

1 **Economically Efficient and Environmentally Sustainable**  
2 **Irrigation Potentials: a Spatially Explicit Global**  
3 **Assessment**

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9 **Key Points:**

- 10 • We find considerable potential to sustainably expand irrigation, but not necessarily  
11 in currently irrigated areas
- 12 • Our data processing routine provides a hydrological input aggregation tool to global  
13 land-system models
- 14 • Globally, 476 Mha of all suitable agricultural land could be economically efficiently  
15 and sustainably irrigated

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**Abstract**

To satisfy increasing global agricultural demand, the expansion of irrigation is an important intensification measure. At the same time, unsustainable water abstractions and cropland expansion pose a threat to biodiversity and ecosystem functioning. Irrigation potentials are influenced by local biophysical irrigation water availability and competition of different water users. Because water abstractions for various human uses along the river divert the river flow, it is also important to consider competing water uses when estimating irrigation potentials. Using a novel river routing routine that considers economic criteria of water allocation via a productivity ranking of grid cells and both land and water sustainability criteria, we estimate global irrigation potentials at a 0.5° spatial resolution. We show that there are considerable potentials to expand irrigation without harming the environment, but not necessarily at the places where irrigation is taking place today. In terms of potentially irrigated areas on current cropland, 711 Mha could be sustainably irrigated when only considering biophysical criteria. Of these, only 254 Mha have a yield value gain of more than 500 USD ha<sup>-1</sup> and would be economically viable to be irrigated. The open-source data processing routine is a valuable aggregation and disaggregation tool for the use of hydrological inputs within land-system models that do not have a highly resolved representation of land use. The potentials can be aggregated to different simulation level units (e.g. basin level or country level) while maintaining biophysical and economic consistency.

**Plain Language Summary**

Irrigation plays an important role for food production. Global crop demand is expected to grow due to the growing world population and increasing role of bioenergy to avoid climate change. Irrigation can contribute to meet this increasing demand by facilitating higher yields per hectare of agricultural land. In this study, we quantify areas across the globe that can be irrigated given economic and environmental constraints. We determine how much area and which areas can be irrigated globally given local water availability; how much of these can be irrigated sustainably; and what is the economic benefit of irrigation in different locations. We find that 2492 Mha of all land that is suitable for agricultural production could be irrigated. 1578 Mha could be irrigated sustainably. In reality, many of these areas might not be irrigated for economic reasons. Where the gain through irrigation is small, farmers might not install irrigation equipment. In our estimation, only 682 Mha would be irrigated when considering economic constraints; 476 Mha of these could be irrigated sustainably.

## 1 Introduction

Irrigation plays an important role for global food production (Foley et al., 2011; Ringler & Zhu, 2015), and the expansion of irrigation is an important intensification measure to satisfy the increasing global demand for agricultural outputs (Keating et al., 2014). The further growing world population (United Nations et al., 2019) will go hand in hand with rising absolute food demand (Bodirsky et al., 2020). At the same time, food demand in developing and emerging economies is expected to grow and shift to an increasingly land- and water-intensive diet (Tilman & Clark, 2014; Ringler & Zhu, 2015; Bodirsky et al., 2020). Additionally, with the increasing role of bioenergy crop production for climate change mitigation, competition for land and water resources between the food and bioenergy sector is rising (Klein et al., 2014; Bonsch et al., 2016; Stenzel, Gerten, & Hanasaki, 2021). Irrigation can contribute to closing the yield and demand gap by producing higher agricultural outputs per hectare (Foley et al., 2011; Mueller et al., 2012; Rosa et al., 2018).

A defining question of our time is how human demands can be satisfied within environmental and economic limits (Rockström et al., 2009; Rosa et al., 2018; Soergel et al., 2021). In many parts of the world, irrigation relies on unsustainable withdrawals (Wada & Bierkens, 2014) and taps environmental flows necessary to maintain aquatic and riverine ecosystem functioning (Jägermeyr et al., 2017). Human water abstractions divert river flows and affect downstream availability (Wada, van Beek, et al., 2013; Veldkamp et al., 2018). Economic productivity and profitability are central decision criteria for the allocation of water to different uses within a river basin. To account for economically viable irrigation water use, the potential yield value gain through irrigation, capturing the marginal return to irrigation, can be used to project potential water abstractions along the river under consideration of economic aspects. A global quantification of economic irrigation potentials considering land- and water-sustainability criteria in terms of potential irrigation water use (withdrawals and consumption) as well as potentially irrigated areas is useful to address various sustainability challenges of the land system (e.g., how to feed a growing population without transgressing planetary boundaries (Gerten et al., 2020); trade-offs between climate targets and other sustainability dimensions with regards to biomass production (Stenzel, Greve, et al., 2021); how to close the yield gap without violating environmental flow requirements (Rosa et al., 2018).

Global land-system models (LSMs) address such questions and use water availability data from hydrological models as input, constraining irrigated crop production and non-agricultural water abstractions (e.g., Calzadilla et al. (2010); Biewald et al. (2014); Liu et al. (2017)). However, they usually lack a hydrologically-founded spatial representation of the interaction of water availability, potential cropland area, water abstractions, and the accompanying upstream-downstream effects. For data availability and computational reasons, especially global-scale optimization models assessing optimal land-use patterns under environmental constraints run at an aggregated scale of spatial clusters, nations or world regions (e.g., Pastor et al. (2019); Dietrich et al. (2019); Woltjer and Kuiper (2014)). When different data sets are aggregated independently, their interaction is lost. For example, despite sufficient water and cropland availability in the aggregated cluster, the suitable land might not be close enough to the water source for irrigation. To avoid a misrepresentation of irrigation potentials in LSMs, spatially explicit irrigation dynamics - including upstream-downstream relationships - should be taken into account in the aggregation of water-related input data, and can be useful also for the disaggregation of land-use outputs provided by these models back to a finer resolution.

Our global open-source spatially explicit (0.5° resolution) hydro-economic data processing routine allocates irrigation water abstractions based on a productivity ranking. Moreover, it considers competition by upstream water consumption and downstream water withdrawals to determine local water availability, considering also other (human and environmental) water uses. It takes both biophysical conditions as well as economic criteria into account to derive gridded potential irrigation water (PIW) as well as potentially irrigated

101 areas (PIA). These can be used to derive marginal PIA curves at aggregated levels, such  
102 as river basins or national territories. To account for aspects of land and water sustain-  
103 ability, we include scenarios that limit irrigation water withdrawals to maintain minimum  
104 environmental flow requirements and prevent irrigation in areas of ecological importance to  
105 safeguard aquatic and riverine ecosystems.

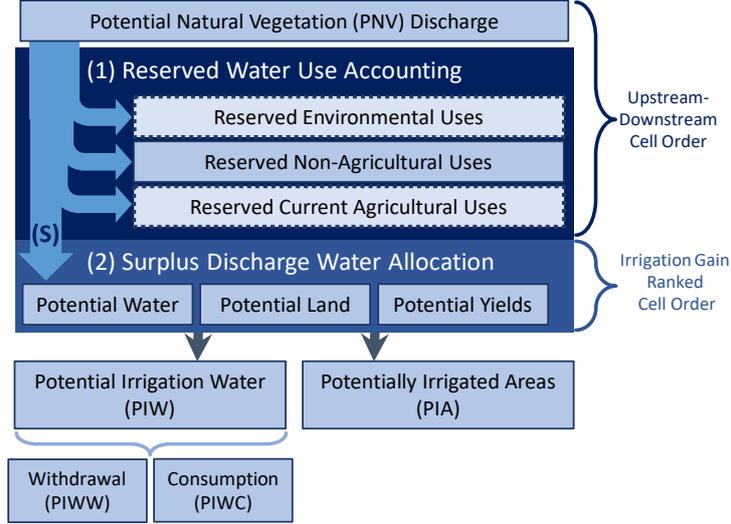
106 To the best of our knowledge, no global-scale study exists that determines (sustainable)  
107 irrigation potentials while considering biophysical and economic suitability criteria and their  
108 spatially explicit interaction. Previous approaches quantifying irrigation potentials and sus-  
109 tainable irrigation water use focused solely on current cropland and irrigation expansion  
110 into currently rainfed areas. D’Odorico et al. (2020) assess the value of irrigation water  
111 in a global biophysical framework at a 0.08° resolution based on the additional agricultural  
112 output achieved through irrigation. However, they do not derive irrigation potentials or  
113 economic irrigation potential curves from this valuation and do not take cropland expan-  
114 sion into account. Rosa, Chiarelli, Rulli, et al. (2020) introduce the concept of economic  
115 water scarcity to quantify the additional potential global agricultural production that is  
116 achievable focusing on biophysical water availability. They do not assess whether it would  
117 actually be profitable to irrigate these areas and only include existing cropland areas. Rosa,  
118 Chiarelli, Sangiorgio, et al. (2020) derive biophysical irrigation potentials in currently rain-  
119 fed cropland at a 0.5° resolution. However, they neither provide information on potentials  
120 under cropland expansion nor take economic considerations for the allocation of potential  
121 irrigation water abstractions including their downstream effects into account. Since LSMs  
122 provide future projections of land-use change and global crop production, it is important  
123 that irrigation water availability and irrigation potentials are provided for both current and  
124 potential cropland. Previous approaches estimating irrigation water demand curves have  
125 been focusing on selected countries, basins or even sub-basins and derived irrigation wa-  
126 ter demand based on mathematical programming models (e.g., Moore and Hedges (1963);  
127 Scheierling et al. (2004); Manos et al. (2009)), econometric models (e.g., Davidson and Hel-  
128 legers (2011); Hendricks and Peterson (2012)) or adjusted contingent valuation approaches  
129 (e.g., Storm et al. (2011)). Due to a lack of data, these approaches are not suitable for  
130 global scale analyses.

131 To illustrate the outcome of our hydro-economic data processing routine, we address the  
132 research questions: How much area can be irrigated given spatially explicit environmental  
133 and human uses on current cropland and on potential cropland, considering upstream-  
134 downstream relationships and environmental and human uses along the river? What is the  
135 economic benefit of irrigation on currently irrigated areas; on potentially irrigated current  
136 cropland areas; and on potential cropland under cropland expansion? How would these  
137 potentials be reduced if water and land use were sustainable?

## 138 2 Methodology

139 Our method aims at providing economic potentials for irrigated area, water with-  
140 drawals and consumption on current and potential cropland. To account for the upstream-  
141 downstream effects of water abstractions for reserved (environmental and human) water  
142 uses along the river, we developed a river routing routine for water flows and water abstrac-  
143 tions. It comprises two main calculation steps (see figure 1): (1) the Reserved Water Use  
144 Accounting (see section 2.1) and (2) the River Basin Surplus Discharge Allocation Algo-  
145 rithm (see 2.2). Our approach relies on an unequivocal relationship between water use in  
146 one cell and reduced water availability in downstream cells. These relationships can only be  
147 established when impacts on the temporal distribution of water as well as effects of storage  
148 and transport duration are ignored. Therefore, the river routing is based on a spatial water  
149 balance approach with 30-year average water flows.

150 All hydrological inputs (yearly runoff, monthly discharge, evaporation from water bod-  
151 ies) as well as yields and crop water requirements are provided by the Lund–Potsdam–Jena



**Figure 1.** River routing iteration structure to determine Potential Irrigation Water, PIW (in  $\text{km}^3 \text{yr}^{-1}$ ), and Potentially Irrigated Areas, PIA (in  $\text{Mha} \cdot \text{yr}^{-1}$ ). Calculation steps include the Potential Natural Vegetation Discharge initialization river routing, the Reserved Water Use Accounting consisting of three (partially optional) upstream-downstream river routings; and the allocation of the river basin’s surplus discharge (S) based on an irrigation yield value gain cell-ranking determining the calculation order of cells. The river basin’s surplus discharge (S) is the discharge of the estuary cell that is not (yet) consumed along the river in the last Reserved Water Use Accounting river routing and is available as potential water for additional irrigation within the river basin. Scenario-dependent optional iterations are indicated with a dashed box.

152 dynamic global vegetation model with managed Land (LPJmL). It comprises a spatially  
 153 explicit representation of crop growth dynamics as well as the hydrological cycle and oper-  
 154 ates at a daily resolution (Schaphoff et al., 2018; von Bloh et al., 2018). LPJmL simulates  
 155 the terrestrial water cycle considering the daily soil water balance and evapotranspiration;  
 156 a river routing routine at 3-hourly temporal scale; and a human water use representation  
 157 (including non-agricultural water demand, irrigation water demand as well as seasonal wa-  
 158 ter availability effects of dams and reservoirs) as described in Gerten et al. (2004), Rost et  
 159 al. (2008), Biemans et al. (2011) and Schaphoff et al. (2018). Because non-agricultural and  
 160 irrigation water use are explicitly modeled in our river routing routine, human consumptive  
 161 water use is not considered in the LPJmL simulations used for this analysis. For a detailed  
 162 LPJmL model description including specific modeling assumptions and the model versions  
 163 used in this model, see Supplementary Information (SI) section 1.

To initialize river discharge (see figure 1), we derive the ‘potential natural vegetation (PNV) discharge’ ( $q^{PNV}$ , see equation 1).

$$q_c^{PNV} = in_c + r_c - e_c$$

$$in_c = \sum_{up} q_{up}^{PNV} \quad (1)$$

164 where  $in_c$  is the inflow into cell  $c$  from its direct upstream neighbor cells  $up$ ;  $r_c$  is runoff on  
 165 cell  $c$ ; and  $e_c$  lake evaporation in cell  $c$ . PNV discharge refers to discharge under potential  
 166 natural vegetation ignoring the influence of anthropogenic effects on discharge. To this  
 167 end, we use runoff and lake evaporation provided by a simulation of runoff with LPJmL4  
 168 (Schaphoff et al., 2018) for a hypothetical 100% potential natural vegetation only setup  
 169 with current climate forcing data from the Global Soil Wetness Project Phase 3 (GSWP-3)

170 data set (Kim, 2017) homogenized to W5E5 (Cucchi et al., 2020; Lange et al., 2021; Lange,  
171 2019). Runoff is the surplus water that cannot be stored in the soil column, after accounting  
172 for losses from evapotranspiration. To determine lateral flows of discharge from the most  
173 upstream grid cell to the next up to the estuary, we use the flow direction and stream order  
174 of halfdegree grid cells of the global STN-30p drainage network (Vörösmarty et al., 2011);  
175 (see also Vörösmarty et al. (2000), Vörösmarty et al. (2011), and Lehner et al. (2011) for a  
176 data set description). It is the same drainage network that is used in the LPJmL simulations  
177 used here and is therefore consistent with our hydrological inputs (von Bloh et al., 2018).  
178 The underlying land mask used in our study is the 0.5° high-resolution gridded land mask  
179 provided by the Climate Research Unit (CRU (Harris et al., 2014, 2020)).

## 180 2.1 Reserved Water Use Accounting

181 Following the determination of PNV discharge, water volumes are reserved for certain  
182 uses (see figure 1), giving priority to environmental flows (for sustainability scenarios only)  
183 over human uses; and giving priority to non-agricultural human uses over agricultural water  
184 uses. In the process of reserving specific water volumes, cellular discharge is adjusted in  
185 every iteration of the respective river routing. The reservation of water volumes is limited  
186 by local water availability. Water uses that exceed this amount are not reserved. In terms of  
187 human water uses, we differentiate water withdrawals and water consumption (see section  
188 2.3 for a detailed description of the withdrawal and consumption constraints). We define  
189 water consumption as the total irrigation water volume incorporated into the plant or evapo-  
190 rated to the atmosphere during the growing period (including evaporative transport losses).  
191 Withdrawal refers to the water volume diverted from water bodies. Withdrawals that are  
192 not consumed are returned to the river in the same grid cell (return flow) (Jägermeyr et al.,  
193 2015).

194 Environmental flow requirements (EFR) - i.e. the minimum flow to maintain the aquatic  
195 and riverine ecosystem in a ‘fair condition’ (Smakhtin et al., 2004) - are reserved to prevent  
196 unsustainable human water abstractions in the sustainability scenarios (see section 2.5).  
197 EFR are calculated using the variable monthly flow method (VMF) method (Pastor et  
198 al., 2014). Because the calculation of EFR requires information on timing and variability of  
199 discharge, we use monthly PNV discharge calculated by the temporally highly resolved river  
200 routing routine of LPJmL4 (Schaphoff et al., 2018). The monthly EFR are then aggregated  
201 to yearly values, which is the temporal scale of our river routing routine. For the full EFR  
202 methodology applied in this study, see SI section 4.

203 Non-agricultural water uses are prioritized over agricultural uses (see figure 1), because  
204 domestic and industrial water uses usually have a higher marginal return compared to agri-  
205 cultural water use (United Nations, 2021). Similar assumptions are also made in several  
206 global economic optimization models (Bonsch et al., 2016; Pastor et al., 2019; Robinson et  
207 al., 2015; Baldos et al., 2020). Non-agricultural annual water withdrawals and consumption  
208 for domestic and industrial uses are provided by the Inter-Sectoral Impact Model Intercom-  
209 parison Project (version ISIMIP3b (2020)) input data for the historical period for the years  
210 1901 to 2014. These data are a multi-model average provided by the Water Futures and  
211 Solutions project (Wada et al., 2016). Because of a lack of spatially explicit data, we do  
212 not include water consumption by livestock. With around 1-2% of total water use, it is  
213 negligible (United Nations, 2021).

214 Cellular irrigation water withdrawals and consumption are calculated based on blue  
215 water consumption requirements of crops as provided by LPJmL5 (von Bloh et al., 2018;  
216 Lutz et al., 2019) and the current grid cell specific crop mix as well as irrigated areas.  
217 Irrigated areas are derived from national crop harvesting data from FAOSTAT (FAO, 2021)  
218 and grid cell specific irrigated and rainfed cropland area shares from LUH2 (Hurtt et al.,  
219 2019, 2020) (see SI section 3 for more details). Water withdrawals further depend on the  
220 irrigation efficiency of the irrigation system in use. We take country-specific irrigation

221 system shares for surface, sprinkler and drip irrigation as provided by Jägermeyr et al. (2015)  
 222 assuming the same irrigation system mix for all modeled crops. For simplicity, we assume  
 223 global average irrigation efficiencies for each of the three irrigation systems. Conveyance  
 224 efficiency (i.e. the percentage of irrigation water diverted from water bodies that reaches  
 225 the field (Jägermeyr et al., 2015) is assumed to be 70 % for open canals (surface), and 95 %  
 226 for pipes (sprinkler and drip) following Schaphoff et al. (2018) and Jägermeyr et al. (2015).  
 227 Field efficiencies (i.e. the percentage of irrigation water applied to the field that is consumed  
 228 (Jägermeyr et al., 2015) of 52 % (surface), 78 % (sprinkler) and 88 % (drip) are taken from  
 229 Jägermeyr et al. (2015). For further details see SI section 3.

230 After having accounted for the reserved water uses, the river basin ‘surplus discharge’  
 231 (see (S) in figure 1) can be determined. It is the discharge of the estuary cell that is not (yet)  
 232 consumed along the river after the Reserved Water Use Accounting and can potentially be  
 233 used for additional irrigation in the respective grid cells with available discharge or their  
 234 downstream cells.

## 235 2.2 River Basin Surplus Discharge Allocation Algorithm

236 The surplus discharge determined in the Reserved Water Use Accounting is distributed  
 237 within the basin to cells with sufficient water availability based on a ranked cell ordering.  
 238 For this purpose, the potential yield value gain through irrigation is calculated considering  
 239 the current crop mix of the year 2010 as derived from FAO country statistics and current  
 240 globally averaged agricultural crop prices reported by FAO (FAO, 2021) (see equation 2).  
 241 Similar to D’Odorico et al. (2020), the valuation of water as an economic input is based  
 242 on the yield difference between irrigated and rainfed crops within the same grid cell valued  
 243 at FAO prices representing the monetary return from irrigated as opposed to rainfed crop  
 244 production.

$$\Delta z_c = \sum_k s_{c,k} \cdot (y_{c,k}^{ir} - y_{c,k}^{rf}) \cdot p_k \quad (2)$$

245 where  $\Delta z_c$  is the potential yield value gain through irrigation (in USD ha<sup>-1</sup>) in cell  $c$ ;  $s_{c,k}$   
 246 is the share of crop  $k$  in cell  $c$ ;  $y_{c,k}^{ir}$  ( $y_{c,k}^{rf}$ ) are irrigated (rainfed) yields of crop  $k$  in cell  $c$   
 247 (in tons of dry matter (tDM) per hectare); and  $p_k$  is the global average price of crop  $k$  (in  
 248 USD tDM<sup>-1</sup>).

249 Spatially explicit irrigated and rainfed crop yields are provided by LPJmL5 (von Bloh  
 250 et al., 2018; Lutz et al., 2019). To be consistent with FAOSTAT production, we calibrate  
 251 LPJmL yields to meet FAO country yields (FAO, 2021) by using a multiplicative factor.  
 252 The calibration accounts for country-specific management effects on yields, such as fertilizer  
 253 and pesticide use, different crop varieties and mechanization as well as cropping intensity.  
 254 For a detailed description of the LPJmL versions used as well as for the yield calibration,  
 255 see SI section 1.

256 Based on  $\Delta z_c$  all cells within each river basin are ranked. Irrigation water is then  
 257 allocated across the river basin cells starting with the highest ranked cell up to the lowest  
 258 ranked cell that still exceeds a minimum irrigation yield value gain ( $h$ ). The total water  
 259 requirements necessary to irrigate all of the available cell area that is available for cropland  
 260 under a given crop mix assumption (full irrigation requirements) are distributed to the re-  
 261 spective cells with sufficient local discharge. The reason for setting a minimum threshold ( $h$ )  
 262 is that irrigation is costly (Schoengold & Zilberman, 2007) and - in the absence of subsidies  
 263 - irrigation would only take place in locations where positive profits from irrigation could be  
 264 achieved (i.e., additional yield value gain from irrigation > additional costs associated with  
 265 irrigation) (Esteve et al., 2015). As no information on irrigation costs is available, we use a  
 266 set of different thresholds to derive PIA curves based on the marginal return to irrigated area  
 267 (i.e. the willingness-to-pay for an additional hectare of irrigation). With an irrigation yield  
 268 value gain threshold of  $h = 0$ , the technically possible maximum irrigation potential can

269 be determined under consideration of optimized local irrigation water availability (technical  
 270 irrigation potential). Higher thresholds allow an assessment of economically viable irrigation  
 271 potentials and locally specific willingness-to-pay for irrigation.

272 The River Basin Surplus Discharge Allocation Algorithm also accounts for water access-  
 273 sibility. For current human abstractions (accounted for in the Actual Human Water Use  
 274 Accounting), it is assumed that efforts of making hardly-accessible water accessible (e.g. by  
 275 building dams and reservoirs) are already in place, such that all locally available discharge  
 276 can be used. For new irrigation locations, determined in the River Basin Surplus Discharge  
 277 Allocation Algorithm, we constrain water accessibility to account for the unequal temporal  
 278 distribution of river discharge due to seasonal and inter-annual variations. For a detailed  
 279 description of the accessibility constraints see SI section 4.

## 280 2.3 River Routing Constraints

281 Throughout both the (1) Reserved Water Use Accounting as well as the (2) River Basin  
 282 Surplus Discharge Allocation, two constraints of local cellular and downstream discharge  
 283 must be fulfilled (see figure 2): the ‘withdrawal constraint’ (A) and the ‘consumption con-  
 284 straint’ (B).

- (A) **Withdrawal constraint:** Local withdrawals ( $ww_c$ ) in each grid cell are constrained  
 by local availability,  $avl_c$  (equation 3). Locally available renewable water is calculated  
 from local runoff ( $r_c$ ), local lake and river evaporation ( $e_c$ ) and upstream inflows  
 into cell  $c$  ( $in_c$ ). Additionally, in calculation steps with previously considered other  
 uses (environment; non-agriculture; current agriculture), the respectively reserved  
 withdrawals ( $res_c$ ) in each cell  $c$  are subtracted from the available water in that cell.

$$ww_c \leq \underbrace{in_c + r_c - e_c - res_c}_{avl_c} \quad (3)$$

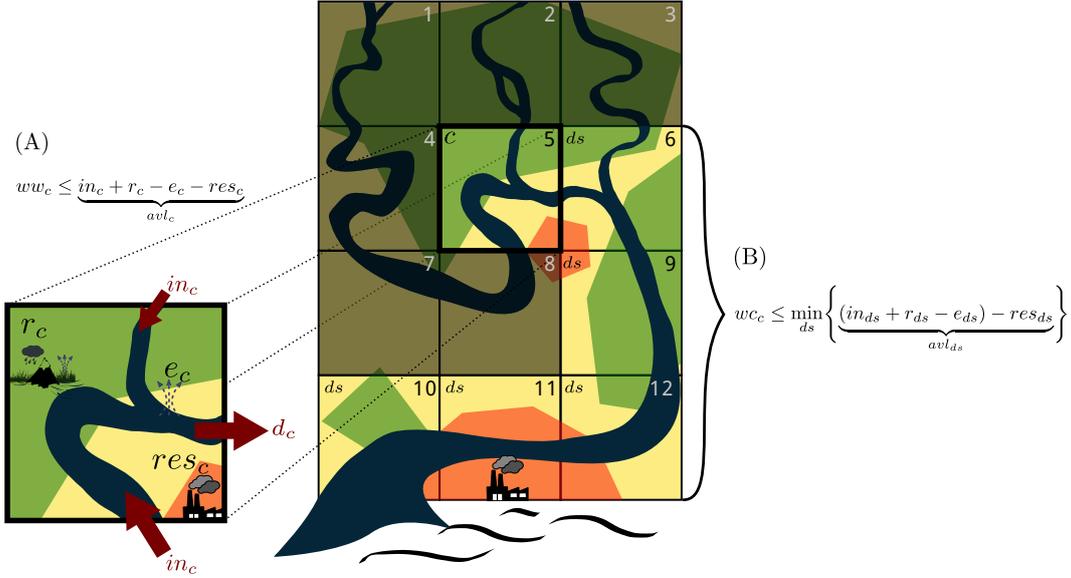
- (B) **Consumption constraint:** Local consumption ( $wc_c$ ) is additionally constrained by  
 providing sufficient water to reserved downstream withdrawals (equation 4). More  
 concretely, water that is reserved to be withdrawn in a downstream cell ( $ds$ ) of cell  
 $c$ , that cannot be fulfilled by local runoff in that particular downstream cell, needs to  
 come from inflows into this cell. Therefore, it must not have been consumed in the  
 respective upstream cell(s).

$$wc_c \leq \min_{ds} \left\{ \underbrace{(in_{ds} + r_{ds} - e_{ds}) - res_{ds}}_{avl_{ds}} \right\} \quad (4)$$

285 with  $ds$  representing the set of downstream cells to cell  $c$ .

## 286 2.4 Potentially Irrigated Areas and Economic Viability

287 Based on the allocated and reserved discharge per cell, crop water requirements of the  
 288 grid cell specific crop mix, as well as the (potentially) available cropland area per cell, we  
 289 calculate how much area could potentially be irrigated per cell (PIA in figure 1). In terms  
 290 of available cropland area, we differentiate current cropland and potential cropland. The  
 291 current cropland extent and spatial resolution is based on LUH2 (see section 2.1 and SI sec-  
 292 tion 3). We refer to ‘potential cropland’ as the area that is suitable for cropland according  
 293 to Zabel et al. (2014)’s global agricultural suitability data set that determines suitability  
 294 for agriculture based on local topography, soil and climatic conditions. Acknowledging that  
 295 not all marginal land is suitable for agricultural production, the bottom 33<sup>th</sup> percentile of  
 296 marginal land (suitability index 0-33) is considered as not suitable for agricultural produc-  
 297 tion.



**Figure 2.** Illustration of river routing constraints at the example of cell  $c = 5$ . According to the local withdrawal constraint (A), water withdrawals in cell  $c$  ( $ww_c$ ) must not violate local availability ( $avl_c$ ). According to the downstream consumption constraint (B), water consumption in cell  $c$  ( $wc_c$ ) must not violate downstream availability ( $avl_{ds}$ ) where  $ds = \{6, 9, 12, 11, 10\}$  are the respective downstream cells of  $c = 5$ . Availability is determined by inflows ( $in$ ), runoff ( $r$ ), lake and river evaporation ( $e$ ) and reserved flow ( $res$ ). The latter capture environmental flows; non-agricultural withdrawals; and current agricultural withdrawals.

298 Spatially explicit irrigation potentials in terms of potentially irrigated areas (PIA),  
 299 potential irrigation water withdrawals (PIWW) and potential irrigation water consumption  
 300 (PIWC) are presented for the year 2010. We assume current human water abstractions and  
 301 current climatic conditions for the biophysical input data.

## 302 2.5 Scenario Description

303 In this study, we analyze PIWW, PIWC and PIA for a set of scenarios presented in the  
 304 scenario matrix (table 1). We differentiate actual irrigation area (ACT-), available current  
 305 cropland areas (both rainfed and irrigated) and areas that are suitable for agricultural pro-  
 306 duction (POT-). We differentiate two sustainability dimensions (WATSUS and LANDSUS).  
 307 The water dimension (WATSUS) is a quantitative restriction of water withdrawals such that  
 308 minimum flows are maintained to ensure a ‘fair’ aquatic and riverine ecosystem status that  
 309 relies on low- and high-flow requirements (Smakhtin et al., 2004). Protection of EFR in  
 310 our study assumes that the required minimum flow can be released from reservoirs under  
 311 water management. In line with the narrative of the Half-Earth land sparing scenario, the  
 312 land-related protection scenarios in this study (LANDSUS) assume that no irrigation can  
 313 take place in areas of ecological importance to safeguard freshwater ecosystems following a  
 314 strict preservation approach that aims at reducing human pressure at half of the Earth’s  
 315 land surface (Wilson, 2017; Kopnina, 2016; Kok et al., 2020; Immovilli & Kok, 2020). The  
 316 Half-Earth area map is provided by Kok et al. (2020). For a detailed description of the data,  
 317 see SI section S2. As compared to WATSUS, which focuses on water quantity, LANDSUS  
 318 emphasises the conservation of (intact) ecosystems by preventing irrigation area expansion  
 319 and water abstractions in areas of ecological importance. In the sustainability scenario

320 (SUS) both environmental flows are preserved and irrigation is limited to areas that do not  
 321 fall into these special ecological zones.

Available area for irrigation \ Sustainability constraint	Irrigation allowed on currently irrigated areas	Irrigation allowed on all of current cropland	Irrigation allowed on all suitable land for agricultural production
No water limitation	ACT	CUR	POT
Constrained by local water availability	ACT-UNSUS	CUR-UNSUS	POT-UNSUS
Constrained by local water availability & respecting environmental flow requirements	ACT-WATSUS	CUR-WATSUS	POT-WATSUS
Constrained by local water availability & excluding protected land from irrigation expansion	ACT-LANDSUS	CUR-LANDSUS	POT-LANDSUS
Constrained by local water availability & respecting environmental flow requirements & excluding protected areas from irrigation expansion	ACT-SUS	CUR-SUS	POT-SUS

**Table 1.** Scenario overview. ACT, CUR and POT represent area constraints without consideration of local water availability or sustainability constraints. The extensions -UNSUS, -WATSUS, -LANDSUS and -SUS stand for different sustainability criteria respecting local water availability constraints.

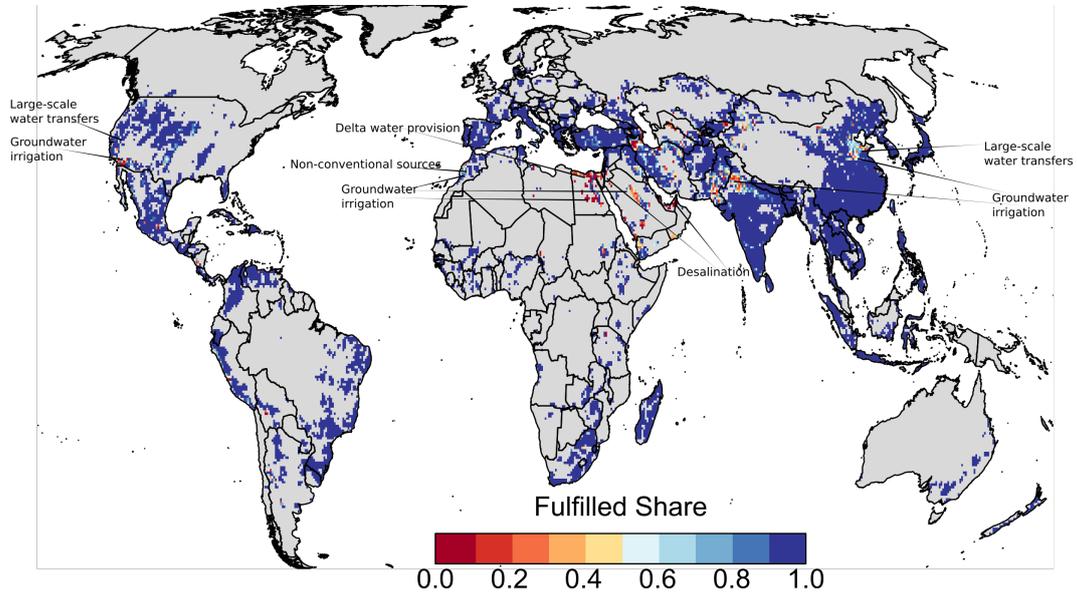
322 All scenarios are calculated for different yield value gain thresholds and for one scenario  
 323 where the reservation of current agricultural water uses is activated as well as one where  
 324 it is deactivated such that irrigation potentials are purely determined by the economic  
 325 cell ranking. Detailed results at the country level are provided in the SI. The reservation  
 326 of current agricultural water uses is relevant because currently irrigated areas already have  
 327 irrigation infrastructure (such as reservoirs and canals) in place that divert natural river flows  
 328 (Biemans et al., 2011; Wada, van Beek, et al., 2013; Veldkamp et al., 2018) and therefore  
 329 affect water availability and irrigation potentials for other grid cells. It is helpful for analyses  
 330 where current irrigation patterns should be maintained, for example for the initialization  
 331 period of global land-use models to meet observed irrigated areas in the initialization year.  
 332 In this study, they are calculated to show the potential expansion of currently irrigated  
 333 areas on current cropland and on potential cropland (see 4). To derive irrigation potentials,  
 334 the marginal willingness-to-pay for irrigation and IAD curves for the case of an economically  
 335 efficient allocation, all other results in this study are provided without this constraint.

### 326 3 Results

#### 327 3.1 Current Irrigation and Irrigation Potentials on Currently Irrigated Areas

328 Globally, a consumptive water volume of  $959 \text{ km}^3 \text{ yr}^{-1}$  is required to irrigate the given  
 329 cropland on currently irrigated areas (265 Mha). Of these irrigation water requirements,  
 330  $788 \text{ km}^3 \text{ yr}^{-1}$  could be fulfilled given the local water availability in this study (see figure 3).  
 331 This corresponds to an irrigated area of 228 Mha (see figure 4a for their spatial distribution).  
 332 If EFR were to be maintained, the consumptive volume (irrigated area) would reduce to  
 333  $728 \text{ km}^3 \text{ yr}^{-1}$  (213 Mha).  
 334  
 335

344 The share of current irrigation water demand under full irrigation requirements that  
 345 can be fulfilled by locally available renewable water resources captured in our data set is  
 346 depicted in figure 3. Areas where not all current irrigation can be fulfilled by the local water  
 347 resources of this study include mainly the Nile river basin in Egypt, North-West India and  
 348 Pakistan, North-East China and parts of Central Asia and the Western USA.

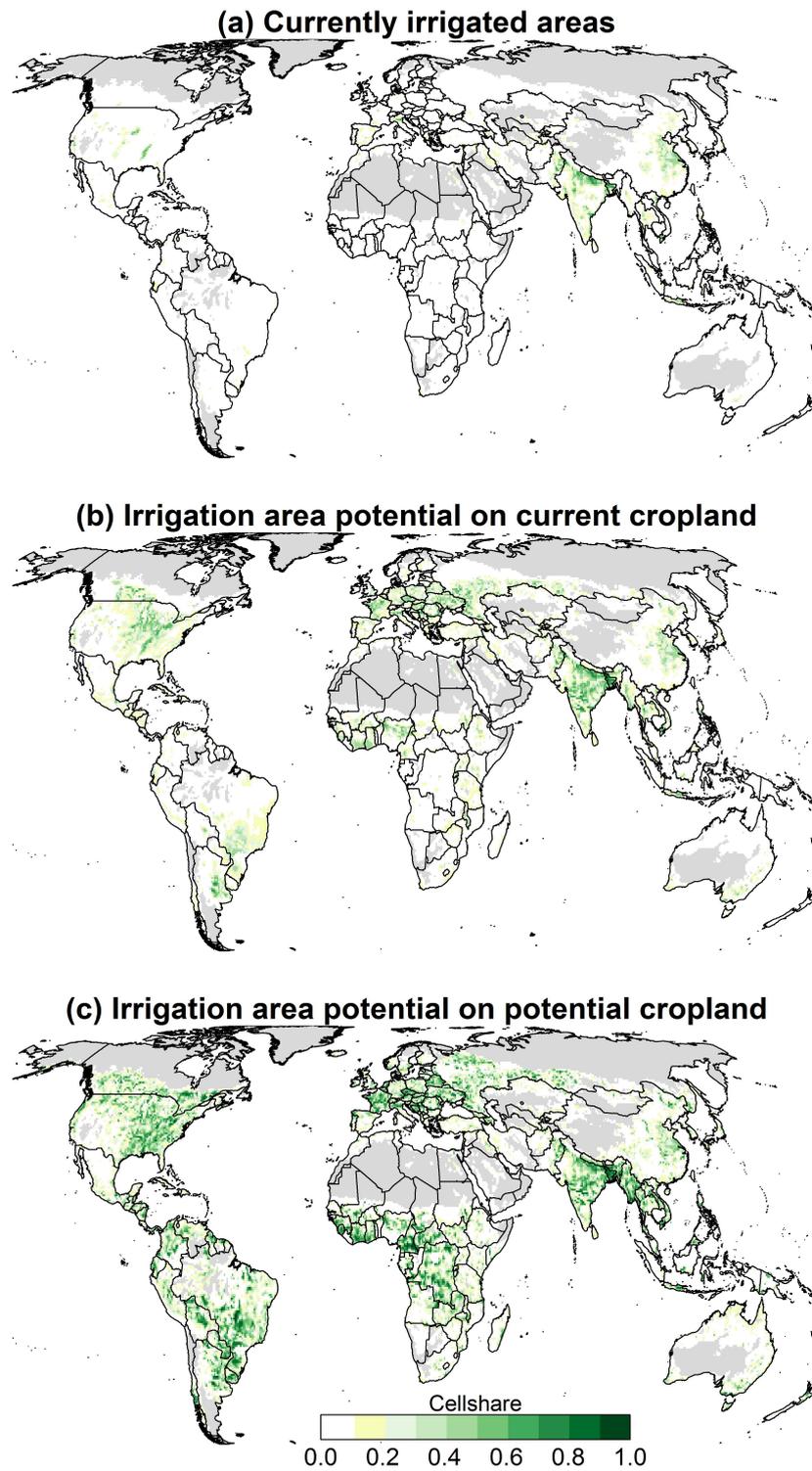


**Figure 3.** Share of current irrigation water demand under full irrigation requirements (ACT) that can be fulfilled by locally available renewable water resources captured in our data set (ACT-UNSUS). Grey areas are currently not irrigated. Cells with very small cropland areas (cropland area share below 1%) are excluded from the visualization. Annotations are potential explanations for unfulfilled current irrigation water.

349 Under consideration of an optimal distribution of irrigated areas following the yield  
 350 value gain ranking and applying the threshold approach, PIA on currently irrigated ar-  
 351 eas (see ACT scenarios in table 2A) would reduce to 140 Mha (ACT-UNSUS). If areas of  
 352 ecological importance were excluded from irrigation, PIA would reduce to 138 Mha (ACT-  
 353 LANDSUS). Protecting EFR would reduce PIA on currently irrigated areas to 132 Mha  
 354 (ACT-WATSUS). The sustainable PIA on currently irrigated areas (land and water pro-  
 355 tection, ACT-SUS) is 130 Mha.

### 356 3.2 Technical Irrigation Potentials on Current and Potential Cropland

357 Table 2A shows the technical irrigation potentials in terms of PIA, PIWC and PIWW  
 358 for all scenarios modeled for this study. In terms of potentially irrigated areas on current  
 359 cropland, 781 Mha could be irrigated given local water resources (CUR-UNSUS). If irriga-  
 360 tion could only expand into cropland outside of areas of ecological importance and EFR  
 361 were maintained (CUR-SUS), 711 Mha could be irrigated. This area corresponds to about  
 362 46% of current cropland (1531 Mha, CUR) and 446 Mha more than currently irrigated areas  
 363 (265 Mha, ACT). The local distribution of potentially irrigated areas on current cropland  
 364 considering today's actually irrigated areas can be seen in figure 4b. Under cropland ex-  
 365 pansion into non-protected areas that are suitable for cropland activities (3888 Mha), 64%  
 366 (2492 Mha, POT-UNSUS) could be irrigated given local water availability. Around 41%  
 367 (1578 Mha) could be irrigated sustainably (POT-SUS). The local distribution of PIA on  
 368 potential cropland considering today's actually irrigated areas can be seen in figure 4c.



**Figure 4.** Potentially irrigated areas (as share of grid cell area) for different scenarios: (a) Currently irrigated areas (ACT); (b) potentially irrigated areas on current cropland considering already irrigated areas (CUR-UNSUS); (c) potentially irrigated areas on potential cropland considering already irrigated areas (POT-UNSUS). Current agricultural water uses are reserved for this graph to visualize additional potentials beyond currently observed irrigation. Cells with very small potential cropland area (potential cropland area share below 1%) are excluded from the visualization.

Scenario	(A) Technical Potential			(B) Economic Potential		
	PIA (in Mha)	PIWC (in km <sup>3</sup> )	PIWW (in km <sup>3</sup> )	PIA (in Mha)	PIWC (in km <sup>3</sup> )	PIWW (in km <sup>3</sup> )
ACT	265	957	1780	146	643	1187
ACT-UNSUS	140	503	925	84	351	925
ACT-WATSUS	132	472	868	79	329	600
ACT-LANDSUS	138	495	910	84	347	634
ACT-SUS	130	465	854	78	325	593
CUR	1531	4304	7723	545	2096	3774
CUR-UNSUS	781	2089	3700	279	995	1777
CUR-WATSUS	728	1933	3426	259	919	1642
CUR-LANDSUS	763	2035	3603	273	972	1735
CUR-SUS	711	1884	3336	254	897	1602
POT	6315	17046	30600	2013	7857	14034
POT-UNSUS	2492	5591	9941	682	2213	3952
POT-WATSUS	2336	5169	9194	632	2030	3627
POT-LANDSUS	1682	3979	7072	516	1716	3069
POT-SUS	1578	3679	6544	476	1570	2808

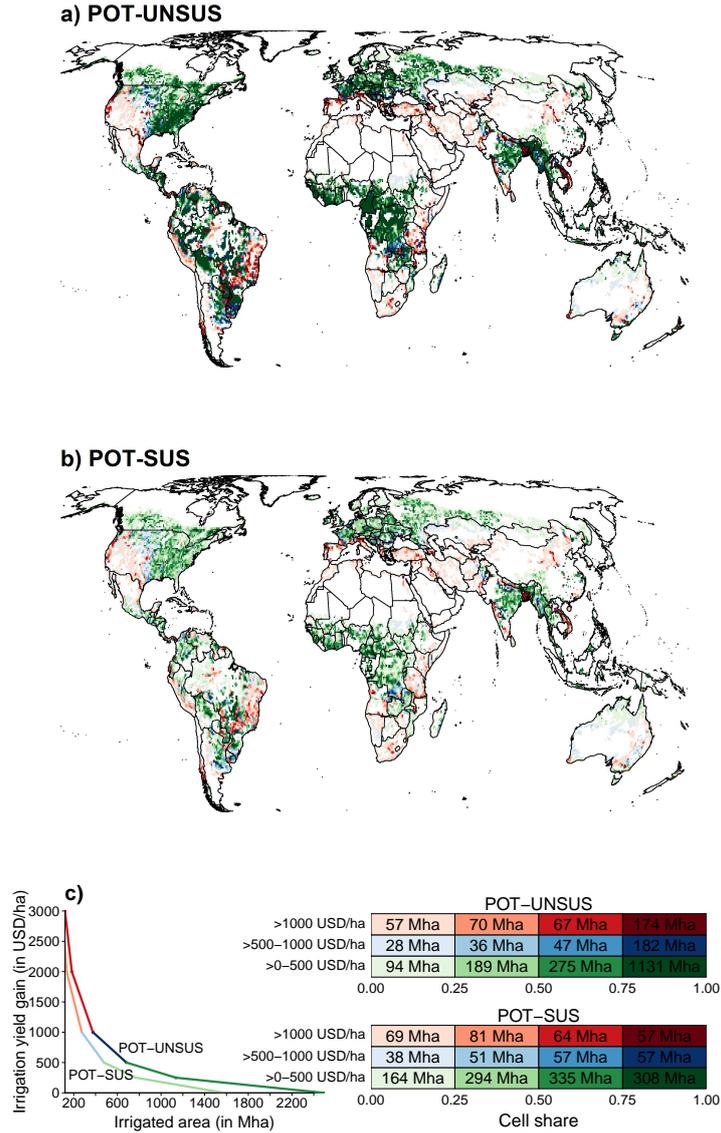
**Table 2.** Irrigation potentials in terms of potentially irrigated areas (PIA), potential irrigation water consumption (PIWC) and potential irrigation water withdrawals (PIWW) for different scenarios. Technical irrigation potential (A) refers to the irrigation potential at a yield value gain threshold ( $h$ ) of 0 USDha<sup>-1</sup>. Economic irrigation potential (B) refers to the irrigation potential at  $h$  of 500 USDha<sup>-1</sup>.

369 PIW on current cropland area considering all technically available local discharge allo-  
370 cated to its most productive use while taking current non-agricultural and agricultural water  
371 uses into account (technical irrigation potential, see table 2A) amounts to 2089 km<sup>3</sup> yr<sup>-1</sup> (con-  
372 sumptive, i.e. PIWC) [3700 km<sup>3</sup> yr<sup>-1</sup>, withdrawal, i.e. PIWW] (CUR-UNSUS); 1884 km<sup>3</sup> yr<sup>-1</sup>  
373 (3336 km<sup>3</sup> yr<sup>-1</sup>) of which could be consumed (withdrawn) while maintaining EFR and with-  
374 out irrigation in areas of ecological importance (CUR-SUS). On potential croplands, i.e. land  
375 that is suitable for agricultural production, 5591 km<sup>3</sup> yr<sup>-1</sup> of water could be consumed when  
376 unregulated, i.e. without land and water protection (POT-UNSUS). If EFR were respected,  
377 PIWC would be reduced to 5169 km<sup>3</sup> yr<sup>-1</sup> (POT-WATSUS). If ecologically important zones  
378 were protected from irrigation, 3979 km<sup>3</sup> yr<sup>-1</sup> would be available for consumptive agricul-  
379 tural water use without explicitly accounting for EFR (POT-LANDSUS). If both land and  
380 water sustainability criteria were respected, 3679 km<sup>3</sup> yr<sup>-1</sup> of water could be consumed for  
381 sustainable irrigation globally (POT-SUS).

### 3.3 Economic Irrigation Potentials

383 Globally, the simulated yield value gain through irrigation differs depending on the  
384 location (see also figure S1 in appendix section 2). Surprisingly, on currently irrigated areas,  
385 the average yield value gain is only 455 USD ha<sup>-1</sup>. By contrast, on current cropland, the  
386 average yield value gain is 910 USD ha<sup>-1</sup>; on potential cropland that is not under protection  
387 in our LANDSUS scenario 931 USD ha<sup>-1</sup>; and on all potential land suitable for agricultural  
388 production, the average yield value gain is 939 USD ha<sup>-1</sup>. For a detailed discussion on this  
389 aspect, see section 4.3.

390 To visualize which areas would be irrigated given different irrigation yield value gain  
391 thresholds, figure 5a and 5b show the spatial distribution of PIAs under yield value gains  
392 greater than 1000 USD ha<sup>-1</sup> (red areas; global area of 386 Mha (POT-UNSUS) and 271 Mha



**Figure 5.** Potentially irrigated areas (PIA) (displayed as share of the grid cell area) for three different irrigation yield value gain thresholds ( $h = 0, 500, 1000$ ) on potential cropland for two scenarios (POT-UNUSUS; POT-SUS). Cells with very small potential cropland area (potential cropland area share below 1%) are excluded from the map visualization. Red areas: PIA with yield value gains  $> 1000 \text{ USD ha}^{-1}$ . Blue areas: PIA with yield value gains between  $>500$  and  $1000 \text{ USD ha}^{-1}$ . Green areas: PIA with yield value gains between  $>0$  and  $500 \text{ USD ha}^{-1}$ . Legends show the global sum of potential cropland that falls into each category.

393 (POT-SUS)), smaller or equal  $1000 \text{ USD ha}^{-1}$  and greater than  $500 \text{ USD ha}^{-1}$  (blue areas;  
 394 global area of 293 Mha (POT-UNUSUS) and 203 Mha (POT-SUS)) and potential yield value  
 395 gains greater than 0, but smaller or equal  $500 \text{ USD ha}^{-1}$  (green areas; global area of 1689 Mha  
 396 (POT-UNUSUS) and 1101 Mha (POT-SUS)). The global irrigated area for different irrigation  
 397 yield value gains is summarized in the PIA curves shown in figure 5c.

398 While technically, 711 Mha of current cropland could be irrigated sustainably, only  
 399 254 Mha would be irrigated when considering a minimum yield value gain threshold of  
 400 500 USD ha<sup>-1</sup>. On potential cropland excluding areas of ecological importance, the total  
 401 biophysical PIA allocated to areas above a minimum yield value gain threshold of 0 taking  
 402 the productivity ranking into account (technical potential) would be 1578 Mha (POT-SUS  
 403 in table 2A). Assuming that irrigation would only be viable economically at a minimum  
 404 yield value gain of at least 500 USD ha<sup>-1</sup>, the global PIA would be reduced to a third of this  
 405 area to 476 Mha (POT-SUS in table 2B).

### 406 **3.4 Aggregated Irrigation Potentials**

407 The following country-level results provide an example of data aggregation that can be  
 408 useful for LSMs with country-level resolution. The supplementary material to this study  
 409 includes detailed country results for 235 countries and six irrigation yield value gain thresh-  
 410 olds, both in terms of PIA (in Mha) as well as PIWC and PIWW (in km<sup>3</sup> yr<sup>-1</sup>) for currently  
 411 irrigated areas, current cropland areas as well as for potential cropland areas. Both irri-  
 412 gation potentials with reserved currently irrigated areas as well as purely yield-value-gain-  
 413 determined irrigation potentials are provided.

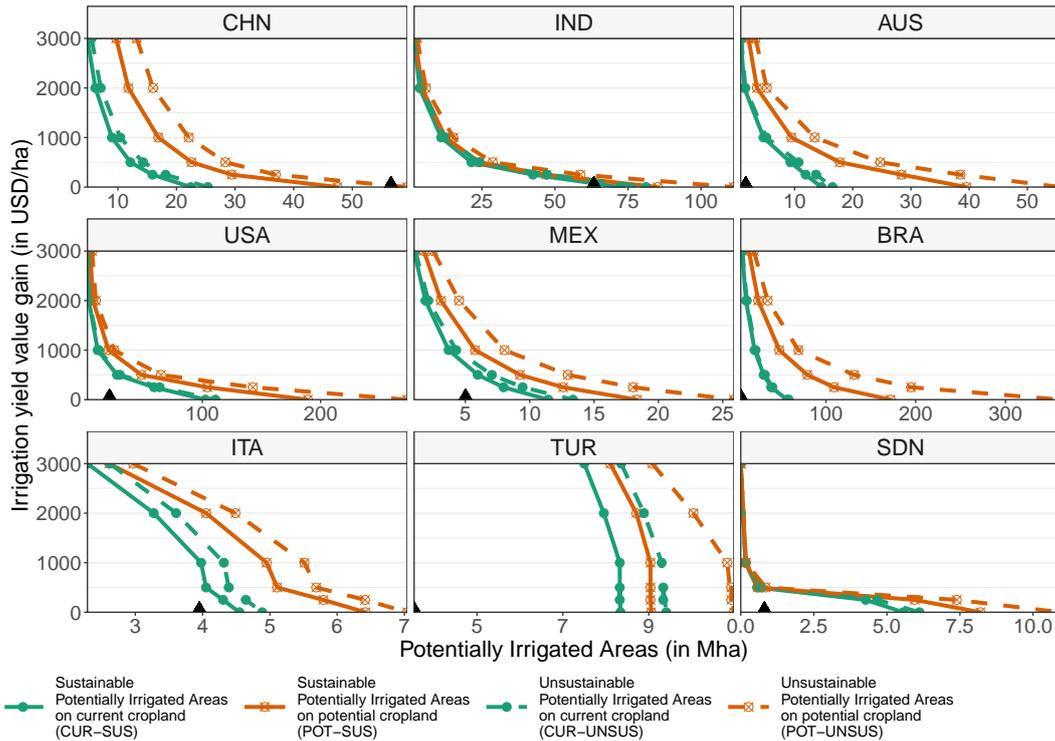
414 The potential yield value gain (in USD ha<sup>-1</sup>) for the PIAs of selected countries is shown  
 415 in Figure 6. These curves represent country-specific PIA for sustainable and unsustain-  
 416 able irrigation. The yield value gain through irrigation can be interpreted as the maximum  
 417 willingness-to-pay to irrigate a certain hectare of land in a specific location. Realistically,  
 418 not all technical potential with positive yield value gains (yield value gain > 0) would be  
 419 irrigated due to costs for irrigation. The curves represent the marginal value to irrigation.  
 420 For example in Mexico, where 14 Mha of current cropland (26.2 Mha) show a yield value gain  
 421 of at least 500 USD ha<sup>-1</sup>, 7.1 Mha (CUR-UNSUS) could be irrigated and 6 Mha (CUR-SUS)  
 422 could be irrigated sustainably. Currently, LUH2 reports 5 Mha of irrigated area in Mexico.  
 423 Of the available non-protected areas in Mexico (87.5 Mha), 53.1 Mha have yield value gains  
 424 above 500 USD ha<sup>-1</sup>, but only 9.6 Mha could be sustainably irrigated given local water con-  
 425 straints. Under cropland expansion into potential croplands, 13 Mha could be irrigated, but  
 426 only 9.2 Mha when respecting EFR and restricting irrigation to areas as prescribed in our  
 427 sustainable scenario.

428 Depending on the model application and data availability, another useful level of ag-  
 429 gregation is the basin scale. Figure 7 shows PIA curves for selected river basins across the  
 430 globe. There are river basins with highly unelastic irrigation area demand (steep PIA curves,  
 431 e.g. Huang He). Other basins are more heterogeneous (e.g., Parana, Ganges, Indus) that  
 432 have both areas with high yield value gains and low yield value gains in the same basin. The  
 433 variation in the functional relationships shows how diverse and location specific irrigation  
 434 water challenges are.

## 435 **4 Discussion**

### 436 **4.1 A Novel Aggregation Method for Land-System Models**

437 Considering the spatial location as well as upstream-downstream relations of water  
 438 resources is crucial for the estimation of irrigation potentials. This is challenging for a  
 439 number of applications, such as LSMs, that work on an aggregated scale. Our hydro-  
 440 economic data processing routine provides a valuable hydrological input aggregation and  
 441 output disaggregation tool to global LSMs. These models usually operate on simulation  
 442 units of spatial clusters of grid cells and aggregate water availability to this spatial scale. At  
 443 this aggregation, cost-free water transfers over large distances and across basin boundaries  
 444 are implicitly assumed. Furthermore, to provide aggregated water availability data to spatial  
 445 clusters that do not necessarily respect river basin boundaries in the first place, the basin's  
 446 runoff has to be allocated to the grid cells within the basin. When this water is distributed

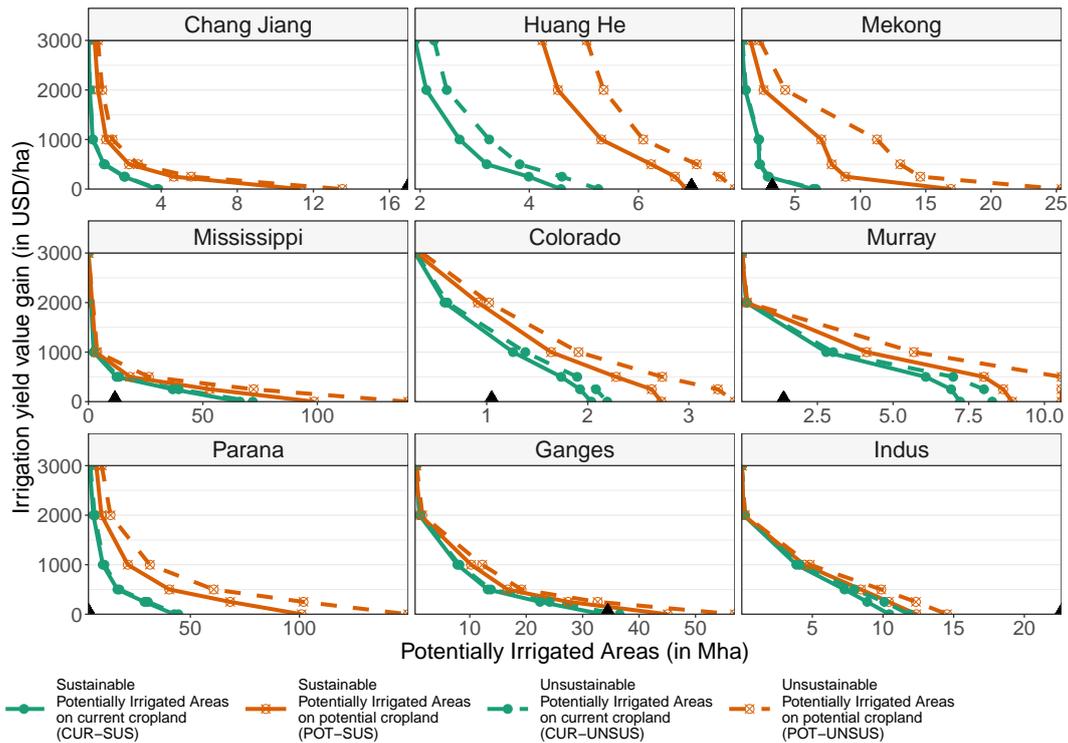


**Figure 6.** Marginal yield value gain from unsustainable and sustainable irrigation for potentially irrigated areas of selected countries (abbreviated in titles by iso3 country codes). The black triangular symbol indicates currently irrigated area (ACT).

447 across the basin grid cells based on discharge (e.g., Pastor et al. (2019); Bonsch (2015)),  
 448 this creates a bias towards downstream irrigation as most of the discharge accumulates  
 449 downstream when not used - even if certain upstream cells would be more productive whilst  
 450 having sufficient discharge available and therefore would be more likely to be irrigated in  
 451 the LSM for which the input is generated. By accounting for non-agricultural human water  
 452 uses and potential irrigation water use based on a productivity ranking, our algorithm takes  
 453 more information into account in the water allocation and avoids a misrepresentation of  
 454 water availability at the aggregated scale.

#### 455 4.2 Sustainability of Irrigation Potentials

456 In this study, we provide a global spatially explicit quantification of global PIW and  
 457 PIA for the year 2010 on current and potential cropland. According to our analysis,  
 458  $5591 \text{ km}^3 \text{ yr}^{-1}$  of water would be available on suitable cropland for irrigation water con-  
 459 sumption without considering sustainability criteria (POT-UNSUS).  $3679 \text{ km}^3 \text{ yr}^{-1}$  could be  
 460 consumed when considering both water and land sustainability criteria for irrigation water  
 461 use (POT-SUS). Adding water consumption that is reserved for non-agricultural consump-  
 462 tion in a sustainability setting in our algorithm ( $191 \text{ km}^3 \text{ yr}^{-1}$ ), global sustainable water  
 463 consumption amounts to  $3870 \text{ km}^3 \text{ yr}^{-1}$ . This value falls into the uncertainty range of the  
 464 planetary boundary (PB) of water suggested by Gerten et al. (2013) of  $1100\text{-}4500 \text{ km}^3 \text{ yr}^{-1}$ .  
 465 Previous top-down estimates for the water PB (Rockström et al., 2009) have been criticised  
 466 for not being sufficiently grounded in bottom-up data (Gerten et al., 2013). Our analysis  
 467 of PIWC considers spatially explicit EFR rather than global averages. Beyond this water  
 468 quantity dimension, our sustainability definition includes a land protection component



**Figure 7.** Marginal yield value gain from unsustainable and sustainable irrigation for potentially irrigated areas of selected river basins. The black triangular symbol indicates currently irrigated area (ACT).

469 (preventing irrigation activities in areas of ecological importance to sustain freshwater and  
 470 riverine ecosystems). While PIWC amounts to  $5169 \text{ km}^3 \text{ yr}^{-1}$  when only environmental flows  
 471 are protected (POT-WATSUS), the protection of areas of ecological importance reduces  
 472 global PIWC to  $3979 \text{ km}^3 \text{ yr}^{-1}$  (POT-LANDSUS). The combination of both sustainability  
 473 dimensions further reduces PIWC by  $300 \text{ km}^3 \text{ yr}^{-1}$  to  $3679 \text{ km}^3 \text{ yr}^{-1}$  (POT-SUS). This shows  
 474 that there are likely synergies between the land, water and biodiversity PBs (Rockström et  
 475 al., 2009). A limitation of this sustainability setting is that the impact of river fragmentation  
 476 through dams and reservoirs on aquatic biodiversity is ignored (Nilsson et al., 2005; Lehner  
 477 et al., 2011). Moreover, the impact of irrigation on water quality (van Vliet et al., 2017) as  
 478 well as soil quality (Khan et al., 2006) is not considered.

### 479 4.3 Economic Aspects of Irrigation

480 To estimate ‘planetary water opportunities’, assessing societal water demands and areas  
 481 where the water would actually be used (i.e., excluding subarctic and inner tropical  
 482 regions) is important (Gerten et al., 2013). Our assessment of irrigation potentials excludes  
 483 areas that are not suitable for cropping activities and considers potential human water  
 484 demands. Furthermore, we add economic criteria to the estimation of PIWC. While a total  
 485 volume of  $5591 \text{ km}^3 \text{ yr}^{-1}$  (POT-UNSUS) could be consumed in irrigated agriculture, not  
 486 all of this would actually be consumed when considering economic decision criteria. With  
 487 a minimum yield value gain of  $500 \text{ USD ha}^{-1}$ , PIWC would only be  $2213 \text{ km}^3 \text{ yr}^{-1}$  (POT-  
 488 UNSUS) according to our estimate. The threshold approach is based on the assumption  
 489 that not all technical irrigation potentials would be put into productive use when considering  
 490 cost-benefit criteria. There are farm-level costs (installation and maintenance of irrigation

491 equipment on the field; additional input costs (Harou et al., 2009; D’Odorico et al., 2020) as  
 492 well as large infrastructure investment costs associated with the construction and mainte-  
 493 nance of dams, reservoirs and canals (Inocencio et al., 2007; Schoengold & Zilberman, 2007)  
 494 that pose an economic barrier. However, so far no reliable spatially explicit irrigation cost  
 495 data with global coverage exist. In the absence of such cost data, our PIA curves describe  
 496 the geographical ranking of grid cells implicitly assuming homogeneous costs for a given  
 497 aggregation unit.

498 The spatial distribution of irrigation driven by economic criteria largely depends on the  
 499 difference between irrigated and rainfed yields as modeled by LPJmL. We observe that the  
 500 simulated yield value gain on currently irrigated areas is smaller than the simulated yield  
 501 value gain on other cropland or potential cropland. One reason are institutional and political  
 502 considerations that impede irrigation (Rosa, Chiarelli, Rulli, et al., 2020; Boelens et al.,  
 503 2016) and are not accounted for in this study. Furthermore, large-scale water infrastructure  
 504 projects are not solely constructed for reasons of stable irrigation water provision, but also  
 505 to provide energy through hydro-power, for reasons of flood control, or navigation (Biemans  
 506 et al., 2011). Furthermore, irrigation can facilitate additional cropping seasons (multiple  
 507 cropping) in subtropical and tropical regions (Waha et al., 2020). This is not captured  
 508 in our model. Because of potential shifts in the growing period in irrigated LPJmL model  
 509 runs compared to rainfed LPJmL model runs, some areas (especially in China and Southeast  
 510 Asia) show no yield value gain through irrigation. While the yields in the wet season are not  
 511 water-limited, irrigation allows farming these croplands also in the dry seasons. Irrigation-  
 512 dependent multiple cropping, which plays an important role in East and South Asia (Waha  
 513 et al., 2020), is therefore likely the main reason for the observed irrigation in these areas. It  
 514 is an aspect that is ignored in most global irrigation assessments and LSMs.

#### 515 4.4 Modeling Assumptions

516 For land-use simulations of future scenarios, projections of future yields under climate  
 517 change impacts, future projections of non-agricultural water abstractions and an adjusted  
 518 crop mix have to be used as model inputs. Depending on the concrete model application  
 519 and given data availability, our modeling parameters can easily be adapted in the open-  
 520 source code (i.e., farm-gate prices rather than averaged global agricultural prices; relevant  
 521 crop-mix; irrigation-system of interest). The open-source code (Beier et al., 2021) allows to  
 522 switch between these settings. For example, while the assumption of one global price per  
 523 crop is reasonable for our analysis that investigates a cross-country comparison of economic  
 524 irrigation potentials without distorting policies such as tariffs, national or even farm-gate  
 525 prices could be used to value irrigation yield gains when focusing on local economic analyses  
 526 or for scenarios of regional rivalry (e.g., SSP3 of the shared socio-economic pathways defined  
 527 in (O’Neill et al., 2015). Similarly, the results can be aggregated to different resolutions  
 528 (basin scale, country-level, or any other appropriate simulation unit), such that the relevant  
 529 PIA curves and willingness-to-pay enter the model.

#### 530 4.5 Modeling Uncertainty

531 Projections of global hydrological models (GHMs) come with large uncertainties includ-  
 532 ing modeling and downscaling uncertainties from global climate models (GCMs) affecting  
 533 the temperature and precipitation estimates that are propagated in GHMs. Furthermore,  
 534 modeling and parameter uncertainty is introduced in GHMs (Gudmundsson et al., 2012;  
 535 Hagemann et al., 2013; Wada, Wisser, et al., 2013; Schewe et al., 2014). These uncer-  
 536 tainties result in largely differing estimates of yearly runoff under natural conditions across  
 537 different GHMs. Because the focus of this study is the introduction of a new river routing  
 538 routine to aggregate water availability information for the application in LSMs, we only  
 539 used one observed atmospheric climate data set (GSWP-3) and one combined hydrology-  
 540 vegetation model (LPJmL) to derive river discharge. Nevertheless, for robust estimates of

541 PIW and PIA, the model is flexible to be applied on an ensemble of GCM-GHM combina-  
542 tions (Gudmundsson et al., 2012; Haddeland et al., 2011).

#### 543 4.6 Limitations

544 In terms of currently irrigated areas, the 265 Mha correspond to an PIWC of  $957 \text{ km}^3 \text{ yr}^{-1}$   
545 of irrigation water consumption in our study. This value falls into the range of previous en-  
546 semble studies by Hoff et al. (2010) and Haddeland et al. (2014) that find current global  
547 irrigation water consumption to range between  $927 - 1530 \text{ km}^3 \text{ yr}^{-1}$  and  $940 - 1284 \text{ km}^3 \text{ yr}^{-1}$ ,  
548 respectively. The spatial distribution of areas where current irrigation water cannot be  
549 served by local renewable water resources (red areas in figure 3) is similar to the areas that  
550 suffer under extreme blue water over-use in Rost et al. (2008) (see figure 3e in Rost et al.  
551 (2008) and to the areas that face water scarcity and unsustainable water use in parts of  
552 the growing period Rosa, Chiarelli, Rulli, et al. (2020)). Mismatches of irrigation patterns  
553 using local renewable water availability and current observed irrigation can be explained  
554 by our modeling assumptions with regards to water transport, groundwater resources and  
555 non-conventional water sources for irrigation.

556 In our river routing, water transfers can only take place within the respective  $0.5^\circ$  grid  
557 cell. This implies a maximum water transport distance of around 78 km at the equator  
558 and decreasing transport distance towards the poles. Therefore, no costly large-scale water  
559 transport is allowed. In reality, long-distance water pipelines or canals exist, however; for  
560 example, the South-North Water Transfer Project that supplies drinking and sanitary water  
561 to cities in North-East China (Rogers et al., 2020) or California’s State Water Project that  
562 serves farmers and households in the dry regions of California (Grigg, 2021). Similarly,  
563 regions where river deltas provide water for irrigation are misrepresented because the global  
564 river drainage network data set (STN-30p) does not consider deltas (i.e., one grid cell cannot  
565 discharge into several downstream grid cells) (Vörösmarty et al., 2000, 2011; Lehner et al.,  
566 2011). This explains the water deficits as observed in the Nile delta (figure 3). Water  
567 transfers between grid cells are a topic of future research. However, especially for not  
568 yet established water transfer projects, such an implementation would require information  
569 on costs related to such large-scale infrastructure projects rather than allowing free water  
570 transport across large distances.

571 Renewable groundwater is implicitly included in our model via the base flow component  
572 of runoff simulated in LPJmL (Rost et al., 2008). Because of a lack of spatially explicit  
573 information on groundwater aquifers and their drainage as well as the temporal aggregation  
574 of our river routing routine that prevents us from explicitly modeling temporal storage and  
575 subsurface runoff speed, it might be misrepresented in its spatial distribution, however.  
576 This is visible in our results in that regions that rely heavily on groundwater irrigation  
577 (e.g. northern India, Pakistan, North-East China, western USA (Siebert et al., 2010; Wada  
578 et al., 2012; Rodell et al., 2018; Rogers et al., 2020)) cannot fulfill current irrigation water  
579 requirements given the local water availability as represented by our river routing (see figure  
580 3). Non-renewable groundwater is not captured in our analysis due to a lack of data on  
581 fossil groundwater reservoirs. Since the focus of our study is a projection of sustainable  
582 irrigation potentials considering renewable water resources rather than an estimation of  
583 current actual irrigation patterns, the exclusion of non-renewable water resources is justified.  
584 The mismatches with irrigation observed in reality can be seen in figure 3, for example in  
585 the California (USA) and Saudi Arabia that heavily rely on fossil groundwater for irrigation  
586 (Scanlon et al., 2012; Chandrasekharam, 2018). Similarly, other non-conventional sources  
587 are not covered. These include the use of desalination plants or wastewater reuse that play  
588 a role in some states of the Arab Peninsula, such as Kuwait, Qatar, Saudi Arabia and the  
589 United Arab Emirates as well as Israel (Siebert et al., 2010; Lattemann et al., 2010). In  
590 figure 3, this can be seen in Morocco that uses non-conventional sources such as wastewater  
591 reuse and desalination besides groundwater irrigation (Hssaisoune et al., 2020).

## 592 5 Conclusion

593 Our spatially-explicit irrigation water processing routine captures local hydrological in-  
 594 formation and water abstractions for human uses along rivers to derive potentially irrigated  
 595 areas and potential irrigation water use (withdrawal and consumption) taking upstream-  
 596 downstream effects into account. We find that, on the one hand, current irrigation partly  
 597 relies on large-scale water transfers and unsustainable irrigation practices (e.g., violation  
 598 of environmental flow requirements); while, on the other hand, there are large untapped  
 599 sustainable irrigation potentials both on current cropland (711 Mha) and on potential crop-  
 600 land (1578 Mha). Not all of these technical irrigation potentials are viable due to irrigation  
 601 costs. Globally, the irrigation potential of 781 Mha on current cropland (CUR-UNSUS)  
 602 would reduce to 279 Mha if only areas with yield value gains of at least 500 USD ha<sup>-1</sup> would  
 603 be irrigated. The sustainable potential on current cropland under this yield value gain  
 604 threshold amounts to 254 Mha. There are considerable potential irrigation yield value gains  
 605 and expansion potentials, for example in Southern Africa and Brazil. There is an economic  
 606 incentive to irrigate areas that should be protected from irrigation due to their ecologi-  
 607 cal importance and excessive withdrawals where minimum environmental flows should be  
 608 maintained. Therefore, land- and water-protection policies are important to prevent water  
 609 overuse; especially in highly productive areas, where irrigation water abstractions are not  
 610 limited by economic constraints.

611 Our assessment also reveals a number of research gaps in current global irrigation  
 612 literature. Irrigation may often be motivated by enabling multiple cropping, yet multiple  
 613 cropping is still poorly considered in global modeling studies. Next to yield gains, also the  
 614 costs for dams, reservoirs, canals, irrigation equipment and maintenance are decisive for  
 615 economic irrigation potentials, but no global spatially explicit irrigation cost data set exists  
 616 yet.

617 Together with future climatic and socio-economic scenarios and simulated data on re-  
 618 quired inputs such as non-agricultural water uses, the irrigation potentials calculated by the  
 619 presented processing routine can be used to inform global land-system simulation models  
 620 on local water availability in the present and the future. Further, they can provide spatially  
 621 more explicit information on potential irrigation patterns and irrigation area expansion.  
 622 The method can be used as a tool to aggregate hydrological input data to the required  
 623 LSM simulation unit; and to disaggregate LSM outputs (such as irrigation withdrawals) to  
 624 a high spatial resolution. This facilitates addressing water- and irrigation-specific research  
 625 questions explicitly across different scales in a global context.

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 629 to visualize results for the purpose of this publication is published in the github repository  
 630 <https://github.com/FelicitasBeier/IrrigationPotentials>. The respective data used was cre-  
 631 ated with the code published at <https://doi.org/10.5281/zenodo.5801680> and is available at  
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