Coherent wavefield reconstruction improves event location with dense seismic arrays

Lei Li[1,2,3*] and Benjamin Schwarz[4]

[1]Key Laboratory of Metallogenic Prediction of Nonferrous Metals and Geological Environment Monitoring (Central South University), Ministry of Education, 410083 Changsha, China.

[2]Hunan Key Laboratory of Nonferrous Resources and Geological Hazard Exploration, 410083 Changsha, China.

[3]School of Geosciences and Info-Physics, Central South University, 410083 Changsha, China.

[4] Fraunhofer Institute for Wind Energy Systems IWES, 28359 Bremen, Germany.

*Corresponding author: Lei Li (leileely@126.com)

Key Points:

- A novel reconstruction method for coherent seismic wavefields is proposed
- Application to the dense LASSO array in Oklahoma reveals improved signal-to-noise ratio, coherence, and regularity of the data
- Reconstructed wavefields allow for high-resolution source images with polarity-uncorrected waveforms

Abstract

We propose a novel reconstruction method for coherent wavefields recorded by dense seismic arrays. The inherent spatio-temporal coherence in collocated time series is quantified by means of the semblance norm. Using field data recorded by the 1800-station LASSO array and realistic simulations, we demonstrate that the proposed method can reconstruct the wavefields well and produce more coherent and regularized waveform data with high signal-to-noise ratio. We further examine the effectiveness of the reconstructed and enhanced data with stacking-based seismic location. The comparison of imaging results for two synthetic and four field events demonstrates the superiority of reconstructed waveforms regarding source energy focusing and imaging resolution. Polarity-uncorrected traces of reconstructed waveforms produce high-resolution source images, and the corresponding short-term average to long-term average traces yield more stable source images with lower imaging resolution, suggesting the method's applicability to a wide range of common imaging and monitoring tasks.

1. Introduction

Since the establishment of array seismology about two decades ago, seismic arrays and accompanying methods and applications have gained significant progress. Though originating from detecting nuclear explosions, seismic arrays are now utilized as a regular and even standardized tool for seismic monitoring ranging from large natural earthquakes to exploration and engineering scales (Hansen & Schmandt, 2015; K. L. Li et al., 2017; Furumura & Maeda, 2021; Lei Li et al., 2022). Currently, more and more temporary and/or permanent dense arrays are deployed on regional and smaller reservoir scales to achieve high-quality earthquake catalogs, efficient seismic hazards assessment, and dynamic characterizations of related engineering activities. With densely sampled wavefields, seismic arrays have promoted the development of array-based processing techniques, such as backprojection and beamforming, and yielded more detailed reconstructions of seismic sources and subsurface structures (e.g., Rost & Thomas, 2002; Gibbons & Ringdal, 2006; Karplus & Schmandt, 2018; Zefeng Li et al., 2018).

Dense arrays can offer high-quality and even regularized full wavefields, which share similarities with recorded waveforms of controlled active sources in reflection seismology. Though the purposes may vary for seismic monitoring at different scales, the well-established summation-based techniques, such as seismic migration, which originate from exploration seismology are becoming routinely applicable in passive seismology when the station coverage is sufficiently dense. Dense arrays were shown to yield data that can be used for directly constructing energy images of seismic sources, even for triggered and/or induced microearthquakes with low signal-to-noise ratios (SNRs) (Kao & Shan, 2004; Steiner et al., 2008; Lei Li, Tan, et al., 2020). Waveform stacking and reverse-time imaging methods are successfully applied to automatically detect and locate microseismic events monitored with sparse and dense arrays, respectively (Hansen & Schmandt, 2015; K. L. Li et al., 2017).

One type of modern arrays is the extremely dense local network with a large number (Large-N) of sensors. For example, the Large-N array consisting of 5200 sensors in the City of Long Beach has been utilized to recover high-resolution 3D shear velocity structure (Lin et al., 2013) and

improve the detection capacity of earthquake monitoring networks in urban areas (Yang et al., 2022). However, due to varying surface and deployment conditions and the relatively high cost of the array deployment, the data quality of dense arrays might not always be as good as expected. In this case, waveform reconstruction techniques can make use of waveform similarities across neighboring stations to enhance the data quality or estimate the response at intermediate or nearby locations where no stations had been deployed (see e.g., Chen et al., 2019). Modern large-N station deployments, for the first time, promise to allow for a complete and un-aliased reconstruction of the full seismic wavefield.

Building on the coherence of waveforms across neighboring stations, summation-based techniques originating in controlled-source seismology can be used for targeted and surgical data preconditioning and improved (micro-) seismic monitoring. Here we demonstrate the applicability and effectiveness of such a framework for enhanced seismic source location for the Large-N Seismic Survey in Oklahoma (LASSO; Dougherty et al., 2019). Backed up by numerical simulations it is found that noise levels can be effectively reduced and that for favorable sampling conditions, the effective number of stations can be increased manifold, thereby allowing for a targeted reconfiguration of the array for improving waveform-based event location accuracy.

2. Methodology

In exploration seismology, summation-based coherence analysis is known to be noise-robust and was shown to be a powerful and expressive foundation for process automation (Jäger et al., 2001), effective data preconditioning (Höcht et al., 2009), weak wavefield separation (Schwarz, 2019) and data-driven velocity inversion (Duveneck, 2004; Bauer et al. 2017, Diekmann et al., 2019).We quantify spatiotemporal wavefield coherence by means of the semblance norm S (Neidell & Taner, 1971), which can be viewed as the ratio of the stack (beam) energy and the total energy considered in a data window of interest,

$$S(x_0, y_0, t_0) = \frac{1}{n} \frac{\sum_{\delta t} \left[\sum_{i=1}^{n} D(x_0+\Delta x_i, y_0+\Delta y_i, t_0+\Delta t_i)\right]^2}{\sum_{\delta t} \sum_{i=1}^{n} D^2(x_0+\Delta x_i, y_0+\Delta y_i, t_0+\Delta t_i)}, \qquad (1)$$

where D refers to the data amplitude and (x_0, y_0) to the reconstruction point within the array. The lateral separation of the i-th neighboring station is denoted by $(\Delta x_i, \Delta y_i)$, and

$$\Delta t_i = p_x \Delta x_i + p_y \Delta y_i + \frac{1}{2}\left(H_{xx}\Delta x_i^2 + 2H_{xy}\Delta x_i \Delta y_i + H_{yy}\Delta y_i^2\right) \quad (2)$$

is a second-order approximation of traveltime moveout caused by an elliptical wavefront observed in the local vicinity of the considered reference location (x_0, y_0). In this local approximation, the tilt of the wavefront is characterized by the horizontal slowness vector (p_x, p_y) and wavefront curvature is governed by the elements of the Hessian of the traveltime H_{xx}, H_{xy}, and H_{yy} (e.g., Bortfeld, 1989; Diekmann et al., 2019). In order to not mis-associate different phases, the time window δt should be chosen reasonably small and, in practice, often corresponds to the pre-dominant signal period of interest. Because S takes only values between 0 (not at all coherent) to 1 (perfectly coherent), it lends itself well for optimization.

To ensure reliable convergence even for very low SNRs, we employ a differential evolution global optimizer to locally maximize S for every considered reconstruction point (e.g., Das & Suganthan, 2011). In order to increase robustness and reduce computational costs, we further assume local spherical symmetry of the wavefront, which corresponds to coinciding Hessian components in x and y direction. As an extension of conventional beamforming (e.g., Rost & Thomas, 2002), the optimization of S leads to a data-derived estimate of the local horizontal slowness vector of the emerging wavefront and its curvature radius, which in turn allows for the reconstruction of the data amplitude $\underline{D}$ via

$$\underline{D}(x_0, y_0, t_0) = \frac{1}{n} S(x_0, y_0, t_0) \sum_{i=1}^{n} D(x_0 + \Delta x_i, y_0 + \Delta y_i, t_0 + \Delta t_i)\,. \quad (3)$$

In equation (3), weighting by the semblance has a noise-suppression effect comparable to phase-weighted stacking (Schimmel & Paulssen, 1997) without leading to undesired distortions of the waveforms. For mere data enhancement purposes, coherence analysis and wavefield reconstruction is performed for every actual station location within the array, whereas a departure from the actual station geometry results in the construction of a new, imaginary station response. Thus, the technique can also be used to inter- and extrapolate fields and reconfigure the overall array geometry.

To further assess the improvement of data enhancement by the proposed framework, we test it with stacking-based seismic source location using synthetic and field waveforms from a Large-N array. Stacking-based location methods, as a modern but well-established methodology, have been widely used to automatically detect and locate seismic events at local and regional scales (Grigoli et al., 2013; Shi et al., 2019; Yang et al., 2022). The methods share the essence of weighted backprojection/stacking of wavefields with Kirchhoff migration (Esmersoy & Miller, 1989), and the source location can be easily picked from the energy-focused image. In general, only primary phases are used due to the dominant energy, though all subsequent phases are usable in theory. Diffraction stacking (DS) is the most common operator which simply summarizes waveforms from individual stations along a theoretical traveltime moveout curve (Baker et al., 2005; Gajewski et al., 2007; Ishii et al., 2005; Kao & Shan, 2004). The formula of the DS method reads as follows,

$$M_{DS}(x, t_s) = \sum_{i=1}^{N} CF^i(t)\delta\left[t - \left(t_s + t_{i,x}\right)\right], \quad (4)$$

where $M_{DS}(x, t_s)$ is the stacking value, x denotes the source coordinates, t_s denotes the source origin time, $CF^i(t)$ is the characteristic function (CF) of the waveform recorded at station i, $\delta[t - (t_s + t_{i,x})]$ is the DS operator, where δ is the Dirac delta function and $t_{i,x}$ is the theoretical travel time from station i to the source x. Through simple mathematical derivation and testing, we know that the basic imaging patterns of DS are deformed circular arc and spherical surface intersections under general 2D and 3D models, respectively (L. Li et al., 2018). For surface monitoring, there is an inherent depth-origin time tradeoff when determining the source locations by searching for the maximum imaging values.

3. Dataset

The LASSO experiment, led by the USGS, is a recent and notable example of a Large-N dense array involving more than 1800 single-component nodal seismometers with 500 Hz sampling rate, covering a 25 km by 32 km area of active saltwater disposal in northern Oklahoma (Figure. 1, Dougherty et al., 2019). The seismometers were buried in shallow holes along county roads with a spacing of ~400 m, yielding a dense and regularized pattern which is ideal for wavefield

reconstruction and regularization. The LASSO array operated for nearly a month from April to May of 2016 and recorded more than 3000 events (Peña Castro et al., 2019), including the 112 local events from the Oklahoma Geological Survey (OGS) earthquake catalog. Several recent studies have shown the suitability of the array for analyzing the spatiotemporal clustering of seismicity (Cochran et al., 2020), source spectral properties (Kemna et al., 2020), near-source radiation patterns (Trugman et al., 2021), and the leaking modes from ambient noise (Zhengbo Li et al., 2022). Two events from the OGS catalog beneath the array have already been used to demonstrate the effectiveness of DS-based seismic location methods at regional scale (Lei Li, Xie, et al., 2020).

In this work, we use the array to investigate the performance of wavefield reconstruction and its advantages for seismic source location. We select four field events from both the OGS catalog and the extended catalog from previous studies (Dougherty et al., 2019; Peña Castro et al., 2019; Trugman et al., 2021). Two events from the OGS catalog denoted by the numbers 24021 and 23183 were located near the western margin and outside of the array, respectively. The other two events from the extended catalog have a magnitude of M_L 0.08 and M_L 2.03 and were located near the central area of the array. In the following, we refer to these four events by ‘event 24021’, ‘event 23183’, ‘event ML008’, and ‘event ML203’, respectively.

To further validate the reliability of the proposed workflow, we also conduct numerical simulations to mimic the field-recorded waveforms of event 24021 and event ML203 under controlled and reproducible conditions. The finite-difference method is adopted to generate the synthetic waveforms with double-couple source mechanisms in an isotropic layered model (Rubinstein et al., 2018; L. Li et al., 2021). Real noise recorded by the LASSO array is added to the synthetic waveforms to simulate realistic conditions with varying SNR levels (see supplementary information S1).

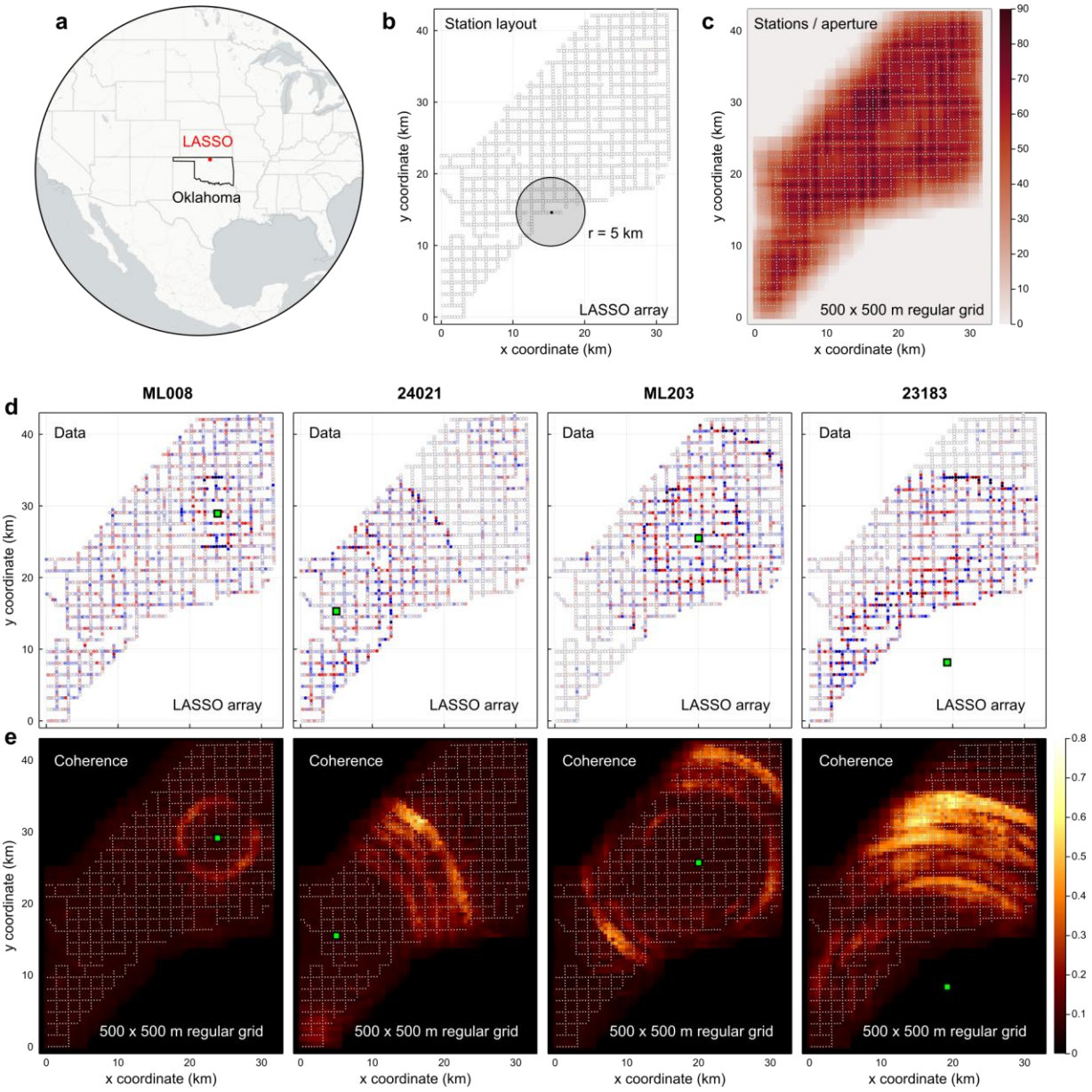

Figure 1. The location and layout of the LASSO array. (a) the LASSO array is located in Oklahoma, North America; (b) the station layout of the array, the small circles denote the positions of the ~1800 stations, the single large circle denotes the local aperture of 5 km that is mainly discussed in this work; (c) the discretization of the reconstruction grid is 500 m in x and y direction (trace density maps for apertures of 3 km and 7 km can be found in Figure S1). The reference point (x, y) = (0, 0) corresponds to x= 579000 and y= 4051000 in the UTM coordinate system. (d) Temporal snapshots of the raw waveform data recorded for events ML008, 24021, ML203, and 23183 (from left to right), respectively. (e) Semblance estimated locally on the regular grid. The green markers denote the cataloged epicenter locations.

4. Results

4.1 Waveform Coherence Analysis

Wavefield snapshots of events recorded with the LASSO array indicate that the dense station coverage allows to infer the directionality and curvature of wavefronts. Despite the favorable station configuration, however, waveform coherence is either compromised by the presence of noise sources, or by coda complexity indicating the presence of structure-related secondary sources in the near subsurface. In Figure 1(d), such snapshots are displayed for events ML008, 24021, ML203, and 23183, respectively. While for very small magnitudes, the primary signal threatens to drown in the ambient noise field (compare e.g., event ML008), stronger events allow for the discrimination of different phases, independent of whether the sources were located directly underneath or outside of the array. Figure 1(e) shows the distribution of waveform coherence, represented by the semblance coefficient (equation (1)) evaluated on a dense regular grid with an increment of 500 m in x and y direction, respectively. For all four considered events, first arrivals appear as prominent coherent signatures that follow the primary wavefront traversing the LASSO array. A comparison with the raw waveform data displayed in (d) indicates that especially later-arriving phases of lower amplitude become more easily recognizable in the positive-definite semblance map (e). The semblance can be directly utilized to enhance the waveform consistency and SNR (compare Figures S2-S5). Accompanying the regular semblance grid are fields of local estimates of the horizontal slope vector (p_x, p_y) as a by-product of the optimization procedure. The estimated slope and semblance fields allow for a multitude of applications, including but not limited to wavefront-tomographic inversion (Diekmann, et al. 2019).

First and foremost, following equation (3), these quantities enable the reconstruction of the recorded seismic waveforms by performing coherence-weighted local directional summation (averaging) within circular apertures of 5 km radius. Following this strategy, folds of up to 90 can be reached in the central parts of the array and a natural tapering occurs once the spatial grid leaves the array (compare Figure 1(c)). In Figure 2, results of coherent wavefield reconstruction of event ML203 on the 500 x 500 m spatial grid are compared with the respective raw waveform data that went into the analysis. To more systematically investigate the noise suppression

performance of the method, three realistic synthetic reproductions of the event are shown alongside the field data recordings and their reconstruction. The three synthetic versions of ML203 are characterized by different noise levels, covering the noise-free case and SNRs of ~1 and less than 1, respectively. For all three synthetic realizations and the original field data, the reconstruction preserves coherent energy and suppresses incoherent noise, resulting in cleaner, more continuous, and more resolved datasets that can subsequently be used for improved source imaging. Although this is not fully verified yet, in the field data case, circular shapes in the northern part of the primary wavefront (compare the fourth column in Figure 2(b)) might indicate the presence of a secondary source – possibly related to distinct lateral change in structure – that could have been excited by event ML203.

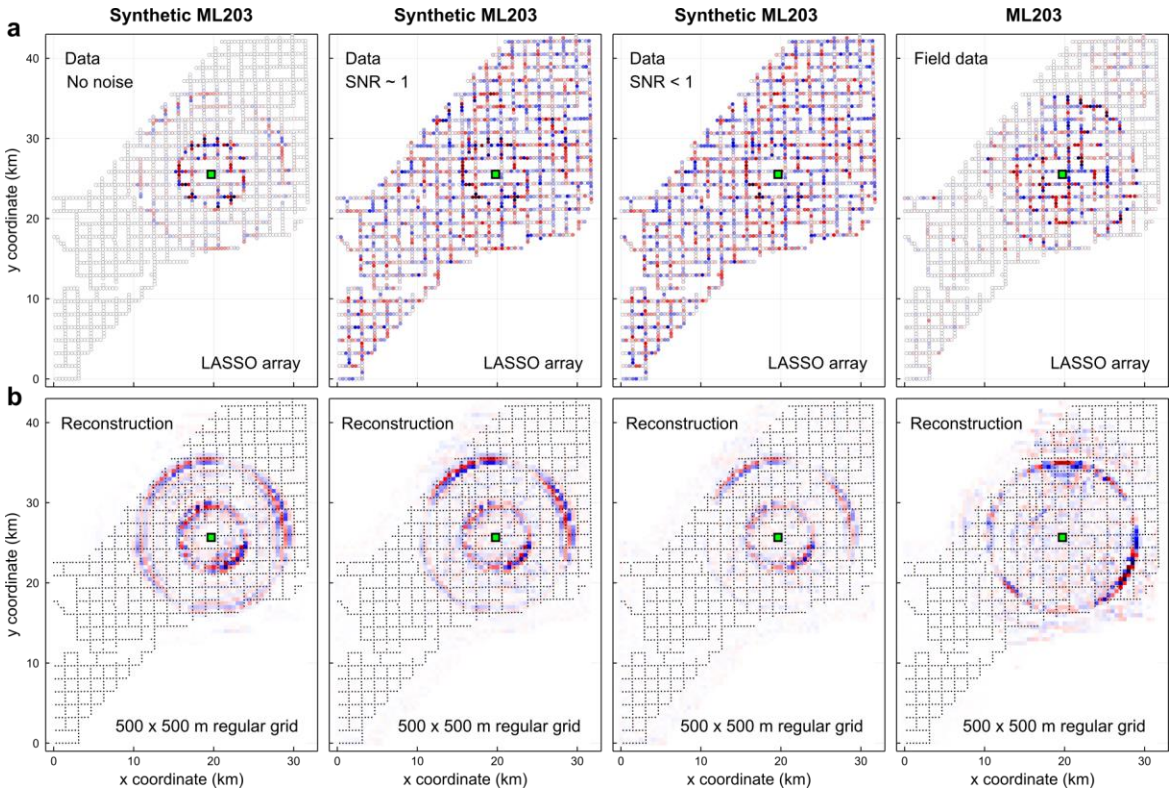

Figure 2. Coherent wavefield reconstruction performed on the same 500 m x 500 m spatially regular grid as for the semblance optimization, whose results are displayed in Figure 2(b). Displayed are 4 different versions of event ML203 – noise-free synthetics, synthetics with SNR ~1, synthetics with SNR <1, and the actual field data recordings (from left to right). Like in Figure 2, the cataloged event location and the station locations in (b) are denoted by a green marker and black dots, respectively. Results for all the four field events using different apertures and time series plots can be found in Figures S3, S4 and S5).

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240 4.2 Stacking-based Seismic Source Images

241 In the following, we consider P-waves only when stacking the source energy using equation (3),
242 since only vertical components are available in field data and the P-wave, as the primary phase,
243 is not contaminated by subsequent phases.

244 Figure 3 shows the source imaging results of STA/LTA traces for the synthetic and field event
245 ML203, directly corresponding to Figure 2. The relatively large number of stations in this dense
246 array can tolerate a certain amount of noise, while the reconstructed waveforms produce higher
247 imaging resolution even though the raw waveforms are contaminated by high-level noise. As
248 observed and discussed in Section 4.1, the reconstructed waveforms exhibit a higher coherence
249 and SNR, which naturally produce stacking-based source images with more coherent energy
250 concentration and higher imaging resolution. The horizontal locations are basically consistent
251 with reference values from the catalog and/or previous studies (indicated as white circles). The
252 slightly biased depth locations are mainly resulting from the combined effects of velocity
253 uncertainty and the inherent depth-origin time tradeoff. The dense surface array also yields
254 higher imaging resolution in the horizontal direction than in the depth direction.

255

256

257 Figure 4 shows the source imaging results of raw waveforms for the two controlled simulations
258 of events ML203 and 24021. After wavefield reconstruction, the imaging results show only few
259 and comparably weak secondary peaks and fewer artifacts. The improvements in Figure 4(a)
260 indicate that while trace summation carried out during reconstruction leads to decreased noise
261 levels not only in the reconstructed domain, but also in the subsequently formed image. Figure
262 4(b) on the other hand illustrates the positive impact the regularizing and interpolating
263 capabilities of the reconstruction have on waveform-based high-resolution source imaging. In
264 summary, the reconstructed waveforms from this dense array enable high-resolution source
265 images with polarity-uncorrected waveforms.

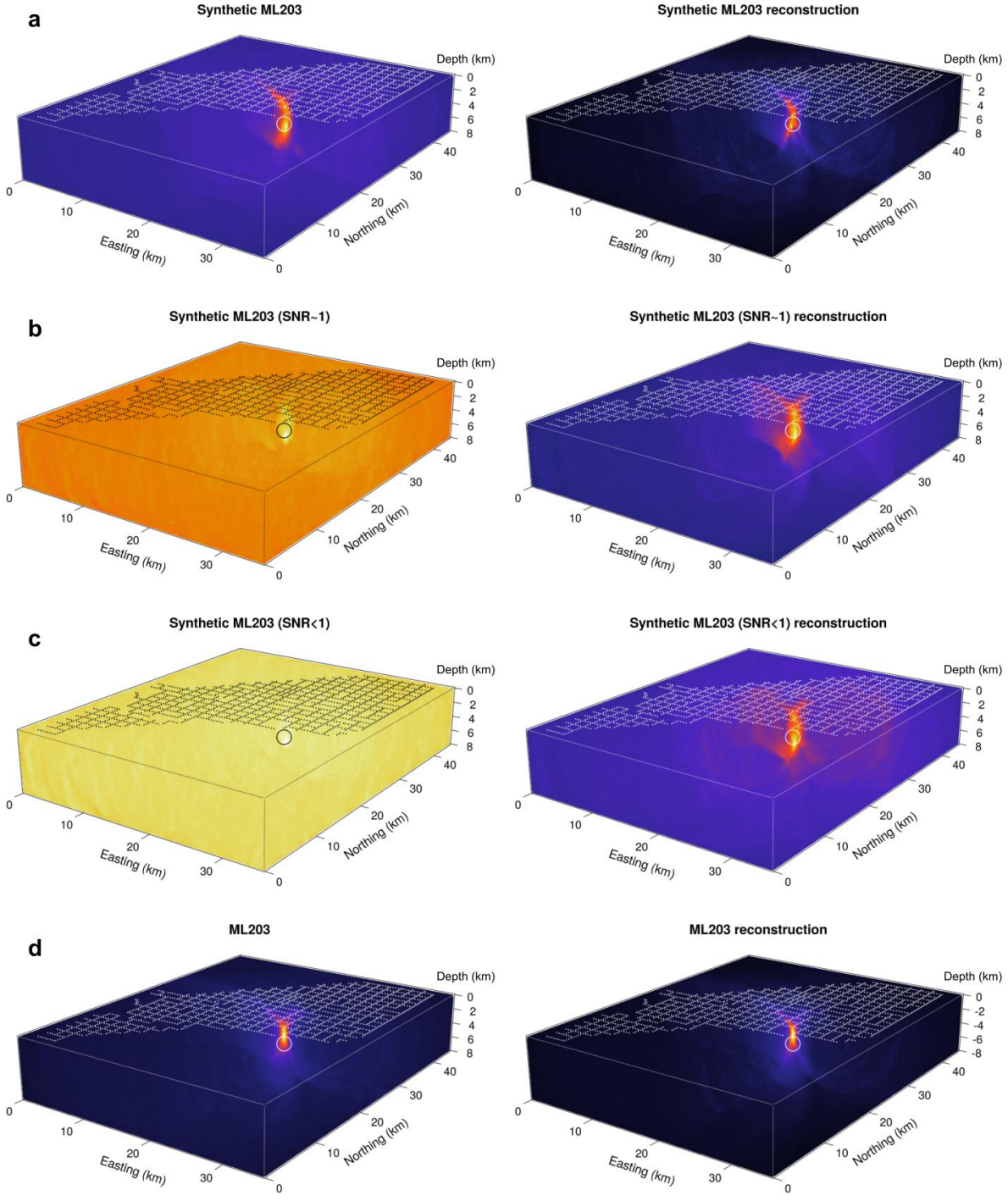

Figure 3. The source imaging results of STA/LTA traces for the synthetic and field event ML203. The left column corresponds to the result of using unreconstructed waveforms, and the right column images result from the use of wavefield reconstruction. (a) to (c) correspond to results gained with realistic synthetic waveforms with different noise levels applied, (d) shows the results of the field event ML203. Reference locations from the catalog and/or previous studies are indicated by a circle.

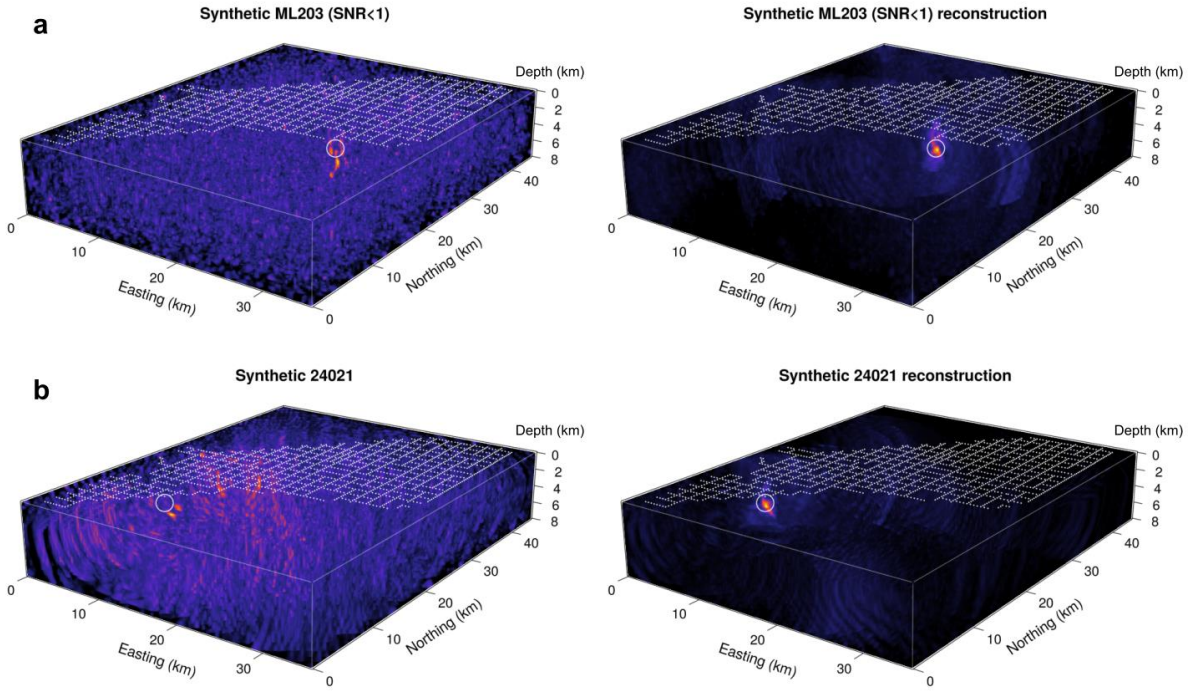

Figure 4. The source imaging results of raw traces for the synthetic event ML203 (a) and synthetic event 24021 (b). The left column corresponds to the result of unreconstructed waveforms, and the right column images made use of wavefield reconstruction. Real locations are indicated as white circles. Whereas in (a), severe noise contamination of the raw data leads to a strong noise footprint in the image, the strong artifacts in the raw-data image in (b) result from insufficient spatial sampling of the LASSO array in the vicinity of the source.

Compared with polarity-uncorrected raw traces, the corresponding stacked values are smeared more severely and the imaging resolution is lower for STA/LTA traces mainly due to the lower waveform resolution (compare Figures S6 and S7). Since the STA/LTA function further improves the SNR by flattening the waveforms and suppressing phase information, the respective imaging profiles are cleaner but exhibit stronger footprints surrounding the source area. It is worth noting that using original polarity-uncorrected waveforms to stack the source energy involves the possibility of blurring the inferred source locations. Alternatively, with more coherent and regular waveform records, we can obtain reliable source images and location estimates with even fewer traces, and thus, help to lower computational demands for source

location and other subsequent processing tasks. More discussion on the method and results can be found in supplementary information S2.

5. Conclusions

We proposed a novel reconstruction method for coherent seismic wavefields with dense arrays. The spatiotemporal wavefield coherence embedded in the dense seismic array is quantified and utilized to reconstruct the wavefields. The summation-based techniques enable the method adapted to weak events with low SNRs. Application to both realistic and field seismic events recorded by the dense LASSO array in Oklahoma reveals improved SNR, data coherence and regularity. Results of events at different locations demonstrate the applicability of the proposed method in waveform reconstruction to general source distributions. To further examine the merits of the proposed method, we tested the reconstructed waveforms using stacking-based location and compared the imaging results with those of unreconstructed waveforms. Reconstructed waveforms produce better location results accounting for the SNR and resolution of the images, due to their higher SNRs and data coherence than unreconstructed waveforms.

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