

1 **Less surface sea ice melt in the CESM2 improves Arctic sea ice simulation with minimal**
2 **non-polar climate impacts**

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27 **Key Points (limit 140 characters each):**

- 28
- 29 • Decreasing surface melt decreases late-summer Arctic sea ice cover biases and delays
30 transition to an ice-free Arctic Ocean
 - 31 • Internal variability limits value of sea ice trends and sea ice sensitivity as metrics to
32 constrain model performance
 - 33 • Increasing sea ice thickness and area has negligible impacts on non-polar climate and
climate change

34 **Abstract (Limit 250 words, 249 now)**

35

36 This study isolates the influence of sea ice mean state on pre-industrial climate and transient
37 1850-2100 climate change within a fully coupled global model: The Community Earth System
38 Model version 2 (CESM2). The CESM2 sea ice model is modified to increase surface albedo,
39 reduce surface sea ice melt, and increase Arctic sea ice thickness and late summer cover.
40 Importantly, increased Arctic sea ice in the modified model reduces a well-known present-day
41 late-summer ice cover bias. Of interest to coupled model development, this bias reduction is
42 realized without degrading the global model simulation including top-of-atmosphere energy
43 imbalance, surface temperature, surface precipitation, and major modes of climate variability. The
44 influence of sea ice mean state on transient 1850-2100 climate change is compared within a large
45 initial condition ensemble framework. Despite similar global warming, the modified model with
46 thicker Arctic sea ice than CESM2 has a delayed and more realistic transition to a seasonally ice
47 free Arctic Ocean. Differences in transient climate change between the modified model and
48 CESM2 are challenging to detect due to large internally generated climate variability. In particular,
49 two common sea ice benchmarks - sea ice sensitivity and sea ice trends - are of limited value for
50 contrasting model performance. More broadly, these results show the importance of a reasonable
51 initial Arctic sea ice state when simulating the transition to an ice-free Arctic Ocean in a warming
52 world. Additionally, this work highlights the need for and value of large initial condition ensembles
53 for credible model-to-model and observation-model comparisons.

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56 **Plain Language Summary (200 word limit, 199 now)**

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58 Satellite observations available from 1979 to present show dramatic Arctic sea ice loss. As a
59 result, projecting when the Arctic Ocean may become ice free and the resulting impacts is of
60 broad interest to those living in the Arctic and beyond. Climate models are the main tool for making
61 such future projections. Yet, projecting sea ice loss is hard because it is affected by multiple
62 factors that are often impossible to disentangle including physical processes, unpredictable
63 climate variability, and differences in climate drivers. Unique to this work, we analyze the influence
64 of the initial amount of sea ice while also controlling for all other confounding factors such as the
65 amount of global warming and unpredictable climate variability. Our work demonstrates that under
66 similar global warming, the initial amount of Arctic sea ice affects the timing of an ice-free Arctic
67 Ocean. Specifically, simulations with more sea ice initially transition to an ice-free Arctic Ocean
68 later. Notably, the initial amount of sea ice has little influence on 20-year Arctic sea ice trends.
69 We also found initial sea ice amounts and the timing towards an ice-free Arctic have negligible
70 influence on warming, precipitation, and sea level pressure outside of the polar regions.

71 1. Motivation and Study Goals

72 **Satellite-observed Arctic Ocean sea ice cover decreases over the last few decades**
73 **are a visible manifestation of human-caused climate change.** Earth system models cannot
74 reproduce this observed ice loss with natural forcing alone (e.g., Kirchmeier-Young et al. 2017,
75 Kay et al. 2011). While models can reproduce the sign of observed multi-decadal Arctic sea ice
76 area trends, these same models exhibit differing Arctic sea ice loss rates and timing (Swart et al.
77 2015, SIMIP Community 2020). Why do Arctic sea ice loss rates differ between model
78 simulations? Given similar global warming, two factors are important to consider. First, mean state
79 matters: models with thicker Arctic sea ice tend to exhibit less ice area loss but more ice volume
80 loss than models with thinner sea ice (e.g., Massonnet et al. 2018, Holland et al. 2010, Bitz 2008).
81 Second, internally generated climate variability influences differences in Arctic sea ice loss timing
82 and trends (e.g., SIMIP Community 2020, Jahn et al. 2016, Swart et al. 2015, Notz 2015,
83 Wettstein and Deser 2014, Kay et al. 2011). In fact, recent work emphasizes that internal
84 variability dominates over emissions scenario in affecting projected sea ice loss over the
85 upcoming 2-3 decades, including the timing of the first ice-free Arctic Ocean in late summer (e.g.,
86 Bonan et al., 2021, DeRepentigny et al. 2020, Jahn 2018, Sigmond et al. 2018).

87 **Sea ice mean state influences transient sea ice response to climate forcing.** Indeed,
88 mean sea ice thickness has well-known foundational influences on vertical sea ice
89 thermodynamics (Bitz and Roe 2004, Holland et al. 2006). The two dominant feedbacks internal
90 to sea ice – the positive sea ice albedo feedback and the negative ice-thickness growth feedback
91 – strengthen when sea ice thins. Sea ice loss in models with a wide range of complexities show
92 the importance of sea ice mean thickness to thermodynamic sea ice growth and loss. In addition,
93 mean sea ice thickness affects sea ice variability and predictability. When sea ice thins, ice area
94 variability increases, ice thickness variability decreases, and predictor relationships change in
95 location, nature, and magnitude (e.g., Holland et al. 2019, Mioduszewski et al. 2018, Swart et al.
96 2015, Holland and Stroeve 2011, Blanchard Wrigglesworth et al. 2011, Kay et al. 2011).

97 **While the importance of sea ice mean state is uncontroversial, the potential to**
98 **constrain the mean state and reduce projection uncertainty remains unclear.** Recent work
99 by Massonnet et al. (2018) used regression to quantify the relationship between Arctic sea ice
100 mean state and transient loss rates in a multi-model ensemble (Coupled Model Intercomparison
101 Project version 5 (CMIP5), Taylor et al. 2012). While the relationships between mean state and
102 linear changes in March sea ice volume and September sea ice area were weak, they were
103 statistically significant. The study arrived at two important conclusions. First, given the importance
104 of mean state and in particular sea ice thickness mean state, models with a biased mean sea ice

105 thickness should be questioned and potentially not used for future projections. Second, it is
106 currently not possible to observationally constrain the sea ice thickness mean state due to the
107 lack of long-term and reliable observations. This second conclusion is especially striking, is
108 consistent with a recent community analysis that questioned the accuracy of sea ice thickness
109 observations (e.g., SIMIP Community 2020), and leaves many open questions: 1) How reliable is
110 reliable enough? 2) How long of an observational record is needed? 3) Does tuning to observed
111 sea ice extent/area help constrain sea ice thickness? Model tuning is necessary (e.g., Mauritsen
112 et al. 2012), and best accomplished when constrained by available observations, especially when
113 the mean state influences transient response as is the case for sea ice.

114 ***Even if the sea ice mean state can be observationally constrained, internally***
115 ***generated climate variability obscures the influence of the mean state on the transient sea***
116 ***ice response.*** Having many realizations that show the same response increases confidence that
117 a signal results from a sea ice thickness difference and not from internally generated climate
118 variability. As a result, large initial-condition ensembles are needed to quantify the influence of
119 mean sea ice state on sea ice projections. While such ensembles are becoming more standard
120 practice and more broadly available with CMIP-class models (e.g., Deser et al. 2020), sensitivity
121 tests using large ensembles as a control are rare. In particular, a targeted experiment that isolates
122 the influence of sea ice mean state on climate change and variability in a CMIP-class model with
123 a large ensemble has not been done.

124 ***In this study, we build on previous work by isolating the influence of the sea ice***
125 ***mean state on climate.*** We focus on two research questions:

- 126 1) Does pre-industrial sea ice mean state influence the rate and timing of transient
127 anthropogenically forced sea ice change? In particular, does thicker Arctic sea ice in
128 the pre-industrial mean state lead to slower sea ice loss and a later transition to
129 seasonally ice-free conditions in transient projections for the 21st century?
- 130 2) What is the impact of pre-industrial sea ice mean state on key global climate variables
131 (surface temperature, precipitation, and sea level pressure)? Specifically, can we
132 detect the influence of sea ice mean state on pre-industrial climate and 1850-2100
133 transient climate change and variability in both polar and non-polar regions?

134 ***To answer our research questions, we modify the sea ice model within an earth***
135 ***system model to increase surface albedo, reduce surface melt, and increase the mean***
136 ***state sea ice.*** We then quantify the influence of the sea ice mean state differences on mean and
137 transient climate change using a large initial condition ensemble as a control. Working within this
138 numerical simulation framework, we can isolate differences in transient projections that arise from

139 sea ice mean state alone. While we present results from both poles, we focus more on the Arctic
140 where the parameter changes have a larger impact and reduce a model bias. We find that with
141 thicker sea ice, the transition to an ice-free Arctic Ocean is delayed. In addition, the impacts of
142 sea ice tuning on non-polar climate are small. While our results rely on one model, our analysis
143 provides guidance for future modeling development efforts, especially those that hope to optimize
144 their simulation of transient Arctic sea ice loss.

145

146 **2. Methods**

147 **2.1 Model simulations and comparison strategies**

148 ***We use a well-documented state-of-the-art global climate model: the Community***
149 ***Earth System Model version 2 (CESM2) with the Community Atmosphere Model version 6***
150 ***(CAM6) (Danabasoglu et al. 2020).*** CESM2-CAM6, hereafter shortened to simply CESM2, is an
151 attractive model to use for two reasons. First, comprehensive simulations exist for CESM2 as a
152 part of the Coupled Model Intercomparison Project version 6 (CMIP6) (Eyring et al. 2016) and a
153 recently released large initial-condition ensemble, hereafter referred to as the CESM2-LE
154 (Rodgers et al. 2021). Second, CESM2 has a mean state Arctic sea ice bias. When compared to
155 present-day observations, CESM2 has insufficient late summer Arctic sea ice cover, a bias that
156 has been attributed to the sea ice being too thin (Danabasoglu et al. 2020 Figure 17g, DuVivier
157 et al. 2020). The consequences of this CESM2 thin Arctic sea ice bias for transient sea ice change
158 have been documented in DeRepentigny et al. (2020). For example, the 11 CESM2 CMIP6
159 transient historical simulations have ice-free late summer conditions in the Arctic as early as 2010,
160 which is inconsistent with satellite observations even when accounting for internal variability.

161 ***Inspired to remedy the CESM2 thin Arctic sea ice bias and assess its impact on the***
162 ***global climate system, we created CESM2-lessmelt.*** CESM2-lessmelt is identical to CESM2
163 except for two parameter modifications made within the thermodynamics of the sea ice model.
164 The sea ice model in CESM2 (CICE 5.1.2; Hunke et al. 2015) uses a multiple-scattering Delta-
165 Eddington radiative transfer parameterization which relies on the specification of inherent optical
166 properties (Briegleb and Light 2007). These optical properties can be adjusted to change the
167 albedo of snow-covered sea ice. In CESM2-lessmelt, we changed the `r_snw` parameter such that
168 the dry snow grain radius decreases from 187.5 μm to 125 μm , thereby increasing the albedo of
169 snow on sea ice. In addition, we changed the `dt_mlt` parameter such that the melt onset
170 temperature increases by 0.5 $^{\circ}\text{C}$ from -1.5 $^{\circ}\text{C}$ to -1.0 $^{\circ}\text{C}$. This melt onset temperature determines
171 when the snow grain radius starts to grow from a dry snow value to a melting snow value. Both
172 CESM2-lessmelt parameter changes were implemented to increase snow albedo, reduce sea ice

173 melt, and increase the mean state sea ice thickness. Both parameter changes were made globally
174 and thus affect sea ice in both hemispheres. Finally, both parameter changes are within the
175 observational uncertainty provided by in situ observations from Surface Heat Budget of the Arctic
176 Ocean (SHEBA).

177 ***In this work, we compare simulations with constant pre-industrial control climate***
178 ***conditions.*** For CESM2, we use the multi-century CMIP6 1850 pre-industrial control run. For
179 CESM2-lessmelt, we ran a 550-year-long CESM2-lessmelt 1850 pre-industrial control run. The
180 CESM2-lessmelt control was branched from year 881 of the CESM2 CMIP6 control. As a sanity
181 check, we assessed global metrics of energy conservation and climate stability in the CESM2-
182 lessmelt control and compared it to the CESM2 control during overlapping years. The global mean
183 surface temperature is 0.16 K lower in CESM2-lessmelt (288.18 K) than in CESM2 (288.34 K).
184 The top-of-model energy imbalance in both models is small: -0.02 Wm^{-2} for CESM2-lessmelt and
185 0.07 Wm^{-2} for CESM2. Both models exhibit negligible drift in their global mean surface
186 temperature and top-of-model energy imbalance. Thus, both CESM2 model versions meet basic
187 energy conservation and stability criteria for global coupled modeling science.

188 ***In addition to pre-industrial control comparisons, we also compare simulations of***
189 ***1850-2100 transient climate change under the same CMIP6 forcing.*** For CESM2, we use the
190 first 50 ensemble members of the CESM2-LE. As described in Rodgers et al. (2021), members
191 1-50 share the same transient CMIP6 forcing: historical (1850-2014) and the SSP3-7.0 future
192 scenario (2015-2100) (O’Neil et al. 2016). For CESM2-lessmelt, we ran a 4-member mini
193 ensemble using the same historical and SSP3-7.0 CMIP6 forcing as CESM2-LE members 1-50.
194 The first CESM2-lessmelt ensemble member started at year 1181 of the CESM2-lessmelt 1850
195 pre-industrial control run and was run from 1850 to 2100. Three additional CESM2-lessmelt
196 ensemble members were run from 1920 to 2100 with initial conditions from the first CESM2-
197 lessmelt ensemble member perturbed by round-off (10^{-14} K) differences in air temperature.

198 ***As all transient ensemble members analyzed in this work share the same forcing,***
199 ***we assume each ensemble member is an equally likely estimate of the transient climate***
200 ***response.*** This “equally likely” assumption is justified in the Supporting Information (Text S1,
201 Figures S1-S5). This assumption enables us to statistically quantify differences between CESM2-
202 LE and CESM2-lessmelt. Given the differences in ensemble size, we use bootstrapping to
203 statistically assess when the 4 CESM2-lessmelt ensemble members are distinct from the first 50
204 members of the CESM2-LE. Bootstrapping, or randomly resampling to generate statistics,
205 requires no distribution assumptions.

206 ***Finally, it is important to note a feature of all transient model simulations analyzed***
207 ***here.*** Namely, the CMIP6 historical forcing includes a stark increase in the inter-annual variability
208 of biomass burning emissions during the satellite era of wildfire monitoring 1997-2014 (Fasullo et
209 al. 2021, DeRepentigny et al. 2021). This discontinuity leads to excessive surface warming in the
210 northern hemisphere extratropics (Fasullo et al. 2021). It also contributes to 1997-2010 Arctic sea
211 ice loss followed by a 2010-2025 Arctic sea ice recovery (DeRepentigny et al. 2021). While
212 several CMIP6 models show impacts from this discontinuity, the CESM2 has a particularly
213 pronounced response. In this work, we use this discontinuity as an opportunity to assess the
214 influence of sea ice mean state on the sea ice response to a short-term radiative forcing.

215

216 **3. Results**

217 **3.1. Pre-industrial sea ice in CESM2 and CESM2-lessmelt**

218 ***Comparison of pre-industrial sea ice volume and cover monthly mean values show***
219 ***CESM2-lessmelt has more sea ice than CESM2 in both hemispheres (Figure 1).*** In the Arctic,
220 sea ice volume in CESM2-lessmelt exceeds that in CESM2 during all months (**Figure 1a**). In
221 contrast, Arctic sea ice cover differences have a distinct seasonal cycle with large late summer
222 differences and small winter differences (**Figure 1b**). In the Antarctic, CESM2-lessmelt has larger
223 sea ice cover and volume than CESM2 in all months (**Figure 1c,d**). Monthly mean volume
224 differences between CESM2 and CESM2-lessmelt are larger in the Arctic (30% greater in
225 CESM2-lessmelt) than in the Antarctic (8% greater in CESM2-lessmelt).

226 ***Spatially, the largest sea ice cover differences occur at the sea ice edge where/when***
227 ***the sea ice can expand/contract without influence of land barriers and ocean circulation.***

228 At the late summer seasonal minimum, the CESM2-lessmelt sea ice edge expands equatorward
229 at the sea ice margin in both hemispheres (**Figure 2**). Yet, this late summer expansion in CESM2-
230 lessmelt is not zonally uniform. In the Arctic, the largest late summer ice concentration increases
231 in CESM2-lessmelt occur North of Russia in the East Siberian Sea (**Figure 2b**). In contrast, only
232 modest late summer sea ice expansion happens in the North Atlantic. In the Antarctic, the largest
233 magnitude late summer sea ice concentration expansion equatorward occurs off the coast east
234 of the Weddell Sea (**Figure 2d**). Changes in late-summer Antarctic sea ice concentration are
235 otherwise small, likely due to the lack of sea ice at the seasonal minimum. At the seasonal
236 maximum in late winter, Arctic concentrations differences are small due to the land barriers and
237 the ocean heat convergence that controls the sea ice edge (**Figure 3a-b**; Bitz et al. 2005). In the
238 Antarctic, the late winter sea ice edge has a zonally non-uniform response with some regions
239 exhibiting sea ice concentration increases and others exhibiting sea ice concentration decreases

240 (Figure 3c-d). In particular, there is less sea ice cover in CESM2-lessmelt than in CESM2 in the
241 Ross Sea. Non-zonally asymmetric sea ice differences demonstrate the importance of both
242 thermodynamic and dynamic responses to the sea ice parameter changes made in CESM2-
243 lessmelt.

244 **Sea ice thickness comparisons are also of interest, especially in the Arctic where**
245 **thicker ice in late winter can lead to less late summer sea ice loss.** Unlike the concentration
246 differences that manifested at the sea ice edge, sea ice thickness differences at the late winter
247 seasonal maximum occur throughout the sea ice pack (Figure 4). Late-winter sea ice thicknesses
248 are at least 0.5 m greater in CESM2-lessmelt than in CESM2 throughout the central Arctic basin
249 (Figure 4b). Antarctic sea ice thickness differences are much smaller, generally less than 0.25
250 meters (Figure 4d). The largest differences in the Antarctic occur off the west side of the Antarctic
251 Peninsula in the Bellingshausen Sea.

252 **To quantify processes underlying the mean state differences between the two**
253 **CESM2 model variants, we next compare their sea ice mass tendencies.** In addition to
254 analyzing the total sea ice mass tendency, we also decompose this total tendency into
255 contributions from dynamic and thermodynamic processes. Dynamic mass tendencies result from
256 advection of ice into or out of a grid cell. Thermodynamic mass tendencies result from the sum of
257 basal ice growth, ice growth in supercooled open water, transformation of snow to sea ice, surface
258 melting, lateral melting, basal melting and evaporation/sublimation. See DuVivier et al. (2020),
259 Singh et al (2021), and Bailey (2021) for more information about these diagnostics including their
260 application to evaluate CESM2 sea ice. Consistent with a balanced mean state and negligible
261 model drift, the annual mean tendency terms differences are small (not shown). Yet, substantial
262 differences in the sea ice mass tendency terms occur during both the growth season and the melt
263 season in both hemispheres in response to the parameter changes made in CESM2-lessmelt.

264 **Arctic sea ice mass tendency diagnostics show CESM2 and CESM2-lessmelt**
265 **differences result from both thermodynamics and dynamics (Figure 5).** During the melt
266 season, CESM2-lessmelt has less Arctic thermodynamic sea ice mass loss than CESM2. This
267 thermodynamic sea ice mass loss difference is consistent with a higher snow albedo in CESM2-
268 lessmelt than in CESM2. CESM2-lessmelt also has less thermodynamic Arctic sea ice mass gain
269 than CESM2 during the growth season due to the negative ice-thickness growth feedback (Bitz
270 and Roe, 2004). These opposing seasonal influences on thermodynamic tendency terms are
271 consistent with thicker Arctic sea ice in CESM2-lessmelt than in CESM2. Dynamical sea ice
272 tendency terms dominate at the sea ice edge and during the growth season. With its thicker sea
273 ice, CESM2-lessmelt has more ice export out of and more ice transport within the Arctic basin

274 than CESM2. When more ice is moved into a region where sea ice can melt, thermodynamic
275 mass tendencies and dynamic mass tendencies compensate.

276 ***We next evaluate sea ice mass tendencies for CESM2 and CESM2-lessmelt in the***
277 ***Antarctic (Figure 6).*** Positive dynamic mass tendencies increase sea ice away from the Antarctic
278 coast in all seasons. This dynamically driven sea ice mass increases result from wind-driven
279 transport of sea ice away from the Antarctic coast. During the growth season, thermodynamically-
280 driven sea ice mass gains occur near the coast, which in turn increases dynamically-driven sea
281 ice mass gains away from the coast. When compared to CESM2, CESM2-lessmelt has more
282 dynamical mass gain associated with this wind-driven sea ice advection in all seasons. During
283 the melt season, sea ice mass loss due to thermodynamics is less in CESM2-lessmelt than in
284 CESM2. Yet, the growth season in the Antarctic differs from that in the Arctic. Unlike in the Arctic,
285 the Antarctic has little multi-year ice and thus no negative ice-thickness growth feedback. Also
286 unlike the Arctic, the Antarctic gains mass through snow-ice formation.

287

288 **3.2. Influence of sea ice tuning on pre-industrial global climate**

289 ***Overall, CESM2-lessmelt and CESM2 have statistically significant differences in***
290 ***surface air temperature, precipitation, and sea level pressure at both poles (Figure 7).*** In
291 contrast, impacts on non-polar climate are small and not statistically significant. Where CESM2-
292 lessmelt has more sea ice than CESM2, the Arctic and Antarctic surface are both cooler in
293 CESM2-lessmelt than in CESM2, especially in non-summer seasons. Demonstrating the
294 importance of sea ice to polar surface temperatures, Ross Sea air temperatures increased in
295 CESM2-lessmelt when compared to CESM2, consistent with sea ice concentration and thickness
296 decreases from CESM2-lessmelt to CESM2 in this region (**Figure 3d, Figure 4d**). Generally
297 speaking, precipitation differences between CESM2 and CESM2-lessmelt followed surface
298 temperature differences. The relatively cooler CESM2-lessmelt atmosphere converges less
299 moisture and has less precipitation, especially in Fall in the Arctic. Despite this precipitation
300 reduction, CESM2-lessmelt has 10% more snow on Arctic sea ice in spring than CESM2, which
301 is in better agreement with observations (Webster et al., 2021). Overall, polar sea level pressure
302 differences are generally small and not statistically significant. One notable exception are
303 statistically significant sea level pressure differences between CESM2 and CESM2-lessmelt
304 during Arctic Fall, including the well-known atmospheric circulation response to boundary layer
305 thermal forcing (e.g., Deser et al. 2010). Here, boundary layer cooling in CESM2-lessmelt leads
306 to a local high SLP response in autumn (baroclinic vertical structure).

307 ***In addition to mean climate state, we also assessed climate variability differences***
308 ***arising from the different sea ice mean states in CESM2 and CESM2-lessmelt.*** In brief, pre-
309 industrial climate variability differences between the multi-century CESM2 and CESM2-lessmelt
310 pre-industrial control runs are small and not statistically significant. Major modes of climate
311 variability, such as those plotted in the Climate Variability Diagnostics Package (Phillips et al
312 2020), are unchanged between CESM2 and CESM2-lessmelt pre-industrial control runs.
313 Similarly, differences in inter-annual seasonal surface temperature, sea level pressure, and
314 precipitation standard deviations are small and not statistically significant (**Figure S6**).

315

316 **3.3. Transient (1850-2100) sea ice evolution in CESM2 and CESM2-lessmelt**

317 Present-day (1979-2014) monthly hemispheric mean differences (**Figure 8**) resemble
318 corresponding pre-industrial control differences (**Figure 1**). In the Arctic, CESM2-lessmelt has
319 more present-day sea ice volume than CESM2 in every month (**Figure 8a**). Moreover, CESM2-
320 lessmelt also has more present-day Arctic sea ice cover than CESM2 in all months, with the
321 largest differences during the melt season and especially in late summer (**Figure 8b-c**). Overall,
322 the Arctic sea ice mean state is closer to observations in CESM2-lessmelt than in CESM2. Of
323 particular note, additional present-day late summer Arctic sea ice cover brings CESM2-lessmelt
324 closer to observations than CESM2. While present-day hemispheric multi-decadal Arctic sea ice
325 volume observations are not available (Massonnet et al. 2018), reductions in late-summer sea ice
326 cover biases are consistent with CESM2-lessmelt having more realistic sea ice volume than
327 CESM2. Like in the Arctic, present-day Antarctic sea ice differences between CESM2 and
328 CESM2-lessmelt are also qualitatively similar to the pre-industrial control (**Figure S7**). But unlike
329 in the Arctic, both CESM2 variants have substantial Antarctic mean state biases without
330 consistent bias reduction from CESM2 to CESM2-lessmelt. Given similar Antarctic sea ice biases,
331 relatively modest Antarctic mean state sea ice changes, and the inability of CESM2 and CESM2-
332 tuned ice to reproduce observed Antarctic sea trends (**Figure S8**), we focus on the Arctic for the
333 remainder of the transient sea ice comparisons.

334 ***Arctic maps reveal that the sea ice in CESM2 and CESM2-lessmelt evolves***
335 ***differently from the present-day into the 21st century (Figure 9).*** While both CESM2 and
336 CESM2-lessmelt have their greatest present-day (1979-2014) late winter sea ice thicknesses and
337 late summer sea ice concentrations north of Greenland and the Canadian Archipelago, CESM2-
338 lessmelt has more sea ice throughout much of the Arctic Ocean than CESM2 (**Figure 9a-d**).
339 Notably, September Arctic sea ice concentrations are substantially greater in CESM2-lessmelt
340 than in CESM2 (**Figure 9c**). Equally important, the present-day March sea ice is 0.5+ meters

341 thicker in CESM2-lessmelt than in CESM2 over most of the central Arctic Ocean (**Figure 9d**). By
342 2030-2049, Arctic sea ice differences between CESM2-lessmelt and CESM2 remain for late-
343 summer September concentration but are small for late winter March thickness (**Figure 9e-f**).
344 Large 2030-2049 late summer ice cover differences occur because despite starting the melt
345 season with similar March sea ice thickness distributions, less melt occurs in CESM2-lessmelt
346 than in CESM2. This difference in 2030-2049 summer melt is consistent with higher albedo in
347 CESM2-lessmelt than in CESM2. By 2050-2069, CESM2 and CESM2-lessmelt have similar small
348 September sea ice concentrations (**Figure 9g**). Consistent with a transition to a seasonally ice-
349 free Arctic, March sea ice thicknesses are also similar in 2050-2069 over much of the Arctic Ocean
350 (**Figure 9h**). In fact, the only regions where 2050-2069 differences between CESM2-lessmelt and
351 CESM2 persist are along the coast of Northern Greenland and the far North Eastern portions of
352 the Canadian archipelago.

353 ***While ensemble means provide the most robust assessment of the differences in***
354 ***CESM2 and CESM2-lessmelt, ensemble mean values are not physically realized quantities,***
355 ***mute internal variability, and thus should not be compared as equals with observed***
356 ***timeseries and trends.*** Instead, each individual CESM2-LE or CESM2-lessmelt ensemble
357 member's time evolution should be treated as equally likely and the observations should be
358 treated as the single real world ensemble member. Consistent with time-averaged ensemble
359 mean comparisons (**Figure 8**), September Arctic sea ice extent in all four CESM2-lessmelt
360 ensemble members (**Figure 10b**) is a better match to 1979-2020 observations than any of the 50
361 CESM2-LE ensemble members (**Figure 10a**). Up until ice-free conditions are reached, CESM2-
362 lessmelt ensemble members have more September sea ice extent than almost all of the CESM2-
363 LE ensemble members. Unlike sea ice amount, 20-year linear trends in September Arctic sea ice
364 in CESM2-LE, CESM2-lessmelt, and observations largely overlap (**Figure 10c**). In other words,
365 CESM2-lessmelt and CESM2-LE trends are both consistent with observed trends. Due to
366 ensemble size differences, the spread in CESM2-lessmelt trends is smaller than the spread in
367 CESM2-LE trends. Thus, even though CESM2-lessmelt trends are more negative than observed
368 trends with end dates of 2001-2006, this may simply be the consequence of ensemble size
369 differences. As introduced in section 2.1 and in DeRepentigny et al. (2021), the individual
370 ensemble members show sea ice loss accelerates around the turn of the 21st century and then
371 the sea ice recovers in the early 21st century due to the prescribed biomass burning emissions in
372 CMIP6 forcing.

373 ***Continuing with the equally likely framework in mind, we next assess common***
374 ***metrics used for sea ice model evaluation: sea ice sensitivity and the timing of a seasonally***

375 **ice-free Arctic (Figure 11).** These metrics illustrate the challenge of large internally driven
376 variability for differentiating between CESM2-lessmelt and CESM2-LE and comparing them to
377 observations. For sea ice sensitivity per ton of cumulative anthropogenic CO₂ emissions, both
378 the observations and the CESM2-lessmelt ensemble members lie almost entirely within the
379 spread of the first 50 CESM2-LE members (**Figure 11a**). In contrast, the sea ice sensitivity per
380 global mean warming appears larger in CESM2-lessmelt with three out of four ensemble
381 members outside of the spread of the CESM2-LE (**Figure 11b**). That said, the spread in CESM2-
382 LE sea ice sensitivity values measured with respect to CO₂ and global mean warming is large and
383 humbling. Assuming any individual ensemble member is equally likely, the large spread in sea
384 ice sensitivity metrics shows they are not strong observational constraints and provide limited
385 value as a model comparison metric, especially when ensemble size is small.

386 ***Internal variability also has a strong imprint on the timing of a first seasonally ice-***
387 ***free Arctic Ocean.*** Indeed, the CESM2-LE exhibits a 38 year spread in this metric with years
388 ranging from 2007 to 2045 (**Figure 11c**). While the spread in the CESM2-lessmelt first ice-free
389 Arctic year is small (2041 to 2057), the 4 CESM2-lessmelt first ice-free years barely overlap with
390 the 50 CESM2-LE first ice-free years. Bootstrapping the CESM2-LE ice-free dates shows the two
391 distributions are statistically different at the 95% confidence level. In other words, the thicker and
392 more extensive Arctic sea ice in CESM2-lessmelt delays the timing of an ice free Arctic when
393 compared to CESM2-LE. While the delay of the first ice-free Arctic is statistically significant, the
394 large internally generated variability still limits its predictability by decades. The spread in ice-free
395 years in the first 50 members of the CESM2-LE is made especially large and early by the
396 accelerated sea ice decline associated with the CMIP6 biomass burning emissions
397 (DeRepentigny et al. 2021).

398 ***We next use ensemble means to quantify forced response differences between***
399 ***CESM-LE and CESM2-lessmelt (Figure 12).*** To make consistent forced response comparisons,
400 we bootstrap the 50 CESM2-LE members to generate statistics that are consistent with
401 ensembles with only four members. With these bootstrapped values, we can statistically assess
402 when CESM2-lessmelt and CESM2-LE differ while accounting for differences in ensemble size.
403 For example, if the CESM2-lessmelt ensemble mean lies outside of the 95% confidence limits of
404 sample statistics generated randomly by selecting 4 members of the CESM2-LE many times (here
405 1,000 times), the forced response differences are statistically significant. Comparing the
406 ensemble means consistent with four ensemble members, we find that CESM2-lessmelt has more
407 September sea ice extent (**Figure 12a**) and more March sea ice volume (**Figure 12b**).
408 Interestingly, twenty-year trends in September sea ice extent and March sea ice volume are

409 statistically indistinguishable in CESM2-lessmelt and CESM2-LE with the exception of three
410 periods (**Figure 12c-d**). The first exception is for the period with trend end dates ~2010 during
411 the biomass burning forcing discontinuity. During this time period, the CESM2-lessmelt has less
412 negative sea ice extent trends and more negative sea ice volume trends than the CESM2-LE.
413 This first exception is consistent with the thicker sea ice in CESM2-lessmelt being more resilient
414 to ice cover changes but more sensitive to ice volume changes due to a weaker thickness-ice
415 growth feedback. The second time period when there are trend differences occurs in the 2060s
416 and 2070s. This exception occurs because CESM2-lessmelt still has ice to lose while CESM2-LE
417 is ice-free already in September (**Figure 11c**). As a result, CESM2-lessmelt has more negative
418 September sea ice extent trends than CESM2-LE during the 2060s and 2070s. Similar trend
419 differences associated with timing differences to an ice-free Arctic are seen in October and
420 August, but shifted later in the 21st century (not shown). The last time period is for trend end dates
421 around 1970 when the volume trends in CESM2-lessmelt are larger than those in CESM2-LE.

422 ***We finish comparing the 1850-2100 transient sea ice evolution by contrasting***
423 ***interannual sea ice variability in CESM2-lessmelt and CESM2.*** As was done for means, we
424 bootstrap the CESM2-LE to create variability estimates consistent with an ensemble with only 4
425 members. Consistent with previous work (Goosse et al. 2009, Mioduszewski et al. 2019), we find
426 Arctic sea ice cover variability strongly depends on the mean sea ice thickness in CESM2 and
427 CESM2-lessmelt (**Figure S9**). Overall, September sea ice extent interannual variability is smaller
428 in CESM2-lessmelt than in CESM2 until the middle of the 21st century. Smaller September sea
429 ice variability in CESM2-lessmelt is especially seen during the turn of the century forced sea ice
430 decline (20 year trends ending ~2010). After the 2040s, CESM2-lessmelt has more year-to-year
431 September sea ice extent variability than CESM2 because CESM2-lessmelt transitions to a
432 seasonally ice-free Arctic later than CESM2.

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434 **3.4. Influence of sea ice mean state on transient climate change**

435 ***We next assess the impact of the differing CESM2 and CESM2-lessmelt 1850-2100***
436 ***sea ice evolution on transient climate change more broadly.*** In the end, we focus on surface
437 warming for two reasons. First, climate impacts often scale with surface warming. As a result,
438 assessing where/when warming differences occur provides a foundation for assessing if the
439 CESM2 and CESM2-lessmelt sea ice evolution differences impact climate change and variability
440 more broadly. Second, we investigated other climate variables such as precipitation and sea level
441 pressure and found that differences in the transient climate response in CESM2 and CESM2-
442 lessmelt were small and not statistically significant (e.g., **Figure S10**). One exception was smaller

443 21st century winter Arctic precipitation increases in CESM2-lessmelt than in CESM2. This
444 exception is consistent with Clausius–Clapeyron relation, namely a reduced water vapor increase
445 associated with less warming in CESM2-lessmelt than in CESM2.

446 ***When plotted as anomalies, the 1850-2100 evolution of the global mean surface***
447 ***temperature anomaly in CESM2 and CESM2-lessmelt are indistinguishable (Figure 13a).***

448 Both CESM2 model variants are consistent with the observed global air surface temperature
449 anomaly evolution (Hansen et al. 2010). When plotted as absolute values, the global mean
450 surface temperature is lower in CESM2-lessmelt than CESM2 (Figure 13b). This absolute
451 temperature difference between the two CESM2 variants remains constant over the entire 1850-
452 2100 period. Moreover, the spatial pattern of seasonal warming in CESM2-lessmelt and CESM2
453 is statistically indistinguishable aside from two notable exceptions in the Arctic (Figure 14). First,
454 CESM2-lessmelt warms more than CESM2 along the sea ice edge during Fall, particularly in the
455 Pacific sector. This larger warming occurs because CESM2-lessmelt has more sea ice to lose in
456 these regions than CESM2 (Figure 2b). Second, CESM2 warms more than CESM2-lessmelt in
457 the central Arctic Ocean during winter. This difference arises because CESM2 has thinner sea
458 ice than CESM2-lessmelt.

459 ***While the total zonal mean warming over the period 1920-1939 to 2080-2099 is***
460 ***remarkably similar in CESM2 and CESM2-lessmelt, when that warming happens differs***
461 ***between the two model variants in the Arctic.*** Indeed, comparisons of zonal mean warming
462 rates in CESM2 and CESM2-lessmelt show differences in the Arctic warming rates in all seasons
463 except summer (Figure 15). In particular, CESM2 has large non-summer surface Arctic warming
464 rates earlier than CESM2-lessmelt. These larger early warming rates in CESM2 results from an
465 earlier transition towards an ice-free Arctic Ocean in CESM2 than in CESM2-lessmelt.

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467 **4. Summary and Discussion**

468 ***This study assesses the influence of sea ice mean state on simulated climate***
469 ***change and variability in a state-of-the-art global coupled climate model.*** Novel and new
470 here, a large 50-member large ensemble is leveraged as a control for assessing the new small
471 4-member ensemble with more mean state sea ice, especially in the Arctic. As large initial
472 condition ensembles are generally run after model releases, we address a question that is
473 unanswerable during model development: *Do differences in the pre-industrial control sea ice*
474 *mean state alter the ensemble spread of realized transient climate change?* Our results re-enforce
475 that a realistic Arctic mean state is critical to simulating a realistic transition to an ice-free Arctic
476 Ocean. Specifically, simulations with the same global warming but more Arctic sea ice in their

477 pre-industrial control have a later transition to a late summer ice-free Arctic over the 21st century.
478 These results demonstrate starting with a reasonable initial state is important for trusting model-
479 projected timing towards an ice-free Arctic Ocean in a warming world. Important for climate
480 projections and model development more generally, the sea ice differences examined here had
481 negligible impacts outside the polar regions.

482 ***Interestingly, many commonly used metrics to benchmark sea ice simulations***
483 ***provide limited value in this study.*** While ensemble means best emphasize differences
484 between model versions, ensemble mean values are not physically realized quantities, mute
485 internal variability, and thus were not compared as equals with observed timeseries and trends
486 (Kay et al. 2015, Deser et al. 2012). Assuming any individual ensemble member is equally likely,
487 many metrics struggle to differentiate between the thicker (CESM2-lessmelt) and thinner
488 (CESM2) sea ice model variants examined here. For example, this study reinforces previous work
489 showing a two decade uncertainty in the timing of an ice-free Arctic due to internally generated
490 variability (Jahn et al. 2016, Notz 2015). Here, we find an almost four decade uncertainty in the
491 timing of an ice-free Arctic in the first 50 members of the CESM2-LE due to the confluence of
492 CMIP6 biomass burning forcing and thin CESM2 Arctic sea ice. In addition, sea ice sensitivity
493 exhibits large spread in the first 50 CESM2-LE members and thus provides limited value as an
494 observational constraint or a robust model comparison metric to CESM2-lessmelt. Finally, linear
495 sea ice area trends were similar between CESM2 and CESM2-lessmelt ensemble members. The
496 fact that such commonly used metrics provide limited differentiation in this study is sobering and
497 merits emphasis. Internal variability is large and must be measured and accounted for when
498 comparing model ensemble size, as was done here. Of course, these findings are not entirely
499 surprising given similar global warming in CESM2 and CESM2-lessmelt. In other words, global
500 warming cannot be used as constraint on simulated sea ice trends or sensitivity in this study. In
501 fact, the mean state differences probed here were not large enough to cause Arctic sea ice trend
502 differences for the same amount of global warming. As a result, this work does not refute previous
503 work showing that global warming (e.g., Mahlstein and Knutti 2012, Roach et al. 2020) can
504 constrain sea ice change, and can help illustrate when models have the right Arctic sea ice trends
505 for the wrong reasons (e.g., Rosenblum and Eisenman 2017). In summary, the similarity between
506 CESM2 and CESM2-tuned found here provides further evidence that global warming exerts
507 strong controls on Arctic sea ice trends.

508 ***We end by discussing lessons learned for simulation of sea ice in a global coupled***
509 ***climate modeling framework.*** We began this study by reducing sea ice surface melt in a pre-
510 industrial control simulation in search of a stable model configuration with more Arctic Ocean sea

511 ice volume and late summer Arctic Ocean sea ice cover. The parameter modifications
512 implemented in CESM2-lessmelt were specifically targeted to reduce summer melt in the Arctic
513 where surface melt dominates. Unlike the Arctic, Antarctic sea ice melt is dominated by bottom
514 melt. Thus, we anticipated and found relatively small differences in the Antarctic sea ice mean
515 state as a result of our parameter modifications. After obtaining a stable multi-century control run,
516 we then ran transient 1850-2100 simulations with no additional changes. What emerged in the
517 transient simulations was influenced both by the mean state and by feedbacks in CESM2, and
518 was a surprise to us. Indeed, our success in obtaining more realistic transition to an ice-free Arctic
519 state with CESM2-tuned suggests that sea ice thickness and late summer cover are important
520 targets for sea ice in coupled model development. In contrast, attention to and tuning of Arctic
521 sea ice area alone is generally insufficient. That said, sea ice area expansion is important to
522 monitor and model development should focus on parameters and physics that lead to credible
523 sea ice area distributions. The North Atlantic is especially important to monitor as when sea ice
524 expands to completely cover the ocean there, it can shut down North Atlantic deep water
525 formation, and derail global coupled earth system model development as discussed in
526 Danabasoglu et al. (2021).

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546

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563 archive storage. CESM2-LE data are available here:
564 <https://www.cesm.ucar.edu/projects/community-projects/LENS2/>. The CESM2-lessmelt data are
565 available via Globus access to NCAR GLADE at:
566 /glade/campaign/cgd/ppc/cesm2_tuned_albedo. For more information on using Globus on NCAR
567 systems, please refer to [https://www2.cisl.ucar.edu/resources/storage-and-file-systems/globus-](https://www2.cisl.ucar.edu/resources/storage-and-file-systems/globus-file-transfers)
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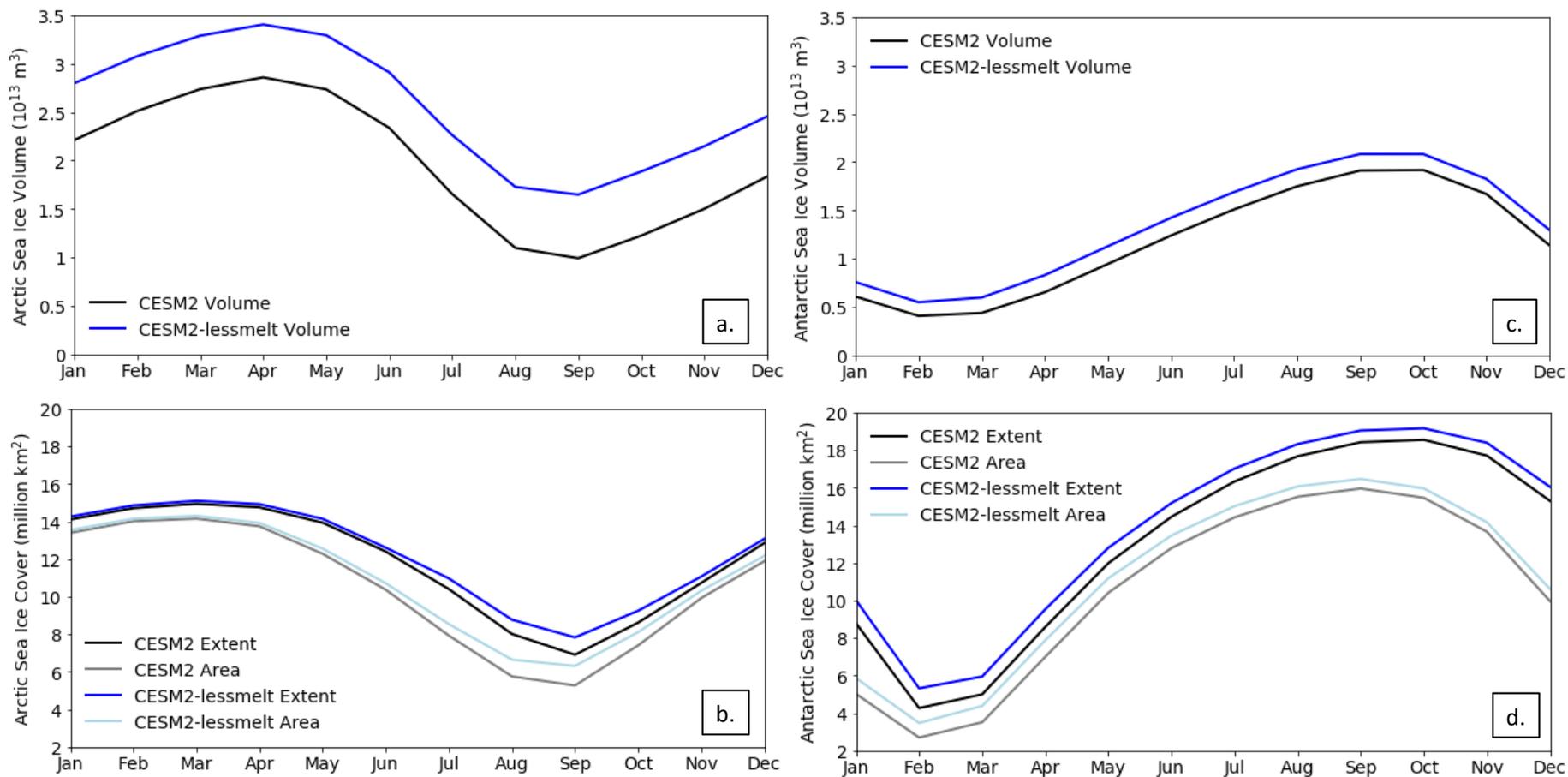


Figure 1. Seasonal cycle in CESM2 and CESM2-lessmelt 1850 preindustrial control runs: a) Arctic sea ice volume, b) Arctic sea ice area and extent, c) Antarctic sea ice volume, d) Antarctic sea ice area and extent. Values are overlapping 200-year averages (years 911-1110 of the CESM2 CMIP6 1850 pre-industrial control run).

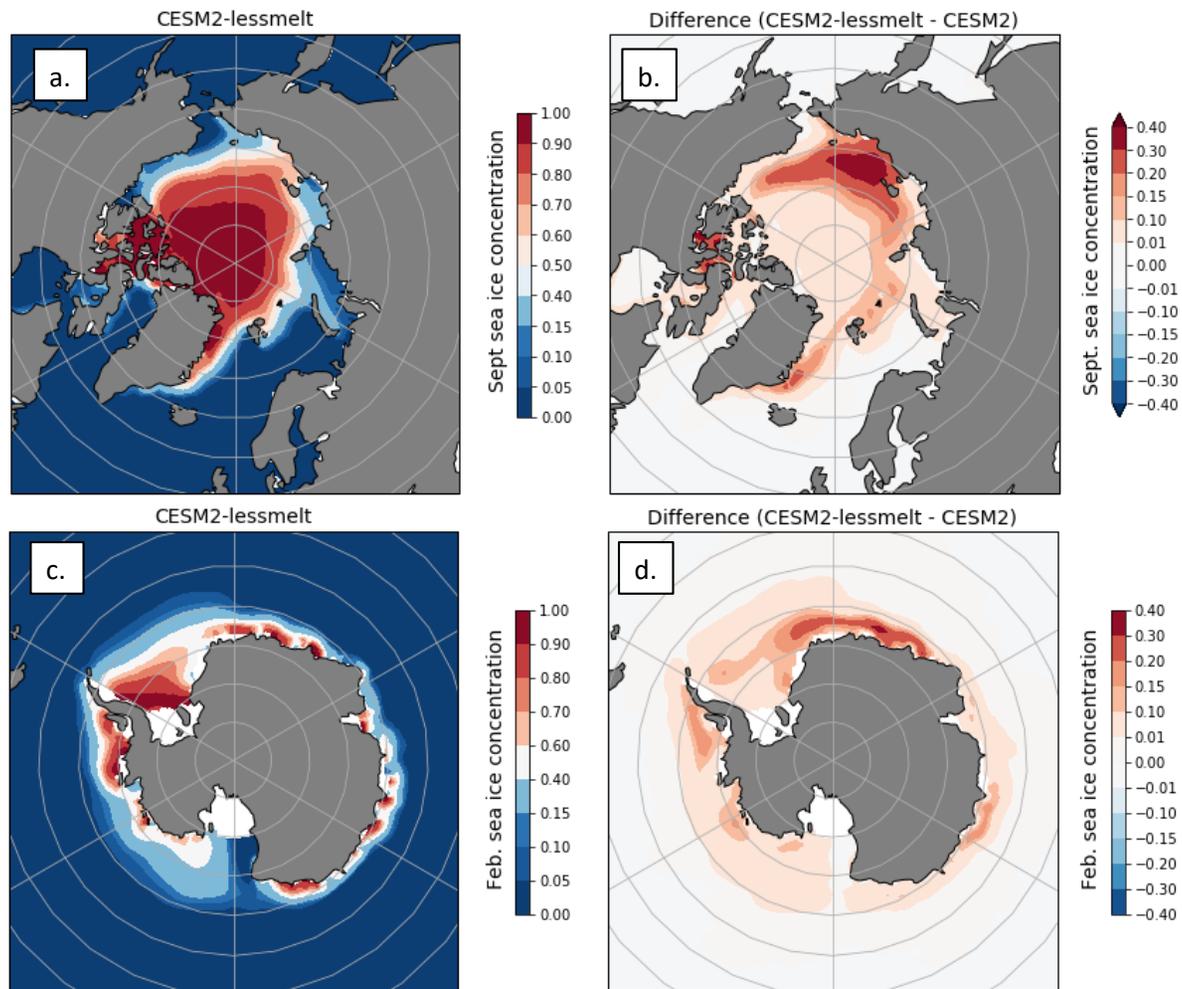


Figure 2. Late summer sea ice concentration in preindustrial control runs: a) September Arctic CSM2-lessmelt, b) Difference September Arctic (CSM2-lessmelt - CSM2), c) February Antarctic CSM2-lessmelt, d) Difference February Antarctic (CSM2-lessmelt - CSM2). Values are overlapping 200-year averages as in Figure 1. *Note: Nonlinear color scale used to emphasize thin ice categories.*

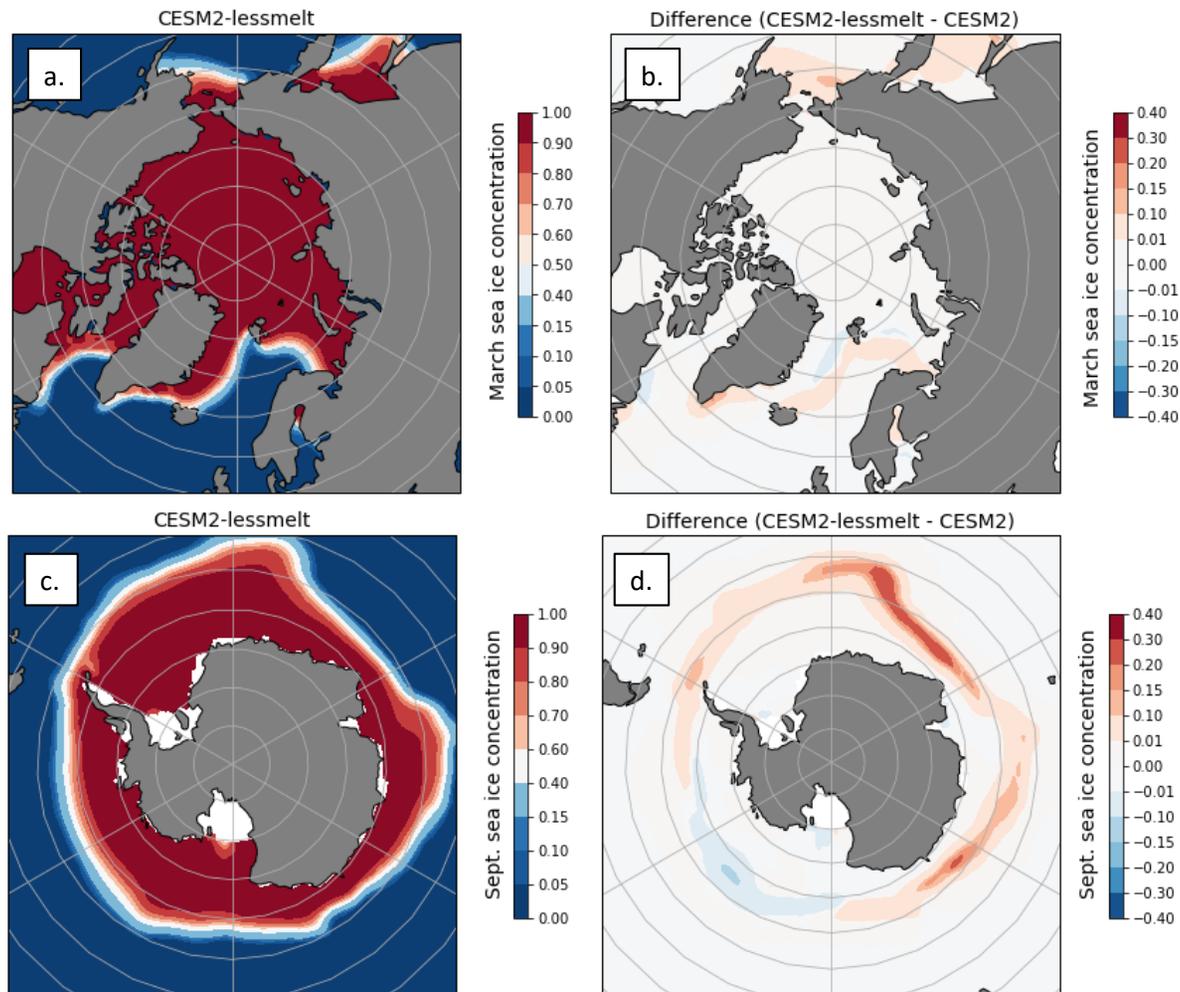


Figure 3. Late winter sea ice concentration in preindustrial control runs: a) CESM2-tuned ice March Arctic, b) Difference March Arctic, c) CESM2-lessmelt September Antarctic, d) Difference September Antarctic. Values are overlapping 200-year averages as in Figure 1. *Note: Nonlinear color scale used to emphasize thin ice categories.*

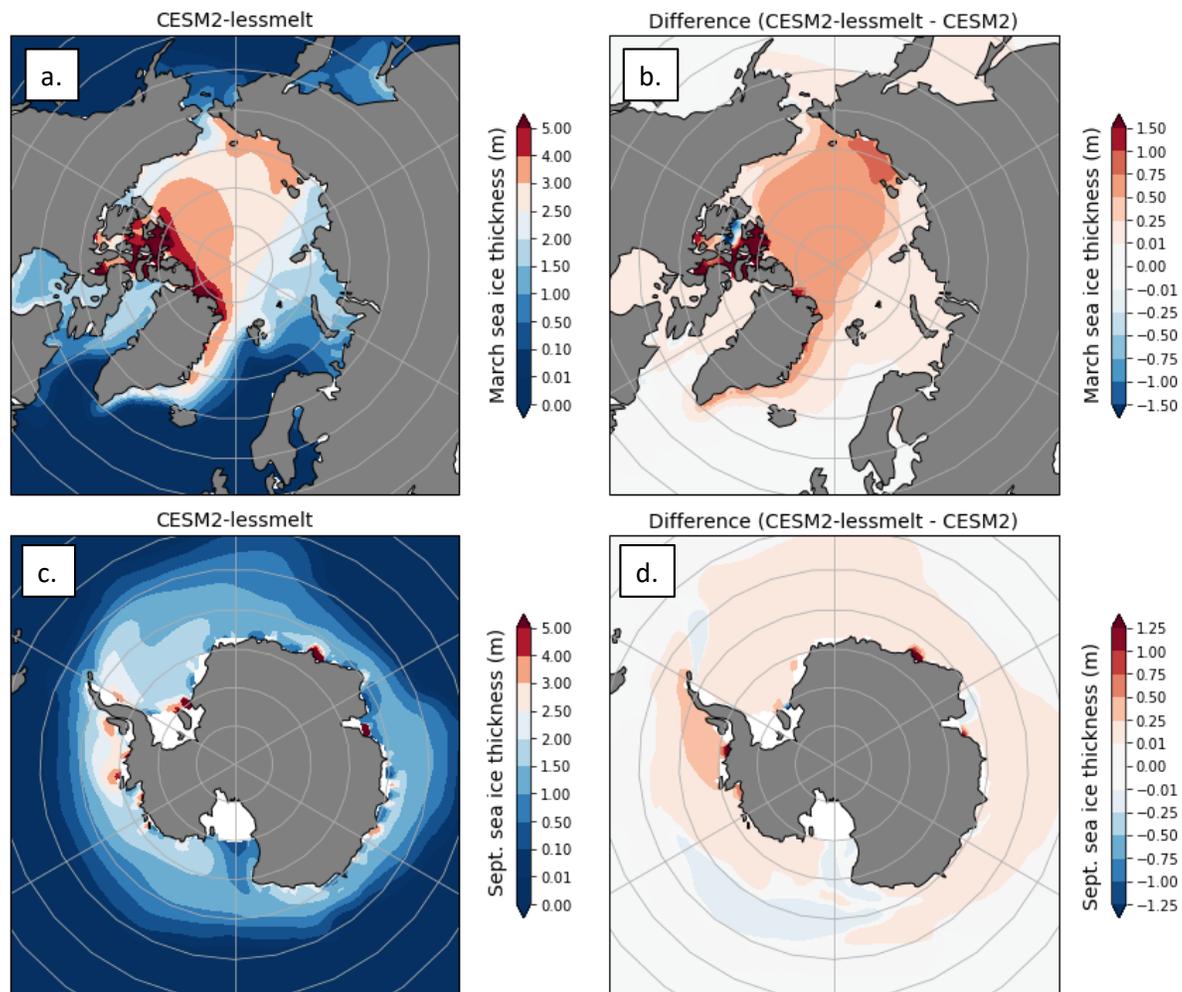


Figure 4. Late winter sea ice thickness in preindustrial control runs: a) CESM2 March Arctic, b) CESM2-lessmelt March Arctic, c) CESM2 September Antarctic, d) CESM2-lessmelt September Antarctic. Values are overlapping 200-year averages as in Figure 1. *Note: Nonlinear color scale used to emphasize thin ice categories.*

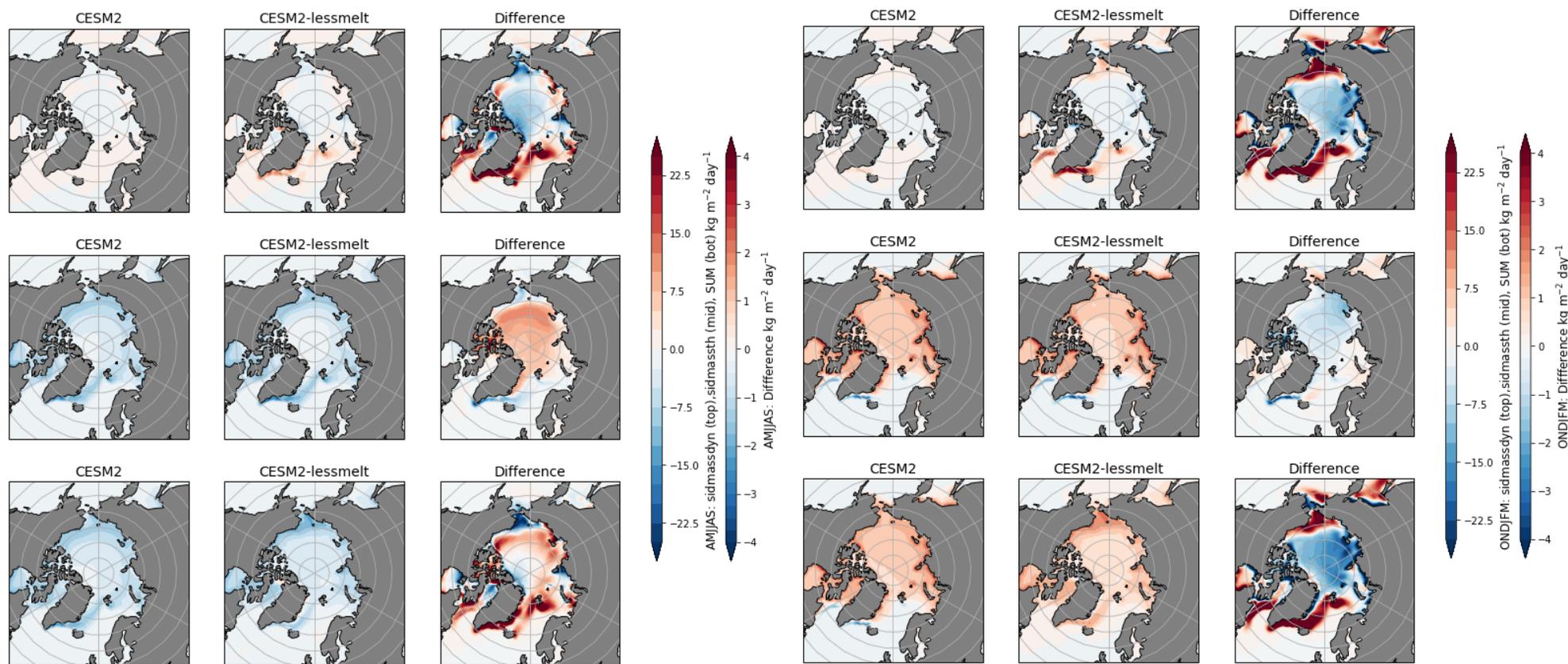


Figure 5. Arctic sea ice mass tendency terms for the melt season [AMJJAS] (left), and the growth season [ONDJFM] (right). For each season, the top row is tendency due to dynamics (sidmassdyn), the middle row is tendency due to thermodynamics (sidmassth), and the bottom row is their sum. All differences are CESM2-lessmelt minus CESM2. Values are overlapping 200-year averages as in Figure 1.

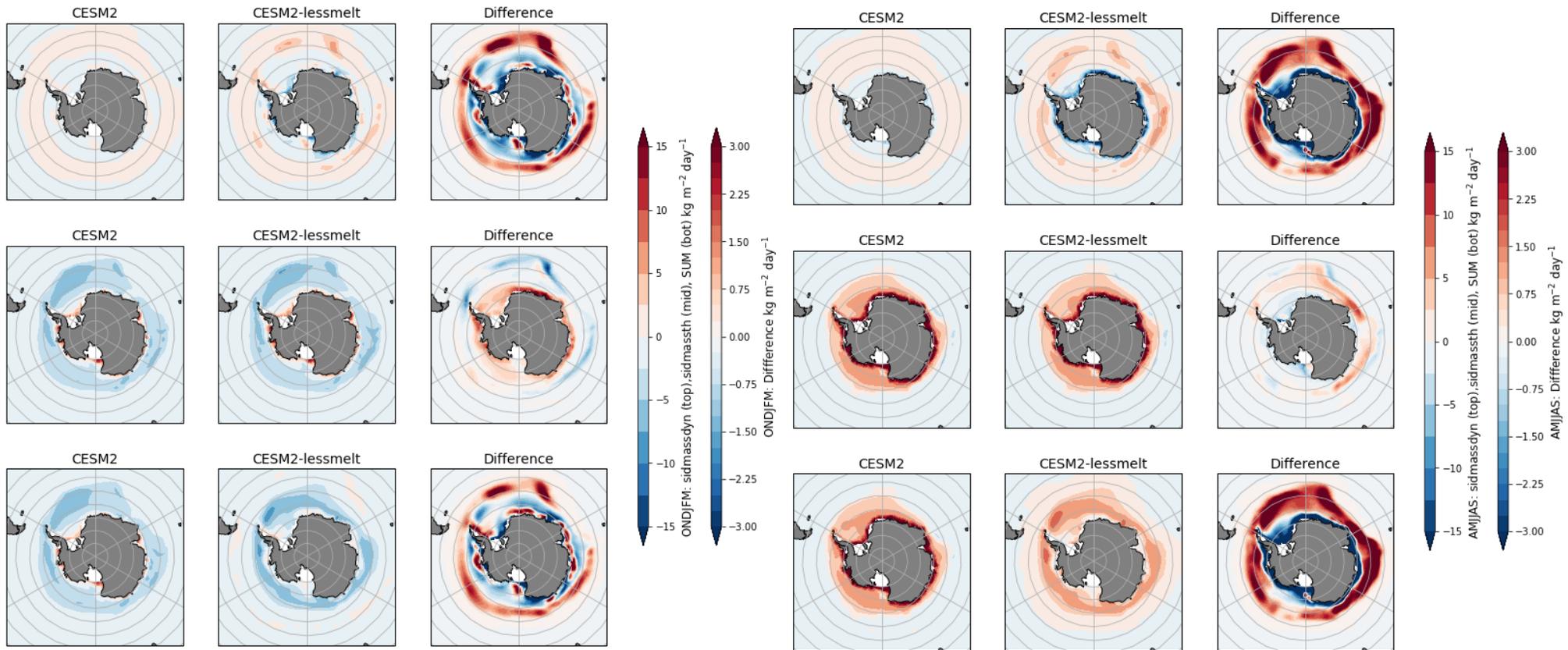


Figure 6. Antarctic sea ice mass tendency terms for the melt season [ONDJFM] (left), and the growth season [AMJJAS] (right). For each season, the top row is tendency due to dynamics (sidmassdyn), the middle row is tendency due to thermodynamics (sidmassth), and the bottom row is their sum. All differences are CESM2-lessmelt minus CESM2. Values are overlapping 200-year averages as in Figure 1.

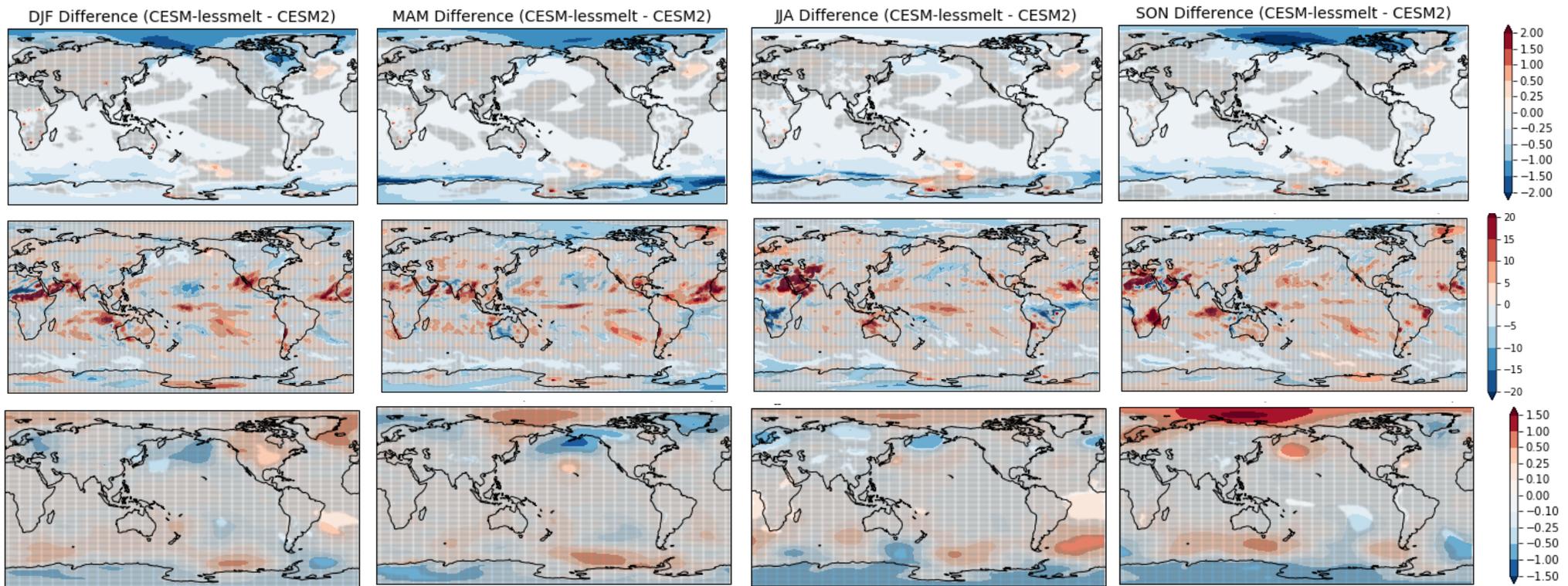


Figure 7. Global maps of difference in CESM2-lessmelt minus CESM2 pre-industrial by season. Top row shows surface temperature (K), Middle row shows total precipitation amount (% difference). Bottom row shows sea level pressure (mb). Grey stippling shows regions that are not statistically different at the 95% confidence level using a 2-sided t-test. Values are overlapping 200-year averages as in Figure 1.

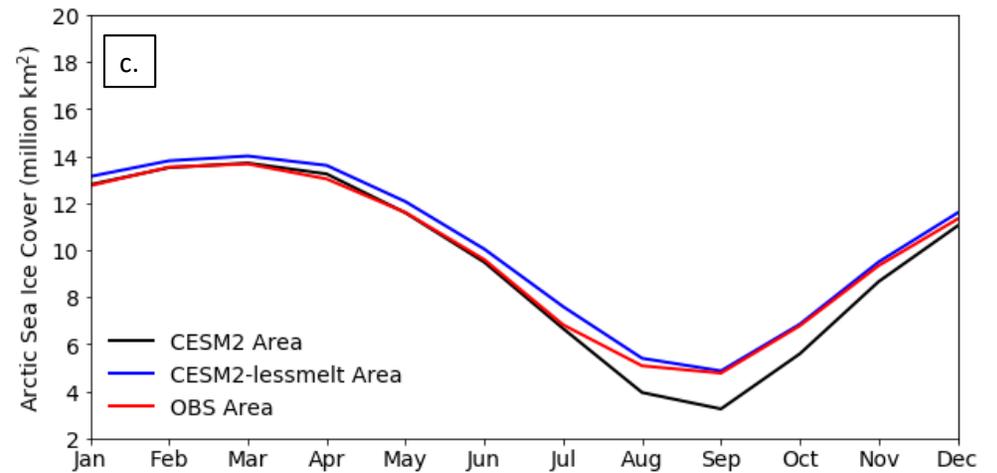
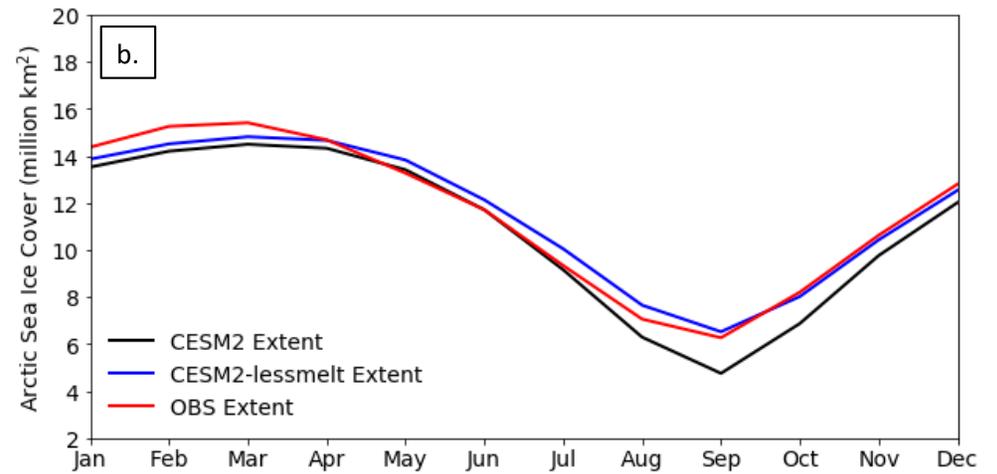
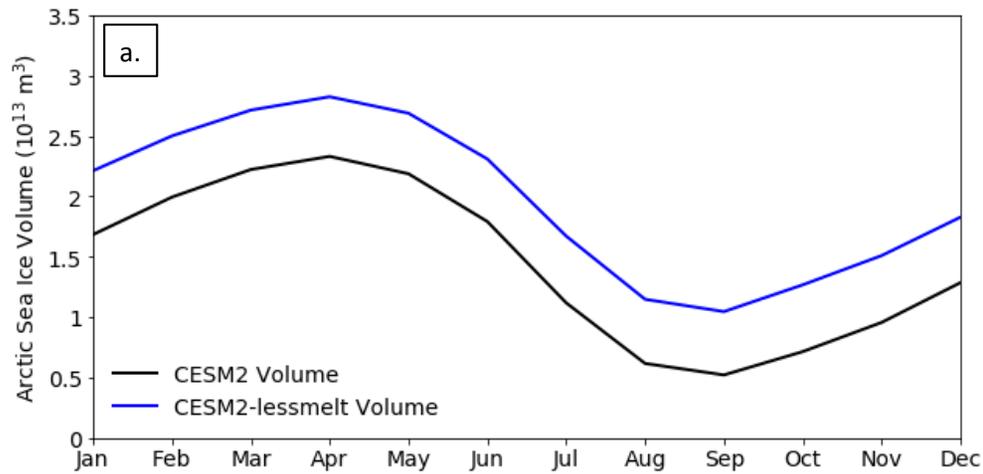


Figure 8. Present-day (1979-2014) ensemble mean seasonal cycle in CESM2-LE and CESM2-lessmelt: a) Arctic sea ice volume, b) Arctic sea ice extent, c) Arctic sea ice area. Observations are from NSIDC sea ice index with pole filling (Fetterer et al. 2017).

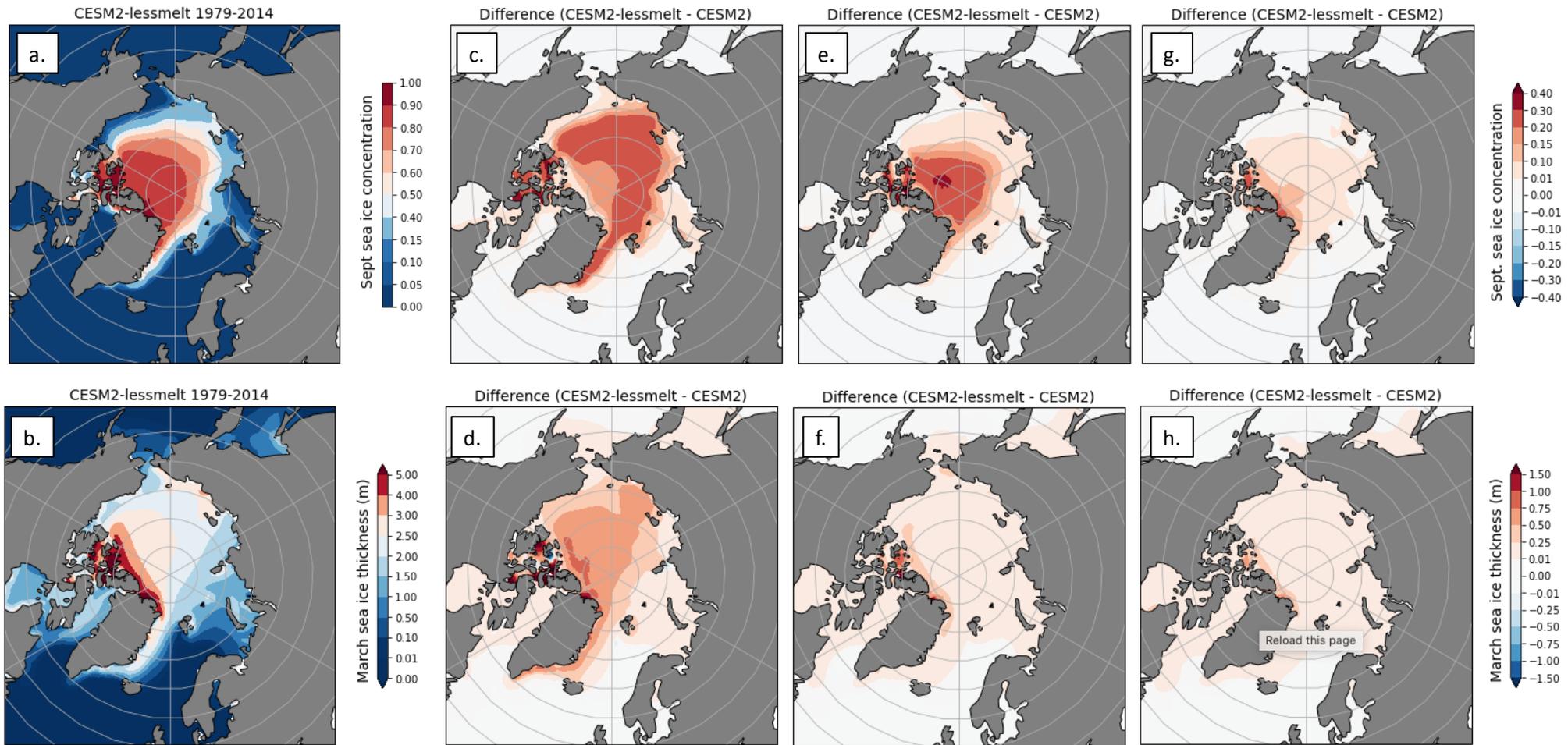


Figure 9. Ensemble mean Arctic sea ice maps: a) Present-day (1979-2014) CSM2-lessmelt September concentration, b) as in a) but for March thickness, c-d) as in a-b) but for the CSM2-lessmelt minus CSM2-LE difference, e-f) as in c-d) but for 2030-2049, g-h) as in c-d) but for 2050-2069

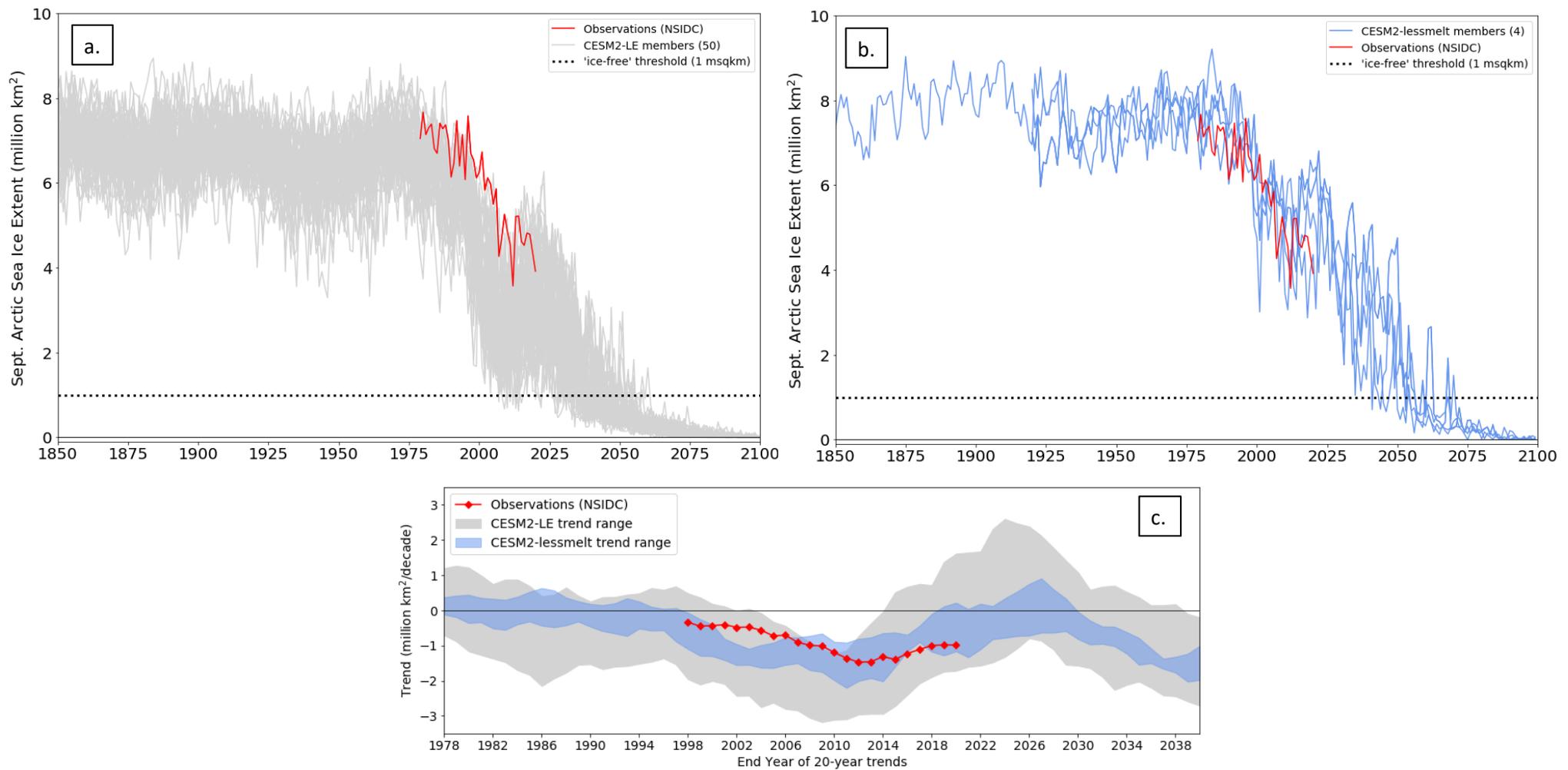


Figure 10. Arctic September sea ice extent transient evolution: a) CSM2-LE 1850-2100 timeseries, b) CSM2-lessmelt 1850-2100 timeseries, c) 20-year trends in CSM2-LE, CSM2-lessmelt, and observations with end years of 1999-2049. Observations are from NSIDC sea ice index (Fetterer et al. 2017) with area pole-filling.

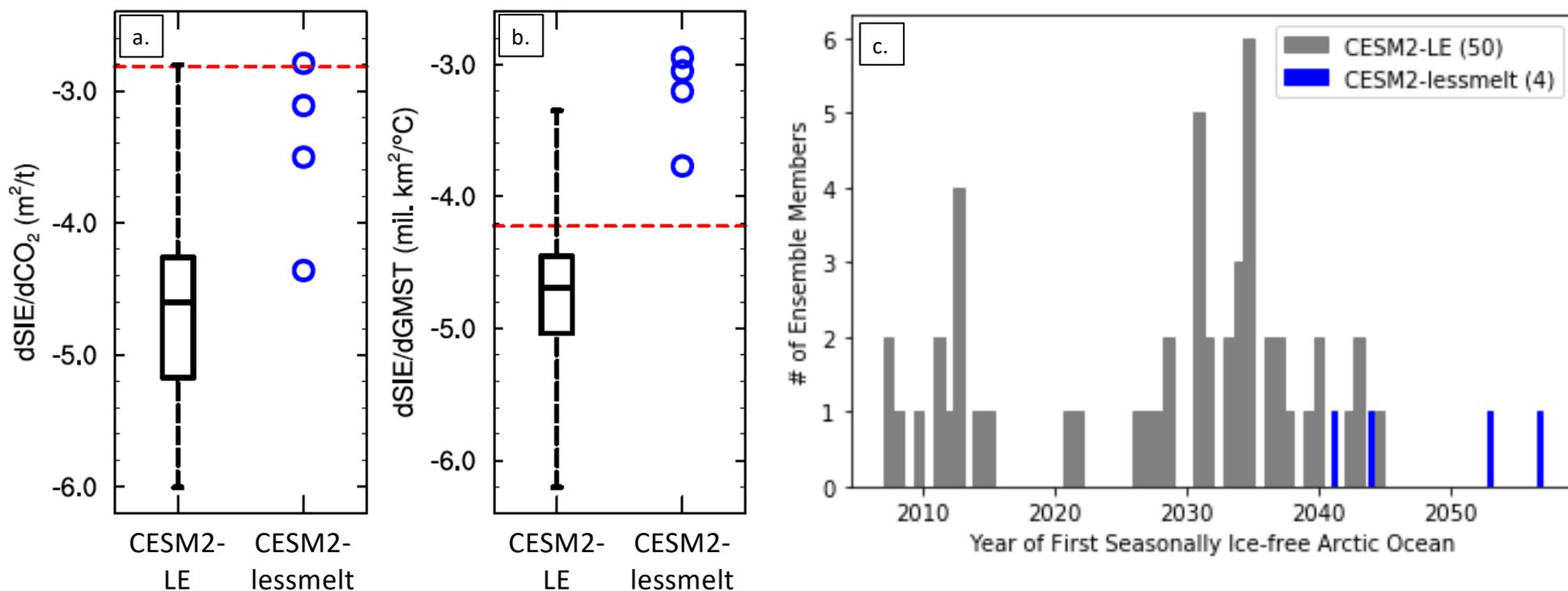


Figure 11. Arctic sea ice comparison metrics: a) Sea ice sensitivity defined as change in September sea ice extent per ton of cumulative atmospheric carbon dioxide emissions ($dSIE/dCO_2$), b) Sea ice sensitivity defined as change in September sea ice extent per degree global mean surface temperature ($dSIE/dGMST$), c) Year with first seasonally ice-free Arctic Ocean. Sea ice sensitivity calculations follow protocol and years (1979-2014) used for evaluation of CMIP6 models by the SIMIP Community (2020). Seasonally ice-free occurs when September sea ice extent first falls below 1 million sq. km.

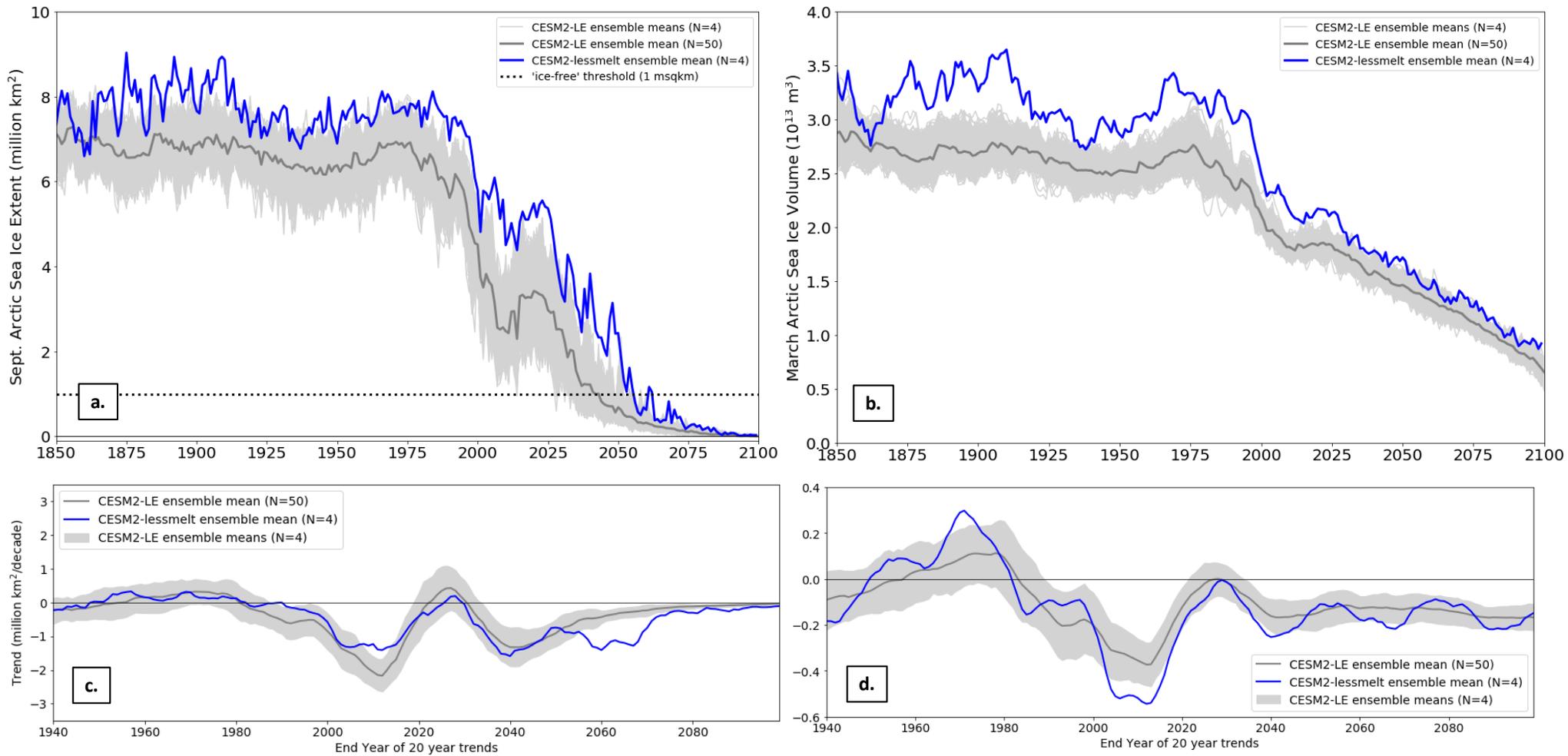


Figure 12. CESM2-LE and CESM2-lessmelt Arctic sea ice: a) September extent ensemble mean 1850-2100 timeseries, b) March volume ensemble mean 1850-2100 timeseries, c) September extent ensemble mean 20-year trends, d) March volume ensemble mean trends. Grey shading shows 95% confidence intervals on trends calculated by bootstrapping CESM2-LE ensemble means with 4 members 1,000 times.

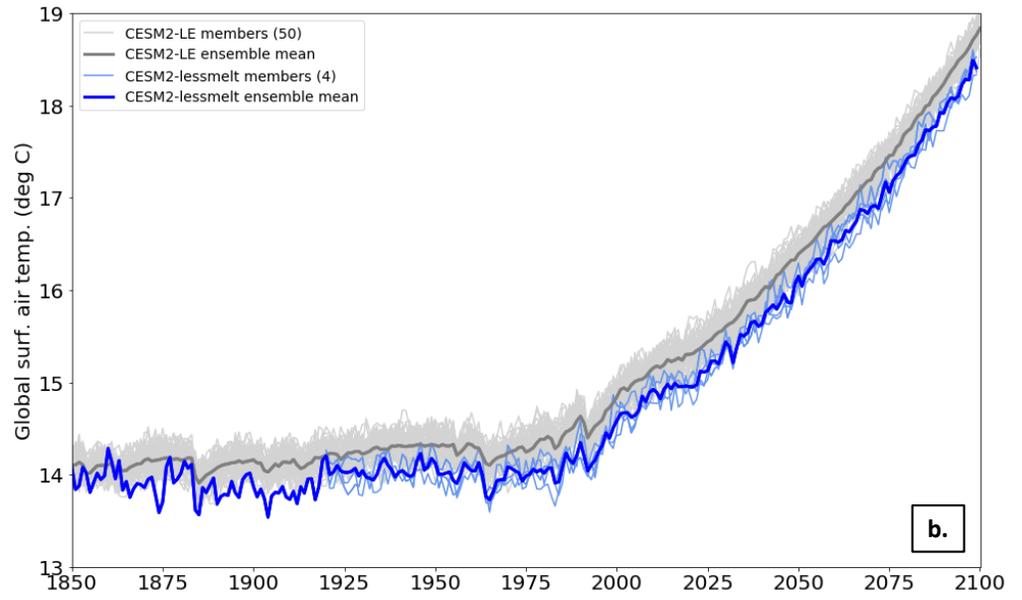
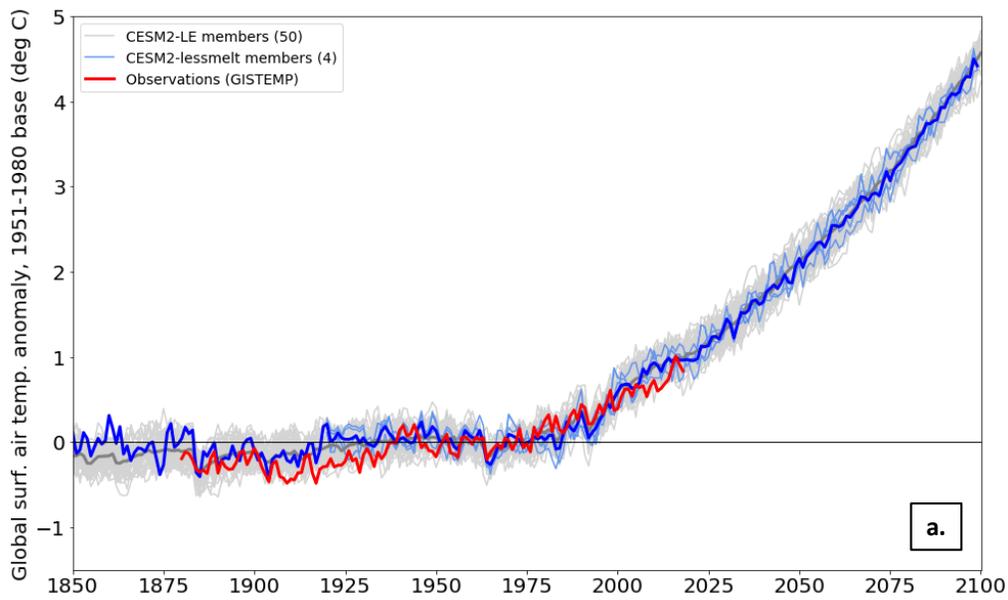


Figure 13. Transient evolution global annual mean surface air temperature in CESM2-LE and CESM2-lessmelt: a) anomaly from 1951-1980 with observations (GISTEMP, Hansen et al. 2010), b) absolute value

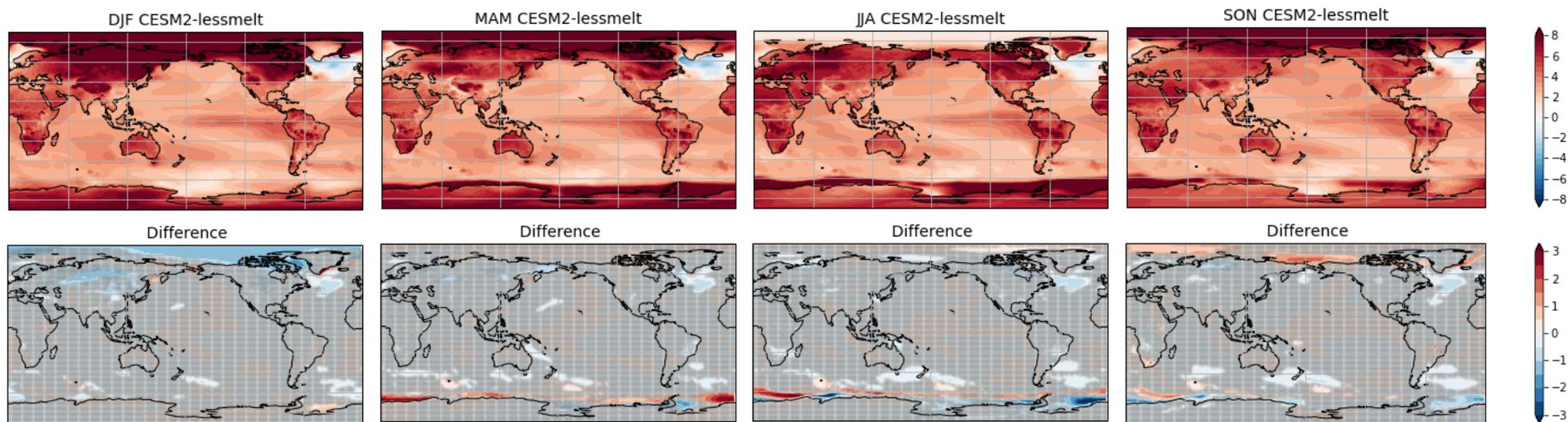


Figure 14. Ensemble mean surface temperature increase (2080-2099 minus 1920-1939) by season. Top row shows CESM2-lessmelt. Bottom row shows difference (CESM2-lessmelt minus CESM2-LE). Stippling indicates where differences between CESM2-lessmelt and CESM2-LE ensemble means are **not statistically significant.**

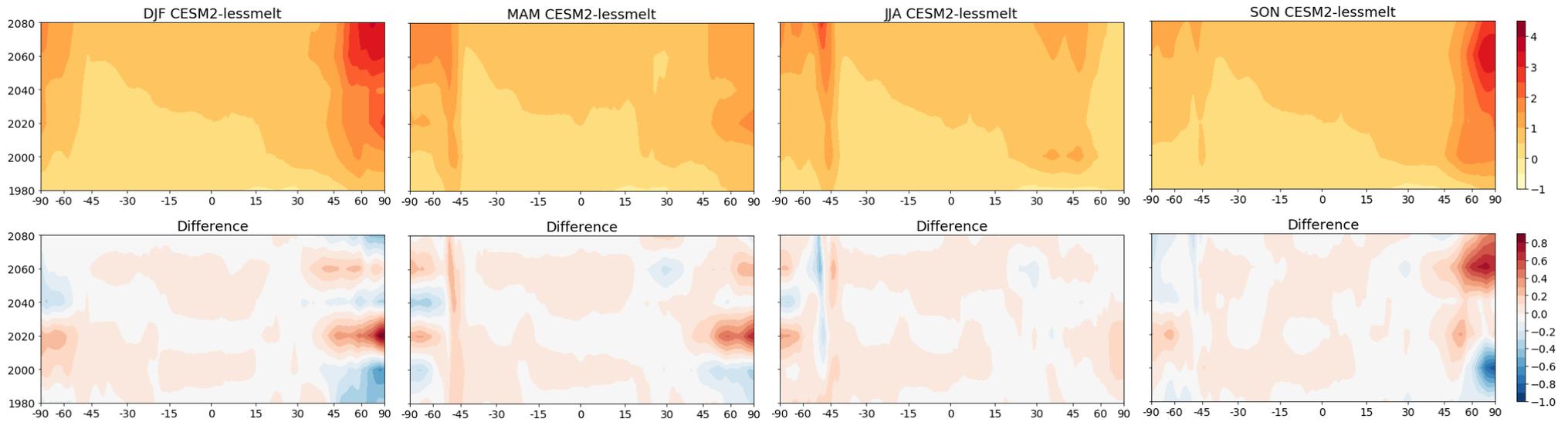


Figure 15. Zonal ensemble mean surface warming rates by season. Top row shows CESM2-lessmelt. Bottom row shows difference (CESM2-lessmelt minus CESM2-LE). Units of warming rate are K/20 years with the start year plotted on the vertical axis.