

1 **Calculating Required Purification Effort to Turn Source Water into Drinking Water**
2 **Using an Adapted CCME Water Quality Index**

3
4 **André van den Doel^{1,2}, Geert H. van Kollenburg^{1,2}, Thomas D. N. van Remmen¹, Joanne A.**
5 **de Jonge³, Gerard J. Stroomberg³, Lutgarde M.C. Buydens¹ and Jeroen J. Jansen¹**

6 ¹Department of Analytical Chemistry, Institute for Molecules and Materials, Radboud
7 University, Heyendaalseweg 135, 6525 AJ Nijmegen, The Netherlands.

8 ²TI-COAST, Science Park 904, Amsterdam, The Netherlands.

9 ³RIWA-Rijn, Amperebaan 4, 3439 MH, Nieuwegein, The Netherlands.

10
11 Corresponding author: André van den Doel (chemometrics@science.ru.nl)

12
13 **Key Points:**

- 14 • We have introduced a water quality index for the quality of water as a source for drinking
15 water production
- 16 • Unlike previous water quality indices it compares measured contaminant concentrations
17 in source water to drinking water guidelines
- 18 • We have performed an extensive sensitivity analysis on simulated data to validate our
19 index
20

21 Abstract

22 The 2000 European Union Water Framework Directive (WFD) states that ‘Member
23 States shall ensure the necessary protection for the bodies of water identified with the aim of
24 avoiding deterioration in their quality in order to reduce the level of purification treatment
25 required in the production of drinking water’. However, it does not specify how to evaluate or
26 quantify this level of purification treatment. The scientific literature contains several different
27 Water Quality Indices (WQIs), but none are suited for this purpose. Therefore, we propose a
28 novel WQI that we specifically designed to quantify the level of purification required to prepare
29 drinking water from source water. It is based on the WQI of the Canadian Council of Ministers
30 of the Environment (CCME WQI), which was chosen because it is widely accepted, can be used
31 with any number of input parameters, does not require expert judgement and has been applied to
32 assess source water quality before. We compare measured contaminant concentrations in source
33 water to drinking water guidelines and additionally incorporate the resilience of contaminants to
34 treatment processes in the index (which is not possible in the CCME WQI). Furthermore, we
35 accommodate for varying sampling frequencies that are characteristic of the ongoing monitoring
36 programme. These changes make our index more robust and sensitive to relevant changes in
37 source water quality. We calculated index scores for source water from the Rhine and the Meuse
38 rivers to monitor the effect of implementation of the WFD on the effort required to produce of
39 drinking water.

40

41 1 Introduction

42 Water is a vital natural resource and plays an important role in everyday life. Amongst
43 many things we use water for agriculture, industry, cleaning and drinking. In the Netherlands,
44 like in many developed countries, drinking water has to meet strict requirements to ensure
45 suitability for human consumption. Over a third of all Dutch drinking water comes from the
46 rivers Rhine and Meuse, either directly or after infiltration (Pleijssier, 2001). The water quality of
47 these rivers is therefore essential to protect drinking water supply. The Directorate-general for
48 Public Works and Water Management (Dutch: *Rijkswaterstaat*) is responsible for monitoring the
49 water quality of the main Dutch water system to ensure an adequate supply of clean water. It
50 operates two monitoring stations which continuously check water quality by measuring chemical
51 composition, toxicity, radioactivity and general parameters such as temperature and pH.

52 Water quality is not restricted by geographical boundaries; the Rhine flows through seven
53 countries, so international cooperation is paramount to keep it ecologically healthy and suitable
54 as a source for drinking water. In 2000 the European Committee issued the Water Framework
55 Directive (WFD), which commits EU member states to ensuring their water is sufficiently clean
56 and ecologically healthy by 2027 (European Parliament, 2000). To reach the goals stated in the
57 WFD, EU member states have to take measures to improve water quality (Hering et al., 2010).
58 Article 7 section 3 of the WFD states that “Member states shall ensure the necessary protection
59 for bodies of water identified with the aim of avoiding deterioration in their quality in order to
60 reduce the level of purification treatment required in the production of drinking water”
61 (European Parliament, 2000).

62 The WFD does not specify how the level of purification treatment required should be
63 assessed or quantified. We define the level of purification treatment required as the effort to
64 prepare drinking water from source water, removing contaminants during the production process

65 to levels specified by legislation, in this case the Dutch Drinking Water Decree (Dutch:
66 *Drinkwaterbesluit*). We designate the ensuing index based on this metric as the ‘purification
67 effort index’ (PEI). This is a water quality index (WQI) that takes the purification process into
68 account as well.

69 The concept of water quality indices was first developed in the 1960s by Horton (1965).
70 A water quality index can be defined as “a single dimensionless number expressing the water
71 quality in a simple form by aggregating the measurements of selected parameters” (Sutadian et
72 al., 2016). In general, the development of a water quality index consists of the following steps
73 (Abbasi & Abbasi, 2012):

- 74 1. Parameter selection
- 75 2. Obtaining sub-index values (determination of a quality function for each parameter)
- 76 3. Establishing parameter weights
- 77 4. Aggregation of sub-indices (often through an arithmetic or geometric mean)

78 Not all WQIs follow all four steps, but Sutadian et al. (2016) provide an extensive discussion
79 of the choices that can be made at each step. However, all methods have their limitations and
80 there is no perfect WQI (Lumb et al., 2011; Sutadian et al., 2016). There is always subjectivity
81 involved in the creation of a WQI (especially in the first three steps), which is why it is
82 recommended to consult with local experts and do uncertainty and sensitivity analysis (Sutadian
83 et al., 2016).

84 WQIs are often designed for a specific purpose and application. Several WQIs have been
85 proposed to determine the suitability of water for human consumption or as a source for drinking
86 water production. There is a difference between the two uses; source water is purified before
87 consumption and this should be reflected in parameter selection, weights and sub-index values
88 (e.g. putting more emphasis on contaminants that are difficult to remove). Boyacioglu (2010) and
89 Hurley et al. (2012) have proposed WQIs for the suitability of source water, in which they
90 compare measured water quality parameters to intake guidelines. We, on the other hand,
91 compare concentrations of contaminants in the river directly to drinking water requirements.
92 These differences are weighted by a factor that reflects removal efficiency in the purification
93 process. That way we take the purification process into account directly, rather than indirectly
94 through intake guidelines.

95 Contrary to existing WQIs, the PEI that we propose in this paper is uniquely suitable for
96 quantifying the required purification treatment levels, as stated in the WFD. We have applied the
97 PEI to a large historical database of concentration measurements in the Rhine and Meuse. The
98 resulting scores summarize the water quality regarding drinking water production and provide an
99 effective tool to evaluate the effect of implementation of the WFD. Furthermore, we compare our
100 PEI with the water quality index of the Canadian Council of Ministers of the Environment
101 (CCME WQI) (Saffran et al., 2001) on which it is based, and perform a thorough sensitivity
102 analysis to show that our method has more desirable properties.

103

104 **2 Materials and Methods**105 **2.1 CCME WQI**

106 One of the most widely used water quality indices is that of Canadian Council of
 107 Ministers of the Environment (Lumb et al., 2011; Saffran et al., 2001; Sutadian et al., 2016). It
 108 was introduced in 2001 and has since been used for many purposes, including in the context of
 109 drinking water (Boyacioglu, 2010; Hurley et al., 2012; Lumb et al., 2011; Rickwood, 2007;
 110 Sutadian et al., 2016). It relies on three factors that are relevant for water quality: *scope*,
 111 *frequency* and *amplitude*. The scope (F1) is the percentage of parameters whose objectives are
 112 exceeded at least once (failed parameters), the frequency (F2) is the percentage of all
 113 measurements whose objectives are exceeded (failed measurements) and the amplitude (F3) is a
 114 measure for the magnitude of the exceedance. Any number of parameters can be used as input,
 115 but it is recommended to use at least 4 parameters, measured at least 4 times (Saffran et al.,
 116 2001).

$$F_1 = \left(\frac{\text{Number of failed parameters}}{\text{Total number of parameters}} \right) \times 100 \#1$$

$$F_2 = \left(\frac{\text{Number of failed measurements}}{\text{Total number of measurements}} \right) \times 100 \#2$$

$$F_3 = \left(\frac{\text{nse}}{\text{nse} + 1} \right) \times 100 \#3$$

117 The *normalised sum of excursions* (nse) is given by

$$\text{nse} = \left(\frac{\sum_{i=1}^n \text{excursion}_i}{\text{Total number of measurements}} \right) \#4$$

118 where

$$\text{excursion}_i = \left(\frac{\text{Failed measurement value}_i}{\text{Objective}_i} \right) - 1 \#5$$

119 and n is the total number of measurements. The excursion is the ratio by which the objective is
 120 exceeded. The nse is the average excursion of all measurements. Equation 3 ensures that the
 121 amplitude has the same possible range (0 – 100) as the scope and frequency. The three factors
 122 are aggregated to a single number that indicates the water quality

$$\text{CCME WQI} = 100 - \left(\frac{\sqrt{F_1^2 + F_2^2 + F_3^2}}{1.732} \right) \#6$$

123 The value 1.732 (square root of 3), is used to normalize the index to a value between 0 and 100.
 124 The higher the index score, the better the water quality.

125

126 **2.2 Adaptation of the CCME WQI**

127 We want to develop a WQI that provides an estimate of the purification effort which is
 128 required to turn source water into drinking water. The CCME WQI provides an appropriate basis
 129 for this index because its input parameters, objective values and time interval can be specified by
 130 the user (as opposed to many other indices for which these are fixed (Sutadian et al., 2016)). That
 131 makes it possible to use available historical measurements and local objective values (e.g. from
 132 Dutch legislation). However, the CCME WQI is not equipped to incorporate the behaviour of

133 compounds in the treatment process because it only compares measured concentrations to
 134 objective values. Furthermore, it lacks robustness to differences in measurement frequency
 135 between parameters.

136 The index is made invariant to differences in measurement frequency between parameters
 137 by averaging the frequency (F_2) and the nse per parameter This is implemented in accordance
 138 with Hurley et al. (2012):

$$F_2 = \frac{1}{P} \sum_{p=1}^P \left(\frac{\text{Number of failed measurements}_p}{\text{Total number of measurements}_p} \right) \times 100 \#7$$

139

$$\text{nse} = \frac{1}{P} \sum_{p=1}^P \frac{\sum_{i=1}^{n_p} \text{excursion}_{p,i}}{\text{Total number of measurements}_p} \#8$$

140

141 where P is the number of parameters and n_p is the number of measurements of parameter p .
 142 When the measurement frequency of all parameters is equal, the result is identical to that of the
 143 original CCME WQI. Otherwise, this modification ensures that all parameters have the same
 144 impact, regardless of measurement frequency.

145 The behaviour of compounds in the treatment process is incorporated by parameter
 146 weights, which give greater impact to contaminants which are difficult to remove. Assignment of
 147 weights is one of the four steps that is common in most water quality indices, but is not part of
 148 the original CCME WQI (Abbasi & Abbasi, 2012; Sutadian et al., 2016). We have implemented
 149 weights in the calculation of the excursion, because it relates directly to how much removal is
 150 required

$$\text{excursion}_{p,i} = w_p \left(\frac{\text{Failed measurement value}_i}{\text{Objective}_i} - 1 \right) \#9$$

151 where w_p is the weight assigned to parameter p .

152 The amplitude in the CCME WQI is calculated from the normalized sum of excursions
 153 according to equation 3. In general, any equation of the form

$$F_3 = \left(\frac{\text{nse}}{\text{nse} + a} \right) \times 100 \#10$$

154 in which a is a finite positive number will ensure that the amplitude is bound between 0 and 100.
 155 The size of a determines the rate at which the amplitude will approach 100. The smaller the
 156 value of a , the faster the Amplitude will approach 100, which makes it more sensitive towards
 157 small nse values. The larger the value of a , the slower the Amplitude will approach 100, which
 158 makes it more sensitive towards large nse values. The value of $a = 1$ in equation 3 is elegant
 159 and works well in many cases (Al-Saboonchi et al., 2011; Boyacioglu, 2010; Hurley et al., 2012;
 160 Khan et al., 2004), but for our data it makes the amplitude small (often negligible) compared to
 161 the scope and frequency, even though amplitude is arguably the most important factor in
 162 determining the required purification level. Therefore, we have optimized the value of a to
 163 ensure that the amplitude has the same average value as the scope and frequency. For our data set
 164 that results in a $a = 0.1$.

165 In the CCME WQI a higher index value indicates a better water quality, which is
 166 intuitive. But when reporting purification levels, it is confusing that a higher index score
 167 indicates a lower required purification level. To simplify communication, we report the
 168 aggregated sum of the factors without subtracting it from 100

$$PEI = \frac{\sqrt{F_1^2 + F_2^2 + F_3^2}}{1.732} \#11$$

169 This index is still bound between 0 and 100, but now a higher score indicates that more
 170 purification is required. A score of 0 would indicate that all measured parameters already meet
 171 drinking water standards and no purification is required at all.

172

173 2.3 Selection of parameters and objective values

174 There is no fixed set of parameters for the CCME WQI. Users are free to choose their
 175 own input parameters, depending on the goal and availability. That is also true for our PEI.
 176 Depending on the pollutions risk and existing monitoring programmes a user can choose
 177 parameters which are most relevant for a particular body of water. In our study, we calculate the
 178 PEI based on the concentrations of 49 compounds that are that explicitly mentioned in the Dutch
 179 drinking water decree. These compounds have a clearly defined maximum concentration in
 180 drinking water and when the measured concentration in the source water exceeds this threshold,
 181 the excess must be removed through purification. Therefore, the guideline values from the Dutch
 182 drinking water decree are used as objectives. A list of parameters and their objective values is
 183 included in Appendix A.

184

185 2.3 Parameter weights

186 The effort required to remove unwanted compounds from source water depends on their
 187 physical properties and the processes involved. Available purification processes vary between
 188 drinking water production facilities, but can include steps such as infiltration, flocculation,
 189 filtration, ozonation ultraviolet irradiation, activated carbon and membrane filtration—each with
 190 their own compound selectivity. Furthermore, purification efficiencies are often not readily
 191 available for all relevant compounds. Therefore, readily available approximate weights are
 192 preferred.

193 Assuming that the biological breakdown of compounds is a major component of drinking
 194 water production, we have chosen the Gibbs free energy as weight (divided by the molar mass).
 195 A high Gibbs free energy indicates that a molecule is resistant to decomposition through
 196 metabolic processes (Finley et al., 2009) or advanced oxidation processes (Ji et al., 2009; Zhang
 197 et al., 2017). An example of this principle would be (per)fluorinated compounds, which have a
 198 high Gibbs free energy and are generally poorly removed in drinking water production (Exner &
 199 Färber, 2006).

200 When available, the experimental Gibbs free energy was used (Dean, 1999; Finley et al.,
 201 2009; Holmes et al., 1993; Jolkkonen, 2000; Kotz et al., 2012), otherwise it was estimated with
 202 the Joback Group Contribution method (Joback & Reid, 1987). See appendix A for details. The
 203 Gibbs free energy can be estimated for a wide range of compounds, as long as group
 204 contributions for all functional groups in the molecule are known. Estimation of Gibbs free
 205 energy can be fully automated based on e.g. compound name or cas-number (Forsythe Jr et al.,
 206 1997; Jankowski et al., 2008), making this approach easily feasible for a large number of
 207 compounds.

208 2.4 Parameter influence

209 The influence of a parameter on the PEI is calculated by taking the difference in index
210 score between a model including the parameter and a model excluding the parameter, similar to
211 Hurley et al. (2012). The larger the difference, the bigger the effect of the parameter on the
212 index. When the parameter never exceeds its objective, it is possible to have a negative
213 difference (knowing that a parameter never exceeds its objective indicates cleaner water).

214

215

216 **3 Data**

217 3.1 Experimental data

218 The data consists of contaminant concentrations in the Rhine and Meuse between 2000
219 and 2016. They were measured by waterworks and regulatory bodies such as Rijkswaterstaat. A
220 list of the 49 contaminants that we used as input parameters for our index is provided in Table
221 A.1. To give an idea of the monitoring programme since the implementation of the Water
222 Framework Directive, an overview of measurement characteristics of these parameters is
223 provided in Appendix A. There are great differences in measurement frequency between
224 parameters, which is why it is important to average per parameter (equations 7 and 8).

225 3.2 Simulated data

226 Simulated data sets were created based on the measured data in order to investigate the
227 sensitivity of the PEI to changes in the monitoring programme or water quality. The procedures
228 to generate these simulated data sets are described below; numerical examples of these
229 procedures are given in appendix B.

230

231 3.2.1 Change in the number of parameters

232 Two scenarios were investigated in which the number of parameters is doubled: (a) one
233 where the additional parameters are identically distributed to the original parameters and (b) one
234 where the additional parameters exceed their objective values less frequently.

235 To create the data set with identically distributed additional parameters the real data was
236 extended with exact copies of all original parameters (only changing their names). To create the
237 data set with additional parameters that exceed their objective less frequently, half of the
238 additional measurements that originally exceeded their objective (randomly selected within each
239 parameter) were changed to a value below the objective.

240

241 3.2.2 Change of measurement frequency

242 Two scenarios were investigated: (a) one where the measurement frequency is doubled
243 for the 5 parameters which exceed their objective most often and (b) one where the measurement
244 frequency is doubled for the 5 parameters which exceed their objective least often. The
245 measurement frequency of a parameter was doubled by duplicating each of its measurements.

246

247

3.2.3 Addition of artificial spikes

248 Four scenarios were investigated: (a) one where 10% of aldrin measurements (low
 249 weight; easy to remove) were increased to 50 times the objective value, (b) one where 25% of
 250 aldrin measurements were increased to 20 times the objective value, (c) one where 10% diglyme
 251 measurements (high weight; difficult to remove) were increased to 50 times the objective value
 252 and (d) one where 25% of diglyme measurements were increased to 20 times the objective value.

253

254

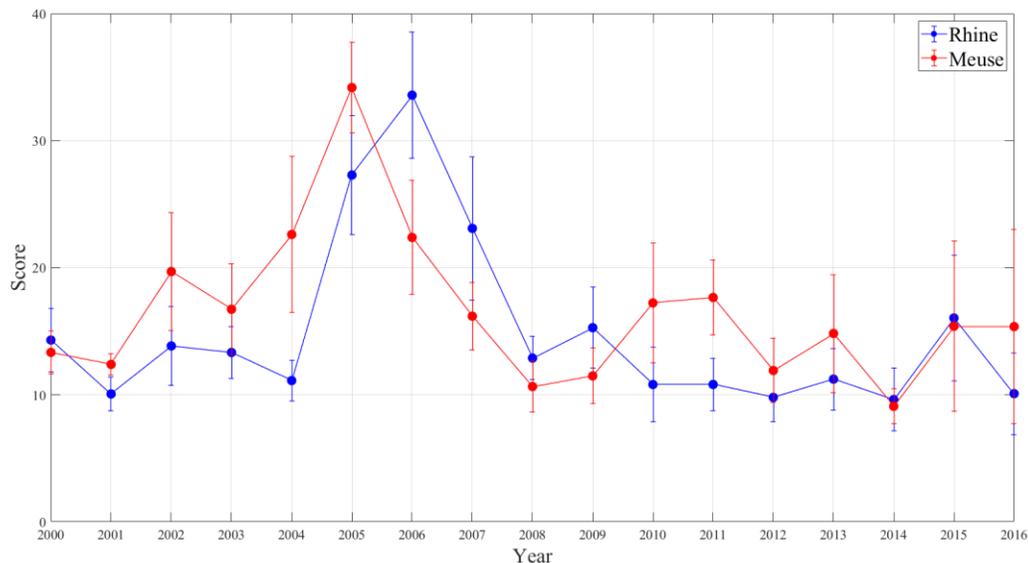
4 Results

255 The Rhine and Meuse are the major sources of surface water for the production of drinking water
 256 in the Netherlands (RIWA). In order to assess the impact of the WFD, the PEI score was
 257 calculated for source water from both rivers.

258

259

260



261

262 **Figure 1.** PEI score of the Rhine and Meuse. The error bars indicate 95% confidence intervals
 263 (determined by nonparametric bootstrapping).

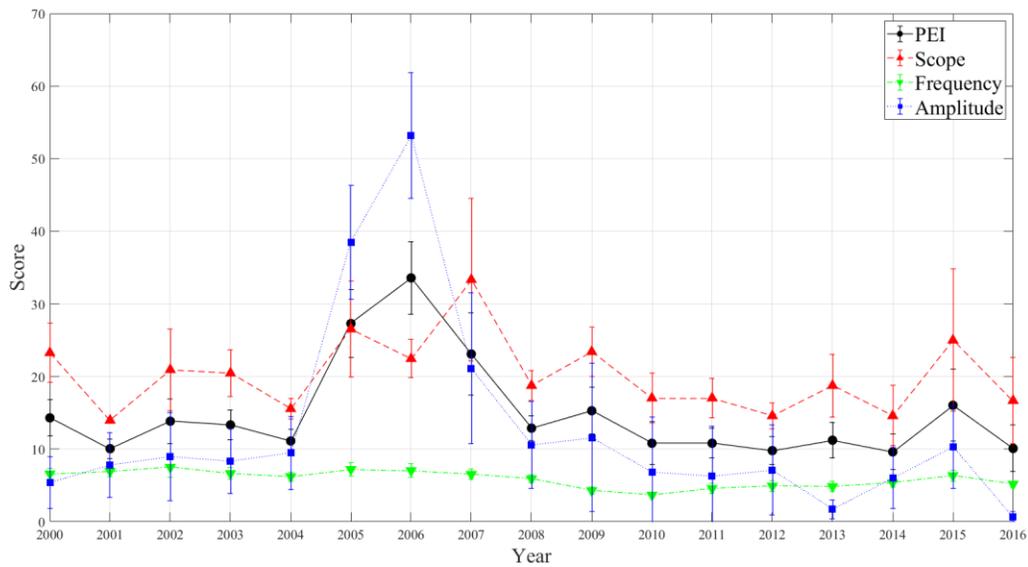
264

265

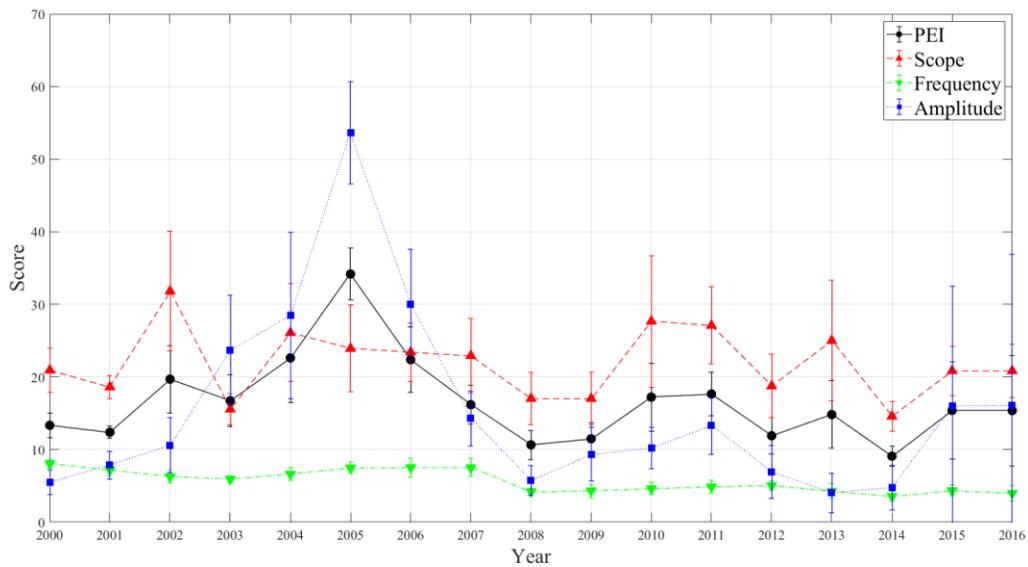
4.1 Purification effort index for Rhine and Meuse

266 The PEI score was calculated for water from the Rhine and Meuse from 2000 until 2016. Figure
 267 1 shows that there is no overall trend, but the index peaks around 2005 and 2006, indicating
 268 higher required purification levels in those years. To further interpret the index, it is possible to
 269 investigate the contributions of frequency, scope and amplitude and the influence of individual
 270 parameters on the score (see section 2.4). Figure 2 shows that the higher index scores around

271 2005 and 2006 compared to those of earlier years are mostly due to the amplitude. The frequency
 272 is not higher than usual. The scope is slightly increased in the Rhine only. The scope varies
 273 between 14% and 33%, indicating the number of individual contaminants that have to be
 274 removed from source water to meet the drinking water requirements. Some contaminants may be
 275 problematic only once a year due to an accident or rare pollution event, while others regularly
 276 exceed their norm. Therefore, the frequency, indicating the number of individual measurements
 277 that exceed their objective, is also important. It is logically lower than the scope and changes
 278 more gradually over time. It varies between 4% and 8%. A two sample t-test shows that there is a
 279 slight but significant decrease in frequency in the Meuse after 2007.



280



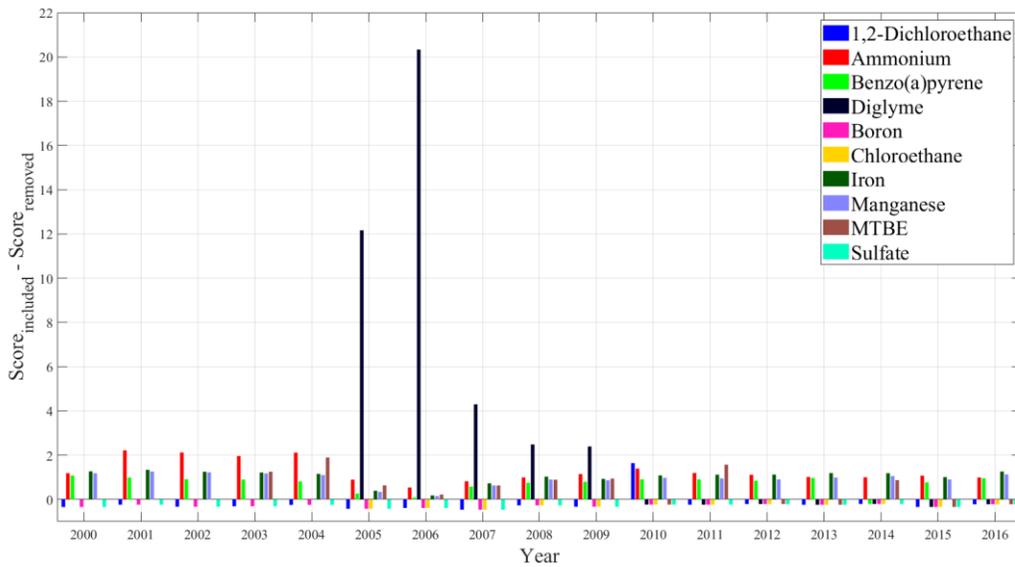
281

282

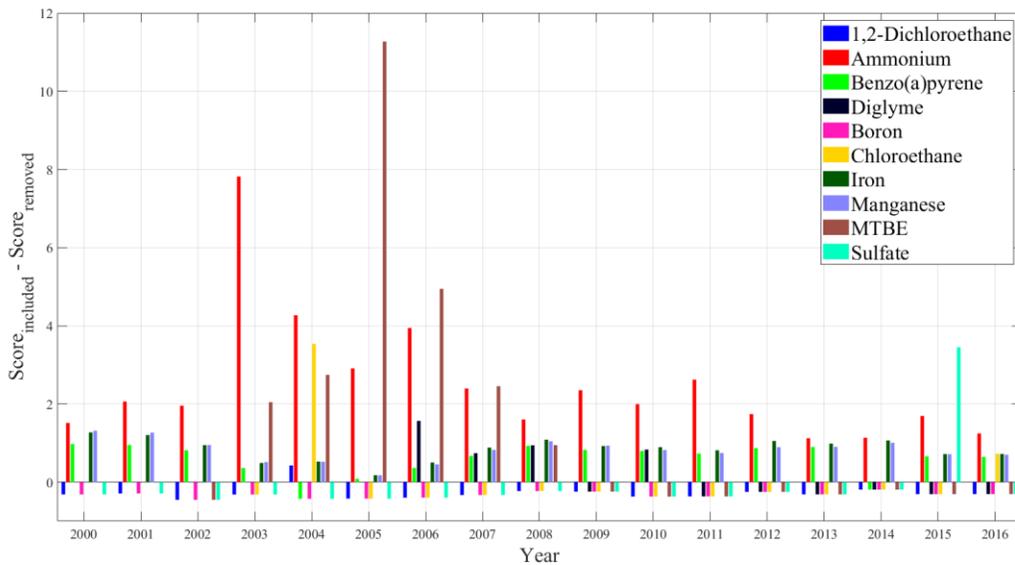
283 **Figure 2.** Factors contributing to the PEI score of the Rhine (top) and Meuse (bottom). The error
 284 bars indicate 95% confidence intervals (determined by nonparametric bootstrapping).

285 Figure 3 shows the effects of the ten parameters with the largest influence on the index
286 scores. Some parameters, such as iron, manganese, nitrite, aluminium, and benzo(a)pyrene are
287 prominent in both rivers, while others, such as chloroethane, diglyme, and sulfate, are much
288 more temporarily prominent in either the Rhine or the Meuse.

289 An increase in the concentration of diglyme compared to earlier time points was first
290 noticed in the Rhine in 2005, with levels about ten times higher than before. Diglyme is widely
291 used in industry (including paintings, coatings, cosmetics, polymer industry). Although it poses
292 little ecotoxicological threat, it is a specific threat to the compliance of drinking water. The
293 source of the pollution was a factory located near Wiesbaden (Germany) and after the waste
294 water treatment process at the source was improved, concentrations decreased at the end of 2006.
295 MTBE (methyl *tert*-butyl ether) is a gasoline additive (anti-knocking agent), which can give
296 drinking water an unpleasant taste. It is a common contaminant in surface water, but
297 concentrations of MTBE in the Meuse were exceptionally high in 2005 and 2006 due to a
298 leaking pipeline at Geleen.



299



300

301

Figure 3. Effect of individual parameters on the PEI score of the Rhine (top) and the Meuse (bottom)

302

303

304

4.2 Purification effort index for Rhine and Meuse at individual sites

305

306

307

308

309

310

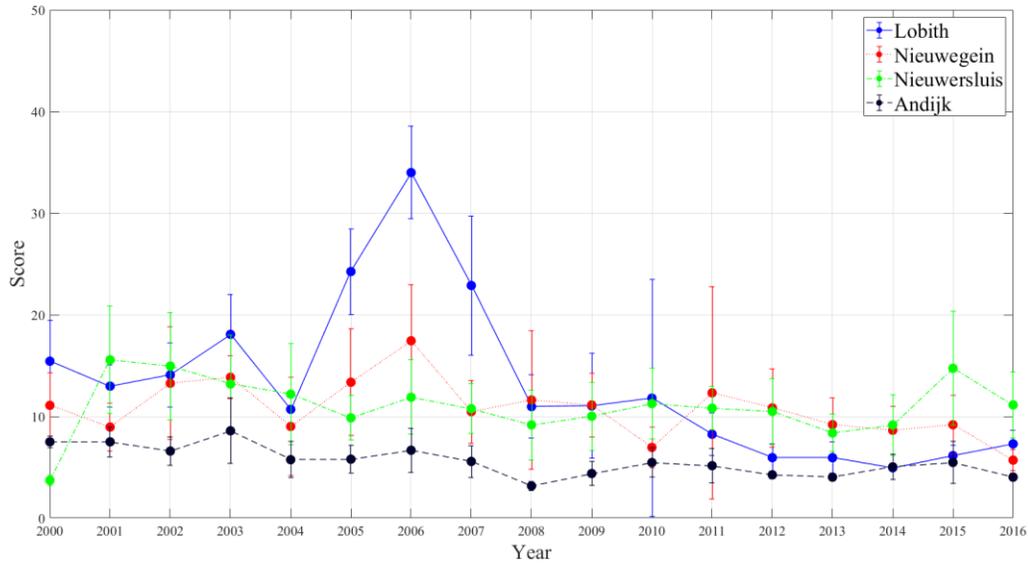
311

So far, we have calculated the PEI based on all measurements along the river. That provides a useful overview, but water quality varies from place to place; a source of pollution can be introduced at any point and diffusion and breakdown reduce concentrations of contaminants downstream. It is therefore also insightful to investigate individual sites separately. The results are shown in Figure 4, which includes all water intake locations along these rivers and the border measurement stations at Lobith and Eijsden. The required purification levels generally decrease downstream; the lowest PEI is found at Andijk, which is located at the edge

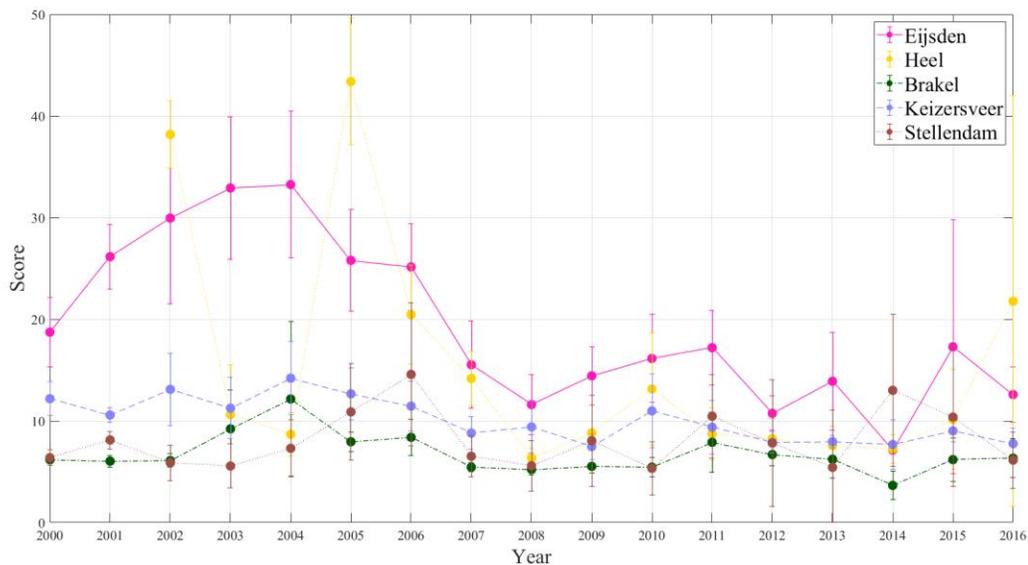
312 of the IJsselmeer. Water from the Rhine flows via the IJssel river into the IJsselmeer where it can
 313 take months to reach Andijk. During that time, many contaminants are dispersed or degraded.

314

315 Further investigation of parameter contributions for each site (Supporting Information) shows
 316 that the effect of diglyme in the Rhine on the PEI is most prominent at Lobith, where the Rhine
 317 enters the Netherlands; at sites further downstream its effect is limited. Similarly, the effect of
 318 MTBE in the Meuse on the index score is most prominent in Heel, which is the first water intake
 319 location downstream from the leaking pipeline in Geleen.



320



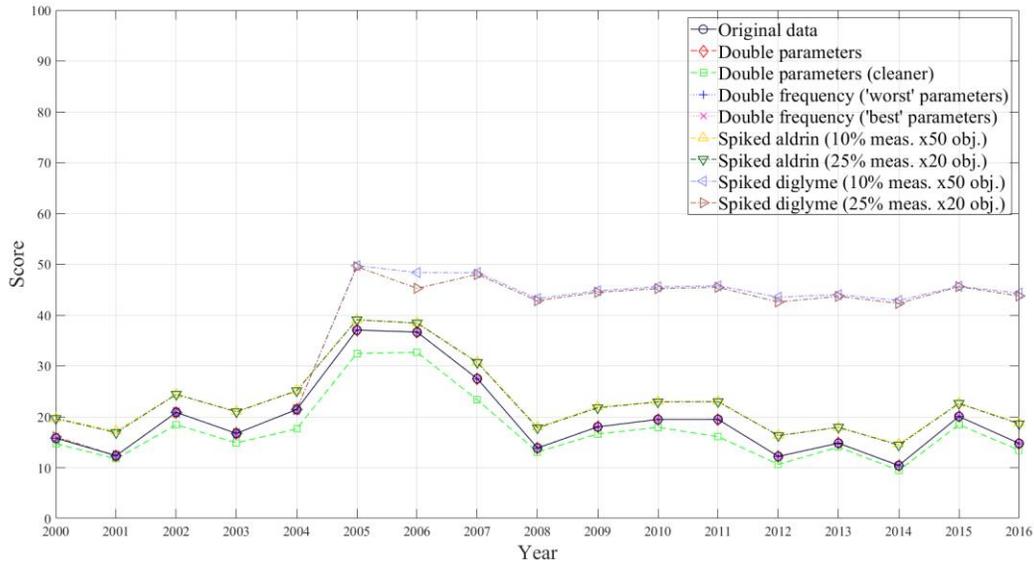
321

322 **Figure 4.** PEI score for water from several sites along the Rhine (top) and Meuse (bottom). The
 323 error bars indicate 95% confidence intervals (determined by nonparametric bootstrapping).

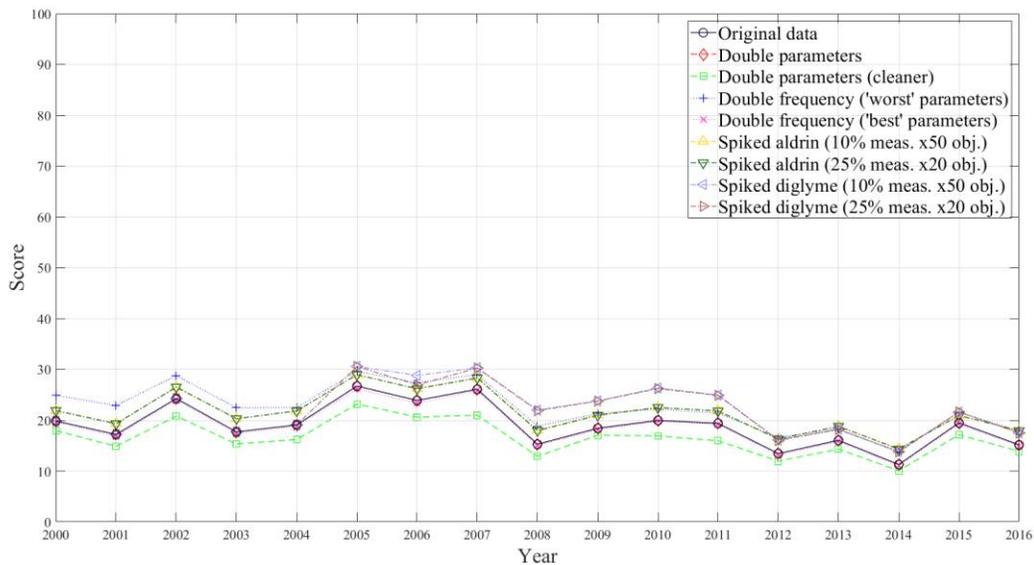
324

325 4.3 Sensitivity analysis and comparison with the original CCME WQI

326 The PEI is compared to the original CCME WQI on both historical and simulated data.
 327 Figure 5 shows the index scores for several simulated data sets, which contain either additional
 328 parameters, increased measurement frequency of some parameters, or spikes to individual
 329 measurement values.
 330



331



332 **Figure 5.** Effets of simulated changes to the data on the PEI score (top) and CCME WQI score
 333 (bottom). For easy comparison the CCME WQI is inverted by omitting the '100 -' term in
 334 equation 6 (similar to the PEI). A lower score now indicates a better water quality for both
 335 WQIs. Diglyme was first measured in 2005, so it is only included in the index from that year
 336 onwards.
 337
 338

339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359

Additional parameters affect the CCME WQI and the PEI similarly. When they are identically distributed to the original variables they do not affect the index, because both indices are normalized to the number of parameters. However, when the additional parameters exceed their objective values less often than the average parameters that were already in the index, the scores are lower because the water quality has increased and less purification is required. Changing the measurement frequency of some parameters affects the CCME WQI, but it does not affect the PEI because its factors are normalized per parameter. In this respect the PEI is preferable over the CCME WQI, because the number of measurements of a parameter should not affect the index score if the measurement values come from the same distribution (which is true for this simulation).

Spiking some measurements shows the advantage of using parameter weights. In the PEI spiking a high-weight parameter (diglyme) has a larger impact on the score than spiking a low-weight parameter (aldrin). This is desirable because high-weight parameters are more problematic in the purification process. The CCME WQI does not use parameter weights and therefore the effect of spiking is the same for all parameters (the small difference between spiking diglyme and aldrin is caused by a confounding difference in measurement frequency, which the original CCME WQI does not correct for either).

360 **5 Discussion**

361 We have developed a water quality index that can be used to characterize the required
362 purification level for source water for drinking water production. This PEI was calculated for a
363 large database of concentration measurements of Dutch surface water in the Rhine and Meuse.
364 No evidence was found that the required purification level has decreased since 2000, when the
365 Water Framework Directive was implemented. Index scores indicate a peak in required
366 purification levels around 2005 and 2006 due to incidents, but no general trend. Investigating
367 water intake locations separately reveals slight downward trends at Eijsden, Andijk and
368 Keizersveer. The differences between locations are lost when measurements from multiple
369 locations are combined to a single index for the whole river, but that is the essence of a water
370 quality index: to exchange detail for simplicity. Depending on the goal it is possible to choose an
371 appropriate level of detail.

372 The PEI is based on the widely used CCME WQI, but modifications have made it more
373 robust to changes in measurement frequency and made it sensitive to the behaviour of
374 contaminants in the treatment process. A comparison on simulated data has shown that the PEI is
375 preferable over the original CCME WQI for the purpose of assessing the required purification
376 level, because compounds that are more difficult to remove have a bigger influence on the index.
377 Furthermore, the PEI is invariant to changes in measurement frequency, similar to the adaptation
378 by Hurley et al. (2012), and the number of parameters measured, as long as they are identically
379 distributed (i.e. the additional parameters are not better or worse than the existing ones).

380 Parameter selection is an important part of any water quality index. We have used
381 available historical measurements, but the set of parameters that was measured varies
382 substantially over time and between locations. We have considered 49 parameters (Table A.1),

383 but only 6 of these were always available. That is too few to get an accurate estimate of the
384 required purification levels. Instead, at every interval we have used all available parameters (out
385 of the 49). That raises comparability issues because the index is not always based on the same
386 parameters, but at every interval the index provides the most accurate approximation of the
387 physical property of interest, i.e. the required purification level (because all available information
388 is taken into account).

389 The PEI summarizes chemical measurements of water quality into a score that reflects
390 the required purification level. It is not a linear model and the score is not simply the sum of the
391 contribution of all parameters. The effect of a parameter on the index depends on the
392 measurements of the other parameters in the same year. For example, the levels of
393 benzo(a)pyrene in the Rhine are relatively constant, but around 2006 it had a smaller effect on
394 the score than usual, because it was masked by the presence of high levels of diglyme (bis-(2-
395 methoxyethyl)-ether). Although this invalidates direct comparison of the effects of a single
396 parameter over time, the index thereby takes into account the relative decrease in urgency of the
397 presence of benzo(a)pyrene together with such high levels of diglyme, which already require
398 sophisticated purification methods.

399 The choice of parameter weights has a big effect on the index. Hurley et al. (2012) argue
400 that individual parameter importance is already taken into account by its objective value, but that
401 is not true when evaluating the quality of source water for drinking water production. In this case
402 the objective value represents an acceptable concentration in drinking water, while the weight
403 represents how problematic it is in the purification process. These are two separate factors that
404 must both be taken into account.

405 The removal efficiency of a contaminant depends on the purification method that is used.
406 We have used Gibbs free energy as weights, assuming enzymatic breakdown or advanced
407 oxidation steps, but it would be interesting to investigate other weights and to model a complex
408 scenario of multiple purification steps. Other physical properties could be used as well to reflect
409 compound behaviour in different purification processes. For example, considering that highly
410 volatile substances are easily removed through aeration, Henry's laws volatility constants could
411 be used. Similarly, substances with a high log KOW tend to adhere to suspended matter and are
412 removed without much difficulty through commonly applied filtration steps.

413 Water monitoring programmes and drinking water requirements can vary between
414 countries. We have studied source water in the Netherlands, but the same methodology can also
415 be applied in other countries, using a different set of parameters and objective values. This
416 makes the index well suited for evaluating water quality in a broader European context.
417

418 **6 Conclusions**

419 We have provided a water quality index to assess the level of purification treatment
420 required to produce drinking water from surface water. It aggregates a large number of
421 measurements into an easily interpretable index. Unlike existing water quality indices, it
422 compares measured contaminant concentrations to drinking water guidelines while taking into
423 account the resilience of contaminants to the treatment process. Using process information
424 makes the index more sensitive to relevant changes in source water composition. Our index is
425 based on the CCME WQI, but an extensive comparison on simulated data shows that our PEI is
426 better suited for evaluating required purification levels.

427 We have calculated our novel index for a large database of contaminant concentrations in
428 Dutch surface water which was used as a source for drinking water production, but found no
429 general decrease in required purification levels since the introduction of the WFD.

430

431 **Acknowledgments, Samples, and Data**

432 The data used in this study is collected by drinking water companies and the Dutch
433 government (Rijkswaterstaat) and is stored in a database that is managed and maintained by
434 RIWA-Rijn. RIWA-Rijn publishes annual reports on water quality and has a policy of sharing all
435 water quality data upon request. Requests can be made through their website <https://www.riwa-rijn.org>.

437 This research received funding from the Netherlands Organisation for Scientific Research
438 (NWO) in the framework of the Programmatic Technology Area PTA-COAST3 of the Fund
439 New Chemical Innovations (grant number 052.21.114). This publication reflects only the
440 authors' views and NWO is not liable for any use that may be made of the information contained
441 herein.

442

443

444 **References**

445

- 446 Abbasi, T., & Abbasi, S. A. (2012). *Water quality indices*: Elsevier.
- 447 Al-Saboonchi, A., Mohamed, A.-R. M., Alobaidy, A. H. M. J., Abid, H. S., & Maulood, B. K. (2011). On the
448 current and restoration conditions of the southern Iraqi marshes: Application of the CCME WQI on East
449 Hammar marsh. *Journal of Environmental Protection*, 2(3), 316.
- 450 Boyacioglu, H. (2010). Utilization of the water quality index method as a classification tool. *Environmental*
451 *monitoring and assessment*, 167(1-4), 115-124.
- 452 Dean, J. A. (1999). *Lange's handbook of chemistry*: New York; London: McGraw-Hill, Inc.
- 453 Directive 2000/60/EC of the European Parliament and of the Council of 23 October 2000 establishing a framework
454 for Community action in the field of water policy, (2000).
- 455 Exner, M., & Färber, H. (2006). Perfluorinated surfactants in surface and drinking waters (9 pp). *Environmental*
456 *Science and Pollution Research*, 13(5), 299-307.
- 457 Finley, S. D., Broadbelt, L. J., & Hatzimanikatis, V. (2009). Thermodynamic analysis of biodegradation pathways.
458 *Biotechnology and bioengineering*, 103(3), 532-541.
- 459 Forsythe Jr, R. G., Karp, P. D., & Mavrovouniotis, M. L. (1997). Estimation of equilibrium constants using
460 automated group contribution methods. *Bioinformatics*, 13(5), 537-543.
- 461 Hering, D., Borja, A., Carstensen, J., Carvalho, L., Elliott, M., Feld, C. K., et al. (2010). The European Water
462 Framework Directive at the age of 10: a critical review of the achievements with recommendations for the
463 future. *Science of the total Environment*, 408(19), 4007-4019.
- 464 Holmes, D. A., Harrison, B. K., & Dolfing, J. (1993). Estimation of Gibbs free energies of formation for
465 polychlorinated biphenyls. *Environmental science & technology*, 27(4), 725-731.
- 466 Horton, R. K. (1965). An index number system for rating water quality. *Journal of Water Pollution Control*
467 *Federation*, 37(3), 300-306.
- 468 Hurley, T., Sadiq, R., & Mazumder, A. (2012). Adaptation and evaluation of the Canadian Council of Ministers of
469 the Environment Water Quality Index (CCME WQI) for use as an effective tool to characterize drinking
470 source water quality. *Water Research*, 46(11), 3544-3552.
- 471 Jankowski, M. D., Henry, C. S., Broadbelt, L. J., & Hatzimanikatis, V. (2008). Group contribution method for
472 thermodynamic analysis of complex metabolic networks. *Biophysical journal*, 95(3), 1487-1499.

- 473 Ji, Y., Yang, Z., Ji, X., Huang, W., Feng, X., Liu, C., et al. (2009). Thermodynamic study on the reactivity of trace
474 organic contaminant with the hydroxyl radicals in waters by advanced oxidation processes. *Fluid Phase*
475 *Equilibria*, 277(1), 15-19.
- 476 Joback, K. G., & Reid, R. C. (1987). Estimation of pure-component properties from group-contributions. *Chemical*
477 *Engineering Communications*, 57(1-6), 233-243.
- 478 Jolkkonen, M. (2000). Retrieved from http://www.update.uu.se/~jolkkonen/pdf/CRC_TD.pdf
- 479 Khan, A. A., Paterson, R., & Khan, H. (2004). Modification and application of the Canadian Council of Ministers of
480 the Environment Water Quality Index (CCME WQI) for the communication of drinking water quality data
481 in Newfoundland and Labrador. *Water Quality Research Journal*, 39(3), 285-293.
- 482 Kotz, J. C., Treichel, P. M., & Townsend, J. (2012). *Chemistry and chemical reactivity*: Cengage Learning.
- 483 Lumb, A., Sharma, T., & Bibeault, J.-F. (2011). A review of genesis and evolution of water quality index (WQI) and
484 some future directions. *Water Quality, Exposure and Health*, 3(1), 11-24.
- 485 Pleijsier, L. (2001). *Grondwater levert bijna 60% van leidingwater*. Retrieved from [https://www.cbs.nl/nl-nl-nieuws/2001/17/grondwater-levert-bijna-60-van-leidingwater](https://www.cbs.nl/nl-nl/nieuws/2001/17/grondwater-levert-bijna-60-van-leidingwater)
- 486
- 487 Rickwood, C. G. M. C. (2007). Water Quality Index Development and Sensitivity Analysis Report. *United Nations*
488 *Environment Program Global Environment Monitoring System/Water Program*.
- 489 RIWA. riwa.org. Retrieved from <https://riwa.org/>
- 490 Saffran, K., Cash, K., & Hallard, K. (2001). Canadian water quality guidelines for the protection of aquatic life,
491 CCME water quality Index 1, 0, Users manual. *Excerpt from Publication*(1299).
- 492 Sutadian, A. D., Muttill, N., Yilmaz, A. G., & Perera, B. (2016). Development of river water quality indices—a
493 review. *Environmental monitoring and assessment*, 188(1), 58.
- 494 Zhang, S., Yu, G., Chen, J., Zhao, Q., Zhang, X., Wang, B., et al. (2017). Elucidating ozonation mechanisms of
495 organic micropollutants based on DFT calculations: Taking sulfamethoxazole as a case. *Environmental*
496 *pollution*, 220, 971-980.

497

498

499 **Appendix A**

500 This appendix contains a complete list of all contaminants we used as parameters for calculating
 501 the PEI (Table A1). The monitoring programme changed significantly over time and was not the
 502 same for all sites. Therefore, an overview of the number parameters and frequency per location is
 503 provided in Table A2.

504

505 Table A1. *List of parameters and their drinking water guideline and Gibbs free energy*

506

Contaminant	Drinking water guideline (µg/l)	Gibbs free energy (kJ/mol)	Contaminant	Drinking water guideline (µg/l)	Gibbs free energy (kJ/mol)
1,2-Dichloroethane	3	-72.969 ^a	Chromium	50	0
PCB 138	0,1	158.0 ^b	Chrysene	0,1	513.78 ^c
PCB 153	0,1	151.8 ^b	Heptachlor epoxide	0,03	-233.33 ^c
PCB 101	0,1	167.5 ^b	Dieldrin	0,03	-87.04 ^c
PCB 52	0,1	186.4 ^b	ETBE	1	-102.52 ^c
PCB 118	0,1	173.6 ^b	Phenanthrene	0,1	213.756 ^a
PCB 180	0,1	137.1 ^b	Fluoranthene	0,1	491.18 ^c
PCB 28	0,1	210.6 ^b	Fluoride	1000	62.3 ^e
Aldrin	0,03	-48.2 ^c	Iron	200	0
Aluminium	200	0	Copper	2000	0
Ammonium	200	-26.57 ^d	Mercury	1	0
Antimony	5	0	Lead	10	0
Anthracene	0,1	213.756 ^a	Manganese	50	0
Arsenic	10	0	MTBE	1	-125.348 ^a
Benzene	1	124.516 ^a	Sodium	150000	0
Benzo(a)anthracene	0,1	513.78 ^c	Nickel	20	0
Benzo(a)pyrene	0,01	621.88 ^c	Nitrate	50000	-111.25 ^f
Benzo(b)fluoranthene	0,1	621.88 ^c	Nitrite	100	51.3 ^e
Benzo(ghi)perylene	0,1	729.98 ^c	NDMA	0,012	-121.71 ^c
Benzo(k)fluoranthene	0,1	621.88 ^c	Pyrene	0,1	327.4 ^g
Diglyme	1	-315.36 ^c	Selene	10	0
Boron	500	0	Sulfate	150000	-744.53 ^f
Bromate	1	-675.04 ^c	Cyanide	50	124.7 ^d
Cadmium	5	0	Zinc	3000	0
Chloroethane	0,1	-57.350 ^a			

507

508 ^a(Finley et al., 2009), ^b(Holmes et al., 1993), ^cCalculated with Joback method (Joback & Reid,
 509 1987), ^d(Dean, 1999), ^e(Jolkkonen, 2000), ^f(Kotz et al., 2012).

510

511

512 Table A2. *Overview of monitoring programme in 2000 and 2016*

513

Location	Number of Parameters ^a		Lowest number of measurements per parameter		Highest number of measurements per parameter		Total number of measurements		Percentage of measurements exceeding objective	
	2000	2016	2000	2016	2000	2016	2000	2016	2000	2016
Andijk	42	47	6	4	66	17	728	592	9	3
Brakel	42	46	9	7	52	23	750	577	14	3
Eijsden	34	44	11	13	52	52	887	1247	12	9
Heel ^b	8	48	14	4	29	151	131	1373	38	6
Keizersveer	43	48	9	12	51	52	1535	854	10	6
Lobith	34	44	7	13	26	26	540	791	7	8
Nieuwegein	40	48	5	5	42	26	396	641	16	5
Nieuwersluis	18	46	7	12	13	13	176	592	4	8
Stellendam	30	48	4	6	52	50	521	730	7	1

514

515 ^aOut of the 49 that we consider for our index. ^bMeasurements in Heel started in 2002, so this was
516 taken as the first reference year instead.

517

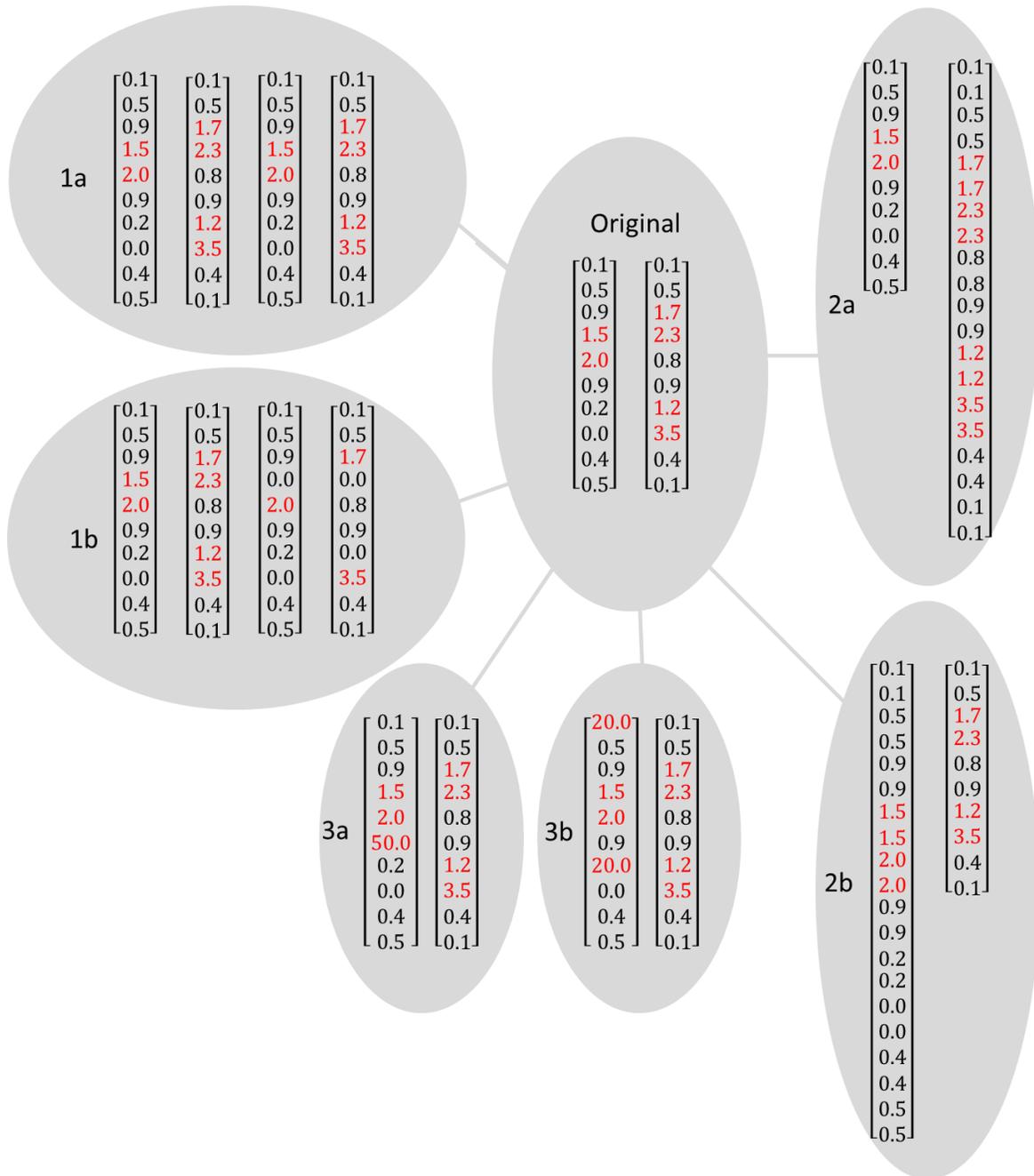
518

519

520 **Appendix B**

521 This appendix contains a toy numerical example of the procedure used to generate simulated data
 522 sets (Figure B1). In this example there are two parameters, the objective value is 1 and
 523 measurements exceeding the objective are indicated in red. The examples in Figure B1
 524 correspond with the scenarios described in section 3.2.1 (1a, 1b), 3.2.2 (2a, 2b) and 3.2.3 (3a,
 525 3b)

526
 527



528
 529

Figure B1. Numerical example of the procedure to generate simulated data sets.

530

531