

1 Improved Bathymetric Prediction using Geological Information: SYN BATH

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15

16 *Key Points*

- 17 • Approximately 20% of the ocean floor topography has been surveyed by ships, the
18 remaining 80% is predicted by satellite altimetry.
- 19 • We increased the resolution of the predicted depth using spectral properties of
20 abyssal hills and the characteristic shapes of seamounts.
- 21 • We estimate the height and radius of 19,000 uncharted seamounts.

22

23 *Abstract*

24 To date, approximately 20% of the ocean floor has been surveyed by ships at a spatial
25 resolution of 400 m or better. The remaining 80% has depth predicted from satellite
26 altimeter-derived gravity measurements at a relatively low resolution. There are many
27 remote ocean areas in the southern hemisphere that will not be completely mapped at
28 400 m resolution during this decade. This study is focused on the development of
29 synthetic bathymetry to fill the gaps. There are two types of seafloor features that are
30 not typically well resolved by satellite gravity: abyssal hills and small seamounts (< 2.5
31 km tall). We generate synthetic realizations of abyssal hills by combining the measured

32 statistical properties of mapped abyssal hills with regional geology including fossil
33 spreading rate/orientation, rms height from satellite gravity, and sediment thickness.
34 With recent improvements in accuracy and resolution, It is now possible to detect all
35 seamounts taller than about 800 m in satellite-derived gravity and their location can be
36 determined to an accuracy of better than 1 km. However, the width of the gravity
37 anomaly is much greater than the actual width of the seamount so the seamount
38 predicted from gravity will underestimate the true seamount height and overestimate
39 its base dimension. In this study we use the amplitude of the vertical gravity gradient
40 (VGG) to estimate the mass of the seamount and then use their characteristic shape,
41 based on well surveyed seamounts, to replace the smooth predicted seamount with a
42 seamount having a more realistic shape.

43

44 *Keywords: global bathymetry, uncharted seamounts, abyssal hills*

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46

47 *Introduction*

48 Bathymetry is foundational data, providing basic infrastructure for scientific, economic,
49 educational, military, and political work. High resolution, deep ocean bathymetry is
50 critical for: (1) understanding the geologic processes responsible for creating ocean floor
51 features unexplained by simple plate tectonics, such as abyssal hills, seamounts,
52 microplates, propagating rifts, and intraplate deformation; (2) determining the effects of
53 bathymetry and seafloor roughness on ocean circulation, ocean mixing, and climate; and
54 (3) understanding how marine life is influenced by seafloor depth, roughness, and
55 interactions of currents with the seafloor [Yesson *et al.*, 2011]. The Seabed 2030 project
56 [<https://seabed2030.org>] “aims to bring together all available bathymetric data to
57 produce the definitive map of the world ocean floor by 2030 and make it available to
58 all.” The Seabed 2030 global compilation will be based on swath mapping using
59 multibeam sonar which has a spatial resolution of about 400 m at a typical ocean depth
60 of 4 km [Mayer *et al.*, 2018].

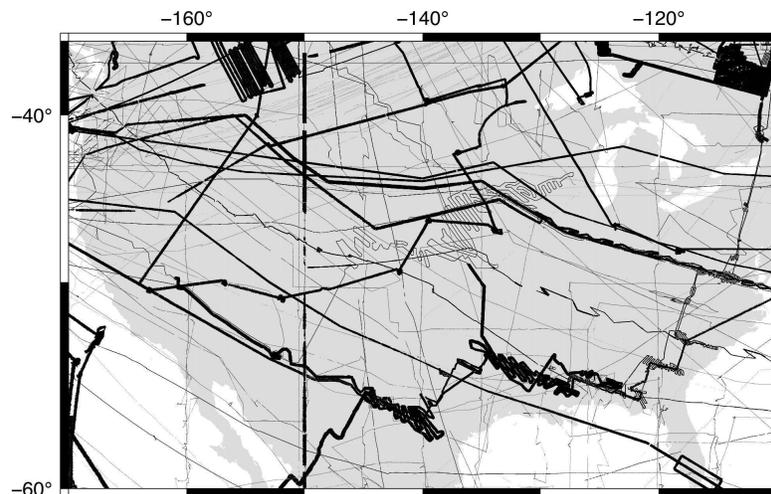
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62 The Seabed 2030 project has made considerable progress over the past few years by
63 increasing the multibeam coverage in public compilations from 11% [e.g., GEBCO 2019;
64 Tozer et al., 2019] to more than 20% today [GEBCO 2021]. Much of this data has been
65 made available by the international community with nearly complete coverage of
66 several exclusive economic zones as well as dense coverage of areas of high scientific
67 interest. The remaining 80% of the seafloor has depth predicted from a combination of
68 spatially dense satellite altimeter gravity measurements and sparse soundings to
69 provide the large-scale shape of the ocean basins as well as to calibrate the local ratio of
70 bathymetry-to-gravity [e.g., *Smith and Sandwell, 1994*]. The spatial resolution of these
71 predicted depths is limited to approximately the mean ocean depth because of the
72 upward continuation smoothing effects from Newton’s law of gravity. The best satellite
73 gravity models available today can only resolve $\frac{1}{2}$ wavelength of 6 km when the regional
74 depth is 4 km [*Tozer et al., 2019*]. Thus, the resolution of gravity-predicted depth is
75 more than 10 times worse than the Seabed 2030 objective.

76

77 There are many remote ocean areas in the southern hemisphere that will probably not
78 be completely mapped at 400 m resolution during this decade and well beyond (e.g.,
79 Figure 1). This study is focused on the development of **SYNthetic BATHymetry**
80 (**SYNBATH**) to fill the gaps. The synthetic bathymetry has the geostatistical properties of
81 real seafloor bathymetry but it is not as accurate as ship soundings. While the synthetic
82 data will be replaced with real soundings as they become available in the future, in the
83 interim such realistic realizations can provide key inputs for a number of important
84 scientific applications. We will discuss such applications, where this synthetic
85 bathymetry is appropriate and valuable, and also discuss uses of synthetic bathymetry
86 that could prove problematic and result in a false impression that Seabed 2030
87 objectives have been achieved.

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90

91 Figure 1. Available ship soundings including single- and multi-beam data in a remote region of the South
 92 Pacific based on the GEBCO 2021 bathymetry [Weatherall et al., 2020] grid superimposed on a map of
 93 North America for scale. There are many areas on this map that are more than 100 km from a depth
 94 sounding. Moreover, removal of the lower resolution single beam soundings would dramatically reduce
 95 the spatial coverage leaving many gaps greater than 400 km.

96

97 There are two types of seafloor features that are not well resolved by satellite gravity.
 98 These are abyssal hills and small seamounts (< 2.5 km tall). As described more
 99 completely in the next section, one can generate synthetic abyssal hills by combining the
 100 measured statistical properties of mapped abyssal hills with regional geology including
 101 fossil spreading rate/orientation, rms height from satellite gravity, and sediment
 102 thickness [Goff and Arbic, 2010; Goff, 2020]. At scales greater than about 6 km $\frac{1}{2}$
 103 wavelength, the location or “phase” of the synthetic hills matches the actual location
 104 based on gravity predicted depth. At shorter scales, the synthetic hills have the correct
 105 power spectral roll-off and orientation but have random locations completely
 106 uncorrelated with the actual abyssal hills. For studies in physical oceanography, creating
 107 hills with the correct height, spectral slope and orientation is more important than hills
 108 having the correct location or phase.

109

110 The second type of unresolved seafloor feature are seamounts less than about 2.5 km
 111 tall [Menard, 1964; Staudigel et al., 2010; Kim and Wessel, 2011; 2015]. Because of
 112 significant improvements in the accuracy and resolution of the satellite gravity since the

113 *Kim and Wessel* [2011] study, it is now possible to detect seamounts taller than about
 114 800 m [*Gevorgian et al.*, 2021] and their location can be determined to an accuracy of
 115 better than 1 km. However, the width of the gravity bump is much greater than the
 116 actual width of the seamount. Therefore, the seamount predicted from gravity will
 117 underestimate the seamount height and overestimate its base dimension. This results in
 118 a seamount flank slope that may be 10 times smaller than the actual slope [*Becker and*
 119 *Sandwell*, 2008]. As in the case of abyssal hills, the magnitude of the slope of the
 120 seamount influences the paths of currents as well as the generation of eddies and
 121 internal waves. The studies by *Smith* [1988] and *Gevorgian et al.*, [2021] have used
 122 depth soundings to characterize the shapes of smaller seamounts. In this study we use
 123 the amplitude of the vertical gravity gradient (VGG) to estimate the mass of the
 124 seamount and then use their characteristic shape to replace the smooth predicted
 125 seamount with a Gaussian seamount having a more realistic shape.

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127

128 *Modeling Abyssal Hills*

129 We use the model of *Goff and Jordan* [1988; 1989] to generate synthetic abyssal hills;
 130 the power spectrum of the topography has the following functional form

131

132

$$P(k_x, k_y) = \frac{\pi h_{rms}^2}{\nu k_n k_s} \left[\frac{k_h^2}{k_s^2} \cos^2(\theta - \theta_s) + \frac{k_h^2}{k_n^2} \sin^2(\theta - \theta_s) \right]^{-(\nu+1)} \quad (1)$$

133

134

135

136 where (k_x, k_y) is the 2-D wavenumber, $k_h = (k_x^2 + k_y^2)^{1/2}$ is the magnitude of the 2-D

137 wavenumber, h_{rms} is the rms of the abyssal hill height, (k_s, k_n) are the characteristic

138 wavenumbers for the abyssal hills in the strike and normal directions, respectively, θ_s is

139 the azimuth of the strike of the abyssal hills and ν is the Hurst number (~ 0.9) that

140 determines the rate of spectral roll-off. The five parameters $(h_{rms}, k_s, k_n, \theta_s, \nu)$ vary
141 geographically depending on the geological setting at the time of the formation of the
142 abyssal hills [Goff, 2020]. In addition, as the plate ages, sediments can partially or fully
143 cover the hills which reduces their visible height.

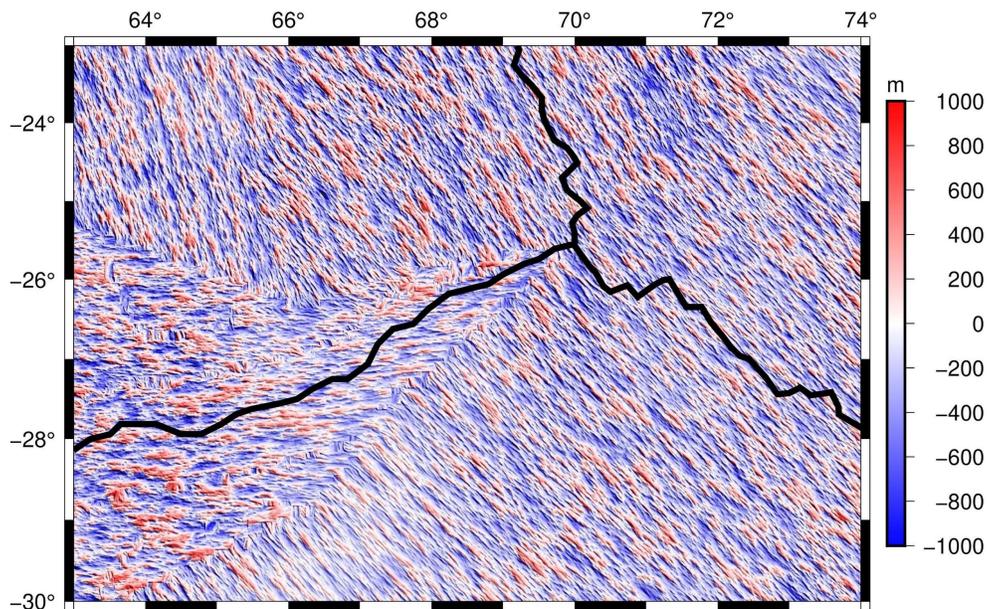
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145 The rms height h_{rms} of the hills is taken from the most recent analysis [Goff, 2020] of the
146 altimeter-derived gravity anomaly [Sandwell *et al.*, 2019]. RMS height was reduced in
147 sediment covered areas by a factor of 0.1 times the sediment thickness [Straume *et al.*,
148 2019]. The characteristic wavenumbers (k_s, k_n) and Hurst number ν were taken from
149 the analysis of Goff [2010] and the orientation of the abyssal hills θ_s is from the recent
150 global age compilation of Seton *et al.* [2020].

151

152 To replace the predicted bathymetry with more realistic abyssal fabric, we first prepare
153 the 5 global parameter grids (+/- 74 degrees latitude) to have consistent spatial
154 coverage. This was done by extending the grids of $(k_s, k_n, \theta_s, \nu)$ and then tapering the
155 rms height grid h_{rms} to go smoothly to zero on its perimeter. As in the previous studies
156 [e.g., Goff and Arbic, 2010], we populate a 30 arcsecond global grid with uniform
157 random cell values. A 2-D spatial filter is calculated from the inverse transform of the
158 spectral model in equation 1 at each cell location and convolved with the random grid; a
159 new filter is computed at each grid cell to accommodate the spatial variations in the 5
160 parameters. This operation is equivalent to inverse Fourier transformation of the
161 product of the amplitude spectrum with a random phase spectrum. However, though
162 computationally far more efficient, this alternative does not allow for the imposition of
163 the statistical heterogeneity that is critical for our purposes. The resulting synthetic
164 bathymetry (e.g., Figure 2) is added to a previous iteration of global depth to make a
165 new synthetic bathymetry data set. We then perturb the global predicted bathymetry
166 model to exactly match these synthetic data using a standard remove/grid/restore
167 approach. A spline in tension gridder is used [Smith and Wessel, 1990]. This becomes an

168 updated predicted depth that is used in a second remove/grid/restore using the real
 169 sounding data. The final result exactly matches the real soundings where they exist and
 170 blends smoothly into the updated predicted depth in the data voids. The fully
 171 sedimented areas and areas with no abyssal hill predictions have depth based entirely
 172 on sparse soundings and the gravity prediction.



173

174

175 Figure 2. Example of synthetic abyssal hills around the Indian Ocean Triple Junction illustrate their
 176 variation with spreading direction and rate.

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178

179 *Mapping Seamounts*

180 Although multibeam sonar is best suited for mapping smaller seamounts, satellite
 181 altimetry can be used to find larger seamounts (> 700 m) through disturbances in
 182 Earth's gravity field. These perturbations are due to the difference in density between
 183 basalt and seawater. There are four main errors and uncertainties that arise from
 184 satellite altimetry: upward continuation, measurement noise, seafloor roughness, and
 185 sediment cover [Wessel *et al.*, 2010]. The first global seamount maps (8556 seamounts)
 186 were created from widely-spaced Seasat altimeter profiles [Craig and Sandwell, 1988].
 187 Since the Seasat mission there have been a number of altimeter missions that have
 188 greatly improved the accuracy and coverage of the gravity field [Wessel, 2001, 14639

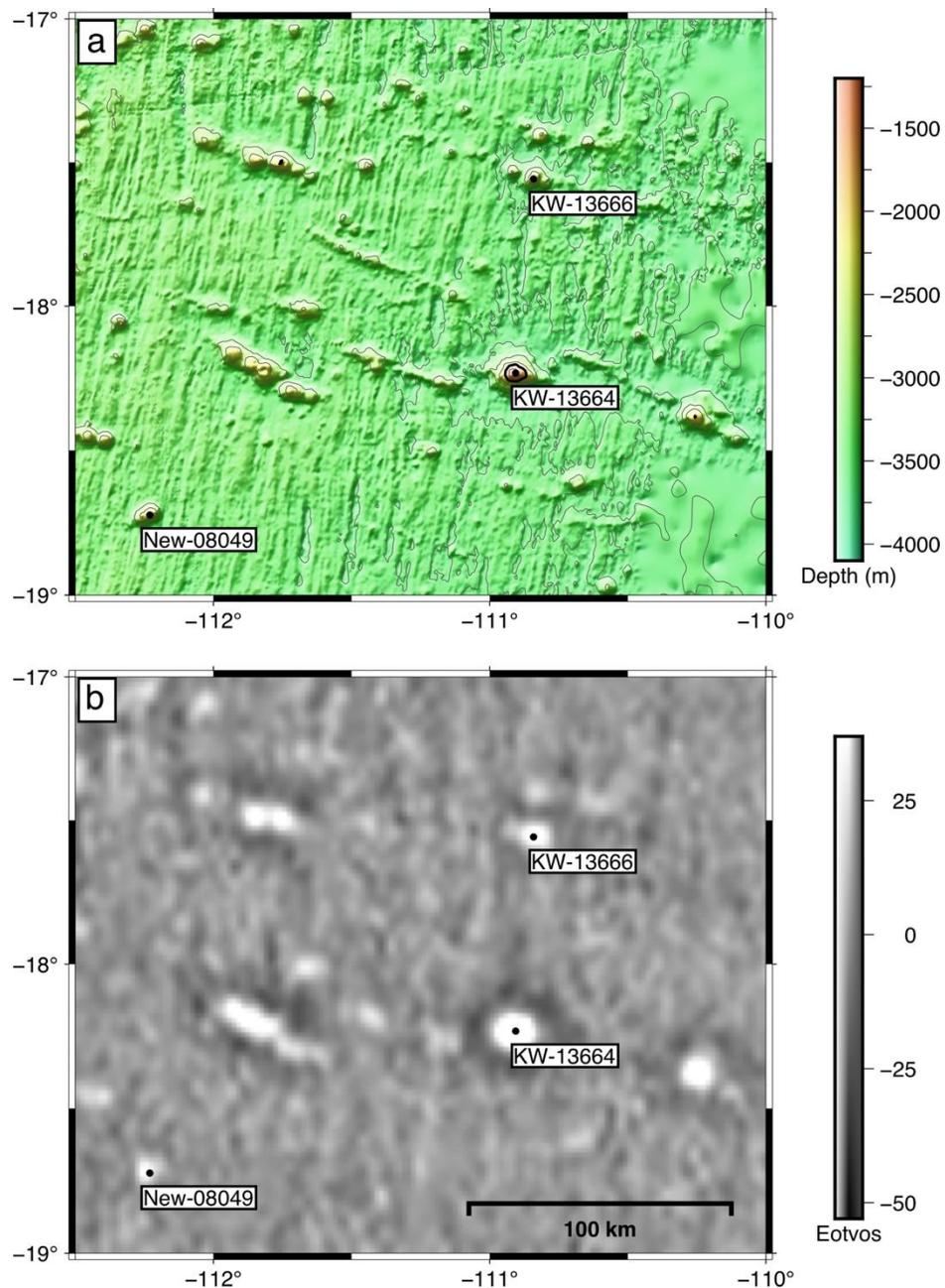
189 seamounts]. This has enabled the construction of the vertical gravity gradient (VGG)
190 which is a spatial derivative of the gravity field [e.g., *Wessel, 1997*]. This spatial
191 derivative amplifies short wavelengths and suppresses long wavelengths so it is a
192 valuable tool for locating smaller features on the ocean floor [*Kim and Wessel, 2011;*
193 *2015*]. However, the spatial derivative also amplifies short wavelength noise which limits
194 seamount detectability. The recently released VGG has significantly lower noise levels
195 because of new altimeter data from CryoSat-2, SARAL/AltiKa, Jason-1/2 and the
196 Sentinel-3a/b missions [*Sandwell et al., 2014; 2019*]. After comparing the old and new
197 VGG, it was found that the signal to noise ratio has increased by at least a factor of 2,
198 indicating that multiple altimetry sources can improve gravity data and help find
199 unmapped features on the ocean floor.

200

201 *Gevorgian et al., [2021]* have used the latest version of the VGG model [*Sandwell et al.,*
202 *2019*] to update the global seamount catalog of *Kim and Wessel [2011; 2015]*. The
203 original KW catalog had 24643 seamount identifications. The new analysis was
204 performed in 4 steps using the display and digitization features in Google Earth: 1) The
205 VGG was displayed as a grey-scale image with black-to-white saturation set at -53 to +38
206 Eotvos units. 2) Known tectonic features [*Matthews et al., 2011*] were plotted as lines.
207 3) The KW15 catalog was also plotted as points. 4) *Gevorgian et al., [2021]* visually
208 identified circular anomalies in the VGG in the deep ocean ($> \sim 500$ m) away from known
209 and well-mapped tectonic features. The lower noise level in the latest VGG grid enabled
210 the identification of circular anomalies as small as 5 Eotvos which is about $\frac{1}{2}$ the
211 threshold of the KW15 analysis. *Gevorgian et al., [2021]* found 10796 previously
212 unidentified seamounts and also determined that 513 seamounts in the KW15 catalog
213 were mis-identifications. The revised KW catalog has 24129 seamounts so the total
214 seamount count is 34925. Figure 3 shows a region on the eastern flank of the East
215 Pacific Rise where there is complete multibeam coverage. The VGG image shows
216 numerous circular anomalies associated with small seamounts. We use these to
217 develop a method of estimating seamount height and radius from the VGG anomaly.

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221

222 Figure 3. (a) Bathymetry on the eastern side of the East Pacific Rise (EPR) where three seamounts have
 223 been mapped by multibeam sonar. The two labeled KW are from the Kim and Wessel [2011] catalog while
 224 the seamount labelled New-08049 is from *Gevorgian et al.*, [2021]. (b) VGG in the same area showing
 225 three seamounts that are relatively circular.

226

227 *Modeling Seamounts*

228 Previous studies have found that small seamounts are typically circular in planform and
 229 have a fixed height to base ratio largely independent of height [Smith and Jordan, 1988;

230 *Wessel, 2001*]. *Smith* [1988] studied bathymetry profiles across 85 seamounts and found
 231 they could be fit by a flattened cone having a height to base radius ratio of ~ 0.21 and a
 232 flattening of 0.15. More recently, *Gevorgian et al.*, [2021] studied 739 seamounts having
 233 good bathymetry coverage and found they are best fit by a Gaussian function

234

$$235 \quad h(r) = h_o e^{\frac{-r^2}{2\sigma^2}} \quad (2)$$

236

237 where h_o is the seamount height, r is the radius from the center of the seamount, and

238 σ is the characteristic width of the seamount. Their analysis found a high correlation

239 between seamount radius and slope such that that $\sigma = 2.4h_o$. This corresponds to a

240 maximum seamount slope of 0.25 independent of seamount height. Here we use this

241 model, along with the observed VGG, to estimate the shape of each seamount. There

242 are several parameters that go into this gravity modeling including mean ocean depth

243 surrounding the seamount d_o , seamount density relative to seawater $\Delta\rho$, crustal

244 thickness, elastic thickness, mantle density, and seamount height h_o . We show next

245 that for seamounts $< \sim 2$ km in height, the VGG is insensitive to the elastic thickness so

246 we can assume the seamounts are uncompensated. In this case the mean crustal

247 thickness and mantle density are not needed.

248

249 This insensitivity to elastic thickness is easily verified using the *grdseamount* and *gravfft*

250 modules in Generic Mapping Tools (GMT) [*Wessel et al.*, 2019]. A typical seamount,

251 shown in Figure 4, has a height of 1500 m, a $\sigma = 2.4h_o$, a density of 2700 kg m^{-3} , and a

252 base depth of 4000 m. To assess the effects of elastic thickness, we computed the VGG,

253 including 3 nonlinear terms in the *Parker* [1973] expansion. For an elastic thickness T_e of

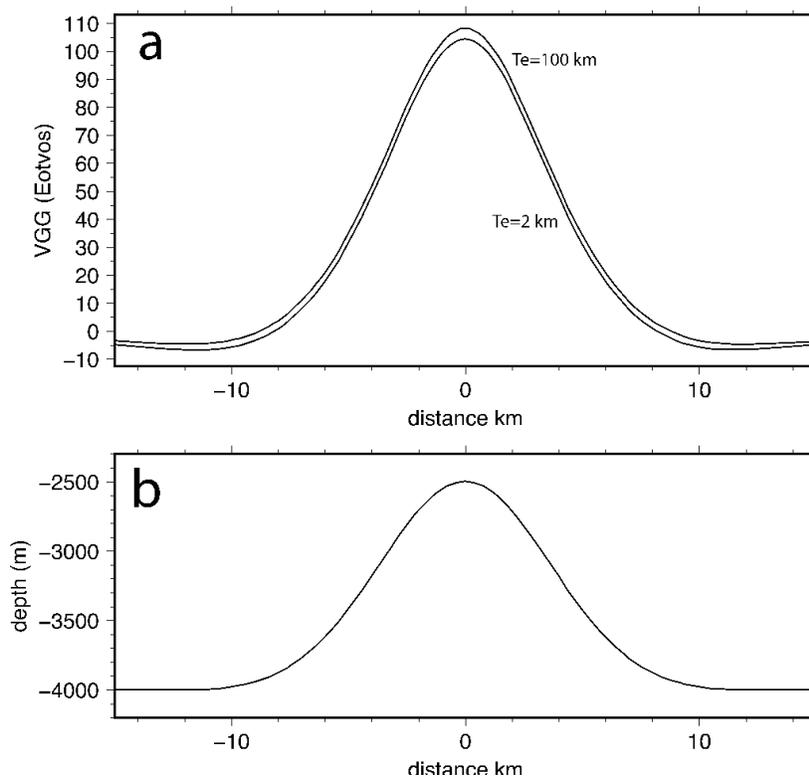
254 2 km as well as 100 km (uncompensated), the two VGG models have almost equal

255 signatures so we can assume small seamounts are uncompensated as in [*Watts et al.*

256 2006].

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258



259

260 Figure 4. Vertical gravity gradient (VGG - a) computed from a Gaussian seamount (b) that is 1500 m tall,

261 has a $\sigma = 2.4h_o$. The two VGG curves, which are very similar, correspond to well compensated

262 topography ($T_e = 2$ km) and uncompensated topography ($T_e = 100$ km).

263

264 Based on this calculation the remaining free parameters are seamount height, seamount

265 density, and base depth. The base depth is calculated from the median depth in a 90 km

266 by 90 km area surrounding the seamount to be modelled.

267

268 To further test the validity of this simple model for a small seamount we analyzed

269 topography and VGG for three seamounts in an area of the southern East Pacific Rise

270 where there is complete multibeam coverage (Figure 3). Two of the seamounts are

271 from the *Kim and Wessel* [2011] compilation while the third is recently identified in the

272 VGG [*Gevorgian et al.*, 2021]. The basic characteristics of the seamounts are provided in

273 Table 1.

274

275 Table 1. Characteristics of modeled seamounts

label	lon	lat	base depth(m)	seamount height (m)	gravity (mGal)	VGG (Eotvos)
KW-13664	-110.90	-18.23	3461.5	2140	53.4	143.7
KW-13666	-110.84	-17.54	3409.5	1343.5	18.5	50.8
New-08049	-112.23	-18.71	3255.5	1209.5	12.9	44.7

276

277 Using these well surveyed seamounts, we can perform forward modeling to establish
 278 the density that provides the best fit. From the observed topography we calculate the
 279 VGG and compare with the observations. An additional low-pass filter, with a
 280 wavelength of 16 km, was applied to the model VGG to match the low-pass filtering that
 281 was used to construct the VGG data [Sandwell *et al.*, 2019]. Table 2 shows the median
 282 absolute deviation (L1-norm) as a function of seamount/crustal density for each of the
 283 three seamounts. We find that the misfit is not very sensitive to the density. A much
 284 more extensive study by Watts *et al.* [2006] using 9752 seamounts shows the best
 285 density is 2800 kg m^{-3} . This value is consistent with our results from modeling just three
 286 small seamounts.

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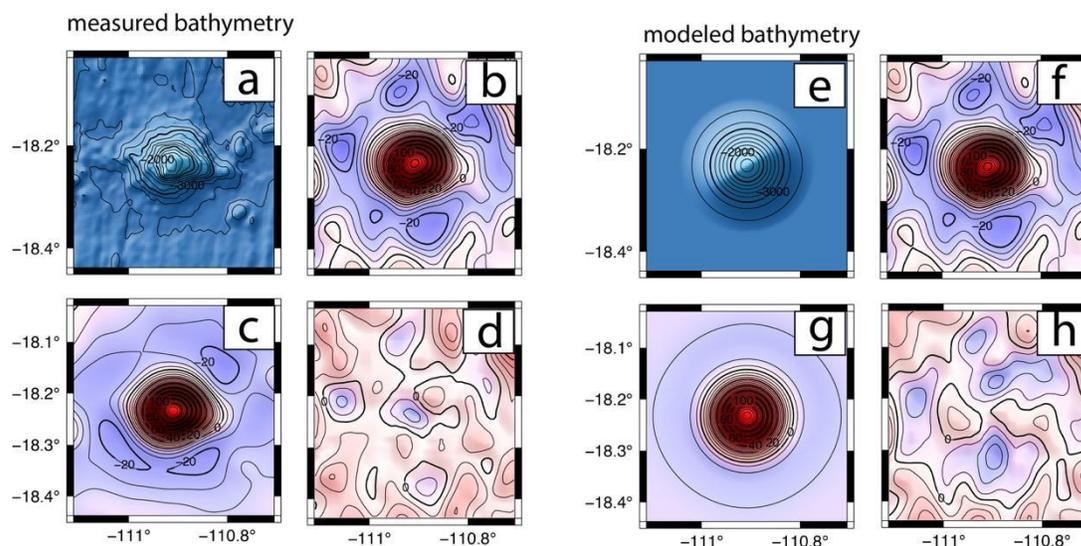
288 Table 2. Misfit (L1-norm) versus seamount density in Eotvos

	2650 kg m^{-3}	2700	2750	2800	2850	2900	no model
KW-13664	4.51	4.34	4.33	4.43	4.50	4.56	10.49
KW-13666	4.35	4.28	4.19	4.19	4.20	4.21	8.74
New-08049	4.49	4.51	4.53	4.54	4.54	4.45	5.51

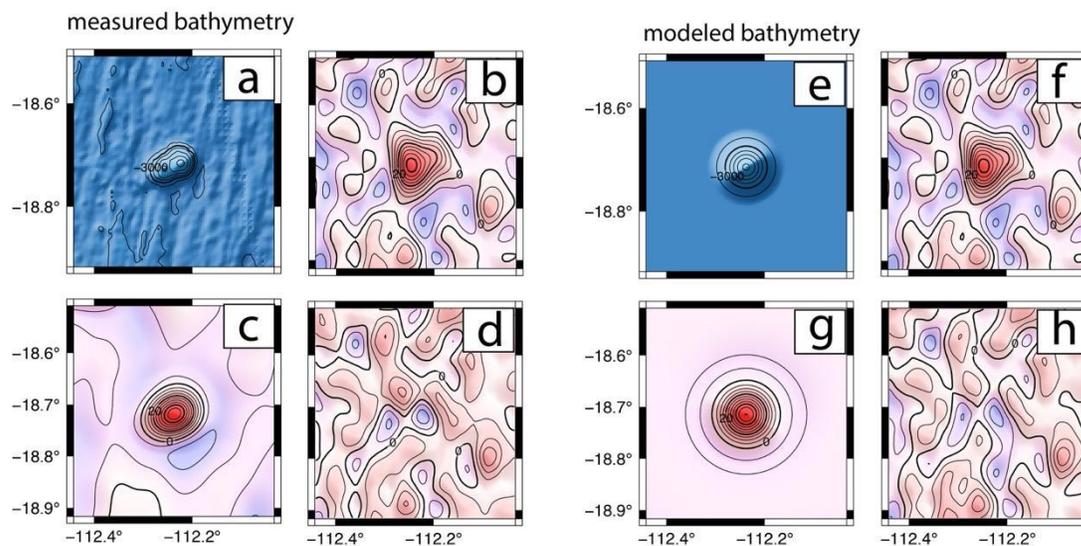
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290 An example of the fit of the model to the largest of the three seamounts is shown in
 291 Figure 5. The model based on topography with a density of 2800 kg m^{-3} provides an
 292 excellent fit to the VGG data. As a final check we generated VGG models using a
 293 Gaussian approximation to the actual seamount topography for large and small
 294 seamounts in the region. These results, shown in Figures 5 and 6, demonstrate that the
 295 VGG from a Gaussian seamount is a good match to the VGG from the actual seamount
 296 topography. The important parameter is the seamount height. Since most seamounts

297 are uncharted we will use this approach, with a Gaussian shaped seamount, to generate
 298 synthetic seamounts in unmapped areas.



299
 300 Figure 5. (a) Bathymetry of the largest of the three seamounts, KW-13664 (200 m contours). (b, f)
 301 Measured VGG for seamount (5 Eotvos contours). (c) Model VGG using a density of 2800 kg m^{-3} . (d)
 302 Difference between observed VGG and model VGG (L1 4.43 Eotvos, 5 Eotvos contours). (e) Model
 303 bathymetry using a Gaussian seamount (200 m contours). (g) Model VGG for Gaussian model bathymetry.
 304 (h) Difference between observed VGG and Gaussian model VGG (L1 7.04 Eotvos, 5 Eotvos contours).
 305



306
 307 Figure 6. (a) Bathymetry of the smallest of the three seamounts, New-08049 (200 m contours). (b+f)
 308 Measured VGG for seamount (5 Eotvos contours). (c) Model VGG using a density of 2800 kg m^{-3} . (d)

309 Difference between observed VGG and model VGG (L1 4.54 Eotvos, 5 Eotvos contours). (e) Model
310 bathymetry using a Gaussian seamount. (g) Model VGG for Gaussian bathymetry (200 m contours). (h)
311 Difference between observed VGG and conical model VGG (L1 5.13 Eotvos, 5 Eotvos contours).

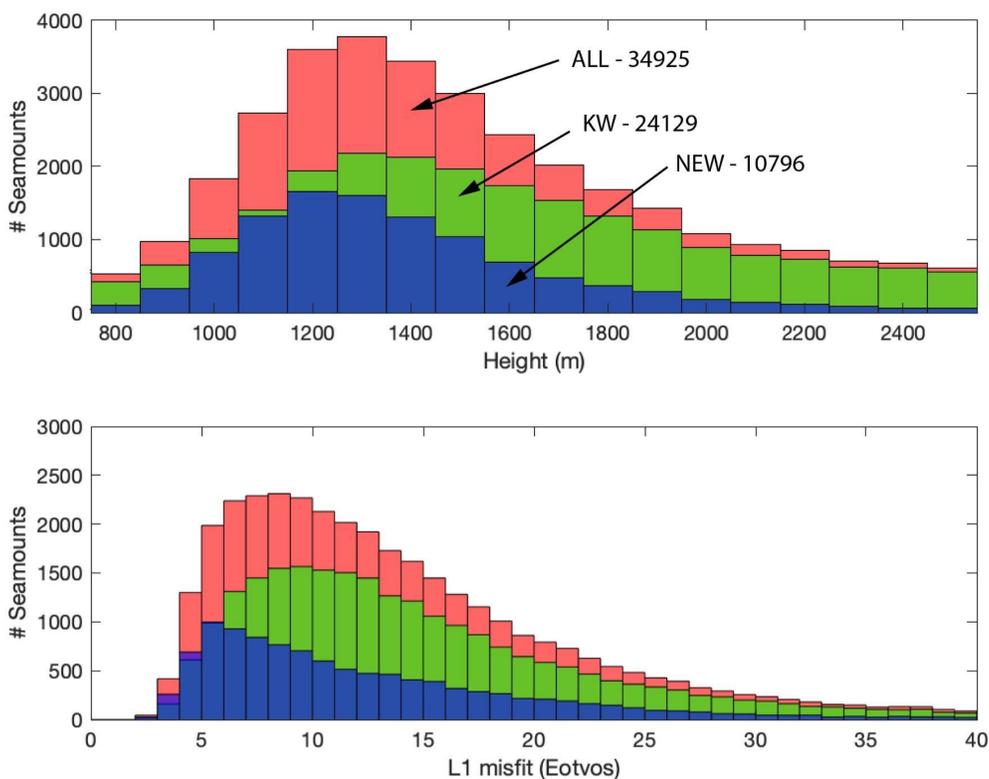
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313 Based on this analysis, we estimated heights for 34925 seamounts in the updated
314 catalog basically using the method of *Wessel*, [2001]. This was done by extracting a 90
315 km by 90 km grid of VGG and SRTM15 (V2.3) depth data centered on each seamount.
316 The base depth was computed from the median depth and a Gaussian seamount
317 (equation 2) was superimposed on this base depth using $\sigma = 2.4h_o$, a density of 2800 kg
318 m^{-3} . The VGG model, generated using *gravfft* in GMT, was low-pass Gaussian filtered at
319 16 km wavelength to match the low-pass filter applied to the VGG data. Finally the L1
320 norm difference between the model and data VGG was computed for a 33 by 33 km
321 area centered on the seamount. This modeling was repeated for seamount heights
322 ranging from 700 to 2600 m in steps of 100 m. The model with the lowest misfit was
323 selected as the height. A histogram of the number of seamounts versus their estimated
324 height is shown in Figure 7 (top). A histogram of seamounts versus the L1 norm of the
325 misfit is shown in Figure 7 (bottom). For all seamounts, the number increases with
326 decreasing seamount height until 1300 m when the number decreases at smaller
327 heights. Our results show that the KW analysis, using noisier VGG data, captured most
328 seamounts taller than about 1500 m. The new analysis found many more seamounts
329 with heights between 1100 and 1500 m. Most of the VGG models have misfits between
330 5 and ~20 Eotvos. The new seamounts are generally smaller and have lower L1-misfit.
331 For the remainder of this study we exclude all seamounts with heights greater than
332 2500 m and less than 800 m since they are at the ends of the histogram. We also reduce
333 the height of any seamount having a depth shallower than -100 m to force the model
334 summit depth of -100 m. In other words we don't want to create any false islands or
335 atolls although these cases may be interesting places to survey with multibeam. This
336 results in 31602 modeled seamounts.

337

338 We investigate how many of these seamounts are constrained by depth soundings by
339 using the SRTM15 V2.3 source identification grid (SID) to locate all the seamounts

340 having at least one sounding within 3 km of the center of the seamount. This resulted in
 341 11879 seamounts that are at least partly constrained by a real depth sounding and
 342 19723 seamounts that are completely uncharted.
 343



344
 345 Figure 7 (top) Histogram of the seamount height based on our analysis of all seamounts (red), KW
 346 seamounts (green), and new seamounts (blue). (bottom) Histogram L1 misfit of model VGG to each
 347 seamount.

348

349 *Results*

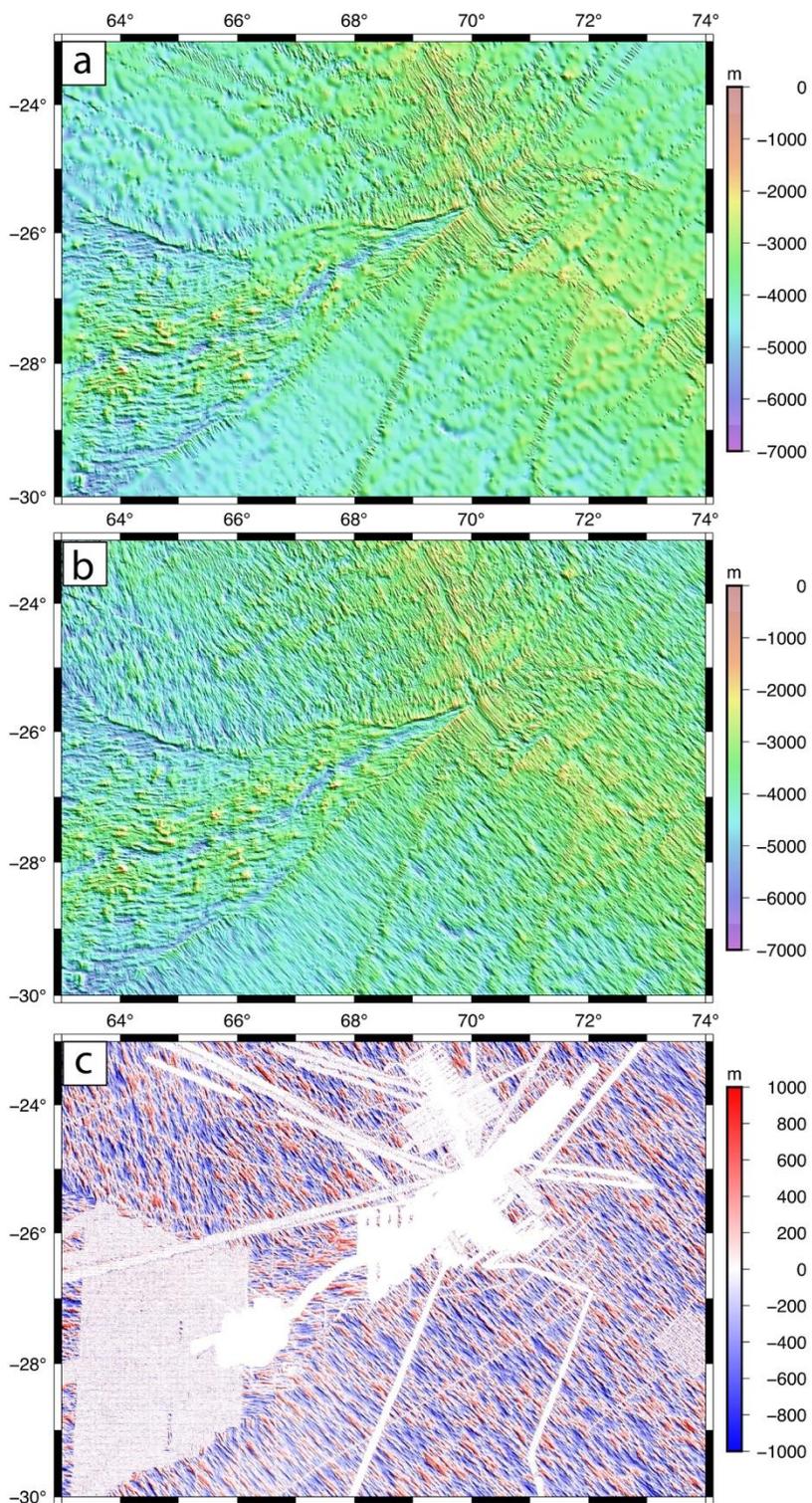
350 Prior to filling the gaps with synthetic bathymetry, we assembled new sounding data not
 351 available for the *Tozer et al.*, [2019] study. The latest V2.3 of the SRTM15+ grid includes
 352 905 new multibeam sonar cruises that are archived at the National Center for
 353 Environmental Information [<https://www.ngdc.noaa.gov/mgg/bathymetry/multibeam.html>].
 354 These were processed with MB-System [*Caress and Chayes, 2008*] to remove outer
 355 beams and flatten the rails of the innermost beams, and subsequently used to update
 356 the 15-arcsecond grid. We performed 3 iterations of visual editing of bad soundings

357 (~700 edits) to prepare the grid as the base layer for the GEBCO 2022 global grid. In
358 addition we obtained 9 large composite grids from IFREMER that greatly improved the
359 bathymetry coverage of the Gulf of Aden [*Hebert et al.*, 2001], the Lesser Antilles
360 volcanic arc [*Talbot and Loubrieu*, 2020], French Guiana margin [*Loubrieu*, 2019], the
361 Rodrigues triple junction [*Mendel et al.*, 2000], the Kerguelen plateau [*Loubrieu*, 2019],
362 Reunion island [*Sisvath et al.*, 2011], Saint-Paul and Amsterdam Islands [*Loubrieu et al.*,
363 2020], the Southwest Indian ridge [*Sauter and Mendel*, 2000], and the North Fiji basin
364 [*Ruellan*, 2001].

365

366 Gaps in the SRTM15+ grid were filled with synthetic bathymetry to create SYNthetic
367 BATHymetry (SYNBATH V1.2). An example of the enhancement related to just abyssal
368 hills is shown in Figure 8. The upper plot shows the standard SRTM15+ grid with the
369 combination of single- and multibeam bathymetry and smooth gravity-predicted depths
370 filling the gaps. The Indian Ocean triple junction at 70° longitude and -25.7° latitude is
371 the intersection of the Central Indian ridge (CIR) to the north, the Southeast Indian ridge
372 (SEIR), and the Southwest Indian ridge (SWIR). The flanks of each ridge have ridge-
373 parallel abyssal hills as seen in the available multibeam bathymetry. The slower
374 spreading SWIR has left a V-shaped scar on the seafloor where there is a nearly 90°
375 degree change in the orientation of the abyssal hills reflecting the change in age
376 gradient [e.g., *Seton et al.*, 2020]. The center plot shows the SYNBATH bathymetry which
377 is identical to the SRTM15+ bathymetry where there are real ship soundings and has
378 synthetic abyssal hills in the gaps. The boundaries between the actual and synthetic
379 bathymetry are difficult to observe. One would expect a sharp change in the “phase” of
380 the abyssal hills across these boundaries. However, a part of the synthetic abyssal fabric
381 contains a correct-phase pattern that is derived from the gravity prediction. Figure 8c
382 shows the difference between the SYNBATH and SRTM15+ bathymetry grids. The
383 difference is zero at grid cells constrained by ship data and matches the synthetic
384 abyssal hills (Figure 2) in the gaps.

385



386

387

388 Figure 8. Bathymetry of Indian Ocean Triple Junction. (a) Based on multi- and single-beam soundings and
 389 gravity-predicted bathymetry to fill the gaps. (b) Based on multi- and single-beam soundings and synthetic
 390 abyssal hills superimposed on gravity-predicted bathymetry to fill the gaps. (c) Difference shows that the

391 two methods are identical where measured soundings are available and have synthetic abyssal hills in
 392 other areas.

393

394 An example of the enhancement primarily related to small seamounts is shown in Figure
 395 9 for a poorly charted region just south of the Galapagos spreading ridge. The smaller
 396 predicted seamounts, having no bathymetry soundings, are short and wide (Figure 9a).

397 The sharpened seamounts are tall and narrow following the shape of the Gaussian

398 model $\sigma = 2.4h_o$ (Figure 9b). The difference between these two models (Figure 9c)

399 shows the combined effects of added abyssal fabric and sharpened seamounts. In areas
 400 where there are actual multibeam depth soundings, the two models agree.

401

402 Since there are many steps in constructing this SYN BATH bathymetry at 15 arcseconds
 403 we provide a brief overview. There is a common *polishing* technique used each time a
 404 new data layer is added so we first describe that remove/grid/restore approach as
 405 follows: 1) assemble some new data (e.g. real soundings or synthetic hills or seamounts;
 406 2) remove the previous model from each new data point; 3) identify spatial gaps (> 20
 407 km from a new data point) and add zero-valued data points at these locations; 4) use
 408 the GMT *surface* module with a tension of 0.6 and a convergence limit of 1 m and up to
 409 200 iterations; 5) add the previous model so the result exactly fits the new data. Given
 410 this common *polishing* approach the overall construction method is:

411

- 412 1) Use the gravity prediction method described in *Smith and Sandwell* [1994] and
 413 updated in *Tozer et al.*, [2019] to make a global 1-minute bathymetry.
- 414 2) Polish that bathymetry using actual soundings.
- 415 3) Use the 1-minute base depth to generate synthetic abyssal hill data and
 416 synthetic seamount data. So the model from step 2) provides the base depth for
 417 both the hills and seamounts. Also note the synthetic seamount data extend
 418 only 1.5σ from the seamount center. This promotes better blending of the
 419 synthetic seamounts into the regional bathymetry while retaining the data
 420 having maximum slope which occur at 1.0σ .

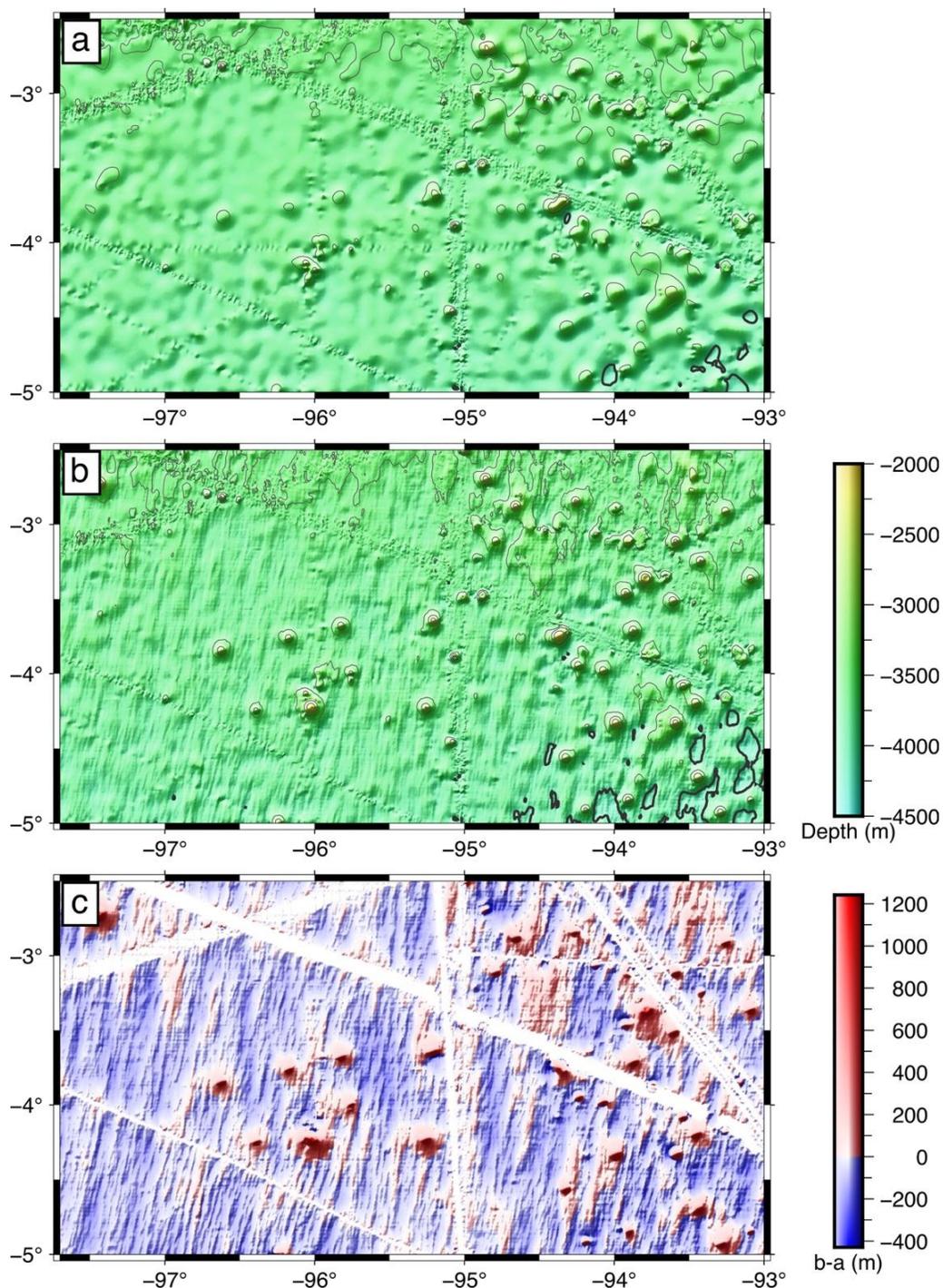
- 421 4) Create a 15-arcsecond grid following the methods in *Tozer et al.*, [2019].
422 5) Polish the step 4) grid with synthetic abyssal hills.
423 6) Polish the step 5) grid with synthetic seamounts.
424 7) Polish the step 6) grid with all the real soundings.
425 8) Combine the land topography data with the grid from 7).

426

427 Note this is a rather complex recipe. However, it is designed to inherit the long-
428 wavelength shape of the ocean basins from original depth soundings. The satellite-
429 derived gravity is used next to update the bathymetry in the 160-16 km wavelength
430 band. Short wavelengths between 16 and 1 km are updated with synthetic abyssal hills.
431 This is followed by an update using the Gaussian seamounts which, as in the real world,
432 overprint the abyssal hills. Finally, the grid is polished using real depth soundings.

433

434



435

436

437 Figure 9. Bathymetry of an area south of the Galapagos spreading ridge. (a) Based on multi- and single-
 438 beam soundings and gravity-predicted bathymetry to fill the gaps. (b) Based on multi- and single-beam
 439 soundings, synthetic abyssal hills and sharpened seamounts superimposed on gravity-predicted
 440 bathymetry to fill the gaps. (c) Difference shows that the two methods are identical where measured
 441 soundings are available and have synthetic abyssal hills in other areas.

442

443

444

445 *Uses of Synthetic bathymetry*

446

447 This synthetic bathymetry has some appropriate uses as well as some uses that are
448 inappropriate (Table 3) so it will be important to educate the users on how to use the
449 product. A significant danger is that the general public could examine the synthetic
450 bathymetry using a graphical tool such as Google Earth and conclude that the seafloor
451 has been completely mapped at ~500 m resolution. Therefore, there must be an
452 additional graphical layer, or style, to indicate what is real and what is synthetic.

453

454 The applications where this product is **not useful** are mainly aligned with GEBCO
455 applications. These include seafloor geography and feature names. All of these synthetic
456 features lie at base depths greater than ~1000 m so they are irrelevant for any kind of
457 navigation except the 149 seamounts extending to within 200 m of the sea surface;
458 these need to be flagged with red dots and eventually surveyed [
459 [https://www.star.nesdis.noaa.gov/star/documents/meetings/extReview/presentations/](https://www.star.nesdis.noaa.gov/star/documents/meetings/extReview/presentations/5CommTrans/CT2_WSmith.ppt)
460 [5CommTrans/CT2_WSmith.ppt](https://www.star.nesdis.noaa.gov/star/documents/meetings/extReview/presentations/5CommTrans/CT2_WSmith.ppt)]. This product is not useful for establishing the
461 boundaries of the outer continental shelves for the law of the sea. The product is not
462 useful for any kind of detailed deployment of seafloor instrumentation although it can
463 provide a regional sense of seafloor roughness at scales larger than 1 km. The synthetic
464 bathymetry is entirely confined to the deep ocean where sediments are thin so has no
465 use for any applications on the continental margins.

466

467 There are three applications where this product is **marginally useful**. (1) In terms of
468 education and outreach it could provide misinformation that we are done mapping the
469 deep oceans. However, like the early hand-drawn bathymetric maps from *Heezen and*
470 *Tharp* [1959] and GEBCO [Hall, 2006], the realistic synthetic bathymetry could inspire
471 students to better understand marine geology and plate tectonics. (2) The product could
472 help with establishing a range of possible tsunami propagation models based on

473 statistical realizations of abyssal fabric and seamounts [*Sepulveda et al.*, 2020]. (3) It
 474 could be useful for understanding habitats over unmapped, moderately large
 475 seamounts.

476

477 The synthetic bathymetry is **most useful** for studies where a realistic seafloor roughness
 478 is needed. This includes models of ocean circulation [*Adcroft et al.*, 2004; *Chassignet et*
 479 *al.*, 2007] and internal wave generation, dissipation, and mixing driven by tidal and other
 480 low-frequency flows over the rough bottom [*Goff and Arbic*, 2010; *Polzin et al.*, 1997;
 481 *Gille et al.*, 2000; *Jayne and St. Laurent*, 2001; *Egbert and Ray*, 2003]. In addition, rough
 482 seafloor affects the propagation of acoustic waves [e.g., *Mckenzie*, 1961; *Chin-Bing et*
 483 *al.*, 1994]. The product could be useful for plate tectonic studies since one can see where
 484 the abyssal hill fabric disagrees with nearby multibeam mapping which will provide data
 485 on how to revise tectonic models. Finally, the product could be useful for planning
 486 shipboard surveys of seamounts and volcanic ridges as well as a tool for planning the
 487 optimal ship path for mapping rough seafloor.

488

489

490

491 Table 3. Appropriate applications of synthetic bathymetry

application	yes	maybe	no
seafloor geography and feature names			X
navigation			X
law of the sea			X
fiber optic cable route planning			X
coastal tide model improvements			X
education and outreach	X		X
tsunami propagation and hazard models		X	
fisheries management		X	
hydrodynamic tide models and tidal friction	X		
ocean circulation models	X		
tidal role in ocean mixing	X		

plate tectonics	X		
planning shipboard surveys	X		

492

493

494 *Conclusions and Future Improvements*

495

496 Our major conclusions are:

- 497 ● Bathymetry predicted from satellite altimeter-derived gravity cannot resolve the
498 small-scale fabric of the deep ocean associated with abyssal hills and seamounts.
499 There are many remote areas that will not be mapped by ship in this decade.
- 500 ● We extend two methods to fill these gaps with higher resolution synthetic
501 bathymetry using information on the tectonics, geology, and sediment distribution in
502 the deep oceans.
- 503 ● Synthetic abyssal hills are generated using an anisotropic statistical model based on
504 high resolution multibeam surveys in a variety of tectonic settings. The orientation of
505 the hills uses the latest seafloor age maps.
- 506 ● Small seamounts > 700 m tall can be accurately located in satellite-altimeter derived
507 gravity but their shape cannot be resolved. We use ~800 well-surveyed small
508 seamounts to calibrate the expected shapes of and create synthetic bathymetry for
509 all seamounts in the 800-2500 m height range.
- 510 ● These two synthetic data sets are used to add a small-scale bathymetry component
511 (1-16 km) to the global predicted depth. This provides a new starting model for a
512 remove/grid/restore re-gridding of available single and multibeam ship soundings.
- 513 ● We generate two global bathymetry/topography products at 15 arcseconds using
514 identical ship soundings. The SRTM15+ product has gaps filled with smooth predicted
515 bathymetry and serves as the base layer for the 15 arcsecond GEBCO grid. The
516 SYN BATH product has gaps filled with synthetic bathymetry from abyssal hills and
517 seamounts superimposed on the smooth predicted bathymetry.
- 518 ● The SRTM15+ product is suitable for applications in seafloor geography, law of the
519 sea, seafloor instrumentation and cables and highlighting the need to fill the gaps
520 before 2030.

521 • The SYN BATH product is suitable for any application where an accurate seafloor
522 roughness is needed such as modeling ocean currents and tidal friction and the
523 generation and dissipation of internal waves.

524

525 In the future we plan to continue to improve the resolution of gravity-predicted depth
526 as well as to work with GEBCO to assemble more multibeam sounding data. With these
527 efforts we can roll-back the spectral and spatial contributions of the synthetic
528 bathymetry. Ka-band altimeters such as SARAL/AltiKa and SWOT promise a dramatic
529 improvement in marine gravity/bathymetry accuracy and resolution.

530

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536 official National Oceanic and Atmospheric Administration or U.S. Government position,
537 policy, or decision.

538

539 *Open research and data availability* - The global bathymetry grids, the global VGG grid,
540 the characteristics of the 35,000 seamounts, and Google Earth overlays are all available
541 at <https://topex.ucsd.edu/pub/>. The VGG grids and overlays are in the
542 global_grav_1min folder, the synthetic bathymetry and products are in the synbath
543 folder, and the SRTM15+ bathymetry and products are in the srtm15_plus folder.
544 Figures and most calculations were performed using GMT ([https://www.generic-
545 mapping-tools.org](https://www.generic-mapping-tools.org)) and MATLAB (<https://www.mathworks.com/products/matlab.html>
546). Since 1994 we have stored previously published results in open archives at NGDC,
547 NCEI, and Figshare. We also have all archived versions of our global grids in one location
548 <https://topex.ucsd.edu/pub/archive/>. We will be happy to use any data repository that
549 the journal recommends.

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