

**Sahel rainfall projections constrained by past
sensitivity to global warming**

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Key Points:

- We identify the response of Sahel rainfall to greenhouse gas-induced global warming in the historical record
- Many global climate models of the latest generation (CMIP6) do not reproduce the observed rainfall response
- Observational constraint reduces model spread and implies stronger rainfall increase under further warming than in full ensemble

14 **Abstract**

15 Africa's central Sahel region has experienced prolonged drought conditions in the
 16 past, while rainfall has recovered more recently. Global climate models project anything
 17 from no change to a strong wetting trend under unabated climate change; and they have
 18 difficulty reproducing the complex historical record. Here we show that when a period
 19 of dominant aerosol forcing is excluded, a consistent wetting response to greenhouse-gas
 20 induced warming emerges in observed rainfall. Using the observed response coefficient
 21 estimate as a constraint, we find that CMIP6 climate models with a realistic past rain-
 22 fall response show a smaller spread, and higher median, of projected future rainfall change,
 23 compared to the full ensemble. In particular, very small or negative rainfall trends are
 24 absent from the constrained ensemble. Our results provide further evidence for a robust
 25 Sahel rainfall increase in response to greenhouse-gas forcing, consistent with recent ob-
 26 servations, and including the possibility of a very strong increase.

27 **Plain Language Summary**

28 Rainfall is a critical resource for a large population in the African Sahel region, but
 29 rainfall levels have strongly varied in the past. It is unclear what will happen in the next
 30 decades, because some climate models suggest little to no change in average rainfall, while
 31 other models project a strong increase due to climate change. Our study aims to nar-
 32 row down this uncertainty. In the past, both greenhouse gases and aerosols influenced
 33 Sahel rainfall, to different degrees during different periods; and models may not capture
 34 both mechanisms equally well. However, future rainfall changes will likely be driven mainly
 35 by greenhouse gas levels. We identify models that closely match the rainfall change that
 36 was observed in a recent period with dominant greenhouse gas forcing. These models
 37 also tend to project stronger rainfall increases than other models. Indeed, their multi-
 38 model mean projection is an increase by almost 50% by 2040; while none of them projects
 39 stable rainfall levels or even a reduction. Thus, it appears more likely now that rainfall
 40 in the Sahel will increase substantially over the next few decades.

41 **1 Introduction**

42 The Sahel region is home to a large and growing population (Guengant, 2017), to
 43 whom rainfall represents a critical resource for agriculture and other economic activi-
 44 ties, but also a source of natural hazards such as flooding (Tschakert et al., 2010). On
 45 multidecadal timescales, the first half of the 20th century has seen a rising trend in av-
 46 erage Sahel rainfall, though frequently punctuated by anomalously dry years. This trend
 47 reversed in the second half of the century, and culminated in a prolonged period of drought
 48 conditions throughout the 1970s and 1980s that led to widespread famine and ecolog-
 49 ical deterioration. Since the 1990s, average rainfall has been rising again.

50 The importance and the variable history of Sahel rainfall prompt questions about
 51 its future evolution, which require an understanding of its drivers. Theoretical and mod-
 52 eling studies have shown that the long-term trends observed in the 20th century were
 53 to a large extent a forced response to anthropogenic changes – greenhouse-gas and aerosol
 54 (precursor) emissions –, rather than an expression of internal variability of the climate
 55 system (Giannini et al., 2008). However, future projections from global climate models
 56 show considerable disagreement over the magnitude, and partly even the sign, of the ex-
 57 pected response to future forcing scenarios (Giannini et al., 2008; Schewe & Levermann,
 58 2017; Monerie et al., 2020). In this paper, we apply recent understanding of the causes
 59 of 20th century rainfall trends in order to narrow the range of future rainfall projections
 60 in the latest generation of global climate models.

61 A series of studies have shown that differences in sea surface temperature (SST)
 62 between the (sub-tropical) North Atlantic, and the tropical Atlantic or global oceans,
 63 can explain a large part of the observed Sahel rainfall variations on interannual and longer
 64 timescales (Biasutti et al., 2008; Giannini et al., 2013). These SST differences, in turn,
 65 are modulated by human-induced radiative forcings. Greenhouse-gas (GHG) emissions,
 66 which have been rising at a growing rate throughout the 20th century, increase surface
 67 temperatures globally and persistently. On the other hand, scattering aerosols, mainly
 68 sulfate aerosols related to sulfur dioxide (SO_2) emissions from the use fossil fuels, have
 69 a cooling effect on SST, which however is more localized and transient due to the shorter
 70 lifetime of tropospheric aerosols, compared to GHG.

71 The multi-decadal variations in Sahel rainfall have recently been attributed to the
 72 specific combination of these two forcings during the 20th century (Giannini & Kaplan,
 73 2019). Specifically, the GHG-induced warming, in the tropics, increases atmospheric sta-
 74 bility because surface warming is quickly disseminated vertically through deep convec-
 75 tion, and then horizontally within the tropical upper atmosphere. This raises the ener-
 76 getic threshold for convection (Chou & Neelin, 2004). Rainfall at the margins of convec-
 77 tive regions then depends on whether sufficient moisture gets imported at the lower level
 78 to meet this “upped ante”. It has been argued that the massive SO_2 emissions in the
 79 decades after World War II, in Europe and North America, and the resultant regional
 80 cooling effect of sulfate aerosols on North Atlantic SST, acted to limit moisture supply
 81 from the subtropical North Atlantic to the African monsoon (Giannini & Kaplan, 2019).
 82 When moisture import became increasingly insufficient to meet the rising convective thresh-
 83 old, this led to the observed decline in Sahel rainfall starting in the 1950s and acceler-
 84 ating in the 1960s. After aerosol precursor emissions in the North Atlantic sector declined
 85 in the 1980s and 1990s, subtropical North Atlantic SSTs rose in correspondance with the
 86 global GHG forcing, low-level atmospheric moisture content was able to catch up with
 87 the “upped ante”, and Sahel rainfall began to increase again.

88 This understanding implies that the response of Sahel rainfall to global warming
 89 is markedly different depending on whether or not GHG-induced warming is opposed
 90 by regional aerosol-induced cooling in the North Atlantic. Given that aerosol and aerosol
 91 precursor emissions in the North Atlantic sector are projected to remain low through-
 92 out the 21st century (Rao et al., 2017), it is the response to GHG-induced warming alone
 93 that will likely dominate the future evolution of Sahel rainfall. Thus, climate models which
 94 simulate that response accurately may also be suited for future projections, no matter
 95 whether or not they also capture the response to combined GHG and aerosol forcing well.

96 2 Data

97 We investigate the historical and SSP5-8.5 experiments from 39 models participat-
 98 ing in the Coupled Model Intercomparison Project phase 6 (CMIP6) (Eyring et al., 2016;
 99 O’Neill et al., 2016; Tebaldi et al., 2021). Where multiple realizations are available for
 100 a given model and experiment, we use only the first one. We focus on July–September
 101 average rainfall in the central Sahel region ($0\text{--}30^\circ\text{E}$, $10\text{--}20^\circ\text{N}$), which is more suscepti-
 102 ble to changes in remote moisture import than the coastal regions further to the West.
 103 Notably, the western Sahel is also more susceptible to slow changes in ocean circulation
 104 following atmospheric warming, and therefore shows a different long-term response to
 105 GHG emissions than the central Sahel (Monerie et al., 2021). To account for the pos-
 106 sibility that models may get the monsoon dynamics qualitatively right but not the pre-
 107 cise spatial distribution of rainfall anomalies, we also perform our analysis on rainfall av-
 108 eraged over model-specific, rectangular regions chosen to include the largest simulated
 109 rainfall anomaly, which are often somewhat offset from the common region denoted above.
 110 The corresponding figures, as well as a list of models analyzed, are provided in Support-
 111 ing Information.

112 Observational temperature and precipitation data are obtained from the University
 113 of East Anglia Climatic Research Unit (CRU) TS4.04 dataset (Harris et al., 2020).
 114 Anthropogenic SO₂ emission data are obtained from the Community Emissions Data Sys-
 115 tem (CEDS) (Hoesly et al., 2018); these data are also used as input for the CMIP6 his-
 116 torical experiments.

117 3 Results

118 Under the high GHG concentration scenario SSP5-8.5, most of the CMIP6 mod-
 119 els project an increase in central Sahel rainfall in the course of the 21st century (Fig. 1
 120 and Supporting Information Fig. S1). By the 2040s, the multi-model mean is about 25%
 121 above recent levels (Fig. 1(a), grey line); and comparing the end of the 21st century to
 122 the 20th century average, the multi-model mean increase is around 70% (Supporting In-
 123 formation Fig. S1). However, the spread across the model ensemble is large. Individual
 124 models project near-zero or even small negative changes in rainfall, while at the other
 125 extreme, some models show increases of around 200%, i.e., a tripling of average rainfall
 126 amounts, between the 20th century and the end of the 21st century (Fig. 1(b)). The largest
 127 relative increases are found in the CanESM and EC-Earth3 models, each of which have
 128 several variants in the ensemble, but which between each other are largely independent
 129 (Brunner et al., 2020), highlighting that models with very large rainfall increases can-
 130 not be treated as mere outliers.

131 Thus, the models in CMIP6 largely agree on the sign of the expected central Sa-
 132 hel rainfall change, and more so than in previous simulation rounds (Biasutti, 2013; Park
 133 et al., 2015); but given the broad and homogeneous distribution of projected rainfall changes
 134 across the CMIP6 ensemble, there remains a large uncertainty about the magnitude of
 135 this change. Constraining these centennial-scale projections with past observations is com-
 136 plicated by the earlier finding that mean biases in past Sahel rainfall simulations are a
 137 poor predictor of simulated future rainfall change (Monerie et al., 2017); and by the fact
 138 that the centennial-scale trend in the observations is very small. Indeed, average rain-
 139 fall amounts in the early 21st century are roughly the same as during the early 20th cen-
 140 tury (Fig. 2(a)).

141 This is despite substantial decadal-scale trends in the data. In the first half of the
 142 20th century, central Sahel rainfall saw a rising trend, concurrent with the trend in global
 143 mean surface temperature. Beginning in the 1950s, however, rainfall gradually declined,
 144 leading into a period of devastating droughts in the 1970s and 1980s. Unlike with global
 145 mean temperature, which saw a relatively short period of moderate decline, the declin-
 146 ing trend in Sahel rainfall did not reverse until about the late 1980s. It coincided with
 147 a period of steeply rising sulfate aerosol precursor emissions in Europe and North Amer-
 148 ica, as well as globally, stemming from fossil fuel combustion (shading in Fig. 2(a)).

149 Motivated by previous findings regarding Sahel rainfall response to GHG and aerosol
 150 forcing (Giannini & Kaplan, 2019), we divide the historical rainfall record into three parts:
 151 A period with steeply rising and, subsequently, high anthropogenic SO₂ emissions in the
 152 North Atlantic sector (AER, 1951-1995); as well as the periods before (PRE, 1901-1950)
 153 and after (POST, 1996-2019) this period. The PRE and POST periods correspond to
 154 rising trends in Sahel rainfall and a dominant role of GHG-induced surface warming as
 155 the forcing responsible for that trend; whereas the AER period corresponds to (mostly)
 156 declining Sahel rainfall and an important role of sulfate aerosols in inhibiting Atlantic
 157 moisture supply to the Sahel, as discussed above. We find, in both the PRE and POST
 158 periods, a very similar sensitivity of Sahel rainfall to global surface warming: About 0.7
 159 mm/day per degree of warming (Fig. 2(b)). This similarity suggests a coherent response
 160 of Sahel rainfall to surface warming in the absence of strong aerosol forcing.

Given that aerosol precursor emissions, at least in the OECD countries that are most relevant for North Atlantic aerosol pollution, are assumed to remain low (Rao et al., 2017), future Sahel rainfall changes should be dominated by GHG forcing as long as GHG concentrations keep increasing; which is the case in most SSP scenarios until the 2040s, and in SSP5-85 throughout the 21st century. Therefore, we use the response coefficient estimated from the POST period observations to constrain the model projections. We find that only ten of the 39 models fall within the 66% range of the estimated coefficient of 0.72; two more models fall just outside of it (Fig. 3). About half of the models have a negative coefficient, i.e. they show a decrease of Sahel rainfall with global warming during the POST period. Using the PRE period instead, we find similar results, although the models that fall within the 66% range of the observational estimate are not exactly the same (see Supporting Information, Fig. S2). We base our discussion in the main paper on the results from the POST period, since models might be more reasonably expected to reproduce this later period well given the stronger forcing and arguably better observational data compared to the PRE period.

The models' sensitivity of Sahel rainfall to warming in the recent past is correlated with the projected response to future warming, although the spread is large in particular among the models with a negative historical sensitivity (Fig. 3). Especially at high warming, those models encompass the full spread of the ensemble, including models such as AWI-CM-1-1-MR, MIROC6, and MPI-ESM1-2-LR that show both a strongly negative response of rainfall to past warming, and a strong future rainfall increase. In contrast, the spread is smaller across those models that fall within the 66% range of the observed sensitivity during POST (histograms in Fig. 3). In particular, none of these models projects less than a 0.5 mm/day increase of average rainfall under SSP5-8.5. Most project an increase of 0.6 to 1.2 mm/day at 2°C global warming, and of 1 to 2 mm/day at 3.5°C warming; while one of the models with the strongest agreement with observed sensitivity, NESM3, projects an increase of 3 mm/day at 3.5°C warming. Correspondingly, the median rainfall change of the constrained ensemble is substantially higher than that of the full ensemble: About 0.85 compared to 0.65 mm/day at 2°C, and 1.4 compared to 1.0 mm/day at 3.5°C.

In terms of relative changes within the next two decades, the multi-model mean of the constrained ensemble projects a rainfall increase by nearly 50% by the 2040s, compared to about 25% in the full ensemble (Fig. 1, blue line). This difference grows to almost 100% (constrained ensemble) versus about 50% (full ensemble) by the end of the century; all relative to recent rainfall levels (Supporting Information Fig. 1). Analysis of monthly rainfall changes shows that while some CMIP6 models project a substantial drying in the beginning of the rainy season, this is not observed in the constrained ensemble: All models whose sensitivity to historical warming is close to the observed one, also show an increase of rainfall throughout the rainy season in the future (Fig. 4). This is consistent with the idea of an intensifying West African monsoon system in a warming atmosphere with increasing moisture supply from oceanic evaporation.

The spatial distribution of rainfall anomalies and the location of the strongest rainfall increase in the Sahel region are not exactly the same in all models (Supporting Information, Fig. S3), reflecting uncertainties in the dynamic response of the atmospheric circulation to global warming (Monerie et al., 2020). We allow for the possibility that models may simulate the response to GHG-induced forcing correctly but with some deviation in terms of the spatial pattern of rainfall and rainfall changes. To this end, we select for every model a rectangular region encompassing, where present, the area of maximum rainfall increase in or near the central Sahel under SSP5-8.5. In most cases, this is either identical to the common central Sahel region used so far, or offset from that region by a few degrees to the South and/or East (Supporting Information, Fig. S3, boxes; note that boxes are chosen congruent with the respective model grid). Constraining rainfall change in these model-specific regions using the same observational estimate as be-

fore, we obtain a stronger correlation between models' historical sensitivity and projected future change (Supporting Information, Fig. S4). As before, the constraint excludes models with negative and (with one exception at 2°C warming) small positive rainfall changes, while retaining many of the models with the largest projected rainfall increases. The multi-model median projection in the constrained ensemble is again higher than in the full ensemble, though the difference is somewhat smaller than when using a common central Sahel region.

4 Conclusions

We have presented a new method to constrain central Sahel rainfall projections in global climate models, which utilizes previous findings on the relative effects of GHG and aerosol forcing and their combination. By excluding from historical data the period with strongly increasing scattering aerosol forcing in the North Atlantic sector, we quantify the rainfall response to past GHG-induced warming only, and find very similar response coefficients for two separate periods. These estimates place a strong constraint on the CMIP6 climate model simulations, in that only a minority of models exhibit a historical rainfall response that is consistent with these observations.

We note that the correlation among the model ensemble between past and projected future rainfall response to GHG-induced warming is relatively weak, especially at higher warming. This can be ascribed to a small number of models that simulate substantial drying during past periods of dominant GHG forcing, while simulating substantial wetting in the future scenario; a discrepancy that may warrant further investigation. Nevertheless, our approach does not depend on a correlation because we are constraining a mechanism (rainfall response to GHG-induced warming in the absence of strong aerosol forcing) by itself; the physical connection between the constraint and the variable of interest is therefore inherent.

The constraint consistently removes models with negative or small positive projected rainfall changes from the model ensemble, while retaining some of the models with very large rainfall increases. The multi-model median rainfall change by the end of the 21st century is substantially higher in the constrained ensemble than in the full ensemble. The same is true already by the 2040s, for which the constrained multi-model mean projects nearly a 50% increase over recent rainfall levels – a large rise over a short period during which additional GHG-induced global warming is virtually unavoidable, so that this finding holds irrespective of the SSP scenario. The constraint also removes models that project a drying in the early parts of the rainy season, retaining only models with increasing monthly mean rainfall throughout the season. These findings may aid the interpretation of climate model projections, and support the expectation that in a future dominated by GHG-induced warming, the central Sahel faces a much wetter rainy season.

Acknowledgments

The work was supported within the European Union Horizon 2020 framework (CASCADES project). The data used in this study are publicly available through the Earth System Grid Foundation (ESGF; e.g., <https://esgf-data.dkrz.de/projects/esgf-dkrz/>) for CMIP6, and at <https://catalogue.ceda.ac.uk/uuid/89e1e34ec3554dc98594a5732622bce9> for CRU. We gratefully acknowledge the CMIP6 modelling teams and the CRU for making their data available. We thank M. Büchner for help with data transfers.

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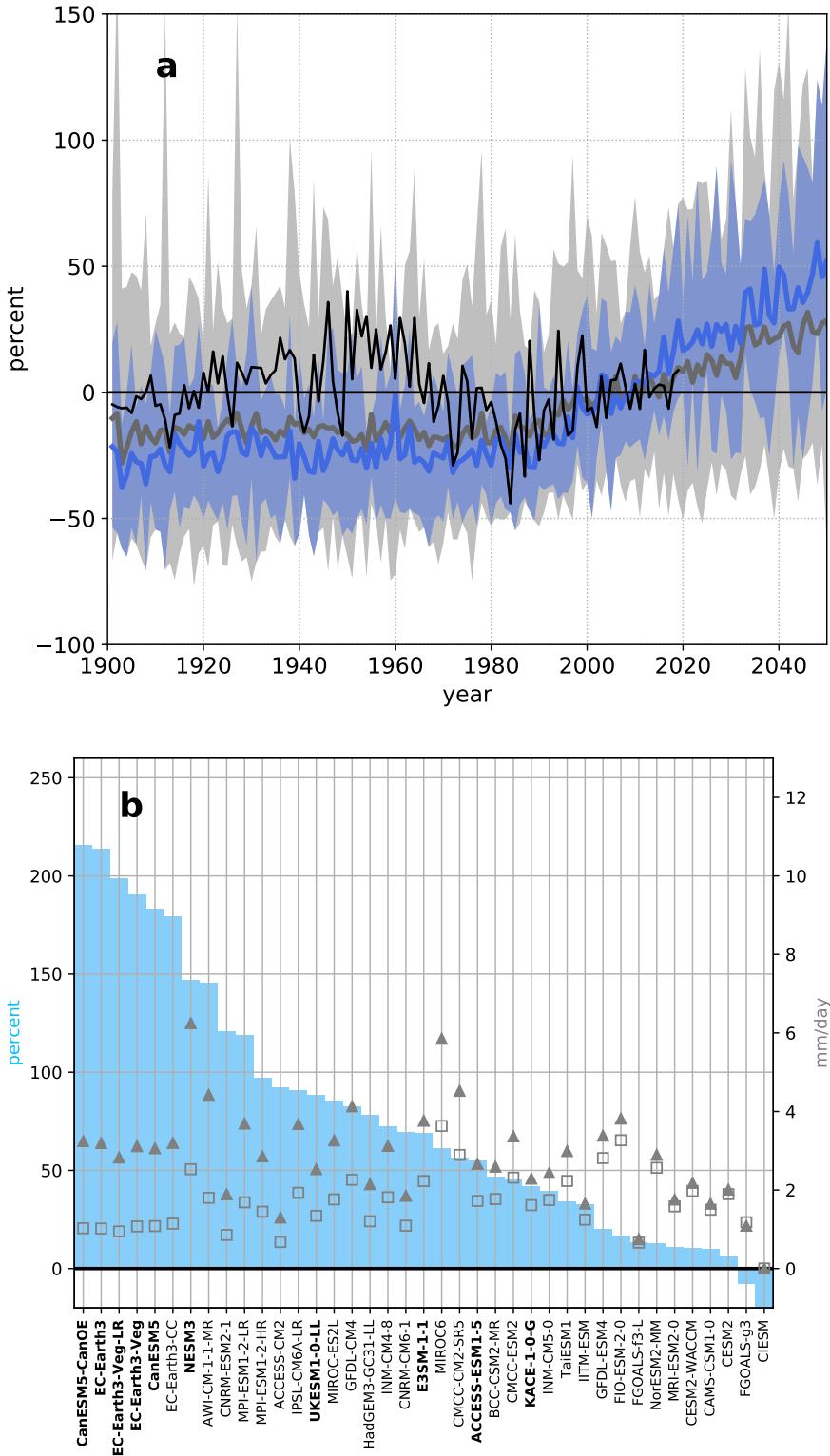


Figure 1. Sahel summer rainfall in CMIP6 coupled climate models under historical and SSP5-8.5 forcing. (a) Percentage deviation from 1996–2019 average rainfall in the full ensemble (grey) and the constrained ensemble (blue), and in observations (CRU TS v4.04, (Harris et al., 2020); thin black line). Thick lines and shading show multi-model mean and envelope (minimum and maximum among models), respectively. (b) Percentage change in simulated average rainfall by the end of the 21st century (2071–2095) compared to the 20th century average (1901–1999; bars, left vertical axis). Open squares and filled triangles show the simulated average rainfall during 1901–1999 and 2071–2095, respectively, in absolute terms (right vertical axis). The constrained ensemble is denoted by model names in bold font. An extended version of panel (a) until 2100 is provided in Supporting Information, Fig. S1.

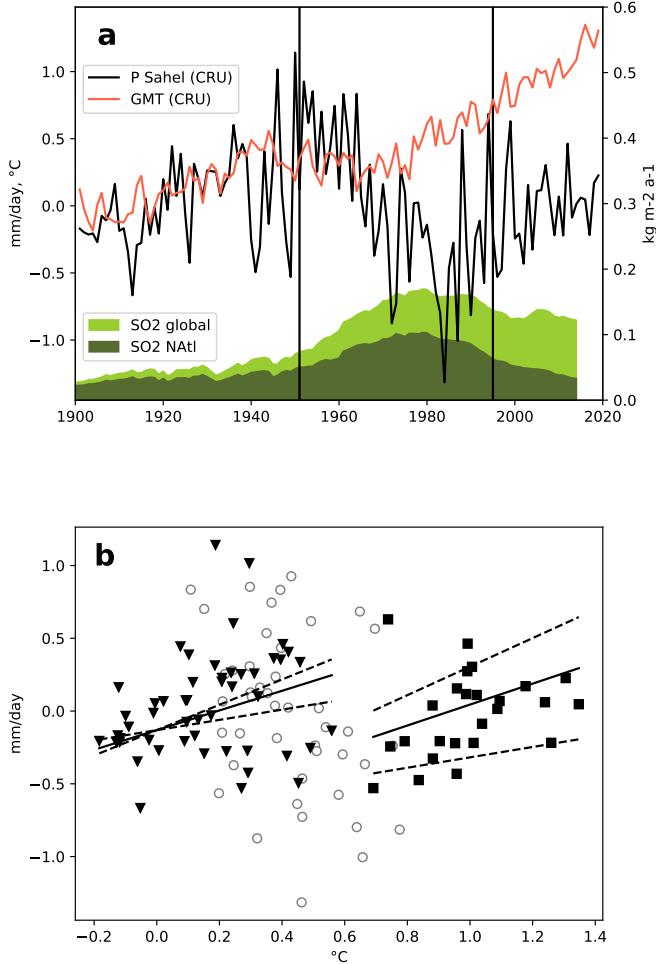


Figure 2. (a) Observed anomalies in global annual mean temperature (GMT, red) and Sahel summer rainfall (averaged over 0–30°E, 10–20°N and July–September; black), from CRU TS v4.04 (Harris et al., 2020). GMT anomalies are measured against the 1986–2005 average, and displayed relative to pre-industrial (1765–1850) conditions using an estimated warming of 0.75°C from pre-industrial up to 1986–2005 (Hawkins et al., 2017). Precipitation anomalies are relative to the 20th century average (1901–1999). Dark and light green shading shows total anthropogenic sulfur dioxide (SO2) emissions in the North Atlantic sector (100°W–50°E, 0–90°N) and globally, respectively (Hoesly et al., 2018). (b) Sahel rainfall and GMT anomalies from (a), plotted against each other. The data is divided into a period with high SO2 emissions in the North Atlantic sector (1951–1995, grey circles), as well as before (PRE; triangles) and after (POST; squares) this period; as indicated by the vertical lines in (a). Solid lines show Theil-Sen slopes fitted separately to the PRE and POST data, and dashed lines indicate the 66% compatibility intervals of the slope estimates. The central estimate of the slope – the sensitivity of Sahel precipitation to global warming – is 0.68 for PRE and 0.72 for POST.

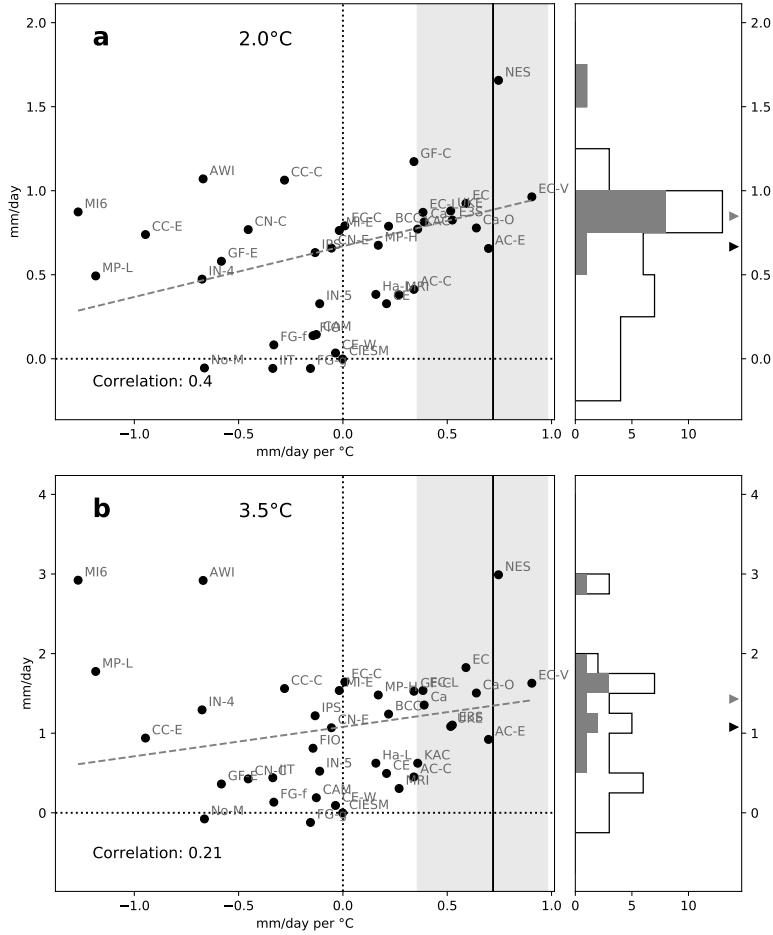


Figure 3. CMIP6 Sahel ($0\text{--}30^\circ\text{E}$, $10\text{--}20^\circ\text{N}$) rainfall projections at (a) 2.0°C and (b) 3.5°C global warming, constrained with past sensitivity to GHG-induced warming. Left panels show simulated change in Sahel rainfall versus simulated historical precipitation sensitivity. Each symbol represents a CMIP6 model (see Supporting Information Table S1). Solid vertical line is the precipitation sensitivity calculated from observations (slopes in Fig. 2(b)), for the POST period. Shaded area indicates the corresponding 66% compatibility interval. Precipitation sensitivity was calculated analogously for the models. Dashed grey lines show Theil-Sen slopes. Precipitation at 0°C , 2.0°C , and 3.5°C global warming is calculated as the median of all values within a bin of 0.5°C width centered around the respective warming level, and the difference between the two levels is shown on the y-axis. Right panels show histograms of rainfall change in the full ensemble (black outline) and the ensemble of models whose precipitation sensitivity falls within the observed range (grey bars). The corresponding ensemble median is given by the black and grey triangle, respectively.

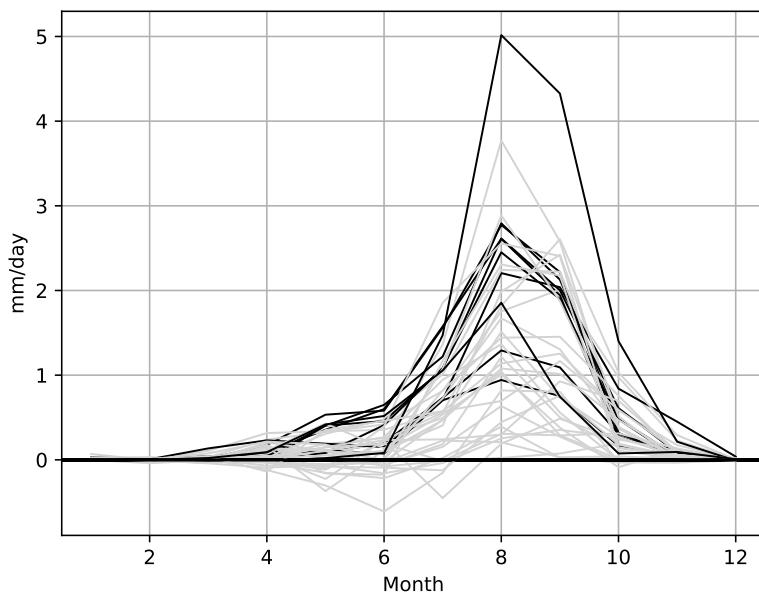


Figure 4. Change (future minus past) in monthly average Sahel ($0\text{--}30^{\circ}\text{E}$, $10\text{--}20^{\circ}\text{N}$) daily precipitation between the end of the 20th century (1970–1999) and the end of the 21st century (2070–2099). Models with historical precipitation sensitivity within the 66% range of observations (cf. Fig. 3) are shown in black, others in grey.