1   **Enhancing Synthetic Rating Curve Development Through Empirical Roughness**
2   **Built for Hydrofabric Datasets**
3

4   **J. Michael Johnson[1,2,3], Damilola Eyelade[1,2,3], Justin Singh-Mohudpur[1,2,3], Arash Modaresi**
5   **Rad[1,3], James Coll[1, 3], Ryan Spies[1,3], Lilit Yeghiazarian[4]**

6   [1] Lynker, Fort Collins Colorado USA.

7   [2] Department of Geography, University of California, Santa Barbara, California, USA.

8   [3] NOAA NWS Office of Water Prediction

9   [4]University of Cincinnati
10

11   Corresponding author: J Michael Johnson (jjohnson@lynker.com)

12   **Key Points:**

13   • We established synthetic rating curves (SRC) at 7,270 gaged locations and calibrated roughness
14   to minimize error in predicted streamflow.

15   • A predictive model based on hydrofabric network properties was built to estimate roughness to
16   support SRC creation in ungauged basins.

17   • These predictions achieved a correlation of 0.89 but are likely dependent on the resolution of the
18   DEM and hydrofabric used.

Abstract

Rating curves are commonly developed through direct observation, open channel flow models, or mechanical methods, each relying on in-situ measurement. As part of a U.S. effort to provide high resolution, continental scale, flood mapping, synthetic rating curves (SRCs) were developed across the National Hydrography Dataset (NHDPlusV2) to translate flows, like those generated by the NOAA National Water Model, into river depths. This approach uses Digital Elevation Models (DEM) to define the necessary cross-sectional properties for Manning's equation. A significant limitation, alongside an opportunity for broad improvement, has been assigning suitable roughness without local information. We applied the DEM based methodology to generate SRCs at 7,270 locations with known USGS rating curves, and calibrated roughness to minimize the error between predicted and observed flow. Subsequently, we tested several approaches based on land cover, stream order, and the hydrographic network to estimate the optimized values in a manner that can be extended to ungauged catchments. Among these, a predictive Machine Learning (ML) model based on the NHDPlusV2 network attributes demonstrated superior ability to estimate the optimized roughness with a Spearman correlation of 0.89. Sensitivity analysis showed improving accuracy of DEM and roughness is crucial for accurate estimation of the lower and mid/upper parts of SRC, respectively. Finally, we applied the predictive model over the NHDPlusV2, generating reach-level roughness estimates that can directly support national flood mapping efforts. The method is generalizable to any hydrofabric network that contains topology; however the generated values are dependent on the DEM and hydrofabric used.

Plain Language Summary

Synthetic rating curves (SRCs) have been developed for every river segment in the United States as part of the Continental Flood Inundation Mapping Framework (CFIM). A mathematical equation called the Manning's equation and a Digital Elevation Model (DEM) map are the baseline requirements for creating these SRCs. Studies have shown that with careful estimation of roughness, these SRCs can be used to create detailed, real-time flood maps when paired with streamflow simulations like those from the NOAA National Water Model. Normally, channel roughness is estimated from field surveys, model calibration, or tables that ask about the channel and its surroundings. However, in practice, this approach is limited to surveyed locations. Here we used the DEM based SRC methodology to generate SRCs at 7,270 locations with known USGS rating curves. From these we identified the best roughness value that would minimize the error between predicted and observed flow. We tested several approaches for predicting these values including using land cover, stream order, and hydrographic properties of the National Hydrography Dataset (NHDPlusV2). The latter proved most capable at predicting roughness and was applied over the ~2.7 million NHDPlusV2 reaches.

1 Introduction

Stage-discharge relationships are pivotal in flood mapping and routing, providing essential insights into river behavior during flood events (Guerrero et al., 2012; Guven & Aytek, 2009). Manning roughness coefficients, which signify channel and floodplain resistance to flow, are integral to refining these relationships (Mansanarez et al., 2019). Accurate estimation of Manning roughness is particularly crucial in ungagged locations, where streamflow data is scarce

62   (Karamouz & Mahani, 2021). By employing empirical relationships, remote sensing data, or land
63   use analysis, hydrologists can estimate Manning roughness coefficients for such areas,
64   improving flood mapping and routing accuracy (Zheng et al., 2018). This enhanced precision
65   aids in better understanding flood dynamics and facilitates more effective flood risk
66   management, ultimately reducing socio-economic impacts associated with floods.
67   Stage-discharge relationships depend on the hydraulic characteristics of the stream
68   channel, are known to vary over time, and are subject to numerous sources of uncertainty,
69   including unstable control, non-uniform flow, and local stage variability (A. Hamilton & Moore,
70   2012; S. Hamilton, 2008; McMahon & Peel, 2019; Muste et al., 2012; Westerberg et al., 2011).
71   A rating curve represents a relationship between two variables, most commonly discharge (Q)
72   and an elevation relative to a datum, more commonly referred to as stage (m). While there are
73   many approaches for establishing rating curves, they broadly include empirical (direct and
74   indirect measurements), mechanical, and theoretical methods. *Direct empirical methods* require
75   streamflow measurements following an approach developed in the 1890s (Kean & Smith, 2005;
76   Rojas et al., 2020). However, obtaining measurements can pose challenges particularly during
77   high flow events and maintenance requires considerable resources leading to an increasing
78   number of defunded gauges (Kean & Smith, 2005). *Indirect empirical methods* employ a variety
79   of flow models that require measured channel geometry, specified water surface elevations, and
80   an empirical roughness value to characterize resistance to flow (Benson & Dalrymple, 1967).
81   Roughness is known to vary with stage and is typically calibrated for a specific set of flow rates
82   (Barnes, 1967; Jarrett, 1984; Kubrak et al., 2019; Limerinos, 1970; Marcus et al., 1992).
83   However, since resistance cannot be assigned without prior knowledge, indirect methods have
84   limited ability to generate complete, stage-discharge relationships (Kean & Smith, 2005).
85   Furthermore, even when calibrated, empirical roughness only captures friction, or skin
86   resistance, while neglecting drag generated by the normal forces acting on a water volume (Kean
87   & Smith, 2005). As a result, *mechanical models* have been used to estimate drag and friction
88   explicitly using in-situ measurements of channel geometry, as well as the physical roughness of
89   the bed, banks, floodplain, and vegetation density (Kean & Smith, 2005). These models have
90   been shown to provide more accurate discharge estimates at a lower cost than many indirect
91   methods (Kean & Smith, 2005, 2010).
92   While observations, empirical, and mechanical methods are ideal, the requirement for on-
93   site measurements limit their application at large scales. Assigning roughness values represents
94   one of the most challenging processes to generalize and is one of the most sensitive parameters
95   in streamflow calculations (Hutton et al., 2012). To provide continental flood forecasts (J
96   Michael Johnson et al., 2019; J. Michael Johnson, Narock, et al., 2022; Maidment, 2016), and
97   enhanced emergency response (Dallo et al., 2020; J Michael Johnson et al., 2018), Zheng et al.
98   (2017) proposed a method to estimate reach-level synthetic ratings curves (SRC) from Digital
99   Elevations Models (DEM) as part of the National Flood Interoperability Experiment (NFIE;
100 Maidment, 2016). This theoretical rating curve method estimates the hydraulic characteristics
101 from a Height Above Nearest Drainage (HAND) raster (Nobre et al., 2011; Rennó et al., 2008)
102 and the National Hydrography Dataset (NHDPlusV2, McKay et al., 2012), making the method
103 extendable to ungauged basins. In the first iteration of the NFIE, and in the following
104 Continental Flood Inundation Mapping framework (CFIM), a default global roughness of 0.05
105 was used. (Zheng et al., 2017) found a global roughness for SRCs resulted in variable accuracy,
106 but also that accurate depth estimates could be achieved for the studied Tar River Watershed by
107 calibrating roughness to a stage-discharge relation produced from HEC-RAS modeling. (Zheng

108   et al., 2018) implemented the HAND approach using a LIDAR DEM, calling the approach
109   'GeoFlood', finding it capable of capturing the Federal Emergency Management Agency
110   (FEMA) flood plain coverage with 60–90% accuracy when adjusting the roughness to best align
111   the SRC to a measured United States Geological Survey (USGS) rating curve. As part of that
112   study, the authors highlighted an extreme sensitivity to even small variations in roughness. Other
113   studies have carried out indirect evaluations of the skill of SRCs by comparing HAND-based
114   inundation maps to remotely sensed flood products and aerial imagery in which the assignment
115   of roughness was identified as a principal limiting factor in accurate flood prediction (Garousi-
116   Nejad et al., 2019; J Michael Johnson et al., 2019). Today, the CFIM approach is actively being
117   developed as an open-source flood inundation mapping software (FIM) operated and maintained
118   by the National Oceanic and Atmospheric Administration's (NOAA) National Weather Service
119   (NWS) (NOAA-OWP, 2021, p.). In FIM3, a stream order-based roughness is applied to move
120   beyond *default global roughness* values. A study by (Qi & Liu, 2019) demonstrated the
121   importance of considering land-use changes and its significant impact on alteration of roughness
122   coefficient that results in drastic changes in estimated extreme flood peaks.
123   Collectively, this emerging body of evidence recognizes that improved estimates of
124   roughness are crucial for the success of the continental flood mapping framework. The objective
125   of this work is to estimate a national set of reach-level empirical roughness values suitable for
126   theoretical rating curves to enhance operational flood prediction and other hydroscience
127   calculations reliant on estimated roughness. We propose a novel approach for more accurate
128   estimation of roughness using a Machine Learning (ML) model trained on NHDPlusV2 network
129   attributes and compare our results to widely accepted methods for estimating roughness in both
130   academic literature and operational settings. By calculating the explicit spatial representation of
131   roughness within the context of national scale FIM efforts, we directly address many of the
132   shortcomings associated with the static parameterization of roughness, enabling us to more
133   concretely isolate the various sources of error within the SRC. In the *data* section, we outline the
134   datasets used. In the *methods* section, we describe the existing SRC calculation techniques; the
135   methods used to optimize roughness to USGS rating curves, and to estimate roughness based on
136   stream order, land cover, and the hydrofabric network. Lastly, we introduce performance metrics
137   for evaluating model skill. The *discussion* examines SRC performance using the different
138   roughness estimates; how different sections of the rating curves exhibit error; and the sensitivity
139   of SRC generation to the input DEM, hydrofabric, and selected roughness. Finally, the
140   conclusions highlight the implications of this work as well as the limitations of the provided data
141   and opportunities for the continued use of the broader approach.

142   **2 Data**

143   **2.1 Observed USGS Rating Curves**

144   The USGS measures rating curves on a 6–8-weeks schedule and disseminates the
145   information Water Information System (NWIS: https://waterdata.usgs.gov/nwis/sw) (Beran &
146   Piasecki, 2008; De Cicco et al., 2018). A sample of 7,270 active USGS rating curves were
147   collected from NWIS, and recorded stage values were converted to depths by subtracting the
148   reported zero-flow stage from all stage values. Normalizing depths to the zero-flow record
149   allows us to estimate the local reference datum by assuming the zero-flow is referenced to the
150   surveyor-defined channel bottom.

151   For each rating curve, a natural cubic spline was fitted and used to estimate stage values
152   for 25 evenly spaced intervals ranging from 0 to the maximum observed streamflow. Observed
153   USGS rating curves are subject to random gage measurement errors and systematic errors
154   resulting from cross section changes, scouring, bed fill and backwater effects (McMillan &
155   Westerberg, 2015). However, we assume these relationships to be accurate at the scale of a
156   continental study, and our goal is to approximate these recorded relationships. Future work can
157   build on extensive studies like those carried out in Australia (McMahon & Peel, 2019) to
158   characterize the uncertainty in the observed ratings.

159   **2.2 Hydrofabric Data**

160   The medium-resolution National Hydrography Dataset Version 2 (NHDPlusV2: 1:100,000 scale)
161   digitally mapped the surface water network of the continental United States (CONUS) into ~2.7
162   million river segments with similar hydrologic characteristics (McKay et al., 2012) NHDPlusV2
163   comprises the original NHD Flowline geometries, the 30-meter National Elevation Dataset, and
164   "value-added attributes" (VAA's) that encompass pre-calculated network characteristics
165   enhancing network analysis. While VAAs are precomputed for NHDPlusV2, they can be
166   generated for any set of hydrofabric data with a topology (D. Blodgett et al., 2020, 2023; D. L.
167   Blodgett & Johnson, 2022a). In this research, we aggregated VAA analysis attributes into single
168   file, accessible as a HydroShare resource (J M Johnson, 2021). Methods for accessing the tabular
169   VAA table were incorporated into the USGS nhdplusTools R package (D. L. Blodgett &
170   Johnson, 2022b) to support this research. By segregating attribute data from geometry, we can
171   more readily use this information in statistical and ML models. In future iterations of hydrofabric
172   whether it be MERIT (Yamazaki et al., 2019), TDX-hydro (McCormack et al., 2022), the
173   USGS/NOAA Reference Fabric (Bock et al., 2022), or the NOAA Next Generation Water
174   Resource Modeling Framework hydrofabric (J. Michael Johnson, 2022), simular characteristics
175   can be computed.

176   **2.3 Height Above Nearest Drainage Data**

177   The Height Above Nearest Drainage (HAND) is a normalized elevation dataset that describes the
178   height of each cell above the nearest designated flow path (Nobre et al., 2011). In 2018, (Y. Y.
179   Liu et al., 2018) generated a HAND dataset for the Continental United States (CONUS) using
180   the 10-meter USGS National Elevation Dataset (NED), the NHDPlusV2, and the D∞ distance
181   down calculation available in TauDEM (Tesfa et al., 2011). All HAND data, along with
182   intermediate processing steps, are accessible on the University of Texas (UT) Corral server
183   (https://web.corral.tacc.utexas.edu/nfiedata/). This dataset has been updated as part of the
184   Continental Flood Inundation Mapping (CFIM) framework implemented at Oak Ridge National
185   Laboratory (Y. Y. Liu et al., 2020) and was used in this research.

186   **3 Methods**

187   We employed the DEM-based SRC methodology to generate and validate roughness values
188   based on their capacity to replicate streamflow-depth relationships akin to recorded USGS rating
189   curves. In this section, we outline the process of establishing reach level hydraulic properties
190   (3.1), estimating roughness (3.2), comparing SRCs to observed values (3.3), and evaluating the
191   sensitivity of the input parameters (3.4).
192

193 **3.1 Estimation of Reach Average Hydraulic Properties**

194 Manning's equation (equation 1) characterizes open channel flow as a function of channel
195 velocity, flow area, slope, and roughness (Chow, 1959; Farmer et al., 2019; Pavelsky, 2014).
196 Originally developed for uniform flow conditions where the water-surface profile and energy
197 gradient are parallel to the streambed, and the cross-sectional area, hydraulic radius, and depth
198 remain constant throughout the reach. It can be assumed that equation 1 is equally valid for the
199 nonuniform reaches typically found in floodplains (Jarrett, 1984).
200

201 $$Q(y) = \frac{A(y) \times R(y)^{\frac{2}{3}} \times \sqrt{S}}{n}$$ (1)

202
203 where:
204         $Q(y)$ = the discharge at depth y (m^3/s),
205         $A(y)$ = the cross-sectional area at depth y (m^2)
206         $R(y)$ = the hydraulic radius at depth y (m)
207   S   = the longitudinal slope (m/m)
208   n   = the Manning's roughness coefficient
209

210 The method proposed by Zheng, Tarborton et al. (2018) calculates cross-sectional area (A),
211 hydraulic radius (R) and streambed slope (S) from HAND, the hydrofabric information. It
212 necessitates a user-defined roughness as illustrated in Figure 1. This iterative process is
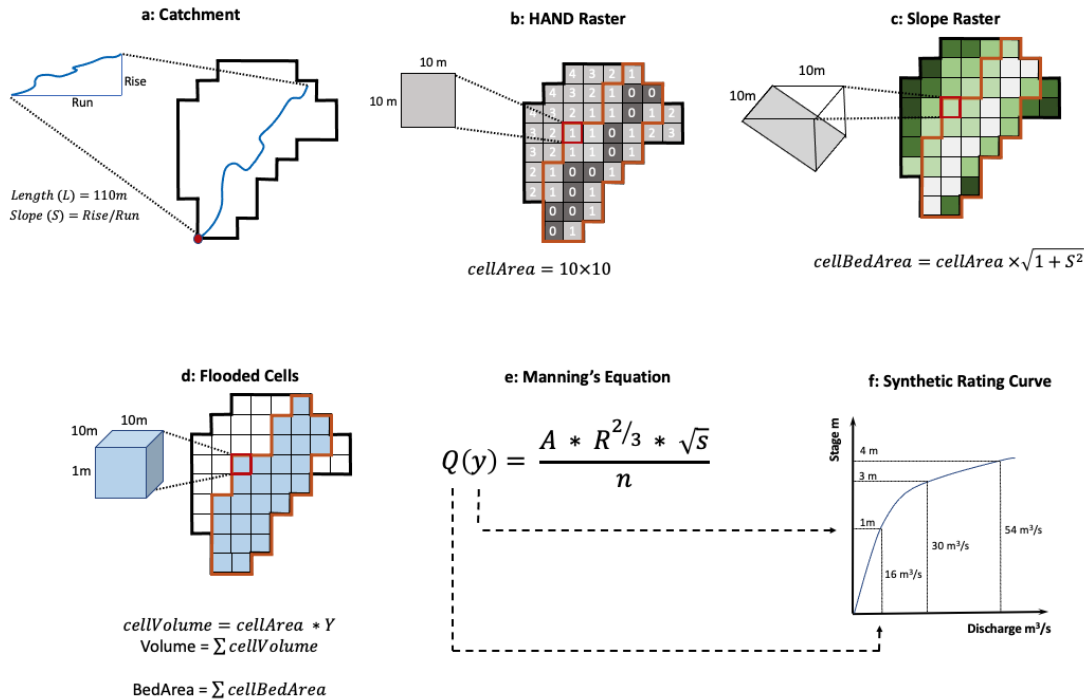213 replicated for a predetermined set of stage (Y) values.
214

215
216

217 **Figure 1**: Process for creating stage-discharge relationships as defined in Zheng, Tarboton, et al., (2018).
218 (a) The catchment boundary establishes contributing cells, and the flowpath length (L) and slope (S) are
219 then defined by the hydrofabric. (b) The HAND raster stores the elevation above the nearest river cell in
220 the contributing area. (c) The slope raster defines the effective bed surface area of each cell dependent on
221 the raster resolution. (d) For a defined stage (e.g., Y=2), inundated cells (outlined in orange across panels)
222 are determined as those where HAND ≤ Y. The volume and bed area of the inundated cells are then
223 computed from (b) and (c). (e) Manning's Equation estimates a flow rate Q. (f) A collection of Y-Q
224 relations defines an SRC.

225 As illustrated in Figure 1a, possible contributing cells (all those that are "nearest a drainage") are
226 selected, in this case using the NHDPlusV2 catchment. The NHDPlus VAA attributes provide
227 the stream length (L; m) and longitudinal slope (S; m/m), and the HAND raster provides the
228 elevation difference between each grid cell and the nearest flow path. A slope raster (1c) contains
229 the percent slope (cellSlope) of each grid cell, which can be used to estimate the effective ground
230 surface or bed area (BA) at a given depth (Equation 2).
231

232 $$BA = cellres^2 \sqrt{1 + cellslope^2} \tag{2}$$
233

234 For any defined stage (Y; meters), the HAND raster can be used to identify inundated cells
235 where the HAND value is less than Y (1d). At each of these cells, a water volume (V) can be
236 calculated as the depth of ponded water multiplied by the cell area (Equation 3).
237

238 $$V(y) = cellres^2 \times (Y - HAND) \tag{3}$$
239

240 For all inundated cells, the total bed area ($\sum$BA) and volume ($\sum$V(y)) can approximate the cross-
241 sectional area (Equation 4), wetted perimeter (Equation 5), and hydraulic radius (Equation 6)
242 needed in Manning's Equation (Figure 1e).
243

244 where:
245

246 $$A(y) = \sum \frac{V(y)}{L} \tag{4}$$
247

248 $$WP(y) = \sum \frac{BA(y)}{L} \tag{5}$$
249

250 $$R(y) = \frac{A(y)}{WP(y)} \tag{6}$$

251 Iterating this calculation over a defined set of depths using a defined single value or stage-
252 varying roughness yields a streamflow-depth table resembling a rating curve (Figure 1f).

253 **3.2 Estimating the Roughness Terms**

254 In various hydrology subfields, roughness is often estimated based on stream order or
255 land cover characteristics. Models such as WRF-Hydro assign roughness as a function of
256 Strahler stream order for overland flow calculation and Muskingum-Cunge hydrograph routing
257 (Gochis et al., 2016a). In 2016, Li introduced the NHDPlus Inundation Modeler V4.0, which
258 relies on a separate stream order-based approach (Li, 2016). In both lumped and distributed
259 hydrologic models, land cover datasets are frequently used to assign roughness through

260 reclassification tables, with similar methodologies applied in dam breach analysis and other 2D
261 hydrologic and hydraulic models (Janssen, 2016; Kalyanapu et al., 2009; Z. Liu et al., 2019).
262   Furthermore studies have demonstrated that a stage-varying composite roughness (*N*),
263 based on defined in-channel and overbank regions may outperform a single roughness value
264 (Boulomytis et al., 2017; Kubrak et al., 2019; Nguyen & Fenton, 2005). The composite approach
265 has been shown to reduce error in hydraulic models by as much as 70% for surveyed reaches
266 (Tuozzolo et al., 2019). Although there are multiple ways to define a composite roughness,
267 (Tullis, 2012) found Horton's equation (Equation 7: Chow, 1959) to yield the most consistent
268 results across disparate channel types.
269

270     $N = \dfrac{(P_{ch}(n_1)^{1.5} + P_{ob}(n_2)^{1.5})^{\frac{2}{3}}}{P_{total}^{\frac{2}{3}}}$ (7)

271 Where:

272   N = composite roughness value,

273   n_1 = in-channel *n*

274   n_2 = overbank *n*

275   P_{ch} = wetted channel perimeter (m)

276   P_{ob} = wetted overbank perimeter (m)

277   P_{total} = total wetted perimeter (m).

278
279 In locations where a USGS rating curve is available, we can determine an optimized roughness
280 by minimizing the error between observed and simulated flows. In locations without a known
281 rating curve, we can build on prior methodologies and assign single value and composite
282 roughness values based on stream order or land cover. Additionally, we introduce a ML
283 approach that leverages the VAAs of the hydrographic network to estimate roughness based on
284 patterns found in the optimization exercise. Within these approaches, multiple variants are tested,
285 resulting in eleven unique methods that are described below.

286 **3.2.1 Optimization**

287   Optimized roughness values aim to minimize the error between simulated and observed
288 discharge for given depths. By fitting the roughness term alone, it is assumed (tested in 3.4) that
289 uncertainty in other inputs (DEM and hydrofabric) are minimal. To define a single roughness for
290 each NHDPlusV2 catchment, we solved equation 1 using a nonlinear least squares regression
291 model (NLS) based on the Gauss-Newton algorithm with 50 maximum iterations, a convergence
292 tolerance of 1e-09, a lower bound of 0.01, an upper bound of 0.40, and an initial guess of 0.05 (J.
293 M. Johnson et al., 2024; J. Michael Johnson, Coll, et al., 2022). Considering the DEM and
294 hydrofabric data as static, the roughness value (n) is the only term in Manning's equation that can
295 be adjusted via calibration . The lower and upper bounds were selected based on literature-driven
296 values for reasonable floodplain roughness, with the initial guess derived from the CFIM
297 precedent. In all cases, the NLS solver converged, and varying the initial guess had no
298 discernible impact on the estimation in a sample of 500 basins.
299   A composite roughness was defined for each catchment by treating all cells with a
300 HAND value of 0 as in-channel, and the remaining as out-of-channel. Both n_1 and n_2 were

301 estimated using a NLS model with bounds of (0.01 and 0.20), and (0.01 and 0.40), respectively,
302 with an initial guess of 0.05 for each. A final constraint ensured that n_1 was less than n_2 in each
303 solution. Multiple starting values were tested in a selected subset of basins with no notable
304 differences in the results. Combined, the single value and composite optimized results provide a
305 validation dataset used throughout this research.

306 ### 3.2.2 Stream Order-Based Estimation

307 Previous studies (Cosgrove et al., 2020; Gochis et al., 2016b; Li, 2016) have used stream order
308 as a proxy for roughness. We aim to evaluate how these approximation tables compare to the
309 mean and median single value optimized derived from the USGS rating curves. Table 2 presents
310 these values alongside those used in Li (2016) and the WRF-Hydro/National Water Model
311 version 2.0 RouteLink file. In the National Water Model implementation of WRF-Hydro, both an
312 in-channel roughness (*n*) and a compound channel roughness (nCC) are associated with each
313 flowline feature of NHDPlus.
314
315 **Table 1.** Roughness values used for the Stream order approximations

Order	Mean Optimized	Median Optimized	Li-Assignment	WRF-Hydro n	WRF-Hydro nCC
Source			*Li (2016)*	*Gochis (2016)*	*Gochis (2016)*
1	0.196	0.187	0.14	0.060	0.12
2	0.181	0.169	0.12	0.060	0.12
3	0.157	0.134	0.09	0.055	0.11
4	0.128	0.103	0.09	0.055	0.11
5	0.107	0.079	0.07	0.050	0.10
6	0.088	0.057	0.06	0.050	0.10
7	0.083	0.051	0.03	0.045	0.09
8	0.067	0.043	0.03	0.045	0.09
9	0.047	0.029	0.03	0.040	0.08
10	0.043	0.037	0.03	0.040	0.08

316

317 ### 3.2.3 Land Cover Estimation

318 Land cover information can provide a spatially heterogeneous perspective of the
319 landscape, yet it is prone to sampling and resampling error as well as scale-related classification
320 uncertainties (J Michael Johnson & Clarke, 2021; Kim et al., 2024). Foster and Maxwell (2019)
321 identified that vegetation-defined heterogeneity influenced behavior and determined n values in
322 the stream network, but grid resolution did not reveal a clear scaling relationship. Hence, we are
323 interested in both single flood plain values, akin to those used in flood mapping studies,
324 and stage-varying roughness values.
325 The 2019 National Land Cover Database (NLCD) underwent reclassification using
326 roughness values proposed by Kalyanapu et al. (2009) and extended by Liu et al. (2019). A
327 single floodplain roughness was calculated for each reach by averaging all cells submerged by
328 the maximum stage in the rating curve. Furthermore, a stage-varying roughness was generated
329 by calculating the average land cover roughness using only the inundated cells at each stage.
330

3.2.4 Hydrofabric Gradient Boosted Machines (GBM)

Roughness is dependent on a variety of channel characteristics, making it a suitable candidate for exploration with predictive ML models. After evaluating multiple options, a Gradient Boosted Machine (GBM) algorithm was selected. GBMs are known to enhance model generalizability (Friedman, 2001)but due to lack of inherent regularization, and highly complex decision boundaries they tend to focus on difficult-to-fit data points and result in overfitting. However, adjusting its hyperparameters and applying early stopping can mitigate this issue. In contrast to supervised single predictive models or those based on ensemble averages (e.g., random forests), GBMs sequentially add new models to an ensemble and update a trained base learner with each iteration.

Fitting GBMs necessitates several hyperparameters, including the number of trees (T); an interaction depth (K); a learning rate (λ); and subsampling controls (p). The interaction depth (K) determines the number of splits in each tree and the pace at which the algorithm proceeds down the gradient descent. Smaller learning rates (λ) reduce the likelihood of overfitting but prolong the convergence time. While these parameters confer flexibility to GBM models, they demand intensive tuning to select appropriate values. Hence, a grid of potential hyperparameters for this problem was defined as follows (Equation 8):

$$hyperparameters = \begin{cases} k = 1,2,\dots,15 \\ T = 500, 1000, \dots, 5000 \dots 10000, 15000, \dots, 40000 \\ \lambda = 0.001, 0.005, 0.01, \dots, 0.1 \\ R = 5, 10, 15 \\ p = 0.3 \end{cases} \qquad (8)$$

To identify the variables that most accurately predict roughness, we defined a training dataset using a stratified random sampling method, selecting 500 or 80% of the gauged locations from each HUC2 from the Watershed Boundary Dataset. Developing a GBM approach involves a three-step process.

Step 1:

Initially, a series of 16,065 GBMs were fitted for each hyperparameter combination (Equation 8), using all numeric variables from the NHDPlusV2 VAA as predictors. For each model, the relative influence of each predictor was computed in addition to the number of times it was selected for splitting, weighted by the squared improvement provided at each split. These results were averaged over all trees (Friedman, 2001), and those with the highest relative influence were paired with a subjective evaluation of how easily they could be computed for general hydrofabric networks, yielding the final set of 5 core predictors:

i.   **Drainage Area**: Drainage area (km^2) of the single flowpath catchment
ii. **Flowpath Length**: Flowline length in kilometers
iii. **Arbolate Sum**: The cumulative length of the upstream drainage network (mainstem and tributaries) from the outlet of the catchment
iv. **Path Length**: The distance from the flowline outlet to the end of the network along the mainstem path
v.   **Slope**: A unitless fraction (cm/cm) of the flow path slope derived from the 30m NED

371   ***Step 2:***

372   Using only these five predictors, a new set of 16,065 GBMs was trained for all hyperparameter
373   combinations, and the combination producing the minimal RMSE was selected to train a final
374   model. That model used the following:

375

376   i.   k = 12
377   ii.   T = 40,000
378   iii.   λ = 0.025
379   iv.   R = 10
380   v.   p =0.3

381   Upon completion, the predicted roughness values generated with this model had an RMSE of
382   0.045, and a nRMSE of 26.4%, when compared to the single value optimized roughness values.

383   ***Step 3:***

384   Using the model trained in step 2, we predicted roughness across the NHDPlusV2 network.
385   Similarly, any river segment with a known drainage area, flowpath length, arbolate sum, path
386   length, and slope can serve as input to develop a predicted single value roughness. It is critical to
387   note that the predicted values are unique to the input NHDPlusV2 dataset; extrapolation or
388   conflation to a different network would likely provide poor results. Nonetheless, new data can
389   be generated from the model provided a network topology is known

390   **3.3 Synthetic Rating Curve Comparison**

391   This optimized roughness (*n*), a standard default value (0.05), a stream order based, land cover
392   based, and GBM approaches produce eleven synthetic (and one observed) rating curve at each of
393   the 7, 270 gauged locations. For clarity, these methods are summarized in Table 2.
394

395   **Table 2**: Methods and approaches for assigning roughness for rating curves

#	Category	Name	Description
1	observed	USGS	25 evenly distributed Q-Y points built from a cubic spline fit to the observed USGS rating curve
2	default	global-roughness	Roughness of 0.05 assigned to all reaches
3	optimized	single-value	Single roughness, fit to the observed rating curve using a non-linear solver with a lower and upper bound of 0.01 and 0.4.
4	optimized	composite	Composite roughness using Horton's method where n_1 and n_2 were fit to the observed rating curve using a non-linear solver with a lower and upper bound of {0.01, 0.01} and {0.2, 0.4}.
5	stream order	Li-assignment	Roughness assigned by stream order based on Li, 2016
6	stream order	wrf-N	Roughness assigned by stream order from the 'Manning's roughness' (*n*) in the NWM v2.1 RouteLink file
7	stream order	wrf-Ncc	Roughness assigned by stream order from the 'Compound Channel Manning's *n*' (*nCC*) in the NWM v2.0 RouteLink file
8	stream order	mean-optimized	Roughness assigned by stream order based on mean values from the calibrated method
9	stream order	median-optimized	Roughness assigned by stream order based on median values from the calibrated method

10	land cover	single-value	Single value assigned via a reclassified land cover map using those values submerged by maximum RC stage
11	land cover	stage-varying	Stage varying values assigned via a reclassified land cover map using cells submerged by the current RC stage
12	hydrofabric	GBM	Values assigned based on output of trained GBM model using the NHDPlus VAA attributes as predictor variables

396

397 To assess SRC accuracy, the simulated discharge values produced with each roughness, using
398 the USGS rating curve stage values, were compared to the USGS discharge values using the root
399 mean squared error normalized to the mean of observed discharge (nRMSE; see Equation 9).

400

401 $$nRMSE = 100 \times \sqrt{\frac{(Q_{src} - Q_{obs})^2}{\overline{Q_{obs}}}} \qquad (9)$$

402

403 where:
404 $\qquad$ Q_{obs} = observed discharge
405 $\qquad$ Q_{src} = simulated discharge.

406

407 nRMSE was calculated for the entire rating curve as well as the lower, middle, and upper
408 sections. While defining "good" and "bad" nRMSE is subjective, a cut off of 30% nRMSE has
409 been used to determine whether a site displays reasonable performance in prior research
410 (Gleason & Smith, 2014; Yoon et al., 2016). For readability throughout the text, we adopt this
411 shorthand and describe errors of 30% or less as reasonable, and errors of 100% or more as
412 extreme.

413 **3.4 Parameter Sensitivity**

414 One open question about SRCs is how sensitive the results are to input data including the DEM,
415 hydrofabric, and roughness. To decompose the SRC into its primary components, Manning's
416 Equation can be rewritten by substituting equations 4, 5, 6 into equation 1, and rearranging such
417 that:

418

419 $$Q(y) = V \times \frac{1}{n} \times \frac{1}{L} \times \sqrt{S} \qquad (10)$$

420

421 Where

422 $$V = \frac{Vol(y)^{\frac{5}{3}}}{BA(y)^{\frac{2}{3}}}$$

423

424 To understand the role of each of the input dataset in streamflow estimation, we want to perform
425 sensitivity analyses with respect to the four uncertain parameters - V, *n*, L, and S - by
426 implementing a separate sensitivity analysis at stages 1, 2, 3 … 25 using a factorial design.
427 $\qquad$ To build the factorial design for sensitivity analysis, we need to establish reasonable
428 range bands for each of these variables that are adjustable to each site. The volume factors are
429 driven by the DEM which is most easily influenced by the vertical accuracy as highlighted in
430 case studies leveraging LIDAR (Zheng et al., 2018). The 10m NED has a documented vertical
431 accuracy of 3.04 meters (Gesch et al., 2014) so we elected a test set of 0.5, 1 and 2 meters in the

432 positive and negative directions basin wide. These were implemented by adjusting the HAND
433 values throughout a given catchment prior to estimating volume and bed area.
434   To test roughness, we allow roughness to range from 0%, 10%, 25%, 50%, 100% and
435 200% error from the optimized single-value estimates while enforcing the lower and upper limits
436 of 0.01 and 0.40. Length is a byproduct of the hydrofabric resolution and higher resolution data
437 inputs like the NHD High-Resolution will likely increase reach length as more detail is captured.
438 Following research aligning multi-scalar flowline data from the EPA River Reach, Medium
439 Resolution NHDPlus and High Resolution NHD through the identification of common
440 mainstems (D. Blodgett et al., 2020), a high-level comparison revealed a range of variability
441 which we reduced to 0%, 5%, 10% and 15% percent of the NHDPlusV2 flowline values in the
442 positive and negative direction.
443   Slope is a product of the hydrofabric and underlying DEM. While the HAND and Slope
444 rasters are based on the 10m NED, the NHDPlus flowline slope is an attribute derived from the
445 30m NED. A random sample of 100 flowlines from this study were used to extract transects
446 from the 10m NED. The difference between the smoothed slopes of these transects (5 point
447 rolling mean) and the listed slope attributes of the NHDPlus were represented as a 0%, 25%,
448 50% and 75% error in each direction of the recorded values.
449   Using these possible variations, 363 randomly sampled sites were evaluated to ensure
450 accuracy at the 95% confidence level. The results of the design were analyzed with an Analysis
451 of Variance (ANOVA) method to deduce the main effects and two factor interactions using the
452 *multisensi* R package (Bidot et al., 2018). Upon completion, the results were averaged across
453 locations.

4 Results

455   A total of 81,070 synthetic rating curves (SRCs) were computed for comparison. Section
456 4.1 describes the performance of each roughness method, section 4.2 addresses errors exhibited
457 in each section of the rating curve, section 4.3 addresses the skill of the GBM model, and section
458 4.4 looks at the sensitivity of the SRCs to the roughness, DEM, and hydrofabric inputs used in
459 Manning's equation.

4.1 Synthetic Rating Curve Performance Analysis

461   Figure 2A illustrates the percentage of locations achieving reasonable and extreme error
462 for each roughness method, alongside the Spearman Correlation compared to the optimized
463 single value roughness. In the outlined section of the table, the 25th, 50th, and 75th quartile (Q1,
464 Q2, Q3) nRMSE for the complete rating curve, and the mean nRMSE for each section of the
465 rating curve are displayed. These statistics are derived solely from sites producing nRMSE <
466 100% for that method.
467   The two optimized approaches provide the least error across all metrics but are confined
468 to gaged locations. SRCs generated with an optimized composite roughness offer marginal
469 improvement over those with an optimized single value, and occasionally exhibit degraded
470 performance. This implies that a composite view, particularly when considering locations where
471 HAND = 0 as in channel, is not critical to SRC roughness estimation. The optimized single value
472 approach achieved reasonable error in ~80% of the tested locations, suggesting models
473 potential accuracy across a broad spectrum of locations. Nonetheless, the remaining 20%
474 highlight areas where the HAND-based SRC model may be incomplete or other sources of
475 uncertainty contribute to the error.

476   Amongst methods extendable to ungaged basins, the GBM method demonstrates superior
477   results and notably reduced error compared to stream order and land cover approaches. Notably,
478   it generates nearly four times as many SRCs with reasonable error as other nonsite optimized
479   methods. The correlation with the optimized single value is also double compared to the next
480   closest method.
481   All four stream order methods exhibit similar performance metrics and offer marginal
482   improvement over the global default. Stream order information primarily reduces the number of
483   sites with extreme error (nRMSE > 100%). This is done best by the mean optimized stream order
484   values. This performance improvement over a global default value emphasizes that roughness is
485   a local phenomenon, and the thematic assignment is too generalized to provide significant
486   performance gains. The two land cover methods demonstrate identical performance, with neither
487   land cover method offering an improvement over the stream order methods, and added
488   substantial computational burden.
489   Finally, a default global roughness of 0.05 achieves nRMSE ≤30% in just 10% of the
490   locations emphasizing the need for a spatially heterogeneous approach and the value in seeking a
491   more sophisticated approach. To visualize these statistical patterns spatially, Figure 2B maps the
492   nRMSE from the best performing method for each approach. While the magnitudes of error in
493   the optimized and GBM methods differ, the maps highlight regions of the country where large
494   errors persist across all methods. Prominent examples include the gulf coast of Florida, the
495   eastern seaboard, the Atlanta metro region, and to a lesser extent, the lower Mississippi
496   floodplain.
497

A. Evaulation Metrics

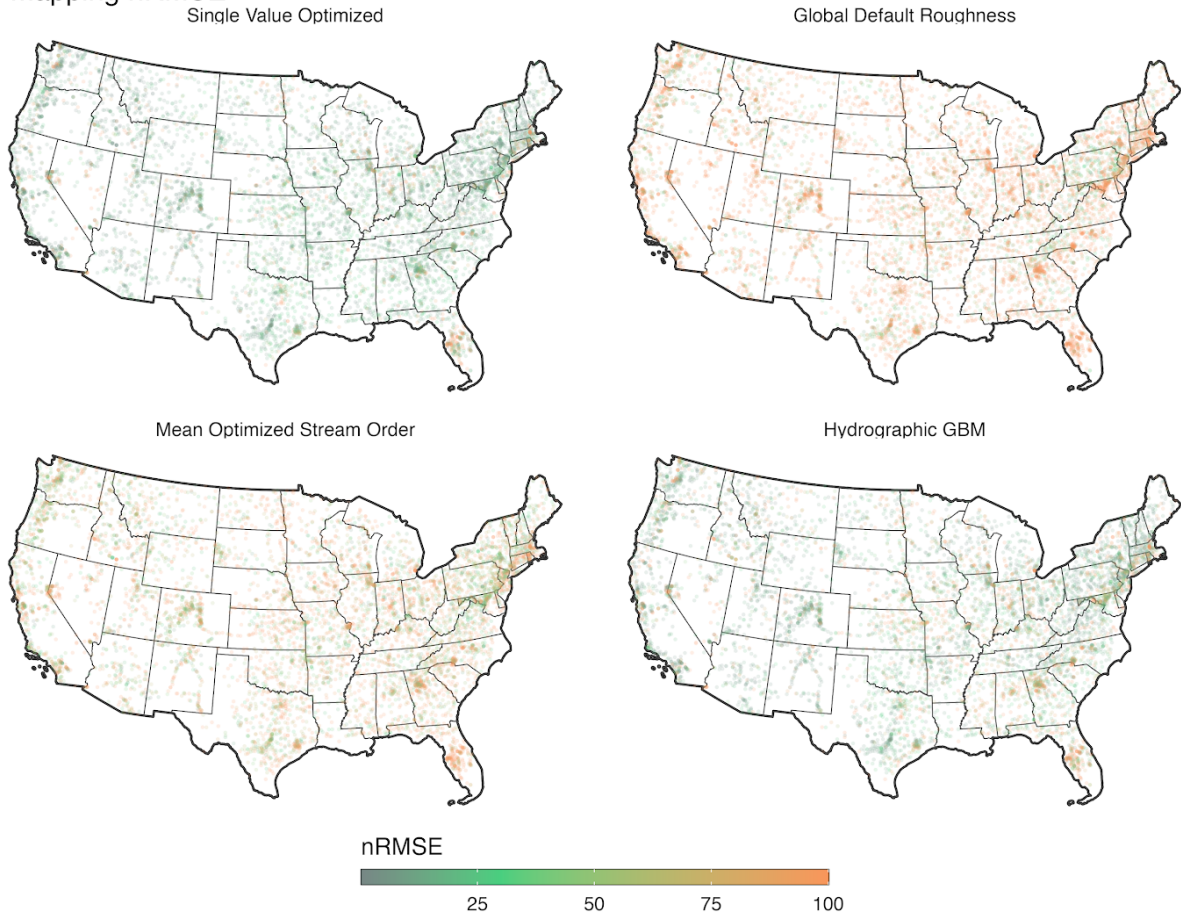| Method	Approach	nRMSE ≤30%	nRMSE >100%	Correlation with Optimized	Q1 nRMSE	Q2 nRMSE	Q3 nRMSE	mean nRMSE lower	mean nRMSE middle	mean nRMSE upper
Single Value	Optimized	78.10	8.81	1.00	6.14	11.88	21.58	40.22	15.79	11.73
Composite N	Optimized	76.74	10.32	NA	4.62	10.54	20.86	37.12	15.16	11.20
GBM	Hydrographic	61.85	16.21	0.88	7.46	14.76	31.38	44.70	22.40	18.61
Median Optimized	Stream Order	16.10	40.37	0.44	28.53	49.13	71.63	58.11	44.18	42.39
Mean Optimized	Stream Order	15.74	35.21	0.43	30.65	52.60	74.27	60.15	46.35	44.33
Li Assignment	Stream Order	15.09	46.29	0.41	27.74	48.10	72.06	57.90	43.83	42.08
wrf-nCC	Stream Order	14.59	40.51	0.40	30.42	51.80	73.52	59.93	46.03	43.88
wrf-n	Stream Order	12.15	59.52	0.40	25.95	46.53	73.16	56.60	43.06	42.09
Single Value	Land cover	11.50	34.74	-0.05	38.00	63.57	82.95	64.98	52.66	51.15
Stage-Varying	Land cover	11.32	34.66	NA	38.01	63.53	82.74	64.52	52.42	51.20
Global roughness	Default	11.28	61.32	NA	26.60	48.09	73.87	57.20	43.52	42.56

B. Mapping nRMSE

Figure 2: (**a**) Synthetic rating curve accuracy across methods. Quartile error values and the percentage of sites with reasonable and extreme errors are listed. The methods are sorted by the Q2 criteria, and darker hues represent better performance and are applied column-wise. (**b**) The nRMSE from each method is mapped.

Across all methods, there is consistently more error in the lower section of the rating curve compared to the middle and upper portions. SRCs developed with a global default and mean optimized stream order have the highest mean nRMSE across all sections of the curve. The

507 single value optimized and hydrofabric GBM approaches both reduce the mean nRMSE in each
508 section; however, the error remains higher by a factor of 2+ in the lower third. This pattern may
509 have to do with (1) lack of bathymetric representation in HAND data for in-channel flows, (2)
510 the datum adjustment applied to achieve a zero-flow USGS rating curve, or (3) that at smaller
511 flows/depths, small errors are more impactful than the errors at higher flows/depths.
512 Nonetheless, estimated SRCs demonstrate greater accuracy at the higher end of the rating curve,
513 which holds promise for flood mapping studies and other use cases. Moreover, this staggered
514 performance suggests the potential to optimize *n* for various sections of the rating curve based on
515 flow which could be provided by the National Water Model. While a composite *n* was not found
516 to be a valuable addition, a stage-varying *n* might offer improvements.

517 **4.2 Model and Optimized Skill**

518 The error for the single-value optimized method provides the best possible result given
519 the current DEM, hydrofabric, and roughness bounded to the range of {0.01, 0.4}. Taking the
520 DEM and hydrofabric data as static, the only term in Manning's equation that can be calibrated is
521 the roughness value. The roughness value serves as a proxy for representing the ratio of water
522 volume to discharge. Very large n values represent situations where a very strong resistance to
523 flow is used to reduce discharge when HAND volumes are large for a given stage, but the actual
524 SRC derived discharge is low. Very low values of n represent the opposite situation where
525 calculated water volumes based on HAND properties are low but the required discharge is quite
526 large. This observation may suggest a need to represent channel bathymetry to accommodate
527 excess HAND water volumes. This section focuses on comparisons between the single optimized
528 value and GBM approaches, particularly the cases where the imposed lower and upper limits
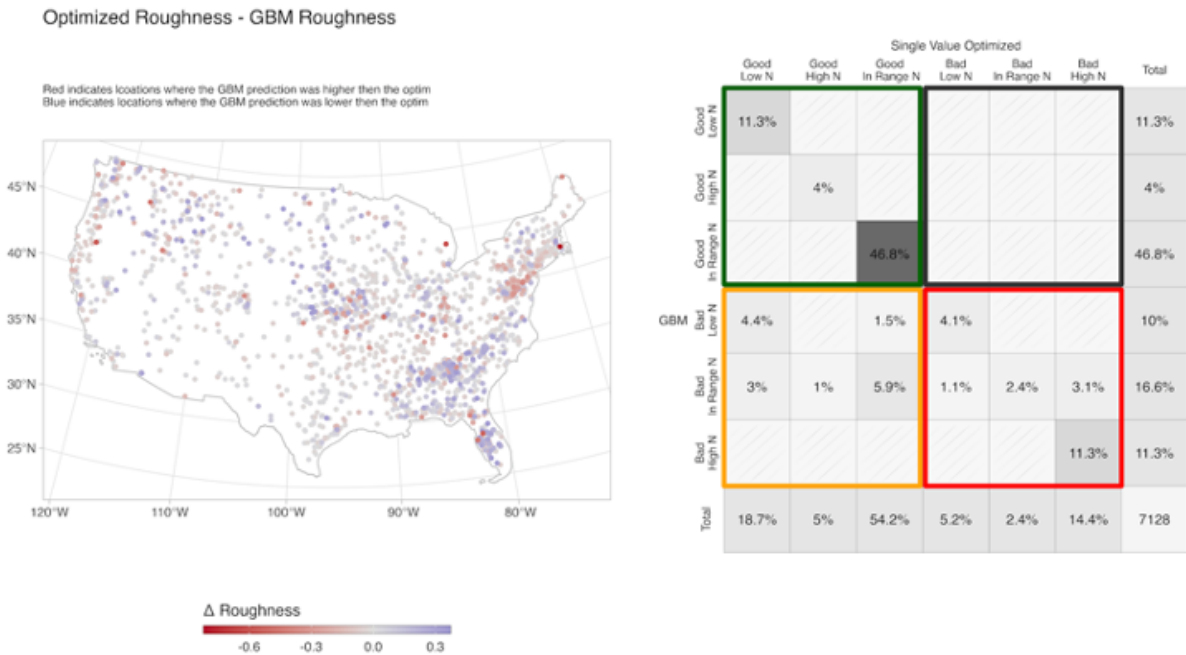529 were reached – likely to address issues in other inputs to Manning's equation.
530 The error for the single-value optimized method provides the best possible result given
531 the current DEM, hydrofabric, and roughness bounded to the range of {0.01, 0.4. Figure 3A
532 shows the difference in roughness between the optimized and GBM approach. Sites with an
533 absolute value of ΔN < 0.01 have been removed from the map. In this Figure, sites that appear
534 red indicate that the N value of the GBM based on network attributes is greater than the
535 optimized n value; conversely, sites that are blue indicate the opposite. On the East coast, we
536 observe a landscape where the GBM tends to show greater roughness compared to the optimized
537 values while in the southeast, the GBM tends to show lower N. The rest of the country indicates
538 a more mixed approach, with a slight tendency for the GBM to predict n lower than the
539 optimized approach (blue).
540 Next, we categorized the *skill* of the rating curve (by the nRMSE < 30% threshold) and
541 whether the roughness pushed toward the upper limit (>0.35), the lower limit (<0.05), or was
542 within a fair range (0.05≤ n ≤0.35). In total, this yielded six categories that were used to classify
543 the GBM and optimized-based SRCs. Figure 3B shows the confusion matrix of these divided
544 into four color-coded quadrants while Figure 3C shows categorical classification. The first
545 column (green and orange box) represents the total number of sites that were well-served by the
546 site-by-site optimization. In total, 77.9% of the locations achieved reasonable error, and 54.2%
547 did so without stretching the roughness value toward the imposed limits. For those that did push
548 roughness towards an imposed limit, the bulk stretched towards the low value. This highlights
549 the tendency of the GBM model to favor lower roughness and a broader notion that HAND
550 volumes tend to under-predict the actual volume flowing through the river channel. The first row
551 (green and black box) represents the total number of sites that were well-served by the GBM

552   model. In total, 62.1% of the sites were able to achieve reasonable error, with ~15% stretching
553   the roughness value toward the imposed limits.

554   Starting in the upper left, the green section shows that 62.1% of sites achieve a
555   reasonable nRMSE in both the single-value optimization and GBM. 46.8% of these did so with
556   an in-range roughness, while ~15% pushed the upper and lower limits. Specifically, there is a
557   strong preference to push the optimization roughness towards the lower limit of 0.01.Moving
558   counterclockwise, the black box is empty, highlighting that the GBM cannot find solutions
559   where the optimization failed. The red box represents situations where both the optimization and
560   GBM methods were unable to find a solution. The implicit concern is that the errors in the input
561   data are larger than what roughness adjustments alone can correct in ~22% of the tested
562   locations.

563   In total, 17.8% of sites produced bad optimizations with the same pattern for both site-
564   based optimized and GBM methods. For example if a site had a bad nRMSE with a low n, the
565   GBM produced the same which is encouraging for the skill of the GBM, but suggests future
566   work might look to eliminate these sites in the model training. In the remaining 4.2% percent, the
567   GBM took on a low or high optimized n, and brought it into the expected range without
568   improving the performance.

Optimized Roughness - GBM Roughness

Red indicates lcoations where the GBM prediction was higher then the optim
Blue indicates locations where the GBM prediction was lower then the optim

Δ Roughness

Percent of sites per stream order that fall into each classification
Single Site Optimized Approach

	Order 1	Order 2	Order 3	Order 4	Order 5	Order 6	Order 7	Order 8	Order 9	Order 10
Good High N	6.8	8.8	8.6	5.4	3.4	2.6	3.0	0.0	0	0
Bad High N	56.8	33.3	17.2	9.7	6.5	4.8	5.7	6.0	4	0
Good Low N	2.4	3.9	8.6	16.2	25.8	35.6	38.3	40.5	40	50
Bad Low N	0.5	1.3	1.3	3.4	7.6	10.6	10.5	21.4	24	0
Good In Range N	31.2	50.5	61.1	62.6	54.2	44.2	39.2	31.0	28	50
Bad In Range N	2.4	2.2	3.2	2.7	2.5	2.1	3.3	1.2	4	0

Figure 3: (**a**) The difference in roughness produced by the GBM and Optimized roughness values are mapped. Red (negative values) indicate locations where the GBM prediction produces higher values than the optimized approach. Blue (positive values) indicate locations where the GBM prediction produces roughness lower than the optimized approach. Sites where the difference was less the +-.01 where excluded (**b**) The GBM and single site optimized results were categorized by the skill of the rating curve (by the nRMSE < 30% threshold), and whether the roughness pushed toward the upper limit (>0.35), the lower limit (<0.05), or was within the range ($0.05 \leq N \leq 0.35$). (**c**) The percent of sites, per stream order, that fall into each classification. Darker hues represent larger percentages. The horizontal line after order 4 represented a break in SRC creation performance identified in prior research.

4.3 Parameter Sensitivity

In this section we investigate the sensitivity of SRCs to the primary inputs using factorial design and ANOVA decomposition, which include the (1) DEM, (2) hydrofabric, and (3)

583   roughness. Figure 4 shows the sensitivity indices of the main effects and first-order interactions
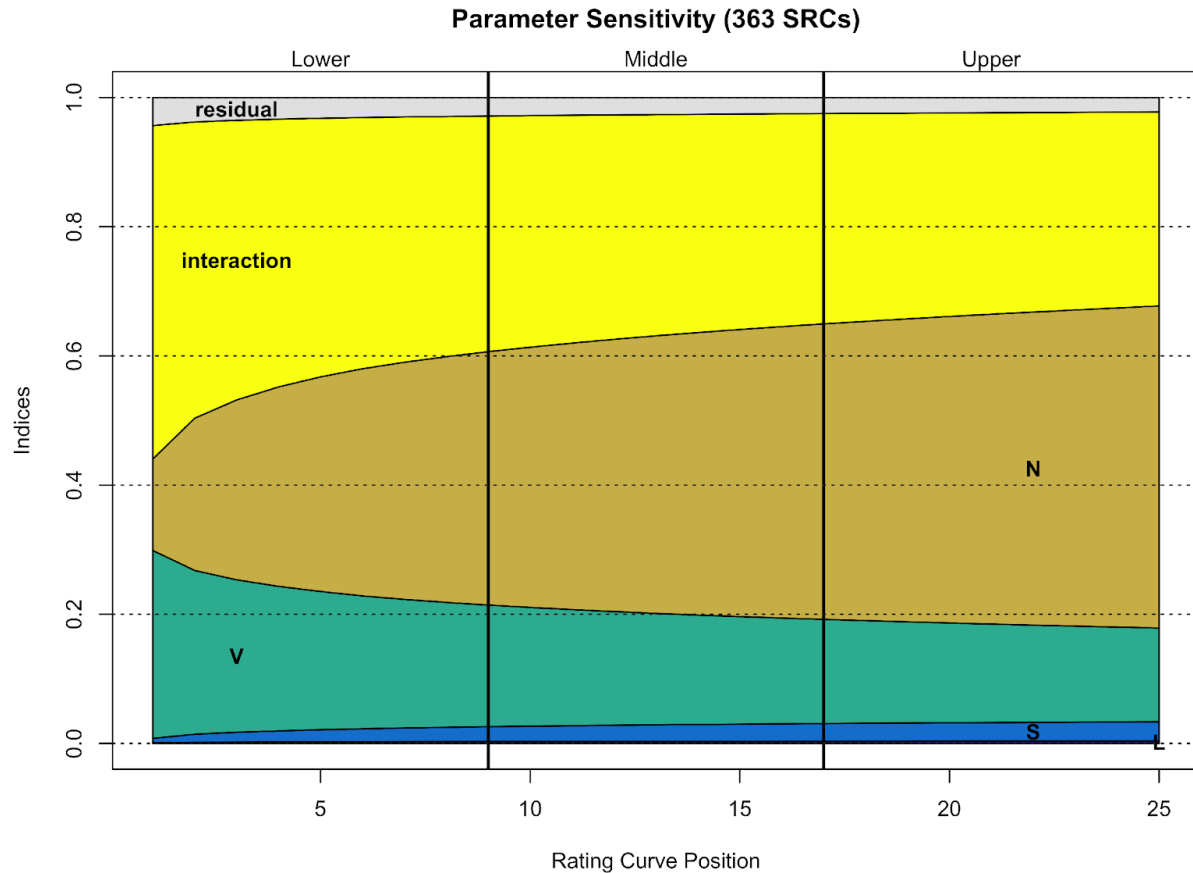584   at each point in the rating curve normalized to 1.

585
586   **Figure 4**: Evolution of the main effects and the first-order interaction sensitivity indices of the SRC
587   variables averaged over 363 randomly selected locations.

588   In the lower third of the rating curve, there is more sensitivity to the DEM (V), interaction terms,
589   and residual effects. This suggests that SRCs perform worse in the lower third of the rating curve
590   largely because they are more easily influenced by multiple factors. Starting around the middle
591   of the rating curve, proportional sensitivity starts to stabilize with ~15% being contributed by the
592   DEM, ~45% by roughness, and ~30% from variable interaction. There is minimal error (in total
593   ~10%) contributed from the length, slope, or residual effects of the model combined. It is notable
594   that as one approaches the upper end of the curve, the contribution of error from the interaction
595   and V terms decrease further and are generally overtaken by sensitivity to roughness.
596   These results indicate that the primary challenge in developing accurate SRCs is
597   Manning's roughness for mid and high SRC values, and very low SRCs are affected by DEM
598   errors and missing bathymetry, but there are other key sources of uncertainty. The overwhelming
599   sensitivity to roughness means that areas suffering from other inputs can only be identified when
600   the uncertainty in roughness is minimized. Given that the primary objective of many operational
601   flood inundation forecasting systems is to warn of high magnitude events, optimization of
602   roughness for this objective seems practical. For those seeking predictive skill for lower

603 magnitude events, i.e., "nuisance" flooding, improving accuracy of base information such as the
604 DEM seems to be more critical.
605   Aside from roughness and the interaction of all inputs, the volume of water computed
606 from the DEM was the largest source of error. As the USGS 3D elevation program moves their
607 elevation program towards the collection of nationally comprehensive and complete LIDAR
608 coverage, it is worth mentioning here the possible opportunities and limitations with the method
609 provided here.

610   5 Discussion

611   A challenging aspect of the transferability of our proposed methodology is the scale-
612 dependency of both DEM that plays a crucial role in generating water volume for a given depth
613 defined by a HAND product, and hydrographic properties that are used for roughness
614 calculations. Ideally we would like the trained roughness ML model to be transferable to any
615 other hydrographic network generated at different scales. We conjecture that this holds true for
616 networks of comparable scale (i.e., 1:100,000 ratio). Another question is whether the assumption
617 that the variable selected during the feature importance analysis remains consistent as
618 hydrographic network scale changes? For instance in higher resolution networks, characterized
619 by the prevalence of more tributaries with greater sinuosity, the arbolate sum, a key
620 characteristic, typically demonstrates a notable increase. Consequently, if a smaller river system
621 within the higher resolution network is trained solely on lower resolution data, it might
622 erroneously exhibit attributes akin to those of a much larger system, potentially resulting in
623 artificially low roughness values.
624   Similar to hydrographic scale impact on roughness, the higher resolution LiDAR or DEM
625 data improves accuracy in channel volume estimation and synthetic rating curve calculation by
626 providing detailed terrain data, enhancing channel morphology representation, and minimizing
627 uncertainties in channel geometry and hydraulic modeling. This contributes to more reliable
628 assessments of water resources and flood risks. The utilization of LiDAR data holds promise for
629 mitigating uncertainty in volume estimations through several mechanisms. The first method, akin
630 to that employed in GeoFlood, involves increasing the horizontal (grid) resolution to yield more
631 effective "cells." This reduction in resampling inherently enhances the vertical accuracy at each
632 cell. The second method entails utilizing LiDAR to refine vertical accuracy within the same 10m
633 grid as the current 3DEP 10m product, effectively integrating the latest data captures to enhance
634 the existing grid. In both scenarios, if a new DEM is utilized, recalibration of the base inputs to a
635 Synthetic Rating Curve (SRC) – namely, the cross-sectional area and hydraulic radius at depth Y
636 – following the methodology outlined by Zheng et al. (2017) is necessary. This entails updating
637 the GBM model based on the full hyperparameter set (Step 2) but does not necessitate retraining
638 on the complete set of VAA attributes (Step 1).
639   Despite the potential enhancements to the current DEM, the issue of changing scales (cell
640 resolution) raises an open question. To qualitatively assess the transferability of GBM-produced
641 roughness values across DEM scales, we compared the reported, tuned roughness values at five
642 USGS sites examined in Zheng et al. (2018) to the GBM values. While the GBM approach
643 tended to overpredict roughness compared to the reported GeoFlood values, a correlation was
644 observed (albeit from a limited dataset). This trend aligns with the notion that larger grid cells
645 yield larger volumes and, per equation 11, necessitate larger roughness values. The idea that
646 roughness varies with DEM resolution finds support in hydrologic modeling research. For
647 example, it has been established that 2D flood models are sensitive to DEM resolution (Fewtrell

648 et al., 2008; Horritt & Bates, 2002; Saksena & Merwade, 2015; Schumann et al., 2007), and
649 roughness (Lim et al., 2016; Mason et al., 2003; Pappenberger et al., 2005). However, conflicting
650 findings exist regarding the existence of a scaling relationship, with some studies suggesting
651 otherwise (M. Foster & M. Maxwell, 2019). Hence, it is acknowledged that the dependency of
652 GBM roughness values on DEM and hydrography constitutes a limitation of the dataset
653 produced herein, not the methodology. Future investigations will explore methods for
654 operationalizing roughness model fitting and prediction based on the methods and data (USGS
655 rating curves) developed in this study.
656   An assessment of the sites exhibiting extreme error in SRC with the calibrated n values
657 demonstrated that SRC extreme error often occurs when roughness approaches one of the
658 enforced physical limits. It was also in these areas where the largest divergence between the
659 predicted and calibrated n values was observed. In many ways, this divergence in n values offers
660 a signal for when other aspects of the SRC creation are uncharacteristically influential. The value
661 of n serves as a proxy for representing the ratio of water volume to discharge. Very large n
662 values represent situations where a very strong resistance to flow is used to reduce discharge
663 when HAND volumes are large for a given stage, but the actual SRC derived discharge is low.
664 Very low values of n represent the opposite situation where calculated water volumes based on
665 HAND properties are low but the required discharge is quite large. (perhaps suggesting a need
666 for representing channel bathymetry to accommodate excess HAND water volumes).
667   Finally, several critical considerations arise when utilizing this dataset or extending the
668 workflow beyond its current scope. The workflow, beginning with a mechanical measurement of
669 roughness to train a predictive model based on network attributes, subsequently utilized the same
670 network and DEM to establish a relationship between water surface height and discharge
671 required for that stage. However, the applicability of these findings to variations in input
672 parameters, such as alterations to the underlying network, remains unclear. Further inquiry is
673 warranted to determine whether the values derived from this dataset could be used as a direct
674 crosswalk to the new network, whether the values would need to be recalculated based on the
675 new attributes of the network using the existing model, whether the model would need retraining
676 using the new inputs, or whether the process of determining the selected features needs to be
677 performed.

6 Conclusions

679   At the onset of this research, the necessity for improved reach-level roughness was
680 highlighted to support continental flood mapping efforts. We employed the methodology
681 proposed by Zheng et al. (2017) to define reach-averaged hydraulic traits from a 10m HAND
682 product in catchments with a USGS gauge and calibrated a roughness value to minimize the
683 error in predicted flows. This approach resulted in 78% of gaged locations achieving nRMSE ≤
684 30%. To produce SRCs in ungauged basins, we evaluated a range of methods for estimating
685 reach-level roughness. Among these, a data-driven ML model based on NHDPlus hydrographic
686 topology proved the most robust. The ML model produced almost four times the number of
687 SRCs with acceptable error compared to non-optimizable methods (e.g., stream order and land
688 cover methods). Additionally, its correlation with the optimized single value is twice that of the
689 next closest method. The predictions were able to achieve a ranked correlation of 0.89 with the
690 optimized values and SRCs with reasonable error in 62% of the tested locations. In contrast, a
691 widely used global roughness value captured just 13% of SRCs with reasonable error, and the
692 best performing stream order parameterization captured just 16%.

693   A sensitivity test showed that the DEM and roughness are the principal sources of error
694 in the conceptual rating curve model, while length and slope are practically non-significant. We
695 demonstrated that as the upper end of the SRC is approached, it becomes apparent that the
696 contribution of error from the interaction and V terms decrease further and are generally
697 overtaken by sensitivity of roughness. These conclusions are generally in line with other work
698 that has looked at HAND-based SRC uncertainty at individual sites (Godbout et al., 2019). The
699 conclusion is that in locations where roughness is the primary contributor of uncertainty, the data
700 driven roughness and existing data inputs can produce reasonable SRCs. In areas where the
701 DEM and hydrography introduce uncertainty, the calibrated values take on a role that was not
702 *per se* roughness, but rather a broad error-reducing scalar. Such locations were not pervasive,
703 and generally clustered around regions with large built-up extents, known engineered controls, or
704 low relief. In these areas where DEM fidelity is a primary source of SRC error, there is capacity
705 for LIDAR to be used with the methods suggested as part of the GeoFlood project (Zheng et al.,
706 2018). A drawback to using LIDAR is the availability, procurement costs, and computational
707 needs associated with creating HAND and generating inundation forecasts at large scales.
708 Fortunately, the 10m DEM seems serviceable for the majority of CONUS, and the SRC error
709 map (Figure 2) can help prioritize areas where the integration of LIDAR data might be especially
710 beneficial.
711   Future work will involve exploring several avenues to address key findings in this
712 research and potential implications. Firstly, we demonstrated a disparity in error across different
713 sections of the rating curve, particularly higher error in the lower section compared to the middle
714 and upper portions. This signals a need to evaluate the absence of bathymetry in the model, as
715 the missing channel volume likely impacts the lower end of the rating curve. Secondly, the
716 findings suggest promising prospects for FIM methods that rely on Synthetic Rating Curves
717 (SRCs) for high flow applications. Thirdly, there is potential for optimizing the roughness
718 coefficient (n) for various sections of the rating curve. While a composite n was not found to be
719 beneficial, a stage-varying n might prove advantageous. Lastly, future research will explore how
720 this method scales across different networks, assessing its applicability and performance in
721 diverse hydrological settings. Within the United States, LIDAR may provide better discretization
722 and some additional bathymetry data. The effects of this can be tested at reaches that have both
723 LIDAR and USGS rating curves. These datasets can be effective in training a ML model and
724 gaining a better understanding of the effect of grid cells and data driven roughness on model
725 training. In essence, further research may be able to quantify how more location-attuned
726 roughness values can contribute to improved large-scale hydrologic routing and other
727 applications of reach-level roughness.
728   For regions outside the USA, official stream network data similar to the NHD are few
729 and far between. Publicly available data are often aggregated at lower spatial resolutions,
730 decreasing the ability to represent the full drainage network. However, the rapid increase in the
731 amount of crowd-sourced stream network data available through platforms like OpenStreetMap
732 offers the promise of high-resolution data that can be used for improved global reach level
733 modeling for smaller rivers. Localized high-resolution official drainage networks, crowd-sourced
734 stream network data offer the opportunity to evaluate the impact of scale, network density, and
735 attributes on the ability of GBM models to characterize the rating curve relationship. Overall, the
736 value of the current work lies in the data produced for the scale of the medium-resolution NHD
737 and 10m 3DEP product, as well as a method and curated set of training data to apply to other
738 scales within and outside the United States. The roughness values have been made publicly

739 available on HydroShare with easy-access options for both R and Python. With respect to R, the
740 roughness values can be accessed with the nhdplusTools *get_vaa* functionalities. We hope the
741 use of this data can support improved flood forecasting, applications that need to estimate
742 roughness, and can prompt consideration of what other hydrologic properties and characteristics
743 can be learned and supported by the topology implicit to hydrography datasets.
744
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750
751 **Disclaimer**
752 The views expressed in this article do not necessarily represent the views of NOAA or the United
753 States.
754
755 **Open Research**
756 The roughness values generated in the research are available at (J M Johnson, 2021). The GBM
757 model is available at https://github.com/LynkerIntel/hydrofabricML. A version of this software
758 will be published through Zenodo after the review process to accommodate for any needed
759 changes.
760
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