

Abstract

We use in situ measurements of suspended mud to assess the flocculation state of the lowermost freshwater reaches of the Mississippi River. The goal of the study was to assess the flocculation state of the mud in the absence of seawater, the spatial distribution of floc sizes within the river, and to look for seasonal differences between summer and winter. The data was also used to examine whether measured floc sizes could explain observed vertical distributions of suspended sediment concentration through a Rouse profile analysis. The surveys were conducted at the same location during summer and winter at similar discharges and suspended sediment concentrations, and in situ measures of the size distribution of the mud over the longitudinal, transverse, and vertical directions within the river were obtained using a specially developed underwater imaging system. These novel observations show that mud in the Mississippi is flocculated with median floc sizes ranging from 50 to 200 microns depending on location and season. On average flocs were found to be 40 microns larger during summer than in winter and to slightly increase in size moving downriver from the Bonnet Carré Spillway to Venice, LA. Floc size statistics varied little over the depth or laterally across the river at a given station. Bulk settling velocities calculated from size measurements matched values obtained from a Rouse profile analysis at stations with sandy beds, but underestimated settling velocities using the same equation parameters for measurements made during winter over muddy beds.

Plain Language Summary

Rivers such as the Mississippi carry a significant amount of fine muddy sediment. Where this mud deposits, be it within the river channel itself, the river's floodplains, or the coastal zone depends in part on how fast the mud particles settle within the water. Muddy sediment can exist as a collection of individual particles ranging in size from 1 to 63 microns and/or as aggregates of these particles, known as flocs, whose size, density, and settling velocity change with physical, chemical, and biological conditions within the water column. Whether mud exists as flocs and how big the flocs are if they do exist in different conditions within a river is difficult to know. The challenges come from the dynamic nature of the aggregate sizes and the difficulty in measuring these flocs within the river itself. In this study, we present data, for the first time, on the flocculation state of mud in the lower freshwater sections of the Mississippi River. Such data aids in understanding where mud may travel to and deposit within the lower Mississippi River Delta and whether or not engineering solutions to land loss such as diversion structures can help to promote the emergence of new land.

1 Introduction

Fine muddy sediment with grain sizes less than 63 μm in diameter constitutes a significant fraction of the total sediment load carried by lowland rivers. For example, over the three flood years of 2008-2010, Allison et al. (2012) estimated that 70% of the total sediment load passing Baton Rouge, LA, and over 90% exiting to the Gulf of Mexico was mud. A unique attribute of muddy sediment is its potential to form flocs or aggregates of particles that can change in size, density, and hence settling velocity depending on turbulence conditions in the flow, the amount and type of available sediment, water chemistry, and the level of available organic material and microbial activity (Eisma, 1986; Mietta et al., 2009; Verney et al., 2009; Lefebvre et al., 2012; Horemans et al., 2021; Deng et al., 2021). From a sediment transport perspective, the flocculation potential of mud is significant because particle settling velocity, in conjunction with local concentration, sets sediment deposition rates and can influence the average transport hop length of the suspended material.

68 Research into the flocculation behavior of muds, and the impact of flocs on sed-
69 iment transport dynamics, has primarily been investigated within the context of saline
70 coastal and estuarine environments (Kranck, 1973; Gibbs, 1985; Eisma, 1986; Kranck
71 & Milligan, 1992; A. Manning & Dyer, 2002). Salt is known to enhance flocculation in
72 laboratory studies of settling in a stagnant column (Kranck, 1980; Kim & Nestmann, 2009),
73 and is often thought to be a controlling factor on flocculation in the field due to the large
74 accumulations of mud in estuarine conditions where fresh and saltwater mix and the re-
75 duction in the thickness of the electric double layer is known to occur in the presence
76 of cations (Tan et al., 2013); this is true even though many have pointed to organic binders
77 as possibly being the major factor contributing to flocculation of mud in saltwater con-
78 ditions (e.g., Eisma, 1986; Verney et al., 2009), and hydrodynamic, rather than salt, be-
79 ing responsible for mud accumulations (e.g., Thill et al., 2001). Nevertheless, the floc-
80 culation of mud is known to exert a strong control on mud dynamics in coastal environ-
81 ments.

82 Comparatively fewer studies have sought to measure floc properties in freshwater
83 settings or to assess the contribution of flocs to sediment transport dynamics in rivers.
84 The primary reason for this is that mud flocs in freshwater have historically been assumed
85 to be nonexistent or too small to significantly impact river morphology. Conventional
86 wisdom has considered mud concentration as being uniformly distributed over the depth,
87 due to fluid shear velocity in most rivers being significantly higher than the settling ve-
88 locity of unflocculated mud (i.e., Rouse numbers < 0.01). Hence, this washload sedi-
89 ment has been thought to be prohibited from depositing on the bed. This consideration
90 has two important implications: (1) riverine mud does not contribute to buoyancy-induced
91 turbulence damping because vertical concentration gradients do not exist (Wright & Parker,
92 2004b), and (2) mud moves through the fluvial system as washload exerting little mor-
93 phologic influence (Biedenharn et al., 2000).

94 Despite the lingering traditional view of fluvial mud, there is significant evidence
95 that mud does exist in aggregate or flocculated form in freshwater fluvial systems. Mi-
96 croscope imaging of sediment captured from freshwater rivers at low (Le et al., 2020),
97 mid (Droppo & Ongley, 1994; Fox et al., 2013), and high latitudes (Droppo et al., 1998)
98 all suggest that material in suspension, and on the bed, are indeed flocculated even in
99 the absence of typical oceanic or estuarine levels of salinity. Various sizing and settling
100 estimates of mud within freshwater suspensions also all point to mud existing in some
101 state of aggregation in freshwater systems (Phillips & Walling, 1999; Bungartz et al., 2006;
102 Woodward & Walling, 2007; Marttila & Kløve, 2015). Furthermore, recent analyses of
103 vertical concentration profiles of mud for many rivers worldwide have shown that mud
104 can indeed be vertically stratified and that flocculation could provide an explanation for
105 the observed behavior (Lamb et al., 2020; Izquierdo-Ayala et al., 2021; Nghiem et al.,
106 2022).

107 Unlike estuarine sampling where flocs have been imaged and sized in situ with spe-
108 cially designed camera systems (e.g., Fennessy et al., 1994; A. J. Manning & Dyer, 2002;
109 Cartwright et al., 2011; Markussen et al., 2016; Fall et al., 2021), the observation of fresh-
110 water aggregates has largely been accomplished through laboratory microscope analy-
111 sis of samples collected from the water column or bed at some earlier point in time. While
112 this method is not ideal when an understanding of the impact of flocs on sediment trans-
113 port is desired, it does provide the opportunity to study the composition of the flocs in
114 detail. Such analysis of river water samples shows a significant presence of particle ag-
115 gregates or flocs, and that the flocs are similar in shape and composition to those found
116 in estuaries (Droppo & Ongley, 1994; Fox et al., 2013; Spencer et al., 2021), though they
117 tend to be of size $< 100 \mu\text{m}$. Similar to those in estuaries, freshwater flocs are composed
118 of complex assemblages of inorganic clays and silts, organic detrital material, and particle-
119 attached bacteria and their polymeric byproducts (Liss et al., 1996; Droppo et al., 1997;
120 Fall et al., 2021). In the absence of salt then, it is commonly held that these biofilms and

121 biofilm components are the binding mechanisms for floc assemblages in freshwater set-
122 tings.

123 If freshwater flocs are bound together by various organic constituents, then one might
124 expect seasonal or condition-dependent changes in nutrients, temperature, or organic con-
125 tent, in addition to physical conditions such as turbulence or suspended sediment con-
126 centration, to influence floc characteristics. Data are lacking to fully define the nature
127 of freshwater flocs under different physical, chemical, and biological conditions. However,
128 a few studies have indeed observed seasonal or condition-dependent changes in freshwa-
129 ter aggregates' size or shape, and all of them point to some type of alteration in the or-
130 ganics as the underlying driver of the change. For example, Phillips and Walling (1999)
131 observe that mud aggregate size was largest during the spring and summer and that the
132 timing of the observed peak in aggregate size corresponded with the peak in organic con-
133 tent within the bed. Relatedly, Fox et al. (2013) found that aggregates were more irreg-
134 ular and elongated in summer compared to more compact and spherical aggregates in
135 the fall and that the changes in aggregate morphology were highly correlated with sea-
136 sonal changes in heterotrophic and autotrophic biological activity within the mud de-
137 posited on the stream bed. Changes in organic material type within the water column
138 have also been linked to differences in the potential of the system to generate flocs. For
139 example, Lee et al. (2017) and Lee et al. (2019) found that rain-driven high flows lead
140 to an increase in organic content rich in terrestrial humic substances in the Nakdong River
141 in Korea. However, the humic-substance-based organics were observed to have a stabi-
142 lizing effect on the suspended particles thereby suppressing flocculation. Whereas low-
143 flow conditions led to warmer water, algae growth, and associated extracellular polymeric
144 substances (EPS) which enhanced the potential of the water to promote flocculation. Sea-
145 sonality in estuarine floc sizes or settling properties have also been linked to changes in
146 organic and mineral constituents of the suspension throughout the year (Van der Lee,
147 2000; Mikkelsen et al., 2007; Verney et al., 2009; Fettweis & Baeye, 2015; Deng et al.,
148 2021; Fettweis et al., 2022).

149 Identification of flocs in freshwater systems has mostly come through either micro-
150 scope analysis of aggregates obtained from water column grab or pumped samples or through
151 an indirect measure of size through estimates of settling velocity from a Rouse profile
152 analysis of suspended sediment concentration. In the case of microscope imaging, the
153 material is imaged in conditions different from those the material experienced in its nat-
154 ural setting. This is significant because flocs have the ability to change their size as the
155 shearing and mixing level of the fluid changes, e.g., going from the river to a sample bot-
156 tle or sampling pipette to a slide. Furthermore, if the material is allowed to settle, it is
157 easier for material to aggregate in the zones of higher sediment concentrations experi-
158 enced at the bottom of a sampling container from which material might be extracted for
159 imaging. Therefore it is possible that flocs imaged in the lab from field water column sam-
160 ples might not be completely representative of the flocs as they exist within the turbu-
161 lent flow of the river. In addition, the fraction of the mud that exists as flocs, the dis-
162 tribution of floc sizes within the river, and whether or not the flocs themselves influence
163 mud transport in a geomorphically meaningful sense is still unclear. For example, the
164 studies of (Lamb et al., 2020) and (Nghiem et al., 2022) provide compelling evidence that
165 flocculation of the mud is a reasonable explanation for the existence of vertical gradi-
166 ents in mud concentration profiles observed in rivers, and the average settling velocities
167 needed to produce such observed gradients are large enough to expect flocs to play a sig-
168 nificant role in the rivers morphology. Yet, the concentration data used in these stud-
169 ies was not paired with in situ size measurements of the mud, and a direct link between
170 flocculation state river morphology has yet to be made.

171 In this field study, we provide in situ size observations of the suspended mud and
172 sand in the freshwater reaches of the main channel of the Mississippi River before it en-
173 ters terminal distributaries and embayments. The specific questions we seek to answer

174 with the data are: (1) does mud exist in flocculated form during moderately high flows
 175 in the freshwater reaches of the lower Mississippi River; (2) if so, how are floc sizes dis-
 176 tributed over the vertical (depth), lateral (right to left bank), and longitudinal (up and
 177 downstream river stations with slightly different hydraulic conditions); (3) are there any
 178 seasonal differences in observed flocs between summer and winter; and (4) can measured
 179 floc sizes explain measured vertical gradients of mud concentration? To explore these ques-
 180 tions, we used the imaging system of Osborn et al. (2021) to obtain direct observations
 181 of suspended sediment over the water column during a summer and winter survey in the
 182 Mississippi River.

183 2 Methods

184 2.1 Overview

185 The primary data needed to explore our research questions include: in situ mea-
 186 surements of particle and/or floc sizes over the vertical at each sampling location in the
 187 river, water column samples of suspended sediment, samples of the bed sediment, and
 188 the velocity distribution and shear velocity at the location where profiles of floc size and
 189 concentration are measured. Comparison of the data obtained from these samples at dif-
 190 ferent spatial locations, and comparisons between the summer and winter surveys, pro-
 191 vide the basis for investigating the flocculation state of mud in the river with respect to
 192 location and season (research questions 1-3). Question 4 is investigated by comparing
 193 the settling velocity of the mud flocs calculated from the measured floc sizes to the set-
 194 tling velocity obtained by fitting a Rouse concentration profile to the measured concen-
 195 tration data.

196 2.2 Background theory: the Rouse profile

197 The Rouse profile (Rouse, 1939) is a particular solution to the following simplified
 198 advection-diffusion equation for suspended particulate load,

$$199 \quad w_s C + \epsilon_s \frac{dC}{dz} = 0 \quad (1)$$

200 Here C is the suspended sediment concentration, z is the vertical coordinate, and ϵ_s is
 201 the vertical sediment diffusivity coefficient used in conjunction with the vertical gradi-
 202 ent of C to model vertical advective flux due to time-averaged turbulence. Equation 1
 203 assumes equilibrium transport conditions, i.e., that C is locally steady, that velocity and
 204 concentration in the down and cross-stream directions are uniform, and that there is no
 205 net sediment flux across the free surface. A result of these conditions is that the down-
 206 ward flux of sediment due to settling ($-w_s C$) must be balanced with the upward tur-
 207 bulent diffusive flux ($\epsilon_s dC/dz$).

208 The Rouse profile solution to equation 1 uses a model for ϵ_s based on the 2D shear
 209 stress distribution, i.e., $\tau = \rho u_*^2 (1 - z/H)$ (where ρ is the fluid density, u_* is the shear
 210 velocity, and H is the total flow depth), and the argument that suspended sediment dif-
 211 fuses as a result of the eddying motions that also lead to the diffusion of fluid momen-
 212 tum, $\epsilon_s = \nu_T / \beta$, where ν_T is the eddy viscosity or diffusion rate of momentum and β
 213 is the Schmidt number which accounts for any differences between mass and momentum
 214 diffusion rates. To provide closure, Prandtl's mixing length theory and the resulting rough-
 215 wall log law,

$$216 \quad \frac{u}{u_*} = \frac{1}{\kappa} \ln \left(30 \frac{z}{k_c} \right) \quad (2)$$

217 can be used in conjunction with the Boussinesq hypotheses, $\tau = \nu_T (du/dz)$, to yield
 218 the following equation for ϵ_s :

$$219 \quad \epsilon_s = \frac{1}{\beta} \kappa u_* z \left(1 - \frac{z}{H} \right) \quad (3)$$

220 In equation 2, u is the depth-varying and time-averaged velocity, κ is the von Kàrman
 221 constant, and k_c is a composite bed roughness length scale. To account for damping of
 222 turbulence due to vertical density stratification, the effective eddy viscosity can be con-
 223 ceived of as the product of the neutral, unstratified eddy viscosity, ν_{T0} , and a factor γ
 224 that ranges from $\gamma = 1$ for unstratified conditions to 0 for complete damping, $\nu_T =$
 225 $\gamma\nu_{T0}$. Making use of γ , equation 3 becomes:

$$226 \quad \epsilon_s = \frac{\gamma}{\beta} \kappa u_* z \left(1 - \frac{z}{H}\right) \quad (4)$$

227 Using equation 4 in the integration of equation 1 gives rise to the well-known Rouse con-
 228 centration profile:

$$229 \quad \frac{C}{C_b} = \left[\frac{(z/H - 1)b}{(b/H - 1)z} \right]^{Z_R} \quad (5)$$

230 with b being a reference height above the bed (often taken at $z/H = 0.05$), and C_b be-
 231 ing the concentration at that reference height, $C(z = b) = C_b$. The exponent Z_R is
 232 defined as the Rouse number:

$$233 \quad Z_R = \frac{\beta w_s}{\gamma \kappa u_*} \quad (6)$$

234 The Rouse number represents a ratio of downward settling velocity to upward turbulent
 235 diffusion velocity of the sediment captured by the ratio of w_s/u_* ; the three parameters
 236 of γ , β , and κ all represent modifiers on u_* to make it a suitable velocity scale for up-
 237 ward diffusion of sediment due to turbulence. Often β is taken as 1 and $\kappa = 0.41$. γ
 238 is also often taken as 1 for simplicity, but it can also be set through additional closure
 239 equations to account for vertical damping of turbulence in the presence of density strat-
 240 ification.

241 For large, low-sloping sand-bed rivers, such as the Mississippi, Wright and Parker
 242 (2004a) took the approach of defining a single modifier, α , to account for deviation in
 243 the baseline case of $\beta = 1$ and $\gamma = 1$ that could be caused by sediment induced strat-
 244 ification. In their model, α is equal to γ/β and hence the Rouse number is defined as:

$$245 \quad Z_R = \frac{w_s}{\alpha \kappa u_*} \quad (7)$$

246 with α provided through the following empirical fit,

$$247 \quad \alpha = \begin{cases} 1 - 0.06 \left(\frac{C_{5t}}{S_0}\right)^{0.77} & \text{for } \frac{C_{5t}}{S_0} \leq 10 \\ 0.67 - 0.0025 \left(\frac{C_{5t}}{S_0}\right) & \text{for } \frac{C_{5t}}{S_0} > 10 \end{cases} \quad (8)$$

248 In equation 8, C_{5t} is the volume concentration of suspended sediment at 5% of the flow
 249 depth from the bed, and S_0 is the water surface slope. The fit for equation 8 was devel-
 250 oped for C_{5t}/S_0 values ranging from 0 to ≈ 50 with the majority of the points falling
 251 between 0 and 20.

252 In our particular study, we are interested in fitting equation 5 to measured mud
 253 concentration data for the purpose of obtaining an effective settling velocity for the con-
 254 centration profile. The data needed to back out a settling velocity estimate in this way
 255 includes measures of concentration over the vertical, a measure of the friction velocity,
 256 and an estimate of α .

257 **2.3 Survey locations and general river conditions**

258 Data on the flocculation state of the mud, and data for the Rouse profile analy-
 259 sis came from summer and winter sampling surveys. Surveys on the lower Mississippi
 260 River were conducted during summer 2020 and winter 2021. Data were collected at sev-
 261 eral locations within the river and its distributaries during both surveys. However, in

262 this paper, we focus on data only at three key freshwater locations. These stations are,
 263 starting from upstream and progressing downstream, the Bonnet Carré Spillway (BCS)
 264 (Fig. 1a), the main channel 2 km upriver from the Baptiste Collette distributary, here-
 265 inafter referred to as Venice Main Channel (VMC), and at a location within the upstream
 266 section of Southwest Pass (SWP) (Fig. 1b). During the summer survey, data were col-
 267 lected at two locations along a lateral transect at the BCS and three locations at VMC.
 268 The winter survey consisted of data collection at one location within the thalweg at both
 269 the BCS and VMC, and a station located approximately 3 km downriver from Head of
 270 Passes with SWP just upstream of a saltwater wedge that was being pushed seaward dur-
 271 ing the survey.

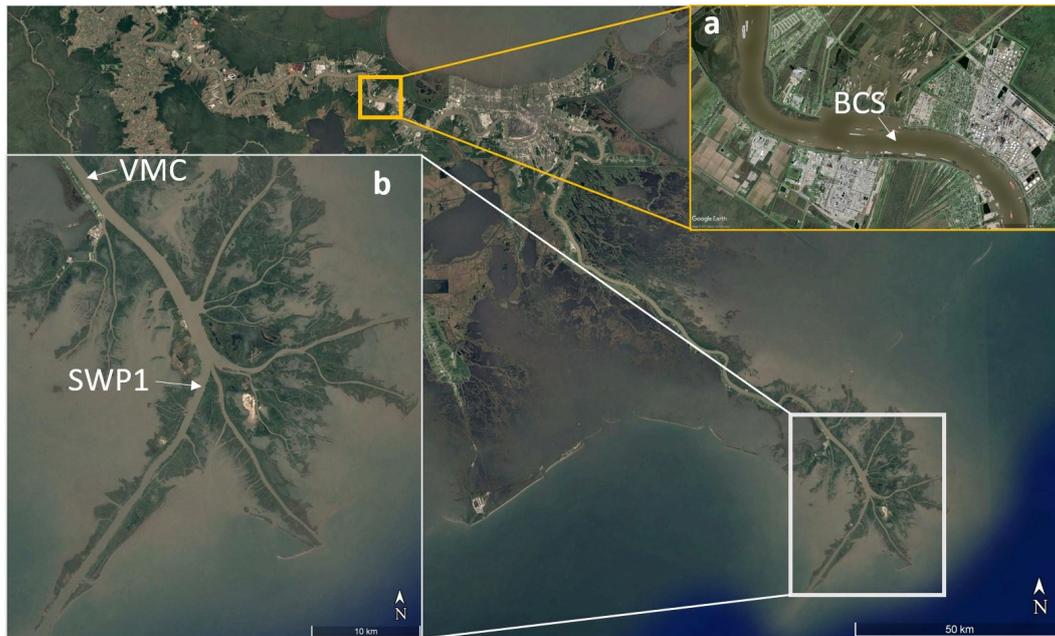


Figure 1. Survey locations. (a) Bonnet Carré Spillway (BCS). (b) Mississippi River Delta, including stations VMC and SWP1.

272 Contextual discharge, water temperature, and average suspended sediment concen-
 273 tration data for the two surveys were acquired from USGS station 07374000 at Baton
 274 Rouge, LA (Table 1). During the summer survey (June 24 - July 2, 2020), the river was
 275 on the receding limb of a flow event that reached a peak discharge of just over 28,300
 276 cms before the start of the survey. Over the duration of the summer survey, the discharge
 277 dropped from 22,200 cms on June 24 to 16,480 cms on July 2. The average daily water
 278 temperature during the summer survey was 27.3 °C. The winter survey took place from
 279 January 9-14, 2021. In the five months prior to the survey, discharge did not exceed 13,500
 280 cms. Then, approximately one week before the winter survey, discharge began to increase
 281 from 12,000 cms on January 2, 2021, up through the end of the survey period on Jan-
 282 uary 14, 2021. During the survey, discharge ranged from 17,783 cms on January 9 to 19,737
 283 cms on January 14. The daily average water temperature during the winter survey was
 284 6.3 °C.

285 Average daily turbidity values are reported from the Baton Rouge station. Paired
 286 historic USGS physical water column samples of suspended sediment and measured tur-
 287 bidity in FNU were used to create a calibration equation, $C_{avg} = 2.0(\text{FNU}) + 32.4$ ($R^2 =$
 288 0.81), between turbidity and concentration. Using the calibration equation, suspended

Survey Season	Start Date	End Date	Q_{avg} [cms]	Q_{start} [cms]	Q_{end} [cms]	T_{avg} [deg C]	C_{avg}^* [mg/L]
Summer	2020-06-24	2020-07-02	19,073	22,200	16,480	27.3	126
Winter	2021-01-09	2021-01-14	18,939	17,783	19,737	6.3	182

Table 1. Discharge, temperature, and suspended sediment concentration at the USGS 07374000 Baton Rouge station. *obtained through a calibration between USGS measured SSC and FNU.

289 sediment concentration was between 100 and 200 mg/L during both surveys with con-
 290 centration being greater during winter (Table 1).

291 2.4 Field measurements

292 All sampling and field measurements were made on the river from an 8 m survey
 293 vessel. Primary data collected included floc size and concentration measurements over
 294 the vertical, water column velocity over the vertical, physical water column samples over
 295 the vertical, and bed sediment samples.

296 Particle and/or floc sizes and concentrations were obtained with the Floc AReA
 297 and siZing Instrument (FlocARAZI) imaging system (Osborn et al., 2021). The FlocARAZI
 298 was designed to image flocculated suspended sediment in situ over the water column at
 299 depths up to 60 meters, identify sand within particle data, and estimate mass suspended
 300 sediment concentration (SSC) from image data. During deployment, a live video feed
 301 from the camera is transmitted via a Cat6 ethernet cable to a laptop at the surface where
 302 images are saved to the hard drive. A Sontek CastAway CTD is attached to the frame
 303 of the FlocARAZI to provide conductivity, temperature, and depth information for each
 304 image.

305 The FlocARAZI system itself consists of a camera, microscope lens, and LED light
 306 source situated within a waterproof housing. The camera system has a field of view of
 307 3.7 x 2.8 mm and can resolve particles down to 6 microns. Suspended sediment is allowed
 308 to pass freely through a flow-through cell with a gap width of 1.17 mm. Images collected
 309 with the system are processed following the image processing routine developed by Keyvani
 310 and Strom (2013), with modifications outlined in Osborn et al. (2021). The relevant out-
 311 put from the image processing routine is the particle area in pixels², which is converted
 312 to an equivalent circular diameter. The particle diameter is converted from pixels to mi-
 313 crons with 0.925 microns/pixel conversion factor. A processing routine utilizing a trained
 314 Support Vector Machine (SVM) classifier allows for identifying sand particles within the
 315 full particle data set, providing the means to isolate and analyze the flocculated and silt
 316 fraction of suspended sediment separate from the full imaged particle data set.

317 During deployment of the FlocARAZI, images are collected at a frequency of 2 Hz.
 318 With the CTD sampling initiated, the camera system was lowered in 3-meter increments
 319 from the free surface to the bed. At each increment over the water column, the FlocARAZI
 320 position was held steady for 1-2 minutes to collect approximately 90 images suitable for
 321 processing. While the FlocARAZI was deployed, velocity profiles were collected contin-
 322 uously with a Teledyne RiverPro Acoustic Doppler current profiler (ADCP). Velocity
 323 profiles were collected at an average sampling rate of 0.47 Hz with 0.69 to 0.85 m thick
 324 bins in the vertical.

325 Physical point samples of river water were collected at 5%, 25%, 50%, 75%, and
 326 95% of the flow depth at each station using a USGS isokinetic P6 sampler. Collecting

327 water samples consisted of holding the boat position steady, lowering the P6 to the pre-
 328 determined depth, and opening the solenoid valve to fill a 1 L sample bottle. The solenoid
 329 is opened for a period of time ranging from 10 - 60 seconds depending on current speeds,
 330 allowing for the sample bottle to fill to approximately 75% capacity, ensuring the sam-
 331 ple bottle is not overfilled during sampling. Water samples were filtered on-site with 1
 332 μm glass fiber filters and the liquid volume of the sample was recorded. Once back in
 333 the lab, filtered water samples were allowed to dry in an oven at 80 degrees Celsius for
 334 24 hours. The sample and filter were then weighed and the mass of the filter was sub-
 335 tracted to obtain the mass of the sample and hence the suspended mass concentration
 336 for each sample. Additional P6 samples were used to measure the disaggregated size dis-
 337 tribution of the suspended material. These samples were dosed with sodium hexametaphos-
 338 phate and sonicated prior to sizing with a LISST-Portable XR.

339 Bed material samples were collected at each station with a Shipek grab sampler.
 340 Samples were processed by first mixing the sediment until homogeneous. A subsample
 341 of the homogenized sample was then wet sieved with a No.230 (63 μm) mesh sieve to
 342 separate the fine and coarse sediment. The grain size distribution of the coarse fraction
 343 was obtained by sizing with a Retsch Technolog CAMSIZER.

344 2.5 Analysis calculations: settling velocity estimate through Rouse pro- 345 file fit

346 The Rouse profile analysis includes fitting equation 5 to the measured concentra-
 347 tion profiles using w_s as the fit parameter and then comparing these fit values of w_s to
 348 ones predicted from a settling velocity equation and the measured floc sizes. Data and
 349 parameters needed for the fit include the concentration profile data $C = C(z)$, the con-
 350 centration at a reference height, C_b , a measure of u_* or for the station, and a measure
 351 of sediment stratification to account for the effects of turbulence damping, α .

352 For the analysis, data for $C = C(z)$ was obtained using data from the FlocARAZI
 353 following the methods presented in Osborn et al. (2021). For the winter surveys, SSC
 354 measurements were collected at all three stations included in the analysis (BCS, VMC,
 355 SWP1). For all three stations, the SSC measurements made with the P6 were used to
 356 inform the correction factor needed for FlocARAZI SSC measurements. The correction
 357 factor for each station was obtained by visually observing the best fit, by trial and er-
 358 ror, between the SSC measured with the P6 and those estimated by the FlocARAZI. Dur-
 359 ing the winter survey, a large amount of sand was present in suspension at the BCS. There-
 360 fore, the correction factor for the FlocARAZI SSC measurements was obtained by fit-
 361 ting the P6 SSC measurements to the total SSC estimated, including both mud and sand,
 362 with the FlocARAZI. Little sand was observed in suspension at the VMC or SWP1 sta-
 363 tions during the winter survey, as such, both the SSC measured with the FlocARAZI and
 364 P6 water samples are assumed to contain little to no sand. For the summer survey, the
 365 reference depth and SSC were taken as the lowest depth where an SSC measurement was
 366 collected. The correction factor used for the SSC estimates from the summer survey were
 367 derived from an average of the correction factors used for the winter survey stations; con-
 368 centration estimated with the camera using the winter calibration parameters fit within
 369 calculated concentrations from the Baton Rouge station (Table 1), resulting in slightly
 370 lower concentrations overall in summer relative to winter.

371 ADCP velocity data were used to obtain shear velocity estimates. The method for
 372 calculating u_* included taking the average flow velocity, u , at each depth interval against
 373 the natural log of the distance from the channel bed, z , fitting a line through the data,
 374 and multiplying the slope of the resulting line by $\kappa = 0.41$ (Eq. 2). When no bedforms
 375 are present, shear velocity calculated using this method was used directly in the Rouse
 376 profile calculations. To account for the impact of bedforms, the empirical relation de-
 377 veloped by Wright and Parker (2004b) for large, low-sloping sand bed rivers was employed

378 to estimate the non-dimensional skin friction shear stress, τ_{*s} , from which the skin fric-
 379 tion velocity driving transport was obtained:

$$380 \quad \tau_{*s} = 0.05 + 0.7(\tau_* Fr^{0.7})^{0.8} \quad (9)$$

381 In equation 9, τ_* is the total dimensionless bed shear stress, and Fr is the Froude num-
 382 ber where $Fr = U/\sqrt{gH}$; where U is the depth-averaged velocity (obtained from ADCP
 383 measurements). By definition, the total dimensionless bed shear stress is:

$$384 \quad \tau_* = \frac{u_*^2}{gR_s d} \quad (10)$$

385 with the dimensionless skin-friction shear stress, from which the needed skin-friction com-
 386 ponent of the shear velocity (u_{*s}) is obtained, being:

$$387 \quad \tau_{*s} = \frac{u_{*s}^2}{gR_s d} \quad (11)$$

388 In all cases g is the acceleration due to gravity, d is the characteristic grain size, taken
 389 here as d_{50} , and R_s is the submerged specific gravity, given by $R_s = (\rho_s - \rho)/\rho$ where
 390 ρ_s is the density of the sediment, and ρ is the fluid density.

391 The effect of turbulence damping due to sediment stratification was accounted for
 392 by equation 8. To use equation 8, the volume concentration of sediment at 5% of the flow
 393 depth and the water surface slope are needed. C_{t5} was calculated assuming a sediment
 394 density of 2650 kg/m³ and a water density of 1000 kg/m³ in accordance with the method
 395 established in Wright and Parker (2004a). Estimates of the water surface slope were ob-
 396 tained from Nittrouer et al. (2011), where the authors present water surface slope mea-
 397 surements obtained upriver from Head of Passes under varying discharge ranges.

398 With the shear velocity and stratification parameter constrained, the only remain-
 399 ing variable within the Rouse number (Eq. 7) is the settling velocity, w_s . The settling
 400 velocity was obtained by performing a least squares regression analysis by fitting a Rouse
 401 profile to concentration data obtained from the FlocARAZI and physical water samples,
 402 allowing the settling velocity to vary.

403 **2.6 Analysis calculations: settling velocity based on floc size**

Expected values of w_s based on measured size were calculated using the settling
 velocity equation of Strom and Keyvani (2011). The equation is a modification of the
 solid particle settling velocity equation of Ferguson and Church (2004), and it is designed
 to work under both inertial and viscous settling conditions using the assumption that
 a floc of size d_f is a 3D fractal aggregate composed of primary particles of size d_p ,

$$w_s = \frac{gR_s d_f^{n_f - 1}}{b_1 \nu d_p^{n_f - 3} + b_2 \sqrt{gR_s d_f^{n_f} d_p^{n_f - 3}}} \quad (12)$$

404 In equation 12, ν is the kinematic viscosity of the fluid. The coefficients b_1 and b_2 act
 405 as calibration coefficients that account for floc shape, permeability, and impacts from drag
 406 within the inertial range. Strom and Keyvani (2011) fit the model to a wide range of ex-
 407 perimental and field floc data to obtain best fit values for b_1 and b_2 with the best cor-
 408 relation between the settling velocity curve and data when $n_f = 2.5$, $b_1 = 100$, and
 409 $b_2 = 0$.

410 **3 Results**

411 **3.1 Overview**

412 Depths at all sampling locations ranged from 17 to 25 m with depth-averaged ve-
 413 locity of ≈ 1 m/s near the BCS and $U \approx 0.75$ m/s at VMC (Table 2); overall, flow con-

414 ditions at the VMC were less energetic than at the BCS. Salinity was near zero at all
 415 stations, uniform over the depth, and very close in absolute reported PSU values to the
 416 accuracy of the instrument (± 0.1 PSU). In general, specific conductance was slightly higher
 417 during summer than winter by 100 to 200 $\mu\text{mS/cm}$. The bed material at the BCS was
 418 composed of sand ($d_{50} = 0.22$ mm) during both the summer and winter surveys, and
 419 significant dunes were observed through the ship’s onboard sonar. The bed material at
 420 the VCM station during summer was also composed of sand ($d_{50} = 0.20$ mm) and dunes
 421 of significant size were again evident in the ship’s sonar. However, during the winter sur-
 422 vey, the bed at the VMC station was unconsolidated mud (90.3% of the material was
 423 < 63 μm) with no evident bedforms. Similar bed composition and morphology were ob-
 424 served downstream at SWP1.

Station	U [m/s]	H [m]	u_* [m/s]	u_{*s} [m/s]	S_0	Bed	C_b [mg/L]	SpC [$\mu\text{S/cm}$]	S [PSU]
BCS Summer	1.05	23.0	0.094	0.037	1.5E-05	Sand	136	440	0.20
VMC Summer	0.76	18.0	0.063	0.026	6.0E-06	Sand	122	395	0.18
BCS Winter	0.89	20.5	0.098	0.037	2.0E-05	Sand	160	215	0.16
VMC Winter	0.79	18.5	0.05	–	6.0E-06	Mud	268	271	0.19
SWP1 Winter	0.62	17.0	0.04	–	6.0E-06	Mud	484	275	0.19

Table 2. Measured hydraulic and water quality parameters. Water surface slope, S_0 , was estimated from Nittrouer et al. (2011).

425 Suspended mud ($d < 63$ μm) from each station had a disaggregated d_{50} of approx-
 426 imately 6 to 15 μm . However, in situ images showed that at all sites, suspended mud was
 427 highly flocculated within the river (Figure 2) with a significant fraction of the material
 428 existing in aggregates that far exceeded 15 μm . The images also showed that some of
 429 the silt in suspension existed as individual free solid particles, but that much of the silt,
 430 even up to 63 μm in size, was bound within large floc aggregates similar to Tran and Strom
 431 (2017). This was true regardless of season, river station, or depth. This broadly confirms
 432 that similar to other rivers (Droppo & Ongley, 1994) and flume studies (Schieber et al.,
 433 2007), salty marine water is not necessary for mud in the Mississippi River to exist in
 434 flocculated form. Studies such as Galler and Allison (2008) and Lamb et al. (2020) have
 435 pointed to the possible role of flocculation on the transport dynamics of the Mississippi
 436 River, but images from the FlocARAZI confirm for the first time that suspended Mis-
 437 sissippi River mud is indeed flocculated during both summer and winter.

438 3.2 The vertical and lateral distributions of floc d_{50}

439 The d_{50} by volume of the flocculated sediment is plotted over the depth for the BCS
 440 and VMC stations during summer and winter in figure 3. During the summer survey,
 441 floc size data were collected at two lateral locations at the BCS station and three lat-
 442 eral locations at the VMC station; indicated in Figure 3 a and b as being either the left
 443 bank, thalweg, or right bank. Floc size data were collected only at the thalweg location
 444 during the winter survey after observing little variation in size at different lateral sta-
 445 tions across the section in the summer data.

446 No clear and consistent pattern in the d_{50} of the flocculated sediment with depth
 447 could be found in the data. Measured d_{50} values did fluctuate, and some trends with depth
 448 are present for some of the profiles, but no overall clear trend regarding the vertical dis-
 449 tribution of d_{50} can be made that applies to all stations and seasons.

450 The floc d_{50} at the BCS during the summer ranged from approximately 75 to 100
 451 μm near the bed, and 75 to 175 μm further up in the water column (Fig. 3a). A slight

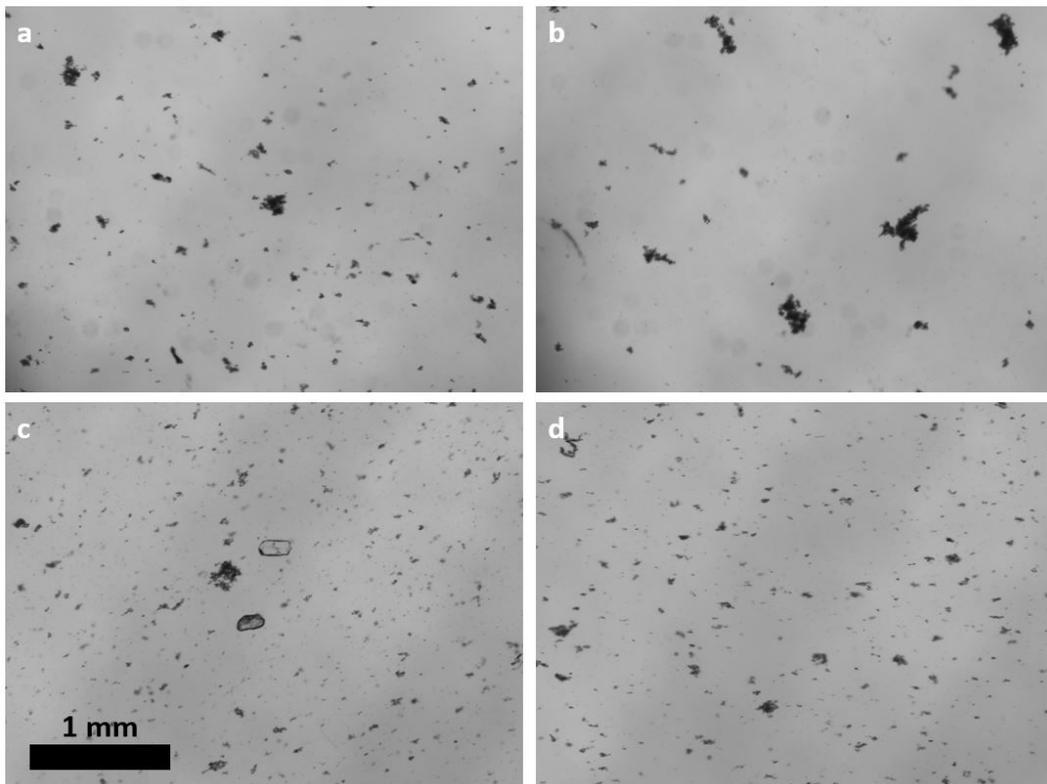


Figure 2. Example images collected during the summer at the (a) BCS and (b) VMC, and during the winter at the (c) BCS and (d) VMC.

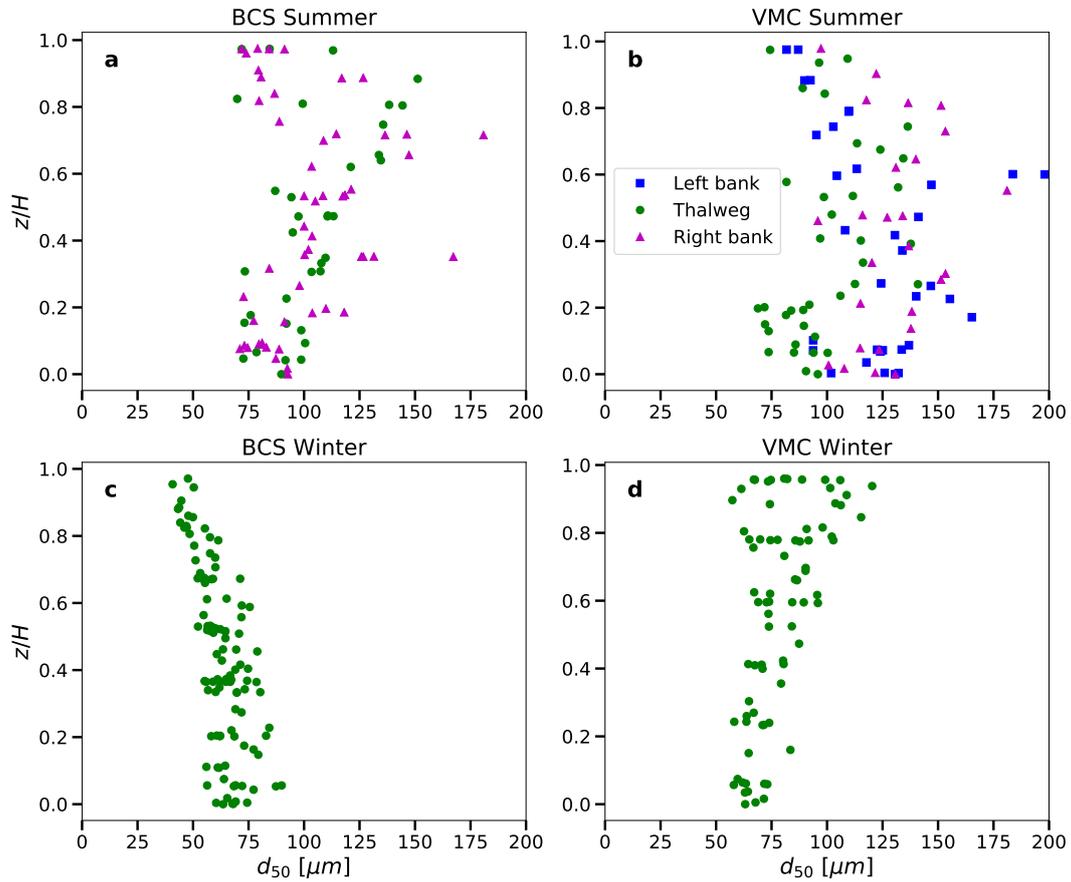


Figure 3. d_{50} flocculation size information collected at the BCS and VMC locations during the summer and winter surveys. Flocculation size data were collected at multiple lateral locations during the summer survey, as indicated in (a) and (b). Flocculation size data were collected only in the thalweg during the winter survey (c and d). Though it appears that flocculation size increases with depth at the winter BCS station (c), this is a result of a large fraction of the observed particles consisting of silt and fine sand that could not be removed in the image processing. As such, the size information presented in (c) represents the d_{50} of flocculation, coarse silts, and fine sands.

452 increase in floc size from the bed to around 75% of the flow depth is present, with a slight
 453 decrease in floc size near the water surface for the right bank station. Average floc d_{50}
 454 at the VMC location during the summer survey range from around 75 μm to around 135
 455 μm near the bed (Fig.3b). Floc sizes from the surface to around 25% of the flow depth
 456 are relatively uniform, ranging from around 100 to 150 μm . The largest flocs were ob-
 457 served at the left and right bank stations, where the largest d_{50} values were between 175
 458 and 200 μm . d_{50} values at the VMC station during the winter ranged from around 60
 459 μm near the bed to between 50 to 125 μm near the surface (Fig. 3d). Floc sizes near the
 460 bed vary only slightly, between 55 to 75 μm , compared to further up in the water col-
 461 umn where both average d_{50} floc sizes increase and the range of sizes increases.

462 Though flocs were observed in suspension at the BCS during the winter survey, the
 463 data presented in Figure 3c represents the d_{50} sizes of flocs, silts, and fine sands. This
 464 is a result of the turbid conditions and images collected with the FlocARAZI contain-
 465 ing a large amount of silt and sand. The algorithm used for identifying sand from im-
 466 age data was unable to correctly identify sand when flocs or silts overlapped with sand
 467 within the images. Medium to large sand was manually removed from the data, but a
 468 large number of very fine sand grains within the data made it unfeasible to manually re-
 469 move them from the data set. Therefore, the data presented in Figure 3c should not be
 470 taken to represent only floc sizes at the winter BCS location.

471 3.3 Floc populations and their variation with depth

472 A range of floc sizes was observed at all locations and depths. The previous sec-
 473 tion showed how the d_{50} of the size population varied over the depth at different stations
 474 and seasons. In this section, we show the distributions. The distributions are visualized
 475 as kernel density estimates (KDE) (Figs. 4) and volume percent of flocs in a specified
 476 size range (Fig. 5) at 7.5%, 25%, 50%, 75%, and 92.5% of the flow depth.

477 Two general statements regarding the distribution of flocs sizes can be made. The
 478 first is that all distributions contained one dominant peak in size (Fig. 4). The second
 479 is that clustering of flocs within particular larger size classes was found to be present for
 480 flocs greater than approximately 100 μm . This clustering can be seen in the right-side
 481 tails of the KDE plots as a change in slope (Fig. 4). No clear number of, or locations
 482 for, the inflection points applicable to all distributions is evident.

483 In all cases flocs in the 50 to 100 μm size range make up the bulk of the flocculated
 484 material by volume, i.e., $\approx 40\%$ (Fig. 5) with the 100 to 150 μm range making up \approx
 485 20 to 30%. This means that about 60 to 70% of the flocculated mud, by volume, is be-
 486 tween 50 and 150 μm in size. The largest flocs, $> 150 \mu\text{m}$, compose 20 to 30% on av-
 487 erage with the smallest flocs, $< 50 \mu\text{m}$, makeup 10 to 20% on average (though this per-
 488 centage for the smallest size class was higher for the VMC during winter).

489 Changes in the distribution of these size fractions over the depth were relatively
 490 minor except when closest to the bed ($z/H = 0.075$) during summer. For both BCS
 491 and VMC, the binned data shows a general decrease in the fraction of large flocs clos-
 492 est to the bed (Fig 5a and b). This decrease in the fraction of flocs in the larger size classes
 493 ($> 150 \mu\text{m}$) was then accompanied by an increase in flocs within the smaller size classes.
 494 Another trend evident is that the percentage by volume of largest flocs tended to increase
 495 slightly moving from the bed towards the free surface for both the BCS and VMS dur-
 496 ing summer and the VMC during winter. The one exception to this was the topmost point
 497 at BCS during summer (Fig 5).

498 The BCS winter KDE and volume percent of binned particles plots show a coars-
 499 ening of suspended sediment from the surface to the bed (Figs. 4c and 5c). Again, this
 500 is a result of the data for this particular station representing flocs, silts, and very fine
 501 sands as previously noted.

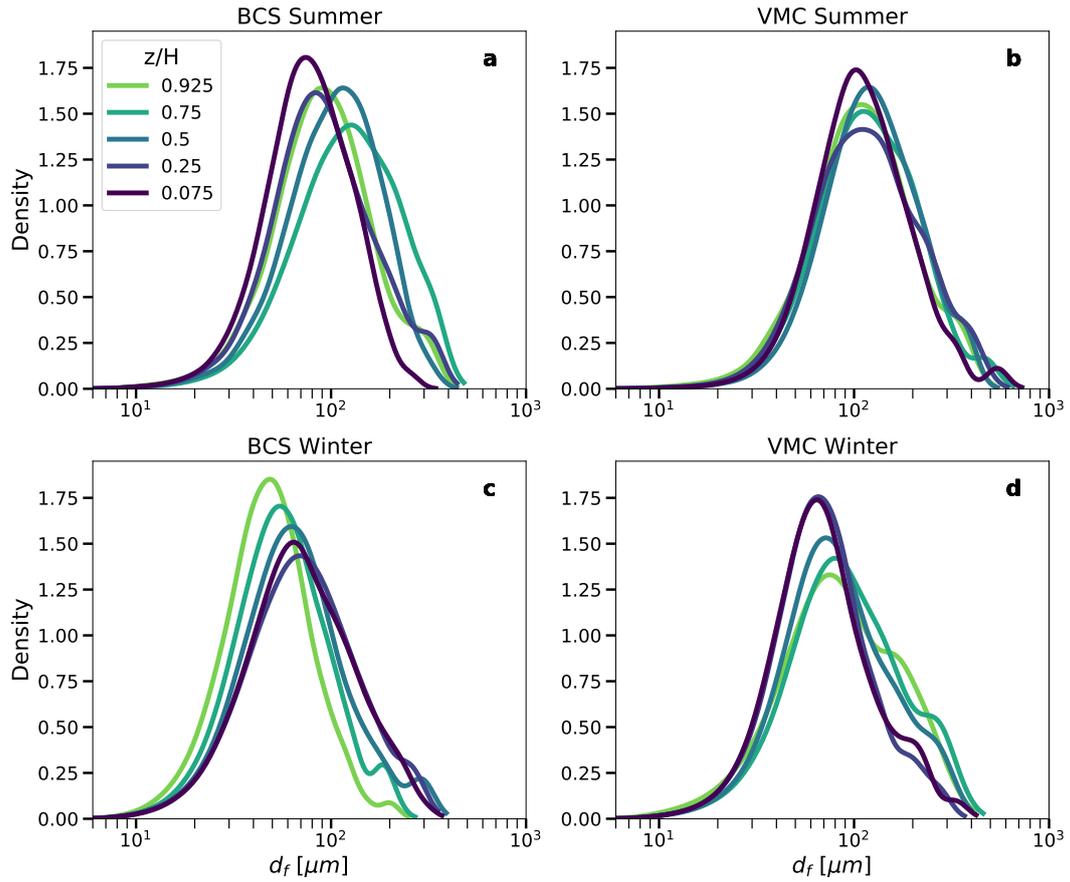


Figure 4. Kernel density estimates (KDE) of the probability density function for floc size population data collected over specified ranges within the flow depth. Here z is taken as the vertical distance from the bed and H is the flow depth.

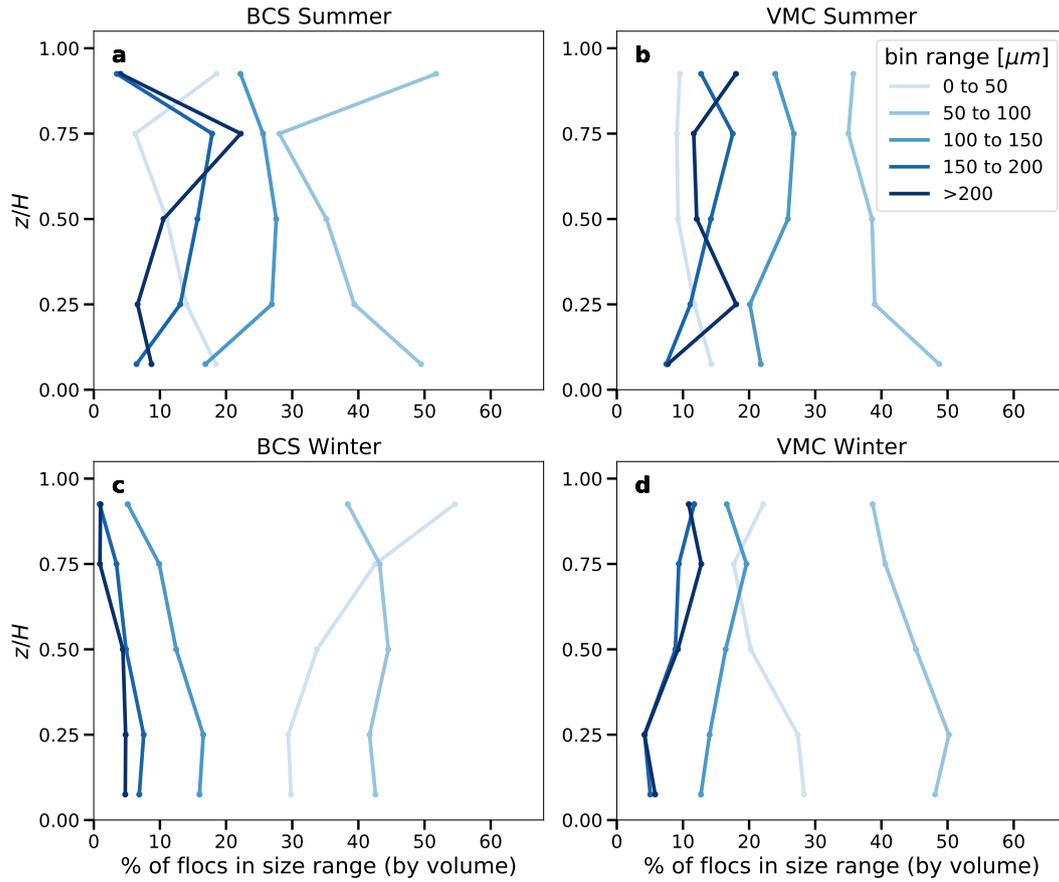


Figure 5. Floc size binned by volume into different size ranges at 7.5%, 25%, 50%, 75%, and 92.5% of the flow depth. Here z is taken as the vertical distance from the bed and H is the flow depth.

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3.4 Depth-averaged trends in size by station and season

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Depth-average values for the size statistics d_{16} , d_{50} , and d_{84} were calculated to allow for comparison of the average floc properties at different river stations in the same season and different seasons at the same station. During the same season, there was a small but noticeable change in the average size of the flocs moving down the river from the BCS to the VMC. On average, flocs at the VMC were larger than those at the BCS regardless of the season. For a given season, d_{50} increased by 10 to 15 μm going from the BCS to the VMC stations with d_{84} increasing by 30 to 40 μm (Table 3). This spatial change is possibly related to the overall decrease in river velocity and stress going from the BCS down to VMC (Table 2).

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A difference in the depth-averaged size of the flocs between seasons was observed at each station, and the magnitude of the seasonal difference was greater than that between stations during the same season. At both stations, the floc d_{50} was $\approx 40 \mu\text{m}$ larger during the summer survey, with the d_{84} being $\approx 60 \mu\text{m}$ larger during the summer (Table 3). The 40 μm difference at the BCS was true even though the winter size estimates were biased larger due to the presence of solid particles that could not be removed during image processing as previously discussed. The difference in floc sizes is also evident in the sample images from each station and survey (Fig. 2).

Station	d_{16} [μm]	d_{50} [μm]	d_{84} [μm]
BCS Summer	57	102	185
VMC Summer	66	116	213
BCS Winter*	35	63	119
VMC Winter	44	79	160

Table 3. Average floc sizes for main channel stations. *The BCS winter station includes both flocs and fine sand.

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3.5 Floc settling velocity and mud concentration profiles

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A Rouse profile was fit to the mud fraction of suspended sediment using SSC profiles collected at the BCS and VMC during both the summer and winter surveys. In addition, SWP1 from the winter survey is included in the analysis. Measured and calculated input parameters used for the analysis are presented in Table 2. In Table 2, values for the skin friction component of shear velocity were excluded for VMC and SWP1 during the winter survey since the bed consisted mainly of mud, and no bedforms were observed during the survey. The fit results are shown in Figure 6.

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During the summer survey, settling velocities from the fit were 0.41 mm/s at the BCS and 0.52 mm/s at the VMC location (Fig. 6a and b). This increase is consistent with the increase in floc size moving from the BCS to the VMC stations. During winter effective settling velocity estimates from the fit were smaller for the BCS station relative to summer ($w_s = 0.07 \text{ mm/s}$) due to the near well-mixed conditions that existed for $C = C(z)$ (Fig. 6c). However, larger vertical concentration gradients of mud were observed downriver at VMC and SWP (Fig. 6d and e). For these two locations during winter, the effective settling velocities obtained from the fit were 2.3 and 2.9 mm/s. Possible explanations for these high settling velocity estimates, relative to the other stations, are considered in the Discussion.

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Settling velocity was also calculated using the measured floc sizes and equation 12. The following input values were used to make the calculations: ν and ρ were adjusted

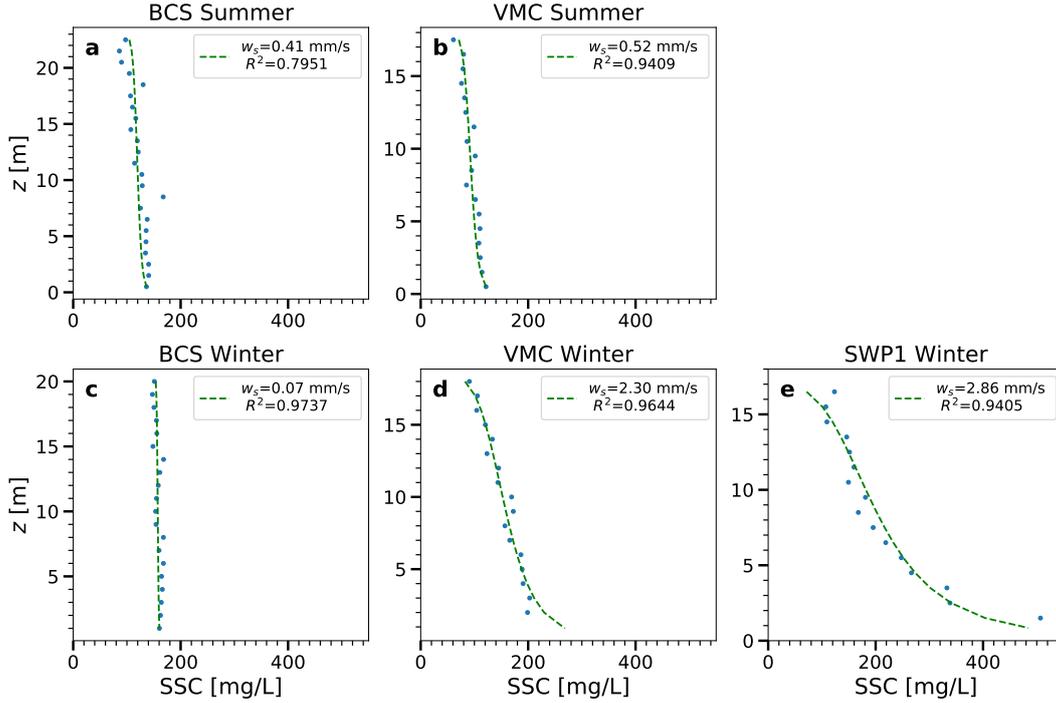


Figure 6. Mud fraction of SSC and fit Rouse profiles used to obtain an estimated bulk settling velocity.

540 for water temperature during the summer and winter; the primary particle size, d_p was
 541 taken as $6 \mu\text{m}$ based on measurements of disaggregated samples; the density of the pri-
 542 mary particles was taken to be $\rho_s = 2650 \text{ kg/m}^3$. Two sets of values for the coefficients
 543 b_1 and b_2 and the fractal dimension, n_f , were used. As a first pass, we used the viscous
 544 settling values of $b_1 = 100$, $b_2 = 0$, and $n_f = 2.5$ as suggested by Strom and Keyvani
 545 (2011) based on their fitting of historic floc settling data to estimate w_s based on the mea-
 546 sured floc sizes. For the second set of coefficients, we used the standard values of $b_1 =$
 547 20 and $b_2 = 0.91$ as suggested by Ferguson and Church (2004) based on the settling
 548 of solid sand using nominal, rather than sieved, particle size. We then varied the frac-
 549 tional dimension until the calculated value based on floc size matched the value obtained
 550 from the Rouse profile fit. In all cases, a bulk, volume-weighted settling velocity for the
 551 n number of flocs, with a volume, $V_{f,i}$, was calculated as: $w_s = \frac{\sum_{i=1}^n w_{s,i} V_{f,i}}{\sum_{i=1}^n V_{f,i}}$.
 552 The bulk settling velocity was calculated for the full set of flocs observed at a particu-
 553 lar station.

554 For the BCS and VMC summer stations, the viscous model coefficients and frac-
 555 tional dimension of $n_f = 2.5$ yielded average settling velocity values that well matched those
 556 from the Rouse profile analysis (Table 4). Exact matches were found for these two sum-
 557 mertime locations with the viscous coefficients by letting $n_f = 2.4$. During the winter,
 558 the viscous model performed reasonably well in terms of estimating the average settling
 559 velocity obtained from the Rouse profile fit at the BCS station. However, the calculated
 560 values were an order of magnitude smaller than the Rouse estimates during winter at
 561 the VMC and SWP1 stations.

562 No match could be found between the profile estimates and size-based estimates
 563 of settling velocity during winter at VMC and SWP1 when using the viscous coefficients
 564 of $b_1 = 100$ and $b_2 = 0$ for any fractal dimension ≤ 3 . To obtain a match for these
 565 two stations, we used the Ferguson and Church (2004) recommended solid sand coeffi-

Station	w_s [mm/s]		n_f
	Rouse fit	Size-based calculations*	Fit based on sand coef.†
BCS Summer	0.4	0.5	1.90
VMC Summer	0.5	0.6	1.95
BCS Winter	0.1	0.2	1.50
VMC Winter	2.3	0.3	2.70
SWP1 Winter	2.9	0.3	2.70

Table 4. Settling velocities estimated from the Rouse profile analysis compared to calculations based on measured floc sizes and the settling velocity equation (eq. 12). *Calculations performed using the viscous floc settling coefficients of $b_1 = 100$, $b_2 = 0$, and $n_f = 2.5$. † Fractal dimensions, n_f , needed to make the calculated settling velocity, based on the nominal sand diameter coefficients of $b_1 = 20$ and $b_2 = 0.91$, match the settling velocity obtained from the Rouse profile fit.

566 cients of $b_1 = 20$ and $b_2 = 0.91$ and varied n_f until the w_s matched that from the Rouse
567 fit. The same calculation was also performed on all other stations, and the output of the
568 calculation is given in the last column of Table 4. In summary, the fractal dimension needed
569 for summer was $n_f \approx 1.9$ and for winter $n_f \approx 2.7$.

570 4 Discussion

571 4.1 Spatial distributions of floc sizes and the role of turbulence and con- 572 centration in setting floc size

573 Our study confirms that flocs are present within the freshwater reaches of the Mis-
574 sissippi River during both the summer and winter. To the best of our knowledge, this
575 study presents the first direct in-situ observations of flocs within the Mississippi River.
576 Galler and Allison (2008) investigated the possibility of mud flocculation within the lower
577 Mississippi River by collecting water samples with Niskin bottles to perform settling col-
578 umn tests on board their research vessel during a survey in June 2003. The observed set-
579 tling rates led Galler and Allison (2008) to estimate that a third of the sediment mass
580 within the settling column consisted of flocs smaller than $110 \mu\text{m}$, and another third of
581 the mass consisted of flocs larger than $567 \mu\text{m}$. This range of floc sizes is in the range
582 of floc sizes observed directly in this study during the summer survey. Similar mean floc
583 sizes, in the range of 43 to $181 \mu\text{m}$ were observed with a LISST-100x at 23 stations along
584 1532 km of the Yangtze River by L. Guo and He (2011).

585 We were unable to detect any consistent and persistent patterns in the distribu-
586 tion of floc sizes either laterally across the river or vertically over the depth. For this rea-
587 son, as a first approximation, we suggest that floc sizes at a given river station can be
588 assumed to be uniformly distributed over the cross-section. During some of the samplings,
589 we did observe a clear trend of higher numbers of larger flocs near the free surface and
590 higher numbers of smaller flocs near the boundary; a trend that has also been observed
591 in some estuaries using a LISST-100x (e.g., Huang et al., 2022); though the trend is not
592 consistent in all estuaries and often depends on the position in the tide cycle (e.g., Un-
593 cles et al., 2010; C. Guo et al., 2017). This type of distribution in size over the depth is
594 perhaps explained by the increase in turbulent production and dissipation rate of tur-
595 bulent kinetic energy near the boundary and the known inverse relationship between floc
596 size and dissipation rate (Tambo & Hozumi, 1979; van Leussen, 1994; Verney et al., 2009;
597 Kuprenas et al., 2018). Nevertheless, the pattern of larger flocs near the free surface and

598 smaller flocs near the bed was not always observed. In addition, larger flocs were not cor-
 599 related with the higher concentrations of mud found near the boundary. At all stations,
 600 mud concentration increased with depth regardless of river station or season (though the
 601 strength of the stratification with depth and station did vary). However, such increases
 602 in concentration with depth were not correlated with an increase in floc size. We, there-
 603 fore, expect that floc sizes can respond to the overall average concentration in the river,
 604 but are less influenced by local depth-dependent variations in concentration; at least over
 605 the range of conditions we observed.

606 Floc sizes did respond as expected to changes in overall average shear. The Mis-
 607 sissippi River at the BCS is narrower and more energetic than it is farther down the river
 608 at the VMC station. Velocities and shear velocity measurements are reflective of this with
 609 higher values at BCS relative to the VMC and water column and image samples both
 610 reveal more sand in suspension at the BCS relative to the VMC station. Overall aver-
 611 age concentration between the two sites was nearly equal with concentrations at the BCS
 612 being slightly larger. Floc sizes however were larger at the VMC during both seasons,
 613 indicating the floc size is dependent on the shear rate in the river but not on small changes
 614 in concentration.

615 4.2 Seasonal effects on floc size

616 The differences in floc sizes over the depth, or from station to station, were all smaller
 617 than the differences in floc sizes observed from the summer to winter surveys at each in-
 618 dividual station. Both summer and winter surveys took place during relatively high flow
 619 conditions at similar discharges, though the summer survey was made on the falling limb
 620 of a flow event and the winter survey was made on the rising limb. Average velocities
 621 and shear stresses were similar at each station from season to season. And turbidity mea-
 622 surements and calculated average suspended sediment values were also similar between
 623 the two surveys; though C_{avg} was slightly higher on average during the winter (Table
 624 1).

625 While the flow rate, shear stress, and suspended sediment concentration were sim-
 626 ilar from survey to survey, differences in floc sizes between summer and winter were ob-
 627 served. Flocs were substantially larger during summer than they were during winter. The
 628 d_{50} of the floc size distribution was approximately 40 μm larger in summer than in win-
 629 ter. The size difference in flocs between summer and winter could not have been due to
 630 differences in suspended sediment concentration since concentration was slightly larger
 631 during the winter. We also don't expect the size difference to be an outcome of changes
 632 in viscosity and hence the Kolmogorov micro length scale. The lower water temperature
 633 in winter should have led to larger micro length scales given the same overall average shear
 634 velocity and hence larger flocs in winter if the size difference were driven by turbulence
 635 conditions in the water column. Therefore we do not expect that the differences in floc
 636 size were driven by physical changes in turbulence or suspended sediment concentration.
 637 Instead, we expect that the difference in size was driven by differences in the chemistry
 638 (ion composition and concentration) or biology (organic material type and quantity) of
 639 the suspensions.

640 Water quality measurements made by the USGS at the Belle Chasse gage station
 641 07374525, located between the BCS and VMC, over dates closest to our survey are listed
 642 in Table 5. Specific conductance and ion composition and concentration are fairly con-
 643 sistent between the summer and winter, though specific conductance and the calcium
 644 and magnesium levels are all slightly higher during summer relative to winter (Tables
 645 2 and 5). Abolfazli and Strom (2022) have shown that the presence of calcium chloride
 646 and magnesium chloride both can have a stronger influence on the flocculation poten-
 647 tial of a suspension of natural mud than sodium chloride, and data from the Belle Chasse
 648 station do indicate that these ions were present at a slightly higher concentration dur-

Parameter	Summer	Winter
Date	2020-06-23	2021-01-12
T [deg C]	27.4	6.9
SC [μ S/cm]	362	348
pH	7.4	7.9
DO [mg/L]	5.9	12.1
N [mg/L]	2.3	1.7
P [mg/L]	0.18	0.32
DOC [mg/L]	3.4	2.95
Ca [mg/L]	40.6	30.9
Mg [mg/L]	14	10.3
Na [mg/L]	14	21.4
K [mg/L]	3.19	2.76
Cl [mg/L]	15.8	28.6
Fe [μ g/L]	15.5	460

Table 5. Water quality measurements at USGS gage station 07374525 Mississippi River at Belle Chasse, LA over dates closest to the survey study date. The Belle Chasse station is located between the Bonnet Carré Spillway and Venice Main Channel sampling locations.

649 ing summer. However, the overall specific conductance values, while larger than those
650 of headwater creeks (0 to 100 μ S/cm), are nowhere near levels significant enough to pro-
651 duce 1 PSU, and it is unclear if the variation between summer and winter in terms of
652 specific conductance (Table 2) is sufficient to account for the 40 μ m change in the floc
653 d_{50} . We suspect that it is not and that the difference in floc size between summer and
654 winter is likely not driven by differences in ion concentration or type. pH is also known
655 to influence flocculation rates and equilibrium size (Mietta et al., 2009), but the pH of
656 the river varied little between our summer and winter surveys (Table 5).

657 The largest detectable difference from summer to winter in both our measurements
658 and the water quality measures at the Belle Chasse station was that of water temper-
659 ature (27 °C during summer and 6 °C during winter). Some water-treatment-focused stud-
660 ies have shown that temperature can change the optimum pH for flocculation at particu-
661 lar doses of some coagulants (Camp et al., 1940; Mohtadi & Rao, 1973), and in some
662 cases, floc size (Fitzpatrick et al., 2004). However, as of yet, there is little evidence that
663 temperature alone can change the flocculation behavior of natural mud (Mohtadi & Rao,
664 1973). Therefore we do not expect that temperature itself was directly responsible for
665 the difference in size observed between the seasons.

666 Taking all of the above into consideration, we suspect that a temperature-driven
667 difference, or temperature co-varying difference, in a particular type of organic content
668 is likely the leading cause of the observed difference in floc sizes between summer and
669 winter. Organic content comes in many different forms. The most common measurement
670 of organic content is that of Dissolved Organic Carbon (DOC). Yet, DOC does not vary
671 significantly with season or discharge in the Mississippi River (e.g. Table 5), though it
672 can be slightly higher during warmer temperatures and higher discharges (Cai et al., 2015).
673 Furthermore, DOC concentration increases during high flows might primarily be sourced
674 from organic constituents associated with terrestrial runoff that may or may not con-
675 tribute to floc formation (Lee et al., 2019). What is known to enhance flocculation is EPS.
676 EPS is known to be positively associated with Chlorophyll-a (Uncles et al., 2010) through
677 algal production (Verney et al., 2009; Lee et al., 2019), and particle-attached bacterial
678 communities. In the Mississippi and elsewhere, increases in Chlorophyll-a are associated
679 with warmer water temperatures and low-flow periods where mixing and sediment con-

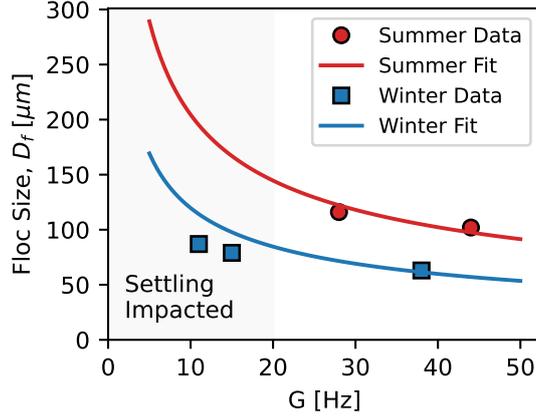


Figure 7. A model for equilibrium floc size as a function of concentration and shear rate, $d_{fe} = d_{fe}(C, G)$, fit to the summer and winter depth-averaged floc sizes at different river stations.

680 centration are lower (Duan & Bianchi, 2006; Turner et al., 2022; Lee et al., 2019). Dis-
 681 charge conditions during the summer survey were not particularly low (Table 1) with
 682 respect typical discharges associated with high Chlorophyll-a values ($< 15,000 \text{ m}^3/\text{s}$) (Turner
 683 et al., 2022).

684 Bacterial production, and specifically particle-associated bacterial production, is
 685 known to be strongly dependent on temperature in the Mississippi River regardless of
 686 flow discharge (Ochs et al., 2010; Payne et al., 2020). Therefore, we suspect that the in-
 687 crease in observed floc sizes in the summer was primarily due to the increased activity
 688 of particle-attached bacterial secreting EPS and enhancing the capture potential and strength
 689 of the mud aggregates. Similar correlations between water temperature and floc size and
 690 strength have been made by Droppo et al. (1998) and Egan et al. (2022), both of whom
 691 suggest that increasing temperature leads to an increase in the productivity of the particle-
 692 attached bacteria and associated enhancement of EPS. Therefore, while tightly controlled
 693 data linking floc size to increased bacterial production brought on by temperature changes
 694 is not available in our study or the studies of Droppo et al. (1998) and Egan et al. (2022),
 695 all three point to the utility of temperature as a proxy for EPS production and hence
 696 floc aggregation efficiency and strength. For example, calibration of the equilibrium floc
 697 size model (see appendix for details), which yields $d_{fe} = d_{fe}(C, G)$, of Winterwerp (1998)
 698 can be made for summer and winter along the Mississippi at the different stations by in-
 699 creasing the ratio of the aggregation to breakup efficiency terms, k'_A/k'_B , by a factor of
 700 5 (Figure 7). It is conceivable then, that given enough data, one could develop a rela-
 701 tionship for $k'_A/k'_B = k'_A/k'_B(T)$.

702 4.3 Can floc size explain vertical gradients in mud concentration?

703 Flocculation has the potential to increase the settling velocity of mud relative to
 704 that predicted by the disaggregated particle sizes. For example, the calculated settling
 705 velocities for the summer survey at the BCS and VMC range from 0.41 to 0.53 mm/s.
 706 These settling velocities correspond to an equivalent silt grain with a diameter between
 707 25 to 30 μm . However, considering that the characteristic primary particle size that makes
 708 up the flocs is likely between 5 and 10 μm , the calculated floc settling velocities are ap-
 709 proximately an order of magnitude higher than the settling velocity of the characteris-
 710 tic primary particles.

711 If mud within a river is unflocculated, the unaggregated particles would be expected
 712 to be distributed uniformly over the water column as a result of their small settling ve-

713 locities. However, it is possible that the presence of flocs, and hence increased settling
 714 velocity of the mud, could result in vertical concentration gradients of mud in rivers. Re-
 715 cently Lamb et al. (2020) analyzed disaggregated mud size and concentration profile data
 716 obtained from a range of lab and field measurements. They hypothesized that if floccu-
 717 lation of mud was present, this could be observed through vertical variations in mud con-
 718 centration of individual grain-size classes. That is, vertical variations in concentration
 719 would be present for size classes that would be expected to be distributed uniformly over
 720 the water column if no flocculation was present. They tested this hypothesis by analyz-
 721 ing individual grain-size classes from the mud size and concentration data, from the mul-
 722 tiple data sources, in a Rouse profile analysis to obtain effective settling velocities for each
 723 grain-size class. Their results indicated that mud effective-settling velocities range from
 724 0.17 to 0.70 mm/s, with a geometric mean of 0.34 mm/s. This range of settling rates is
 725 in agreement with the 0.2 to 0.6 mm/s settling velocity of mud calculated in this study
 726 from direct observations of mud floc sizes in the lowermost Mississippi River during the
 727 summer and winter.

728 The settling velocities calculated from observed sizes well matched those calculated
 729 from the Rouse profile analysis for all summer survey locations and the BCS during winter.
 730 Therefore we conclude that flocculated mud was the primary driver of the observed
 731 concentration gradients during summer. However, estimated settling velocity from the
 732 Rouse profile during winter at VMC and SWP1 produced settling velocities that far ex-
 733 ceeded those of summer and produced a significant mismatch between the Rouse pro-
 734 file estimated settling velocity and bulk settling velocity calculated from the floc size dis-
 735 tributions (when using w_s model coefficients of $b_1 = 100$, $b_2 = 0$, and $n_f = 2.5$). Pos-
 736 sible explanations for the mismatch at least include: (1) the assumption that form drag
 737 is insignificant is incorrect and should be accounted for in the shear velocity; (2) the pos-
 738 sibility that a significantly more free or floc-bound silt was present in winter relative to
 739 summer; and (3) the mud bed observed at VMC and SWP1 during the winter was net
 740 erosional as river discharge increased over the course of the survey, violating the Rouse
 741 profile assumption that erosion of the bed is in equilibrium with deposition and thereby
 742 resulting in higher near-bed concentrations than would be expected under equilibrium
 743 conditions.

744 The assumption that the form drag component of shear velocity was negligible dur-
 745 ing the winter survey at VMC and SWP1 was made as a result of not observing large-
 746 scale bedform contours from single-beam sonar images observed while onboard the re-
 747 search vessel. If the skin friction component of shear velocity at VMC and SWP1 dur-
 748 ing the winter was calculated with equation 9, the values associated with the stations
 749 would decrease to 0.023 m/s and 0.018 m/s. Applying this decrease in shear velocity to
 750 the Rouse profile analysis reduces the estimated settling velocities to 1.07 and 1.32 mm/s
 751 for VMC and SWP1 during the winter survey — a nearly 54% decrease in estimated set-
 752 tling velocity at both stations. However, even if form stress was removed via equation
 753 9, w_s from the Rouse profile fit would still be significantly larger than those calculated
 754 from imaged particle sizes with the $b_1 = 100$ and $b_2 = 0$ coefficients.

755 During the winter survey, water column samples were filtered directly for concen-
 756 tration without sizing of the particles. Therefore, it is possible that a larger amount of
 757 free or floc-bound silt was present in the samples, thereby resulting in overall larger suspen-
 758 sion-average particle or floc density and higher settling velocities. From visual inspection of
 759 the images, we conclude that both free and floc-bound silt is present in suspension at
 760 all sampling locations during both summer and winter. Qualitatively, it did appear that
 761 there might have been a slightly larger volume of solid silt in the winter samples at VMC
 762 relative to that of summer. If true, the increase in silt content could be reflected in the
 763 increase in fit fractal dimensions at the site between summer and winter using the solid
 764 sand coefficients in the settling velocity equation and the Rouse profile measured settling
 765 velocity (Table 4). However, we were not able to rigorously quantify the amount of

766 free or floc-bound silt from the images. Therefore the visual-inspection observation re-
767 mains highly speculative and in need of other forms of quantitative assessment.

768 An additional possible explanation for the higher estimated settling velocity ob-
769 tained from the VMC and SWP1 data during the winter could be a result of net erosion
770 of the mud bed. If this is the case, the assumption made in the Rouse profile derivation,
771 that bed erosion and deposition are in equilibrium, would be violated and could lead to
772 concentrations near the bed that are higher than what would be present during equilib-
773 rium conditions. It was hypothesized that the mud bed at VMC and SWP1 observed
774 during the winter survey was deposited in the presence of a salt wedge that had migrated
775 upriver past these stations as a result of low river discharge proceeding the survey. In
776 the week leading up to the survey, river discharge had increased significantly and pushed
777 the salt wedge out of the main channel. This increase in discharge could potentially pro-
778 duce a high enough bed shear stress to cause net erosion of the bed.

779 5 Conclusions

780 This study presents the first direct measurements of floc sizes within the lowermost
781 freshwater reaches of the Mississippi River from the Bonnet Carré Spillway down through
782 the head of Southwest Pass. Measurements were made at different longitudinal, lateral,
783 and vertical positions within the river during summer 2020 and winter 2021 at a river
784 discharge of $\approx 19,000$ cms and average suspended sediment concentrations of ≈ 150 mg/l
785 in both surveys. At all sampling locations, suspended mud flocs comprised of clay and
786 silt were observed in both the winter and summer surveys. The exact proportion of the
787 mud which exists in flocculated form is difficult to determine, but flocs were the dom-
788 inant particulate form present in the images.

789 Depth-averaged floc sizes increased slightly moving longitudinally downriver as tur-
790 bulence levels dropped, but floc sizes varied little over the flow depth or laterally across
791 a cross-section. During the summer survey, mean floc sizes were observed to range from
792 75 to 200 μm . Whereas in the winter mean floc sizes ranged from 50 to 125 μm . Sus-
793 pended sediment concentration profiles were used along with a Rouse profile fit to cal-
794 culate an effective settling velocity of the suspension. Settling velocities calculated in this
795 way were well explained by the measured floc sizes and floc model coefficients of $b_1 =$
796 100 , $b_2 = 0$, and $n_f = 2.5$ during summer and winter at stations for which the bed
797 remained sandy (or for a model with $b_1 = 20$, $b_2 = 0.91$, and $n_f = 1.90$). However,
798 the measured floc sizes underestimated the settling velocities extracted from the concen-
799 tration profiles during the winter survey at the stations for which there was a thick layer
800 of unconsolidated mud with these same coefficients. To obtain particle-size derived set-
801 tling velocities that matched those from the profile analysis, the settling velocity model
802 coefficients had to be changed to $b_1 = 20$, $b_2 = 0.91$, and $n_f = 2.70$.

803 Overall, our study highlights that the majority of the mud (both silt and clay), in
804 both summer and winter, in the lowermost freshwater reaches of the Mississippi River
805 appears to be flocculated and that the floc size can be reasonably represented with a cross-
806 sectionally averaged value that is dependent on turbulent shear and season. Floc size ap-
807 pears to well explain vertical variations in mud concentration in summer but failed to
808 do so for the winter observations without changing of model coefficients. We suspect that
809 this change is possibly due to a larger fraction of free or floc-bound silt in suspension and/or
810 the presence of an actively eroding mud bed that results in disequilibrium conditions be-
811 tween erosion and deposition.

812 While these measurements point to the importance of flocculation in controlling
813 mud settling rates in the Mississippi River, they also highlight the need for additional
814 in-situ observations. More data is needed to fully understand the role of the hydrody-

815 namic, suspended sediment quantity and composition, organic material, and ions in con-
 816 trolling floc size and settling velocities within the fluvial environment.

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 822 Upon publication, data associated with this study will be available at [https://github](https://github.com/FlocData/Data-Osborn-et-al-Mississippi)
 823 [.com/FlocData/Data-Osborn-et-al-Mississippi](https://github.com/FlocData/Data-Osborn-et-al-Mississippi), or by contacting the correspond-
 824 ing author (strom@vt.edu).

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1043 Appendix A Equilibrium Floc Size Model Fit

1044 The equilibrium floc size model of Winterwerp (1998) for $d_{fe} = d_{fe}(C, G)$ takes
 1045 the following basic form:

$$1046 \quad d_{50} = d_p + \frac{k_A C}{k_B \sqrt{G}} \quad (\text{A1})$$

1047 where d_p is the disaggregated primary or constituent particle size, C is the mass con-
 1048 centration of sediment, and k_A and k_B are the aggregation and breakup coefficients de-
 1049 fined as:

$$1050 \quad k_A = \frac{k'_A d_p^{n_f - 3}}{n_f \rho_s} \quad (\text{A2})$$

1051 and,

$$1052 \quad k_B = \frac{k'_B}{n_f} d_p^{-p} \left(\frac{\mu}{F_y} \right)^q \quad (\text{A3})$$

1053 In Eq. A2 and A3, n_f is the fractal dimension of the flocs, ρ_s density of the dry unfloc-
 1054 culated sediment, μ is the dynamic viscosity of the water, F_y is the yield strength of the
 1055 flocs, k'_A and k'_B are aggregation and breakup efficiency coefficients, and p and q are model
 1056 parameters. Through a scaling argument, p is typically taken to be $p = 3 - n_f$ (Winterwerp,
 1057 1998; Kuprenas et al., 2018). And following the reasoning of Kuprenas et al. (2018) and
 1058 set q to be a simple function of the size of the flocs relative to the Kolmogorov microscale,
 1059 $\eta = \sqrt{G/\nu}$:

$$1060 \quad q = c_1 + c_2 \frac{d_{50}}{\eta} \quad (\text{A4})$$

1061 where c_1 and c_2 are constant coefficients. The proposed formulation ensures k_B increases
 1062 as d_{50} approaches η .

1063 For the fit to the Mississippi River data, we used the profile averaged measurements
 1064 of d_{50} , concentration, and G ; depth-averaged G was estimated from the data using $G =$
 1065 $\sqrt{U u_*^2 / (\nu H)}$. Water density and viscosity were set based on water temperature and salin-
 1066 ity of zero. Other model coefficients used included: $d_p = 6 \mu\text{m}$, $\rho_s = 2650 \text{ kg/m}^3$, $F_y =$
 1067 10^{-10} N , $c_1 = 0.5$, $c_2 = 1.5$, and $n_f = 2$. Reasonable values for k'_A/k'_B needed to de-
 1068 scribe the data under these conditions were $k'_A/k'_B = 1.5 \times 10^5$ during summer and
 1069 $k'_A/k'_B = 3.0 \times 10^4$ during winter. These ratios are used to produce the fit lines of Fig.
 1070 7.