

# 1 **Role of internal oceanic variability in the generation of South Indian Ocean** 2 **Dipole**

3 **S. Anjana<sup>1,2</sup>, Abhisek Chatterjee<sup>1</sup> and Prerna Singh<sup>1,3</sup>**

4 <sup>1</sup>Indian National Centre for Ocean Information Services, Ministry of Earth Sciences,  
5 Hyderabad, India.

6 <sup>2</sup>School of Ocean Science and Technology, Kerala University of Fisheries and Ocean Studies,  
7 Kochi, Kerala, India.

8 <sup>3</sup>Department of Geophysics, Banaras Hindu University, Varanasi, India.

9  
10 Corresponding author: Abhisek Chatterjee (abhisek.c@incois.gov.in)

## 11 **Key Points:**

- 12 • Internal variability of the Indian Ocean is investigated using a high-resolution eddy-  
13 permitting global ocean general circulation model.
- 14 • Oceanic internal variability is one of the dominant mechanisms of the interannual  
15 variability of the south tropical Indian Ocean.
- 16 • The zonal extent of the basin determines the preferential frequency of the variability  
17 driven by the internal oceanic instability of the ocean.

## 18 19 **Abstract**

20 Interannual/decadal variability in the sea surface temperature at the south subtropical Indian  
21 Ocean plays a crucial modulator in the regional climate. The south Indian Ocean dipole mode is  
22 one of the dominant modes of such variability. Using a high-resolution global model simulation,  
23 we show that internal oceanic variability is one of the primary causes of this observed low-  
24 frequency variability in this region. The instability embedded into the large-scale Rossby waves  
25 propagates across the south subtropical Indian Ocean, modulating the position and strength of  
26 the South Equatorial Current and the South Indian Counter Current. In the process, these current  
27 systems impact the thermocline, sea surface height, and the surface temperature of this basin.  
28 We further show that the preferential frequency of variability driven by these internal oceanic  
29 variabilities is determined by the time these embedded instabilities in large-scale Rosby waves  
30 take to cross the longitudinal extent of the south tropical basin.

## 31 32 **Plain Language Summary**

33 Variability in the ocean plays an important role in modulating the oceanic conditions, local air-  
34 sea interactions, and the regional climate for a longer time scale. The Subtropical Indian Ocean  
35 Dipole (SIOD) is the dominant mode of interannual variability in this region and has large  
36 impacts on the regional climate. While the atmospheric anomaly is believed to excite an SIOD

37 event, the role of internal oceanic variability in modulating this climate mode was never  
38 explored. In some of the previous studies, ocean models were used to understand the impact of  
39 internal variability in the Indian Ocean but are mostly limited to the tropical basin due to the  
40 model's regional setup. Here, we have used a high-resolution eddy-permitting global model to  
41 understand the impact of internal variability in modulating the interannual/near-decadal  
42 variability in the Indian Ocean, particularly for the southern tropical Indian Ocean basin. We  
43 show that internal variability modulates the meridional migration of South Equatorial Current  
44 and South Indian Counter Current leading to low-frequency variability in the sea surface  
45 temperature, sea surface height, and the thermocline depth of this basin. Our results highlight  
46 that the internal variability is one of the critical parameters to consider for this region's  
47 interannual/decadal prediction system.

## 48 **1 Introduction**

49 Subtropical Indian Ocean dipole (SIOD) is one of the dominant climate modes of interannual  
50 variability associated with large-scale sea surface temperature anomaly and wind anomaly in the  
51 southern Indian Ocean (SIO; Equator-50°S). The positive phase of SIOD is characterized by a  
52 warm SST anomaly in the western Indian Ocean southeast of Madagascar and a cold SST  
53 anomaly in the southeast Indian Ocean off the northwestern coast of Australia (Behera &  
54 Yamagata, 2001; hereafter referred to as BY01). The second mode of EOF analysis for the  
55 interannual SST anomaly, based on Hadley Centre Global Sea Ice and Sea Surface Temperature  
56 (HadISST v1; Rayner et al., 2003), depicts the SIOD pattern explaining 13% of the observed  
57 interannual variability (Figure 1). Although SIOD is an interannual variability, its evolution is  
58 phased locked to the seasonal cycle, which usually develops during October-December, mature  
59 in Austral summer, and decays by May-June (Behera & Yamagata, 2001; Suzuki et al., 2004;  
60 Zhang et al., 2019). The impact of SIOD is widespread and plays a significant role in  
61 modulating regional climate. Its positive phase contributes to the enhanced rainfall in  
62 southeastern Africa (BY01; Reason, 2001) and dry year in southwestern Australia (England et  
63 al., 2006). This warm SST anomaly off Madagascar also influences the tracks of tropical  
64 cyclones in this SIO (Ash & Matyas, 2012) and modules the biogeochemistry with enhanced  
65 sea-to-air CO<sub>2</sub> flux of this basin (Zhang et al., 2019). During a positive phase of SIOD, the  
66 position of Mascarene high shifts eastward and thus causes weakening of the summer monsoon  
67 winds in the early phase of the Indian summer monsoon. Further, in a recent study, Thompson  
68 et al. (2016) show that the phase of SIOD influences the cross-equatorial flow of heat content as  
69 well by modulating the wind stress curl associated with the Mascarene high and impact the  
70 north Indian Ocean sea-level variability in decadal timescale. Hence, understanding the  
71 processes that contribute to the evolution of SIOD is very important for the accurate prediction  
72 of regional climate.

73 The role of atmospheric forcing in the evolution of SIOD is well studied. The Mascarene high  
74 strengthens and shifts southeastward during the peak phase of the positive SIOD in Austral  
75 summer. This resulted in enhanced southeasterly winds over the eastern edge of the high,  
76 causing the east pole of SIOD to cool. On the other hand, reduction in latent heat flux associated  
77 with advection of humidity and weaker winds cause warming on the western side (BY01;  
78 Chiodi & Harrison, 2007). Few studies have also linked the atmospheric variability of the  
79 Southern Ocean to the evolution of the SIOD as it accompanies a similar dipole pattern in the  
80 south Atlantic (Fauchereau et al., 2003; Hermes & Reason, 2005). SIODs are also weakly

81 correlated with ENSO, with some of the SIOD events co-occur with the La-Nina (BY01; Zhang  
82 et al., 2019). However, strong SIODs are also observed without ENSO, indicating a role of  
83 other possible forcings in the evolution and generation of such events.

84 Here, we investigate the role of internal oceanic variability in generating SIODs in interannual  
85 to near-decadal timescale (frequently phrased as low-frequency variability) using a high-  
86 resolution global ocean general circulation model. Next, we discuss the model configuration and  
87 experiments in Section 2. The results are presented in Section 3, and finally, Section 4  
88 concludes our findings.

## 89 **2 Ocean model and experiments**

90 This study uses a global ocean general circulation model based on Modular Ocean Model  
91 (MOM version 5; Griffies, 2012). It uses a global tripolar grid (Murray 1996), with model  
92 equations discretized using Arakawa-B staggered gridding and assumes hydrostatic and  
93 Boussinesq approximations. The model's horizontal resolution is eddy-permitting and is set to  
94  $1/8^\circ$ , i.e.,  $\sim 13.75$  km at the equator. In the vertical, it uses 42 z-star levels with top 22 levels  
95 confined within the first 200 m of the water column. Such high horizontal and vertical  
96 resolutions allow realistic simulation of eddy activities in the SIO and Antarctic Circumpolar  
97 Current (ACC) regime. As we will see in the subsequent sections, this is one of the  
98 prerequisites to account for this region's internal variability and, therefore, to simulate SIODs  
99 realistically. The bottom topography of the model is derived from a modified ETOPO2  
100 bathymetry dataset (Sindhu et al., 2007). The multi-dimensional, piecewise parabolic method  
101 (MDPPM) is used for vertical and horizontal advection with a flux limiter based on the  
102 MITgcm (Massachusetts Institute of Technology, Cambridge, general circulation model). The  
103 vertical mixing scheme is KPP (Large et al., 1994), with the bulk Richardson number set to  
104 0.3. A blend of Laplacian and biharmonic friction has been used for horizontal mixing. The  
105 shortwave penetration scheme applied is based on the estimation of chlorophyll-a climatology  
106 from SeaWiFS (Manizza et al., 2005).

107  
108 In order to understand the role of internal variability in the generation of SIOD, the model is  
109 first forced by climatological surface atmospheric fluxes from CORE-II climatological forcing  
110 (Large & Yeager, 2009). The model is initialized using temperature and salinity from the  
111 climatology adopted from Chatterjee et al. (2012) with a state of rest. The climatological  
112 simulation is carried out for 175 years, and only the last 50 years of simulation (126-175) are  
113 analyzed in the study to avoid the initial spin-up period. Hereafter this climatological model  
114 simulation will be referred to as MOMCL. The model is further integrated forward using  
115 interannual forcing from JRA55do (Tsujino et al., 2018) for 1958-2017 and is referred to as  
116 MOMCR.

117  
118 Comparison of the first two EOF modes of SST anomaly between observation and MOMCR  
119 suggests that the model could faithfully reproduce the variability in the SIO region (Figure 1).  
120 The leading mode represents basin-wide warming associated with the El-Nino forcing and is  
121 reproduced reasonably well by the model. However, it explains only 20% variability in the  
122 model compared to 32% in the observation. On the other hand, the second EOF mode, which  
123 represents the SIOD pattern and is of interest in this study, is reproduced quite accurately by  
124 the model with a similar spatial pattern and explains comparable variability. Further, the

125 correlation for the second principal component between the model and observation is also very  
126 high with a correlation coefficient 0.85. This indicates the realism of the model configuration  
127 used in this study.

128

129 In the subsequent sections, we will frequently use anomalies of the model simulated variables  
130 like SST, sea surface height (SSH), and D20 (depth of the 20°C isotherm; representative of the  
131 depth of the thermocline). These anomalies are calculated by removing the averaged annual  
132 cycle derived using the last 50 years of MOMCL simulations (126-175<sup>th</sup> climatological years).  
133 Further, the eddy kinetic energy of MOMCL simulation in the low-frequency band is  
134 calculated based on anomalies in zonal and meridional velocities.

135

### 136 **3 Results**

137

#### 138 3.1 SIOD and its variability

139

140 In the present study, we use the difference of SST anomalies between the western (55°E-65°E,  
141 27°S-37°S) and eastern (90°E-100°E, 18°S-28°S) SIO basin to define the SIOD index  
142 following the definition of BY01 (Figure 2a). The choice of this definition is also supported by  
143 the very strong correlation (correlation coefficient exceeding 0.9) between the SIOD index and  
144 the principal component of the second EOF for HadISST. MOMCR reproduces the SIOD  
145 index faithfully with a correlation coefficient of 0.82 (> 95% significance) (Figure 2a). Spectral  
146 decomposition of this SIOD index suggests energy peaks in the interannual period of 1.5-4  
147 years and a strong near-decadal period with periodicity in the range of 6-7 years (Figure 2b).  
148 For brevity, hereafter, we refer to this inter-annual to near-decadal variability as low-frequency  
149 variability. As the index is defined as a difference between the two SIOD poles, spectral  
150 analysis are performed separately for the SST anomaly of the western and eastern box (Figure  
151 2c,d). It shows that such low-frequency variability with a similar periodicity exists in the  
152 eastern and western box of the SIOD poles. The low-frequency variability in the eastern SIO  
153 has been documented earlier and primarily linked to the equatorial winds in the western Pacific  
154 driven by the ENSO variability (Feng et al., 2010; Han et al., 2014). However, it is shown that  
155 ENSO has minimal influence in the western SIO south of 15°S (Zhang et al., 2019), which is  
156 also reflected in the poor correlation between SIOD and ENSO variability. In the following  
157 sections, we will discuss the role of internal oceanic variability in this observed low-frequency  
158 variability in the Indian Ocean with special emphasize on the western part of the SIO region.

159

#### 160 3.2 Internal oceanic variability

161

162 In order to understand the role of internal oceanic variability in this low-frequency periodic  
163 band, we investigate the low-frequency variability obtained from model simulation forced by  
164 the climatological forcing (MOMCL). Considering the fact that the forcing does not have any  
165 energy longer than the annual cycle, the low-frequency variability generated by the model is  
166 purely attributed to the internal oceanic variability or instability of the system. In a similar  
167 study, Jochum and Murtugudde (2005) studied the role of internal variability in the interannual  
168 variability of the Indian Ocean using a simpler reduced-gravity model with relatively coarse  
169 horizontal resolution (0.25°). However, their model domain was restricted within the Indian

170 Ocean north of 25°S. Hence, it was lacking proper lateral boundary conditions and was  
171 confined within a domain that does not allow a realistic simulation for the SIO region.  
172 Moreover, the impact of cross-basin instabilities, which was missing in their study, was  
173 severely underestimated for the Indian Ocean.

174  
175 Figure 3 shows the standard deviation of anomalies of SST, SSH, D20, and eddy kinetic  
176 energy (EKE) for the last 50 years of MOMCL (126-175<sup>th</sup> climatological years). The influence  
177 of internal oceanic variability is quite significant in the 15°S-40°S latitude band of the SIO.  
178 While all the variables show considerable variability in this latitude band, there are substantial  
179 differences in their spatial patterns. While the SST anomaly shows relatively weaker (~0.2°C-  
180 0.4°C) and spread over a broader band in the eastern SIO, it is much stronger (more than 0.5°C)  
181 and confined within a narrow region in the western SIO. In contrast, the SSH anomaly shows  
182 much broader and stronger variability (more than 10 cm) in the west and is limited within the  
183 southwestern part of the Australian coast with a much weaker amplitude in the east. The D20  
184 shows a very strong (~100 m) variability over the western part of the SIO that narrows and  
185 weakens towards the east. Along with the other variables, EKE also exhibits strong variability  
186 in this region and is mainly confined to a narrow band south of Madagascar in the west and  
187 offshore of the west coast of Australia in the east. The discrepancy in the variability patterns  
188 between SST anomaly and SSH (D20) anomaly is likely due to the shallow thermocline in the  
189 east, allowing SST to change with a slight change in the mixed layer. Whereas, in the west, the  
190 thermocline is deep due to Mascarene high and thus requires strong undulation in thermocline  
191 to impact the overlying SST. This also reflects in the high standard deviation of SST anomaly  
192 that co-locates with the high D20 variability in the western SIO region.

193  
194 To estimate the relative role of internal oceanic variability with the atmospheric forcing in this  
195 low-frequency band, Figure 4 shows the ratio of the standard deviation of the anomalies from  
196 MOMCL and MOMCR for SST, SSH, and D20. Hence, smaller (larger) values represent  
197 weaker (dominating) influence of internal variability than the atmospheric forcing in  
198 generating such low-frequency variability in the Indian Ocean. As seen in Figure 3, a similar  
199 spatial pattern is evident in the ratios of respective variables. It shows a strong influence of  
200 internal variability in the Mascarene high region in this low-frequency variability. The  
201 influence is particularly strong for the SSH and D20 anomaly. The large ratio of the EKE  
202 between the MOMCL and MOMCR in the southwestern and eastern edge of the Mascarene  
203 high indicates that the internal instability causes substantial variability in the strength of the  
204 South Equatorial Current (SEC) and the eastward return flow in the south associated with the  
205 South Indian Counter Current (SICC). In turn, variability in this anticyclonic flow causes the  
206 observed low-frequency variability in the SIO region (Figure 4e, f).

### 207 208 3.3 Dynamics and scale selection 209

210 We further investigate the underlying changes in the current system that excite the low-  
211 frequency variability in this region (Figure 5). In a climatological timescale (Figure 5a;  
212 averaged over 126-175 climatological years), the SEC, which is primarily fed by the  
213 Indonesian throughflow, flows westward between 10°S-18°S. SEC flows in a narrow band in  
214 the east and turns broader in the west. It finally splits into the northeast and southeast  
215 Madagascar currents (NEMC and SEMC) along the east coast of Madagascar. The SEMC

216 splits further at the tip of Madagascar to partially moves towards the East African Coastal  
217 currents and a significant portion retroflect to the east to feed the SICC between 26°S-32°S  
218 (Menezes et al., 2014; Schott et al., 2009; Stramma & Lutjeharms, 1997) with a core at ~30°S.  
219 The estimated transport for the annual climatological scale is ~ 7 Sv at 60°E, which is found to  
220 be similar to the estimates by Menezes et al. (2014). A composite map suggests that the entire  
221 circulation migrates to the south during the warm events with the core of the SICC lays near  
222 32°S (Figure 5b). As these current systems migrate to the south, favor downwelling in the  
223 western box of the SIOD and causing SST to increase and deepen (elevate) the thermocline  
224 (SSH) there. On the other hand, during the cooler events the anticyclonic system migrates  
225 northward and the core of the SICC moves to ~28°S (Figure 5c). This northward migration and  
226 weakening of current shear cause the shoaling of the thermocline and lower SSH in the western  
227 box of the SIOD. The zonal currents longitudinally averaged over the extent of the western box  
228 of the SIOD clearly show the transition of the westward SEC north of ~25°S and its eastward  
229 retroflect in the south between 26°S-32°S (Figure 5d). The transition zone modulates  
230 meridionally in a near-decadal period, evident from the northward propagation of the eastward  
231 current after removing the seasonal cycle (Figure 5e). Moreover, in the process, the eastward  
232 currents in the south also strengthen intermittently (Figure 5e), causing strong downwelling  
233 favorable lateral shear between these two current systems.  
234

235 Next, we try to understand the mechanisms that determine the timescale of 7-10 years for this  
236 region's instability-driven variability. Figure 5g,h shows the hovmöller diagrams of sea level  
237 anomaly from MOMCL filtered for 1.5-10 years at 20°S and 27°S, respectively. Both the  
238 latitude bands show an evident Rossby wave propagation at this low-frequency periodic band  
239 with a propagation speed of ~3.8 m/s and ~2.61 m/s at 20°S and 27°S, respectively and  
240 therefore, it takes about 7-10 years depends upon the latitude for the signal to reach the coast of  
241 Madagascar from the Indonesian throughflow region. These propagation speeds agree well  
242 with the theoretical propagation speed of Rossby waves (Figure 5i) at those latitudes given by  
243  $\beta c_n^2/f^2$  ( $\beta = \partial f/\partial y$ ,  $f$  is Coriolis parameter and  $c_n$  is the characteristic speed of the n'th  
244 vertical mode). This indicates that the instabilities that are embedded within the largescale  
245 Rossby wave propagation from the ITF intrusion region modulate the mean currents of the  
246 SEC and SICC regime. This ultimately modulates the shear and intensity of the downwelling  
247 favorable anticyclonic flow of this region. As the Rossby waves take about 7-10 years to travel  
248 the entire longitudinal extent from the ITF the influence of this instability-driven variability is  
249 also synchronized by a similar timescale. As a result, these low-frequency variabilities in the  
250 western SIO region cause strong SIOD events driven by the internal instability at these  
251 periodic bands.

252

## 253 4 Conclusions

254 In this study, we investigate the role of oceanic instability in the generation of SIOD events  
255 using high-resolution global MOM5 simulations. SIOD index derived from observation and the  
256 control run of the model simulation show strong interannual variability with a periodicity of  
257 1.5-4 years and near-decadal periodicity of ~7 years. Our results based on climatological model  
258 simulation show a substantial low-frequency variability in interannual to near-decadal timescale  
259 in the 15 °S-40°S latitude band of the SIO. This indicates the influence of internal oceanic  
260 variability or internal instabilities on the climate system of this region. However, the influence

261 of instabilities differs considerably in the spatial variability of SST, SSH, and thermocline. The  
262 strongest impact of these instabilities on ocean parameters is seen in the western part of the SIO  
263 southeast of Madagascar, which is a region of significant climate importance and the west pole  
264 of the SIOD.

265 Our analysis suggests that the anticyclonic current system that forms off the east coast of  
266 Madagascar by the westward flowing SEC in the north and the eastward flowing SICC in the  
267 south oscillates in north-south direction with a 7-10 year periodicity. These meridional  
268 migrations are found to be driven by instabilities embedded within the large-scale Rossby  
269 waves that propagate west from the west coast of Sumatra and Australia. As these large-scale  
270 Rossby waves take about 7-10 years to cover the longitudinal extent of the basin, the embedded  
271 instability modulates the anticyclonic circulation and its meridional migration at the same  
272 timescale. This results in a preferential periodicity in the interannual variability of the SIOD  
273 events in the SIO.

274 These results emphasize the importance of internal instability in the regional climate and,  
275 therefore, prediction capabilities in interannual to decadal timescale. Hence, considering that the  
276 internal variability will remain one of the dominating mechanisms in estimating climate  
277 change scenarios, the representativeness of the model simulations in simulating internal  
278 instabilities will be the key for success in decadal prediction and climate projections for the next  
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286 are freely available at Met Office Hadley Centre observations datasets via  
287 <https://www.metoffice.gov.uk/hadobs/hadisst/>. All the figures are prepared using Python. This  
288 is INCOIS contribution no. XXX.

289

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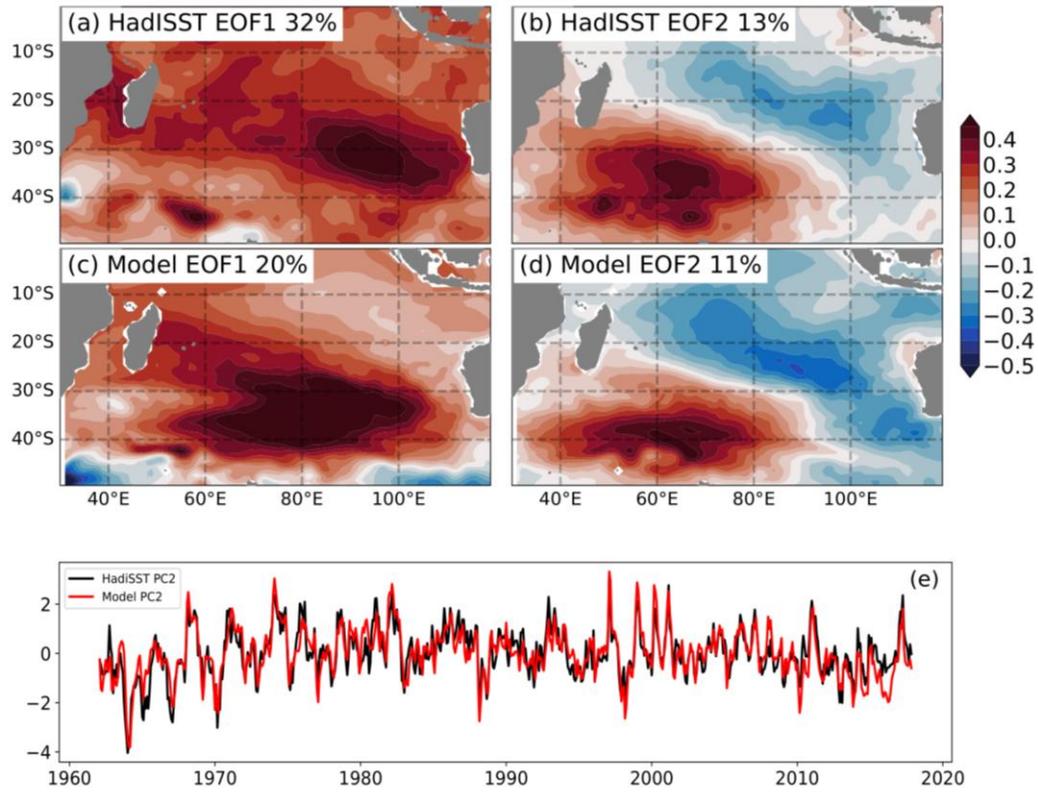
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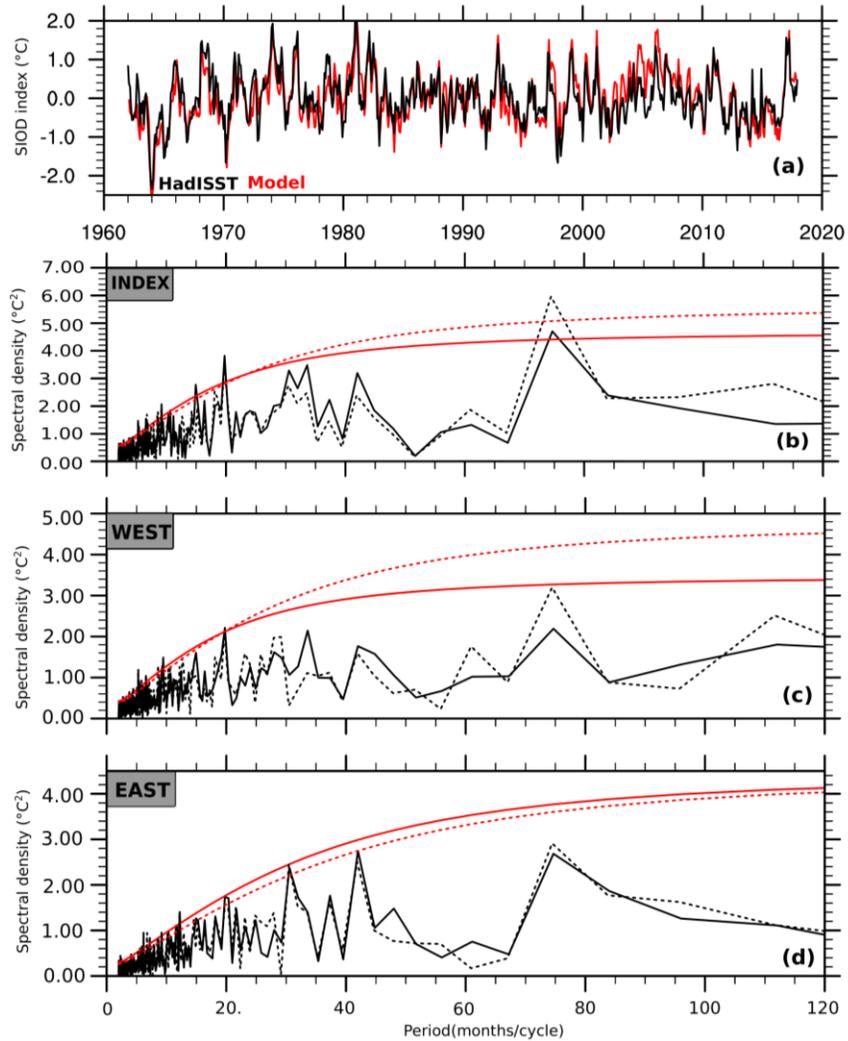
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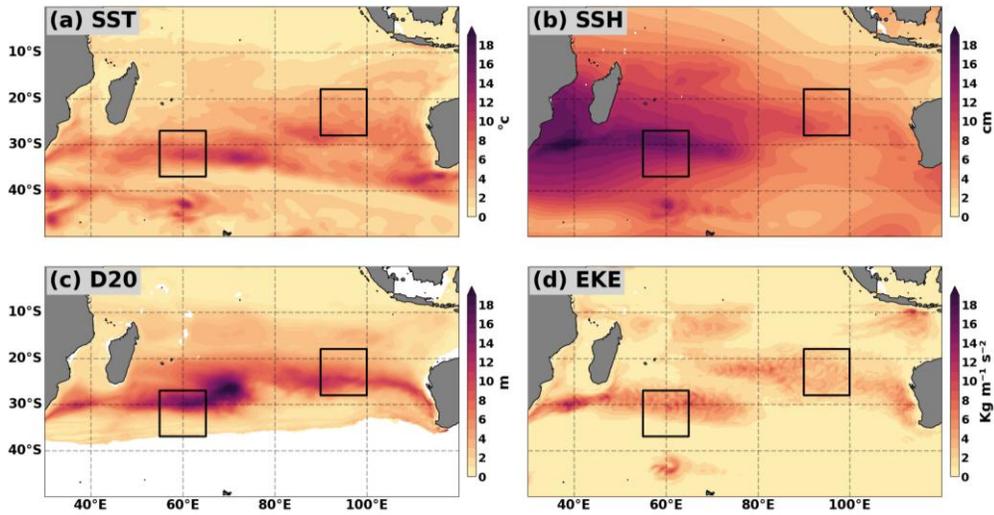
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Figure 1. The first and second mode of Empirical Orthogonal Function (EOF) decomposition of SST anomaly of the SIO for the observational HadISST (a,b) and the model simulated SST (c,d). (e) principle component of the second mode of EOF for HadISST (black) and model (red) for 1962–2017.



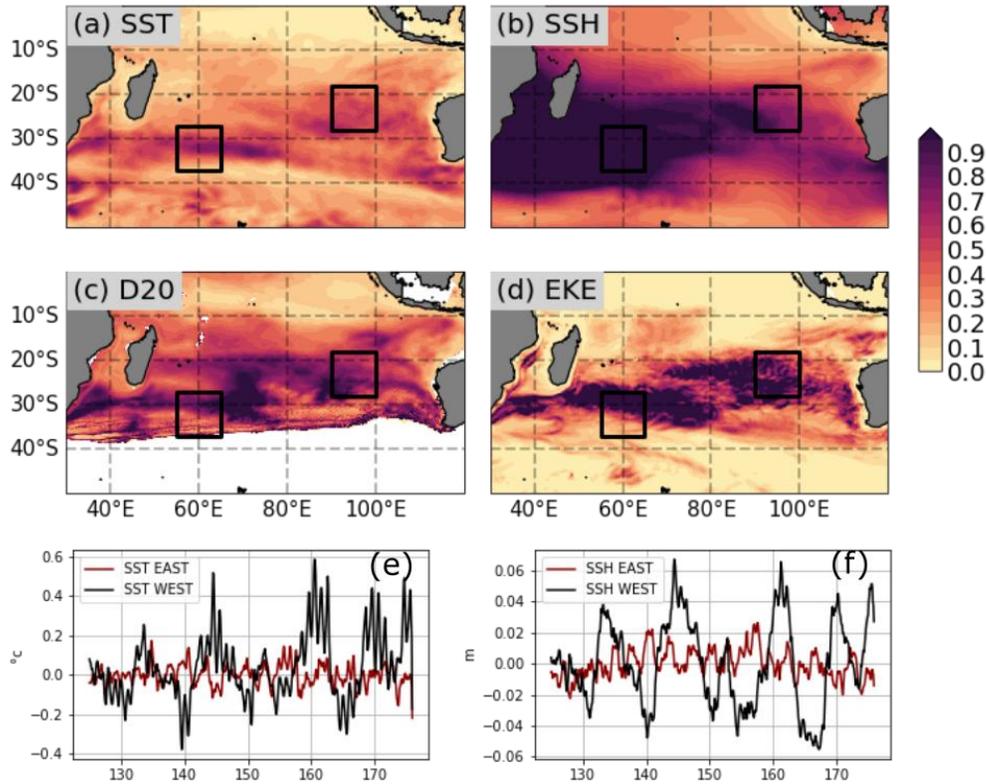
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Figure 2. (a) Comparison of SIOD index calculated using HadISST (black) and the model (red) with a correlation coefficient of 0.82. (b) The power spectrum of SIOD index derived from HadISST (solid black) and model (dotted black). (c) Power spectrum of SST anomaly of the western box of SIOD. (d) Same as (c), but for the eastern box of SIOD. The solid (dotted) red line represents 95% significance curve for the spectra derived from HadISST (model).



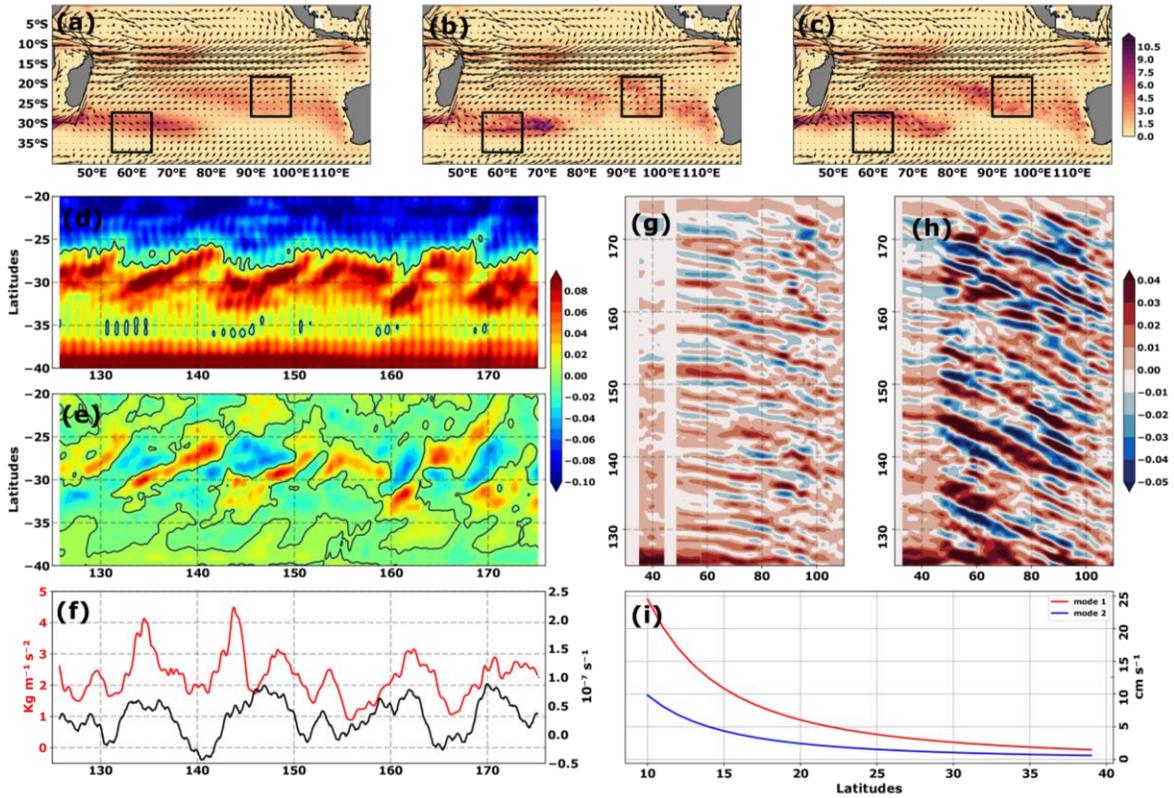
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Figure 3. Standard deviation of the model (climatological run) simulated anomalies of (a) SST, (b) SSH, (c) D20, and (d) eddy kinetic energy. The two boxes represent the two poles of the SIOD index.



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Figure 4. Ratio of the standard deviations of (a) SST, (b) SSH, (c) D20 anomalies, and (d) the eddy kinetic energy derived from MOMCL and MOMCR simulation. The anomalies of (e) SST and (f) SSH averaged over the western (black) and eastern (red) boxes of SIOD.



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 380 Figure 5. (a) Climatological (averaged over 126-175 simulation years) EKE overlaid by the surface current  
 381 anomalies. (b) same as (a), but for the composite of warm years (133<sup>rd</sup>, 147<sup>th</sup>, 162<sup>nd</sup>, 171<sup>st</sup> years; see Figure 4e). (c)  
 382 same as (a), but for the composite of cold years (131<sup>st</sup>, 141<sup>st</sup>, 155<sup>th</sup>, 166<sup>th</sup> years; see Figure 4e). Longitudinally  
 383 averaged (d) zonal current and (e) its anomaly averaged over the west box of SIOD. The black contour represents  
 384 a zero velocity field. (f) Time-series of EKE (black) and meridional shear in zonal current ( $dU/dy$ ; red) averaged  
 385 in the western box of SIOD. Note here that the climatological model simulation years are the x-axis for the panel  
 386 d, e, and f. Hövmoller diagrams of sea level anomaly from MOMCL band-passed between 1.5-10 years at (g) 20°S  
 387 and (h) 27°S. (i) Theoretical propagation speed of Rossby waves with respect to latitudes for the first (red) and  
 388 second modes (blue) in cm/s.