

# 1 **Improved Bathymetric Prediction using Geological Information: SYN BATH**

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## 17 *Key Points*

- 18 • Approximately 20% of the ocean floor topography has been surveyed by ships, the  
19 remaining 80% is predicted by satellite altimetry.
- 20 • We increased the resolution of the predicted depth using spectral properties of  
21 abyssal hills and the characteristic shapes of seamounts.
- 22 • We estimate the height and radius of 19,723 uncharted seamounts.

23

24

25 *Abstract*

26 To date, approximately 20% of the ocean floor has been surveyed by ships at a spatial  
27 resolution of 400 m or better. The remaining 80% has depth predicted from satellite  
28 altimeter-derived gravity measurements at a relatively low resolution. There are many  
29 remote ocean areas in the southern hemisphere that will not be completely mapped at  
30 400 m resolution during this decade. This study is focused on the development of  
31 synthetic bathymetry to fill the gaps. There are two types of seafloor features that are  
32 not typically well resolved by satellite gravity; abyssal hills and small seamounts (< 2.5  
33 km tall). We generate synthetic realizations of abyssal hills by combining the measured  
34 statistical properties of mapped abyssal hills with regional geology including fossil  
35 spreading rate/orientation, rms height from satellite gravity, and sediment thickness.  
36 With recent improvements in accuracy and resolution, It is now possible to detect all  
37 seamounts taller than about 800 m in satellite-derived gravity and their location can be  
38 determined to an accuracy of better than 1 km. However, the width of the gravity  
39 anomaly is much greater than the actual width of the seamount so the seamount  
40 predicted from gravity will underestimate the true seamount height and overestimate  
41 its base dimension. In this study we use the amplitude of the vertical gravity gradient  
42 (VGG) to estimate the mass of the seamount and then use their characteristic shape,  
43 based on well surveyed seamounts, to replace the smooth predicted seamount with a  
44 seamount having a more realistic shape.

45

46 *Plain Language Summary*

47 The floor of the deep ocean remains as the last uncharted frontier in the inner solar  
48 system. The deep seawater (> 1000 m) prevent any type of exploration from  
49 conventional satellite remote sensing. Echosounders aboard large vessels have mapped  
50 about 20% of the seafloor, however, vast areas in the southern hemisphere will not be  
51 mapped in our lifetimes. The deep ocean floor has more than 90% of the active  
52 volcanoes; hydrothermal circulation of seawater through the crust of the seafloor  
53 spreading ridges replenishes the nutrients needed for life on Earth. This study is an  
54 effort to fill the large gaps in seafloor coverage by creating a synthetic abyssal hill fabric

55 using geological information such as the age of the seafloor, the spreading rate and  
56 direction when it formed, and the thickness of the sediments covering the original  
57 topography. In addition, we use the latest satellite-derived gravity to estimate the  
58 locations and shapes of about 20,000 uncharted seamounts. The combination of  
59 mapped (20%) and synthetic (80%) topography is useful for modeling ocean circulation  
60 and ocean tides although it may give a false impression that 100% of the seafloor has  
61 been mapped.

62

### 63 *Introduction*

64 Bathymetry is foundational data, providing basic infrastructure for scientific, economic,  
65 educational, military, and political work. High resolution, deep ocean bathymetry is  
66 critical for: (1) understanding the geologic processes responsible for creating ocean floor  
67 features unexplained by simple plate tectonics, such as abyssal hills, seamounts,  
68 microplates, propagating rifts, and intraplate deformation; (2) determining the effects of  
69 bathymetry and seafloor roughness on ocean circulation, ocean mixing, and climate; and  
70 (3) understanding how marine life is influenced by seafloor depth, roughness, and  
71 interactions of currents with the seafloor [Yesson *et al.*, 2011]. The Seabed 2030 project  
72 [<https://seabed2030.org>] “aims to bring together all available bathymetric data to  
73 produce the definitive map of the world ocean floor by 2030 and make it available to  
74 all.” The Seabed 2030 global compilation will be based on swath mapping using  
75 multibeam sonar which has a spatial resolution of about 400 m at a typical ocean depth  
76 of 4 km [Mayer *et al.*, 2018].

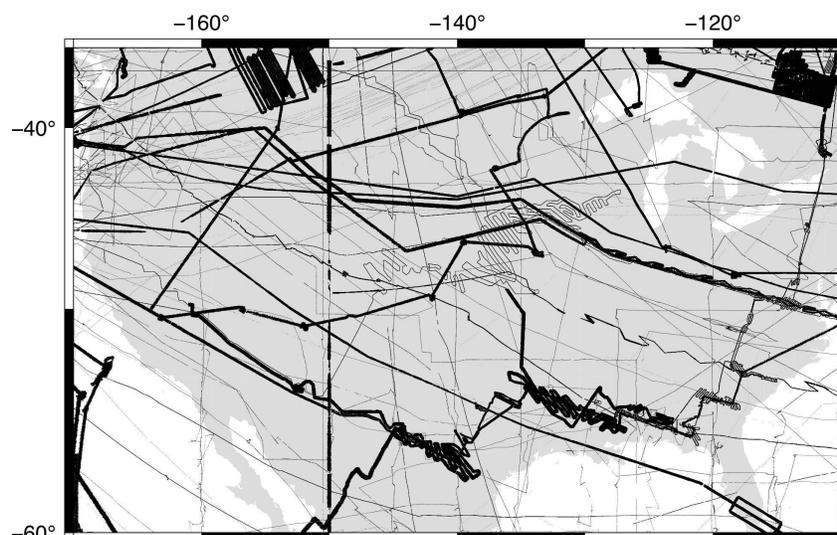
77

78 The Seabed 2030 project has made considerable progress over the past few years by  
79 increasing the multibeam coverage in public compilations from 11% [e.g., GEBCO 2019;  
80 Tozer *et al.*, 2019] to more than 20% today [GEBCO 2021]. Much of this data has been  
81 made available by the international community with nearly complete coverage of  
82 several exclusive economic zones as well as dense coverage of areas of high scientific  
83 interest. The remaining 80% of the seafloor has depth predicted from a combination of  
84 spatially dense satellite altimeter gravity measurements and sparse soundings to  
85 provide the large-scale shape of the ocean basins as well as to calibrate the local ratio of

86 bathymetry-to-gravity [e.g., *Smith and Sandwell, 1994*]. The spatial resolution of these  
87 predicted depths is limited to approximately the mean ocean depth because of the  
88 upward continuation smoothing effects from Newton's law of gravity. The best satellite  
89 gravity models available today can only resolve  $\frac{1}{2}$  wavelength of 6 km when the regional  
90 depth is 4 km [*Tozer et al., 2019*]. Thus, the resolution of gravity-predicted depth is  
91 more than 10 times worse than the Seabed 2030 objective.

92  
93 There are many remote ocean areas in the southern hemisphere that will probably not  
94 be completely mapped at 400 m resolution during this decade and well beyond (e.g.,  
95 Figure 1). This study is focused on the development of **SYN**thetic **BATH**ymetry  
96 (**SYNBATH**) to fill the gaps. The synthetic bathymetry has the geostatistical properties of  
97 real seafloor bathymetry but it is not as accurate as ship soundings. While the synthetic  
98 data will be replaced with real soundings as they become available in the future, in the  
99 interim such realistic realizations can provide key inputs for a number of important  
100 scientific applications. We will discuss such applications, where this synthetic  
101 bathymetry is appropriate and valuable, and also discuss uses of synthetic bathymetry  
102 that could prove problematic and result in a false impression that Seabed 2030  
103 objectives have been achieved.

104



105

106

107 Figure 1. Available ship soundings including single-beam data (thin lines) and multi-beam data (thick lines)  
108 in a remote region of the South Pacific based on the GEBCO 2021 bathymetry [*Weatherall et al., 2020*]  
109 grid superimposed on a map of North America for scale. There are many areas on this map that are more  
110 than 100 km from a depth sounding. Moreover, removal of the lower resolution single beam soundings  
111 would dramatically reduce the spatial coverage leaving many gaps greater than 400 km.

112

113 There are two types of seafloor features that are not well resolved by satellite gravity;  
114 abyssal hills and small seamounts (< 2.5 km tall). As described more completely in the  
115 next section, one can generate synthetic abyssal hills by combining the measured  
116 statistical properties of mapped abyssal hills with regional geology including fossil  
117 spreading rate/orientation, rms height from satellite gravity, and sediment thickness  
118 [*Goff and Arbic, 2010; Goff, 2010; Goff, 2020*]. At scales greater than about 6 km  $\frac{1}{2}$   
119 wavelength, the location or “phase” of the synthetic hills matches the actual location  
120 based on gravity predicted depth. At shorter scales, the synthetic hills have the correct  
121 power spectral roll-off and orientation but have random locations completely  
122 uncorrelated with the actual abyssal hills. For studies in physical oceanography, creating  
123 hills with the correct height, spectral slope and orientation is more important than hills  
124 having the correct location or phase [*Scott et al., 2011; Timko et al., 2017*] although  
125 phase information will be needed for fully resolved models.

126

127 The second type of unresolved seafloor feature are seamounts less than about 2.5 km  
128 tall [*Menard, 1964; Staudigel et al., 2010; Kim and Wessel, 2011; 2015*]. Because of  
129 significant improvements in the accuracy and resolution of the satellite gravity since the  
130 *Kim and Wessel* [2011] study, it is now possible to detect seamounts taller than about  
131 800 m [*Gevorgian et al., 2021*] and their location can be determined to an accuracy of  
132 better than 1 km. However, the width of the gravity bump is much greater than the  
133 actual width of the seamount. Therefore, the seamount predicted from gravity will  
134 underestimate the seamount height and overestimate its base dimension. This results in  
135 a seamount flank slope that may be 10 times smaller than the actual slope [*Becker and*  
136 *Sandwell, 2008*]. As in the case of abyssal hills, the magnitude of the slope of the  
137 seamount influences the paths of currents as well as the generation of eddies and

138 internal waves. The studies by *Smith* [1988] and *Gevorgian et al.*, [2021] have used  
 139 depth soundings to characterize the shapes of smaller seamounts. In this study we use  
 140 the amplitude of the vertical gravity gradient (VGG) to estimate the mass of the  
 141 seamount and then use their characteristic shape to replace the smooth predicted  
 142 seamount with a Gaussian seamount having a more realistic shape.

143

#### 144 *Modeling Abyssal Hills*

145 We use the model of *Goff and Jordan* [1988; 1989] to generate synthetic abyssal hills;  
 146 the power spectrum of the topography has the following functional form

147

148

$$P(k_x, k_y) = \frac{\pi h_{rms}^2}{\nu k_n k_s} \left[ \frac{k_h^2}{k_s^2} \cos^2(\theta - \theta_s) + \frac{k_h^2}{k_n^2} \sin^2(\theta - \theta_s) \right]^{-(\nu+1)} \quad (1)$$

149

150

151 where  $(k_x, k_y)$  is the 2-D wavenumber,  $k_h = (k_x^2 + k_y^2)^{1/2}$  is the magnitude of the 2-D

152 wavenumber,  $h_{rms}$  is the rms of the abyssal hill height,  $(k_s, k_n)$  are the characteristic

153 wavenumbers for the abyssal hills in the strike and normal directions, respectively,  $\theta_s$  is

154 the azimuth of the strike of the abyssal hills and  $\nu$  is the Hurst number ( $\sim 0.9$ ) that

155 determines the rate of spectral roll-off. The five parameters  $(h_{rms}, k_s, k_n, \theta_s, \nu)$  vary

156 geographically depending on the geological setting at the time of the formation of the

157 abyssal hills [*Goff*, 2020]. In addition, as the plate ages, sediments can partially or fully

158 cover the hills which reduces their visible height.

159

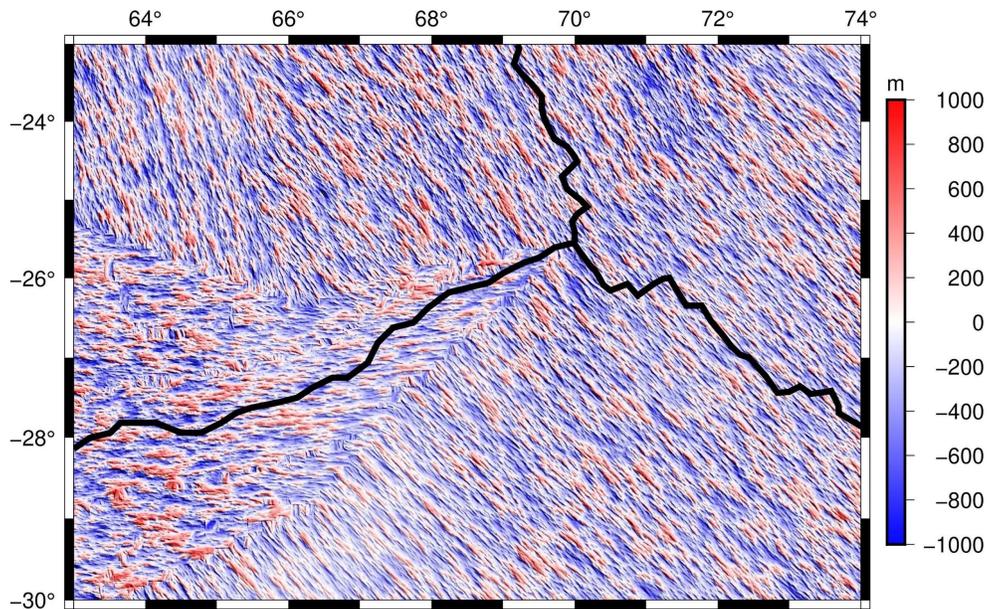
160 The rms height  $h_{rms}$  of the hills is taken from the most recent analysis [*Goff*, 2020] of the

161 altimeter-derived gravity anomaly [*Sandwell et al.*, 2019]. RMS height was reduced in

162 sediment covered areas by a factor of 0.1 times the sediment thickness [*Straume et al.*,

163 2019]. The characteristic wavenumbers  $(k_s, k_n)$  and Hurst number  $\nu$  were taken from

164 the analysis of *Goff [2010]* and the orientation of the abyssal hills  $\theta_s$  is from the recent  
165 global age compilation of *Seton et al. [2020]*.  
166  
167 To replace the predicted bathymetry with more realistic abyssal fabric, we first prepare  
168 the 5 global parameter grids (+/- 74 degrees latitude) to have consistent spatial  
169 coverage. This was done by extending the grids of  $(k_s, k_n, \theta_s, \nu)$  and then tapering the  
170 rms height grid  $h_{rms}$  to go smoothly to zero on its perimeter. As in previous studies [e.g.,  
171 *Goff and Arbic, 2010*], we populate a 30 arcsecond global grid with uniform random cell  
172 values. A 2-D spatial filter is calculated from the inverse transform of the spectral model  
173 in equation 1 at each cell location and convolved with the random grid; a new filter is  
174 computed at each grid cell to accommodate the spatial variations in the 5 parameters.  
175 This operation is equivalent to inverse Fourier transformation of the product of the  
176 amplitude spectrum with a random phase spectrum. However, though computationally  
177 far more efficient, this alternative does not allow for the imposition of the statistical  
178 heterogeneity that is critical for our purposes. The resulting synthetic bathymetry (e.g.,  
179 Figure 2) is added to a previous iteration of global depth to make a new synthetic  
180 bathymetry data set. We then perturb the global predicted bathymetry model to exactly  
181 match these synthetic data using a standard remove/grid/restore approach [e.g.,  
182 *Sjöberg, 2005*]. A spline in tension gridder is used [*Smith and Wessel, 1990*]. This  
183 becomes an updated predicted depth that is used in a second remove/grid/restore using  
184 the real sounding data. The final result exactly matches the real soundings where they  
185 exist and blends smoothly into the updated predicted depth in the data voids. The fully  
186 sedimented areas and areas with no abyssal hill predictions have depth based entirely  
187 on sparse soundings and the gravity prediction.



188

189

190 Figure 2. Example of synthetic abyssal hills around the Indian Ocean Triple Junction illustrate their  
 191 variation with spreading direction and rate.

192

### 193 *Mapping Seamounts*

194 Although multibeam sonar is best suited for mapping smaller seamounts, satellite  
 195 altimetry can be used to find larger seamounts ( $> 700$  m) through disturbances in  
 196 Earth's gravity field. These perturbations are due to the difference in density between  
 197 basalt and seawater. There are four main errors and uncertainties that arise from  
 198 satellite altimetry: upward continuation, measurement noise, seafloor roughness, and  
 199 sediment cover [Wessel *et al.*, 2010]. The first global seamount maps (8556 seamounts)  
 200 were created from widely-spaced Seasat altimeter profiles [Craig and Sandwell, 1988].  
 201 Since the Seasat mission there have been a number of altimeter missions that have  
 202 greatly improved the accuracy and coverage of the gravity field [Wessel, 2001, 14639  
 203 seamounts]. This has enabled the construction of the vertical gravity gradient (VGG)  
 204 which is a spatial derivative of the gravity field [e.g., Wessel, 1997]. This spatial  
 205 derivative amplifies short wavelengths and suppresses long wavelengths so it is a  
 206 valuable tool for locating smaller features on the ocean floor [Kim and Wessel, 2011;  
 207 2015]. However, the spatial derivative also amplifies short wavelength noise which limits  
 208 seamount detectability. The recently released VGG has significantly lower noise levels

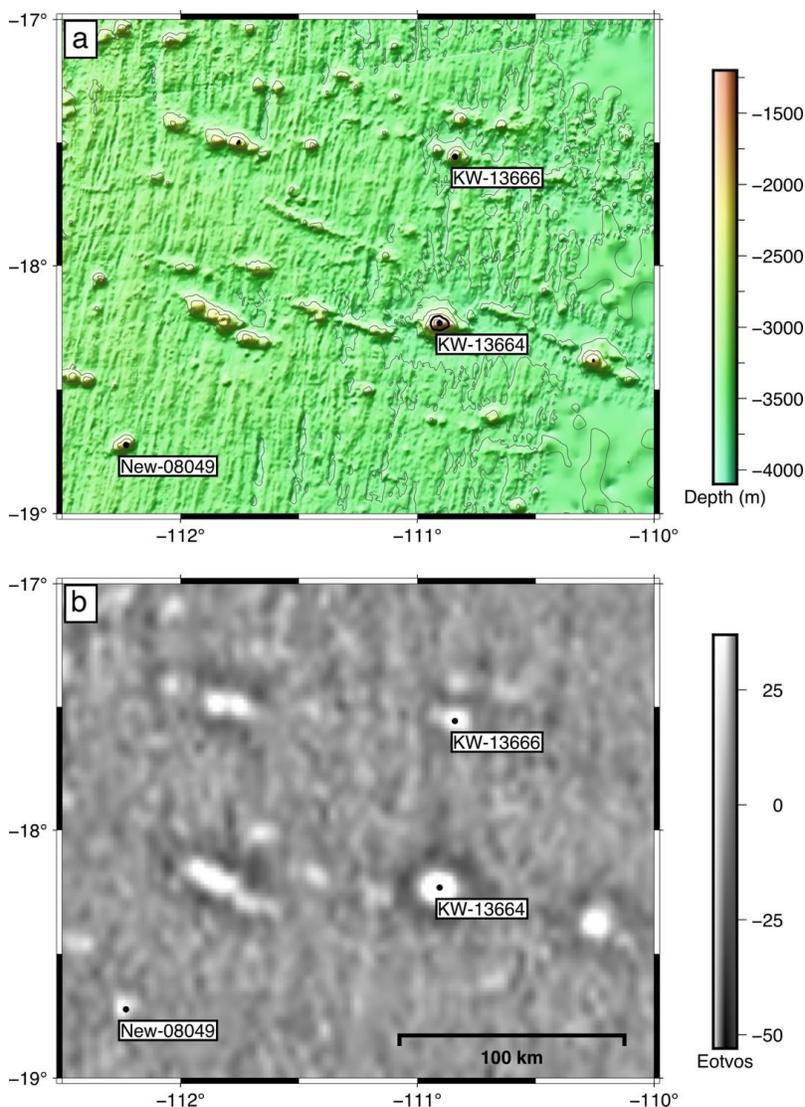
209 because of new altimeter data from CryoSat-2, SARAL/AltiKa, Jason-1/2 and the  
210 Sentinel-3a/b missions [Sandwell *et al.*, 2014; 2019]. After comparing the old and new  
211 VGG, it was found that the signal to noise ratio has increased by at least a factor of 2,  
212 indicating that multiple altimetry sources can improve gravity data and help find  
213 unmapped features on the ocean floor.

214

215 *Gevorgian et al.*, [2021] have used the latest version of the VGG model [Sandwell *et al.*,  
216 2019] to update the global seamount catalog of *Kim and Wessel* [2011; 2015]. The  
217 original KW catalog had 24643 seamount identifications. The new analysis was  
218 performed in 4 steps using the display and digitization features in Google Earth: 1) The  
219 VGG was displayed as a grey-scale image with black-to-white saturation set at -53 to +38  
220 Eotvos units. 2) Known tectonic features [Matthews *et al.*, 2011] were plotted as lines.  
221 3) The KW15 catalog was also plotted as points. 4) *Gevorgian et al.*, [2021] visually  
222 identified circular anomalies in the VGG in the deep ocean (> ~500 m) away from known  
223 and well-mapped tectonic features. The lower noise level in the latest VGG grid enabled  
224 the identification of circular anomalies as small as 5 Eotvos which is about ½ the  
225 threshold of the KW15 analysis. *Gevorgian et al.*, [2021] found 10796 previously  
226 unidentified seamounts and also determined that 513 seamounts in the KW15 catalog  
227 were mis-identifications. The revised KW catalog has 24129 seamounts so the total  
228 seamount count is 34925. Figure 3 shows a region on the eastern flank of the East  
229 Pacific Rise where there is complete multibeam coverage. The VGG image shows  
230 numerous circular anomalies associated with small seamounts. We use these to  
231 develop a method of estimating seamount height and radius from the VGG anomaly.

232

233



234

235

236 Figure 3. (a) Bathymetry on the eastern side of the East Pacific Rise (EPR) where three seamounts have  
 237 been mapped by multibeam sonar. The two labeled KW are from the Kim and Wessel [2011] catalog while  
 238 the seamount labelled New-08049 is from *Gevorgian et al.*, [2021]. (b) VGG in the same area showing  
 239 three seamounts that are relatively circular.

240

#### 241 *Modeling Seamounts*

242 Previous studies have found that small seamounts are typically circular in planform and  
 243 have a fixed height to base ratio largely independent of height [*Smith and Jordan*, 1988;  
 244 *Wessel*, 2001]. *Smith* [1988] studied bathymetry profiles across 85 seamounts and found  
 245 they could be fit by a flattened cone having a height to base radius ratio of  $\sim 0.21$  and a  
 246 flattening of 0.15. More recently, *Gevorgian et al.*, [2021] studied 739 seamounts having

247 at least 50% coverage of the seamount and complete coverage of the summit area.  
 248 Using these well-surveyed seamounts they found, on average, they are best fit by a  
 249 Gaussian function

250

$$251 \quad h(r) = h_o e^{\frac{-r^2}{2\sigma^2}} \quad (2)$$

252

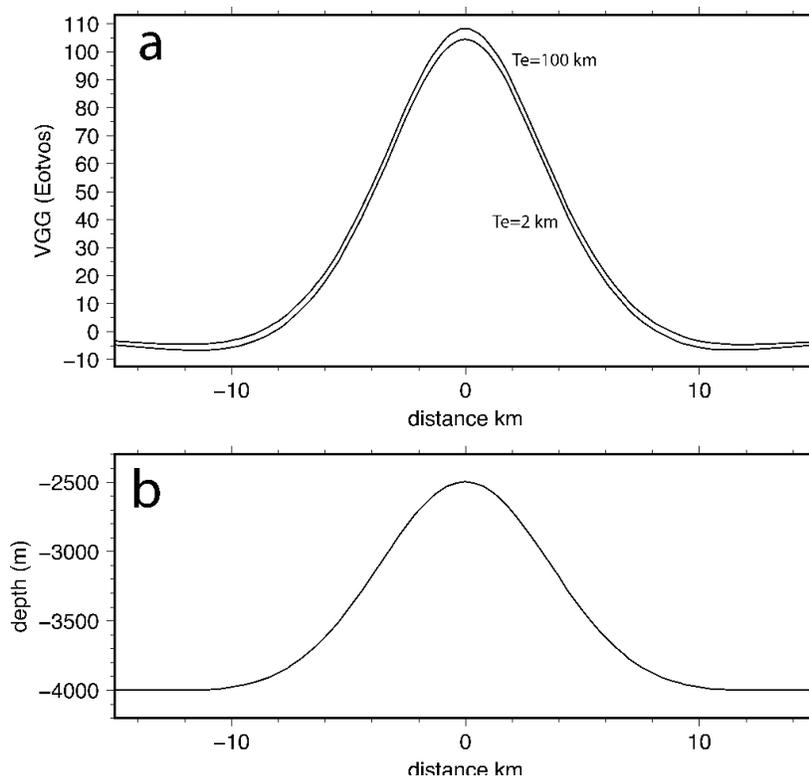
253 where  $h_o$  is the seamount height,  $r$  is the radius from the center of the seamount, and  
 254  $\sigma$  is the characteristic width of the seamount. Their analysis found a high correlation  
 255 between seamount radius and slope such that that  $\sigma = 2.4h_o$ . This corresponds to a  
 256 maximum seamount slope of 0.25 independent of seamount height. Here we use this  
 257 model, along with the observed VGG, to estimate the shape of each seamount. There  
 258 are several parameters that go into this gravity modeling including mean ocean depth  
 259 surrounding the seamount  $d_o$ , seamount density relative to seawater  $\Delta\rho$ , crustal  
 260 thickness, elastic thickness, mantle density, and seamount height  $h_o$ . We show next  
 261 that for seamounts  $< \sim 2$  km in height, the VGG is insensitive to the elastic thickness so  
 262 we can assume the seamounts are uncompensated. In this case the mean crustal  
 263 thickness and mantle density are not needed.

264

265 This insensitivity to elastic thickness is easily verified using the *grdseamount* and *gravfft*  
 266 modules in Generic Mapping Tools (GMT) [Wessel *et al.*, 2019]. A typical seamount,  
 267 shown in Figure 4, has a height of 1500 m, a  $\sigma = 2.4h_o$ , a density of  $2700 \text{ kg m}^{-3}$ , and a  
 268 base depth of 4000 m. To assess the effects of elastic thickness, we computed the VGG,  
 269 including 3 nonlinear terms in the *Parker* [1973] expansion. For an elastic thickness  $T_e$  of  
 270 2 km as well as 100 km (uncompensated), the two VGG models have almost equal  
 271 signatures so we can assume small seamounts are uncompensated as in [Watts *et al.*  
 272 2006].

273

274



275

276 Figure 4. Vertical gravity gradient (VGG - a) computed from a Gaussian seamount (b) that is 1500 m tall,

277 has a  $\sigma = 2.4h_o$ . The two VGG curves, which are very similar, correspond to well compensated

278 topography ( $T_e = 2$  km) and uncompensated topography ( $T_e = 100$  km).

279

280 Based on this calculation the remaining free parameters are seamount height, seamount  
 281 density, and base depth. The base depth is calculated from the median depth in a 90 km  
 282 by 90 km area surrounding the seamount to be modelled.

283

284 To further test the validity of this simple model for a small seamount we analyzed  
 285 topography and VGG for three seamounts in an area of the southern East Pacific Rise  
 286 where there is complete multibeam coverage (Figure 3). Two of the seamounts are  
 287 from the *Kim and Wessel* [2011] compilation while the third is recently identified in the  
 288 VGG [*Gevorgian et al.*, 2021]. The basic characteristics of the seamounts are provided in  
 289 Table 1.

290

291 Table 1. Characteristics of modeled seamounts

label	lon	lat	base depth(m)	seamount height (m)	gravity (mGal)	VGG (Eotvos)
KW-13664	-110.90	-18.23	3461.5	2140	53.4	143.7
KW-13666	-110.84	-17.54	3409.5	1343.5	18.5	50.8
New-08049	-112.23	-18.71	3255.5	1209.5	12.9	44.7

292

293 Using these well surveyed seamounts, we can perform forward modeling to establish  
 294 the density that provides the best fit. From the observed topography we calculate the  
 295 VGG and compare with the observations. An additional low-pass filter, with a  
 296 wavelength of 16 km, was applied to the model VGG to match the low-pass filtering that  
 297 was used to construct the VGG data [Sandwell *et al.*, 2019]. Table 2 shows the **median**  
 298 **absolute deviation (L1-norm)** as a function of seamount/crustal density for each of the  
 299 three seamounts. We find that the misfit is not very sensitive to the density. A much  
 300 more extensive study by *Watts et al.* [2006] using 9752 seamounts shows the best  
 301 density is  $2800 \text{ kg m}^{-3}$ . This value is consistent with our results from modeling just three  
 302 small seamounts.

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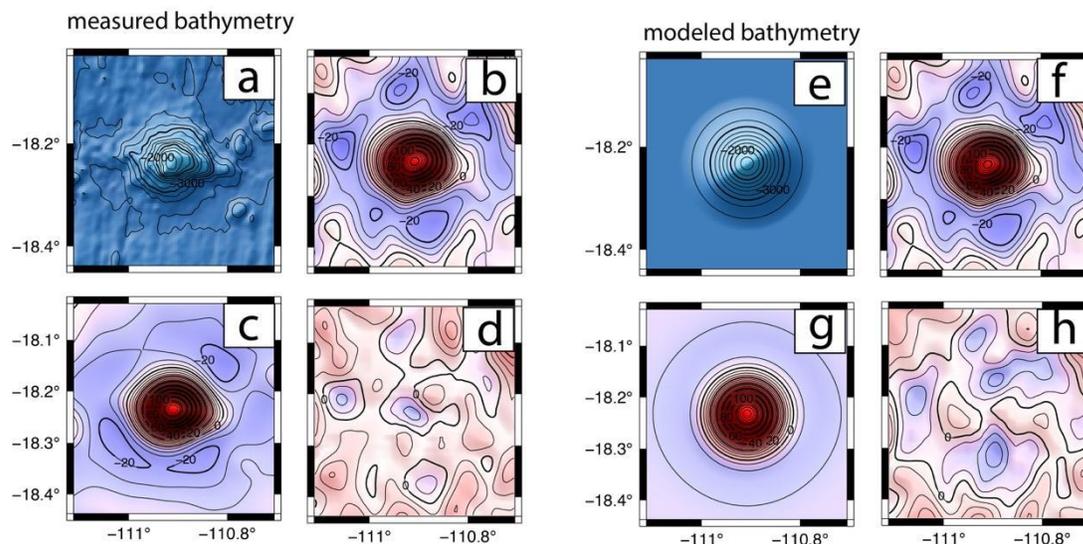
304 Table 2. Misfit (L1-norm) versus seamount density in Eotvos

	2650 $\text{kg m}^{-3}$	2700	2750	2800	2850	2900	no model
KW-13664	4.51	4.34	4.33	4.43	4.50	4.56	10.49
KW-13666	4.35	4.28	4.19	4.19	4.20	4.21	8.74
New-08049	4.49	4.51	4.53	4.54	4.54	4.45	5.51

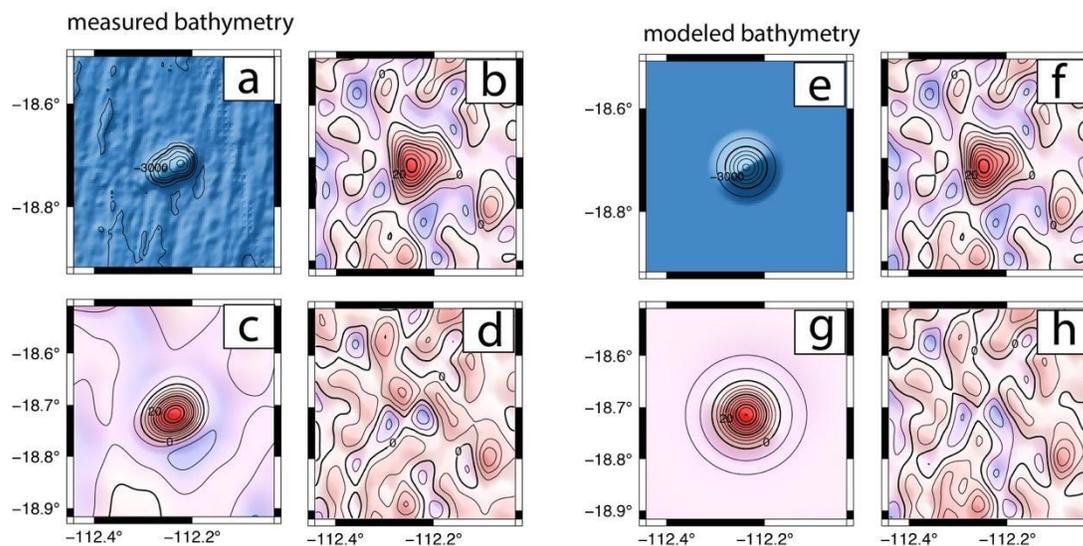
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306 An example of the fit of the model to the largest of the three seamounts is shown in  
 307 Figure 5. The model based on topography with a density of  $2800 \text{ kg m}^{-3}$  provides an  
 308 excellent fit to the VGG data. As a final check we generated VGG models using a  
 309 Gaussian approximation to the actual seamount topography for large and small  
 310 seamounts in the region. These results, shown in Figures 5 and 6, demonstrate that the  
 311 VGG from a Gaussian seamount is a good match to the VGG from the actual seamount  
 312 topography. The important parameter is the seamount height. Because most

313 seamounts are uncharted we will use this approach, with a Gaussian shaped seamount,  
 314 to generate synthetic seamounts in unmapped areas.



315  
 316 Figure 5. (a) Bathymetry of the largest of the three seamounts, KW-13664 (200 m contours). (b, f)  
 317 Measured VGG for seamount (5 Eotvos contours). (c) Model VGG using a density of  $2800 \text{ kg m}^{-3}$ . (d)  
 318 Difference between observed VGG and model VGG (L1-norm, 4.43 Eotvos, 5 Eotvos contours). (e) Model  
 319 bathymetry using a Gaussian seamount (200 m contours). (g) Model VGG for Gaussian model bathymetry.  
 320 (h) Difference between observed VGG and Gaussian model VGG (L1 7.04 Eotvos, 5 Eotvos contours).



321  
 322 Figure 6. (a) Bathymetry of the smallest of the three seamounts, New-08049 (200 m contours). (b+f)  
 323 Measured VGG for seamount (5 Eotvos contours). (c) Model VGG using a density of  $2800 \text{ kg m}^{-3}$ . (d)  
 324 Difference between observed VGG and model VGG (L1 4.54 Eotvos, 5 Eotvos contours). (e) Model

325 bathymetry using a Gaussian seamount. (g) Model VGG for Gaussian bathymetry (200 m contours). (h)  
326 Difference between observed VGG and conical model VGG (L1 5.13 Eotvos, 5 Eotvos contours).

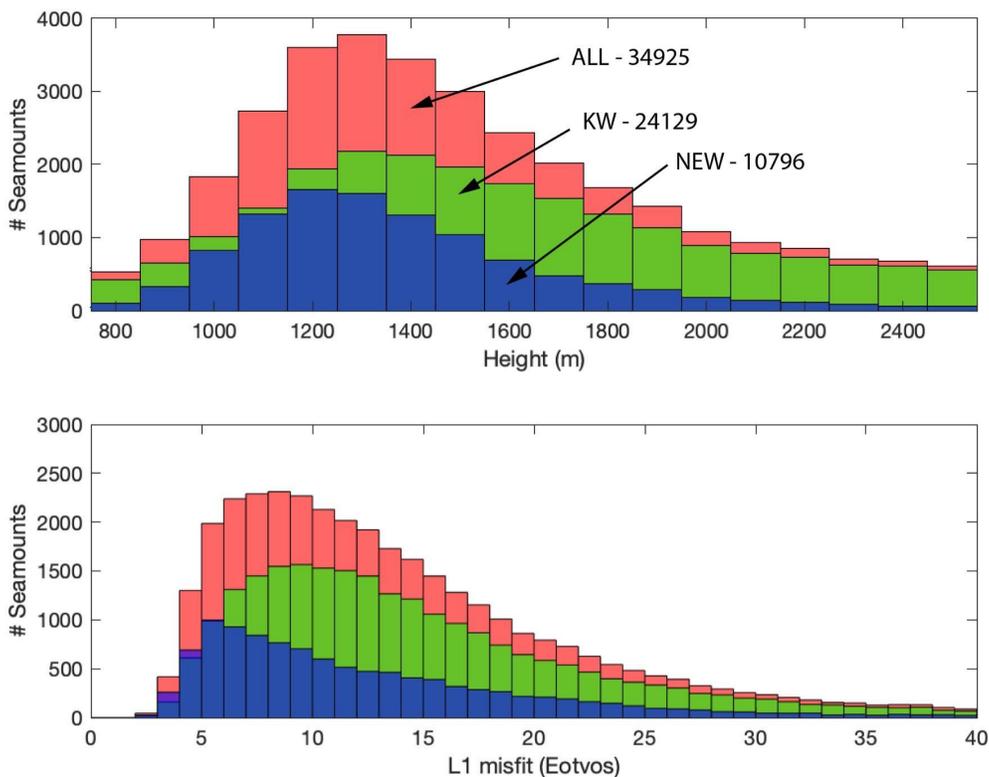
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328 Based on this analysis, we estimated heights for 34925 seamounts in the updated  
329 catalog basically using the method of *Wessel*, [2001]. This was done by extracting a 90  
330 km by 90 km grid of VGG and SRTM15 (V2.3) depth data centered on each seamount.  
331 The base depth was computed from the median depth of this larger 90km grid and a  
332 Gaussian seamount (equation 2) was superimposed on this base depth using  $\sigma = 2.4h_0$ ,  
333 a density of  $2800 \text{ kg m}^{-3}$ . The VGG model, generated using *gravfft* in GMT, was low-pass  
334 Gaussian filtered at 16 km wavelength to match the low-pass filter applied to the VGG  
335 data. Finally the L1 norm difference between the model and data VGG was computed  
336 for a smaller 33 by 33 km area centered on the seamount. This modeling was repeated  
337 for seamount heights ranging from 700 to 2600 m in steps of 100 m. The model with the  
338 lowest misfit was selected as the height. A histogram of the number of seamounts  
339 versus their estimated height is shown in Figure 7 (top). A histogram of seamounts  
340 versus the L1 norm of the misfit is shown in Figure 7 (bottom). For all seamounts, the  
341 number increases with decreasing seamount height until 1300 m when the number  
342 decreases at smaller heights. Our results show that the KW analysis, using noisier VGG  
343 data, captured most seamounts taller than about 1500 m. The new analysis found many  
344 more seamounts with heights between 1100 and 1500 m. Most of the VGG models have  
345 misfits between 5 and  $\sim 20$  Eotvos. The new seamounts are generally smaller and have  
346 lower L1-misfit. For the remainder of this study we exclude all seamounts with heights  
347 greater than 2500 m and less than 800 m since they are at the ends of the histogram.  
348 We also reduce the height of any seamount having a depth shallower than -100 m to  
349 force the model summit depth of -100 m. In other words we don't want to create any  
350 false islands or atolls although these cases may be interesting places to survey with  
351 multibeam. This results in 31602 modeled seamounts.

352

353 We investigate how many of these seamounts are constrained by depth soundings by  
354 using the SRTM15 V2.3 source identification grid (SID) to locate all the seamounts

355 having at least one sounding within 3 km of the center of the seamount. This resulted in  
 356 11879 seamounts that are at least partly constrained by a real depth sounding and  
 357 19723 seamounts that are completely uncharted.  
 358



359  
 360 Figure 7 (top) Histogram of the seamount height based on our analysis of all seamounts (red), KW  
 361 seamounts (green), and new seamounts (blue). (bottom) Histogram L1 misfit of model VGG to each  
 362 seamount.

363

### 364 *Results*

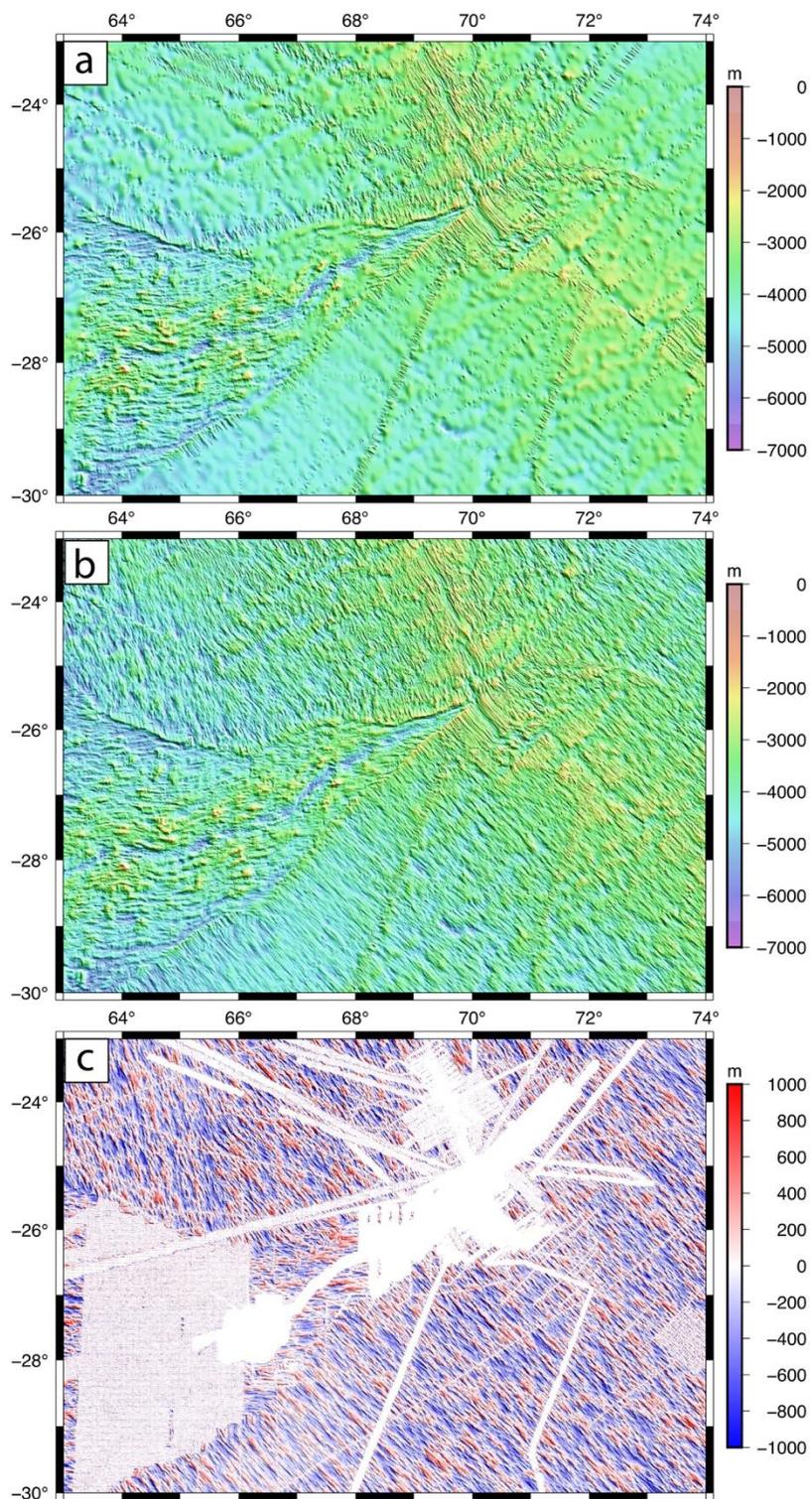
365 Prior to filling the gaps with synthetic bathymetry, we assembled new sounding data not  
 366 available for the *Tozer et al.*, [2019] study. The latest V2.3 of the SRTM15+ grid includes  
 367 905 new multibeam sonar cruises that are archived at the National Center for  
 368 Environmental Information [<https://www.ngdc.noaa.gov/mgg/bathymetry/multibeam.html>].  
 369 These were processed with MB-System [*Caress and Chayes, 2008*] to remove outer  
 370 beams and flatten the rails of the innermost beams, and subsequently used to update  
 371 the 15-arcsecond grid. We performed 3 iterations of visual editing of bad soundings

372 (~700 edits) to prepare the grid as the base layer for the GEBCO 2022 global grid. In  
373 addition we obtained 9 large composite grids from IFREMER that greatly improved the  
374 bathymetry coverage of the Gulf of Aden [*Hebert et al.*, 2001], the Lesser Antilles  
375 volcanic arc [*Talbot and Loubrieu*, 2020], French Guiana margin [*Loubrieu*, 2019], the  
376 Rodrigues triple junction [*Mendel et al.*, 2000], the Kerguelen plateau [*Loubrieu*, 2019],  
377 Reunion island [*Sisvath et al.*, 2011], Saint-Paul and Amsterdam Islands [*Loubrieu et al.*,  
378 2020], the Southwest Indian ridge [*Sauter and Mendel*, 2000], and the North Fiji basin  
379 [*Ruellan*, 2001].

380

381 Gaps in the SRTM15+ grid were filled with synthetic bathymetry to create SYNthetic  
382 BATHymetry (SYNBATH V1.2). An example of the enhancement related to just abyssal  
383 hills is shown in Figure 8. The upper plot shows the standard SRTM15+ grid with the  
384 combination of single- and multibeam bathymetry and smooth gravity-predicted depths  
385 filling the gaps. The Indian Ocean triple junction at 70° longitude and -25.7° latitude is  
386 the intersection of the Central Indian ridge (CIR) to the north, the Southeast Indian ridge  
387 (SEIR), and the Southwest Indian ridge (SWIR). The flanks of each ridge have ridge-  
388 parallel abyssal hills as seen in the available multibeam bathymetry. The slower  
389 spreading SWIR has left a V-shaped scar on the seafloor where there is a nearly 90°  
390 degree change in the orientation of the abyssal hills reflecting the change in age  
391 gradient [e.g., *Seton et al.*, 2020]. The center plot shows the SYNBATH bathymetry which  
392 is identical to the SRTM15+ bathymetry where there are real ship soundings and has  
393 synthetic abyssal hills in the gaps. The boundaries between the actual and synthetic  
394 bathymetry are difficult to observe. One would expect a sharp change in the “phase” of  
395 the abyssal hills across these boundaries. However, a part of the synthetic abyssal fabric  
396 contains a correct-phase pattern that is derived from the gravity prediction. Figure 8c  
397 shows the difference between the SYNBATH and SRTM15+ bathymetry grids. The  
398 difference is zero at grid cells constrained by ship data and matches the synthetic  
399 abyssal hills (Figure 2) in the gaps.

400



401

402 Figure 8. Bathymetry of Indian Ocean Triple Junction. (a) Based on multi- and single-beam soundings and  
 403 gravity-predicted bathymetry to fill the gaps. (b) Based on multi- and single-beam soundings and synthetic  
 404 abyssal hills superimposed on gravity-predicted bathymetry to fill the gaps. (c) Difference shows that the  
 405 two methods are identical where measured soundings are available and have synthetic abyssal hills in  
 406 other areas.

407

408 An example of the enhancement primarily related to small seamounts is shown in Figure  
409 9 for a poorly charted region just south of the Galapagos spreading ridge. The smaller  
410 predicted seamounts, having no bathymetry soundings, are short and wide (Figure 9a).  
411 The sharpened seamounts are tall and narrow following the shape of the Gaussian  
412 model  $\sigma = 2.4h_o$  (Figure 9b). The difference between these two models (Figure 9c)  
413 shows the combined effects of added abyssal fabric and sharpened seamounts. In areas  
414 where there are actual multibeam depth soundings, the two models agree.

415

416 Since there are many steps in constructing this SYN BATH bathymetry at 15 arcseconds  
417 we provide a brief overview. There is a common *polishing* technique used each time a  
418 new data layer is added so we first describe that remove/grid/restore approach as  
419 follows: 1) assemble some new data (e.g. real soundings or synthetic hills or seamounts);  
420 2) remove the previous model from each new data point; 3) identify spatial gaps (> 20  
421 km from a new data point) and add zero-valued data points at these locations; 4) use  
422 the GMT *surface* module with a tension of 0.6 and a convergence limit of 1 m and up to  
423 200 iterations; 5) add the previous model so the result exactly fits the new data. Given  
424 this common *polishing* approach the overall construction method is:

425

- 426 1) Use the gravity prediction method described in *Smith and Sandwell* [1994] and  
427 updated in *Tozer et al.*, [2019] to make a global 1-minute bathymetry.
- 428 2) Polish that bathymetry using actual soundings.
- 429 3) Use the 1-minute base depth to generate synthetic abyssal hill data and  
430 synthetic seamount data. So the model from step 2) provides the base depth for  
431 both the hills and seamounts. Also note the synthetic seamount data extend  
432 only  $1.5 \sigma$  from the seamount center. This promotes better blending of the  
433 synthetic seamounts into the regional bathymetry while retaining the data  
434 having maximum slope which occur at  $1.0 \sigma$ .
- 435 4) Create a 15-arcsecond grid following the methods in *Tozer et al.*, [2019].

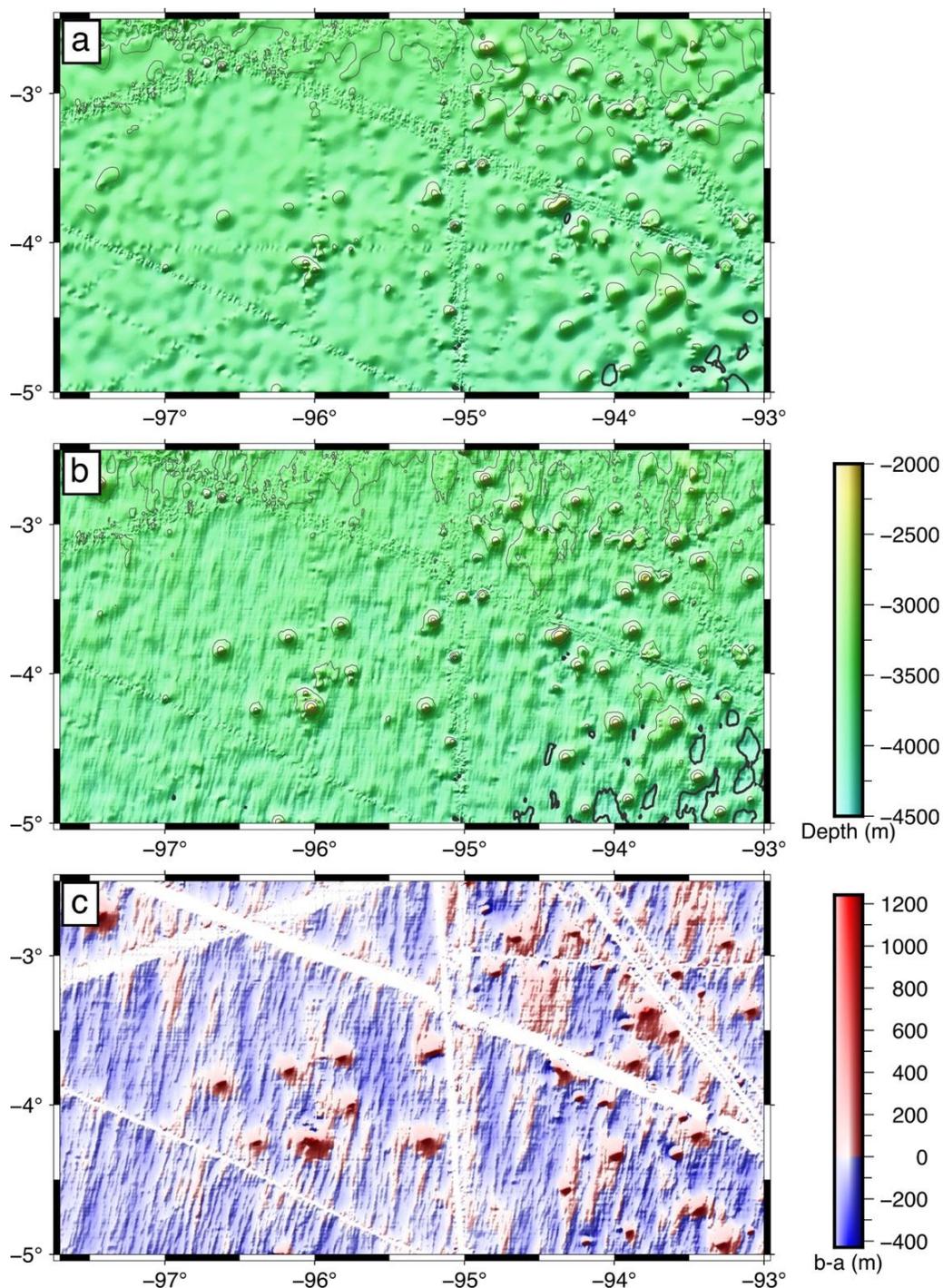
- 436 5) Polish the step 4) grid with synthetic abyssal hills.  
437 6) Polish the step 5) grid with synthetic seamounts.  
438 7) Polish the step 6) grid with all the real soundings.  
439 8) Combine the land topography data with the grid from 7).

440

441 This is a rather complex recipe. However, it is designed to inherit the long-wavelength  
442 shape of the ocean basins from original depth soundings. The satellite-derived gravity is  
443 used next to update the bathymetry in the 160-16 km wavelength band. Short  
444 wavelengths between 16 and 1 km are updated with synthetic abyssal hills. This is  
445 followed by an update using the Gaussian seamounts which, as in the real world,  
446 overprint the abyssal hills. Finally, the grid is polished using real depth soundings.

447

448



449

450

451 Figure 9. Bathymetry of an area south of the Galapagos spreading ridge. (a) Based on multi- and single-  
 452 beam soundings and gravity-predicted bathymetry to fill the gaps. (b) Based on multi- and single-beam  
 453 soundings, synthetic abyssal hills and sharpened seamounts superimposed on gravity-predicted  
 454 bathymetry to fill the gaps. (c) Difference shows that the two methods are identical where measured  
 455 soundings are available and have synthetic abyssal hills in other areas.

456

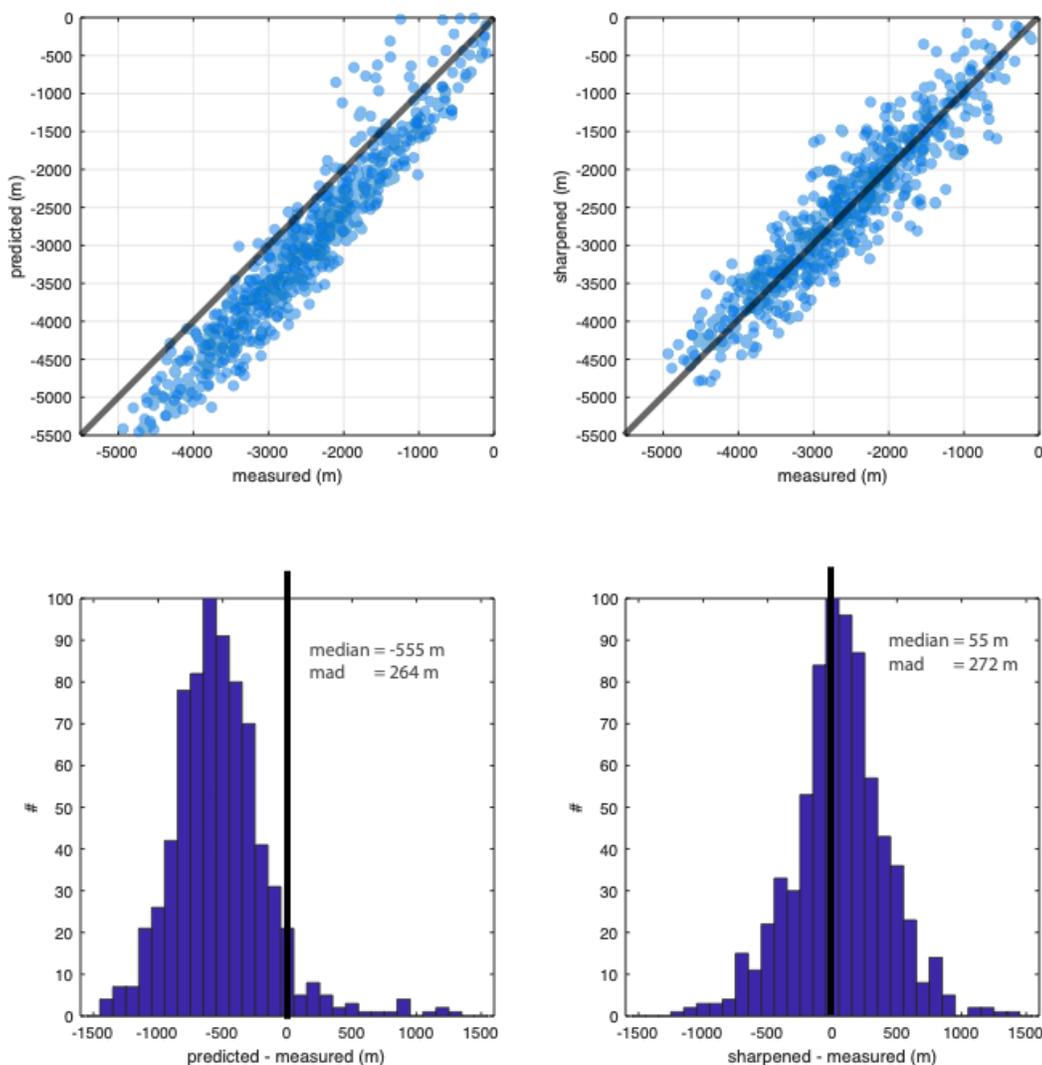
457

458

459 *Uncertainty of the Synthetic Bathymetry*

460 Two approaches were used to assess the accuracy of the synthetic bathymetry with  
461 respect to the gravity-predicted depths. First we compared the accuracy of seamount  
462 summit depths for the 739 well surveyed seamounts from the *Gevorgian et al.*, [2021]  
463 study. When constructing the global predicted depths we first construct a global  
464 bathymetry grid using only the satellite-derived gravity as described in the *Tozer et al.*,  
465 [2019] study. Available depth soundings are used to calibrate the topography-to-gravity  
466 ratio in the 160-18 km wavelength band averaged over an area about 320 km in  
467 diameter. The predicted bathymetry in areas of complete multibeam coverage has the  
468 same characteristics as the bathymetry in the gaps so the actual multibeam soundings  
469 can be used to assess the accuracy of the predicted depth. Figure 10 (left) shows a  
470 comparison of the predicted and measured summit depth of the 739 well-surveyed  
471 seamounts. As discussed above, the predicted summit depths are almost always less  
472 than the actual depth. This analysis shows that the median difference is -555 m and the  
473 median absolute deviation (MAD) is 264 m. The same analysis for the sharpened  
474 seamounts based on VGG modeling (Figure 10 right) has a much smaller median  
475 difference of 55 m and a slightly larger median absolute deviation of 272 m. This  
476 analysis shows that the sharpened seamounts have more accurate summit depths  
477 although the Gaussian shape model does not recover the details of the actual seamount  
478 shape.

479



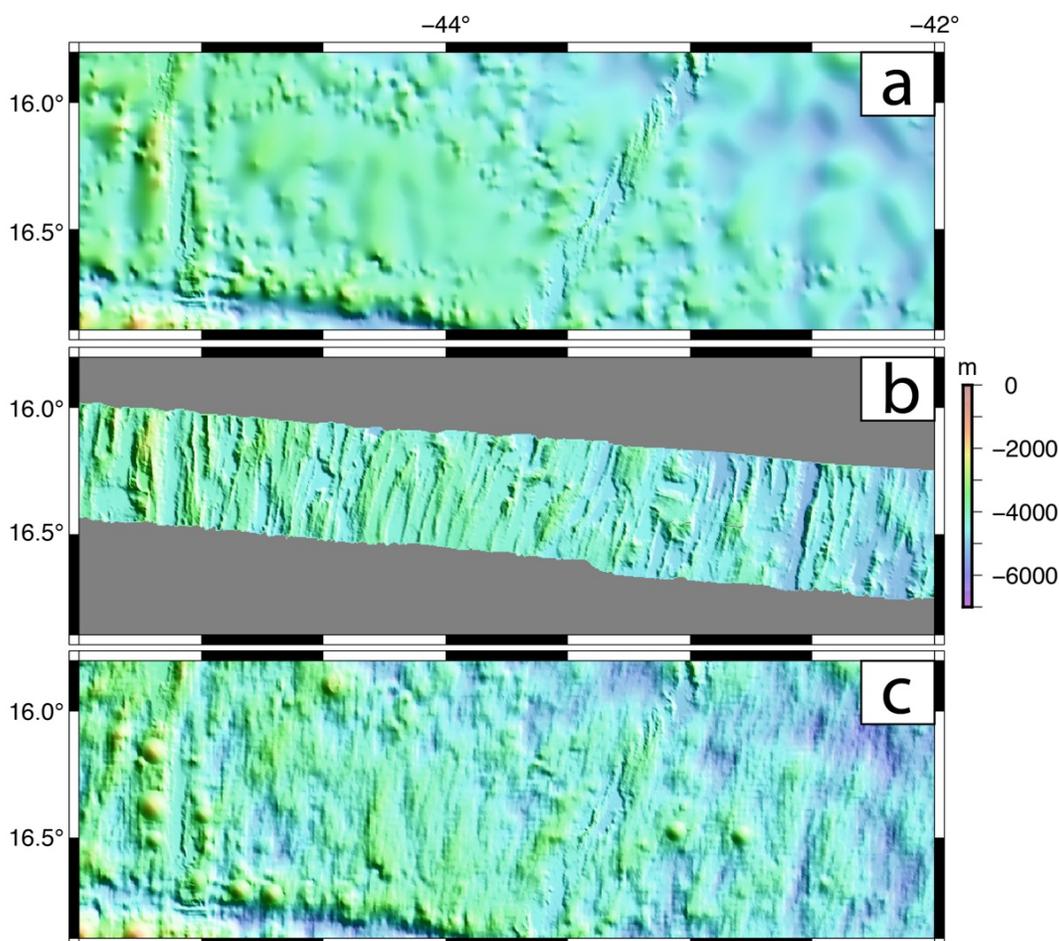
480

481 Figure 10. (left) Gravity-predicted summit depth versus measured summit depth. The predicted summit  
 482 depth is commonly 555 m deeper than the measured summit depth for these 739 well-charted  
 483 seamounts. (right) Summit depth for sharpened seamounts versus measured summit depth has a 10 times  
 484 smaller median difference of 55 m although the uncertainty is slightly larger (272 m).

485

486 For the second analysis we have obtained a recent multibeam sonar survey in the North  
 487 Atlantic collected from the RV Maria S. Merian (GEOMAR, Devey et al., 2020; Wöfl et  
 488 al., 2020). We selected a subset of these data in a region where there were no previous  
 489 soundings (Figure 11b) and compared the measured depth with both the gravity-  
 490 predicted depth (Figure 11a) and the predicted depth augmented with synthetic abyssal  
 491 hill fabric (Figure 11c). As expected the gravity-predicted depth is much smoother than  
 492 the actual depth with a median difference of -66 m and a median absolute deviation

493 (MAD) of 241 m. The synthetic bathymetry has abyssal hills that look similar to the  
 494 measured abyssal hills but the random location of the synthetic hills does not match the  
 495 actual measured location resulting in a median depth difference of 8 m and a MAD of  
 496 323 m. Therefore the addition of the synthetic abyssal hills has increased the error in  
 497 the depth by a factor of 1.34. This highlights that the synthetic bathymetry should not  
 498 be used for any application where knowing the depth of specific points is important but,  
 499 as discussed above, the synthetic bathymetry has small scale roughness and slope  
 500 characteristics that better match the actual bathymetry.  
 501



502  
 503 Figure 11. (a) Predicted depth based on gravity in the 160-18 km wavelength band is very smooth. (b)  
 504 Measured seafloor depth from GEOMAR cruises. (c) Synthetic depth where the gravity-predicted depth  
 505 has been augmented with synthetic abyssal hills as well as sharpened seamounts.

506

507 *Uses of Synthetic bathymetry*

508 This synthetic bathymetry has some appropriate uses as well as some uses that are  
509 inappropriate (Table 3) so it will be important to educate the users on how to use the  
510 product. A significant danger is that the general public could examine the synthetic  
511 bathymetry using a graphical tool such as Google Earth and conclude that the seafloor  
512 has been completely mapped at ~500 m resolution. Therefore, there must be an  
513 additional graphical layer, or style, to indicate what is real and what is synthetic.

514

515 The applications where this product is **not useful** are mainly aligned with GEBCO  
516 applications. These include seafloor geography and feature names. All of these synthetic  
517 features lie at base depths greater than ~1000 m so they are irrelevant for any kind of  
518 navigation except the 149 seamounts extending to within 200 m of the sea surface;  
519 these need to be flagged with red dots and eventually surveyed [  
520 [https://www.star.nesdis.noaa.gov/star/documents/meetings/extReview/presentations/](https://www.star.nesdis.noaa.gov/star/documents/meetings/extReview/presentations/5CommTrans/CT2_WSmith.ppt)  
521 [5CommTrans/CT2\\_WSmith.ppt](https://www.star.nesdis.noaa.gov/star/documents/meetings/extReview/presentations/5CommTrans/CT2_WSmith.ppt)]. This product is not useful for establishing the  
522 boundaries of the outer continental shelves for the law of the sea. The product is not  
523 useful for any kind of detailed deployment of seafloor instrumentation although it can  
524 provide a regional sense of seafloor roughness at scales larger than 1 km. Since the  
525 synthetic bathymetry is confined to the deep ocean where sediments are thin it is not  
526 useful for any applications on the continental margins.

527

528 There are three applications where this product is **marginally useful**. (1) In terms of  
529 education and outreach it could provide misinformation that we are done mapping the  
530 deep oceans. However, like the early hand-drawn bathymetric maps from *Heezen and*  
531 *Tharp* [1959] and GEBCO [*Hall, 2006*], the realistic synthetic bathymetry could inspire  
532 students to better understand marine geology and plate tectonics. (2) The product could  
533 help with establishing a range of possible tsunami propagation models based on  
534 statistical realizations of abyssal fabric and seamounts [*Sepulveda et al., 2020*]. (3) It  
535 could be useful for understanding habitats over unmapped, moderately large  
536 seamounts.

537

538 The synthetic bathymetry is **most useful** for studies where a realistic seafloor roughness  
 539 is needed. This includes models of ocean circulation [*Adcroft et al., 2004; Chassignet et*  
 540 *al., 2007*] and internal wave generation, dissipation, and mixing driven by tidal and other  
 541 low-frequency flows over the rough bottom [*Goff and Arbic, 2010; Polzin et al., 1997;*  
 542 *Gille et al., 2000; Jayne and St. Laurent, 2001; Egbert and Ray, 2003*]. In addition, rough  
 543 seafloor affects the propagation of acoustic waves [e.g., *Mckenzie, 1961; Chin-Bing et*  
 544 *al., 1994*]. The product could be useful for plate tectonic studies since one can see where  
 545 the abyssal hill fabric disagrees with nearby multibeam mapping which will provide data  
 546 on how to revise tectonic models. Finally, the product could be useful for planning  
 547 shipboard surveys of seamounts and volcanic ridges as well as a tool for planning the  
 548 optimal ship path for mapping rough seafloor.

549

550

551

552 Table 3. Appropriate applications of synthetic bathymetry

application	yes	maybe	no
seafloor geography and feature names			X
navigation			X
law of the sea			X
fiber optic cable route planning			X
coastal tide model improvements			X
education and outreach	X		X
tsunami propagation and hazard models		X	
fisheries management		X	
hydrodynamic tide models and tidal friction	X		
ocean circulation models	X		
tidal role in ocean mixing	X		
plate tectonics	X		
planning shipboard surveys	X		

553

554

555 *Conclusions and Future Improvements*

556

557 Our major conclusions are:

- 558 ● Bathymetry predicted from satellite altimeter-derived gravity cannot resolve the  
559 small-scale fabric of the deep ocean associated with abyssal hills and seamounts.  
560 There are many remote areas that will not be mapped by ship in this decade.
- 561 ● We extend two methods to fill these gaps with higher resolution synthetic  
562 bathymetry using information on the tectonics, geology, and sediment distribution in  
563 the deep oceans.
- 564 ● Synthetic abyssal hills are generated using an anisotropic statistical model based on  
565 high resolution multibeam surveys in a variety of tectonic settings. The orientation of  
566 the hills uses the latest seafloor age maps.
- 567 ● Small seamounts > 700 m tall can be accurately located in satellite-altimeter derived  
568 gravity but their shape cannot be resolved. We use ~800 well-surveyed small  
569 seamounts to calibrate the expected shapes of and create synthetic bathymetry for  
570 all seamounts in the 800-2500 m height range.
- 571 ● These two synthetic data sets are used to add a small-scale bathymetry component  
572 (1-16 km) to the global predicted depth. This provides a new starting model for a  
573 remove/grid/restore re-gridding of available single and multibeam ship soundings.
- 574 ● We generate two global bathymetry/topography products at 15 arcseconds using  
575 identical ship soundings. The SRTM15+ product has gaps filled with smooth predicted  
576 bathymetry and serves as the base layer for the 15 arcsecond GEBCO grid. The  
577 SYN BATH product has gaps filled with synthetic bathymetry from abyssal hills and  
578 seamounts superimposed on the smooth predicted bathymetry.
- 579 ● The SRTM15+ product is suitable for applications in seafloor geography, law of the  
580 sea, seafloor instrumentation and cables and highlighting the need to fill the gaps  
581 before 2030.
- 582 ● The SYN BATH product is suitable for any application where an accurate seafloor  
583 roughness is needed such as modeling ocean currents and tidal friction and the  
584 generation and dissipation of internal waves.

585

586 In the future we plan to continue to improve the resolution of gravity-predicted depth  
587 as well as to work with GEBCO to assemble more multibeam sounding data. With these  
588 efforts we can roll-back the spectral and spatial contributions of the synthetic  
589 bathymetry. Ka-band altimeters such as SARAL/AltiKa and SWOT promise a dramatic  
590 improvement in marine gravity/bathymetry accuracy and resolution.

591

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596 contained in the report are those of the authors and should not be construed as an  
597 official National Oceanic and Atmospheric Administration or U.S. Government position,  
598 policy, or decision.

599

600 *Open research and data availability* - The global bathymetry grids and the  
601 characteristics of the 35,000 seamounts, and Google Earth overlays are all available at  
602 the ZENODO repository, <https://zenodo.org/deposit/5784502>, DOI  
603 10.5281/zenodo.5784502, in addition we keep a copy at our own open web site  
604 <https://topex.ucsd.edu/pub/> . The VGG grids and overlays are in the global\_grav\_1min  
605 folder, the synthetic bathymetry and products are in the synbath folder, and the  
606 SRTM15+ bathymetry and products are in the srtm15\_plus folder. Figures and most  
607 calculations were performed using GMT (<https://www.generic-mapping-tools.org> ) and  
608 MATLAB (<https://www.mathworks.com/products/matlab.html> ). We also have all  
609 archived versions of our global grids in one location  
610 <https://topex.ucsd.edu/pub/archive/> .

611

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