

1 Relationships between blooms of *Karenia brevis* and hypoxia across the West Florida Shelf

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27 ABSTRACT

28 Harmful algal blooms (HABs) caused by the dinoflagellate *Karenia brevis* on the West  
29 Florida Shelf have become a nearly annual occurrence causing widespread ecological and  
30 economic harm. Effects range from minor respiratory irritation and localized fish kills to large-  
31 scale and long-term events causing massive mortalities to marine organisms. Reports of hypoxia  
32 on the shelf have been infrequent; however, there have been some indications that some HABs  
33 have been associated with localized hypoxia. We examined oceanographic data from 2004 to  
34 2019 across the West Florida Shelf to determine the frequency of hypoxia and to assess its  
35 association with known HABs. Hypoxia was present in 5 of the 16 years examined and was  
36 always found shoreward of the 50-meter bathymetry line. There were 2 clusters of recurrent  
37 hypoxia: midshelf off the Big Bend coast and near the southwest Florida coast. We identified 3  
38 hypoxic events that were characterized by multiple conductivity, temperature, and depth (CTD)  
39 casts and occurred concurrently with extreme HABs in 2005, 2014, and 2018. These HAB-  
40 hypoxia events occurred when *K. brevis* blooms initiated in early summer months and persisted  
41 into the fall likely driven by increased biological oxygen demand from decaying algal biomass  
42 and reduced water column ventilation due to stratification. There were also four years, 2011,  
43 2013, 2015, and 2017, with low dissolved oxygen located near the shelf break that were likely  
44 associated with upwelling of deeper Gulf of Mexico water onto the shelf. We had difficulty in  
45 assessing the spatiotemporal extent of these events due to limited data availability and potentially  
46 unobserved hypoxia due to the inconsistent difference between the bottom of the CTD cast and  
47 the seafloor. While we cannot unequivocally explain the association between extreme HABs and  
48 hypoxia on the West Florida Shelf, there is sufficient evidence to suggest a causal linkage  
49 between them.

50

51 Keywords: ecosystem-based fisheries management; normoxic; remote sensing; river discharge;  
52 stratification; upwelling

53 HIGHLIGHTS

- 54 ● Three years (2005, 2014, 2018) had both hypoxia and notable *K. brevis* blooms
- 55 ● Blooms with hypoxia initiated early in summer and persisted into fall
- 56 ● Similar bloom activity occurred in 2006, but no hypoxia was detected

- 57 • Hypoxia co-occurred with stratification, high surface temperature and reduced surface
- 58 salinity
- 59 • Several low oxygen events were likely associated with upwelling onto the shelf

60

## 61 INTRODUCTION

62 Harmful algal blooms (HABs) can have wide-ranging ecological effects and are a major  
63 concern for coastal communities. The main hazards of these events include respiratory irritation  
64 in mammals, including humans (Backer 2009), bioaccumulation through the food chain causing  
65 delayed mortality in higher trophic levels (Landsberg et al. 2009), shutdown of shellfish  
66 aquaculture harvest to avoid human consumption of the toxin (Backer 2009), and development of  
67 ecosystem-disrupting hypoxia (Pitcher & Probyn 2011). Harmful algal blooms can cause  
68 significant fish kills that have downstream negative impacts on coastal communities including  
69 fisheries resources as key components of their cultures and economies (Backer 2009). As algal  
70 biomass accumulates in the surface water during a HAB, the sinking and decomposition of dead  
71 cells near the bottom increases respiratory demand and depletes dissolved oxygen, a condition  
72 generally referred to as hypoxia. Hypoxia is typically defined as dissolved oxygen concentrations  
73 of 2 mg l<sup>-1</sup> or less (Vaquer and Duarte, 2008). The term hypoxia has been used to define low  
74 oxygen conditions that elicit observed stress to demersal and benthic fauna (Hofmann et al.  
75 2011). The 2 mg l<sup>-1</sup> value used in this paper for delineating hypoxia is a reasonable threshold in  
76 which most organisms display a negative response, but each species' threshold lies on a  
77 spectrum between 0 and 4 mg l<sup>-1</sup> (Vaquer and Duarte, 2008). Without mixing between surface  
78 and bottom layers, a hypoxic layer will form and persist near the seafloor (Watson et al. 2016).  
79 Of particular concern from an ecosystem-based fisheries management perspective are the  
80 negative effects of combined HAB-hypoxia events on demersal and benthic organisms (Diaz &  
81 Rosenberg 2008, Vaquer and Duarte 2008, Gravinese et al. 2020). While the HAB-hypoxia  
82 sequence has been observed in other regions (e.g., Peruvian coast, Rojas de Mendiola 1979;  
83 Saint Helena Bay, South Africa, Pitcher & Probyn, 2011; Washington and Oregon continental  
84 shelf, Siedlecki et al. 2015; Lake Erie, USA, Watson et al. 2016), it is unclear to what extent this  
85 occurs during HABs that impact the West Florida Shelf. HABs are disruptive events that have  
86 long lasting effects on marine ecosystems and human communities.

87 Hypoxia can have negative ecosystem effects depending upon the spatiotemporal scale  
88 and the species that are affected by the event (Diaz and Rosenberg, 1995; Diaz and Rosenberg,  
89 2008; Vaquer and Duarte, 2008; Hofmann et al. 2011). On an individual level, organisms  
90 experiencing hypoxia undergo an acute stress response leading to reduced activity, decreased  
91 growth, and possible death (Wu 2002). In addition to a physiological response, a range of  
92 behavioral responses can also occur including avoidance of hypoxia and increased movement to  
93 find higher dissolved oxygen concentrations (Wannamaker and Rice 2000), decreased predator  
94 avoidance (Domenici et al. 2007), reduced feeding (Wu 2002), and changes in dietary  
95 composition (Glaspie et al. 2019). Thus, diverse individual responses to hypoxia combine into a  
96 complex ecosystem response. Recovery from hypoxic events is dependent upon the ecosystem's  
97 capacity to respond to the disturbance and the magnitude of loss of benthic habitats and sessile  
98 organisms (Wu 2002, Steckbauer et al. 2011). The causes of hypoxic zone formation depend  
99 upon local conditions and are typically due to a combination of several factors. Eutrophication  
100 from terrestrial sources such as river discharge or coastal runoff can stimulate algal blooms  
101 which increases respiratory demand during decomposition of excess algal biomass (Turner and  
102 Rabalais 1994, Hagy et al. 2003). Warm surface temperatures and anomalous freshwater  
103 discharge can increase stratification of the water column and reduced wind speeds can decrease  
104 water column ventilation and increase the likelihood of forming hypoxia (Wiseman et al. 1997).  
105 Hypoxic events can be devastating by themselves but may be more ecologically disruptive if  
106 they occur in conjunction with HABs (Driggers et al. 2016, Pitcher and Probyn 2011).

107 The motivation for this study was to examine the connection between stratification,  
108 hypoxia, and HABs on the continental shelf off the gulf coast of Florida, referred to as the West  
109 Florida Shelf. Reports of HABs affecting the coastal waters of the Gulf of Mexico from Florida  
110 to Texas date back to the arrival of Europeans with the first well documented bloom in 1844  
111 (Magaña et al. 2003). The toxin-producing dinoflagellate *Karenia brevis* is the main causative  
112 species for HABs occurring annually on the West Florida Shelf (Walsh et al. 2006). Monitoring  
113 of *K. brevis* cell counts in Florida dates to the 1950s and provides information on several notable  
114 events in the past 20 years. The West Florida Shelf is a relatively wide and shallow continental  
115 shelf with ample wind energy to mix the water column for much of the year (Yang and  
116 Weisberg, 1999). However, during the summer and early fall, warm sea surface temperatures and  
117 increased runoff during the Florida wet season leads to increased stratification, and in

118 conjunction with HABs may contribute to an increased likelihood of hypoxia on the West  
119 Florida Shelf. For example, in 2014, a National Marine Fisheries Service (NMFS) longline  
120 survey observed a fish kill near sampling stations in which bottom oxygen levels were hypoxic  
121 and close to the edge of a shoreward-extending HAB (Driggers et al. 2016). For this study, we  
122 wanted to assess the prevalence of hypoxia across the West Florida Shelf and determine if these  
123 events were associated with annually occurring HABs in the region. We asked several questions  
124 to assess potential regional drivers of HAB-hypoxia events. First, how common was hypoxia in  
125 the region? Did hypoxia only occur when there were HABs and stratification? And as a  
126 corollary, were there periods where strong stratification occurred but there was neither HAB nor  
127 hypoxia? Additionally, what other processes, like increased primary production not associated  
128 with HABs or increased stratification due to runoff or river discharge, were associated with  
129 presence of hypoxia? To answer these questions, we examined conductivity, temperature, and  
130 depth (CTD) and dissolved oxygen concentration data from oceanographic surveys (2004 to  
131 2019) in conjunction with HAB monitoring data (2004 to 2019) and other environmental data.

132

## 133 METHODS

### 134 CTD DATA

135 Oceanographic survey data collected on the West Florida Shelf from 2004 through 2019  
136 were aggregated from multiple sources into a singular dataset for analyses. The data were  
137 obtained from the Southeast Area Monitoring and Assessment Program (SEAMAP), NOAA-  
138 NMFS surveys, NOAA AOML South Florida Ecosystem Restoration Research surveys, NOAA  
139 National Centers for Environmental Information (NCEI), World Ocean Database (WOD), and  
140 the Rolling Deck to Repository (R2R) databases. Survey data consisted of cruises with multiple  
141 stations where environmental and water column data were collected from the surface to near  
142 seabed (further information about data sources and surveys can be found in Supplementary  
143 materials 1). The water column data were acquired by either Seabird SBE-911 or SBE-25  
144 profilers (CTD) outfitted to record conductivity (converted to practical salinity units),  
145 temperature (degrees Celsius), depth (meters), and dissolved oxygen (data collected by SBE43  
146 oxygen sensors, converted to  $\text{mg l}^{-1}$ ). The data were filtered to retain only CTD casts that had a  
147 maximum depth of 100 m or less and which were located within a bounding box that covered the  
148 West Florida Shelf ( $25^{\circ}\text{N } 86^{\circ}\text{W}$ ,  $30.5^{\circ}\text{N } 80.6^{\circ}\text{W}$ ). Duplicated CTD data were a concern because

149 of the aggregated nature of the databases used for this study. For example, some of the NMFS  
150 survey data are regularly added to the SEAMAP, NCEI, and WOD databases. Additionally, the  
151 NCEI, WOD, and R2R databases are a collection of data from various sources, which can also  
152 include SEAMAP data and NMFS survey data. Duplicated data were filtered and eliminated by  
153 CTD casts that had the same cruise and station number (if available) or by filtering for casts that  
154 were on the same date and time and were within 1 kilometer of each other to account for some  
155 differences in reporting location data by database managers.

156         The aggregated CTD data were quality controlled to produce a consistent dataset for  
157 downstream analyses. The quality control methods followed some of the guidelines outlined by  
158 the WOD (Garcia et al. 2018). Briefly, depth specific maximum and minimum values  
159 recommended by the WOD for temperature, salinity, and dissolved oxygen in the coastal North  
160 Atlantic were used to flag data that were outside the range boundaries (see Garcia et al. 2018;  
161 Fig. 1 for geographic scope and Appendix 11 for min-max values therein). These min-max  
162 values are broad ranges used to flag values that were conspicuously different than expected  
163 values for the region. The values for coastal North Atlantic within the WOD are the most  
164 reasonable reference for QA/QC because the WOD is the most comprehensive database of  
165 oceanographic data available to the authors. Gradient checks were used to flag data in  
166 consecutive depth bins that exceeded values determined by the WOD quality control group.  
167 Additionally, individual data points were removed if it was greater or less than five standard  
168 deviations of the overall mean value, except salinity and oxygen were allowed to have a lower  
169 tail that extended to zero. Particular attention was focused on dissolved oxygen (DO) data: data  
170 were discarded if calibration dates were greater than one year from reported data, and CTD casts  
171 with low DO ( $DO \leq 3.5 \text{ mg l}^{-1}$ ) were examined by eye. If DO percent saturation at the surface  
172 was below 90, the DO data for that cast, and in some cases the whole cruise, were discarded.  
173 Data that were flagged by these methods or that already had QA/QC flags produced by the  
174 original source were removed to ensure analyses were based upon high quality data.

175         It was necessary to determine the altitude off seafloor of each CTD cast to assess the  
176 presence of bottom hypoxia. However, the maximum depth of each profile was not necessarily  
177 near the seabed because shipboard echosounders were not always calibrated per station to adjust  
178 for speed of sound variation, the CTD operating procedures have changed over the past 20 years,  
179 and CTD operators have varying levels of comfort during different sea conditions affecting

180 operational proximity of the CTD to the seafloor. Thus, each cast was not necessarily within  
181 acceptable limits to determine if hypoxic conditions were present near the seafloor. As a result,  
182 the NOAA Coastal Relief Model (CRM, NOAA National Geophysical Data Center, 2001) was  
183 used to assess the proximity of the CTD cast to the seafloor and the appropriateness of the data  
184 collected. If either the max depth bin of the CTD cast or the reported station depth were within  
185 15 m of the CRM bathymetry the CTD cast was assumed to be close enough to the seafloor to  
186 have detected hypoxia otherwise the bottom DO was removed. The 15 m depth cutoff was a  
187 tradeoff between the accuracy of the CRM (0.5 - 4.7m), CTD depth binning where the bottom  
188 data can include data shallower and deeper than the reported depth, and our inclination for data  
189 retention.

190 In addition to the data acquired in-situ, mixed-layer depth and stratification were derived  
191 from profile data. The mixed-layer depth (MLD) was calculated as the depth at which the density  
192 was  $0.125 \text{ kg m}^{-3}$  greater than the near surface density (Brainerd and Gregg, 1995). The mixed-  
193 layer temperatures and salinities were determined by estimating the mean of the values from  
194 depths down to the MLD. Stratification was defined as the greatest slope of a 5-meter moving  
195 linear regression of depth versus density which is intended to remove spikes in density that do  
196 not represent the true pycnocline. Bottom DO concentrations were plotted by year per month to  
197 visualize the spatial distribution. The bottom DO was defined as the deepest DO data point from  
198 each CTD cast. We defined hypoxia as DO concentrations that were at or below  $2 \text{ mg l}^{-1}$ , near  
199 hypoxia was defined as DO greater than 2 and less than or equal to  $3.5 \text{ mg l}^{-1}$ , and low DO was  
200 defined as DO less than  $3.5 \text{ mg l}^{-1}$ , encompassing both hypoxia and near hypoxia, to serve as a  
201 summary for reporting results and discussion. We included a broader range of DO concentrations  
202 than the traditional definition of hypoxia to capture low DO events that may be associated with  
203 hypoxia or may otherwise be potentially impactful to ecosystems in the region (Vaquer and  
204 Duarte, 2008; Hofmann et al. 2011).

205

## 206 HARMFUL ALGAL BLOOM DATA

207 *Karenia brevis* cell count data (2004 to 2019) were obtained from the Florida Fish and  
208 Wildlife Research Institute (FWRI) HAB monitoring database, which maintains a repository that  
209 is available upon request. This data was collected by a collaboration of research institutions and  
210 citizen scientists for quantification of *K. brevis* cell concentrations. Historical HAB sampling in

211 Florida has been collected opportunistically, limiting the use of the data for robust statistical  
212 analyses (Christman and Young 2006), but more recently there have been efforts to conduct  
213 routine monitoring. The monitoring data can be used to identify extreme events, examine the  
214 geographic extent, and determine approximate temporal limits of an event. To overcome some of  
215 the data limitations, we aggregated the *K. brevis* cell concentrations data by year and month into  
216 0.5° latitudinal bins from 24.5° N (near Key West, FL) to 29.5° N (near Steinhatchee, FL) and  
217 we calculated the 99-percentile cutoff per year-month-latitude bin. The latitudinal bins cover the  
218 full range of latitude on the west coast of Florida, and we therefore consider it to be spatially  
219 representative of the region. Water samples used to quantify *K. brevis* cell concentrations have  
220 been collected across the continental shelf, but we only included samples out to 84°W to capture  
221 some of the bloom dynamics mid shelf. This aggregation removes some of the known  
222 observational bias due to the irregular spatiotemporal sampling and can describe the general  
223 characteristics in which HABs occur. This, however, assumes that major events don't go  
224 completely undetected, which is not likely for nearshore events but could occur in the case of  
225 offshore blooms. The use of the term HABs in this paper refers to blooms of *K. brevis* in  
226 sufficient cell densities ( $>100,000$  cells  $l^{-1}$ ) to cause respiratory distress in mammals and  
227 associated with mass mortalities of marine life. This threshold is more conservative than FWRI's  
228 definition (i.e., respiratory irritation possible at 1,000 cells  $l^{-1}$  and fish kills possible at 10,000  
229 cells  $l^{-1}$ ).

230

## 231 REMOTE SENSING DATA

232 In addition to *in-situ* oceanographic data, satellite-derived chlorophyll was used to  
233 examine synoptic-scale variability as a proxy for primary production relevant to HABs and  
234 hypoxia along the West Florida Shelf. We examined monthly surface chlorophyll-a anomalies  
235 using the Aqua MODIS level-3 data at a 4km spatial resolution from January 2004 to December  
236 2019, which amounts to 192 months or 16 years. While there may be a bias in satellite-based  
237 estimates of chlorophyll-a especially in deeper waters (Smith 1980), the data are useful to  
238 examine synoptic-scale variability especially in shallow, coastal waters where this study was  
239 focused. Chlorophyll-a (dataset ID: erdMH1chlamday) data was downloaded from the NOAA  
240 NMFS Southwest Fisheries Science Center Environmental Research Division ERDDAP server.  
241 The data were logarithm base 10 transformed and then the standardized anomaly was calculated.

242 The anomaly was calculated by aggregating data into monthly bins then subtracting the monthly  
243 climatological mean and dividing by the monthly climatological standard deviation using all 16  
244 years of data. Two anomalies were calculated by estimating the mean of the anomalies in the Big  
245 Bend (28°N 84.5°W, 29.5°N 82.5°W) and Southwest Florida (25.5°N 83°W, 27.5°N 81.5°W)  
246 regions, which are the locations for recurrent HABs and hypoxia identified in this study.

247

#### 248 RIVER DISCHARGE DATA

249 River discharge was considered as a proxy for runoff contributing to salinity-driven  
250 stratification along the West Florida Shelf. Only the major rivers in the region were included in  
251 the analyses based upon mean annual discharge (Table 1). The rivers examined, moving west to  
252 southeast, were the Apalachicola (USGS ID: 02359170), Suwannee (USGS ID: 02323500),  
253 Peace (USGS ID: 02296750), and Caloosahatchee Rivers (USGS ID: 02292900). Other rivers  
254 were considered, but the Choctawhatchee River discharge typically stays close to shore and  
255 disperses westward toward Alabama. The Mississippi River was also considered because it has  
256 been known to impact the West Florida Shelf; however, the effects tend to remain near the shelf  
257 break and are dependent on the Gulf Loop current dynamics and the regional wind field (Le  
258 Hénaff & Kourafalou, 2016). Daily discharge data for each river were downloaded from the  
259 USGS National Water Information System, aggregated into monthly mean values, and the  
260 standardized anomalies were calculated per river using the same method as the chlorophyll data  
261 except that the discharge data were not logarithm transformed.

262

#### 263 DATA ANALYSES

264 Data manipulations, transformations, and analyses were conducted in the R Statistical  
265 Computing Environment (ver. 4.0.2, R Core Team 2020) and in the RStudio integrated  
266 development environment (ver. 1.4.1106, RStudio Team 2021). The following R packages were  
267 used: ncdf4 (Pierce 2019) and rgdal (Bivand et al. 2021) for spatial data handling and mapping;  
268 gsw (Kelley et al. 2017) and oce (Kelley and Richards 2021) for oceanographic data handling  
269 and conversion functions; lubridate (Grolemund and Wickham 2011) for handling date-times;  
270 scales (Wickham and Seidel 2020) for plotting and visualization; fields (Nychka et al. 2017) for  
271 kriging; and rerddap (Chamberlain 2021) was used to download MODIS chlorophyll-a data.

272

273 RESULTS

274 HYPOXIC AREAS IDENTIFICATION

275 In total, 4930 out of 17935 CTD casts were retained within the spatial and temporal  
276 domain of interest for further analyses (for details on cruises see Supplementary materials 1).  
277 Out of the 17935 CTD casts, there were 5925 duplicates; 6417 were in waters deeper than 100  
278 meters; 236 had quality issues; 393 outside spatial domain; and 34 outside temporal domain.  
279 There was an uneven distribution of seasonal sampling on the West Florida Shelf with most of  
280 the sampling occurring in the summer months June (n = 1424), July (n = 779), and August (n =  
281 664). While the least sampled time of year was the winter months December (n = 17), January (n  
282 = 22), and February (n = 35). The mean number of CTD casts per year was 308, and, before  
283 2009, the number of casts per year was lower, ranging from 84 in 2005 to 188 in 2008. The most  
284 sampled year was 2010 (n = 627), followed by 2014 (n = 446) and 2016 (n = 411). The majority  
285 of the CTD casts used in this study were collected as part of regular monitoring conducted by  
286 NMFS, which includes the bottom longline surveys, and SEAMAP trawl and plankton cruises.  
287 These cruises occur in the summer and fall months except for the winter SEAMAP plankton  
288 cruises. In addition, the other regular cruises used in this study were the South Florida Ecosystem  
289 Restoration cruises conducted by NOAA's Atlantic Ocean and Meteorological Laboratory since  
290 at least 2006; however, only cruises conducted quarterly since 2010 were included in our dataset  
291 due to availability.

292 There were 4008 CTD casts with reported bottom DO after removing readings that were  
293 15 m greater than the CRM depth. Between 2004 and 2019, hypoxia was present in 13 CTD  
294 casts over 5 years (Figure 1), in 2005 (n = 2), 2013 (n = 1), 2014 (n = 6), 2015 (n = 1), and 2018  
295 (n = 3). Seasonally, hypoxia was observed in the months of August (n = 5), September (n = 5),  
296 and October (n = 3). Near-hypoxic conditions were present in 96 CTD casts over 12 years  
297 (Figure 1A). Seasonally, June (n = 29), August (n = 23) and September (n = 20) were the months  
298 near hypoxia most frequently occurred. Annually, near hypoxia was most prevalent in 2017 (n =  
299 29), 2013 (n = 22), and 2018 (n = 16). Generally, low DO events were found throughout the  
300 latitudinal range of the West Florida Shelf study area. Hypoxia was found shallower than the 50-  
301 meter isobath and generally clustered in 2 areas (Figure 2). A northern cluster was identified  
302 midshelf (10 to 50 m depth) in the Big Bend region that includes data from 2005 (n = 1), 2013 (n  
303 = 1), and 2014 (n = 6). A southern cluster found primarily midshelf (10 to 25 m) near Charlotte

304 Harbor composed of data from 2005 ( $n = 1$ ) and 2018 ( $n = 3$ ). There was one CTD cast with  
305 hypoxia from 2015 that was between the two hypoxic clusters and midshelf (25 to 50 m; Figure  
306 2A). Near-hypoxic conditions were more homogeneously spread across the West Florida Shelf  
307 compared to hypoxic conditions (Figure 2B). In addition to the clusters mentioned above, near  
308 hypoxia was detected midshelf (25 to 50 m) and near the shelf break at approximately 100 m  
309 depth across multiple years (Figure 2B). Sampling coverage on the shelf between June 1st and  
310 October 31st, which accounts for about 80% of the CTD casts, was sparse before 2009 and  
311 regular coverage increased thereafter (Figure S1).

312         There were several notable low DO events observed in multiple CTD casts on the West  
313 Florida Shelf, and, in many cases, the low DO was observed across multiple months (Figures 1  
314 and 2). In August 2005, hypoxia was observed in two CTD casts, in the Big Bend region and  
315 near Boca Grande, and three casts were near hypoxic in the Big Bend region (Figure 2). Near  
316 hypoxia was detected in 7 CTD casts during 2011 in June ( $n = 1$ ), August ( $n = 4$ ), and September  
317 ( $n = 2$ ). These data were located south and southeast of the Apalachicola River mouth (Figure 2)  
318 between the 25 and 50 m isobaths. In 2013, low DO occurred in August ( $n = 5$ ), September ( $n =$   
319 14), and October ( $n = 4$ ) with hypoxia observed in only one CTD cast in September in the Big  
320 Bend region (Figure 1 and 2). The near hypoxia in 2013 was observed in the Big Bend and  
321 formed a line extending roughly along the 50 m isobath from  $28^{\circ}\text{N}$  to  $25.5^{\circ}\text{N}$  (Figure 1 and 2).  
322 Low DO in 2014 was observed in the Big Bend area in 15 CTD casts taken in August ( $n = 6$ ) and  
323 September ( $n = 9$ ). Hypoxia was observed in 2 CTD casts midshelf (10 to 25 m, Figure 2) in  
324 August and 4 casts in September further away from shore (25 to 50 m, Supplementary Materials  
325 2; Figure S2). The low DO in 2015 was observed in July through October primarily midshelf (25  
326 to 50 m, Figure 2) with hypoxia only observed in one cast in September. There was one near-  
327 hypoxic event in June of 2017 that was not associated with hypoxia and encompassed 28 CTD  
328 casts restricted to an area near the shelf break at 100 m depth and from  $27.5^{\circ}\text{N}$  to  $26^{\circ}\text{N}$  (Figure  
329 2). In 2018, low DO was observed in 22 CTD casts off Sanibel Island and further south (Figure  
330 2, Supplementary Materials 2; Figure S3). Near hypoxia was observed in August ( $n = 2$ ),  
331 September ( $n = 2$ ), and October ( $n = 14$ ), and hypoxia was observed in 3 casts in October.

332         The observed low DO events occurred primarily in summer and early fall (Figure 3A)  
333 coinciding with the seasonally lowest median bottom DO observed. This time of year was also  
334 associated with the highest stratification (Figure 3B), warmest mixed-layer temperatures (Figure

335 3C), and a reduction of mixed-layer salinity (Figure 3D). Nearly all instances of low DO  
336 occurred with some level of stratification (Figure 1B). We estimated the median value of density  
337 gradient to be  $0.0081 \text{ } d\rho \text{ } dz^{-1}$  (25<sup>th</sup> percentile = 0.0056; 75<sup>th</sup> percentile = 0.016) when there was  
338 failure to detect MLD, which we call the no stratification cutoff. There were 5 CTD casts that the  
339 estimated stratification was near the no-stratification cutoff. These CTD casts were either  
340 midshelf (August 2013 and 2014) or later in the year (September 2014 or October 2018). The  
341 low DO in 2017 is notable because mixed-layer temperature was low relative to expected values,  
342 while stratification was typical for that time of year (Figures 1 and 3).

343

#### 344 HARMFUL ALGAL BLOOM

345 Analysis of the aggregated *K. brevis* cell counts provided a synoptic scale overview of  
346 HABs along the west coast of Florida. Generally, HABs tend to be absent in the early summer  
347 months and initially occur in the late summer then dissipate late fall or early winter; however,  
348 they can last into the winter and disappear by spring (Figure 1C). However, in 2005, 2006, 2014,  
349 and 2018 *K. brevis* cell concentrations above the bloom threshold ( $>100,000 \text{ cells l}^{-1}$  as defined  
350 by FWRI) appeared in the summer and persisted into the fall and the 2006-7 bloom lasted into  
351 the winter (Figure 1C). The HAB in 2018 had the greatest spatiotemporal extent during the  
352 period of interest for this study lasting 16 months from November 2017 to February 2019 and at  
353 its largest spatial extent ranged across nearly  $4^\circ$  of latitude (Figure 4B). On the southern extent of  
354 the *K. brevis* bloom, low DO was observed in multiple CTD casts (Figure 5F). The 2005 event  
355 was also extensive, lasting at least 13 months from February 2005 to January 2006 and  
356 encompassed nearly  $4^\circ$  of latitude (Figure 4A). Cell counts increased in a northward progression,  
357 and bloom conditions did not abate until February 2006. In August 2005, there were two CTD  
358 casts with hypoxia that bracketed most of the *K. brevis* concentrations for that month (Figure  
359 5A). The year 2004, and a stretch of years starting in 2008 and ending in 2010 were notable for  
360 unusually low cell counts and no bloom level events ( $>100,000 \text{ cells l}^{-1}$ , Figure 1C and 4A).  
361 There were several years with unusual patterns; in 2013 there was an early HAB that was a  
362 continuation of the 2012 event followed by anomalously low cell counts in summer and fall of  
363 2013 (Figures 1C and 4B). Then in 2014, much of the year there were low cell counts but in  
364 August and September there was an intense, localized bloom in the Big Bend region (Figure 4B)  
365 concurrent with observed hypoxia (Figure 5C). The sampling effort on this spatiotemporal scale

366 of aggregation was lacking north of Saint Petersburg, but sampling became more consistent after  
367 2011 demonstrating some of the dataset limitations even at this coarse resolution. These results  
368 serve as qualitative descriptions of major HAB events and years without major HAB events due  
369 to the limitations of HAB monitoring data. The HAB monitoring data provided by FWRI are  
370 valuable contributions to our understanding of HAB dynamics on the west coast of Florida.

371

## 372 REMOTE SENSING

373 Satellite derived chlorophyll anomalies on the West Florida Shelf demonstrate synoptic-  
374 scale variability between months across 16 years of data. The chlorophyll anomalies displayed  
375 some coherence between the two regions, Big Bend and Southwest Florida, bounded by the  
376 black boxes (Figure 6). For example, positive anomalies in 2005 were coherent between regions,  
377 and mostly negative anomalies between 2007 and 2013 were also coherent between regions.  
378 There were positive chlorophyll anomalies in 2005, 2014, and 2018 (black boxes, Figure 6 A-C)  
379 at similar locations and at the same time there were instances of hypoxia observed in the CTD  
380 casts (Figures 1A and 5). When examining the time series of anomalies for regions bounded by  
381 those black boxes, the chlorophyll anomalies before August 2005, August 2013, August 2014,  
382 and October 2018 were elevated relative to the other months (Figure 6D) for the Big Bend (2005,  
383 2013, 2014) and SWFL region (2018).

384

## 385 RIVER DISCHARGE

386 River discharge in the region was dominated by the Apalachicola and Suwannee Rivers  
387 (Table 1). There was some coherence of river discharge amongst rivers (Figure 7). For example,  
388 there was anomalously high discharge for all rivers starting late 2004 and continuing to nearly  
389 the end of 2005. Then there was a period of anomalously low discharge starting mid-2006 and  
390 continuing to the end of 2012 with brief reprieve in 2010. There was also some coherence in  
391 positive anomalies amongst rivers in 2013, 2016, 2017, and 2018 (Figure 7). When we consider  
392 the timing and location of hypoxic events identified from the CTD data, some general patterns  
393 emerge. In 2005, there were large positive river discharge anomalies from all rivers several  
394 months preceding the detection of hypoxia in August (Figure 7). Riverine discharge was  
395 anomalously high from the Suwannee River preceding both the 2013 and 2014 hypoxia.

396 Similarly, the Peace and Caloosahatchee Rivers had positive anomalies preceding the hypoxia  
397 observed in 2018 (Figure 7).

398

## 399 DISCUSSION

400 Examining 16 years of CTD data collected over the West Florida Shelf, we identified  
401 three hypoxic events in 2005, 2014, and 2018, that co-occurred with major HABs. Other studies  
402 have identified hypoxia associated with HABs on the West Florida Shelf in 2005 (Hu et al.  
403 2006), 2014 (Driggers et al. 2016), and 2018 (Milbrandt et al. 2021); however, no study has  
404 examined this relationship across longer time scales and on a larger geographic scope in this  
405 region. We hypothesize that HAB-hypoxia events were driven by the temporal coincidence of  
406 HABs and associated climatological factors. During HAB-hypoxia events, *K. brevis* cell  
407 concentrations reached bloom levels ( $>100,000$  cells  $l^{-1}$ ) during the summer months (June-  
408 August) and then continued into the fall. In contrast to years with HAB-hypoxia events, there  
409 was an absence of HAB activity in early to mid-summer months in years with blooms without  
410 hypoxia. The year 2006 was an outlier in which there were summer HABs that continued into the  
411 fall, but there was no concurrent hypoxia detected. The absence of hypoxia may be due to the  
412 lack of CTD casts in the Big Bend region and sparse sampling south of Tampa Bay during  
413 August 2006. Either reduced sampling coverage precluded detection of hypoxia and it dissipated  
414 quickly because there was data from September in the region, or, alternatively, there was no  
415 hypoxia in 2006. While sampling coverage may be invoked, the alternative that there was no  
416 hypoxia was supported by other observational evidence. Specifically, stratification, chlorophyll  
417 anomalies, and river discharge anomalies were all lower during the summer of 2006, whereas  
418 these properties were elevated during the HAB-hypoxia events identified in this study.

419 Our results indicate that there were several factors that contributed to the timing and  
420 creation of hypoxia on the West Florida Shelf. Considering that during summer on the West  
421 Florida Shelf wind speeds are low, surface heat content is high, and the south Florida rainy  
422 season provides ideal conditions for strongly stratified conditions (Liu and Weisberg 2012), we  
423 hypothesized that stratification would be an important driver of decreased bottom DO levels. We  
424 found that hypoxia and low DO, more generally, occurred across the range of stratification and  
425 mixed-layer temperatures and salinities indicating that there is a partial decoupling of local water  
426 column properties from bottom DO. We take this evidence to suggest that the presence of

427 hypoxia was also influenced by remote conditions such as advection of algal bloom biomass and  
428 riverine discharge, which contributes to both nutrient enrichment and water column stratification.  
429 For example, the hypoxia in 2005, 2014, and 2018 co-occurred both in space and time with  
430 HABs (Figure 1, 4, and 5), and CTD profiles with observed hypoxia in 2014 and 2018 indicate a  
431 surface freshening consistent with riverine discharge driving the density stratification (See  
432 Supplementary Materials 2; Figures S4). Consistent with this explanation, stratification observed  
433 with hypoxic CTDs casts were predominantly driven by changes in salinity (See Supplementary  
434 Materials 2; Figures S5 and S6); however, there are no examples of exclusively thermal and  
435 haline driven stratification. Furthermore, there was little stratification in 2006 in which the water  
436 column in both the Big Bend and off Sanibel Island were well mixed compared to either 2005 or  
437 2014 (Figure S3). Despite the connection between HABs and hypoxia observed in 2005, 2014,  
438 and 2018, hypoxia was not an outcome of all HABs on the West Florida Shelf (e.g., 2006, 2012,  
439 and 2016, Figures 1 and 4). This disjunction in the HAB-hypoxia relationship might be due to  
440 gaps in the spatiotemporal coverage of surveys that conduct CTD operations or perhaps the  
441 relationship only arises in years with extreme HABs that initiate in the summer and persist into  
442 the fall.

443 River discharge and chlorophyll anomalies for the years with extreme blooms, combined  
444 with salinity-driven stratification above hypoxia, appear to be important conditions that  
445 contribute to the formation of HAB-hypoxia events. Riverine discharge has typically been  
446 considered a source of nutrients adequate for sustaining a bloom, but not sufficient to initiate a  
447 bloom (Vargo et al. 2008). Earlier work failed to establish a linear relationship between  
448 Caloosahatchee River discharge and *K. brevis* blooms (Dixon et al. 2014); however, more  
449 recently it has been shown that there is a non-linear relationship between Caloosahatchee  
450 discharge, nutrients, and *K. brevis* blooms in SWFL (Medina et al. 2020). The relationship  
451 established by Medina et al. (2020) is dynamic and the outcome is dependent upon the state of  
452 other variables in the system. Given the evidence presented in this study for HAB-hypoxic  
453 events, a similarly dynamic, state-dependent relationship likely exists between algal blooms,  
454 river discharge, stratification, and hypoxia formation. The likely influence of riverine discharge  
455 is not supplying nutrients to fuel blooms but rather creating conditions conducive for the  
456 formation of hypoxia. River discharge, and runoff more generally, reduces nearshore surface  
457 salinity driving stratification and concomitant reduction of water column ventilation. In the

458 HAB-hypoxia years (2005, 2014, and 2018), there were large river discharge and chlorophyll  
459 anomalies that were spatially and temporally coherent with the observed hypoxic events. During  
460 the 2014 event, there were positive discharge anomalies from the rivers closest to the HAB (i.e.,  
461 Apalachicola and Suwannee Rivers) and regions of hypoxia. Additionally, Mississippi River  
462 discharge was near average in the summer of 2014; however, surface circulation in the GOM  
463 transported the river plume onto the West Florida Shelf and southwards toward the Florida Keys  
464 creating a midshelf salinity front (Le Hénaff et al. 2016). Restricted cross-shelf transport due to  
465 the front, referred to as “nutrient trapping”, would support the sinking of excess biomass, and  
466 intensify local biological oxygen demand through increased benthic metabolic activity  
467 amplifying the likelihood of hypoxia formation (Flynn et al. 2020). The relationships between  
468 riverine discharges and the HAB that was initiated in late 2017 and persisted until early 2019 are  
469 less clear (also referred to as the 2018 bloom). There was anomalously high discharge from both  
470 the Caloosahatchee and Peace Rivers during the period from August to November 2017 that  
471 preceded initiation of the long-lasting bloom in 2017-9. A second period of higher-than-normal  
472 Caloosahatchee and Peace discharge from April to June 2018 preceded an intensification of the  
473 HAB and the formation of hypoxic conditions offshore of Sanibel Island (Figures 4-7). The  
474 connection between HABs and river discharge is not direct nor consistent highlighted by several  
475 HABs in which river discharge was lower than average (i.e., 2006, 2007, and 2017). Chlorophyll  
476 anomalies may be indicative of HABs, which could create hypoxic conditions; however, satellite  
477 derived chlorophyll is known to be positively biased by CDOM in regions dominated by coastal  
478 runoff and thereby limits inferences exclusively using satellite data (Hu et al. 2006). Taken  
479 together, chlorophyll and river discharge likely contribute to the formation of hypoxic regions,  
480 particularly when HABs persist through the summer months. Overall, chlorophyll and river  
481 discharge were not individually good indicators of either HABs or hypoxia and there are likely  
482 multiple pathways leading to HAB-hypoxic events.

483         Despite the limitations of the data, we were able to characterize multiple hypoxia events,  
484 and examine similarities and differences in their expression and relation to HABs. The 2005 and  
485 2018 HABs were the worst events in the past 20 years based upon spatiotemporal extent (Hu et  
486 al 2006, Weisberg et al. 2019) and local ecological knowledge (Turley et al. 2021). In contrast,  
487 the 2014 event had major socio-ecological impacts but a minor coastal expression (Driggers et  
488 al. 2016, Turley et al. 2021). During the 2005 event, hypoxia was detected in August at two

489 locations and coincided with the northwest expansion of the nearshore HAB (Figures 4A and  
490 5A). Seasonal surface circulation moves northwest along the Florida coast and winds tend to be  
491 favorable for downwelling, which in turn facilitates the retention of algal bloom biomass in the  
492 area where hypoxia was observed (Yang and Weisberg 1999). Similarly in 2014, hypoxia was  
493 observed off the Big Bend coast of Florida (Figure 5C; see Supplementary materials 2, Figure S2  
494 for September 2014), which coincided with the bloom level *K. brevis* cell concentrations  
495 collected by FWRI that expanded and moved northward (Figure 4B). The localized hypoxia and  
496 expansion of the bloom support the coastal circulation transport mechanism (Yang and Weisberg  
497 1999). Moreover, *K. brevis* cell concentrations were not anomalously high on the Florida coast  
498 south of the Big Bend, consistent with expectations that a cyclonic (counterclockwise) surface  
499 circulation pattern in the Big Bend region would prevent the bloom from spreading  
500 southeastward into shallow coastal waters. In contrast to 2014, hypoxia in 2018 was found  
501 southwest of Sanibel Island (Figure 5F) in an area near where hypoxia was also observed during  
502 2005. Near-hypoxic conditions were observed in several CTD casts in the same general area  
503 during surveys in August and September associated with HABs (see Supplementary Materials 2;  
504 Figure S3 for August and September plots). We suggest that coincident HAB conditions and  
505 hypoxia in 2005, 2014 and 2018 are evidence of a sustained accumulation of biomass from the  
506 bloom depleting local bottom oxygen and forming near-bottom hypoxia due to favorable  
507 physical conditions. Despite the evidence presented in this study, the exact linkage between  
508 HABs and hypoxia is not clear. The toxins produced by *K. brevis* are known to cause mortality  
509 in many marine organisms (Landsberg et al. 2009) and their decomposition may lead to hypoxia,  
510 however, there is also evidence that decaying organic matter due to hypoxia may release  
511 nutrients vital to sustaining HABs forming a positive feedback loop (Vargo et al. 2008).

512 An open question is whether the most deleterious impacts on the ecosystem result from  
513 HABs, hypoxia, or a combination of both stressors—and how these impacts may vary by species.  
514 A laboratory study focused on the stone crab *Menippe mercenaria*, which occurs on the West  
515 Florida Shelf in areas frequently impacted by HABs and target in an important regional  
516 commercial fishery, indicated that lethal and sublethal impacts were more sensitive to oxygen  
517 concentrations than *K. brevis* concentrations (Gravinese et al. 2020). Fish population assessments  
518 incorporating data on age structure, abundance, and other biological information are routinely  
519 used to track population status used in fisheries management. Population assessments for both

520 red grouper and gag grouper have shown major declines in abundance in the years 2005, 2014,  
521 and 2018, suggesting that HABs associated with hypoxia cause significant increases in natural  
522 mortality for these species (SEDAR 2019, SEDAR 2021, Sagarese et al. 2021). This notion is  
523 corroborated by direct observed evidence of HAB induced mortality; for example, Driggers et al.  
524 (2016) documented mass grouper kills during fishery surveys that transected an area impacted by  
525 the 2014 HAB-hypoxia. Additionally, research efforts conducted to quantify severe HABs over  
526 time using fishermen's local knowledge, identified 2005, 2014 and 2018 as extreme HAB years,  
527 with grouper, drum and crab species perceived to be the most significantly affected species  
528 (Turley et al. 2021). Hypoxia may also impact benthic organisms such as sponges and corals  
529 which make up habitat for other species (Smith 1974), leading to limitations in recruitment and  
530 delayed recovery of populations that have undergone increased mortality from HABs. Taken  
531 together, multiple lines of evidence thus demonstrate that HABs associated with hypoxia have  
532 been particularly damaging and can have immediate impacts on some of the major economically  
533 important fishery species in the region. Additionally, there is likely a lag between HAB-hypoxia  
534 events and population or community level responses because multiple long-term perturbations to  
535 these habitats result in cascading effects that take time to manifest.

536         Given that these HAB-hypoxic events have significant impacts on marine ecosystems,  
537 another concern is whether we can expect to see increases in the number of HABs and associated  
538 hypoxia events under changing climate and associated environmental conditions. There is some  
539 evidence that HAB activity is already expanding; some modeling studies indicate that  
540 temperature has been a factor in driving intensification of some HABs (Gobler et al. 2017) but  
541 meta-analysis also indicates an observed increase in some HABs is partly attributable to  
542 improved monitoring over time (Anderson et al. 2021). Overall, it is unclear how *K. brevis*  
543 blooms will respond to changing climate conditions. For example, several studies have found  
544 that *K. brevis* does not survive in culture at temperatures greater than 30-33°C and a rapid  
545 decline in viability has been observed at temperatures above 31°C (Eng-Wilmot et al. 1977,  
546 Hitchcock 1976, Magana and Villareal 2006, Errera et. al 2014). This suggests increasing  
547 temperatures from climate change may reduce the frequency and magnitude of HABs on the  
548 West Florida Shelf. However, more recent studies have found that *K. brevis* growth rates  
549 increase with increasing pCO<sub>2</sub> concentrations (Bercl and Kranz 2019) and that higher growth  
550 rates were observed despite increased temperatures (Errera et. al 2014). Taken together, it is

551 likely that unexpected patterns in future HABs activity may emerge (Wells et al. 2020)  
552 especially considering that HABs develop through unique combinations of physical and  
553 biological factors. More research is needed to understand the combined effect of multiple  
554 stressors since few studies have been carried out examining these interactions (Griffith and  
555 Gobler 2020). The West Florida Shelf is predicted to undergo dramatic warming in the future  
556 (Liu et al. 2015) increasing stratification, which will tend to favor the formation of HABs on the  
557 West Florida Shelf due to the ability of dinoflagellates like *K. brevis* to vertically migrate and  
558 outcompete other phytoplankton species. Tropical storm activity is also expected to increase  
559 under future climate conditions (Emanuel 2021), and associated precipitation causes increased  
560 runoff as well as discharges to the Caloosahatchee River for flood control purposes (Phlips et al.  
561 2020). Generally, precipitation is expected to decrease over the Florida Peninsula and increase  
562 over the West Florida shelf in the latter part of the 21st century (Misra et al. 2019). Thus, overall  
563 freshwater input will likely decrease in favor of extreme pulses due to storm activity. If land-  
564 derived nutrients do intensify already active HABs (sensu Medina et al. 2020), these pulses and  
565 resulting prolonged HABs may become more common similar to events in 2018 and more  
566 recently 2021. In addition to temperature and precipitation, hypoxia is triggered by a host of  
567 other factors including ocean circulation and wind patterns (Altieri and Gedan 2015), but it is  
568 unclear how these regimes may change in the future to impact hypoxia formation in this region.

569 While we found evidence that several hypoxic events on the West Florida Shelf were  
570 connected to HABs, our analyses also found four years, 2011, 2013, 2015, and 2017, with low  
571 DO events that were not associated with HABs. Due to the spatial distribution of the CTD casts  
572 with low DO, we hypothesize that these events were likely a result of upwelling of deeper Gulf  
573 of Mexico waters onto the West Florida Shelf. During all four years, most of the low DO, which  
574 was primarily near hypoxic, was observed between the shelf break at 100 m and about the 50 m  
575 isobath (Figure 2). The distribution of low DO is consistent with the expected path that an  
576 upwelled parcel of water would take on the West Florida Shelf (Weisberg et al. 2014, Weisberg  
577 et al. 2016b). In a series of modeling experiments, Weisberg et al. (2016b) demonstrated that  
578 water upwelled on the eastern edge of the Desoto Canyon at the northwestern corner of the West  
579 Florida Shelf would be advected along the shelf bottom in a roughly southwestward direction. In  
580 fact, Weisberg et al. (2016a) hypothesized that HABs in 2013 were nearly absent on the West  
581 Florida Shelf because upwelling injected deeper, offshore Gulf of Mexico waters onto the shelf.

582 The upwelled nutrients were believed to be more rapidly taken up by diatoms outcompeting  
583 dinoflagellates like *K. brevis*. It is difficult to assess how unusual this upwelling event may have  
584 been and the role upwelling at the shelf break plays in the suppression of HABs because the  
585 relative amount of upwelling in 2013 was not compared to an expected annual climatology  
586 (Weisberg et al. 2014).

587 Invoking upwelling of low DO involves not just movement of deeper Gulf of Mexico  
588 water onto the West Florida Shelf, but also requires that the source of the upwelled water has a  
589 reduced DO signature. We found low DO in 2005, 2011, 2014, 2015 and 2017 in CTD casts at  
590 locations in depths greater than 100 m near the Desoto Canyon on the northwest edge of the shelf  
591 (Figure 8). We propose that this low DO observed offshore was likely an important initial  
592 condition for some of the low DO events found on the shelf in this study. Of all the potential  
593 upwelling-caused, low-DO events in this study, 2017 has the most support from the data. The  
594 low DO was found along the shelf break (Figures 2B and 5E), both mixed-layer temperatures  
595 (Figure 3C) and bottom temperatures (Supplementary materials 2, Figure S7) were lower than  
596 expected, and CTD casts in deeper waters just offshore also had low DO (Figure 8). Taken  
597 together, it seems like deeper, colder waters were upwelled onto the shelf edge that had a  
598 characteristic low DO signature. Bottom temperatures were also quite low in 2013  
599 (Supplementary materials 2, Figure S7), which may be an indication that upwelling was a factor  
600 in the low DO that was observed midshelf in 2013 (Weisberg et al. 2014), but hypoxia and near  
601 hypoxia was also detected on Florida Panhandle (Figures 2 and 5). There were no HABs detected  
602 at that time of the year (Figures 1C and 4B), there were slightly elevated chlorophyll anomalies  
603 in the months before (Figure 6C), and river discharge was elevated (Figure 7). From these data, it  
604 is not clear what caused the low DO near the Panhandle in 2013. If upwelling occurred in 2013  
605 as suggested by Weisburg et al. (2016a), there was an absence of low DO in the 2013 CTD casts  
606 further offshore (Figure 8). A more likely explanation of the low DO in 2013 near the shelf break  
607 was that nutrients upwelled onto the shelf increased biological oxygen demand through plankton  
608 productivity, stratification (Figures 1 and 3), and restricted cross-shelf advection leading to  
609 localized oxygen depletion. Thus, there are multiple mechanisms by which upwelling could lead  
610 to low DO on the West Florida Shelf. The low DO events located midshelf to shelf break in 2013  
611 and 2017 were not due to HABs but rather they are likely a result of upwelled low DO water on  
612 the West Florida Shelf.

613           There are several caveats and limitations to this study. The CTD data were collated from  
614 a variety of sources and thus needed to be quality controlled and standardized. Additionally,  
615 much of the data were not available in regular spatiotemporal intervals and as a result the data  
616 were frequently analyzed by month to allow a synoptic scale analysis. The lack of  
617 comprehensive *K. brevis* sampling and oceanographic survey data hampers a robust  
618 spatiotemporal description of HAB-hypoxic events identified in this paper. The current  
619 spatiotemporal coverage of surveys in the region makes it difficult to determine the persistence  
620 of hypoxia and its association with HABs. For example, given the large spatial distribution of the  
621 HAB in August 2005 identified by FWRI sampling, it is likely that the hypoxic region was much  
622 larger than could be reasonably inferred from the CTD data. Unfortunately, the available CTD  
623 data for 2005 does not extend into the nearshore area nor into the month of September.  
624 Therefore, limiting any estimate of the shoreward extent of hypoxic conditions in 2005 and the  
625 ability to determine if hypoxia persisted into September as it did in 2014. The survey data in  
626 2005 is limited because sampling schedules were truncated in August and canceled for  
627 September due to an active hurricane season in the Gulf of Mexico. While the collated data were  
628 likely useful for analyses for their original purpose, the inherent coarse spatiotemporal scales at  
629 which they were collected likely explains lack of coherence across time and space in the HAB-  
630 hypoxia relationship we have posited. We recommend that priority be given to increasing survey  
631 coverage in the case of the Big Bend region to include more locations closer to shore in August  
632 and September where recurrent hypoxia has been identified in this study. This would better  
633 prepare regional stakeholders to identify and adapt to future HAB-hypoxic events that are more  
634 likely under future climate change scenarios. Data limitations may also have obscured a  
635 comprehensive identification of hypoxia because the maximum depth sampled by the CTD casts  
636 were rarely close (within 1 m) to the seafloor thereby hypoxic zones were unavailable to the  
637 instruments. As a result, the hypoxia identified here is likely an underestimate of the number of  
638 hypoxic events and likely underestimate the spatiotemporal scope of the events on the West  
639 Florida Shelf that were identified. There was an inherent limitation in the analyses because all  
640 bottom DO data were treated similarly regardless of depth. It is generally true of oceanographic  
641 data, including this dataset, that DO is negatively correlated with depth; however, we were not  
642 trying to quantitatively predict DO and including bottom depth does not affect our interpretation  
643 of the data. Broadly, there was a depth dependence in our analyses in which low DO less than 50

644 meters depth appears to be HAB related and low DO greater than 50 m appears to be upwelling  
645 related. Any effort to conclusively link HABs to hypoxia on the West Florida Shelf is also  
646 hampered by the lack of a consistent spatiotemporal index of HAB severity. Previous indices  
647 have attempted to create a synoptic view of offshore HAB activity over time (Walter et al. 2013),  
648 but discontinuation of satellite platforms with changing sensors has presented challenges for  
649 creating a complete time series. Incomplete survey coverage across the shelf in addition to  
650 uncertainty surrounding true near-bottom sampling is evidence that the hypoxia identified in this  
651 study is underestimated.

652         The broader implication for this study includes an initial assessment of parameters that  
653 could be used for seasonal prediction of HABs and hypoxia. Given the complexity of hypoxia  
654 formation, time-varying, 3-dimensional circulation models incorporating algal bloom biomass  
655 transport are needed to better understand the mechanisms of HAB driven hypoxic conditions (for  
656 examples, see Bouffard et al. 2013, Siedlecki et al. 2014). Such a model would be able to capture  
657 the dynamics in which algal bloom biomass is transported into an area of convergence, sinks,  
658 and increases local biological oxygen demand creating a localized region of low DO. Such  
659 predictions could be useful for helping the fishing industry to plan their operations around these  
660 impacted areas and could improve current nearshore forecast systems to benefit coastal  
661 economies and human health. However, additional work is needed to assess in a robust statistical  
662 manner the possible relationships described here. The main limitation to a more robust study is a  
663 lack of a spatiotemporally consistent metric of HAB and hypoxia. Some work has been  
664 completed which shows promise for a satellite-based, red-tide index that could be used in a  
665 hierarchical model (Walter et al. 2013). However, at the present time, there are no robust  
666 satellite-based indices of hypoxia or other synoptic data sources that could be used in similar  
667 analyses as HAB. The present study also suggests that HABs with associated hypoxia are  
668 particularly damaging to at least some components of marine ecosystems. When considering the  
669 spectrum of HABs in the period considered for this study, the events that have been recognized  
670 as damaging the ecosystems and fishery resources were also associated with hypoxia. Other  
671 HABs with large spatiotemporal expressions (e.g., 2006, 2015, 2016), which were not associated  
672 with hypoxia, have not been identified in the literature or during local ecological knowledge  
673 interviews as having as great of impacts on ecosystems or fishery resources. For management of  
674 economically important species as well as endangered and protected species on the West Florida

675 Shelf, further work needs to be done to understand the immediate and lagged impacts of these  
676 HAB-hypoxia events, versus HABs that are not associated with hypoxia. Should these events  
677 become more frequent and severe in the future, it would likely impact overall ecosystem  
678 productivity and would need to be accounted for in management plans of many ocean users and  
679 interest groups including fisheries, protected resources, tourism, and aquaculture industry.

680

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698

## 699 AUTHOR CONTRIBUTIONS

700 BT, MK, MC, and CK collaborated on the original idea for the study and manuscript. BT, MK,  
701 MC, DH, and CK contributed to compiling data from various databases. BT harmonized and  
702 quality controlled the data, performed the analyses, and created the plots. BT, MK, MC, DH, and  
703 CK contributed to writing and editing the manuscript.

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917 TABLES AND FIGURES

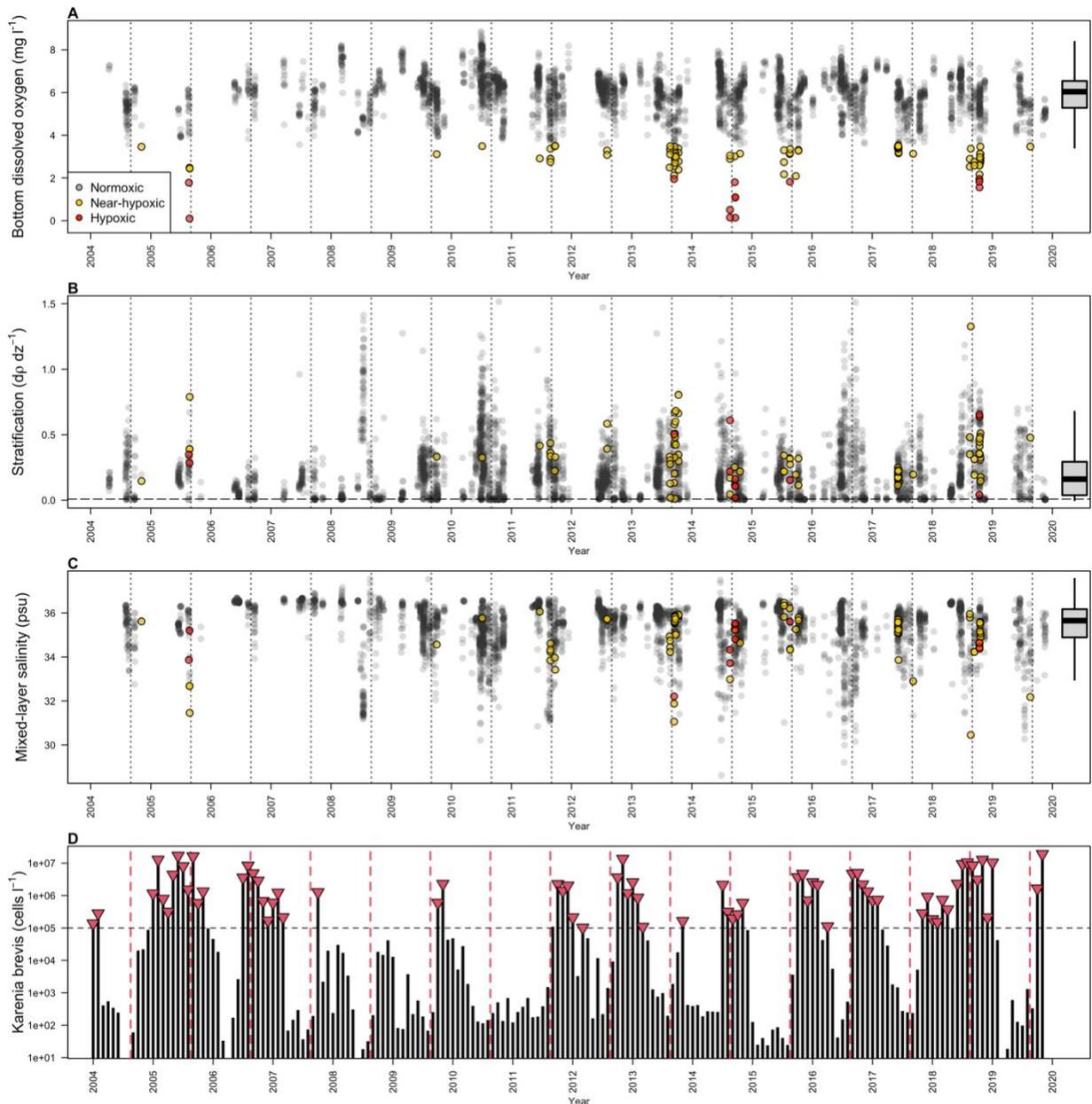
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919 Table 1. Daily river discharge statistics for major rivers influencing the West Florida Shelf.

River name	Mean Daily Discharge (m <sup>3</sup> s <sup>-1</sup> )	Daily Minimum (m <sup>3</sup> s <sup>-1</sup> )	Daily Maximum (m <sup>3</sup> s <sup>-1</sup> )	Daily SD
Apalachicola	638	138	6173	486
Suwannee	249	30	1368	181
Peace	26	0	614	41
Caloosahatchee	54	0	716	74

920

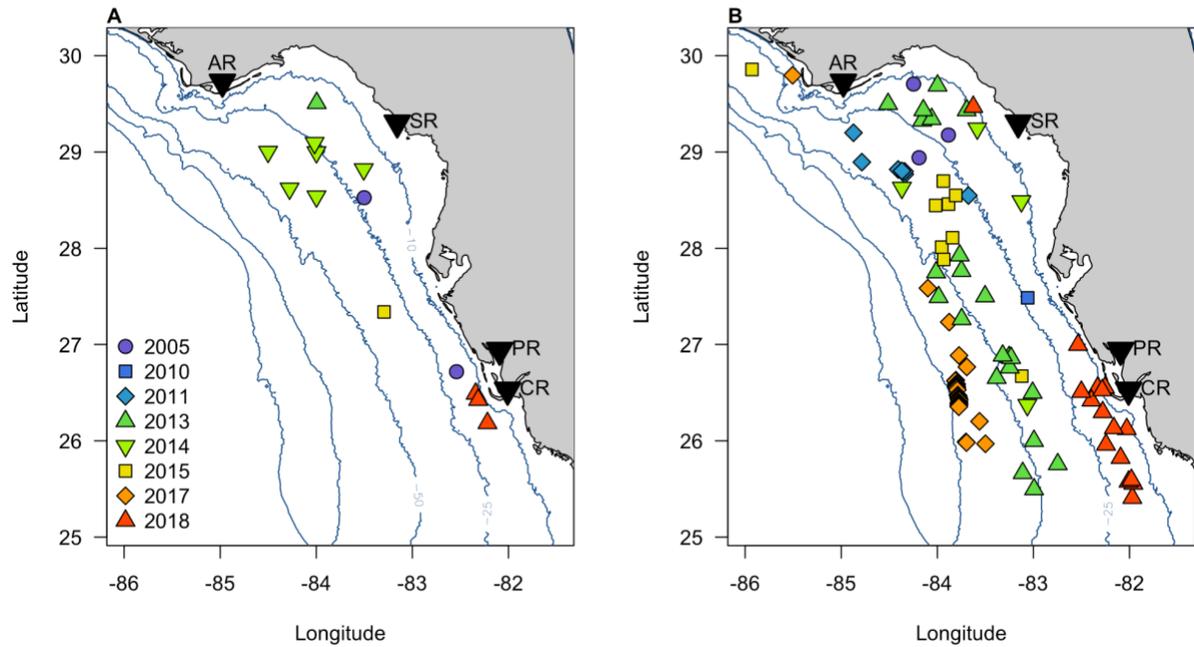
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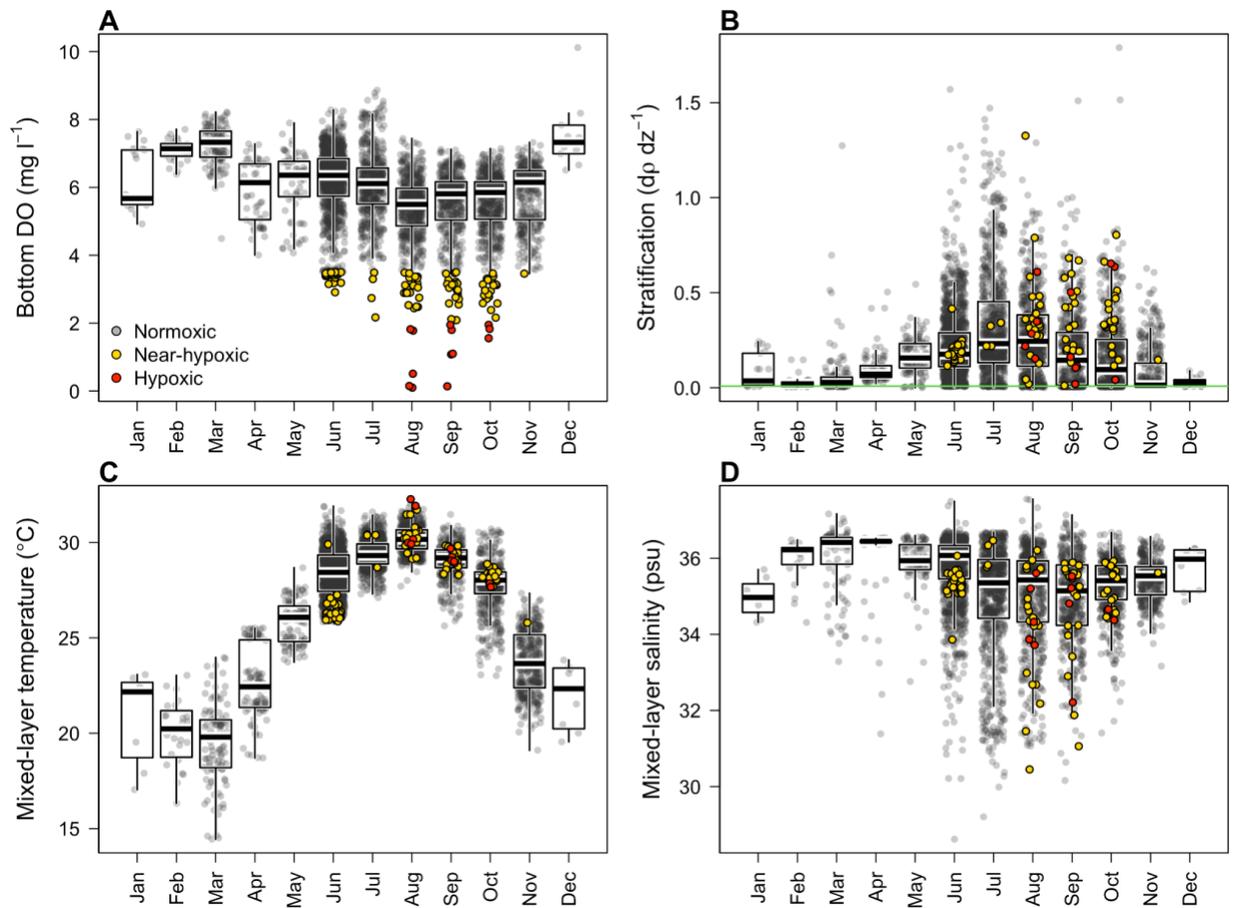
923 Figure 1. (A) Time series of all near bottom dissolved oxygen concentrations (DO,  $\text{mg l}^{-1}$ ) from  
 924 CTD casts on the West Florida Shelf. (B) Time series of stratification defined as the density ( $\text{kg}$   
 925  $\text{m}^{-3}$ ) gradient with depth. The no-stratification cutoff (0.0081) is indicated by a horizontal dashed  
 926 line. (C) Time series of mixed-layer salinities from CTD data. Data points that were hypoxic  
 927 ( $\text{DO} \leq 2 \text{ mg l}^{-1}$ ) are red and near hypoxic ( $2 < \text{DO} \leq 3.5 \text{ mg l}^{-1}$ ) are gold (subplots A and B).  
 928 Boxplots demonstrating the distribution of all the data are added (subplots A – C). (D) Time  
 929 series of monthly 99th percentile of *Karenia brevis* concentrations ( $\text{cells liter}^{-1}$ ) on the West

930 Florida Shelf. Downward pointing red triangles indicate months above the bloom threshold  
931 ( $>100,000$  cells  $l^{-1}$ ) denoted by the horizontal dashed line. The vertical dotted lines reference the  
932 approximate peak of HAB season on September 1st of every year (subplots A – D).

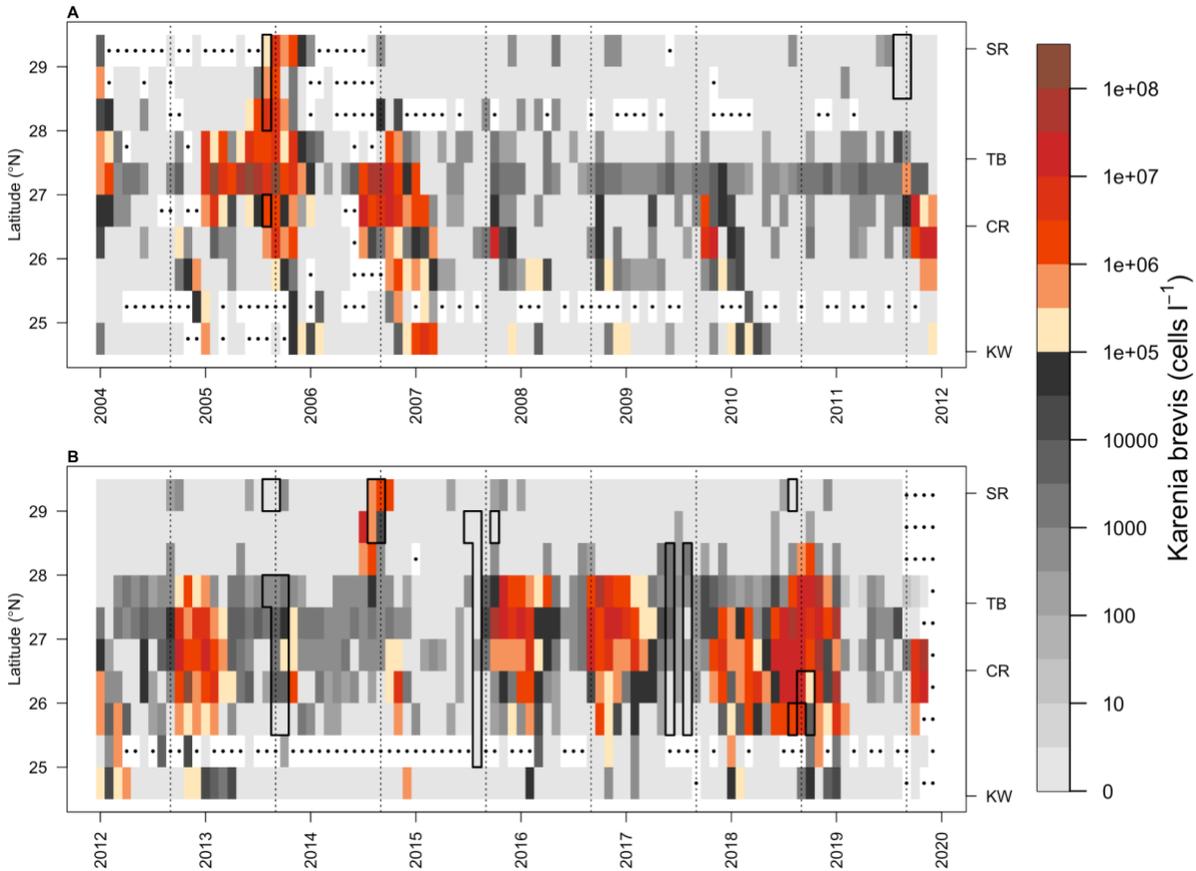


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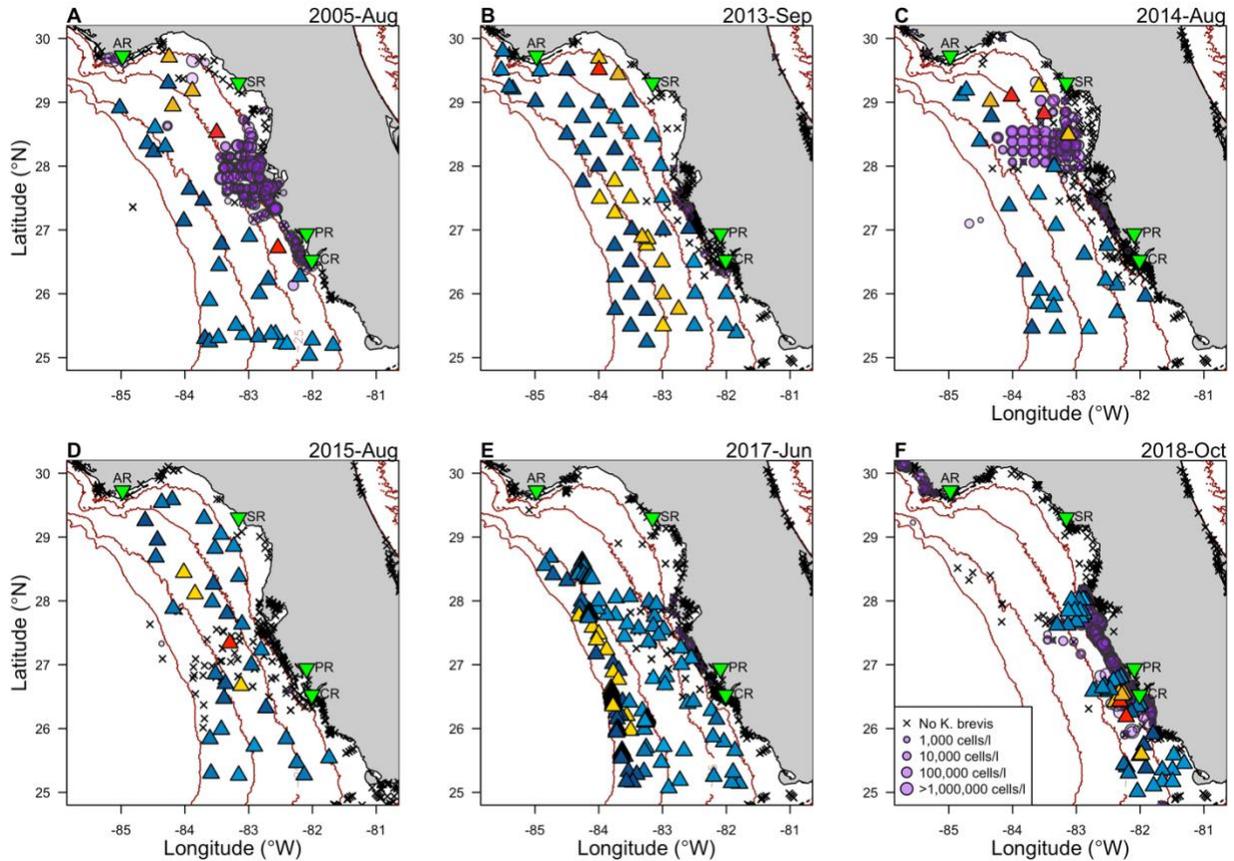
934 Figure 2. (A) Spatial distribution of all CTD casts with near bottom dissolved oxygen  
935 concentrations that were hypoxic ( $DO \leq 2 \text{ mg l}^{-1}$ ). (B) Spatial distribution of all CTD casts with  
936 near bottom dissolved oxygen concentrations that were near hypoxic ( $2 < DO \leq 3.5 \text{ mg l}^{-1}$ ).  
937 Bathymetric contours at 10, 25, 50, 100, and 200 meters are included as reference. The mouths  
938 of the three major rivers defined by highest discharge—Apalachicola River (AR), Suwannee  
939 River (SR), Peace River (PR) and the Caloosahatchee River (CR)—are indicated as upside-down,  
940 black triangles.



941  
 942 Figure 3. Boxplots displaying the climatology per month of bottom dissolved oxygen (A),  
 943 stratification (B), mixed-layer temperature (C), and mixed-layer salinity (D). The no-  
 944 stratification cutoff (0.0081) is indicated by a horizontal green line (subplot B). Data points that  
 945 were hypoxic ( $DO \leq 2 \text{ mg l}^{-1}$ ) are red and near hypoxic ( $2 < DO \leq 3.5 \text{ mg l}^{-1}$ ) are gold. Boxplots  
 946 are overlaid on top of all the data points.

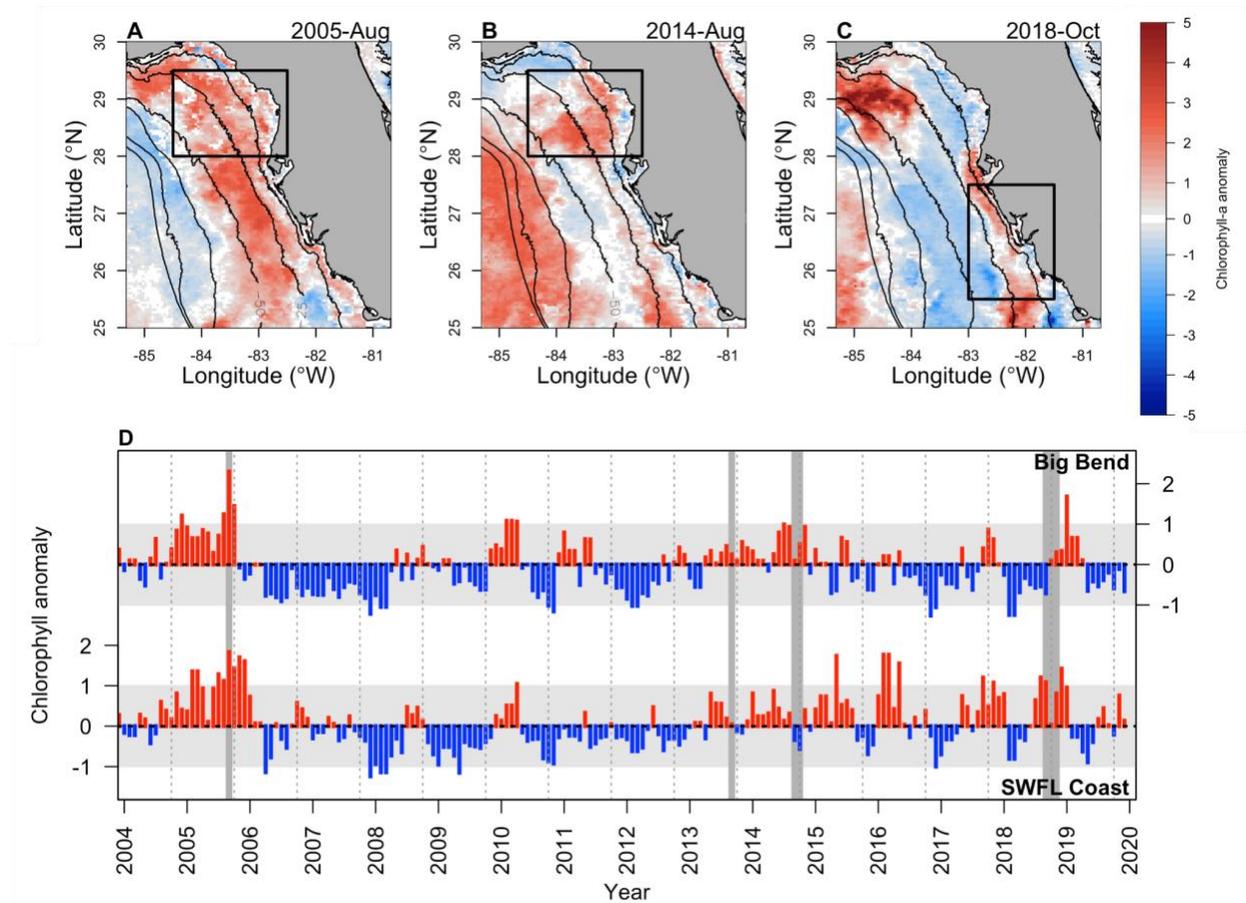


947  
 948 Figure 4. *Karenia brevis* (cells l<sup>-1</sup>) Hovmöller diagram. Each box was aggregated as the 99%  
 949 percentile of *K. brevis* cell counts per 0.5° latitude per month. Missing data are indicated by  
 950 black dots. (A) Data from 2004 through 2011 and (B) bottom plot is data from 2011 through  
 951 2019. On the right side of the plots Suwannee River (SR), mouth of Tampa Bay (TB),  
 952 Caloosahatchee River (CR), and Key West (KW) are indicated as reference. The vertical dotted  
 953 lines reference the approximate peak of the HAB season in September of every year. Black  
 954 boxes highlight observed hypoxia events in August 2005, August-October 2013, August-  
 955 September 2014, June and August 2017, and August-October 2018. The colorbar has a break at  
 956 100,000 cells l<sup>-1</sup>, which is defined by Florida Fish and Wildlife Institute as a medium level HAB  
 957 with respiratory irritation, shellfish closures, fish kills and likely detection by satellite  
 958 observations.



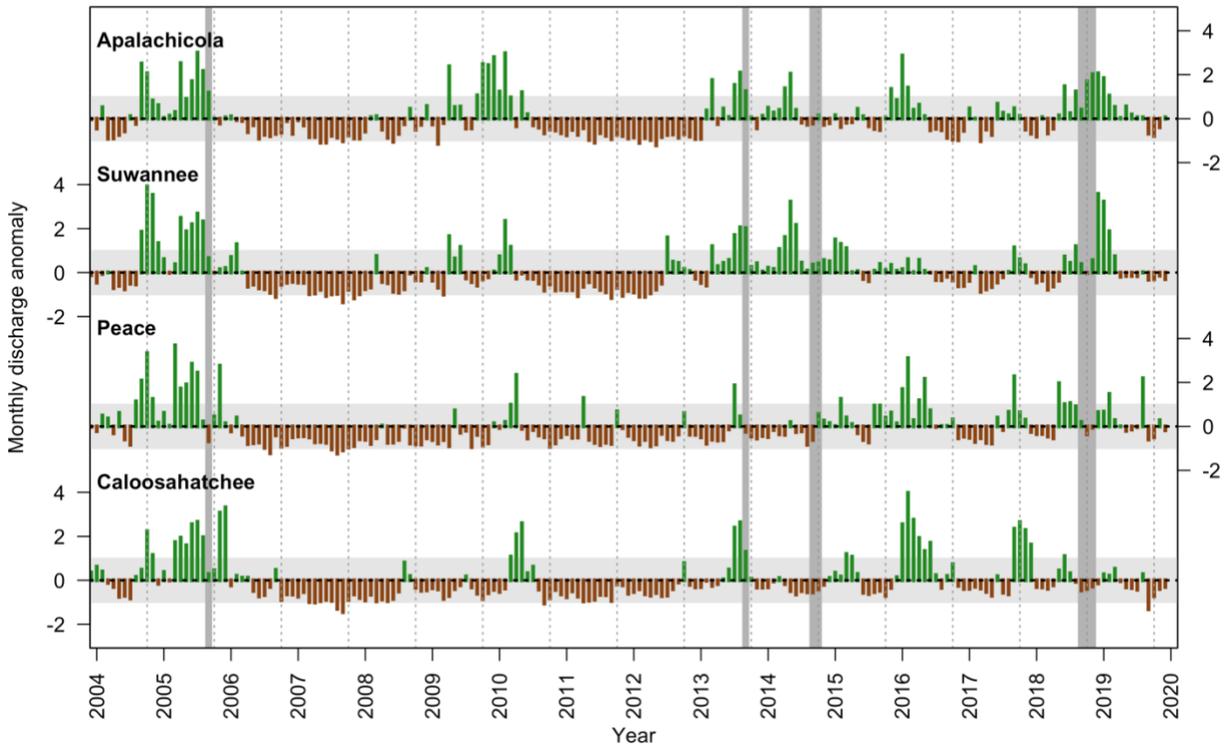
959

960 Figure 5. Sampled near bottom dissolved oxygen (DO) concentrations for months with hypoxia  
 961 ( $DO \leq 2 \text{ mg l}^{-1}$ ) or near hypoxia ( $2 \leq DO \leq 3.5 \text{ mg l}^{-1}$ ) present. Bathymetric contours at 10, 25,  
 962 50, and 100 meters are included as reference. The diameter of the purple circles is proportional to  
 963 the logarithm base 10 of *Karenia brevis* cell counts for the same month the CTD data were  
 964 obtained and black Xs indicate water samples without detectable *K. brevis* cells. The  
 965 Apalachicola River (AR), Suwannee River (SR), Peace River (PR), and the Caloosahatchee  
 966 River (CR) are indicated as upside-down, green triangles. Blue filled triangles are normoxic ( $DO$   
 967  $> 3.5 \text{ mg l}^{-1}$ ), yellow triangles are near hypoxia ( $2 \leq DO \leq 3.5 \text{ mg l}^{-1}$ ), and red triangles are  
 968 hypoxic ( $DO \leq 2 \text{ mg l}^{-1}$ ).

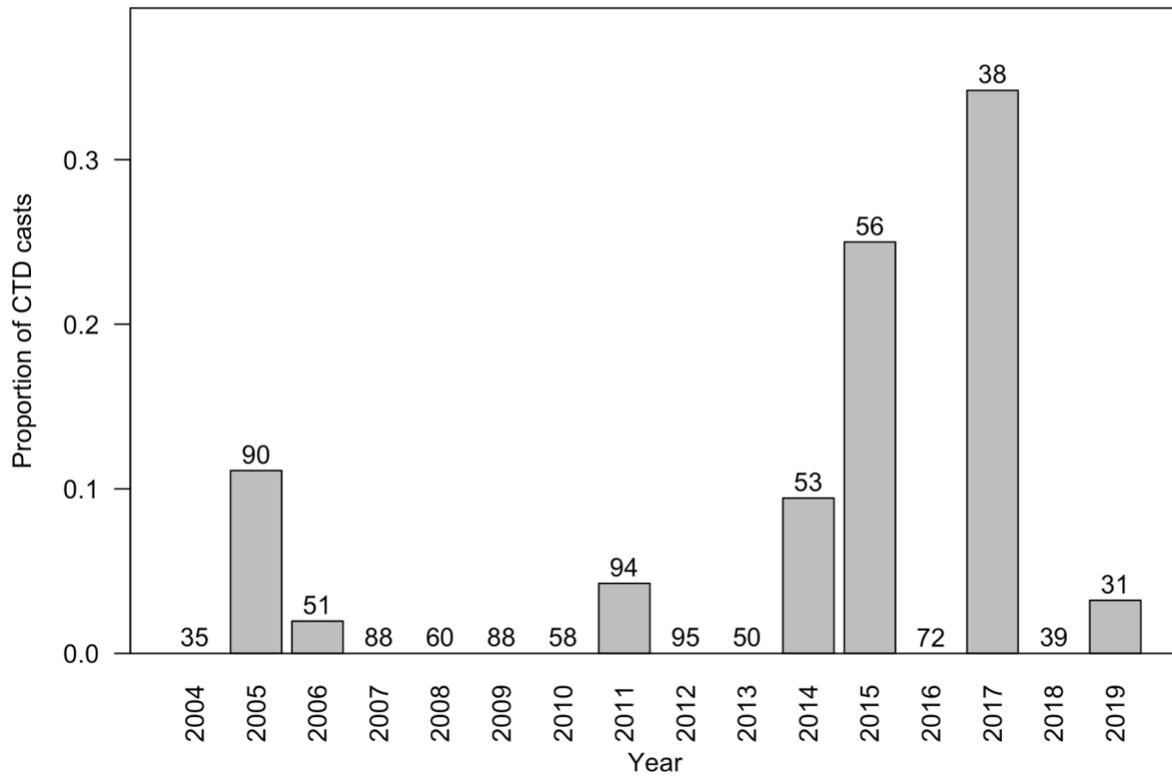


969

970 Figure 6. Chlorophyll-a anomalies calculated from MODIS imagery for (A) August 2005, (B)  
 971 August 2014, and (C) October 2018. (D) Anomaly time series for the Big Bend region  
 972 corresponding to box in plot B and southwest Florida (SWFL) coast corresponding to box in plot  
 973 C. Bathymetric contours at 10, 25, 50, 100, 200, and 300 meters are included as reference. The  
 974 dashed vertical lines in plot D are September of each year, which is the approximate peak of  
 975 HAB season. Vertical gray bars indicate the observed hypoxia events in August 2005, August  
 976 2013, August-September 2014, and August-October 2018. Horizontal gray bars are the +/- 1  
 977 standard deviation anomaly.



978  
979 Figure 7. Daily river discharge anomalies for Apalachicola, Suwannee, Peace, and  
980 Caloosahatchee Rivers. Daily discharge data for each river were downloaded from the USGS  
981 National Water Information System website. Daily data were aggregated into monthly mean  
982 values and then the standardized anomalies were calculated per river. The vertical dashed lines  
983 denote September, which is approximately the peak HAB season. Vertical gray bars indicate the  
984 observed hypoxia events in August 2005, August 2013, August-September 2014, and August-  
985 October 2018. Horizontal gray bars are the +/- 1 standard deviation anomaly.



986

987 Figure 8. Bar chart displaying the proportion of CTD casts with low DO ( $DO \leq 3.5 \text{ mg l}^{-1}$ )  
988 sampled at depths greater than 100 m during May through September of each year. The total  
989 number of casts regardless of DO concentrations are displayed above bars as a reference.