

1 **Precipitating electron energy spectra and auroral**
2 **power estimation by incoherent scatter radar with high**
3 **temporal resolution**

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11 **Key Points:**

- 12 • We use the BAFIM-ELSPEC analysis combination to calculate the energy spec-
13 tra of precipitating electrons with high time resolution (4 s).
14 • Using the true electron density data in precipitation events leads to wider energy
15 spectra, and larger auroral power and FAC estimates.
16 • Auroral power calculated using the BAFIM-ELSPEC analysis agrees very well with
17 that of the 427.8 nm emission line intensity.

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18 **Abstract**

19 High-resolution incoherent scatter radar (ISR) observations of the E region elec-
 20 tron density are customarily based on raw electron density, because accuracy of the high-
 21 resolution incoherent scatter spectra are typically insufficient for a full fit of plasma pa-
 22 rameters using existing data analysis tools. Raw densities have also been used for elec-
 23 tron precipitation energy spectrum inversion from ISR data. In this study, we use the
 24 Bayesian Filtering Module (BAFIM) to fit the true E region electron density, electron
 25 and ion temperatures, and ion velocity to ISR data with high resolutions in time and range,
 26 4 s and 1.8 km correspondingly. We use the EISCAT UHF radar observation of an auro-
 27 ral event on March 09, 2016 over Tromsø. Both the raw electron density and the true
 28 one are then inverted into electron energy spectra using the ELSPEC software. Usage
 29 of true electron densities leads to wider electron energy spectra, auroral power up to 75%
 30 larger and upward field-aligned current up to 90% larger than usage of raw electron den-
 31 sities. The largest differences take place for precipitation activities which produce en-
 32 hanced electron heating in the upper E region and in this study correspond to flux of
 33 electrons with peak energies from 3 to 5 keV. Finally, we compare the auroral power es-
 34 timates calculated using BAFIM-ELSPEC analysis to the 427.8 nm auroral emission in-
 35 tensity (proportional to the total energy flux) and find a good agreement.

36 **1 Introduction**

37 Night time ionization enhancements in the high-latitude E region ionosphere are
 38 caused by electron precipitation through impact ionization of neutral atoms and molecules.
 39 An optical signature of the electron precipitation are auroral emissions, which are pro-
 40 duced when excited atoms, molecules, and ions return to their ground states. Electron
 41 precipitation is quantitatively characterized by the energy distribution of the primary
 42 electrons. Electron acceleration processes in the magnetosphere that lead to different en-
 43 ergy spectral shapes are discussed by Newell et al. (2009) and Dombek et al. (2018).
 44 For a known differential energy flux, altitude profiles of ion production rate and auro-
 45 ral emission rates can be determined if the neutral atmospheric parameters are known
 46 (Rees, 1963; Fang et al., 2010).

47 Indirect estimation of the differential energy flux from electron density altitude pro-
 48 files observed with an incoherent scatter radar (ISR) is an efficient way to observe elec-
 49 tron precipitation from ground (Vondrak & Baron, 1977; Kirkwood, 1988; Brekke et al.,
 50 1989; Semeter & Kamalabadi, 2005; Kaeppler et al., 2015; Simon Wedlund et al., 2013;
 51 Virtanen et al., 2018). Unlike in situ observations with fast moving satellites and rock-
 52 ets, the radar observations allow one to follow the time evolution of the electron precip-
 53 itation along the local geomagnetic field.

54 Two different analysis techniques are commonly used to obtain electron densities
 55 from an ISR observation. Scaling the backscattered power with radar system paramet-
 56 ers results in the so-called raw electron density (N_r), which is equal to the actual elec-
 57 tron density (N_e) if electron and ion temperatures are equal. A more sophisticated way
 58 is to make a least-squares fit of a parametric incoherent scatter spectrum model to the
 59 observed spectra. Typically, electron density (N_e), electron temperature (T_e), ion tem-
 60 perature (T_i), and line-of-sight ion bulk velocity (V_i) are fitted.

61 The electron density profiles need to be observed with high resolutions in range and
 62 time to enable accurate estimation of the rapidly varying electron energy spectra. While
 63 plasma parameter fits to EISCAT ISR data are typically made with a few kilometer range
 64 resolution and some tens of seconds time resolution using the Grand Unified Incoher-
 65 ent Scatter Design and Analysis Package (GUISDAP) (Lehtinen & Huuskonen, 1996),
 66 the electron energy spectra fits require range resolution better than 2 km (Semeter &
 67 Kamalabadi, 2005) and time resolution of the order of five seconds (Virtanen et al., 2018).

Since other high-latitude ISR facilities cannot produce better resolutions either, previous high time resolution energy spectrum fits have been based on raw electron densities (Burns et al., 1990; Lanchester et al., 1994, 1996, 1997; Semeter & Kamalabadi, 2005; Dahlgren et al., 2011; Virtanen et al., 2018), while the fitted electron densities have been used with 1 min or coarser resolutions (Hargreaves & Devlin, 1990; Kirkwood & Eliasson, 1990; Strickland et al., 1994; Fujii et al., 1995; Osepian & Kirkwood, 1996; Kosch et al., 2001; Kaeppler et al., 2015). However, the electron precipitation tends to heat the electron gas, which makes the implicit assumption of $T_e = T_i$ questionable in calculation of N_r . The raw density N_r is smaller than the actual density N_e if $T_e > T_i$, which may lead to underestimation of the precipitating energy flux if N_r is used in electron energy spectrum fits.

The aim of this study is to perform full four-parameter fits to the observed incoherent scatter spectra with 4 s time resolution and 1.8 km range resolution and to use the actual fitted N_e in high-resolution electron energy spectrum fits for the first time. The high-resolution plasma parameter fit is possible with the newly developed Bayesian Filtering Module (BAFIM) (Virtanen et al., 2021), and electron energy spectra are fitted to the observed electron density profiles using the ELSPEC software (Virtanen et al., 2018). The BAFIM-ELSPEC analysis combination will be applied to an auroral event that comprises wide range of auroral features. By comparing the ELSPEC analysis results obtained from the fitted and raw electron densities, we will study effects of enhanced electron heating on the calculated energy spectra of the precipitating electrons.

To validate our auroral power estimates, we will compare the auroral power (total energy flux) calculated from BAFIM-ELSPEC analysis combination with that of the 427.8 nm spectral (blue) line emission intensity of the N_2^+ molecular ions. Previous studies have shown direct proportionality between line of sight integrated blue line emission intensity and total energy flux of the precipitating electrons (Omholt, 1971; Rees & Luckey, 1974; Strickland et al., 1989; Partamies et al., 2004). Recently, Kaeppler et al. (2015) have made such comparisons in which the energy flux is calculated from a fitted electron density data with 4.5 km range, and 1 and 3 min time resolutions which are much coarser than our ISR data analysis results. Their focus was on stable auroral features.

The paper is organized as follows; data and measurements are introduced in Section 2, the auroral event is described in Section 3, the radar data analysis and the effect of electron heating are discussed in Section 4, and auroral power derived from the radar observations is compared to optical observations in Section 5. Discussion and summary are presented in Section 6.

2 Data and Measurements

The active auroral event presented in this study took place on 9 March 2016, 19:40 - 23:40 UT over Tromsø, Norway. The EISCAT UHF radar near Tromsø (69.58°N, 19.23°E Geodetic, and 66.67°N, 101.41°E Geomagnetic) was pointed along the local geomagnetic field and it was running the 'arcl' experiment, which uses a 64-bit alternating code sequence (Lehtinen & Häggström, 1987) with 6 μ s bit length. The 128 pulses of the code sequence were transmitted with 3.468 ms inter-pulse periods, and autocorrelation function data decoded to 900 m range resolution were stored from each 443.9 ms long pulse sequence. In this study we use data integrated to 4 s time resolution.

In addition to the ISR observations, we characterize the dynamics of the observed auroral structures using all-sky camera (ASC) and narrow field of view optical observations. All-sky images of the auroral green line emission (557.7 nm) with 1 s time resolution are obtained from the Watec monochromatic imager (WMI) (Ogawa et al., 2020) located at the EISCAT Tromsø radar site. In addition, we use an EMCCD imager located at the radar site (Nel et al., 2021) for narrow field of view (FoV) observations of

118 small-scale auroral structures around and within the radar beam. The detector images
 119 auroral emissions at wavelength 427.8 nm from relaxation of the N_2^+ molecules with
 120 a 30° FoV and 3 s exposure time. The camera system was pointing to the geomagnetic
 121 zenith.

122 Local and global auroral electrojet indices are also used to monitor the geomag-
 123 netic activity. Geomagnetic field data obtained from the IMAGE network of magnetome-
 124 ters are used to derive local auroral electrojet indices IL (Kallio et al., 2000). Finally,
 125 an induction coil magnetometer at Kilpisjärvi ($69.06^\circ N$, $20.77^\circ E$ Geodetic, and $66.07^\circ N$,
 126 $102.30^\circ E$ Geomagnetic) is used to monitor the geomagnetic pulsation activity.

127 3 Event Description

128 Overview of the event is given in Figure 1. A time lapse video of the ASC and nar-
 129 row FoV auroral images is provided as a supplementary material. The raw electron den-
 130 sity obtained from the EISCAT UHF radar observation is placed in the first panel of the
 131 figure. Keograms produced from the North-South cut of the narrow FoV and ASC au-
 132 roral images over the radar zenith are shown in the second and third panels, respectively.
 133 We make coordinate transformation at 110 km to calculate the latitudes for the keograms.
 134 The horizontal white lines in the keograms represent position of the radar beam. Power
 135 spectra of geomagnetic pulsations, and local (IL) and global (AL) auroral electrojet in-
 136 dices are shown in the fourth and fifth panels, respectively. Selected all-sky auroral im-
 137 ages are shown in Figure 2. Magnetic midnight at Tromsø is at about 21:30 UT.

138 At 19:30 UT there were several faint arcs in the FoV of the ASC which later drifted
 139 equatorward. After few minutes, at about 19:39 UT, the first signature of an intensifi-
 140 cation of an arc is seen in the eastern horizon. At about the same time, the peak frequency
 141 in the pulsation power spectrum jumps from below 1 mHz to about 0.5 Hz, which in-
 142 dicates development of PiB pulsations (McPherron, 2005; Olson, 1999). PiB pulsation
 143 development and sudden brightening of auroral arcs are typical indicators of substorm
 144 activation (Sakurai & Saito, 1976; Mishin et al., 2020). The IL and AL indices decrease
 145 abruptly at about 19:40, which is another indication of substorm onset (Tanskanen, 2009;
 146 Hsu & McPherron, 2012).

147 Based on the AL index, three relatively small substorm onsets took place during
 148 the studied time interval with rough onset times at 19:40, 21:30 and 22:40 UT. During
 149 the first substorm, the IL index remained much smaller than the AL index indicating
 150 that the substorm onset region was not in Scandinavia, but rather to the east, closer to
 151 magnetic midnight.

152 In addition to the continuous PiB activity, magnetic pulsations show signatures of
 153 Pc1 pulsations near 1 Hz, which are produced by protons injected to the inner magne-
 154 tosphere and interacting with ion cyclotron waves (Saito, 1969). It is probable that this
 155 injection is a consequence of substorm onset.

156 The radar starts recording large ionization enhancements after 19:44 UT when the
 157 most equatorward arc, which was within the radar beam, became bright. This wide bright
 158 auroral arc stays within the beam until 19:51 UT, as shown in auroral images A and B
 159 of Figure 2, and produces the first period of enhanced ionization between 19:44 and 19:51 UT.
 160 The arc then fades in its intensity, and by 19:52 UT it is substituted by several east-west
 161 aligned arcs forming together a bright bulge that expands poleward. Selected images of
 162 the bulge are shown in panels C and D of Figure 2.

163 Probing the poleward advancing bulge, the radar measures an ionization enhance-
 164 ment between 19:52 and 20:00 UT. The bulge leaves the radar beam at about 20:01 UT
 165 and continues expanding poleward until 20:04 UT. Then it starts to retreat from its pole-
 166 ward extent and advances equatorward across the radar beam. As indicated in auroral

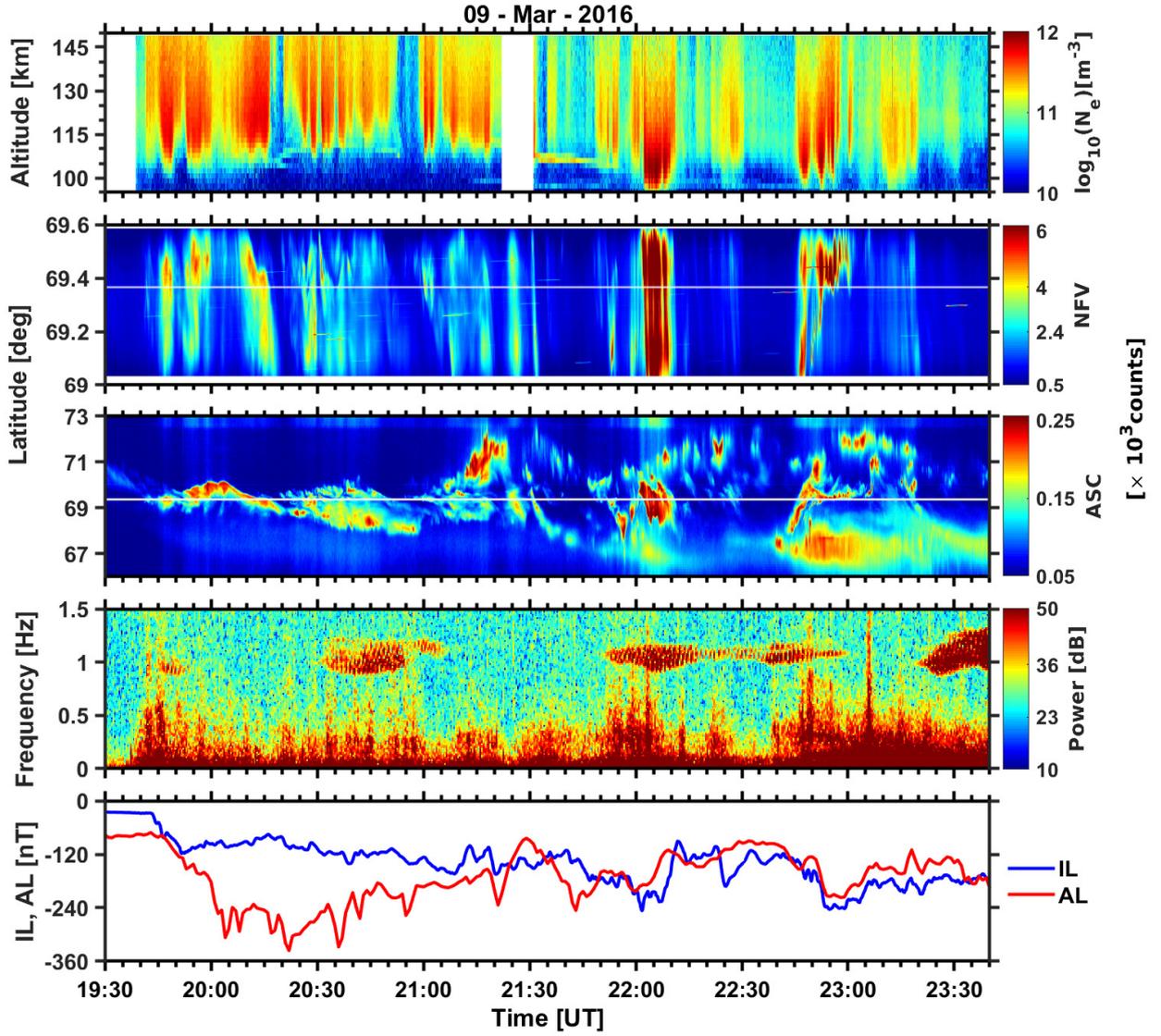


Figure 1. An overview of the auroral event. Panels from top to bottom: raw electron density, narrow FoV keogram (427.8 nm), ASC Keogram (557.7 nm), geomagnetic pulsation spectrogram, and IL and AL electrojet indices.

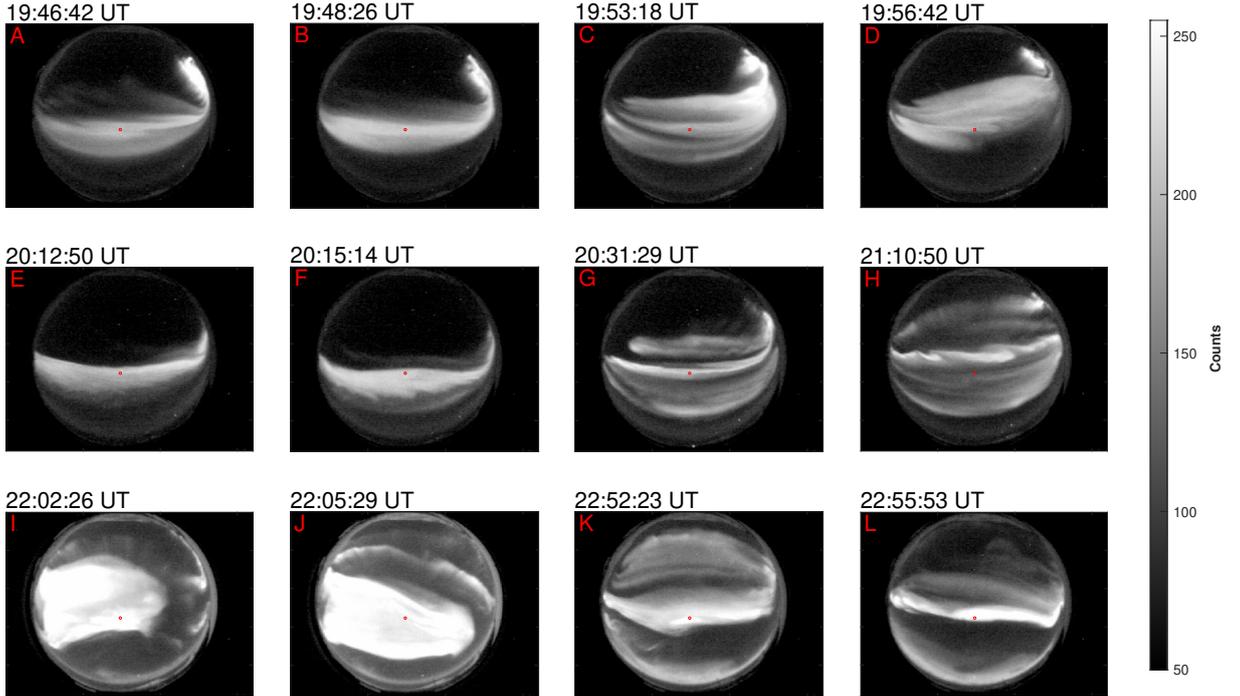


Figure 2. Selected auroral images taken from the ASC camera observation on 9 March, 2016. The radar beam is shown as a red dot in the images. North is up and east is to the right.

167 images E and F of Figure 2, the radar was observing the equatorward moving arc between
 168 20:07 and 20:16 UT to produce the third ionization enhancement. The east-west
 169 aligned arc continued drifting equatorward and left the radar beam at about 20:16:30 UT.

170 At about 20:22 UT, the AL index attained its minimum value of about -350 nT,
 171 indicating the end of the first substorm expansion phase. During the first substorm re-
 172 recovery phase, between 20:20 and 21:30, multiple auroral features with folds and curls are
 173 created across the auroral oval as shown in the ASC and narrow FoV keograms in Fig-
 174 ure 1. Sample auroral images taken from this time interval are shown in panels G and
 175 H of Figure 2. When these auroral structures perform radar beam crossings, series of sev-
 176 eral short lived ionization enhancements are produced between 20:20 and 21:20 UT.

177 During the first substorm, all the arcs were streaming mostly towards the west. Counter-
 178 streaming arcs were also observed so that in the northern part the streaming was to the
 179 east and in the southern part towards the west, indicating converging electric field struc-
 180 ture and auroral potential drop above the the ionosphere (Carlson et al., 1998; Aikio et
 181 al., 2002). Spectra of the electron flux corresponding to the arcs will also show inverted-
 182 V type structures, as we will see later.

183 Following break up of the second substorm at about 21:30 UT, a bright auroral fea-
 184 ture is seen in the north-west horizon of the ASC at about 21:35 UT, indicating the on-
 185 set region of this substorm was to the west of Tromsø. After the onset, the streaming
 186 inside the arcs becomes mainly eastward. Eventually a bright auroral bulge, expanding
 187 to the ASC FoV from the west, is formed at about 21:57 UT. Selected images of the bulge
 188 are shown in panels I and J of Figure 2. When the bulge passes through the radar beam
 189 at about 21:59, it produces an electron density enhancement characterized by largest peak

190 electron densities (in the order of 10^{12} m^{-3}) and lowest peak altitudes (about 100 km)
 191 of the event. The narrow FoV and ASC keograms show that the radar beam was at the
 192 center of this intensifying bulge from 22:00 until 22:11 UT. The auroral bulge fades in
 193 its intensity by 22:12. After that and until 22:40 several auroral features with varying
 194 luminosity are observed across the horizon of the ASC.

195 The AL index shows that onset of the third substorm occurs at about 22:40 UT.
 196 Indeed, at 22:39 UT an auroral arc was observed intensifying from west to east in the
 197 very southern horizon of the ASC, indicating the onset was to the west of Scandinavia.
 198 Auroral images K and L of Figure 2 show that the radar measured the last ionization
 199 enhancement between 22:44 and 22:56 UT when these auroral arcs drift poleward. ASC
 200 and narrow FoV keograms indicate that the radar was observing the equatorward edge
 201 of an east west aligned auroral arc between 22:53 and 22:56 UT.

202 In addition to ionization by precipitating electrons, sporadic E layers can be seen
 203 during two time intervals in the electron density plot. The first sporadic E layer is ob-
 204 served between 20:20 and 20:52 UT, and the second one is between 21:30 and 21:48 UT.

205 4 Electron Energy Spectrum Analysis

206 The analysis method we use to calculate the differential electron energy flux from
 207 the EISCAT UHF ISR data consist of two steps. First, plasma parameters are fitted to
 208 the incoherent scatter data with 4 s time resolution and 1.8 km range resolution using
 209 the combination of GUIDAP (Lehtinen & Huuskonen, 1996) and BAFIM (Virtanen et
 210 al., 2021). Second, the fitted electron density altitude profiles are inverted into differ-
 211 ential energy fluxes of precipitating electrons using the ELSPEC software (Virtanen et
 212 al., 2018). In this section, we introduce the analysis methods and address the effect of
 213 electron heating on the raw electron density N_r for the first substorm discussed in Sec-
 214 tion 3. We consider both the bias in raw electron density and its effects on the electron
 215 energy spectrum fits.

216 4.1 Fitted and raw electron densities

217 In the traditional 'gated' incoherent scatter plasma parameter fits, one averages
 218 the incoherent scatter autocorrelation function (ACF) over selected intervals in range
 219 and time, and fits the plasma parameters to the averaged autocorrelation functions in
 220 each range-gate and time-step. Each fit is independent of the others and one cannot in-
 221 clude prior information about shape of the plasma parameter profiles, or about their ex-
 222 pected temporal variations. Statistical accuracy of the fitted plasma parameters depends
 223 on the resolutions, since accuracy of the observed ACF is improved with increasing in-
 224 tegration in time or range. The standard GUIDAP analysis of EISCAT radar data uses
 225 the gated analysis principle. Accuracy of the GUIDAP fit results depends also on the
 226 level of ionization in the observed region, which affects the signal-to-noise ratio. Although
 227 E region electron density is typically high during active aurora, resolutions needed to fol-
 228 low the associated rapid variations in electron energy spectra are practically out of reach
 229 of the standard four-parameter fits of N_e , T_e , T_i , and V_i with GUIDAP.

Due to the limitations of the four-parameter fits, the high-resolution electron den-
 sity observations are typically based on the raw electron density, which is the backscat-
 tered signal power multiplied with radar system parameters. Assuming that the Debye
 length is much smaller than the radar wavelength, which is a well justified assumption
 in E region observations with the EISCAT UHF radar, the relation between the raw den-
 sity N_r and the actual density N_e can be written as (Baron, 1977; Semeter & Kamal-
 abadi, 2005),

$$N_r = \frac{2N_e}{(1 + T_r)}, \quad (1)$$

230 where $T_r = T_e/T_i$. Obviously, $N_e = N_r$ when $T_r = 1$, but $N_e > N_r$ when $T_r > 1$. For
 231 example, $N_e = 1.5 \cdot N_r$ if $T_r = 2$, which is not an unusual temperature ratio in the
 232 upper E region during electron precipitation. Auroral events with enhanced E region elec-
 233 tron temperature have been investigated e.g. by Wickwar et al. (1981), who found that
 234 the electron density altitude profiles calculated with correct temperature ratio have lower
 235 peak altitudes and greater peak electron densities than those of the raw electron den-
 236 sity altitude profiles.

237 An alternative to the gated analysis is the full-profile analysis (Holt et al., 1992;
 238 Lehtinen et al., 1996; Hysell et al., 2008), in which one fits full range-profiles of plasma
 239 parameters. The full-profile analysis allows one to include prior information about the
 240 plasma parameter profiles, but it is also computationally heavier than the gated anal-
 241 ysis. The Bayesian Filtering Module (BAFIM) (Virtanen et al., 2021) is an extension
 242 module to GUISDAP, which allows one to include prior information about plasma pa-
 243 rameter gradients in both range and time in gated GUISDAP analysis. BAFIM thus ex-
 244 tends the idea of full profile analysis to smoothness in both range and time, but with-
 245 out increasing the computational burden of the gated analysis. BAFIM introduces cor-
 246 relations in between adjacent range-gates and time-steps in a way that leads to effectively
 247 coarser resolutions in range and time than those defined by the range-gates and time-
 248 steps. Since the correlations are defined for each plasma parameter separately, one can
 249 use effectively coarser resolutions for T_e , T_i , and V_i , but fit the electron density N_e with
 250 the best possible resolution. The assumption of smoothness in the T_e and T_i profiles is
 251 justified in field-aligned observations, because the high mobility along the magnetic field
 252 prevents generation of large field-aligned temperature gradients in the upper E and lower
 253 F regions.

254 4.2 High resolution plasma parameter fit with BAFIM

255 For this study, we ran a BAFIM fit of N_e , T_e , T_i , and V_i on the EISCAT UHF radar
 256 data with 1.8 km range steps and 4 s time steps. BAFIM was tuned so that the "effec-
 257 tive" time and range resolutions of N_e are very close to the time and range steps, while
 258 resolutions of the other plasma parameters are effectively coarser. Interested readers are
 259 referred to Table 1 of Virtanen et al. (2021) for the values of the tuned analysis param-
 260 eters and their physical meanings. For this particular study, however, we changed the
 261 electron density correlation length (s^h) and process noise (s^t) scaling parameters to 0.1
 262 and $1.0 \cdot 10^{12} \text{ m}^{-3} \text{ s}^{-1/2}$, respectively. The 1.8 km resolution was chosen, because it pro-
 263 duces better temperature estimates than the 0.9 km resolution, and the change from 0.9 km
 264 to 1.8 km resolution did not affect results of the subsequent ELSPEC analysis. The raw
 265 electron density is first calculated from the same data with 0.9 km range resolution and
 266 4 s time resolution, and then integrated in range to 1.8 km range resolution to match
 267 the corresponding resolution of the BAFIM analysis. The alternating code experiment
 268 does not provide true backscattered powers, but the raw density is calculated from a short
 269 non-zero lag of the autocorrelation function.

270 In order to demonstrate the bias in the raw electron density and its subsequent ef-
 271 fect on the energy spectra analysis, we choose for the analysis expansion phase of the
 272 first substorm during which pronounced electron heating was observed. The electron den-
 273 sity (N_e) and temperature ratio (T_e/T_i) fit results obtained from the BAFIM analysis
 274 are placed in the first and fourth panels of Figure 3, respectively. The raw electron den-
 275 sity (N_r) is shown in second panel of the figure. The difference $N_e - N_r$ is shown in the
 276 third panel. As shown in the first and second panels of Figure 3, three intervals of en-
 277 hanced ionization can be identified from the electron density plots, which are associated
 278 to specific auroral features discussed in Section 3.

279 As shown by the bottom panel of the figure, time intervals with $T_r > 1$ match with
 280 the periods of enhanced ionization. These concurrent enhancements indicate that the

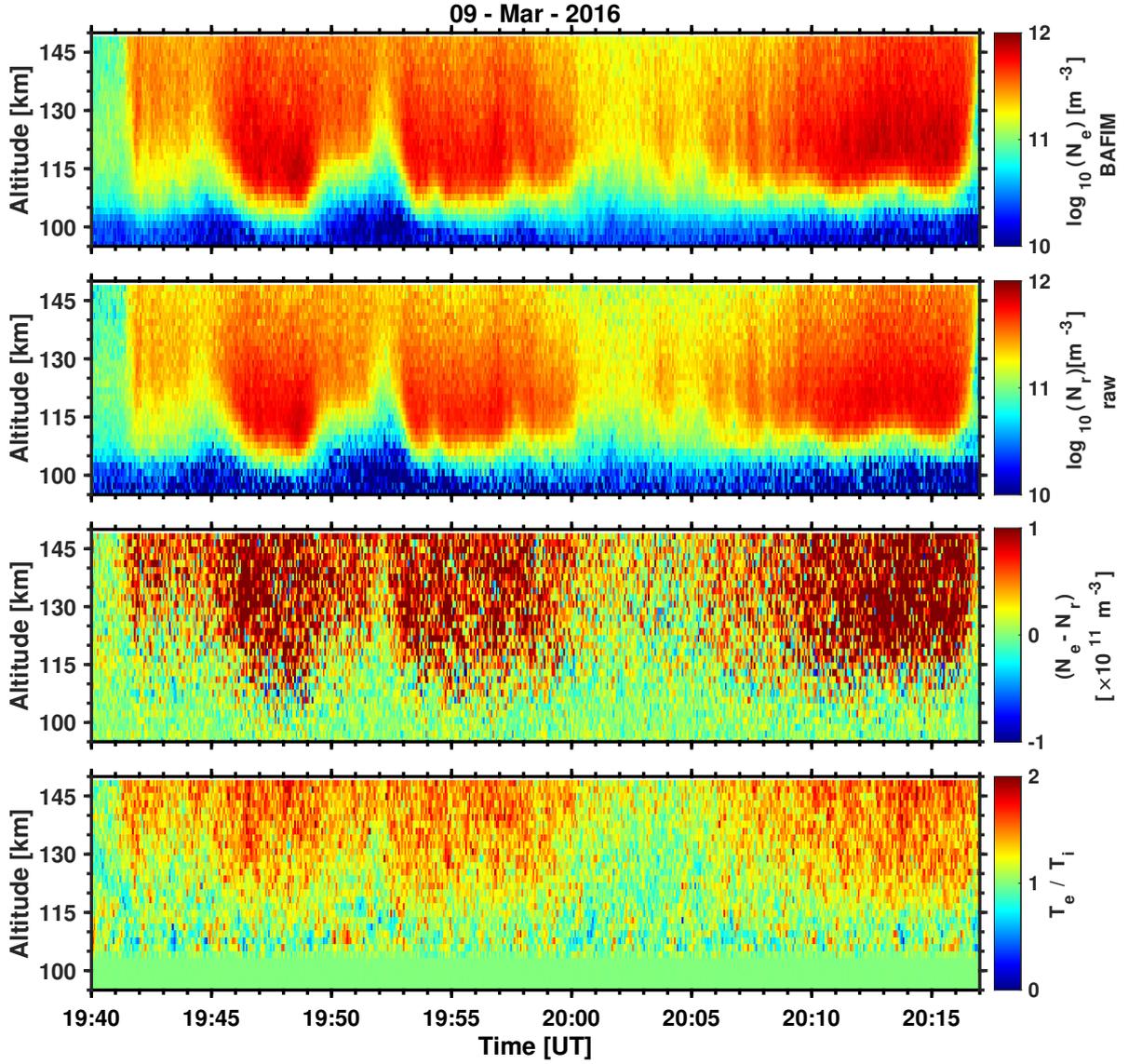


Figure 3. Comparison of the raw electron density N_r and the BAFIM-fitted density N_e . Panels from top to bottom: BAFIM N_e , raw density N_r , Difference $N_e - N_r$, and temperature ratio T_e/T_i from the BAFIM fit.

energy deposited during the course of precipitation is the cause of the observed electron gas heating. A well established elevation in the electron temperature ($T_r > 1$) can be identified, on average, above about 115 km during all periods of enhanced ionization. In addition, T_r is shown to increase substantially with altitude to values greater than 1.5 above 130 km during each period of enhanced ionization. Below 103 km we do not fit the temperature ratio, rather we assume $T_r = 1$, which is a valid assumption since collision balances the ion and electron temperatures at these altitudes.

The third panel of Figure 3 shows significant differences between the BAFIM electron density and raw electron density estimates during times of electron heating, i.e. when $T_r > 1$. Substantial difference (of the order of 10^{11} m^{-3}) can be identified down to 115 km altitude during each period of enhanced ionization. The observed differences increase substantially with altitude and reach about 50% close to 150 km altitude. On the other hand, although the ionization enhancements extend down to 100 km altitude, the difference between BAFIM-fitted and raw electron densities is insignificant below 115 km. This is because frequent collisions balance the electron, ion, and neutral temperatures at these altitudes.

4.3 Electron energy spectrum fit with ELSPEC

We use the ELSPEC software (Virtanen et al., 2018) to invert the electron density altitude profiles into differential number flux of the precipitating electrons. ELSPEC solves the electron continuity equation that involves the ion production (Q) and loss ($L = \alpha N_e^2$) rates,

$$\frac{dN_e}{dt} = Q - \alpha N_e^2. \quad (2)$$

The ion production rate Q as function of differential electron number flux is calculated using the model of Fang et al. (2010). The effective recombination coefficient α is a function of ion composition and electron temperature (Sheehan & St.-Maurice, 2004). ELSPEC assumes constant electron flux and recombination coefficient during a radar integration time (4 s in our case), and integrates the modeled electron density as function of time from the continuity equation. The differential electron number flux is then solved by means of minimizing the difference of the modeled density profile averaged over a radar integration, and the observed electron density profile. The technique is targeted for auroral electrons with energies between 1 and 100 keV, which ionize the atmosphere between 80 and 150 km altitudes.

Originally, ELSPEC used raw electron densities (N_r) as input to high resolution analysis, because the four-parameter fits were not possible with high resolutions. The electron temperature data needed for the recombination speed calculations was taken from standard GUIDAP fits with 60 s time and a few km range resolutions, and interpolated to the time and range resolutions of the raw electron density. In this study, we use the BAFIM-fitted high-resolution (4 s/1.8 km) N_e and T_e as inputs to ELSPEC for the first time. In order to study how much the fitted energy spectra change when the raw electron density N_r is replaced with the fitted N_e , we ran the ELSPEC analysis also with the raw density N_r as input.

4.4 Effect of electron heating on energy spectrum fit

We applied the ELSPEC analysis on the raw and BAFIM-fitted electron densities shown in Figure 3 to demonstrate the effect of electron heating on the electron energy spectra fits. This time interval corresponds to the expansion phase of the first substorm during which enhanced electron gas heating was observed for several minutes. Figure 4 shows comparison of ELSPEC fit result with raw density N_r and fitted density N_e as inputs. From top to bottom, the panels are the BAFIM-fitted electron density (N_e), the differential energy flux inverted from the BAFIM N_e (I_b), the differential energy flux in-

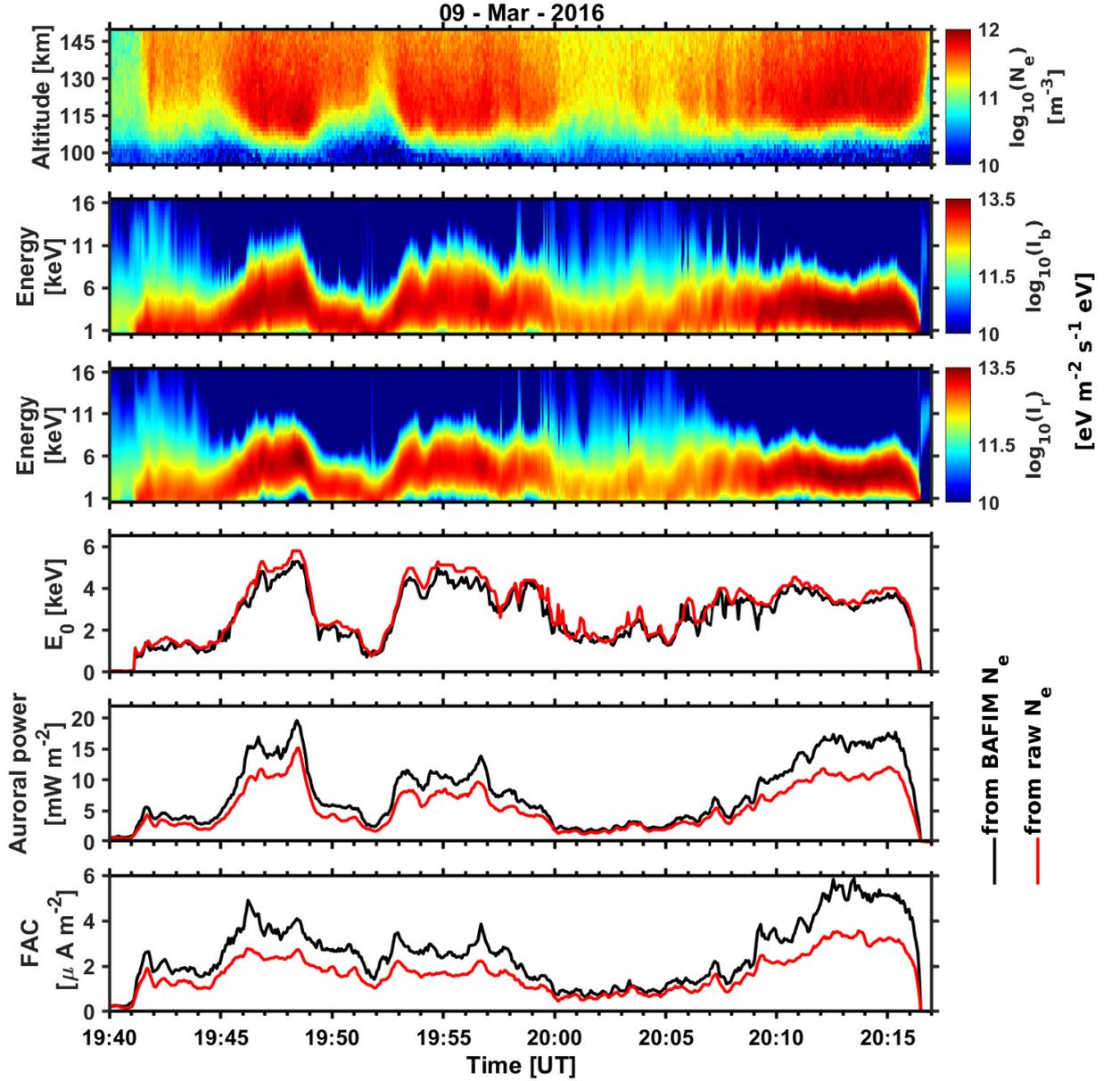


Figure 4. Comparison of ELSPEC fit results using raw density N_r and the BAFIM-fitted density N_e as inputs. Panels from top to bottom: The BAFIM-fitted N_e , the differential electron energy fluxes inverted from the BAFIM-fitted N_e (I_b) and raw density (I_r), peak energies (E_0), auroral powers, and field-aligned currents (FAC). In panels 4-6, the black and red curves correspond to the BAFIM-fitted and raw electron density results, respectively.

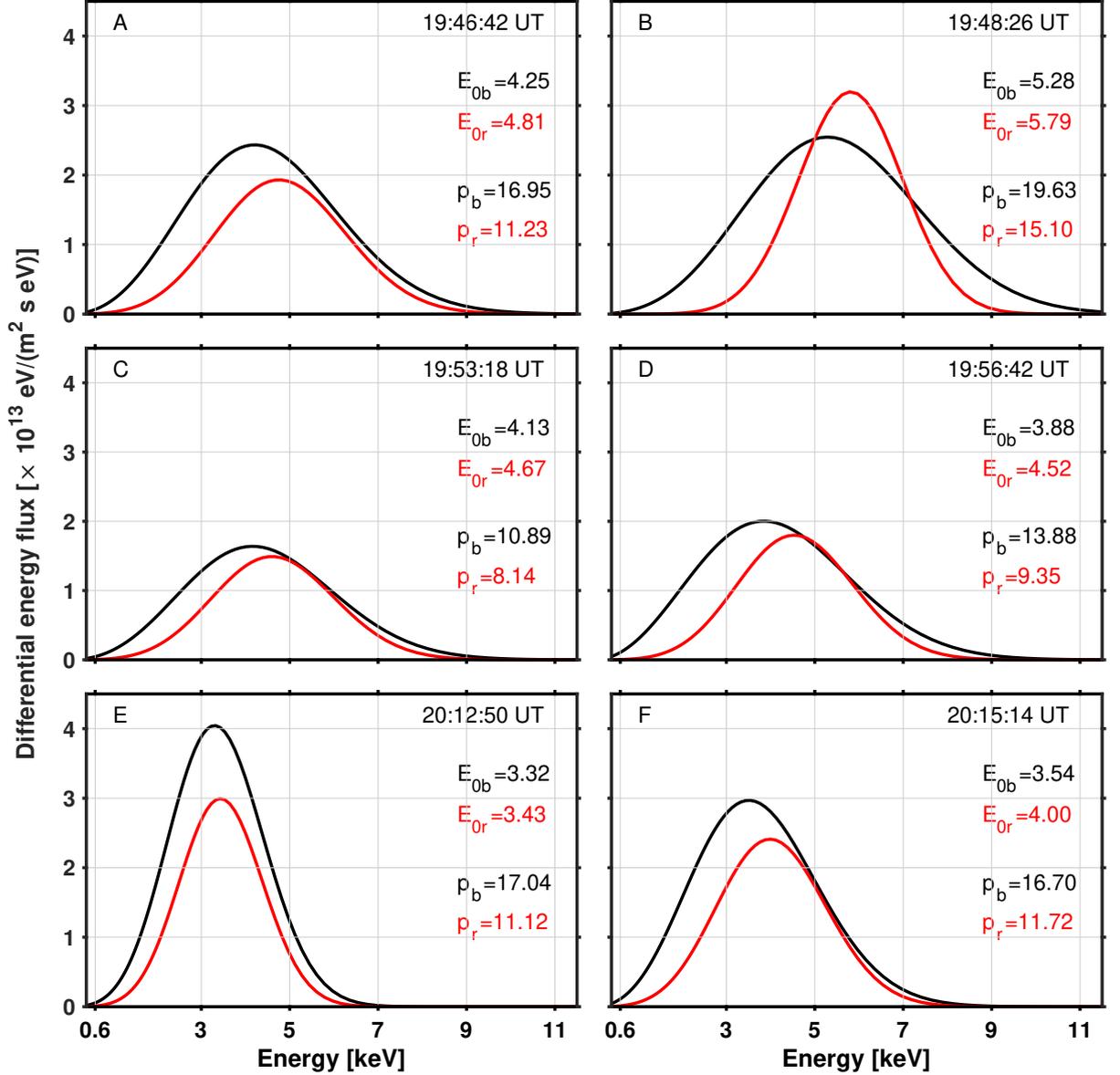


Figure 5. Comparison of selected differential energy flux estimates calculated from BAFIM-fitted electron density N_e (black) and raw electron density N_r (red). E_{0b} and P_b are the peak energies (in keV) and auroral power estimates (in mWm^{-2}) calculated from the BAFIM-fitted N_e . E_{0r} and P_r are the corresponding quantities calculated from the raw density N_r .

325 vorted from the raw density N_r (I_r), peak energy (E_0), auroral power, and field aligned
 326 current (FAC). The peak energy is the energy at which the differential energy flux reaches
 327 its maximum value. The auroral power, which is equal to the total energy flux, is cal-
 328 culated by integrating the differential energy flux over all energies above 1 keV. The field
 329 aligned current is proportional to the total number flux, which is calculated by integrat-
 330 ing the differential number flux. The FAC estimate represents the upward electric cur-
 331 rent carried by the downward precipitating electrons.

332 Comparing the second and third panels of Figure 4, wider energy distribution is
 333 observed in the energy spectra calculated from BAFIM N_e than in the one obtained from
 334 raw density N_r . Specifically, larger flux is observed in the lower energy ends of I_b than
 335 in that of I_r . On the other hand, the peak energy obtained from raw density N_r slightly
 336 exceeds the one obtained from BAFIM N_e (4th panel). This is another indication that
 337 the energy distribution obtained from BAFIM N_e tends to have a larger electron flux
 338 at its lower energy ends. The larger flux at lower energy ends of I_b account for $N_e >$
 339 N_r above 115 km altitude, as discussed in Subsection 4.2.

340 The 5th panel of Figure 4 shows a pronounced difference between the auroral power
 341 estimates during the first and last periods of enhanced ionization. In the first time pe-
 342 riod, between 19:45 and 19:48 UT, a difference of 5 mWm^{-2} is observed, whereas in the
 343 last time period, between 20:09 and 20:16 UT, the difference is about 6 mWm^{-2} . Dur-
 344 ing both periods, the auroral power calculated from the BAFIM-fitted N_e exceeds its coun-
 345 terpart calculated from raw density N_r by about 50%. The 6th panel of Figure 3 shows
 346 a significant difference between the FAC estimates for a longer period of time, between
 347 19:45 and 19:57 UT. Within the given time interval, the difference between the FAC es-
 348 timates is about $2 \mu\text{Am}^{-2}$ (70%). Between 20:09 and 20:15 a $2.6 \mu\text{Am}^{-2}$ (65%) differ-
 349 ence is observed. In general the total energy flux and number flux estimates obtained
 350 from BAFIM N_e exceed those obtained from raw density N_r during each period of en-
 351 hanced ionization. Detailed distribution of the differences in auroral power and FAC es-
 352 timates across the entire data will be discussed in Subsection 4.5.

353 Figure 5 shows line plots of selected differential energy flux estimates derived from
 354 the BAFIM-fitted N_e (black) and raw density N_r (red). The line plots in panels A -F
 355 of the figure show energy spectra of the precipitating electrons that produce the corre-
 356 sponding auroral arcs shown in panels A - F of Figure 2. The peak energies (in keV) and
 357 auroral power estimates (in mWm^{-2}) at the given time instants are also shown in the
 358 figure. All the line plots distinctly demonstrate that the differential energy flux calcu-
 359 lated from the BAFIM-fitted N_e contains larger energy flux below its peak energy than
 360 its counterpart calculated from the raw electron density. In addition, the energy spec-
 361 tra obtained from the raw electron density N_r shows narrower energy distribution as com-
 362 pared to its counterpart calculated from the BAFIM-fitted N_e .

363 Inferring the BAFIM-ELSPEC analysis results in the figure, the bright arcs inside
 364 the radar beam shown in Figure 2 are produced by precipitating electrons of peak ener-
 365 gies between 3 and 5 keV. In addition, the total energy flux of the electrons that pow-
 366 ers the arcs lies in the range between 10 and 20 mWm^{-2} . The 20 mWm^{-2} auroral power,
 367 the largest one here, corresponds to the bright auroral arc observed in the early expan-
 368 sion phase of the first substorm, at about 19:48 UT, as shown in Figure 2.

369 4.5 Electron energy spectra from the whole time interval

370 Figure 6 shows different parameters derived from the radar data of the entire event
 371 that comprises the three substorm activities. In the first and second panels, we have the
 372 BAFIM-fitted N_e and the corresponding differential energy flux results, respectively. The
 373 peak energy, auroral power and FAC estimates are placed in the remaining panels from
 374 top to bottom. In panels 3-4, the black and red curves represent parameters derived from
 375 the BAFIM-fitted and raw electron density results, respectively.

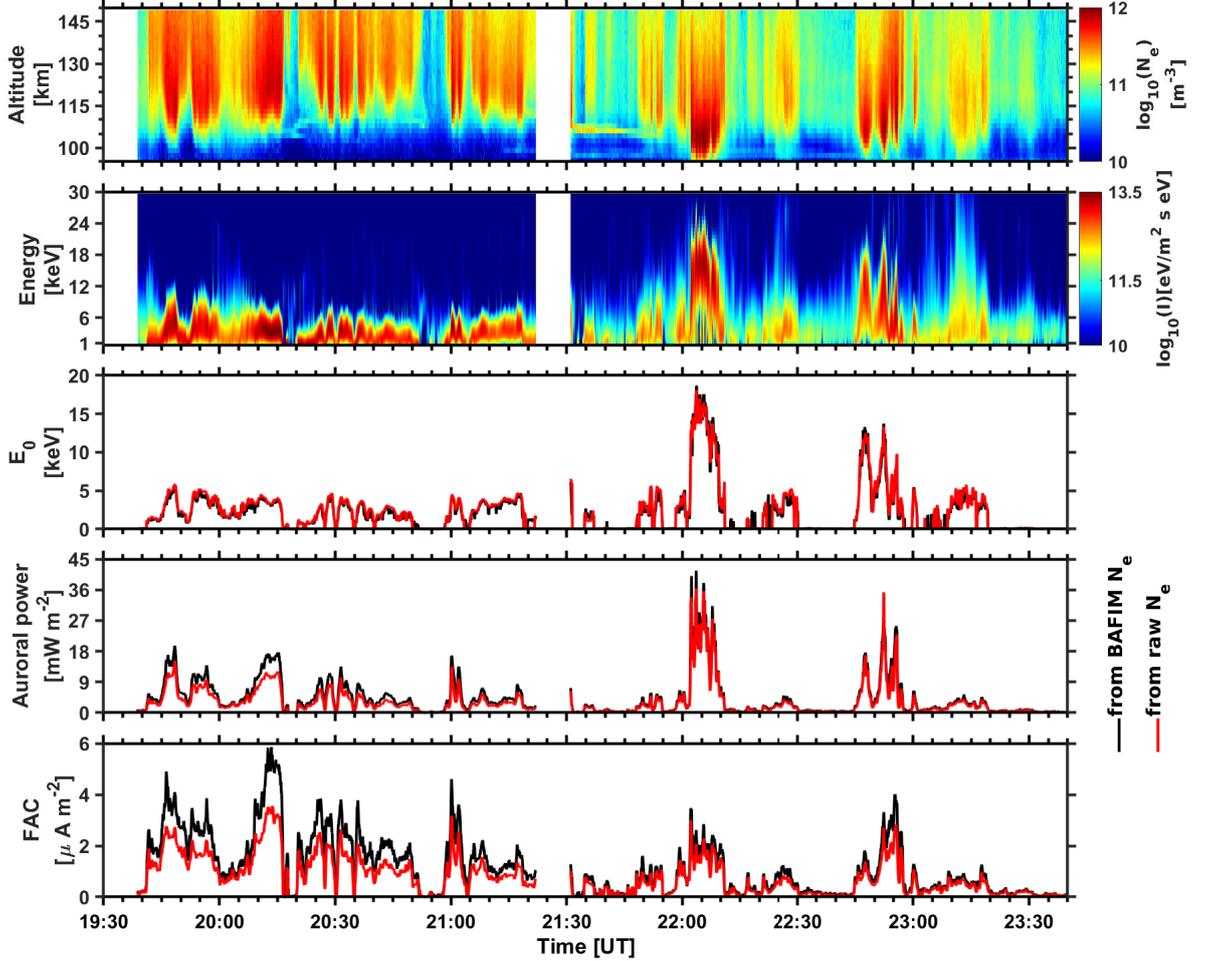


Figure 6. ELSPEC fit results during the whole event. Panels from top to bottom: BAFIM-fitted N_e , differential energy flux, peak energies, auroral powers, and FAC. In panels 3-4, the black and red curves correspond to ELSPEC analysis results using the BAFIM-fitted N_e and raw density N_r , respectively

376 Several ionization enhancements with different peak altitudes are shown in the elec-
 377 tron density plot. Ionization enhancements shown before about 21:30 UT have peak alti-
 378 tudes that lie between 110 and 120 km. Energy distributions of the precipitating elec-
 379 trons that produce these enhancements was peaking between 3 and 5 keV, as shown in
 380 the second and third panels. After 21:30 UT, mainly two enhanced ionization periods
 381 are shown with lowered peak altitudes in the range between 100 and 106 km. The en-
 382 hancements are produced by hardening of the precipitating electrons whose energies
 383 reach as large as 21 keV, as shown in the second panel of the figure. The largest peak
 384 energy and auroral power estimates of the entire event are about 18 keV and 40 mWm^{-2} , re-
 385 spective, corresponding to the bright and large auroral bulge observed in the post mid-
 386 night sector during the third substorm, as illustrated in panel I and J of Figure 2. In gen-
 387 eral, the large ionization enhancements observed before and after 21:30 UT are produced
 388 by flux of electrons whose peak energy lies in the range 3 - 5 keV, and 5 - 18 keV, re-
 389 spective.

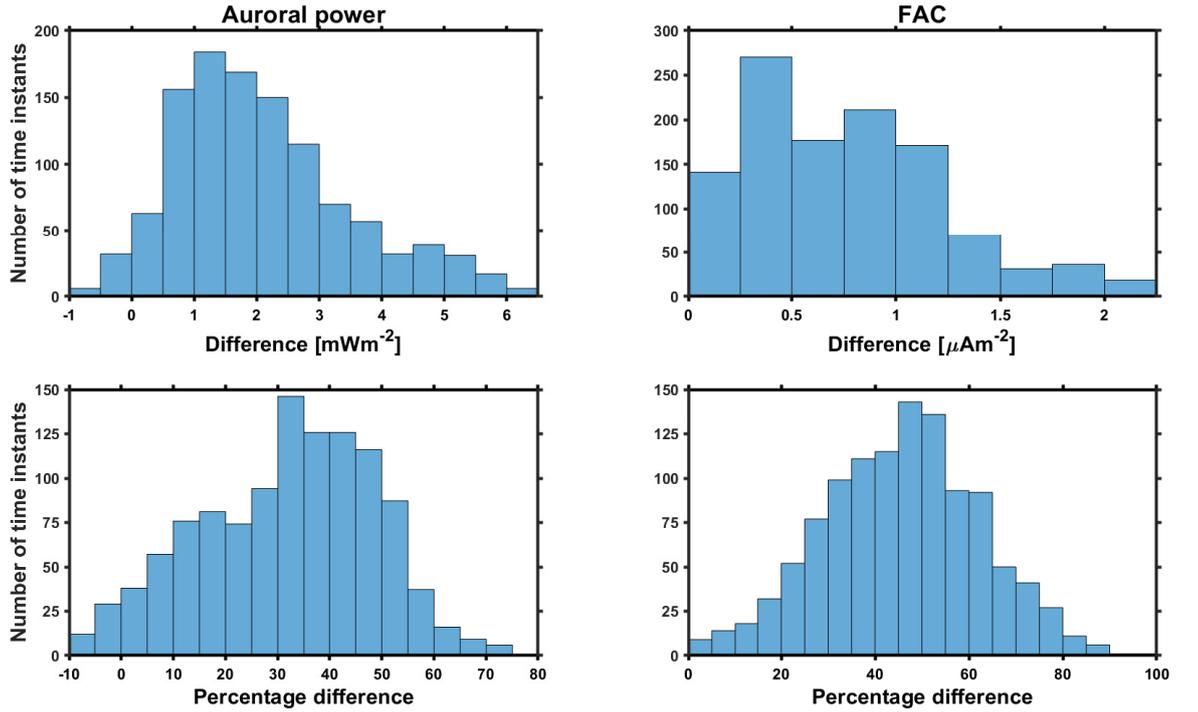


Figure 7. Distribution of the absolute and percentage differences in the auroral power (left panels) and FAC (right panels) estimates.

390 Inferring the last two panels of the figure, the auroral power and FAC estimates
 391 obtained from the BAFIM-fitted N_e significantly exceed their counterparts obtained from
 392 the raw density N_r during the first substorm before 21:30 UT. However, during the last
 393 two substorm periods after 21:30, the differences become smaller. This is because, be-
 394 fore 21:30 UT large flux of lower energy electrons deposit their energy at higher altitudes
 395 and heat the electron gas above the ion temperature. Whereas for those periods after
 396 21:30 UT, the electrons become sufficiently energetic and cause enhanced ionization be-
 397 low 115 km altitude, where collisions balance the ion and electron temperatures. Elec-
 398 tron temperature was sometimes higher than ion temperature at high altitudes (> 120
 399 km) after 21:30 UT, but this has a relatively small effect on the derivation of auroral power
 400 and FAC because peaks of the electron density altitude profiles were at lower altitudes.

401 Figure 7 presents distribution of the actual and percentage difference between the
 402 auroral powers (left panel) and FAC (right panel) calculated from N_e and N_r data of the
 403 whole time interval (4 hour). The differences are calculated only for time instants dur-
 404 ing which the auroral power calculated from N_r is greater than 3 mWm^{-2} . The histograms
 405 show that the differences between the auroral power estimates peak in the range 0.5 -
 406 2.5 mWm^{-2} (30 - 45 %). For the FAC estimates, the peak difference is in the range 0.25
 407 - 0.5 μAm^{-2} (45 - 55 %). The largest differences between the auroral power and FAC
 408 estimates are about 75 and 90%, respectively. In general, the histograms show that the
 409 auroral power and FAC calculated from N_e typically exceed those from N_r , but most
 410 of the times the difference is smaller than those extreme cases discussed in Subsection
 411 4.4.

5 Comparison to optical observations

5.1 Auroral power from radar and blue molecular band emission intensity

We validate our radar analysis results by means of comparing radar observations of auroral power with simultaneous, co-located observation of the blue 427.8 nm emission intensity, utilizing their proportionality relationship. The emission intensity data used in this study is in arbitrary pixel count units without being corrected for dark current leakage in the detectors (Nel, 2019), possible contributions from night time air-glow emission, and atmospheric scattering of light from nearby sources. We subtract the background and scale the emission intensities to the same units with the radar data by means of a linear least-square fit between the auroral power and the blue line emission intensity. The emission intensity is the median of pixel intensities inside the radar beam. Equation 3 and Figure 8 show results of the linear fit between the blue line emission data and auroral power estimates calculated from BAFIM-fitted N_e .

$$P = 0.0042I_{4278} - 3.8333, \quad (3)$$

where P is in mWm^{-2} and the constant term is attributed to the background data sources in the optical data. Figure 8 demonstrates a very good linear relationship between the 427.8 nm emission intensity and the total energy flux of the precipitating electrons. For this particular event, we calculated a cross-correlation coefficient value of 0.96 between the auroral power and the 427.8 nm emission intensity.

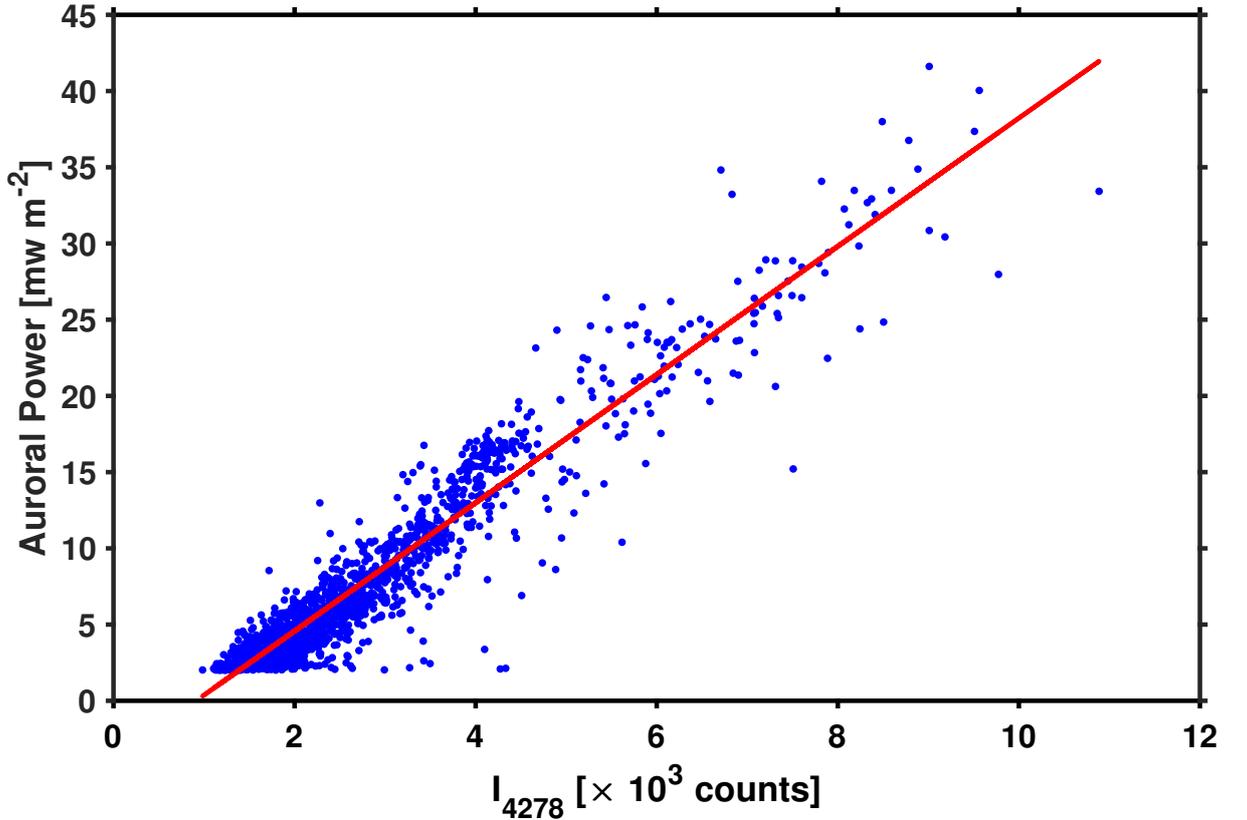


Figure 8. Fitting the blue line emission data to the auroral power calculated by ELSPEC using the BAFIM fitted electron density.

431 Comparison between the temporal variations of the scaled 427.8 nm emission in-
 432 tensity and the auroral power is shown in the top panel of Figure 9. As shown in the fig-
 433 ure, both large scale and small scale variations of the 427.8 nm emission intensity match
 434 very well with variations in auroral power calculated from radar data. Furthermore, sharp
 435 temporal gradients in the emission intensity are captured precisely by the auroral power
 calculated from the radar data using the BAFIM-fitted electron density.

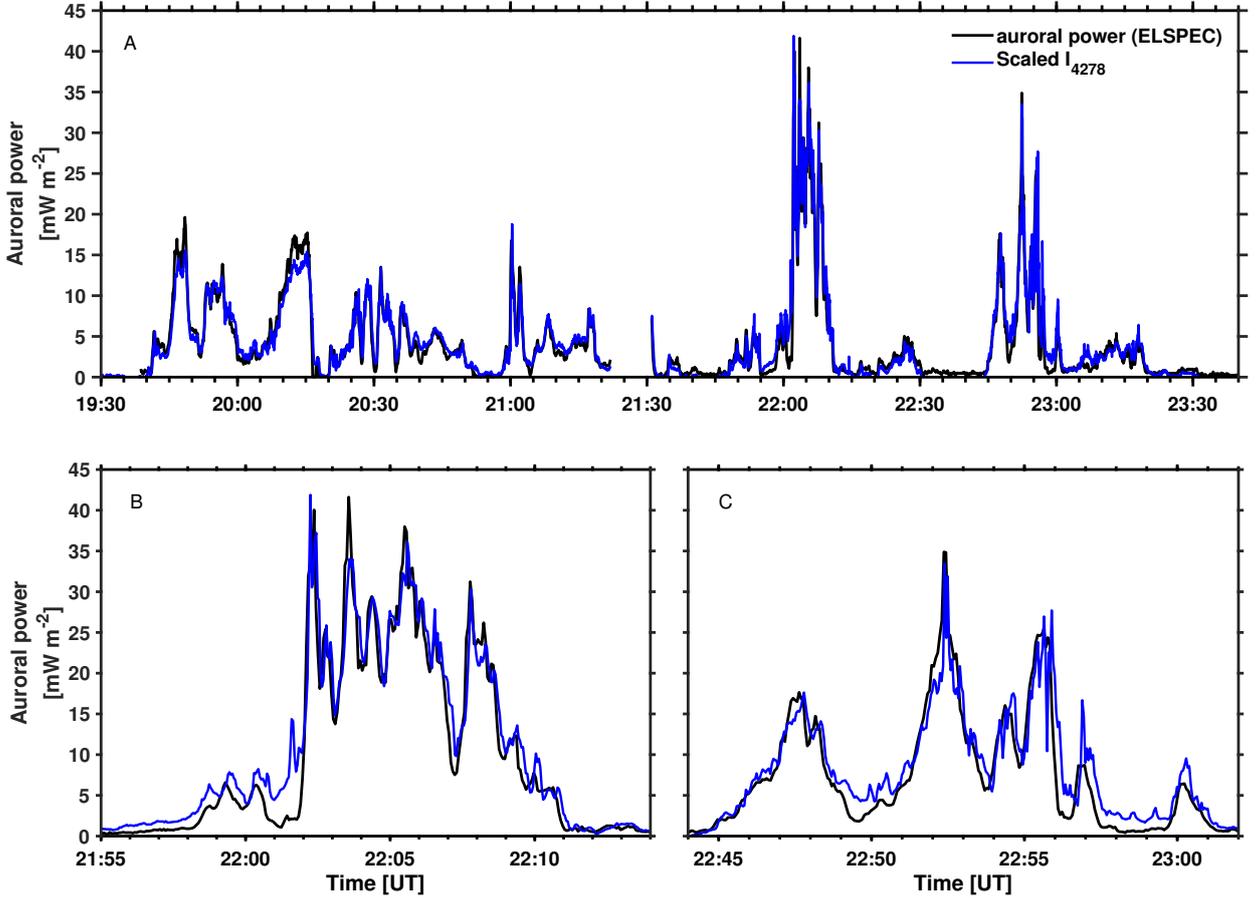


Figure 9. Panel A: Comparing the temporal variations of the auroral power (black) and the scaled emission intensity (blue). Panels B and C: selected periods from panel A.

436

437 However, the scaled emission intensity is consistently smaller than the auroral power
 438 calculated from the radar data in the time intervals 19:46 – 19:48 UT, and 20:11 – 20:15 UT,
 439 during which the flux of about 1 keV electrons was large. Previous studies have shown
 440 that the prompt emission rate of blue photons per unit deposited energy decreases with
 441 altitude and characteristic energy of the depositing electrons (Rees & Luckey, 1974; Par-
 442 tamies et al., 2004). As a result, our scaled 427.8 nm emission intensities might be un-
 443 derestimates of the auroral power during these time intervals. Another potential cause
 444 of the discrepancy is overestimation of the auroral power by ELSPEC due to ion com-
 445 position variations. The effect of ion composition variations was studied by Virtanen et
 446 al. (2018), who found that the Sodankylä Ion and Neutral Chemistry (SIC) model (Tu-
 447 runen et al., 2016) predicts enhanced and rapidly varying O_2^+ to NO^+ ion ratios dur-
 448 ing electron precipitation, and ELSPEC analysis with O_2^+ to NO^+ ion ratio taken from
 449 the International Reference Ionosphere (Bilitza et al., 2017) produced up to 20% larger

450 auroral powers than the corresponding analysis using SIC ion compositions in an event
 451 study.

452 5.2 Effect of narrow auroral structures on ELSPEC analysis

453 There are also few time instants when the scaled emission intensity is clearly larger
 454 than the auroral power calculated from radar data. For better visualization, selected parts
 455 of the comparison graph which contain these time instants are shown separately in pan-
 456 els B and C of Figure 9. Centered at each time instant, narrow FoV auroral images from
 457 three subsequent 3 s exposure time are shown in Figure 10.

458 The first one occurs between 22:01:35 UT and 22:01:41 UT (panel B of Figure 9)
 459 when a thin auroral structure with rapidly varying intensity is within the radar beam,
 460 as shown in the top panels of Figure 10. The images indicate that the radar beam is not
 461 filled uniformly by the arc before and after 22:01:38 UT. In addition, a satellite crossed
 462 the radar beam at 22:01:41, and light reflected from the satellite contributes to the ob-
 463 served emission intensity. The satellite is marked with blue arrows the Figure. Panel C
 464 of Figure 9 shows the next significant discrepancy at around 22:56:53 UT, when the equa-
 465 torward edge of an east west elongated arc enters the radar beam and returns back within
 466 a time scale shorter than the radar integration time, as shown in the bottom panels of
 Figure 10.

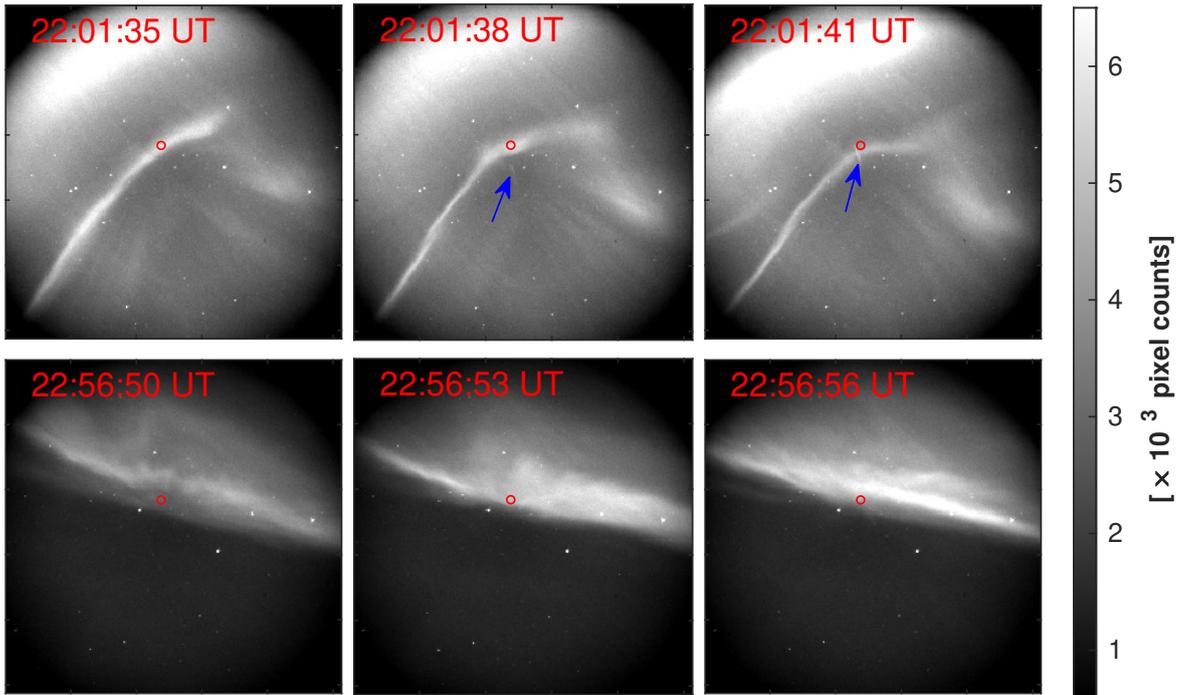


Figure 10. Narrow FoV auroral images at the given instants of time. The red circle represent the radar beam and the arrows indicate to a passing satellite.

467

468 The small scale structures and rapid variations are a probable reason for the dif-
 469 ferences in these cases. The electron densities observed with the radar are averages of
 470 electron density over the observation volume and integration time, while the optical ob-

471 observations are proportional to the ion production rate averaged over the radar beam and
 472 exposure time. Our radar analysis then assumes that electron density is uniform within
 473 the radar observing volume and finds a constant flux that leads to best fit between mod-
 474 eled and observed electron density profiles. Violating the assumptions of uniform den-
 475 sity within the observation volume and constant flux during the radar integration time
 476 will readily lead to underestimation of the total flux. We thus have a reason to believe
 477 that the optical observations are closer to the true mean auroral power within the radar
 478 beam in these cases.

479 6 Discussion and Summary

480 This study demonstrates for the first time the applicability of a novel combination
 481 of two analysis methods (BAFIM and ELSPEC) for estimation of precipitating electron
 482 energy spectra, auroral power, and upward FAC from incoherent scatter radar measure-
 483 ments. The unique advantages of this combined analysis is utilization of the true elec-
 484 tron density, instead of the raw electron density, with high time (4 s) and range (1.8 km)
 485 resolutions as input for the ELSPEC analysis. The BAFIM-ELSPEC analysis method
 486 is applied to an auroral event containing three small substorms that occur in the pre-
 487 midnight and post-midnight sectors on 9 March 2016. Below we make a short summary
 488 and discussion of the main results of this study.

489 The four-parameter fits of N_e , T_e , T_i , and V_i to the E region EISCAT UHF ISR
 490 data were performed with 4 s / 1.8 km resolutions by using the Bayesian Filtering Mod-
 491 ule (BAFIM) (Virtanen et al., 2021). We find that N_r is systematically smaller than N_e
 492 in the E region when electron precipitation heats the electron gas above the ion temper-
 493 ature. The effect is largest at the top of the E region, where N_e is up to 50 % larger than
 494 N_r above 130 km altitude, but significant differences are also observed down to 115 km.

495 When the true N_e is used in electron energy spectrum fits with ELSPEC (Virta-
 496 nen et al., 2018), wider energy spectra and larger total fluxes are produced than in the
 497 corresponding analysis with N_r as input. Larger number fluxes are produced at the low
 498 energy end of the spectra in particular. Auroral power (total energy flux) integrated from
 499 the fitted energy spectra are up to 75 % larger than the estimates calculated with N_r
 500 as input. However, the distribution of the difference peaks at lower values between 30
 501 - 45 %. Similarly, using the true N_e also yields up to 90 % larger upward FAC estimates
 502 than using the raw electron density N_r as input for ELSPEC. However, the distribution
 503 of the difference between the FAC estimates during the entire event peaks at smaller val-
 504 ues, between 45 - 55 %.

505 Significant differences between the auroral power estimates are observed during the
 506 expansion phase of the first substorm which occurred in the pre-midnight sector in con-
 507 nection to flux of precipitating electrons with peak energies between 3 and 5 keV. The
 508 differences become insignificant when the precipitating electrons are sufficiently energetic
 509 to produce ionization at lower altitudes, in this study below 115 km. This happens, in
 510 this study, corresponding to the post-midnight auroral activities during the second and
 511 third substorm periods. The auroral power estimates corresponding to observed ioniza-
 512 tion enhancements during the night of 9 March 2016 were in the range of 3 - 40 mWm^{-2} .
 513 These values are in accordance with several other previous studies (Stenbaek-Nielsen et
 514 al., 1998; Dahlgren et al., 2011; Kaeppler et al., 2015). The largest auroral power of the
 515 night, 40 mWm^{-2} , was associated with a bright auroral bulge observed in the post-midnight
 516 sector as a result of precipitating electrons with peak energies as large as 18 keV.

517 Finally, the auroral powers calculated using the BAFIM-ELSPEC analysis combi-
 518 nation were compared to column intensities of the optical 427.8 nm emission to val-
 519 idate the estimates. A linear correlation between the two were found, and the tempo-
 520 ral evolution showed an excellent match. A few significant discrepancies during short time

521 periods were found, but those were shown to correspond to situations when auroral struc-
 522 tures narrower than the radar beam move across the beam, or when the electron energy
 523 spectrum changes considerably during a radar integration.

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