

1 **Dual carbon isotope-based source apportionment and light absorption properties of**
2 **water soluble organic carbon in PM_{2.5} over China**

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21 **Key points:**

- 22 ● Both the MAE_{365} and fossil sources contribution of WSOC were higher in Northern
- 23 China.
- 24 ● The fossil sources derived WSOC only exhibited higher light absorption capacity in
- 25 cold seasons.
- 26 ● The non-fossil sources derived WSOC exhibited significant seasonal variation, which
- 27 was likely associated with corn residues burning.

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36 **Abstract**

37 Water soluble organic carbon (WSOC) makes up a large fraction of organic carbon, which attracted
38 great attention due to its light absorption properties and human health effects. Sources and light
39 absorption properties of WSOC in 10 cities across China were studied by dual carbon isotope analysis
40 and UV–visible spectrophotometer, respectively. Despite the dominate contribution of non-fossil sources,
41 the fossil sources contribution of WSOC in China was higher than other regions across the world. The
42 average MAE_{365} and fossil sources contribution of WSOC was $1.13 \pm 0.37 \text{ m}^2/\text{gC}$ and $39.9 \pm 9.4\%$, both
43 of which were higher in Northern China. The non-fossil sources contribution of WSOC and $MAE_{365, \text{WSOC}}$
44 exhibited significant seasonal variations with highest values during cold seasons, which was likely
45 associated with corn residues burning. Compared to warm seasons, the $MAE_{365, \text{WSOC}}$ showed a positive
46 relationship with relative contribution of fossil sources and with higher values during cold seasons,
47 indicating the fossil derived WSOC had higher light absorption capacity and enhance the overall color of
48 WSOC during cold seasons. To constraining the regional climate and health impact of WSOC, this study
49 suggests that mitigation strategy should consider the spatiotemporal variations in the sources, formation
50 pathways and light absorption properties of WSOC.

51 **Keywords:** Water soluble organic carbon, Dual carbon isotope, Light absorption properties, China

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54 1. Introduction

55 Water soluble organic carbon (WSOC) contributes approximately 20 to 90% of the OC, which could
56 enhance the cloud condensation nuclei activity of particle and thus exert an indirect aerosol climate
57 effects [Kirillova *et al.*, 2014b; Zhang *et al.*, 2018]. However, there is growing evidence that a certain
58 fraction of WSOC could also absorb solar radiation directly and efficiently, particularly at shorter
59 wavelength (< 400nm), which is contributor to brown carbon (BrC)[Andreae and Gelencser, 2006; Laskin
60 *et al.*, 2015]. Recent studies showed that the dominant carbonaceous light-absorbing species is element
61 carbon (EC), while the solar absorption of WSOC could be comparable to that of EC at ultraviolet
62 wavelengths. [Kirillova *et al.*, 2016; Srinivas *et al.*, 2016; Srinivas and Sarin, 2014]. Therefore, the
63 WSOC contributions of light absorbing at lower wavelength cannot be ignored, which could offset
64 cooling effect of OC, influence tropospheric photochemistry and decrease ozone formation rates,
65 especially in the biomass burning dominated regions (e.g., South and East Asia, South America, and
66 subtropical Africa)[Bahadur *et al.*, 2012; Chung *et al.*, 2012; Feng *et al.*, 2013; Ramanathan and
67 Carmichael, 2008]. In addition, WSOC may affect human health by catalyzing the generation of reactive
68 oxygen species [Lin and Yu, 2011; Verma *et al.*, 2012].

69 The light absorption properties of WSOC could be highly source dependent [Chung *et al.*, 2012;
70 Desyaterik *et al.*, 2013; Feng *et al.*, 2013; Lambe *et al.*, 2013]. However, the source apportionment of
71 WSOC is still a challenge, due to its complex formation processes and a wide range of primary and
72 secondary sources. Compared to methods associated with organic tracers and diagnostic mass ratios, dual
73 carbon isotopes are intrinsic property of the carbonaceous aerosol, which can be used as more reliable
74 tools for precise source apportionment of carbonaceous aerosol [Bikkina *et al.*, 2016; Yan *et al.*, 2018].
75 Radiocarbon (^{14}C) analysis is a powerful tool to quantitatively constrain relative contribution of fossil
76 (e.g., coal and liquid fossil fuel) and non-fossil (e.g., biogenic emissions and biomass burning) sources of
77 carbonaceous aerosol with high precision [Szidat, 2009; Szidat *et al.*, 2004], but the sources information

provided by ^{14}C is limited. In addition, stable carbon isotopes (^{13}C) can provide more information on sources and atmospheric processes of carbonaceous aerosol.[*Bikkina et al.*, 2016; *Bosch et al.*, 2014b; *Kirillova et al.*, 2014b]. Therefore, the combination of the isotopic signatures of ^{13}C and ^{14}C would facilitate the determination of sources and atmospheric processes of WSOC.

China as a country with high loadings of anthropogenic carbonaceous aerosols, to accurately understand the spatiotemporal variation of sources and light absorption properties of WSOC in China is important for constraining the uncertainties of WSOC climate effects. Therefore, the objectives of this study were (1) to investigate spatiotemporal variations of concentrations and light absorption properties of WSOC across ten representative urban cities in China; (2) to determine sources of WSOC by dual-carbon isotope analysis; (3) to study the relationship between the light absorption properties and sources of WSOC.

2. Material and Methods

2.1 Sample collection and preparation.

$\text{PM}_{2.5}$ samples were collected in 10 Chinese cities across four seasons (Table S1), including 4 in Northern China (Beijing(BJ), Xinxiang(XX), Lanzhou(LZ), Taiyuan(TY)) and 6 in Southern China (Shanghai(SH), Nanjing(NJ), Chengdu(CD), Guiyang(GY), Wuhan(WH), Guangzhou(GZ)). We divide the cities according to annual average temperature and geographical location. The average annual temperature of Northern cities is usually below $15\text{ }^{\circ}\text{C}$, while that of Southern cities is usually higher than $15\text{ }^{\circ}\text{C}$. At each site, aerosol samples were collected for 24h on pre-combusted ($450\text{ }^{\circ}\text{C}$ for 5 h) Whatman quartz microfiber filters ($8 \times 10\text{ in}$) using high-volume sampler operated at $\sim 1000\text{ L/min}$. Four sampling campaigns were conducted, 22 October 2013 to 13 November 2013, 30 December 2013 to 20 January 2014, 30 March to 20 April 2014, and 26 June to 24 August 2014, to represent fall, winter, spring and summer, respectively. 995 samples were collected during the sampling periods. During each season, a circle with 20 mm diameter was cut from each piece of filter and then pooled into a single sample (except

GY, where only fall and winter samples were collected). On average, ~ 26 samples were combined into a pooled sample. In total, 38 pooled samples were used in the subsequent experiments. For each site, one pooled sample was obtained for each season, and correspondingly, the analytical results represented seasonal averages. The urban rates of the provinces where the sampling sites are located ranged from 37.8 to 88.0% (National Bureau of Statistics, 2013, Table S1) [China, 2013], so the sampling sites can represent the regions of different developing levels in China. Based on the Chinese average urbanization rate in 2013 (54.8%), we classified the studied regions into the developing regions (< 60%) and developed regions (> 60%) in this work.

2.2 Extraction, water-soluble ions and light absorption measurements

The pooled sample was extracted with 100 mL ultrapure water (18.2 MΩ, Sartorius) under ultrasonication (30 min × 3 times), and then the water extracts were filtered through a 0.22-μm PTFE membrane (Jinteng, China) to remove insoluble particles.

The carbon content of WSOC was analyzed by a Total Organic Carbon analyzer (TOC-VCPH, Shimadzu). The relative standard deviation was 3.5%. 10 mL water extracts were used for water soluble ions analysis (Cl⁻, NO₃⁻, SO₄²⁻, Na⁺, K⁺ and NH₄⁺) by a Metrohm ion chromatograph (Model 761 compact IC). One replicated injection was carried out for every ten sample runs. The relative standard deviations were estimated to be less than 4%. All the amount of WSOC and water soluble ions presented in this study was corrected with field blank.

The absorption spectra of WSOC was recorded from 200 to 800 nm relative to ultrapure water by a UV-visible spectrophotometer (UV-4802, Unico, China). The mass absorption efficiency (MAE) was calculated according to previous studies [Chen and Bond, 2010; Mo et al., 2017].

$$MAE_{\lambda} = \frac{|\dot{A}_{\lambda}|}{C_i} = \frac{(A_{\lambda} - A_{700}) \times \frac{V_{water}}{V_{air} \times l} \times \ln(10)}{C_i} \quad (1)$$

Where Abs_λ is the light absorption coefficient (Mm⁻¹); C_i is the corresponding concentration of

WSOC in the air ($\mu\text{gC}/\text{m}^3$); V_{water} is the volume of water; V_a is the volume of air sampled through the filter; l is the optical path length (in this study, 0.01 m); A_λ is light absorption of the solution at a given wavelength. The average light absorption between 695 and 705 nm (A_{700}) was used to account for baseline drift during analysis. The MAE_λ is presented at 365 nm (MAE_{365} , in m^2/gC) to compare with other studies and avoiding interferences from inorganic compounds (e.g., nitrate).

The wavelength dependence of different fraction absorption can be investigated by fitting the absorption Ångström exponent (AAE) by the following relation:

$$|a_\lambda| = K \times \lambda^{-\text{AAE}} \quad (2)$$

The AAE is calculated by a linear regression of $\ln(Abs_\lambda)$ on $\ln(\lambda)$ within the range 330-400 nm for the avoidance of interference by inorganic species.

2.3 Stable carbon and radiocarbon analyses

The stable ($\delta^{13}\text{C}$) and radiocarbon ($\Delta^{14}\text{C}$) composition of WSOC were determined by Finnigan MAT-252 mass spectrometer (Thermo Electron Corporation, USA) and compact accelerator mass spectrometry instrument (NEC, National Electrostatics Corporation, USA) at the Guangzhou Institute of Geochemistry, respectively [Zhu *et al.*, 2015]. The details can be found in Supporting Information.

2.4 Bayesian mixing model

An advanced Bayesian mixing model was employed to quantify WSOC sources into liquid fossil fuel, coal combustion, C3 and C4 plants. The particular model framework and computing method could be found in previous study [Zong *et al.*, 2017]. In this model, the sources were firstly separated into fossil (liquid fossil fuel and coal combustion) and non-fossil sources (C3 and C4 plants) by the radiocarbon results, and then the contribution of each source was further confirmed by the stable carbon signatures. The sources end-members for $\delta^{13}\text{C}$ are summarized in Table S2. It should be noted that atmospheric processes (e.g., SOA formation and aging) may introduce uncertainties in the source apportionment results, because the source signatures ($\delta^{13}\text{C}$) of WSOC may be changed by the atmospheric processes.

150 However, WSOC as a complex mixture of highly polar compounds, it is difficult to quantify isotopic
151 fractionation of WSOC during the complex atmospheric processes (e.g., SOA formation and aging).
152 Therefore, the uncertainties of this model might be high, and the results of the calculation just as a
153 supplementary evidence. In this work, the interquartile ranges (25th to 75th) of the model results were
154 calculated to represent the uncertainties (Figure S2).

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156 **3. Results and Discussion**

157 **3.1 Spatiotemporal variation of concentration and light absorption of WSOC**

158 The concentrations of WSOC ranged from 2.68 to 15.6 $\mu\text{gC}/\text{m}^3$ ($6.88 \pm 3.09 \mu\text{gC}/\text{m}^3$, Table S3). As
159 Figure 1a shows, the average concentrations of WSOC in Northern China were significantly higher than
160 those in Southern China ($8.28 \pm 3.44 \mu\text{gC}/\text{m}^3$ v.s $5.86 \pm 2.41 \mu\text{gC}/\text{m}^3$, $p < 0.01$). In addition, significant
161 seasonal variations in the WSOC were observed. We found that WSOC exhibited lowest concentration
162 during summer ($3.99 \pm 1.04 \mu\text{gC}/\text{m}^3$), followed by spring ($5.66 \pm 2.08 \mu\text{gC}/\text{m}^3$), fall ($6.99 \pm 1.99 \mu\text{gC}/\text{m}^3$)
163 and winter ($10.4 \pm 2.60 \mu\text{gC}/\text{m}^3$). The lower WSOC concentrations during summer were likely associated
164 high wet scavenging effects due to abundant precipitation, and favorable metrological conditions (e.g.,
165 higher boundary layer height, temperature and wind speed) for pollution dispersions (Table S4). Besides,
166 the higher temperature in summer may decrease emissions from coal and biomass combustion for
167 domestic/central heating, which might also lead to the lower WSOC concentrations. However, we found
168 that the difference of WSOC between Northern China and Southern China was relatively small during
169 summer (18.1% difference), but larger during other three seasons (71.2% difference in spring, 54.5%
170 difference in fall and 38.0% difference in winter, Figure 1b). Given the fact that the temperature is
171 relative higher in Southern China, the spatial variations in WSOC are most likely due to the increase in
172 coal and biofuel combustion for domestic/central heating during the cold period in Northern China.
173 Indeed, a previous study showed that more than 70% of annual OC emitted from coal and biofuel

174 combustion for residential heating in the North China Plain [Liu *et al.*, 2016b]. In addition, the lower
175 spatial difference of WSOC concentration during summer also may be likely associated with the strong
176 atmospheric convection and dispersion as explained above.

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178 The light absorption at 365 nm (Abs_{365}) was widely used as BrC indicator. The averaged Abs_{365}
179 values of WSOC ($Abs_{365, \text{WSOC}}$) were $8.57 \pm 6.00 \text{ Mm}^{-1}$ (1.60–25.7 Mm^{-1} , Figure 2). The $Abs_{365, \text{WSOC}}$ was
180 correlated well with the concentrations of WSOC ($r = 0.94$, $p < 0.01$), so we observed the seasonal
181 (winter > fall > spring > summer) and spatial (Northern China > Southern China) of Abs_{365} varied with the
182 concentrations of WSOC. However, it is noteworthy that the light absorption of WSOC may be also
183 affected by the sources of chromophores. For examples, biomass burning was reported as an important
184 source of WSOC with high light absorption capacity [Chen and Bond, 2010; Desyaterik *et al.*, 2013; Fan
185 *et al.*, 2016], and the biomass burning tracer K^+ had a higher correlation with $Abs_{365, \text{WSOC}}$ during cold
186 seasons ($r = 0.74$, $p < 0.01$, Table S6). This indicated that in addition to the higher concentration of
187 WSOC, the enhanced biomass burning emissions may be also one of important reasons for the higher
188 $Abs_{365, \text{WSOC}}$. Contrary to the cold seasons, the relationship between $Abs_{365, \text{WSOC}}$ and K^+ were weaker ($r =$
189 0.54 , $p < 0.05$), but the correlation between $Abs_{365, \text{WSOC}}$ and secondary inorganic ions were stronger during
190 warm seasons (Table S6), indicating that the chromophores were more derived from secondary
191 formations during warm seasons. This is consistent with previous studies reported that the secondary
192 WSOC usually has a lower light absorption capacity, especially for those formed from biogenic
193 precursors [Lambe *et al.*, 2013; Li *et al.*, 2016a]. Therefore, the seasonal difference in the sources of
194 chromophores may also lead to the variation of $Abs_{365, \text{WSOC}}$. Finally, it should be noted that light absorption
195 measured by solvent extracts may be different from those in ambient aerosols, considering the size
196 distribution, effects of mixing state and morphology of particles [Bahadur *et al.*, 2012; Chen *et al.*, 2017].
197 To predict corresponding BrC absorption in ambient aerosols, the correction factors should be applied

198 [Liu *et al.*, 2013b].

199 The absorption Ångström Exponent (AAE) represents the wavelength dependence of the light
200 absorption of BrC. The average AAE values of WSOC was 5.3 ± 0.6 (3.8–6.8, Table S5). The AAE values
201 of WSOC were comparable to those measured in source region of South and East Asia [Bosch *et al.*,
202 2014b; Cheng *et al.*, 2016; Du *et al.*, 2014b; Kim *et al.*, 2016; Kirillova *et al.*, 2014b; Srinivas *et al.*,
203 2016], but much lower than that of the Indo-Gangetic Plain outflow measured over the Bay of Bengal
204 during winter [Srinivas *et al.*, 2016]. It has been showed that AAE value could be highly dependent on the
205 sources and atmospheric processes. Previous studies proposed that the AAE of WSOC from coal
206 combustion (~ 4.4) was lower compared to biomass burning (~ 7 –16) [Chen and Bond, 2010; Shen *et al.*,
207 2017]. Moreover, secondary organic aerosol (SOA) was shown to have higher AAE values compared
208 with primary organic aerosol (POA) [Saleh *et al.*, 2013], but the AAE values might be decreased in the
209 ammonium-mediated aging processes [Bones *et al.*, 2010]. However, the AAE values did not exhibit a
210 clear seasonal and spatial variation ($p > 0.05$) in this study, indicating that the complexity of organic
211 aerosol composition. Therefore, this work cannot clearly explain the relationship between AAE values
212 and sources, on which further research work needs to be done.

213 The light absorption capacity of BrC was characterized by mass absorption efficiency at 365 nm
214 (MAE_{365}). As shown in Table S5, the average MAE_{365} of WSOC ($\text{MAE}_{365, \text{WSOC}}$) was $1.13 \pm 0.37 \text{ m}^2/\text{gC}$
215 (0.55 – $1.86 \text{ m}^2/\text{gC}$), falling in the range of values observed at urban sites in South and East Asia (0.4 – 1.22
216 m^2/gC for Beijing, $1.54 \pm 0.16 \text{ m}^2/\text{gC}$ for Seoul, $1.3 \pm 0.7 \text{ m}^2/\text{gC}$ for Patiala and $1.6 \pm 0.5 \text{ m}^2/\text{gC}$ for New
217 Delhi) [Cheng *et al.*, 2011; Cheng *et al.*, 2016; Cheng *et al.*, 2017; Du *et al.*, 2014a; Kim *et al.*, 2016;
218 Kirillova *et al.*, 2014b; Srinivas *et al.*, 2016], but higher than those reported in Southeastern USA (0.21 –
219 $0.77 \text{ m}^2/\text{gC}$) [Bond *et al.*, 2013; Hecobian *et al.*, 2010; Zhang *et al.*, 2011], East Asia and South Asia
220 outflow sites ($0.7 \pm 0.2 \text{ m}^2/\text{gC}$ for Jeju Island and $0.46 \pm 0.18 \text{ m}^2/\text{gC}$ for Hanimaadhoo Island) [Bosch *et*
221 *al.*, 2014b; Kirillova *et al.*, 2014b], background sites over the northern Indian Ocean ($0.45 \pm 0.18 \text{ m}^2/\text{gC}$)

222 [Srinivas and Sarin, 2013], and high Himalayas ($0.52 \pm 0.18 \text{ m}^2/\text{gC}$) [Kirillova *et al.*, 2016]. The highest
223 value of $\text{MAE}_{365, \text{WSOC}}$ was observed in TY ($1.33 \pm 0.43 \text{ m}^2/\text{gC}$) in Northern China and lowest level
224 occurred in GZ ($0.84 \pm 0.15 \text{ m}^2/\text{gC}$) in Southern China. In general, the $\text{MAE}_{365, \text{WSOC}}$ values of WSOC
225 exhibited significant spatial and seasonal variations. The $\text{MAE}_{365, \text{WSOC}}$ values during cold seasons were
226 higher than those during warm seasons ($1.38 \pm 0.32 \text{ m}^2/\text{gC}$ v.s $0.85 \pm 0.17 \text{ m}^2/\text{gC}$, $p < 0.01$), and the
227 $\text{MAE}_{365, \text{WSOC}}$ values in Northern China were higher than those in Southern China ($1.25 \pm 0.40 \text{ m}^2/\text{gC}$ v.s
228 $1.05 \pm 0.33 \text{ m}^2/\text{gC}$, $p < 0.01$). Such variation of $\text{MAE}_{365, \text{WSOC}}$ might be attributed to the variability of
229 sources, as discussed in section 3.3.

230 3.2 Dual carbon isotopes of WSOC

231 WSOC is an important component of carbonaceous aerosols that may derive from biomass burning
232 and SOA formation. There is a need to better understand the sources of WSOC. Dual carbon isotopes
233 analysis is a powerful tool to appoint the sources of carbonaceous aerosols, in which the radiocarbon
234 isotope can directly distinguish between fossil and non-fossil sources and the stable carbon isotope can
235 serve as a tracer to complement the information of sources and atmospheric processes [Fang *et al.*, 2017;
236 Kirillova *et al.*, 2014a; Kirillova *et al.*, 2014b; Yan *et al.*, 2017]. The average non-fossil contribution to
237 WSOC was $60.1 \pm 9.4\%$ (37.7–80.8%, Table S7), suggesting non-fossil sources were a dominant
238 contributor of WSOC. The overwhelming non-fossil contribution to WSOC have been reported
239 worldwide, but the non-fossil contribution of this work was lower than that of South Asia (71–92%)
240 [Bosch *et al.*, 2014b; Kirillova *et al.*, 2013; Kirillova *et al.*, 2014b], U.S (67–100%) [Weber *et al.*, 2007;
241 Wozniak *et al.*, 2012] and Europe (76–96%) [Szidat *et al.*, 2004; Szidat *et al.*, 2008], indicating that a
242 larger influence of fossil sources to WSOC in China. It should be noted that only a small fraction of
243 primary OC emitted from fossil sources was water soluble [Fan *et al.*, 2016; Mo *et al.*, 2017], thus, most
244 of fossil derived WSOC might be secondary formed from fossil precursors. This was consistent with
245 previous reports showed that a considerable fraction of secondary OC was fossil origin in China [Xiang *et*

246 *al.*, 2015; *Zhang et al.*, 2018].

247 Although the fossil sources contribution of WSOC in China was higher than those in other regions of
248 the world, it is lower than the fossil sources contribution of EC in China ($> 70\%$) in previous reports
249 [*Andersson et al.*, 2015a; *Chen et al.*, 2013; *Zhang et al.*, 2015]. That might be due to relatively high
250 contribution to WSOC from primary and secondary formation from non-fossil emissions such as
251 biogenic, cooking and biomass-burning sources compared to EC. Indeed, compared with fossil fuel
252 combustion samples (coal combustion and diesel exhaust, $\text{WSOC/TC} < 0.1$), the WSOC/TC ratios were
253 significant lower for biomass burning emission sources ($\text{WSOC/TC} > 0.3$) [*Fan et al.*, 2016; *Li et al.*,
254 2018]. In addition, fossil components in water insoluble organic carbon (WISOC) were shown be
255 relatively more recalcitrant to further oxidative aging into WSOC than those of biomass burning/ biogenic
256 origins [*Bosch et al.*, 2014b; *Kirillova et al.*, 2014a; *Kirillova et al.*, 2013; *Kirillova et al.*, 2014b].
257 Moreover, chamber experiment found that the SOA formed from biogenic/biomass burning precursors
258 were all soluble in water, but those from fossil fuel precursors were less soluble in water but soluble in
259 methanol [*Updyke et al.*, 2012]. Therefore, the sources profile of WSOC should be more sensitive to
260 biomass/biogenic sources compared with EC.

261 As Figure 3 shows, the non-fossil contribution exhibited a clear seasonal variation with highest
262 values in fall ($65.1 \pm 7.1\%$, $p < 0.05$), followed by winter ($61.9 \pm 12.5\%$), spring ($59.5 \pm 7.1\%$) and
263 summer ($53.2 \pm 7.5\%$). Considering the biogenic volatile organic compounds (VOCs) emissions and
264 biogenic SOA was relative lower in China during cold seasons [*Ding et al.*, 2016a; *Ding et al.*, 2016b; *Li*
265 *et al.*, 2013], the higher non-fossil contribution during cold seasons was likely attributed to the
266 enhancement of biomass burning emissions during the harvest season or widespread usage of agricultural
267 waste for domestic heating. This was further confirmed by relative intensive active fire spots in cold
268 seasons (Figure S1). Meanwhile, the higher concentration of K^+ during cold seasons compared to warm
269 seasons ($1.55 \pm 0.65 \mu\text{g}/\text{m}^3$ v.s. $0.63 \pm 0.29 \mu\text{g}/\text{m}^3$, $p < 0.01$) and the higher correlation between the

270 concentration of K^+ and WSOC during cold seasons ($r = 0.79$, $p < 0.01$) than that during warm seasons (r
271 $= 0.64$, $p < 0.01$, Tables S6). Previous studies also applied organic tracer, model and carbon isotope to
272 show that biomass burning is an important source of OC during cold seasons in China [*Liu et al.*, 2017;
273 *Liu et al.*, 2013a; *Liu et al.*, 2016b]. In addition, the stable carbon signature ($\delta^{13}C$) can provide more detail
274 information for sources appointment, since the $\delta^{13}C$ of C4 plants (-19.3 to -12.3‰) and coal (-24.15 to -
275 21.7‰) are higher than that of C3 plants (-34.7 to -24.6‰) and liquid fossil fuel (-29.0 to -23.6‰,
276 summarized in Table S2). This study showed that $\delta^{13}C$ of WSOC in cold seasons were higher than those
277 in warm seasons ($-23.5 \pm 0.7\text{‰}$ v.s $-24.7 \pm 0.7\text{‰}$, $p < 0.05$). Corn residue burning as the C4 plant could
278 account for 15% of agricultural waste burning in China, which might be higher in fall [*Jin et al.*, 2018; *Li*
279 *et al.*, 2016b]. A recent study also reported that more than 80% of the open straw burning released
280 atmospheric pollutants is from corn residue burning in Northeast China [*Cui et al.*, 2020]. In addition, the
281 Bayesian model results showed that the contribution of C4 plants to WSOC showed a significant seasonal
282 variation pattern with highest values in fall (Figure S2), which is consistent with the fact that corn is
283 usually planted in early summer and harvested in fall in China (Figure S3). The K^+ serve as a typical tracer
284 for biomass burning, which also exhibit a better relationship with $WSOC_{C4 \text{ plants}}$ ($r = 0.84$, $p < 0.01$) than
285 that of $WSOC_{C3 \text{ plants}}$ ($r = 0.65$, $p < 0.01$). Therefore, combined with ^{14}C results, the enrichment of ^{13}C
286 during cold seasons could be mainly attributed to enhancement of C4 plants combustion (e.g., corn
287 residues burning). In contrast, the lower non-fossil contribution and $\delta^{13}C$ values of WSOC during warm
288 seasons, to some extent, could reflect the relative higher contribution of liquid fossil fuel.

289 In addition to sources, the $\delta^{13}C$ values could also reflect the atmospheric processes, since the $\delta^{13}C$ of
290 OC may be changed by kinetic isotope effects (KIE) of atmospheric reactions. In the SOA formation
291 processes, ^{13}C depletion occur as a result of organic compounds depleted in ^{13}C have a faster reaction
292 rate [*Pavuluri and Kawamura*, 2016; *Zhou et al.*, 2017]. In contrast, in the photochemical aging processes,
293 the high molecular compounds with lighter isotope react faster and release ^{12}C enriched short chain VOCs

294 or CO/CO₂, resulting in the remaining substrate enriched in ¹³C due to KIE [Kirillova *et al.*, 2014a;
295 Kirillova *et al.*, 2014b]. Therefore, the depletion of ¹³C in WSOC during warm seasons might be
296 attributed to the secondary formation of WSOC under stronger radiation and higher temperature, whereas
297 the enrichment of ¹³C in WSOC during cold seasons seemed to be related to aging processes. However,
298 these possible causes are still speculative, our limited data cannot clearly distinguish the impact of two
299 diametrically opposed effects on WSOC.

300

301 3.3 The influence of sources on light absorption capacity

302 Source plays an important role in light absorption capacity of BrC. Biomass burning is commonly
303 regarded as the main emission source for BrC with high absorption capacity in field observations and
304 model predictions [Chung *et al.*, 2012; Desyaterik *et al.*, 2013; Feng *et al.*, 2013]. Indeed, the MAE₃₆₅,
305 _{WSOC} exhibited higher values with higher levels of K⁺ and the non-fossil source contribution of WSOC
306 during cold seasons in the nationwide (Figure 4a). Moreover, the correlation between Abs₃₆₅, _{WSOC} and K⁺
307 during cool seasons ($r = 0.74$, $p < 0.01$) was stronger and more significant than that during warm seasons
308 ($r = 0.54$, $p < 0.05$, Table S6). Thus, the higher MAE₃₆₅, _{WSOC} values during cold seasons may be related to
309 the elevated biomass burning emissions. However, although the non-fossil contribution of WSOC in
310 Northern China was lower than that in Southern China ($55.6 \pm 7.2\%$ v.s $63.4 \pm 9.5\%$, $p < 0.01$), the values
311 of MAE₃₆₅, _{WSOC} in Northern China is still higher than that in Southern China (1.25 ± 0.40 m²/gC v.s $1.05 \pm$
312 0.33 m²/gC, $p < 0.01$, Figure 5b), indicating that besides biomass burning, the fossil derived WSOC may
313 also have high light absorption capacity. Actually, it had been shown that the WSOC emitted from
314 primary fossil fuel combustion exhibited a similar MAE₃₆₅ value to that of biomass burning [Du *et al.*,
315 2014a; Li *et al.*, 2018; Yan *et al.*, 2017]. Furthermore, SOA formed from aromatic precursors emitted by
316 fossil fuel combustion could also result in high MAE values [Lambe *et al.*, 2013; Liu *et al.*, 2016a]. Thus,
317 the higher MAE₃₆₅, _{WSOC} in Northern China could be likely associated with higher fossil contribution. In

318 Northern Hemisphere (Figure 6), we found that the $MAE_{365, \text{WSOC}}$ values in East Asia ($1.04 \pm 0.40 \text{ m}^2/\text{gC}$)
319 were comparable to those in South Asia ($1.01 \pm 0.45 \text{ m}^2/\text{gC}$), but significantly higher than those in USA
320 and Europe ($0.57 \pm 0.43 \text{ m}^2/\text{gC}$, $p < 0.01$). To complement the ^{14}C -WSOC database by previous ^{14}C based
321 source apportionment results summarized by *Zhang et al.* [2018], we found the fossil contribution of
322 WSOC in East Asia ($38.0 \pm 11.6\%$, $p < 0.01$) is extremely higher than that in South Asia ($18.0 \pm 4.5\%$),
323 USA and Europe ($20.8 \pm 7.6\%$). These indicate the higher light absorption capacity of WSOC in South
324 Asia could be attributed to biomass burning emissions, while the higher absorption capacity of WSOC in
325 East Asia were more associated with the fossil fuel combustion. Therefore, WSOC from both biomass
326 burning and fossil sources has significant impacts on the OC radiative forcing.

327 To evaluate the relative importance of fossil and non-fossil sources to the WSOC light absorption,
328 we investigate the relationship of fossil and non-fossil WSOC concentration with $Abs_{365, \text{wsoc}}$ by
329 multiple linear regression model (Table S9). The unit of the unstandardized regression coefficients
330 (m^2/gC) in the model could reflect the relative light absorption capacity of fossil and non-fossil WSOC to
331 some extent. The regression coefficient of non-fossil WSOC ($1.64 \text{ m}^2/\text{gC}$) was lower than that of fossil
332 WSOC ($2.15 \text{ m}^2/\text{gC}$), indicating the fossil derived WSOC exhibited higher light absorption capacity. This
333 is consistent with the previous results showed that fossil sources were the important source of WSOC
334 with high light absorption capacity [*Du et al.*, 2014b; *Lambe et al.*, 2013; *Li et al.*, 2018; *Liu et al.*, 2016a;
335 *Yan et al.*, 2017]. Additionally, the lower unstandardized regression coefficients of non-fossil WSOC may
336 be due to the fact that in addition to biomass burning with high light absorption capacity, the non-fossil
337 sources also contain biogenic SOA with relatively low light absorption capacity. Furthermore, the
338 relationship between the $MAE_{365, \text{WSOC}}$ and the relative contribution of fossil sources was studied (Figure
339 6). We found the relative contribution of fossil sources ($r = 0.52$, $p = 0.02$, Figure 6) showed a positive
340 relationship with $MAE_{365, \text{WSOC}}$ in cold seasons, indicating the fossil sources derived WSOC in cold
341 seasons had a higher light absorption capacity. That could be ascribed to coal combustion for heating in

Northern China might increase the emissions of WSOC with high light absorption capacity in winter [Li *et al.*, 2018; Yan *et al.*, 2017]. On the other hand, that may also be related to the SOA formation pathways. Liu *et al.* [2016a] showed that WSOC formed from fossil precursors (e.g., trimethylbenzene and toluene) under high-NO_x conditions have substantially higher light absorption capacity than those under low-NO_x condition. Given the fact that the concentration of NO₃⁻ was much higher in cold seasons compared to warm seasons ($13.7 \pm 6.4 \mu\text{g}/\text{m}^3$ v.s $7.7 \pm 5.1 \mu\text{g}/\text{m}^3$, $p < 0.01$), the high light absorbing secondary fossil WSOC might be formed under relatively higher NO_x condition in cold seasons. While in the warm seasons, although there is no statistically significant, we found that the MAE_{365, WSOC} decreased with the increasing contribution of fossil sources. As discussed above, the light absorption capacity of secondary fossil WSOC might be lower under the relatively lower NO_x formation condition, so those lower light absorbing secondary fossil WSOC might dilute the color of overall WSOC in warm seasons.

353

3.4 Implications

To implement effective strategy to mitigate the climate and health problems caused by WSOC, the sources of WSOC should be accurately identified and characterized. This work applied dual carbon isotopes to investigate the relationship between the sources and light absorption properties of WSOC. In addition, the seasonal and spatial scales data on sources and light absorption properties of WSOC over China in this work will help optimize the regional climate modeling and implement relevant regulation policy with respect to climate.

In this study, we found that both the biomass burning and fossil derived WSOC with high light absorption capacity, which is consistent with laboratory experiments and field observations [Chung *et al.*, 2012; Desyaterik *et al.*, 2013; Feng *et al.*, 2013; Liu *et al.*, 2016a]. We also observe that the fossil contribution of WSOC in the Chinese urban regions is higher than that in Europe and USA, which is likely due to large industrial and residential coal usage as well as vehicle emissions. Moreover, BC as the

366 dominant light-absorbing component of the aerosols, which is also mainly derived from fossil fuel
367 combustion in China [Andersson *et al.*, 2015b; Liu *et al.*, 2017; Liu *et al.*, 2013a; Zhang *et al.*, 2018].
368 These implicate that mitigating emissions of carbonaceous particles from fossil fuel combustion could
369 provide a good opportunity to reduce climate warming impact of both BC and light absorbing WSOC.
370 However, it should be noted that, through correlation between fossil sources contribution and $MAE_{365, WSOC}$,
371 $WSOC$, we found that WSOC derived from fossil sources only exhibited higher light absorption capacity in
372 cold seasons, which was likely associated with WSOC formation pathways [Liu *et al.*, 2016a]. Up until
373 now, the few climate models have predicted the warming effects of BrC by assuming that absorption
374 capacity of BrC is a constant value [Feng *et al.*, 2013; Jo *et al.*, 2016], which may introduce substantial
375 uncertainties in predicting their climate impact. Therefore, to accurately assess the climate impact of BrC,
376 the influence of different formation pathways on the light absorption properties of BrC should be
377 considered in future climate models.

378 Despite the importance of contributions from fossil sources to WSOC, the non-fossil sources were
379 still a major contributor of WSOC in Chinese urban regions, and the seasonal variation of $MAE_{365, WSOC}$
380 was associated with non-fossil sources. Especially, the non-fossil sources were shown to be highly related
381 to human agricultural activities (e.g., corn residues burning) in this work. The crop residues burning could
382 emit large amounts of nitrogen-containing organic compounds (NOC)[Laskin *et al.*, 2015]. Besides the
383 nitroaromatic compounds that with high light absorption capacity, the nitrogen-containing bases (N-
384 bases) are also major constituents of NOC [Dou *et al.*, 2015; Lin *et al.*, 2017; Wang *et al.*, 2017].
385 Moreover, the reversible redox sites in N-bases could catalyze the generation of reactive oxygen species
386 which resulted in the adverse health effects [Dou *et al.*, 2015; Wang *et al.*, 2017]. Even in SH (with 88%
387 urbanization rate), the contribution of non-fossil sources (66.4%) to WSOC was comparable to those in
388 fall in developing regions (XX: 62.4%, CD: 72.2% WH: 71.9% and GY: 74.6%, with urbanization < 60%),
389 indicating the crop residues burning emissions in surrounding rural areas could also affect air quality and

390 people's health in densely populated urban areas. Therefore, the mitigation of emissions from agricultural
391 residues burning during harvest season would be an effective mitigation strategy to counter climatic and
392 health effects caused by WSOC.

393 The possible influence of WSOC on the climate and human health largely depend on its molecular
394 compositions. Further experiments are needed to determine the molecular compositions of WSOC in
395 order to link the sources of WSOC to their defining molecular characteristics, which in turn can be linked
396 to environmental effects. In addition, the identification of the distinctive molecular from different
397 emission sources (e.g., biogenic SOA, biomass burning, liquid fossil fuel and coal combustion) may help
398 us find new organic tracers to accurately identify and quantify the sources of WSOC.

399

400 **4. Conclusions**

401 In present study, we investigated the sources and light absorption properties of WSOC in 10 cities
402 across China. Despite a dominant non-fossil contribution to WSOC, the WSOC has higher fossil sources
403 contribution ($39.9 \pm 9.4\%$) compared to other places in the world, suggesting a larger influence of fossil
404 sources to WSOC in China. The fossil contribution and MAE_{365} of WSOC in Northern China ($44.4 \pm$
405 7.3% and $1.25 \pm 0.40 \text{ m}^2/\text{gC}$) were higher than those in Southern China ($36.6 \pm 9.5\%$ and $1.05 \pm 0.33 \text{ m}^2/$
406 gC), which was probably related to larger fossil fuel consumption in Northern China. The non-fossil
407 contribution and $MAE_{365, \text{WSOC}}$ exhibited a clear seasonal variation with highest values in fall ($65.1 \pm$
408 7.1%), followed by winter ($61.9 \pm 12.5\%$), spring ($59.5 \pm 7.1\%$) and summer ($53.2 \pm 7.5\%$), which was
409 likely associated with corn residues burning. The fossil sources derived WSOC exhibited higher light
410 absorption capacity during cold seasons, which might be related to the WSOC formation pathways and
411 coal combustion. Given the large spatiotemporal variation of sources, formation pathways and light
412 absorption properties of WSOC, sources information provided by dual carbon isotope are crucial for
413 making effective mitigation strategies to address the adverse effects of WSOC in China.

414

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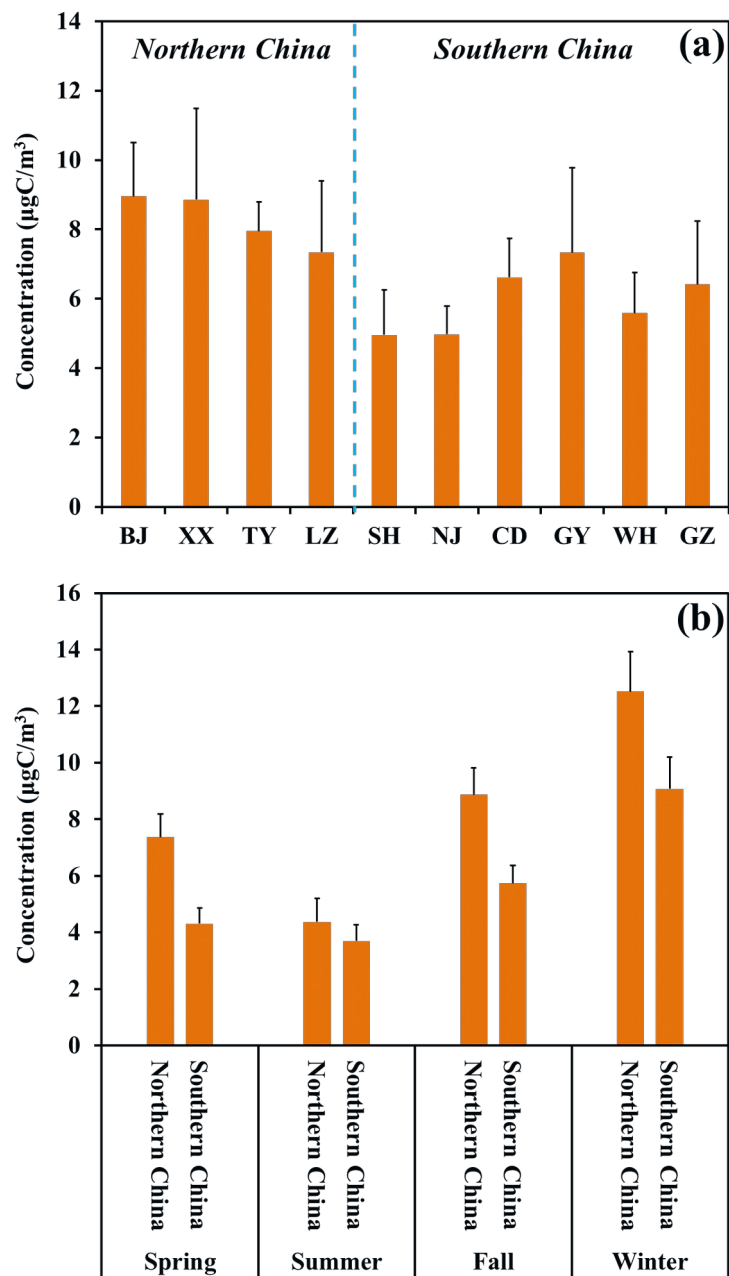
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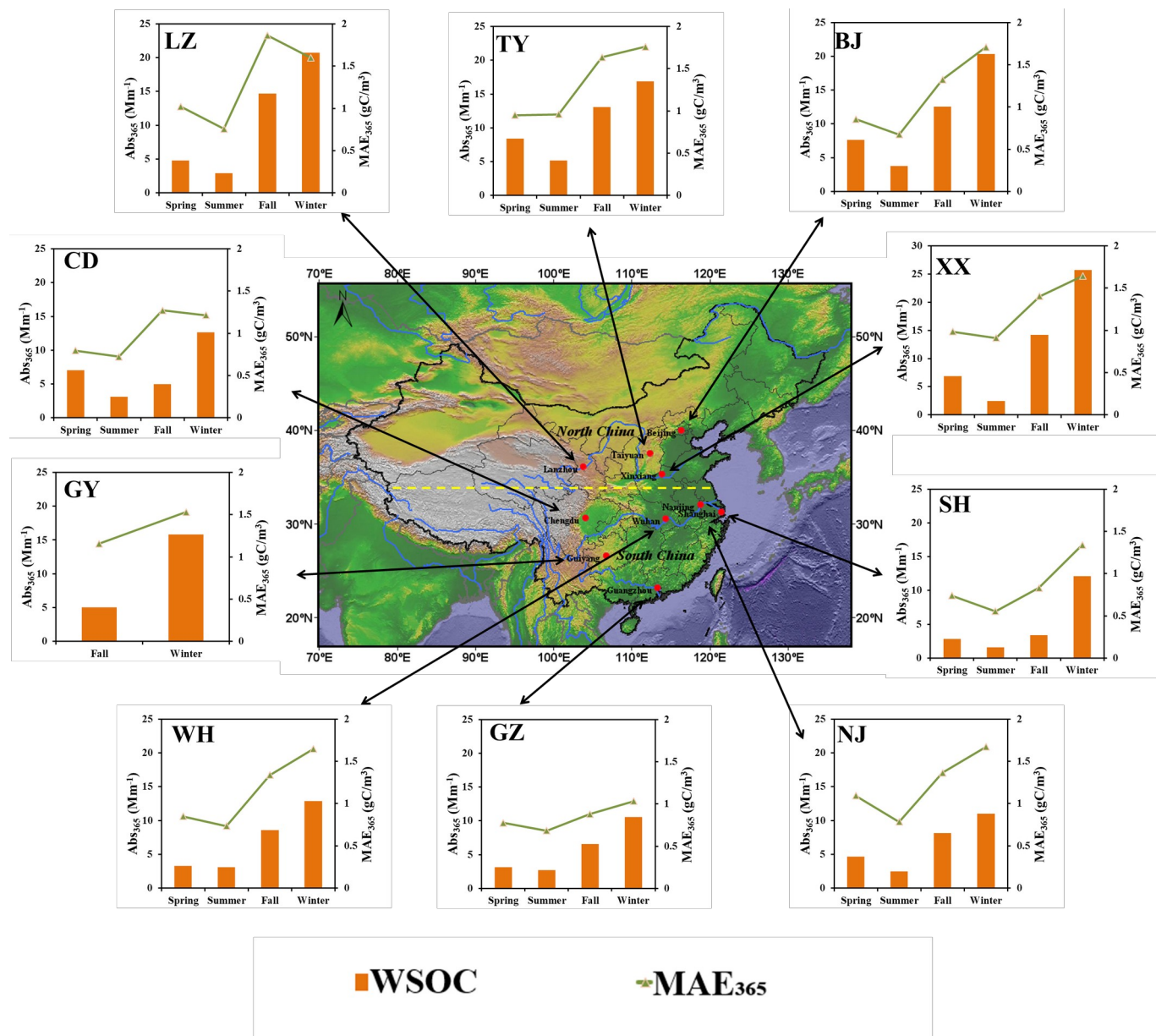
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Figure 1. Spatial (a) and seasonal (b) variations of WSOC in PM_{2.5} from 10 Chinese cities (Note the abbreviation of the cities' name; Northern China: BJ-Beijing, XX-Xinxiang, TY-Taiyuan, LZ-Lanzhou; Southern China: SH-Shanghai, NJ-Nanjing, CD-Chengdu, GY-Guiyang, WH-Wuhan, GZ-Guangzhou).



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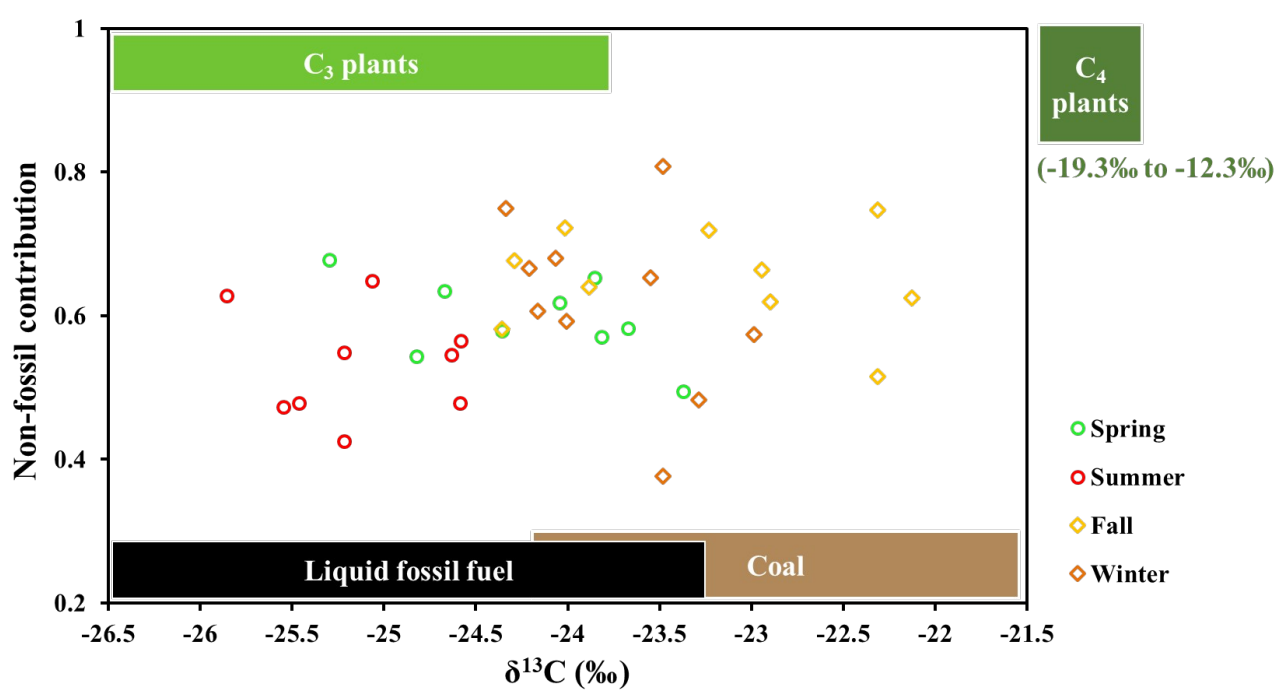
642 **Figure 2.** Spatial and seasonal variations of WSOC light absorption properties in PM_{2.5} from 10 Chinese
643 cities. The bars represent the light absorption coefficient at 365 nm (Abs₃₆₅, left axis), and the green lines
644 represent the mass absorption efficiency at 365 nm (MAE₃₆₅, right axis).
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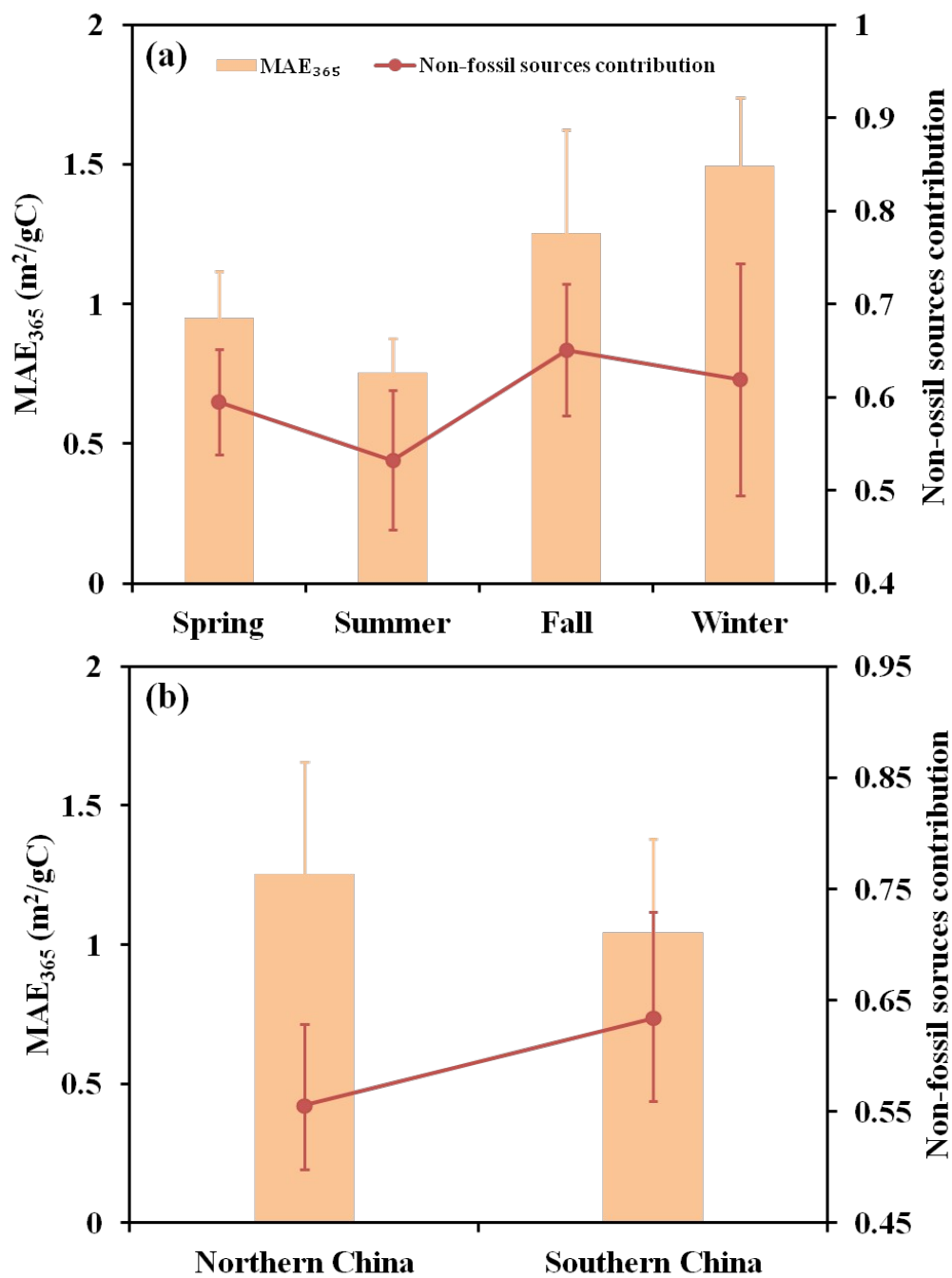
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Figure 3. Dual carbon isotopes ($\Delta^{14}\text{C}$ versus $\delta^{13}\text{C}$) sources appointment of WSOC in different seasons (Spring: green, Summer: red, Fall: yellow and Winter: brown) over China. The expected $\delta^{13}\text{C}$ endmember ranges for C3 plants (light green, top), C4 plants (dark green, top), Liquid fossil fuel (black, bottom) and Coal (brown, bottom) are shown as rectangular bars (The $\delta^{13}\text{C}$ signature of sources endmember are summarized in Table S2).

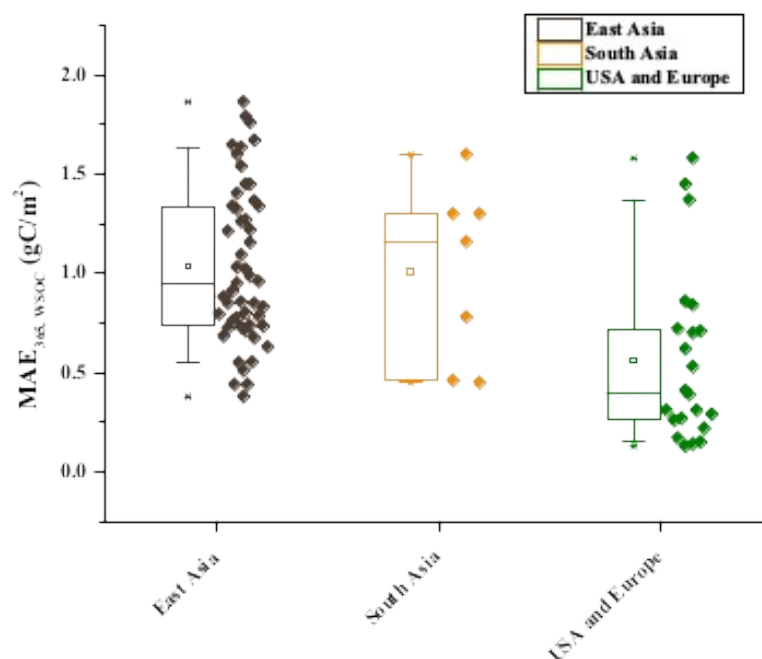


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671 **Figure 4.** The (a) seasonal and (b) spatial variations of MAE_{365} and fossil sources contribution of WSOC
672 over China.
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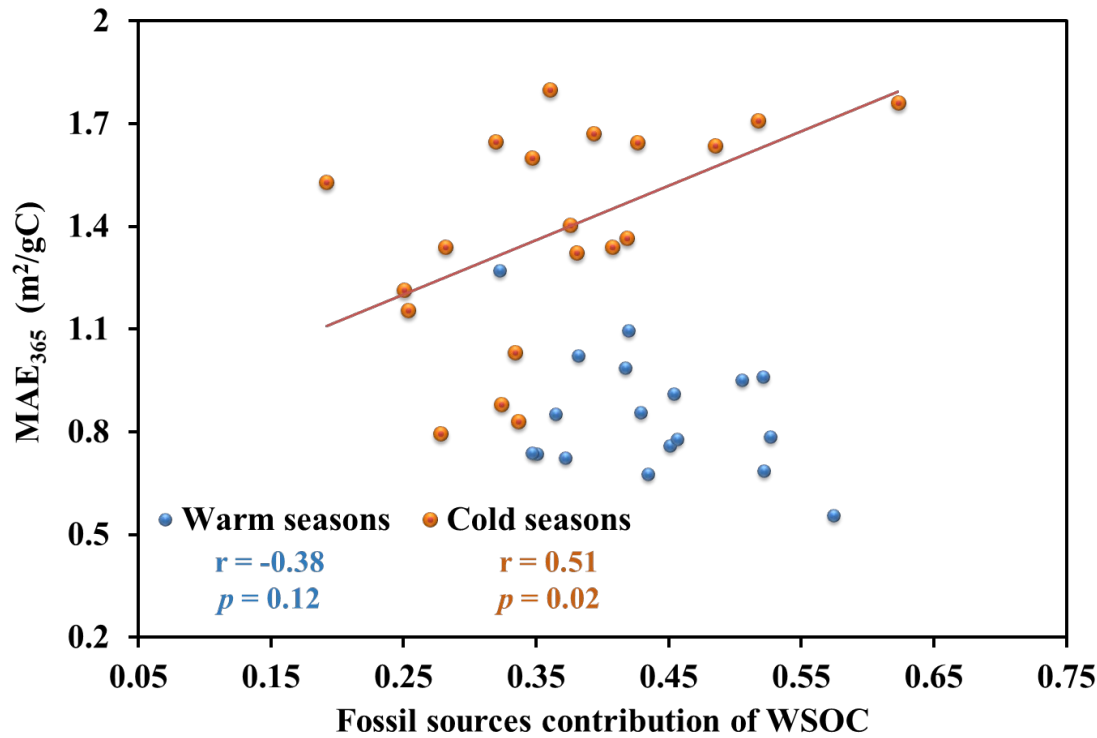
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Figure 5. The MAE_{365} of WSOC in East Asia [Cheng et al., 2011; Cheng et al., 2016; Cheng et al., 2017; Du et al., 2014a; Kirillova et al., 2014b; Yan et al., 2017], South Asia [Bosch et al., 2014a; Kirillova et al., 2014b; Srinivas et al., 2016; Srinivas and Sarin, 2013, 2014], the USA and Europe [Hecobian et al., 2010; Liu et al., 2013b; Teich et al., 2017; Zhang et al., 2013; Zhang et al., 2011]. The box represents the 25th (lower line), 50th (middle line) and 75th (top line) percentiles; the empty square within the box represent the mean values; the end lines of the vertical bars represent the 10th (below the box) and 90th (above the box) percentiles; the x dots represent the maximum and minimum values; the solid diamonds represent the individual data.



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692 **Figure 6.** Correlation between MAE_{365, WSOC} and relative contributions of fossil sources.



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