

1 **Future climate changes under different scenarios and their effects on**
2 **runoff in the upper Taohe River Basin**

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14
15 **Abstract**

16 Climate changes may pose challenges to water management. Simulation and projection of climate-
17 runoff processes through hydrological models are essential means to assess the impact of global
18 climate change on runoff variations. This study focuses on the upper Taohe River Basin which is an
19 important water sources for arid and semi-arid regions in Northwest China. In order to assess the
20 impacts of environmental changes, outputs from a regional climate model and the SWAT
21 hydrological model were used to analyze the future climate change scenarios to water resources
22 quantitatively. The examined climate changes scenarios results showed that average annual
23 temperature from 2020 to 2099 in this area exhibits a consistent warming trend with different

24 warming rates, at rates of 0.10°C/10a, 0.20°C /10a and 0.54°C /10a under RCP2.6, RCP4.5 and
25 RCP8.5(Representative Concentration Pathways, RCPs), The value of precipitation experiences
26 different trends under different emission scenarios. Under the RCP2.6, average precipitation would
27 decrease at a rate of 3.69 mm/10a, while under the RCP4.5 and RCP8.5, it would increase at rates
28 of 4.97 mm/10a and 12.28 mm/10a, respectively. The calibration and validation results in three in-
29 site observations (Luqu, Xiabagou and Minxian) in the upper Taohe River Basin showed that SWAT
30 hydrological model is able to produce an acceptable simulation of runoff at monthly time-step. In
31 response to future climate changes, projected runoff change would present different decreasing
32 trends. Under RCP2.6, annual average runoff would experience a progress of fluctuating trend, with
33 a rate of $-0.6 \times 10^8 \text{m}^3$ by 5-year moving average method; Under the RCP4.5 and RCP8.5, annual
34 average runoff would show steadily increasing trends, with rates of $0.23 \times 10^8 \text{m}^3$ and $0.16 \times 10^8 \text{m}^3$ by
35 5-year moving average method. The total runoff in the future would prone to drought and flood
36 disasters. Overall, this research results would provide a scientific reference for regional water
37 resources management on the long term.

38

39 **Key Words:** Future climate change; Emission scenario; Runoff projection; SWAT; Upper Taohe
40 River Basin

41 **1. Introduction**

42 Water resources problem has become a primary problem of global resources and environment in the
43 21st century, and is also an essential aspect of the development of international earth science (Yang
44 et al.,2019; Wang et al., 2010; Liu et al., 2003; Jia et al., 2001). Globally, temperatures rise due to
45 continuous greenhouse gas emissions have exerted an irreversible impact on climate change, water
46 resources, agriculture, health, energy, and natural system, in the meantime, it also has increased the
47 risk of future ecological environment, economic and social development (Young et al., 2020; Mo et
48 al., 2017; Ge et al., 2014; Aich et al., 2016; Lindner et al., 2010; Piao et al., 2010). How to
49 scientifically assess the impact of future climate change on regional hydrological processes
50 quantitatively has become a hot topic for meteorological and hydrological researchers. Generally,
51 the most scientific approach to predict climate change is based on physical assumptions that describe
52 future global or regional climate change, or climate change scenarios (Moss et al., 2008).

53

54 Coupled Model Intercomparison Project 5 (CMIP5) of the 5th Assessment Report (AR5) as the most
55 successful products of General Circulation Models (GCMs), which has four future scenarios, that
56 is, Representative Concentration Pathways (RCP): 2.6, 4.5, 6.0, and 8.5. These scenarios are based
57 on the expected differences in radiation forcing for the future climate. In RCP2.6, scenarios can be
58 found with a year 2100 radiative forcing from as low as 3 W/m^2 . In RCP4.5 and RCP6.0, assuming
59 the stabilization of radiative forcing. However, RCP8.5 assumes an increasing radiative forcing to
60 $8.5 \text{ W}\cdot\text{m}^{-2}$ even after 2100(Kawase et al.,2011, Fisher et al. 2007; Van Vuuren and Riahi 2011).

61 Compared with the global models, the regional climate models (RCMs) with higher resolutions, can

62 not only describe the characteristics of large-scale circulation, but also accurately capture the
63 characteristics of climate change at the regional scale, (Kim et al., 2010; Giorgi et al., 1994 Wang
64 et al., 2016, 2018). Different scenarios generate different prediction trends for future climate change
65 and have been widely used in the studies of global and regional climate change.

66

67 Using hydrological models to simulate and predict the mechanism of climate-runoff processes is an
68 important means to assess the impact of global climate change on runoff. As a typical method of
69 the distributed hydrological model, SWAT (Soil and Water Assessment Tool) model has been
70 successfully applied to the study of major watershed changes worldwide by its mature and stable
71 physical basis, and has become an important tool for the study of water resources utilization and
72 management (Arnold et al., 2012; Srinivasan et al., 1998). The impact of future climate change on
73 regional runoff in China has also become a hot issue of common concern to many domestic scholars
74 (Zhang et al., 2016; Zhou et al., 2015; Gao et al., 2010). For example, Wang et al.(2017) found the
75 annual runoff gradually increases as the area of cultivated land converted to forest land increases in
76 middle and upper reaches of the Weihe River using the SWAT model. Zubaida's study of Urumqi
77 River using SWAT model found the impact of climate change on runoff was more significant than
78 the impact of land use changes (Zubaida et al.,2018). Wang and Liu (2013) used statistical
79 downscaling model to drive SWAT hydrological model to predict runoff of Zamu River in Northwest
80 China. The results showed that the change rates of runoff in SRES A2 and B2 climate scenarios
81 were -10.6 %, 1.17 % and -4 %, 13 %, respectively. Jin et al. (2016) studied the future changes of
82 water resources in Haihe River Basin and found that the water resources will increase slightly from
83 2021 to 2050, especially in the north zone. In recent years, many researchers have used SWAT model

84 driven by various climate models to study the runoff response of various typical regions, such as the
85 source area of the Yellow River, Yangtze River Basin and other major rivers. These studies, by
86 projecting the future change in regional runoff, have made a great contribution to the understanding
87 of the regional water resources change

88

89 Taohe River, a large tributary of the upper reaches of the Yellow River, undertakes the task of water
90 diversion for water scarcity areas in central Gansu Province through the *Taohe River Diversion*
91 *Project*, and has an key strategic position for the sustainable development of regional social
92 economy. In this study, the middle and upper reaches of Taohe River Basin is selected as the study
93 area. Based on the in-site observations of meteorological and hydrological stations, along with the
94 output data of future climate change scenarios and SWAT hydrological model, the future regional
95 climate changes and their impacts on runoff change are comprehensively analyzed. This research
96 aims at optimizing water resources scheduling and improving water use efficiency, which would
97 promote ecological protection and high-quality development of the Yellow River Basin. and can
98 provide a scientific reference for regional long-term water resources management.

99 **2. Study area**

100

101 <Fig. 1 is near here>

102

103 Taohe River (101°36'–104°20'E, 34°03'–36°01'N) is located in arid and semi-arid areas of
104 northwest China, and is a main tributary of the upper Yellow River. Its total length is about 678 km,

105 and its area is about 25,500 km².Taohe River Basin is located in the transitions of Tibetan Plateau
106 and Loess Plateau, which also is a transition area from alpine humid area to warm arid area (Fig.
107 1(a).(b)).

108

109 The upper Taohe river basin is an important water concentration in Northwest China. Its slopes
110 which is susceptible to the influence of the southwest monsoon originated from the Indian Ocean,
111 with an abundant precipitation of 400~600 mm per year. Therefore, the natural runoff gradually
112 occupies more than 68.8% of the whole basin, which is the main runoff generation area and
113 important water supply area of the Tao River Basin (Cheng et al., 2020; Qi et al., 2015; Zhang et al.,
114 2006; Ma et al., 2009). The water systems in the study area are well developed with many
115 symmetrical tributaries (Fig. 1(c)). Generally, the middle and upper reaches of the Tao River is an
116 important ecological barrier in the upper reaches of the Yellow River and plays an important role in
117 maintaining water resources and ecological security in the Yellow River Basin.

118 **3. Data and methods**

119 **3.1. Climate change scenario data**

120 The future climate change scenario data used in this paper were derived from the RegCM4.6- based
121 future climate prediction dataset of Northwest China of the National Tibetan plateau Science Data
122 Center(<http://data.tpdc.ac.cn/zh-hans/data/>). This dataset is based on four different greenhouse gas
123 emission concentrations (RCP2.6, RCP4.5, RCP6.0 and RCP8.5) of HadGEM2-ES of regional
124 climate model RegCM4.6 and global climate model CMIP5, which can simulate and predict the
125 average temperature and precipitation in Northwest China from 2007 to 2099 (Pan et al., 2019).The

126 future climate change output data set has a spatial resolution of $0.25^{\circ} \times 0.25^{\circ}$ and temporal intervals
127 of 3 h, daily and yearly covering the northwest China (Gansu, Ningxia, Qinghai, Xinjiang, and
128 Shaanxi Provinces). This study selected climate variables during the period 2007 to 2099 under three
129 different emission scenarios (RCP2.6, RCP4.5 and RCP8.5) in the middle and upper reaches of the
130 Tao River basin/UTB as the forcing data of SWAT model to project future runoff changes.

131

132 **3.2. Meteorological and hydrological data**

133

134 <Table 1 is near here>

135

136 The data of eight major meteorological stations in the middle and upper reaches of the Tao River
137 and its surrounding areas are selected as the input data of the hydrological model. Information on
138 major weather stations is shown in Table 1. The meteorological station data, during 1986-2018, were
139 obtained from the China National Meteorological Data Sharing Website (<http://data.cma.cn/>). The
140 meteorological elements include wind speed, evaporation, atmospheric pressure, temperature
141 (average temperature, maximum temperature, minimum temperature), average vapor pressure,
142 average relative humidity, precipitation, sunshine hours.

143

144 <Table 2 is near here>

145

146 The monthly runoff data of representative hydrological stations (Luqu, Xiabagou and Minxianin) the

147 middle and upper reaches of the Tao River(Table 2) from 1986 to 2014 were provided by Gansu
148 Hydrology and Water Resources Bureau.

149 3.3. SWAT model construction data

150 The upper Tao River Basin can be extracted and divided into 28 sub-basins and 388 hydrological
151 response units (HRUs) from DEM. The monthly runoff data of three hydrological stations from
152 1986 to 2010 are regarded as the calibration period and the period from 2011 to 2014 are used to
153 verify. SWAT-CUP is used for parameter sensitivity analysis and uncertainty analysis.

154

155 The land use data used in this research are from Resource and Environmental Science Data Center
156 of the Chinese Academy of Sciences (<http://www.resdc.cn>). There are some differences between
157 China ' s land use classification system and SWAT model, it is necessary to reclassify regional land
158 use data. After reclassification, there are 6 first-level types and 19 second-level types of land use
159 can be procuted in in upper Taohe River Basin. They are divided into 9 new land types (Table 3) to
160 highlight the main land use distribution characteristics of the basin.

161

162 <Table 3 is near here>

163

164 Data on spatial distribution of soil types were derived from the HWSD(China Soil Map Based
165 Harmonized World Soil Database) Soil Database (<http://www.fao.org>) jointly issued by the Food
166 and Agriculture Organization of the United Nations (FAO) and the Vienna International Institute for
167 Applied Systems (IIASA) in 2009. Soil type data (China Soil Map Based Harmonized World Soil

168 Database) from Nanjing Soil Research Institute, Chinese Academy of Sciences, version v1.1 with 1
169 km resolution, was used to provide reliable soil parameters for SWAT.

170

171 <Table 4 is near here>

172

173 **Note :** Calibration method : r_ means that the existing parameters will be multiplied by 1 (given value), a_ means that
174 the given value will be added to the existing parameters, v_ means that the existing parameters will be replaced by
175 the given value.

176

177 3.4. Evaluation indicators

178 The calibration and verification of SWAT model used Nash efficiency coefficient (*NSE*), certainty
179 coefficient R^2 and relative deviation (*RE*) as evaluation indexes to evaluate the simulation results
180 with the measured values. The *NSE* coefficient reflects the fitting degree between the observed and
181 the simulated values. The closer the *NSE* coefficient is to 1, the better the simulation effect is. If the
182 *NSE* coefficient is greater than 0.5, the simulation of the model is considered to be successful. R^2 is
183 used to characterize the correlation degree of variables, which is used to evaluate the consistency of
184 the change trend between the simulated value and the measured value. The calculated value tends
185 to 1, indicating that the simulation effect is better. It is generally considered that $R^2 > 0.6$ can be
186 used as a criterion for evaluating the correlation between simulated and measured runoff values. *Re*
187 represents the relative deviation between the simulated value and the measured value. The more the
188 calculated value tends to 0, the better the effect is. It is generally believed that $Re < 20\%$, and the

189 simulation results can be accepted (Xiao, 2010). The formulas for evaluation indicators are presented
 190 as Equations (1) – (3).

$$191 \quad NSE = 1 - \frac{\sum_{i=1}^n (Q_{sim} - Q_{obs})^2}{\sum_{i=1}^n (Q_{obs} - \bar{Q}_{obs})^2} \quad (1)$$

$$192 \quad R^2 = \frac{(\sum_{i=1}^n (Q_{obs} - \bar{Q}_{obs})(Q_{sim} - \bar{Q}_{sim}))^2}{\sum_{i=1}^n (Q_{obs} - \bar{Q}_{obs})^2 \sum_{i=1}^n (Q_{sim} - \bar{Q}_{sim})^2} \quad (2)$$

$$193 \quad Re = \frac{Q_{sim} - Q_{obs}}{Q_{obs}} \times 100\% \quad (3)$$

194 n represents the length of simulation time; \bar{Q}_{obs} and \bar{Q}_{sim} represent the average values of runoff
 195 observation and simulation values in the simulation period, respectively; Q_{obs} and Q_{sim} represent the
 196 runoff observation and simulation values in the research time, respectively.

197 **4. Result analysis**

198 **4.1. Climate change prediction under different scenarios**

199 ***4.1.1. Evaluation and Correction of Climate Model Output***

200 Products from Reg CM4.6 driven by HadGEM2-ES have been used to simulate the future climate
 201 change in northwest China. Pan et al. (2020) found that the temperature bias of HadGEM2-ES is
 202 generally within ± 2.5 °C in the southeast and south during the historical period 1985-2004. This
 203 article has evaluated and revised the temperature output values under the RCP4.5 scenario based on
 204 observed meteorological in the upper Tao River Basin based on historical period during 2007-2018.
 205 The deviation between the simulated value and the measured value was exhibited in Fig.2. The
 206 deviation between the simulated value and the measured value is small in summer and large in
 207 winter. The maximum temperature deviation can reach 7.5 °C, but the temperature variation is
 208 consistent with the observed value (Fig. 2). According to the relationship between altitude and

209 temperature in each site, the average observed temperature from 2007 to 2018 is about 0.8°C. By
210 comparison, the simulated annual average temperature and the observed multi-year average is about
211 consistent under climate change scenarios. Corrections through inverse deduction between the linear
212 equation and the vertical decline rate of temperature, the average temperature and the
213 maximum/minimum temperature are adjusted separately. Therefore, the corrected data are more in
214 line with the actual situation of the study area and meet the research need of future climate change.

215

216 <Fig. 2 is near here>

217

218 ***4.1.2. Projection of Future Temperature Change in upper Taohe River Basin***

219 Under the three greenhouse gas emission scenarios, the average annual temperature in the study
220 area shows a consistent warming trend in the future period (Fig. 3). The average temperature in the
221 future study area would be about 2.83 °C, 3.32 °C, and 4.24 °C under RCP2.6, RCP4.5, and RCP8.5
222 scenarios during 2007-2100, and the change rates may be 0.10 °C / 10a, 0.20 °C / 10a, and 0.52 °C
223 / 10a. The average temperature in the next 2080s would be about 0.54 °C, 1.14 °C, and 3.44 °C
224 higher than the average temperature in 1956-1997 (Cheng et al., 2019), which may be consistent
225 with global warming trend. Overall, the temperature in upper Taohe River Basin will increase with
226 the increase in emission scenarios.

227

228 <Fig. 3 is near here>

229

230 At the same time, the possible future temperature changes in this basin are also analyzed from the
231 two aspects of maximum and minimum temperatures. The annual average maximum and minimum
232 temperature changes in the upper and middle reaches of the Tao River under three greenhouse gas
233 emission scenarios were set for four stages: 2007-2018, 2020s (2019-2039), 2050s (2040-2079)
234 and 2080s (2080-2099) (Fig. 4).

235

236 <Fig. 4 is near here>

237

238 The maximum temperature and minimum temperature would show increase trends under the three
239 scenarios. The change in maximum temperature under the RCP2.6 scenario would be steadily
240 increasing and the increments under the three stages would be 0.13 °C, 0.44 °C and 0.44 °C higher
241 than those from 2007 to 2018, respectively, which was consistent with the change trend of average
242 temperature. Under the RCP4.5 scenario, the maximum temperature would increase significantly,
243 and temperature could be 0.63 °C, 1.02 °C and 1.42 °C in the three stages higher than 2007-2018,
244 respectively, with maximum change range of 13%-17%. The future maximum temperature changes
245 most significantly under RCP8.5 scenario, which would increase to 0.49 °C, 1.64 °C and 3.34 °C,
246 which could be higher than those in 2007-2018, respectively, with a maximum range of 33 %. The
247 results indicate that the highest temperature in the 21st century would be gradually increasing.

248

249 Compared with the change of the maximum temperature, the change range of the minimum
250 temperature in different scenarios is consistent with the maximum temperature in the future. Under

251 the RCP2.6 scenario, the minimum temperature in the three stages would increase to 0.26 °C,
252 0.61 °C and 0.60 °C, which would be slightly higher than that in 2007–2018, respectively. The
253 change under RCP4.5 scenario would be significantly enhanced, and the three stages would increase
254 to 0.71 °C, 1.12 °C and 1.59 °C, which would be higher than those from 2007 to 2018, respectively.
255 Under the RCP8.5 scenario, the minimum temperature changes most significantly, which would be
256 0.64 °C, 1.79 °C and 3.57 °C in the three stages and higher than the reference period, respectively.
257 The results indicate that the minimum temperature would be gradually increasing from the 21 st
258 century, and the minimum temperature change would be more significant than the maximum
259 temperature change, which indicates that minimum temperature changes would contribute greatly
260 to the future regional warming.

261 ***4.1.3. Projection of Future Precipitation Change in upper Taohe River Basin***

262

263 <Fig. 5 is near here>

264

265

266 According to Pan et al.'s (2020) analysis of the precipitation data outputs by the climate model in
267 the historical period (1985~2015) in the northwest region, the simulation effect at the eastern of
268 Qinghai-Tibet Plateau is poor, which may be due to the influence of the Qinghai-Tibet Plateau
269 monsoon circulation, resulting in a false high-value precipitation center in climate model. Compared
270 with the observed precipitation from 2007 to 2018, it is found that the simulated precipitation from
271 climate model is similarly overestimated in the eastern part of the middle and upper reaches of the

272 Tao River. Therefore, the seriously overestimated stations are removed and compared with the
273 precipitation changes of all stations in the region. Fig.5 shows the future multi-year precipitation
274 changes in the middle and upper reaches of the Tao River from 2007 to 2099, comparing the not-
275 removed and removed gridded precipitation. The results shows the 5-year moving average curve
276 could be presented the precipitation change trend well.

277

278 Under the RCP2.6 scenario, the average precipitation in the study area would be about 658 mm in
279 2020-2099 with an insignificant decreasing trend (-3.69 mm/10a), and the average precipitation
280 after excluding abnormal stations would be 620 mm, which is closer to the measured precipitation.
281 The precipitation would be fluctuated greatly in the 2030 s, while the precipitation would reach to
282 least level in the 2080 s. Under the RCP4.5 scenario, the average precipitation in the future would
283 be 677.5 mm, and the average precipitation after excluding abnormal stations would be 638.5 mm
284 with an insignificant increasing trend (4.97 mm/10a). The precipitation would reach the most value
285 in the 2070s (689 mm), and would reach the least value in the 2020s (591.3 mm). The future average
286 precipitation under RCP8.5 scenario would reach 693.2 mm, and the average precipitation after
287 excluding abnormal stations would be 653.9 mm with an increasing trend (12.28 mm/10a). The
288 average precipitation would have an insignificant change before the 2080s, but it would be the most
289 abundant after the 2080s.

290

291 4.2. Applicability evaluation of SWAT model

292 The results of hydrological process curves during the calibration period and verification periods
293 (Fig. 6) showed that SWAT model can well capture the time and flow of flood peaks in the three

294 hydrological stations. The simulated value in the dry season is also consistent with the basic flow
295 of the basin, but the simulation abilities among the three the stations are different. Luqu, Xiabagou,
296 Minxian and other three hydrological stations are the main outlets of the source area of the Tao
297 River. The overall runoff simulation values of the determination coefficient R^2 , the Nash efficiency
298 coefficient NSE and the relative error Re of the monthly runoff simulation at regular rates fall within
299 a small uncertainty interval (Table 5).

300

301

<Fig. 6 is near here>

302

303 The simulated performance in Luqu station as the main water outlet in the source of Tao River Basin
304 showed well, with R^2 , NSE and Re in monthly runoff simulation rate of 0.79, 0.89, and 2.39%
305 respectively. In the verification period, R^2 , NSE and Re also reached 0.89, 0.95, and -8.9%,
306 respectively. R^2 , NSE and Re of monthly runoff simulation in Xiabagou station were 0.77, 0.88, and
307 -3.86%, respectively, and 0.89, 0.96, -5.07% in the verification period. Minxian station serves as the
308 total outlet of the upper Tao River Basin. The results showed that R^2 , NSE and Re in monthly runoff
309 simulation rate were 0.83, 0.91, -14.6%, respectively, and the verification period, R^2 , NSE and Re
310 also reached 0.87, 0.94, -8.6% respectively. The above evaluation results show that SWAT model is
311 able to well capture runoff change in upper Tao River Basin, which indicated the capability for the
312 subsequent study on the response of water resources in the Tao River Basin to future climate change.

313

314

<Table 5 is near here>

315

316 4.3. Projection of Future Runoff Change in the middle and upper reaches of the Tao 317 River

318 Based on the good application of SWAT model, the annual runoff changes under three greenhouse
319 gas emission scenarios in the middle and upper reaches of the Tao River could be predicted from
320 2020 to 2099 by inputting the corrected RCPs temperature and eliminated abnormal precipitation
321 data from grids, as shown in Figure 7. In order to compare the long-term runoff changes, this
322 research takes the average runoff trend from 1956 to 2014 as the historical period, the runoff changes
323 in different future periods could be analyzed intuitively.

324

325 Under the RCP2.6 scenario, the annual average runoff would be about $30.9 \times 10^8 \text{m}^3$, and the overall
326 change trend is similar to the runoff from 2003 to 2014. Among the whole period, the relative
327 maximum and minimum runoff would appear in the 1930s alternately, while the overall minimum
328 runoff would appear in the mid-1980s as low as $17.1 \times 10^8 \text{m}^3$. Comparably, the overall average runoff
329 would be the highest in the 1950s with an annual average runoff of $35.2 \times 10^8 \text{m}^3$, and the lowest in
330 the 1980s at $24.6 \times 10^8 \text{m}^3$. Drought risk might be estimated in the future. Under the RCP4.5 emission
331 scenario, the annual average runoff would be about $32.5 \times 10^8 \text{m}^3$ steadily, and the overall change
332 trend is lower 15% than the period of 1956-1985. The runoff during 2040s and 2070s might be the
333 highest value. Under the RCP8.5 emission scenario, the annual average runoff would be about
334 $32.2 \times 10^8 \text{m}^3$, with no significant increase trend. The maximum runoff would appear in the mid-
335 2080s and the minimum appeared in the mid-2060s as low as $14.8 \times 10^8 \text{m}^3$.

336

337

<Fig. 7 is near here>

338

339 Table 6 shows the change of projected runoff in each season. Under the RCP2.6 emission scenario,
340 runoff would decrease most significantly in summer, and insignificant change the other seasons.

341 Under the RCP4.5 emission scenario, the runoff in the all seasons would show a trend of increasing-
342 decreasing fluctuantly. Under the RCP8.5 emission scenario, the runoff in spring and summer would
343 also show a fluctuant trend. In general, the future runoff in upper Tao River Basin would show a
344 decreasing trend in summer and increasing in autumn, with insignificant change in other seasons.

345

346

<Table 6 is near here>

347

348 **5. Discussion**

349 5.1. Uncertainty analysis of SWAT model

350 SWAT model has complex structure and involves many equations and variables (Wang et al, 2003;
351 Cheng et al., 2006). Due to the complexity and randomness of hydrological process, there are many
352 uncertain factors in the process of hydrological model simulation, which could cause interference
353 to the simulation effect. According to the observed situation in upper Tao River Basin and relative
354 literatures, 23 parameters in the SWAT model (Table 4) were selected for preliminary overall
355 sensitivity analysis. The calibration number was set to 500 times and the iteration number was set
356 to 10 times. The parameters suitable for the hydrological process change in the study area were
357 obtained through sensitivity layer-by-layer screening. The contribution of different parameters to

358 calibration and validation of the model in different study areas are also different, which can have a
359 varying degree impact on the model results (Rui et al., 2002; Yang et al., 2013).

360

361 5.2. Uncertainty analysis of future climate change scenarios

362 In this study, the Reg CM4.6 driven by global climate model HadGEM2-ES was used to simulate
363 the future climate change in northwest China. Pan et al. (2020) compared with the historical period
364 (1986 – 2014) and found that the output results of the model had relatively large simulation errors
365 in the middle and upper reaches of the Tao River, regardless of the average temperature or
366 precipitation. This is because the region, located at the junction of the Tibetan Plateau and the Loess
367 Plateau, is jointly affected by the southwest monsoon and the East Asian monsoon, as well as by the
368 climate fluctuation of the Tibetan Plateau, the atmospheric circulation changes are complex.
369 Together with the rugged terrain and inhomogeneous underlying surface characteristics, it is
370 difficult to obtain accurate model simulations (Wang et al., 2009). Besides, meteorological stations
371 in the upper and middle reaches of the Tao River and its surrounding areas are relatively scarce and
372 the measured data are limited, which also greatly affects the reliability in the simulated values.
373 Under the RCP4.5 emission scenario, the precipitation in the middle and upper reaches of the Tao
374 River showed a significant decreasing trend from the late 2070s to the late 2080s, with a change rate
375 of -8.97 mm / 10a; At the RCP8.5, the precipitation in the same period showed a significant upward
376 trend, with a change rate of 6.50 mm / 10a. This uncertainty stems from the differences in the
377 scenarios, which will increase the uncertainty of future precipitation trends.

378

379 The prediction of future climate change is a complex and highly uncertain increasing the uncertainty
380 in the exploration of the impact of climate change on watershed hydrological processes. There are
381 many sources of uncertainty in climate prediction, including uncertainty from different future
382 greenhouse gas emission scenarios, uncertainty from natural variability within the climate system,
383 uncertainty in methods to characterize climate processes (Duan et al., 2016; New and Hulme, 2000).
384 Whichever scenarios or models can not completely overcome these uncertainties, but with the
385 deepening understanding of various physical processes in the climate system, the output results of
386 climate models will become more and more accurate.

387 **6. Conclusion**

388 The average temperature in upper Tao River Basin from 2020 to 2099 would show a consistent
389 warming trend in the future period under the different greenhouse gas emission scenarios. Due to
390 the difference in CO₂ concentration, the warming amplitude is different. From 2020 to 2099, the
391 average temperature change rates under RCP2.6, RCP4.5 and RCP8.5 scenarios were 0.10°C/10a,
392 0.20°C/10a and 0.54°C/10a, respectively. In the RCP2.6 emission scenario, the average
393 precipitation in the future study area would show a decreasing trend, with a reduction rate of 3.69
394 mm / 10a. Under RCP4.5 and RCP8.5 scenarios, the future precipitation showed an increasing trend,
395 with the increase rates of 4.97 mm/10a and 12.28 mm/10a, respectively.

396

397 The calibration and validation results in three in-site observations (Luqu, Xiabagou and Minxian)
398 in the upper Taohe River Basin showed that SWAT hydrological model is able to produce an
399 acceptable simulation of runoff at monthly time-step. The R^2 of the calibration period and the

400 verification period in Minxian station are 0.91 and 0.94, respectively. The *NSE* are 0.83 and 0.87,
401 which produced a reliable result and meet the research requirements .

402

403 The future temperature and precipitation data under different emission scenarios have been input
404 into SWAT model to predict the runoff in upper Tao River Basin. Under the RCP2.6 emission
405 scenario, the average annual runoff in upper Tao River Basin would reach about $30.9 \times 10^8 \text{m}^3$, with
406 a significant change of increasing and decreasing. Under the RCP4.5 emission scenario, the average
407 annual runoff of the study area would reach $32.5 \times 10^8 \text{m}^3$, which would have experienced a regular
408 periodic change of increasing and decreasing. Under the RCP8.5 scenario, the average annual
409 runoff in the future would be about $32.2 \times 10^8 \text{m}^3$. The overall fluctuation is weak.

410

411 **Data Availability**

412 The data that support the findings of this study are available from the corresponding author upon
413 reasonable request.

414

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421

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