

Sustainable Virtual Water Transfers: A Comparative Assessment of the Topical Condition of Water Scarcity and Water Savings in Africa

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Key Points:

- Africa's virtual water transfers, water scarcity, and water savings/losses assessed.
- Virtual water trade relied on population, GDP, crop production, and arable land.
- Southern African countries showed a high net virtual water export in Africa.
- Global water loss of 2820.7 km³/a from the trade of major grains in Africa.

25

26 **Abstract**

27 Humanity is facing an increasing challenge with respect to water scarcity (WS). This issue is
28 driven by climate change, population growth, and socioeconomic growth combined with
29 inadequate water resources management. In particular, there is considerable concern over virtual
30 water (VW) transfers, which pose difficulties for water resources and food security
31 sustainability. In this study, we assessed the i) VW content of crops, ii) VW flows, iii) WS, iv)
32 water dependency (WD), v) water self-sufficiency, and vi) water savings/losses in African
33 countries at different time series. We also addressed censorious issues and challenges for
34 sustainable development in water-scarce regions. The results showed that the average net VW
35 import was positive ($108.9 \times 10^9 \text{ m}^3/\text{a}$). The WS values for East African countries were > 100 ,
36 indicating overexploitation. In addition, the overall WD in Africa was 4655% in recent years.
37 The trade of main grains between Africa and the rest of the planet corresponded to a global water
38 loss of $2820.7 \times 10^9 \text{ m}^3/\text{a}$. However, a shift was observed in the ranking of commodities
39 imported from one region to another owing to the evolution of a country's economic
40 development. The VW export of a country depended on the population size, gross domestic
41 product, agricultural production, and area of arable land. Finally, we highlight opportunities for
42 enhancing water use efficiency by increasing food production in water-scarce regions, thereby
43 contributing to the achievement of Sustainable Development Goals.

44 **Keywords:** virtual water; water footprint; water scarcity; water dependency; sustainable
45 development; Africa

46

47 **Plain Language Summary**

48 Our study assesses the interlinkages between virtual water transfers, water security, and water
49 savings, and provides clear implications for optimal water use and sustainable agriculture.
50 Virtual water denotes the water that is required to produce food, fiber, and non-food products, as
51 well as the related energy and services. We believe that our study makes a significant
52 contribution to the literature because the results showed that the trade of main grains between
53 Africa and the rest of the world corresponded to a global water loss of $2820.7 \times 10^9 \text{ m}^3/\text{a}$. In
54 addition, the average net virtual water import was positive ($108.9 \times 10^9 \text{ m}^3/\text{a}$). Some
55 opportunities to improve water use efficiency with increased food production in water-scarce
56 regions are illustrated in effective ways to achieve Sustainable Development Goals, we need for
57 all.

58 **1. Introduction**

59 Virtual water (VW) is embodied in traded commodities (J. A. Allan, 2003) and is
60 required for producing food, fiber, and non-food products, as well as for the related energy and
61 services (J.A. Allan, 1998; Antonelli & Sartori, 2015; D'Odorico et al., 2019; Gawel & Bernsen,
62 2013; Mayer et al., 2016; Tian et al., 2018; Yang & Zehnder, 2007); thus, VW is quite complex
63 and unequally distributed globally (Buytaert & De Bièvre, 2012). Although VW is a key
64 component of, and limiting to, production, it remains a neglected and hidden aspect of water
65 resource management (Lillywhite, 2010; Zwane, 2019). Several water-scarce regions are unable
66 to meet their domestic food demand locally and tend to import food from other nations (Godfray
67 et al., 2010; Oki et al., 2017). Moreover, water scarcity (WS) and water stress (i.e., water
68 resource challenges) are among the major global issues that adversely affect countries'
69 sustainable development. These issues could induce poor health, low productivity, food
70 insecurity, and constrained socioeconomic growth. Accordingly, Africa should adopt novel

71 management techniques for water resources to enhance its equitable, efficient, and sustainable
72 use of water in the future.

73 Africa currently holds approximately 16% of the world's population, which equates to
74 $\sim 1.2 \times 10^9$ people. This is expected to increase to $> 10^{10}$ people by 2050; therefore, global food
75 production will need to expand by between $\sim 70\%$ and $> 100\%$ by 2050 (World Bank, 2020). A
76 recent study by Ritchie and Roser (2017) indicated that 85%, 10%, and 20% of water resources
77 are for agriculture, community water supply, and industrial purposes, respectively. Water
78 withdrawals include those from rainfall and internal renewable water resources. As Africa
79 accounts 9% of the world's freshwater, this resource could play a vital role in responding to
80 Africa's socioeconomic crisis. To achieve the associated Sustainable Development Goals
81 (SDGs) of the United Nations, particularly SDG 2 (zero hunger), SDG 6 (availability and
82 sustainable management of water and sanitation), SDG 13 (climate action), and SDG 15
83 (sustainable use of ecosystem services), there is a need for institutional and financial
84 arrangements, adequate data, infrastructures, and innovative technologies to cope with the water
85 crisis (Garrick et al., 2020).

86 Meanwhile, the issue of WS could be tackled by i) enhancing international trade (i.e.,
87 exports and imports of goods and services between regions), ii) developing food trade (A.
88 Hoekstra, 2010; Tian et al., 2018), iii) globalizing water flows (Friel et al., 2020), and iv)
89 supporting crop growth at the national level in line with the water footprint (WF) (Rockström et
90 al., 2014). The significant limitations of VW trade (VWT) include high food consumption,
91 inappropriate agricultural practices (in compliance with water use efficiency principles), and
92 unfavorable climate change, thus causing a decline in crop productivity in arid and semiarid
93 regions of Africa.

94 Water accessibility for farmers is limited both temporally (i.e., droughts and dry seasons)
95 and spatially (e.g., arid and semiarid regions). The linkages between energy inputs and yields are
96 not linearly correlated and are considered as a blockade to agricultural production, thus leading
97 to ever-smaller yield gains (Woods et al., 2010). These factors contribute to a high VW import
98 (VWI) and WF in countries such as Senegal, Mali, Sudan, and Chad (Arjen Y Hoekstra &
99 Chapagain, 2006). The VW used by a business for production must be less than the total
100 available VW (Stefania Tamea et al., 2016). Although an increased crop water use efficiency can
101 be correlated with increased crop exports in some cases, this is not the case for Africa. Moreover,
102 it is not yet clear how African nations will regulate the export–import balance between sectors
103 (Carr et al., 2013). Understanding VW transfers, WS, and water savings could potentially serve
104 as a tool for optimal water use and food security; however, this assumption still needs to be
105 verified for Africa.

106 After the introduction of VW and WF concepts, pioneering studies were undertaken in
107 various parts of the world for various products using different methodologies at different
108 temporal and spatial scales. Related studies have been performed on a global basis (D'Odorico et
109 al., 2014; D'Odorico et al., 2019; Dalin et al., 2012), on a regional or national basis (Abdelkader
110 et al., 2018; Dalin & Conway, 2016; Hanna, 2020; Konar & Caylor, 2013), in specific cities
111 (Akoto-Danso et al., 2019), and from crop production and product perspectives (Dabrowski et
112 al., 2009; Fair et al., 2017). Some countries now consider the notion of VW and WF to be
113 important and have begun extensive studies (e.g., in China, India, Germany, and the United
114 States of America) (Brindha, 2020; Han et al., 2017; Katyaini & Barua, 2017). Notably, findings
115 have shown that Asia and Africa are net importers of VW, representing 46% of the total
116 imported VW. Africa lags behind Asia, with values equal to $1.1 \text{ m}^3/\text{capita}/\text{d}$ (Zimmer & Renault,

117 2003). At present, there is a lack of information and no reported research on VW budgets in
118 terms of VW transfers, WS, water savings, and the related implications for water use efficiency
119 in Africa.

120 This study aims to i) assess the interlinkages between VW transfers, WS, and water
121 savings, and ii) provide clear implications for optimal water use and sustainable agriculture. The
122 results are expected to contribute considerably to the existing body of knowledge. The standard
123 calculation methods proposed by (Arjen Y Hoekstra et al., 2011) were employed, and both direct
124 and indirect water use by consumers and producers led to several clear outcomes (Dalin et al.,
125 2014). In addition, the approach described by (S Tamea et al., 2014) was used to analyze the
126 driving factors underlying the net VW fluxes.

127 At present, the VW transfer, WS, and water savings associated with crop products in
128 Africa have been under-researched. To the best of our knowledge, this paper is the first to
129 present a comprehensive, in-depth assessment that entails insights for integrated and adequate
130 policy development with respect to water and agricultural, thus contributing to the achievement
131 of SDGs. This study also focuses on the primary agricultural products traded within Africa and
132 the rest of the world (ROW) over different timespans. It is essential to understand the VW trends
133 of major crops, driving forces of VW flows, WS status, water dependency (WD), water self-
134 sufficiency, and water savings/losses with respect to the policy reforms established for a given
135 period. Accordingly, we seek to address these issues by 1) estimating how much VW is
136 associated with the major grains of Africa, 2) determining the driving forces of VW flows in
137 Africa, and 3) assessing whether water savings/losses matter for VW transfer in Africa. Finally,
138 we highlight the current and future policies that should help governments uphold sustainable
139 water resource management principles.

140 This paper is organized into six sections: after this introduction, Section 2 describes the
141 study area, data utilized and methodologies applied in the study. Section 3, presents the
142 findings of the analysis. This is followed by Section 4, which discusses the main results and
143 limitations of the study. Section 5 highlights the future outlook in relation to policy
144 implications for Africa's water resources management. Lastly, Section 6 concludes the study.

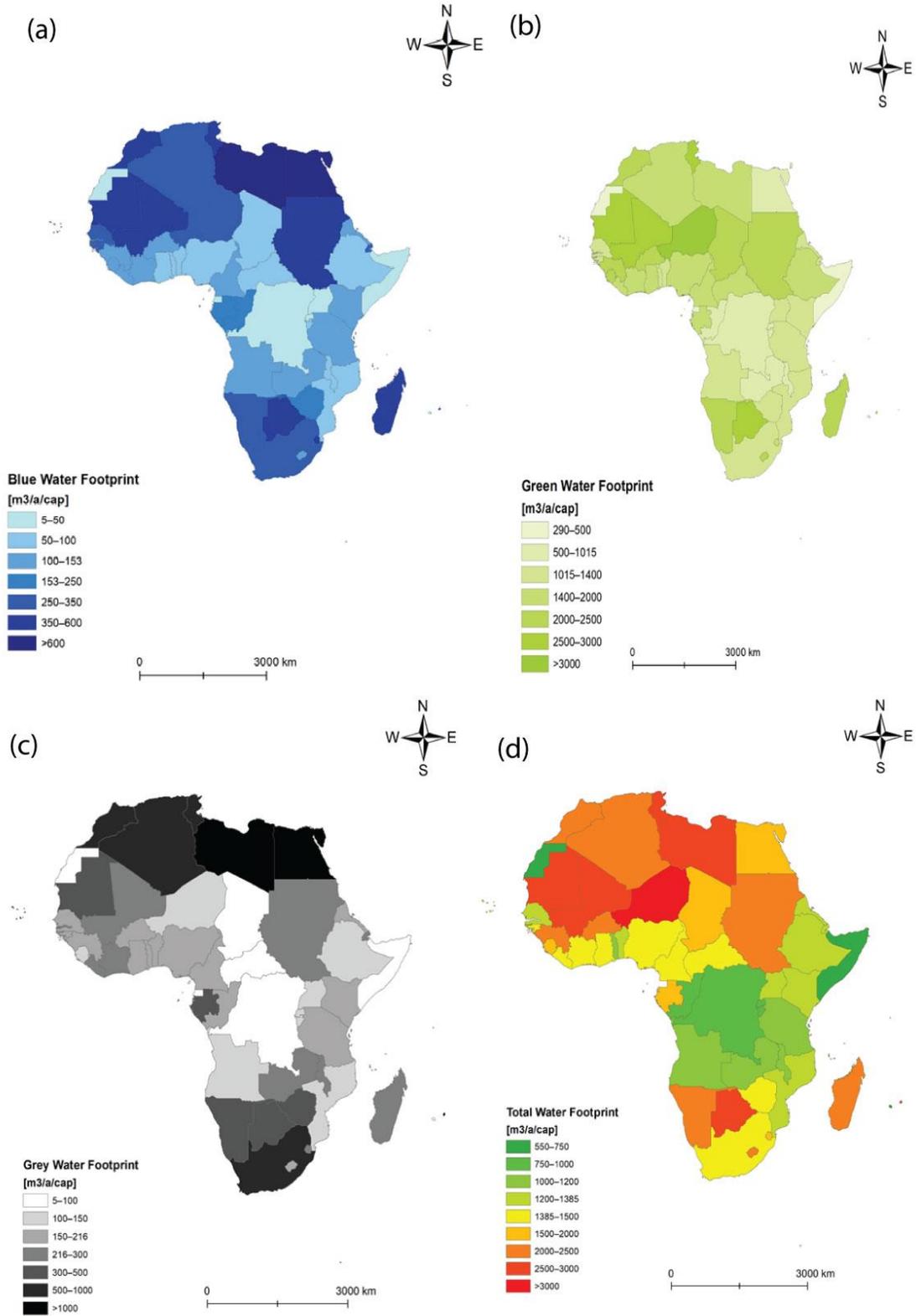
145 **2. Materials and Methods**

146 **2.1. Study area**

147 The present study considers Africa as a continent comprising 53 countries (total area of
148 30 370 000 km²), which can be categorized into five climate zones: equatorial, humid tropical,
149 semi-desert (e.g., Sahelian), Mediterranean, and desert climates (e.g., Sahara, Kalahari, and
150 Namib) (Griffiths, 1958). Africa has abundant water resources, including ~17 major rivers, 160
151 large lakes (covering an area of > 27 km²), vast wetlands (~131 × 10⁶ ha), and limited but
152 widespread groundwater (McClain, 2013). The latter accounts for just ~15% of the continent's
153 total renewable water resources (Kharraz et al., 2012). In addition, rainfall varies spatially and
154 temporally, with the highest annual rainfall occurring in the island countries (~1700 mm),
155 Central Africa (~1430 mm), the Gulf of Guinea (~1407 mm), and the northern region (~71 mm)
156 (Besada & Werner, 2015). Some African countries have WFs that greatly exceed the global
157 average per capita WF of 1385 m³/a, for example, such as Algeria, Libya, Mauritania, Mali, and
158 Tunisia, whereas other countries have a below average WF such as Democratic Republic of
159 Congo, Rwanda, and Burundi (Figure 1).

160 Africa's drylands cover 60% of the land surface area (Fadli et al., 2013; NGS, 2013),
161 and soil water emerges as the dominant driver of ecosystem changes and food production. For
162 instance, arid and semiarid areas of the Sahel, East Africa, and South Africa are characterized

163 by a deficient organic matter content and clay content, which result in a low available water
164 capacity (Walker, 2016; Wei et al., 2019). Approximately 16% of the land in Africa has high
165 quality soil, and ~13% of the land has medium quality soil. Owing to the uneven distribution of
166 water and largely poor soil quality, 55% of the land in Africa is unsuitable for agriculture.
167 These regions have constraints on sustainable agriculture; nearly 30% of the population (~250
168 $\times 10^6$ people) either lives in these regions and/or is dependent on these land resources. In
169 addition, 9×10^6 km² of land in Africa currently supports approximately 45% of the population
170 (1.2×10^9 people) (Bationo et al., 2006; Nkonya et al., 2016). The African continent has
171 $\sim 296 \times 10^6$ ha of net cropland (260×10^6 ha of cultivated land and 36×10^6 ha of fallow land)
172 and 330×10^6 ha of gross cropland. During 2014, the cultivated area of net cropland was $260 \times$
173 10^6 ha, of which 236×10^6 ha (90.6%) was rainfed and 24×10^6 ha (9.4%) was irrigated (Xiong
174 et al., 2017). Chamberlin et al. (2014) reported that Africa has 52% of the remaining arable
175 land in the world, most of which is in Algeria, the Democratic Republic of the Congo, Ethiopia,
176 Morocco, Nigeria, South Africa, the Sudan, and Uganda.



178 **Figure 1.** Spatial distribution of (a) blue, (b) green, (c) grey, and (d) total water footprints within
 179 Africa (1996–2005). Data extracted from Mekonnen and Hoekstra (2011).

180 2.2. Methods

181 Countries were selected based on their regional economic communities (RECs). This
 182 work is limited to four RECs: The East African Community (EAC), the Economic Community
 183 of West African States (ECOWAS), the Southern African Development Community (SADC),
 184 and the Union of Arab Maghreb (UMA). We selected 37 countries. Some countries are members
 185 of more than two RECs, for example, Tanzania. The data are available for different timeseries.
 186 The type of data, data sources, and input data used in this assessment are summarized in Table 1.

187 **Table 1.**

188 Types of data used and their sources.

Type of data	Source	Data input	Unit	Period	Data availability
Trade data	ChathamHouse (2018)	Agricultural products (i.e., maize, wheat, rice, and soybean)	kg	2000–2018	Chatham House Resource Trade Database, UN COMTRADE, and World Bank
Agricultural data	FAO (2020)	Crop yield (Y)	t	2012–2018	FAOSTAT Database
Water resources data	FAO (2016)	Total internal renewable water resources	m ³ /a	2012–2018	AQUASTAT Database
Climate data	Antonio and Robert (2019)	Average annual evapotranspiration (PET)	mm/a	2012–2018	Global Aridity and Potential Evapotranspiration (ET0) climate database v2
Driving forces	World Bank				World Bank

(2019)	Population	inhabitants	2000–2018	Databank
	Gross domestic product (GDP) per capita	Constant US\$	2000–2018	
	Crop production	t	2000–2018	
	Arable land	% of land area	2000–2016	

189 Source: Elaborated by the author

190

191 In addition, we selected four staple food crops (raw major grains) for the assessment
 192 (Table 2). Most staple food crops are classified as strategic agricultural products because of their
 193 high VW consumption and increased trading activity, which lead to a high water consumption
 194 (through irrigation) and high economic value in the world market (e.g., 125–240 US\$/t),
 195 respectively. For example, rice, wheat, maize, and soybean use 21%, 12%, 9%, and 4% of global
 196 water respectively as agricultural crops (Delpasand et al., 2020; Arjen Y Hoekstra & Chapagain,
 197 2011). Despite the above criteria, the selected crops revealed a high increase in production over
 198 the past three decades; rice, wheat, maize, and soybean accounted for 7.1%, 3.5%, 0.7%, and 3%
 199 of global crop production in the period of 1980–2018 (FAO, 2020). From 1961 to 2008, the yield
 200 of maize, rice, wheat, and soybean was increasing at a rate of 1.6%, 1%, 0.9%, and 1.3% per
 201 annum (Ray et al., 2013). The mean and standard deviation were calculated to describe the
 202 relationship between total internal water resources and crop production between 1980 and 2015
 203 in 37 selected countries (Figure 2).

204 **Table 2.**

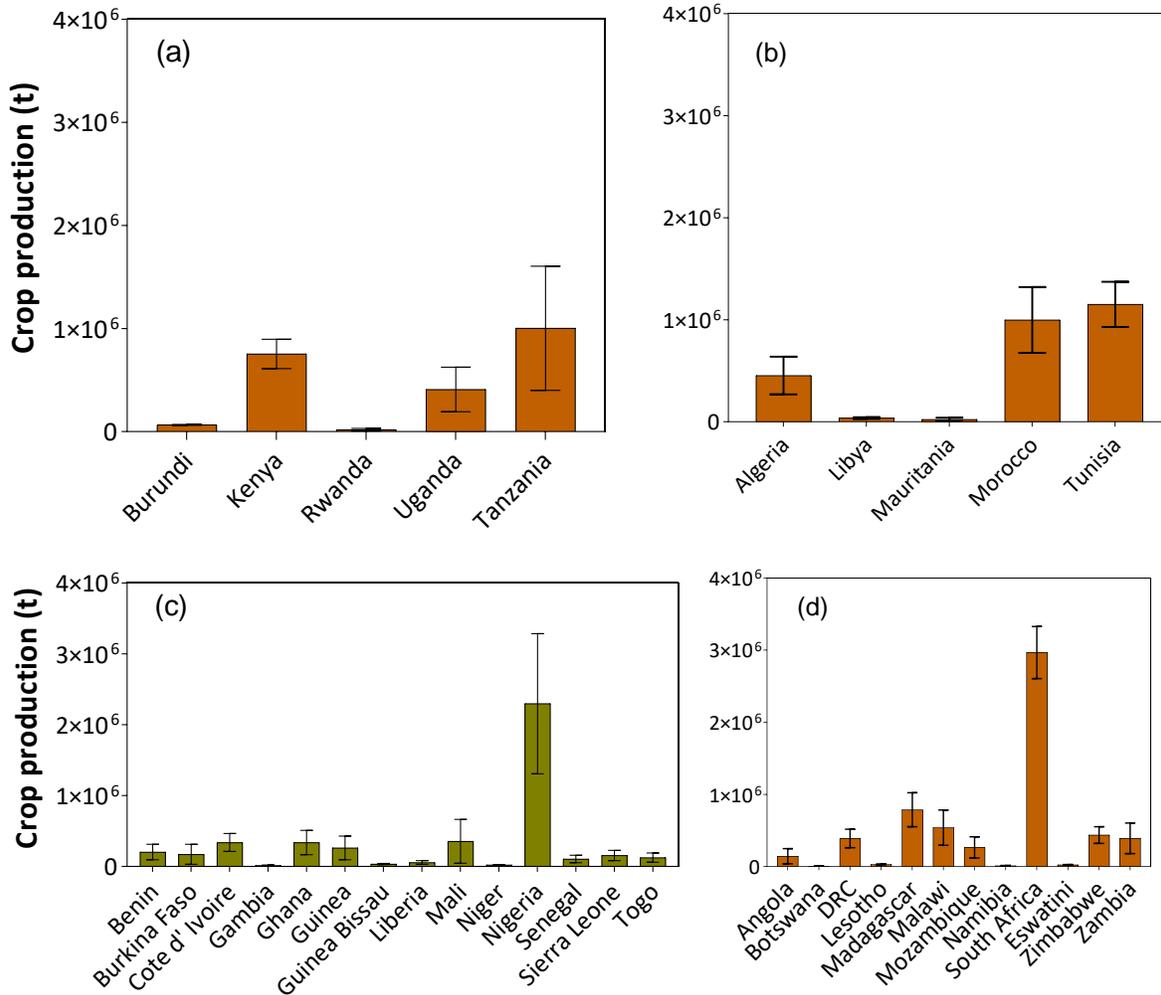
205 Characteristics of major grains (2000–2018).

	Unit	Overall	Internal	Forecast	Export		Import		Virtual
		production	sale price	(2000–2025)	Quantity	Price	Quantity	Price	Water
grains		10 ⁶ t	US \$/t	US \$/t	10 ⁶ t	US \$/t	10 ⁶ t	US \$/t	m ³ /t

Maize	1156.62	161	183	36.03	271.5	271.50	215.5	1984
Rice	469.82	480	625	0.25	438.2	2.10	46.9	3182
Soybean	34.51	350	418	2.05	447.0	33.25	473.5	4126
Wheat	436.07	195	212	3.70	308.2	663.07	256.3	2506

206

Source: Elaborated by the author



207

208 **Figure 2.** Trends in mean total internal water resources and crop production (CP) for 37 selected
 209 countries between 1980 and 2015 (data from: FAO (2020)). (a) East African Community (EAC);
 210 (b) Union of Arab Maghreb (UMA); (c) Economic Community of West African States
 211 (ECOWAS); and (d) Southern African Development Community (SADC).

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213 To estimate the virtual water content (VWC) of the four selected crops (i.e., maize, rice,
214 soybean, and wheat), the VWC ($\text{kg}_{\text{water}}/\text{kg}_{\text{crop}}$) of raw crop c in country i and year y was
215 calculated as follows:

$$216 \quad \text{VWC}_{i,c,y} = \frac{\sum ET_{i,c,y}}{Y_{i,c,y}} \quad (1)$$

217 where $ET_{i,c,y}$ ($\text{kg}_{\text{water}}/\text{m}^2$) is evapotranspiration (ET) from cropland planted with c averaged
218 over the growing season, and $Y_{i,c,y}$ ($\text{kg}_{\text{crop}}/\text{m}^2$) is the yield of crop c (both in year y and country
219 i). From 2012 to 2018, the VWCs of maize, rice, soybean, and wheat were scaled with annual
220 yield data (crop production (CP) data of the (FAO, 2020)). All VWC estimates combined green
221 (i.e., soil moisture) and blue (i.e., rivers, reservoirs, and aquifers) sources of water for
222 agricultural production.

223 Then, VW flows (VWFs) were quantified by multiplying the volume of trade (per trade
224 commodity) by the respective VWC (average WF per ton of product) in the exporting nation
225 (Roson & Sartori, 2015). The total VWF (VWF_{total}) was calculated as the sum of the VWFs of
226 all farming products (including green, blue, and gray water), as expressed below:

$$227 \quad VWF_{i \rightarrow j} = \sum_k VWF_{i \rightarrow j,k} = \sum_k \sum_c TV_{i \rightarrow j,k} \times VWC_{i,k,c} \quad (2)$$

228 where $VWF_{i \rightarrow j}$ represents the total VW flow from exporting nation i to importing nation j ;
229 $VWF_{i \rightarrow j,k}$ and $TV_{i \rightarrow j,k}$ represent the VW flow and trade volume of product k from nation i to
230 nation j , respectively; and $VWC_{i,k,c}$ represents the VW content for component c (i.e., green, blue,
231 and gray water) of product k from nation i .

232 To analyze the driving factors underlying the net VW fluxes, we employed the approach
233 described by (S Tamea et al., 2014). The explanatory variables used in the gravity-law model are

234 population, VW of per capita agricultural production, VW of per capita dietary demand, per
 235 capita gross domestic product (GDP), distance between countries, and per capita arable land.

236 Referring to the VW network complexity, a worldwide partnership that defines all
 237 exchanged fluxes as a function of the features of importers' and exporters proved to be
 238 inadequate (S Tamea et al., 2014). Thus, specific models describing the VW import (VWI) and
 239 export (VWE) of a country are essential. We deal with two gravity laws per country: (1)
 240 describing the export as a function of the characteristics of destination countries, and (2)
 241 describing the import as a function of the characteristics of source countries. Furthermore, the
 242 national WS (NWS) depends on temporal and spatial variations. This study considered the period
 243 2012–2018. The WS values of a country can be categorized into four levels: (1) overexploited
 244 ($WS > 100$), (2) heavily exploited ($60 \leq WS < 100$), (3) moderately exploited ($30 \leq WS < 60$),
 245 and (4) slightly exploited ($WS < 30$) (Brown & Matlock, 2017). Comparatively, the blue water
 246 (BW) scarcity of a country is expressed as follows: low ($WS < 1.0$), moderate ($1.0 < WS < 1.5$),
 247 significant ($1.5 < WS < 2.0$), and severe ($WS > 2.0$). A WS value of 100 indicates the total
 248 consumption of available blue water, whereas a WS of > 100 means that environmental flow
 249 requirements are not met (Arjen Y Hoekstra et al., 2011). As an index of NWS, we used the ratio
 250 of total water use to water availability:

$$251 \quad WS = \frac{WU}{WA} \times 100 \quad (3)$$

252 where WS denotes NWS (%), WU is the total annual water use in the country (m^3/a), and WA is
 253 the annual national water availability (m^3/a). Defined in this manner, the WS value is generally
 254 0–100%; however, in exceptional cases (e.g., groundwater mining) it can exceed 100%. As a
 255 measure of the national WA , we took the annual internal renewable water resources, which are
 256 the average freshwater resources renewably available over a year from precipitation falling

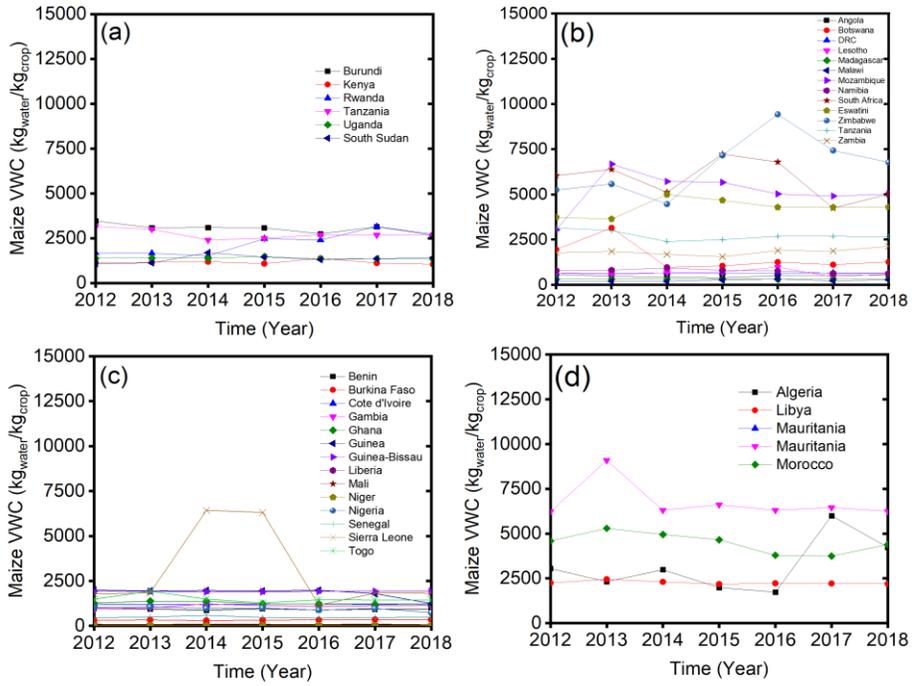
$$281 \quad \sum_{p=1}^n T_{i,e,p} \cdot (VWC_{i,p} - VWC_{e,p}) \quad (7)$$

282 where $TV_{i,e,p}$ is the product (p) amount (t/a) traded between importing region i and exporting
 283 region e ; $VWC_{i,p}$ and $VWC_{e,p}$ are the VWCs (m^3/t) of product p for importing region i and
 284 exporting region e , respectively. Positive and negative $GWS_{i,e}$ values indicate that the country
 285 saves or loses water, respectively. However, for regional water savings, if the imported amount
 286 of a product exceeds the exported amount, then this region has a NVWI and saves domestic
 287 water resources to meet its food consumption (Supplementary information, Figure S1) (Liu et al.,
 288 2019).

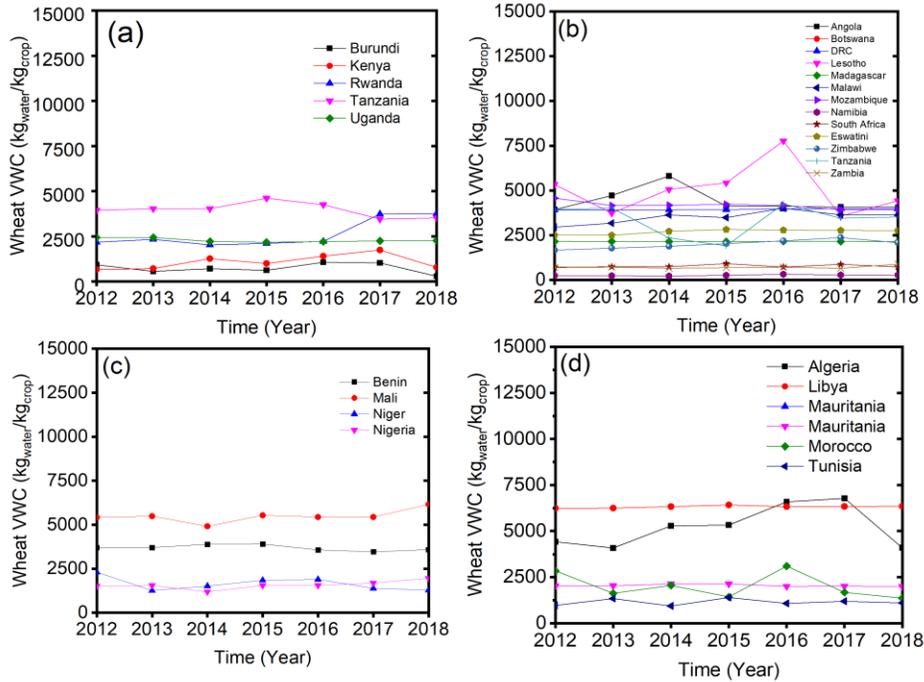
289 **3. Results**

290 **3.1. VWC of major grains in Africa**

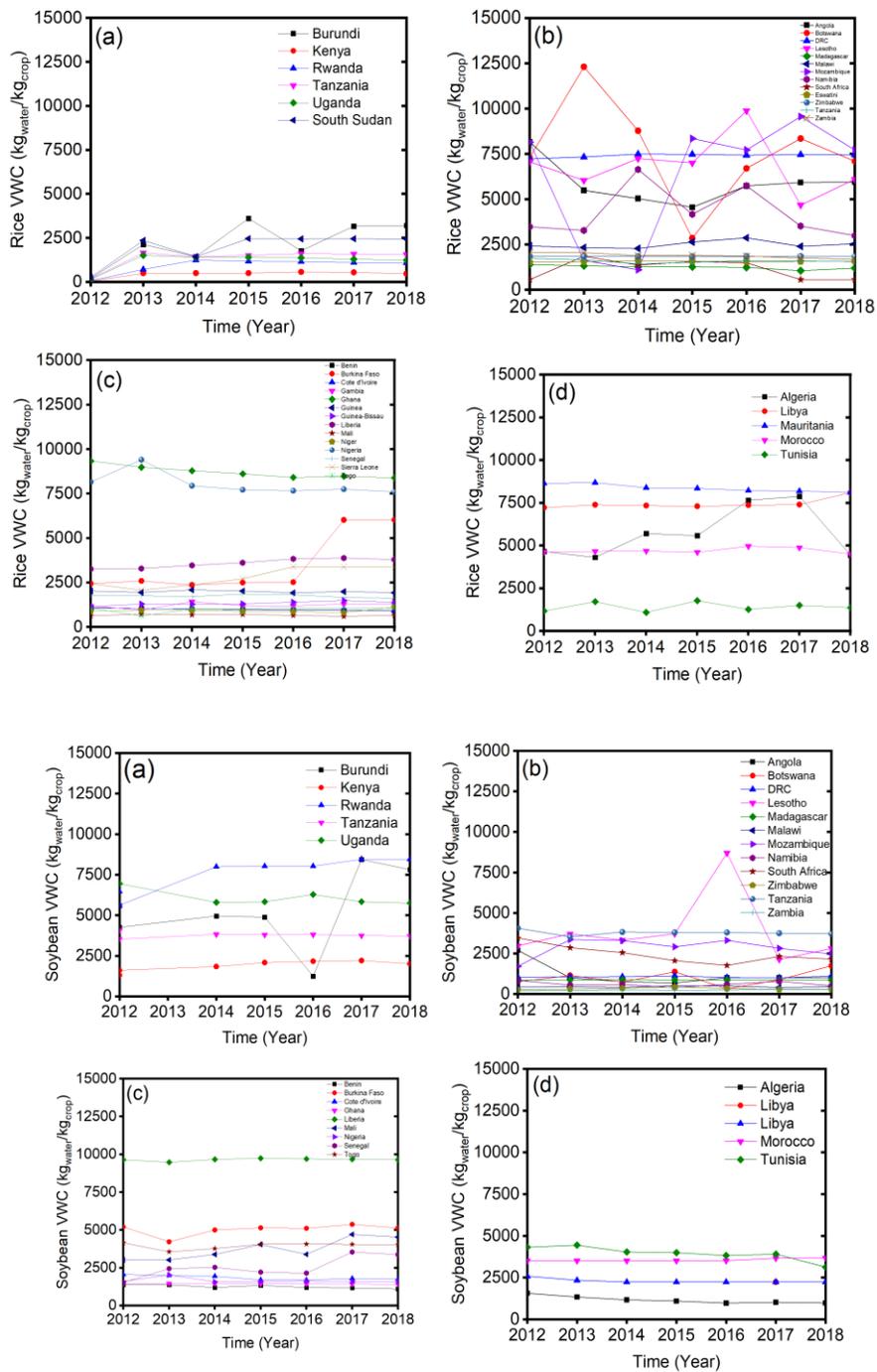
291 We estimated the VWCs of maize, wheat, rice, and soybean in Africa, which exhibited
 292 spatial and temporal variations, as illustrated in Figure 3 for maize, wheat, rice, and soybean. The
 293 VWC peaks in many countries occurred in 2013, 2015, and 2018 due to climatic conditions that
 294 resulted in a low crop productivity. The VWCs of crops varied widely between countries; for
 295 instance, during 2012–2018, the national mean VWC of rice was $1407.2 \text{ kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in Tunisia,
 296 whereas it was $7621 \text{ kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in Botswana. Similarly, the national mean VWC of maize
 297 during 2012–2018 was just $6748 \text{ kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in Mauritania, whereas it was 5836.0 and 6587.3
 298 $\text{kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in Zimbabwe and South Africa respectively (Figure 4.a-d). The data revealed that
 299 agricultural productivity was relatively high regionally, and that the VWCs of major grain crops
 300 in North Africa (particularly in the UMA) were very low compared with other parts of the world
 301 (Supplementary information, Table S2).



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Figure 3. Trends of the average VWC of maize, wheat, rice, and soybean (2012–2018) in African countries within four RECs: (a) EAC; (b) SADC; (c) ECOWAS; (d) Union of Arab Maghreb (UMA). Calculated using data from the (FAO, 2020).

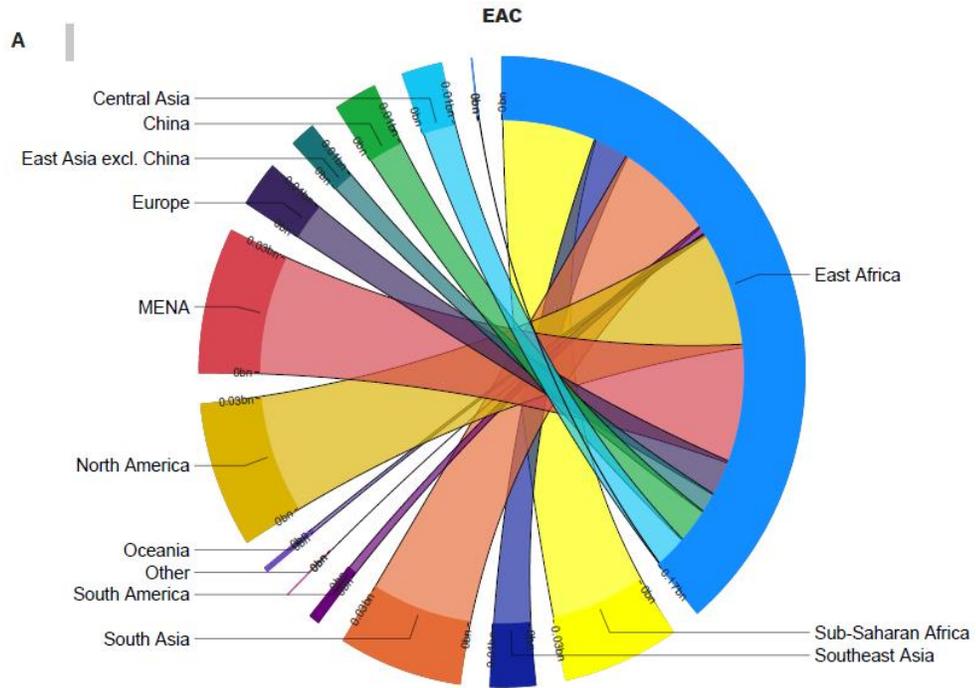
309 **3.2. Understanding the trade flow dynamics of Africa and its partners**

310 To assess the trade flow networks (import and export of goods) within Africa, we
311 categorized four major regional integrations (i.e., the EAC, SADC, ECOWAS, and UMA) based
312 on foreign goods and domestic products from 2012 to 2018 (Figure 4). We then assessed the
313 shift patterns of agricultural products from 2000 to 2018. The five top commodities were found
314 to be agricultural products (e.g., cereals, dairy, eggs, honey, fish, and meat), fossil fuels, metals
315 and minerals, forestry products, and fertilizers. Additionally, the top import (from commercial
316 affiliate regions to Africa) VW flows showed a significant diversity across countries and sectors,
317 thus influencing the VW transfer between countries. For instance, the Middle East and North
318 Africa (MENA), North America, and South Asia were the top three VW exporters of the EAC
319 from 2012 to 2018. These findings revealed a diverse trade structure and final consumption
320 between EAC countries and their trade partners. According to the interpretations made, there was
321 an irregular movement in the ranking of commodities imported from one region to another
322 because of the evolution of a country's economic development. We consider that geographic
323 location did not influence Africa's WV trade during the studied period.

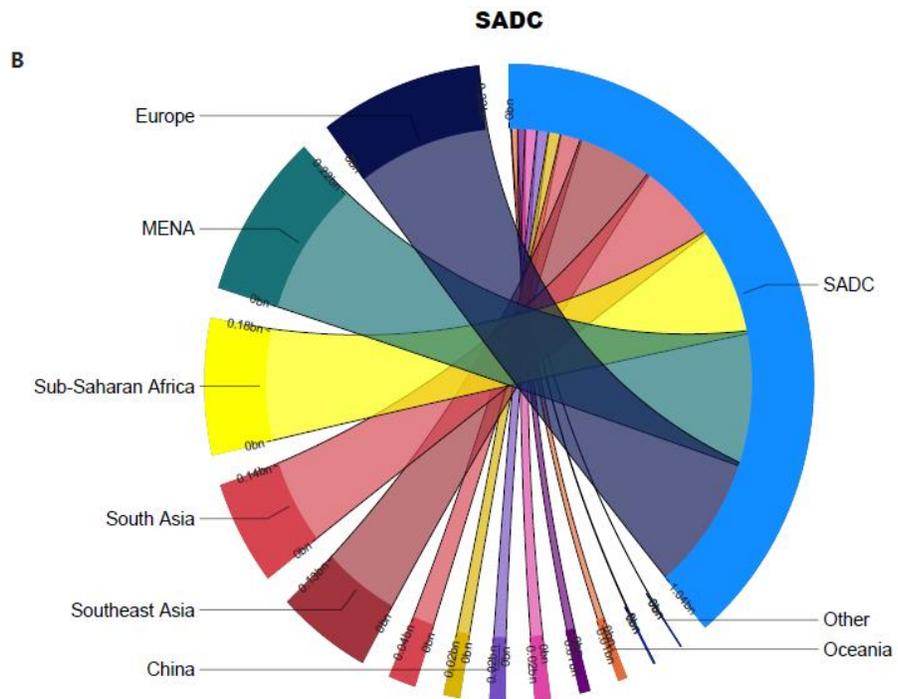
324 After rigorous assessment, the total products imported and exported within sub-Saharan
325 African countries from 2000 to 2018 increased from 6.1×10^6 t to 11.2×10^6 t, respectively.
326 Figures 5a–d show the importers and exporters of products between Africa and its partners for
327 2012–2018. The most significant observation is that the principal exporters in the EAC, SADC,
328 ECOWAS, and UMA were MENA, East Asia (China), and Europe with 33.3×10^6 t, 228.0×10^6
329 t, 106.2×10^6 t, and 220.0×10^6 t, respectively.

330 We note that the quantity of VW traded in agricultural products differs based on the
331 number of items assessed. During 2000–2018, the SADC was a net exporter of VW within

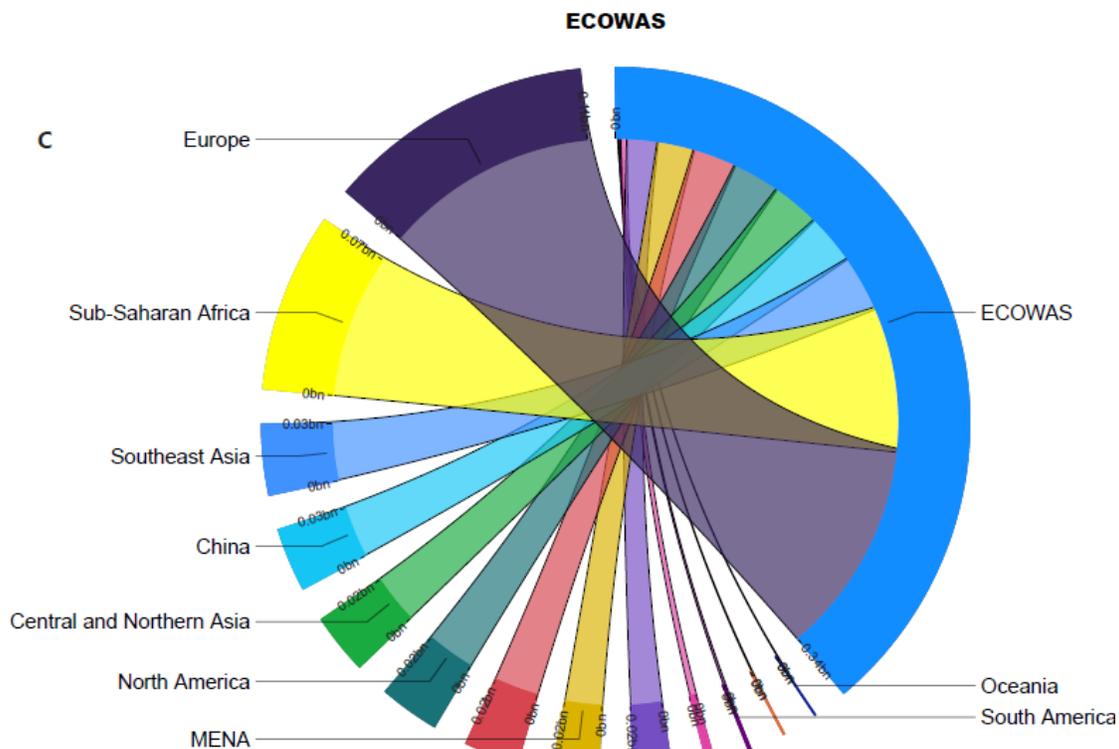
332 Africa due to its share in regional VWT, which exhibited an increasing trend between 2000 and
 333 2018.



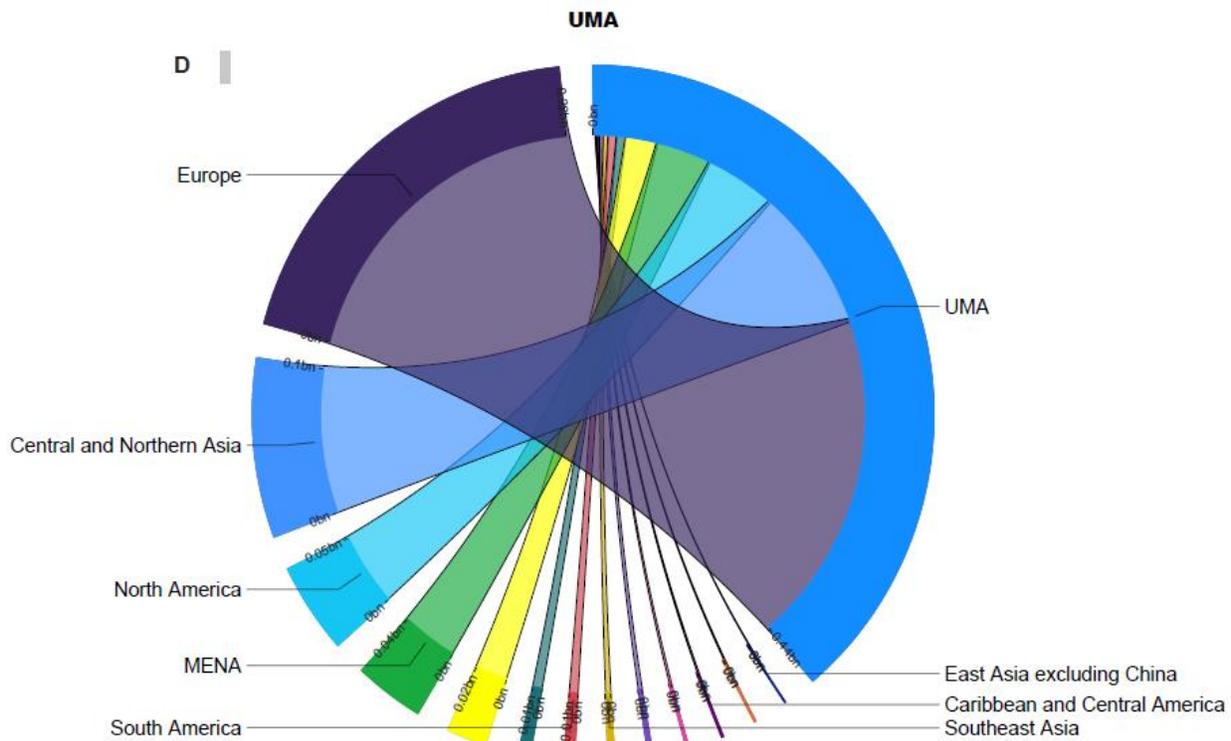
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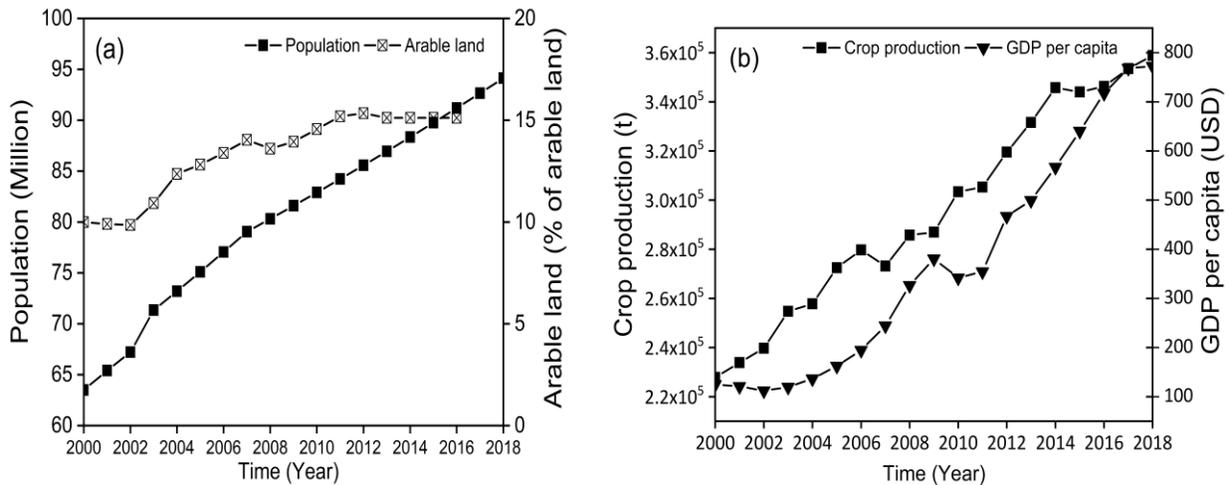
338 **Figure 4.** Importers and exporters of products between Africa and its partners during 2012–
 339 2018: (A) EAC; (B) SADC; (C) ECOWAS; (D) UMA. The numbers indicate the weight (1000
 340 kg). These figures were produced using the network visualization software of (Krzywinski et al.,
 341 2009).

342

343 3.3. Driving forces of VW fluxes, the case of a single country: Ethiopia

344 Generally, gravity laws are applied to the VWT of any country (S Tamea et al., 2014).
 345 The results of this application are demonstrated in Figure 5 for Ethiopia from 2000 to 2018. The
 346 VW export of Ethiopia depended on population, GDP, agricultural production, and arable land.
 347 These four factors increased sharply between 2000 and 2018. The per capita dietary demand and
 348 distance between countries were not considered due to a lack of data.

349



350

351 **Figure 5.** Determinants of VW flow in Ethiopia. (a) Population and arable land, and (b) CP and
 352 gross domestic product (GDP) per capita (data extracted from: FAO 2020).

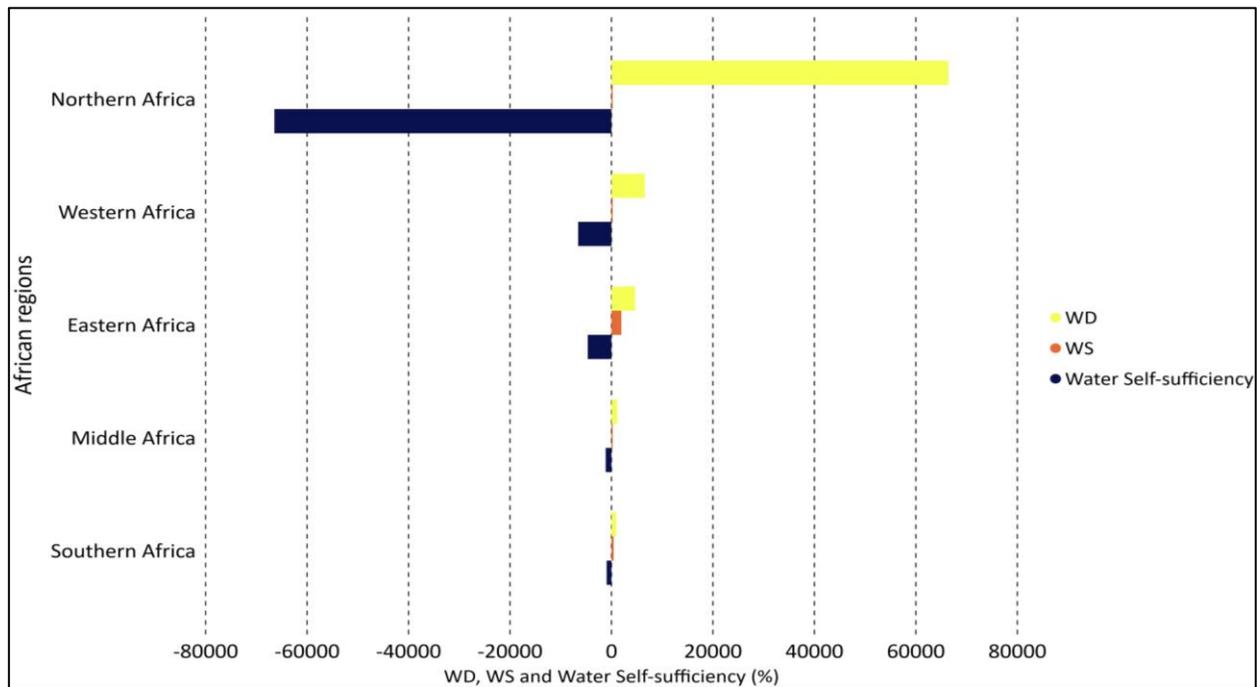
353

354 3.4. Estimation of national WS, WD, and water self-sufficiency

355 From 2012 to 2018, the VWI values of maize, rice, wheat, and soybeans varied from
 356 $59.05 \times 10^9 \text{ m}^3$ to $654.11 \times 10^9 \text{ m}^3$, whereas the VWE values ranged from $2.76 \times 10^9 \text{ m}^3$ to 3.37
 357 $\times 10^9 \text{ m}^3$. The NVWI was $56.29 \times 10^9 \text{ m}^3$ in 2012 and increased to $62.04 \times 10^9 \text{ m}^3$ in 2018
 358 (average of $108.85 \times 10^9 \text{ m}^3/\text{a}$). On the one hand, the average NVWI was always positive,
 359 implying that African countries imported more VW. On the other hand, some eastern, northern,
 360 and southern countries had negative NVWI values for soybean and maize.

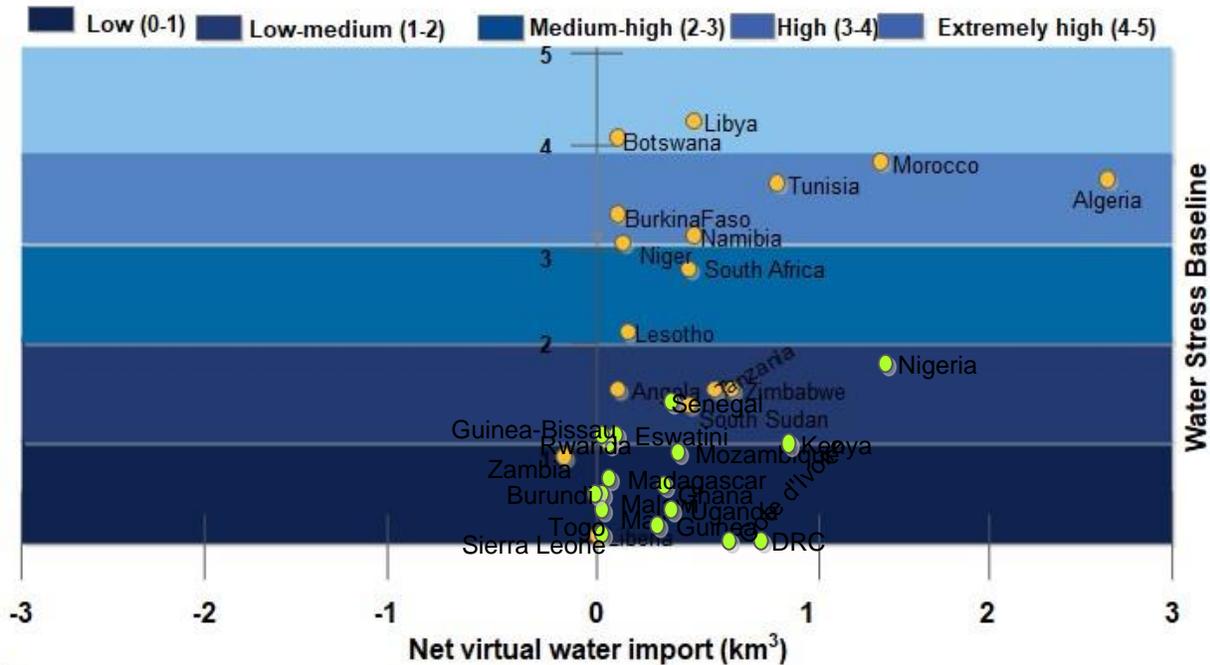
361 Figure 6a show that the WS values were > 100 (overexploitation) in eastern countries,
 362 whereas they ranged from 60 to 47 (heavily exploited) in southern countries and from 30 to 20
 363 (slightly exploited) in western countries. In northern countries, the WS values varied, for
 364 example, Algeria had a WS of ~ 30 (moderately exploited), whereas Morocco had a WS of > 100
 365 (overexploited).

(a)



366

(b)



367
 368 **Figure 6.** (a) WD and water self-sufficiency against water scarcity in African sub-regions
 369 between 2012 and 2018. (b) Relationship between the net virtual water import (NVWI) and
 370 water stress index for 37 selected countries.

371 Moreover, most southern countries put high pressure on their limited water resources to
 372 attain water self-sufficiency, while their WS was high, and in some cases far beyond the
 373 sustainability level. Burundi, Kenya, Rwanda, Uganda, and Tanzania (eastern countries)
 374 produced crops in an unsustainable manner, with WS values of 452.30%, 218.47%, 420.77%,
 375 385.67%, and 432.74%, respectively, while experiencing an overall WD of 4655% from 2012 to
 376 2018 (Figure 6a). The water stress index in Africa varies from low (-2.66) to extremely high
 377 level (2.64). Some regions overexploited their limited groundwater resources to achieve their
 378 preferred WSSI in agricultural production, while others relied on undeveloped or small farming
 379 practices. Similar to other types of grains, cereals were the main crop either exported from or
 380 imported to different regions. The proportion of imported products increased over the studied
 381 period in terms of the WS of any area, as in the case of northern countries (Figure 6b).

382 3.5. Water savings and losses

383 The VWT can help save water resources at different scales. The determination of water
 384 savings/losses is one of the significant progressive outcomes of VWT between regions (A. K.
 385 Chapagain et al., 2006). The volume of water saved or lost by Africa through VWT with the
 386 ROW can be quantified by calculating the difference between the volume of water required to
 387 produce a desired imported product and the volume of water needed to produce the same product
 388 in Africa. This assessment identifies whether Africa contributed to global water savings or losses
 389 by importing the product instead of producing them domestically.

390 The results presented in Table 3 illustrate relationship between virtual water content
 391 (VWC) of major crops from trade partners, VWC of food produced, and water savings/losses in
 392 Africa. The findings indicate that rice contribute to a high water loss followed by maize,
 393 soybean, and wheat in the study period (2012–2018).

394 **Table 3.**

395 Water savings and losses from the trade of single major grains in Africa during 2012–2018.

	VWC of food from trade partners $10^9 \text{ m}^3/\text{a}$	VWC of food produced in Africa $10^9 \text{ m}^3/\text{a}$	Water savings/losses $10^9 \text{ m}^3/\text{a}$
Maize	998.03	128.70	-869.33
Rice	17.52	1.28	-16.24
Wheat	1369.59	309.56	-1060.04
Soybean	892.17	17.09	-875.08
Total	3277.32	456.62	-2820.70

396 Source: Elaborated by the author

397 An estimated total VWC of $456.62 \times 10^9 \text{ m}^3/\text{a}$ was used to produce maize, rice, wheat,
398 and soybean in Africa, whereas this was $3277.32 \times 10^9 \text{ m}^3/\text{a}$ for trade partners (Table 3). Overall,
399 the trade of major grains between Africa and the ROW corresponded to a global water loss of
400 approximately $2820.70 \times 10^9 \text{ m}^3/\text{a}$. The average annual agricultural water withdrawals in Africa
401 during 2008–2012 and 2013–2017 were $118.0 \times 10^9 \text{ m}^3$ and $103.6 \times 10^9 \text{ m}^3$, respectively, while
402 the total annual agricultural water withdrawals were $144.97 \times 10^9 \text{ m}^3$ and $135.37 \times 10^9 \text{ m}^3$,
403 respectively.

404

405 **4. Discussion**

406 The current study estimated the VWCs of selected staple food crops in Africa from a
407 chronological perspective. The variation in agricultural VWC depends on the spatial and
408 temporal dimensions, resource and crop management, means of production, and climatic
409 conditions. However, the main challenge in calculating the VWC of a crop is to accurately
410 estimate the amount of consumptive water used for CP (essentially crop evapotranspiration). The
411 VWCs of crops in Africa are highly variable across crop products; for instance, soybean (~3500
412 m^3/t) has a higher total VWC than wheat (~2600 m^3/t) (Ercin et al., 2012). Africa has high VWC
413 values for some crops as a result of the arid climate and low crop yields (Dalin & Konar, 2019;
414 Tuninetti et al., 2015). Our findings showed a high average VWC of rice in arid areas of southern
415 and northern Africa countries while western Africa regions registered a high VWC of soybean
416 during 2012–2018. The former agrees with results reported for China (Sun et al., 2013) and the
417 Korean Peninsula (Lim et al., 2017). During 2000–2004, the global average WF of
418 paddy rice was 1325 m^3/t (48% green, 44% blue, and 8% gray water), which was much lower
419 than previous estimates (Ashok K Chapagain & Hoekstra, 2011). Although agricultural

420 productivity in Africa increased at a moderate rate between 1980 and 2018, there were regional
421 variations in the growth rates of cropland, agricultural labor, and total factor productivities, thus
422 resulting in a high amount of VW being transferred in each production sector. However, to some
423 extent, this VW reflects an enormous quantity of real water that Africa requires to draw from its
424 resource endowment.

425 Many researchers have focused on applying a cautious concept and approach, proposing
426 that VW is an advantageous, albeit limited, tool for addressing water issues in any country (Yang
427 & Zehnder, 2007) and it is a potential opportunity to alleviate water scarcity (Borgomeo et al.,
428 2020; Cui et al., 2018). On the contrary, other researchers consider that VW calculations are
429 inconsistent or inaccurate, and that volumetric indicators neglect important local socioeconomic
430 factors related to water consumption. Some studies have argued that, if used to guide trade or
431 water allocation policies, such an approach could negatively affect those at risk of WS issues
432 (Chenoweth et al., 2014; Arjen Y. Hoekstra, 2017). Simultaneously, several potentially valuable
433 tools for influencing trade and water policies to promote conservation and combat WS have been
434 cited in relevant studies. The present study is the first step toward a more profound
435 understanding of the agrarian VWC of major grains in Africa, offering a fundamental tool for
436 sustainable agricultural water resource management on the continent and enhancing farm water
437 use efficiency.

438 The VW transfer determinants of a country include population, agricultural production,
439 dietary demand, GDP, cultivated land, and distance between nations. Carr et al. (2013) reported
440 that the VW fluxes moving in or out of Africa are consistently relatively small. This is illustrated
441 in Figure 4d, which shows that the trade flows from i) Spain to Morocco, ii) France to Algeria,
442 and iii) Italy to Tunisia corresponded to US \$2.9 Bn, \$2 Bn, and \$1.8 Bn, respectively, whereas

443 that from the Netherlands to Nigeria was US \$4.3 Bn (Figure 4c). The largest net VW importers
444 were northern countries, where the major exporters were European countries (mainly the
445 Netherlands, Belgium, Germany, France, Spain, and Italy) and Central/Northeast Asian countries
446 (mainly China, Japan, and South Korea), which was verified by previous studies (e.g., (Dalin et
447 al., 2012; Graham et al., 2020). Notably, since the early 1980s, Africa became a net importer of
448 food and agricultural products, despite its vast agricultural potential, which is puzzling
449 (Rakotoarisoa et al., 2011). Therefore, the rate of increase of food imports was faster than that of
450 agricultural and food exports in Africa. Agricultural imports have increased at a consistently
451 faster rate than agricultural exports and reached a record high of US \$47 Bn in 2007, yielding a
452 deficit of ~US \$22 Bn (for more details see <https://faostat.fao.org>). According to the African
453 Progress Panel, the food import bill of Sub-Saharan Africa stands at ~US \$35 Bn/a (~3% of the
454 GDP) (Arment, 2020). The forecast for 2050–2059 suggests that, without action, Sub-Saharan
455 Africa will be the region with the largest increases in imports of coarse grains, oilseeds, paddy
456 rice, and wheat as the population increases (Porfirio et al., 2018).

457 Increasing global trade and intra-regional agricultural trade have the potential to improve
458 food security and agricultural development in Africa by stabilizing local/regional food markets.
459 This would make them less vulnerable to economic shocks, even though the overall trade levels
460 would remain low compared to other regions or continents. Over the last two decades, intra-
461 African trade increased by approximately 12%/a. The main challenges for improving intra-
462 regional trade performance in Africa include weak productive capacity and the lack of trade-
463 related infrastructure and services. Furthermore, to tackle the above issues, Africa must adopt
464 diverse economic instruments to promote free trade both within countries and worldwide. Africa
465 must learn from successful economic integration programs such as the Belt and Road Initiative

466 (BRI), which targets the trade links between China and the ROW. For example, in 2013, the VW
467 that China exported to the countries included in the BRI accounted for ~39.2% of the total
468 Chinese exports, whereas the imported amount accounted for 28.6% of the total Chinese imports
469 (Zhang et al., 2018). Similar projects with the same purpose could help to cope with water-
470 related issues (WS and shortages), enhance the rational allocation of water resources in
471 numerous departments, and provide references for trade structure optimization. Our results may
472 have implications for a promising alternative to achieve SDG 6 (mainly target 4: “substantially
473 reduce the number of people suffering from WS”) by 2030.

474 Previous works on WS (Arjen Y Hoekstra et al., 2012; Islam et al., 2007) underestimated
475 the NWS of nations, while many people are suffering from WS at a severe level throughout the
476 year, which hampers sustainable ecosystems and livelihood development. For instance, in Africa,
477 Nigeria and Egypt experience major WS issues. Other countries that have a severe WS situation
478 include Libya and Somalia (affecting 80%–90% of the population), and Morocco and Niger
479 (affecting 50%–55% of the population) (Mekonnen & Hoekstra, 2016). However, the WS
480 problem in Africa is not caused by a physical lack of water (Xie et al., 2014). This understanding
481 is supported by our findings, which indicate that some countries with abundant water resources
482 have been suffering from WS issues. For instance, in 2013–2017, the WS score in the EAC
483 ranged from ~200% to 450% (i.e., water overexploitation), whereas that in the SADC was 40%–
484 60% (i.e., moderately to heavily exploited). Today, the MENA countries depend highly on VW
485 imports because local water resources are lacking, thus leading to a high vulnerability with
486 respect to water. The issue of WS could be managed by establishing adequate agricultural
487 policies (Stefania Tamea et al., 2016). Importantly, we found that countries with WS could use
488 major grain imports as a coping strategy to tackle the food security issue and save limited

489 national water resources. However, the increasing amount of grain could also be an integral
490 component of integrated water resources management for water-scarce countries to meet SDGs
491 in 2030.

492 We note that there were some limitations to this study as it was not based on field
493 measurements of VW transfers. Because water use for production does not account for the
494 environmental issues related to water consumption, there is a lack of related data (e.g. the per
495 capita dietary demand and distance between countries). Therefore, the annual internal renewable
496 water resources for countries were estimated and averaged rather than being actual data. Ideally,
497 it would be better to obtain real data to be more accurate and reliable. However, this study has
498 different sources of uncertainty including lack of up-to-date data, high quality data. Most of
499 secondary data errors are transmitted from one step to another step while analyzing the data. We
500 identified that the methodology used to calculate VWC, WS, water savings or losses are simple,
501 resulting to uncertainty in measurement accuracy and precision. In addition, there is a statistical
502 uncertainty due to a combination of many factors that impact the VWC of major grains, and WS.

503 **5. Future outlook and implications for policy**

504 In the coming decades, future global agricultural VW and its trade could serve as a
505 durable solution for areas suffering from high WS (Graham et al., 2020), considering human and
506 socioecological systems. There are policy implications for sustainable water resource
507 consumption and management. Globally, a high increase in water consumption makes water
508 resource conservation policies more difficult to manage and implement (Tian et al., 2018).
509 However, there is a clear need to understand the social, economic, and environmental
510 implications of allocation decisions. Even though VW transfer and WF assessment lack a clear
511 and supporting conceptual framework, through regional integration, Africa could implement

512 optimal policies and strategies (or strengthen existing ones) of water resource consumption (e.g.,
513 water pricing, water ownership, global trade, agricultural products, supply chain risk and
514 resilience, and sustainability footprints).

515 According to the results, discussion, and concerns mentioned above, future policy should
516 seek to address and solve these issues by:

517 *(1) ensuring the resilience of WS through effective strategic water governance, accountability,*
518 *and immediate and reactive responses to re-calibrating local production and international trade*
519 *in a long-term approach:* It is difficult to establish a governance framework for groundwater
520 management. As a potent approach, integrated water resources management offers the possibility
521 to renew the social contract and reinforce the government. However, several countries have
522 successfully used approaches that i) decentralize water resources stewardship to local areas and
523 communities, ii) provide incentives for an increased water use efficiency through subsidies to
524 water-conserving infrastructure (e.g., in-field pipe distribution, hydrants, and pressurized
525 irrigation), and iii) provide advice on water management and irrigated cropping.

526

527 *(2) strengthening food security and climate resilience through more productive use of green*
528 *water and BW:* Maximizing the productivity of rainfed agriculture may be achieved by building
529 the capability of farmers and finance in innovative policy actions. Research, technology
530 development, and transfer can further improve water use efficiency and crop productivity in any
531 country. They can also significantly increase the resilience of rainfed agricultural systems; for
532 example, by building agricultural resilience with conservation pasture, promoting land
533 conservation and reclamation practices, and reducing the environmental risks of agricultural
534 production using legumes.

535
536 *(3) averting negative consequences of overuse by securing environmental flows and regulating*
537 *the use of groundwater resources in case of expanding irrigation.* Several areas of Africa already
538 face moderate to severe blue WS for some of the year or the entire year. Therefore, any
539 development and expansion of irrigation schemes should be planned and implemented with
540 caution. Water availability and therefore the protection of ecosystems, both currently and under
541 future climate change scenarios, should be assured before expanding the realm of irrigated
542 agricultural land. Surface water can be supplemented with deep groundwater resources; though,
543 their sustainable use must be secured with measurements, monitoring, and regulation to avoid
544 future negative socioeconomic and environmental impacts from overuse.

545 *(4) preventing conflicts between resource users by integrating policy for a holistic approach to*
546 *sustainable development (i.e., socio-economic and ecological development).* The ties between
547 agriculture, trade, economic and energy policy, and the management of water resources need to
548 be understood at all levels. A holistic approach to sustainable development will help ensure that
549 the goals of each sector do not contribute to unintended impacts that delay progress and have a
550 detrimental effect on water supplies and ecosystems linked to water. However, there is a need to
551 investigate the trade-offs and synergies in food and water security from reliance on internal or
552 external water resources for food, export value, and supply chain inputs for a balanced approach
553 to development.

554 *(5) enhancing global cooperation focusing on water security and international trade.* Promoting
555 international cooperation, especially the transfer of technology from developed regions to less
556 developed regions, is of paramount importance (Fadong et al., 2018). In bilateral and
557 international trade, a country sufficiently secured in production must support its economic
558 partners (Huang et al., 2017). However, an open data platform (sharing of knowledge and

559 experience) with appropriate water and trade information linked to a multi-stakeholder
560 participation policy platform and voluntary commitments will enable policymakers to build more
561 realistic trade policies.

562

563 **5. Conclusions**

564 The agricultural sector is the leading consumer of water in Africa, and a rapidly rising
565 population is increasing food demand and WS issues. Issues related to WS and water losses
566 through production have become worse in most African countries over recent decades.
567 Assessments of VW transfers through the VWC of major crops and their implications for WS
568 and water savings/losses between regions are crucial for providing broader and foundational
569 orientations for policy and decision-makers of any country toward sustainable socioeconomic
570 and environmental development. This study addresses the importance of a comprehensive VW
571 transfer assessment in the context of water resource management across water-scarce regions.
572 Our findings illustrate that most water-stressed regions depend on importing water-intensive
573 crop products from high freshwater areas (e.g., northern countries).

574 The results revealed that several studies have focused on the international perspective and
575 average VWCs of products over large regions, often hiding potential water availability changes
576 and consumption at a smaller scale. The VW export of a country depends on the population,
577 GDP, agricultural production, and area of arable land. Meanwhile, based on limited data over the
578 period considered, we found that the average VWCs of major crops in Africa were higher in
579 northern countries. For instance, the VWC of rice was $1407.2 \text{ kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in Tunisia, whereas it
580 was $7621 \text{ kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in Botswana. The VWC of maize was just $6748 \text{ kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in
581 Mauritania, whereas it was 5836.0 and $6587.3 \text{ kg}_{\text{water}}/\text{kg}_{\text{crop}}$ in Zimbabwe and South Africa

582 respectively. Southern and northern countries of Africa registered a high crop VWC in the study
583 period. The average NWVI was $108.9 \times 10^9 \text{ m}^3/\text{a}$. This study indicates that eastern countries
584 overexploited water resources ($WS > 100$) during the studied period, although agricultural
585 production remained low. The overall WD was 4655%. The trade of major grains between Africa
586 and the ROW showed a high global water loss of $2821.0 \times 10^9 \text{ m}^3/\text{a}$.

587 Nonetheless, despite the major uncertainties and limitations to conduct this research, we
588 conclude that our findings provide key information to water resources management, and
589 revealing the way for a more detailed study of the advantages for VW conception of sound water
590 management tools. Furthermore, the next steps for this research study would include
591 sustainability assessment, agricultural footprint analysis across countries as a multi-regional tool
592 for sustainable water and land resources management. Finally, it is crucial to model future water
593 footprint of major grains production in Africa, particularly in East Africa and its regional
594 implications for agricultural yield and consumptive water use, to inform water policy and
595 progress sustainable water resources use.

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603 (UCAS). The blue, green, and gray water footprint of production and consumption data are
604 available through Mekonnen and Hoekstra (2011). The trade data of agricultural products are

605 available at Chatham House Resource Trade Database (CHRTD) official website
606 <http://resourcetrade.earth/> and also freely downloadable at United Nations Commodity Trade
607 Statistics Database (UN Comtrade), by United Nations Statistics Division official website
608 <https://comtrade.un.org/>. Agricultural data such as crop yields for maize, wheat, and rice are
609 available on FAOSTAT database and free to download at
610 <http://www.fao.org/faostat/en/#data/QC>. The Total internal renewable water resources (IRWR)
611 data are available at AQUASTAT and free to download at
612 <http://www.fao.org/nr/water/aquastat/data/query/results.html>. The annual evapotranspiration
613 (PET) data are obtained through Global Aridity and Potential Evapotranspiration (ET0) climate
614 database v2 and available to download from figshare, open data repository published by Antonio
615 and Robert (2019). The population, gross domestic (GDP) per capita, crop yield, and arable land
616 data were collected from World Bank Databank and freely downloadable at
617 <https://data.worldbank.org/indicator>.

618 **Conflicts of interests**

619 The authors report no conflict of interest in this paper.

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