

**Rethinking the role of transport and photochemistry in regional ozone pollution:  
Insights from ozone mass and concentration budgets**

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**Introductions**

Four texts, 12 figures and two tables are included in this Supporting Information for the paper entitled “Rethinking the role of transport and photochemistry in regional ozone pollution: Insights from ozone mass and concentration budgets”.

For text:

- Text S1 describes the detailed process of O<sub>3</sub> budget calculations in this study.
- Text S2 is the comparison between the equations of O<sub>3</sub> budget calculations used in this study with these in 1-D models.
- Text S3 presents the results of model validation of atmospheric boundary layer (ABL) height, wind and O<sub>3</sub> mixing profiles based on the IAGOS dataset.
- Text S4 gives further analyses on the contributions of horizontal transport and ABL-free troposphere (FT) exchange due to the large-scale air motions (ABL-FT-M; advection through the ABL top) in O<sub>3</sub> mass and concentration budgets.

For figures:

- Figure S1 indicates two calculation paths in the calculation of regional O<sub>3</sub> concentration budget within an hour.
- Figure S2 shows the results of O<sub>3</sub> budget closure examinations for O<sub>3</sub> mass and concentration budgets in the two representative months.
- Figure S3 displays the spatial distributions of the second modeling domain (d02) and source regions.
- Figure S4 presents the spatial distributions of 18 sites of the Guangdong-Hong Kong-Macao Pearl River Delta Regional Air Quality Monitoring Network.
- Figure S5 compared the mean diurnal changes of O<sub>3</sub> concentrations in the Pearl River Delta from three sources: observational near-ground O<sub>3</sub> concentrations, modeling near-ground O<sub>3</sub> concentrations and modeling ABL-mean O<sub>3</sub> concentrations.
- Figure S6 is the flow diagram of the O<sub>3</sub> budget calculation processes.
- Figure S7 is the flow diagram of the O<sub>3</sub> budget calculation in the Step I (or the tool *flux\_4d\_cal*).
- Figure S8 shows the comparison results between IAGOS and modeling atmospheric boundary layer height in Hong Kong in Oct. 2015.
- Figure S9 shows the comparisons between IAGOS and modeling wind roses in Hong Kong in the two representative months.
- Figure S10 shows the comparisons between IAGOS and CMAQ modeling vertical profiles of O<sub>3</sub> mixing ratios in Hong Kong in the two representative months.
- Figure S11 displays the wind roses at 14:00, 16:00, and 18:00 local time of O<sub>3</sub> polluted days in July 2016 in the Pearl River Delta.
- Figure S12 displays the cross-section of O<sub>3</sub> concentrations and wind fields at 16:00 local time on a representative polluted day of July 2016.

For tables:

- Table 1 gives more detailed information on the O<sub>3</sub> polluted days of the Pearl River Delta in the two representative months.
- Table 2 lists the formulas in the O<sub>3</sub> flux calculations, parameters used and their source files in the *flux\_4d\_cal* tool.

## Text S1. Detailed process of O<sub>3</sub> budget calculations

As the flow diagram shown in Fig. S6, there are two steps in the calculations of O<sub>3</sub> budget based on the WRF-CMAQ modeling results:

### 1) Step I: Quantifications of transport fluxes and volume

The post-processing tool *flux\_4d\_cal* was developed using FORTRAN90 for this step. For all grids except for those next to the boundaries of the modeling domain, the calculation contents in the tool include:

- Hourly horizontal transport fluxes of O<sub>3</sub> within the ABL, including these in the x- and y-directions;
- Hourly fluxes of O<sub>3</sub> attributed to ABL-FT exchange due to the changes of ABL heights (ABL-FT-H);
- Hourly fluxes of O<sub>3</sub> attributed to ABL-FT exchange due to the large-scale air motion (advection through the ABL top; ABL-FT-M), including these in the x-, y- and z-directions;
- Hourly contributions of other processes (gas-phase chemistry, cloud process and dry deposition) to O<sub>3</sub> mass variations within the ABL;
- Hourly transported air volumes by each transport process;
- Total O<sub>3</sub> masses within the ABL at both the start and end of each hour;
- ABL heights at the starting and end hours.

(Note: ABL, atmospheric boundary layer; FT, free troposphere.)

All of the above values can be found in the netcdf (nc) output files, and they are used in the Step II calculations.

To finish the calculations of Step I, several input files are needed:

- Meteorological files processed by the MCIP module in CMAQ from the WRF outputs, which include the METCRO2D (meteorological parameters in the 2-D space), METCRO3D (meteorological parameters in the 3-D space) and MERDOT3D (wind speeds in the 3-D space) files;
- Pollutant concentration output files (CONC files) modeled by CMAQ, where hourly O<sub>3</sub> concentrations are stored;
- Process Analysis (PA) output files modeled by CMAQ, where the hourly, nested contributions of gas-phase chemistry, cloud process and dry deposition to O<sub>3</sub> concentration are stored.

For most of the files used here, the setting of spatial domains and times should be consistent; otherwise, the calculations would not be performed or generate wrong results. Additionally, users should provide the resolution of the modeling domain and the orders of contributions by three non-transport O<sub>3</sub> processes in the PA files for further calculations.

The flow chart of the calculation in *flux\_4d\_cal* is shown in Fig. S7. The calculation formulas for the grid cell (*i, j*), parameters used and their source files are summarized in Table S2. There are four loops in the calculations, which are the loops of x-, y-grids, time steps and vertical layers. We assume that there are 60 time-steps within an hour, and parameters at each time step can be

interpolated linearly by their values at the starting and end hours. The hourly contribution of non-transport processes to  $O_3$  in a grid cell is divided equally to these within each time step. For every layer within the ABL, fluxes and volumes related to horizontal transport and non-transport processes are calculated and summed up. For layers where the ABL top is located, besides these aforementioned parameters, fluxes and volumes related to ABL-FT exchange (ABL-FT-H and ABL-FT-M) are also calculated. Total  $O_3$  masses within the ABL at the start and end of each hour are directly calculated, and ABL heights at the starting and end hours can be read from the METCRO2D files.

The height of night-time stable ABL can be severely underestimated by normally used ABL parameterization, especially when the Richardson number is used (Dai et al., 2014). To reduce the influence of imprecise ABL heights in the  $O_3$  budget calculations, here, we set the lowest ABL height limit as 350 m for all hours, which is an approximate value close to the values reported by night-time observations in summer or autumn in the Pearl River Delta (Chan et al., 2006; Fan et al., 2011; He et al., 2021; Song et al., 2021). The results of budget closure examination (Fig. S2) also suggest that the choice of this value is acceptable. Further studies are surely needed to better determine this value. However, we focus on the causes of daytime ozone pollution; thus, night-time budgets do not notably influence the conclusions of this study.

## 2) Step II: Regional $O_3$ budget calculations and closure examinations

This step aims to: 1) calculate the hourly  $O_3$  mass and concentration budgets within the ABL of the user-defined regions, and 2) check whether the closure between the changes of  $O_3$  masses/concentrations modelled by CMAQ and the net contributions of processes calculated above can be achieved. Besides the nc file generated in Step I, the definition of targeted region grids and borders (the grids within the targeted region and adjacent to the outside regions) should also be provided by users. Any software with basic data analysis and nc-file processing (Python, MATLAB, R, etc.) can be applied for this step.

The calculation processes in this step include:

- Calculation of the hourly horizontal transport fluxes of  $O_3$  through each user-defined border ( $O_3$  fluxes in every interface between the border grids and the outside regions, in both x- and y-directions, are taken into the calculations).
- Calculation of the hourly ABL-FT exchange fluxes of  $O_3$  and the contributions of other processes to  $O_3$  mass within user-defined targeted region grids.
- Calculation of the hourly  $O_3$  concentration budget (the contributions of processes to the hourly variations of  $O_3$  concentrations) based on  $O_3$  transport fluxes and the corresponding volumes of transported air parcels.

More details on the calculation of the  $O_3$  concentration budget are introduced as follows. As displayed in Fig. S1, within an hour, the mean  $O_3$  concentration within the ABL of the targeted region changes from  $c_0$  to  $c_1$ . Normally,  $O_3$  mass and ABL volume both change notably, making it difficult to quantify the contributions to  $O_3$  concentration variations by various processes. It should be noted that this is one of the main reasons why regional  $O_3$  mass and concentration budgets are different. To simplify the calculation, two calculation paths (shown as the red arrowlines in Fig. S1;  $c_{r1}$  and  $c_{r2}$  are the reference  $O_3$  concentrations separately for two calculation paths) are used in the calculations, assuming that only  $O_3$  mass or ABL volume change in each step of two paths. For the path “ $c_0 \Rightarrow c_{r1} \Rightarrow c_1$ ”, the first step is the ABL volume change step, with  $O_3$  concentration change described as:

$$c_{r1} - c_0 = c_0 \times \left( \frac{\sum H_0}{\sum H_1} - 1 \right) \quad (S1)$$

where  $H_0$  and  $H_1$  are the ABL heights at the starting and end hours. It is counted as part of the contributions by ABL-FT-H. The second step is the  $O_3$  mass change step, with  $O_3$  concentration change described as:

$$c_1 - c_{r1} = \frac{\sum(F_{htrans} - c_{r1} \times \Delta V_{htrans})}{L^2 \times \sum H_1} + \frac{\sum(F_{ABL-FT-M} - c_{r1} \times \Delta V_{ABL-FT-M})}{L^2 \times \sum H_1} + \frac{F_{ABL-FT-H}}{L^2 \times \sum H_1} + \frac{F_{chem}}{L^2 \times \sum H_1} + \frac{F_{cloud}}{L^2 \times \sum H_1} + \frac{F_{ddep}}{L^2 \times \sum H_1} \quad (S2)$$

where  $F_{htrans}$ ,  $F_{ABL-FT-M}$ ,  $F_{ABL-FT-H}$ ,  $F_{chem}$ ,  $F_{cloud}$  and  $F_{ddep}$  indicate the contributions of horizontal transport, ABL-FT-M, ABL-FT-H, gas-phase chemistry, cloud process and dry deposition, respectively, to  $O_3$  mass change.  $\Delta V_{htrans}$  and  $\Delta V_{ABL-FT-M}$  are the volumes of transported air parcel attributed to horizontal transport and ABL-FT-M, respectively, within an hour.  $L$  denotes the length of the grid cell, or the horizontal resolution of the model. The six terms on the right-hand sides of the above formula are separately classified as the individual contribution of horizontal transport, ABL-FT-M, ABL-FT-H, gas-phase chemistry, cloud process and dry deposition in the  $O_3$  concentration budgets. Note that the contributions of ABL-FT-H are separately calculated in two steps. Similarly, for the path “ $c_0 \Rightarrow c_{r2} \Rightarrow c_1$ ”, the changes of  $O_3$  concentration in two steps can be described as:

$$c_{r2} - c_0 = \frac{\sum(F_{htrans} - c_0 \times \Delta V_{htrans})}{L^2 \times \sum H_0} + \frac{\sum(F_{ABL-FT-M} - c_0 \times \Delta V_{ABL-FT-M})}{L^2 \times \sum H_0} + \frac{F_{ABL-FT-H}}{L^2 \times \sum H_0} + \frac{F_{chem}}{L^2 \times \sum H_0} + \frac{F_{cloud}}{L^2 \times \sum H_0} + \frac{F_{ddep}}{L^2 \times \sum H_0} \quad (S3)$$

$$c_1 - c_{r2} = c_{r2} \times \left( \frac{\sum H_0}{\sum H_1} - 1 \right) \quad (S4)$$

The contributions of various processes can be classified correspondingly. The final results of contributions by processes are the average values of these calculated based on two calculation paths.

## Text S2. Comparisons of $O_3$ concentration budget calculations between this study and 1-D models

When the region column in the Chemical Transport Models (CTMs) is thin enough to resemble a line, the  $O_3$  concentration budget calculations using the CTMs results are expected to be the same as those in 1-D models. Thus, we can use it to check the validity of  $O_3$  concentration budget calculations in this study.

Here the contributions of horizontal transport to the variations of  $O_3$  concentration over the studied space ( $\langle c \rangle$ ) can be described as (Eq. (3) in the manuscript):

$$\left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{htrans} = \frac{F_{htrans} + \langle c \rangle (V - dV)}{V} - \langle c \rangle = \frac{F_{htrans} - \langle c \rangle dV}{V} \quad (S5)$$

185 where  $F_{htrans}$  is the  $O_3$  flux of horizontal transport;  $V$  is the original volume of the PRD grids  
 186 below the ABL;  $dV$  is the volume of transported parcels. Assume that the length of the region in  
 187 the x-directions is  $dx$ , thus,

$$V = S dx \quad (S6)$$

188 where  $S$  is the area of the interface. As calculated in the  $O_3$  mass budget, in the unit time,

$$F_{htrans} = cuS \quad (S7)$$

$$dV = uS \quad (S8)$$

189 where  $c$  is  $O_3$  concentration in the transported air parcels, and  $u$  is the mean horizontal wind  
 190 speed in the interface. Therefore, from Eqs. (S5)-(S8), we can get:

$$\left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{htrans} = u \frac{c - \langle c \rangle}{dx} = u \frac{dc}{dx} \quad (S9)$$

191 For ABL-FT-H, its contributions when  $V$  is much higher than  $dV$  (this assumption can be  
 192 normally met when the period is short) are:

$$\left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{ABL-FT-H} = \frac{F_{ABL-FT-H} + \langle c \rangle V}{V + dV} - \langle c \rangle \approx \frac{F_{ABL-FT-H} - \langle c \rangle dV}{V} \quad (S10)$$

193 where  $F_{ABL-FT-H}$  is the  $O_3$  flux contributed by ABL-FT-H. In the unit time,

$$F_{ABL-FT-H} = c_h \frac{\partial H}{\partial t} L^2 \quad (S11)$$

$$dV = \frac{\partial H}{\partial t} L^2 \quad (S12)$$

$$V = HL^2 \quad (S13)$$

194 where  $c_h$  is the  $O_3$  concentration in the ABL top;  $L$  is the width of the grid cell (equal to the  
 195 horizontal resolution of the model);  $H$  is the ABL height. Therefore, from Eqs. (S10)-(S13),

$$\left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{ABL-FT-H} = \frac{c_h - \langle c \rangle}{H} \frac{\partial H}{\partial t} \quad (S14)$$

196

197 For ABL-FT-M,

$$\begin{aligned} \left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{ABL-FT-M} &= \frac{F_{ABL-FT-M} + \langle c \rangle (V - dV)}{V} - \langle c \rangle \\ &= \frac{F_{ABL-FT-M} - \langle c \rangle dV}{V} \end{aligned} \quad (S15)$$

198  $F_{ABL-FT-M}$  is the  $O_3$  flux attributed to ABL-FT-M. In the unit time,

$$F_{ABL-FT-M} = c_h \left( u_h \frac{\partial H}{\partial x} + v_h \frac{\partial H}{\partial y} - w_h \right) L^2 \quad (S16)$$

$$dV = \left( u_h \frac{\partial H}{\partial x} + v_h \frac{\partial H}{\partial y} - w_h \right) L^2 \quad (S17)$$

$$V = HL^2 \quad (S18)$$

where  $u_h$ ,  $v_h$  and  $w_h$  are the ABL-top wind speeds in the x, y and z-direction, respectively. Therefore, from Eq. (S15-18),

$$\left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{ABL-FT-M} = \frac{c_h - \langle c \rangle}{H} \left( u_h \frac{\partial H}{\partial x} + v_h \frac{\partial H}{\partial y} - w_h \right) \quad (S19)$$

$$\begin{aligned} \left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{ABL-FT} &= \left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{ABL-FT-H} + \left[ \frac{\partial \langle c \rangle}{\partial t} \right]_{ABL-FT-M} \\ &= \frac{c_h - \langle c \rangle}{H} \left( \frac{\partial H}{\partial t} + u_h \frac{\partial H}{\partial x} + v_h \frac{\partial H}{\partial y} - w_h \right) = \frac{w_e \Delta c}{H} \end{aligned} \quad (S20)$$

where  $w_e$  is the entrainment rate of the ABL;  $\Delta c$  is equal to the difference between  $O_3$  concentrations in the FT and ABL. Therefore, for these transport processes, the above formulas (Eqs. (S9), (S14), (S19), and (S20)) are the same as those used in 1-D models (Janssen and Pozzer, 2015; Vilà-Guerau de Arellano et al., 2015), suggesting their applicability in the quantification of the  $O_3$  concentration budget using CTMs modeling results.

### **Text S3. Model validation of ABL height, wind and $O_3$ mixing ratio profiles based on the IAGOS dataset**

IAGOS (In-service Aircraft of a Global Observing System; <https://www.iagos.org>) is a global aircraft-based observing system, where state-of-the-art instruments deployed in aircrafts are used to measure reactive gases, greenhouse gases, aerosol and clouds in the troposphere and lower stratosphere (Petzold et al., 2016). Meteorological parameters, including air temperature, wind speed and direction, are also provided by IAGOS. When the aircrafts climb up or descent, these measurements are suitable for obtaining the vertical profiles of parameters with high resolutions, which provides valuable observational datasets for the model validation in the vertical direction.

To ensure reasonable quantifications of the  $O_3$  budgets, the IAGOS dataset in two representative months in Hong Kong (located in the south PRD) was used to evaluate the modeling performance of WRF-CMAQ in this study. We focused on comparing parameters within the height range of 0-5 km. Since observational data is often missing in some height ranges and the vertical resolution of modeling results is relatively low, we calculated the mean observational and modeling values within every 500 m height range (i.e., 0-500 m, 500-1000 m, etc.) for the comparisons. The detailed evaluations are introduced as follows:

#### **(1) Atmospheric boundary layer (ABL) heights:**

ABL heights are used to quantify the contribution of ABL-FT exchange in the  $O_3$  budgets. Therefore, the evaluation of modeled ABL heights is important. In this study, the observational ABL heights were determined using the profiles of potential temperature ( $\theta$ ) in IAGOS, defined as the heights where the lapse rate of  $\theta$  ( $\partial\theta/\partial z$ , the rate of  $\theta$  changing over height change) reaches its maximum values (Dai et al., 2014). Since there are limited profiles available in July 2016 and night-time ABL heights are hard to be accurately determined, we only evaluated the modeling performance of ABL heights during the daytime (6:00-18:00 Local Time (LT)) of Oct. 2015. As shown in Fig. S8, the mean bias (MB) between modeling and observational ABL heights in Hong Kong is only -1.1 m, and a good correlation between ABL heights from two datasets ( $R = 0.76$ ) suggests that the mean diurnal cycles of ABL can be modeled well. Though the modeling performance of ABL heights is satisfying based on the IAGOS dataset in Hong Kong, more comprehensive comparisons based on three-dimensional observations with higher spatiotemporal resolutions and coverages are required for more accurate  $O_3$  budget estimates in future studies.

## (2) Wind profiles:

Figure S9 shows the IAGOS and modeling wind roses within the height ranges of 0-1000 m, 1000-2000 m and 2000-5000 m. Both datasets indicate that higher wind speed can be generally found at higher altitudes. In autumn, WRF overestimates wind speed below 1000 m by 0.6 m/s (16%), but underestimates it above 1000 m. In summer, the biases between wind speeds in the two datasets are relatively smaller, especially at lower heights (< 2000 m). Both datasets show similar prevailing wind directions at different height ranges and in different seasons. Thus, the modeling performance of wind speeds and directions in the vertical direction is acceptable.

## (3) O<sub>3</sub> mixing ratio profiles:

The comparisons between observational and modeling profiles of O<sub>3</sub> mixing ratio are displayed in Fig. S10. Not many O<sub>3</sub> profiles were available in July 2016, and the useable ones were mostly measured during clean periods. Thus, the comparison was mainly based on the results in Oct. 2015 (the number of IAGOS O<sub>3</sub> profiles available for the comparisons is 41). Both datasets show that O<sub>3</sub> mixing ratio decreases with height in Hong Kong. Below the height of 1000 m, the observational and modeling O<sub>3</sub> mixing ratios are 71.4 ppbv and 75.8 ppbv, respectively. Within the height range of 1000-2000 m, the O<sub>3</sub> mixing ratio is overestimated by 26%. High O<sub>3</sub> levels during Oct. 13-24 and relatively low O<sub>3</sub> levels in other periods can be found in both datasets, suggesting that the developments of O<sub>3</sub> pollution in the month were modeled well. Therefore, the performance of O<sub>3</sub> profiles modeling can also meet the requirement of O<sub>3</sub> budget calculations.

## Text S4. Further analyses on the contributions of horizontal transport and ABL-FT-M in O<sub>3</sub> mass and concentration budgets

(Note: ABL-FT-M, the exchange between ABL and FT due to large-scale air motions (advection through the ABL top).)

As shown in Fig. 3 in the manuscript of this paper, the contributions of both horizontal transport and ABL-FT-M in both O<sub>3</sub> budgets were less notable than those of ABL-FT-H and gas-phase chemistry. However, they reflect the characteristics of regional wind fields, thus are still worthy of further analyses. Two main findings are described as follows:

### (1) The contribution of horizontal transport and ABL-FT-M in autumn is connected to the characteristics of horizontal wind fields in the PRD.

Northerly and easterly winds prevail in autumn (Fig. S9), thus O<sub>3</sub> is transported into the PRD through its north and east borders, out of the PRD through the south and west borders, which has been shown in the results of the O<sub>3</sub> mass budget. O<sub>3</sub> outfluxes were generally higher than influxes in the daytime, which is attributed to higher O<sub>3</sub> levels in the air parcels transported out of the PRD than these in parcels into the region. This is also why horizontal transport leads to the decrease of O<sub>3</sub> concentration in the daytime. Though horizontal transport contributed to lower O<sub>3</sub> fluxes at night, it became the main nighttime source for O<sub>3</sub>. This is to say, the transport of air parcels with high O<sub>3</sub> levels from the outskirts helped maintain O<sub>3</sub> pollution in the PRD to some extent at night.

The contributions of ABL-FT-M are related to the prevailing of northerly winds in the PRD. The PRD has mountainous regions in the northern, western and eastern outskirts, as well as urban regions with lower altitudes in the central plain. Thus, north winds resulted in the downward transport of O<sub>3</sub> along the terrain. Daytime ABL heights in urban regions were, in general, higher than those in mountainous regions, which is the other reason why O<sub>3</sub> can be easily transported

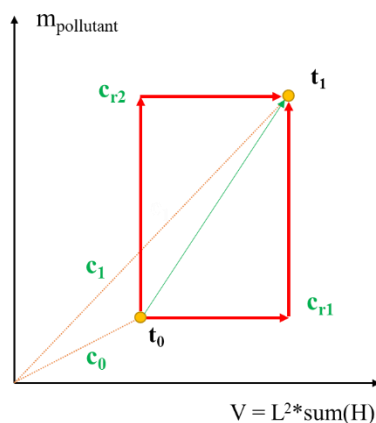


through the ABL top in the urban-rural interfaces when north wind prevailed. ABL-FT-M contributed to the increase of O<sub>3</sub> concentration during several hours after sunrise and the decrease of O<sub>3</sub> concentration in the afternoon, which is attributed to different comparison results between ABL and FT O<sub>3</sub> levels in two periods (ABL < FT in the morning; ABL > FT in the afternoon).

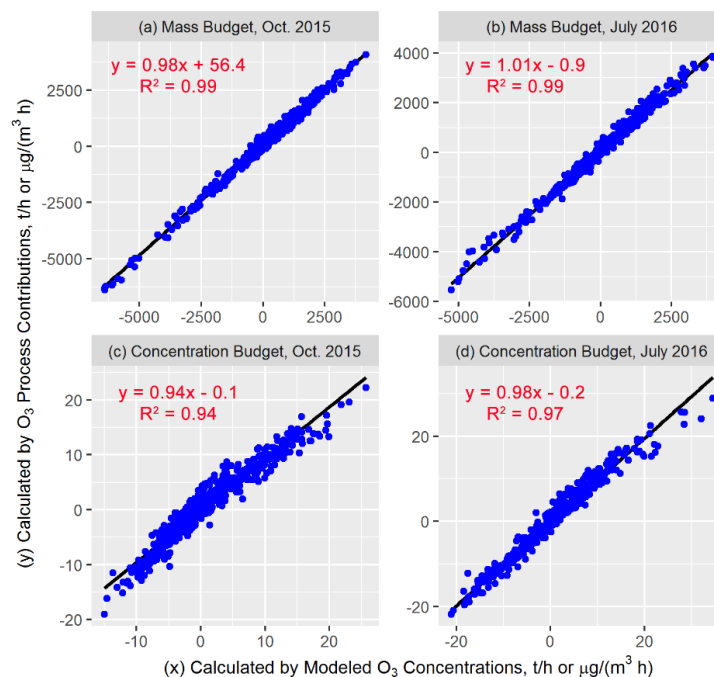
(2) The contribution of horizontal transport and ABL-FT-M in summer indicates the influence of sea breezes in the PRD.

Although southerly winds normally prevail in summer in the PRD (Fig. S9), on O<sub>3</sub> polluted days, air parcels from other directions could potentially influence the region as well (Qu et al., 2021), resulting in relatively lower horizontal transport fluxes of O<sub>3</sub> in comparison to these in autumn. What interests us is the different contributions of horizontal transport through the southern border of the PRD before and after ~14:00 LT. Besides, we also found high O<sub>3</sub> fluxes contributed by ABL-FT-M in the afternoon. These phenomena are both related to the influence of sea breezes in the PRD.

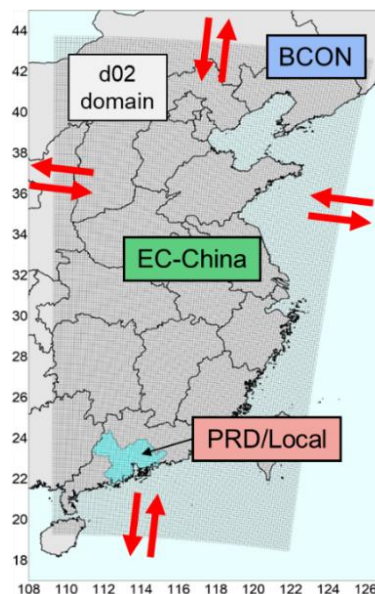
Figure S11 shows the near-ground wind roses at 14:00, 16:00 and 18:00 LT of O<sub>3</sub> polluted days in July 2016 based on the observational and modeling results in national meteorological sites within the PRD. At 14:00 LT, the main wind directions were W, SW and NW in both datasets. More S and SE winds occurred in later hours, and they became the prevailing winds at 18:00 LT — it suggests the gradual development of sea breezes in the PRD. Thus, O<sub>3</sub> was originally transported out of the PRD through its south border (O<sub>3</sub> fluxes < 0), but sea breezes gradually reversed the directions of O<sub>3</sub> transport, finally resulting in positive O<sub>3</sub> fluxes through the south border in the late afternoon. Sea breezes resulted in the changes of not only horizontal wind fields, but also vertical wind fields. Take the O<sub>3</sub> polluted day July 24<sup>th</sup> for example, and the cross-section of O<sub>3</sub> concentrations and wind fields in the PRD at 16:00 LT is shown in Fig. S12 (cross-sections were made along the 113.2° E latitude line, from 26.0 to 20.0° N). Sea breezes can be found in this plot, characterized by strong southerly wind and lower O<sub>3</sub> concentrations in the south part of the PRD. In regions where sea breezes and local air parcels encountered (characterized by the interface between low and high O<sub>3</sub> levels), updrafts occurred, suggesting the formation of sea breeze front (Ding et al., 2004; You and Fung, 2019). It promoted the upward transport of O<sub>3</sub> from the ABL to the FT, or considerable O<sub>3</sub> outfluxes attributed to ABL-FT-M.



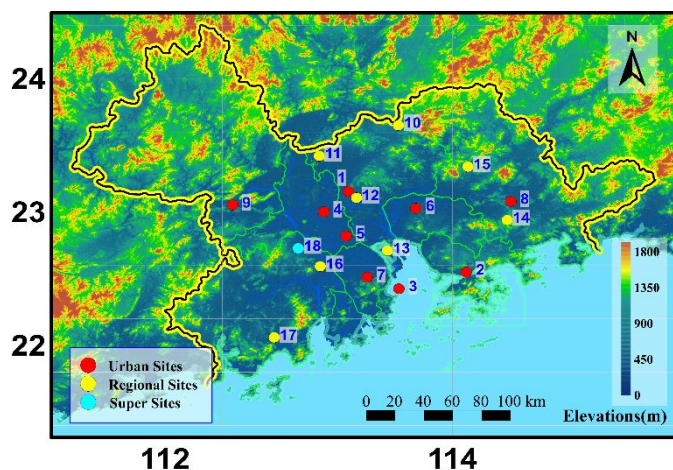
**Figure S1.** Two calculation paths in the calculation of regional O<sub>3</sub> concentration budget within an hour.  $m_{\text{pollutant}}$  indicates the total mass of pollutants in the atmospheric boundary layer (ABL) of the studied region;  $V$  is the volume of the ABL of the region;  $L$  is the length of the grids (equal to the horizontal resolution of the model);  $H$  is the ABL heights;  $t_0$  and  $t_1$  are the starting and end hours;  $c_0$  and  $c_1$  are the concentrations of pollutants in  $t_0$  and  $t_1$ , respectively;  $c_{r1}$  and  $c_{r2}$  are the reference concentrations of pollutants for two calculation paths.



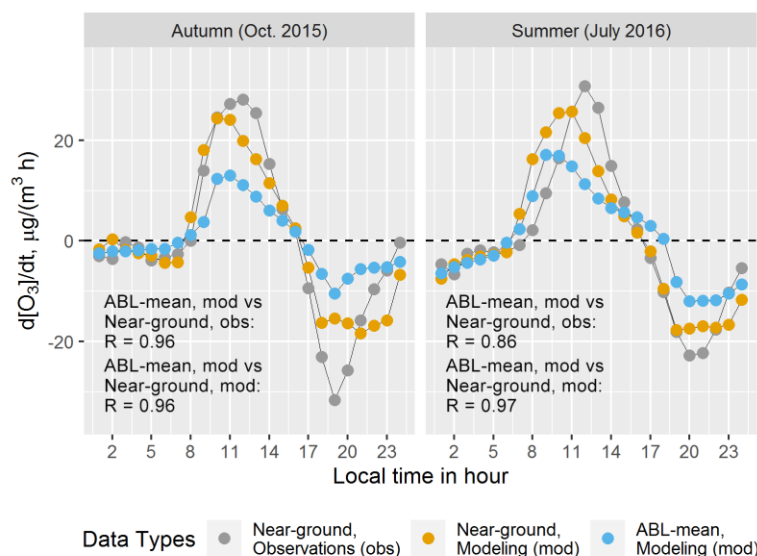
**Figure S2.** O<sub>3</sub> budget closure examinations in Oct. 2015 (a,c) and July 2016 (b,d), for the O<sub>3</sub> mass budget (a-b) and concentration budget (c-d). The units for the O<sub>3</sub> mass and concentration budgets are t/h and  $\mu\text{g}/(\text{m}^3 \text{ h})$ , respectively.



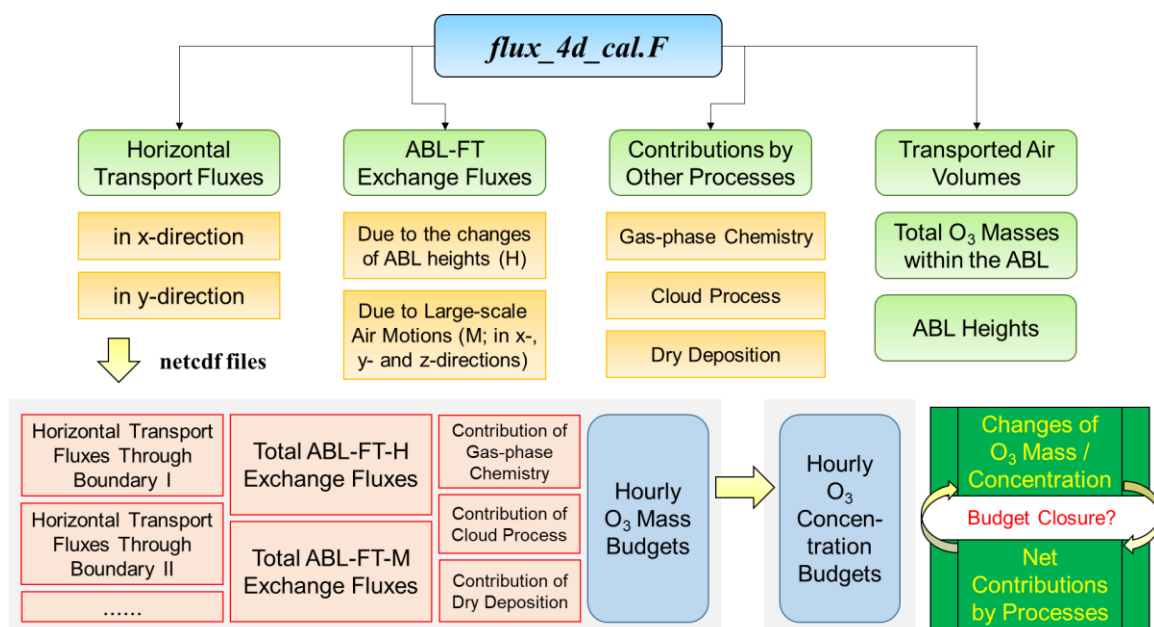
**Figure S3.** The spatial distributions of the d02 modeling domain and source regions. PRD, Pearl River Delta; EC-China, East and Central China; BCON, the boundary conditions of d02 modeling, or the contributions of sources outside d02.



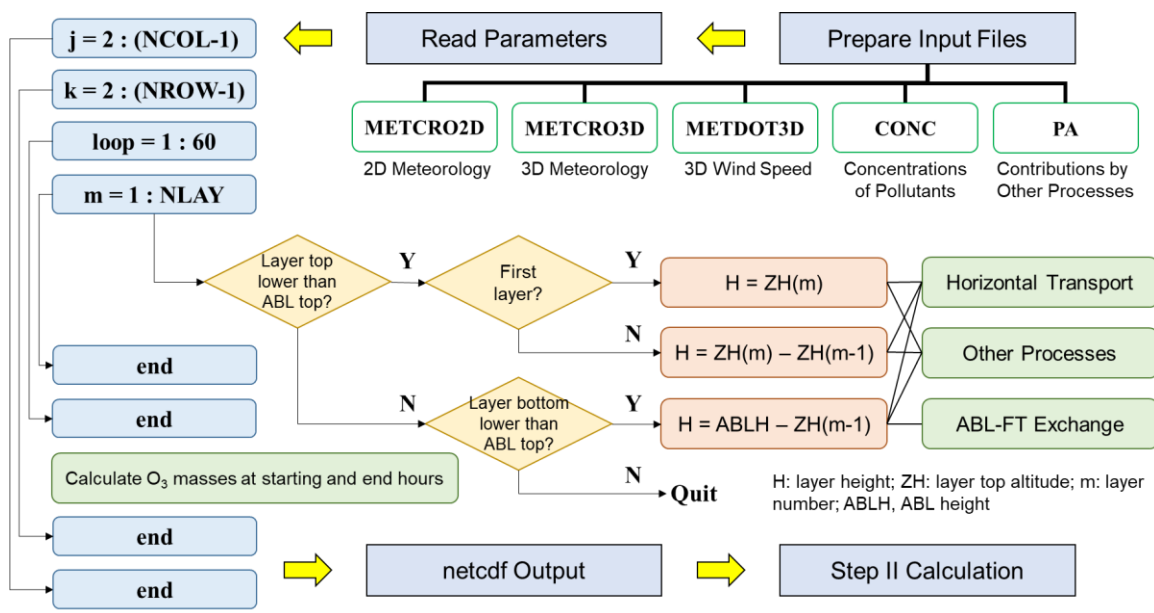
**Figure S4.** Spatial distributions of 18 sites of the Guangdong-Hong Kong-Macao Pearl River Delta Regional Air Quality Monitoring Network. The names of all sites and their located municipalities are: 1. Luhu, Guangzhou; 2. Liyuan, Shenzhen; 3. Tangjia, Zhuhai; 4. Huijingcheng, Foshan; 5. Jinjuju, Foshan; 6. Nanchengyuanling, Dongguan; 7. Zimaling, Zhongshan; 8. Xiapu, Huizhou; 9. Chengzhongzizhan, Zhaoqing; 10. Tianhu, Guangzhou; 11. Zhudong, Guangzhou; 12. Modiesha, Guangzhou; 13. Wanqingsha, Guangzhou; 14. Jinguowan, Huizhou; 15. Xijiao, Huizhou; 16. Donghu, Jiangmen; 17. Duanfen, Jiangmen; 18. Heshan Supersite, Jiangmen.



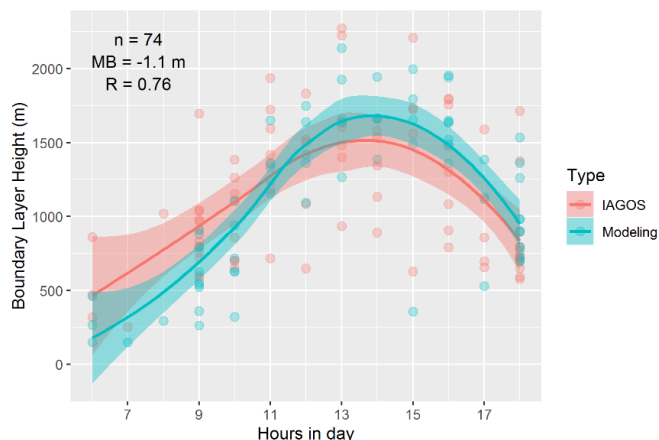
**Figure S5.** Mean diurnal change of the hourly variations of observational, modeling mean near-ground O<sub>3</sub> concentrations in 18 sites of the Guangdong-Hong Kong-Macao regional monitoring network and modeling mean O<sub>3</sub> concentration over the atmospheric boundary layer (ABL) of the Pearl River Delta on the polluted days of autumn (Oct. 2015) and summer (July 2016).



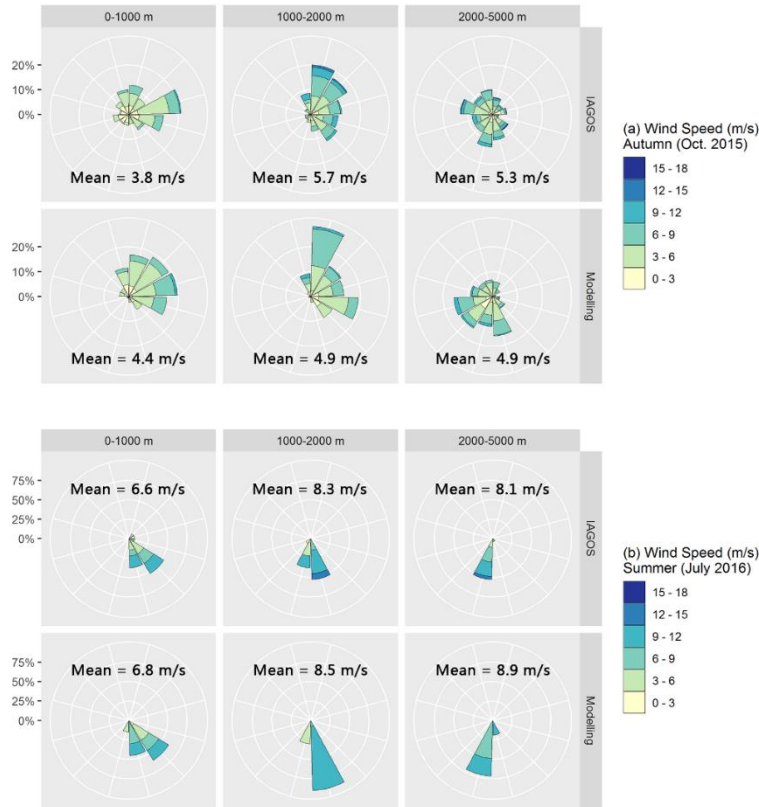
**Figure S6.** Flow diagram of the O<sub>3</sub> budget calculation processes. ABL, atmospheric boundary layer; FT, free troposphere; ABL-FT-H, ABL-FT exchange due to the changes of ABL height; ABL-FT-M, ABL-FT exchange due to the large-scale air motions (advection through the ABL top).



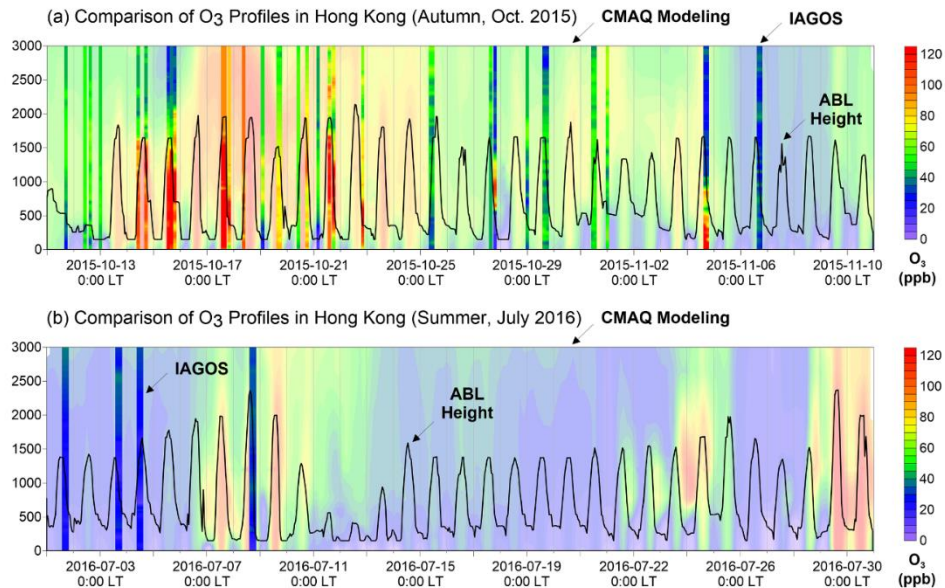
**Figure S7.** Flow diagram of the O<sub>3</sub> budget calculation in the Step I (or the tool *flux\_4d\_cal*). NCOL, NROW and NLAY indicate the number of columns, rows and vertical layers in the modeling domain. ABL, atmospheric boundary layer; FT, free troposphere. METCRO2D, 2-dimensional meteorological outputs from the MCIP module in CMAQ; METCRO3D, 3-dimensional meteorological outputs from the MCIP module in CMAQ; METDOT3D, 3-dimensional wind fields outputs from the MCIP module in CMAQ; CONC, 3-dimensional outputs of pollutant concentrations from CMAQ; PA, 3-dimensional outputs of hourly contributions by three non-transport processes to O<sub>3</sub> from CMAQ.



**Figure S8.** Comparisons between IAGOS and modeling atmospheric boundary layer height in Hong Kong in Oct. 2015. n, the number of available dataset for the comparison; MB, mean bias; R, correlation factor.

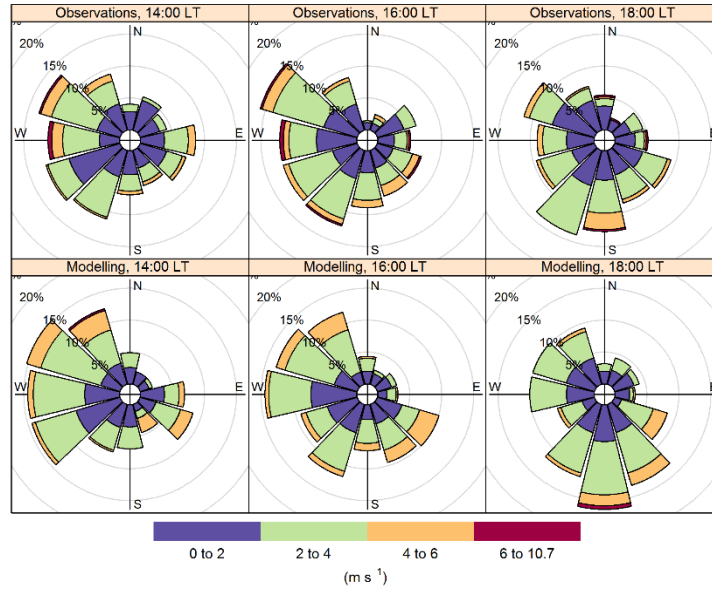


**Figure S9.** Comparisons between IAGOS and modeling wind roses in Hong Kong in (a) Oct. 2015 and (b) July 2016. Results within the height range of 0-1000 m, 1000-2000 m, and 2000-5000 m were separately displayed.

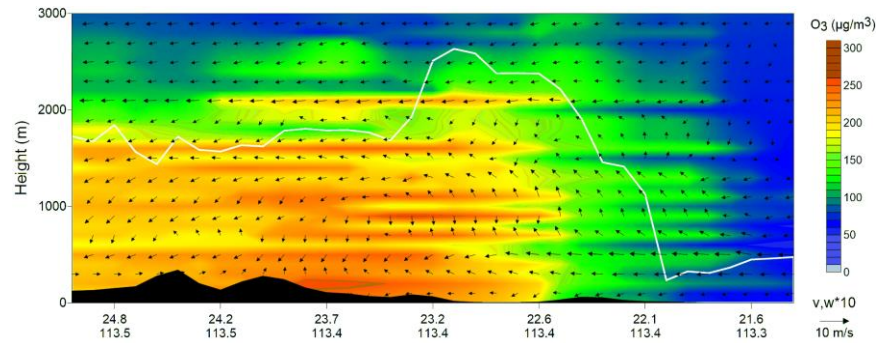


**Figure S10.** Comparisons between IAGOS and CMAQ modeling vertical profiles of  $O_3$  mixing ratios (ppb) in Hong Kong in (a) Oct. 2015 and (b) July 2016. The heights of atmospheric boundary layer (ABL) modeled by WRF in two months are also shown as solid black lines.





**Figure S11.** Wind roses at 14:00, 16:00, and 18:00 local time (LT) of O<sub>3</sub> polluted days in July 2016 in the Pearl River Delta (PRD). Observational and modeling wind speeds and directions in 29 national meteorological sites within the PRD were used for this figure.



**Figure S12.** Cross-section of O<sub>3</sub> concentrations (µg/m<sup>3</sup>) and wind fields at 16:00 local time on July 24<sup>th</sup>, 2016. The solid white line indicates the top of the atmospheric boundary layer.

**Table S1.** Information on the O<sub>3</sub> polluted days of the Pearl River Delta (PRD) in Oct. 2015 and July 2016. MDA1, the maximum 1-hr O<sub>3</sub> concentrations; MDA8, the maximum 8-hr average O<sub>3</sub> concentrations.

Dates	Influencing Weather Systems	O <sub>3</sub> concentrations in the PRD (the maximum values in nine municipals of the PRD, released by the China National Environmental Monitoring Centre; µg/m <sup>3</sup> )	
		MDA1	MDA8
Oct.13, 2015	Typhoon Koppu and Champi	201	164
Oct.14, 2015		301	244
Oct.15, 2015		271	227
Oct.16, 2015		260	219
Oct.17, 2015		233	211
Oct.18, 2015		205	187
Oct.19, 2015		214	174
Oct.20, 2015		200	158
Oct.21, 2015		214	195
Oct.22, 2015		209	182
Oct.23, 2015		249	199
Oct.24, 2015		225	193
Oct.28, 2015	Subtropical High	238	186
Nov.3, 2015	Sea High	207	162
Nov.4, 2015		182	168
Nov.5, 2015		255	187
July 7, 2016	Typhoon Nepartak	297	256
July 8, 2016		260	198
July 9, 2016		263	231
July 10, 2016		211	150
July 22, 2016	Subtropical High	211	176
July 23, 2016		223	197
July 24, 2016		265	226
July 25, 2016		334	269
July 26, 2016		235	164
July 29, 2016		271	204
July 30, 2016	Typhoon Nida	268	187
July 31, 2016		385	344



**Table S2.** Formulas in the O<sub>3</sub> flux calculations for the grid cell (*i, j*) in the unit time *dt*, parameters used and their source files in the *flux\_4d\_cal* tool.

Processes	Formulas of O <sub>3</sub> fluxes	Parameters used	Sources of parameters
Horizontal transport (in the x-direction)	$F_{u-trans} = \sum_{k=1}^h c_{i-1,j} u_{i,j+\frac{1}{2}} L \Delta z dt$	$c_{i-1,j}$ : O <sub>3</sub> concentrations in the grid cell ( <i>i-1, j</i> )	CONC files
		$u_{i,j+\frac{1}{2}}$ : wind speeds in the west interface	METDOT3D files
		<i>L</i> : the length of grid cells (= model resolution)	User defined
		$\Delta z$ : layer heights ( <i>H</i> - $z_{h-1}$ for the ABL top layer, $z_k - z_{k-1}$ for other layers within the ABL; <i>H</i> , ABL height)	METCRO3D files
		<i>h</i> : the layer of ABL top	Determined by ABL height
Horizontal transport (in the y-direction)	$F_{v-trans} = \sum_{k=1}^h c_{i,j-1} v_{i+\frac{1}{2},j} L \Delta z dt$	$c_{i,j-1}$ : O <sub>3</sub> concentrations in the grid cell ( <i>i, j-1</i> )	CONC files
		$v_{i+\frac{1}{2},j}$ : wind speeds in the south interface	METDOT3D files
		<i>L</i> : the length of grid cells (= model resolution)	User defined
		$\Delta z$ : layer heights ( <i>H</i> - $z_{h-1}$ for the ABL top layer, $z_k - z_{k-1}$ for other layers within the ABL; <i>H</i> , ABL height)	METCRO3D files
		<i>h</i> : the layer of ABL top	Determined by ABL height
ABL-FT exchange due to the changes of ABL heights	$F_{ABL-FT-H} = c_h \frac{\partial H}{\partial t} L^2 dt$	$c_h$ : O <sub>3</sub> concentrations in the ABL top layer	CONC files
		$\frac{\partial H}{\partial t}$ : the change rates of ABL height	METCRO2D files
		<i>L</i> : the length of grid cells (= model resolution)	User defined
ABL-FT exchange due to large-scale air motions (in the x-direction)	$F_{ABL-FT-Cu} = c_{i-1,j(h)} u_{i,j+\frac{1}{2}(h)} \frac{\partial H}{\partial x} L^2 dt$	$c_{i-1,j(h)}$ : O <sub>3</sub> concentrations in the ABL top layer of the grid cell ( <i>i-1, j</i> )	CONC files
		$u_{i,j+\frac{1}{2}(h)}$ : wind speeds in the ABL top layer of the west interface	METDOT3D files
		<i>L</i> : the length of grid cells (= model resolution)	User defined
		$\frac{\partial H}{\partial x}$ : the difference of ABL heights in x-direction, or between the grid cells ( <i>i, j</i> ) and ( <i>i-1, j</i> )	METCRO2D files
ABL-FT exchange due to large-scale air motions (in the y-direction)	$F_{ABL-FT-Cv} = c_{i,j-1(h)} v_{i+\frac{1}{2},j(h)} \frac{\partial H}{\partial y} L^2 dt$	$c_{i,j-1(h)}$ : O <sub>3</sub> concentrations in the ABL top layer of the grid cell ( <i>i, j-1</i> )	CONC files
		$v_{i+\frac{1}{2},j(h)}$ : wind speeds in the ABL top layer of the south interface	METDOT3D files
		<i>L</i> : the length of grid cells (= model resolution)	User defined
		$\frac{\partial H}{\partial y}$ : the difference of ABL heights in y-direction, or between the grid cells ( <i>i, j</i> ) and ( <i>i, j-1</i> )	METCRO2D files
ABL-FT exchange due to large-scale air motions (in the z-direction)	$F_{ABL-FT-Cw} = -c_h w_h L^2 dt$	$c_h$ : O <sub>3</sub> concentrations in the ABL top layer	CONC files
		$w_h$ : vertical wind speeds in the ABL top layer	METCRO3D files
		<i>L</i> : the length of grid cells (= model resolution)	User defined
Other processes (gas-phase chemistry, cloud process, dry deposition)	$F_{others} = \sum_{k=1}^h IPR \Delta z dt$	<i>IPR</i> : integrated process rates of pre-set processes	PA files
		$\Delta z$ : layer heights ( <i>H</i> - $z_{h-1}$ for the ABL top layer, $z_k - z_{k-1}$ for other layers within the ABL; <i>H</i> , ABL height)	METCRO3D files
		<i>h</i> : the layer of ABL top	Determined by ABL height