

1 **New features and enhancements in Community Land Model (CLM5) snow albedo modeling:**
2 **description, sensitivity, and evaluation**

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13 **Key points**

- 14 • We enhance CLM5 snow albedo modeling by including more realistic and physical
15 representations of snow-aerosol-radiation interactions
- 16 • The new adding-doubling solver, nonspherical snow grains, and aerosol-snow internal mixing
17 show stronger impacts than other new features
- 18 • The enhanced snow albedo representation improves the CLM simulated global snowpack
19 evolution and land surface conditions

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21 **Abstract**

22 We enhance the Community Land Model (CLM) snow albedo modeling by implementing
23 several new features with more realistic and physical representations of snow-aerosol-radiation
24 interactions. Specifically, we incorporate the following model enhancements: (1) updating ice and
25 aerosol optical properties with more realistic and accurate datasets, (2) adding multiple dust types,
26 (3) adding multiple surface downward solar spectra to account for different atmospheric conditions,
27 (4) incorporating a more accurate adding-doubling radiative transfer solver, (5) adding
28 nonspherical snow grain representation, (6) adding black carbon-snow and dust-snow internal
29 mixing representations, and (7) adding a hyperspectral (480-band versus the default 5-band)
30 modeling capability. These model features/enhancements are included as new CLM
31 physics/namelist options, which allows for quantification of model sensitivity to snow albedo

32 processes and for multi-physics model ensemble analyses for uncertainty assessment. The model
33 updates will be included in the next CLM version release. Sensitivity analyses reveal stronger
34 impacts of using the new adding-doubling solver, nonspherical snow grains, and aerosol-snow
35 internal mixing than the other new features/enhancements. These enhanced snow albedo
36 representations improve the CLM simulated global snowpack evolution and land surface
37 conditions, with reduced biases in simulated snow surface albedo, snow cover, snow water
38 equivalent, snow depth, and surface temperature, particularly over northern mid-latitude
39 mountainous regions and polar regions.

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41 **Plain Language Summary**

42 Snow albedo plays a critical role in the Earth system, affecting land surface energy and
43 water balance and related hydrological processes and also serving as an important land process
44 that feeds back to the atmosphere. Several recent studies have identified new or improved physical
45 representations of snow-aerosol-radiation interactions that show promise to improve snow albedo
46 modeling. In this study, we leverage those recent advances in snow albedo modeling to implement
47 a number of relevant new features into the widely-used Community Land Model (CLM), which is
48 the land component of the Community Earth System Model (CESM). Specifically, we improve the
49 ice and aerosol optical properties, the treatment of dust types and downward solar spectra, the
50 albedo computation algorithm, the representation of snow grain shape and aerosol-snow mixing
51 state, and the spectral calculation capability. These model updates will be included in the next
52 CLM version release. Overall, the enhanced snow albedo representations improve the simulated
53 global snowpack evolution and related land surface conditions.

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56 **1. Introduction**

57 Snow albedo plays a key role in altering surface energy and water balance in the Earth
58 system. It affects not only the evolution of snowpack states (e.g., snow depth, snow water
59 equivalent (SWE), and snow cover) and hydrology (e.g., runoff/streamflow, reservoir storage, and
60 flooding/drought) but also the atmosphere (e.g., surface temperature, humidity, local/regional
61 boundary layer height, and clouds) through positive snow albedo feedback and land-atmosphere
62 interactions (Bales et al., 2006; Painter et al., 2010; Flanner et al., 2011; Qian et al., 2015; Lee et

63 al., 2017; Skiles et al., 2018; Gleason et al., 2019; Yi et al., 2019; Dumont et al., 2020; Gul et al.,
64 2021; Huang et al., 2022). Snow albedo represents an important source of uncertainty in regional
65 and global weather, climate, and hydrological modeling (Essery et al. 2009; Chen et al., 2014;
66 Oaida et al., 2015; Thackeray and Fletcher, 2016; Räisänen et al., 2017; He et al., 2019a, 2021).
67 Snow albedo is affected by many factors, including snow grain size and shape, snow depth, snow
68 density, snow microstructure, light-absorbing particles (LAPs) present in the snowpack, the solar
69 zenith angle, and the downward solar spectrum (Wiscombe and Warren, 1980; Kokhanovsky and
70 Zege, 2004; Flanner et al., 2007, 2021; He et al., 2014, 2017a; Liou et al. 2014; Dang et al., 2015;
71 Gelman Constantin et al., 2020; He and Flanner, 2020; Picard et al., 2020; Dumont et al., 2021).
72 Accurate simulation of snow albedo requires realistic characterization and physical representation
73 of those key factors in land, weather, and climate models.

74 In the past decades, many empirical or semi-physical parameterizations have been
75 developed to statistically link snow albedo with snowpack properties and environment conditions
76 for application in weather and climate models (Verseghy, 1991; Yang et al., 1997; Roeckner et al.,
77 2003; Gardner and Sharp, 2010; Vionnet et al., 2012; Abolafia-Rosenzweig et al., 2022), which
78 however have their own limitations and uncertainties (He and Flanner, 2020). To achieve higher
79 accuracy of snow albedo, several physics-based snowpack radiative transfer models have been
80 developed, such as those based on the two-stream radiative transfer (Flanner et al., 2007; Libois et
81 al., 2013; Tuzet et al. 2017), the Discrete-Ordinate-Method Radiative Transfer (DISORT)
82 (Stamnes et al., 1988), the adding-doubling radiative transfer (Briegleb and Light, 2007; Dang et
83 al., 2019), the Approximate Asymptotic Radiative Transfer (AART) Theory (Kokhanovsky and
84 Zege, 2004; Libois et al., 2013), and the Monte Carlo Photon Tracing method (Kaempfer et al.,
85 2007). Among them, the Snow, Ice, and Aerosol Radiative (SNICAR) model (Flanner et al, 2007,
86 2021) stands as one of the most widely used snowpack radiative transfer models, which has been
87 implemented in several land and climate models including the Community Earth System Model
88 (CESM)/Community Land Model (CLM; Lawrence et al., 2019) and the DOE's Energy Exascale
89 Earth System Model (E3SM) Land Model (ELM; Golaz et al., 2019).

90 In previous snow radiative transfer models, it was a common practice to treat snow grains
91 as spheres, externally mixed with LAPs such as black carbon (BC) and dust (Warren and
92 Wiscombe, 1980; Flanner et al., 2007; Dang et al., 2015; Tuzet et al. 2017). However, in reality,
93 snow grains are predominantly nonspherical, particularly for fresh snow (Erbe et al., 2003; Dominé

94 et al., 2003). Additionally, BC and dust can be mixed within snow grains (i.e., internal mixing)
95 rather than the common assumption that BC and dust only exist outside snow grains (i.e., external
96 mixing) (Flanner et al., 2012; He et al., 2019b). To accurately compute snow albedo with more
97 realistic representations of snow grain shape and its interaction with LAPs, physics-based
98 parameterizations have been developed that account for snow nonsphericity and snow-LAP
99 internal mixing for applications in weather and climate models (e.g., Dang et al., 2016; Räisänen
100 et al., 2017; He et al., 2017b, 2019b; Saito et al., 2019), revealing important impacts of these two
101 factors (He, 2022). In addition, the size, shape, and composition of LAPs play a nontrivial role in
102 snow-LAP-radiation interactions (Liou et al., 2014; He et al., 2018b, 2019b; Flanner et al., 2021;
103 Pu et al., 2021; Shi et al. 2022). Moreover, in addition to the traditionally modeled LAPs, such as
104 BC and dust, there is increasing attention on other types of LAPs including brown carbon (Yan et
105 al., 2019; Liu et al., 2020; Li et al., 2021), snow algae (Cook et al., 2017; Williamson et al., 2020),
106 and volcanic ash (Young et al., 2014; Flanner et al., 2014; Gelman Constantin et al., 2020).

107 The standalone version of SNICAR has been updated to include these more realistic and
108 physical treatments of snow-LAP-radiation interactions (updated version is SNICAR-ADv3;
109 Flanner et al., 2021), including updated ice and aerosol optics as well as downward solar spectra,
110 incorporation of multiple dust types and nonspherical snow grains, and the use of a more accurate
111 adding-doubling (AD) two-stream radiative transfer solver. The standalone SNICAR-ADv3 model
112 does not include BC/dust-snow internal mixing but uses a coated BC particle treatment instead,
113 which shows similar effects as with explicit BC-snow internal mixing (Flanner et al., 2021).
114 Leveraging the SNICAR-ADv3 updates and other aforementioned new LAP-snow
115 parameterizations, the E3SM/ELM model with SNICAR as its embedded snow albedo scheme has
116 been updated to include snow nonsphericity, BC/dust-snow internal mixing, and the adding-
117 doubling radiative transfer solver, which leads to improved simulations of snow surface energy
118 and water balances (Hao et al., 2023).

119 In view of the scientific and modeling advances, it is imperative to enhance the
120 CESM/CLM-SNICAR snow albedo modeling with more realistic and physical representations of
121 snow-LAP-radiation interactions, considering the broad use of CESM/CLM (Lawrence et al.,
122 2019). The default CLM uses the original SNICAR model developed 16 years ago (Flanner et al.,
123 2007), which assumes spherical snow grains externally mixed with LAPs via a less accurate two-
124 stream solver and outdated input databases for ice and aerosol optics and downward solar spectra.

125 These inadequate snow albedo treatments in CLM-SNICAR have been identified as a contributing
126 factor to model biases in simulating surface albedo and snowpack evolution (e.g., Chen et al., 2014;
127 Toure et al., 2018; Thackeray et al., 2019). Therefore, this study aims to improve the CLM-
128 SNICAR snow albedo scheme by incorporating more realistic and physically-based
129 representations of snow-LAP-radiation interactions.

130 This paper is organized as follows. Section 2 provides descriptions of model enhancements
131 and simulations as well as observational datasets used for model evaluation. Section 3 investigates
132 model sensitivities to each of the new features and enhancements implemented in this study.
133 Section 4 presents evaluations of the updated model for key snow and surface fields. Section 5
134 concludes the study.

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136 **2. Model and data**

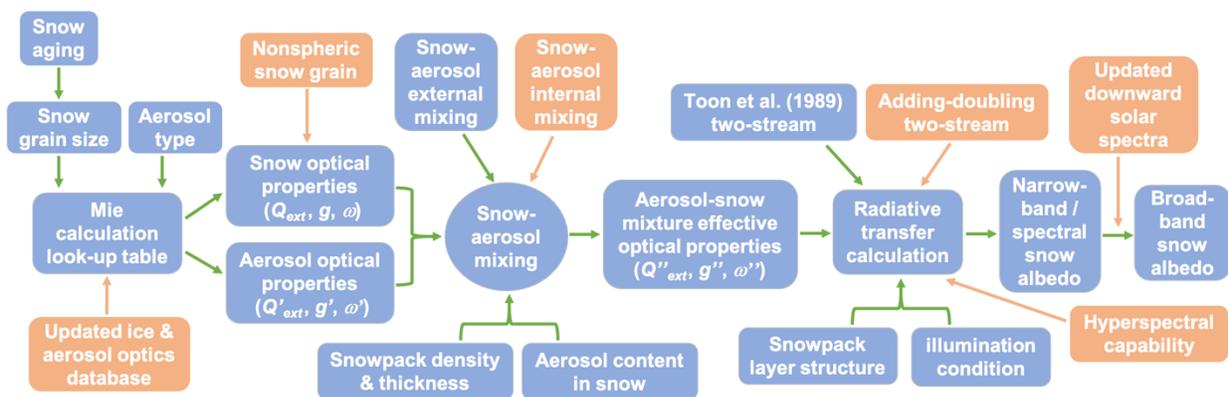
137 **2.1 CLM5 snow albedo scheme**

138 We use the CLM version 5.0 (CLM5) in this study, which is the land component of CESM2.
139 CLM5 represents a full suite of terrestrial biogeophysical and biogeochemical processes, including
140 carbon and nitrogen cycles, vegetation dynamics for ecosystems, and land surface and subsurface
141 energy and water processes. More details about CLM5 are provided in Lawrence et al. (2019).
142 Since this study specifically focuses on snow albedo, we briefly summarize the key elements of
143 the CLM5 snow albedo scheme below.

144 CLM5 includes the SNICAR model (Flanner et al., 2007) to compute snow albedo for the
145 multi-layer (up to 12 layers) snowpack. It accounts for the effects of snow grain size (and hence
146 snow aging) and LAP contamination on snow albedo. The original version of SNICAR leverages
147 a multi-layer two-stream radiative transfer scheme based on Wiscombe and Warren (1980) and
148 Toon et al. (1989). The required input variables for SNICAR include direct/diffuse radiation,
149 surface downward solar spectrum, solar zenith angle (under direct radiation), ground albedo
150 underlying snowpack, vertical distributions of snow grain size, snow layer thickness, snow density,
151 and aerosol concentration, and optical properties of ice and aerosols. The ice and aerosol optical
152 properties (single-scattering albedo, mass extinction cross-section, and asymmetry factor) are
153 computed offline by Mie theory using particle refractive indices and size distributions, and are
154 archived as look-up tables. The CLM5-SNICAR assumes snow spheres externally mixed with
155 aerosols. The surface downward solar spectrum used in CLM5-SNICAR represents clear- or

156 cloudy-sky atmospheric conditions typical of mid-latitude winter. The CLM5-SNICAR computes
 157 snow albedo at 5 spectral bands (300-700 nm, 700-1000 nm, 1000-1200 nm, 1200-1500 nm, and
 158 1500-5000 nm), which are then averaged to values at two broadbands (visible: 300-700 nm; near-
 159 infrared (NIR): 700-5000 nm) weighted by the downward solar spectrum. More detailed
 160 descriptions of CLM-SNICAR can be found in Flanner et al. (2007). Figure 1 summarizes the
 161 general workflow for the key elements in CLM5-SNICAR snow albedo calculations.

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164 **Figure 1.** Workflow for key elements in CLM5-SNICAR snow albedo modeling. Blue boxes
 165 indicate the default model processes/capabilities. Orange boxes indicate the new model
 166 capabilities/enhancements implemented in this study. Q_{ext} is the mass extinction cross section, g
 167 is the asymmetry factor, and ω is the single-scattering albedo.

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169 2.2 New features and enhancements in CLM5 snow albedo scheme

170 The standalone version of SNICAR has been recently updated to SNICAR-ADv3 by
 171 Flanner et al. (2021) with several new features as mentioned in Section 1. In addition, new
 172 parameterizations that account for BC-snow and dust-snow internal mixing have been recently
 173 developed. Thus, we combine all these recent updates that more physically and realistically
 174 represent snowpack characteristics in snow albedo computation, and implement them into CLM5-
 175 SNICAR (Table 1 and Figure 1). Particularly, we include these new features/enhancements as
 176 additional CLM5-SNICAR physics/namelist options, which offers an effective way to quantify
 177 model sensitivity to snow albedo processes and allows for relevant multi-physics model ensemble
 178 analyses for uncertainty evaluation.

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180 **Table 1.** List of new features and enhancements in CLM-SNICAR snow albedo scheme
 181 implemented in this study

Features/enhancements	New schemes & namelist options (* for new baseline)	Original scheme
Ice optical properties: updates from Flanner et al. (2021), with multiple options for ice refractive indices	snicar_snw_optics = 1 (Warren, 1984) 2 (Warren and Brandt, 2008) 3* (Picard et al., 2016)	Warren (1984)
BC and OC optical properties: updates from Flanner et al. (2021)	Flanner et al. (2021)	Flanner et al. (2007)
Dust optical properties: updates from Flanner et al. (2021) with multiple dust types	snicar_dust_optics = 1* (Saharan dust) 2 (Colorado dust) 3 (Greenland dust)	Saharan dust (Flanner et al., 2007)
Downward solar spectra: updates from Flanner et al. (2021) for multiple atmospheric conditions	snicar_solarspec = 1* (mid-latitude winter) 2 (mid-latitude summer) 3 (sub-Arctic winter) 4 (sub-Arctic summer) 5 (Summit, Greenland) 6 (high mountain)	mid-latitude winter (Flanner et al., 2007)
Radiative transfer solver: new adding-doubling solver from Dang et al. (2019)	snicar_rt_solver = 1 (Toon et al. 1989) 2* (Adding-Doubling)	Toon et al. (1989)
Snow grain shape: nonspherical snow grains from He et al. (2017b)	snicar_snw_shape = 1 (sphere) 2 (spheroid) 3* (hexagonal) 4 (snowflake)	sphere
BC-snow mixing: internal mixing from He et al. (2017b)	snicar_snobc_intmix = true (internal mixing) false* (external mixing)	external mixing
Dust-snow mixing: internal mixing from He et al. (2019b)	snicar_snodst_intmix = true (internal mixing) false* (external mixing)	external mixing
Wavelength band: new hyperspectral (480-band, 10-nm spectral resolution) capability from Flanner et al. (2021)	snicar_numrad_snw = 5* (5-band) 480 (480-band)	5-band
New namelist controls for aerosol & OC	snicar_use_aerosol = true*, false DO_SNO_OC = true, false*	No namelist controls on using aerosol and OC (hard-coded)

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2.2.1 Updated ice optical properties

The original CLM5-SNICAR uses the Warren (1984) compilation of ice refractive indices (RI) across the solar spectrum. Later, Warren and Brandt (2008) further updated the ice refractive indices data with much weaker absorption at wavelengths below 600 nm. However, more recent measurements by Picard et al. (2016) showed a larger ice absorption (i.e., the imaginary part of refractive indices) at 320-600 nm wavelengths than the Warren and Brandt (2008) data but smaller than the Warren (1984) data. This is consistent with the systematic snow albedo overestimate at wavelengths below 500 nm in SNICAR simulations using the Warren and Brandt (2008) data (He et al., 2018c). Thus, Flanner et al. (2021) updated the imaginary part of ice refractive indices by replacing the Warren and Brandt (2008) data with the Picard et al. (2016) data at wavelengths shorter than 600 nm. Flanner et al. (2021) also compiled another dataset for the imaginary part of ice refractive indices by merging the Warren (1984) and Perovich and Govoni (1991) datasets. These three datasets use the same Warren and Brandt (2008) compilation of the real part of refractive indices, and only differ in the imaginary part at wavelengths less than 600 nm, which is extremely challenging to measure accurately. Including all these three datasets in CLM5-SNICAR (i.e., ice optics namelist option “snicar_snw_optics” in Table 1) will allow uncertainty quantification. Following Flanner et al. (2021), we use the merged Picard et al. (2016) dataset as the new baseline model option in the updated CLM5-SNICAR.

Using the ice refractive indices, ice optical properties (i.e., single-scattering albedo, mass extinction cross-section, and asymmetry factor) are then computed by Mie theory based on various ice grain effective radii ranging from 30 to 1500 μm with lognormal size distributions (Flanner et al., 2021), and are archived as an input look-up table. The look-up table of ice optical properties created by Flanner et al. (2021) is for 480-band at 10-nm spectral (i.e., hyperspectral) resolution across the solar spectrum (200-5000 nm). To work with the 5 spectral bands in CLM5-SNICAR, we further use the spectral weighted averaging technique to convert the hyperspectral ice optical properties to the 5-band values following Flanner et al. (2007). For the new hyperspectral computation option added to CLM5-SNICAR (see Section 2.2.9), we directly use the 480-band ice optics dataset produced by Flanner et al. (2021).

2.2.2 Updated aerosol optical properties

213 The original CLM5-SNICAR accounts for three types of LAPs, including BC, OC (i.e.,
214 brown carbon), and dust (Saharan type), using the aerosol optics dataset developed by Flanner et
215 al. (2007). Flanner et al. (2021) updated the optical properties for all three aerosol types using
216 updated particle density, size distribution, and refractive indices via Mie theory calculations.
217 Overall, the updated aerosol optical properties lead to a stronger light absorption for OC and
218 Saharan dust but a weaker light absorption for BC. We implement the Flanner et al. (2021) dataset
219 into CLM5-SNICAR and conduct the spectral weighted averaging to convert the hyperspectral
220 (480-band) aerosol optical properties to the 5-band values following Flanner et al. (2007). For the
221 new hyperspectral computation option (see Section 2.2.9), we directly use the 480-band aerosol
222 optics dataset (Flanner et al., 2021). Given the substantial uncertainty in OC modeling due to a
223 lack of observational constraints (Liu et al., 2020), we turn off the OC effect on snow albedo
224 (namelist option “DO_SNO_OC” in Table 1) in our proposed new baseline model configuration,
225 but we activate it in sensitivity simulations to test its impact (Section 3).

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227 **2.2.3 Updated dust types**

228 The original CLM5-SNICAR only accounts for one dust type (i.e., Saharan dust; Flanner
229 et al., 2007), while previous studies showed substantial differences in dust optical properties due
230 to different particle size and composition for dust that originates from different regions (Scanza et
231 al., 2015; Polashenski et al., 2015; Skiles et al., 2017). Thus, in addition to the Saharan dust
232 (Scanza et al., 2015), Flanner et al. (2021) included two more dust types, Colorado dust (Skiles et
233 al., 2017) and Greenland dust (Polashenski et al., 2015), which are added to the updated CLM5-
234 SNICAR in this study. Overall, Greenland dust shows the strongest light absorbing ability,
235 followed by Saharan dust, while Colorado dust has the weakest light absorbing capacity among
236 the three (Flanner et al., 2021). Including different dust types in CLM5-SNICAR (i.e., dust optics
237 namelist option “snicar_dust_optics” in Table 1) offers a way for uncertainty analysis. Following
238 Flanner et al. (2021), we use the Saharan dust as the new baseline model option in the updated
239 CLM5-SNICAR. We note that the updated model does not have the capability of simultaneously
240 using multiple dust types over different regions in one single simulation. Ideally, the CLM5-
241 SNICAR should be able to take the spatiotemporally varying aerosol optical properties (dust, BC,
242 and OC) directly from the coupled atmospheric model component for consistent simulations,
243 which will be improved in the future.

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245 **2.2.4 Updated surface downward solar spectra**

246 The original CLM5-SNICAR uses the surface downward solar spectrum for clear-sky or
247 cloudy-sky atmospheric conditions typical of mid-latitude winter (Flanner et al., 2007). In the
248 model, the downward solar spectrum is used uniformly across the simulation domain to compute
249 the spectrally-integrated broadband snow albedo from the spectral albedo derived from the
250 radiative transfer solver. However, atmospheric conditions significantly affect the downward solar
251 spectrum at the surface and therefore using only one downward solar spectrum may lead to
252 nontrivial errors in simulated broadband snow albedo. Thus, Flanner et al. (2021) developed 5
253 additional downward solar spectra to represent clear-sky and cloudy-sky atmospheric conditions
254 typical of mid-latitude summer, sub-Arctic winter, sub-Arctic summer, Summit Greenland, and
255 high mountain environments. We implement these new downward solar spectra (i.e., solar
256 spectrum namelist option “snicar_solarspec” in Table 1) into CLM5-SNICAR to offer more
257 accurate albedo calculations for applications in those specific regions. Following Flanner et al.
258 (2021), we use the mid-latitude winter spectrum as the new baseline model option in the updated
259 CLM5-SNICAR. We note that the updated model does not have the capability of simultaneously
260 using multiple solar spectra over different regions in one single simulation. Ideally, the CLM5-
261 SNICAR should be able to take the spatiotemporally varying downward solar spectrum directly
262 from the coupled atmospheric model component for consistent simulations. This is an important
263 opportunity for further future improvement.

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265 **2.2.5 Updated radiative transfer solver**

266 The original CLM5-SNICAR adopts the tri-diagonal matrix two-stream solver (Toon et al.,
267 1989), which shows larger snow albedo biases (i.e., overestimates) particularly under diffuse
268 conditions than an adding-doubling two-stream solution (Dang et al., 2019). Moreover, the adding-
269 doubling solution has a stronger computational stability under different solar zenith angles and a
270 higher computational efficiency than the tri-diagonal matrix solution. The adding-doubling solver
271 also allows accounting for internal Fresnel layers in snow-ice interface, providing the potential for
272 a unified snow-ice radiative transfer treatment. Because of these advantages, the adding-doubling
273 solution has been implemented in the standalone SNICAR-ADv3 (Flanner et al., 2021) and the
274 E3SM/ELM model (Hao et al., 2023). Following these recent studies, we implement the adding-

275 doubling solution into CLM5-SNICAR (i.e., radiative transfer namelist option “snicar_rt_solver”
276 in Table 1), and use it as the new baseline model option in the updated CLM5-SNICAR,
277 considering its higher computational accuracy, efficiency, and stability. Detailed descriptions of
278 the adding-doubling formulation can be found in Dang et al. (2019).

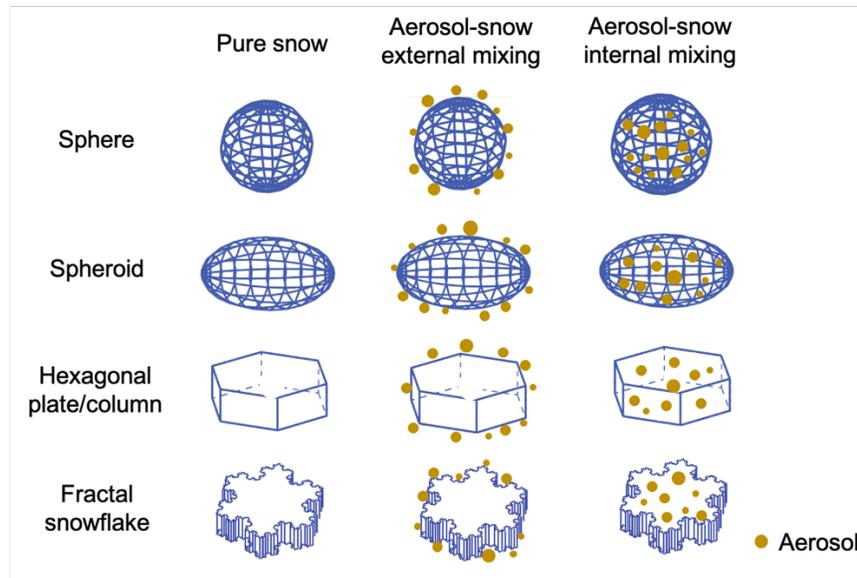
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280 **2.2.6 Representation of snow nonsphericity**

281 The original CLM5-SNICAR assumes spherical snow grains (Flanner et al., 2007), which
282 however may not be a realistic representation since nonspherical snow grains are ubiquitous in
283 reality (Erbe et al., 2003; Dominé et al., 2003). To quantify the impact of snow nonsphericity, He
284 et al. (2017b) developed a set of snow optical parameterizations based on sophisticated geometric-
285 optics ray-tracing calculations (Liou et al., 2014) for four typical snow grain shapes representative
286 of real-world observations, including sphere, spheroid, hexagonal plate/column, and fractal
287 snowflake (Figure 2). Snow grain shape mainly affects the snow asymmetry factor with very
288 limited impact on extinction cross section and single-scattering albedo (Dang et al., 2016; He and
289 Flanner, 2020). Thus, the He et al. (2017b) parameterizations make corrections to the asymmetry
290 factor of snow spheres to account for nonsphericity effects based on grain shape, aspect ratio,
291 effective radius, and wavelength. The parameterizations have been implemented into the
292 standalone SNICAR-ADv3 (Flanner et al., 2021) and the E3SM/ELM model (Hao et al., 2023),
293 which provide detailed descriptions of the associated formulation and implementation. Following
294 these recent studies, we implement the same parameterizations for the four grain shapes into
295 CLM5-SNICAR (i.e., snow shape namelist option “snicar_snw_shape” in Table 1). We set the
296 hexagonal shape (one of the most common shapes for ice crystal) as the new baseline model option
297 in the updated CLM5-SNICAR following Flanner et al. (2021).

298 We note that there are other parameterizations that account for nonspherical snow grains
299 in albedo calculations, which have been used in other land/climate models (e.g., Libois et al., 2013;
300 Räisänen et al., 2017; Saito et al., 2019). These studies all find that accounting for snow
301 nonsphericity provides a more realistic representation of snow characteristics in albedo
302 calculations. All of these models are limited by a lack of dynamic evolution of snow grain shapes,
303 which is another opportunity for future model development. We note that in this study, the snow
304 aging scheme that simulates the dynamic evolution of specific surface area (Flanner et al., 2007)

305 is the same as that in the default CLM-SNICAR. Thus, the snow nonsphericity effect analyzed
 306 here quantifies the impact of different grain shapes with equal specific surface area.
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 309 **Figure 2.** Demonstration of snow grains with four different shapes as well as aerosol-snow external
 310 and internal mixing states that are implemented in this study.

311
 312 **2.2.7 Representation of BC-snow internal mixing**

313 The original CLM5-SNICAR assumes BC-snow external mixing, whereas previous studies
 314 pointed out that BC can also be internally mixed with snow grains (Figure 2), through a number
 315 of BC-cloud-precipitation interaction processes, which strongly enhances BC-induced snow
 316 albedo reduction (Flanner et al., 2012; Liou et al., 2014; He et al., 2017b). He et al. (2017b)
 317 developed a parameterization to account for BC-snow internal mixing in snow albedo calculations,
 318 where the internal mixing mainly affects the single-scattering albedo of BC-snow mixtures with
 319 negligible impacts on snow asymmetry factor and extinction cross section. This parameterization
 320 was developed based on sophisticated geometric-optics ray-tracing calculations and computes the
 321 change of snow single-scattering albedo caused by BC-snow internal mixing as a function of BC
 322 particle effective radius and concentration in snow. This parameterization was implemented into
 323 an earlier version of SNICARv2 (He et al., 2018c), which describes the formulation and
 324 implementation in detail. Following this study, we implement the BC-snow internal mixing
 325 parameterization into CLM5-SNICAR (i.e., BC-snow mixing namelist option

326 “snicar_snobc_intmix” in Table 1). We note that there is a lack of observational constraints for
327 BC-snow mixing state (internal versus external) and there is also substantial uncertainty in
328 modeling the evolution of BC-snow mixing state, therefore we maintain the BC-snow external
329 mixing as the new baseline model option in the updated CLM5-SNICAR, but we activate the
330 internal mixing in sensitivity simulations to test its impact (Section 3).

331 There are other methods developed to account for the effect of BC-snow internal mixing,
332 such as the look-up table method developed based on a dynamic effective medium approximation
333 in Flanner et al. (2012), which has been adopted by E3SM/ELM-SNICAR (Hao et al., 2023). He
334 et al. (2018c) showed that the He et al. (2017b) parameterization of BC-snow internal mixing leads
335 to consistent snow albedo reductions with the results computed from the Flanner et al. (2012) look-
336 up tables. More observations of BC-snow mixing state are needed to constrain models to achieve
337 more accurate estimates of BC-induced snow albedo changes.

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339 **2.2.8 Representation of dust-snow internal mixing**

340 Similar to the BC-snow mixing treatment, the original CLM5-SNICAR assumes dust-snow
341 external mixing. However, previous studies found that dust can also be mixed internally with snow
342 grains (Figure 2) via dust-cloud-precipitation interactions, which enhances dust-induced snow
343 albedo reduction (He et al., 2019b; Shi et al., 2021). To quantify the impact of dust-snow internal
344 mixing, He et al. (2019b) developed a parameterization that nonlinearly connects internal mixing-
345 induced changes of snow single-scattering albedo to dust concentration in snow based on
346 sophisticated geometric-optics ray-tracing calculations. The dust-snow internal mixing has
347 negligible effects on the snow asymmetry factor and extinction cross section. The He et al. (2019b)
348 parameterization was implemented into E3SM/ELM-SNICAR (Hao et al., 2023). In the present
349 study, we implement the dust-snow internal mixing parameterization into CLM5-SNICAR (i.e.,
350 dust-snow mixing namelist option “snicar_snodst_intmix” in Table 1). Similar to BC-snow mixing,
351 there is also a lack of observational constraints for dust-snow mixing state and large model
352 uncertainty for the mixing state evolution. Thus, we maintain the dust-snow external mixing as the
353 new baseline model option in the updated CLM5-SNICAR, but we activate the internal mixing in
354 sensitivity simulations to test its impact (Section 3). We note that the He et al. (2019b)
355 parameterization of dust-snow internal mixing was developed without the presence of internally

356 mixed BC, so we suggest not activating BC-snow and dust-snow internal mixing simultaneously
357 in CLM5-SNICAR.

358 Recently, Shi et al. (2021) used another method (i.e., the effective medium approximation)
359 to account for dust-snow internal mixing in snow albedo modeling, which shows generally
360 consistent results with those derived from the He et al. (2019b) parameterization. In the future,
361 more observations of dust-snow mixing state are needed to better constrain modeled dust impacts
362 on snow albedo.

363

364 **2.2.9 New hyperspectral computation capability**

365 The original CLM5-SNICAR uses 5 spectral bands (300-700 nm, 700-1000 nm, 1000-1200
366 nm, 1200-1500 nm, and 1500-5000 nm) in radiative transfer calculations to increase computational
367 efficiency. Accordingly, the ice and aerosol optical properties and downward solar spectra in input
368 datasets are all spectrally averaged into the 5 bands. However, a recent study (Wang et al., 2022)
369 found that because of the nonlinearity of radiative transfer computation, using the 5 spectral bands
370 in SNICAR leads to a nontrivial snow albedo bias (up to 0.05) compared to hyperspectral (10-nm
371 spectral resolution) calculations. Thus, we implement a hyperspectral (10-nm spectral resolution
372 with 480 bands) computation capability into CLM5-SNICAR in this study, similar to that used by
373 the standalone SNICAR-ADv3 model. The hyperspectral modeling capability includes all the new
374 features and enhancements mentioned in Sections 2.2.1-2.2.8. The addition of this hyperspectral
375 capability particularly targets on local/regional process-level investigations that require higher
376 snow albedo accuracy, because it is much more computationally expensive than the 5-band
377 calculations (e.g., 8 times slower for global 1-deg 10-year simulations in this study using the
378 configuration described in Section 2.3). However, as computational power increases, the use of
379 this hyperspectral capability in global or high-resolution modeling will become more feasible.

380

381 **2.3 Model simulations**

382 To assess the model sensitivities and performance with the aforementioned new features
383 and enhancements, we conduct a series of global 1-deg land-only CLM5-SNICAR simulations
384 driven by the atmospheric forcing from the 3-hourly 0.5° Global Soil Wetness Project Phase 3
385 dataset (GSWP3; Dirmeyer et al., 2006), which has been widely used and evaluated by previous
386 studies (e.g., Lawrence et al., 2019; Hao et al., 2023). All model simulations use the prescribed

387 monthly climatological MODIS satellite phenology mode (i.e., CLM configuration/compset:
388 I2000C1m51Sp) (Lawrence et al., 2019), and the prescribed monthly aerosol (BC, dust, OC) wet
389 and dry deposition flux from the CESM2-WACCM simulations participated in CMIP6
390 experiments (Danabasoglu et al., 2020).

391 Model experiments include a default baseline simulation using the original CLM5-
392 SNICAR (hereinafter “default baseline”), a new baseline simulation using the enhanced CLM5-
393 SNICAR (hereinafter “new baseline”) with the new baseline physics option identified above and
394 in Table 1, and a set of twin sensitivity simulations by turning on and off each new
395 feature/enhancement (Table 1) at a time with the same baseline setup for other snow physics
396 options in order to quantify the impact of the targeted feature/enhancement. The aerosol-induced
397 snow albedo radiative forcing analyzed in this study is based on the instantaneous ground net
398 radiative flux difference through double calls of SNICAR with and without specific aerosol species.
399 We spin up the model simulations for the years 2000-2005 and use the 2006-2010 period for
400 analysis. For seasonal analysis, we define each season as winter (December-January-February),
401 spring (March-April-May), summer (June-July-August), and fall (September-October-November).

402

403 **2.4 Data for model evaluation**

404 To evaluate the default and new baseline model simulations of snow albedo and other
405 snowpack properties, global spatiotemporally continuous observation-based datasets are preferred.
406 Thus, we use the daily 0.05° MODIS data for snow cover fraction (MOD10C1 and MYD10C1)
407 and surface albedo (MCD43C3) as well as the monthly 0.1° ERA-5 land reanalysis data for snow
408 water equivalent (SWE), snow depth, and surface 2-m temperature. The MODIS MCD43C3
409 product is an Aqua-Terra merged surface albedo dataset and we use the data with quality flag of 0-
410 2 (i.e., “ok”, “good”, and “best”) to achieve a balance between enough samples and data quality,
411 following He et al. (2019a). We use the MODIS snow cover data with quality flag of 0 and 1 (i.e.,
412 “good” and “best”) and cloud fraction of $<20\%$ (more clouds lead to degraded data accuracy) to
413 achieve a balance between enough samples and data quality, following He et al. (2019a). We
414 further merge the Aqua (MYD10C1) and Terra (MOD10C1) MODIS snow cover data to obtain
415 more complete global maps by replacing the data gaps in MOD10C1 with valid values (if existing)
416 from MYD10C1 or averaging the pixel values if both MOD10C1 and MYD10C1 have valid data.
417 To compare with model simulations at consistent spatial grids, we re-map the MODIS and ERA-

418 5 data to the model grids by averaging the values across the MODIS 0.05° pixels and ERA-5 0.1°
419 pixels that are within each of the model 1° grids, respectively.

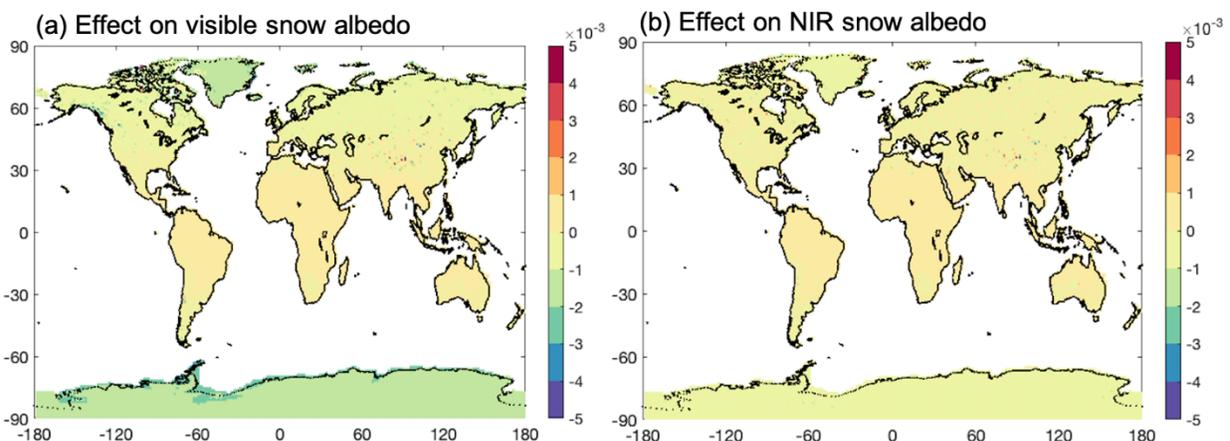
420

421 3. Model sensitivities to new features/enhancements

422 3.1 Effects of updated ice optics

423 Figure 3 shows the all-sky annual mean effects of updated ice optical properties on global
424 snow albedo by using the Picard et al. (2016) versus Warren and Brandt (2008) ice refractive
425 indices. Because the two datasets mainly differ at the visible band, there are negligible impacts on
426 the NIR albedo. For the visible snow albedo, the differences are also small (<0.003) with slightly
427 lower albedo using the Picard et al. (2016) data mainly over two polar regions under diffuse
428 radiation (Figures 3 and S1). This is because the Picard et al. (2016) data leads to a stronger visible
429 ice absorption (Flanner et al., 2021). Although the impact of using the Picard et al. (2016) data is
430 small, it appears to more accurately capture the ice absorption in the visible band (He et al., 2018c;
431 Flanner et al., 2021) and hence is recommended to use in future studies.

432



433

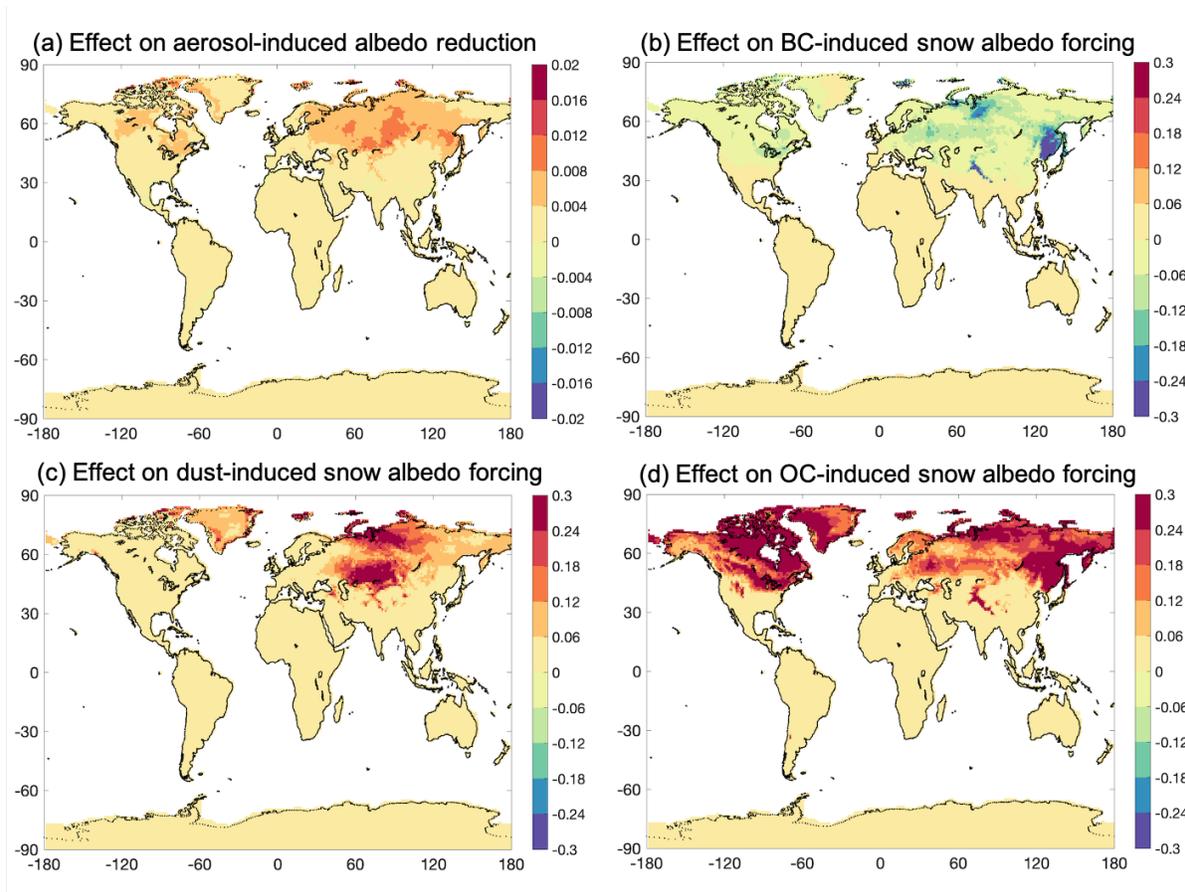
434 **Figure 3.** 5-year (2006-2010) all-sky annual mean effects of updated ice optical properties (i.e.,
435 differences between simulations using the Picard et al. (2016) and Warren and Brandt (2008) ice
436 refractive indices): (a) difference for visible snow albedo, (b) difference for NIR snow albedo.

437

438 3.2 Effects of updated aerosol optics

439 Figure 4 shows the all-sky annual mean effects of updated aerosol (BC, OC, and Saharan
440 dust) optical properties from the Flanner et al. (2021) data versus the Flanner et al. (2007) data on

441 snow-covered ground albedo and corresponding aerosol-induced snow albedo radiative forcing.
 442 Compared to using the Flanner et al. (2007) aerosol optics, the total aerosol-induced snow-covered
 443 ground albedo reduction using the Flanner et al. (2021) data is enhanced by up to 0.02 mainly over
 444 northern mid-latitudes (Figure 4a). This is primarily driven by stronger dust and OC light
 445 absorption using the Flanner et al. (2021) data relative to the Flanner et al. (2007) data, which
 446 further leads to stronger induced snow albedo forcing (Figures 4c, d) by up to $>2.0 \text{ W m}^{-2}$ (dust
 447 and OC combined) over heavily polluted hotspots, by $\sim 0.17 \text{ W m}^{-2}$ averaged over Northern
 448 Hemisphere, and by $\sim 0.09 \text{ W m}^{-2}$ globally. We note that the largely enhanced OC albedo forcing
 449 is due to the use of relatively strong-absorbing brown carbon optics in Flanner et al. (2021), which
 450 may not be representative of all OC or brown carbon. The enhanced snow albedo forcing caused
 451 by dust and OC is partially offset by the weaker BC light absorption with the BC forcing reduced
 452 by about 0.03 W m^{-2} averaged over Northern Hemisphere and 0.01 W m^{-2} globally (Figure 4b).
 453 The differences caused by updated aerosol optics mainly occur over northern mid-latitudes during
 454 winter and spring, and northern high-latitudes during spring and summer (Figure S2).
 455



456

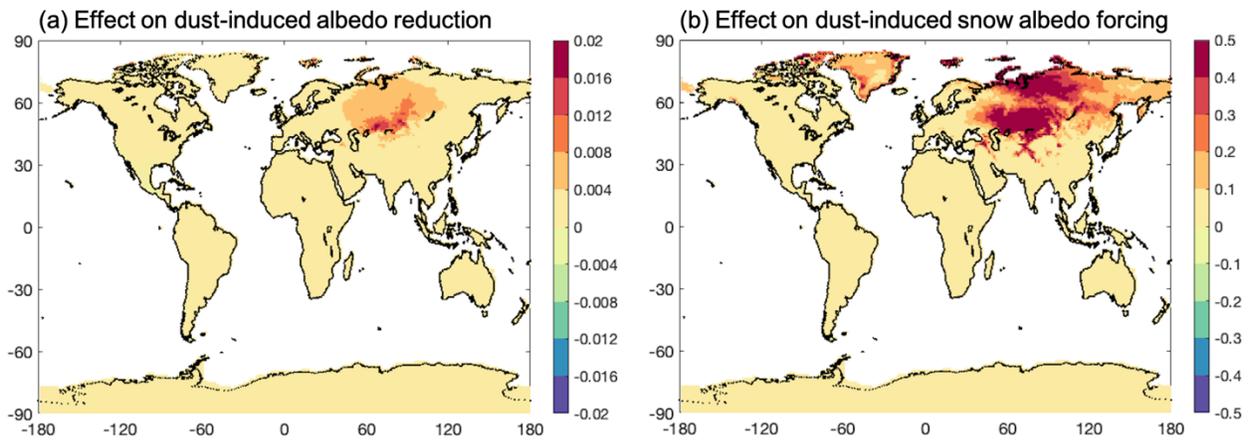
457 **Figure 4.** 5-year (2006-2010) all-sky annual mean effects of updated aerosol optical properties
458 (i.e., differences between simulations using the Flanner et al. (2021) and Flanner et al. (2007) data):
459 (a) difference for snow-covered ground albedo reduction caused by all aerosols, (b) difference for
460 BC-induced snow albedo forcing ($W m^{-2}$), (c) difference for dust-induced snow albedo forcing (W
461 m^{-2}), (d) difference for OC-induced snow albedo forcing ($W m^{-2}$).

462

463 3.3 Effects of different dust types

464 Figure 5 shows the all-sky annual mean differences between simulations using Greenland
465 dust and Colorado dust in snow-covered ground albedo reduction and snow albedo forcing caused
466 by dust. These two types of dust show the largest difference in light absorption capabilities among
467 all the three dust types in the model (Section 2.2.3), which demonstrates the upper limit of model
468 sensitivity to dust types in CLM5. Overall, using Greenland dust shows stronger albedo reduction
469 by up to 0.02 mainly over northern Eurasia during winter and spring (Figures 5a and S3), compared
470 to using Colorado dust. The corresponding annual difference in dust-induced snow albedo forcing
471 reaches more than 3 $W m^{-2}$ over polluted hotspots, with $\sim 0.1 W m^{-2}$ averaged over Northern
472 Hemisphere and $\sim 0.05 W m^{-2}$ globally. Seasonally, the differences in snow albedo forcing mainly
473 locate in northern mid-latitudes during winter and spring, and northern high-latitudes during spring
474 and summer (Figure S5).

475



476

477 **Figure 5.** 5-year (2006-2010) all-sky annual mean effects of different dust types (i.e., differences
478 between simulations using Greenland dust and Colorado dust): (a) difference for snow-covered

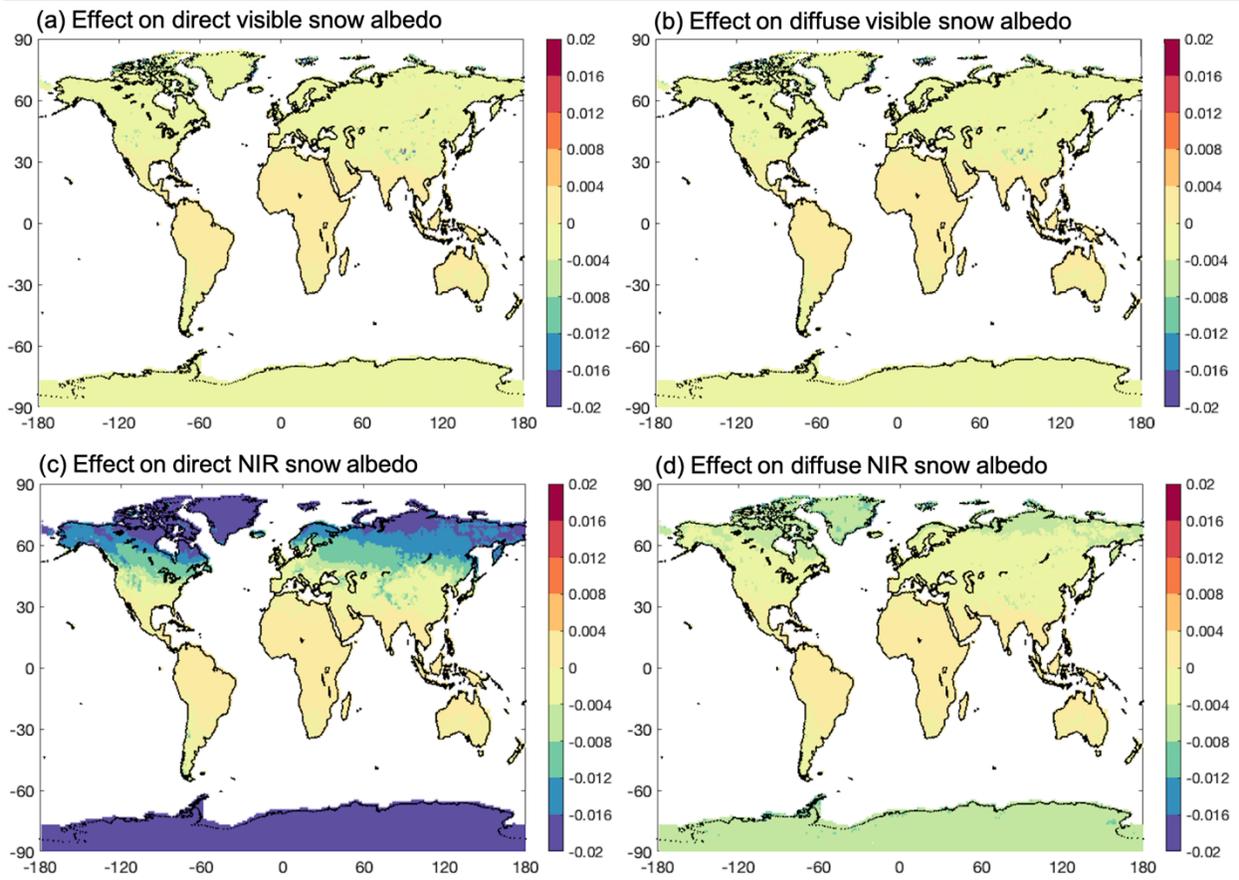
479 ground albedo reduction caused by dust, (b) difference for dust-induced snow albedo forcing (W
480 m^{-2}).

481

482 3.4 Effects of updated downward solar spectra

483 Figure 6 shows the 5-year annual mean effects of downward solar spectra on snow albedo
484 by using the high mountain spectrum versus the mid-latitude summer spectrum. These two spectra
485 have the largest difference in energy distribution in the CLM5 spectral bands particularly for direct
486 radiation (Figure S5), which demonstrates the upper limit of model sensitivity to downward solar
487 spectra. Specifically, the snow albedo difference (by up to -0.04) between using the two spectra
488 primarily occurs in the NIR band under direct radiation (Figure 5c), particularly over high latitudes
489 with a mean difference of -0.02. The impact is minimal in the visible band or diffuse NIR band
490 (Figures 5a, b, d).

491



492

493 **Figure 6.** 5-year (2006-2010) annual mean effects of different downward solar spectra (i.e.,
494 differences between simulations using high mountain and mid-latitude summer spectra): (a)

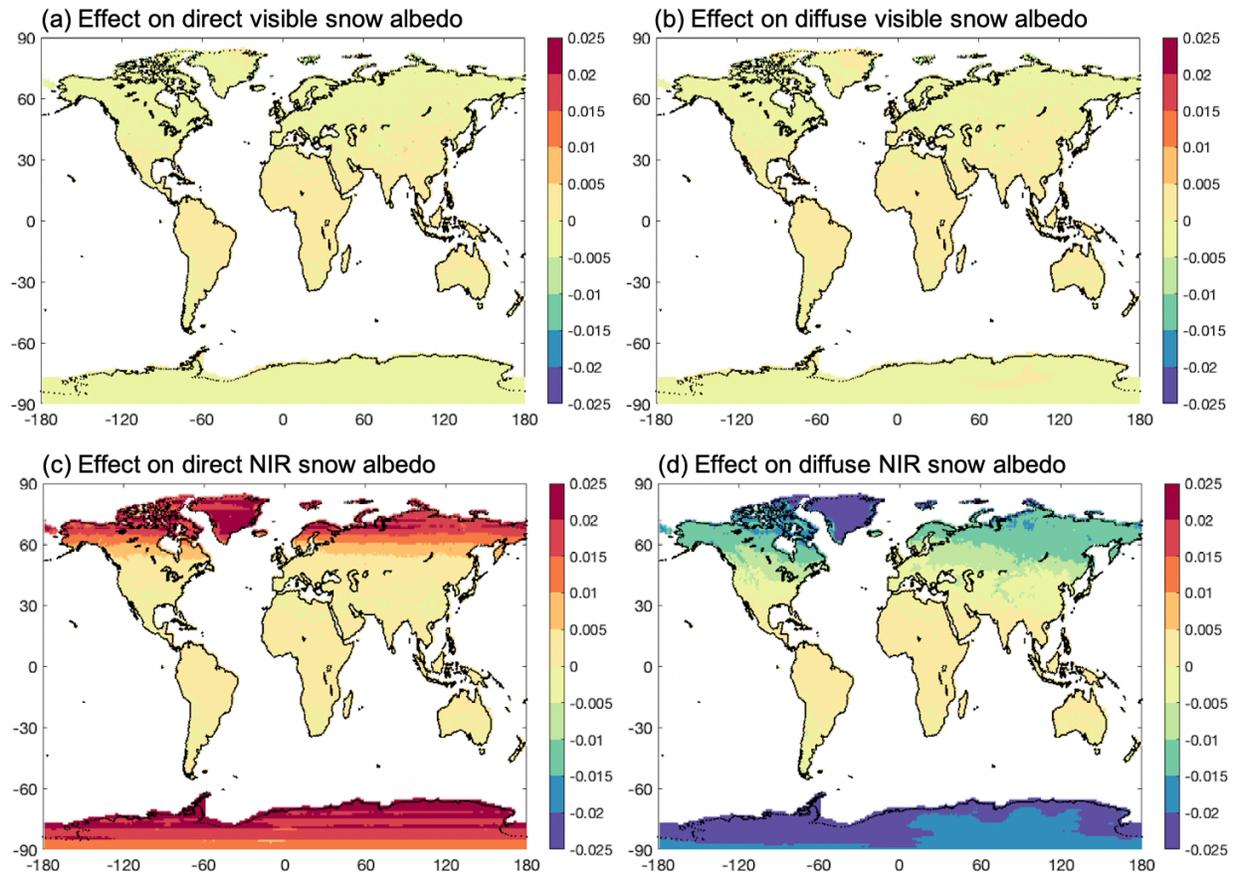
495 difference for direct-beam visible snow albedo, (b) difference for diffuse visible snow albedo, (c)
496 difference for direct-beam NIR snow albedo, (d) difference for diffuse NIR snow albedo.

497

498 **3.5 Effects of updated radiative transfer solver**

499 Figure 7 shows the 5-year annual mean snow albedo difference between simulations using
500 the adding-doubling and Toon et al. (1989) radiative transfer solvers. The differences are negligible
501 for the visible band but are significant (up to 0.04) for the NIR band under both direct and diffuse
502 radiation. Specifically, using the adding-doubling solver leads to higher snow albedo under NIR
503 direct radiation particularly in high-latitudes with a mean difference of 0.02 (Figure 7c), whereas
504 it leads to a lower snow albedo under NIR diffuse radiation particularly in high-latitudes with a
505 mean difference of -0.02 (Figure 7d). These difference patterns are similar across all the seasons
506 with relatively larger differences in winter and spring (Figure S6). These results are consistent with
507 the findings of Dang et al. (2019), where the adding-doubling solver has a similarly high accuracy
508 as the Toon et al. (1989) solver for the visible band but substantially reduces the albedo
509 underestimates at solar zenith angle $>75^\circ$ under NIR direct radiation and the albedo overestimates
510 under NIR diffuse radiation caused by the Toon et al. (1989) solver. Thus, using the adding-
511 doubling solver results in higher accuracy in snow albedo calculations.

512



513
 514 **Figure 7.** 5-year (2006-2010) annual mean effects of updated snow radiative transfer solvers (i.e.,
 515 differences between simulations using the adding-doubling and Toon et al. (1989) solvers): (a)
 516 difference for direct-beam visible snow albedo, (b) difference for diffuse visible snow albedo, (c)
 517 difference for direct-beam NIR snow albedo, (d) difference for diffuse NIR snow albedo.

518

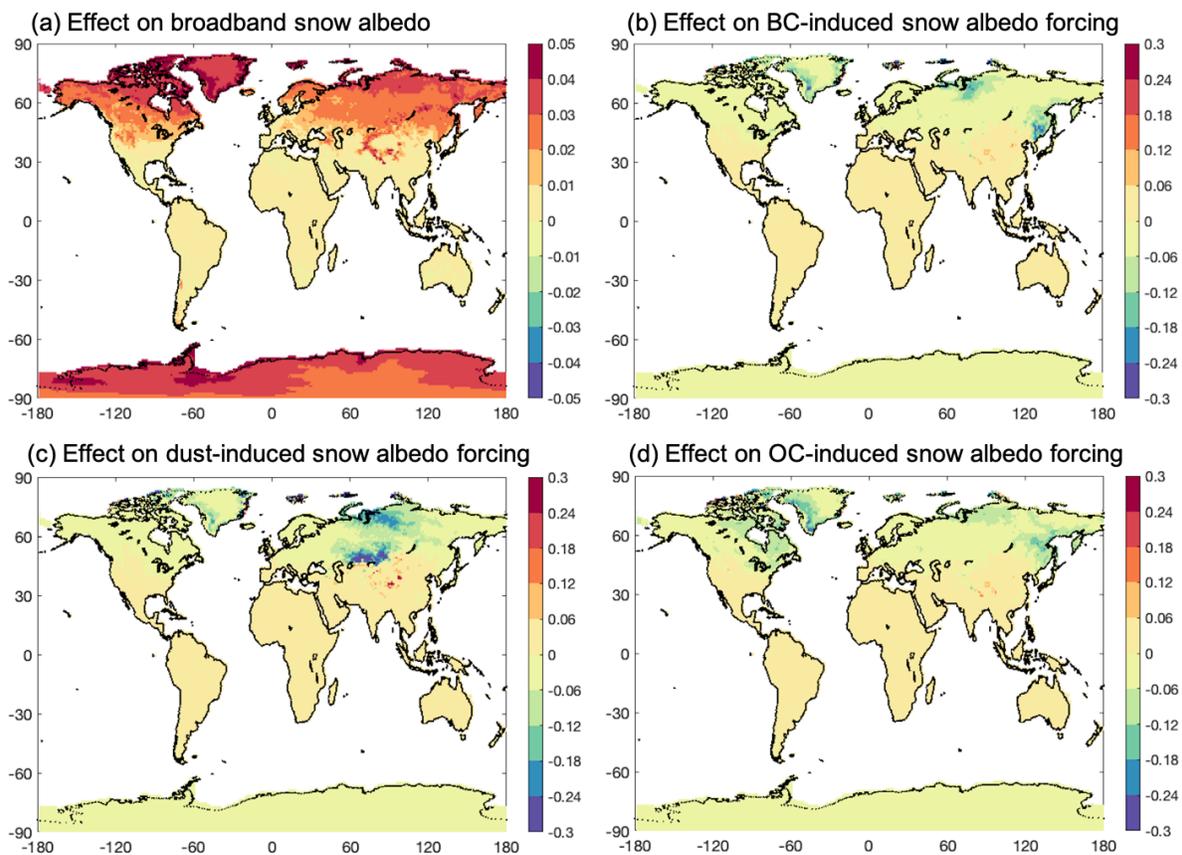
519 **3.6 Effects of nonspherical snow grains**

520 Figure 8 shows the 5-year all-sky annual mean effects of nonspherical snow grains on snow
 521 albedo and aerosol-induced snow albedo forcing by using fractal snowflakes versus snow spheres.
 522 These two grain shapes have the largest difference in snow optical properties, which demonstrates
 523 the upper limit of model sensitivity to snow nonsphericity in CLM5. Compared to using snow
 524 spheres, using fractal snowflakes leads to substantially higher snow albedo by more than 0.05 over
 525 some hotspots and ~ 0.015 globally, with a stronger impact over high-latitudes (Figure 8a).
 526 Seasonally, the albedo increase due to the use of fractal snowflakes are strongest in winter and
 527 spring over northern mid-latitudes and two polar regions (Figure S7). This is consistent with the
 528 conclusions from previous studies (Dang et al., 2016; Räisänen et al. 2017; He et al., 2018a), where

529 nonspherical snow grains have lower asymmetry factor (i.e., weaker forward scattering) and hence
530 higher snow albedo by 0.02-0.05 on average, depending on specific grain shape, grain size, and
531 snow density and thickness.

532 In addition, previous studies (He et al., 2018a, 2019; Shi et al., 2022) also found that
533 nonspherical snow grains can reduce aerosol-induced snow albedo forcing because of the reduced
534 forward scattering and hence less aerosol absorption throughout the snowpack column. This is
535 confirmed by the results in this study, where using fractal snowflakes shows lower snow albedo
536 forcing for BC, dust, and OC by up to 0.3 W m^{-2} or more, compared to using snow spheres (Figures
537 8b-d).

538



539

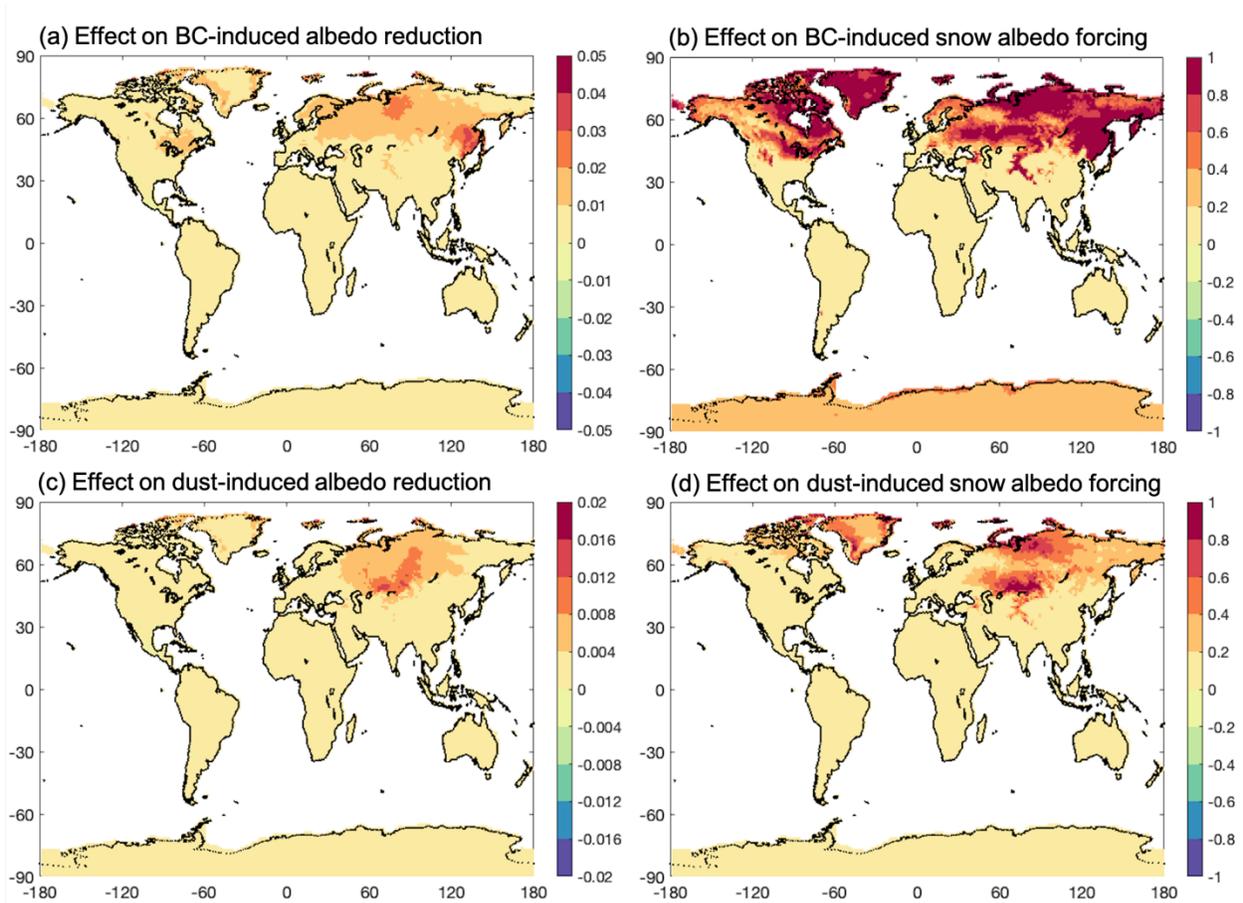
540 **Figure 8.** 5-year (2006-2010) all-sky annual mean effects of nonspherical snow grain (i.e.,
541 differences between simulations using fractal snowflake and snow sphere): (a) difference for
542 broadband snow albedo, (b) difference for BC-induced snow albedo forcing (W m^{-2}), (c) difference
543 for dust-induced snow albedo forcing (W m^{-2}), (d) difference for OC-induced snow albedo forcing
544 (W m^{-2}).

545

546 3.7 Effects of BC-snow internal mixing

547 Figures 9a-b show the 5-year all-sky annual mean effects of BC-snow internal mixing on
548 BC-induced snow albedo reduction and albedo forcing, compared to external mixing. Overall, the
549 internal mixing significantly enhances BC-induced snow albedo reduction by up to 0.042 and
550 albedo forcing by up to 1.0 W m^{-2} or more, with main effects over northern mid- and high-latitudes
551 during winter and spring (Figure S8). This is consistent with previous studies (Flanner et al., 2012;
552 He, 2022), where the snow albedo reduction caused by internal mixing can be enhanced by up to
553 0.05 or more relative to external mixing, depending on snow grain size and shape, snowpack
554 density and thickness, BC concentration in snow, and illumination conditions. He et al. (2018a)
555 further found that the enhanced albedo reduction due to internal mixing increases the BC-induced
556 snow albedo forcing by up to 1 W m^{-2} in polluted regions like northern China snowpack, which
557 agrees with the results in this study (Figure 9b).

558



559

560 **Figure 9.** 5-year (2006-2010) all-sky annual mean effects of aerosol-snow internal mixing (i.e.,
561 differences between simulations using internal mixing and external mixing): (a) BC-snow internal
562 mixing impact on BC-induced snow-covered ground albedo reduction, (b) BC-snow internal
563 mixing impact on BC-induced snow albedo forcing (W m^{-2}), (c) dust-snow internal mixing impact
564 on dust-induced snow-covered ground albedo reduction, (b) dust-snow internal mixing impact on
565 dust-induced snow albedo forcing (W m^{-2}).

566

567 **3.8 Effects of dust-snow internal mixing**

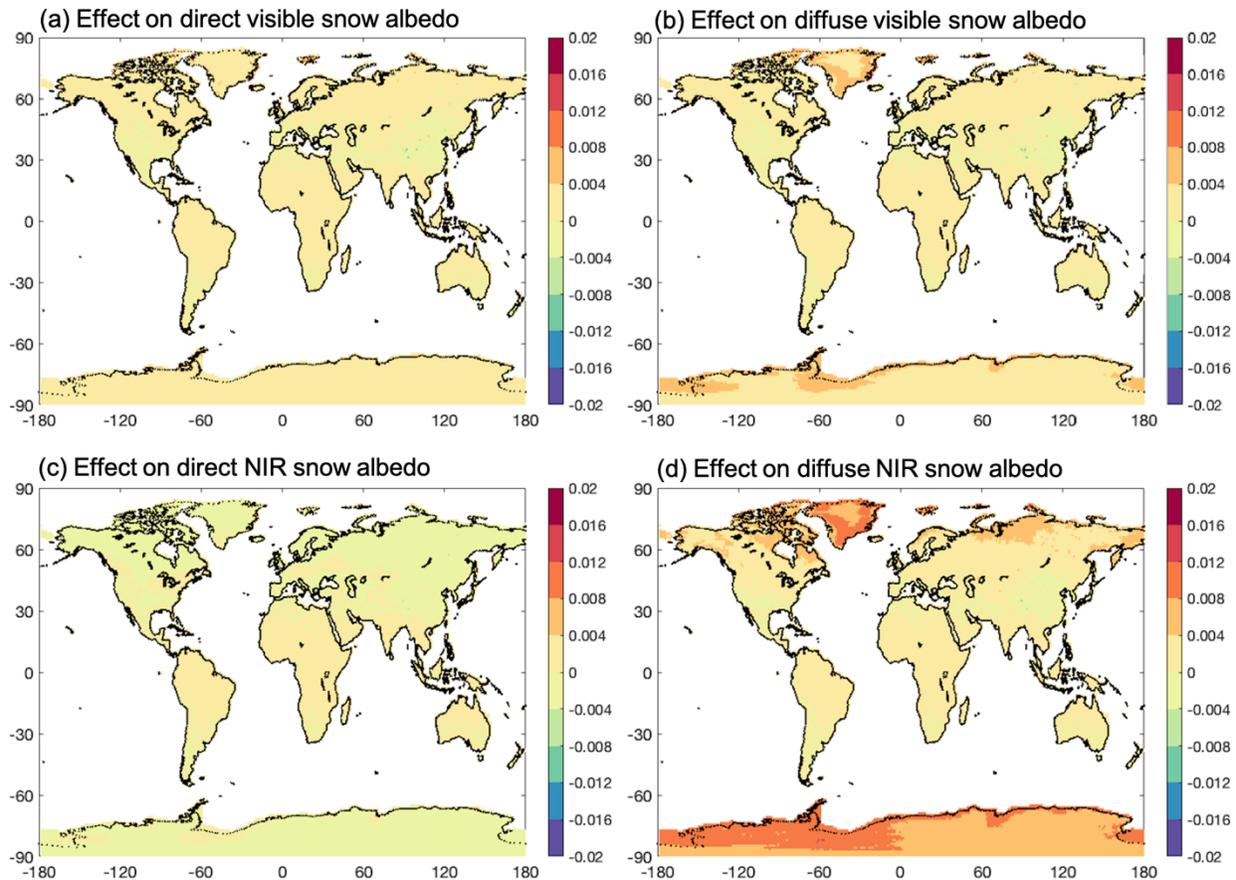
568 Figures 9c-d show the 5-year all-sky annual mean effects of dust-snow internal mixing on
569 dust-induced snow albedo reduction and albedo forcing, compared to external mixing. Similar to
570 BC-snow internal mixing, the dust-snow internal mixing enhances snow albedo reduction by up
571 to 0.02 and albedo forcing by up to 1.0 W m^{-2} or more, with major impacts over northern Eurasia
572 during winter and spring as well as in the coasts of Greenland during summer (Figures 9c-d and
573 S9). This is consistent with previous findings (He et al., 2019b; Shi et al., 2021, 2022), where dust-
574 snow internal mixing can result in 10-45% enhancement in dust-induced snow albedo reduction
575 and albedo forcing relative to external mixing, depending on snow grain size and shape, snowpack
576 density and thickness, dust content in snow, and illumination conditions.

577

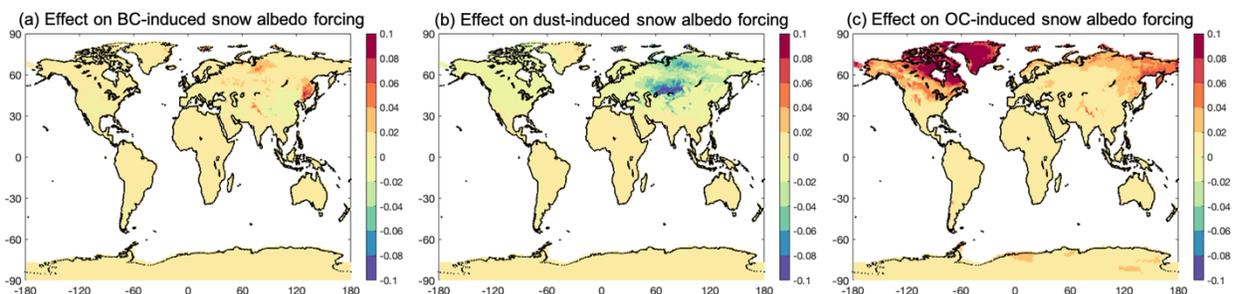
578 **3.9 Effects of new hyperspectral capability**

579 Figure 10 shows the 5-year annual mean difference in snow albedo between simulations
580 using hyperspectral (480-band) and 5-band calculations. Overall, the differences in visible and
581 NIR snow albedo under direct radiation are small (within ~ 0.004), while the hyperspectral
582 calculation leads to noticeably higher visible and NIR albedo under diffuse radiation by up to >0.02
583 over some hotspots and $0.01-0.02$ over most of two polar regions, compared to the 5-band
584 calculations. This is consistent with the analysis of Wang et al. (2022), where the hyperspectral
585 SNICAR calculations tend to have higher snow albedo than the 5-band SNICAR calculations. In
586 addition, the hyperspectral calculation also results in nontrivial differences in aerosol-induced
587 snow albedo forcing (Figure 11), with higher BC forcing (by up to 0.1 W m^{-2} over northern China
588 and Himalayas) and OC forcing (by up to 0.2 W m^{-2} over northern high-latitudes) but lower dust
589 forcing (by up to $>0.1 \text{ W m}^{-2}$ over northern Eurasia hotspots) compared to the 5-band calculations.

590



591
 592 **Figure 10.** 5-year (2006-2010) annual mean effects of hyperspectral calculations (i.e., differences
 593 between simulations using 480 bands and 5 bands): (a) difference for direct-beam visible snow
 594 albedo, (b) difference for diffuse visible snow albedo, (c) difference for direct-beam NIR snow
 595 albedo, (d) difference for diffuse NIR snow albedo.
 596



597
 598 **Figure 11.** 5-year (2006-2010) all-sky annual mean effects of hyperspectral calculations (i.e.,
 599 differences between simulations using 480 bands and 5 bands) on aerosol-induced snow albedo
 600 forcing ($W m^{-2}$): (a) difference for BC, (b) difference for dust, (c) difference for OC.

601

602 **4. Model evaluation**

603

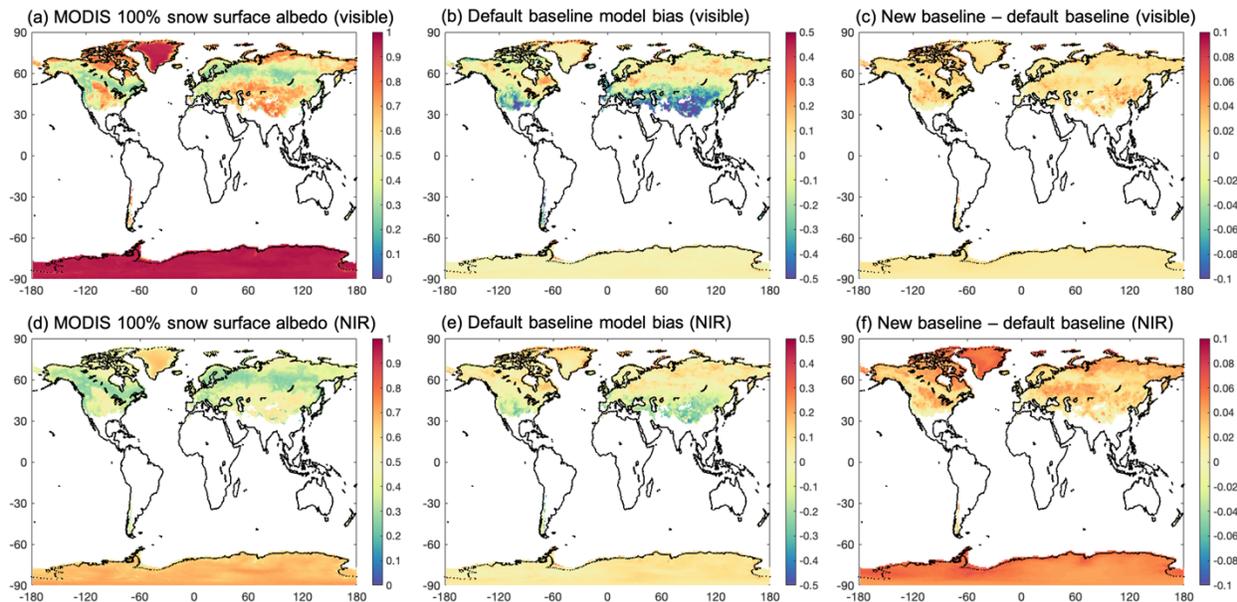
Table 2. Summary of model evaluation statistics

Land surface fields	Model mean biases							
	Northern mid-latitudes (30°N-60°N)		Northern high-latitudes (60°N-90°N)		Southern mid-latitudes (30°S-60°S)		Southern high-latitudes (60°S-90°S)	
	default baseline	new baseline	default baseline	new baseline	default baseline	new baseline	default baseline	new baseline
Surface albedo (100% snow cover)	-0.022	0.004	-0.017	0.007	-0.022	0.005	0.003	0.034
Snow cover	-0.011	-0.009	-0.007	-0.004	-0.025	-0.019	-0.017	-0.012
SWE (mm)	-232.5	-178.3	-77.5	-63.4	-79.7	-64.8	-178.2	-174.0
Snow depth (m)	-2.53	-2.36	-0.67	-0.63	-1.56	-1.51	-5.12	-5.01
2-m temperature (°C)	1.32	1.26	0.53	0.47	0.62	0.55	2.35	2.26

604

605 **4.1 Surface albedo**

606 Figure 12 shows the comparison between MODIS observed and CLM5 simulated 5-year
607 annual mean white-sky (diffuse) surface albedo over regions with 100% snow cover. The default
608 baseline simulation tends to overestimate visible and NIR snow surface albedo in many parts of
609 northern high-latitudes by about 0.1-0.2, but significantly underestimates the albedo in the northern
610 mid-latitudes by up to 0.5 for the visible band and up to 0.3 for the NIR band, particularly over
611 mountainous regions (Figures 12b, d). Compared to the default baseline result, the new baseline
612 simulation with CLM5-SNICAR enhancements substantially reduces the albedo underestimate in
613 the northern mid-latitudes by up to 0.1 for both visible and NIR bands (Figures 12c, f), primarily
614 due to the use of nonspherical snow grains. The new baseline simulation also increases the snow
615 surface albedo in northern and southern high-latitudes by up to 0.1 mainly at the NIR band, which
616 however exacerbates the model bias in southern high-latitudes. These patterns are generally
617 consistent throughout different seasons (Figures S10 and S11). The assessment for black-sky snow
618 surface albedo shows similar results and conclusions (Figure S12). Table 2 summarizes the mean
619 bias of the default and new baseline simulations. Overall, the new baseline simulation reduces the
620 mean biases of fully snow-covered surface albedo over northern mid- and high-latitudes and
621 southern mid-latitudes but increases the mean bias in southern high-latitudes.



623
 624 **Figure 12.** Comparison between MODIS and model simulations of 5-year (2006-2010) annual
 625 mean white-sky surface albedo for 100% snow cover grids. First column (a, d): MODIS
 626 observations; second column (b, e): default baseline simulation bias; third column (c, f): difference
 627 between new and default baseline simulations. First row (a, b, c): visible band; second row (d, e,
 628 f): NIR band.

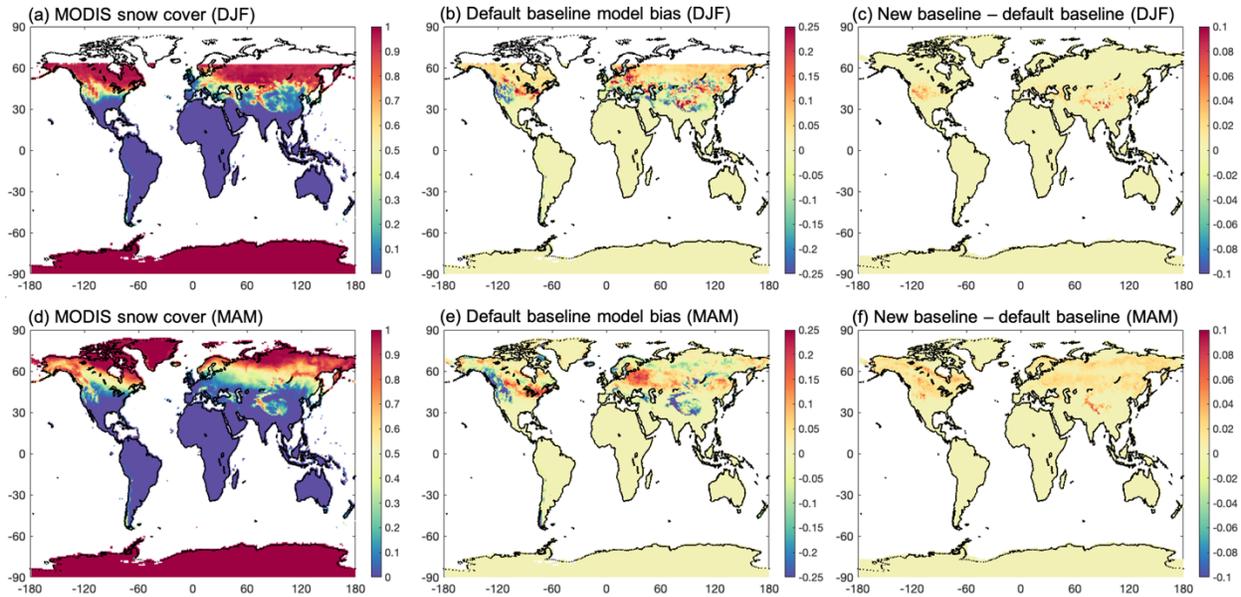
629

630 4.2 Snow cover

631 Figures 13 and S13 shows the comparison between MODIS observed and CLM5 simulated
 632 5-year seasonal mean snow cover fraction. The default baseline simulation significantly
 633 underestimates snow cover in the Tibetan Plateau and North American Rocky Mountains across
 634 all seasons by about 0.25, with patchy underestimates or overestimates in northern high-latitudes.
 635 Compared to the default baseline result, the new baseline simulation reduces the snow cover bias
 636 by up to 0.1 in the Tibetan Plateau and North American Rocky Mountains mainly during winter
 637 and spring, in many parts of northern Eurasia during spring and summer, and in the southern Andes
 638 during summer and fall. This is primarily caused by the increased snow albedo over those regions
 639 in the new baseline simulation (Section 4.1), which reduces the solar radiation absorbed by
 640 snowpack and hence increases snow cover. Overall, the new baseline simulation reduces the mean

641 snow cover biases (underestimates) across northern and southern mid- and high-latitudes (Table
642 2).

643



644

645 **Figure 13.** Comparison between MODIS and model simulations of 5-year (2006-2010) seasonal
646 mean snow cover fraction. First column (a, d): MODIS observations; second column (b, e): default
647 baseline simulation bias; third column (c, f): difference between new and default baseline
648 simulations. First row (a, b, c): winter (December-January-February); second row (d, e, f): spring
649 (March-April-May). See Figure S13 for results in summer (June-July-August) and fall
650 (September-October-November) with relatively smaller effects from the new baseline simulation.

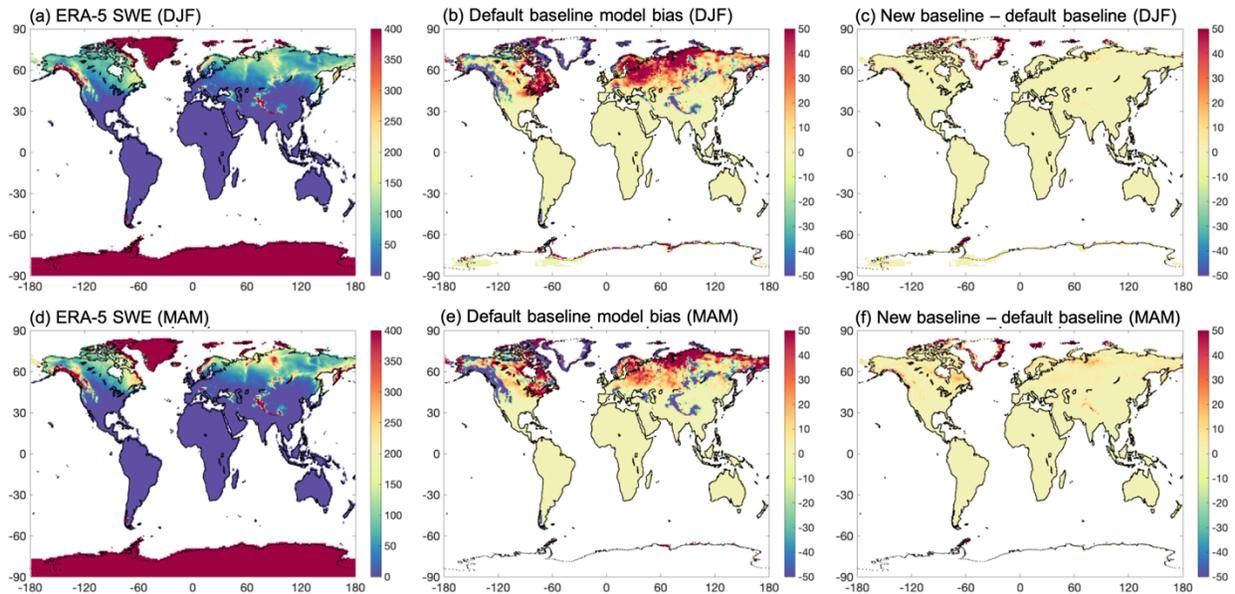
651

652 4.3 Snow water equivalent

653 Figures 14 and S14 shows the comparison between ERA-5 and CLM5 simulated 5-year
654 seasonal mean snow water equivalent (SWE). We note that the maximum SWE allowed (i.e., SWE
655 capping) in the CLM5 is set to 10,000 kg/m² to prevent unlimited snow building up over glacier
656 regions in model simulations (particularly a coupled climate run), which would cause serious
657 model issues (e.g., incorrect land water storage and ocean salinity). Thus, when evaluating
658 simulated SWE, we screened out the regions with model SWE capping at 10,000 kg/m² (mainly
659 Greenland and Antarctic ice sheets), because it is not meaningful to compare the model results
660 with snow capping and the ERA-5 results without SWE capping in those regions.

661 The default baseline simulation systematically underestimates SWE by more than 50 mm
 662 in the Tibetan Plateau, North American Rocky Mountains, the coasts of Greenland, and the
 663 southern Andes across all seasons as well as part of northern Eurasia during winter and spring
 664 (Figure 14). Compared to the default baseline result, the new baseline simulation reduces the SWE
 665 bias by up to 50 mm in the coasts of Greenland across all seasons as well as over the Himalayas
 666 and part of North American Rocky Mountains during spring (Figures 14 and S14). This is because
 667 the increased snow albedo over those regions in the new baseline simulation (Section 4.1) reduces
 668 snow melting and hence increases SWE. Overall, the new baseline simulation reduces the mean
 669 SWE biases (underestimates) across mid- and high-latitudes, particularly over northern mid-
 670 latitudes (Table 2).

671



672

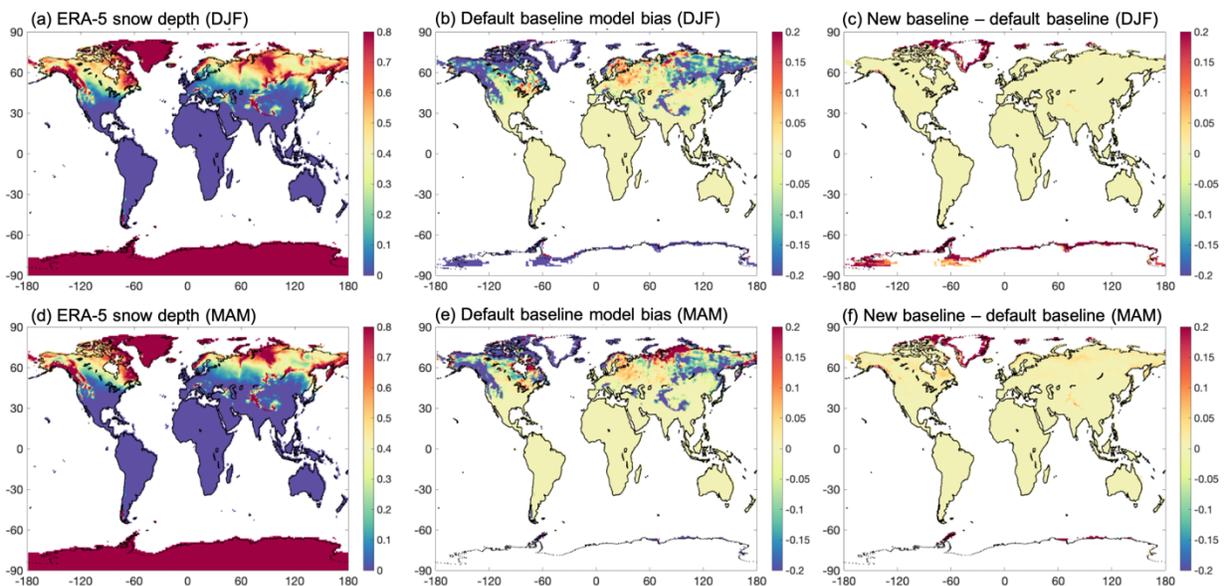
673 **Figure 14.** Comparison between ERA-5 and model simulations of 5-year (2006-2010) seasonal
 674 mean SWE (mm). First column (a, d): ERA-5 data (values >400 mm also show dark red color);
 675 second column (b, e): default baseline simulation bias; third column (c, f): difference between new
 676 and default baseline simulations. First row (a, b, c): winter (December-January-February); second
 677 row (d, e, f): spring (March-April-May). Note that most Greenland and Antarctic glacier regions
 678 with model snow capping at 10,000 kg/m² are screened out in second and third columns. See Figure
 679 S14 for results in summer (June-July-August) and fall (September-October-November) with
 680 relatively smaller effects from the new baseline simulation.

681

682 4.4 Snow depth

683 Figures 15 and S15 shows the comparison between ERA-5 and CLM5 simulated 5-year
684 seasonal mean snow depth. Similar to the SWE evaluation (Sect. 4.3), we screened out the regions
685 with model SWE capping at $10,000 \text{ kg/m}^2$ (mainly Greenland and Antarctic ice sheets). The default
686 baseline simulation substantially underestimates snow depth by 0.2 m or more over the coasts of
687 Greenland, the Tibetan Plateau, and the southern Andes throughout the year, as well as in the North
688 American Rocky Mountains and many parts of northern Eurasia during winter, spring, and fall
689 (Figures 15 and S15). Compared to the default baseline result, the new baseline simulation reduces
690 the snow depth bias by 0.2 m or more over the coasts of Greenland across all seasons and by up to
691 0.1 m in the Himalayas and part of North American Rocky Mountains during spring (Figures 15
692 and S15). This is caused by the less light absorption by snowpack over those regions in the new
693 baseline simulation (Section 4.1), which weakens snow densification/melting and hence increases
694 snow depth. Overall, the new baseline simulation reduces the mean snow depth biases
695 (underestimates) across mid- and high-latitudes, particularly in northern mid-latitudes (Table 2).

696



697

698 **Figure 15.** Same as Figure 14, but for snow depth (m) comparison between ERA-5 and model
699 simulations. For ERA-5 snow depth, values $>0.8 \text{ m}$ also show dark red color in panels (a) and (d).
700 Note that most Greenland and Antarctic glacier regions with model snow capping at $10,000 \text{ kg/m}^2$
701 are screened out in second and third columns. See Figure S15 for results in summer (June-July-

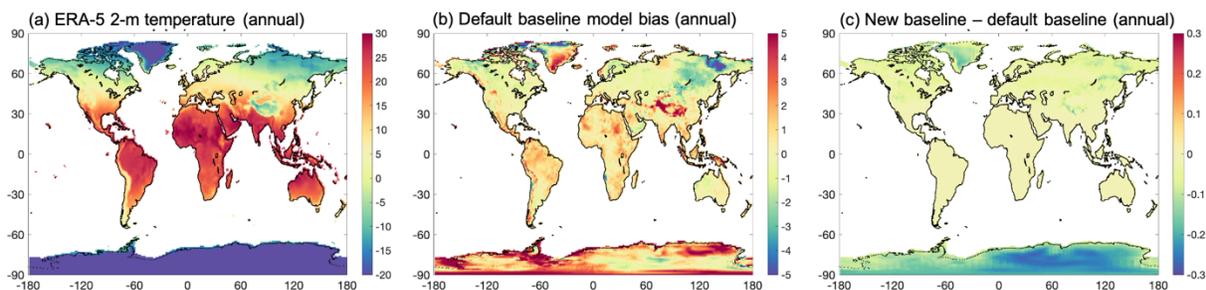
702 August) and fall (September-October-November) with relatively smaller effects from the new
703 baseline simulation.

704

705 **4.5 Surface temperature**

706 Figures 16 and S16 shows the comparison between ERA-5 and CLM5 simulated 5-year
707 annual and seasonal mean surface (2-m) temperature, respectively. The default baseline simulation
708 generally overestimates the surface temperature by $\sim 5^{\circ}\text{C}$ over the majority of Greenland, Tibetan
709 Plateau, and Antarctic throughout the year, and underestimates in part of northern Eurasia and
710 northern Canada mainly during winter and spring. Compared to the default baseline result, the new
711 baseline simulation reduces the surface temperature overestimates by up to 0.5°C over the
712 Antarctic during winter and fall, Greenland during spring and summer, and part of Tibetan Plateau
713 and North American Rocky Mountains during winter and spring (Figures 16 and S16). This is
714 because of the increased snow albedo and hence less land surface heating by solar radiation
715 absorption over those regions in the new baseline simulation (Section 4.1). The new baseline
716 simulation, however, tends to slightly worsen the model temperature bias in part of northern
717 Eurasia and northern Canada during spring. Overall, the new baseline simulation reduces the mean
718 surface temperature biases (overestimates) across northern and southern mid- and high-latitudes
719 (Table 2). The impact on surface temperature, which is strongly constrained by the forcing
720 temperature in land-only simulations, is expected to be much stronger in a coupled climate
721 simulation through positive snow albedo feedbacks.

722



723 **Figure 16.** Comparison between ERA-5 and model simulations of 5-year (2006-2010) annual
724 mean 2-m surface temperature ($^{\circ}\text{C}$): (a) ERA-5 data, (b) default baseline simulation bias, and (c)
725 difference between new and default baseline simulations.

727

728 5. Conclusions

729 In this study, we enhanced the CLM5-SNICAR snow albedo modeling by implementing
730 several new features with more realistic and physical representations of snow-aerosol-radiation
731 interactions. Specifically, we incorporated the following model enhancements: (1) updating ice
732 and aerosol optical properties with more realistic and accurate datasets; (2) adding multiple dust
733 types; (3) adding multiple surface downward solar spectra to account for different atmospheric
734 conditions; (4) incorporating a more accurate adding-doubling radiative transfer solver; (5) adding
735 nonspherical snow grain representation; (6) adding BC-snow and dust-snow internal mixing
736 representations; (7) adding a hyperspectral (480-band versus the default 5-band) modeling
737 capability. These model features/enhancements have been included as new CLM physics/namelist
738 options, which allows for quantifying model sensitivities to snow albedo processes and for
739 conducting relevant multi-physics model ensemble analyses for uncertainty assessment. The
740 model updates will be included in the next CESM/CLM version release. Sensitivity analyses
741 revealed stronger impacts of using the new adding-doubling solver, nonspherical snow grains, and
742 BC/dust-snow internal mixing than the other new features/enhancements.

743 These enhanced snow albedo representations improve the CLM5 modeled global
744 snowpack evolution and land surface conditions. Specifically, the enhanced CLM5-SNICAR leads
745 to (1) a reduced snow surface albedo bias in northern mid-latitudes across all seasons; (2) a reduced
746 snow cover bias in the Tibetan Plateau and North American Rocky Mountains during winter and
747 spring, part of northern Eurasia during spring and summer, and the southern Andes during summer
748 and fall; (3) a reduced SWE bias in the coasts of Greenland throughout the year and over the
749 Tibetan Plateau and North American Rocky Mountains during spring; (4) a reduced snow depth
750 bias in the coasts of Greenland throughout the year and in part of the Tibetan plateau and North
751 American Rocky Mountains during spring; (5) a reduced surface temperature bias over the
752 Antarctic during winter and fall, Greenland during spring and summer, and part of the Tibetan
753 Plateau and North American Rocky Mountains during winter and spring. We note, however, that
754 there are some regions without any model improvement or even with degradation by using the
755 enhanced CLM5-SNICAR, such as the snow surface albedo in some high-latitude regions.

756 In future studies, coupled climate model simulations with the enhanced CLM5-SNICAR
757 are needed to assess the full climatic impacts of the snow albedo enhancements added in this study,
758 which are expected to be stronger than those shown here due to positive snow albedo feedback.

759

760 **Acknowledgements**

761 The authors declare no conflict of interest. This research was funded by the U.S. National
762 Oceanic and Atmospheric Administration (NOAA) Climate Process Team project Award#
763 4000178550 and Award# NA19OAR4310243. NCAR is sponsored by the U.S. National Science
764 Foundation. Any opinions, findings, conclusions, or recommendations expressed in this
765 publication are those of the authors and do not necessarily reflect the views of the National Science
766 Foundation.

767

768 **Open Research**

769 The default CLM5-SNICAR (CTSM Development Team, 2022) code is at:

770 <https://github.com/ESCOMP/CTSM>

771 The enhanced CLM5-SNICAR (CTSM Development Team, 2022) code is at:

772 <https://github.com/ESCOMP/CTSM/pull/1861>

773 MODIS surface albedo data (MCD43C3; Schaaf and Wang, 2021) is available at:

774 <https://lpdaac.usgs.gov/products/mcd43c3v061/>

775 MODIS snow cover data (MOD10C1 and MYD10C1; Hall and Riggs, 2021a, b) is available at:

776 <https://nsidc.org/data/mod10c1/versions/61> and <https://nsidc.org/data/myd10c1/versions/61>

777 ERA-5 land data (SWE, snow depth, surface temperature; Muñoz Sabater, 2019) is available at:

778 [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land-monthly-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land-monthly-means?tab=overview)

779 [means?tab=overview](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land-monthly-means?tab=overview)

780 The model data generated in this study (He et al., 2023) is at:

781 <https://doi.org/10.5281/zenodo.7986830>

782

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