

1Visual outcomes following everolimus targeted therapy for neurofibromatosis type 1-associated  
2optic pathway gliomas in children

3

4Nicole J. Ullrich<sup>1</sup>, Sanjay P. Prabhu<sup>2</sup>, Roger J. Packer<sup>3</sup>, Stewart Goldman<sup>4</sup>, Nathan J. Robison<sup>5</sup>,  
5Jeffrey C. Allen<sup>6</sup>, David H. Viskochil<sup>7</sup>, David H. Gutmann<sup>8</sup>, John P. Perentes<sup>9</sup>, Bruce R. Korf<sup>10</sup>,  
6Michael J. Fisher<sup>11</sup>, Mark W. Kieran<sup>12</sup> on behalf of the NF Clinical Trials Consortium.

7

8<sup>1</sup>Department of Neurology, Boston Children's Hospital, Boston, MA (NJU)

9<sup>2</sup>Department of Radiology, Boston Children's Hospital, Boston, MA (SPP)

10<sup>3</sup>Center for Neuroscience and Behavioral Medicine, Children's National Hospital, Washington  
11DC (RJP)

12<sup>4</sup>Ann and Robert Lurie Children's Hospital, Chicago, IL (SG)

13<sup>5</sup>Children's Center for Cancer and Blood Diseases, Children's Hospital Los Angeles, Los  
14Angeles, CA (NJR)

15<sup>6</sup>Departments of Pediatrics and Neurology, NYU Langone Medical Center, New York, NY  
16(JCA)

17<sup>7</sup>Department of Genetics, University of Utah, Salt Lake City, UT (DHV)

18<sup>8</sup>Department of Neurology, Washington University School of Medicine, St Louis, MO (DHG)

19<sup>9</sup>Division of Oncology, Cincinnati Children's Hospital Medical Center, Cincinnati, OH (JPP)

20<sup>10</sup>Department of Medical Genetics, University of Alabama at Birmingham, Birmingham, AL  
21(BRK)

22<sup>11</sup>Division of Oncology, Children's Hospital of Philadelphia, Philadelphia, PA (MJF)

23<sup>12</sup>Dana-Farber/Boston Children's Cancer and Blood Disorder Center, Boston, MA (NJU, MWK)

24Corresponding author:

25Nicole Ullrich, MD, PhD

26Department of Neurology

27Boston Children's Hospital

28300 Longwood Ave

29Boston, MA 02115

30Tel: 617-355-2751

31Fax: 617-730-0282

32Email: [nicole.ullrich@childrens.harvard.edu](mailto:nicole.ullrich@childrens.harvard.edu)

33

34Word count abstract: 100

35Word count main text: 1179

36Number of tables/figures: 2

37Running title: Visual outcomes after NF1-optic glioma treatment

38

39**Keywords:** neurofibromatosis, optic glioma, visual acuity, everolimus

40

41

Abbreviations	
VA	Visual acuity
NF1	Neurofibromatosis type 1
OPG	Optic pathway glioma
LGG	Low grade glioma
REiNS	Response evaluation in neurofibromatosis and schwannomatosis
MRI	Magnetic resonance imaging
VF	Visual field

42

43

#### 44Abstract

45Data for visual acuity (VA) after treatment of neurofibromatosis type 1-associated optic pathway  
46gliomas (NF1-OPGs) is limited. We retrospectively collected VA, converted to logMAR, before  
47and after targeted therapy with everolimus for NF1-OPG, and compared to radiologic outcomes  
48(14/18 with NF1-OPG, 25 eyes [3 without quantifiable vision]). Upon completion of treatment,  
49VA was stable in 19 eyes, improved in 4 eyes, and worse in 2 eyes; visual and radiologic  
50outcome were discordant. In summary, the majority of children with NF1-OPG exhibited  
51stabilization of their VA after everolimus treatment. A larger, prospective study will help  
52delineate visual outcomes after targeted therapy.

53

54

55

56

57

## 58Introduction

59Neurofibromatosis type 1 (NF1) is an autosomal dominant genetic disorder caused by loss of  
60function alterations in the gene that encodes *neurofibromin*, a negative regulator of the  
61RAS/MAPK pathway. Nearly one-fifth of children with NF1 will develop a low grade glioma  
62(LGG) involving the optic pathway (NF1-OPG),<sup>1</sup> which has the potential to cause significant  
63visual dysfunction. While the impact of NF1-OPGs on VA in children has been examined,<sup>2,3</sup>  
64studies specifically evaluating visual outcomes after treatment have been limited, and include  
65heterogeneous patient populations with sporadic or NF1-OPGs undergoing various treatments.

66 To date, the impact of targeted therapy on visual outcome in NF1-OPGs is uncertain; it is  
67not clear if visual and radiologic outcomes are concordant. The purpose of this study was to  
68retrospectively evaluated the impact of oral everolimus treatment on visual acuity outcomes  
69leveraging a multicenter study of children with recurrent, radiographically progressive LGG. In  
70addition, we investigated the relationship between visual and radiologic outcomes.

71

## 72Subjects and Methods

73 This was an exploratory, retrospective analysis of vision outcome in a multicenter, open-  
74label, phase II study of daily oral everolimus for recurrent radiographically-progressive NF1-  
75LGGs, conducted by the NF Clinical Trials Consortium (NCT01158651).<sup>4</sup> The total cohort  
76included 23 participants from 10 sites, between 1 and 21 years of age, with recurrent LGG after  
77treatment with a carboplatin-containing regimen.

78 Visual acuity (VA) data pre-treatment and following completion of therapy with  
79everolimus were collected for participants with NF1-OPGs. VA was converted to logMAR.  
80Analyses were calculated on a per-subject and per-eye basis when appropriate. The Response

81Evaluation in Neurofibromatosis and Schwannomatosis (REiNS) criteria were utilized to assess  
82VA outcomes.<sup>5</sup> VA improvement was defined as  $\geq 0.2$  logMAR improvement, whereas a  
83worsening VA was defined as  $\geq 0.2$  logMAR decrease. A change of  $< 0.2$  logMAR in either  
84direction denoted stable vision. For per-subject analysis, response definitions were in  
85accordance with previous studies on NF1-OPG VA outcomes.<sup>2</sup> If one eye showed VA  
86progression, the event was coded as “VA worsening” for the subject, even if VA testing in the  
87other eye was unchanged or improved. Similarly, if VA improved in one eye while the other was  
88stable, this was coded as “VA improvement” for the subject. A comparison of VA outcomes with  
89radiological outcomes was performed using descriptive statistics.

90

## 91Results

### 92Demographics

93 A total of 23 children with NF1-LGGs were treated with everolimus. The clinical details  
94and radiologic response of the entire cohort have been reported previously.<sup>4</sup> Eighteen of the 23  
95study participants were treated for an OPG. Participating sites provided available VA data prior  
96to and at the end of treatment with everolimus. Of these, 4/18 children did not have quantitative  
97VA at the start of protocol therapy, and 3 children each had one eye without quantifiable VA.  
98Visual outcomes were therefore available for 14 children and 25 total eyes.

99 Clinical characteristics of children with NF1-OPGs compared to non-OPG LGGs are  
100shown in Table 1. As a group, children with OPG were younger at treatment start. Disease  
101stability and/or tumor shrinkage, using 3-dimensional analysis by central review, was seen in  
10211/18 children with OPG and 4/5 with a non-OPG LGG.

103

#### 104 *Visual Outcomes*

105       The majority of children were assessed using Snellen visual acuity. There was an  
106 improvement in VA ( $\geq 0.2$  logMAR improvement) in 4/25 eyes (16%), stability (neither  $\geq 0.2$   
107 logMAR improvement nor worsening) in 19/25 eyes (76%), and VA worsening ( $\geq 0.2$  logMAR  
108 worsening) in 2 eyes (8%). On a per subject analysis of VA outcome, 12/14 had stable or  
109 improved vision after treatment, and 2/14 had worsening of vision. For the children with  
110 quantifiable VA, there was no correlation between MRI responses and VA on a per-eye or per-  
111 subject analysis (Table 2).

112

#### 113 **Discussion**

114       NF1-OPGs are rarely life-threatening; however, they are often associated with significant  
115 impact on vision. Although the goal of treatment is visual preservation/improvement, previous  
116 clinical trials have mostly focused on MRI outcomes, rather than visual outcomes. Towards this  
117 goal, REiNS criteria were established to standardize visual assessments for NF1-OPG.  
118 Everolimus has activity as a single agent for radiographically progressive NF1-LGG following  
119 standard upfront treatment with a carboplatin-containing therapy.<sup>4</sup> In an exploratory analysis, we  
120 assessed visual outcome data on a subset of participants with NF1-OPGs.

121       While age-appropriate VA normative data are available and the methods of VA  
122 assessment have good test-retest reliability,<sup>5</sup> formal evaluations in children with NF1 may be  
123 confounded by the presence of learning difficulties or attention deficit disorder.<sup>5</sup> VA  
124 assessments, both by per-eye and by per-subject evaluation, were largely stable and/or improved  
125 in our study.

126 To date, this is the largest study to examine the impact of targeted therapy on visual  
127outcomes in NF1-OPGs. A multicenter study of children with previously untreated NF1-OPG  
128retrospectively evaluated visual outcomes after traditional chemotherapy.<sup>2</sup> Improvement in VA  
129was noted in 32% of patients, while 40% had stable VA and 28% had worsening VA.  
130Selumetinib, a MAP/ERK Kinase I/II inhibitor demonstrated activity in patients with recurrent,  
131refractory or progressive LGG, including a stratum of children with NF1 associated LGG.<sup>6</sup> VA  
132outcome was available for 10/13 patients with NF1-OPG, among which there was improvement  
133in VA in 2/18 eyes and stability in 16/18 eyes. No patient experienced worsening acuity.  
134Interestingly, an improvement or worsening of visual outcome in our cohort did not always  
135correlate with radiologic outcome. Similar to our results, in both of the aforementioned studies,  
136there was no correlation between radiographic response and VA. Although our cohort was too  
137small to draw a definitive conclusion, this observation underscores the need to specifically assess  
138for VA loss in children with OPGs, rather than use MRI as the sole outcome.

139 There were several limitations of this study. The visual outcome data were not collected  
140prospectively. In addition, these data reflect multiple methods of VA testing, thereby limiting  
141our ability to establish a singular method for analyzing visual outcome. We did not collect  
142outcome of visual fields (VF) or other visual function assessments, such as optical coherence  
143tomography. Although formal VF testing may not be achievable for very young children, the  
144age at which children can start to be tested is clinically relevant in the context of symptomatic  
145NF1-OPGs.

146 Identification of effective, well-tolerated therapies for NF1-associated OPG is of  
147paramount importance. Despite the retrospective nature of the analysis, these findings highlight  
148the need to incorporate visual function, with both VA and VF, as functional clinical outcomes at

149presentation, after treatment, and throughout the clinical course of OPG management. A recent  
150international consensus panel recommended that future studies of pediatric LGG should include  
151functional outcomes, including visual function<sup>7</sup> and specific functional outcomes for children  
152with NF1 have also been recommended.<sup>5</sup> The current Children's Oncology Group study  
153(NCT03871257) compares standard chemotherapy to selumetinib and includes VA outcomes as  
154a co-primary endpoint coupled with other functional outcomes and quality of life measure as  
155important secondary objectives.

156       Taken together, we retrospectively analyzed visual outcome data from a large,  
157multicenter study of everolimus for NF-OPGs to evaluate the impact of targeted therapy on  
158visual function. Future prospective studies will be necessary to evaluate the impact of OPGs on  
159VA and VF as independent functional outcome metrics for treatment efficacy in clinical trials.





## 161**Acknowledgements**

162The primary clinical trial was supported by the United States Army Medical Research and  
163Materiel Command, Office of the Congressionally Directed Medical Research Program,  
164Department of Defense Neurofibromatosis Research Program, grant number W81XWH-05-01-  
1650615. We thank the children and parents who participated in this study.

166

## 167**Conflict of Interest**

168MWK served on a Novartis Scientific Advisory Board unrelated to everolimus  
169BRK is on a medical advisory panel for SpringWorks Therapeutics

170**References**

171 1. Campen CJ and Gutmann DH. Optic pathway gliomas in neurofibromatosis type 1. J  
172 Child Neurol 2018;33:73-81.  
173

174 2. Fisher MJ, Loguidice M, Gutmann DH, Listernick R, Ferner RE, Ullrich NJ, Packer RJ,  
175 Tabori U, Hoffman RO, Ardern-Holmes SL, Hummel TR, Hargrave DR, Bouffet E,  
176 Charrow J, Bilaniuk LT, Balcer LJ, Liu GT. Visual outcomes in children with  
177 neurofibromatosis type 1-associated optic pathway glioma following chemotherapy: a  
178 multicenter retrospective analysis. Neuro-oncology. 2012 Jun 1; 14(6): 790-7.  
179

180 3. Moreno L, Bautista F, Ashley S, Duncan C, Zacharoulis S. Does chemotherapy affect the  
181 visual outcome in children with optic pathway glioma? A systematic review of the  
182 evidence. Eur J Cancer. 2010;46:2253-2259.  
183

184 4. Ullrich NJ, Prabhu SP, Reddy AT, Fisher MJ, Packer R, Goldman S, Robison NJ,  
185 Gutmann DH, Viskochil DH, Allen JC, Korf B, Cantor A, Cutter G, Thomas C,  
186 Perentesis JP, Mizuno T, Vinks AA, Manley P, Chi S, Kieran M. A Phase II Study of  
187 Continuous Oral mTOR Inhibitor Everolimus for Recurrent, Radiographic-Progressive  
188 Neurofibromatosis Type 1-Associated Pediatric Low-Grade Glioma: A  
189 Neurofibromatosis Clinical Trials Consortium Study. Neuro-oncology. 2020 Apr 1.  
190 [online ahead of print]

5. Fisher MJ, Avery RA, Allen JC, Ardern-Holmes SL, Bilaniuk LT, Ferner RE, Gutmann DH, Listernick R, Martin S, Ullrich NJ, Liu GT. Functional outcome measures for NF1-associated optic pathway glioma clinical trials. *Neurology*. 2013 Nov 19; 81(21 Suppl 1): S15-24.
6. Fangusaro J, Onar-Thomas A, Poussaint TY, Wu S, Ligon AH, Lindeman N, Banerjee A, Packer RJ, Kilburn L, Goldman S, Pollack IF, Qaddoumi I, Jakacki RI, Fisher PG, Dhall G, Baxter P, Kreissman S, Stewart CF, Jones DTW, Pfister SM, Vezina G, Stern JS, Panigrahy A, Patay Z, Tamrazi B, Jones JY, Haque S, Enterline DS, Cha S, Fisher MJ, Doyle LA, Smith M, Dunkel IJ, Fouladi M. Selumetinib in children with BRAF-aberrant or neurofibromatosis type 1-associated recurrent, refractory or progressive low grade glioma: a multi-center phase II trial. *Lancet Oncol* 2019;20:1011-1022.
7. Packer RJ, Iavarone A, Jones DTW, Blakeley JO, Bouffet E, Fisher MJ, Hwang E, Hawkins C, Kilburn L, MacDonald T, Pfister SM, Rood B, Rodriguez FJ, Tabori U, Ramaswamy V, Zhu Y, Fangusaro J, Johnston SA, Gutmann DH. Implications of new understandings of gliomas in children and adults with NF1: report of a consensus conference. *Neuro Oncol* 2020;22:773-784.