

# Understanding Spacecraft Trajectories through Detached Magnetotail Interchange Heads

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## Key Points:

- THEMIS crossings of detached magnetotail interchange heads are compared with PIC-simulated later-stage BICI development.
- Similar signatures of the head's leading edges and trailing tails are identified in both in situ and simulated data.
- The signatures appeared to be the result of oblique (earthward/dawnward) propagation of the detached heads.

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## 14 Abstract

15 The kinetic ballooning/interchange instability (BICI) was recently found to produce  
 16 azimuthally narrow interchange heads extending into the dipole region from a reversed ra-  
 17 dial gradient of  $B_Z$  in the near-Earth magnetotail. In their nonlinear evolution individ-  
 18 ual heads were predicted to detach from the reversed  $B_Z$  gradient and grow into tran-  
 19 sient earthward moving northward magnetic field intensifications (dipolarization fronts;  
 20 DFs). The distinguished signatures of such fronts would be their oblique propagation  
 21 and cross-tail localization due to the finite  $k_y$  structure of the BICI modes. Simultane-  
 22 ous conjugate observations of DFs by THEMIS probes at 11 Earth's radii ( $R_E$ ) downtail  
 23 and of sudden brightening and growth of individual auroral beads by the all sky imagers  
 24 on the ground have been suggested to be ionospheric signature of detached magnetotail  
 25 interchange heads [Panov *et al.*, 2019]. Here we compare such DFs with a simulated in-  
 26 terchange head during later(detachment)-stage BICI head development. The comparison  
 27 reveals similarly structured leading edges and trailing tails in both the observed DFs and  
 28 the simulated BICI head. We further identify THEMIS trajectories through the DFs and  
 29 find that the trajectories were due to oblique (earthward and dawnward) DF propagation.  
 30 This analysis further supports the idea that BICI indeed releases obliquely propagating az-  
 31 imuthally localized dipolarization fronts in the Earth's magnetotail.

## 32 Plain Language Summary

33 The terrestrial magnetic field lines on the anti-sunward side of the Earth forming  
 34 an elongated structure (the magnetotail) are periodically disrupted by magnetic reconnec-  
 35 tion. Which processes and at which distance from Earth onset magnetotail reconnection?  
 36 An instability was recently found to produce azimuthally narrow heads that intrude into the  
 37 dipole region from the near-Earth magnetotail. At their later stages of development indi-  
 38 vidual heads were predicted to grow into transient earthward moving northward magnetic  
 39 field intensifications (dipolarization fronts; DFs), which under the right conditions may  
 40 lead to a full-scale magnetotail disruption. By combining sophisticated high-performance  
 41 computing plasma simulations with multi-point in situ observations by the NASA's Time  
 42 History of Events and Macroscale Interactions during Substorms (THEMIS) probes, we  
 43 show that under the right magnetotail conditions the dipolarization fronts are indeed gen-  
 44 erated by the instability at about ten Earth's radii downtail in the transition region between  
 45 the geomagnetic dipole and tail fields.

## 46 1 Introduction

47 Reconnection in the tails of planetary magnetospheres is essential for global circu-  
 48 lation of the magnetospheric magnetic flux [Dungey, 1961; Vasyliunas, 1983]. Observa-  
 49 tionally, it has been shown that magnetic flux transport in the tails is highly intermittent  
 50 occurring in an explosive manner through transient northward magnetic field ( $B_Z$ ) inten-  
 51 sifications (dipolarization fronts) [Russell *et al.*, 1998; Nakamura *et al.*, 2002; Sundberg  
 52 *et al.*, 2012]. At Earth such transport may constitute over two thirds of the whole magnetic  
 53 flux transport into the inner magnetosphere [Baumjohann *et al.*, 1990; Angelopoulos *et al.*,  
 54 1994].

55 Presently, the exact mechanisms driving the magnetotail reconnection remain unre-  
 56 solved [Sitnov *et al.*, 2019]. Various theoretical models suggest that reconnection emerge  
 57 spontaneously through tearing instability [Sitnov *et al.*, 2013] or could be externally driven  
 58 through forming a thin current sheet [Hesse and Schindler, 2001], for instance by increas-  
 59 ing the lobe pressure or by current sheet bending [Kivelson and Hughes, 1990]. Inter-  
 60 nal accelerated plasma sheet thinning has been suggested to occur due to an interchange  
 61 mechanism [Pontius and Wolf, 1990; Yang *et al.*, 2011a; Hu *et al.*, 2011; Bessho and Bhat-  
 62 tacherjee, 2014]. More recent theoretical investigations of the magnetotail configurations

with a reversed radial gradient of  $B_Z$  have suggested interchange-based reconnection driving mechanisms such as the magnetohydrodynamic "B<sub>Z</sub>-hump" instability [Merkin and Sitnov, 2016; Birm et al., 2018] and non-linear growth of azimuthally-confined earthward-intruding depleted plasma tubes (interchange heads) in the course of the kinetic ballooning/interchange instability (BICI) development [Pritchett and Coroniti, 2011, 2013; Pritchett et al., 2014]. When the ratio between the plasma and the magnetic field pressures in the equatorial plane ( $\beta_{eq}$ ) was  $<100$  the non-linear BICI evolution released azimuthally-localized interchange heads with a significant earthward propagation velocity component and the basic properties of the magnetotail dipolarization fronts [Ohtani et al., 2004; Runov et al., 2011]. For  $\beta_{eq}$  exceeding  $\sim 500$ , BICI has been predicted to disrupt the magnetotail globally by the reconnection in the wakes behind the heads.

Can certain dipolarization fronts produced by different mechanisms be discriminated from others? Using Particle-In-Cell (PIC) simulation run of BICI development in a charged current sheet, we show an example of an interchange head at later (head detachment) stage of BICI simulations that had a finite radial component of the propagation velocity at the beginning of its detachment from the reversed  $B_Z$  gradient. Because of azimuthal drift of the interchange heads (the drift would be dawnward for charged current sheets [Nishimura et al., 2016; Panov and Pritchett, 2018a]), the shown BICI head propagated at about  $45^\circ$  to the earthward direction. We further compare the simulation results with Time History of Events and Macroscale Interactions during Substorms (THEMIS) [Angelopoulos, 2008] probes' observations of two dipolarization fronts on 26 February 2009 between 5:52 and 6:00 UT and on 15 February 2008 between 9:51 and 9:59 UT, which were identified earlier amidst long chains of dawnward drifting BICI heads and simultaneously with sudden brightening and growth of individual auroral beads seen in conjugate ground all sky imager observations [Panov et al., 2019]. We use magnetotail observations provided by the THEMIS probes' fluxgate magnetometers (FGM) [Auster et al., 2008], electrostatic analyzers' (ESA) [McFadden et al., 2008] particle detectors and electric field instruments (EFI) [Bonnell et al., 2008].

## 2 Interchange Head during Later-Stage BICI Development

Figure 1 shows the results from 3D PIC simulation of BICI using the electron (charged) current sheet. This simulation run was used earlier in Panov and Pritchett [2018b], where the simulation setup is explained in Appendix A. The  $y$  coordinate in all simulation panels is directed toward dawn; the  $x$  coordinate is directed tailward. Panels (a-e) show the later-stage ( $\Omega_{i0}t=244$ ) BICI development in the equatorial plane at the beginning of detachment of one BICI head from the higher- $B_Z$  region ( $x/\rho_{i0} > 25$ ). As seen in the  $B_Z$  magnetic field component (panel (a)), the detaching head has a sharp leading edge (a narrow reddish  $B_Z$  region indicated by the white text arrow in the upper left corner). The leading edge is located at the front of the earthward directed flow channel (bluish stripe in panel (b)). The flow channel is also seen as an enhanced  $B_Z$  stripe behind the leading edge, which is indicated by the 'Trailing tail' text arrow in panel (a). The tailward end of the trailing tail is almost disconnected from the high  $B_Z$  region, indicating BICI head detachment. The leading edge is aligned quasi-perpendicular to the trailing tail. Since  $B_Z$  is positive everywhere (the minimum value of  $B_Z$  in Figure 1a was  $0.02B_0$ ), there has been no change in magnetic topology (i.e., no reconnection). Both the trailing tail and the flow channel are oriented diagonally in Figure 1. Such oblique orientation and propagation of the leading edge and the trailing tail is due to the initial dawnward drift of the head (the dawnward drift can be understood from Figure 6 below, e.g., by tracking the dawnward motion of the tailward end of the trailing tail from  $y/\rho_{i0}=28$  at  $\Omega_{i0}t=209.3$  to  $y/\rho_{i0}=43$  at  $\Omega_{i0}t=254.69$ ; see also [Panov and Pritchett, 2018a]).

Ahead of the leading edge the ions move downward, whereas on the dusk side of the trailing tail  $V_Y$  is directed duskward (panel (c)). There are disturbances in the sum of the ion, electron and magnetic field pressures (panel (d)) and also in the ratio of the plasma

115 pressure to the magnetic field pressure (plasma  $\beta$ ) (panel (e)) that are associated with the  
 116 leading edge of the detaching BICI head. The plasma  $\beta$  is significantly lower behind the  
 117 leading edge and in the trailing tail.

118 By making various cuts of the BICI head in Figure 1 one can directly compare the  
 119 PIC simulation results with spacecraft encounters of dipolarization fronts that were ob-  
 120 served amidst downward drifting BICI heads. Pairs of black lines in each panel in Figure  
 121 1 connect  $(x/\rho_{i0}, y/\rho_{i0})=(18,64)$  and  $(x/\rho_{i0}, y/\rho_{i0})=(23,30)$  (a,b,e,f), and  $(x/\rho_{i0}, y/\rho_{i0})=(0,56)$   
 122 and  $(x/\rho_{i0}, y/\rho_{i0})=(32,35)$  (c,d,e,f). They indicate two cut examples (out of many) along  
 123 which the magnetic field and plasma parameters are shown in Figure 2. At both cuts the  
 124 sharp leading edge and the trailing tail can be seen as local maxima in  $B_Z$  (indicated by  
 125 black text arrows in Figure 2). The sharp boundary of the leading edge is also clearly rec-  
 126 ognizable in the total pressure and in the plasma  $\beta$  (panels (e) and (f) in Figure 2). The  
 127 space between the leading edge and the trailing tail is characterized by a local minimum  
 128 in  $B_Z$  and a local maximum in  $\beta$ .

### 129 3 Interchange Head Signatures in near-Earth Dipolarization Fronts

130 Figure 3 shows dipolarization front crossings by THEMIS probes P3 and P4 on 26  
 131 February 2009 between 5:52 and 6:00 UT (left) and on 15 February 2008 between 9:51  
 132 and 9:59 UT (right). The two probes were located at  $X_{GSM} \approx -11 R_E$ . The two dipolar-  
 133 ization fronts occurred in the presence of multiple downward drifting interchange heads  
 134 [Panov *et al.*, 2019]. The downward propagation velocity of the interchange heads ob-  
 135 served before the dipolarization front on 26 February 2009 was about 835 km/s (about 1.5  
 136 of the thermal ion velocity). The downward propagation velocity of the heads observed  
 137 before the dipolarization front on 15 February 2008 was about 200 km/s (about 0.3 times  
 138 the ion thermal velocity). The typical azimuthal scale of a head on both dates appeared to  
 139 be comparable, between  $1.6 R_E$  and  $2 R_E$ . The minimum variance analysis revealed the  
 140 dipolarization front normal direction (0.91, -0.41, 0.01) at P3 (i.e.  $\approx 42$  degrees downward)  
 141 and (0.58, -0.81, 0.09) at P4 (i.e.,  $\approx 54$  degrees downward). Both normals appeared to be  
 142 close to the direction highlighted by the black lines in Figure 1 ( $\approx 45$  degrees downward).

143 For the purpose of quantitative comparison with the present PIC simulations we  
 144 note that the lobe field and plasma density in the Earth's magnetotail at the location of  
 145 THEMIS probes were about 25 nT and  $0.1 \text{ cm}^{-3}$  on 26 February 2009, and 40 nT and  
 146  $0.2 \text{ cm}^{-3}$  on 15 February 2008, yielding local Alfvén velocity 1000 km/s (26 February  
 147 2009 event) and 1600 km/s (15 February 2009 event). To convert from the dimensionless  
 148 units of the simulation to a physical (dimensional) value, one must multiply by a factor  
 149 of  $n_0 M_i V_A^2$ . Assuming the proton mass and the values for the two observation dates, this  
 150 factor is 0.17 nPa for the 26 February 2009 event and 0.86 nPa for the 15 February 2008  
 151 event. Since the dimensionless values for the total pressure near the front were on the or-  
 152 der of 0.5 - 1.0, this gives quite good agreement with the observations, with the observed  
 153 total pressure being about 4 times larger for the 15 February 2008 event as compared to  
 154 the 26 February event 2009.

155 On 26 February 2009 at 5:53:30 UT P4 observed a sharp  $B_Z$  enhancement (Figure  
 156 3c) that was embedded in a fast earthward flow (Figure 3d). The enhancement manifested  
 157 leading edge of a dipolarization front in a bursty bulk flow. Around 5:54 UT the same  
 158 leading edge was observed by P3 (Figures 3a,b), which was located about  $1 R_E$  dawnward  
 159 from P4. In contrast to P4, the leading edge at P3 was flatter. Both P3 and P4 observed  
 160 similar second increase in  $B_Z$  after the leading edge (denoted as 'Trailing tail' in Figures  
 161 3a,c). The fast earthward flow at P4 (blue line in Figure 3d) appeared to begin with the  
 162 encounter of the leading edge and ceased after the trailing tail. The azimuthal ion ve-  
 163 locity component ( $V_Y$ ; green line in Figure 3d) exhibited a gentle dawnward peak at the  
 164 leading edge, then a more pronounced duskward peak between the leading edge and the  
 165 trailing tail, and finally another dawnward peak after the trailing tail around 5:55:30 UT.

166 The considered above behaviors of  $B_Z$ ,  $V_X$  and  $V_Y$  at P4 (Figures 3c,d) between 5:52 and  
 167 5:56 UT (highlighted by the dashed magenta rectangle), repeat more the behavior of the  
 168 corresponding simulated parameters in Figures 1a,b,c along the simulation cut connecting  
 169  $(x/\rho_{i0}, y/\rho_{i0})=(18,64)$  and  $(x/\rho_{i0}, y/\rho_{i0})=(23,30)$  (cf. the corresponding black lines in Figure  
 170 1) and in the corresponding cuts shown in Figures 2a,b. In contrast to P4, P3 observed a  
 171 weaker earthward  $V_X$  and no duskward flow in  $V_Y$  between the leading edge and the trail-  
 172 ing tail (Figure 3a,b). This behavior is more consistent with the hypothesis that P3 crossed  
 173 the dipolarization front more dawnward (i.e. in agreement with the actual position of P3  
 174 relative to P4). Both P3 and P4 exhibited sharp increases (drops) in the total pressure and  
 175 in the plasma  $\beta$  before (after) the leading edge (Figures 3e,f). These total pressure and  
 176 plasma  $\beta$  variations are consistent with the PIC simulation results in Figures 2e,f. Simi-  
 177 lar observations were made by the same THEMIS probes on 15 February 2008 between  
 178 9:51 and 9:59 UT (right column in Figure 3). In contrast to the dipolarization front on 26  
 179 February 2009 (left column in Figure 3), the observations in the right column were col-  
 180 lected significantly off the equatorial plane (as evident from  $B_X \approx 25$ nT; not shown here).  
 181 Due to this off-equatorial location, the dipolarization front normal appeared to be directed  
 182 mainly along the  $Z_{GSM}$  axis at both P3 and P4.

183 To check for consistency of the plasma convection velocity patterns during the two  
 184 dipolarization fronts with the corresponding patterns of the detaching BICI head in the  
 185 PIC simulations, the X and Y GSM components of the electric field and of the cross-  
 186 product of the electric and magnetic fields are shown in Figure 4 (THEMIS observations)  
 187 and in Figure 5 (PIC simulations). Figure 4 shows data from P3 (panels a,b,e,f) and P4  
 188 (panels c,d,g,h) for the same time intervals as in Figure 3. Figure 5 shows panels in the  
 189 same area and with the same virtual spacecraft paths (black lines) as in Figure 1. Note  
 190 that in the simulation the electric field is much noisier (due to larger fluctuations arising  
 191 from the small number of particles) than in the observations. The ion velocities are not  
 192 sensitive to these high frequency fluctuations, and thus the simulation  $V_i$  can be much  
 193 smoother than simulation  $E \times B/B^2$  plots. Despite noise in both observed and simulated  
 194 electric fields, one can clearly identify the finite earthward  $E_X$  that is associated with both  
 195 the leading edge and the trailing tail (positive peaks in Figures 3a,c,e,g and bluish color in  
 196 Figure 5a). The negative (dawnward) peaks of  $E_Y$  at the leading edge at P3 (Figures 3a,e)  
 197 are associated with the dawnward side of the dipolarization front in the PIC simulations  
 198 (Figure 5b). Behind the leading edge both P3 and P4 observed positive (duskward) peri-  
 199 ods in  $E_Y$ . Symmetrically, the X component of the cross-product of the electric and mag-  
 200 netic fields reflects the behavior of the Y component of the electric field, and  $(E \times B/B^2)_Y$   
 201 reflects that of  $E_X$ .

202 The above comparisons were made between time dependent THEMIS observations  
 203 and static cuts from the BICI simulation. Below we show that the signatures of the lead-  
 204 ing edges and trailing tails from THEMIS observations are also seen in the more realistic  
 205 time-dependent pictures of the simulated BICI head. For this purpose, we investigated the  
 206 behavior of the magnetic field and plasma parameters as would be 'observed' by a net of  
 207 virtual spacecraft before and after  $\Omega_{i0}t=244$ . Figure 6 shows the temporal evolution of the  
 208 magnetic field  $B_Z$  component with the overplotted ion velocity field. The BICI head that  
 209 detached from the high- $B_Z$  region ( $x/\rho_{i0} > 25$ ) before  $\Omega_{i0}t=209.38$  (left) propagated to  
 210 smaller  $x/\rho_{i0}$  (earthward) and to larger  $y/\rho_{i0}$  (dawnward) as  $\Omega_{i0}t$  progressed (from left to  
 211 right and from top to bottom in Figure 6). Despite the leading edge and the trailing tail  
 212 of the BICI head persisted during the whole considered time interval, the head has grown  
 213 remarkably with a sharper leading edge and a fainter broken trailing tail toward the end of  
 214 the simulation interval. The  $B_Z$  disturbance associated with the leading edge encompasses  
 215 as much as half of the field of view with the largest values at the leading edge's nose. The  
 216  $B_Z$  disturbances on the two flanks of the leading edge are comparable with the  $B_Z$  distur-  
 217 bances associated with the trailing tail at later times (when  $\Omega_{i0}t$  exceeded about 230).

218 There are three pairs of black star/square glyphs at different  $(x/\rho_{i0}, y/\rho_{i0})$  in Figure  
 219 6 (denoted as 'A', 'B', and 'C'). The virtual spacecraft observations at the locations in-  
 220 dicated by these glyphs are shown in the three columns in Figure 7. The relative posi-  
 221 tions of the star/square pairs qualitatively resemble more dawnward and tailward location  
 222 of THEMIS P3 (corresponds to the star glyphs in Figure 6) with respect to THEMIS P4  
 223 (corresponds to the square glyphs in Figure 6) during the dipolarization front observa-  
 224 tions from Figure 3. That is the data in each column in Figure 7 are shown in the same  
 225 sequence as in the two columns of Figure 3. Column A in Figure 7 shows that the leading  
 226 edge and the trailing tail were observed by the virtual spacecraft next to each other. The  
 227 trailing tail was as pronounced as the leading edge. These simulation results agree with  
 228 THEMIS observations in Figure 3. In the simulations the leading edge was observed by  
 229 the virtual spacecraft at the beginning of the BICI head formation and closer to the dawn-  
 230 ward flank; the trailing tail crossed the two virtual spacecraft due to its dawnward motion.  
 231 The former fact is in agreement with two probe THEMIS observations, when the first  
 232 probe that encountered the trailing tail appeared to be P4 (which was located duskward  
 233 from P3). To conclude, the virtual spacecraft observations are significantly more consis-  
 234 tent with THEMIS observations than the static cuts provided in Figure 2, because they  
 235 reproduce time evolution of the detached BICI head.

236 Obviously in other situations spacecraft might observe BICI heads at stages of BICI  
 237 head detachment that are earlier or later than shown in the left column in Figure 7. Also,  
 238 spacecraft might be located at other azimuthal and radial positions with respect to detach-  
 239 ing BICI heads. To demonstrate the signatures that BICI simulations predict for in situ  
 240 observations at few other locations we include virtual spacecraft observations that were  
 241 collected at square/star glyph pairs 'B' and 'C' (middle and right columns in Figure 7).  
 242 The 'B' column shows observations more dawnward and thus at a later time than those  
 243 in column 'A'. On the contrary, the 'C' column shows observations more duskward and  
 244 thus at an earlier time than those in columns 'A' and 'B'. Whereas the leading edges in  
 245 the 'B' column are significantly enhanced as compared to 'A', at earlier times ('C') the dip  
 246 between the leading edges and the trailing tails has not yet been formed (the  $B_Z$  profile  
 247 has a step-like shape at earlier detachment stages). Despite the above differences between  
 248 'A', 'B' and 'C', in all of them one can clearly see the earthward-to-tailward reversal of  $V_X$   
 249 just after the trailing tail of the BICI head (cf. blue curves in Figures 7b,d,h,j,n,p). This  
 250 reversal occurred due to dawnward component of the BICI head drift.

251 As demonstrated in [Panov and Pritchett, 2018a; Panov et al., 2019], BICI develop-  
 252 ment signatures were observed for hours on 15 February 2008 and on 26 February 2009.  
 253 As a consequence, during the two events there were observed a number of other dipolar-  
 254 ization fronts with detached BICI signatures. Below we consider several of them for the  
 255 purpose of discussion on various stages of development of the observed detached BICI  
 256 heads. Figure 8 shows the BICI head observations by P4 and P3 on 15 February 2008  
 257 between 10:22 and 10:27 UT. Panov and Pritchett [2018b] showed that the head drifted  
 258 dawnward at a velocity of about 200 km/s. The head's azimuthal scale was on the order  
 259 of  $2 R_E$ . The step-like shape of the  $B_Z$  profile across the head is similar to the  $B_Z$  pro-  
 260 files from the right column in Figure 7, suggesting that the BICI head in Figure 8 crossed  
 261 THEMIS probes at an earlier stage of detachment (if any). Both P3 and P4 exhibited  $V_X$   
 262 reversal at the trailing edge of the head, also consistent with Figures 7n,p.

263 The next two figures show single probe observations of two detached BICI heads  
 264 that occurred during the event on 15 February 2008, between 6:21 and 6:26 UT by P5 in  
 265 Figure 9, and between 7:10 and 7:20 UT by P4 in Figure 10. Both head encounters ex-  
 266 hibit signatures that are similar to those demonstrated in Figure 8: step-like shapes of  $B_Z$   
 267 and clear  $V_X$  reversals. In contrast to the example from Figure 8, BICI heads in Figures  
 268 9,10 exhibited also weak signatures of separation of the leading edges from the trailing  
 269 tails (seen as separate  $B_Z$  peaks in the top panels of Figures 9,10). The emerging  $B_Z$  dip

270 between the leading edges and the trailing tails in these two examples indicates a some-  
271 what later stage of development than in Figure 8.

272 Figure 11 shows another example of a detached BICI head, which was observed by  
273 three THEMIS probes P3-P5 on 26 February 2009 between 6:55 and 7:05 UT. As in the  
274 previous examples, the  $V_X$  reversal was clearly observed by the three probes. All three  
275 probes observed quite coherent structure of the ion flows, and a significant peak in the to-  
276 tal pressure at the head's leading edge also. This implies a broad and intense leading edge  
277 of the BICI head, indicating later stage of development (perhaps similar to  $\Omega_{i0}t=254.69$   
278 in Figure 6 or later). A further support of this hypothesis is provided in  $B_Z$  observations  
279 by P3 in the top panel of the middle column in Figure 11. In this panel very small values  
280 of  $B_Z$  in the head's wake (in several points  $B_Z$  appeared to be slightly negative) are con-  
281 sistent with the expected simulation results of the BICI head development in the high- $\beta$   
282 environment [Pritchett and Coroniti, 2011, 2013; Pritchett et al., 2014]. Note that during  
283 this crossing P3 observed  $\beta$  that exceeded  $10^3$  in the wake of the BICI head (cf. bottom  
284 panel in the middle column of Figure 11).

285 Figure 12 shows our final BICI head example. This head crossed P3-P5 probes  
286 about 17 minutes earlier than the BICI head from Figure 11. Despite nearly identical  
287 configuration of the three THEMIS probes, it occurred that the most duskward probe P4  
288 (right column in Figure 12) observed very weak earthward flow (less than 30 km/s). This  
289 can be explained by assuming that the major flow channel was always dawnward of P4,  
290 i.e. P4 peripherally observed the dusk side of the head. This assumption is actually in  
291 agreement with the observations from P5 (left column in Figure 12), which observed the  
292 fastest and longer duration earthward flow (and stagnation or very small tailward flows af-  
293 ter  $V_X$  reversal, similarly to P3 and P4 observations in Figure 3): Taking into account that  
294 P5 was located azimuthally midway from P4 to P3 (middle column in Figure 12), P5 oc-  
295 curred to have crossed the middle of the BICI head, and P3 observed the dawnward side  
296 of the head. This allows to conclude on the azimuthal size of the head: comparable to the  
297 azimuthal distance between P3 and P4 about  $1 R_E$ .

298 The above examples of detaching and detached BICI heads are not the only ones  
299 during the two long-lasting BICI events, but the clearest and most interesting, showcasing  
300 variety of spacecraft trajectories and highlighting differences in observations along the  
301 trajectories and at different stages of BICI development. Note that the simulation was a  
302 prediction made before the present comparison with observations and we did not attempt  
303 to "fit" the present observations. Clearly, the simulation does not have the spatial reso-  
304 lution to serve as a precise model of the entire near-Earth plasma sheet region. Because  
305 of many numerical limitations we do not expect the simulation to identically repeat the  
306 observations also. One of such limitations is the size of the simulation box and various  
307 effects, e.g. at the simulation box boundaries. It may well be that the simulation box is  
308 much smaller than the possible volume of the actual magnetotail in which BICI might op-  
309 erate (especially in the X-direction). The latter fact might allow the observed BICI heads  
310 to propagate longer distances both radially and azimuthally before being encountered by a  
311 spacecraft. One other technical constraint is the artificial mass ratio that was used in the  
312 simulation. These two major limitations are expected to be responsible for some of the  
313 differences between the simulation and observations (e.g. sharper leading edge and larger  
314 ratio of its  $B_Z$  to the background field in Figure 3c). Despite the above limitations, the  
315 simulation appeared to reproduce a very substantial number of the features observed by  
316 THEMIS without any attempt to make a detailed fit to the data.

#### 317 4 Important Details of BICI Head Development

318 The convection electric field in Figure 5 reveals that the leading edge and the trail-  
319 ing tail of the simulated interchange head move as a whole. Ahead of the leading edge  
320 there is a thin layer with tailward plasma convection due to vorticity at the sides of the

interchange head. In the case of the dawnward propagating interchange head the vorticity is more prominent on the dawnward side. This picture is generally consistent with THEMIS electric field and convection velocity observations in Figure 4. The consistency between the simulated interchange head and the observed dipolarization fronts points out to BICI as the dipolarization front generation mechanism.

BICI heads were also predicted to appear and identified in THEMIS data for neutral current sheets, in which they drift in the dusk direction [Pritchett and Coroniti, 2010; Panov et al., 2012a; Pritchett and Coroniti, 2013]. Different possible oblique motions of the BICI generated dipolarization fronts are expected to modify the suggested particle injection and acceleration mechanisms at dipolarization fronts that were predicted using, e.g., test particle simulations in magnetohydrodynamic models [Yang et al., 2011b; Birn et al., 2013; Sorathia et al., 2018; Ukhorskiy et al., 2018]. Note that as the global magnetohydrodynamic simulations showed, dipolarization fronts that were produced by reconnection at more tailward locations may shortly deviate from their otherwise radial propagation on their way to the dipolar field lines [Wiltberger et al., 2015; Ukhorskiy et al., 2018; Merkin et al., 2019]. We also admit that the dipolarization fronts released by BICI may later be forced to move more radially by the ambient plasma.

The present analysis also reveals how some azimuthally narrow dipolarization fronts may be formed. We note that heterogeneity of the magnetotail current sheet might be another way to produce azimuthally narrow dipolarization fronts [e.g., Lu et al., 2015; Fujimoto, 2016]. In contrast to the dipolarization fronts released by BICI, such scenarios would need reconnection in the first place.

According to previous studies of the events presented, BICI was hours long operating in the transition region between the dipole and tail field [Panov and Pritchett, 2018a; Panov et al., 2019]. That is, statistically, only few out of tens of BICI heads would succeed to detach from the reversed  $B_Z$  gradient. Because reversed magnetotail  $B_Z$  gradient also appears transiently at reconnection fronts, BICI may also appear as a secondary reconnection front instability [Pritchett, 2015]. Such a secondary BICI instability would be possible if BICI heads had significantly smaller azimuthal scales than in the present events ( $\ll 2 R_E$ ; the BICI head azimuthal scale depends on the ratio between  $B_Z$  and the lobe field), or if BICI appeared at broader dipolarization fronts (dipolarization fronts larger than  $6 R_E$  were recently observed in the near-Earth plasma sheet [Panov et al., 2019]). Interestingly, a secondary interchange (Rayleigh-Taylor type) instability is known to occur in the exhaust of reconnection jets in the solar corona [Innes et al., 2014].

## 5 Relevance to Magnetosphere-Ionosphere Coupling

The presented THEMIS events showcase the class of DFs that were generated by BICI. One of the main conclusions of the BICI simulations [Pritchett and Coroniti, 2011; Pritchett et al., 2014] has been that DFs can be formed and propagate without the need for reconnection to have occurred. The BICI triggering occurs due to the reversed radial gradient of the vertical component of the magnetic field ( $B_Z$ ). Such reversed  $B_Z$  gradient was indeed found shortly before dipolarization fronts in Figure 3 and 4 [Panov et al., 2019]. An apt analogy of the described process of dipolarization front formation by BICI from everyday life would be water dripping from a roof edge when it rains: water drops stretch downward before detaching (reconnecting) and falling from the edge. Indeed, in the PIC results shown in Figure 1, the  $B_Z$  field is still positive everywhere, indicating that there has been no change in magnetic topology and hence strictly speaking no onset of reconnection. Similarly, more global earthward propagating dipolarization front is predicted to appear before reconnection in both magnetohydrodynamic [Merkin and Sitnov, 2016; Birn et al., 2018] and kinetic [Pritchett, 2015; Sitnov et al., 2017] simulations of the "B<sub>Z</sub>-hump" instability. This sequence of events would be phenomenologically similar to the so-called 'inside-out' substorm scenario [Lui, 1996], when first an instability (such as

ballooning/interchange or the  $B_Z$ -hump instability) is needed to appear in the magnetotail. Thus the revealed class of DFs is different from those appeared as a consequence of reconnection [e.g. Angelopoulos *et al.*, 2013].

This new class of DFs appears to drive specific consequences for the magnetosphere-ionosphere coupling. During the two presented DFs there occurred ionospheric pseudo-breakups with local current systems and auroral bright spots originating from azimuthal beads/rays [Panov *et al.*, 2019]. Other dipolarization fronts amidst azimuthally drifting interchange heads [Uritsky *et al.*, 2009; Sergeev *et al.*, 2009; Panov *et al.*, 2012b] were found to be related to a full scale substorm onset [Panov *et al.*, 2012a]. During all these reported events at least one of THEMIS probes occasionally crossed the neutral sheet ( $B_X \approx 0$ ) during BICI activity. This allowed to estimate  $\beta_{eq}$  during the events. It appeared that in the events that ended with localized ionospheric pseudo-breakups  $\beta_{eq}$  did not exceed few tens [Panov *et al.*, 2019], while during full scale substorm events [Panov *et al.*, 2012a]  $\beta_{eq}$  was few hundreds (with the peak values exceeding 2000; not shown here), consistent with BICI simulation predictions. Note that during the full scale substorm event on 28 February 2008, THEMIS P2 and P3 observed direct signatures of reconnection such as oppositely directed plasma flows and negative  $B_Z$  [Panov *et al.*, 2012b] (cf. Figure 3). Similar relation of plasma sheet  $\beta$  to pseudo-breakups and substorms was recently found for a single event study of the near-Earth magnetotail conditions during fast ion flows that originated from  $X_{GSM} < -21 R_E$  [Miyashita *et al.*, 2018]. That is, despite differences in the dipolarization front formation and substorm scenarios between the near-Earth BICI mechanism and more tailward reconnection events [Nagai *et al.*, 2005; Petrukovich *et al.*, 2009; Angelopoulos *et al.*, 2008], the requirement of higher  $\beta_{eq}$  may well be common for all substorm models.

Interestingly, internal plasma sheet thinning mechanisms (magnetohydrodynamic [Hau *et al.*, 1989; Hau, 1991; Hsieh and Otto, 2015] or kinetic [Pritchett and Coroniti, 1994, 1995]) may also be possible in the magnetospheres of other planets. For instance, centrifugal interchange instabilities in magnetodiscs of giant planets would lead to ballooning type modes [Kivelson and Southwood, 2005; Mauk *et al.*, 2009]. Growth of interchange finger-like structures in magnetodiscs of giant planets may provoke reconnection following the scenario suggested for the interaction between closely located flux tubes with different entropy ('bubble-blob pairs') [Yang *et al.*, 2011a; Hu *et al.*, 2011]. It is thus may be important to take into account the present THEMIS observations and PIC simulations in various models of global circulation of the magnetospheric magnetic flux at other planets.

## 6 Conclusions

We compared THEMIS observations of two dipolarization fronts amidst identified earlier dawnward drifting BICI heads at  $X_{GSM} \approx -11R_E$ , whose appearance coincided with sudden brightening and growth of individual auroral beads, and the PIC simulations of a dawnward drifting BICI head at later stage. The revealed THEMIS trajectories through the DFs evidence oblique (earthward and dawnward) DF propagation, whose leading edges and trailing tails appeared to be structured similarly to those of the simulated interchange head. These consistencies not only further support the idea that BICI was indeed responsible for the formation of the observed DFs in the transition region between the geomagnetic dipole and tail fields, but also allows to judge on the stage of development of BICI heads at the moment of their crossing by spacecraft.

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 432 ures F1, F2 can be downloaded from <https://doi.org/10.6084/m9.figshare.12055497>.

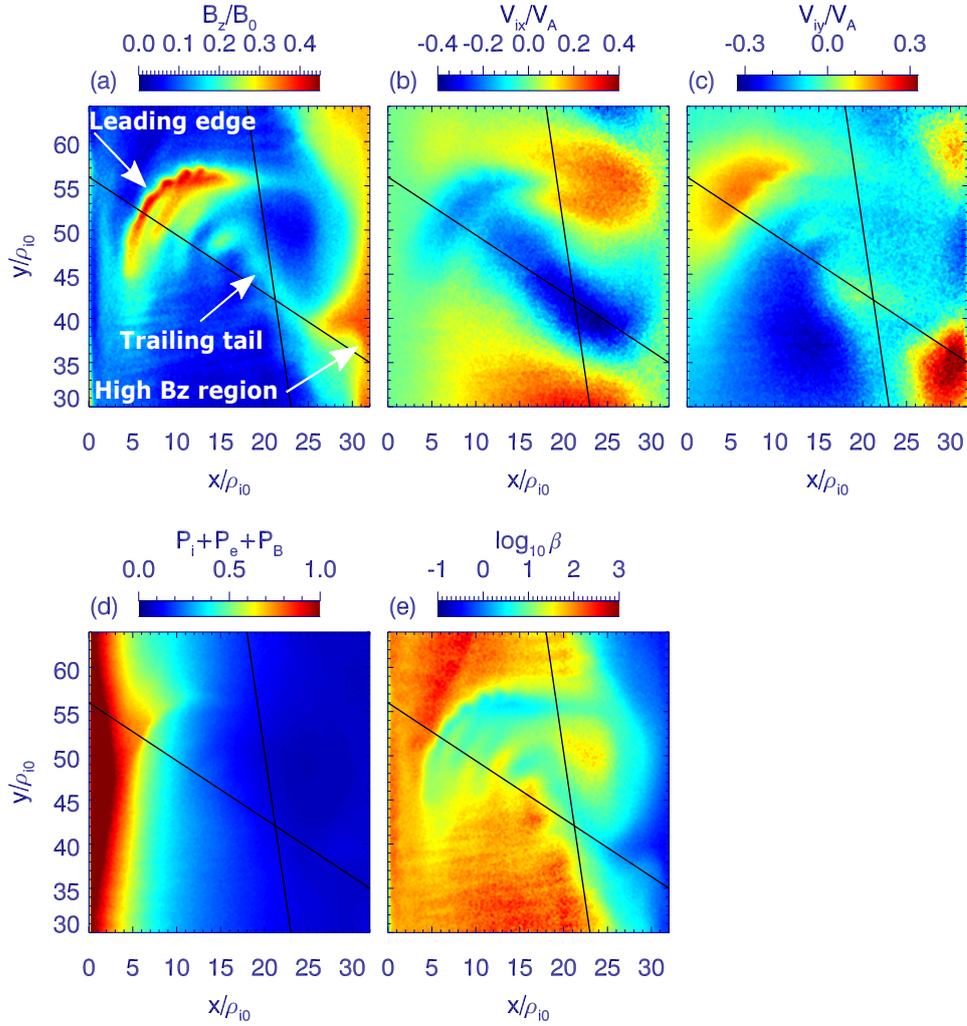
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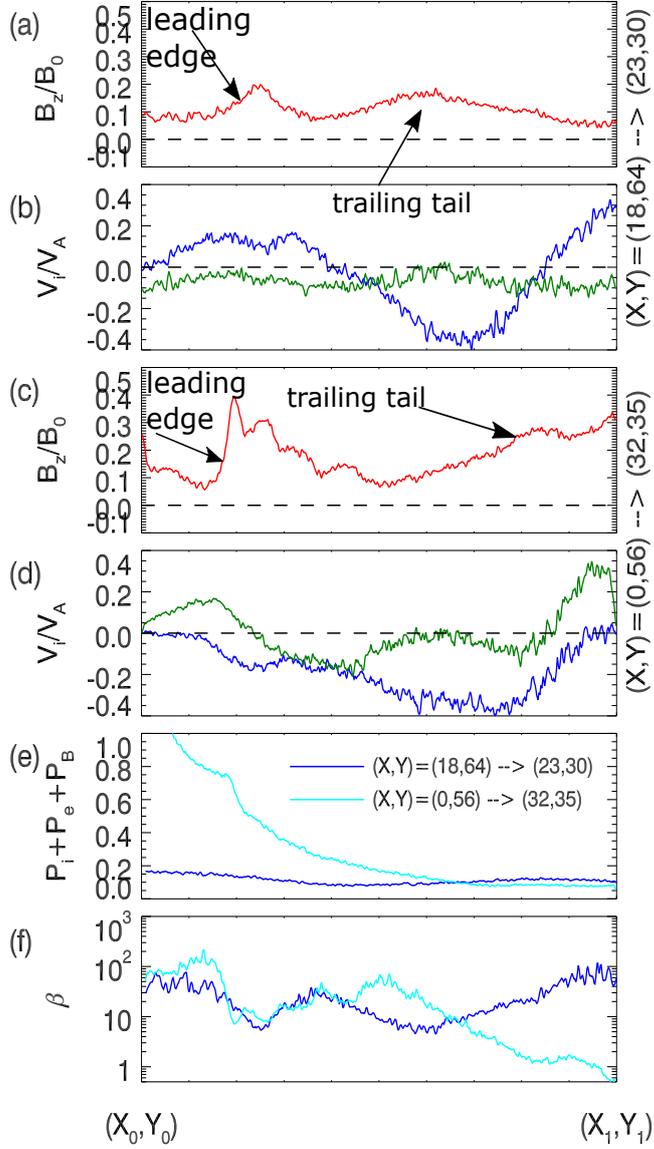
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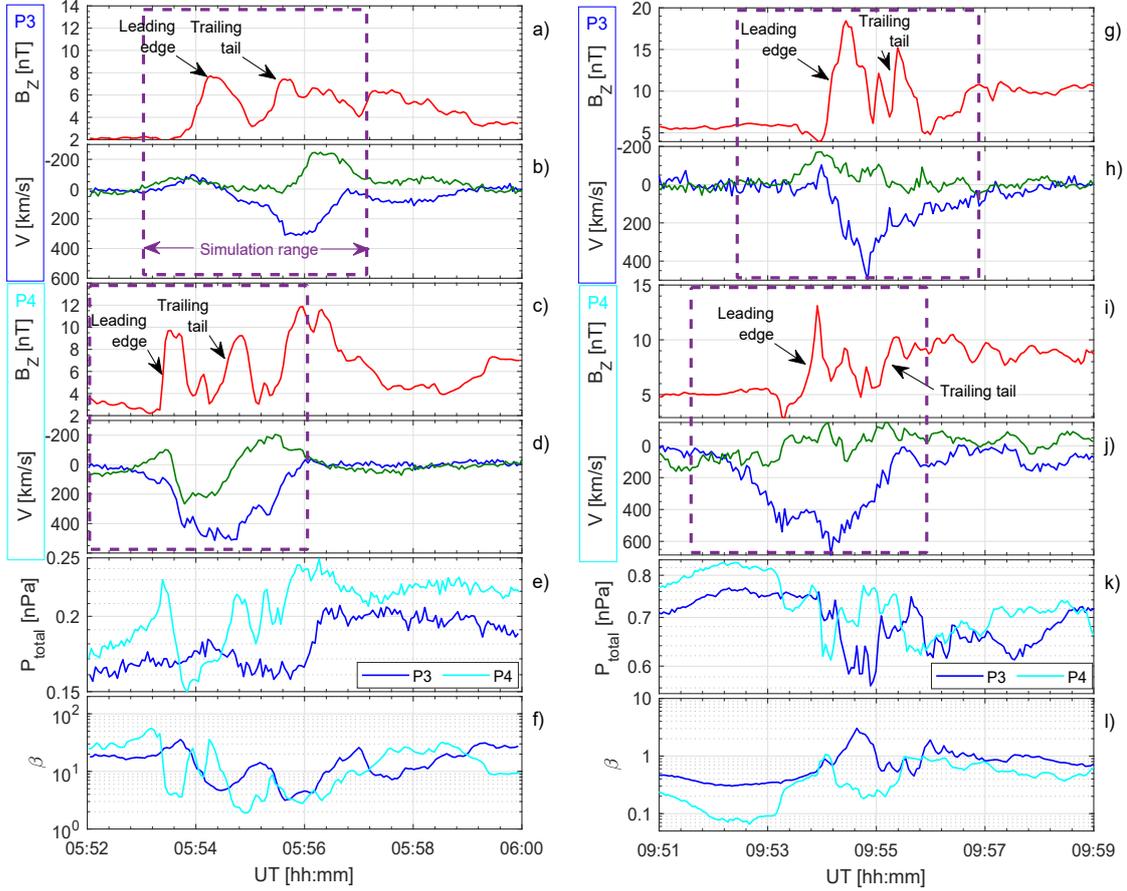
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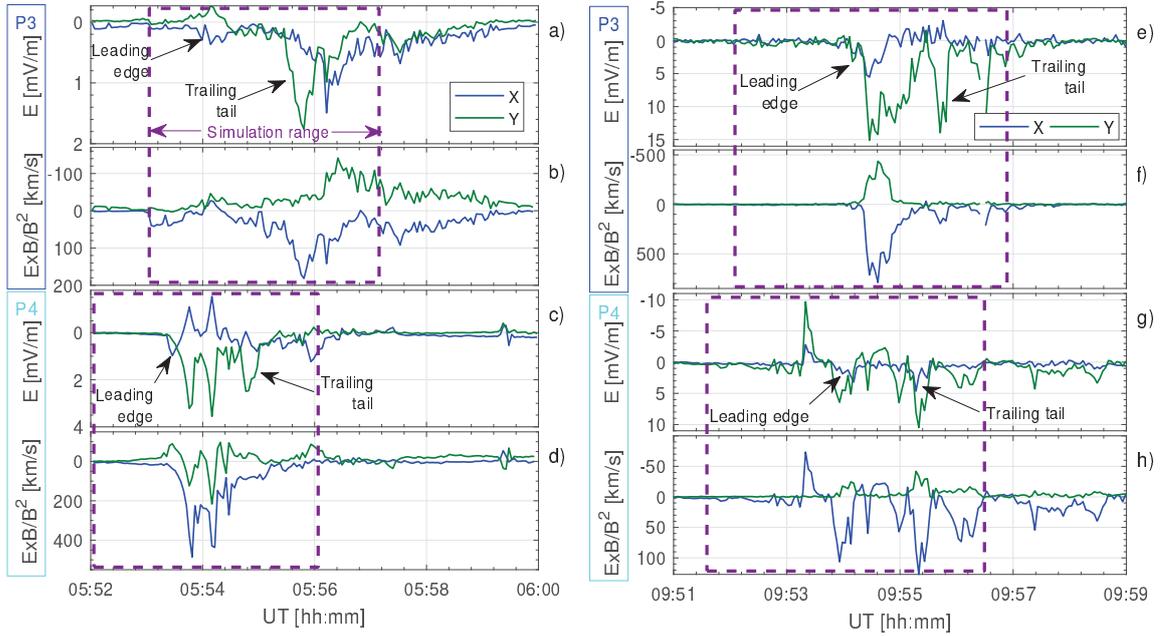
628 **Figure 1.** Results from 3D PIC simulation of BICI development in the electron (charged) current sheet at  
 629  $\Omega_{i0}t=244$  as seen in the  $B_z$  magnetic field component (a), in the  $V_x$  (b) and  $V_y$  (c) ion velocity compo-  
 630 nents, in the sum of the ion, electron and magnetic field pressures (d), and in the ratio of the plasma pressure  
 631 to the magnetic field pressure (plasma  $\beta$ ) (e).



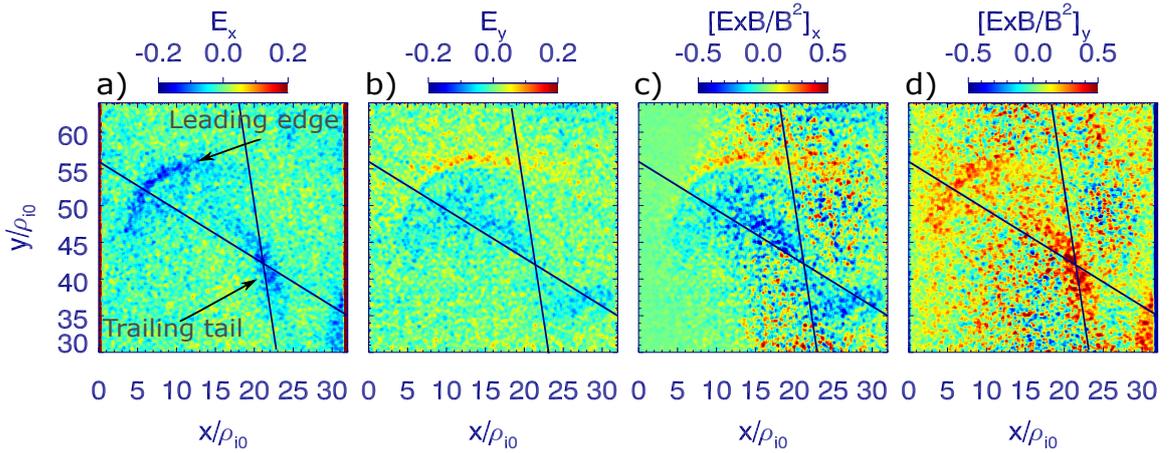
632 **Figure 2.** 1D cuts of the plots from Figure 1 between  $(x/\rho_{i0}, y/\rho_{i0})=(18,64)$  and  $(x/\rho_{i0}, y/\rho_{i0})=(23,30)$   
 633 (a,b,e,f), and between  $(x/\rho_{i0}, y/\rho_{i0})=(0,56)$  and  $(x/\rho_{i0}, y/\rho_{i0})=(32,35)$  (c,d,e,f) for  $B_z$  (a,c),  $V_x$  (blue) and  $V_y$   
 634 (green) (b,d), the sum of the ion, electron and magnetic field pressures (e) and the ratio of the plasma pressure  
 635 to the magnetic field pressure (plasma  $\beta$ ) (f).



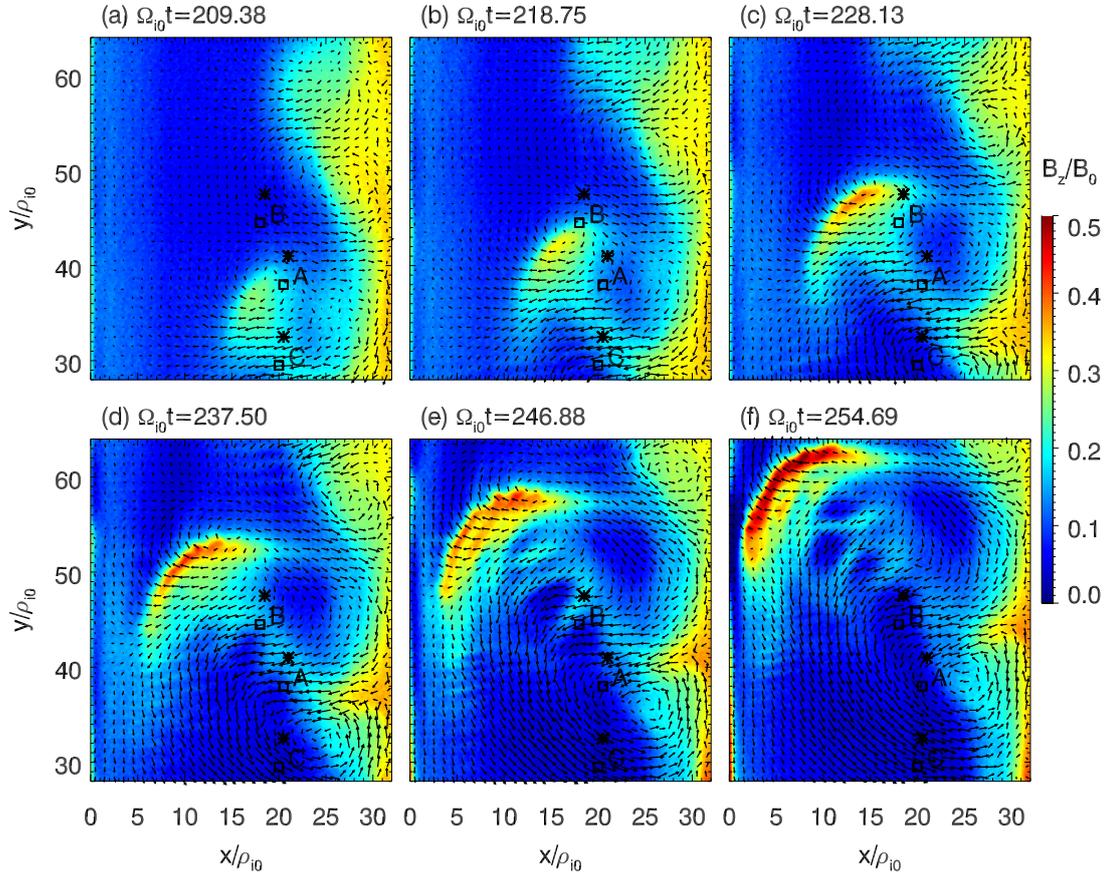
636 **Figure 3.** (left) THEMIS P3 (a,b,e,f) and P4 (c,d,e,f) observations during dipolarization front crossing  
 637 on 26 February 2009 between 5:52 and 6:00 UT: GSM  $B_Z$  magnetic field component (a,c), GSM  $V_X$  (blue)  
 638 and  $V_Y$  (green) ion velocity components (b,d) (note that the ordinates are reversed in the ion velocity panels  
 639 (facing downward)), the sum of the ion, electron and magnetic field pressures (e) and the ratio of the plasma  
 640 pressure to the magnetic field pressure (plasma  $\beta$ ). (right) Same as left for dipolarization front observations  
 641 on 15 February 2008 between 9:51 and 9:59 UT.



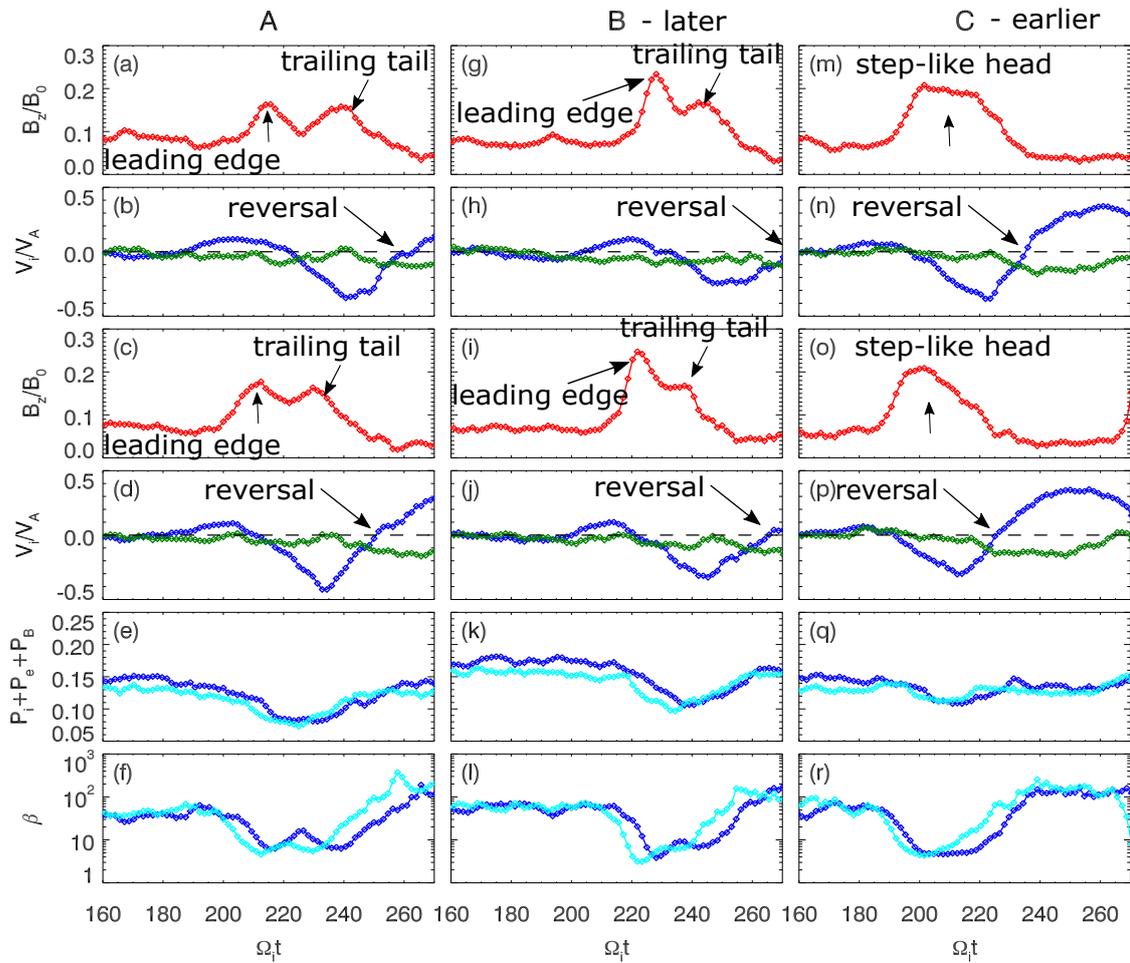
642 **Figure 4.** X and Y GSM components of the electric field (a,c,e,g) and of the cross-product of the electric  
 643 and magnetic fields (b,d,f,h) from P3 (a,b,e,f) and P4 (c,d,g,h) for the same time intervals as in Figure 3. Note  
 644 that the ordinates are reversed such that they are facing downward.



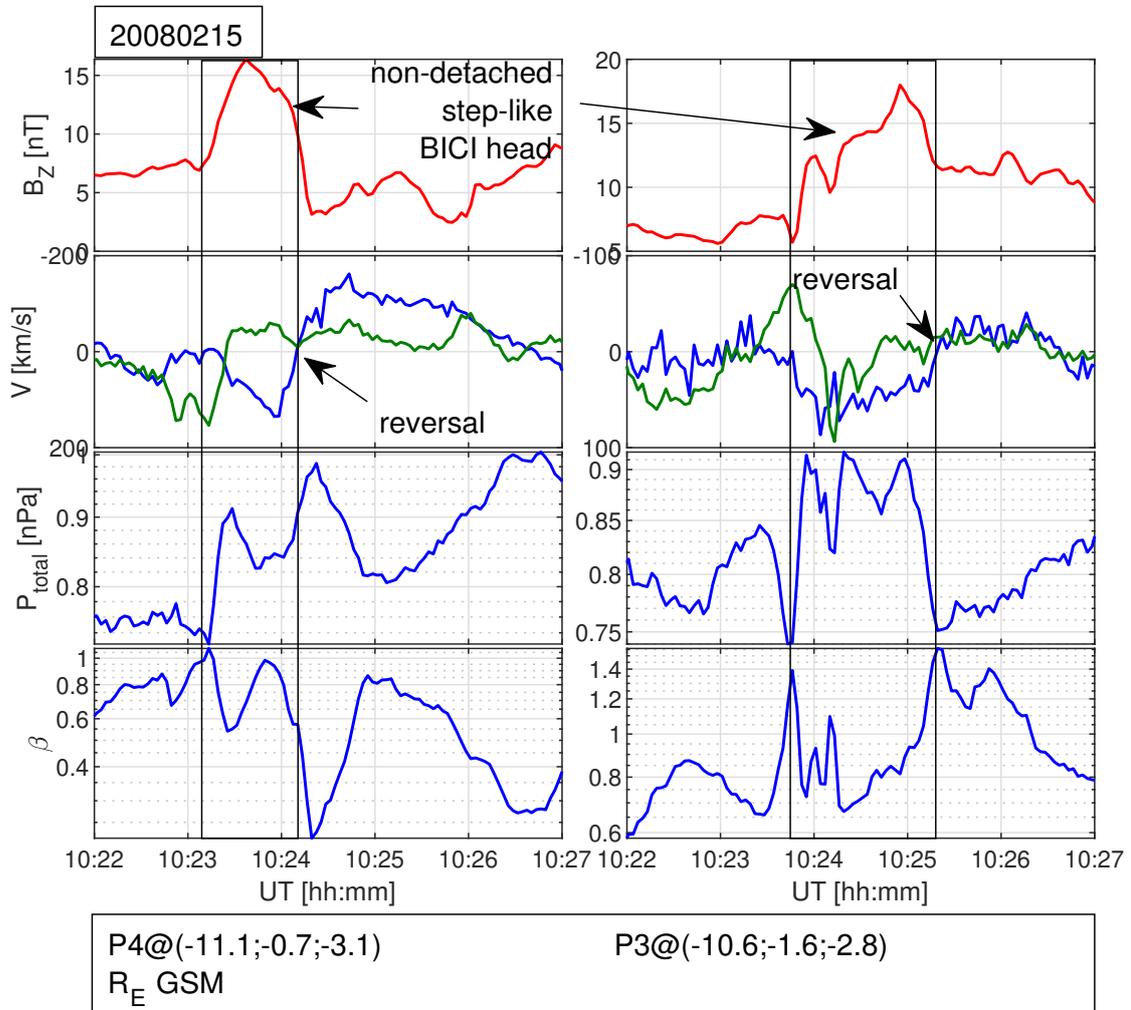
645 **Figure 5.** Results from the same 3D PIC simulation and in the same area as in Figure 1 as seen in  $E_X$  (a),  
 646 in  $E_Y$  (b), in  $(E \times B/B^2)_X$  (c) and in  $(E \times B/B^2)_Y$  (d).



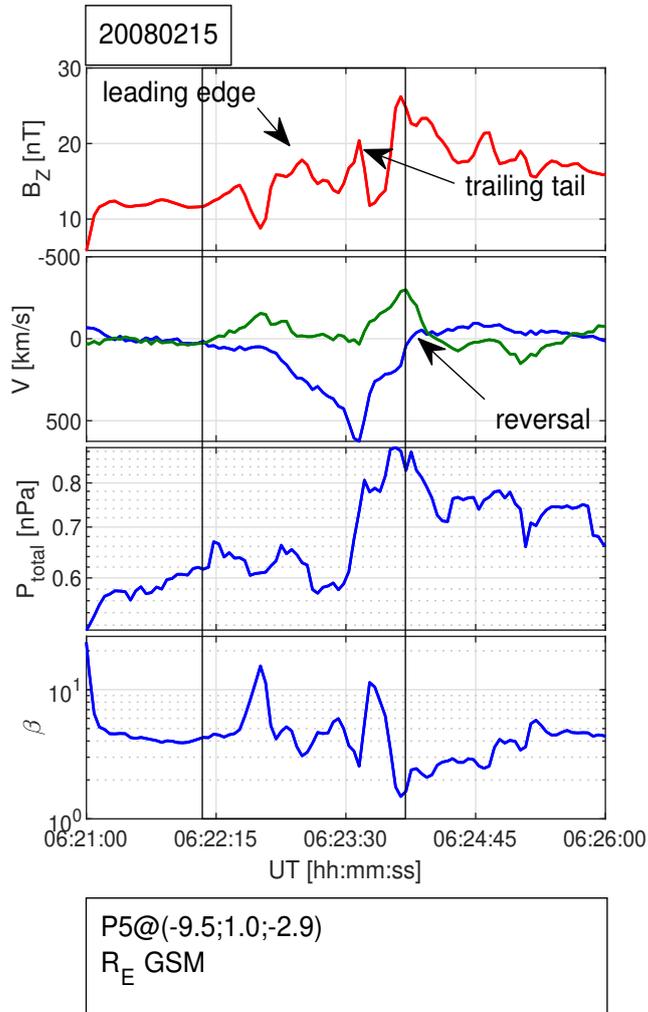
647 **Figure 6.** Results from 3D PIC simulation of BICI development in the electron (charged) current sheet  
 648 as seen in the  $B_Z$  magnetic field component at six equidistant time instants between  $\Omega_{i0}t=209.38$  and  
 649  $\Omega_{i0}t=254.69$ . The ion velocity field is overplotted by black arrows. There are three pairs of black star/square  
 650 glyphs at different  $(x/\rho_{i0}, y/\rho_{i0})$ : at (21,41) and (20.5,38) denoted as 'A', at (18.5,47.5) and (18,44.5) denoted  
 651 as 'B', and at (20.5,32.5) and (20,29.5) denoted as 'C'. These glyphs indicate locations of pairs of the virtual  
 652 spacecraft whose 'observations' are shown in Figure 7. See Supporting Information video S1 and figures F1  
 653 and F2 for snapshots of  $B_Z$ , total pressure and plasma  $\beta$  at other times of the simulation.



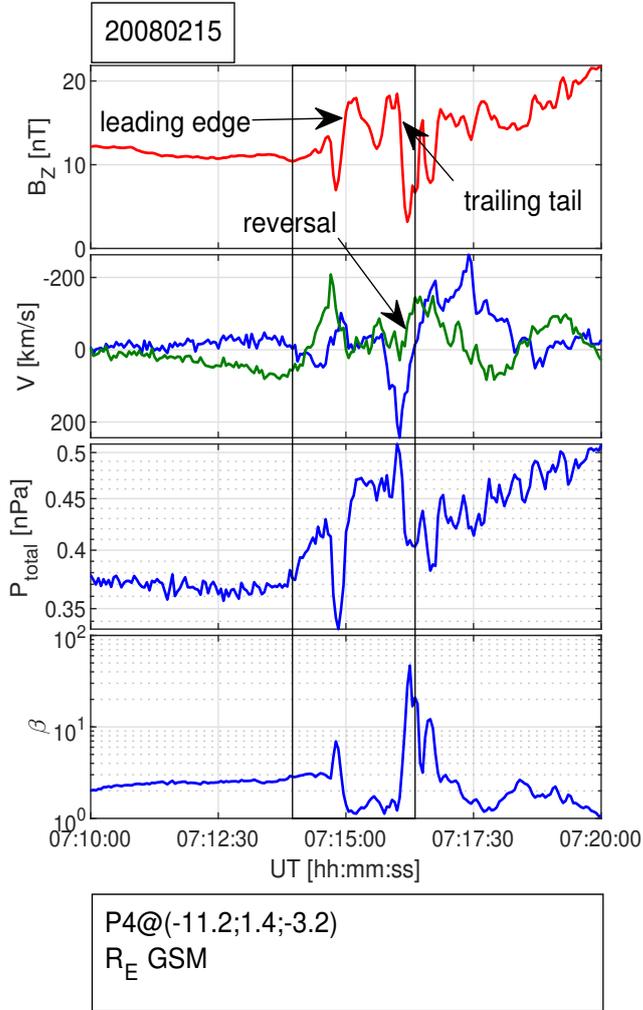
654 **Figure 7.** Each column in the figure shows virtual spacecraft observations at the locations that are marked  
 655 up by the black star/square glyph pairs in Figure 6: cf. left column for pair 'A', middle for 'B' and right for  
 656 'C'. Each column has the same layout as in Figure 2.



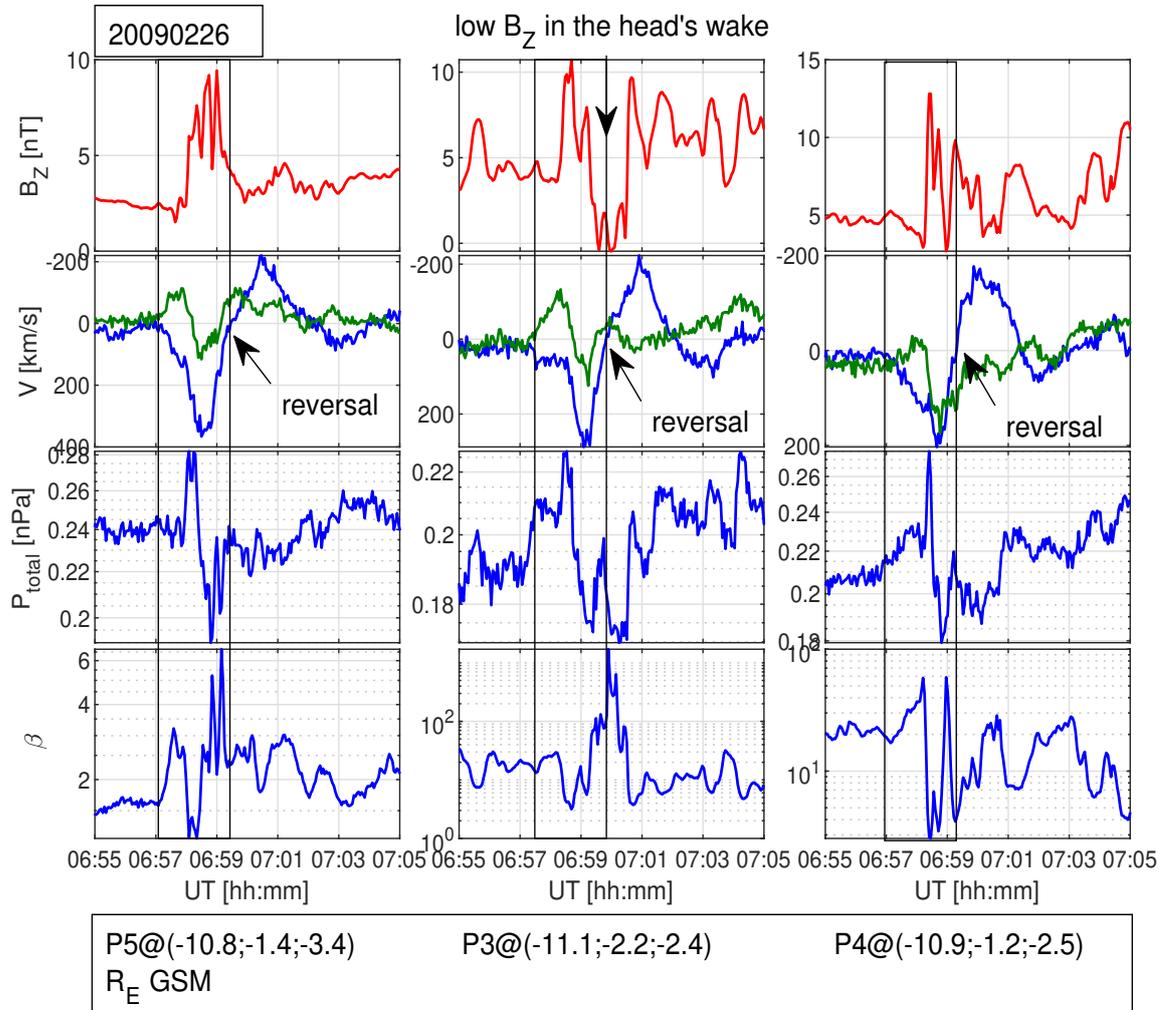
657 **Figure 8.** THEMIS P4 (left) and P3 (right) observations during dipolarization front crossing on 15 Febru-  
 658 ary 2008 between 10:22 and 10:27 UT: (from top to bottom) GSM  $B_Z$  magnetic field component, GSM  
 659  $V_X$  (blue) and  $V_Y$  (green) ion velocity components, the sum of the ion, electron and magnetic field pres-  
 660 sures, and the ratio of the plasma pressure to the magnetic field pressure (plasma  $\beta$ ). GSM THEMIS location  
 661 corresponding to the middle of the time interval is provided at the bottom of each column.



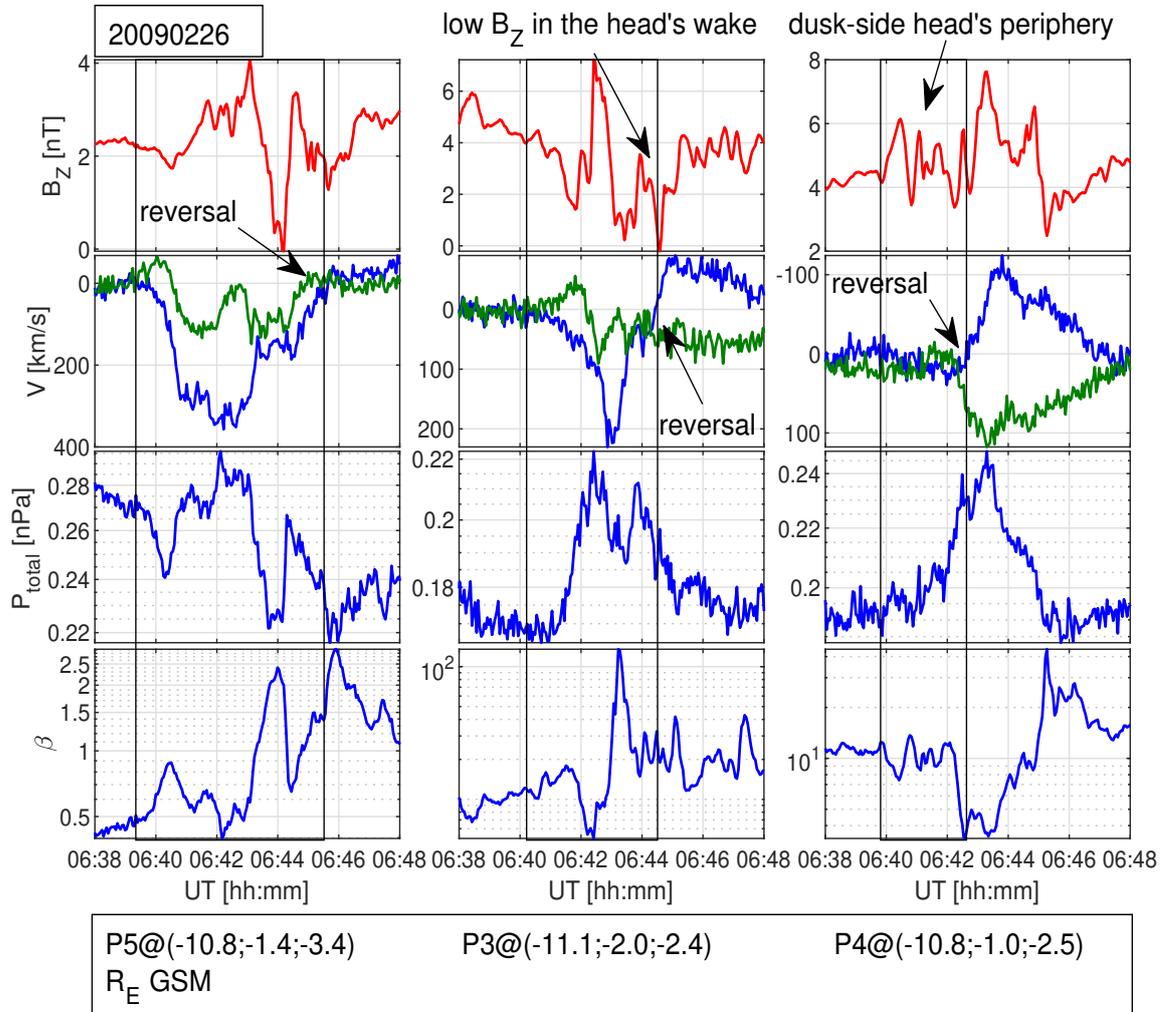
662 **Figure 9.** THEMIS P5 observations during dipolarization front crossing on 15 February 2008 between 6:21  
 663 and 6:26 UT. The data are presented in the same layout as each column in Figure 8.



664 **Figure 10.** THEMIS P4 observations during dipolarization front crossing on 15 February 2008 between  
 665 7:10 and 7:20 UT. The data are presented in the same layout as each column in Figure 8.



666 **Figure 11.** THEMIS P5 (left), P3 (middle) and P4 (right) observations during dipolarization front crossing  
 667 on 26 February 2009 between 6:55 and 7:05 UT. The data in each column are presented in the same layout as  
 668 columns in Figure 8.



669 **Figure 12.** THEMIS P5 (left), P3 (middle) and P4 (right) observations during dipolarization front crossing  
 670 on 26 February 2009 between 6:55 and 7:05 UT. The data in each column are presented in the same layout as  
 671 columns in Figure 8.