

The Lifetimes of Plasma Structures at High Latitudes

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Key Points:

- The ratio of E-region to F-region conductance is an accurate predictor of F-region polar cap plasma diffusion.
- During local winter, diffusion is virtually absent in small-scale (~ 1 km) plasma structures.
- F-region small-scale plasma structure lifetimes in the central polar caps range from 30 minutes to 90 minutes.

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Abstract

We present an investigation of small- to intermediate-scale (< 4 km) polar cap plasma structure lifetimes. We analyze both data from ionospheric models (International Ionosphere Reference model and Mass Spectrometer Incoherent Scatter model) and from in-situ observations from the Swarm satellite mission (the 16 Hz Advanced Plasma Density data set). We find that the theoretical prediction that E-region conductance is a predictor of F-region polar cap plasma structure lifetimes is indeed supported by both in-situ-based observations and by ionospheric models. In-situ plasma structure lifetimes correlate well with the ratio of E- to F-region conductance. We present explicit predictions about small scale (~ 1 km) structure lifetimes, which range from 30 minutes during local summer to around 90 minutes during local winter. We go on to discuss anomalous diffusion in the ionosphere, and suggest a way to bridge the gap between theory and observations on the topic of ionospheric plasma diffusion.

1 Introduction

In the high-latitude ionosphere, the primary source regions for plasma structuring tend to be located in the dayside cusp and the nightside auroral oval, where electron precipitation is abundant (Kelley et al., 1982). The large-scale polar convection pattern then causes the structured plasma to travel anti-sunward through the polar cap (Dungey, 1961; Cowley & Lockwood, 1992). In fact, the transport of irregularities from particle precipitation-driven source regions into the polar cap proper is an essential reason for the observed polar cap plasma structures (Cowley, 2000), although alternative sources of structuring inside the polar cap proper exist, such as the gradient drift instability mechanism (e.g., Tsunoda, 1988). Without an irregularity production source, the lifetime of a given plasma structure entering the polar cap is an indicator of the effectiveness with which the plasma structures are diffusing into the surrounding plasma. Indeed, Jin et al. (2017) found that occurrence of plasma irregularities drop significantly when plasma leaves the cusp region.

The occurrence of plasma irregularities in the high-latitude regions is in general subject to strong seasonal dependencies (Heppner et al., 1993; Ghezlbash et al., 2014; Prikryl et al., 2015; Jin et al., 2018). In general, local winter is accompanied by an increase in observed plasma irregularities. Additionally, the occurrence rate for the large-scale polar cap patches is higher during local winter (Foster, 1984; Schunk & Sojka, 1987; Coley & Heelis, 1998; Wood & Pryse, 2010; Spicher et al., 2017). Recently, Ivarsen et al. (2019) found clear evidence for the seasonal dependency plasma structure diffusion, on average for scales < 5.8 km, concluding that local season is a powerful indicator for the existence of plasma irregularity dissipation.

Pressure gradients in plasma cause plasma structures to diffuse into the surrounding plasma (Vickrey & Kelley, 1982). In radial structures, plasma distributed in a long column with an axial external magnetic field applied — assuming rotational symmetry — is only subject to radial, or perpendicular diffusion. Theoretically, in this plasma, ions and electrons diffuse individually (Moisan & Pelletier, 2012). This creates a charge-induced (ambipolar) electric field, which in turn serves to decelerate the diffusion of the faster-diffusing species, and accelerate the slower-diffusing species (Moisan & Pelletier, 2012). The value of the ambipolar electric field then controls the rate of diffusion of plasma structures in the F-region. In a seminal article, Vickrey and Kelley (1982) showed that, theoretically, the height-integrated ionospheric Pedersen conductivity controls the ambipolar electric field, and thus also the rate of F-region plasma diffusion. This mechanism gives rise to the observed seasonal dependency of plasma structure abundance. The equation expressing the height-integrated perpendicular diffusion coefficient in the F-region polar cap reads (Vickrey & Kelley, 1982),

$$\mathcal{D}_{\perp} = \frac{\Sigma_i^F}{\Sigma_i^F + \Sigma_e^F + \Sigma_i^E + \Sigma_e^E} (\mathcal{D}_{\perp,e} - \mathcal{D}_{\perp,i}) + \mathcal{D}_{\perp,i}, \quad (1)$$

61 where Σ_j^k is the height-integrated Pedersen conductivity for the regions $k = E, F$,
 62 and $\mathcal{D}_{\perp,j}$ is the height-integrated perpendicular diffusion coefficient, both for species $j = i, e$.
 63 In reality, the Pedersen current is primarily carried by ions, and so the height-integrated
 64 Pedersen conductivity can be defined in terms of the ion conductivity only, $\Sigma^k \approx \Sigma_i^k$.
 65 With this simplification, it is now instructional to rewrite Eq. (1) in terms of the dimensionless variable Σ^E/Σ^F ,
 66

$$\mathcal{D}_{\perp} = \frac{1}{1 + \Sigma^E/\Sigma^F} (\mathcal{D}_{\perp,e} - \mathcal{D}_{\perp,i}) + \mathcal{D}_{\perp,i}. \quad (2)$$

67 In Eq. (2) there are two asymptotes, $\mathcal{D}_{\perp,e}$ and $\mathcal{D}_{\perp,i}$ for low and high values of Σ^E/Σ^F
 68 respectively. In other words, a strengthening of Pedersen conductivity in the E-region
 69 as opposed to the F-region weakens the ambipolar electric field, causing F-region plasma
 70 to diffuse at the high ion perpendicular diffusion rate instead of the balanced ambipolar
 71 diffusion rate [the applied magnetic field causes ion rates to be much higher than the
 72 electron rates, the reverse of the situation without such a magnetic field (Moisan & Pelletier,
 73 2012)]. Incident sunlight photo-ionization, which typically causes the E-region conductivity,
 74 displays a strong seasonal dependence in the polar cap, where sunlight is absent for the winter months.
 75 This is the primary driver for observed seasonal dependencies in polar cap plasma irregularity dynamics
 76 (Basu et al., 1988; Kelley et al., 1982; Vickrey & Kelley, 1982; Kivanc & Heelis, 1998; Milan et al., 1999; Danskin et al., 2002; Jin
 77 et al., 2018).
 78

79 Let us now turn to the subject of an observable quantity related to the perpendicular
 80 diffusion coefficient: structure lifetime. In general, the time scale associated with
 81 a diffusion process adheres to the following equation (Huba & Ossakow, 1981; Moisan
 82 & Pelletier, 2012),

$$\tau = \frac{\lambda^2}{D}, \quad (3)$$

83 where λ is a characteristic scale length, and D is the mentioned diffusion coefficient. λ
 84 is related to L , the scale of the structure that is undergoing diffusion. However, in the
 85 F-region ionosphere, λ is in fact smaller than L (Huba & Ossakow, 1981). The way λ
 86 scales with L is only dependent on the properties of the plasma, and the geometry of the
 87 situation (Moisan & Pelletier, 2012). Furthermore, small-scale high latitude F-region plasma
 88 structures are believed to be generated through instability processes and be the result
 89 of the balance between production and decay (Tsunoda, 1988). Consequently, the growth
 90 of plasma structures may also effectively increase τ in Eq. (3). τ is also affected by the
 91 fact that diffusion is occurring on a range of scales simultaneously. As a structure undergoes
 92 diffusion, its scale will increase (this is illustrated with ice cream undergoing heat
 93 transfer with its environment in Fig. 1). Consider two adjacent scales, L_1 and L_0 , where
 94 $L_1 > L_0$. Diffusion processes working on the scale L_1 will then have to effectuate diffusion
 95 on structures of scale L_0 , the scales of which have increased to L_1 during the course
 96 of diffusion. The net result of this scale mixing is an increased τ in Eq. (3).

97 Unfortunately, the subject of plasma structure lifetime is rarely explicitly addressed,
 98 but several in-situ measurements of the diffusion coefficient exists. Gresillon et al. (1992),
 99 using data from the SHERPA HF radar, find a diffusion coefficient of $270 \text{ m}^2\text{s}^{-1}$ in auroral
 100 E-region plasma. The authors attribute this diffusion coefficient to both perpendicular and parallel diffusion.
 101 Using the same methods, and likewise utilizing data from the SHERPA HF radar, Villain et al. (1996) performed a statistical study,
 102 and found the diffusion coefficient to range from near $0 \text{ m}^2\text{s}^{-1}$ to $1000 \text{ m}^2\text{s}^{-1}$. This analysis has later
 103

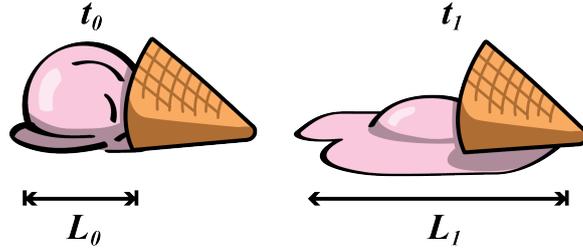


Figure 1. A cartoon showing a structure undergoing diffusion, with the size scale of that structure increasing from L_0 at a time t_0 , to L_1 at a time t_1 . In this case, the structure is a scoop of ice cream exposed to sunlight undergoing radiation heat transfer with its environment.

104 been performed on data from several SuperDARN radars; André et al. (2003), also an-
 105 alyzing observations from the auroral region, likewise find diffusion coefficients ranging
 106 from near 0 to $1000 \text{ m}^2\text{s}^{-1}$. As for explicit values of structure lifetime being reported
 107 in the literature, Kelley et al. (1982) conclude that the lifetime of a convecting patch is
 108 directly dependent on sun-illumination. Due to chemical recombination, polar cap patches
 109 take around 4 hours to decay to 10% of their original density during local summer, while
 110 during local winter the required time is around 11 hours (Wood & Pryse, 2010).

111 The present study is a follow-up investigation based on the findings in Ivarsen et
 112 al. (2019), where we intend to investigate high-latitude small- to intermediate-scale ($< 4 \text{ km}$)
 113 plasma structure lifetimes. By applying both state of the art ionospheric models, and
 114 by using data from in-situ satellite missions, we find that the theoretical predictions put
 115 forth by Vickrey and Kelley (1982), namely that E-region conductance controls the F-
 116 region plasma structure lifetimes in the polar cap, is indeed supported by evidence.

117 2 Methodology

118 There are two aspects to the methodology developed in the present study. First,
 119 we make an estimate of plasma structure lifetimes in the polar caps based on in-situ data
 120 from the Swarm mission. Second, we approach the perpendicular diffusion coefficient us-
 121 ing ionospheric plasma models.

122 2.1 In-situ plasma structure lifetime estimate

123 Ignoring irregularity production, we can assume that a portion of plasma (e.g., a
 124 polar patch) is convecting anti-sunward through the polar cap, that it only undergoes
 125 diffusion, and that it diffuses at a constant rate. Our central assumption is then that a
 126 satellite orbiting through the F-region ionosphere plasma will, at any given point along
 127 the sun-midnight line, encounter plasma that has undergone convection with a constant
 128 velocity, and diffusion without further irregularity production.

129 Using high-resolution (16 Hz) in-situ plasma density from the Swarm mission (Friis-
 130 Christensen et al., 2006; Knudsen et al., 2017), we can estimate small-scale plasma struc-
 131 turing using the observed power spectral density of the measured electron density. With
 132 a sampling frequency of 16 Hz, we can probe fluctuations for a range of scales down to
 133 about 1 km, assuming that the plasma drift velocity is much smaller than the satellite
 134 velocity. At high latitudes, Swarm orbit will be almost perpendicular to Earth’s mag-
 135 netic field lines, and so an orbiting satellite will sample field-perpendicular plasma struc-
 136 tures.

	Frequency interval	Mean frequency	Mean scale
f_1	[0.4 Hz, 0.6 Hz]	0.5 Hz	14.5 km
f_2	[0.6 Hz, 0.9 Hz]	0.8 Hz	10.0 km
f_3	[0.9 Hz, 1.3 Hz]	1.1 Hz	7.7 km
f_4	[1.3 Hz, 1.9 Hz]	1.6 Hz	4.8 km
f_5	[1.9 Hz, 2.7 Hz]	2.3 Hz	3.4 km
f_6	[2.7 Hz, 3.9 Hz]	3.3 Hz	2.3 km
f_7	[3.9 Hz, 5.6 Hz]	4.7 Hz	1.6 km
f_8	[5.6 Hz, 8.0 Hz]	6.8 Hz	1.1 km

Table 1. The eight frequency intervals used to analyze the 16 Hz plasma density data, and their corresponding plasma structure scale, assuming that plasma flow velocity is negligible compared to spacecraft velocity.

137 We consider all polar cap passes between noon and midnight made by Swarm A
 138 between 15 October 2014 and 1 July 2019. For each overpass, we translate Swarm A travel
 139 time to the distance along a straight line connecting noon to midnight,

$$d = (t - t_0)v_S \cos \alpha, \quad (4)$$

140 where d is the distance travelled by the convecting plasma, v_S is the orbital velocity of
 141 Swarm A, α is the angle made by the orbit with respect to the noon-midnight line, t is
 142 Swarm A time, and t_0 is the time at which Swarm A approaches the polar cap. We con-
 143 sider polar cap passes where $\alpha < 30^\circ$, and where the satellite is located poleward of
 144 $\pm 82^\circ$ at some point during the pass.

145 Next, we analyze the measured electron density n . In order to look at fluctuations
 146 irrespective of the background density, we consider the unitless relative density pertur-
 147 bations,

$$\tilde{n} = \frac{n - \bar{n}_{1m}}{\bar{n}_{1m}}, \quad (5)$$

148 where n_{1m} is a running median filter with a window size of 1 minute. We perform a power
 149 spectral density analysis (PSD) on \tilde{n} . Here, we use a simple fast-Fourier transform pro-
 150 cedure, after removing the median 30-second bin median, and applying a Hann window
 151 to reduce the effect of spectral noise. We use an overlapping bin size of 60 seconds, with
 152 a temporal resolution of 1 second. For each bin, we integrate the PSD over eight loga-
 153 rithmically spaced intervals, from 0.4 Hz down to the Nyquist frequency at 8 Hz (shown
 154 in Table 1). This quantity, called the root-mean square (RMS), denoted by P_{RMS} , is equiv-
 155 alent to the variance when performed over the entire power spectrum, and represents the
 156 power of fluctuations at the scale over which it is integrated. Some density fluctuation
 157 powerspectra made using Swarm 16 Hz plasma density exhibit noise in the highest fre-
 158 quencies (Ivarsen et al., 2019). As a precaution, we impose upon the computed RMS val-
 159 ues the requirement that, $P_{\text{RMS}} > 4 \times 10^{-7}$, a threshold found after extensive test-
 160 ing.

161 Following from the assumptions laid down so far, plasma containing fluctuations
 162 characterized by P_{RMS} will, once it enters the polar cap, diffuse at a constant rate \mathcal{D}_\perp .
 163 The time evolution of a diffusion process on P_{RMS} with the time scale τ_S is character-
 164 ized by the following differential equation (Moisan & Pelletier, 2012),

$$\frac{dP_{\text{RMS}}}{dt_c} = -\frac{1}{\tau_S} P_{\text{RMS}}, \quad (6)$$

165 which has the solution,

$$P_{\text{RMS}}(t_c) = P_{\text{RMS}}(0) \exp\left(-\frac{t_c}{\tau_S}\right). \quad (7)$$

166 In Eqs. (6) and (7), t_c is the plasma convection time and $P_{\text{RMS}}(0)$ is the initial RMS value
 167 at the point of entry into the polar cap. Note that we use τ_S to distinguish the struc-
 168 ture lifetime from the theoretical decay time τ — as we expect that structure lifetime
 169 as estimated in the present study will deviate from theoretical decay time due to irreg-
 170 ularity production scale mixing. Now, to convert Swarm orbital distance d along the noon-
 171 midnight line (Eq. 4) to plasma convection time, we write $t_c = d/v_c$, with v_c being
 172 the plasma convection velocity. In combination with Eq. (4), we then have for the plasma
 173 convection time,

$$t_c = \frac{v_S}{v_c} \cos \alpha (t - t_0). \quad (8)$$

174 For each Swarm A orbit between noon and midnight, we store the plasma convection time
 175 t_c and the relative density fluctuations P_{RMS} for all eight frequency intervals.

176 2.2 Modelling the effective perpendicular diffusion coefficient

177 Our goal is to solve Eq. (1). To this end, we need expressions for the field-perpendicular
 178 diffusion coefficients and the Pedersen conductivity height profiles, both of which depend
 179 on the collision frequencies between the plasma species. First, we use expressions from
 180 Moisan and Pelletier (2012) for collisional plasma interactions ($D_{\perp,j}$ and $\sigma_{\perp,j}$), which
 181 are given below. Second, we use values for the collision interaction terms between all charged
 182 particles associated with the ion species in the ionosphere, as presented in Schunk and
 183 Nagy (1980). Third, we use the International Ionosphere Reference model (IRI) for the
 184 ionospheric ion species number densities and plasma temperatures (Bilitza & Reinisch,
 185 2008; Bilitza et al., 2014), the Mass Spectrometer Incoherent Scatter model (MSIS) for
 186 the neutral number densities (Picone et al., 2002), and IGRF for the magnetic field strength
 187 (Thébault et al., 2015).

188 The field-perpendicular diffusion coefficient (not height-integrated) from charged
 189 particle collisions is defined as (Moisan & Pelletier, 2012),

$$D_{\perp,j} = \frac{D_{0,j} \nu_j^2}{\omega_j^2 + \nu_j^2}, \quad (9)$$

190 where, $D_{0,j} = k_B T_j / m_j \nu_j$, with k_B the Boltzmann constant, T_j the temperature, $\omega_j = eB/m_j$
 191 the cyclotron frequency, and m_j is particle mass, all for species j . ν_j is the composite
 192 collision frequency,

$$\nu_i = \nu_{in}, \quad (10)$$

$$\nu_e = \nu_{en} + \nu_{ei}, \quad (11)$$

193 where subscripts i, e, n denote ions, electrons, and neutrals respectively. Looking at Eq. (9),
 194 we make an important observation. In the F-region ionosphere, $\omega_j \gg \nu_j$, and so by
 195 Taylor expansion,

$$D_{\perp,j} = D_{0,j} \frac{\nu_j^2}{\omega_j^2} \left(1 + \frac{\nu_j^2}{\omega_j^2}\right)^{-1} \approx D_{0,j} \frac{\nu_j^2}{\omega_j^2} \left(1 - \frac{\nu_j^2}{\omega_j^2}\right) \approx D_{0,j} \frac{\nu_j^2}{\omega_j^2} \propto B^{-2}, \quad (12)$$

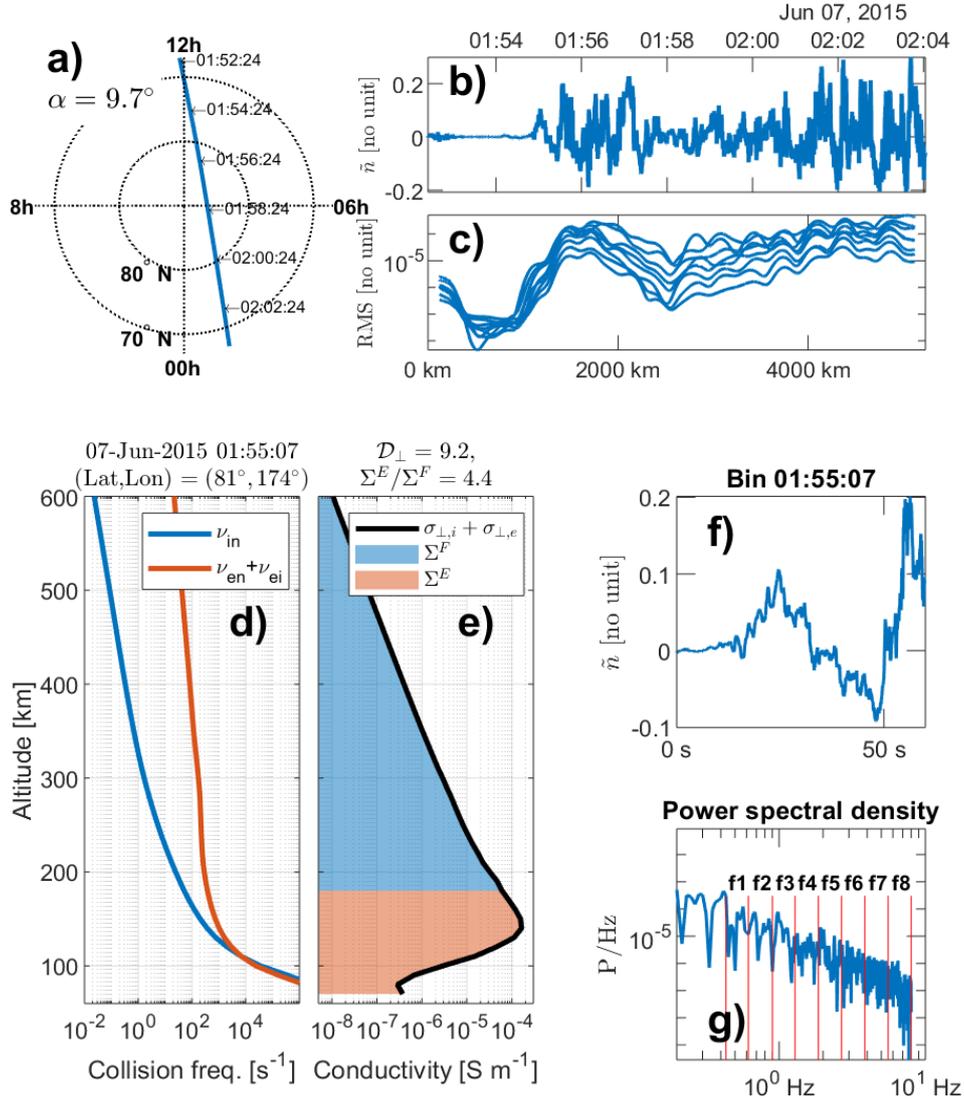


Figure 2. Example of the data analysis performed on the Swarm 16 Hz plasma density data. In panel a), we show Swarm A orbit across the northern polar cap during a 10 minute interval, with α , the angle of the orbit against the noon-midnight line, indicated. In panel b), the 16 Hz relative electron density perturbations for a 12-minute polar cap pass is shown, and in panel c) we show the RMS data plotted against the plasma convection distance d (Eq. 4). The RMS timeseries consists of the integrated PSD over eight frequency intervals, with a running 1-minute window, and a resolution of 1 second. In the lower panels we show the specific analysis of a point in time centered on 7 June 2015, 01:55:07 UT. Panel d) shows the collision frequencies (calculated using values of the interaction terms from Schunk and Nagy (1980) and MSIS), and panel e) shows the resulting Pedersen conductivity height profiles, with the values of \mathcal{D}_\perp and Σ^E/Σ^F indicated above the plot. Panel f) shows the 1-minute relative density perturbation segment centered on 01:55:07 UT, while panel g) shows the PSD based on this segment, with the eight frequency intervals indicated.

196 showing that the field-perpendicular diffusion rate due to collisions is inversely propor-
 197 tional to the square of the magnetic field strength.

198 The ionospheric Pedersen conductivity is given by (Moisan & Pelletier, 2012),

$$\sigma_{\perp,j} = \frac{e^2 n_j}{m_j} \frac{\nu_j}{\omega_j^2 + \nu_j^2} \quad (13)$$

199 where m_j and n_j is the effective mass and number density for species j respectively.

200 Next, we need expressions for the height-integrations of Eqs. (12) and (13). The
 201 height-integrated perpendicular diffusion coefficient $\mathcal{D}_{\perp,j}$ is defined as (Vickrey & Kel-
 202 ley, 1982),

$$\mathcal{D}_{\perp,j} = \frac{1}{N} \int_{z_0}^{\infty} dz n_e(z) D_{\perp,j}(z), \quad (14)$$

203 for species j , and where z signifies the altitude dependency. z_0 is the lowest altitude of
 204 the F-region, and N is the height-integrated plasma density, $N = \int_{z_0}^{\infty} dz n_e(z)$. Fur-
 205 thermore, the height integrated Pedersen conductivity, or conductance, $\Sigma_j^{E,F}$, is defined
 206 as (Vickrey & Kelley, 1982),

$$\Sigma_j^k = \int_k dz \sigma_{\perp,j}(z), \quad (15)$$

207 for species j , and where $k = E, F$ signifies the region, and $\sigma_{\perp,j}(z)$ is the altitude de-
 208 pendent ionospheric Pedersen conductivity (Eq. 13).

209 Now we are in a position to solve Eq. (1). First, we compute the Pederson conduc-
 210 tivity (Eq. 13) for altitudes from 60 km to 600 km, with a 10 km interval. Second, we
 211 integrate the resulting height profiles, in addition to the electron density height profiles
 212 (from MSES), and evaluate Eq. (14). Third, using the height-integrals in Eqs. (14, 13),
 213 we evaluate Eq. (1). For each polar cap pass made by Swarm A, we then calculate and
 214 store the values of Σ^E/Σ^F and \mathcal{D}_{\perp} on a time grid covering the pass.

215 Fig. 2 documents the data analysis applied to the Swarm 16 Hz plasma density data,
 216 along with the application of ionospheric models. Panels a), b) and c) show an entire ex-
 217 ample polar cap pass, where the orbit, along with the value of α , is shown in panel a),
 218 the relative density fluctuation (Eq. 5) is shown in panel b), and the eight RMS time-
 219 series resulting from integrating the PSD over a running 1-minute window are shown in
 220 panel c). An example 1-minute segment of the relative density perturbations, and the
 221 corresponding PSD, are shown in panels f) and g). Panels d) and e) show height-profiles
 222 of the collision frequencies (Eqs. 10, 11), and the Pedersen conductivity (Eq. 13), with
 223 the values of Σ^E/Σ^F and \mathcal{D}_{\perp} indicated.

224 3 Results

225 We perform a superposed epoch analysis on the Swarm A polar cap passes. To dis-
 226 tinguish between different seasons, we use a 131-day window centered on the December
 227 and June solstices, without specifying the year of the polar cap pass. During the period
 228 between 14 October 2014 and 30 June 2019, we registered a total of 3366 passes in the
 229 northern hemisphere, and 1698 passes in the southern hemisphere. The reason for the
 230 large number discrepancy is due to Swarm orbital dynamics: the polar orbit of Swarm
 231 A is inclined 2.6 degrees from Earth's geographic axis. Compared to the northern hemi-
 232 sphere, the geomagnetic south pole is further away from the geographic south pole, lead-
 233 ing to fewer noon-midnight passes occurring in the southern polar cap.

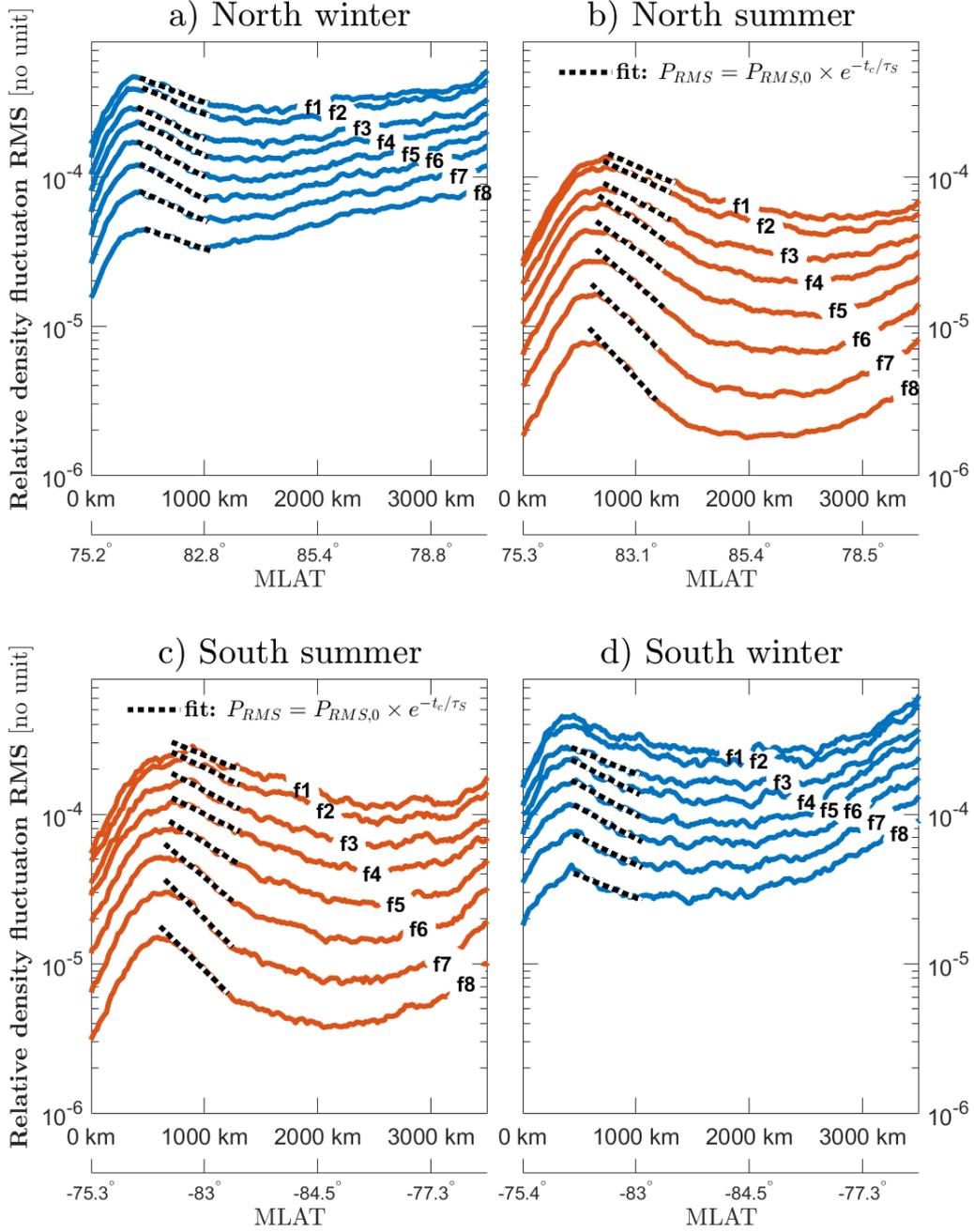


Figure 3. Plasma structure decay time estimates based on 16 Hz plasma density data from the Swarm A satellite. The top panels show the superposed epoch analysis for 1302 local winter passes (a) and 1144 local summer passes (b) through the northern polar cap, with both seasons defined by a 131-day window centered on the relevant solstice, for 8 frequency intervals. The bottom panels similarly show the superposed epoch analysis for 630 local winter passes (c) and 570 local summer passes (d). An exponential fit through 600 km of the assumed convection path of plasma through the polar cap is shown with dotted black lines (Eq. 7). The x -axes show both the underlying data magnetic latitudes, and the plasma convection distance (Eq. 4). The data used spans a time period from 2 Oct 2014 until 30 June 2019.

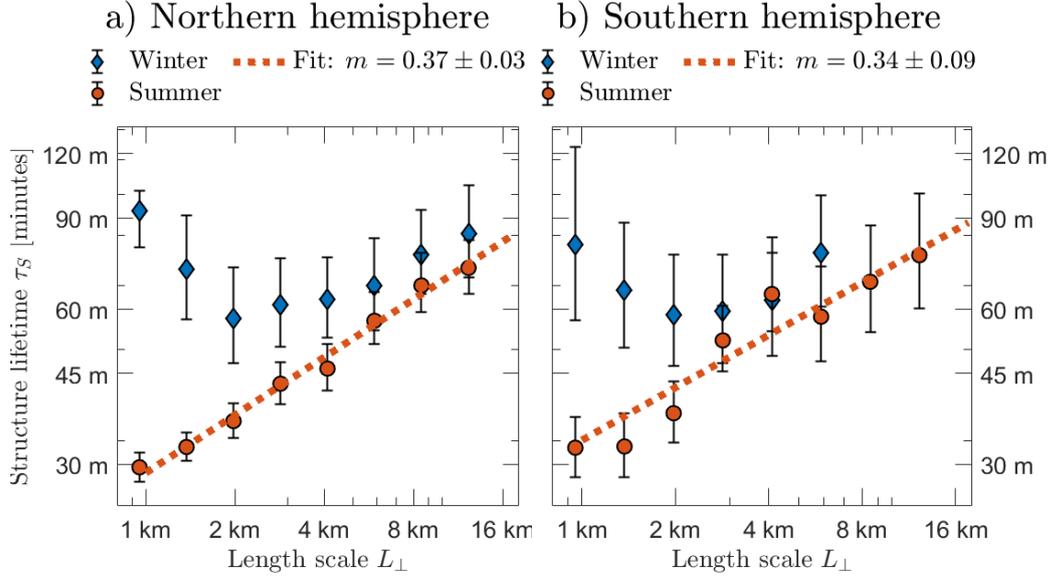


Figure 4. The scaling of the structure lifetime estimates, for local summer (orange) and local winter (blue), for both the northern (panel a) and southern (panel b) hemispheres. The structure lifetimes, shown on the y -axes, correspond to the exponential fits displayed in Fig. 3. The vertical errorbars are the 90 percent confidence intervals from a 10^4 -iteration bootstrap routine. Fits of Eq. (16) are shown in orange dotted lines, and the exponent m is indicated above (with error intervals corresponding to 90 percent confidence intervals of the fitting procedures).

234 In Fig. 3, we show the result of the superposed epoch analysis for the northern (pan-
 235 els a, b) and southern (panels c, d) hemispheres. Panels b) and c) contain data from lo-
 236 cal summer, while panels a) and d) contain data from local winter. Each panel shows
 237 the superposed values of P_{RMS} for the eight frequency intervals considered, with distance
 238 (Eq. 4) and magnetic latitude on the x -axis. In all four panels, a prominent peak exists
 239 near the cusp regions, for all frequency intervals. At some point after passing the geo-
 240 magnetic pole, the plasma has entered the midnight sector, where production of plasma
 241 structures due to auroral precipitation might be more prevalent than diffusion. To es-
 242 timate structure lifetime as outlined in the Methodology section, we fit Eq. (7) to each
 243 superposed P_{RMS} curve. That is, we fit an exponential curve through the polar cap, start-
 244 ing from a point after the peak near the cusp region, extending 600 km into the polar
 245 cap. Here, we assume a plasma convection velocity of 300 m/s, a reasonable velocity for
 246 the central polar cap (Grant et al., 1995; Thomas et al., 2015). Cases where the coef-
 247 ficient of determination, or r^2 , of the fit is less than 0.9 are discarded, which stops the
 248 structure lifetime for the frequency intervals of f_1 and f_2 from being evaluated in the
 249 southern hemisphere winter. The characteristic time scale, τ_S , of the exponential fit re-
 250 flects the expected structure lifetime of the fluctuations over the frequency interval in
 251 question, and is then the end-product of the superposed epoch analysis.

252 In Fig. 4, for the northern (panel a) and southern (panel b) hemispheres, we plot
 253 the structure lifetimes τ_S against the scale length L_{\perp} at which the lifetime estimate was
 254 calculated. L_{\perp} is calculated based on the assumption that the plasma convection vel-
 255 ocity (assumed to be 300 m/s) is negligible compared to the velocity of Swarm A (7600 m/s).
 256 That is, $L_{\perp}(f) = v_S/f$, where f is the mean frequency of the frequency interval. See
 257 Table 1 for the eight scale lengths. Local winter structure times are shown in blue, while

258 local summer is shown in orange. The vertical errorbars are 90-percent confidence
 259 intervals from a 10^4 -iteration bootstrap routine. We see that while the local summer struc-
 260 ture times exhibit a predictable behaviour with respect to the length scale L_{\perp} , the lo-
 261 cal winter structure times do not, exhibiting instead opposite behaviour for the small-
 262 est scales. Also shown, in a dotted orange line, is what amounts to a fit of Eq. (3). Here,
 263 we fit,

$$\tau_S = \frac{L_{\perp 0}^{2-m}}{D_S} L_{\perp}^m, \quad (16)$$

264 where D_S and m are fitting parameters determined using a non-linear least squares fit-
 265 ting procedure, and $L_{\perp 0}$ is a length scale equal to unity to ensure correct dimensionality
 266 in Eq. (16). The values of the exponent m are 0.36 ± 0.04 and 0.35 ± 0.09 for the north-
 267 ern and southern hemispheres respectively, with error intervals given by the 90-percent
 268 confidence interval of the fitting procedure. We now make an important observation: the
 269 values of the exponent m reported here are far from the $m = 2$ in Eq. (3). Since the
 270 scaling difference between λ of Eq. (3) and L_{\perp} of Eq. (16) will not affect the the expo-
 271 nent m , we suggest that the discrepancy might be due to irregularity production and scale
 272 mixing, such as explained in the Introduction section. Consequently we cannot accurately
 273 estimate the field-perpendicular diffusion coefficient using in-situ data from Swarm, and
 274 the structure lifetime estimates presented here likely are higher than the theoretical decay
 275 lifetime for a given scale such as given by Eq. (3).

276 In Fig. 4, we see that the smallest scale, which corresponds to frequencies between
 277 5.6 Hz and 8 Hz and has a scale length of 1.1 km, exhibits the largest seasonal contrast.
 278 To better understand this contrast, we construct a variable we refer to as wrapped day-
 279 of-year, D_w ,

$$D_w = \begin{cases} 365 - D & \text{if } D > 365/2 \\ D & \text{otherwise,} \end{cases} \quad (17)$$

280 where D is the number of days elapsed since 1 January in the relevant year (day of year).
 281 We then make 9 overlapping bins with a window size of 65.5 days, from $D_w = [0, 65.5]$
 282 to $D_w = [117, 182.5]$. For each bin, we repeat the superposed epoch analysis detailed
 283 above. To make a general prediction of 1.1 km-structure lifetimes in the central polar cap
 284 based on the ionospheric models, we solve Eq. (1) for each individual orbit that make up
 285 the superposed epoch analyses detailed above. We only include points directly under the
 286 exponential fits in Figs. 3 (roughly between $\pm 78^\circ$ and $\pm 83^\circ$ magnetic latitude). For each
 287 estimate of τ_S , we then have two additional observations, the Σ^E/Σ^F -ratio, and \mathcal{D}_{\perp} . As
 288 stated, we cannot use in-situ observations such as those presented here to estimate dif-
 289 fusion coefficient \mathcal{D}_{\perp} . We can, however, use Eq. (3) to calculate the decay time based
 290 on a given value of \mathcal{D}_{\perp} . According to Moisan and Pelletier (2012), for radial diffusion
 291 of cylindrical structures, $\lambda = L_{\perp}/2.405$. With this scaling, we can evaluate Eq. (3),
 292 to give an estimate of *decay time* (we remind the reader that we distinguish between τ_S ,
 293 the effective structure lifetime, and τ , the theoretical decay time). For each D_w bin we
 294 then store the in-situ-based value of the 1.1 km-structure lifetime τ_S , and the model-based
 295 Σ^E/Σ^F -ratio, in addition to the model-based field-perpendicular diffusion coefficient \mathcal{D}_{\perp} .

296 In panels a) (northern hemisphere) and b) (southern hemisphere) of Fig. 5, we show
 297 the result of this joint analysis:

- 298 • In green triangle markers, we show the model-based decay time (left y -axis), ver-
 299 sus Σ^E/Σ^F (x -axis). On the right y -axis, we show corresponding field-perpendicular
 300 diffusion coefficient \mathcal{D}_{\perp} (inverted axis). Here, both vertical and horizontal error-
 301 bars represent the upper and lower quartile distributions in the underlying data.
 302 The values of τ for the 9 D_w bins correlate well with the Σ^E/Σ^F -ratio: the Pear-

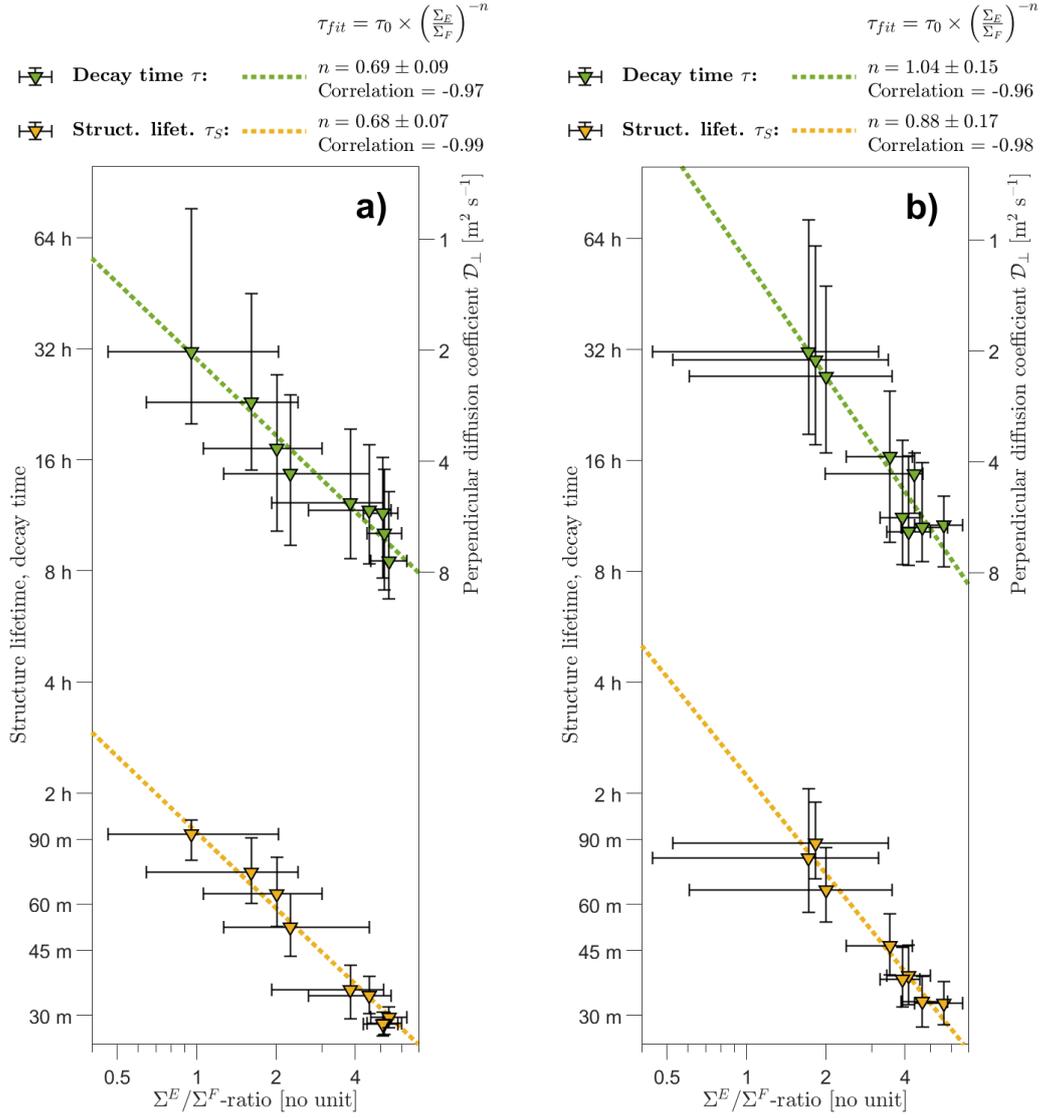


Figure 5. Green: Model-based decay time (left y -axis), based on the calculated D_{\perp} (right y -axis, inverted), versus Σ^E/Σ^F (x -axis), calculated for each individual orbit that make up the in-situ structure lifetime estimates above. Nine datapoints represent nine overlapping D_w bins (Eq. 17), ranging from the December solstice until the June solstice, each containing polar cap passes over a 131-day window, for the northern (a) and southern (b) hemispheres. For the model-based decay time, both vertical and horizontal errorbars represent the upper and lower quartile distributions in the underlying data. **Yellow:** Structure lifetime estimates for 1.1 km structures, versus the Σ^E/Σ^F -ratio, using in-situ data from Swarm A. Vertical errorbars are based on a bootstrap routine with 10^4 iterations (with a 90 percent confidence interval), and horizontal errorbars are based on the upper and lower quartile distribution of the underlying Σ^E/Σ^F data. For both the in-situ data (yellow dotted line), and the model-based data (green dotted line), we show a fit of Eq. (18), with the exponent n and the Pearson correlation coefficient indicated. All axes are in a \log_{10} representation. Both the in-situ data and the model-based data covers the period from 14 October 2014 until 30 June 2019.

303 son correlation coefficient in this log-log representation measures -0.97 for the north-
 304 ern hemisphere and -0.96 for the southern hemisphere. Motivated by this high cor-
 305 relation, we fit a power law to data,

$$\tau_{\text{fit}} = \tau_0 \left(\frac{\Sigma^E}{\Sigma^F} \right)^{-n}, \quad (18)$$

306 where the free fitting variable τ_0 and the exponent n are determined by a nonlin-
 307 ear least-squares fitting procedure. Eq. (18) is shown in dotted green lines.

- 308 • In yellow triangle markers, we show the in-situ estimated 1.1 km-structure life-
 309 times for 9 bins between December and June solstice, with the value of Σ^E/Σ^F
 310 for each bin along the x -axis, where both the x - and y -axes are scaled logarith-
 311 mically. Here, the errorbars along the x -axis are the lower and upper quartile dis-
 312 tributions in each bin, while the errorbars along the y -axis are 90-percent confi-
 313 dence intervals from a 10^4 -iteration bootstrap routine, performed on each D_w bin
 314 individually. In dotted yellow lines, we show a fit of Eq. (18), with the Pearson
 315 correlation coefficient indicated. The in-situ-based τ_S likewise correlate well with
 316 the Σ^E/Σ^F -ratio, exhibiting correlation coefficients of -0.99 and -0.98 for the north-
 317 ern and southern hemispheres respectively.

318 We immediately make an important observation: the values of the diffusion coef-
 319 ficient \mathcal{D}_\perp as predicted by the ionospheric models are substantially lower than the $\propto 10^2$
 320 m s^{-2} that are reported in the literature. This leads to very high values of decay time
 321 τ , several times higher than the in-situ structure lifetime estimates. However, a clear de-
 322 pendency of τ on Σ^E/Σ^F can still be gleaned from the data. This dependency is strik-
 323 ingly similar between the in-situ and the model-based data. In the northern hemisphere,
 324 $n = 0.68 \pm 0.09$ for the in-situ data and $n = 0.69 \pm 0.11$ for the model-based data. In
 325 the southern hemisphere, for the exponent n , we find that $n = 0.88 \pm 0.21$ for the in-
 326 situ data and $n = 1.04 \pm 0.19$ for the model-based data. The error intervals in n are
 327 given by 90-percent confidence intervals of the fitting procedure.

328 4 Discussion

329 In Fig. 4, there are several interesting observations to be made. First, the estimated
 330 structure lifetime τ_S increases with structure scale for local summer, where a powerlaw
 331 with exponent around 0.35 describes the scale-dependency of structure lifetime, with both
 332 hemispheres in clear agreement. The exponent deviates from that of the theoretical pre-
 333 diction (exponent valued at 2, Eq. 3). This is consistent with simultaneous diffusion oc-
 334 ccurring on a range of scales, where the diffusion of smaller scales contribute negatively
 335 to the diffusion of larger scales, increasing the effective decay time on all scales (see the
 336 schematic Fig. 1). Second, for both hemispheres, the local summer and winter lifetimes
 337 are indistinguishable for scales larger than around 4 km. This scale matches the scale
 338 at which Keskinen and Huba (1990) found that high-latitude plasma irregularities should
 339 transition to a fully collisional regime. Third, the local winter structure times do not,
 340 for the most part, decrease linearly with decreasing scale. We interpret this as an indi-
 341 cation that small-scale (~ 1 km) diffusion during local winter is significantly reduced,
 342 which can explain the reported increase in local winter plasma irregularities (Heppner
 343 et al., 1993; Ghezlbash et al., 2014; Prikryl et al., 2015; Jin et al., 2017, 2018) Addi-
 344 tionally, Ivarsen et al. (2019) found that only 20 % of local winter PSD spectra exhibits
 345 evidence for diffusion, while, conversely, 80 % of local summer spectra does so. It is then
 346 not surprising that we were not able to infer diffusion during local winter. For scales around
 347 5 km, we find that the structure lifetimes are indistinguishable between local winter and
 348 local summer. In Ivarsen et al. (2019), we found direct evidence for diffusion occurring
 349 for scales on average lower than 5.8 km, which might then constitute an upper bound-
 350 ary for detectable diffusion in the Swarm 16 Hz plasma density data set.

351 In Fig. 5, we see that there is a large spread in the Σ^E/Σ^F values, and that the south-
 352 ern hemisphere exhibits a shorter range and larger spread in the Σ^E/Σ^F -ratio, decay time,
 353 and structure lifetimes compared to the northern hemisphere. Nevertheless, in both hemi-
 354 spheres the data tend to fall on the same straight line in a log-log representation. The
 355 in-situ-estimated structure lifetimes τ_S correlate well with the simultaneous model-based
 356 Σ^E/Σ^F -ratio. They show correlation coefficients of -0.99 for the northern hemisphere,
 357 and -0.98 for the southern hemisphere, which matches the correlation between the model-
 358 based decay time τ and the Σ^E/Σ^F -ratio. This is a strong indicator that the model first
 359 proposed by Vickrey and Kelley (1982) is suitable, and that the ratio of E-region to F-
 360 region conductance to a large degree predicts F-region diffusion rates, and thus the oc-
 361 currence of plasma irregularities in the polar caps.

362 However, the reported agreement in how both the in-situ based τ_S and the model-
 363 based τ respond to the Σ^E/Σ^F -ratio is only valid for the smallest scales available to in-
 364 vestigation using the Swarm 16 Hz plasma density data. There is a scale-dependency in
 365 the observable plasma diffusion in the polar caps, with diffusion primarily being observed
 366 on scales smaller than a threshold particular to local conditions (Ivarsen et al., 2019).
 367 Based on this, and the fact that the smallest scales available are fairly close to the char-
 368 acteristic scale at which the irregularities should transition to a fully collisional regime
 369 (Keskinen & Huba, 1990), we believe the use of higher resolution plasma density data
 370 is necessary to further our knowledge about ionospheric plasma structure lifetimes. In
 371 addition, the analysis presented here is sensitive to the assumed polar cap convection ve-
 372 locity. In future investigations of plasma structure lifetimes, special care should be taken
 373 in treating plasma convection velocity, e.g. by using methods of observing plasma drift
 374 velocity (Park et al., 2015).

375 We now draw the reader's attention to the large discrepancy between the in-situ
 376 based structure lifetimes τ_S and the model-based decay times τ : the models employed
 377 in the present study predict a much lower perpendicular diffusion coefficient than is re-
 378 alistic in the polar caps, with several studies utilizing ground-based radar measurements
 379 indicating that $\mathcal{D}_\perp \propto 10^2$ (Gresillon et al., 1992; Villain et al., 1996; André et al., 2003).
 380 We will now address this discrepancy, and suggest a possible solution.

381 In several laboratory experiments, the theorized classical value of perpendicular dif-
 382 fusion coefficient has not been sufficiently high to explain observed diffusion rates. Dur-
 383 ing the last 70 years researchers have referred to the observed high diffusion rates as *anoma-*
 384 *lous* diffusion, and have often resorted to ascribing it to Bohm diffusion (Braginskii, 1965;
 385 Hockney, 1966; Okuda et al., 1972; Okuda & Dawson, 1973; Millar, 1976; Marchetti et
 386 al., 1984; Kaufman, 1990; Ott & Bonitz, 2011; Curreli & Chen, 2014). Bohm diffusion,
 387 or Bohm-like diffusion, which theoretically applies to the diffusion of ions (e.g., Spitzer,
 388 1960; Kaufman, 1990), is defined as,

$$D_B = \gamma \frac{k_B T}{eB}, \quad (19)$$

389 where T is the plasma temperature of either ions or electrons (Spitzer, 1960). In Eq. (19),
 390 γ is a numerical factor first set to 1/16, but which has since been found through several
 391 experiments to in effect be higher (e.g., Ott & Bonitz, 2011). Crucially, $D_B \propto B^{-1}$,
 392 meaning that for cross-field diffusion, $D_B \gg D_{\perp,i}$. Since cross-field plasma diffusion
 393 is vital in the field of plasma fusion energy production, many attempts have been made
 394 to explain why Bohm-like diffusion is frequently observed (Kaufman, 1990; Ott & Bonitz,
 395 2011). Early simulations showed that Bohm diffusion can be observed in collisionless plasma
 396 (Hockney, 1966), indicating that it is independent of collisionally induced diffusion. In
 397 fact, it has been shown that while collisional diffusion across the magnetic field adhere
 398 to $\propto B^{-2}$, convection-induced diffusion follows the Bohm-like $\propto B^{-1}$ (Okuda et al.,
 399 1972). This second regime is associated with strong applied magnetic fields (Marchetti
 400 et al., 1984; Deutsch & Popoff, 2009; Ott & Bonitz, 2011). Furthermore, observations

401 of Bohm-like diffusion have been linked to inhomogeneities in the plasma density and mag-
 402 netic field strength (Okuda & Dawson, 1973; Millar, 1976; Ott & Bonitz, 2011).

403 Most polar cap plasma irregularities are due to instability processes in the plasma
 404 gradients associated with polar cap patches (Tsunoda, 1988; Jin et al., 2019). In such
 405 turbulent processes, Bohm diffusion might be present (Braginskii, 1965). We suggest that
 406 Bohm-like diffusion accounts for the discrepancy between observations and the model-
 407 based results. Indeed, more recently, St-Maurice and Hamza (2009) and Villain et al. (1996)
 408 both argue that ionospheric turbulence can induce Bohm-like diffusion.

409 However, the theoretical application of Bohm diffusion is not straightforward. In
 410 the literature, several authors add a Bohm-like diffusion term, D_B , to the classical dif-
 411 fusion coefficient (Okuda et al., 1972; Millar, 1976; Marchetti et al., 1984; Deutsch & Popoff,
 412 2009):

$$D_{\perp,i} = \frac{D_{0,i}\nu_i^2}{\omega_i^2 + \nu_i^2} + D_B, \quad (20)$$

413 By using Eq. (19) with $T = T_i$ when evaluating the model-based perpendicular ion dif-
 414 fusion, the models applied in the present study tend to yield perpendicular diffusion co-
 415 efficients in the range $[10^1, 10^2]$, roughly in agreement with ground-based radar measure-
 416 ments (Gresillon et al., 1992; Villain et al., 1996; André et al., 2003). However, many
 417 uncertainties remain regarding Bohm diffusion and how it applies to the free diffusion
 418 of ions and electrons. We suspect that ionospheric Bohm diffusion is also controlled by
 419 the ratio of E- to F-region conductance, ensuring a relative absence of Bohm diffusion
 420 during local winter. However, to the authors' best knowledge, there are no first-principles
 421 derivation of how electron and ion Bohm diffusion should be treated separately, and so
 422 a rigorous application of Bohm diffusion to ionospheric plasma diffusion is outside the
 423 scope of the present study.

424 5 Conclusion

425 In this study we have approached the subject of field-perpendicular plasma diffu-
 426 sion and field-perpendicular plasma structure lifetimes from two angles. By using almost
 427 5 years of in-situ data from Swarm A, and by applying ionospheric models, we have made
 428 several new observations regarding structure lifetimes, decay time, and their seasonal de-
 429 pendencies. Both the in-situ data and the ionospheric models support the claim that per-
 430 pendicular diffusion in the F-region polar caps is highly dependent on the relationship
 431 between E- and F-region conductances.

432 Our results indicate that while the propagation of small-scale (< 4 km) structure
 433 is virtually uninhibited during local winter, we are able to observe the characteristics of
 434 local summer diffusion in both the northern and southern polar caps. This leads to, for
 435 the first time as far the authors are aware, a systematic prediction of small scale struc-
 436 ture lifetimes in the F-region polar caps. We find that for the smallest scale investigated,
 437 which corresponds to frequencies between 5.6 Hz and 8 Hz, with a scale length of 1.1 km,
 438 structure lifetimes range from 30 minutes during local summer to around 90 minutes dur-
 439 ing local winter. Although the seasonal contrast in plasma structure time harmonizes
 440 with reported seasonal dependencies in polar cap plasma irregularities, more work is needed
 441 to estimate plasma structure times more accurately, e.g. by using higher resolution plasma
 442 density data.

443 There is a large discrepancy in the perpendicular diffusion coefficient between the
 444 models and the ground-based radar estimates reported in the literature, as well as a dis-
 445 crepancy between the in-situ-estimated structure lifetimes and the model-based decay
 446 times. We suggest that this discrepancy can be explained by anomalous (or Bohm) dif-

447 fusion. However more work remains to be done in working out the details of exactly how
 448 anomalous diffusion is induced in ionospheric plasma.

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