Realism of Lagrangian large eddy simulations: Tracking a pocket of open cells under a biomass burning aerosol layer

J. Kazil[1,2], M. Christensen[3], S. J. Abel[4], T. Yamaguchi[1,2], and G. Feingold[2]

[1]Cooperative Institute for Research in Environmental Sciences, University of Colorado Boulder, Boulder, Colorado, USA

[2]National Oceanic and Atmospheric Administration, Chemical Sciences Laboratory, Boulder, Colorado, USA

[3]Pacific Northwest National Laboratory, Richland, Washington, USA

[4]Met Office, Exeter, Devon, UK

Key Points:

- An approach to improve the fidelity of Lagrangian large eddy simulation (LES) of boundary layer clouds is presented and evaluated.
- Uncertainties, biases, and develepment needs are discussed, with comments on future high-resolution global models.
- The approach enables realistic simulations of clouds and their evolution in the considered case, based on satellite and in-situ data.

Corresponding author: Jan Kazil, jan.kazil@noaa.gov

Abstract

An approach to improve the fidelity of Lagrangian large eddy simulation (LES) of boundary layer clouds is presented and evaluated with satellite retrievals and aircraft in-situ measurements. The Lagrangian LES are driven by reanalysis meteorology and follow trajectories of the boundary layer flow. They track the formation and evolution of a pocket of open cells (POC) underneath a biomass burning aerosol layer in the free troposphere. The simulations are evaluated with data from the Spinning Enhanced Visible and Infrared Imager (SEVIRI) on board the Meteosat Second Generation (MSG) satellite, and in-situ aircraft measurements from the Cloud-Aerosol-Radiation Interactions and Forcing (CLARIFY) field campaign. The simulations reproduce the evolution of observed cloud morphology, cloud optical depth, and cloud drop effective radius, and capture the timing of the cloud state transition from closed to open cells seen in the satellite imagery on the three considered trajectories. They also reproduce a biomass burning aerosol layer identified by the in-situ aircraft measurements above the inversion of the POC. We find that entrainment of aerosol from the biomass burning layer into the POC is limited to the extent of having no impact on cloud- or boundary layer properties, in agreement with observations from the CLARIFY field campaign. The simulations reproduce in-situ cloud microphysical properties reasonably well. The role of the model and simulation setup and the resulting uncertainties and biases are presented and discussed, and research and development needs are identified.

Plain Language Summary

We developed a new approach to represent clouds with greater accuracy in computer simulations. In this approach, a global model provides meteorological input at its coarse resolution to a high resolution model. The global model is a good representation of the atmosphere at its resolution because it ingests observations. The high resolution model represents clouds on much smaller areas than a global model, but is able to represent processes that the global model cannot. The high resolution model follows clouds so that their evolution can be studied. We compare the clouds simulated by the high resolution model with satellite imagery, satellite measurements, and measurements that were taken on an aircraft. We show that the simulated clouds agree well with the observations as the clouds evolve from one cloud type to another. The high resolution model also simulates aerosol, small particles existing in air from which cloud droplets form. The sim-

ulated aerosol also agrees well with the observations. This work thus establishes that the approach we developed can realistically represent clouds and their evolution, and provides the basis for the application of the approach in scientific research.

1 Introduction

The climatically important cloud decks in the eastern subtropical oceans undergo an evolution during their passage to the equator. They begin their journey commonly as shallow marine stratus, grow into stratocumulus, and mature into trade cumuli. In this evolution, they can transition directly from an overcast state into trade cumuli, or through stages of less or more organized stratocumulus states, associated with different modes of boundary layer circulation (Wood, 2012).

The direct transition proceeds from a shallow, well-mixed stratocumulus-topped boundary layer to a deeper, decoupled boundary layer with cumulus rising into stratocumulus. This is followed by the dissipation of the overlying stratocumulus deck, which leaves behind a trade cumulus cloud field (Krueger et al., 1995a, 1995b). The underlying mechanism is the deepening of the boundary layer which is accompanied by a warming and decoupling, without precipitation (Bretherton & Wyant, 1997; Wyant et al., 1997). Evidence is growing that precipitation is also capable of driving the transition (Yamaguchi et al., 2017; Sarkar et al., 2020).

The staged transition can pass through the organized closed- and open cell stratocumulus states (Agee et al., 1973; Agee, 1984, 1987; Atkinson & Zhang, 1996). The closed-cell state has a cloud fraction with a median of 0.9, while open cells exhibit a markedly lower cloud fraction with a median of about 0.5 (Wood & Hartmann, 2006), as well as a smaller cloud radiative effect (Goren & Rosenfeld, 2014). Observational (Stevens et al., 2005; Comstock et al., 2005; Wood et al., 2008; Bretherton et al., 2010; Wood et al., 2011; Wood et al., 2011) and modeling (Xue et al., 2008; Savic-Jovcic & Stevens, 2008; Wang & Feingold, 2009a) studies show that precipitation is a necessary but not a sufficient condition for the transition from closed to open cells: precipitation needs to be sufficiently strong over a sufficiently large area, or have a spatial distribution that is conducive for the transition to occur (Yamaguchi & Feingold, 2015). Precipitation also maintains the open-cell state and its spatial and temporal oscillations (Feingold et al., 2010).

Satellite imagery (Agee, 1987) indicates that the preferred cloud state evolution is from the closed- to the open-cell state in the cloud sheets of the subtropical eastern oceans. The reverse transition, from the open- to the closed-cell state, has been proposed by Rosenfeld et al. (2006) and identified in satellite observations by Goren and Rosenfeld (2012), at locations where aerosol particles from ship exhaust entered the cloud deck. This reverse transition occurs less readily in subtropical stratocumulus decks because it requires restoration of liquid water and cloud top cooling of the closed-cell state by sustained suppression of precipitation with a substantial aerosol source (Feingold et al., 2015).

The onset and progress of cloud state transitions is tied to the state of the atmosphere and ocean, such as sea surface temperature, subsidence, lower tropospheric stability, free tropospheric humidity, and boundary layer depth (Agee, 1987; Bretherton & Wyant, 1997; Wyant et al., 1997; Pincus et al., 1997; Wang et al., 2010; Mauger & Norris, 2010; Sandu et al., 2010; Sandu & Stevens, 2011; Chung & Teixeira, 2012; Mechem et al., 2012; van der Dussen et al., 2016; Eastman & Wood, 2016; Eastman et al., 2017; Eastman & Wood, 2018). When precipitation drives the transition, higher aerosol levels delay the onset, as found in simulations (Wang et al., 2010; Mechem et al., 2012; Yamaguchi & Feingold, 2015) and satellite observations (Gryspeerdt et al., 2014).

Atmospheric, oceanic, or aerosol conditions may hence shift the boundary between overcast and broken clouds up- or downstream, and reduce or increase the size of areas with high cloud fraction in the subtropical cloud sheets of the eastern oceans. Turbulence-resolving simulations and analysis of emergent constraints using observations show a robust positive cloud feedback to climate change with a contribution from a faster transition from stratocumulus to cumulus as climate warms (Nuijens & Siebesma, 2019). Goren et al. (2019) showed, using satellite data and Lagrangian large eddy simulations driven by reanalysis meteorology, that the timing of the closed- to open-cell transition varies systematically with aerosol concentration, with higher aerosol concentrations delaying the transition, even in polluted conditions. Christensen et al. (2020) analyzed satellite data along Lagrangian trajectories spanning several days along stratus-to-cumulus transition. They found that clouds forming on relatively polluted trajectories tend to have higher cloud albedo and cloud fraction compared with unpolluted trajectories. The response of cloud state transitions to environmental conditions therefore connects anthropogenic climate change, aerosol emissions, and Earth's radiation balance.

Low clouds represent a challenge to the fidelity of climate models (Bony & Dufresne, 2005; Williams & Webb, 2009; Vial et al., 2013; Lin et al., 2014), and cloud state transitions contribute to the challenge. Teixeira et al. (2011) evaluated an array of models along a Pacific Ocean cross section, from the stratocumulus regions off the coast of California, across the shallow convection-dominated trade winds, to the deep convection regions of the intertropical convergence zone. They found that the stratocumulus-to-cumulus transition occurred too early along the trade wind Lagrangian trajectory. The transition also occurred either too abruptly or too smoothly, depending on model, with observations in-between the extremes.

Large eddy simulations (LES) are the tool of choice for the study of boundary layer clouds. In the Eulerian framework, they perform well against surface-based remote sensing and aircraft in-situ observations (Kazil et al., 2011; Berner et al., 2011; Yamaguchi et al., 2013). They also capture well the observed boundary layer and cloud state when following the course of a ship (McGibbon & Bretherton, 2017).

Lagrangian LES have been used extensively to study boundary layer cloud state transitions (Krueger et al., 1995a, 1995b; Wyant et al., 1997; Sandu & Stevens, 2011; Yamaguchi & Feingold, 2015; de Roode et al., 2016; Yamaguchi et al., 2017). These Lagrangian LES employed idealized initial and boundary conditions and forcings, or composites from a set of trajectories in a reanalysis meteorology. Neggers et al. (2019) studied Arctic cloudy mixed layers using Lagrangian LES driven with forcings and boundary conditions estimated from analysis and forecast products of the European Centre for Medium-Range Weather Forecasts (ECMWF), and with calibrated initial conditions to reproduce ship-based observations.

However, LES also face challenges in simulating boundary layer clouds. Scatter among LES models is significant, and especially for the decoupled stratocumulus (transition) regime, different LES models can predict feedbacks of opposite sign in response to specific controlling factors (Nuijens & Siebesma, 2019). The challenges encountered by LES call for improved approaches and methods.

We have developed an approach to improve the fidelity of Lagrangian LES and gain insights into the evolution of boundary layer clouds and their state transitions. In this approach, Lagrangian LES are driven by meteorology from a reanalysis model. The ap-

proach was used by Goren et al. (2019) to study the evolution and response to anthropogenic aerosol of a mid-latitude cloud deck in continental outflow.

The purpose of the current work is to document and evaluate the approach, based on two-day simulations of a sub-tropical cloud state transition, using satellite observations covering the simulation period, and aircraft profiles at its end. We show that the approach realistically simulates the observed clouds and their evolution, and determine key elements in the model formulation and simulation setup that are essential for its fidelity. We examine uncertainties and biases and identify research and development needs for Lagrangian LES driven by reanalysis meteorology.

The paper is organized as follows: Section 2 introduces the methods and data. Section 3 presents the simulation results and their evaluation, and explores the role of model and simulation setup. Section 4 discusses uncertainties and biases, and research and development needs. A summary and conclusions are given in Section 5.

2 Methods and data

2.1 Observed cloud state evolution and trajectories

We study a pocket of open cells (POC) sampled during flight C052 of the Cloud-Aerosol-Radiation Interactions and Forcing (CLARIFY) campaign (Abel et al., 2020; Haywood et al., 2021). The cloud state evolution is documented with imagery from the Spinning Enhanced Visible and Infrared Imager (SEVIRI) onboard the Meteosat Second Generation (MSG) satellite in Figure 1, and the animation A1 (SI). Simulations in this work follow three distinct boundary layer air mass trajectories (green, blue, and red) that begin on 3 September 2017, 14:45:00 UTC and end on 5 September 2017, 17:00:00 UTC. We determined the trajectories from the wind field of the fifth generation of the ECMWF atmospheric reanalysis (ERA5, Hersbach et al., 2020) at a resolution of 0.3°, using the Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYSPLIT, Stein et al., 2015). The trajectories are located at a constant height of 500 m above sea level, to remove them from shear effects near the surface and the inversion.

The transition from the closed- to the open-cell stratocumulus state occurs at different times on each trajectory. On 4 September 2017, 06:00:00 UTC (Fig. 1 a), the POC has begun to form on the red trajectory. The stratocumulus deck is still in the overcast, closed cell state at this time on the blue and green trajectories. By 4 September 2017,

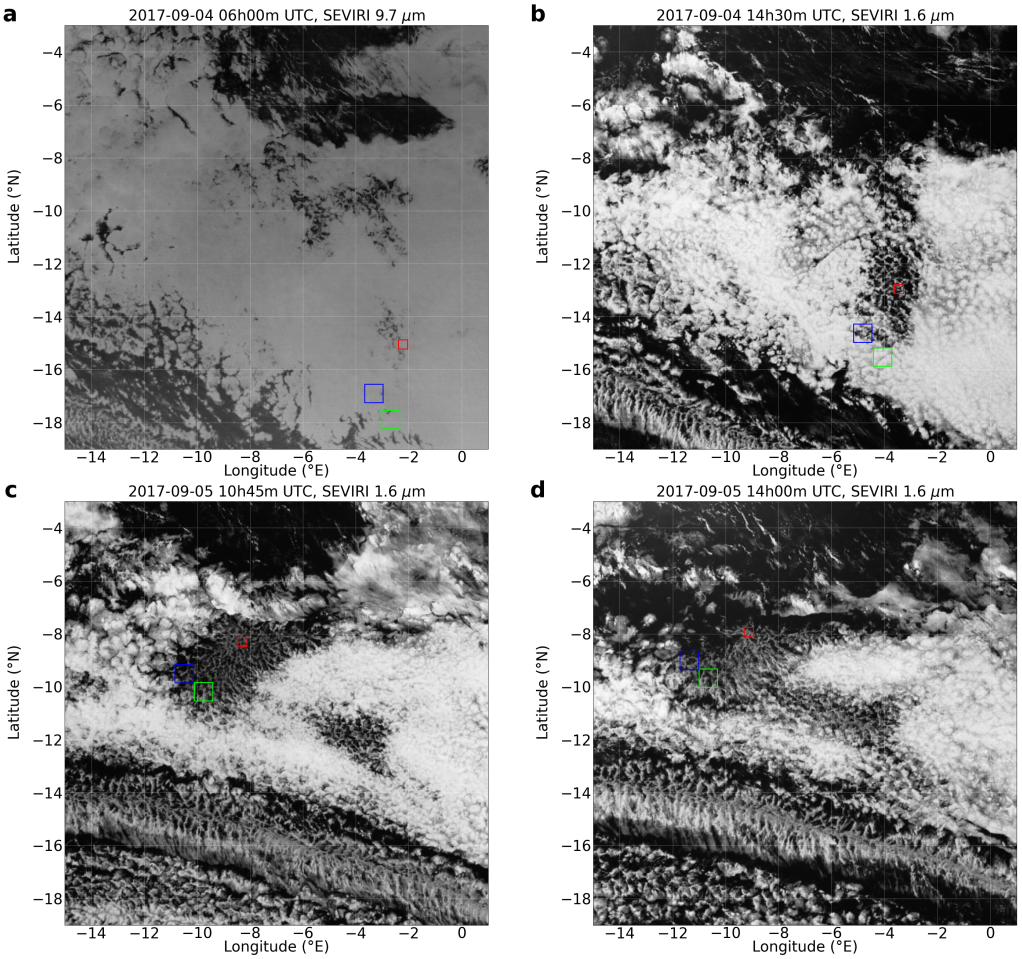

Figure 1. Meteosat Second Generation (MSG) Spinning Enhanced Visible and Infrared Imager (SEVIRI) imagery, with simulation domains on the green, blue, and red trajectory, to scale.

14:30:00 UTC (Fig. 1 b), an open cell state has formed on the red trajectory. The transition from the closed- to the open cell state is in progress on the blue trajectory at this time, while a closed-cell state is still present on the green trajectory. The next day, on 5 September 2017, 10:45:00 UTC (Fig. 1 c), an open cell state is present on each trajectory, with differences in morphology: open cells are distinctly smaller on the red trajectory compared to the blue and green trajectories. On 5 September 2017, 14:00:00 UTC (Fig. 1 d), the POC is beginning to dissipate.

2.2 Model and simulation setup

We use the System for Atmospheric Modeling (SAM Khairoutdinov & Randall, 2003), version 6.10.10, with periodic lateral boundary conditions.

2.2.1 Large scale meteorology

We use meteorology and sea surface temperature from ERA5 at 0.3° resolution to drive the simulations. ERA5 assimilates radiosonde profiles and satellite radiances (Hersbach et al., 2020), which helps to capture the effect of phenomena that are not represented by the underlying model, such as heating due to absorption of radiation by aerosol. Adebiyi et al. (2015) showed that the ERA-Interim reanalysis, the predecessor of ERA5, captures thermodynamic profiles measured by radiosondes in the South-East Atlantic better than other reanalysis products when compared to radiosonde measurements, under the caveat that the evaluated reanalyses, to different degrees, assimilate radiosonde data.

We use ERA5 temperature and moisture profiles to initialize the simulations, and nudge mean temperature and water vapor in the free troposphere towards ERA5 with Newtonian relaxation. Nudging begins 100 m above the inversion in the simulation or in ERA5, whichever is higher. From this nudging base level, the nudging tendencies increase smoothly over a height interval of 500 m from a value of zero to a value corresponding to the nudging time scale of 1800 s. The inversion is diagnosed at the height of the maximum vertical gradient of liquid water static energy in the simulations, and at the height of the maximum vertical gradient of liquid water potential temperature in ERA5.

We nudge mean horizontal wind speed towards ERA5 at all levels with Newtonian relaxation. When model levels are located below the lowest level of ERA5, we extrapolate the ERA5 wind speed towards the surface assuming a logarithmic wind profile. The nudging time scale is 10 s between the surface and 500 m, and 1800 s above 1000 m, with a smooth interpolation in-between. The short nudging time scale near the surface counters deceleration by surface drag and maintains the mean wind speed close to ERA5 values. The more relaxed nudging above 500 m allows the simulations to establish their own wind speed structure around an inversion height of their choice, rather than conforming to the wind speed structure at the inversion height of ERA5. We apply ERA5 profiles of vertical velocity (subsidence) to temperature, water vapor, and aerosol.

2.2.2 Cloud microphysics

We represent cloud microphysical processes with a bin or a bulk scheme. The bin microphysics scheme is the Tel Aviv University (TAU) two-moment bin microphysics model (Tzivion et al., 1987; Feingold et al., 1996). The hydrometeor size distribution is divided into 33 bins with mass doubling from one bin to the next. The smallest droplet radius is 1.56 µm. Cloud and rain hydrometeors are distinguished for diagnostic purposes by a threshold radius of 25 µm. Supersaturation is calculated based on the balance of dynamical and microphysical source and sink terms over the course of a time step (Clark, 1973). Activation of aerosol is based on the predicted supersaturation. Condensation and evaporation are computed via vapor diffusion to/from drops using the method of Stevens et al. (1996). Collection processes are based on Tzivion et al. (1987) and breakup processes on Feingold et al. (1988). The collection kernels are based on collision efficiencies after Hall (1980) as well as coalescence efficiencies for drizzle (Ochs et al., 1986) and raindrops (Low & List, 1982). In the rain drop regime where drops are unstable enough to be able to breakup as a result of binary collisions, the breakup efficiency is assumed to be 1 minus the coalescence efficiency. Drop sedimentation is computed with a first-order upwind scheme. The bin microphysics scheme as implemented in SAM is described in further detail by Yamaguchi et al. (2019).

The bulk microphysics is a two-moment bin-emulating method (Feingold et al., 1998; Wang & Feingold, 2009a, 2009b) that calculates mass and number of hydrometeors. Cloud and rain water modes are represented using lognormal functions with fixed geometric standard deviation of 1.2. The threshold between the two modes is a radius of 25 µm. Supersaturation and aerosol activation are calculated as in the bin microphysics scheme. Condensation and evaporation are calculated analytically. Sedimentation of mass and number mixing ratios is calculated from mass- and number-weighted average sedimentation velocities, respectively, and for each hydrometeor mode. Hydrometeor breakup is not implemented. The bulk microphysics as implemented in SAM is described in further detail by Yamaguchi et al. (2017).

In both microphysics schemes, advection is applied to the total mass mixing ratio (sum of vapor and condensate) and total number concentration (sum of aerosol and hydrometeors). Water vapor mixing ratio and aerosol number concentration are diagnostic variables. This implementation implicitly maintains the budget of both mass mix-

ing ratio and number concentration through cloud microphysical processes. Further details of the implementation are given in Yamaguchi et al. (2019).

In both microphysics schemes, aerosol particles are activated in supersaturated conditions and removed from the aerosol population, increasing the hydrometeor number by the same amount. Collision-coalescence reduces the hydrometeor number, thereby allowing for cloud processing of the aerosol. Upon evaporation, hydrometeors release one aerosol particle for each evaporated drop (Mitra et al., 1992). Surface precipitation removes hydrometeors and the corresponding number of aerosol particles from the atmosphere.

2.2.3 Aerosol

We use a simplified representation of the aerosol size distribution with a lognormal mode with a geometric-mean diameter $D_g = 200\,\text{nm}$ and a geometric standard deviation $\sigma = 1.5$. These parameters are consistent with the aerosol accumulation mode size distribution measured by the Passive Cavity Aerosol Spectrometer Probe (PCASP, Rosenberg et al., 2012) during CLARIFY flight C052 in both the overcast stratiform region surrounding the POC ($D_g = 186\,\text{nm}$, $\sigma = 1.51$), and within the free-tropospheric biomass burning aerosol layer above the stratiform and POC cloud regimes ($D_g = 206\,\text{nm}$, $\sigma = 1.53$). The surface flux of ocean-emitted aerosol is calculated with the parameterization of sea salt aerosol production of Clarke et al. (2006). The whitecap fraction is parameterized with the expression of Monahan et al. (1986) as a function of wind speed at 10 m above the ocean surface.

2.2.4 Radiation

Radiation is computed every 10 s from the distribution of temperature, gas phase constituents, and liquid water mass mixing ratio and cloud drop effective radius, with the Rapid Radiative Transfer Model (RRTMG, Iacono et al., 2008; Mlawer et al., 1997). Between the top of the model domain and the top of the atmosphere radiation is calculated with profiles of temperature, water vapor, and ozone from ERA5. The ocean surface albedo is set to 0.06, emissivity to 0.95. CO_2 is set to the September 2017 value of 403 ppm (McGee, 2020).

In the case considered in this work, free tropospheric biomass burning aerosol remains above the POC inversion (Abel et al., 2020). It will be shown that this is also the case in the simulations (Sec. 3.4). Aerosol in the boundary layer is assumed to be predominantly sea spray, with negligible interaction with radiation. The interaction between aerosol and radiation is therefore not treated explicitely in the simulations, and the representation of effects from heating due to the absorption of radiation by biomass aerosol in the free troposphere is delegated to ERA5 and its assimilation of radiosonde and satellite data (Hersbach et al., 2020).

2.2.5 Numerics

SAM solves the anelastic system of equations using the finite difference approximation formulated on the Arakawa C grid with a height coordinate. Velocity components are predicted using the third-order Adams-Bashforth scheme (Durran, 1991) and the second order center advection scheme. Scalars are integrated with the forward in time, monotonic fifth-order advection scheme of Yamaguchi et al. (2011). Diffusion is explicitly computed with eddy viscosity based on Deardorff (1980). Surface fluxes of sensible and latent heat and of momentum are computed based on Monin-Obukhov similarity (Monin & Obukhov, 1954). The time step of 1 s is dynamically shortened by SAM to meet the Courant-Friedrichs-Levy condition.

Advection due to subsidence is solved in SAM with the advective form of the transport equation. This approach is known to preserve shape but not mass, resulting in spurious sink or source terms in the presence of velocity and tracer gradients. Strong tracer gradients exist at the upper and lower boundary of biomass burning layers. We maintain vertically integrated tracer mass in the layer by re-normalizing its vertically integrated tracer mass in the free troposphere after the model applies advection due to subsidence. The correction is not applied outside the biomass burning layer or in the boundary layer, where mixing due to turbulence quickly dissipates strong gradients.

2.2.6 Domain size, grid, and sampling

Sufficiently large horizontal domains sizes are required to capture mesoscale organization and the associated properties of the open-cell (Feingold et al., 2010) and closed-cell (Kazil et al., 2017) stratocumulus cloud state. We use domain sizes of $76.8 \times 76.8\,\mathrm{km}^2$

and $38.4 \times 38.4\,\mathrm{km}^2$ depending on the open cell size seen in the SEVIRI imagery on a given trajectory. The simulations employ a horizontal grid spacing of $\mathrm{dx} = \mathrm{dy} = 200\,\mathrm{m}$, and a vertical grid with variable spacing. At the surface, the thickness of the first three (mass) levels is $\mathrm{dz}_1 = 35\,\mathrm{m}$, $\mathrm{dz}_2 = 22.5\,\mathrm{m}$, and $\mathrm{dz}_3 = 12.5\,\mathrm{m}$. dz is 10 m to 1965 m, 20 m to 4025 m, and coarsens thereabove by 10 % per level to the domain top at 7000 m. A grid with a constant $\mathrm{dz} = 10\,\mathrm{m}$ from the surface to 1965 m and otherwise identical grid structure is also tested. 3D fields are saved every hour, 2D fields and domain mean profiles every minute. The results are sampled as a function of fractional day of year d, with d = 0 corresponding to January 1, 00h00m00s.

2.3 Simulations

The simulations are run from 3 September 2017, 14:45:00 UTC to 5 September 2017, 17:00:00 UTC (d = 245.61458 to 247.70833). We analyze results starting on 4 September 2017, 06:00:00 UTC (d = 246.25), allowing 15.25 h for spin-up. The simulations and their setup are listed in Table 1. The simulations G_i, B_i, and R_i run on the green, blue, and red trajectory, respectively; i is the simulation number. The simulations G_i and B_i use a 76.8 km domain to capture the larger open cell size on their trajectories (Fig. 1). Smaller open cells are present along the red trajectory, and the simulations R_i use a 38.4 km domain.

Space-borne lidar (Cloud-Aerosol Lidar with Orthogonal Polarization, CALIOP) measurements on 3 September 2017, 01:35:00 UTC, 13 h before the start of the simulations, show an aerosol layer approximately between 3-4.5 km, upstream of the POC locations sampled by CLARIFY flight C052 (Abel et al., 2020, Fig. 4 d). Based on this observation, we initialize the aerosol profiles with a biomass burning aerosol layer between $3100 - 3700\,\mathrm{m}$ (Figure S1, SI). The mean aerosol number mixing ratio in the layer is set to $4700\,\mathrm{mg}^{-1}$, corresponding to a mean number concentration of $3850\,\mathrm{cm}^{-3}$. Outside the biomass burning aerosol layer, the initial aerosol number mixing ratio is $37.5\,\mathrm{mg}^{-1}$ in the free troposphere. In the boundary layer, we set the aerosol number mixing ratio to $145\,\mathrm{mg}^{-1}$ on the green and blue trajectories, and to $115\,\mathrm{mg}^{-1}$ on the red trajectory. The lower value is motivated by the earlier onset of open cell formation on the red trajectory (Fig. 1), which indicates the presence of stronger precipitation and aerosol reduction by wet scavenging, and hence a more depleted aerosol population compared to

the blue and green trajectories. The aerosol size distribution is described in Sec. 2.2.3.

2.4 Satellite data

We use SEVIRI measurements processed with the algorithm developed by Peers et al. (2019), and with the Optimal Retrieval for Aerosol and Cloud (ORAC, Thomas et al., 2009) algorithm. The cloud retrievals are aggregated at hourly intervals over a 1°×1° region that moves along the Lagrangian trajectories, and the mean and standard deviation of the aggregated data are used to evaluate the simulations. For more information on the data extraction process, see Christensen et al. (2020).

The Peers et al. (2019) algorithm accounts for absorbing aerosols located above clouds. The presence of absorbing aerosol above clouds has a small effect on retrieved cloud drop effective radius r_{eff}, but cloud optical depth τ is underestimated by 35 % when ignoring above-cloud aerosol (Peers et al., 2021). The retrieved cloud properties are only weakly sensitive to assumptions on the properties of the absorbing aerosol, with biases lower than 6 % in τ and 3 % in r_{eff}. The retrieved cloud properties match well MODIS retrievals and in-situ measurements from the CLARIFY field campaign (Peers et al., 2021).

The ORAC algorithm uses an optimal estimation technique applied to two visible (0.64 and 0.84 µm), two near infrared (1.6 and 3.9 µm) and seven infrared channels (6.2, 7.3, 8.7, 9.7, 10.8, 12.0 and 13 µm) to retrieve r_{eff} and τ at the native resolution of the SEVIRI instrument (3.5 km at nadir). The r_{eff} retrievals operate on the 1.6 µm band, the τ retrievals use the visible channels. ORAC provides top and bottom of atmosphere broadband radiative fluxes that were recently used in aerosol-cloud interaction studies (Christensen et al., 2017; Neubauer et al., 2017), and is described in detail in Sus et al. (2018) and McGarragh et al. (2018). ORAC has been evaluated with ground-based measurements (Stengel et al., 2020) and the top of atmosphere fluxes agree to within 3 %. The uncertainty under ideal conditions, e.g. unbroken closed-cell stratocumulus cloud decks, in droplet r_{eff} and τ is approximately 30 %. Uncertainties are considerably larger in broken cloudy conditions due to issues involving three-dimensional radiative transfer and photon leakage out of the sides of clouds (Coakley et al., 2005). The main difference in the retrieved cloud properties between the ORAC applied to SEVIRI and MODerate Resolution Imaging Spectroradiometer collection 6 products (MODIS, Platnick et

Table 1. Simulations and setup. dz_i the thickness of the model level i, counted from the surface. τ_{uv}^{0-500} is the nudging time constant for domain mean horizontal wind speed between the surface and 500 m. BL = boundary layer, FT = free troposphere.

	Trajectory	Initial aerosol (# mg^{-1})			Biomass burning layer (m)	Cloud micro-physics	Grid structure near surface (m)			τ_{uv}^{0-500} (s)	Domain size (km^2)
		BL	FT	BB layer			dz_1	dz_2	dz_3		
G_0	green	145	37.5	–	–	bin	35	22.5	12.5	10	76.8×76.8
G_1	green	145	37.5	4700	3100 – 3700	bin	35	22.5	12.5	10	76.8×76.8
G_2	green	145	37.5	4700	3100 – 3700	bulk	35	22.5	12.5	10	76.8×76.8
G_3	green	145	37.5	4700	3100 – 3700	bulk	10	10	10	10	76.8×76.8
G_4	green	145	37.5	4700	3100 – 3700	bulk	10	10	10	1800	76.8×76.8
B_1	blue	145	37.5	4700	3100 – 3700	bin	35	22.5	12.5	10	76.8×76.8
B_2	blue	145	37.5	4700	3100 – 3700	bulk	35	22.5	12.5	10	76.8×76.8
R_1	red	115	37.5	4700	3100 – 3700	bin	35	22.5	12.5	10	38.4×38.4
R_2	red	115	37.5	4700	3100 – 3700	bulk	35	22.5	12.5	10	38.4×38.4
R_3	red	145	37.5	4700	3100 – 3700	bin	35	22.5	12.5	10	38.4×38.4

al., 2017) from satellites Terra and Aqua is the broader range in solar and satellite zenith angles, as well as the broader range covered by the lookup tables used for cloud drop effective radius ($5< r_{\text{eff}} < 30$ for MODIS; $1< r_{\text{eff}} < 50$ ORAC) and cloud optical depth ($\tau < 100$ MODIS; $\tau < 250$ ORAC). The two products broadly agree, particularly for homogenous low-level stratocumulus cloud layers. ORAC does not account for the effect of absorbing aerosol located above clouds. More information on the ORAC cloud retrieval algorithm is given in Sus et al. (2018) and McGarragh et al. (2018).

2.5 In-situ data

We use in-situ data collected during the CLARIFY flight C052 on its profiles P1–P7 (Abel et al., 2020). P1 to P7 sampled the open cell region within the POC (Fig. S2, SI). P1 was a descent from 7150 m altitude to 35 m above the sea-surface, enabling both the free-tropospheric biomass burning aerosol layer and the boundary layer to be characterized. Profiles P2 to P7 then measured the boundary layer vertical profile on a track through the POC, sampling from altitudes close to the surface to the lower free-troposphere above the trade-wind inversion.

Simulations on the green trajectory enclose the CLARIFY flight C052 profile P7, approximately on 5 September 2017 16:30:00 UTC. We evaluate simulations on the green trajectory with boundary layer temperature, water vapor, aerosol concentration, and hydrometeor properties at its intersect with profile P7. We also eveluate the simulations with hydrometeor properties aggregated over the profiles P1 to P7. Measurements taken along the profile P1 are used to evaluate biomass burning aerosol concentrations in the free troposphere.

3 Results

3.1 Cloud state transition from closed- to open cells

Figure 2 shows snapshots of the cloud state in the simulations G_1, B_1, and R_1, at the time and locations of the satellite imagery in Fig. 1. The simulated cloud state evolution is also shown in animation A1 (SI). The cloud deck starts out overcast on 4 September 2017, 06:00:00 UTC (Fig. 2 a, e, i) in all three simulations, and transitions into a broken, open-cell state. The transition takes place at a different time on each trajectory: it occurs the latest in G_1, earlier in B_1, and the soonest in R_1. The cloud deck is homo-

geneous on 4 September 2017, 06:00:00 UTC in G_1 and B_1 (Fig. 2 a, e), while in R_1, individual locations with elevated cloud optical depth dot the cloud field (Fig. 2 i), indicating localized cloud thickening, drizzle, and the onset of the transition. By 4 September 2017, 14:30:00 UTC, cloud breakup has set in (Fig. 2 b, f, j). Open cells are present in all three simulations on 5 September 2017 (Fig. 2 c, d, g, h, k, l). Visual comparison of these snapshots (Fig. 2) with the cloud deck at the corresponding locations in the satellite imagery (Fig. 1) shows that the simulations match the observed cloud state evolution, including the timing of the transition on the three the trajectories. This is most evident in the satellite image of 4 September 2017, 14:30:00 UTC, when the cloud deck is mostly overcast on the green trajectory, partly broken on the blue trajectory, and fully broken on the red trajectory (Fig. 1 b), as in the simulations (Fig. 2 b, f, j).

Figure 3 shows the time series in the simulations G_1, B_1, and R_1 from 4 September 2017, 06:00:00 UTC (d = 246.25). The different timing of the transition from closed- to open cells between the trajectories is evident in cloud fraction (Fig. 3 a), rain water path (Fig. 3 c), and surface precipitation (Fig. 3 d). Cloud fraction drops and rain water path and surface precipitation rise the earliest in simulation R_1, and the latest in G_1. The early onset of the transition in simulation R_1 is caused by its lower initial boundary layer aerosol concentration (Sec. 2.3). A lowered aerosol concentration at the outset of the simulation may arise for meteorological reasons farther upstream, such as a moister boundary layer with a higher liquid water path and enhanced wet scavenging. It may also be caused by variability in aerosol itself, without a contribution from meteorology. Simulation G_1 and B_1 have identical initial aerosol concentrations in the boundary layer (Tab. 1), yet the transition is delayed in G_1 relative to B_1, consistent with the satellite imagery (Fig. 1). It is hence meteorology that determines the timing of the transition in G_1 and B_1, a hint that ERA5 may capture spatial variability in meteorology that drives the formation of this POC. The different timing of the transition is also apparent in aerosol (Fig. 3 e) and cloud and rain drop (Fig. 3 f, g) number concentrations: On the green trajectory aerosol removal by cloud scavenging is slowest, resulting in higher aerosol and cloud drop concentrations throughout the simulation (Fig. 3 e). Faster cloud scavenging on the blue trajectory results in lower aerosol concentrations, and the lowest aerosol concentrations are present on the red trajectory.

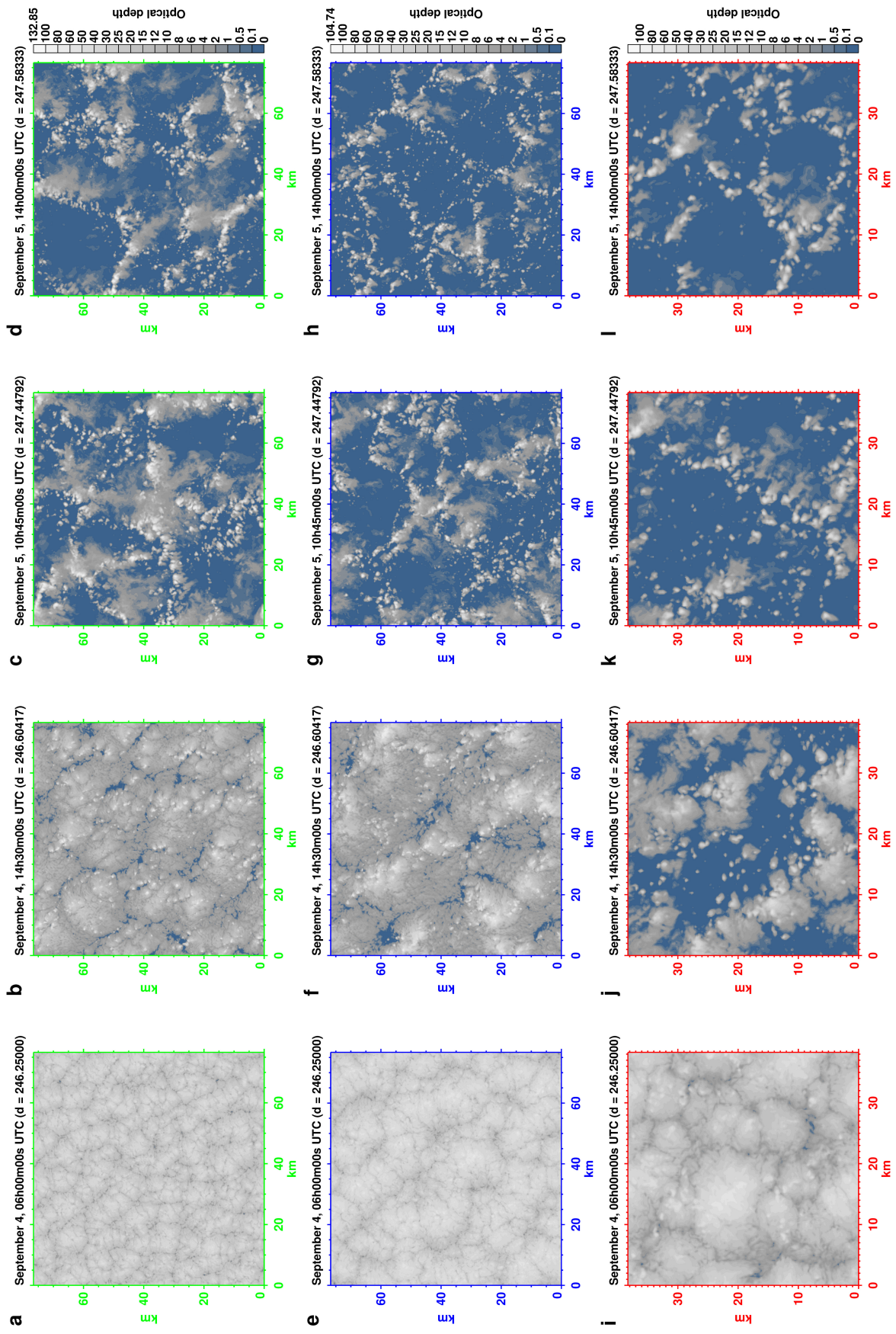

Figure 2. Optical depth of liquid water in simulation G_1, green trajectory (a-d), B_1, blue trajectory (e-h), and R_1, red trajectory (i-l), at the times and locations shown in Fig. 1.

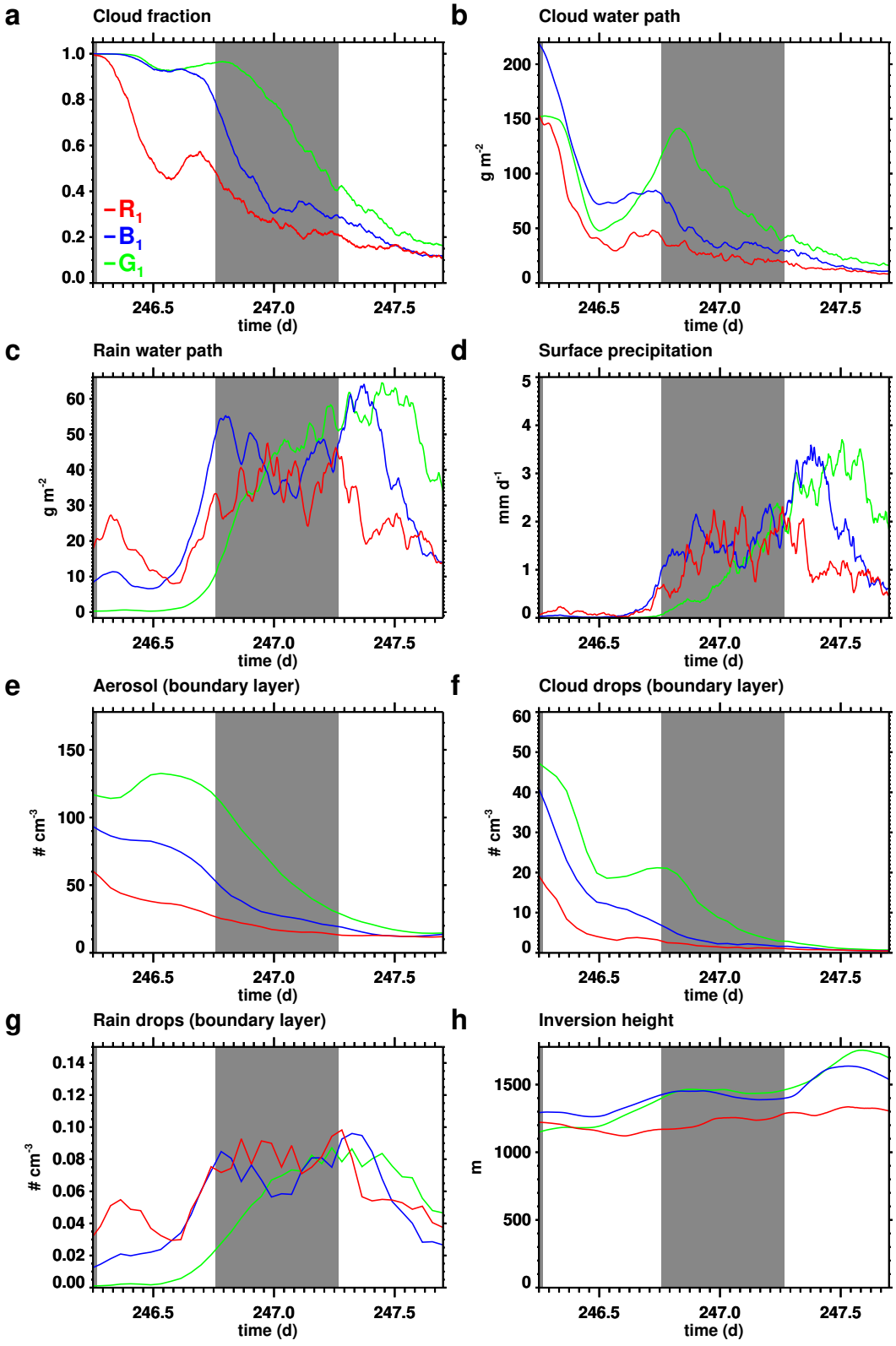

Figure 3. Time series in the simulations G_1 (green), B_1 (blue), and R_1 (red). Gray shading indicates nighttime.

3.2 Evaluation with satellite data

Figure 4 evaluates the simulated r_{eff} and τ with SEVIRI Peers and ORAC retrievals along the trajectories. The r_{eff} in the simulations was calculated as the ratio of the third and second moments of the hydrometeor size distribution, after the moments were averaged over one optical depth at cloud top at locations where $\tau \geq 1$. τ in the simulations was calculated from the hydrometeor size distribution at locations where cloud $\tau \geq 1$. This section evaluates the simulations G_1, B_1, and R_1, which use bin microphysics. The other simulations shown in Figure 4 are discussed in Sec. 3.4, 3.5, and 3.6.

The simulations G_1, B_1, and R_1 capture the evolution of r_{eff} (4 a, c, e) and τ (4 b, d, f) retrieved by the satellite instrument over the two day simulation period. r_{eff} evolves from smaller values on the first day to larger values on the second day. This increase of hydrometeor size reflects the transition from a non-precipitating closed-cell state on the first day to a broken, precipitating open-cell state on the second day. The simulations capture the daytime dip in τ on the first day. This daytime dip is driven by a combination of insolation warming and precipitation. On the second day, when the cloud deck is in the open-cell state, the observed τ assumes very low values.

The simulations G_1, B_1, and R_1 are in overall good agreement with the SEVIRI Peers r_{eff} and τ, but exhibit biases and mismatches due to model and retrieval uncertainties. On the first day, when the cloud deck is in the closed-cell stratocumulus cloud state, the simulated r_{eff} and τ are consistent with the SEVIRI Peers retrievals, but r_{eff} is biased low in G_1 (Fig. 4 a) and R_1 (Fig. 4 e), and τ in G_1 (Fig. 4 b) and B_1 (Fig. 4 d). The likely cause is the finite vertical resolution and associated numerical diffusion in the simulations, which causes spurious entrainment drying across the strong inversion of the closed-cell stratocumulus cloud state, thereby reducing hydrometeor size and mass. On the second day, when the cloud deck is in the open-cell stratocumulus cloud state, the simulated r_{eff} and τ are in very good agreement with the SEVIRI Peers retrievals, except in R_1 (Fig. 4 e), when the SEVIRI Peers retrieval gives very high r_{eff} values, up to 60 µm. These high values may be an artifact of the data filter used by the algorithm, which rejects pixels identified as partly cloudy and/or associated with cloud edges, and heterogeneous clouds in the SEVIRI data aggregated at $0.1^\circ \times 0.1^\circ$ resolution (Peers et al., 2021). Such pixels would be associated with smaller r_{eff} compared to fully cloudy pixels, and their rejection would result in an overestimation of r_{eff}. The ORAC algorithm

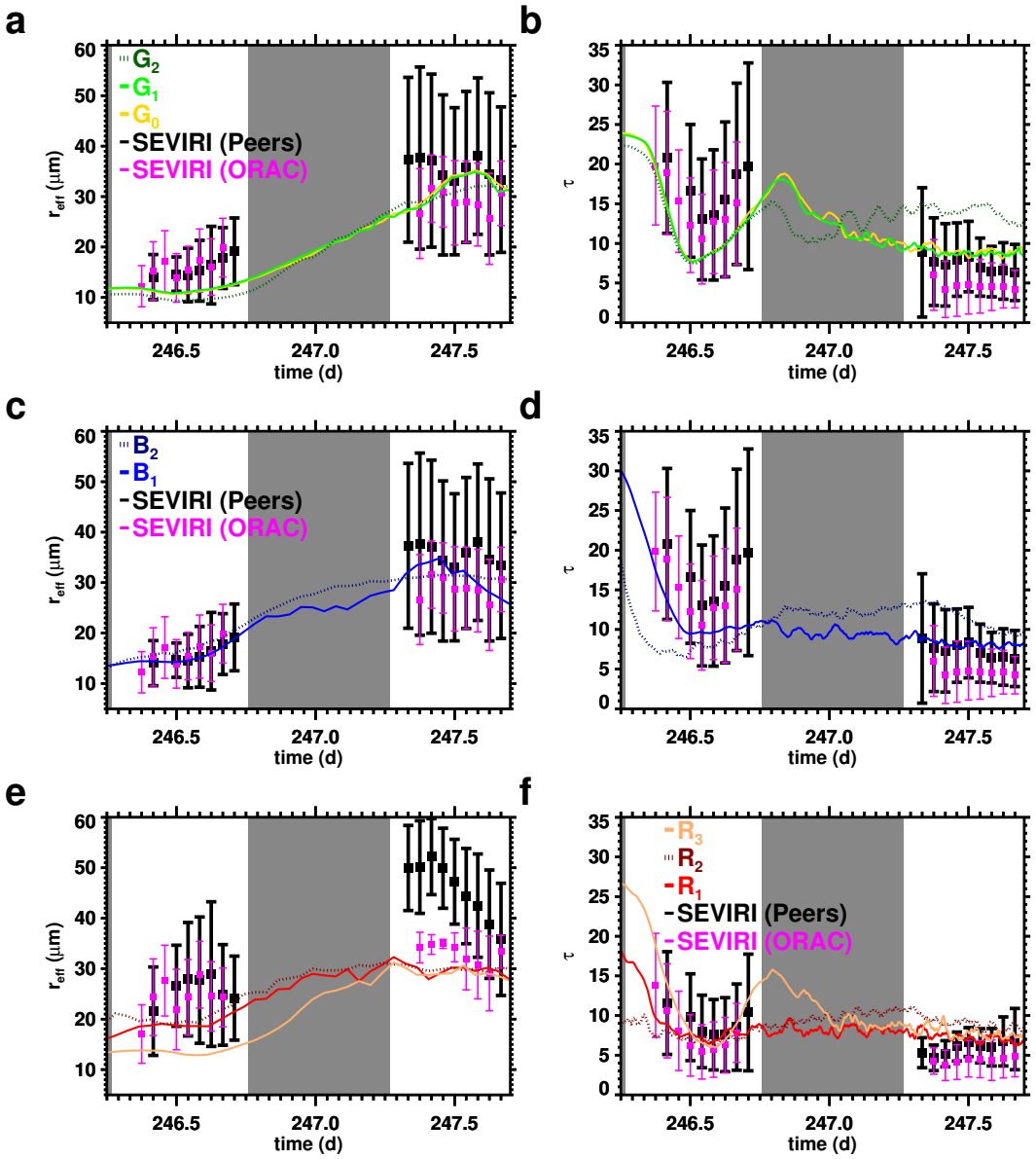

Figure 4. Cloud drop effective radius (r_{eff}) and optical depth (τ) in the simulations along the green (a, b), blue (c, d), and red (e, f) trajectory. SEVIRI retrievals at the trajectory locations are shown in magenta (ORAC, Thomas et al., 2009) and black Peers et al. (2019, 2021), with squares representing the mean and whiskers the lower and upper standard deviation. Simulations are listed in Table 1. Gray shading indicates nighttime.

uses a data filter that captures more broken clouds, and produces smaller r_{eff} values compared to the very high Peers values on the second day (Fig. 4 e). The simulation R_1 is in line with the smaller r_{eff} values produced by ORAC.

The ORAC algorithm does not account for absorbing aerosol above clouds which were present in the free troposphere during CLARIFY flight C052. The ORAC retrieval gives very similar r_{eff} values as the Peers retrieval on the first day of the simulations, when the cloud deck is in the closed-cell stratocumulus clouds state (4 a, c, e). The Peers retrieval gives generally higher values on the second day, when the cloud deck is in the open-cell stratocumulus cloud state. However, above-cloud absorbing aerosol has only a small effect on retrieved r_{eff} values (Peers et al., 2021). The higher r_{eff} values of the Peers retrieval on the second day may hence in general be caused by its data filter, discussed above. The ORAC retrieval gives systematically lower τ values than the Peers retrieval (4 b, d, f). This low bias is small on the first day and larger on the second day, when it assumes values that are by and large consistent with an underestimation of 35 % caused by ignoring above-cloud aerosol (Peers et al., 2021).

A comparison of simulated r_{eff} and τ with MODIS collection 6 products (Platnick et al., 2017) is shown in Fig. S3 (SI) for completeness. r_{eff} and τ in G_1, B_1, and R_1 agree overall well with the MODIS retrieval, with similar biases as seen relative to the SEVIRI data.

3.3 Evaluation with in situ data

Figures 5 – 7 evaluate the simulation G_1 at the location where its trajectory crosses the path of CLARIFY flight C052 (Abel et al., 2020). The location of the simulation domain and of the CLARIFY flight C052 profiles P1–P7 that provide measurements are shown in Fig. S2 (SI).

3.3.1 Aerosol, temperature, and water vapor

Figure 5 compares aerosol concentrations, temperature, and water vapor in simulation G_1 with CLARIFY C052 data. Simulation G_1 accurately reproduces the observed aerosol profile in the boundary layer (below about 1800 m), including its slight negative gradient with altitude which arises from sea surface emissions and depletion in the cloud layer. The depletion of boundary layer aerosol by cloud processes is evident in the low

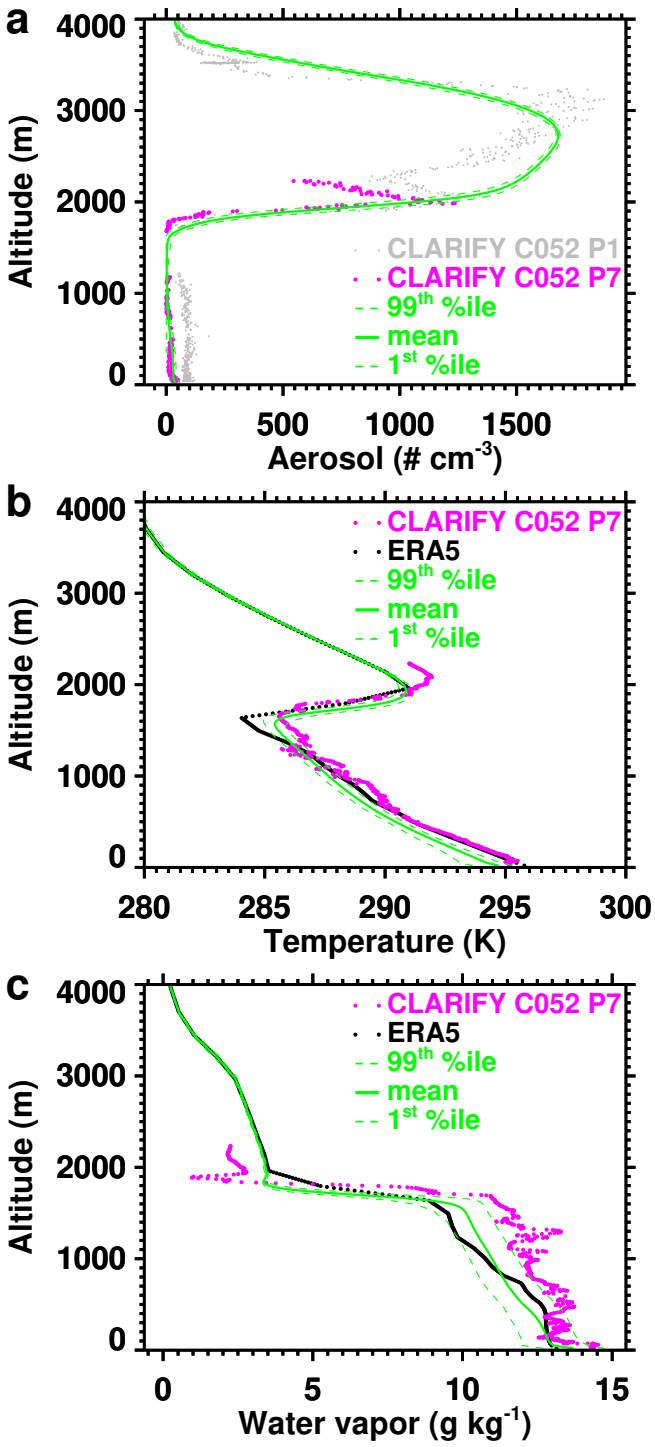

Figure 5. Domain mean profiles in simulation G_1 (green) on 5 September 2017, 16h45m00s UTC, ERA5 profiles (black), interpolated to the vertical grid of the simulations, on 5 September 2017, 16h32m30s UTC, and in-situ measurements from CLARIFY flight C052. CLARIFY C052 profile P7 (5 September 2017, 16:27:49 – 16:36:33 UTC, magenta) is located at the intercept of the simulation trajectory and the CLARIFY C052 flight path. CLARIFY flight C052 profile P1 (5 September 2017, 15h25m18s – 15h50m53s, gray) is located upstream along the flight path. The location of the simulation domain, the CLARIFY flight C052 path and its profile P1 and P7 are shown in Fig. S2 (SI).

observed aerosol concentration (Fig. 5 a, magenta) at the location of the simulation domain (CLARIFY profile P7), where open cells have existed for a longer time, relative to the less depleted aerosol concentration (Fig. 5 a, gray) farther upstream (CLARIFY profile P1), where open cells have formed more recently. The simulation matches the vertical distribution of the biomass burning layer above the inversion, with the exception of a "bite-out" in the lower free troposphere around 2200 m, and peak aerosol concentrations around 3200 m (Fig. 5 a).

The simulated temperature (Fig. 5 b) and water vapor (Fig. 5 c) profiles reproduce well the qualitative features of the observations, with quantitative biases. The simulated inverson misses the observed inversion by only 100 m, but the boundary layer has a cold and dry bias. Under the assumption that simulated and observed variability in these quantities is comparable, this is unlikely due to a sampling bias at levels where the observed profiles are outside the 1st – 99th percentile range of the simulated values (Fig. 5 b, c). The assumption may not apply, since the observations, which sample a limited volume of the boundary layer, show variability that is comparable or greater than variability over the entire simulation domain. Hence variability in the simulations may be too small and the observed profiles not statistically representative.

The slightly low inversion, and the cold and dry bias in the boundary layer may be caused by a horizontal grid spacing that is too coarse. A finer horizontal grid spacing would reduce numerical diffusion of vertical momentum and hence strengthen turbulence. This would enhance mixing and reduce the dry bias in the upper boundary layer by transporting moisture from the surface to higher levels. The stronger turbulence would also drive entrainment, lift the inversion, and warm the boundary layer. This response to a refinement of grid spacing would in part be offset by adjustments in surface fluxes, cloud water content, and radiative heating and cooling. Simulation grid effects are discussed in more detail in Sec. 4.1.

ERA5 places the inversion at the observed height, but has a very strong cold (Fig. 5 b) and dry (Fig. 5 c) bias in the upper boundary layer. Since it reproduces both temperature and water vapor well near the surface, the cold and dry bias farther aloft may arise from insufficient boundary layer turbulence and mixing. ERA5 has a cold and moist bias in the lowermost free troposphere, at about 2000 m, relative to the observations (Fig. 5 b, c). The warmer temperature in the observed lowermost free troposphere may be caused

by heating from absorption of radiation by the biomass burning aerosol layer, which may not not be fully captured in ERA5 via data assimilation. The ERA5 biases in the lowermost free troposphere may propagate into our simulations by affecting inversion stability, and entrainment of heat and moisture into the boundary layer.

3.3.2 Cloud and rain properties

Figures 6 and 7 compare cloud and rain properties in simulation G_1 with measurements from CLARIFY flight C052. The simulation results are evaluated at the intercept of the simulation trajectory with the path of flight C052 with measurements from that location (flight profile P7), and with measurements from a longer flight segment that extends upstream of the intercept (flight profiles P1–P7). The simulation results were processed to emulate the sampling by the aircraft instruments using thresholds given in Abel et al. (2020): Cloud properties represent hydrometeors up to 25 µm radius, sampled from locations where their liquid water content is $> 0.01\,\mathrm{gm}^{-3}$, and such locations contribute to the calculation of cloud fraction. Rain properties represent hydrometeors of 30 µm in radius or larger, sampled from locations where their concentration exceeds $1\,\mathrm{L}^{-1}$, and such locations contribute to the calculation of rain fraction.

Simulated cloud properties are consistent with the observations at the intercept of the simulation trajectory with the path of CLARIFY flight C052 (flight profile P7, Fig. 6 a-c). Large scatter in the measurements arises from the profile P7 extending almost across the simulation domain, sampling different locations and cloud elements in the cloud field (Fig. S2, SI). Despite the scatter in the observed cloud water (Fig. 6 a) and cloud drop number (Fig. 6 b), the model and observations show good agreement in the vertical structure of cloud drop mean volume radius, which increases with height (Fig. 6 c).

Simulated rain water and rain drop number are in reasonable agreement with the observations at the intercept, given the significant scatter in the measurements (Fig. 7 a, b). Observed rain drop mean volume radii are often around 35 µm, smaller compared to the simulated values across the domain (Fig. 7 c). Simulated rain rates are consistent with measured values (Fig. 7 d), with the caveat of large scatter in the observations that likely arises from the sampling of different locations in the cloud field.

Since the aircraft profile P7 represents only a very small sample volume relative to the simulation domain, we compare the simulation with observations aggregated over

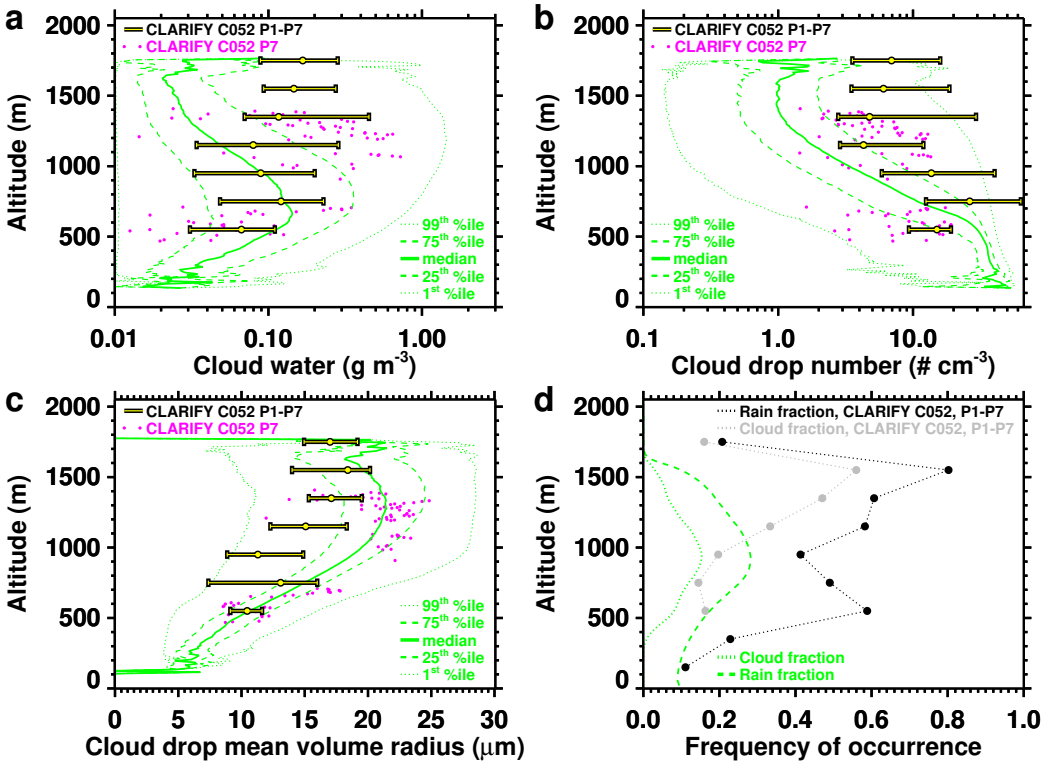

Figure 6. Cloud properties (a, b, c) and frequency of occurrence of cloud and rain water (d). Simulation G_1 (green) is shown on 5 September 2017, 16h45m00s UTC, at the intercept of the simulation trajectory with the path of CLARIFY flight C052. Measurements at the intercept (magenta) were taken during the C052 flight profile P7 (5 September 2017, 16:27:49 – 16:36:33 UTC). Measurements from C052 flight profiles P1–P7 (median and interquartile range, yellow/black dots with whiskers) represent a longer flight segment, extending upstream of the intercept (5 September 2017, 15h44m10s – 16h39m41s UTC). CLARIFY flight C052 profiles P1–P7 mean cloud (gray) and rain (black) fractions are shown in panel d. The location of the simulation domain at the intercept with CLARIFY flight C052, and locations of the profiles P1–P7 are shown in Fig. S2 (SI).

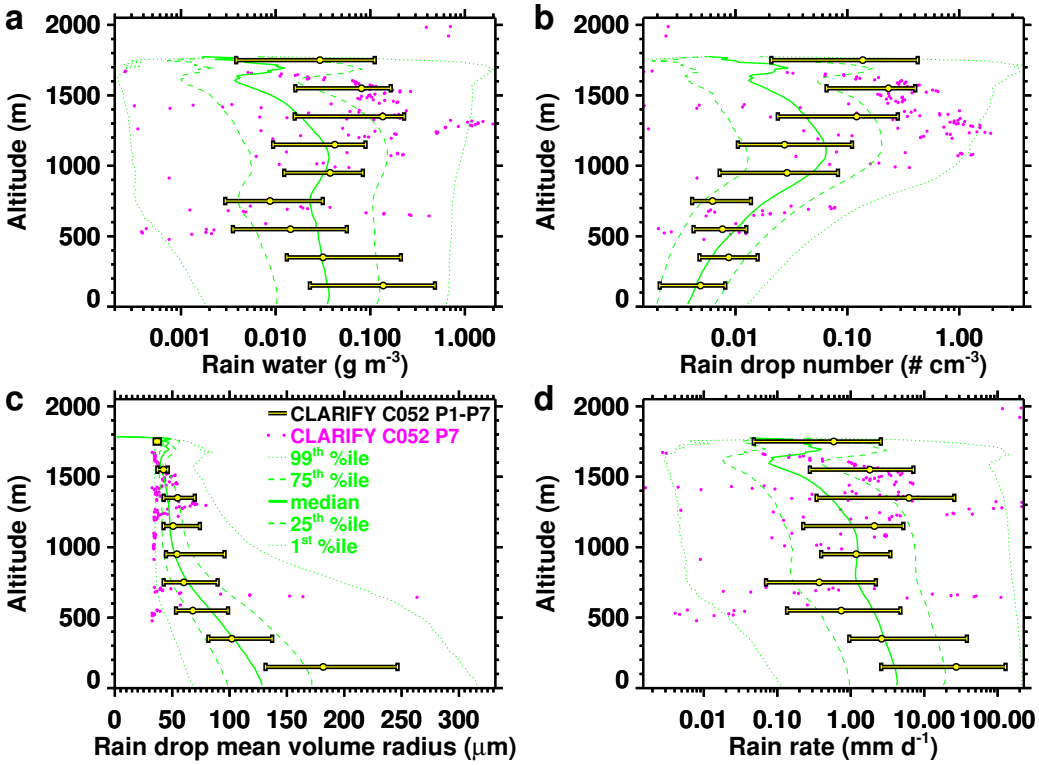

Figure 7. Same as Fig. 6 but rain properties.

the flight profiles P1–P7 (Fig. 6 and 7). Simulated cloud water and cloud drop number are in good agreement below 1250 m (Fig. 6 a, b). Above 1250 m, observed values of cloud water and cloud drop number are systematically higher than the simulation results, but the distributions of simulated and observed data exhibit a large overlap. The higher observed values can have several causes. The leading potential cause is that the clouds sampled on the profiles P1–P6 are systematically richer in liquid water and cloud drops compared to the clouds sampled on the profile P7 at the simulated location. This is supported the SEVIRI 1.6 µm channel image at time of the profiles P1–P7 (Fig. S2, SI), which shows that the flight segment upstream (east-southeast) of profile P7 crossed brighter cloud elements, indicating higher liquid water than is present on flight profile P7, or within the simulation domain. In agreement, measurements upstream (farther east) of profile P7 show higher values of cloud water and cloud drop number (Fig. S4, SI). Differences between the simulated cloud water and cloud drop number and the measurements along the profiles P1–P7 hence likely arise from different conditions and cloud state along the flight segment upstream of the simulated location. Despite these differences, simulated

cloud drop mean volume radii are in good agreement with the observations along the profiles P1–P7 (Fig. 6 c). The agreement holds up to the cloud top region, which is consistent with the good agreement between the simulated cloud drop effective radii in G_1 and SEVIRI measurements at the corresponding time, d = 247.69 (Fig. 4 a).

Simulated rain water and rain drop number are in good agreement with the observations aggregated along the CLARIFY flight C052 profiles P1–P7, with observed values generally higher than the simulations at altitudes above 1500 m (Fig. 7 a, b). The simulation captures well the vertical structure in the observed rain drop number, which shows lower values near the surface and higher values near cloud top (Fig. 7 b). The simulation closely reproduces observed rain drop mean volume radii (Fig. 7 c) and rain rates (Fig. 7 d), both in terms of absolute values and vertical structure.

Figure 6 d shows the cloud and rain fractions from simulation G_1 and along the CLARIFY flight C052 profiles P1–P7. Observed values are larger than the simulated values for both cloud and rain fraction. Visual inspection of the satellite imagery shows that the aircraft frequently crossed cloudy areas upstream (east-southeast) of the simulation domain (Fig. S2, SI). Frequent cloud encounters by the aircraft upstream (east-southeast) of the simulation domain are documented in measurements of cloud water and cloud drop number (Fig. S4, SI). We hence attribute the higher observed cloud and rain fractions to different conditions and cloud state along the flight segment upstream of the simulated location. Despite these differences, simulation and observations agree on a higher rain fraction compared to the cloud fraction.

In summary, the simulation is in reasonable agreement with the in-situ observationsof cloud and rain water mass and number, with the main limitation of the evaluation being the sparseness of the observations relative to the variability in the cloud field on the scale of the simulation domain, and the associated scatter. To reduce the uncertainty in the evaluation of the simulation from this scatter, we compared the simulation with observations aggregated over a longer flight segment. The simulation matches this larger sample better, although in the upper boundary layer, it systematically underestimates cloud water mass and number, and to some extent rain water mass and number. These biases are likely caused by different conditions and cloud state along the longer flight segment compared to the simulated location. The simulation does well in reproducing profiles of cloud and rain drop mean volume radii, rain water and rain drop num-

ber, and rain rate. This, together with the good agreement with the satellite cloud optical depth and cloud drop effective radii (Sec. 3.2), indicates that the model performs well, and that disagreements with the in-situ data are largely due to in-situ undersampling and different conditions at the simulated and observed locations.

3.4 Impact of the free tropospheric biomass burning layer observed during CLARIFY flight C052

Based on measurements during CLARIFY flight C052, Abel et al. (2020) found weaker entrainment of free-tropospheric biomass burning aerosol into the boundary layer of the underlying POC compared to the surrounding closed-cell cloud deck. We hence tested the impact of entrainment of aerosol from the free-tropospheric biomass burning layer on cloud- and boundary layer properties underneath.

Simulation G_0 and G_1 have identical setups, but simulation G_1 is initialized with a biomass burning layer in the free troposphere and simulation G_0 without it (Tab. 1 and Fig. S5 a, SI). The two simulations produce nearly identical evolutions of r_{eff} and τ (Fig. 4 a, b). The time series of other cloud- and boundary layer properties are also nearly identical except for variability in the rain water path and surface precipitation on short time scales (Fig. S6, SI). At the time of the intercept of the simulation trajectory with the path of flight C052 with measurements from that location (flight profile P7), the simulations produce identical profiles of aerosol, temperature, and water vapor, except in the free troposphere, where simulation G_0 exhibits the free tropospheric background aerosol concentration, while simulation G_1 matches the aerosol concentrations observed in biomass burning layer above the inversion (Fig. S5 b-c, SI). We conclude that entrainment of aerosol from the biomass burning layer overlying the POC sampled during CLARIFY flight C052 is limited to the extent of having no impact on cloud- or boundary layer properties. This is in agreement with the observations of Abel et al. (2020).

3.5 Bin- and bulk microphysics

Time series of r_{eff} and τ calculated with the bin (G_1, B_1, R_1) and the bulk (G_2, B_2, R_2) cloud microphysics scheme (Sec. 2.2.2) are shown in Figure 4. The r_{eff} time series shows no systematic difference between the two microphysics schemes (Fig. 4 a, c, e). Systematic differences are present in the τ time series (Fig. 4 b, d, f): the bulk scheme

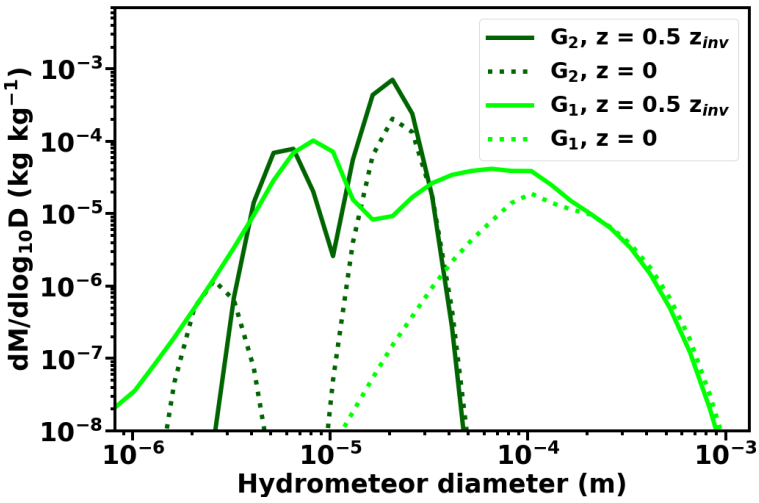

Figure 8. Hydrometeor mass distribution, averaged over the last 12 h (d = 247.2 to 247.7), in simulation G_1 (green) using bin microphysics, and simulation G_2 (dark green) using bulk microphysics, in the center of the boundary layer (solid) and at the surface (dotted).

produces high values starting at night and over the course of the second day. The elevated τ values are due to a higher rain water path in the bulk scheme, which dominates liquid water path, and is caused by lower surface precipitation during the night and the second day in the simulations (Figs. S8, S9, S10, SI).

Figure 8 shows the hydrometeor mass distribution averaged over the last 12 h of simulation G_1 (bin microphysics) and simulation G_2 (bulk microphysics), in the center of the boundary layer and at the surface. The cloud deck is in the open-cell state at this stage of the simulations. The bin microphysics produces a rain mode with hydrometeors that are approximately one order of magnitude larger than those in the rain mode of the bulk microphysics. Between the center of the boundary layer and the surface, the rain mode moves to larger sizes in the bin microphysics and to smaller sizes in the bulk microphysics. The larger hydrometeors in the rain mode of the bin microphysics and their faster fall speeds are responsible for a larger precipitation flux near the surface compared to the bulk microphysics (Fig. 9 a). In turn, less liquid water is retained in simulation G_1 and more in simulation G_2 (Fig. 9 b).

Hence when precipitation is present, the bulk microphysics overestimates liquid water compared to the bin microphysics, and produces a high bias in τ relative to the SE-

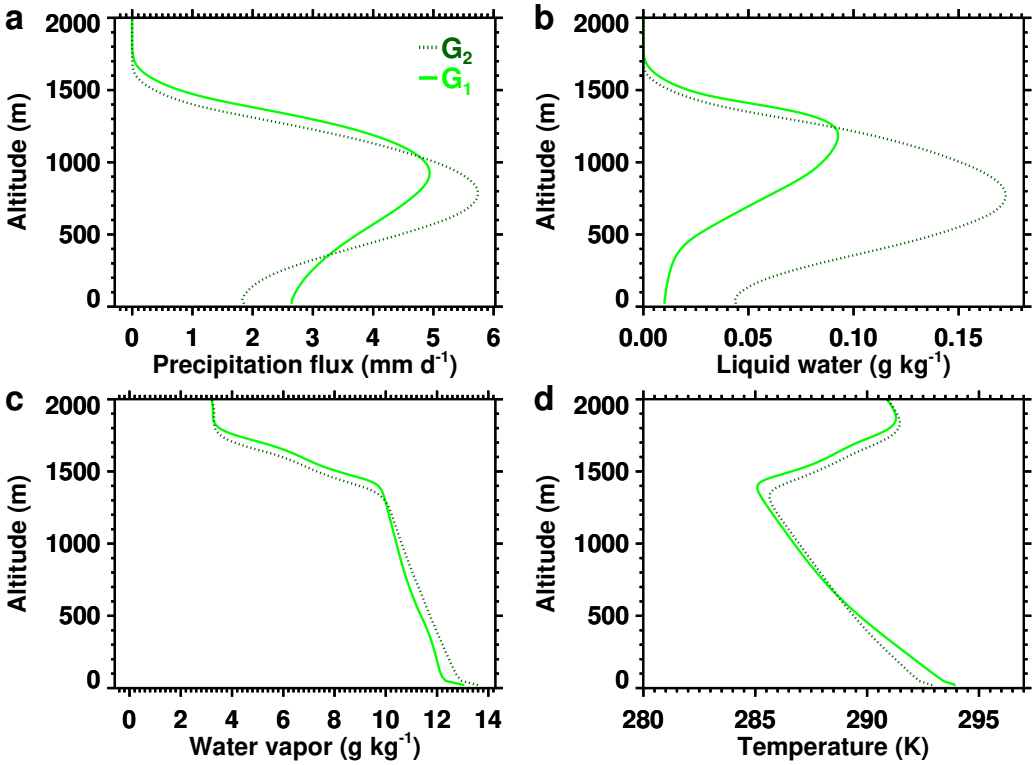

Figure 9. Vertical profiles, averaged over the last 12 h (d = 247.2 to 247.7) of simulation G_1 (green) using bin microphysics, and simulation G_2 (dark green) using bulk microphysics.

VIRI observations, because of an underestimation of surface precipitation due to insufficient formation of large rain drops. In the bulk microphysics, the largest hydrometeors in the rain mode evaporate as they travel towards the surface, in contrast to the bulk microphysics (Fig. 8). The evaporation of rain with the bulk microphysics results in a moist (Fig. 9 c) and cold (Fig. 9 d) bias in the lower regions of the boundary layer relative to the bin microphysics.

3.6 Initial aerosol concentration

On the red trajectory, a lower boundary layer aerosol concentration is used compared to the green and blue trajectories to initialize simulations. The rationale for the lower value on the red trajectory is given in Section 2.3. Here we expand on this rationale by comparing simulation R_1, which uses the lower initial aerosol concentration of 115 mg^{-1}, with simulation R_3, which uses the higher value of 145 mg^{-1}, in the context of the SEVIRI satellite observations. Time series of r_{eff} and τ in simulations along the red tra-

jectory are shown in Figure 4 (e, f). Simulation R_3 underestimates the observed r_{eff} on the first day, while simulation R_1 produces larger r_{eff} values that match the observations better (Fig. 4 e). No distinguishing difference exists between the simulations in terms of r_{eff} on the second day. τ is consistent in both simulations with the observations on both days (Fig. 4 f). The better agreement of R_1 compared to R_3 with the observed r_{eff} on the first day supports the use of a lower initial boundary layer aerosol concentration for simulations on the red trajectory.

3.7 Role of simulation setup

We determine key elements of the simulation setup for the ability of the simulations to reproduce the observations. These elements have in common that they act on the surface flux and vertical transport of water vapor, and thereby alter the thermodynamic properties of the boundary layer. The analysis uses simulations with the bulk microphysics scheme.

3.7.1 Vertical grid spacing and ventilation of the surface layer

The simulations in this work employ a vertical grid that coarsens towards the surface in the lowermost three levels. To illustrate its effect, we compare simulation G_2, which uses the grid coarsening towards the surface, with simulation G_3, which uses a constant grid down to the surface. The constant grid has a finer spacing near the surface (Tab. 1 and Sec. 2.2.6).

The surface latent heat flux is lower in G_3 compared to G_2, and G_3 has a drier boundary layer (Fig. 10 a, b). Yet, G_3 has a moister surface layer compared to G_2 (Figs. S10 a, b and S11, a, b, SI), indicating suppressed surface ventilation. The surface sensible heat flux, in contrast, is nearly identical in G_2 and G_3 during the first daytime period of the simulations (Fig. 10 c), and the surface layer in G_3 is warmer by only fractions of a degree during this time (Figs. S10 c and S11 c SI). We hypothesize that surface layer warming due to a weaker ventilation of the surface in G_3 is offset by longwave radiative cooling.

Following the first daytime period, the surface sensible heat flux (Fig. 10 c) rises sooner in G_2 (at nightfall) compared to G_3 (towards dawn), because in the moister boundary layer in G_2, rain and surface precipitation form sooner (Figs. 10 f, g). The associ-

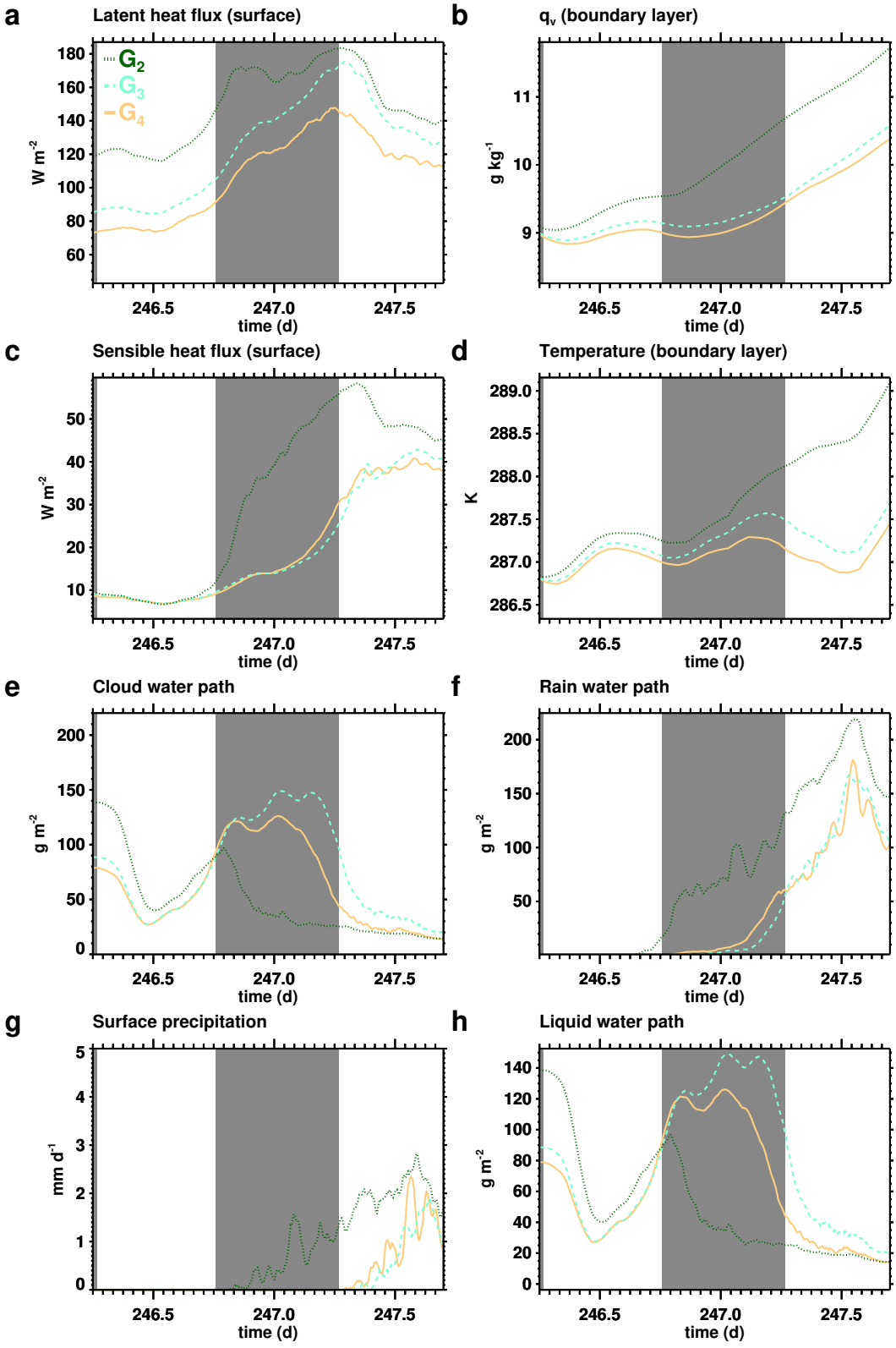

Figure 10. Time series in simulation G_2 (dark green), G_3 (aquamarine), and G_4 (beige). Gray shading indicates nighttime.

ated evaporation results in a greater temperature contrast near the surface between G_2 and G_3 (Figs. S10 d and S11, d SI). The boundary layer as a whole, however, is warmer in G_2 compared to G_3 (Fig. 10 d), owing to its higher surface precipitation.

Hence a constant, refined vertical grid near the surface hampers ventilation of the surface layer, causing it to moisten. This in turn suppresses the surface latent heat flux, which alters the thermodynamic properties of the boundary layer: The boundary layer is drier and surface precipitation delayed and suppressed. This results in a warmer surface layer but an overall cooler boundary layer. Overall, the refined vertical grid near the surface causes a dry and cold bias in the boundary layer. Coarsening the vertical grid towards the surface reduces this effect.

3.7.2 Wind speed nudging near the surface

Mean horizontal wind speed is maintained in the simulations by nudging towards ERA5 wind speed profiles. To counter deceleration by surface drag away from the ERA5 wind speed, the nudging time constant tightens towards the surface (Sec. 2.2.1). To illustrate the impact, we compare results obtained with the tighter nudging towards the surface (simulation G_3) against results obtained with more relaxed nudging at all levels (simulation G_4). With the relaxed nudging, wind speed near the surface is slower in G_4 compared to G_3 (Fig.S12, SI). The surface latent heat flux falls in response, which renders the boundary layer drier (Fig. 10 a, b). The surface sensible heat flux is largely insensitive to the surface wind speed reduction, but the boundary layer in G_4 is cooler compared to G_3 (Fig. 10 c, d). We hypothesize that this response arises from the complex interactions connecting dynamics, surface fluxes, cloud state, radiative cooling, and entrainment warming. Overall, relaxed nudging of the wind speed towards ERA5 reduces surface wind speed and causes a dry and cold bias in the boundary layer. This can be counteracted by tighter nudging towards the surface.

4 Discussion

The cold and dry bias in the simulated boundary layer relative to the in-situ observations found in this work indicates remaining model uncertainties and potential for improvement. Areas that contribute to these uncertainties, and where improvements are

possible, are discussed in the following, with comments on future high-resolution global models.

4.1 Grid anisotropy

Non-isotropic grids with large grid aspect ratios enable large simulation domains that capture the stratocumulus mesoscale structure and its effect on cloud properties (Kazil et al., 2017). In this work we used an aspect ratio of 20 across the boundary layer, except towards the surface, where it falls to 5.7. This reduction of the grid aspect ratio towards the surface, implemented by a coarsening of the vertical grid spacing, improves surface ventilation and reduces a boundary layer cold and dry bias (Sec. 3.7.1). A cold and dry bias was also found by Vogel et al. (2020) in LES of shallow cumuli with an aspect ratio of 7.8 near the surface. This raises the question whether in general, large grid aspect ratios near the surface should be avoided.

Nishizawa et al. (2015) investigated the role of LES grid aspect ratio at fixed surface heat flux for turbulence in the dry boundary layer. In their simulations, which reduced the grid aspect ratio from 20 to 10 and from 6 to 2, implemented by coarsening the vertical grid spacing at all levels, the vertical component of grid-resolved turbulence kinetic energy (TKE) increased at all scales in the surface layer. This translates to better surface ventilation. Higher up in the boundary layer, the reduction in aspect ratio had only a small effect on the vertical component of grid-resolved TKE. These findings provide more general support for improving surface ventilation by using smaller grid aspect ratios towards the surface.

The dependence of LES results on the grid aspect ratio represents an uncertainty that will, over time, diminish as increasing computing power enables smaller grid aspect ratios on large domains. Concurrently, the issue will arise in global models as increasing computing power enables finer grids spacings. Once grid spacings are too fine for boundary layer parameterizations to be applicable, and to compensate a suppression of surface ventilation by a large grid aspect ratio, coarsening the vertical grid spacing towards the surface, as done in this work, may offset artifacts.

Sub-grid scale turbulence parameterizations that account for grid anisotropy may constitute a better approach. Nishizawa et al. (2015) demonstrated the importance of parameterizing the LES mixing length as a function of grid aspect ratio, and of using

an appropriate LES filter length to isolate sub-grid scales in order to obtain the theoretical scaling of TKE with wavenumber. Aspect ratio-aware sub-grid scale parameterizations may help reduce or eliminate the dependence of results on the grid aspect ratio in LES, and later serve in high-resolution global simulations with non-isotropic grids.

4.2 Aerosol and cloud microphysics

Simulated cloud properties are sensitive to the representation of the aerosol size distribution and of activation and condensational growth (e.g., Feingold & Kreidenweis, 2002). The simplified representation of the aerosol size distribution used in this work (Sec. 2.2.3) may affect its response to activation and cloud processing, with potential consequences for subsequent activation and cloud microphysical processes. Representing the aerosol size distribution with a bin scheme, e.g., could enable a more detailed and potentially more accurate response of the aerosol size distribution to activation and cloud processing. However, we expect the uncertainty due to the representation of the aerosol size distribution to be relatively small owing to the buffering of aerosol activation by supersaturation, i.e., overactivation suppresses supersaturation, which self-corrects the strength of activation.

The two-moment bin microphysics scheme used in our simulations performs well relative to the observations (Sec. 3.2 and 3.3), but the remaining biases and deviations relative to the observations could, potentially, arise from its limitations. One such limitation is the artificial broadening of hydrometeor size distributions. The use of a two-moment bin scheme reduces the broadening considerably but is not immune to numerical diffusion artifacts (Witte et al., 2019). The broadening arises from numerical diffusion caused by the remapping of the hydrometeor size distribution after growth and collisions (see, e.g. Khain et al., 2008, and references therein), and due to numerical diffusion associated with advection (Morrison et al., 2018). Morrison et al. concluded that Eulerian dynamical models, such as most LES using bin microphysics, may be unable to investigate the physical mechanisms for size distribution broadening, even though they may reasonably simulate overall size distribution characteristics. More advanced representations of the hydrometeor size distribution and processes could identify and reduce or eliminate potential artifacts. Lagrangian cloud microphysics schemes (e.g. Grabowski et al., 2018), in combination with a linear eddy model to represent unresolved turbulent mixing at the subgrid scale of LES (Hoffmann et al., 2019) can eliminate issues affect-

ing other microphysics schemes and their calculation of droplet activation and growth. However, such highly accurate solutions will remain computationally too expensive for some time for typical LES applications, and even more so for climate models. This represents an opportunity for further research and development of microphysics schemes that aim for reduced artifacts at manageable computational expense, such as three-moment bulk schemes (e.g., Paukert et al., 2019).

4.3 Large scale meteorology

Improved understanding of the role of assumptions and methods used in the implementation of large scale meteorology in Lagrangian LES driven by reanalysis meteorology may improve the approach. In this work, the mean LES temperature and moisture profiles in the free troposphere are nudged towards the reanalysis. An alternative is the application of tendencies of temperature and moisture due to horizontal advection from the reanalysis to the LES temperature and moisture profiles. In the boundary layer, the tendencies will vanish in good approximation as the LES domain moves with the boundary layer air mass. Around the inversion and above, these tendencies will be different from zero and could be used instead of nudging. Using horizontal advective tendencies instead of nudging would allow the LES radiation scheme to act on temperature in the free troposphere. This would, e.g., enable the study of the effect of absorbing aerosol layers in the free troposphere. This approach could, however, overestimate heating by the absorbing aerosol, as its effect could already be partially present in the horizontal advective temperature tendency from the reanalysis, as a result of data assimilation. A further potential downside of using tendencies instead of nudging is that differences between the radiation schemes in the LES and reanalysis model may lead to inconsistent free tropospheric temperature profiles between the LES and reanalysis, with potential consequences for the LES results.

The mean horizontal wind speed in the simulations in this work is nudged towards the reanalysis wind speed with a short nudging time scale near the surface, to offset spurious slowing by surface drag and to drive appropriate surface fluxes. Higher up, a longer nudging time scale is used to allow the LES to establish its own wind speed profile around the inversion, as opposed to being forced by the wind speed profile around the inversion in the reanalysis. Still, shear in the mean horizontal wind speed around the inversion in the reanalysis may affect the mean wind speed profile in the LES and possibly lead to

artifacts, in particular if the inversion in the reanalysis is located at a different height than the inversion in the LES. An alternative approach is to use the horizontal pressure gradient from the reanalysis to let the LES generate its own mean horizontal wind field. This may reduce or eliminate artifacts that potentially arise from nudging towards the mean horizontal wind speed in the reanalysis.

A need for development is present in the treatment of tracer advection due to subsidence when tracers have strong vertical gradients, such as aerosol layers. The numerical treatment of advection by subsidence by the model used in this work preserves shape, but not mass. We conserve tracer mass by re-normalizing its vertically integrated value in the free troposphere after subsidence is applied (Sec. 2.2.5). A better solution is needed in the form of an advection scheme that maintains both the shape and mass of free-tropospheric tracer distributions against advection by subsidence.

The reanalysis meteorology that drives the Lagrangian LES is itself a source of uncertainty. ERA5 performs better relative to its predecessor ERA-Interim, and ERA5 deviations from observations just prior to their assimilation are decreasing over the reanalysis period. Yet, e.g., the 30-day mean of the ERA5 standard deviation from observed 2 m relative humidity just prior to its assimilation is 9-10 % in 2017, the year of our simulations (Hersbach et al., 2020). Larger uncertainty should be expected at locations where observations are not assimilated, on shorter time scales, and in quantities that are not constrained by data assimilation. Subsidence, e.g., has been found to exhibit large variability among different reanalyses as well as biases relative to observations (Uma et al., 2021). However, the overall good agreement of our simulation results with the observations indicates that ERA5 characterizes large scale meteorology well in the considered case.

5 Summary and conclusions

In this work we presented and evaluated an approach to improve the fidelity of Lagrangian large eddy simulation (LES) to simulate boundary layer clouds. The Lagrangian LES follow trajectories of the boundary layer flow and are driven by reanalysis meteorology. The simulated case is a sub-tropical transition from a closed- to an open-cell stratocumulus cloud state over a period of two days, which occurred during the formation

and evolution a pocket of open cells (POC) underneath a free-tropospheric biomass burning aerosol layer.

The simulations were evaluated with retrievals of cloud optical depth τ and cloud drop effective radius r_{eff} from the SEVIRI instrument on board the MSG satellite (Peers et al., 2019; Christensen et al., 2020; Peers et al., 2021), and with aircraft in-situ measurements from the CLARIFY field campaign (Abel et al., 2020). The simulations reproduce the observed cloud morphology, τ, and r_{eff} observed by the satellite in the overcast, closed-cell stratocumulus cloud state on the first day of the simulations and in the broken, open cell state on the second day. They capture the timing of the cloud state transition from the closed to the open cell state seen in the satellite imagery on the three considered trajectories. The simulated inversion height of the open-cell state matches the the aircraft data, but the boundary layer has a cold and dry bias relative to the in-situ measurements.

We found two key elements in the simulation setup that contribute to the cold and dry bias of the open cell state: firstly, large grid aspect ratios, needed to cover large domains, suppress ventilation of the surface layer. Reducing the grid aspect ratio towards the surface by coarsening the vertical grid spacing improves surface ventilation and reduces this cold and dry bias. Secondly, the use of a short time scale for the nudging of mean horizontal wind speed towards the reanalysis near the surface maintains mean wind speed close to the reanalysis values. This maintains the surface fluxes of sensible and latent heat and warms and moistens the boundary layer. The remaining cold and dry bias in the simulated boundary layer likely includes contributions from the still anisotropic grid, from the treatment of cloud microphysics, and from uncertainty in the reanalysis meteorology used to drive the simulations.

The simulations closely reproduce a biomass burning aerosol layer identified by the in-situ aircraft measurements just above the inversion of the POC, as well as the aerosol concentration in the boundary layer. Simulations with and without the biomass burning layer produce nearly identical results. We conclude that entrainment of aerosol from the biomass burning layer overlying the POC is limited to the extent of having no impact on cloud- or boundary layer properties. This is in agreement with observations from the CLARIFY field campaign, which found only limited entrainment of biomass burning aerosol into the boundary layer (Abel et al., 2020).

Simulated mass and number of cloud and rain are consistent with the in-situ aircraft measurements. Simulated cloud and rain drop sizes, as well as rain rates are in good agreement with the observations. Based on analysis of the satellite imagery and the in-situ data, we conclude that aggregation of measurements along the aircraft flight path, which reduces noise but merges data from conditions with different cloud properties, is a key contribution to differences between simulated and observed hydrometeor properties.

Simulations using a numerically efficient two-moment bulk microphysics scheme, instead of the two-moment bin microphysics scheme, reproduce the satellite r_{eff} and τ in the non-precipitating closed-cell state of the simulations well. However, they overestimate τ in the precipitating, open-cell state. The cause is an insufficient formation of large rain drops, which results in an underestimation of surface precipitation and overestimation of liquid water path.

In summary, we find that Lagrangian LES, driven by reanalysis meteorology, are capable of realistically simulating boundary layer clouds. Owing to its ability to reproduce real-world cases, the approach is suited to investigate and explain observed phenomena, such as in the context of field campaigns. This potential for realism, together with a spatially and temporally highly resolved output, also renders the approach suitable as a framework for the development of process representations, such as cloud microphysics schemes, and of single column models and retrieval algorithms for remote sensing instruments.

The challenges facing Lagrangian LES driven by reanalysis meteorology, such as the dependence of the results on the grid aspect ratio, will diminish over time, possibly due to the use of improved sub-grid scale turbulence parameterizations that account for grid anisotropy, and certainly as increasing computing power will enable smaller grid aspect ratios on large domains. These challenges will, however, with increasing computing power and finer grids, eventually arise in global models. They will be compounded by boundary layer parameterizations being applicable only as long as the grid spacings are not too fine. The development and use of Lagrangian LES driven by reanalysis meteorology can thus pave the way for the development of future global models.

Acknowledgments

We thank Tom Goren, Wayne Angevine, and Ryuji Yoshida, Cooperative Institute for Research in Environmental Sciences (CIRES), University of Colorado, and Chemical Sciences Laboratory, National Oceanic and Atmospheric Administration (NOAA) Earth System Research Laboratories, for helpful discussions. We gratefully acknowledge Marat Khairoutdinov, Stony Brook University, for developing and making the System for Atmospheric Modeling (SAM) available. We thank Fanny Peers, University of Exeter, for providing SEVIRI retrievals with absorbing aerosol layers above the clouds. European Centre for Medium-Range Weather Forecasts (ECMWF) ERA5 data were provided by the Copernicus Climate Change and Atmosphere Monitoring Services (2020), `https://doi.org/10.24381/cds.bd0915c6`. Neither the European Commission nor ECMWF is responsible for any use that may be made of these data or the information contained in this work. MSG/SEVIRI data were provided by the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT). We thank the AERIS/ICARE Data and Services Center for providing access to the MSG/SEVIRI data used in this study. The ORAC algorithm was developed in part through the European Space Agency Climate Change Initiative. The CLARIFY deployment was jointly funded by the UK Natural Environment Research Council (NERC) through grant no. NE/L013479/1 and the Met Office. This work is supported by the U.S. National Oceanic and Atmospheric Administration Climate Program Office and by NOAA's Climate Goal. The authors acknowledge the NOAA Research and Development High Performance Computing Program for providing computing and storage resources that have contributed to the research results reported in this paper. MC acknowledges support from the European Research Council Project constRaining the EffeCts of Aerosols on Precipitation under the European Union's Horizon 2020 Research and Innovation Program Grant 724602. Simulation outputs from this study are available at `https://csl.noaa.gov/groups/csl9/datasets/data/cloud_phys/2021-Kazil-etal`.

References

Abel, S. J., Barrett, P. A., Zuidema, P., Zhang, J., Christensen, M., Peers, F., ... Flynn, M. (2020). Open cells exhibit weaker entrainment of free-tropospheric biomass burning aerosol into the south-east Atlantic boundary layer. *Atmos. Chem. Phys.*, *20*(7), 4059–4084. doi: 10.5194/acp-20-4059-2020

Adebiyi, A. A., Zuidema, P., & Abel, S. J. (2015). The Convolution of Dynamics and Moisture with the Presence of Shortwave Absorbing Aerosols over the Southeast Atlantic. *Journal of Climate*, *28*(5), 1997–2024. doi: 10.1175/JCLI-D-14-00352.1

Agee, E. M. (1984). Observations from space and thermal convection: A historical perspective. *Bull. Am. Meteorol. Soc.*, *65*, 938–949. doi: 10.1175/1520-0477(1984)065⟨0938:OFSATC⟩2.0.CO;2

Agee, E. M. (1987). Mesoscale cellular convection over the oceans. *Dynam. Atmos. Ocean*, *10*, 317–341. doi: 10.1016/0377-0265(87)90023-6

Agee, E. M., Chen, T. S., & Dowell, K. E. (1973). A review of mesoscale cellular convection. *Bull. Am. Meteorol. Soc.*, *54*(10), 1004–1012. doi: 10.1175/1520-0477(1973)054⟨1004:AROMCC⟩2.0.CO;2

Atkinson, B. W., & Zhang, J. W. (1996). Mesoscale shallow convection in the atmosphere. *Rev. Geophys.*, *34*(4), 403–431. doi: 10.1029/96RG02623

Berner, A. H., Bretherton, C. S., & Wood, R. (2011). Large-eddy simulation of mesoscale dynamics and entrainment around a pocket of open cells observed in VOCALS-REx RF06. *Atmos. Chem. Phys.*, *11*(20), 10525–10540. doi: 10.5194/acp-11-10525-2011

Bony, S., & Dufresne, J.-L. (2005). Marine boundary layer clouds at the heart of tropical cloud feedback uncertainties in climate models. *Geophys. Res. Lett.*, *32*, 20806. doi: 10.1029/2005GL023851

Bretherton, C. S., Wood, R., George, R. C., Leon, D., Allen, G., & Zheng, X. (2010). Southeast Pacific stratocumulus clouds, precipitation and boundary layer structure sampled along 20° S during VOCALS-REx. *Atmos. Chem. Phys.*, *10*(21), 10639–10654. doi: 10.5194/acp-10-10639-2010

Bretherton, C. S., & Wyant, M. C. (1997). Moisture transport, lower-tropospheric stability, and decoupling of cloud-topped boundary layers. *J. Atmos. Sci.*, *54*(1), 148–167. doi: 10.1175/1520-0469(1997)054⟨0148:MTLTSA⟩2.0.CO;2

Christensen, M. W., Jones, W. K., & Stier, P. (2020). Aerosols enhance cloud lifetime and brightness along the stratus-to-cumulus transition. *Proc. Nat. Acad. Sci.*, *117*(30), 17591–17598. doi: 10.1073/pnas.1921231117

Christensen, M. W., Neubauer, D., Poulsen, C. A., Thomas, G. E., McGarragh, G. R., Povey, A. C., ... Grainger, R. G. (2017). Unveiling aerosol-cloud in-

teractions - Part 1: Cloud contamination in satellite products enhances the aerosol indirect forcing estimate. *Atmos. Chem. Phys.*, *17*(21), 13151–13164. doi: https://doi.org/10.5194/acp-17-13151-2017

Chung, D., & Teixeira, J. (2012). A Simple Model for Stratocumulus to Shallow Cumulus Cloud Transitions. *J. Clim.*, *25*(7), 2547–2554. doi: 10.1175/JCLI-D-11-00105.1

Clark, T. L. (1973). Numerical modeling of the dynamics and microphysics of warm cumulus convection. *J. Atmos. Sci.*, *30*(5), 857–878. doi: 10.1175/1520-0469(1973)030⟨0857:NMOTDA⟩2.0.CO;2

Clarke, A. D., Owens, S. R., & Zhou, J. (2006). An ultrafine sea-salt flux from breaking waves: Implications for cloud condensation nuclei in the remote marine atmosphere. *J. Geophys. Res.*, *111*(D06202). doi: 10.1029/2005JD006565

Coakley, J. A., Friedman, M. A., & Tahnk, W. R. (2005). Retrieval of cloud properties for partly cloudy imager pixels. *J. Atmos. Oceanic Tech.*, *22*(1), 3–17. doi: 10.1175/JTECH-1681.1

Comstock, K. K., Bretherton, C. S., & Yuter, S. E. (2005). Mesoscale variability and drizzle in Southeast Pacific stratocumulus. *J. Atmos. Sci.*, *62*, 3792–3807. doi: 10.1175/JAS3567.1

Deardorff, J. W. (1980). Stratocumulus-capped mixed layers derived from a three-dimensional model. *Boundary Layer Meteorol.*, *18*(4), 495–527. doi: 10.1007/BF00119502

de Roode, S. R., Sandu, I., van der Dussen, J. J., Ackerman, A. S., Blossey, P., Jarecka, D., ... Stevens, B. (2016). Large-Eddy Simulations of EUCLIPSE-GASS Lagrangian Stratocumulus-to-Cumulus Transitions: Mean State, Turbulence, and Decoupling. *J. Atmos. Sci.*, *73*(6), 2485–2508. doi: 10.1175/JAS-D-15-0215.1

Durran, D. R. (1991). The Third-Order Adams-Bashforth Method: An Attractive Alternative to Leapfrog Time Differencing. *Mon. Weather Rev.*, *119*(3), 702–720. doi: 10.1175/1520-0493(1991)119⟨0702:TTOABM⟩2.0.CO;2

Eastman, R., & Wood, R. (2016). Factors Controlling Low-Cloud Evolution over the Eastern Subtropical Oceans: A Lagrangian Perspective Using the A-Train Satellites. *J. Atmos. Sci.*, *73*(1), 331–351. doi: 10.1175/JAS-D-15-0193.1

Eastman, R., & Wood, R. (2018). The Competing Effects of Stability and Hu-

midity on Subtropical Stratocumulus Entrainment and Cloud Evolution from a Lagrangian Perspective. *J. Atmos. Sci.*, *75*(8), 2563–2578. doi: 10.1175/JAS-D-18-0030.1

Eastman, R., Wood, R., & Ting O, K. (2017). The Subtropical Stratocumulus-Topped Planetary Boundary Layer: A Climatology and the Lagrangian Evolution. *J. Atmos. Sci.*, *74*(8), 2633–2656. doi: 10.1175/JAS-D-16-0336.1

Feingold, G., Koren, I., Wang, H., Xue, H., & Brewer, A. W. (2010, 12). Precipitation-generated oscillations in open cellular cloud fields. *Nature*, *466*(7308), 849–852. doi: 10.1038/nature09314

Feingold, G., Koren, I., Yamaguchi, T., & Kazil, J. (2015). On the reversibility of transitions between closed and open cellular convection. *Atmos. Chem. Phys.*, *15*(13), 7351–7367. doi: https://doi.org/10.5194/acp-15-7351-2015

Feingold, G., & Kreidenweis, S. M. (2002). Cloud processing of aerosol as modeled by a large eddy simulation with coupled microphysics and aqueous chemistry. *J. Geophys. Res.*, *107*(4687). doi: 10.1029/2002JD002054

Feingold, G., Kreidenweis, S. M., Stevens, B., & Cotton, W. R. (1996). Numerical simulations of stratocumulus processing of cloud condensation nuclei through collision-coalescence. *J. Geophys. Res.*, *101*, 21391–21402. doi: 10.1029/96JD01552

Feingold, G., Tzivion (Tzitzvashvili), S., & Leviv, Z. (1988). Evolution of Raindrop Spectra. Part I: Solution to the Stochastic Collection/Breakup Equation Using the Method of Moments. *J. Atmos. Sci.*, *45*(22), 3387–3399. doi: 10.1175/1520-0469(1988)045⟨3387:EORSPI⟩2.0.CO;2

Feingold, G., Walko, R. L., Stevens, B., & Cotton, W. R. (1998). Simulations of marine stratocumulus using a new microphysical parameterization scheme. *Atmos. Res.*, *47-48*, 505–528. doi: 10.1016/S0169-8095(98)00058-1

Goren, T., Kazil, J., Hoffmann, F., Yamaguchi, T., & Feingold, G. (2019). Anthropogenic air pollution delays marine stratocumulus breakup to open cells. *Geophysical Research Letters*, *46*(23), 14135–14144. doi: 10.1029/2019GL085412

Goren, T., & Rosenfeld, D. (2012). Satellite observations of ship emission induced transitions from broken to closed cell marine stratocumulus over large areas. *J. Geophys. Res.*, *117*, 17206. doi: 10.1029/2012JD017981

Goren, T., & Rosenfeld, D. (2014). Decomposing aerosol cloud radiative ef-

fects into cloud cover, liquid water path and Twomey components in marine stratocumulus. *Atmospheric Research*, *138*, 378–393. doi: 10.1016/j.atmosres.2013.12.008

Grabowski, W. W., Dziekan, P., & Pawlowska, H. (2018). Lagrangian condensation microphysics with Twomey CCN activation. , *11*(1), 103–120. doi: https://doi.org/10.5194/gmd-11-103-2018

Gryspeerdt, E., Stier, P., & Partridge, D. G. (2014). Satellite observations of cloud regime development: the role of aerosol processes. *Atmos. Chem. Phys.*, *14*(3), 1141–1158. doi: https://doi.org/10.5194/acp-14-1141-2014

Hall, W. D. (1980). A Detailed Microphysical Model Within a Two-Dimensional Dynamic Framework: Model Description and Preliminary Results. *J. Atmos. Sci.*, *37*(11), 2486–2507. doi: 10.1175/1520-0469(1980)037⟨2486:ADMMWA⟩2.0.CO;2

Haywood, J. M., Abel, S. J., Barrett, P. A., Bellouin, N., Blyth, A., Bower, K. N., ... Zuidema, P. (2021). The CLoud-Aerosol-Radiation Interaction and Forcing: Year 2017 (CLARIFY-2017) measurement campaign. *Atmos. Chem. Phys.*, *21*(2), 1049–1084. doi: 10.5194/acp-21-1049-2021

Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., ... Thépaut, J.-N. (2020). The ERA5 global reanalysis. *Quarterly Journal of the Royal Meteorological Society*, *146*(730), 1999–2049. doi: https://doi.org/10.1002/qj.3803

Hoffmann, F., Yamaguchi, T., & Feingold, G. (2019). Inhomogeneous mixing in lagrangian cloud models: Effects on the production of precipitation embryos. *J. Atmos. Sci.*, *76*(1), 113–133. doi: 10.1175/JAS-D-18-0087.1

Iacono, M. J., Delamere, J. S., Mlawer, E. J., Shephard, M. W., Clough, S. A., & Collins, W. D. (2008). Radiative forcing by long-lived greenhouse gases: Calculations with the AER radiative transfer models. *J. Geophys. Res.*, *113*(D13103). doi: 10.1029/2008JD009944

Kazil, J., Wang, H., Feingold, G., Clarke, A. D., Snider, J. R., & Bandy, A. R. (2011). Modeling chemical and aerosol processes in the transition from closed to open cells during VOCALS-REx. *Atmos. Chem. Phys.*, *11*(15), 7491–7514. doi: 10.5194/acp-11-7491-2011

Kazil, J., Yamaguchi, T., & Feingold, G. (2017). Mesoscale organization, entrain-

ment, and the properties of a closed-cell stratocumulus cloud. *J. Adv. Model. Earth Syst.*, *9*(5), 2214–2229. doi: 10.1002/2017MS001072

Khain, A. P., BenMoshe, N., & Pokrovsky, A. (2008). Factors determining the impact of aerosols on surface precipitation from clouds: An attempt at classification. *J. Atmos. Sci.*, *65*(6), 1721–1748. doi: 10.1175/2007JAS2515.1

Khairoutdinov, M. F., & Randall, D. A. (2003). Cloud resolving modeling of the ARM summer 1997 IOP: Model formulation, results, uncertainties, and sensitivities. *J. Atmos. Sci.*, *60*(4), 607–625. doi: 10.1175/1520-0469(2003)060⟨0607:CRMOTA⟩2.0.CO;2

Krueger, S. K., McLean, G. T., & Fu, Q. (1995a). Numerical simulation of the stratus-to-cumulus transition in the subtropical marine boundary layer. part I: Boundary-layer structure. *J. Atmos. Sci.*, *52*(16), 2839–2850. doi: 10.1175/1520-0469(1995)052⟨2839:NSOTST⟩2.0.CO;2

Krueger, S. K., McLean, G. T., & Fu, Q. (1995b). Numerical simulation of the stratus-to-cumulus transition in the subtropical marine boundary layer. part II: Boundary-layer circulation. *J. Atmos. Sci.*, *52*(16), 2851–2868. doi: 10.1175/1520-0469(1995)052⟨2851:NSOTST⟩2.0.CO;2

Lin, J.-L., Qian, T., & Shinoda, T. (2014). Stratocumulus Clouds in Southeastern Pacific Simulated by Eight CMIP5-CFMIP Global Climate Models. *J. Clim.*, *27*(8), 3000–3022. doi: 10.1175/JCLI-D-13-00376.1

Low, T. B., & List, R. (1982). Collision, Coalescence and Breakup of Raindrops. Part I: Experimentally Established Coalescence Efficiencies and Fragment Size Distributions in Breakup. *J. Atmos. Sci.*, *39*(7), 1591–1606. doi: 10.1175/1520-0469(1982)039⟨1591:CCABOR⟩2.0.CO;2

Mauger, G. S., & Norris, J. R. (2010). Assessing the Impact of Meteorological History on Subtropical Cloud Fraction. *J. Clim.*, *23*(11), 2926–2940. doi: 10.1175/2010JCLI3272.1

McGarragh, G. R., Poulsen, C. A., Thomas, G. E., Povey, A. C., Sus, O., Stapelberg, S., ... Grainger, R. G. (2018). The Community Cloud retrieval for CLimate (CC4CL) – Part 2: The optimal estimation approach. *Atmos. Meas. Tech.*, *11*(6), 3397–3431. doi: https://doi.org/10.5194/amt-11-3397-2018

McGee, M. (2020). *CO2.Earth: A Pro Oxygen Website.* (https://www.co2.earth)

McGibbon, J., & Bretherton, C. S. (2017). Skill of ship-following large-eddy simula-

tions in reproducing magic observations across the northeast pacific stratocumulus to cumulus transition region. *J. Adv. Model. Earth Syst.*, *9*(2), 810–831. doi: https://doi.org/10.1002/2017MS000924

Mechem, D. B., Yuter, S. E., & de Szoeke, S. P. (2012). Thermodynamic and aerosol controls in Southeast Pacific stratocumulus. *J. Atmos. Sci.*, *69*, 1250–1266. doi: 10.1175/JAS-D-11-0165.1

Mitra, S. K., Brinkmann, J., & Pruppacher, H. R. (1992). A wind tunnel study on the drop-to-particle conversion. *J. Aer. Sci.*, *23*(3), 245–256. doi: 10.1016/0021-8502(92)90326-Q

Mlawer, E. J., Taubman, S. J., Brown, P. D., Iacono, M. J., & Clough, S. A. (1997). Radiative transfer for inhomogeneous atmospheres: RRTM, a validated correlated-k model for the longwave. *J. Geophys. Res.*, *102*, 16663–16682. doi: 10.1029/97JD00237

Monahan, E. C., Spiel, D. E., & Davidson, K. L. (1986). A model of marine aerosol generation via whitecaps and wave disruption. In E. C. Monahan & G. Mac Niocaill (Eds.), *Oceanic whitecaps and their role in air-sea exchange processes* (pp. 167–174). D. Reidel Publishing Company, Dordrecht, Holland.

Monin, A. S., & Obukhov, A. M. (1954). Basic laws of trubulent mixing in the ground layer of the atmosphere. *Tr. Geofiz. Inst. Akad. Nauk SSSR*, *151*, 163–187.

Morrison, H., Witte, M., Bryan, G. H., Harrington, J. Y., & Lebo, Z. J. (2018). Broadening of modeled cloud droplet spectra using bin microphysics in an eulerian spatial domain. *J. Atmos. Sci.*, *75*(11), 4005–4030. doi: 10.1175/JAS-D-18-0055.1

Neggers, R. A. J., Chylik, J., Egerer, U., Griesche, H., Schemann, V., Seifert, P., ... Macke, A. (2019). Local and remote controls on arctic mixed-layer evolution. *J. Adv. Model. Earth Syst.*, *11*(7), 2214–2237. doi: https://doi.org/10.1029/2019MS001671

Neubauer, D., Christensen, M. W., Poulsen, C. A., & Lohmann, U. (2017). Unveiling aerosol-cloud interactions - Part 2: Minimising the effects of aerosol swelling and wet scavenging in ECHAM6-HAM2 for comparison to satellite data. *Atmos. Chem. Phys.*, *17*(21), 13165–13185. doi: https://doi.org/10.5194/acp-17-13165-2017

Nishizawa, S., Yashiro, H., Sato, Y., Miyamoto, Y., & Tomita, H. (2015). Influence of grid aspect ratio on planetary boundary layer turbulence in large-eddy simulations. , *8*(10), 3393–3419. doi: 10.5194/gmd-8-3393-2015

Nuijens, L., & Siebesma, A. P. (2019). Boundary Layer Clouds and Convection over Subtropical Oceans in our Current and in a Warmer Climate. *Current Climate Change Reports*, *5*(2), 80–94. doi: 10.1007/s40641-019-00126-x

Ochs, H. T., Czys, R. R., & Beard, K. V. (1986). Laboratory Measurements Of Coalescence Efficiencies for Small Precipitation Drops. *J. Atmos. Sci.*, *43*(3), 225–232. doi: 10.1175/1520-0469(1986)043⟨0225:LMOCEF⟩2.0.CO;2

Paukert, M., Fan, J., Rasch, P. J., Morrison, H., Milbrandt, J. A., Shpund, J., & Khain, A. (2019). Three-moment representation of rain in a bulk microphysics model. *J. Adv. Model. Earth Syst.*, *11*(1), 257–277. doi: https://doi.org/10.1029/2018MS001512

Peers, F., Francis, P., Abel, S. J., Barrett, P. A., Bower, K. N., Cotterell, M. I., ... Haywood, J. M. (2021). Observation of absorbing aerosols above clouds over the south-east Atlantic Ocean from the geostationary satellite SEVIRI – Part 2: Comparison with MODIS and aircraft measurements from the CLARIFY-2017 field campaign. *Atmos. Chem. Phys.*, *21*(4), 3235–3254. doi: 10.5194/acp-21-3235-2021

Peers, F., Francis, P., Fox, C., Abel, S. J., Szpek, K., Cotterell, M. I., ... Haywood, J. M. (2019). Observation of absorbing aerosols above clouds over the south-east Atlantic Ocean from the geostationary satellite SEVIRI – Part 1: Method description and sensitivity. *Atmos. Chem. Phys.*, *19*(14), 9595–9611. doi: 10.5194/acp-19-9595-2019

Pincus, R., Baker, M. B., & Bretherton, C. S. (1997). What Controls Stratocumulus Radiative Properties? Lagrangian Observations of Cloud Evolution. *J. Atmos. Sci.*, *54*(17), 2215–2236. doi: 10.1175/1520-0469(1997)054⟨2215:WCSRPL⟩2.0.CO;2

Platnick, S., Meyer, K. G., King, M. D., Wind, G., Amarasinghe, N., Marchant, B., ... Riedi, J. (2017, January). The MODIS Cloud Optical and Microphysical Products: Collection 6 Updates and Examples From Terra and Aqua. *IEEE Transactions on Geoscience and Remote Sensing*, *55*(1), 502-525. doi: 10.1109/TGRS.2016.2610522

Rosenberg, P. D., Dean, A. R., Williams, P. I., Dorsey, J. R., Minikin, A., Pickering, M. A., & Petzold, A. (2012). Particle sizing calibration with refractive index correction for light scattering optical particle counters and impacts upon PCASP and CDP data collected during the Fennec campaign. *Atmos. Meas. Tech.*, *5*(5), 1147–1163. doi: 10.5194/amt-5-1147-2012

Rosenfeld, D., Kaufman, Y. J., & Koren, I. (2006). Switching cloud cover and dynamical regimes from open to closed Benard cells in response to the suppression of precipitation by aerosols. *Atmos. Chem. Phys.*, *6*, 2503–2511. doi: 10.5194/acp-6-2503-2006

Sandu, I., & Stevens, B. (2011). On the Factors Modulating the Stratocumulus to Cumulus Transitions. *J. Atmos. Sci.*, *68*(9), 1865–1881. doi: 10.1175/2011JAS3614.1

Sandu, I., Stevens, B., & Pincus, R. (2010). On the transitions in marine boundary layer cloudiness. *Atmos. Chem. Phys.*, *10*(5), 2377–2391. doi: https://doi.org/10.5194/acp-10-2377-2010

Sarkar, M., Zuidema, P., Albrecht, B., Ghate, V., Jensen, J., Mohrmann, J., & Wood, R. (2020). Observations Pertaining to Precipitation within the Northeast Pacific Stratocumulus-to-Cumulus Transition. *Mon. Weather Rev.*, *148*(3), 1251–1273. doi: 10.1175/MWR-D-19-0235.1

Savic-Jovcic, V., & Stevens, B. (2008). The structure and mesoscale organization of precipitating stratocumulus. *J. Atmos. Sci.*, *65*, 1587–1605. doi: 10.1175/2007JAS2456.1

Stein, A. F., Draxler, R. R., Rolph, G. D., Stunder, B. J. B., Cohen, M. D., & Ngan, F. (2015). NOAA's HYSPLIT Atmospheric Transport and Dispersion Modeling System. *Bulletin of the American Meteorological Society*, *96*(12), 2059–2077. doi: 10.1175/BAMS-D-14-00110.1

Stevens, B., Feingold, G., Cotton, W. R., & Walko, R. L. (1996). Elements of the microphysical structure of numerically simulated nonprecipitating stratocumulus. *J. Atmos. Sci.*, *53*(7), 980–1006. doi: 10.1175/1520-0469(1996)053⟨0980:EOTMSO⟩2.0.CO;2

Stevens, B., Vali, G., Comstock, K., Wood, R., Van Zanten, M. C., Austin, P. H., ... Lenschow, D. H. (2005). Pockets of open cells and drizzle in marine stratocumulus. *Bull. Am. Meteorol. Soc.*, *86*(1), 51–57. doi: 10.1175/BAMS-86-1-51

Sus, O., Stengel, M., Stapelberg, S., McGarragh, G., Poulsen, C., Povey, A. C., ... Hollmann, R. (2018). The Community Cloud retrieval for CLimate (CC4CL) – Part 1: A framework applied to multiple satellite imaging sensors. *Atmos. Meas. Tech.*, *11*(6), 3373–3396. doi: https://doi.org/10.5194/amt-11-3373-2018

Thomas, G. E., Carboni, E., Sayer, A. M., Poulsen, C. A., Siddans, R., & Grainger, R. G. (2009). Oxford-RAL Aerosol and Cloud (ORAC): aerosol retrievals from satellite radiometers. In A. A. Kokhanovsky & G. de Leeuw (Eds.), *Satellite Aerosol Remote Sensing over Land* (pp. 193–225). Berlin, Heidelberg: Springer Berlin Heidelberg. doi: 10.1007/978-3-540-69397-0_7

Tzivion, S., Feingold, G., & Levin, Z. (1987). An Efficient Numerical Solution to the Stochastic Collection Equation. *J. Atmos. Sci.*, *44*, 3139–3149.

Uma, K. N., Das, S. S., Ratnam, M. V., & Suneeth, K. V. (2021). Assessment of vertical air motion among reanalyses and qualitative comparison with very-high-frequency radar measurements over two tropical stations. *Atmos. Chem. Phys.*, *21*(3), 2083–2103. doi: https://doi.org/10.5194/acp-21-2083-2021

van der Dussen, J. J., de Roode, S. R., & Siebesma, A. P. (2016). How large-scale subsidence affects stratocumulus transitions. *Atmos. Chem. Phys.*, *16*(2), 691–701. doi: 10.5194/acp-16-691-2016

Vial, J., Dufresne, J.-L., & Bony, S. (2013). On the interpretation of inter-model spread in CMIP5 climate sensitivity estimates. *Climate Dynamics*, *41*(11), 3339–3362. doi: 10.1007/s00382-013-1725-9

Vogel, R., Bony, S., & Stevens, B. (2020). Estimating the shallow convective mass flux from the subcloud-layer mass budget. *J. Atmos. Sci.*, *77*(5), 1559–1574. doi: 10.1175/JAS-D-19-0135.1

Wang, H., & Feingold, G. (2009a). Modeling mesoscale cellular structures and drizzle in marine stratocumulus. Part I: Impact of drizzle on the formation and evolution of open cells. *J. Atmos. Sci.*, *66*(11), 3237–3256. doi: 10.1175/2009JAS3022.1

Wang, H., & Feingold, G. (2009b). Modeling mesoscale cellular structures and drizzle in marine stratocumulus. Part II: The microphysics and dynamics of the boundary region between open and closed cells. *J. Atmos. Sci.*, *66*(11), 3257–3275. doi: 10.1175/2009JAS3120.1

Wang, H., Feingold, G., Wood, R., & Kazil, J. (2010). Modeling microphysical and meteorological controls on precipitation and cloud cellular structures in Southeast Pacific stratocumulus. *Atmos. Chem. Phys.*, *10*, 6347–6362. doi: 10.5194/acp-10-6347-2010

Williams, K. D., & Webb, M. J. (2009). A quantitative performance assessment of cloud regimes in climate models. *Climate Dynamics*, *33*(1), 141–157. doi: 10.1007/s00382-008-0443-1

Witte, M. K., Chuang, P. Y., Ayala, O., Wang, L.-P., & Feingold, G. (2019). Comparison of observed and simulated drop size distributions from large-eddy simulations with bin microphysics. *Mon. Weather Rev.*, *147*(2), 477–493. doi: 10.1175/MWR-D-18-0242.1

Wood, R. (2012). Stratocumulus clouds. *Mon. Weather Rev.*, *140*, 2373–2423. doi: 10.1175/MWR-D-11-00121.1

Wood, R., Bretherton, C. S., Leon, D., Clarke, A. D., Zuidema, P., Allen, G., & Coe, H. (2011). An aircraft case study of the spatial transition from closed to open mesoscale cellular convection over the Southeast Pacific. *Atmos. Chem. Phys.*, *11*, 2341–2370. doi: 10.5194/acp-11-2341-2011

Wood, R., Comstock, K. K., Bretherton, C. S., Cornish, C., Tomlinson, J., Collins, D. R., & Fairall, C. (2008). Open cellular structure in marine stratocumulus sheets. *J. Geophys. Res.*, *113*(D12). doi: 10.1029/2007JD009371

Wood, R., & Hartmann, D. L. (2006). Spatial variability of liquid water path in marine low cloud: the importance of mesoscale cellular convection. *J. Clim.*, *19*(9), 1748–1764. doi: 10.1175/JCLI3702.1

Wood, R., Mechoso, C. R., Bretherton, C. S., Weller, R. A., Huebert, B., Straneo, F., ... Bower, K. N. (2011). The VAMOS Ocean-Cloud-Atmosphere-Land Study Regional Experiment (VOCALS-REx): goals, platforms, and field operations. *Atmos. Chem. Phys.*, *11*(2), 627–654. doi: 10.5194/acp-11-627-2011

Wyant, M. C., Bretherton, C. S., Rand, H. A., & Stevens, D. E. (1997). Numerical simulations and a conceptual model of the stratocumulus to trade cumulus transition. *J. Atmos. Sci.*, *54*, 168–192. doi: 10.1175/1520-0469(1997)054⟨0168:NSAACM⟩2.0.CO;2

Xue, H., Feingold, G., & Stevens, B. (2008). Aerosol effects on clouds, precipitation, and the organization of shallow cumulus convection. *J. Atmos. Sci.*, *65*(2),

392–406. doi: 10.1175/2007JAS2428.1

Yamaguchi, T., Brewer, W. A., & Feingold, G. (2013). Evaluation of modeled stratocumulus-capped boundary layer turbulence with shipborne data. *J. Atmos. Sci.*, *70*(12), 3895–3919. doi: 10.1175/JAS-D-13-050.1

Yamaguchi, T., & Feingold, G. (2015). On the relationship between open cellular convective cloud patterns and the spatial distribution of precipitation. *Atmos. Chem. Phys.*, *15*(3), 1237–1251. doi: https://doi.org/10.5194/acp-15-1237-2015

Yamaguchi, T., Feingold, G., & Kazil, J. (2017). Stratocumulus to Cumulus Transition by Drizzle. *Journal of Advances in Modeling Earth Systems*, *9*(6), 2333–2349. doi: 10.1002/2017MS001104

Yamaguchi, T., Feingold, G., & Kazil, J. (2019). Aerosol-cloud interactions in trade wind cumulus clouds and the role of vertical wind shear. *J. Geophys. Res.*, *124*(22), 12244–12261. doi: 10.1029/2019JD031073

Yamaguchi, T., Randall, D. A., & Khairoutdinov, M. F. (2011). Cloud modeling tests of the ULTIMATE-MACHO scalar advection scheme. *Mon. Weather Rev.*, *139*(10), 3248–3264. doi: 10.1175/MWR-D-10-05044.1