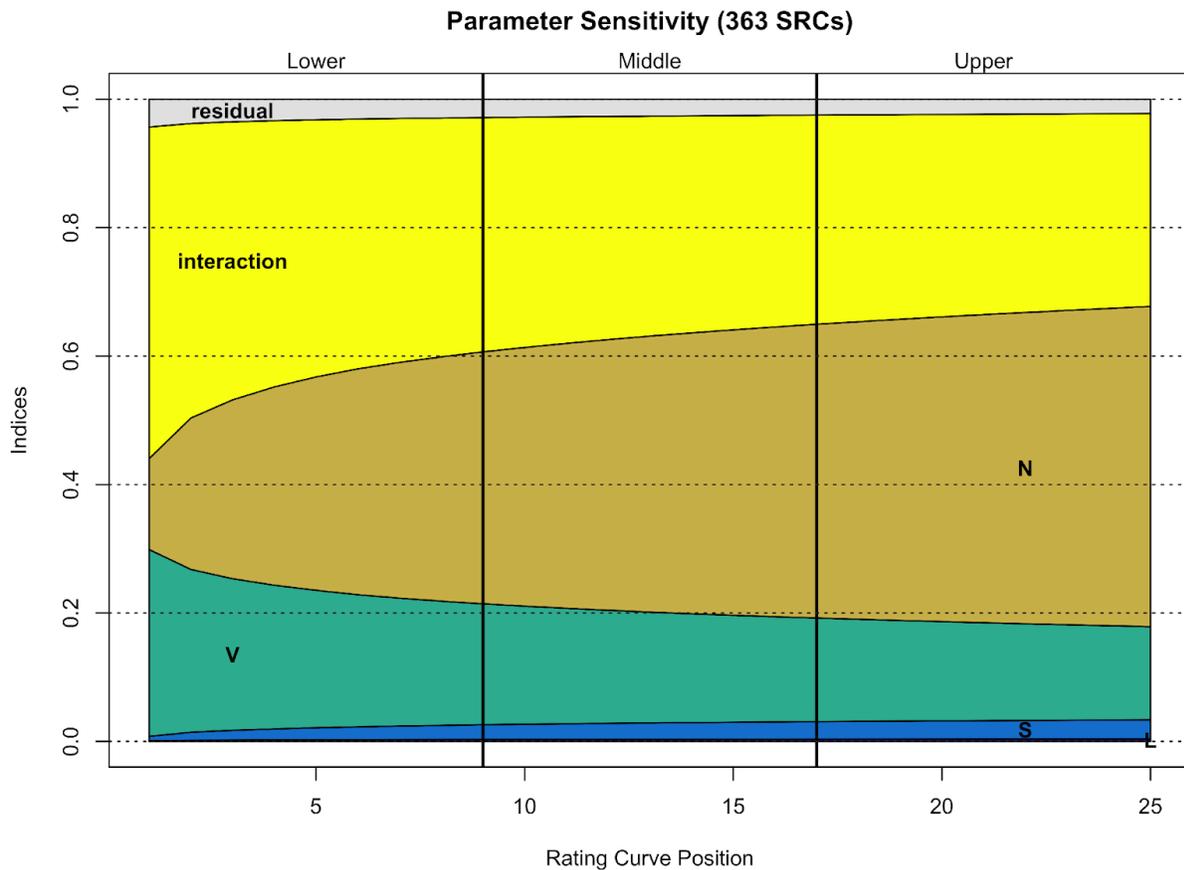
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**4.3 Parameter Sensitivity**

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In this section we investigate the sensitivity of SRCs to the primary inputs using factorial design and ANOVA decomposition, which include the (1) DEM, (2) hydrofabric, and (3)

583 roughness. Figure 4 shows the sensitivity indices of the main effects and first-order interactions  
 584 at each point in the rating curve normalized to 1.



585  
 586 **Figure 4:** Evolution of the main effects and the first-order interaction sensitivity indices of the SRC  
 587 variables averaged over 363 randomly selected locations.

588 In the lower third of the rating curve, there is more sensitivity to the DEM ( $V$ ), interaction terms,  
 589 and residual effects. This suggests that SRCs perform worse in the lower third of the rating curve  
 590 largely because they are more easily influenced by multiple factors. Starting around the middle  
 591 of the rating curve, proportional sensitivity starts to stabilize with ~15% being contributed by the  
 592 DEM, ~45% by roughness, and ~30% from variable interaction. There is minimal error (in total  
 593 ~10%) contributed from the length, slope, or residual effects of the model combined. It is notable  
 594 that as one approaches the upper end of the curve, the contribution of error from the interaction  
 595 and  $V$  terms decrease further and are generally overtaken by sensitivity to roughness.

596 These results indicate that the primary challenge in developing accurate SRCs is  
 597 Manning's roughness for mid and high SRC values, and very low SRCs are affected by DEM  
 598 errors and missing bathymetry, but there are other key sources of uncertainty. The overwhelming  
 599 sensitivity to roughness means that areas suffering from other inputs can only be identified when  
 600 the uncertainty in roughness is minimized. Given that the primary objective of many operational  
 601 flood inundation forecasting systems is to warn of high magnitude events, optimization of  
 602 roughness for this objective seems practical. For those seeking predictive skill for lower

603 magnitude events, i.e., “nuisance” flooding, improving accuracy of base information such as the  
604 DEM seems to be more critical.

605       Aside from roughness and the interaction of all inputs, the volume of water computed  
606 from the DEM was the largest source of error. As the USGS 3D elevation program moves their  
607 elevation program towards the collection of nationally comprehensive and complete LIDAR  
608 coverage, it is worth mentioning here the possible opportunities and limitations with the method  
609 provided here.

## 610 **5 Discussion**

611       A challenging aspect of the transferability of our proposed methodology is the scale-  
612 dependency of both DEM that plays a crucial role in generating water volume for a given depth  
613 defined by a HAND product, and hydrographic properties that are used for roughness  
614 calculations. Ideally we would like the trained roughness ML model to be transferable to any  
615 other hydrographic network generated at different scales. We conjecture that this holds true for  
616 networks of comparable scale (i.e., 1:100,000 ratio). Another question is whether the assumption  
617 that the variable selected during the feature importance analysis remains consistent as  
618 hydrographic network scale changes? For instance in higher resolution networks, characterized  
619 by the prevalence of more tributaries with greater sinuosity, the arbolate sum, a key  
620 characteristic, typically demonstrates a notable increase. Consequently, if a smaller river system  
621 within the higher resolution network is trained solely on lower resolution data, it might  
622 erroneously exhibit attributes akin to those of a much larger system, potentially resulting in  
623 artificially low roughness values.

624       Similar to hydrographic scale impact on roughness, the higher resolution LiDAR or DEM  
625 data improves accuracy in channel volume estimation and synthetic rating curve calculation by  
626 providing detailed terrain data, enhancing channel morphology representation, and minimizing  
627 uncertainties in channel geometry and hydraulic modeling. This contributes to more reliable  
628 assessments of water resources and flood risks. The utilization of LiDAR data holds promise for  
629 mitigating uncertainty in volume estimations through several mechanisms. The first method, akin  
630 to that employed in GeoFlood, involves increasing the horizontal (grid) resolution to yield more  
631 effective “cells.” This reduction in resampling inherently enhances the vertical accuracy at each  
632 cell. The second method entails utilizing LiDAR to refine vertical accuracy within the same 10m  
633 grid as the current 3DEP 10m product, effectively integrating the latest data captures to enhance  
634 the existing grid. In both scenarios, if a new DEM is utilized, recalibration of the base inputs to a  
635 Synthetic Rating Curve (SRC) – namely, the cross-sectional area and hydraulic radius at depth  $Y$   
636 – following the methodology outlined by Zheng et al. (2017) is necessary. This entails updating  
637 the GBM model based on the full hyperparameter set (Step 2) but does not necessitate retraining  
638 on the complete set of VAA attributes (Step 1).

639       Despite the potential enhancements to the current DEM, the issue of changing scales (cell  
640 resolution) raises an open question. To qualitatively assess the transferability of GBM-produced  
641 roughness values across DEM scales, we compared the reported, tuned roughness values at five  
642 USGS sites examined in Zheng et al. (2018) to the GBM values. While the GBM approach  
643 tended to overpredict roughness compared to the reported GeoFlood values, a correlation was  
644 observed (albeit from a limited dataset). This trend aligns with the notion that larger grid cells  
645 yield larger volumes and, per equation 11, necessitate larger roughness values. The idea that  
646 roughness varies with DEM resolution finds support in hydrologic modeling research. For  
647 example, it has been established that 2D flood models are sensitive to DEM resolution (Fewtrell

648 et al., 2008; Horritt & Bates, 2002; Saksena & Merwade, 2015; Schumann et al., 2007), and  
649 roughness (Lim et al., 2016; Mason et al., 2003; Pappenberger et al., 2005). However, conflicting  
650 findings exist regarding the existence of a scaling relationship, with some studies suggesting  
651 otherwise (M. Foster & M. Maxwell, 2019). Hence, it is acknowledged that the dependency of  
652 GBM roughness values on DEM and hydrography constitutes a limitation of the dataset  
653 produced herein, not the methodology. Future investigations will explore methods for  
654 operationalizing roughness model fitting and prediction based on the methods and data (USGS  
655 rating curves) developed in this study.

656 An assessment of the sites exhibiting extreme error in SRC with the calibrated  $n$  values  
657 demonstrated that SRC extreme error often occurs when roughness approaches one of the  
658 enforced physical limits. It was also in these areas where the largest divergence between the  
659 predicted and calibrated  $n$  values was observed. In many ways, this divergence in  $n$  values offers  
660 a signal for when other aspects of the SRC creation are uncharacteristically influential. The value  
661 of  $n$  serves as a proxy for representing the ratio of water volume to discharge. Very large  $n$   
662 values represent situations where a very strong resistance to flow is used to reduce discharge  
663 when HAND volumes are large for a given stage, but the actual SRC derived discharge is low.  
664 Very low values of  $n$  represent the opposite situation where calculated water volumes based on  
665 HAND properties are low but the required discharge is quite large. (perhaps suggesting a need  
666 for representing channel bathymetry to accommodate excess HAND water volumes).

667 Finally, several critical considerations arise when utilizing this dataset or extending the  
668 workflow beyond its current scope. The workflow, beginning with a mechanical measurement of  
669 roughness to train a predictive model based on network attributes, subsequently utilized the same  
670 network and DEM to establish a relationship between water surface height and discharge  
671 required for that stage. However, the applicability of these findings to variations in input  
672 parameters, such as alterations to the underlying network, remains unclear. Further inquiry is  
673 warranted to determine whether the values derived from this dataset could be used as a direct  
674 crosswalk to the new network, whether the values would need to be recalculated based on the  
675 new attributes of the network using the existing model, whether the model would need retraining  
676 using the new inputs, or whether the process of determining the selected features needs to be  
677 performed.

## 678 **6 Conclusions**

679 At the onset of this research, the necessity for improved reach-level roughness was  
680 highlighted to support continental flood mapping efforts. We employed the methodology  
681 proposed by Zheng et al. (2017) to define reach-averaged hydraulic traits from a 10m HAND  
682 product in catchments with a USGS gauge and calibrated a roughness value to minimize the  
683 error in predicted flows. This approach resulted in 78% of gaged locations achieving  $nRMSE \leq$   
684 30%. To produce SRCs in ungauged basins, we evaluated a range of methods for estimating  
685 reach-level roughness. Among these, a data-driven ML model based on NHDPlus hydrographic  
686 topology proved the most robust. The ML model produced almost four times the number of  
687 SRCs with acceptable error compared to non-optimizable methods (e.g., stream order and land  
688 cover methods). Additionally, its correlation with the optimized single value is twice that of the  
689 next closest method. The predictions were able to achieve a ranked correlation of 0.89 with the  
690 optimized values and SRCs with reasonable error in 62% of the tested locations. In contrast, a  
691 widely used global roughness value captured just 13% of SRCs with reasonable error, and the  
692 best performing stream order parameterization captured just 16%.

693 A sensitivity test showed that the DEM and roughness are the principal sources of error  
694 in the conceptual rating curve model, while length and slope are practically non-significant. We  
695 demonstrated that as the upper end of the SRC is approached, it becomes apparent that the  
696 contribution of error from the interaction and V terms decrease further and are generally  
697 overtaken by sensitivity of roughness. These conclusions are generally in line with other work  
698 that has looked at HAND-based SRC uncertainty at individual sites (Godbout et al., 2019). The  
699 conclusion is that in locations where roughness is the primary contributor of uncertainty, the data  
700 driven roughness and existing data inputs can produce reasonable SRCs. In areas where the  
701 DEM and hydrography introduce uncertainty, the calibrated values take on a role that was not  
702 *per se* roughness, but rather a broad error-reducing scalar. Such locations were not pervasive,  
703 and generally clustered around regions with large built-up extents, known engineered controls, or  
704 low relief. In these areas where DEM fidelity is a primary source of SRC error, there is capacity  
705 for LIDAR to be used with the methods suggested as part of the GeoFlood project (Zheng et al.,  
706 2018). A drawback to using LIDAR is the availability, procurement costs, and computational  
707 needs associated with creating HAND and generating inundation forecasts at large scales.  
708 Fortunately, the 10m DEM seems serviceable for the majority of CONUS, and the SRC error  
709 map (Figure 2) can help prioritize areas where the integration of LIDAR data might be especially  
710 beneficial.

711 Future work will involve exploring several avenues to address key findings in this  
712 research and potential implications. Firstly, we demonstrated a disparity in error across different  
713 sections of the rating curve, particularly higher error in the lower section compared to the middle  
714 and upper portions. This signals a need to evaluate the absence of bathymetry in the model, as  
715 the missing channel volume likely impacts the lower end of the rating curve. Secondly, the  
716 findings suggest promising prospects for FIM methods that rely on Synthetic Rating Curves  
717 (SRCs) for high flow applications. Thirdly, there is potential for optimizing the roughness  
718 coefficient ( $n$ ) for various sections of the rating curve. While a composite  $n$  was not found to be  
719 beneficial, a stage-varying  $n$  might prove advantageous. Lastly, future research will explore how  
720 this method scales across different networks, assessing its applicability and performance in  
721 diverse hydrological settings. Within the United States, LIDAR may provide better discretization  
722 and some additional bathymetry data. The effects of this can be tested at reaches that have both  
723 LIDAR and USGS rating curves. These datasets can be effective in training a ML model and  
724 gaining a better understanding of the effect of grid cells and data driven roughness on model  
725 training. In essence, further research may be able to quantify how more location-attuned  
726 roughness values can contribute to improved large-scale hydrologic routing and other  
727 applications of reach-level roughness.

728 For regions outside the USA, official stream network data similar to the NHD are few  
729 and far between. Publicly available data are often aggregated at lower spatial resolutions,  
730 decreasing the ability to represent the full drainage network. However, the rapid increase in the  
731 amount of crowd-sourced stream network data available through platforms like OpenStreetMap  
732 offers the promise of high-resolution data that can be used for improved global reach level  
733 modeling for smaller rivers. Localized high-resolution official drainage networks, crowd-sourced  
734 stream network data offer the opportunity to evaluate the impact of scale, network density, and  
735 attributes on the ability of GBM models to characterize the rating curve relationship. Overall, the  
736 value of the current work lies in the data produced for the scale of the medium-resolution NHD  
737 and 10m 3DEP product, as well as a method and curated set of training data to apply to other  
738 scales within and outside the United States. The roughness values have been made publicly

739 available on HydroShare with easy-access options for both R and Python. With respect to R, the  
 740 roughness values can be accessed with the nhdplusTools *get\_vaa* functionalities. We hope the  
 741 use of this data can support improved flood forecasting, applications that need to estimate  
 742 roughness, and can prompt consideration of what other hydrologic properties and characteristics  
 743 can be learned and supported by the topology implicit to hydrography datasets.

744

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750

#### 751 **Disclaimer**

752 The views expressed in this article do not necessarily represent the views of NOAA or the United  
 753 States.

754

#### 755 **Open Research**

756 The roughness values generated in the research are available at (J M Johnson, 2021). The GBM  
 757 model is available at <https://github.com/LynkerIntel/hydrofabricML>. A version of this software  
 758 will be published through Zenodo after the review process to accommodate for any needed  
 759 changes.

760

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