

1 **KEY POINTS**

- 2 • The COVID-19 pandemic imposed dramatic changes on delivery of medical
3 services, leading to significant reductions in urgent referrals for suspected
4 cancer.
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- 6 • A resultant surge in patients presenting with advanced disease, potentially in an
7 emergency context, has been anticipated but, until now, no real-world data have
8 been disseminated.
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- 10 • Herein, we report a dramatic surge in new and newly recurrent HNCs presenting
11 with advanced disease in an emergency context in the period immediately
12 following the COVID-19-induced UK national lockdown.
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- 14 • HNC MDTs need to prepare for an ongoing influx of such patients, and prompt
15 provision of patient and primary care education, together with expansion of
16 secondary healthcare capacity, is required to minimise adverse outcomes.
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- 18 • These data are likely to have lasting significance and will help inform practice
19 and healthcare policy during and following any future COVID-19 outbreaks.

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1 INTRODUCTION

2 The coronavirus disease 2019 (COVID-19) pandemic led to the implementation of a
3 nationwide lockdown in the United Kingdom (UK) spanning March-June 2020 as part of
4 national strategy to reduce the potential impact on the National Health Service (NHS).
5 This imposed dramatic changes on delivery of medical services, with a decrease in, or
6 cessation of, most non-COVID-19 NHS services, leading to significant concern regarding
7 collateral effects on other patient groups requiring time-critical access to healthcare.
8 With respect to cancer services, referrals via the 2-week-wait urgent pathway for
9 suspected cancer in England are reported to have decreased by up to 84% during the
10 initial lockdown period(1), with only partial recovery following lockdown-easing(2).
11 Indeed, Cancer Research UK has estimated that approximately 2,300 cancer cases were
12 likely to have gone undiagnosed every week across the UK during the initial lockdown
13 period(3), and data published in June 2020 indicated approximately 10000 “missing”
14 cancer referrals in April 2020 alone(4). Such figures have clear implications for delays in
15 diagnosis and treatment, and, by association, an anticipated surge in patients presenting
16 with advanced disease, potentially in an emergency context. Indeed, numerous studies
17 have suggested this and have modelled lives and life-years lost predicated on these
18 anticipated delays(1, 2, 5). As yet, however, no real-world data has been published on
19 the impact of these delays on cancer presentation patterns.

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21 Specific to head and neck cancer (HNC), significant delays have the propensity to result
22 in patients presenting in an emergency context, typically with issues relating to airway
23 compromise, dysphagia and associated malnutrition, and less commonly
24 exsanguination. Largely this reflects sacrosanct and complex locoregional anatomy, but
25 is compounded by the fact that HNCs typically have a short tumour volume doubling
26 time(6).

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28 The principal aim of this study was to examine whether the COVID-19 pandemic has had
29 a tangible impact on the rates of newly diagnosed HNC, or newly diagnosed recurrent
30 HNC, presenting in an emergency context.

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33 METHODS

34 *Ethical considerations*

1 This study was undertaken in accordance with our institution's Clinical Information and
2 Audit Department regulations and approval. All patient data were kept anonymous and
3 encrypted throughout.

5 ***Patients and setting***

6 All patients presenting to our department in an emergency capacity related to a new
7 diagnosis of a HNC, or a new diagnosis of HNC recurrence, over a six-month period
8 following the initial UK nationwide lockdown (June-November 2020 inclusive) were
9 identified prospectively. All such patients presenting over the same time period in the
10 previous three years (2017-2019 inclusive) were identified retrospectively from
11 electronic emergency handover records. Patients presenting as emergencies but with an
12 existing diagnosis of HNC were excluded. Relevant clinical data including demographics,
13 presenting features, underlying diagnosis, and subsequent treatment details were
14 extracted from electronic hospital records.

16 The total numbers of patients diagnosed with a new, or newly recurrent, HNC through
17 any route, and under the care of our department, over the same time periods were also
18 extracted from our HNC and thyroid multi-disciplinary team (MDT) meeting databases
19 to ascertain the proportion of emergency HNC presentations.

21 ***Data analysis***

22 Data were collated in Excel for Mac 2011 (Microsoft, Redmond, US) and analysed using
23 SPSS® version 27 (IBM, New York, US). Chi-squared and Fisher's exact tests were used
24 to examine differences in proportions of HNC-related emergency presentations between
25 years.

28 **RESULTS**

29 In June-November 2020 a total of 29 patients were eligible for inclusion, of whom 21
30 and 8 were diagnosed with a new or newly recurrent HNC respectively, comprising
31 respective proportions of 12.3% (21/171), 19.5% (8/41), and 13.7% (29/212) for new
32 HNCs alone, newly recurrent HNCs alone, and new and newly recurrent HNCs combined
33 diagnosed through any route. These represent significant increases in proportions
34 presenting as emergencies in any of the previous three years ($p<0.001$, $p=0.018$, and
35 $p<0.001$ for new HNCs, newly recurrent HNCs, and combined HNCs respectively), in
36 which figures were as follows: 2019 – 8/198 (4.0%) new HNCs, 3/33 (9.1%) newly

1 recurrent HNCs, and 11/231 (4.8%) combined; 2018 – 6/190 (3.2%) new, 3/40 (7.5%)
2 newly recurrent HNCs, and 9/230 (3.9%) combined; and 2017 – 8/185 (4.3%) new,
3 5/66 (7.6%) newly recurrent HNCs, and 13/251 (5.2%) combined (figure 1).

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5 Patient demographics, presenting symptoms, diagnosis, disease stage, and treatment
6 intent are summarised for the study cohort as a whole and by year of presentation in
7 Table 1. Notably, 91.9% (57/62) presented with advanced (T3/T4) primary disease,
8 41.9% (26/62) went onto receive treatment with palliative intent, and 16.1% (10/62)
9 died during admission.

12 **DISCUSSION**

13 ***Key findings & comparison with other studies***

14 The principal findings of this study demonstrate emphatically a surge in new or newly
15 recurrent HNCs presenting in an emergency context in the period following the initial
16 UK national lockdown. This not only has important and obvious consequences for
17 patient experience and provision of resources, but also has significant implications for
18 patient outcomes. Evidence from several countries demonstrates that these patient
19 groups experience poorer clinical outcomes compared to patients diagnosed through
20 other routes(7), and the fact that this metric is audited on a national level in England(8)
21 attests to this notion. It seems intuitive to suggest that the reason for this is that
22 emergency presentation is simply a surrogate of advanced disease presentation.
23 However, a previous study examining emergency HNC presentations prior to the COVID-
24 19 pandemic demonstrated that whilst those patients presenting in an emergency
25 context did so exclusively with advanced disease, survival outcomes were considerably
26 less favourable than those for patients with similarly staged disease who presented
27 through other pathways(9), suggesting other factors are also at play. Nevertheless, this
28 only emphasises the importance of channeling efforts to reduce such presentations.

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30 Although, to the best of our knowledge, this represents the first study to specifically
31 examine the impact of COVID-19 on real-world cancer presentation patterns, our
32 findings align with numerous modelling studies reporting an anticipated surge in
33 emergency and advanced disease presentation. Moreover, in such modelling studies
34 several HNC subsites have been identified as cancers that are particularly susceptible to
35 these delays in terms of clinically significant lives and life-years lost(1), broadly
36 consistent with the inference presented here insofar as the observed surge in COVID-

1 induced HNC emergency presentations has been associated with remarkably poor
2 patient outcomes.

3 4 ***Study strengths and limitations***

5 We recognise that interpretation of the data presented here is limited primarily by the
6 observational nature of the study, with no definitive cause-effect relationship
7 demonstrated. Notwithstanding, and as alluded to above, this study is unique insofar as
8 it represents the first study to date to report on changes to cancer presentation patterns
9 in the wake of the pandemic. Moreover, the magnitude of the increase observed in HNC
10 emergency presentations immediately following the COVID-19-related UK nationwide
11 lockdown is compelling, and is highly unlikely to be attributable to random clustering
12 alone. Indeed, we suggest the observed surge is likely to reflect significant delays in
13 presentation brought about by COVID-19-induced re-purposing of healthcare services,
14 compounded by increased patient anxiety and associated changes in health-seeking
15 behaviours. Furthermore, even following lockdown-easing the shift from face-to-face to
16 remote consultations, both in primary and secondary care, may have presented further
17 barriers to patients accessing appropriate healthcare services in a timely fashion.
18 Although speculative, this is supported by repeated and consistent anecdotal patient
19 accounts.

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21 A further limitation of this study relates to external validity. The reported changes to
22 HNC presentation patterns are specific to the Cheshire and Merseyside region, which
23 may not extrapolate elsewhere within the UK, or internationally. Indeed, substantial
24 geographical variation has been noted in rates of patient deferment in accessing urgent
25 referral for cancer symptoms(1, 5), which in turn is likely to influence directly any
26 subsequent change in cancer presentation patterns. Future studies investigating such
27 presentation patterns on a national macrodata level will provide interesting insights in
28 this regard.

29 30 ***Clinical applicability***

31 In an era of unprecedented demand placed on healthcare services by the COVID-19
32 pandemic it is of significant clinical relevance to examine the fallout from this in terms of
33 cancer presentation patterns, given that any disruption is likely to be particularly
34 detrimental to this group of patients. Herein, we have revealed the first real-world data
35 demonstrating a dramatic surge in new and newly recurrent HNCs presenting with
36 advanced disease in an emergency context in the period immediately following the

1 COVID-19-induced UK national lockdown. This has two major implications for HNC
2 services to mitigate against the risk of adverse patient outcomes: firstly, HNC MDTs need
3 to be proactive in anticipating and preparing for an ongoing influx of HNC patients
4 presenting in this way, which is likely to involve adaptations to both HNC care pathways
5 as well as inpatient facilities and resources; and secondly, prompt provision of patient
6 and primary care education is required, together with expansion of secondary
7 healthcare capacity to address the presentational and diagnostic backlog to minimise
8 any further delays. From a secondary care perspective, this may involve triaging urgent
9 HNC referrals using risk stratification tools, as in currently being examined by the UK
10 ENT Trainee Research Network(10), and/or rationalisation of HNC follow-up regimes to
11 ensure that this additional capacity can be met.

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13 Whilst at the time of writing the UK has passed the first, and indeed is coming out of a
14 second COVID-19 peak, it is evident that Europe is facing a significant third peak and
15 infection rates are likely to continue to fluctuate. Consequently, the data presented here
16 are likely to have lasting significance and will help inform practice and healthcare policy
17 during and following any future COVID-19 outbreaks.

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