

# A quantitative comparison and validation of finite-fault models: The 2011 Tohoku-Oki earthquake

Jeremy Wing Ching Wong<sup>1</sup>, Wen Yuan Fan<sup>1</sup>, Alice-Agnes Gabriel<sup>1,2</sup>

<sup>1</sup>Scripps Institution of Oceanography, University of California San Diego, La Jolla, CA, USA

<sup>2</sup>Department of Earth and Environmental Sciences, Ludwig-Maximilians-Universität München, Munich, Germany

## Key Points:

- We compare and validate 32 finite-fault models of the 2011 Tohoku-Oki earthquake, assuming realistic slab geometry and varying spatial scales.
- Models at the 64 km scale agree well with each other, indicating variability stems primarily from small-scale slip features.
- Observations show sensitivity to rupture propagation but not to small-scale features, highlighting needs for dense off-shore instrumentation.

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Corresponding author: Jeremy Wing Ching Wong, [wcwong@ucsd.edu](mailto:wcwong@ucsd.edu)

## Abstract

Large earthquakes rupture faults over hundreds of kilometers within minutes. Finite-fault models elucidate these processes and provide observational constraints for understanding earthquake physics. However, finite-fault inversions are subject to non-uniqueness and substantial uncertainties. The diverse range of published models for the well-recorded 2011  $M_w$  9.0 Tohoku-Oki earthquake aptly illustrates this issue, and details of its rupture process remain under debate. Here, we comprehensively compare 32 finite-fault models of the Tohoku-Oki earthquake and analyze the sensitivity of three commonly-used observational data types (geodetic, seismic, and tsunami) to the slip features identified. We first project all models to a realistic megathrust geometry and a 1-km subfault size. At this scale, we observe poor correlation among the models, irrespective of the data type. However, model agreement improves significantly when subfault sizes are increased, implying that their differences primarily stem from small-scale features. We then forward-compute geodetic and teleseismic synthetics and compare them with observations. We find that seismic observations are sensitive to rupture propagation, such as the peak-slip-rise time. However, neither teleseismic nor geodetic observations are sensitive to spatial slip features smaller than 64 km. In distinction, the synthesized seafloor deformation of all models exhibits poor correlation, indicating sensitivity to small-scale slip features. Our findings suggest that fine-scale slip features cannot be unambiguously resolved by remote or sparse observations, such as the three data types tested in this study. However, better resolution may become achievable from uniformly gridded dense offshore instrumentation.

## Plain Language Summary

Large earthquakes often rupture in unexpected ways across extensive areas of the fault. Scientists use finite-fault models to resolve these processes in detail. These models use different observations to help us understand earthquake physics and plan for future hazard mitigation and risk management. However, these models are not perfect: they are often challenging to resolve, and different models of the same earthquake can show very different results. For example, over 45 different models have been published for the 2011  $M_w$  9.0 Tohoku-Oki earthquake, each showing varying “slip features” of how the megathrust moved during the event. In this study, we compare 32 of these models with each other and with observations in a new systematic way. The models show coherent features at a scale of 64 km while disagreeing on the smaller, fine-scale details. We find that such fine-scale features cannot be uniquely resolved by the commonly-used remote observations, such as geodetic, seismic, and tsunami data. Our study suggests that to gain a better understanding of large megathrust earthquakes, dense networks of instruments placed directly offshore close to the megathrust are needed for robustly resolving their rupture processes.

## 1 Introduction

Large earthquake rupture can evolve rapidly, propagating hundreds of kilometers in complex ways (Ammon et al., 2005; Simons et al., 2011; Ide et al., 2011). Imaging earthquake rupture processes is vital for understanding earthquake physics and the associated hazards (Tinti, Spudich, & Cocco, 2005; Uchida & Bürgmann, 2021). Finite-fault models characterize the spatial-temporal slip distributions of large earthquakes (Ide, 2007), and these models can be developed using a range of datasets and inversion methods (Hartzell & Heaton, 1983; Ji et al., 2002; S. Minson et al., 2013; Yagi & Fukahata, 2011a; Ide, 2007). However, finite-fault inversion is often parameterized as an ill-conditioned problem with a large number of unknowns and a simplified, assumed fault configuration (e.g., Ide, 2007; Fan et al., 2014). Moreover, unknown 3D Earth structures lead to inaccurate Green’s functions, further hampering the robustness of finite-fault models (Beresnev, 2003; Wald

& Graves, 2001; Gallovič et al., 2015). Dense, near-field geophysical observations can offer critical constraints that help resolve finite-fault models with high fidelity (e.g. Tinti et al., 2016; Scognamiglio et al., 2018; Asano & Iwata, 2016). However, many earthquakes occur in remote regions where observations are scarce, such as in subduction zones. Finite-fault models often significantly differ from each other for the same earthquake (e.g., P. Mai et al., 2007; Shearer & Bürgmann, 2010; Razafindrakoto et al., 2015; K. Wang et al., 2020), and quantitatively comparing and differentiating these models remains challenging (e.g., P. M. Mai et al., 2016; Lay, 2018).

The 2011  $M_w$  9.0 Tohoku-Oki earthquake is one of the best-observed megathrust earthquakes (Lay, 2018). The earthquake ruptured approximately 400 km along-strike and 220 km along-dip offshore the northern Honshu area in Japan (Kodaira et al., 2020). The event was well recorded by a dense and diverse array of observations, including on-shore geodetic data (Sagiya, 2004), offshore acoustic-GPS (e.g., Sato et al., 2011; Kido et al., 2011) and pressure gauge data (e.g., Y. Ito et al., 2011; Hino et al., 2011; Maeda et al., 2011a), regional and teleseismic data (e.g., Okada et al., 2004), and tsunami (e.g., Maeda et al., 2011a; Mungov et al., 2013) and seafloor mapping data (Fujiwara et al., 2011; Kodaira et al., 2012). These datasets facilitated the development of more than 45 finite-fault models of the Tohoku-Oki earthquake (Sun et al., 2017). However, these models exhibit significant differences in their slip distributions (Lay, 2018; Razafindrakoto et al., 2015). For example, maximum slip estimates at the trench range from 0 to 80 m for an along-dip cross-section through the hypocenter of 45 published models (Sun et al., 2017). Similar variability exists along the strike direction, particularly regarding the northern rupture extent beyond 39.5°N. This leaves the source of the Sanriku region tsunami a topic under debate (Mori et al., 2011; Kodaira et al., 2020; Du et al., 2021). The discrepancies among the finite-fault models of the Tohoku-Oki earthquake have given rise to several unresolved questions, including on tsunami sources and variability in megathrust frictional behavior (Tajima et al., 2013; Sun et al., 2017; Lay, 2018; Kodaira et al., 2020; Uchida & Bürgmann, 2021).

The remainder of this paper is structured as follows. In Section 2, we describe the 32 published finite fault models analyzed in this study and introduce a new reparameterization framework to unify the models for systematic comparison. The model comparison in Section 3 quantitatively identifies their coherent and unique features at varying spatial scales. We quantify the sensitivity of geodetic, teleseismic and tsunami data to the variability in the finite-fault models in Section 4. We discuss controlling factors of model variability and implications of our study as well as future opportunities in Section 5.

## 2 Finite-fault Models of the 2011 Tohoku-Oki Earthquake

We analyze 32 finite-fault slip models of the 2011 Tohoku-Oki earthquake (Figure 1; Text S1). The models have been obtained using various inversion techniques and Green’s functions, which result from the fault parameterization and the Earth’s structure. The finite-fault models are inverted from a wide range of datasets and exhibit a wide range of slip features (Figure 2). Here, we focus on the final slip distribution of each model because a large portion of the models are static. While we do not systematically compare available slip rate histories, we use them to investigate their impact on teleseismic waves when available (Section 4.2.3). We classify the models into five groups based on the datasets used (Figure 1 and 2).

The geodetic finite-fault group (in the following, labeled as “G”) includes nine models that describe the static slip distributions of the Tohoku-Oki earthquake (Pollitz et al., 2011; T. Ito et al., 2011; Diao et al., 2012; Iinuma et al., 2012; C. Wang et al., 2012; R. Wang et al., 2013; Zhou et al., 2014; Hashima et al., 2016; Xie & Cai, 2018). These models are inferred from geodetic measurements, including both onshore and offshore

displacement acquisitions. The regional seismic finite-fault group (“R”) comprises four models (Lee et al., 2011; Suzuki et al., 2011; Wei et al., 2012; Yue & Lay, 2013), which were developed from data of onshore strong ground motion, broadband, and high-rate GNSS (Global Navigation Satellite System) stations. The teleseismic finite-fault group (“S”) contains six models (Ide et al., 2011; Hayes, 2011; Goldberg et al., 2022; Ammon et al., 2011; Yagi & Fukahata, 2011b; Kubo & Kakehi, 2013), primarily derived from teleseismic body waves and surface waves recorded at stations located within the 30° to 90° epicentral distance range. The tsunami finite-fault group (“T”) includes eight models (Simons et al., 2011; Fujii et al., 2011; Saito et al., 2011; Gusman et al., 2012; Hooper et al., 2013; Satake et al., 2013; Romano et al., 2014; Kubota et al., 2022), which are based on data from near-source pressure gauges, tide gauges, and open-ocean buoys. Lastly, the joint finite-fault group (“J”) includes five models (Yokota et al., 2011; S. E. Minson et al., 2014; Bletery et al., 2014; Melgar & Bock, 2015; Yamazaki et al., 2018). Models in this last group are required to incorporate geodetic, seismic (regional and/or teleseismic), and tsunami datasets.

## 2.1 Unifying Model Parameterization

We design a unifying framework to consistently reparameterize the models, ensuring that they share the same geometric and subfault configuration. This unifying procedure allows a quantitative and systematic comparison. We first project the finite-fault models onto the subduction interface using the Slab2.0 model to provide a realistic fault plane geometry (Hayes et al., 2018). Our projection method preserves the seismic potency distribution, both along depth and along strike. We align the shallowest subfault extents of each finite-fault model with the location of the Japan Trench (Hayes et al., 2018; GEBCO, 2023), which is situated approximately 7.65 km below the sea surface. We then project the depth-shifted models onto the subduction interface along the strike-depth plane, as defined by the Slab2.0 model (Hayes et al., 2018), but extending it to the Japan Trench (Figure 3b).

The Slab2.0 model maps the megathrust interface from 10 km to 150 km depth, omitting the shallowest near-trench geometry. Considering that the Tohoku-Oki earthquake likely ruptured all the way to the trench (Lay, 2018; Uchida & Bürgmann, 2021), we here extend the Slab2.0 megathrust to the trench assuming a shallow megathrust dipping angle of 10°. Near-trench seismic reflection surveys guide our shallow slab geometry extension (Tsuji et al., 2011; Y. Ito et al., 2011). We shift the Slab2.0 megathrust geometry to be 0.5 km shallower for a smooth connection with the shallow extension to the trench. This 0.5 km depth shift falls well within the depth uncertainty of the Slab2.0 model (Hayes et al., 2018).

We upscale the projected models to a grid with uniformly spaced points, set 1 km apart, following the scheme outlined in Tinti, Fukuyama, et al. (2005). We use a cubic spline interpolation to upscale each model to four times the original number of subfaults (Figure 3c). However, this interpolation process does not preserve the seismic potency distribution. Therefore, we calculate the sum of the interpolated seismic potency within the area of each original subfault and compare it with that of the original model to compute a potency ratio. We then scale the original slip using the potency ratio for each subfault as weights. We iterate the interpolation with the scaled original slip until the discrepancy in seismic potency between the original and interpolated models falls below a 5% threshold, which typically takes 2–3 iterations. This iterative procedure effectively preserves the seismic potency of the original models while ensuring spatial smoothness in the interpolated models. Without the iterative steps, applying the potency ratio to the interpolated models may result in artificially sharp edges in the upscaled slip distribution due to the coarse parameterizations of the original models. We apply this upscaling procedure to both the along-strike and along-dip slip to preserve the original rake at each subfault. Finally, we linearly map the upscaled model to a set of grid points spaced



1 km apart horizontally, and their depths are defined by the megathrust geometry (Figure 3d). We apply this projection-upscaling procedure to all 32 models, leading to a collection of uniformly parameterized models that our following analyses are based on.

## 2.2 General Features of the Finite-fault Models

The megathrust in the Japan subduction zone extends along the strike from the Ibaraki region to the Sanriku-Oki region. This area can be divided into three main sections along-strike: the northern Sanriku-Oki region (ZN), the central Miyagi-Oki region (ZC), and the southern Ibaraki-Fukushima-Oki region (ZS). Following this geographic along-strike division, we further segment these three sections into six zones, using a depth of 15 km as an along-dip boundary (Figure 4). The 32 finite-fault models exhibit disagreement with respect to their exact rupture extents within these regions. We consider that a respective zone was ruptured during the Tohoku-Oki earthquake if it has  $\geq 10$  m slip.

We summarize the characteristics of each slip model according to this six-zone division in Table 1. During the last 1,500 years, three  $M \geq 8$  earthquakes occurred prior to the 2011 Tohoku-Oki earthquake in the same region. These include the 869 Jyogan M 8.3 earthquake in the central Miyagi region, the 1611 M 8.5 Keicho earthquake, and the 1896 Meiji Sanriku M 8.5 tsunami earthquake in the northern Sanriku region (Tanioka & Satake, 1996; Imai, 2015) (ZN1, Figure 4). Notably, however, no major earthquake with M8 or larger has been documented in the southern section (Satake, 2015) (ZS1, Figure 4). The Tohoku-Oki earthquake was located in the central shallow zone (ZC1, Figure 4) and might have ruptured more than one section or zone. Approximately one-third of the models, including a joint inversion model, J5, show an extended shallow rupture in the Sanriku region (ZN1, Figure 4d). If true, the Tohoku-Oki earthquake may have re-ruptured the slip area of the 1896 Meiji tsunami earthquake, which may explain the exceptionally high tsunami heights of up to 30 m near the  $39.5^\circ$  coast and the large tsunami runup extending up to 10 km inland (Mori et al., 2011). However, this ZN1-slip feature is not present in all models. In addition, 5 out of the 32 models suggest that the Tohoku-Oki earthquake penetrated a deeper portion of the megathrust in the Sanriku region (ZN2; Table 1).

All finite-fault models suggest that the Tohoku-Oki earthquake ruptured the central shallow part of the Japan trench megathrust, specifically in the Miyagi region (ZC1), at a depth of less than 15 km. Bathymetric surveys conducted before and after the earthquake identified a horizontal trench-ward seafloor displacement of more than 50 m at  $38^\circ\text{N}$  (Fujii et al., 2011; Kodaira et al., 2012), providing definitive evidence of significant slip near the trench in the central section. However, the models differ significantly regarding the down-dip rupture extent, with around three-quarters of models indicating deep slip beyond the 15 km depth in the Miyagi region. Furthermore, the location of the peak slip varies from model to model, with 18 models placing the largest slip at the trench (e.g., G4 and T8 in Figure 4) and 14 models locating the maximum slip away from the trench (e.g., models R3 and J5 in Figure 2). These discrepancies imply contrasting rupture mechanisms and/or variations in the material properties of the very shallow part of the Japan subduction zone (Sun et al., 2017; Ulrich et al., 2022).

The southern extent of the Tohoku-Oki earthquake rupture in the Ibaraki-Fukushima region remains ambiguous. For example, Bassett et al. (2016) and Liu and Zhao (2018) argued that an altered forearc structure might have controlled the frictional behavior of the megathrust, thus effectively limiting the rupture extent to the shallow Ibaraki-Oki region. In this scenario, the forearc structure at the shallow southern section (ZS1) acts as a barrier to halt southern rupture. However, approximately one-third of the models locate significantly large slip in ZS1, such as model R3 in Figure 4b. Moreover, about one-fourth of the models suggest deeper rupture in the southern section (ZS2; Table 1)

in a potentially disconnected secondary slip patch triggered by the main slip in ZC1 (e.g., G4 in Figure 4a).

We derive a median slip model (M) by taking the median slip at the along-dip and along-strike directions of the 32 finite-fault models at each subfaults (Figure 5). The median model forms a simple slip distribution with a smooth, circular patch up-dip of the hypocenter (ZC1). The lateral extent of the slip is predominantly confined between  $37^\circ$  to  $39^\circ$  along the strike direction. Regarding the dip direction, the model suggests significant slip extending to the trench, although the maximum slip, valued at 38.0 m, occurs approximately 5 km away from the trench (Figure 5).

The standard deviation of the 32 collected slip distributions highlights the variability among the finite-fault models (Figure 5). The standard deviation peaks at more than 20 m near the trench in ZC1, suggesting that the shallow slip of the Tohoku-Oki earthquake is poorly resolved. Depending on the inversion strategies, some models have likely tapered the slip towards the trench. Therefore, we categorize the models into two groups based on the near-trench slip (Figure 1) and compute their standard deviations separately. We find that the respective standard deviations within each of the two groups remain greater than 15 m near the trench, indicating variations in either the peak-slip location or the peak-slip amplitude at the trench (Figure 5). The standard deviation distributions also suggest widespread slip uncertainties—greater than 2.5 m—in the northern region up to  $40^\circ$  north, southern region, and down-dip regions up to 60 km depth.

### 3 Model Comparison

All finite-fault models suggest large near-trench slip in ZC1 (Figure 2), where a large slip deficit had been estimated prior to the Tohoku-oki earthquake (Hashimoto et al., 2012; Loveless & Meade, 2011). This slip feature is the most consistent attribute among the models, with primary differences arising in secondary features, such as slip distributions in zones away from ZC1 (Lay, 2018). Yet even within zone ZC1, model differences manifest as peak slip locations or variations in the heterogeneity of the slip distributions (Sun et al., 2017). We caution that peak slip may not be well resolved in these finite-fault models due to varying fault parameterization and varying selected Earth structural models (Lay, 2018).

The models obtained using single data types all show different limitations, mainly reflecting their sensitivities to offshore slip and network configurations (Lay, 2018; Uchida & Bürgmann, 2021). For example, geodetic models tend to have smooth slip distributions with their peak slip patch located near the hypocentral region (Lay, 2018). Models using tsunami data may be influenced by secondary sources, including inelastic off-fault deformation and possible submarine landslides (Uchida & Bürgmann, 2021; Kodaira et al., 2021; Du et al., 2021). However, tsunami data has an advantage over on-shore observations due to its sensitivity to slip near the trench (Lay, 2018; Kodaira et al., 2021). Differential bathymetry and near-trench turbidities can directly constrain the occurrence and amplitude of the near-trench slip, and post-earthquake surveys suggest that the main coseismic slip was limited to the south of  $39.2^\circ$  (Ikehara et al., 2016; Kodaira et al., 2020, 2021). Models obtained from joint inversions using multiple datasets may best represent the various observations of the Tohoku-Oki earthquake (Lay, 2018; Uchida & Bürgmann, 2021). However, the slip distributions of the joint-inversion models are significantly more complex than those of other models. These complexities may be affected by incomplete isolation of the coseismic signals, inaccurate assumptions about signal sources, and the chosen weighting scheme to combine multiple datasets (Lay, 2018).

Razafindrakoto et al. (2015) qualitatively compared the overall variability of 21 finite-fault models by computing multi-dimensional scaling statistics, including a grey-scale matrix. Their statistics show large variability among the models, likely reflecting the dif-

ferent underlying dataset types (Razafindrakoto et al., 2015). Specifically, their grey-scale matrix suggests that models obtained using tsunami data are more variable when compared to models developed using other data types (Razafindrakoto et al., 2015). Since their model comparison is drawn from statistical metrics, it is challenging to delineate specific slip features, leaving the spatial differences of the slip distributions unclear. Sun et al. (2017) focused on the near-trench slip characteristics of 45 finite-fault models and compared an along-depth slip profile at 38°N. Their comparison identified a high level of variability among the models (Sun et al., 2017).

In this section, we apply a new model-comparison framework to quantitatively extract coherent and unique slip features of the finite-fault models at varying length scales. We also quantify the model variability of the five model groups by examining the wavelength power-spectral densities of their respective median models. Without certainty about the actual rupture process of the Tohoku-Oki earthquake, we consider all models equally feasible since they can explain their respective datasets; we do not rank the models.

### 3.1 Slip Heterogeneity

To investigate variability in smaller-scale heterogeneity of the finite-fault models, we compute the spatial power spectra of each slip distribution. We apply a 2D Fourier transform to obtain a 2D power spectrum density. By performing a circular mean over the wave number range ( $k = \sqrt{k_s^2 + k_d^2}$ ,  $k_s$  and  $k_d$  are the along-strike and along-dip wave numbers), we derive a 1D power spectrum density of each slip distribution (P. M. Mai & Beroza, 2002). We then compute the respective median spectra for the five model groups. We use these median spectra to quantify the variations in slip heterogeneity associated with each data type (Figure 6). Their decay rates are related to the smoothness of the slip distributions and reflect the relative heterogeneity in slip distributions at different spatial scales.

The power spectra of the slip models show that the spectra variability increases with the wave number, suggesting an increase in model complexities with smaller features (Figure 6). The model spectra show good agreement in the wavelength range below  $1/80 \text{ km}^{-1}$ , which reflects that all models have a significant slip patch approximately 80 km in dimension. However, we notice systematic differences in the spectra for different groups in the wave number range of  $1/80$  to  $1/10 \text{ km}^{-1}$  (Figure 6). This spectrum variation in the high wave number results in different spectrum decay rates of the five groups, ranging from -2.1 to -3.0. The tsunami and joint-inversion groups have decay rates around -2.2, indicating that these models are enriched in heterogeneous small-scale features, such as more than one major slip patch or sporadic near-trench slip. In contrast, smooth models, such as those from the geodesy and regional-seismic data groups, are characterized by faster spectra decays with corresponding rates around -3.0 (Figure 6). Models developed from teleseismic data have decay rates of approximately -2.7, reflecting their one or two major smooth patches with few secondary features. Within each group the variability of the spectra varies among different groups, indicating inconsistent model features even when using the same data type.

### 3.2 Model Correlation at Multiple Scales

We quantitatively evaluate the similarity between models by computing a correlation coefficient for each pair of models. This correlation coefficient is the inner dot product of two normalized slip-vector fields. A slip-vector includes the along-strike and along-dip slip values, and a slip-vector field characterizes the final slip distribution of a finite-fault model. We define the correlation coefficient  $R_{ij}$ , similar to a Pearson correlation, as:

$$R_{ij} = \frac{\langle \Phi_i, \Phi_j \rangle}{\sqrt{\langle \Phi_i, \Phi_i \rangle \langle \Phi_j, \Phi_j \rangle}} \quad (1)$$

where  $i$  and  $j$  are model indices, and  $\Phi_i$  and  $\Phi_j$  are the corresponding slip models with the same parameterization configuration. The resulting correlation coefficient  $R_{ij}$  ranges from -1 to 1: a coefficient of 1 indicates that the two slip-vector fields share an identical spatial pattern, although their absolute values may differ; a coefficient of 0 indicates no correlation between the slip-vectors.

Our unified models all have a subfault size of 1 km, and the model correlation coefficients range from 0.61 to 0.95 (Figure 7) with an average and median value of 0.79 and 0.79, respectively. This broad range of values indicates substantial differences in the slip distribution among the models. Generally, the geodetic group (G) shows the highest coherence among their finite-fault models compared to other groups, with an average and median correlation value of 0.83 and 0.81, respectively. Most of these models consist of a smooth, single slip patch located at the up-dip area near the hypocenter, such as models G3, G5, and G6 (Figure 2), which demonstrate very high inter-model correlation. Model G2, however, significantly differs from other geodetic models with an average correlation value of 0.73 with other models. The model suggests a southern slip patch at the updip hypocenter region in zone ZC1. The regional seismic group (R) shows high coherence among their finite-fault models compared to other groups. In comparison, the teleseismic group (S) shows a broad range of correlation values, generally lower than those of groups G and R (Figure 2b). Teleseismic models show large variations in secondary slip features, such as the extended slip in different zones.

Intriguingly, models developed using tsunami data, both T and J groups, show considerable variability within their respective groups and when compared to models of other groups. These models comprise a more heterogeneous slip distribution with complex slip features in their distribution and values, causing the observed low correlation values. We find that the median model, M, highly correlates with all other models, with a median correlation value of 0.89. This high correlation reflects that the main feature of the median model—the slip in ZC1—is captured by all models. The results also suggest that the dominant slip area likely centers around a single slip patch in ZC1, since more complex slip features of the models do not impact the correlation values very much.

Our 1-km model parameterization is much smaller than the typical subfault sizes used in finite-fault inversion (Ide, 2007). Subfault dimensions are often set to be around 16, 32, and 64 km for geodetic, seismic, and tsunami finite-fault inversions, respectively (e.g. Inuma et al., 2012; Wei et al., 2012; Satake et al., 2013). Therefore, we downscale the subfault sizes of the models to compare the variability of slip features across different length scales (Figure 8). We apply a 2D discrete wavelet transform to the slip distributions using the Daubechies' first wavelet (Daubechies, 1990). The wavelet transform allows us to isolate slip features at varying spatial scales by filtering out higher-order wavelets (Figure 8). For example, inversely transforming a low-pass filtered wavelet spectrum results in a lower-resolution slip distribution. This wavelet transform process is similar to an image compression technique using Daubechies' first wavelet group (Daubechies, 1990). Importantly, our downscaling process preserves the overall moment, moment centroid location, and spatial distribution of the slip features at the selected wavelength scale.

We apply the downscaling procedure to each 1 km subfault-size model to 16, 32, and 64 km subfault sizes, and process the slip distributions of the along-strike and along-dip directions separately. The 64 km length scale approximates the wavelength of a 10 s period crustal P wave at subduction zones, and the displacements of these 10 s period P waves are commonly used in teleseismic finite-fault inversions. As an example, Figure 8 shows the slip distribution of model S3 and the median model at scales of 1, 16, 32, and 64 km. The original S3 model consists of two major along-strike slip patches shallower than 15 km, along with complex small-scale patches at around 40 km depth. These deeper patches have spatial scales of less than 32 km, and the 64 km scale model primarily retains the dominant, large-scale shallow slip features. Thus, our wavelet-based

downscaling procedure effectively removes the small-wavelength features of the finite-fault models.

The correlation coefficients among the downscaled models significantly increase compared to the 1 km scale models, confirming that the model variability primarily originates from small-scale features (Figure 9a–c). At the 64 km scale, the median and average correlation coefficients are 0.89 and 0.88, respectively. This coherent pattern is present in all model pairs, regardless of the datasets used (Figure 9c). Much like at the 1-km scale, all models show a high correlation with the median model at larger scales (Figure 9d). Our results reveal a coherent pattern emerging among all models: a primary slip patch that occurred up-dip of the hypocenter around 10 km depth during the Tohoku-Oki earthquake. However, the model features show inconsistencies at the 16 and 32 km length scales, either in their locations or amplitudes. The correlation results from 1 km to 16 km scales largely remain the same (Figure 7,9), indicating that the original model resolutions were limited to around 16 km.

## 4 Model Validation

Previous model-comparison studies primarily focused on identifying coherent and unique slip features (e.g., Ide, 2007; K. Wang et al., 2020). Here, we systematically examine the sensitivity of three commonly-used datasets to the variability in the finite-fault models (Figures 10–13), including geodetic (Section 4.1), teleseismic (Section 4.2), and tsunami data (Section 4.3). We compute synthetics for all models using the same Green’s functions. Then, we compare the synthetics with observations using the correlation-coefficient and variance-reduction metrics. We test the models not only by comparing their respective data types used in obtaining the models but also by inspecting the fit to datasets not included in their finite-fault inversions.

Our comparison evaluates both the data sensitivity to model variability and the data capability to resolve smaller-scale features. We examine the data sensitivity to the slip features identified in Section 3, including the contrasting rupture extent in different zones. Additionally, we compare synthetics with observations, as well as with each other, using slip distributions at varying scales. This analysis reveals the varying resolvability of different data types at different length scales.

### 4.1 Onshore and Offshore Geodetic Data

We test the geodetic data type using both onshore and offshore static-displacement measurements. We compute the synthetic static displacements for each site using Green’s functions from Hori et al. (2021), applied to models at the 16, 32, and 64 km spatial scales. These Green’s functions were numerically computed using a 3D velocity structural model and realistic topography at approximately 1 km resolution of the Japan region. Specifically, we compute the synthetics for the onshore GEONET network, which includes 365 stations, and the 13 offshore GNSS-A sites (Table S1; Sato et al., 2011; Kido et al., 2011). Additionally, we examine vertical displacement data recorded by six pressure gauges operated by Tohoku University (Y. Ito et al., 2011; Hino et al., 2011) and the University of Tokyo (Maeda et al., 2011b). Our primary focus are the correlation coefficients between the synthetics and observations instead of the variance reduction metric. The variance reduction metric is strongly influenced by synthetic amplitudes, which depend on the assumed velocity models and the finite-fault parameterization. The correlation coefficient, on the other hand, evaluates the coherence between synthetic and observed displacement fields and is better suited to compare slip distributions with large spatial heterogeneities. We note, however, that the variance reduction metric can be a useful tool for differentiating models as long as the models are resolved using the same Green’s function for an objective comparison.



We find that neither the onshore nor the offshore geodetic observations can distinguish between the slip models at the same scale (Figure 10). For example, the four models in Figure 10 at the 16 km scale, including the median model (M), can all explain the observed displacement fields well, with correlation coefficients greater than 0.91 between their synthetics and the observations. The median model has a simple distribution with only one slip patch in ZC1 (Figure 10d), while the other three models have distinct, incoherent features, such as model R3 ruptures in ZS1 (Figure 10e), model J5 ruptures in ZN1 (Figure 10f), and model S3 ruptures in ZC2 (Figure 10a), respectively. For the onshore stations, the limited data resolution likely results from the 150 km distance between the epicenter of the offshore earthquake and the nearest coastal station of the GEONET network. Even for models with significant down-dip slip in ZC2, the coastal GEONET stations remain too far to resolve the features conclusively due to the increasing depth of the down-dip slip features.

Surprisingly, the offshore geodetic network, consisting of GNSS-A and pressure gauge stations, cannot resolve the differences in the slip distributions or the peak-slip locations (Figure 10h). For example, models G3 to G6 can all generate synthetics with correlation coefficients  $\geq 0.97$ . However, some models locate the peak slip near the trench (G4), whereas others place the peak slip around the hypocenter (G3, G5, and G6). Additionally, secondary slip features, such as slip in ZS1 and ZN1, do not impact the offshore synthetics significantly. The median model and model J5 can explain the offshore displacements equally well, while model J5 is remarkably more heterogeneous than the median model. The limited resolution of the offshore geodetic network is likely due to the fact that most of its stations are located in the central Miyagi-Oki section. Only 19 stations were covering this 150 km by 150 km area. The offshore network configuration determines that the offshore observations were primarily controlled by the slip directly beneath the stations. Given that all models coherently resolve a large slip patch in ZC1, they can all reasonably explain the offshore observations. We emphasize that the location of the offshore geodetic network covered the center of the Tohoku-Oki earthquake rupture area, playing a critical role in resolving the largest slip patch, although its sparse configuration limited its resolution capabilities of secondary slip features.

We find negligible differences in the geodetic synthetics among the same models at the 16, 32, and 64 km scales. The correlation values between the observations and the synthetics remain consistently high ( $> 0.90$ ) for all models across all scales, for both onshore and offshore geodetic data (Figure 10). These results suggest that the resolution of the geodetic dataset is likely lower than 64 km for the offshore slip distribution and that the data cannot differentiate slip features at smaller scales. For example, the synthetic onshore-geodetic static displacements from model S3 show no differences across the three scales (Figure 10a–c, synthetics in black and observations in red). The offshore synthetics show similar patterns, suggesting correspondingly insignificant resolution across scales, even though all models inverted from geodetic datasets included part or all of the offshore data. Additionally, the models adopted finite-fault parameterizations with scales much smaller than the 64 km scale.

We compute the variance reductions for the finite-fault models with respect to the geodetic datasets (Figure S1). The variance reduction metric shows a slightly higher sensitivity to slip distribution variability than the correlation coefficients. Most of the models have  $\geq 80\%$  variance reduction, with the exception of four models. The variance reduction pattern of the onshore geodetic data shows a similar pattern as the model correlation with the median model (Figure 9d). This suggests that onshore geodetic data can generally well-resolve slip features at the 64 km spatial scale. In addition, there is a difference in variance reduction for offshore data between the 32 and 64 km scales for most models. However, these differences in variance reduction are negligible when comparing the same models at the 16 and 32 km scales. These results show that the amplitudes of offshore displacement are sensitive to localized slip features, suggesting that the

offshore geodetic data might have higher spatial resolution than 64 km when evaluated using the variance reduction metric.

## 4.2 Teleseismic Data

Teleseismic waves are the most commonly used observations to invert finite-fault models of large earthquakes (e.g., Ji et al., 2002; Yagi & Fukahata, 2011a; Okuwaki et al., 2020). They have relatively simple waveforms and can effectively characterize the temporal evolution of earthquake rupture processes (Okuwaki & Fan, 2022). Unlike for computing geodetic synthetics, the slip distribution and slip-rate functions are required for synthesizing teleseismic waveforms. Slip-rate functions characterize the temporal moment release for each individual subfault (Ide, 2007). To focus on comparing slip distribution variability, we first test, validate, and identify a uniform slip-rate function. We test a range of slip-rate functions, and the best-performing (with the highest variance reduction) is then applied to all models to compute teleseismic synthetics. This comparison is useful to identify the impact of slip heterogeneity on teleseismic waveforms. Selecting an appropriate set of slip-rate functions that adequately describe the earthquake rupture propagation is critical for a meaningful comparison. We assume a single-time-window slip-rate function with a uniform duration for all subfaults. The slip-rate function is paired with the peak-slip-rate time (PSRT) distribution from model S3 to synthesize teleseismic seismic waves, including both body and surface waves. The peak-slip-rate time distribution of model S3 is used because the model is obtained using the single-time window method and inverted from both body waves and surface waves. We justify the procedure in Sections 4.2.1–4.2.3.

We compute teleseismic synthetic displacement waveforms using the open-source software *Instaseis* (van Driel et al., 2015). This method efficiently uses pre-computed Green’s function databases, calculated using the anisotropic version of the Preliminary Reference Earth Model (PREM) and the AxiSEM method in the 5 to 200 s period band (Dziewonski & Anderson, 1981; Nissen-Meyer et al., 2014). The synthetics are compared with three-component broadband records at 40 stations from the II and IU networks, located within an epicentral range of 30 to 90° and covering all azimuths (Figure 11a; see Open Research for details). We remove the instrument response from the observations, integrate velocity waveforms into displacement waveforms, and decimate the data to a 1 Hz sampling rate. Both the observations and synthetics are filtered using a 4<sup>th</sup>-order Butterworth band-pass filter to the appropriate period band before the comparison: body waves are filtered in the 10–150 s period band and surface waves are filtered in the 100–200 s period band. We compare the windowed body waves from -20 to 230 s relative to their PREM-predicted arrival times and surface waves from 500s to 3300 s relative to the Tohoku-Oki earthquake origin time. Before the waveform comparison, we cross-correlate the synthetics with the observations and apply an empirical time correction to account for the arrival time uncertainty due to the 3D Earth structure. We adopt the same correlation value metric to compare the waveforms and use the median correlation value for each wave type as a representative metric to compare the finite-fault models.

### 4.2.1 Geometric Effects

We explore and validate the effects of fault geometry on teleseismic synthetics. We use model S3 as an example and compare the synthetics obtained from the original multi-planar configuration and the projected S3 model on a realistic megathrust geometry. The projected model has the same number of subfaults as the original model, and the slip-rate functions of the subfaults remain the same. The synthetics from both models are nearly identical, leading to almost the same correlation coefficients of 0.90 with the observations. For example, the P wave synthetics (blue) using the realistic megathrust geometry, those from the original configuration (red), and the observed P waves (black) share a high resemblance, as illustrated in Figure S7. We conclude that the projection



scheme does not significantly impact the teleseismic synthetics (Table S2). This exercise validates the simplistic planar parameterization in most finite-fault models. However, it also, unfortunately, suggests that teleseismic data cannot resolve subtle fault geometry complexities. We expect marginal geometric effects on geodetic observations as all the models can explain the observed offsets equally well with very high correlation values (Figure 10).

#### 4.2.2 Slip-rate Function Effects

We replace the original slip-rate functions of the projected S3 model with a uniform regularized Yoffe function (Yoffe, 1951; Tinti, Fukuyama, et al., 2005), characterized by a rise time of 16 s and a duration of 40 s for all subfaults to compute teleseismic synthetics. The rest of the finite-fault parameters remain the same to isolate the effects of a chosen slip-rate function. We select the regularized Yoffe function as the slip-rate function because it is compatible and consistent with the traction and slip evolution of the dynamic propagation of earthquake ruptures. The varying rise time and decay rates of the Yoffe function resemble the results from both dynamic simulations and laboratory experiments. The original model S3 uses a cosine function as its slip-rate function, with rise time varying from 6 to 24 s and duration ranging from 12 to 48 s.

The two sets of synthetics are nearly identical, and they both can satisfactorily explain the observations (Figure S8). The synthetics obtained using the uniform slip-rate function have fewer high-frequency signals compared to synthetics using the original model (Figure S8), likely due to the absence of rise-time variations. Nonetheless, the model adopting the uniform slip-rate function can fit the observed seismograms with a median correlation coefficient of 0.84 for P waves (Table S2). Similarly, the SH and SV waves with the uniform slip-rate function can fit the observed seismograms with a median correlation of 0.77 and 0.81. These findings validate our proposed strategy of computing teleseismic synthetics.

We explore a range of slip-rate functions, including cosine, triangular, and different Yoffe slip-rate functions with durations of 40 and 55 s (Text S2; Figure S6). The teleseismic synthetics are insensitive to these variations, and the median correlation coefficients are all greater than 0.82 for the P waves (Table S2). Furthermore, we test varying durations for the suite of slip-rate functions and find that the slip-rate duration does not significantly impact the synthetic amplitudes as long as the duration is less than 40 s for the given subfault parameterization (Figure S6 and S11). For longer durations, the associated synthetic body waves have lower amplitudes than those using slip-rate functions with shorter durations (Figure S11). With the same spatial configuration, the variation in duration relates to the variation in the apparent rupture-front propagation, the effects of which will be evaluated in the next Section 4.2.3. Overall, the results confirm that the chosen regularized Yoffe function, with a rise time of 16 s and a duration of 40 s, can effectively unify the slip-rate functions in all models for computing and comparing teleseismic synthetics.

#### 4.2.3 Rupture Propagation Effects

The earthquake rupture propagation significantly impacts teleseismic synthetics (Figure S5). To evaluate this effect, we vary the rupture propagation parameters to compute the onset times of each slip-rate function and corresponding teleseismic synthetics and keep the remainder of the finite-fault setup the same as the original model S3. We first assume a constant rupture velocity, resulting in a circular rupture front as shown in Figure S5c. With an assumed rupture speed of 2 km/s, the synthetic P waves cannot explain the observed waveforms between 30 to 80 s very well (Figure S9), and the median correlation value drops to 0.65 for P waves (Table S2). We then assume a slower speed of 1.5 km/s for the first 100 km of rupture propagation and a rupture speed of 2 km/s

for the remaining rupture process, following finite-fault inversion schemes used in some of the teleseismic models (e.g., Ammon et al., 2011; Lay et al., 2011; Shao et al., 2011). Teleseismic synthetics obtained using this two-step rupture propagation cannot explain the observations either, resulting in a median correlation value of 0.65 for P waves (Figure S9).

In our experiment in Section 4.2.2, we use a uniform, single, regularized Yoffe function constrained by the S3 onset time distribution for computing teleseismic synthetics. Here, we align the onset times of the slip-rate functions with the peak-slip-rate times (PSRT) in model S3 for each subfault. The associated synthetics are nearly identical to those from the original S3 model, with correlation coefficients less than 0.02 different (Table S2). The PSRT configuration improves the data fitting to the observed waveforms more than the original onset time configuration when using the uniform, single slip-rate function approach (Figure S8). Specifically, the PSRT synthetics can produce the high-frequency waveforms missing in the onset-time synthetics (Figure S8).

We validate our approach using slip distributions and peak-slip-rate times from other finite-fault models. To test the effects of different PSRT distributions, we also apply the PSRT approach to models S6 and J3 using their respective distributions. This analysis yields satisfactory P-wave data fitting with correlation coefficients of 0.75 and 0.75 for the two models (Figure S14), respectively, while synthetics from their original models have correlation coefficients of 0.71 and 0.73 with the observations, respectively. We then use the S3 PSRT and models S6 and J3 slip distribution at the 16 km scale to generate teleseismic synthetics. The synthetics can explain the observations with correlation coefficients of 0.77 and 0.76 (Table S2), which are around 0.05 different from those of the same-scale model S3 synthetics (Table S2). This validation demonstrates that the S3 PSRT distribution can be used to pair with other slip distributions to compute teleseismic synthetics. Therefore, we use the model S3 PSRT distribution and the selected uniform, single Yoffe slip-rate function to compute teleseismic synthetics for all 32 finite-fault models.

We note that our analysis does not take highly complex rupture propagation effects into account such as, for example, multiple slip-episodes inferred from multiple time-window slip inversion (Lee et al., 2011; Yue & Lay, 2013; Melgar & Bock, 2015) or in dynamic rupture scenario simulations informed from local strong ground motions (Galvez et al., 2016, 2020).

#### 4.2.4 Sensitivity of Teleseismic Data to Finite-fault model Variation

We compute teleseismic synthetic waveforms using the final slip distributions at the 16, 32, and 64 km scales of all models. We employ the same procedure, using the model S3 PSRT distribution and a uniform regularized Yoffe slip-rate function with a rise time of 16 s, to compute the synthetic waveforms. When generating teleseismic synthetics with spatial scales greater than 16 km, the 32 or 64 km size subfault are divided into 16 km subfaults and each 16 km subfault has the same slip as the 32 or 64 km size subfault. We then use the same slip-rate and PRST distribution with this slip distribution to generate synthetic waveforms. The synthetics include both body and surface waves. As an example, Figure 11 shows the resulting synthetic teleseismic waveforms at the II.BRVK, IU.COR, and IU.HNR stations, representing azimuths of  $312^\circ$ ,  $51^\circ$ , and  $158^\circ$ , respectively. For a quantitative comparison, we compute correlation coefficients between the synthetics and the observed waveforms for five wave types from each model, including the P, SH, SV, Rayleigh, and Love waves (Figure 12).

With the same PSRT distribution and the uniform slip-rate function, we find that none of the five types of teleseismic waveforms is sensitive to variations in the slip distributions (Figure 11c). Synthetic seismograms for the same stations are highly coherent with each other (gray lines in Figure 11c,d). For example, Figure 11c shows body

wave synthetics from all 32 finite-fault models and the median model at the 16 km scale at stations II.BRVK, IU.COR, and IU.HNR, which are nearly identical to each other. These synthetics can all satisfactorily explain the body wave phases, such as fitting the complex P wave phases correctly. It is worth noting that these synthetics can achieve comparable misfit reductions (waveform fittings) to other teleseismic finite-fault inversion studies (e.g. Kubo & Takehi, 2013; Yoshida et al., 2011). The S wave synthetics have similar correlation coefficients with those of P waves (Figure 12), and the two phases do not show distinctive sensitivities. Similarly, the surface wave synthetics from different models are coherent with each other and can all explain the observations (Figure 11d and 12). These synthetic surface waves tend to have higher amplitudes than real observations, likely due to our simplistic 1D Green's functions. In addition, we also find that the associated moment-rate functions of the models share a similar function shape (Figure 11b). We also further compare the teleseismic synthetics with 32 and 64 km scales in Figure S2 and S3 and observe similar waveform fits. The synthetics of the five types of teleseismic waves show minor variations with different slip models. Our results reveal that with the same temporal evolution of the rupture propagation, variations in the slip distributions do not significantly impact the moment-rate function or teleseismic synthetics.

P wave depth phases have been proposed to uniquely distinguish between shallow and deep slip in the Tohoku-Oki earthquake (Kubo & Takehi, 2013). To investigate this possible sensitivity, we compute the P wave synthetics up to 300 s (Figure S15). The waveform length is sufficiently long to include both the pP and sP phases of the earthquake. Taking models G4 and R3 as examples, the two slip models present contrasting slip features in the southern section, with a deep and shallow southern rupture for model G4 and R3, respectively (Figure 4). Additionally, the peak-slip location of the earthquake differs between the two models, with one at the trench and one near the hypocenter. However, synthetic P waves from both models can explain the depth phases recorded around 180–250 s for the 40 II and IU stations. This analysis shows that the depth phases cannot conclusively resolve the rupture extent or peak-slip location for the Tohoku-Oki earthquake.

We further quantify the sensitivity of teleseismic waves to the same slip models at the 16, 32, and 64 km scales. For each model, we compute the synthetics using three different length scales and correlate the synthetics with the observations to examine their sensitivities (Figure 12). We find little difference in the synthetic waveforms for different scales, and they all correlate well with the observations. For example, the P wave synthetics have consistent correlation values around 0.70–0.80 for the same models at all scales. Similarly, the S waves and surface waves cannot resolve slip models at finer scales either (Figure 11). These results indicate that teleseismic finite-fault models likely have a spatial resolution of around 64 km for the Tohoku-Oki earthquake.

### 4.3 Tsunamigenic Seafloor Uplift

The Tohoku-Oki earthquake generated a devastating and far-reaching tsunami across the Pacific Ocean. Tsunami data has a unique sensitivity to seafloor displacement, and the data recorded by offshore bottom-pressure gauges, Global Positioning System (GPS) wave gauges, and DART buoys are commonly used to invert for seafloor uplift models, which are then used to invert for earthquake slip distributions (e.g., Sato et al., 2011; Maeda et al., 2011a; Saito et al., 2011; Hossen et al., 2015; Dettmer et al., 2016; Jiang & Simons, 2016). This two-step procedure decouples the observed tsunami data from the assumed fault geometry and Earth structures, allowing the inverted seafloor displacement to be validated by other independent geophysical observations (Fujiwara et al., 2011; Kodaira et al., 2012).

We take advantage of a published seafloor uplift model obtained using tsunami data (Jiang & Simons, 2016) and compute synthetics from the 32 finite-fault models and the median model to compare with the smoothed uplift model of Jiang and Simons (2016). This model is inverted from data from ocean bottom pressure gauges, seafloor cable pressure gauges and GPS gauges, and three open ocean DART tsunami meters (Jiang & Simons, 2016). We use the smooth version of the seafloor uplift model of Jiang and Simons (2016) (referred to as model SJS hereinafter) because of its reported lower uncertainty. This model shows a broad uplift region at the major slip area shown in the median model, albeit with a more heterogeneous spatial pattern (Figure 13a). Using the procedure outlined in Section 4.1, we compute the vertical seafloor displacement at the same set of model grid points as in Jiang and Simons (2016). The displacements are obtained using the same Green’s functions from Hori et al. (2021) as we used for computing the onshore and offshore geodetic synthetics. We then compare the seafloor uplift synthetics with model SJS by calculating their correlation coefficients. We apply the comparison procedure to finite-fault models at the 16, 32, and 64 km scales for all 32 models and the median model.

The seafloor-uplift synthetics show clear differences among the finite-fault models, suggesting that seafloor uplift observations can distinguish the major features of the slip models. For example, seafloor-uplift synthetics from five models in Figure 13 at the 16 km scale have large variations, reflecting the variations in their corresponding slip distributions (Figure 13 and 2). In addition, models at different spatial scales would cause different seafloor-uplift fields, indicating that this type of data may have a spatial resolution of 32 km for the Tohoku-Oki earthquake, such as the model J5 example in Figure 13. However, seafloor-uplift fields cannot distinguish the secondary features of the slip models, such as the contrasting shallow and deep rupture patches in the southern section of models R3 and G4, respectively (Figure 4 and 13). The southern secondary slips of both models exceed 10 m. However, the corresponding seafloor uplifts are less than 2 m, an uplift amplitude within the absolute uncertainty range of model SJS (Jiang & Simons, 2016).

Despite the seafloor-uplift synthetics showing a clear distinction among different slip models, the synthetics do not correlate well with model SJS, with an average correlation coefficient of 0.6. These low correlation coefficients stem from the variability of the finite-fault models and may also reflect significant uncertainties in the tsunami-inferred seafloor uplift (Jiang & Simons, 2016). The variations in synthetics lead to a large range of corresponding correlation coefficients comparable to the variations in the slip models. Our synthetic analyses also indicate that a well-resolved seafloor uplift field has the potential to determine finite-fault slip distributions at a 32 km scale, a higher resolution than those of the teleseismic or geodetic datasets.

## 5 Discussion

### 5.1 What Controls the Finite-fault Model Variability?

We quantitatively compare the collection of finite-fault models for the Tohoku-Oki earthquake and find that they share a consistent feature regarding the location of the largest slip patch, updip of the hypocenter in the Miyagi shallow region (ZC1). At a spatial scale of 64 km, these models have an average correlation coefficient of 0.88. We generate a median model that effectively captures this coherent slip feature, with correlation coefficients  $\geq 0.80$  compared with other models at all spatial scales, from 1 to 64 km (Figure 9d). Furthermore, the median model does not have secondary features in other zones, and its 10 m slip contour only extends 220 km along the strike direction. Our data validation analyses show that the median model can well explain the onshore and offshore geodetic observations (Figure 10). The model can also explain teleseismic observations when paired with an appropriate PSRT distribution (Figure 11–12). The excellent performance of the median model results from the averaging procedure, which can

reduce both model-induced and data-induced errors (S. Minson et al., 2013). The averaging procedure is particularly effective when a large set of models obtained from a diverse set of datasets is available (Twardzik et al., 2012), as the Green’s functions linearly connect the model to the data.

Our model comparisons reveal considerable variability in secondary slip features among the models. Specifically, slip features with spatial extents less than 64 km are distinctive across different models. We find that the degree of variability seems to correlate with the types of data used in developing the models. Most models in groups R and S are characterized by one or two large slip patches in ZC1 without significant secondary features. This characteristic is reflected in the model correlation-coefficient histograms in Figure 7b, which display smaller spreads than other groups. Models in group G can vary greatly, leading to two separate subgroups, as shown in Figure 7b. Models in group T are highly heterogeneous, and their secondary features do not agree with each other, leading to nearly uniform correlation-coefficient distributions within the group and with other groups (Figure 7b). Models in group J are inverted from a variety of datasets, but they all have included tsunami data. These models show the least coherence within their group or compared to models of other groups (Figure 7b). As shown in Section 4, the available geodetic and seismic observations can constrain the models to approximately a 64 km scale, while the tsunami data might provide sensitivity at a spatial scale of 32 km. This discrepancy in sensitivity may contribute to the observed complexities in the models developed using tsunami data, which is also reflected in the power spectra of the slip models in Figure 6.

The rupture extent of the models differs among the five groups. The G and S groups have an average along-strike extent of 250 km for the 10 m slip contour, whereas the rest of the groups show rupture extents up to 300 km for the same slip contour range along the strike direction. The extended slip areas are shown as secondary slip features in models from the R, T, and J groups. The limited sensitivity of geodetic and teleseismic data to these small-scale features may account for these differences. However, secondary slip features in the R, T, and J group models disagree, and no consistent rupture extent can be extracted from these models, even within the same model group. Even though regional seismic data and tsunami observations may have higher sensitivities to smaller slip patches, the inconsistent model features cannot support the notion that they are superior to those from the geodetic or teleseismic data. Joint inversion of multiple datasets may balance the complementary sensitivities of different datasets to resolve more accurate finite-fault models. However, the localized, small-scale features in the J models are notably different from those of models from other groups, casting doubt on their reliability in capturing small-scale features.

One potential factor that may cause the large variability in models obtained using tsunami data is the possible existence of unaccounted secondary sources, such as submarine landslides, localized off-fault deformation, or splay fault slip, which can amplify coseismic seafloor displacements and contribute to generating tsunamis (Y. Ito et al., 2011; Ide et al., 2011; Tsuji et al., 2011; Ma & Nie, 2019; van Zelst et al., 2022; Biemiller et al., 2023). The collection of finite-fault models assumes that all geophysical signals are solely stemming from earthquake slip across the megathrust. If submarine landslides or other events occurred during or shortly after coseismic rupture, they may bias the inferred slip models. In this case, strong additional sources would yield coherent secondary slip features in the models derived from the tsunami data. However, our analyses show that the T and J groups contain the least coherent models at small scales. This observation does not appear to confirm the secondary source hypothesis.

In addition to the data types, finite-fault inversion methods have a strong impact on the resulting models. For example, the collection of models shows pronounced differences in slip distribution near the trench. Some models feature tapered slips near the trench, potentially due to no-slip boundary conditions employed during the inversion.



The peak-slip location is influenced by boundary conditions. For example, Zhou et al. (2014) demonstrated that the peak-slip location would shift away from the trench if a no-slip boundary condition is imposed during the inversion. For example, models T1 and S3 demonstrate strong taper slips to zero near the trench. Conversely, a free-slip boundary condition would lead to the peak-slip location being placed near the trench, including models G4 and G7 (e.g., Figure 1).

Numerical inversion techniques also influence the model variability (Figure 2). Particularly, Bayesian inversion methods tend to generate more heterogeneous slip distributions with more fine-scale features than conventional approaches. Bayesian methods sample a large number of model realizations to construct the posterior distribution of the model parameters. Given a large number of parameters, the associated finite-fault inversions are computationally demanding. Models G7, T1, and J2 are such examples; their slip distributions in Figure 2 represent the median values of their respective posterior distributions. The power spectrum densities suggest that the Bayesian models are the most heterogeneous models among the 32 finite-fault models.

The inversion of tsunami data often involves multiple steps, which include translating the recorded tsunamis into seafloor deformations, followed by inverting slip at the megathrust interface using the deformation estimates. For example, Hossen et al. (2015) and Dettmer et al. (2016) demonstrate that tsunami dispersion effects and accounting for source kinematics may lead to differences in the imaged seafloor uplift, notably in the northern region with extended uplift near the trench. Other timing discrepancies in the tsunami far-field may stem from solid Earth elasticity and ocean water compressibility (Tsai et al., 2013).

## 5.2 What Does the Variability Imply?

The exact rupture extent of the Tohoku-Oki earthquake has both scientific and societal implications, particularly the extent and amplitude of potential secondary slip features in the northern and southern sections. Based on the rupture extents of historical earthquakes, the Japan subduction zone was estimated of being capable to generate earthquakes of a maximum magnitude of 8.2 prior to the Tohoku-oki earthquake (Uchida & Bürgmann, 2021). Ten of the 32 finite-fault models suggest that the Tohoku-oki earthquake ruptured into zone ZN1 in the Sanriku-Oki region, which may have hosted the large tsunamigenic 1611 M8.5 Sanriku earthquake (Kawakatsu & Seno, 1983; Imai, 2015). Rupture in ZN1 has important implications for our understanding of the recurrence pattern of large earthquakes in the region. In the southern section, contrasting frictional and material behaviors of the upper plate may act as rupture barriers and limit the rupture extent to the shallow Ibraki-Oki region (ZS1) (e.g. Bassett et al., 2016; Liu & Zhao, 2018). However, 7 out of 32 finite-fault models show extended southern extended deep rupture (ZS2), and 11 finite-fault models show extended shallow rupture in the southern section (ZS1). The varying southern deep extended rupture may also penetrate the three 1936, 1937, and 1978 M7 or above Fukushima Shioya-Oki earthquake rupture areas (Abe, 1977; Yamanaka & Kikuchi, 2004; Simons et al., 2011; Nakata et al., 2016). Given the variability and uncertainty of the finite-fault models, and a lack of certainty of the mechanics of how earthquakes arrest (e.g., (Kammer et al., 2015; Galis et al., 2017)), physical controls of megathrust earthquake rupture extents are yet to be confirmed in the Japan subduction zone and globally.

The scale and distribution of slip heterogeneity may reflect fault-zone heterogeneities, including in the pre-earthquake stress distribution, fault frictional properties, fault geometry and roughness, pore fluid pressure or fault zone materials (K. Wang & Bilek, 2011; Moore et al., 2015; Bassett & Watts, 2015; Gallovič et al., 2019; Tinti et al., 2021; Madden et al., 2022). The observed slip complexities in the suite of models, if true, suggest that the seismogenic zone composes of a wide range of heterogeneity with spatial scales

reaching tens of kilometers. Specifically, the joint inverted models suggest extensive complexity in the hypocentral and near trench region, which requires either very high initial stress build-up, strong co-seismic weakening, or other mechanisms to sustain the nucleation and dynamic rupture propagation (e.g., Goldsby & Tullis, 2011; Di Toro et al., 2011; Viesca & Garagash, 2015). However, while our study finds a wide range of complexity of the models, we also show that these small features cannot be confidently confirmed by the three commonly used datasets. Future physics-based dynamic rupture or seismic cycling simulations may explore this matter further in a self-consistent way.

### 5.3 How to Interpret Finite-fault Models?

Even though the collection of models suggests a variety of slip distributions, their moment-release distributions may bear a larger resemblance to each other (Lay et al., 2011). Slip distributions are impacted by the Green’s functions used in the finite-fault inversion, and there are trade-offs between the assumed velocity structure and the final slip distributions (Gallovič et al., 2015). The moment-release distribution is a composite model that includes both the slip distribution and the local velocity structures, and it is better resolved in finite-fault inversions. Lay et al. (2011) compared two contrasting slip distributions, one obtained with and the other without shallow, weak sediments (a low shear modulus layer) near the trench. The model obtained with a low shear modulus layer has significantly larger slip near the trench, an effect confirmed in 3D megathrust dynamic rupture simulations (Sallarès & Ranero, 2019; Ulrich et al., 2022). However, the moment-release distributions of the two models are almost identical. Comparisons based on the moment-release distributions may lead to more consistent interpretations of the rupture process. However, such comparisons would require detailed documentation of not only the finite-fault models but also the associated Green’s functions and near-source velocity structures.

Our investigation of the teleseismic synthetics shows that the spatial complexity in the final slip distribution does not significantly impact the waveform fitting (Figure 12). However, the temporal evolution of the rupture front plays a critical role in explaining the data, and it cannot be approximated as a smooth propagation with one or two rupture speeds for the Tohoku-Oki earthquake. Specifically, we find that teleseismic observations are most sensitive to the peak-slip-rate-time distribution. We find that the peak-slip-rate-time distributions from different kinematic models agree on major slip episodes, when using similar teleseismic datasets. For example, Figures S14 and S15 show that peak-slip-rate-time from models S3, S6 and J3 can explain the observations equally well. These peak-slip-rate-time distributions can also be represented as slip-rate snapshots in kinematic finite-fault models, and Gallovič and Ampuero (2015) reported similar findings: finite-fault models developed using seismic data agree well on their spatiotemporal evolution, even when the final slip distributions are distinctively different. Therefore, future finite-fault model comparisons may include metrics to characterize the spatiotemporal rupture processes.

### 5.4 Future Opportunities

Our seafloor uplift synthetics suggest that the seafloor displacement field can resolve megathrust slip distributions at a spatial scale of 32 km. The resolution can discern detailed slip patterns, which can provide critical insights into rupture dynamics and faulting conditions. Although the offshore geodetic measurements can provide the most accurate displacement measurements, their sparse distribution limits their resolutions to less than 64 km.

The Seafloor Observation Network for Earthquakes and Tsunamis along the Japan Trench (S-net) has the potential to resolve future megathrust earthquakes in great detail (Nishikawa et al., 2019). The S-net was developed after the Tohoku-Oki earthquake



and it covers the entire Japan subduction zone with 150 colocated pressure gauges and accelerograms with a nominal inter-station interval between 30 and 60 km (Mochizuki et al., 2018). It is a cabled network and transmits data back to onshore in real-time. The network configuration suggests a high sensitivity to megathrust slip distributions. We conduct a synthetic analysis following the procedure outlined in Section 4.1 to compute static displacements at each S-net station. Specifically, we calculate the vertical uplift synthetics using all slip models at different scales and compare the synthetics to those from the median model at the corresponding scales. The correlation coefficients of the synthetics show the sensitivity of S-net data to variations in slip features relative to the median model.

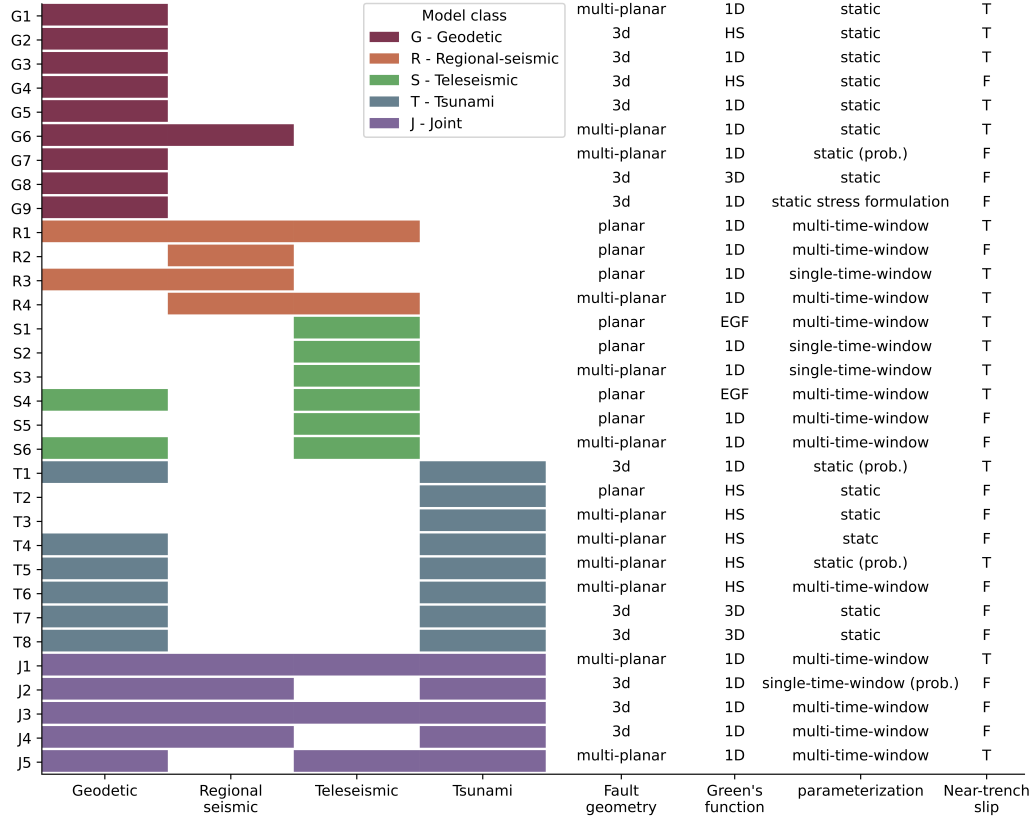
We find that S-net can distinguish variability in the slip distributions (Figure 14). The seafloor uplift synthetics in Figure 14 show clear differences among six example models at the 16 km scale. The synthetics can directly contour slip areas with slips of 5 m or above. This resolution can accurately resolve secondary slip features that do not significantly impact the geodetic or teleseismic synthetics. The synthetics vary for the same model at different scales (e.g., Figure 14), suggesting a possible resolving ability of 16 km. This resolution results from both the dense spatial coverage and the uplift-amplitude sensitivity of the instruments. Our synthetic experiment shows that large-scale, dense offshore networks are critical to constraining megathrust slips and mitigating the associated hazards.

We find that seismic data are highly sensitive to the spatiotemporal rupture process, such as the peak-slip-rate-time distribution. However, the data seems to have limited resolvability for small-scale slip features. This apparently paradoxical sensitivity is likely due to the fact that the observed displacement P-wave waveforms are dominated by signals in the 15–20 s period band. In this case, the characteristic wavelength of the waveforms would be around 90–120 km, and such long wavelengths limit the data resolution. Therefore, higher frequency teleseismic observations may better constrain the spatial-temporal evolution of megathrust earthquakes. Specifically, velocity P-wave waveforms have higher frequency signals than displacement records, and they may potentially resolve the small-scale slip features at higher resolutions (Yagi & Fukahata, 2011b). To explore this hypothesis, we conduct a similar teleseismic validation exercise using velocity waveforms at the same set of stations (Figures S23-S24 and Text S3). We find that the synthetics do not correlate with the teleseismic velocity records as well as the displacement records, suggesting a possible higher sensitivity to variations in the finite-fault models.

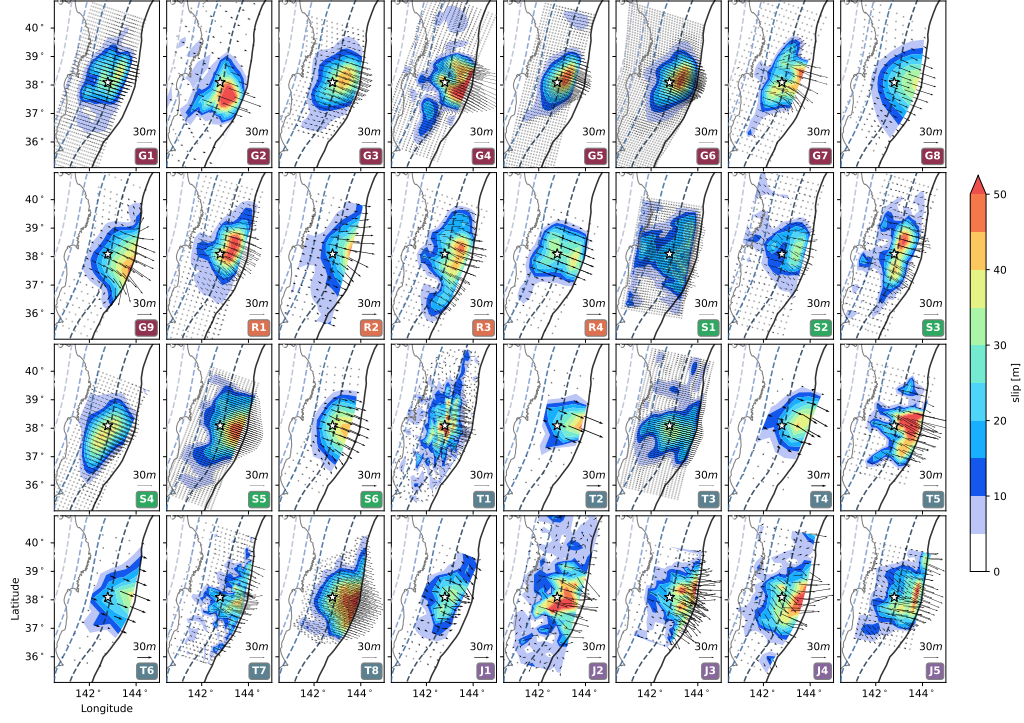
## 6 Conclusion

We quantitatively compare and validate 32 finite-fault models of the 2011 Tohoku-Oki earthquake. We first design a reparameterization framework to unify the models using a realistic megathrust geometry while preserving potency distribution at a 1 km scale. We then downscale the models to 16, 32, and 64 km scales to compare their coherent and unique features. We find that the models agree well at the 64 km scale but do not agree on small-scale features, either regarding their locations or amplitudes. All models suggest that the Tohoku-Oki earthquake ruptured the updip megathrust near the hypocenter in the Miyagi-Oki region and there was large slip near or at the trench. This coherent feature is reflected in the median model, obtained by averaging the collection of models. We examine the sensitivity of the commonly-used geodetic, teleseismic, and tsunami seafloor uplift datasets to the variability in the finite-fault models. Our results suggest that geodetic and teleseismic data have a spatial resolution of 64 km for the final slip distribution, while the tsunami data might have a higher sensitivity to slip features at 32 km scales. We find that the teleseismic observations are highly sensitive to the earthquake rupture process, although they are less sensitive to the slip-rate functions at each subfault. We calculate synthetic vertical uplifts at the S-net offshore in Japan, and the

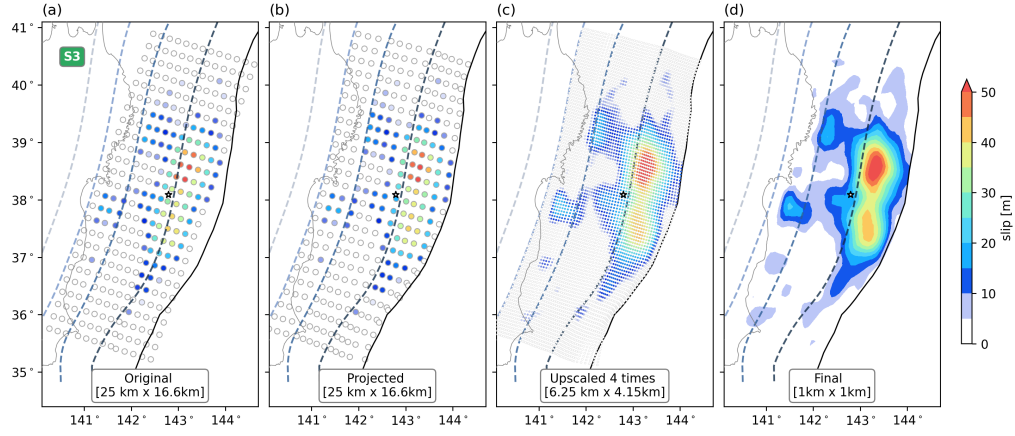
930 results suggest that the network can resolve megathrust earthquake slip distribution at  
931 a high spatial resolution of 16 km. Our results show that uniformly gridded dense off-  
932 shore instrumentation networks are crucial for resolving complex earthquake rupture pro-  
933 cesses and assessing their associated hazards.



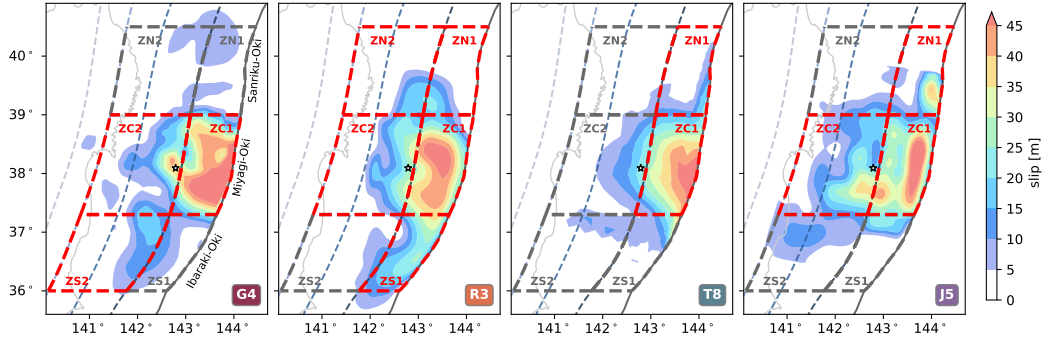
**Figure 1.** Thirty-two finite fault models used in our analysis, arranged by dataset type and publication date (see Text S1 for details). Color blocks in the left-four columns indicate datasets used to obtain each finite fault model with the color indicating the five model groups. Right-four columns describe the fault geometry, Green's function (HS: halfspace model, 1D: one-dimensional velocity model, 3D: three-dimensional velocity model, EGF: empirical Green's function), parameterization used and near-trench slip features (T: tapered slip, F: free slip to trench) of each finite fault model, respectively.



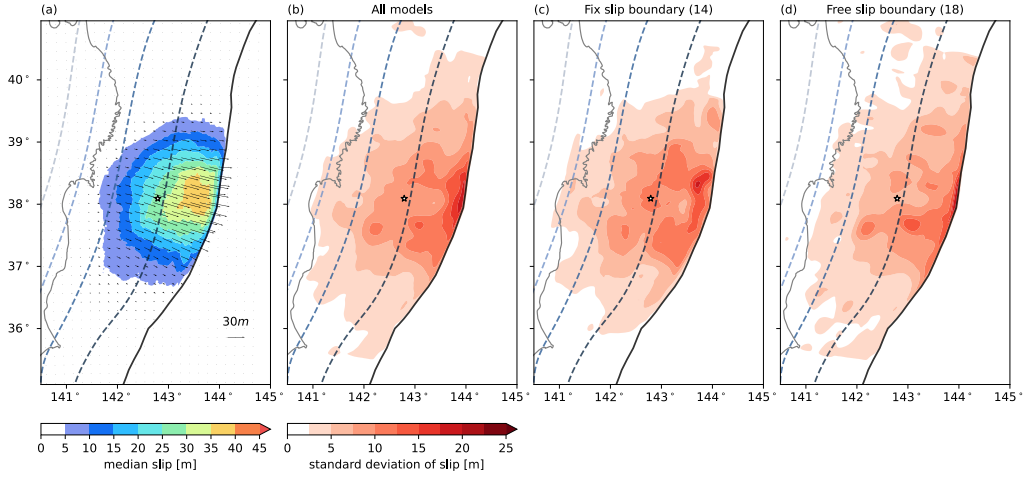
**Figure 2.** Slip distributions of the 32 finite fault models. Slip distributions and slip directions are shown as color contours and vectors, respectively. Grey dots indicate the centers of each model's subfaults. USGS hypocenter location is shown as a white star. Slab2.0 megathrust geometry from Hayes et al. (2018) is shown as dotted contours with a 20 km depth interval. Japan trench is shown as a black solid line and the Japanese coastline is shown as a grey solid line. All model acronyms are defined in Figure 1 and detailed in Text S1.



**Figure 3.** Illustration of the upscaling and projection scheme for an exemplary finite-fault model, S3. (a) Original subfault parameterization and slip distribution. (b) Projected model using the megathrust geometry. (c) Up-scaled slip distribution. (d) Final projected and up-scaled slip distribution at a 1 km spatial scale.

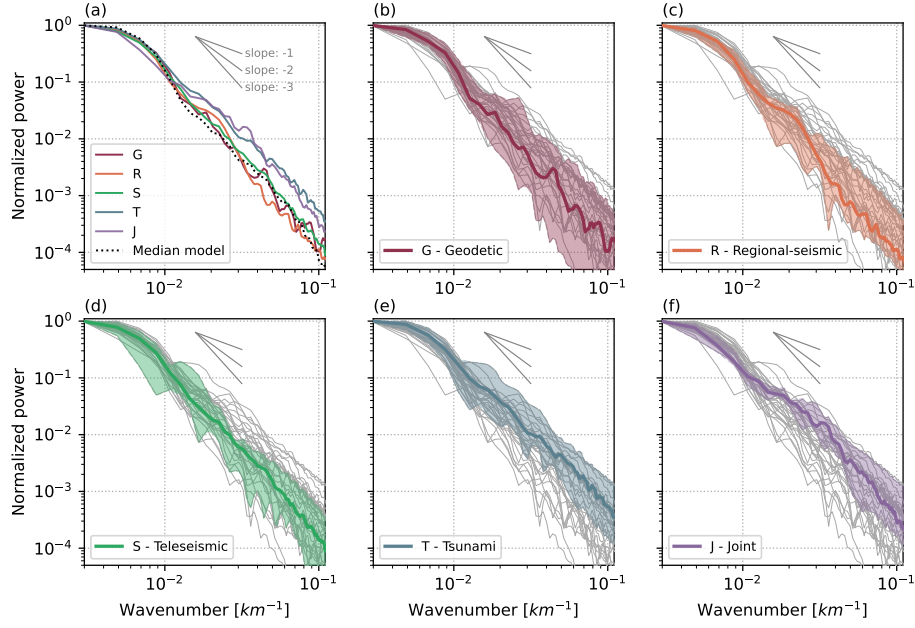


**Figure 4.** Division of the Japan megathrust into six zones and zone categorizations of four example finite-fault models. Zones with  $\geq 10$  m slip features are highlighted using red dashed contours. Table 1 summarizes the models with respect to their major slip features in each associated zone.

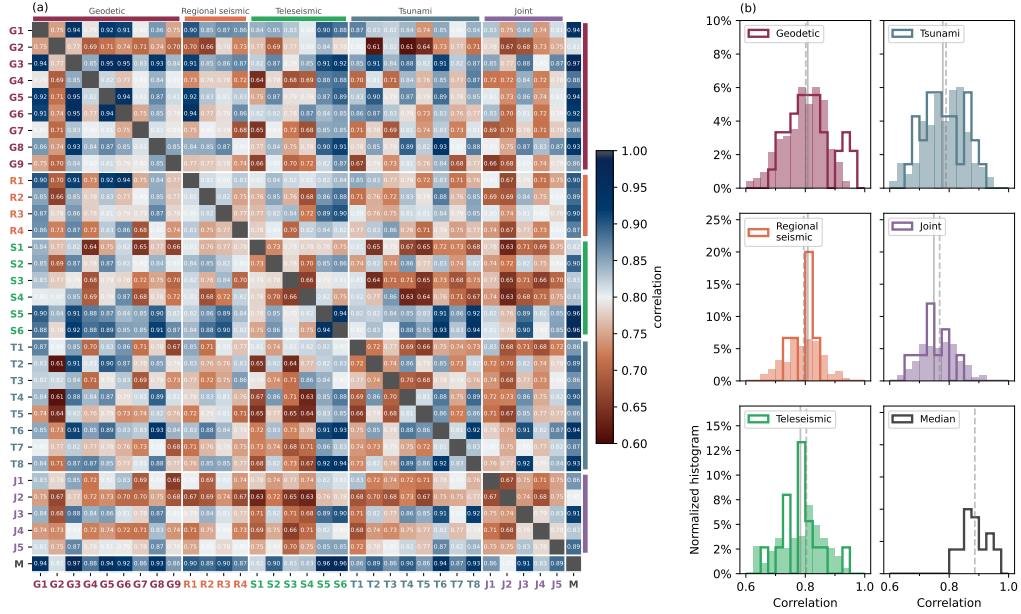


**Figure 5.** Median and standard deviations of the 32 finite-fault models. (a) Median model slip distribution at 1 km spatial scale. (b) Standard deviation of slip distribution for all models. (c) Standard deviation of slip distribution for models with tapered slip towards the trench. (d) Standard deviation of slip distribution for models with a free-slip boundary condition at the trench. Number of models included in the groups are shown in subtitle parentheses. Artifacts in the standard deviation distributions are due to the original coarse fault parameterization of the finite-fault models.

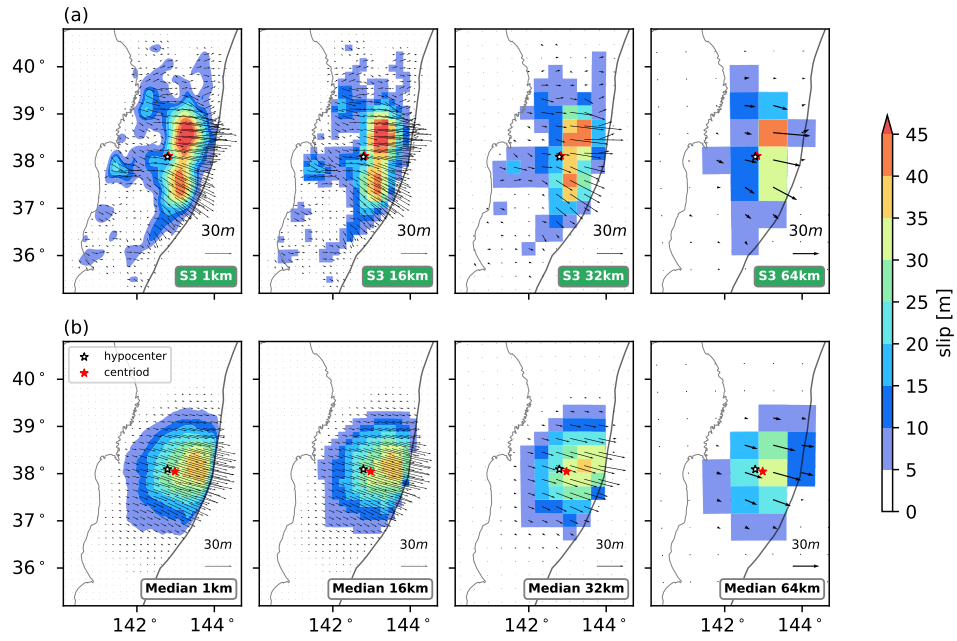




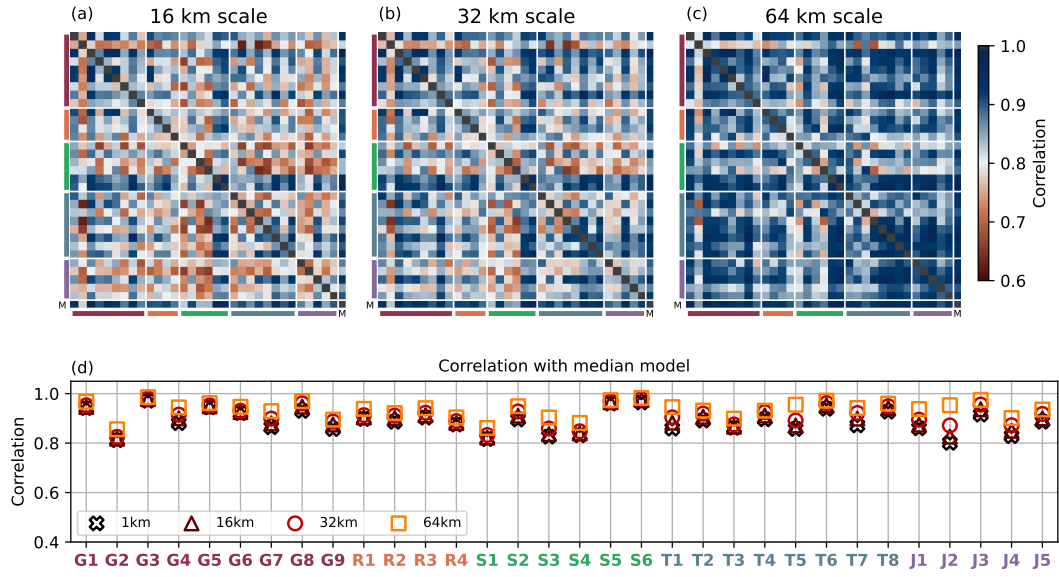
**Figure 6.** Normalized wavenumber ( $k$ ) power spectra of the 32 finite-fault models and the median model. (a) Respective median model spectra of the five model groups and the spectrum of the median model (Figure 5) and power spectra and the median spectrum of the (b) geodetic group, (c) regional seismic group, (d) teleseismic group, (e) tsunami group and (f) joint-inversion group. Color-shaded areas are the range of the minimum and maximum respective spectra of the models in each group. Grey lines represent all spectra. Decay rates of the models range from -2.0 to -4.0, with -3.0 for the geodetic group median, -3.0 for the regional-seismic group median, -2.8 for the teleseismic group median, -2.3 for the tsunami group median, and -2.1 for the joint-inversion group median.



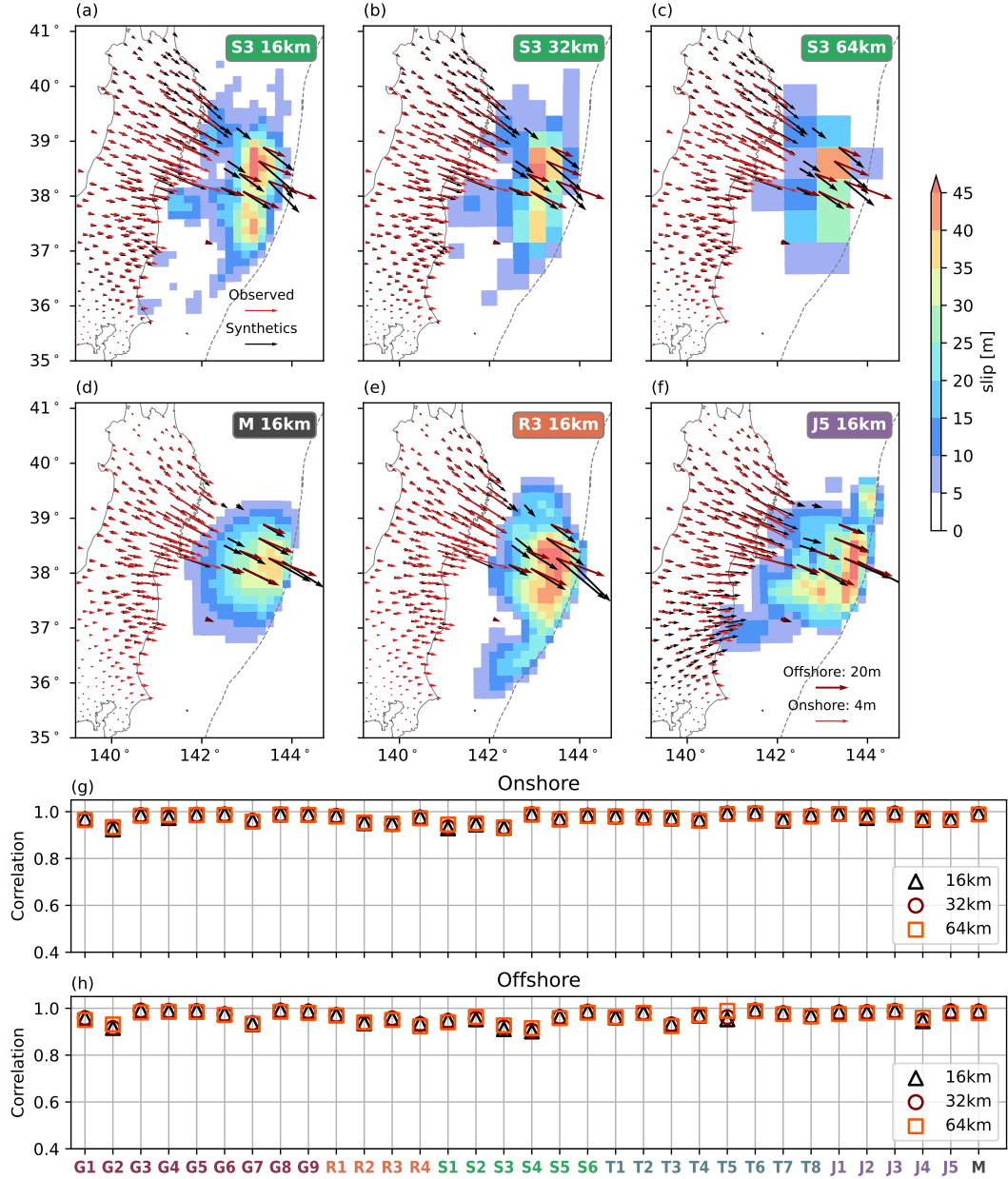
**Figure 7.** Correlation coefficients for finite-fault models at a 1 km scale. (a) Correlation coefficients matrix of the 32 finite-fault models and the median model, with each entry representing the correlation coefficient between two respective models. Background color of each entry indicates the correlation coefficient value. Matrix rows follow the same sorting order as in Figure 1 with the last row added for the median model (M). (b) Correlation coefficient histograms of the five model groups and the median model: solid lines show the correlation coefficient distribution of models within the group; filled histograms show the correlation coefficient distribution of models with other model groups. Light grey solid lines indicate the median value of the correlation coefficients within the respective group and dashed grey lines indicate the median value of the correlation coefficients with other model groups. Median model histogram shows its correlation with the 32 finite-fault models. Median values of the correlation coefficients within the groups: Geodetic (G), 0.81; Regional seismic (R), 0.81; Teleseismic (S), 0.78; Tsunami (T), 0.78; Joint (J): 0.75. Median value of the correlation coefficients with the median model is 0.89.



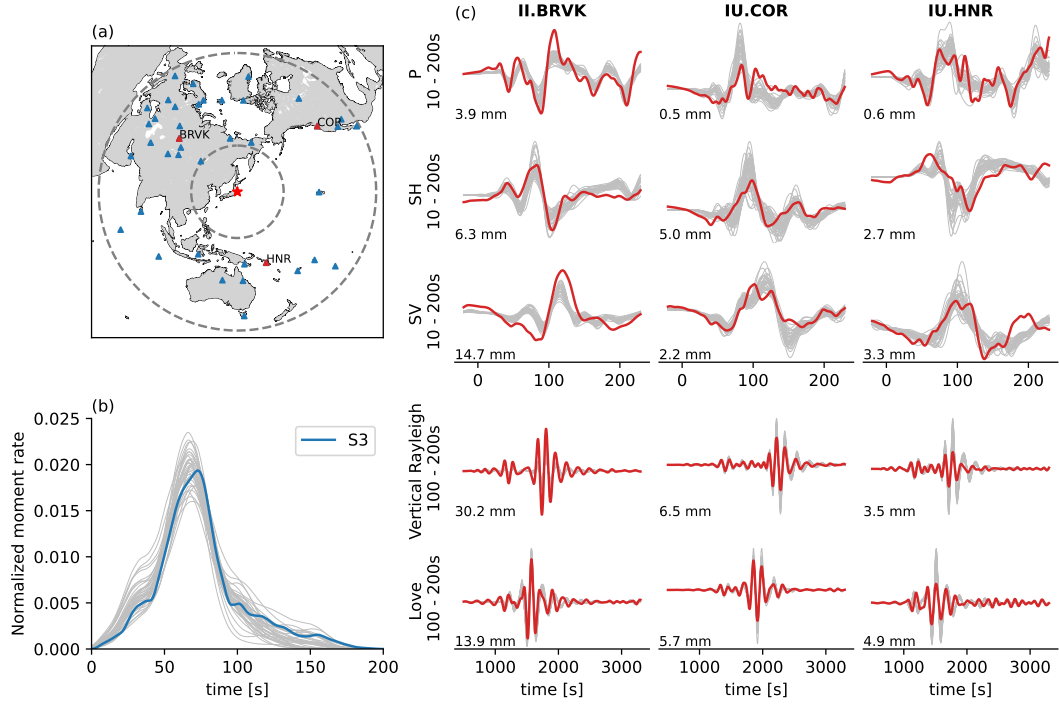
**Figure 8.** Example slip models at the 1, 16, 32, and 64 km spatial scales. (a) Model S3 at the four spatial scales. (b) Median model at the four spatial scales. Models at larger spatial scales lose fine-scale features, but the centroid locations are preserved. Hypocenter and centroid locations are indicated as white and red stars, respectively.



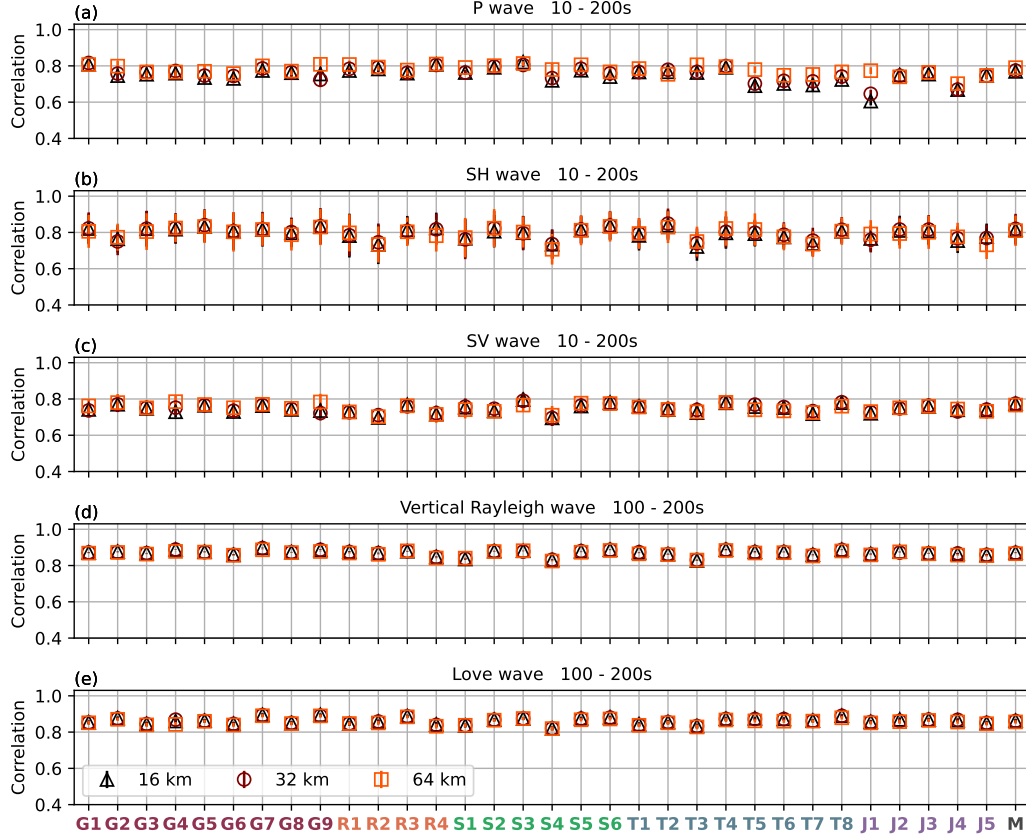
**Figure 9.** Correlation coefficients of models at the (a) 16, (b) 32, and (c) 64 km scales. Legends are similar to that in Figure 7. (d) Correlation coefficients of the 32 models with the median model at the 1, 16, 32, and 64 km scales. Median and standard deviation of models at the 16, 32, and 64 km scales are 0.81 and 0.07, 0.84 and 0.06, and 0.89 and 0.05, respectively.



**Figure 10.** Onshore and offshore horizontal geodetic displacement observations (red arrows) and synthetics (black arrows), and their correlation coefficient values. (a)–(c) synthetic (black) and observed (red) horizontal geodetic displacements of model S3 at the 16 (a), 32 (b), and 64 km (c) scales. (d)–(f) Geodetic synthetics and observations of model M (d), R3 (e), J5 (f) at the 16 km scale. (g) Correlation coefficient values between the onshore geodetic synthetics and observations at the 16, 32, and 64 km scales. (h) Correlation coefficient values between the offshore geodetic synthetics and observations at the 16, 32, and 64 km scales.

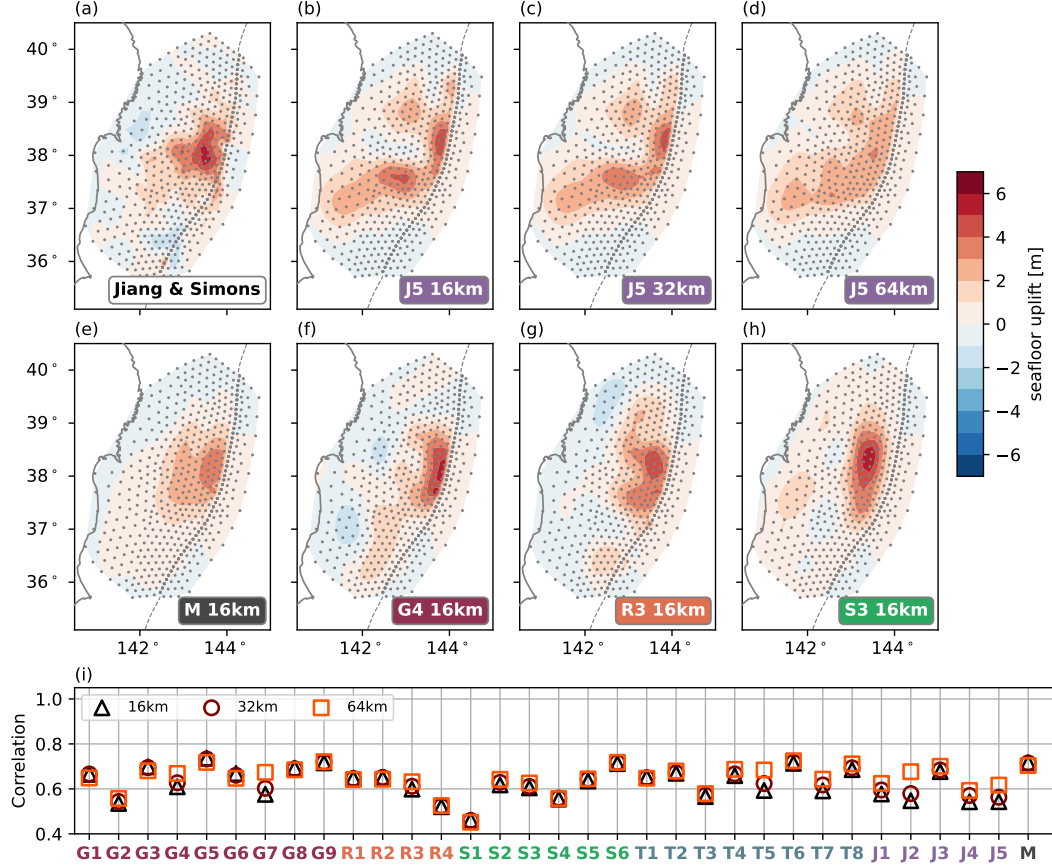


**Figure 11.** Comparison of teleseismic observations and synthetics at 16 km scale. (a) Map view of 40 II and IU stations used in the analysis. Red triangles are the stations in (c). Dotted circles show epicentral distances of 30° and 90°, respectively. (b) Normalized moment rate functions of the original S3 model (blue), the other 31 finite-fault models, and median model (grey). (c) Synthetic and observed teleseismic waveforms. Red lines are the observed waveforms; grey lines are the synthetic waveforms from the 32 finite-fault models and the median model. Five rows are P wave, SH wave, SV wave, Rayleigh wave, and Love wave, respectively. Amplitudes of the observed waveforms are labeled at the lower-left corner of each waveform plot.

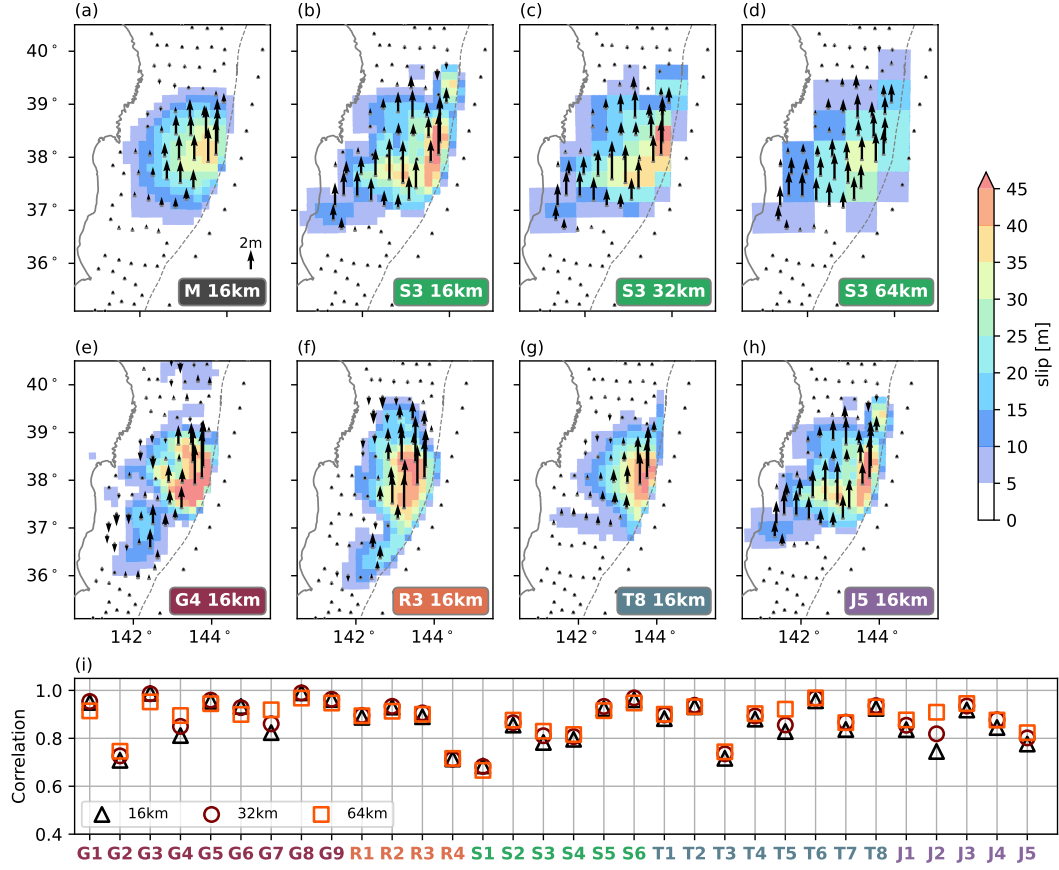


**Figure 12.** Correlation coefficient values between the teleseismic observations and synthetics at the 16, 32, and 64 km scales. (a) P wave. (b) SH wave. (c) SV wave. (d) Rayleigh wave. (e) Love wave. Median correlation values between the synthetic and observed teleseismic waveforms at the 40 teleseismic stations are taken as the characteristic correlation coefficient values for each model. Three markers indicate the characteristic median values for models at the 16, 32, and 64 km scales. Error bars represent the associated standard deviation of correlation coefficient values of the 40 stations.





**Figure 13.** Seafloor uplift model of Jiang and Simons (2016) (model SJS), seafloor uplift synthetics from the finite-fault models, and their correlation coefficient values between the synthetics with model SJS. Grey dots show the modeled grid points. (a) Model SJS. (b)–(d) Synthetic seafloor uplift of model J5 model at the 16 (b), 32 (c), and 64 km (d) scales, respectively. (e)–(h) Synthetic seafloor uplift of the median slip model, models G5, R4, and S3 at a 16 km scale. (i) Correlation coefficient values between model SJS and synthetics of the 32 finite-fault models and the median model at the 16, 32, and 64 km scales.



**Figure 14.** S-net seafloor uplift synthetics and their correlation coefficient values with the synthetics of the median model. S-net stations are shown as grey dots (Mochizuki et al., 2018). (a) Synthetic coseismic seafloor uplifts of the median slip model at the 16 km scale. (b)–(d) Synthetics seafloor uplifts of model S3 at the 16, 32, and 64 km scales. (e)–(h) Synthetics seafloor uplifts of models G5, R4, T8, and S3 at the 16 km scale. (i) Correlation coefficient values between synthetics of the median model and the 32 finite-fault models at the 16, 32, and 64 km scales.

**Table 1.** Finite-fault model features in rupture zones

Zone (counts)	Models
ZN1: Sanriku - shallow (10)	R1, R2, R3, T5, T6, T8, J1, J2, J4, J5
ZN2: Sanriku - deep (4)	G7, R4, S3, T1
ZC1: Miyagi - shallow (32)	All models
ZC2: Miyagi - deep (26)	G1, G2, G4, G6, G7, R1, R3, R4, S1, S2, S3, S4, S5, S6, T1, T2, T3, T5, T6, T7, T8, J1, J2, J3, J4, J5
ZS1: Ibaraki-Fukushima - shallow (11)	G9, R1, R3, S3, T1, T5, T7, T8, J2, J3, J4
ZS2: Ibaraki-Fukushima - deep (7)	G4, R5, S2, S4, T1, T5, J2

## 7 Open Research

The 32 finite-fault models are retrieved from a subset of Sun et al. (2017) collected models, the SRCMOD database (P. M. Mai & Thingbaijam, 2014), online datasets shared with referenced papers, and from authors sharing them directly. The geodetic Greens function were provided by Dr. Hori (Hori et al., 2021). The GEONET GPS data was provided by the Geospatial Information Authority (GSI) (Sagiya, 2004). We compared the teleseismic synthetics with the teleseismic data obtained from the Federation of Digital Seismic Networks (FDSN) through the Incorporated Research Institutions for Seismology (IRIS). Figures are generated with the python Matplotlib package (Hunter, 2007). We use SimModeler of the Simmetrix Simulation Modeling Suite to create the geometry of the slab interface. We use Python throughout the analysis (Van Rossum & Drake Jr, 1995). The median slip model is shared as Data Set S1.

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