

**The Spatial Distribution of Charge Exchange Loss Contributions
to Storm Time Ring Current Decay: Van Allen Probes
Observations**

S. Y. Li^{1,2,3}, H. Luo^{1,2,3,*}, Y.S. Ge^{1,2,3}, A.M. Du^{1,2,3,4}, E. A. Kronberg⁵, C. Huang^{1,2,3}, Y.
Zhang^{1,2,3}, G.X. Chen^{1,2,3}

¹Key Laboratory of Earth and Planetary Physics, Institute of Geology and Geophysics,
Chinese Academy of Sciences, Beijing, 100029, China

²Geoscience school, University of Chinese Academy of Sciences, Beijing, 100049,
China

³Innovation Academy for Earth Science, CAS, Beijing, 10029, China

⁴Macau University of Science and Technology, Macau, China

⁵Department of Earth and Environmental Sciences, Ludwig-Maximilians University,
Munich, Germany

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*Hao Luo (Corresponding author) luohao06@gmail.com

Abstract: Charge exchange between hot ions and cold neutral atoms is an important effect in ring current loss processes on magnetized planets. In this letter, we investigate the spatial distribution of charge exchange loss contributions to terrestrial ring current decay using data from the Van Allen Probes. These contributions were calculated by dividing local energetic neutral atom energy density escape rates by local ring current energy density decay rates. The results exhibit clear MLT and L dependence, with larger contributions observed nightside during the recovery phase of geomagnetic storms. The contribution of H^+ peaked at $L \sim 4$ during early recovery phases and was stronger at higher L shells during late recovery phases, while O^+ decreased slightly with L shell. Possible explanations for this inhomogeneous distribution are also discussed. The asymmetric exospheric hydrogen density distribution may cause the inhomogeneous distribution in the MLT, while the L dependence may be related to the charge exchange cross-section and the ion energy flux. These results provide the first spatial distribution of charge exchange contributions, which is helpful for understanding local terrestrial ring current evolution.

1. Introduction

A critical part of the global system on most magnetized planets (e.g., Earth, Jupiter, and Saturn) involves a current carried by magnetospheric charged particles flowing in the azimuthal direction near the equatorial plane, often referred to as the “ring current” (Sergis et al., 2018). The terrestrial ring current consists of ions with energies ranging from a few keVs to several hundred keVs, flowing toroidally around the Earth at distances of roughly $2-8 R_E$ (Daglis et al., 1999). Severe perturbations can be induced in

43 this ring current by solar winds, called geomagnetic storms (Gonzalez et al., 1994). On
44 Jupiter, injection-like phenomena caused by solar winds can occur in clusters, called
45 “energetic events” (Louarn et al., 2000), that are likened to magnetic storms on Earth
46 (Mauk et al., 1999). Similar particle injections also occur on Saturn (Mauk et al., 2005).
47 The addition and loss of ions is critical to the evolution of ring currents on most
48 magnetized planets.

49 Charge exchange is a common loss process for magnetized planets. On Earth,
50 charge exchange between the ring current energetic ions and cold neutral hydrogen
51 from the exosphere have been assumed to be the primary contributors to storm time
52 terrestrial ring current degradation (Daglis & Kozyra, 2002; Fok et al., 1993; Jorgensen
53 et al., 2001; Keika et al., 2006). On Saturn, charge exchange occurs between hot ring
54 current ions and cold neutral gases from Enceladus (Mauk et al., 2005). On Jupiter,
55 cold neutral atoms originate from gases sputtered by Io (Mauk, 2020; Smith et al.,
56 2019) and Europa at $R = 9.5 R_J$ (Mauk, 2004; Mauk et al., 2003).

57 Additional loss processes proposed on Earth include: precipitation into the
58 ionosphere due to pitch angle scattering by electromagnetic ion cyclotron (EMIC)
59 waves (Jordanova et al., 2006; Jorgensen et al., 2001) or by field line curvature (FLC)
60 (Ebihara et al., 2011; Young et al., 2008), outflow of energetic ions from the
61 magnetosphere through the magnetopause (Keika et al., 2005; Liemohn et al., 2001),
62 and Coulomb collisions between hot ring current ions and plasmaspheric electrons (Fok
63 et al., 1995). On Jupiter and Saturn, losses are also associated with scattering by waves
64 of particles into the loss cone (Mauk, 2020).

While various loss mechanisms have been proposed, few studies have statistically investigated the spatial dependence of loss process contributions, which could provide valuable insights into the different roles of loss processes at specific spatial positions. As such, in the present study, we estimate local charge exchange energy losses for the terrestrial ring current (H^+ and O^+) and statistically examine their contributions to local current decay. Charge exchange loss is typically measured by energetic neutral atoms (ENAs), which are products of charge exchange processes (Fahr et al., 2007; Wing et al., 2020). ENAs are no longer trapped by the magnetic field, carrying significant amounts of energy escaping from the magnetosphere, thereby reducing the energy of ring currents on magnetized planets (Cheng, 1986; Keika et al., 2006; Mauk et al., 2003). ENA energy was simulated in this study using the model of Keika et al. (2011), based on differential ion flux data from the Van Allen Probes. To the best of our knowledge, this is the first statistical investigation of the spatial distribution of charge exchange loss contributions for both H^+ and O^+ .

2. Data and methodology

2.1 Data

This study utilized ion data (Level 3) from the RBSPICE and HOPE instruments onboard the Van Allen Probes, which consist of two sun-pointing, spin-stabilized spacecrafts (A and B) in a highly elliptical, 9-hour, near-equatorial orbit with perigee at $1.1 R_E$ and apogee at $5.8 R_E$. The HOPE (Funsten et al., 2013) and RBSPICE (Mitchell et al., 2014) instruments provide measurements of ion flux (H^+ and O^+) with energies of 1–50 keV and >50 keV, respectively. RBSPICE provides measurements of

oxygen ions without differentiating charge states, but O^+ should dominate. The data products we used from the RBSPICE instrument include: PAP_TOF \times EH and PAP_TOF \times EO products acquired from the TOF \times E measurements, and PAP_TOF \times PHOHELT data obtained from the TOF \times PH measurements. The TOF \times E products included H^+ ion flux with energies of 55–600 keV and O^+ data for the 183–665 keV range, while the TOF \times PH products included O^+ ion flux with energies of 53–169 keV. The type of the ion fluxes we used was the omni-directional differential ion flux observed for each energy channel. All HOPE data were multiplied by 2 to match the RBSPICE measurements. The cross-calibration of these instruments is detailed on the LANL website (http://rbsp-ect.lanl.gov/rbsp_ect.php), wherein 87% of the data points agree to within a factor of 2 for protons on RBSPs. The spacecraft orbit completely covered the MLT and L range (L=3–5.5) between January 2013 and December 2016, making the data appropriate for spatial distribution studies.

The observation period exhibited 18 magnetic storms with an SYM-H index minimum smaller than -100nT and 61 storms with an SYM-H index minimum between -100nT and -50nT . Each storm was analyzed visually and the start (minimum of the SYM-H index) and end (up to pre-storm level, no less than -20nT) times for the recovery phase were recorded. Early and late recovery phases were divided visually using the SYM-H index recovery rate, when the slope changed significantly.

2.2 Methodology

Local charge exchange energy loss rates were estimated using simulated ENAs calculated using the modified method of Keika et al. (2006; 2011). In these studies, the

distribution was assumed to be isotropic and the total ENA energy escaping from the spherical surface per unit time ($\frac{dQ}{dt}$) was given by:

$$\frac{dQ}{dt} \approx 4\pi \sum_i E_i \Delta E_i \int \sigma_i^{10} n_H J_i^{ION} dV, \quad (1)$$

In our study, we assumed the spherical surface was infinitely small at the observed position of interest. The corresponding ENA energy density escape rate was then:

$$\frac{dD}{dt} \approx 4\pi \sum_i E_i \Delta E_i \sigma_i^{10} n_H J_i^{ION}, \quad (2)$$

where σ^{10} is the charge-exchange cross section calculated by Lindsay and Stebbings (2005), n_H is the geocorona density calculated by Rairden et al. (1986) and Cson Brandt et al. (2002), J^{ION} is the omni-directional differential flux of ions from the Van Allen Probes observations, and $E_i(\Delta E_i)$ is the geometrical mean energy (the energy band width) of the i^{th} energy step.

Ring current energy density decay rate at the observation point was calculated as the decrease in energy density between two adjacent sampling points divided by the sampling interval. Energy density was given by:

$$\varepsilon = 2\pi \sum_i \sqrt{2mE_i} J_i^{ION} \Delta E_i, \quad (3)$$

The differential mean value theorem suggests the decay rate can be replaced by:

$$\frac{\varepsilon(t_2) - \varepsilon(t_1)}{t_2 - t_1} = \varepsilon'(t) \quad t \in (t_1, t_2), \quad (4)$$

where $\varepsilon(t_1)$ and $\varepsilon(t_2)$ are the calculated energy densities at two adjacent sampling points and $\varepsilon'(t)$ is the slope of a linear fit to the data. The window length for this fit is 7.5 minutes, during which the distance traveled by the probes did not exceed 0.2 MLT \times 0.2 L.

The results of equation (4) included both spatial variations for the spacecraft and temporal variations. Thus, to exclude the effects of orbital displacement, a correction was made using average energy density variations with L shell, from the RBSP data (observed in the L shell from 2013 to 2016). Four calibration curves were used for specific geomagnetic conditions, including: $\text{SYM_H} < -50 \text{ nT}$, $-50 \text{ nT} < \text{SYM_H} < -30 \text{ nT}$, $-30 \text{ nT} < \text{SYM_H} < -10 \text{ nT}$, and $\text{SYM_H} > -10 \text{ nT}$. The calibration curve was subtracted from the change in energy density only if the change in the L shell exceeded 0.001 L in a 30s window. A detailed description of this correction process is provided in the Appendix.

Finally, we can determine the charge exchange loss contribution at any given location by dividing the ENA energy density escape rate by the ring current energy density decay rate.

3. Results

A case study from an April 11–17 (2014) storm is shown in **Figure 1**, to illustrate the calculation of charge exchange contributions. A period of 1.5 hours was selected during the early recovery phase, as indicated by the two black lines in **Figure 1a**. **Figures 1b-1f** show corresponding results for this period. The SYM_H index increases with time during the recovery phase of the storm, while the energy density decreases, as shown in **Figure 1c**. **Figure 1d** displays the change rate for the energy density. The blue line represents the change for two adjacent sampling points, divided by the sampling interval. The red line shows the two-step correction to the blue line results. First, the slope of a linear fit line was used to replace the blue line result, as shown in Equation

(4). Second, changes in energy density caused by variations in spacecraft location were subtracted using the calibration curve, according to the instantaneous SYM-H index for each observed time. This produced the corrected energy density decay rate (the red line result).

The charge exchange energy density loss rate (ENA energy density escape rate), calculated using Equation (2), is shown in **Figure 1e**. The blue and red lines correspond to H^+ and O^+ , respectively. **Figure 1f** shows the charge exchange loss contribution, calculated by dividing the result of **Figure 1e** by that of **Figure 1d**. It is evident the charge exchange contribution of H^+ is higher than that of O^+ . In addition, the satellite orbit during this period is at 11–13 MLT (the area with the lowest charge exchange contribution), as seen in the statistical results of **Figure 3c**.

The spatial distributions of the results for each charge exchange contribution calculation step, during the storm recovery phase from January 2013 to December 2016, were investigated statistically. The results are provided in polar MLT-L coordinates in **Figure 2**. The equatorial plane was divided into $0.5MLT \times 0.5L$ grids, in which the color represents an average over all points in the grid. The Spatial distributions of ENA energy density escape rates, calculated using Equation (2) for H^+ and O^+ during the early recovery phase, are shown in **Figures 2a** and **2b**, while those of the late recovery phase are shown in **Figures 2e** and **2f**. Note the ENA energy density escape rate is larger at nightside than at dayside for both H^+ and O^+ . In addition, the O^+ rate is larger at lower L shells, while that of H^+ is largest at $L \sim 4$. **Figures 2c** and **2g** show spatial energy density distributions in agreement with previous studies (Daglis et al., 2003; Ebihara &

Ejiri, 2000; Fok et al., 1996; Le et al., 2004; Liemohn et al., 2001). Ring current energy density is asymmetric during the early recovery phase, as shown in **Figure 2c**, but its MLT distribution becomes symmetric in late recovery phases, as shown in **Figure 2g**. However, the decay rate MLT distributions are nearly symmetric throughout the recovery phase, as shown in **Figures 2d** and **2h**, with larger values at lower L shells.

Figure 3 shows the spatial distribution of charge exchange energy density loss rate contributions (percentages) to local ring current energy density decay rates for both H^+ and O^+ . **Figures 3a-3d** and **3e-3h** show statistical results from early and late recovery phases, respectively. The results exhibit a clear MLT dependence for both H^+ and O^+ , with larger values appearing at nightside, similar to the distribution of ENA energy density escape rates shown in **Figure 2**. We estimated the average global charge exchange contribution for H^+ (O^+) at nightside to be nearly twice as large as that at dayside in the early recovery phase and ~2.5 times larger in the late recovery phase. This day-night asymmetry is consistent with the day-night asymmetry in the model result for the exospheric hydrogen density distribution (Cson Brandt et al., 2002; Rairden et al., 1986), as shown in **Figure 4g**.

Average charge exchange contributions from H^+ and O^+ are shown for varying L shells ($\Delta L = 0.25$) in **Figures 3d** and **3h**. Vertical error bars indicate statistical errors, indicated by the standard deviation of all points in each grid. The blue and orange rectangles correspond to the error bars for H^+ and O^+ , respectively. **Figure 3d** shows a peak in the average charge exchange contribution from H^+ at $L=4$. The average contribution from O^+ decreases monotonically with L shell during the early recovery

phase. In **Figure 3h**, the H^+ average contribution increases monotonically with L shell but the growth rate decreases when $L > 5$ during the late recovery phase. The overall trend for O^+ is consistent across both phases.

Figure 3 also clearly indicates the charge exchange contribution from H^+ is larger than that of O^+ . We estimated the average global charge exchange contribution from H^+ to be twice as large as that of O^+ in the early recovery phase and nearly 3 times larger in the late recovery phase, suggesting H^+ is more dominant than O^+ in energy loss processes. In addition, the average contribution from O^+ during the early recovery phase was ~ 1.5 times larger than that during the late phase. This is consistent with the results of Keika et al. (2006), who showed that O^+ charge exchange contribution increased as Dst index decreased. Similar studies (Daglis et al., 2003; Hamilton et al., 1988) have also demonstrated that O^+ was important in early rapid recovery phases.

4. Discussion

The L dependence of charge exchange contributions shown in **Figure 3** was investigated by examining average charge exchange cross-section and average ion energy flux varying with L shell. These important factors were calculated using H^+ and O^+ data from the Van Allen Probes, collected from 2013 to 2016. The average ion energy (**Figures 4a-4b**), cross-section (**Figures 4c-4d**), and energy flux (**Figures 4e-4f**) were plotted as functions of L shell ($\Delta L = 0.25$) during both early and late recovery phases. **Figure 4g** shows the exospheric hydrogen density distribution calculated using the model of Cson Brandt et al. (2002) and Rairden et al. (1986). The blue and red lines represent early and late recovery phases, respectively. Average ion energy shown

218 in **Figures 4a-4b** was given by:

$$219 \quad \bar{E}_{ion} = \frac{\sum_i E_i \Delta E_i J_i^{ion}}{\sum_i \Delta E_i J_i^{ion}}, \quad (5)$$

220 where J^{ion} is the omni-directional differential ion flux and $E_i(\Delta E_i)$ is the
221 geometrical mean energy (the energy bandwidth) of the i^{th} energy step. The model of
222 Lindsay and Stebbings (2005) was then used to calculate average charge exchange
223 cross-sections for H^+ and O^+ using average ion energy data, as shown in **Figures 4c-4d**.

224 The H^+ cross-section increases monotonically with L shell while the growth rate
225 decreases for $L > 4$. The average energy flux for H^+ (shown in **Figure 4e**) was calculated
226 from the differential ion flux observed by the Van Allen Probes. It peaks at $L \sim 4$, which
227 is consistent with the results of previous studies (Keika et al., 2011; McEntire et al.,
228 1985). These results suggest contributions should increase monotonically with L shell
229 throughout the recovery phase. However, a decrease occurs in the early recovery phase
230 ($L > 4$) because of a rapid decrease in average energy flux. A gradual flux decrease
231 occurs in late recovery phases ($L > 4$), which did not reverse the trend of increasing
232 charge exchange contributions due to larger cross-section. However, this effect is
233 sufficient to limit flux increasing for $L > 5$. Thus, we can infer that although the
234 cross-section is an important factor in the L-dependence of H^+ charge exchange, energy
235 flux becomes a dominant factor for $L > 4$.

236 Average charge exchange cross-sections for O^+ are observed to decrease
237 monotonically with L shell throughout the recovery phases, as shown in **Figure 4d**,
238 which is consistent with the L dependence of O^+ contributions. In other words, the

cross-section, not the energy flux, is the dominant factor in O^+ charge exchange. In addition, contributions from O^+ are slightly larger than those of H^+ at $L=3-3.5$, as seen in **Figures 3d** and **3h**. Cross-section is also a dominant factor for H^+ at $L<4$ and is 2–3 orders of magnitude smaller than that of O^+ at $L=3-3.5$, as shown in **Figures 4c** and **4d**, suggesting contributions from O^+ are larger than those of H^+ .

Figure 3 shows a clear MLT dependence of the charge exchange contribution, including a day-night asymmetry caused by similar trends in exospheric hydrogen density distributions, calculated using the model of Rairden et al. (1986) and Cson Brandt et al. (2002). Ilie et al. (2013) determined the neutral hydrogen density distribution in the equatorial plane using various geocoronal models, most of which (Bailey & Gruntman, 2011; Østgaard, 2003; Zoennchen et al., 2010) also predicted a day-night asymmetry. Cson Brandt et al. (2002) compared two exospheric models (day-night symmetric and asymmetric), achieving more realistic plasma sheet flux with a model exhibiting higher nightside exospheric hydrogen densities. Thus, day-night asymmetry in the exospheric hydrogen density distribution may be the most plausible explanation for the similar trend in charge exchange.

5. Conclusions

In summary, this study presents the first statistical survey of charge exchange contribution spatial distributions for both hydrogen and oxygen ring current ions. Several conclusions are presented below. 1) A clear spatial dependence was observed. The MLT dependence of both H^+ and O^+ exhibited larger charge exchange contributions nightside throughout the recovery phase. For the L dependence, there was

261 a peak H^+ contribution at $L \sim 4$ during the early recovery phase, while the contribution
262 increased monotonically with L shell but the growth rate became small for $L > 5$ during
263 the late recovery phase. The O^+ contributions decreased monotonically with L shell
264 throughout the recovery phase. 2) The contribution of H^+ was more dominant than that
265 of O^+ in the charge exchange energy loss process.

266 Our study provides valuable insights into the role of charge exchange loss
267 processes at different spatial positions, which is helpful for understanding local
268 terrestrial ring current evolution. We believe that contributions from the other three
269 terrestrial ring current decay mechanisms, introduced in **Section 1**, are also important.
270 Their contributions to ring current decay may also be spatially asymmetric, as with the
271 charge exchange process. However, a study of these effects is beyond the scope of this
272 paper and is expected to be studied in a future work.

273

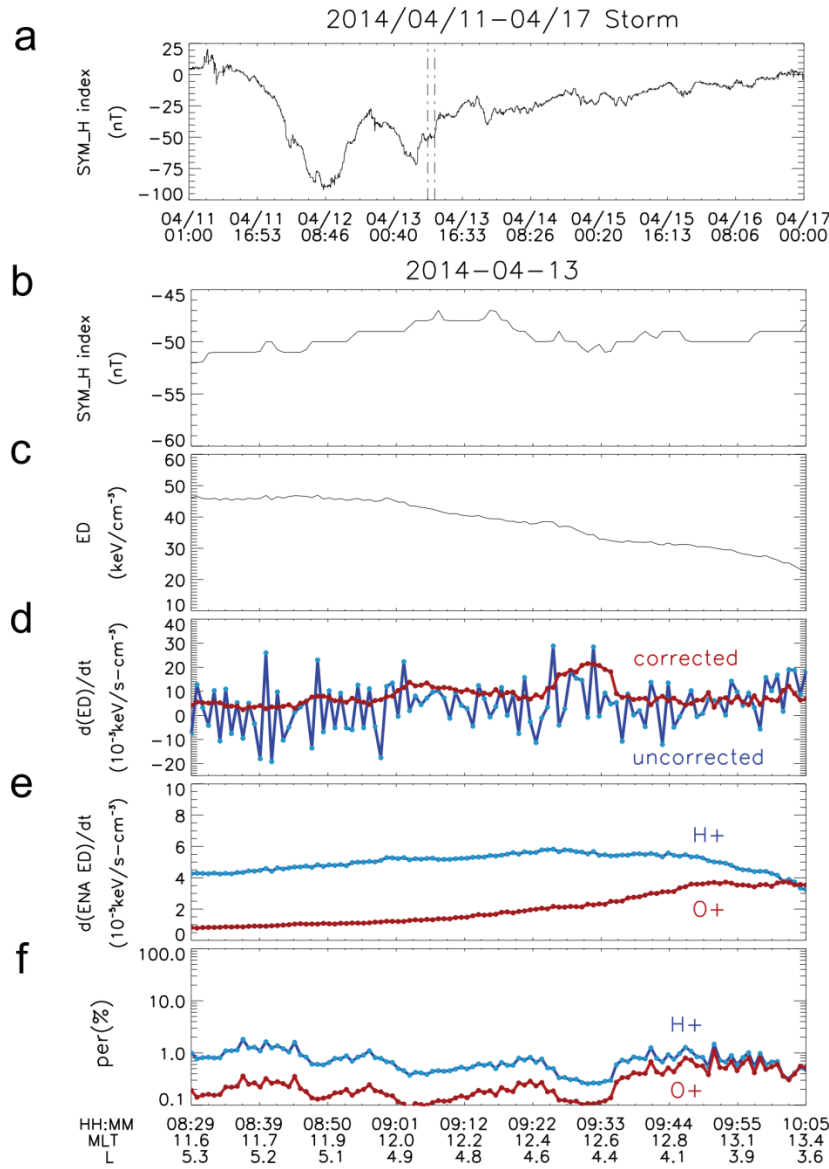
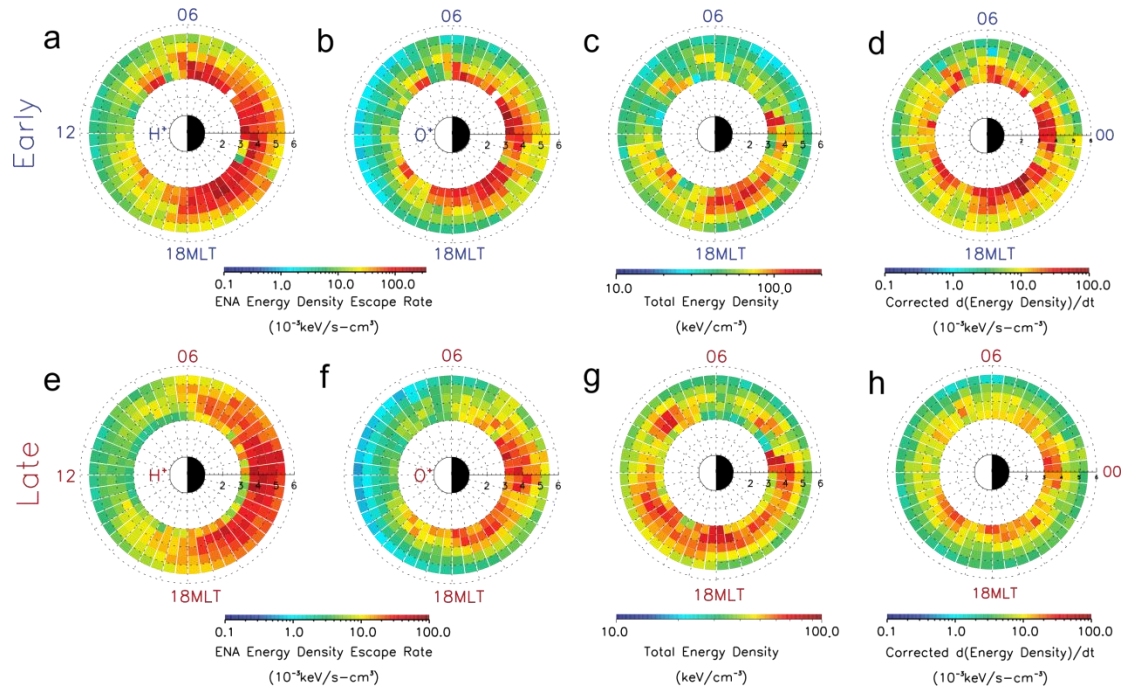


Figure 1. (a) The SYM_H index during an April 11–17 (2014) storm. (b)–(f) Plots during the time indicated by the two black dashed lines in Figure 1a. (b) SYM_H index. (c) Local ring current energy density sums for H^+ and O^+ , calculated using Equation (3). (d) The initial result (blue line) and corrected result (red line) for ring current energy density decrease rate. (e) ENA energy density escape rates for H^+ (blue line) and O^+ (red line), calculated using Equation (2). (f) The contribution (percentage) of ENA energy density escape rate to the corrected ring current energy density decrease rate for H^+ (blue line) and O^+ (red line).



283

284 **Figure 2.** An overview of spatial distribution results during an early (a)-(d) and
 285 (e)-(h) recovery phase. (a), (b), (e), (f) ENA energy density escape rates for H^+ and O^+ .
 286 (c), (g) Ring current energy density sums for H^+ and O^+ , calculated using Equation (3).
 287 (d), (h) Corrected ring current energy density decay rates. All results are shown in polar
 288 MLT-L coordinates and the value in each grid ($0.5\text{MLT} \times 0.5L$) is an average over all
 289 points in the grid.

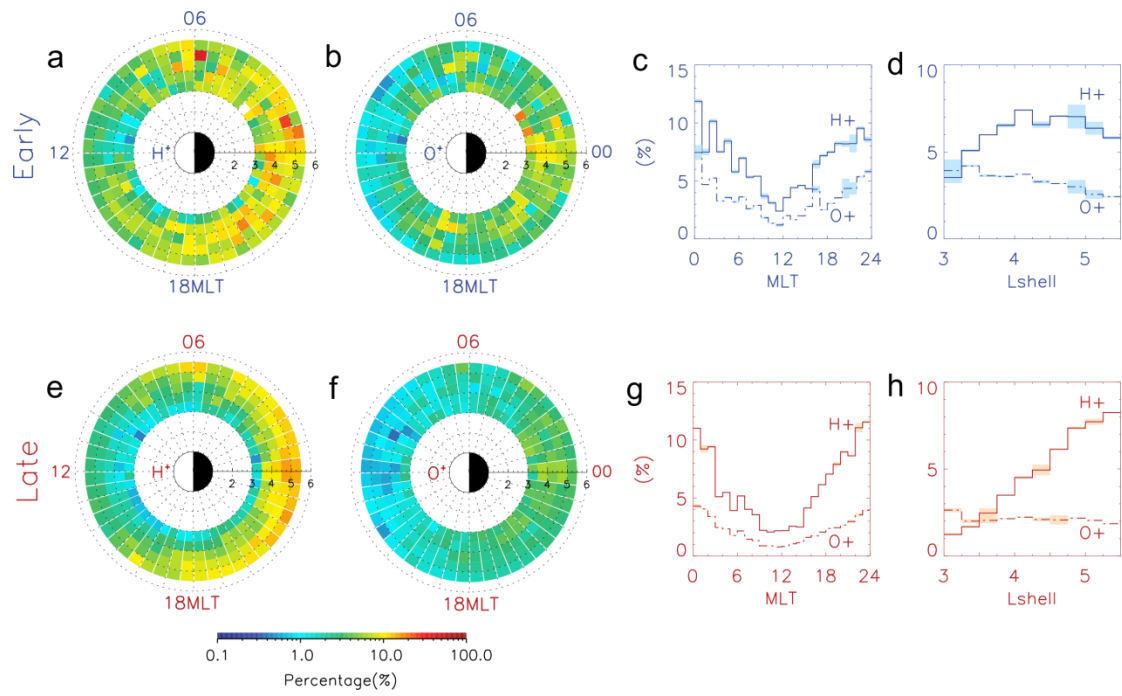


Figure 3. The spatial distribution of charge exchange energy density loss rate contributions to local ring current energy density decay rates, for H^+ and O^+ , during early (a)-(d) and late (e)-(h) recovery phases. (a), (b), (e), (f) Spatial distributions in polar MLT-L coordinates. The other format is equivalent to that of Figure 2. (c), (g) Average contributions for H^+ (solid lines) and O^+ (dashed lines) as a function of MLT ($\Delta MLT=1$). (d), (h) Average contributions as a function of L shell ($\Delta L = 0.25$). The error bars for early (late) recovery phases are denoted by blue (orange) rectangles.

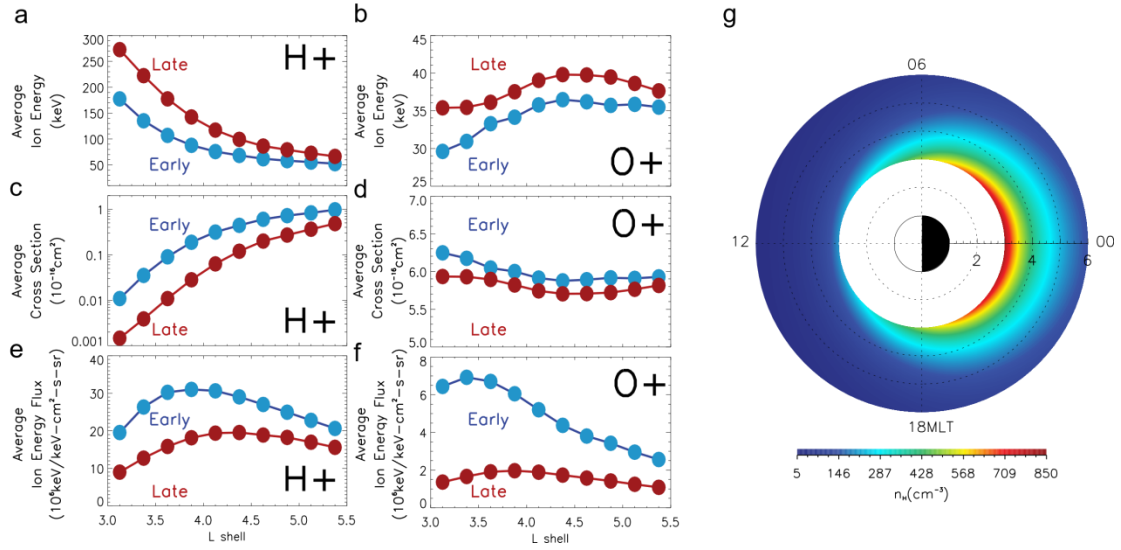
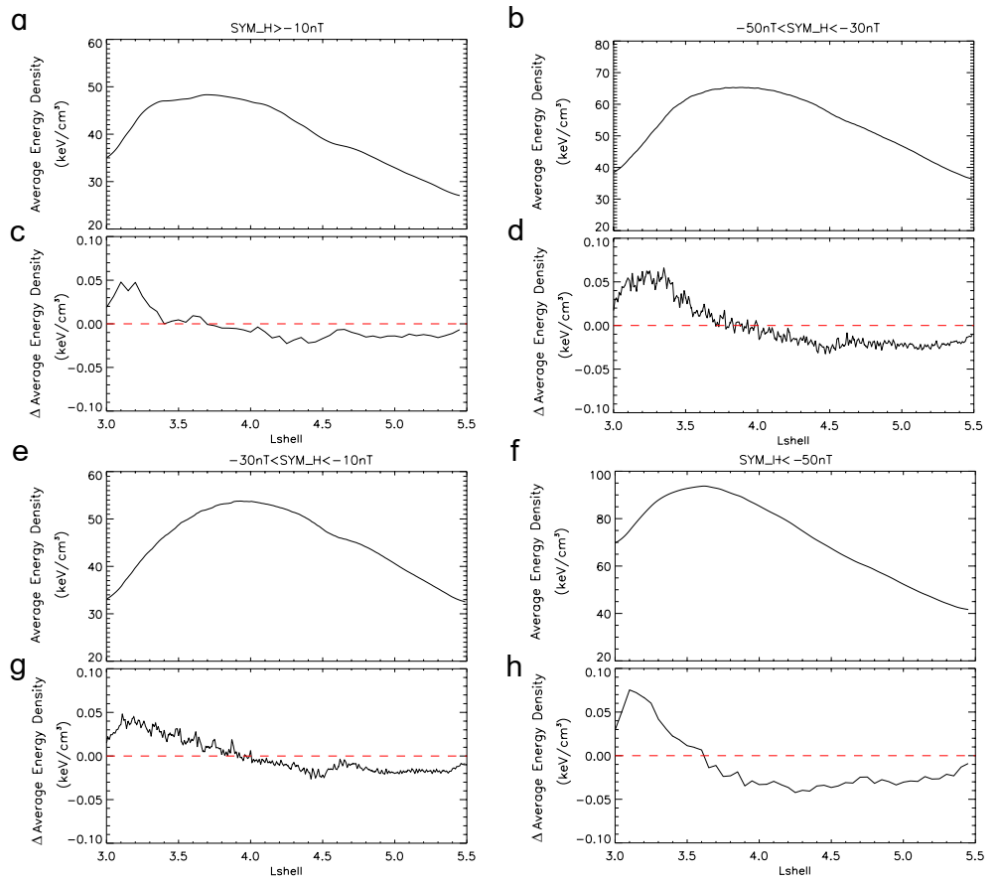


Figure 4. (a), (b) Average ion energy as a function of L shell ($\Delta L = 0.25$) for H⁺ and O⁺. (c), (d) Average charge exchange cross-section as a function of L shell ($\Delta L = 0.25$) for H⁺ and O⁺. Cross-sections are calculated by Lindsay and Stebbings (2005). (e), (f) Average ion energy flux as a function of L shell ($\Delta L = 0.25$) for H⁺ and O⁺. Results during early (late) recovery phases are indicated by blue (red) points. (g) The spatial distribution of exospheric hydrogen density, calculated using the model of Cson Brandt et al. (2002) and Rairden et al. (1986).

Appendix

Correction of spacecraft spatial variations. This correction was included to remove the influence of orbital displacement (primarily in the L shell direction) on energy density variations at observed positions. We first calculated the average energy density using RBSP data (from 2013–2016) under four different conditions: SYM-H < -50 nT, -50 nT < SYM-H < -30 nT, -30 nT < SYM-H < -10 nT and SYM-H > -10 nT. We then calculated the change in average energy density with L shell using calibration curves with a resolution of 0.001 L. These curves are shown for four different conditions in

316 **Appendix Figure 1.** Specific correction steps were as follows: (1) the observed point
 317 was assumed to be on the linear fit line and was extended 15s forward and backward to
 318 calculate the change in energy density based on the linear fit. This variation is
 319 considered as the change in energy density over 30 seconds. (2) The energy density in
 320 this 30-second window was corrected at the observed point using the calibration curves
 321 discussed above. Curves were subtracted from the change in energy density only if the
 322 change in L shell exceeded 0.001 in the 30-second window. (3) The decrease in energy
 323 density was divided by the interval time (30 s) to produce a local ring current energy
 324 density decrease rate at the observed point.



325

326 **Appendix Figure 1.** (a), (b), (e), (f) Average energy density as a function of L shell
 327 ($\Delta L = 0.05$) under four different geomagnetic conditions. (c), (d), (g), (h) The

corresponding calibration curves under four different geomagnetic conditions.

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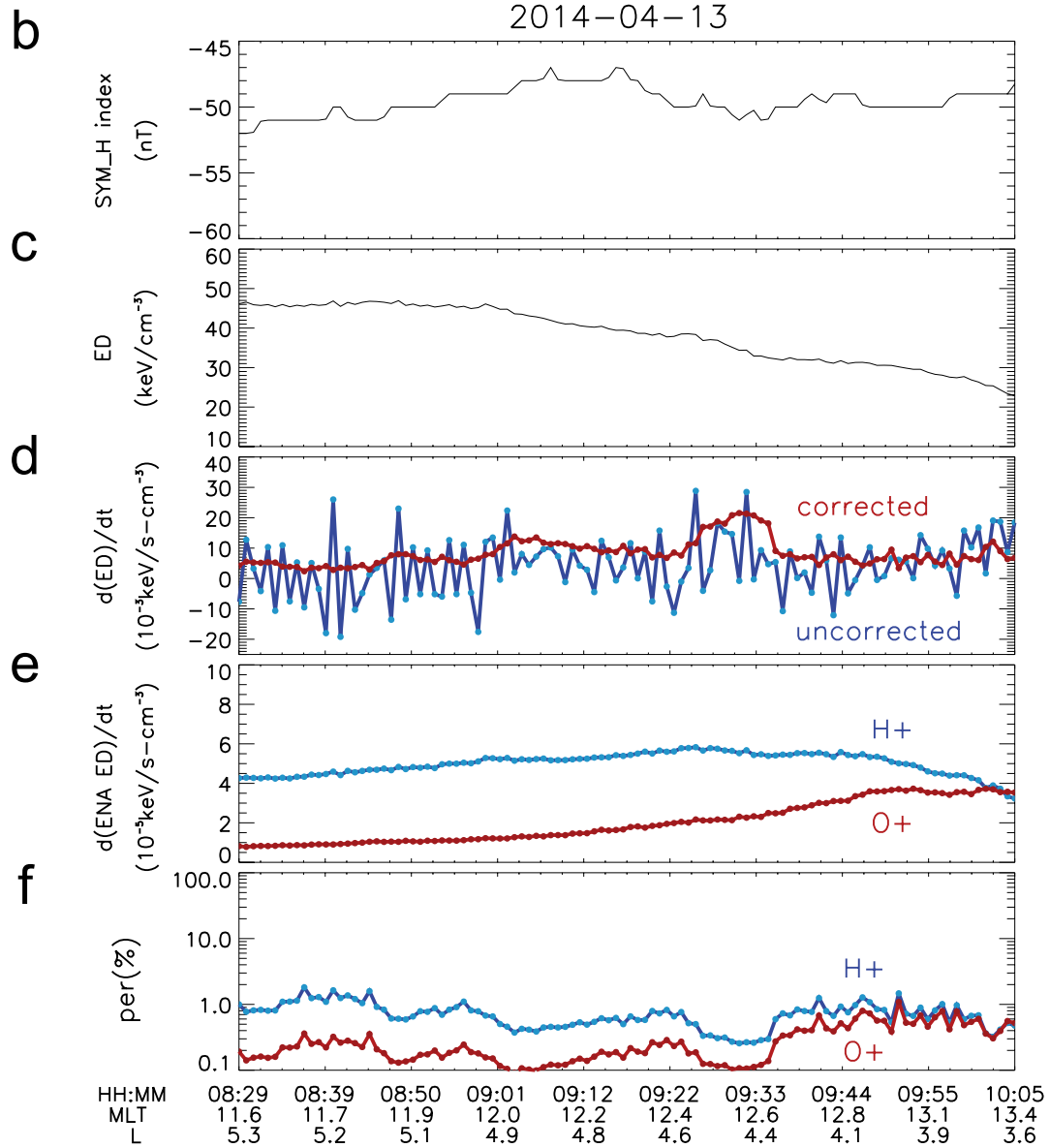
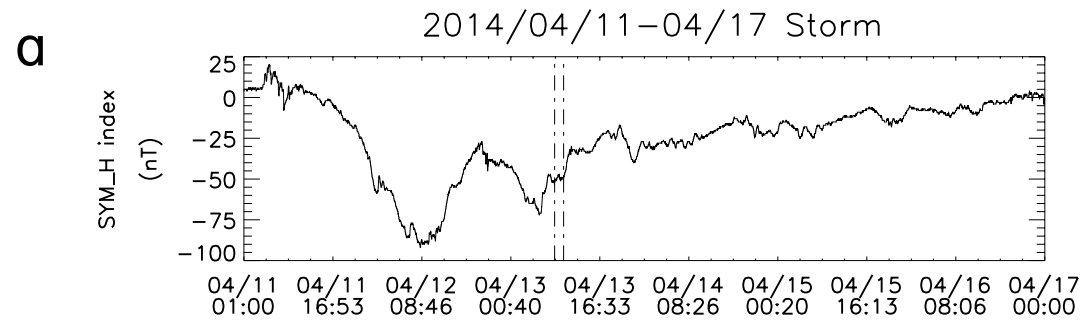
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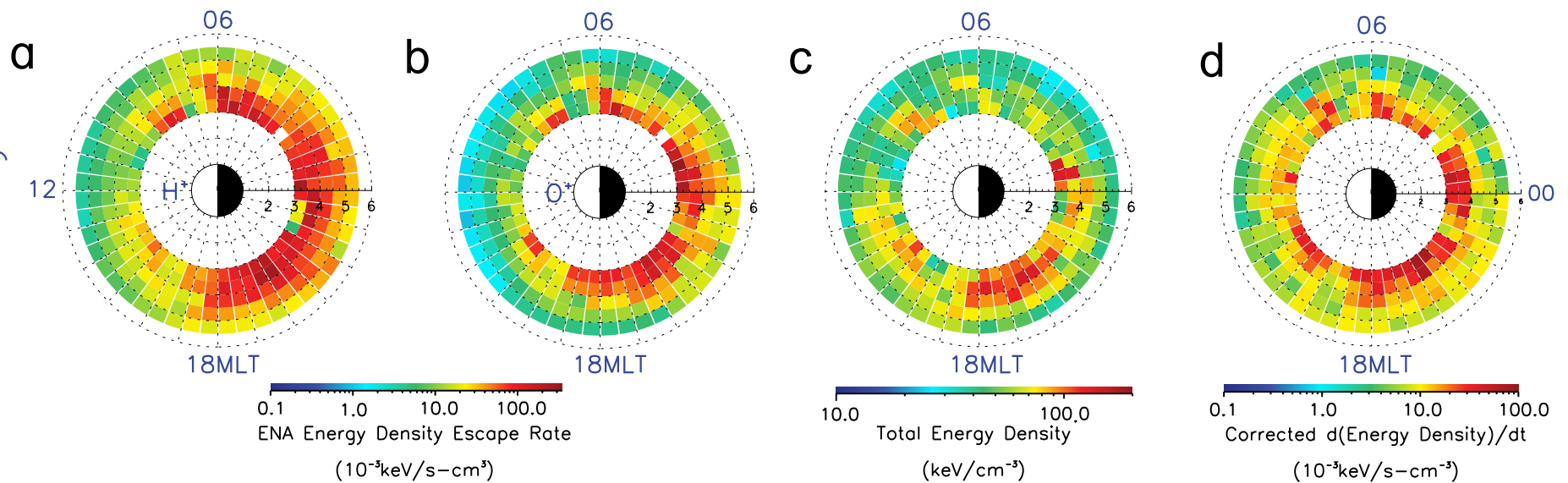
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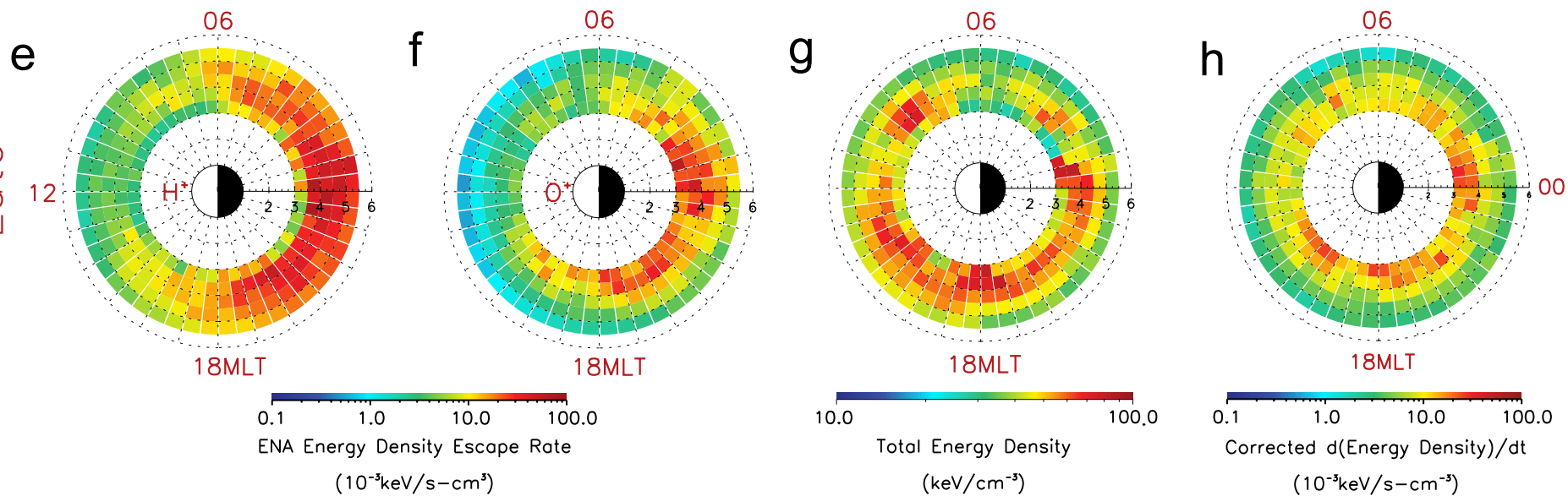
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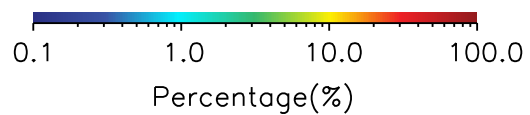
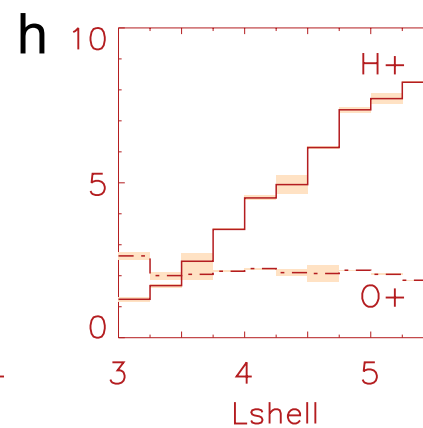
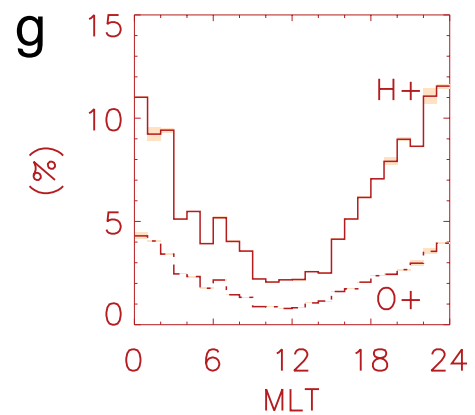
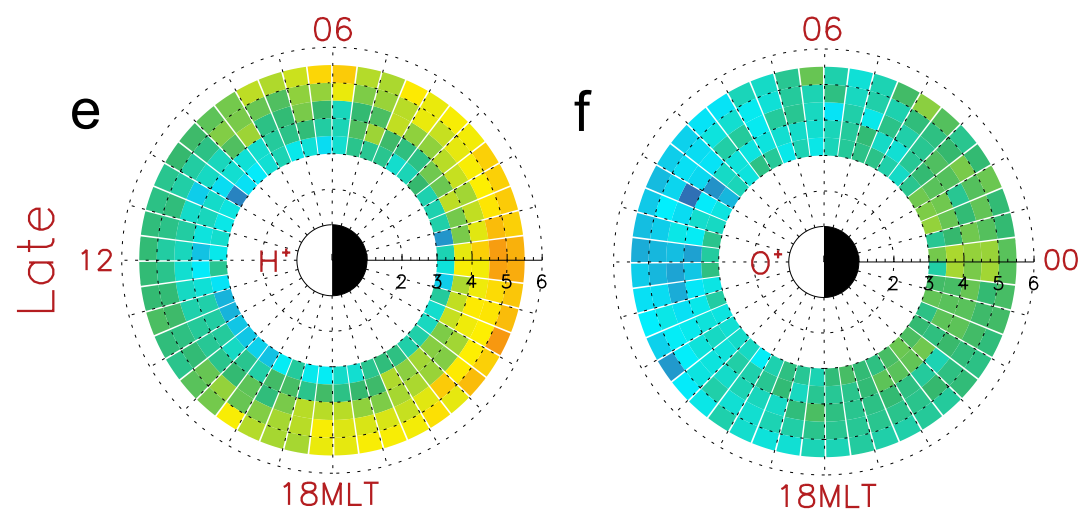
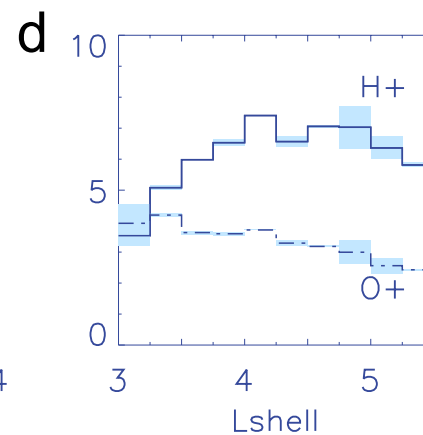
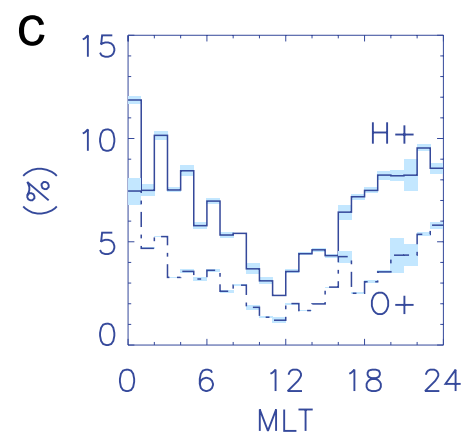
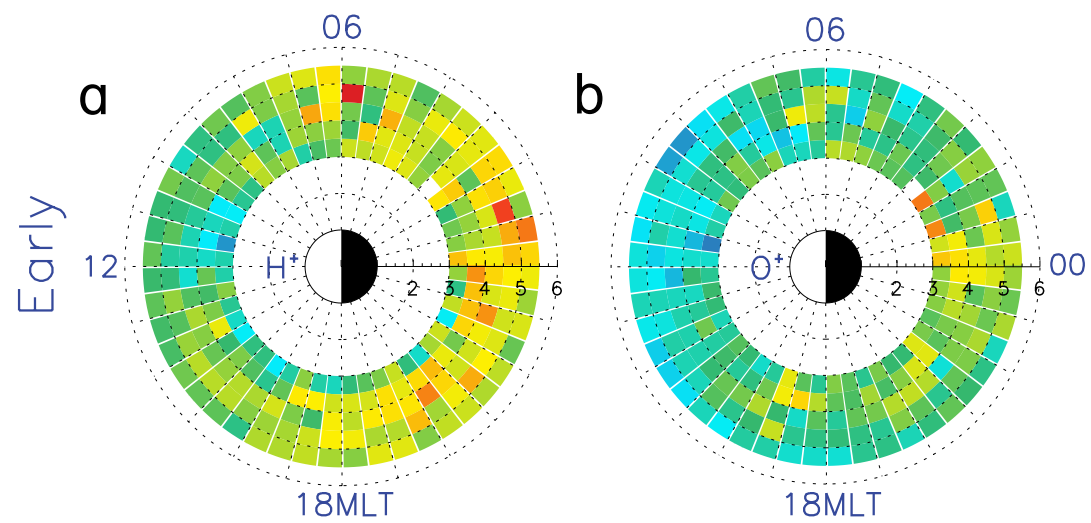


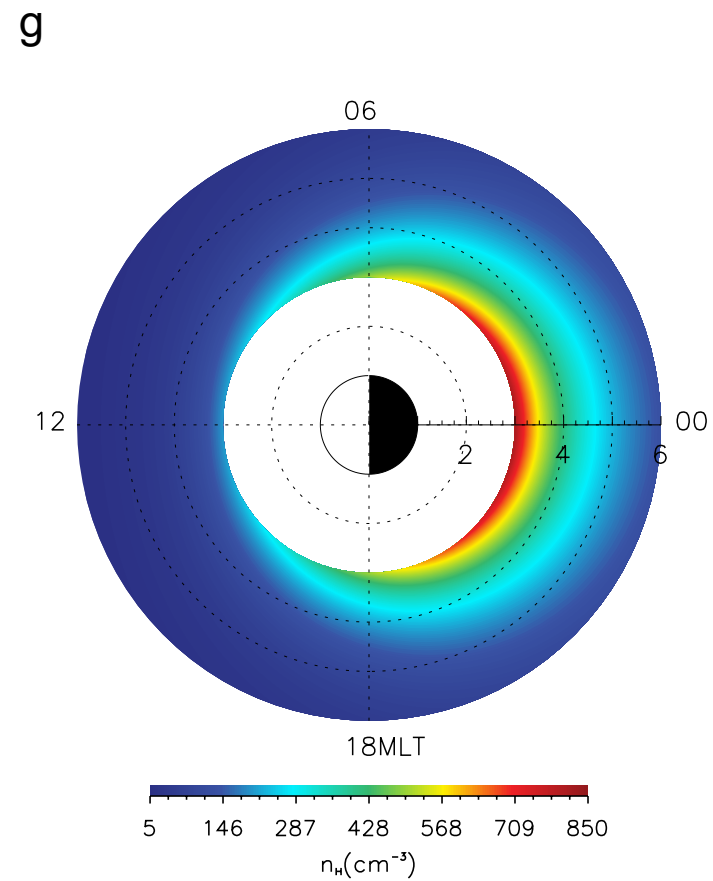
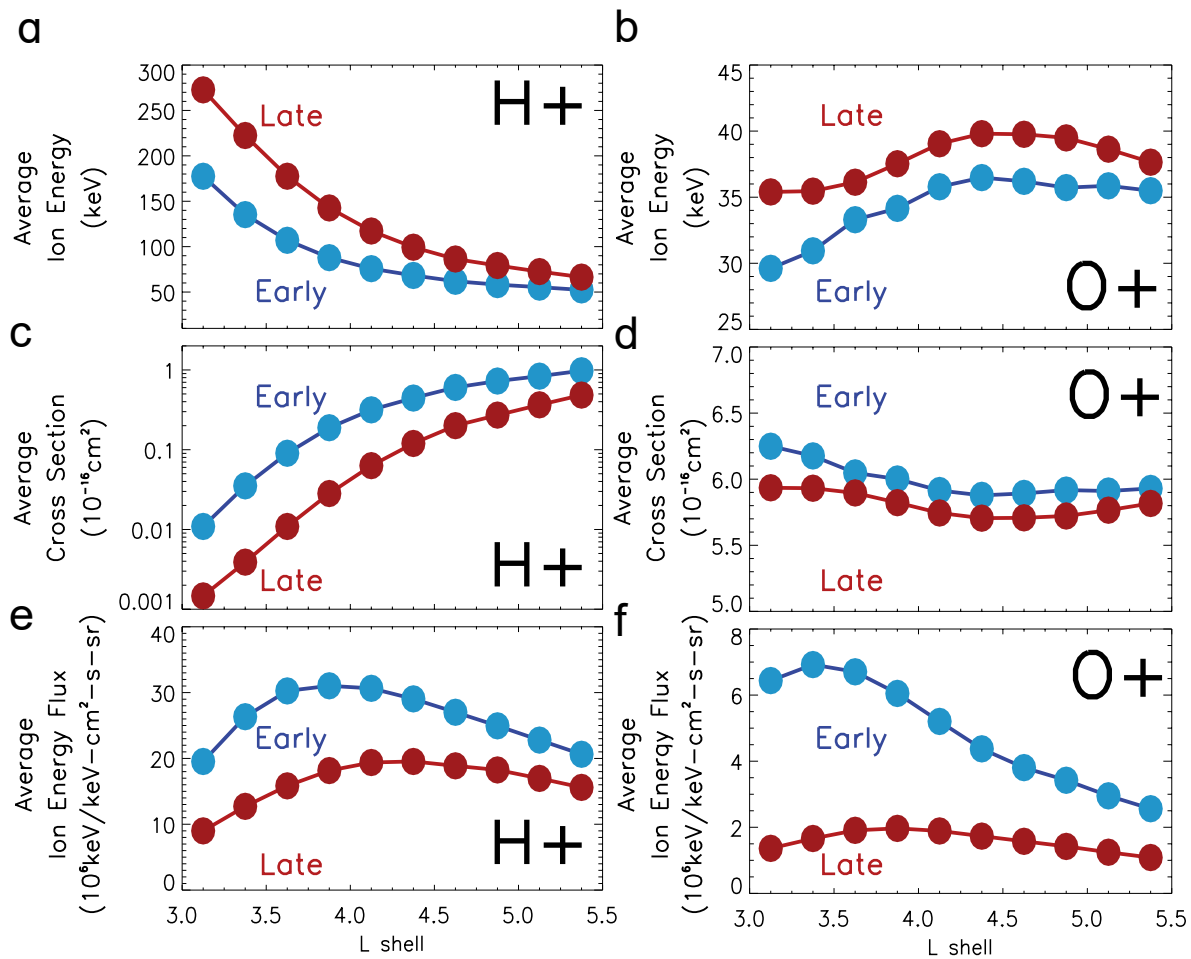
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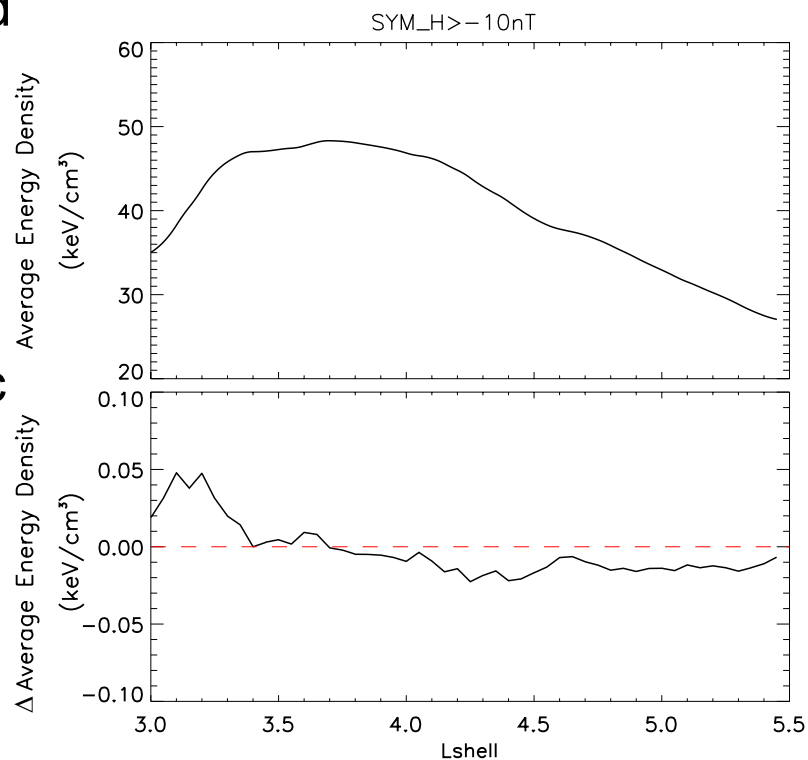
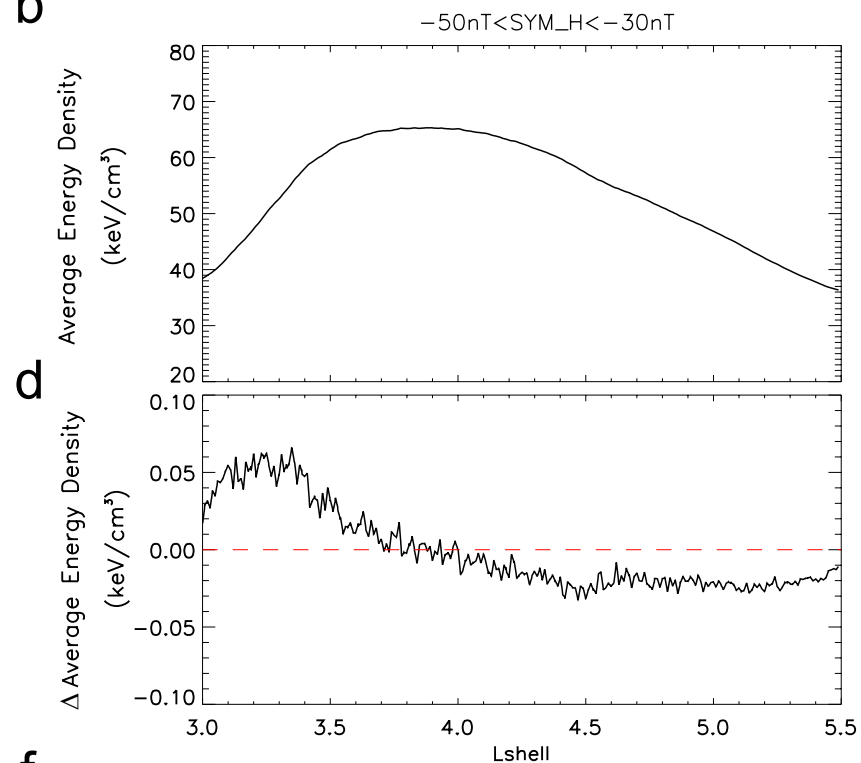
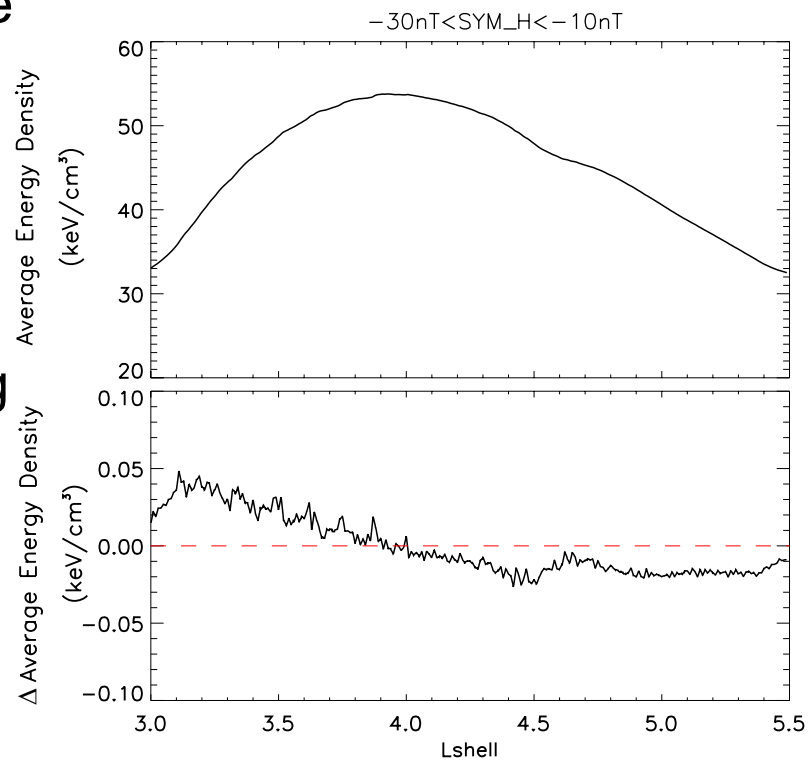
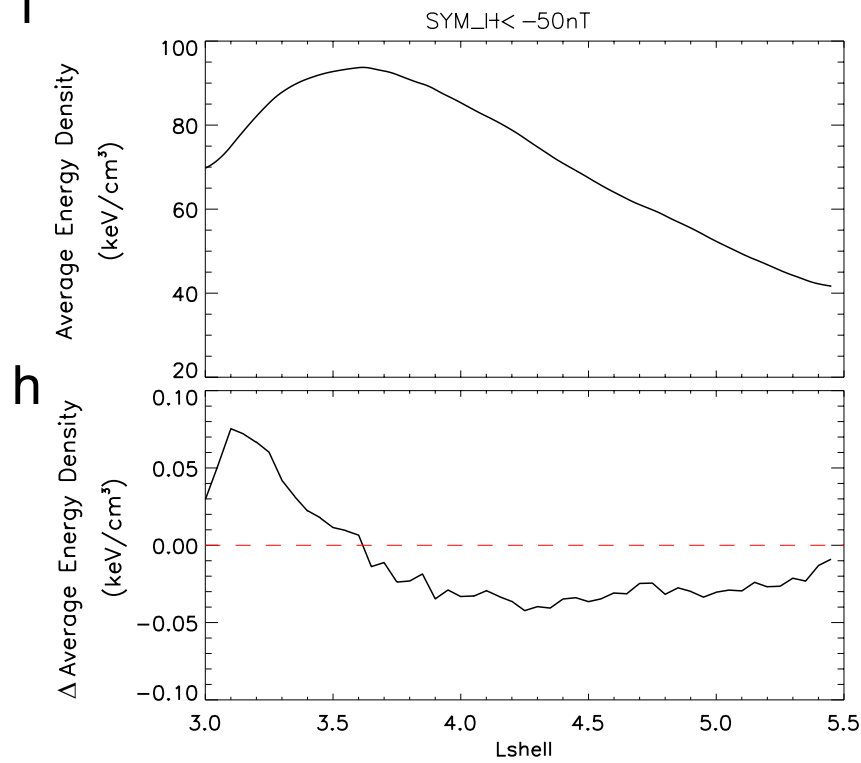
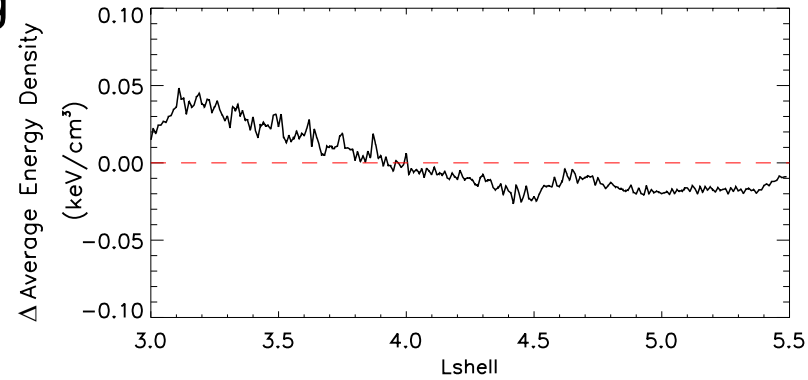


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