

# Absorbing aerosol decreases cloud cover in cloud-resolving simulations over Germany

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Aerosol can affect clouds in various ways. Beside the micro-physical impact of aerosol particles on cloud formation, the interference of aerosol with atmospheric radiation leads to changes in local heating, surface fluxes and thus meso-scale circulations all of which may also modify clouds. Rather little is known about these so-called semi-direct effects in realistic settings - a reason, why this study investigates the impact of absorbing aerosol particles on cloud and radiation fields over Germany. Using advanced high-resolution simulations with grid spacings of 312 and 625 m, numerical experiments with different aerosol optical properties are contrasted using purely-scattering aerosol as control case and realistic absorbing aerosol as perturbation. The combined effect of surface dimming and atmospheric heating induces positive temperature and negative moisture anomalies between 800 and 900 hPa impacting low-level cloud formation. Decreased relative humidity as well as increased atmospheric stability below clouds lead to a reduction of low-level cloud cover, liquid water path and precipitation. It is further found that direct and semi-direct effects of absorbing aerosol forcing have similar magnitudes and equally contribute to a reduction of net radiation at the top of the atmosphere.

## KEYWORDS

Absorbing Aerosol, Semi-Direct Effects, High-Resolution

## 1 | INTRODUCTION

Absorbing aerosol plays an important role in Earth's climate system and contributes to the human impact on climate (Grassl, 1975; Bond et al., 2013; Boucher et al., 2013). Absorbing aerosol such as black carbon in soot absorbs incoming solar radiation (Ramanathan et al., 2001) changing the energy content of the atmosphere. It leads to modifications of the stability in the atmospheric boundary layer and free troposphere and thus to perturbations in the thermal structure of the atmosphere influencing cloud formation and maintenance (Ackerman et al., 2000; Koch and Del Genio, 2010). Aerosol also reduces the downwelling solar radiation at the surface which has been referred as surface dimming (Liepert, 2002; Feingold et al., 2005; Ramanathan and Carmichael, 2008; Wild, 2009). Over the land surface, this dimming by absorbing aerosols can lead to a substantial reduction in surface latent and sensible heat fluxes. Anticipated changes in surface fluxes were found to be sufficiently large to explain a substantial reduction of cloudiness due to smoke in the Amazonian rain forest (Feingold et al., 2005). Moreover, surface dimming by absorbing aerosol, e.g. from anthropogenic pollution, can decrease precipitation and thus impact water availability in the East Asian summer monsoon by cooling the land surface (Ramanathan and Carmichael, 2008; Persad et al., 2017). Taken together, the changes in atmospheric stability and reduction in surface fluxes could act to significantly modify the fraction of clouds, especially that of low-level clouds coupled to boundary layer processes. The actual changes in the planetary albedo and consequently in the Earth's energy balance depend on several factors, including the altitude of the aerosol layers relative to the clouds and the impacted cloud type (Koch and Del Genio, 2010; Ming et al., 2010). The impact of absorbing aerosol on clouds was initially called "semi-direct effect" (Hansen et al., 1997; Lohmann and Feichter, 2001) and is in more recent literature in a more general perception considered part of the rapid adjustments to aerosol-radiation interactions (Myhre et al., 2013b; Sherwood et al., 2015).

In the latest climate assessments, a negative value is assigned to the net global effective radiative forcing of aerosol-radiation interactions - but it has been also made clear that the current scientific understanding is low in terms of agreement and confidence level (Flato et al., 2014). It has been further stated that "while there is robust evidence for the existence of rapid adjustment of clouds in response to aerosol absorption, these effects are multiple and not well represented in climate models, leading to large uncertainty" (Boucher et al., 2013, see p. 573). Reasons for the disagreements between global models and regional high resolution simulations are not always understood, making it difficult to infer a consistent picture (Bond et al., 2013). Studies examining marine clouds impacted by atmospheric heating due to absorbing aerosol on a regional scale have found both reductions in cloudiness (a positive forcing) (Ackerman et al., 2000) but also increases and thickening (a negative forcing) (Wilcox, 2012; Gordon et al., 2018). Over land, a reduction of surface latent and sensible heat fluxes due the aerosol-induced dimming must be considered as an additional effect that does not play a particular role for marine clouds. Realistic convection-permitting modelling studies could show that the cooling of the land surface and the simultaneous atmospheric heating aloft causes substantial adjustments in vertical temperature stratification and is typically responsible for a suppression of convective clouds and precipitation (Huang et al., 2016; Wu et al., 2011). Thus, for semi-direct effects of absorbing aerosol, cloud cover could increase or decrease, depending on region and weather conditions. Moreover, it has been discussed that aerosol-radiation interactions and aerosol-cloud interactions of biomass burning aerosol over land show opposite signs and thus compensate each other (Liu et al., 2020; Lin et al., 2021).

In modelling studies, the net effect on radiation is usually inferred from two sets of simulations – one with and one without conditions perturbed by pollution aerosol (Bond et al., 2013). Here, this strategy has been applied to

40 cloud-resolving ICOSahedral Non-hydrostatic Large Eddy Model (ICON-LEM) simulations to investigate the impact  
41 of aerosol absorption over Germany. ICON-LEM is run with hectometre-scale horizontal grid spacings in a limited-  
42 domain setup with different aerosol optical properties. The chosen high-resolution setup allows for realistic semi-  
43 direct responses of cloud fields and cloud-scale circulations to aerosol-induced changes in atmospheric heating and  
44 surface fluxes. Moreover, the atmospheric part of ICON is coupled to a sophisticated surface model to further in-  
45 crease the realism of the atmosphere-surface interaction, and the model is run using realistic initial and boundary  
46 conditions in numerical-weather-prediction-type mode. The outlined research bridges the gap between currently  
47 published studies on LES (large eddy simulation) modelling with idealised or semi-idealised setups (typically applied to  
48 investigate marine clouds) and convective-permitting modelling applied for more realistic configurations e.g. including  
49 the response of land surface modules. From a general perspective, our research further contributes to the scientific  
50 understanding of regional rapid adjustments to aerosol-radiation interactions which is important for a further reduc-  
51 tion of the uncertainty of aerosol- and cloud-related processes in a changing climate (Flato et al., 2014; Bellouin et al.,  
52 2020).

53 The rest of the paper is structured as follows: We explain the ICON model setup, the conducted sensitivity  
54 experiments and the general framework of our object-based analysis of liquid water path (LWP) fields in Section 2.  
55 The main results are presented in Section 3 which considers the changes in atmospheric stability and the radiative  
56 forcing due to aerosol perturbations as well as responses of LWP and precipitation. We provide a discussion of our  
57 results in Section 4 and close with a summary in Section 5.

## 58 2 | DATA AND METHODS

### 59 2.1 | ICON Model

60 The ICON (ICOSahedral Non-hydrostatic) modelling framework was jointly developed by the German Meteorological  
61 Service and the Max Planck Institute for Meteorology (Zängl et al., 2014). For our study, we apply the ICON-LEM  
62 configuration that was specifically adjusted for high-resolution simulations (Dipankar et al., 2015). This setup was  
63 extensively evaluated against a comprehensive set of observations (Heinze et al., 2017). In addition, Stevens et al.  
64 (2020) showed that the general representation of clouds and many other important aspects of the structure of cloud  
65 fields are considerably improved, compared to coarse-resolved simulations, when hectometre-scale simulations are  
66 afforded despite their significant computational demand.

67 The ICON dynamical core solves the fully compressible non-hydrostatic equations of motion on a triangular grid.  
68 The discretization of the air and tracer transport is such that mass of air and its constituents is conserved (Zängl  
69 et al., 2014). In the vertical, ICON is discretized using a height-based terrain-following coordinate system. The ICON-  
70 LEM physics package includes sophisticated parameterisations for land surface processes (TERRA model, Heise et al.,  
71 2006), three-dimensional diagnostic sub-grid turbulence (3-dim. Smagorinsky closure), cloud microphysical processes,  
72 and radiative transfer. Cloud condensate is separated into six hydrometeor categories (cloud droplets and rain for  
73 liquid condensate; cloud ice, graupel, snow and hail for frozen condensate). For each category, number and mass  
74 concentrations are forecast using the two-moment scheme after Seifert and Beheng (2005). Radiative transfer is  
75 calculated by the global model version of the Rapid Radiation Transfer Model, RRTMG (Mlawer et al., 1997). RRTMG  
76 uses 14 bands in the shortwave and 16 bands in the longwave.

77 In the ICON-LEM version, applied in the current study, aerosol-radiation interactions (ARI) are considered inde-  
78 pendently of aerosol-cloud interactions (ACI). For ARI, temporally constant aerosol optical properties are input as  
79 external parameters, whereas for ACI, the model digests prescribed cloud condensation nuclei concentrations follow-

ing Costa-Surós et al. (2020). The latter is not related to the aerosol-optical properties data. However, this apparently inconsistent formulation is used here to its advantage. Aerosol perturbations can be formulated such that only direct and semi-direct effects of aerosol forcing are considered, whereas indirect effects via cloud microphysical adjustments are excluded. In any case, aerosol is neither interactively transported with the simulated flow nor processed by simulated clouds or precipitation. This means that a potential buffering of the radiative effects by thermodynamical or cloud microphysical feedbacks as for instance outlined by Yamaguchi et al. (2015) for the interaction between smoke and marine clouds is not included in our study.

For ARI, aerosol optical properties are taken as static, external data (no interactivity) from the Global Aerosol Climatology Project (GASP, Tegen et al., 1997) which provides data for monthly-mean aerosol optical properties for a representative aerosol mixture. The horizontal resolution of GASP aerosol optical depth (AOD) data is  $4^\circ \times 5^\circ$  and thus very coarse leading to rather similar conditions across the whole domain and very weak horizontal AOD gradients. AOD at 550 nm is input for different GASP classes and subsequently mapped onto four prescribed ICON aerosol classes. Taking all together, the total domain-average AOD is around 0.21 at 550 nm (minimum and maximum AOD values reach 0.16 and 0.25, respectively). The four ICON aerosol classes represent the types "continental", "marine", "dust" and "urban" which provide respective contributions of 67%, 0.8%, 19% and 14% to the total AOD. When weighted by the incoming radiation fluxes in the respective solar bands, the broad-band single scattering albedo of the aerosol mixture is 0.89, i.e. 11% of the extinct solar flux is absorbed. The broadband absorption AOD of the mixture is 0.017. Continental aerosol contributes half, dust and urban aerosol each around a quarter to the total absorption AOD. A simple exponential decay with altitude is assumed for the vertical profiles of aerosol optical properties which is generally consistent with findings from comprehensive air quality model simulations (Curci et al., 2019). For ACI, completely different aerosol distributions are ingested into the ICON model. The methodology follows the one described by Costa-Surós et al. (2020) (denoted there as "C2R" run). Three-dimensional distributions of cloud condensation nuclei (CCN) are pre-calculated after Genz et al. (2020) and provided to ICON as external data. Cloud-microphysical adjustments only care about these prescribed CCN fields, but are independent of perturbations in aerosol-radiation interactions.

## 2.2 | Experiment Setup

The simulations are performed in a limited-area setup covering Germany with a rectangular domain extending from 4.5 to 14.5°E and from 47.6 to 54.6°N. All physical parameterizations are configured in a similar way as described in Heinze et al. (2017). In addition to the above mentioned radiation and grid-scale microphysics scheme, cloud cover is parametrized by an all-or-nothing scheme that does not account for humidity fluctuations at subgrid scales. Turbulent mixing is parametrized by a three-dimensional, local and diagnostic Smagorinsky scheme applied on prognostic winds, potential temperature, specific humidity and specific cloud liquid water content with modifications to account for thermal stratification (see Dipankar et al., 2015). Two high-resolution ICON-LEM configurations with respective horizontal grid spacings of 625 m and 312 m are coupled using one-way nesting. The outer nest is initialised at 0z with initial conditions and subsequently driven by realistic lateral boundary conditions obtained from hourly updated analysis of the COSMO-DE model (Baldauf et al., 2011). The initialization also includes soil properties. In the vertical, the same configuration is used for both nests with a total number of 150 vertical levels, with a grid stretching towards the model top at 21 km and with a minimal layer thickness of 20 m near the surface (Heinze et al., 2017). The simulations in the two different nests allow to test for horizontal resolution sensitivities and build a minimal simulation ensemble. In the case, where the sensitivity experiments described below differ at two resolutions qualitatively it is believed that the simulated response can not be attributed to aerosol perturbations. The chaotic and turbulent nature

121 of the atmospheric motion supposedly governs the divergent evolution of the model results in that case.

122 Due to the high computational cost, we only consider 24-hour forecasts for one single day during mid-latitude  
123 spring, i.e. 2 May 2013. This day falls into a period of intensive observations during the High Definition Clouds and  
124 Precipitation for Climate Prediction (HD(CP)<sup>2</sup>) Observational Prototype Experiment (HOPE; Macke et al., 2017). The  
125 cloud scenery is characterised by a complex mixture of stratiform and convective cloud types on that day on which  
126 the considered region was dominated by a high-pressure system (see e.g. Figures 1 and 9 in Costa-Surós et al., 2020).  
127 For a more detailed description of the weather situation and supplementary observations, the reader is referred to  
128 Heinze et al. (2017) and Costa-Surós et al. (2020).

129 Two distinct model experiments were conducted: In the first experiment, the complete aerosol-radiation inter-  
130 action is considered as described above. Therefore, aerosol optical properties after Tegen et al. (1997) are included  
131 into radiative transfer calculations. Simplified aerosol profiles are specified such that the largest amount of aerosol is  
132 found in the planetary boundary layer. A realistic mixture of aerosol types with different contributions to scattering  
133 and absorption is taken into account. This experiment is abbreviated with "*absorbing*" in the following to clarify that  
134 it represents the effects of aerosol absorption. However, we like to emphasise that the "*absorbing*" experiment does  
135 not exclude the effects of aerosol scattering. In the second experiment, absorption coefficients for all aerosol species  
136 are set to zero, but keeping scattering properties at the predefined values. Hence, aerosols impact shortwave and  
137 longwave radiation flux calculations (RRTMG) only via scattering. This experiment is abbreviated with "*scattering*" in  
138 the following. Broadband AODs decrease from 0.163 in the "*absorbing*" experiment to 0.146 in the "*scattering*" ex-  
139 periment. Thus using the Beer-Lambert law for a simple estimate (Petty, 2006), the atmospheric transmittance would  
140 be reduced by 1.7% in the "*absorbing*" experiment, thus about one to two percent less solar radiation would reach the  
141 surface.

142 For subsequent analysis, all ICON output fields were regridded onto a regular longitude-latitude grid with an  
143 average grid spacing of 5 km. Using the difference of the two experiments, the direct and semi-direct effects of  
144 aerosol absorption can be inferred. The "*scattering*" experiment with no aerosol absorption is taken as reference in  
145 the following. In that way, changes in cloud cover and other atmospheric variables can be attributed to the added  
146 aerosol absorption. In other words, we can answer the question of how much the atmosphere including its condensate  
147 is changed by increasing aerosol absorption to current levels.

## 148 2.3 | Object-based Analysis

149 In combination with traditional statistics like domain average and standard deviation, we apply an object-based anal-  
150 ysis to our simulations. The underlying assumption is that the additional information from the object properties fac-  
151 ilitates the physical interpretation of the results (Gilleland et al., 2009; Ebert et al., 2013). If, for instance, the liquid  
152 water path (LWP) field is composed of a high number of small, but intense objects, we interpret the cloud scenery  
153 as convective situation. In contrast, if large and more homogeneous LWP objects appear then the cloud scenery is  
154 composed of more stratiform clouds.

155 For the derivation of objects, a threshold-based segmentation is applied (see e.g. Rempel et al., 2017; Senf et al.,  
156 2018). In this methodology, a predefined threshold is used to mask a two-dimensional atmospheric field, e.g. LWP. In  
157 the resulting binary mask, field values larger than the threshold correspond to the areas of interest which form the  
158 objects. Contiguous regions which are connected across edges (4-connectivity) get a unique label. No smoothing of  
159 the input field and no size-related filtering of the objects is applied. Finally, object properties are derived as sum or  
160 mean over all grid boxes sharing the same object label.

161 In a further analysis step, we apply a technique that intends to match objects between the "*scattering*" and the

162 "absorbing" experiment. This allows to make statements about which objects exist in both simulations and how they  
163 have changed, and additionally to identify newly formed objects. Matching objects from different sources is a typical  
164 task for object-based forecast verification (e.g. Davis et al., 2009). In our case, we utilise the fact that the difference  
165 between both simulation experiments is caused by small perturbations and thus the simulations remain rather close  
166 to each other. We define objects that overlap between the two experiments as matching objects. For this calculation,  
167 the object labels of one experiment (e.g. "scattering") are mapped onto the binary mask of the other experiment (e.g.  
168 "absorbing"). Areas of interest that are not assigned to a label by this mapping are filled with a region growing method,  
169 also called watershed segmentation (see Senf et al., 2018; Heikenfeld et al., 2019, for an extended description). This  
170 second segmentation calculation stabilises the analysis to a considerable degree and makes it less sensitive to sub-  
171 sequent splits and merges due to filament connections (see Weniger and Friederichs, 2016, for a critical discussion  
172 of sensitivities). Slightly different statistics result from the two possible matching options, i.e. matching "scattering"  
173 objects to "absorbing" objects" and vice versa. We average the two options to arrive at the final statistics.

## 174 3 | RESULTS

### 175 3.1 | Atmospheric Stability Changes due to Aerosol Perturbations

176 We start with the direct response of radiative fluxes to aerosol perturbations which then lead to changes in atmo-  
177 spheric stability. Figure 1a provides domain-average profiles of radiative heating rates derived in areas that are de-  
178 fined as clear-sky in both ICON experiments ("absorbing" and "scattering"). In general, longwave radiation fluxes only  
179 warm lowermost atmospheric layers and thereby transfer energy from the Earth surface to the atmosphere by a rate  
180 of about 5 Kelvin per day. The rest of the atmosphere is cooled by emission of longwave radiation into space. The  
181 absorption of shortwave radiation by gases induces a warming throughout the atmosphere by a few Kelvin per day.  
182 The warming increases towards the surface reaching values similar to the longwave heating. If aerosol absorption  
183 is taken into account, the shortwave heating is increased by 1 to 1.5 Kelvin per day (see Fig. 1b). The difference in  
184 shortwave heating increases towards the surface which brings an additional energy input into the planetary boundary  
185 layer below the free troposphere. The functional shape of the heating difference is solely determined by the aerosol  
186 concentration profile which was specified as a simple exponential decay with height. Thus, the maximum heating rate  
187 difference in the domain lies below any clouds. Different heating rate profiles could be realised depending on where  
188 the maximum concentration of absorbing aerosol is found. As reviewed by Koch and Del Genio (2010), knowledge  
189 about the location of the aerosol layer relative to the clouds is crucial for the understanding how cloud development  
190 and precipitation formation is impacted. Also longwave heating is modified by absorbing aerosol, but to a smaller  
191 amount compared to shortwave heating. The additional aerosol absorption in the longwave part of the spectrum  
192 leads to smaller vertical gradients in the longwave fluxes and to an increased longwave emissivity of the lower at-  
193 mosphere, therefore inducing a cooling anomaly. Although the longwave effects are of second order during daytime  
194 compared to shortwave heating, they may become relatively more important overnight.

195 The impact of clouds on the shortwave radiative heating differences is shown in Fig. 1c. For this analysis, the  
196 differences of cloudy heating profiles have been subtracted from the differences in clear-sky heating profiles. Fur-  
197 thermore, broken-cloud and overcast areas have been identified based on cloud cover (see figure caption for the  
198 definition). Below approximately 850 hPa, clouds reduce the heating due to absorbing aerosol and thus provide a  
199 cooling contribution relative to the clear-sky heating. This is just due to the fact that less radiative energy is available  
200 for aerosol absorption below clouds. Overcast clouds have an higher average albedo than broken clouds. The amount  
201 of reflected radiation is increased for overcast clouds making more radiation available for absorption in the upwelling

202 branch above clouds. Thus, in this way, a large cloud deck can increase the top-of-the-atmosphere direct aerosol  
203 radiative effect (Chand et al., 2009). Overall, the shown shortwave heating pattern, with a relative cooling below and  
204 a relative heating above clouds, leads to a slight stabilisation of the atmosphere relative to the clear-sky changes.

205 The average response of the atmosphere due to the applied aerosol absorption perturbation is shown in Fig. 2.  
206 Besides the few lowest layers close to the surface, the simulated atmosphere is stably stratified on average. In both,  
207 the 312 m and the 625 m model setups, the absorption-induced anomalies of mean thermodynamic quantities are  
208 very similar. This provides a hint that the analysed response is caused more likely by aerosol changes than by changes  
209 in the (possibly chaotic) weather evolution. In Fig. 2a, the largest change in domain-average temperature is found  
210 slightly below 850 hPa within the low-level cloud layer. The temperature peak has its origin in the superposition of  
211 two opposite effects. First, the positive shortwave heating anomaly (see Fig. 1b) forces a positive temperature anomaly  
212 that increases towards the surface. Secondly, as the absorbing aerosol hinders shortwave radiation from reaching the  
213 Earth's surface, a so-called dimming effect occurs. This has the consequence that less solar energy is added to the  
214 surface energy budget which consequently lowers the surface temperature and the amount of the upwelling latent  
215 and sensible heat fluxes. Thus, the boundary-layer circulations transport less energy away from the surface and a  
216 negative temperature perturbation develops that counteracts the effects of increased local shortwave heating. The  
217 profile of the temperature anomaly indicates that the combined action of surface dimming and atmospheric heating  
218 increases atmospheric stability below the low-level cloud layer. Absorption-induced atmospheric heating is however  
219 the dominant effect above the cloud layer and causes a reduction in atmospheric stability.

220 Even though latent heat fluxes are reduced due to surface dimming, a positive humidity anomaly develops near  
221 the surface (Fig. 2b). Surprisingly, the humidity anomaly at the surface is so high that temperature and humidity  
222 anomalies contribute equally to the change of the atmospheric enthalpy (around  $25 \text{ J kg}^{-1}$ ) and also that the relative  
223 humidity (RH) at the surface is not changed at all, i.e.  $\Delta\text{RH} = 0$  (not shown). At higher altitudes, the humidity anomaly  
224 has a negative peak in the centre of the cloud layer. With higher temperature and lower humidity between 900 and  
225 800 hPa, the liquid cloud field experiences a negative impact. In the domain average, the cloud coverage is reduced  
226 with the largest reduction of  $-1\%$  peaking at around 900 hPa (see Fig. 2c). In the mid-levels between 800 and 500 hPa,  
227 a small positive humidity anomaly is found. However, the impact of the aerosol perturbation is less clear and also much  
228 more uncertain for mid-level and high clouds.

229 As stated in Section 2.2 and further discussed by Heinze et al. (2017), the simulated cloud scenery is composed  
230 of a mixture of stratiform and convective clouds. The western half of the domain is more convectively characterised,  
231 whereas the large, more stratiform cloud decks exist in the eastern part of the domain. In order to enable a separation  
232 between the responses of convective and stratiform regimes to aerosol perturbations, Fig. 3 shows profiles of cloud  
233 water and cloud-related fluxes individually averaged for a western and for an eastern sub-domain. A further distinction  
234 of cloud regimes is carried out in the next section based on lower tropospheric stability. Here, it can be seen that  
235 specific cloud water content  $q_c$  maximises around 850 hPa (Fig. 3a) similar to cloud cover. Significantly more cloud  
236 water content is found in the eastern sub-domain, i.e. in the stratiform cloud regime. The cloud-water anomalies  
237 peak slightly below the maximum of the absolute values of the reference case with much higher magnitudes in the  
238 eastern sub-domain, but similar relative reductions of around  $-10\%$  in the  $\Delta q_c$ -minimum. Thus, in a relative sense, the  
239 analysed cloud-water responses are similar in convective and in stratiform cloud regimes. The distinction between  
240 convective and stratiform cloud dynamics can also be identified based on Fig. 3b in which the average liquid water  
241 flux reaches much higher up in the convective regime. The flux anomaly shows increasingly negative values from the  
242 surface up to around 900 hPa. From this levels upwards, resolution sensitivity dominates the water-flux anomalies,  
243 especially in the convectively characterised eastern part, and even the sign of the water-flux anomaly seems to be  
244 uncertain. Finally, upward-directed flux of vertical momentum is presented in Fig. 3c as measure of boundary-layer

245 and cloud-related circulations. This quantity peaks below the cloud base around 950 hPa and shows a very high  
246 sensitivity to horizontal resolution. As discussed in Heinze et al. (2017), the grid spacing of a few hectometres is not  
247 sufficient to resolve the full spectrum of boundary-layer and cloud-related circulations, thus vertical motions remain  
248 partly under-resolved even in our high-resolution setup. The momentum-flux anomalies show a consistent reduction  
249 of vertical motion below the cloud base which is more pronounced in the convectively characterised eastern part.  
250 This makes clear that the previously discussed stabilisation of the lower atmosphere weakens the development of  
251 circulations and thus mixing and vertical water transport in the planetary boundary layer.

## 252 3.2 | Assessment of Radiative Forcing

253 In the following, we assess how changes in cloud cover are linked to changes in radiative fluxes at the surface and  
254 at the top of the atmosphere. The temporal evolution of low-level cloud cover is shown in Fig. 4a. A negative cloud  
255 cover anomaly already develops at night, i.e. in the absence of sunlight. The effect could be potentially attributed to  
256 the increased longwave opacity of the atmosphere due to additional aerosol absorption in the longwave. Due to this,  
257 low-level clouds would be slightly less efficient to cool at night via longwave emission from cloud tops. This would  
258 lead to a small positive temperature anomaly within the low-level cloud layer causing evaporation of liquid cloud  
259 condensate and therefore the initial cloud cover starts to decrease. After sunrise, a different regime sets in and cloud  
260 cover is depleted much more efficiently. As already described earlier, the direct shortwave heating due to absorbing  
261 aerosol induces a positive temperature anomaly and a negative humidity anomaly that both negatively influence liquid  
262 cloud amount. In addition, reduced surface fluxes due to surface dimming cause an increase in atmospheric stability of  
263 the boundary layer which partially hinders convective cloud development. The net shortwave radiation that reaches  
264 the Earth's surface is reduced by the impact of absorbing aerosol (see Fig. 4b). The peak reduction of net shortwave  
265 radiation around  $-8 \text{ W m}^{-2}$  occurs between 8 and 9z. In this time and earlier, the reduction in net shortwave radiation  
266 is mainly caused by the dimming effect of absorbing aerosol. The relative increase in net shortwave radiation around  
267 local noon (11z) comes from the change in direct solar radiation at the surface which increases because less low-level  
268 clouds reflect shortwave radiation back to space before it reaches the surface. The spatial distributions of cloud cover  
269 and shortwave radiation anomalies are visualised in Fig. 4c-e for illustration. The large and more stratiform cloud deck  
270 in the east of the domain remains rather stable and mainly loses areal extent at the edges. More irregular patterns  
271 of cloud cover change are found in the more convective, western part of the domain. More generally, we could think  
272 of the boundary layer - cloud coupling as a buffered system which tries to minimise the loss of incoming energy by  
273 reducing the amount of low-level clouds which would otherwise shade the surface in addition to the aerosol-induced  
274 surface dimming.

275 As seen in the visualised maps (Fig. 4), cloud cover and radiative fluxes seem to respond differently to applied  
276 aerosol perturbations in the convective and in the stratiform regions. For a statistical assessment of this aspect that  
277 goes beyond the separation into sub-domains already discussed together with Fig. 3, the simulation data have been  
278 now stratified by lower tropospheric stability (LST) in Fig. 5. For marine stratiform clouds, LST was found to explain  
279 low-level cloud cover to a reasonable degree (see e.g. discussion in Klein and Hartmann, 1993; Wood and Bretherton,  
280 2006). In our simulations, we also identify this ability of LST. We find that average low-level cloud cover increases  
281 from 40% for low LST values around 6 K to almost 75% for high LST values around 14 K (black curve in Fig. 5a).  
282 Additionally, average LWP shows a rapid and more than threefold increase from 60 to  $180 \text{ gm}^{-2}$  with increasing LST  
283 (black curve in Fig. 5b). Thus, due to higher cloud cover and higher LWP, the shortwave cloud-radiative effects become  
284 larger leading to decreased shortwave fluxes at the surface (Fig. 5c) and at the TOA (Fig. 5e). Therefore, the lower  
285 end of LST marks regions which are either cloud-free or in which small and cumuliform clouds dominate, whereas

the large and thick stratiform cloud decks can be found at higher LST. The anomalies due to aerosol absorption are indicated in Fig. 5 with coloured lines. It can be anticipated that cloud cover changes are largest in convective regions (low LST) and also in the transition zones between convective and stratiform regions (medium LST values in Fig. 5a). In contrast, a reduction found for LWP of  $-7 \text{ gm}^{-2}$  for high LST is twice as large as the reduction found for low LST (Fig. 5b). Both effects, the reduced low-level cloud cover and the reduced LWP, impact changes of the shortwave fluxes at the surface. The decreased cloud cover lead to more cloud-free areas and thus direct downwelling shortwave fluxes increase, especially for medium LST values (Fig. 5c). This positive flux anomaly is more than compensated by the negative anomaly in the diffuse downwelling shortwave fluxes which also includes the main contributions from aerosol-induced surface dimming (Fig. 5d). The magnitude of the negative diffuse flux anomaly is particularly reduced at high LST where a reduction in LWP causes a thinning of the stratiform cloud field. Thus, the dimming of the surface by absorbing aerosols is compensated by the rapid adjustment of low-level clouds in any regime. However, the actual mechanisms differ for convective regions where a cloud-cover reduction dominates and for stratiform regions where a cloud thinning dominates. Furthermore, the shortwave TOA net flux shows a positive anomaly (Fig. 5e) particularly large for medium LST representative for the transition zone between convective and stratiform areas.

For the assessment of the effective radiative forcing, differences in the daily- and domain-average top-of-the-atmosphere (TOA) energy budget are presented in Tab. 1. Aerosol absorption mainly acts on the shortwave component. The net shortwave TOA radiation fluxes increase by  $4.5$  and  $5.1 \text{ W m}^{-2}$  in the  $625$  and  $312 \text{ m}$  resolution runs, respectively. Thus, the additional absorption leads to the situation where more solar energy is kept in the atmosphere and less is scattered back to space. The difference in the longwave TOA radiation fluxes is of the same, positive, sign, but only marginally contributes to the positive radiative forcing caused by a slightly increased atmospheric opacity. Since the net TOA radiation fluxes are much smaller in magnitude than either the negative longwave and positive shortwave TOA radiation fluxes, and since the perturbations in shortwave and longwave fluxes are of the same sign, the difference in the net TOA radiation of around  $5 \text{ W m}^{-2}$  substantially changes the rather sensitive net TOA energy budget by  $\approx 15\%$ .

	625 m	312 m
$\Delta \text{SW}_{\text{TOA}}$	4.46 (1.8%)	5.06 (2.0%)
$\Delta \text{LW}_{\text{TOA}}$	0.54 (-0.2%)	0.23 (-0.1%)
$\Delta \text{NET}_{\text{TOA}}$	4.99 (15.1%)	5.29 (17.1%)

**TABLE 1** Daily- and domain-average differences of TOA energy budget. For the difference, the purely scattering experiment is subtracted from the experiment with realistic aerosol absorption. Model grid spacing (either  $625$  or  $312 \text{ m}$ ) is indicated. Absolute differences are in  $\text{W m}^{-2}$ , differences relative to the "scattering" experiment are provided in parenthesis. Fluxes are positive downward, i.e. positive values indicate that the Earth system gains energy.

Absorbing aerosol induces a dimming of downwelling shortwave radiation fluxes at the surface (see Tab. 2). The downwelling shortwave component is reduced by  $4$  to  $4.5 \text{ W m}^{-2}$  ( $\approx 2\%$ ) supporting the arguments laid out in Sect. 2.2. Due to the high average total cloud cover of around  $80\%$ , the largest contribution to the surface dimming originates from the diffuse downwelling shortwave radiation. The increased thermal opacity of the atmosphere including absorbing aerosol causes an increase in downwelling longwave radiation at the surface which has a magnitude similar to the increase of longwave TOA radiation. The land surface adjusts to the decreased availability in solar energy. Surface temperatures start to decrease as a reaction to this. Consequently, sensible and latent heat fluxes at the

324 surface also decrease by around  $1.5$  and  $0.6 \text{ W m}^{-2}$ , respectively. The reduction in turbulent surface fluxes does  
 325 not completely compensate the net radiative perturbation. A net energy imbalance of around  $-1 \text{ W m}^{-2}$  remains at  
 326 the surface which further reduces the surface temperature. Taking the difference between changes at TOA and the  
 327 surface, the atmosphere absorbs around  $8.5 \text{ W m}^{-2}$ . Thus, the change of net TOA radiation fluxes is a factor of 0.6  
 328 smaller than the change of radiation absorbed in the atmosphere. For anthropogenic aerosol, this factor ranges be-  
 329 tween  $-0.3$  and  $-0.1$  due to the predominance of scattering sulphate aerosol (Bellouin et al., 2020). When normalised  
 330 by the applied aerosol perturbation of 0.017 (see Sect. 2.2), the normalised radiation absorbed by the atmosphere  
 331 is around  $500 \text{ W m}^{-2}$  similar to Myhre et al. (2013a) who reported values around  $525 \pm 165 \text{ W m}^{-2}$  for global climate  
 332 model simulations.

	625 m	312 m
$\Delta SW_{s,\downarrow}$	-4.46 (-2.2%)	-3.97 (-2.0%)
$\Delta SW_{s,\text{diff},\downarrow}$	-3.58 (-3.6%)	-3.60 (-3.6%)
$\Delta SW_{s,\text{dir},\downarrow}$	-0.88 (-0.9%)	-0.37 (-0.4%)
$\Delta SW_{s,\uparrow}$	0.63 (-2.0%)	0.56 (-1.8%)
$\Delta LW_{s,\downarrow}$	0.49 (0.2%)	0.34 (0.1%)
$\Delta LW_{s,\uparrow}$	0.09 (0.0%)	0.07 (0.0%)
$\Delta SH_{s,\uparrow}$	1.46 (-5.0%)	1.45 (-4.9%)
$\Delta LH_{s,\uparrow}$	0.68 (-1.2%)	0.60 (-1.0%)
$\Delta NET_s$	-1.12 (-3.4%)	-0.96 (-3.2%)

334 **TABLE 2** Differences in daily-average surface energy budget similar to Table 1. Upwelling and downwelling flux  
 335 differences are indicated by upward and downward directed arrows, respectively. Fluxes are again defined to be  
 336 positive when downward meaning that positive values indicate that the atmosphere loses energy.  $\Delta SW_{s,\text{diff},\downarrow}$  and  
 337  $\Delta SW_{s,\text{dir},\downarrow}$  are the diffuse and direct components of downwelling shortwave radiation, respectively, and  $\Delta LH_{s,\uparrow}$  and  
 338  $\Delta SH_{s,\uparrow}$  are the latent and sensible turbulent heat fluxes, respectively.  $\Delta NET_s$  is the sum of the radiative and  
 339 turbulent energy fluxes, i.e. the heat storage rate of the ground.

341 As the ICON-LEM is an extension of a numerical weather prediction system to resolutions at hectometre scale,  
 342 aerosol forcing estimates have not been implemented as a standard online diagnostic. The implementation of this  
 343 feedback and especially the corresponding re-runs of all numerical experiments are rather cumbersome. Therefore,  
 344 the aerosol effect is considered here only in an approximated way. A more accurate assessment of the aerosol forcing  
 345 components with ICON-LEM will be postponed to future studies.

346 In the following, we make use of the fact that planetary albedo  $\alpha$  is highly sensitive to changes in total cloud  
 347 cover  $CC_{\text{tot}}$  (Bender et al., 2016). In the cloud cover range that is realised in our simulations, planetary albedo can  
 348 be approximated by a linear function of total cloud cover (see Fig. 6). For the "scattering" as well as the "absorbing"  
 349 experiment, a change of 1.2% in albedo is found for a change of 1% in total cloud cover (marked by the two regression  
 350 lines in Fig. 6). In the temporal average, the planetary albedo of the "scattering" experiment is 35.8%. In the "absorbing"  
 351 experiment, the planetary albedo is  $-1.1\%$  lower, i.e. seen from space the effect of the absorbing aerosol is that the  
 352 Earth appears darker. This darkening occurs for two reasons: first, the absorbing aerosol itself reduces the amount of  
 353 reflected shortwave radiation at TOA and second, the reduction in cloud cover opens the view onto the Earth's surface

354 in some regions which have a lower albedo than the more reflective clouds. From the values above, it is also clear  
 355 that the perturbation of the planetary albedo due to aerosol absorption  $\Delta\alpha$  is small which makes us confident that a  
 356 separation into two distinct parts  $\Delta\alpha = \Delta\alpha_{\text{direct}} + \Delta\alpha_{\text{semi}}$  is meaningful. The first term,  $\Delta\alpha_{\text{direct}}$ , is the albedo change  
 357 due to direct absorbing aerosol forcing which could have been determined by a second call of the radiation scheme  
 358 without aerosol absorption. The second term  $\Delta\alpha_{\text{semi}}$  is related to the albedo change from semi-direct responses of  
 359 the atmosphere to absorbing aerosol forcing (rapid adjustments to aerosol-radiation interactions). We have seen that  
 360 cloud cover is the major control for planetary albedo. Therefore, the semi-direct albedo change is set to be proportional  
 361 to the cloud cover change, i.e.  $\Delta\alpha_{\text{semi}} \approx (\partial\alpha/\partial\text{CC}_{\text{tot}}) \Delta\text{CC}_{\text{tot}}$ . Utilising that the total cloud cover changes from 81.2%  
 362 in the "scattering" experiment down to 80.8% in the "absorbing" experiment, i.e.  $\Delta\text{CC}_{\text{tot}} = -0.4\%$ , we find a planetary  
 363 albedo change due to semi-direct effects in the order of  $\Delta\alpha_{\text{semi}} = -0.5\%$ . Consequently, the remaining albedo change  
 364 needs to be attributed to direct absorbing aerosol effects, i.e.  $\Delta\alpha_{\text{direct}} = -0.6\%$ . As a slightly different derivation, the  
 365 distance between the two regression lines is an approximation to the albedo change due to absorption. In summary,  
 366 we find nearly equal direct and semi-direct effects due to aerosol absorption in our simulations.

### 367 3.3 | Responses of Liquid Water Path and Precipitation

368 Aerosol-induced changes in clouds do not only influence the atmospheric energy budget, but also impact the hydrolog-  
 369 ical cycle (Ming et al., 2010). To shed light on this aspect, simulated fields of liquid water path and surface precipitation  
 370 are analysed in the following.

371 For our simulations, a negative LWP anomaly develops over time due to the effect of absorbing aerosol. An  
 372 average LWP of around  $95 \text{ gm}^{-2}$  is found for the "scattering" experiment when averaged between 8 and 14z. The  
 373 average LWP is reduced by 4 to  $5 \text{ gm}^{-2}$  when aerosol absorption is taken into account. In line with the reasoning  
 374 discussed earlier for low-level cloud cover, reduced relative humidity in the cloud layer and increased stability in  
 375 the planetary boundary layer have a negative impact on the formation of liquid clouds. The LWP probability density  
 376 functions (PDFs) for the "scattering" experiment peak around  $100 \text{ gm}^{-2}$  (see Fig. 7a). The negative anomaly of average  
 377 LWP comes along with a shift of the LWP PDFs to smaller values which becomes larger as time evolves. We thus see  
 378 that in terms of a relative distribution, more LWP values smaller and less LWP larger than  $80 \text{ gm}^{-2}$  are found due to  
 379 absorbing aerosol. However, this relative shift in LWP PDFs obscures the fact that the smaller LWP values ( $< 80 \text{ gm}^{-2}$ )  
 380 still provide the same contribution to the total liquid water mass. The negative LWP anomaly essentially originates  
 381 from reduced contributions of LWP-values around  $200 \text{ gm}^{-2}$  (see Fig. 7b).

382 Next, we analyse which cloud sizes particularly contribute to this reduction in LWP. A value of  $200 \text{ gm}^{-2}$  is taken as  
 383 threshold for the LWP-fields which corresponds to the peak in LWP contributions (Fig. 7b). From the resulting binary  
 384 masks, object size statistics have been derived (see Sect. 2.3). Taking all LWP objects together, the accumulated  
 385 coverage reduces from 15.1 % in the "scattering" experiment to 14.3 % in the "absorbing" experiment. LWP objects  
 386 with a diameter around 200 km dominate the overall change and contribute around  $-0.5\%$  to the total reduction of  
 387  $-0.8\%$  (see Fig. 7c). Hence, the large, more stratiform cloud field responds most strongly to the aerosol perturbation.  
 388 LWP objects smaller than 20 km also contribute to the reduction of areal coverage, but with around  $-0.3\%$  in a slightly  
 389 less pronounced way.

390 Moreover, the applied method allows to distinguish LWP objects that occur in same locations and thus match  
 391 between the "scattering" and the "absorbing" experiments, and those for which no local match is identified. The latter  
 392 are typically rather small ( $< 20 \text{ km}$ ) convective LWP objects which appear at displaced locations due to slightly changed  
 393 convective trigger conditions. The set of matching objects dominates the areal coverage in terms of absolute values.  
 394 About twenty times more area is covered by all matching objects than by all non-matching objects. Nonetheless, one

395 quarter of the change in areal coverage between "scattering" and "absorbing" experiments comes from non-matching  
396 objects which is a non-negligible contribution. Hence, we find that both, the stratiform and the convective cloud  
397 developments, are negatively influenced by the applied aerosol perturbation that jointly induces a heating of the  
398 atmospheric boundary layer and a reduction of net radiation at the surface.

399 The changes in cloud liquid water and the energy budgets have the potential to impact precipitation (see Fig. 8).  
400 Slightly different daily rain accumulations are found for different grid spacings (3.2 mm for 625 m and 3.0 mm for  
401 312 m). A similar sensitivity of precipitation to grid spacing in ICON has also been identified in Stevens et al. (2020)  
402 and is further discussed, there. On a daily average basis, the relative change in precipitation due to the impact of  
403 absorbing aerosol is rather weak,  $< 1\%$ , and an order of magnitude smaller than the sensitivity to horizontal resolution.  
404 The clearest impact on precipitation is identified before individual convective events in the afternoon introduce much  
405 more randomness in the temporal evolution of rain. If we only consider the time between 8z and 12z, the domain-  
406 averaged rain accumulates only to 0.15 mm (0.09 mm) for 625 m (312 m) grid spacing which is reduced by  $-5$  to  $-7\%$   
407 due to the effect of absorbing aerosol (see thin solid lines in Fig. 8). Thus, until afternoon, precipitation and LWP reduce  
408 by similar relative amounts. Due to the effect of surface dimming, latent heat fluxes and consequently evaporative  
409 water fluxes from the surface to the atmosphere are reduced. As time proceeds, the perturbations from precipitation  
410 and evaporation start to balance each other and no systematic difference in the net water transfer between the surface  
411 and the atmosphere is found. Remarkably, the earlier identified positive perturbation of boundary-layer humidity can  
412 not be explained by the change in the surface water budget which would rather suggest a reduction of humidity.  
413 Thus, this effect needs to be attributed to changes in the re-distribution of moisture in the atmosphere by changing  
414 circulations.

## 415 4 | DISCUSSION

416 The interpretation of aerosol perturbation experiments using regional high-resolution simulations is challenging. The  
417 spatial and temporal scales are so different to the scales of global climate models that it is by far not trivial to derive  
418 implications for climate-relevant aerosol-radiation interactions from our results. We therefore use this section to  
419 discuss our results in the light of other studies, but also clarify weaknesses and caveats.

420 Substantial reduction of cloud cover over land was also identified by many prior studies on the semi-direct forcing  
421 of absorbing aerosol (Koren et al., 2004; Feingold et al., 2005; Huang et al., 2016). Different cloud cover changes have  
422 been found which depend on the absorption strength of the aerosols and on the overall average cloud cover, among  
423 several other factors. Since the absorption of solar radiation, and thus atmospheric heating, increases proportional  
424 to absorption strength (Wild, 2009), it is expected that larger reductions in cloud cover can also be found with larger  
425 perturbations in the absorbing aerosol. The cloud-cover sensitivity, which considers the change in cloud cover per  
426 absorption AOD, is about  $60\% (\Delta\tau_{\text{abs}})^{-1}$  for our simulations, where  $\Delta\tau_{\text{abs}}$  denotes the perturbation of the absorp-  
427 tion AOD. Sensitivity values estimated from the literature show a large range for very different reasons, e.g. from  
428  $24\% (\Delta\tau_{\text{abs}})^{-1}$  (Persad et al., 2017) to  $80\% (\Delta\tau_{\text{abs}})^{-1}$  (Feingold et al., 2005) and  $85\% (\Delta\tau_{\text{abs}})^{-1}$  (Koren et al., 2008,  
429 for cloud cover of 50% and single scattering albedo of 0.9). Koren et al. (2008) discussed a conceptual model of absorp-  
430 tion effects on cloud cover and clarified that the cloud-cover sensitivity varies strongly with the overall average cloud  
431 cover and becomes largest for small cloud cover amounts, i.e. for large clear-sky fractions. Furthermore, a potential  
432 reduction of evaporative fluxes at the surface might play a role and additionally weakens the formation of boundary  
433 layer clouds (Feingold et al., 2005). Also for these surface effects it can be expected that larger perturbations happen  
434 at small cloud fractions. Thus, the differently acting mechanisms of surface dimming and atmospheric heating need to

435 be disentangled in a more systematic way, for instance using the approach of Persad et al. (2017). In the latter study,  
436 effects of absorbing aerosol on the East Asian summer monsoon were separated by the help of idealised radiative  
437 perturbations that mimic pure dimming, pure heating and pure absorption. Furthermore, the vertical distribution of  
438 absorbing aerosol matters for the expected effects on cloud cover. We applied a rather simplified aerosol perturbation  
439 with a prescribed vertical profile and a very coarse spatial structure. After the classification framework provided by  
440 Koch and Del Genio (2010), the perturbation in our experiments falls into the categories of absorbing aerosols within  
441 and below the cloud layers. Variations in the vertical profile of absorbing aerosol can be relatively easily accommo-  
442 dated in future studies of semi-direct effects over Central Europe to assess how rapid cloud adjustments map onto  
443 the classification by Koch and Del Genio (2010).

444 For Central Europe, Meier et al. (2012) conducted realistic aerosol perturbation experiments and found a reduc-  
445 tion in cloud cover by 1%, corresponding to a sensitivity of 50% ( $\Delta\tau_{\text{abs}}^{-1}$ ). In contrast to our study, their simulations  
446 were much coarser with a horizontal grid spacing of 28 km and aerosol was set to be completely transparent for their  
447 reference calculations, thus including the effects of aerosol scattering in their aerosol forcing estimates. As result,  
448 their TOA direct radiative forcing was negative and dominated by aerosol scattering. Surface dimming was a factor of  
449 three to four stronger than in our simulations for the same cloud-cover response. This opens room for speculations:  
450 Is it possible that the feedbacks due to surface-boundary layer coupling are very sensitive to model resolution and  
451 may be underestimated at coarser resolutions? In that case, climate models would underestimate the response of  
452 low-level cloud cover to aerosol-induced surface dimming over land. Alternatively, it could be that our analysed cloud  
453 scenery is especially sensitive to aerosol perturbations and not representative for other weather regimes and larger  
454 areas.

455 In addition to ARI, aerosols cause changes in the cloud droplet size distributions of low-level clouds and influence  
456 microphysical process rates that ultimately affect how much sunlight clouds reflect and when and how much rain  
457 falls. This microphysical pathway was intentionally excluded in our study. The effective radiative forcing of ACI is  
458 believed to be negative and between  $-1.7$  and  $-0.3 \text{ W m}^{-2}$  on a global scale (Bellouin et al., 2020), and thus ACI has  
459 the potential to compensate positive forcings by strongly absorbing aerosols. A negative forcing from ACI was also  
460 reported by Costa-Surós et al. (2020) in a regional modelling study with same ICON-LEM model and also for the same  
461 simulation period. They applied aerosol perturbations representing the difference between European peak-aerosol  
462 conditions in 1985 and current aerosol levels (represented by the year 2013) and estimated CCN concentrations  
463 that impact ICON cloud microphysics via aerosol-cloud interactions. Costa-Surós et al. (2020) could show that an  
464 applied increase in CCN concentrations by a factor of 2 to 5 in the planetary boundary layer leads to accordingly  
465 higher cloud-droplet number concentrations and thus higher cloud albedo. An effective solar radiative forcing due to  
466 cloud-aerosol interaction of  $-2.6 \text{ W m}^{-2}$  was derived. Hence, that effect has a magnitude similar to the individually  
467 estimated effects of direct and semi-direct forcing due to aerosol absorption which are found here to be rather similar  
468 and both together sum up to  $4.5 \text{ W m}^{-2}$  (see Table 1). Moreover, the authors found a reduction of rain water mass  
469 that was to a large extent compensated by increase of non-precipitating LWP (a LWP difference of around  $7 \text{ gm}^{-2}$   
470 on average). In comparison to our results, the underlying mechanism for the rain reduction is however very different:  
471 While CCN perturbations cause changes in efficiency for the conversion between cloud condensate and precipitation,  
472 i.e. how fast the water substance is removed from the atmosphere, the perturbations in aerosol absorption impact the  
473 evaporative surface fluxes and thus determine how much water is made available from the surface. Therefore and in  
474 contrast to Costa-Surós et al. (2020), our simulation experiments show consistent decreases in LWP and accumulated  
475 rain.

476 In the following, some limitations of our study are discussed. We considered only one specific day during mid-  
477 latitude spring. Although the case offered a good mixture of convective and stratiform clouds, it is not clear to what

478 extent similar responses can be found for different weather situations. However, it has been discussed by Nam et al.  
479 (2018) that atmospheric processes influencing shortwave rapid cloud adjustments over Central Europe are mainly  
480 caused by local cloud dynamics and are, for shorter time periods, rather independent of the synoptic-scale circula-  
481 tions. Nam et al. (2018) further argued that mechanisms that lead to rapid cloud adjustments over Central Europe  
482 are representative for the continental Northern Hemisphere and that such high-resolution simulations like ours can  
483 be helpful for assessing and constraining global rapid cloud adjustments. An other shortcoming is that the applied  
484 aerosol perturbation leads to a transient atmospheric response that has not reached equilibrium within the short in-  
485 tegration time and over the limited domain size. We find that less energy is radiated away at the TOA, but also less  
486 energy reaches the Earth surface. Therefore, the atmosphere continuously gains energy which would lead to a secular  
487 increase of the atmospheric energy content over time. Such a behaviour is obviously unrealistic and mechanisms that  
488 buffer the atmospheric response need to be considered for longer integrations. Therefore, energy and moisture need  
489 to be freely exchanged across the boundaries of the limited-area domain. Feedbacks onto synoptic-scale circulation  
490 systems will become more relevant for time scales longer than a few days (Nam et al., 2018).

491 All scientific conclusions would benefit from a systematic approach that is able to distinguish between the rather  
492 random disturbances introduced by different weather pathways and the causal response of the atmosphere to aerosol  
493 perturbations. We examined simulations at two different horizontal resolutions to assess the robustness of the iden-  
494 tified anomalies. A statistical ensemble approach in which initial or boundary conditions experience small random  
495 perturbations might be better suited to increase confidence in the magnitude of the aerosol effects, especially with re-  
496 gard to effects on mixed-phase clouds, cirrus and precipitation formation. Nonetheless, our study and high-resolution  
497 simulations in general provide useful insights into the response of low-level clouds over heterogeneous land surfaces.  
498 Two aspects that are in particular advantageous in such a setup are: (i) cloud-scale circulation anomalies can be at  
499 least partly resolved, and (ii) due to the coupling of the atmosphere to a sophisticated surface model, the atmospheric  
500 response to dimming is represented with considerable detail.

## 501 5 | SUMMARY

502 Depending on composition, atmospheric aerosol particles can absorb solar radiation. These absorbing aerosol alter  
503 the thermal structure of the atmosphere by their local heating (Ramanathan et al., 2001). Moreover, absorbing aerosol  
504 also hinder solar radiation from reaching the surface which leads the changes in sensible and latent heat fluxes at the  
505 surface. All these aerosol-induced impacts change atmospheric conditions in a rather complex manner and can induce  
506 rapid cloud adjustments that can either compensate the direct aerosol forcing or even amplify it (Bond et al., 2013).  
507 Absorbing aerosol largely originates from anthropogenic activities such as black carbon from fossil fuel burning and  
508 combustion (Bond et al., 2013). Thus, absorbing aerosol contribute to the human impact on climate as a so-called  
509 "short-lived climate forcer" and it is considered that reducing black carbon emissions will support reducing the anthro-  
510 pogenic climate effect. Lowering the uncertainties in our understanding of so-called aerosol-radiation interactions is  
511 therefore of tremendous importance (Boucher et al., 2013; Bellouin et al., 2020).

512 In our study, we approached the topic of aerosol-radiation interactions from a large-domain, high-resolution mod-  
513 elling perspective. This especially helps to represent the cloud-induced circulation anomalies that develop in response  
514 to aerosol effects. Furthermore, a realistic coupling of the atmosphere to the underlying surface is in particular im-  
515 portant for low-level cloud feedbacks over land (Feingold et al., 2005) where latent and sensible heat fluxes rapidly  
516 adjust to changes in incoming solar radiation. For these reasons, we investigated the sensitivity of simulations of the  
517 ICON model over Central Europe. We performed simulations with a horizontal grid spacing of 312 m and 625 m which

at least partially allows to resolve cloud-induced circulations. For one case day in mid-latitude spring, simulation experiments with different aerosol radiative properties have been performed without the modification of aerosol-cloud interactions. A high-resolution simulation with aerosol loads and absorption properties comparable to current levels has been contrasted to a simulation with aerosol absorption set to zero. In this way, changes in the thermal structure of the atmosphere as well as changes in cloud cover and atmospheric radiation fluxes are attributed to the effect of aerosol absorption. The applied aerosol perturbation is constructed to be strongest in the planetary boundary layer, thus having also the strongest impact on low-level clouds.

Based on the analysis of our perturbation experiments, following main conclusions can be formulated for the considered region in Central Europe and for the studied case day:

- (i) Absorbing aerosol particles induce a reduction of downwelling shortwave radiation fluxes ( $\Delta SW_{s,l} \approx -4 \text{ Wm}^{-2}$  on daily average, especially from diffuse shortwave radiation) which in turn leads to reduced surface latent and sensible heat fluxes.
- (ii) A warm and dry anomaly develops in the low-level cloud layer around 850 hPa due to the combined impact of atmospheric heating and surface dimming from absorbing aerosol. As result, cloud cover at this altitude reduces by around  $-1\%$ .
- (iii) The decreased transfer of moisture and energy from the surface to the atmosphere leads to less convective cloud development and to a thinning of stratiform cloud decks. Both feedbacks can be interpreted as rapid adjustments of low-level clouds in either the convective or the stratiform cloud regime that compensate or buffer aerosol-induced surface dimming.
- (iv) Net TOA radiation fluxes increase by around  $5 \text{ Wm}^{-2}$  indicating a positive radiative forcing in which the atmosphere gains energy. Radiative forcing from direct and semi-direct aerosol effects are both positive and have similar magnitudes.
- (v) Domain-average values of LWP and precipitation reduce by similar amounts ( $-5$  to  $-7\%$ ) until afternoon due to the decreased availability of moisture from the surface. Changes in LWP are dominated by a shrinking of large, stratiform cloud decks. Moreover, also the number of small, convective clouds is diminished by aerosol absorption.

In our discussion section 4, we suggested several directions to expand the current study. Our understanding of regional effects of aerosol-radiation interactions will benefit from pursuing further high-resolution sensitivity experiments for different weather situation and for different types of aerosol perturbations. In addition, a future study that separates the effects of surface dimming and atmospheric heating in this high-resolution modelling setup would be very insightful. Even if all these attempts remain rather idealised, an approach such as described in our study helps to build a conceptual view on cloud feedbacks to aerosol perturbations on a regional level.

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Open science: Analysis data have been collected at the long-term archive (LTA) of DKRZ and can be assessed under [https://cera-www.dkrz.de/WDCC/ui/cerasearch/entry?acronym=DKRZ\\_LTA\\_1174\\_ds00001](https://cera-www.dkrz.de/WDCC/ui/cerasearch/entry?acronym=DKRZ_LTA_1174_ds00001). The analysis source code has been made freely available to improve reproducibility of our results. The final plots for our paper were done with Jupyter Notebooks which are published at <https://zenodo.org/record/5078285>.

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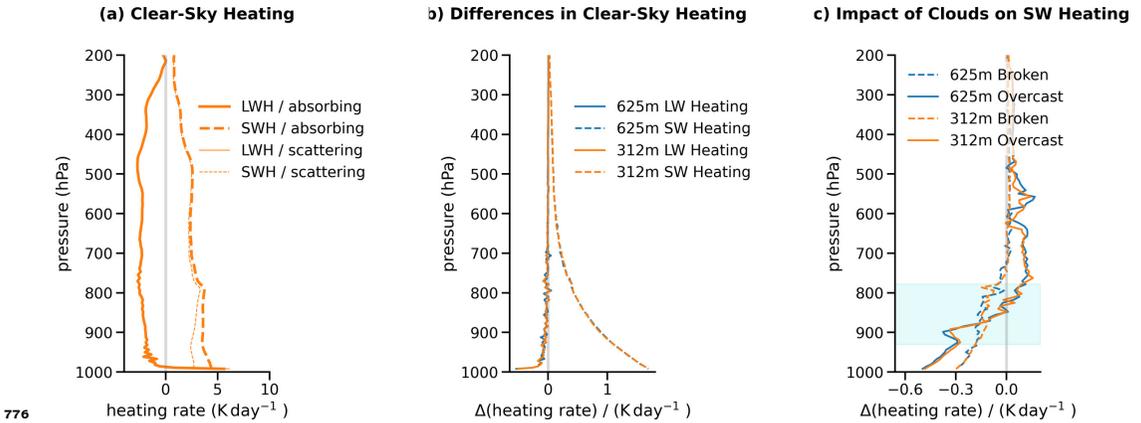
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706 **List of Figures**

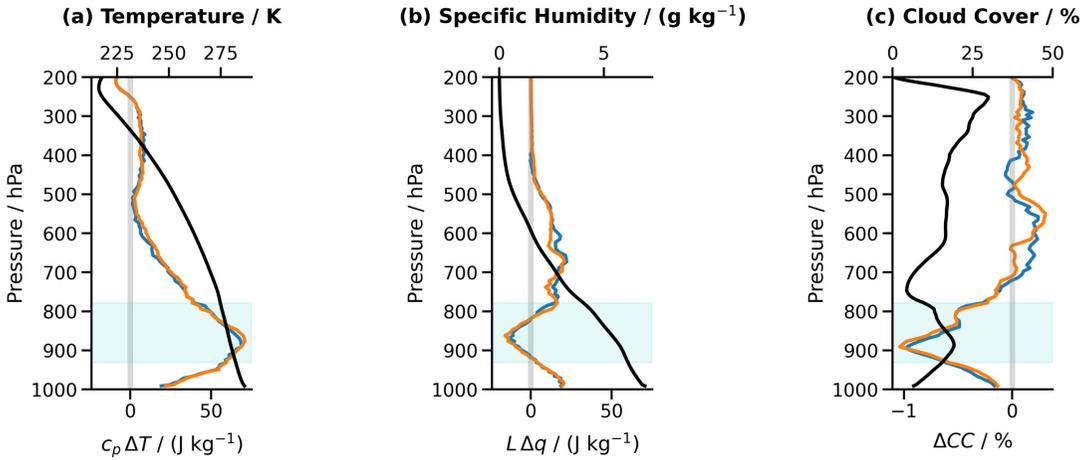
- 707 1 Domain-average radiative heating profiles at 11z (local noon). (a) Longwave heating (LWH, solid lines)  
 708 and shortwave heating (SWH, dashed line) are compared for the two sensitivity experiments "absorb-  
 709 ing" (incl. aerosol absorption, thick lines) and "scattering" (excl. aerosol absorption, thin lines) with  
 710 312 m grid spacing. Heating rates are derived in clear-sky conditions, i.e. only in regions where total  
 711 cloud cover is equal zero. (b) The heating rate differences between "absorbing" and "scattering" experi-  
 712 ments are shown for 312 m grid spacing (orange) and 625 m grid spacing (blue). (c) Here, the SWH rate  
 713 differences in clear-sky conditions are subtracted from SWH rate differences in certain cloudy condi-  
 714 tions. Overcast (solid lines) refers to regions where total cloud cover averaged in 10 km sub-regions is  
 715 larger than 95% and broken (clouds, dashed lines) refers to regions with intermediate total cloud cover  
 716 values between 25 and 75% (again averaged in 10 km sub-regions). The light blue range indicates where  
 717 a substantial amount of liquid cloud condensate is present. . . . . 22
- 718 2 Domain-average profiles of (a) temperature, (b) specific humidity and (c) cloud cover. The values are  
 719 averaged for a time period of 8 to 14z. Black lines refer to the absolute values of the respective quan-  
 720 tities of the "scattering" experiment as reference case for which the simulations with the two different  
 721 grid spacings have been averaged. The coloured lines present the absorption-induced differences be-  
 722 tween the ICON experiments (blue: 625 m, orange: 312 m). Enthalpy scaling has been applied to the  
 723 temperature and humidity differences to make them comparable. The top  $x$ -axes provide labels for the  
 724 absolute quantities, the bottom  $x$ -axes provide labels for the differences. The light blue range indicates  
 725 liquid cloud condensate. . . . . 23
- 726 3 Profiles of (a) specific cloud water, (b) liquid water flux and (c) upward flux of vertical momentum. The  
 727 profiles result from sub-domain averages with "west" indicating all values west of  $10^\circ\text{E}$  and "east" for  
 728 the remaining part. In addition, temporal averaging between 8 and 14z is applied. The black lines refer  
 729 to the absolute values from reference ("scattering" experiment) for 312 (solid) and 625 m (dashed) grid  
 730 spacing. The coloured lines present the absorption-induced anomalies (blue: 625 m, orange: 312 m).  
 731 The space between profiles from identical sub-domains, but differing grid spacing is filled with green  
 732 shading (dark green: west, light green: east) to visualise the spread due to resolution sensitivity. . . . . 24
- 733 4 Overview of the evolution of low-level cloud cover and resulting changes in shortwave radiation fluxes  
 734 at the surface. The full time series of (a) low-level cloud cover anomaly and (b) the net shortwave  
 735 radiation flux anomalies at the surface (sum of up- and downwelling components) are presented for 312  
 736 m (orange) and 625 m (blue) grid spacing. Sunrise and sunset are marked by light yellow vertical lines.  
 737 The bottom row provides an overview of the cloud scenery and resulting anomalies for 312 m and 11z.  
 738 (c) The low-level cloud cover ( $CC_{\text{low}}$ ) reference is taken from the "scattering" experiment. Coastlines  
 739 and country borders are outlined in yellow. The anomalies of (d) low-level cloud cover anomaly  $\Delta CC_{\text{low}}$   
 740 and (e) net shortwave radiation at the surface have been smoothed with a Gaussian filter of width 2 to  
 741 improve visibility. The 95%-contour of the  $CC_{\text{low}}$  reference is shown in (d) and (e) as black line. . . . . 25

- 742 5 Dependency of (a) low-level cloud cover, (b) liquid water path, (c) direct downwelling shortwave radiation  
743 flux at surface, (d) diffuse downwelling shortwave radiation flux at surface and (e) shortwave TOA  
744 net flux on lower tropospheric stability (LST). LST is defined as difference between virtual potential  
745 temperatures at model levels  $l = 150$  (lower most level) and  $l = 110$  (at around 720 hPa). Data have  
746 been binned in 2K-intervals starting at  $LTS = 5$  K and the chosen range includes 92 % of all data. Only  
747 domain averages are plotted at the interval mid-point. Black lines refer to the absolute values of the  
748 respective quantities of the "scattering" experiment as reference case for which the simulations with  
749 the two different grid spacings have been averaged ( $y$ -axis is placed on the right side). The coloured  
750 lines present the absorption-induced differences between the ICON experiments (blue: 625 m, orange:  
751 312 m) with the  $y$ -axis placed on the left side. . . . . 26
- 752 6 Assessing direct vs. semi-direct aerosol effects based on planetary albedo  $\alpha$  and total cloud cover  
753  $CC_{tot}$ . Coloured symbols represent instantaneous pairs of total cloud cover and planetary albedo (stars:  
754 "scattering", circles: "absorbing") between 8 and 14z with a time interval of 15 min. The colours change  
755 from 8z (purple) to 11z (blue) and 14z (yellow). The tilted dark grey lines indicate linear regression results  
756 and the thick light grey lines show the mean values for planetary albedo (horizontal lines) and total  
757 cloud cover (vertical lines) for "scattering" (solid) and "absorbing" (dashed) experiments. The difference  
758 between the thick and the thin light grey line (horizontal, solid) indicates how much the planetary albedo  
759 is lowered by reducing the cloud amount from the "scattering" to the "absorbing" experiment. It is found  
760 by following the solid regression line from the crossing of two thick light grey lines to the vertical dashed  
761 light grey line. Only the 625 m simulation is shown here. . . . . 27
- 762 7 Analysis of the LWP fields. Panels (a) and (b) provide LWP probability density functions (LWP is denoted  
763 as  $Q_c$  in the figure labels and formulas) and the contribution of a  $\ln(Q_c)$ -interval to the total,  
764 domain-average, respectively. The function  $P$  defines the probability that  $Q_c$  falls into the interval  
765  $\ln Q_c \pm d \ln Q_c / 2$ . Thick lines represent temporal averages between 8 and 11z, thin lines represent averages  
766 between 11 and 14z. The black lines are obtained by averaging the two ICON setups ("absorbing",  
767 "scattering") and the two different horizontal resolutions. The coloured lines show the difference between  
768 the "absorbing" and the "scattering" experiments separately for different resolutions and scaled  
769 by a factor of 100 to improve depiction. The vertical dashed line marks the threshold of  $Q_c = 200 \text{ gm}^{-2}$   
770 which was taken to derive size statistics in panel (c). Therein, the difference ("absorbing" vs. "scattering")  
771 in fractional area covered by different cell sizes is plotted as function of time. . . . . 28
- 772 8 Contributions to excess water transfer at the surface. Differences ("absorbing" vs. "scattering") in accumulated  
773 precipitation (dashed lines) are compared to differences in accumulated water fluxes from evaporation (thin solid lines)  
774 for grid spacings of 312 m (orange) and 625 m (blue). Accumulations start at 8z. The difference between evaporation  
775 and precipitation is shown with thick solid lines. . . . . 29



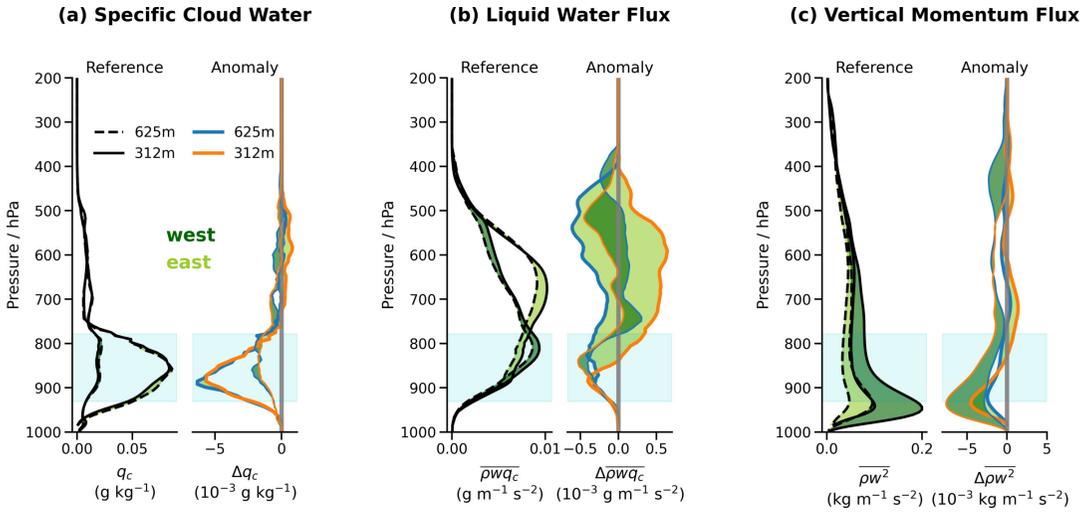
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777 **FIGURE 1** Domain-average radiative heating profiles at 11z (local noon). (a) Longwave heating (LWH, solid lines)  
 778 and shortwave heating (SWH, dashed line) are compared for the two sensitivity experiments "absorbing" (incl.  
 779 aerosol absorption, thick lines) and "scattering" (excl. aerosol absorption, thin lines) with 312 m grid spacing. Heating  
 780 rates are derived in clear-sky conditions, i.e. only in regions where total cloud cover is equal zero. (b) The heating  
 781 rate differences between "absorbing" and "scattering" experiments are shown for 312 m grid spacing (orange) and  
 782 625 m grid spacing (blue). (c) Here, the SWH rate differences in clear-sky conditions are subtracted from SWH rate  
 783 differences in certain cloudy conditions. Overcast (solid lines) refers to regions where total cloud cover averaged in  
 784 10 km sub-regions is larger than 95% and broken (clouds, dashed lines) refers to regions with intermediate total  
 785 cloud cover values between 25 and 75% (again averaged in 10 km sub-regions). The light blue range indicates where  
 786 a substantial amount of liquid cloud condensate is present.



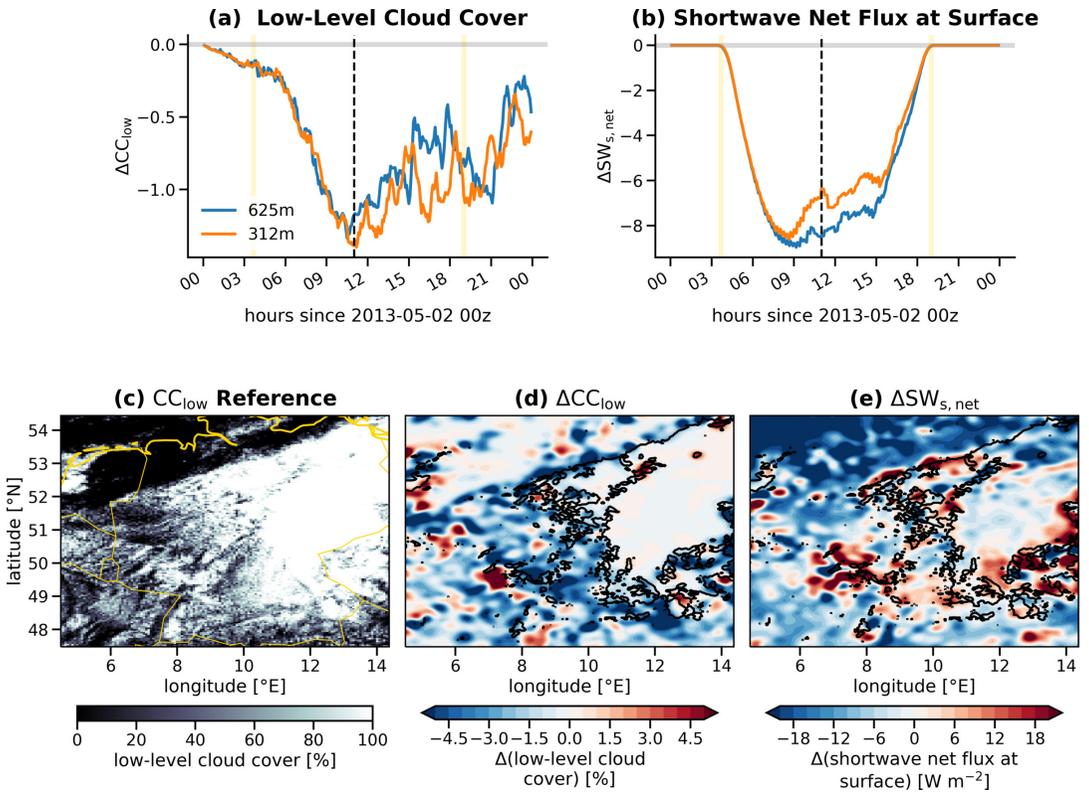
788

789 **FIGURE 2** Domain-average profiles of (a) temperature, (b) specific humidity and (c) cloud cover. The values are  
 790 averaged for a time period of 8 to 14z. Black lines refer to the absolute values of the respective quantities of the  
 791 "scattering" experiment as reference case for which the simulations with the two different grid spacings have been  
 792 averaged. The coloured lines present the absorption-induced differences between the ICON experiments (blue:  
 793 625 m, orange: 312 m). Enthalpy scaling has been applied to the temperature and humidity differences to make  
 794 them comparable. The top x-axes provide labels for the absolute quantities, the bottom x-axes provide labels for  
 795 the differences. The light blue range indicates liquid cloud condensate.



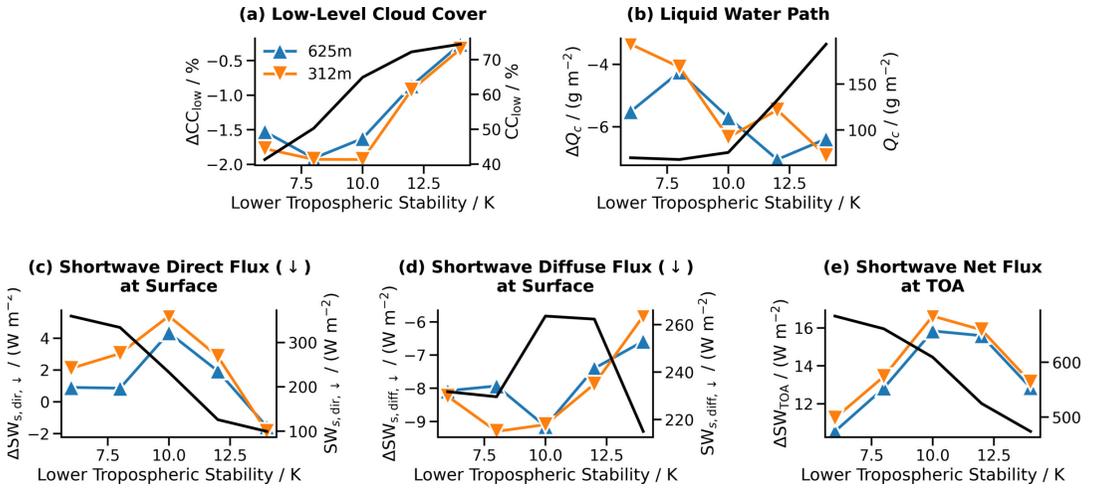
797

798 **FIGURE 3** Profiles of (a) specific cloud water, (b) liquid water flux and (c) upward flux of vertical momentum. The  
 799 profiles result from sub-domain averages with "west" indicating all values west of  $10^\circ\text{E}$  and "east" for the remaining  
 800 part. In addition, temporal averaging between 8 and 14z is applied. The black lines refer to the absolute values from  
 801 reference ("scattering" experiment) for 312 (solid) and 625 m (dashed) grid spacing. The coloured lines present the  
 802 absorption-induced anomalies (blue: 625 m, orange: 312 m). The space between profiles from identical sub-domains,  
 803 but differing grid spacing is filled with green shading (dark green: west, light green: east) to visualise the spread due  
 804 to resolution sensitivity.



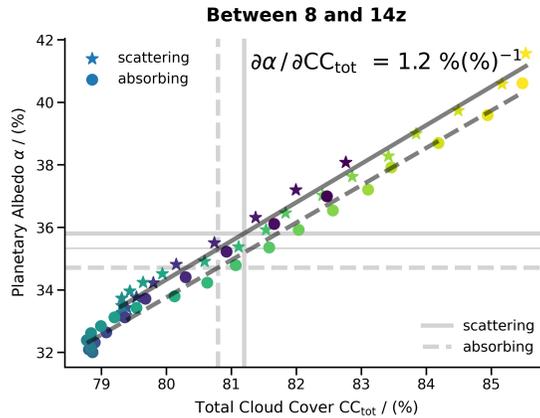
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807 **FIGURE 4** Overview of the evolution of low-level cloud cover and resulting changes in shortwave radiation  
 808 fluxes at the surface. The full time series of (a) low-level cloud cover anomaly and (b) the net shortwave radiation  
 809 flux anomalies at the surface (sum of up- and downwelling components) are presented for 312 m (orange) and 625  
 810 m (blue) grid spacing. Sunrise and sunset are marked by light yellow vertical lines. The bottom row provides an  
 811 overview of the cloud scenery and resulting anomalies for 312 m and 11z. (c) The low-level cloud cover ( $CC_{low}$ )  
 812 reference is taken from the "scattering" experiment. Coastlines and country borders are outlined in yellow. The  
 813 anomalies of (d) low-level cloud cover anomaly  $\Delta CC_{low}$  and (e) net shortwave radiation at the surface have been  
 814 smoothed with a Gaussian filter of width 2 to improve visibility. The 95%-contour of the  $CC_{low}$  reference is shown in  
 815 (d) and (e) as black line.



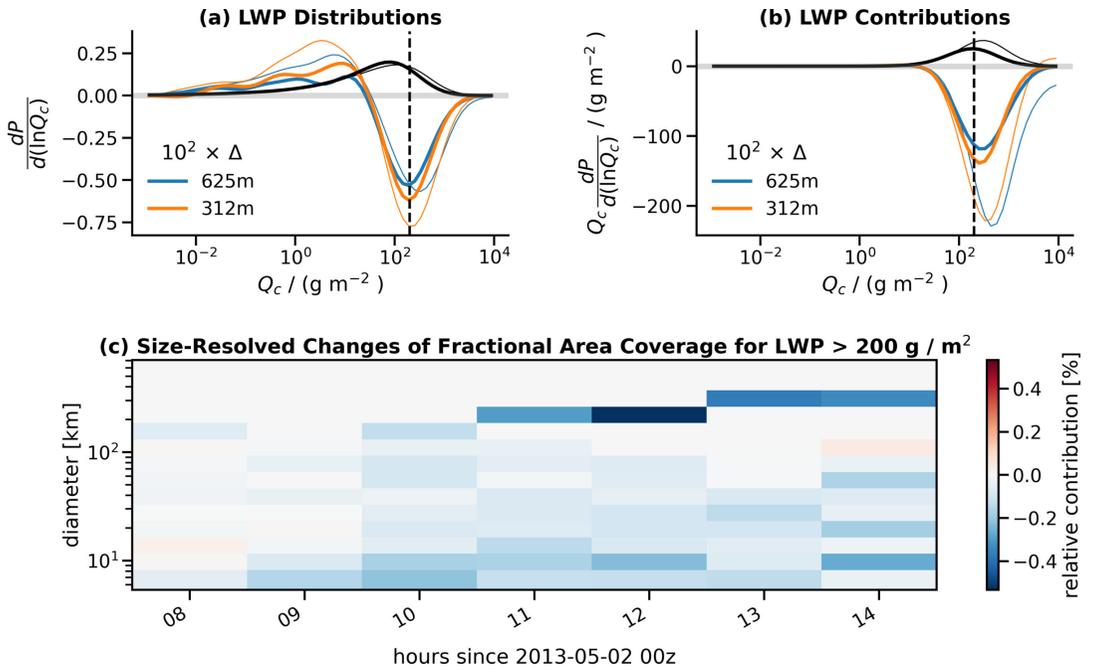
817

818 **FIGURE 5** Dependency of (a) low-level cloud cover, (b) liquid water path, (c) direct downwelling shortwave  
 819 radiation flux at surface, (d) diffuse downwelling shortwave radiation flux at surface and (e) shortwave TOA net flux  
 820 on lower tropospheric stability (LST). LST is defined as difference between virtual potential temperatures at model  
 821 levels  $l = 150$  (lower most level) and  $l = 110$  (at around 720 hPa). Data have been binned in 2K-intervals starting at  
 822  $LTS = 5$  K and the chosen range includes 92% of all data. Only domain averages are plotted at the interval mid-point.  
 823 Black lines refer to the absolute values of the respective quantities of the "scattering" experiment as reference case  
 824 for which the simulations with the two different grid spacings have been averaged (y-axis is placed on the right side).  
 825 The coloured lines present the absorption-induced differences between the ICON experiments (blue: 625 m, orange:  
 826 312 m) with the y-axis placed on the left side.



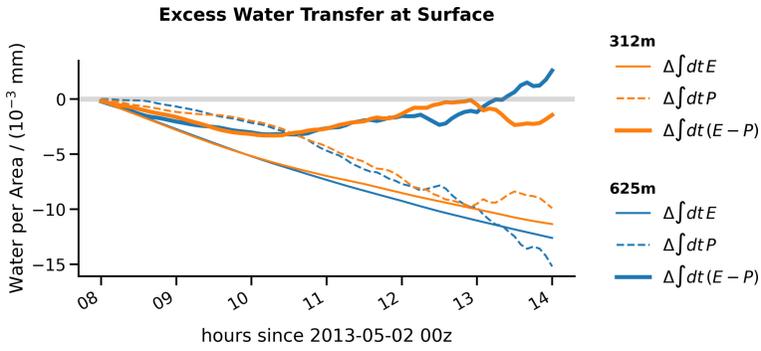
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829 **FIGURE 6** Assessing direct vs. semi-direct aerosol effects based on planetary albedo  $\alpha$  and total cloud cover  
 830  $CC_{tot}$ . Coloured symbols represent instantaneous pairs of total cloud cover and planetary albedo (stars: "scattering",  
 831 circles: "absorbing") between 8 and 14z with a time interval of 15 min. The colours change from 8z (purple) to 11z  
 832 (blue) and 14z (yellow). The tilted dark grey lines indicate linear regression results and the thick light grey lines show  
 833 the mean values for planetary albedo (horizontal lines) and total cloud cover (vertical lines) for "scattering" (solid) and  
 834 "absorbing" (dashed) experiments. The difference between the thick and the thin light grey line (horizontal, solid)  
 835 indicates how much the planetary albedo is lowered by reducing the cloud amount from the "scattering" to the  
 836 "absorbing" experiment. It is found by following the solid regression line from the crossing of two thick light grey  
 837 lines to the vertical dashed light grey line. Only the 625 m simulation is shown here.



839

840 **FIGURE 7** Analysis of the LWP fields. Panels (a) and (b) provide LWP probability density functions (LWP is  
 841 denoted as  $Q_c$  in the figure labels and formulas) and the contribution of a  $\ln(Q_c)$ -interval to the total,  
 842 domain-average, respectively. The function  $P$  defines the probability that  $Q_c$  falls into the interval  $\ln Q_c \pm d \ln Q_c / 2$ .  
 843 Thick lines represent temporal averages between 8 and 11z, thin lines represent averages between 11 and 14z. The  
 844 black lines are obtained by averaging the two ICON setups ("absorbing", "scattering") and the two different  
 845 horizontal resolutions. The coloured lines show the difference between the "absorbing" and the "scattering"  
 846 experiments separately for different resolutions and scaled by a factor of 100 to improve depiction. The vertical  
 847 dashed line marks the threshold of  $Q_c = 200 \text{ g m}^{-2}$  which was taken to derived size statistics in panel (c). Therein, the  
 848 difference ("absorbing" vs. "scattering") in fractional area covered by different cell sizes is plotted as function of time.  
 849



850

851 **FIGURE 8** Contributions to excess water transfer at the surface. Differences ("absorbing" vs. "scattering") in  
 852 accumulated precipitation (dashed lines) are compared to differences in accumulated water fluxes from evaporation  
 853 (thin solid lines) for grid spacings of 312 m (orange) and 625 m (blue). Accumulations start at 8z. The difference  
 854 between evaporation and precipitation is shown with thick solid lines.