### **PLOS ONE**

# Publication cycle: A study of the Public Library of Science (PLoS) --Manuscript Draft--

| Manuscript Number:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | PONE-D-15-29777                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
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| Abstract:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Publications are the driving force in current age academia. However, publishing is a tedious process and can take a considerable amount of time. Previous research has barely investigated whether parts of the publication cycle (i.e., review and production process) can be predicted based on metadata available for all research papers. The predictive value of metadata was investigated in this study with three predictors: (i) the number of authors, (ii) the length of the manuscript, and (iii) the presence of competing interests. Additionally, these models inspect changes in the publication cycle throughout the years. Model results indicate that the review and production times cannot be predicted by the included metadata of research papers. Results also indicate review times have doubled throughout the last decade for PLoS journals, which are currently estimated between 150-250 days on average. Production times, however, have remained highly stable throughout the last decade around an estimated mean 50 days. The results of these analyses indicate that review- and production times cannot be predicted by metadata, given a certain year-specific mean. |
| Order of Authors:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Chris H.J. Hartgerink, MSc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
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| Question                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Response                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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PLOS journals require authors to make all data underlying the findings described in their manuscript fully available, without restriction and from the time of publication, with only rare exceptions to address legal and ethical concerns (see the PLOS Data Policy and FAQ for further details). When submitting a manuscript, authors must provide a Data Availability Statement that describes where the data underlying their manuscript can be found.

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July 7, 2015

Dear PLOS staff,

I hereby submit my manuscript 'Publication cycle: A study of the Public Library of Science (PLOS)' I would appreciate it if you could consider my work for publication in *PLoS ONE*. This is an original manuscript, and is not under consideration elsewhere. The main text of the manuscript is 1,556 words long and is accompanied by 2 figures, 2 tables, and 2 supplementary files.

This paper is the first, to my knowledge, to move beyond describing data on publication times across journals. More specifically, I model the time it takes for a submitted manuscript to be accepted and published based on metadata available for all research papers published in PLoS journals. The results indicate article metadata does not systematically predict the length of the review process, except for the year the paper was published in. Besides providing insight into the publication cycle, the article is also a new application of the data available in the PLoS API.

Please note I made all research files available on the Open Science Framework (OSF). The link to this OSF page is provided in the manuscript. Hence, it is likely reviewers will find out I am the author. Personally, I do not consider this problematic.

I look forward to your reply and hope you will find my study of publication time across PLoS journals intriguing for review.

Kind regards,

Chris H.J. Hartgerink

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# Publication cycle: A study of the Public Library of Science

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#### **Abstract**

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2 Publications are the driving force in current age academia. However, publishing is a tedious 3 process and can take a considerable amount of time. Previous research has barely investigated whether parts of the publication cycle (i.e., review and production process) can be predicted 4 5 based on metadata available for all research papers. The predictive value of metadata was 6 investigated in this study with three predictors: (i) the number of authors, (ii) the length of the manuscript, and (iii) the presence of competing interests. Additionally, these models inspect 7 8 changes in the publication cycle throughout the years. Model results indicate that the review 9 and production times cannot be predicted by the included metadata of research papers. Results 10 also indicate review times have doubled throughout the last decade for PLoS journals, which 11 are currently estimated between 150-250 days on average. Production times, however, have remained highly stable throughout the last decade around an estimated mean 50 days. The 12 13 results of these analyses indicate that review- and production times cannot be predicted by 14 metadata, given a certain year-specific mean. Keywords: publishing, peer-review, plos, metadata 15

Science communication is primarily based on publishing research results in research

2 papers. Anecdotally, authors feel that the publication cycle takes too long [1]. A better

3 understanding of the publication lag could provide solace when feelings of substantial delay

occur, where the main question is whether there are predictive factors of time taken from

5 submission to publication. This paper tries to model publication times for the Public Libary of

6 Science (PLoS) journals with metadata available for resesarch papers. The PLoS journals

7 include PLoS Medicine, PLoS Biology, PLoS ONE, PLoS Pathogens, PLoS Genetics, PLoS

8 Computational Biology, PLoS Neglected Tropical Diseases, and PLoS Clinical Trials (which

was later merged into PLoS Medicine).

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Previous research indicated that statistically nonsignificant results take longer to be published [2], review times have decreased [3], and that the amount of figures or tables does not predict publication time [4]. Other research into the academic publication cycle has focused on rejection rates of submitted manuscripts or the types of decisions made after the peer-review process [5]. These studies primarily relied on sampling research papers from journals, but with the rise of APIs and scrapers to mine the literature [6] such sampling is becoming redundant. In this paper, I analyze the entire population of PLoS research articles and split between predicting review time (i.e., time from submission through acceptance) and production time (i.e., time from acceptance through publication) in order to investigate whether publication time can be predicted with paper metadata.

#### Method

21 Article level data was collected from all PLoS journal research papers with v0.5 of the rplos

package [7] in R v3.2.0 [8]. The dataset was collected on July 4, 2015 and is available via

- 1 https://osf.io/53sn9/. Research papers without the following were excluded:
- 2 journal name, publication dates (i.e., submitted, accepted, and published), and problematic
- publication dates. Problematic publication dates include being published before accepted,
- 4 accepted before submitted, or accepted at the same time as submitted.
- 5 The full publication cycle was split into the review process and the production process. The
- 6 full publication cycle is the number of days between submission and publication, whereas the
- 7 review process is the number of days between submission and acceptance; the production
- 8 process is the number of days between acceptance and publication. The number of days for
- 9 each element of the publication cycle was modeled with a Poisson regression model. A
- 10 Poisson regression model is a linear regression model for count variables and assumes equal
- mean and variance (i.e., dispersion = 1). The data showed overdispersion (i.e., dispersion > 1)
- and quasi-likelihood estimation was used to correct for the violated dispersion assumption.
- Model predictors were year of publication, presence of competing interests, number of
- pages, and number of authors. The reasoning behind these predictors was as follows.
- 15 Competing interests could increase publication time when disputed by editors and authors are
- subsequently asked to explain. Number of pages could increase publication time due to longer
- 17 reviews in both time taken to complete review, the length of the review, and increased
- production efforts required. Number of authors could influence the time it takes for authors to
- reach consensus on the response letter and potential other edits during the publication process.
- 20 Squared predictors were included for number of pages and number of authors due to
- 21 non-linear relations in scatterplots with review- and production days. Additionally, the number
- of authors and the number of pages were mean centred to provide meaningful intercept
- 23 estimates.

- Considering that the data are the population of data for PLoS research papers, statistical
- 2 inference testing is not applied. Moreover, note that PLoS Clinical Trials was merged into
- 3 PLoS Medicine in 2007 and only started in 2006, which is why other years are not included in
- 4 estimates for this journal.

#### Results

### **Descriptive results**

- 7 The collected dataset includes information on 140,674 research papers. Across all journals, the
- 8 median publication cycle is 152 days, with the majority of this being the review process (i.e.,
- 9 median 111 days) and not the production process (i.e., median 38 days). Table Error!
- 10 **Reference source not found.** specifies these numbers per journal and indicates PLoS ONE
- has the fastest review process, whereas PLoS Medicine has the longest review process
- (median difference = 69). PLoS Clinical Trials had the longest production process, compared
- to PLoS ONE (median difference = 16). S1 Figure includes plots of observed median review-
- and production times per journal.

Table 1. Descriptive statistics per journal, with publication-, review-, and production time in median.

|                             | # Articles | Publication time | Review time | Production time |
|-----------------------------|------------|------------------|-------------|-----------------|
| ONE                         | 122,398    | 147              | 107         | 36              |
| Clinical Trials             | 44         | 180.5            | 125         | 52              |
| Genetics                    | 4,741      | 182              | 131         | 50              |
| Neglected Tropical Diseases | 2,999      | 183              | 133         | 45              |
| Pathogens                   | 3,992      | 183              | 139.5       | 43              |
| Biology                     | 2,015      | 190              | 141         | 46              |
| Computational Biology       | 3,423      | 199              | 148         | 48              |
| Medicine                    | 1,062      | 230.5            | 176         | 47              |
| Overall                     | 140,674    | 152              | 111         | 38              |

- These differences in the review- and production speed could be a consequence of increased
- efficiency or stricter publication criteria. PLoS ONE contains 122,398 papers and is
- 3 considered a megajournal (i.e., not field specific or selective in topic). On the other hand, the
- 4 other journals are more similar to traditional journals in their criteria for publication (e.g.,
- originality of research). PLoS Medicine, for example, contains 'only' 1,062 papers, indicating
- 6 a large disparity with PLoS ONE.
- 7 Correlations indicate that the total publication cycle is almost perfectly correlated with
- review time ( $\rho = .976$ ). This indicates that 95% of the variance in publication cycle is
- 9 explained by the review time and that the production process seems an additive random
- process that is not predicted by the time taken to get a paper accepted.

#### Aggregate model results

- Poisson model estimates for all journals together indicate that both review- and production
- time are only predicted by year. Coefficients in Table 2 indicate negligible predictive effects
- of number of authors, number of pages, and presence of competing interests (i.e.,  $b \le |.017|$ ).
- Dummy coefficients indicate that review time has increased, whereas production time has
- fluctuated around 50 days. Besides the effect of year, the results indicate review time is a
- 17 random process.

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Table 2: Table 2. Poisson regression model estimates for review- and production time.

|                                | Estimate (review) | Estimate (production) |
|--------------------------------|-------------------|-----------------------|
| Intercept                      | 4.18370           | 4.24677               |
| Authors (centred)              | 0.00176           | 0.00582               |
| Authors <sup>2</sup> (centred) | -0.00001          | -0.00001              |
| Pages (centred)                | -0.00084          | 0.00012               |
| Pages <sup>2</sup> (centred)   | -0.00010          | -0.00011              |
| Conflict of interest           | -0.01713          | 0.00551               |
| 2004                           | 0.68758           | 0.10155               |

| 2005 | 0.74031 | -0.12891 |
|------|---------|----------|
| 2006 | 0.69579 | -0.10830 |
| 2007 | 0.55104 | -0.45996 |
| 2008 | 0.62225 | -0.56911 |
| 2009 | 0.59525 | -0.56514 |
| 2010 | 0.66045 | -0.66266 |
| 2011 | 0.65463 | -0.56665 |
| 2012 | 0.73687 | -0.47161 |
| 2013 | 0.73887 | -0.36991 |
| 2014 | 0.77532 | -0.53661 |
| 2015 | 0.84229 | -0.29643 |

The estimated mean review- and production time are depicted in Figure 1. For review time,

- the estimates are increasing in a non-linear fashion, with a short decreasing trend 2006 and
- 3 2008. The estimated mean review time has climbed to approximately 150 days since 2003.
- 4 Estimated mean production time fluctuates around 50 days. The journal specific model results
- 5 are described next.

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Fig. 1. Mean estimated review- (top) and production (bottom) time in days across all PLoS

8 journals, including loess curves.

#### Journal model results

When the results are specified per journal, model estimates are similar to the aggregate results

described previously. Most journal specific models included no meaningful effect for number

of authors, number of pages, or presence of competing interests on either the review- or

production time. Only for PLoS Clinical Trials and PLoS Biology the presence of competing

interests had a noteworthy effect on review- and production time (b = .112 and b = .106,

respectively). This indicates that competing interests increase review- and production time by

a factor of approximately 1.1 for Clinical Trials and PLoS Biology. All individual coefficients

- per journal for both review- and production time are available in S2 File. Figure 2 plots the
- 2 mean estimated review- and production times for each journal.

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- Fig. 2. Mean estimated review- (top) and production (bottom) time in days per PLoS journals,
- 5 including loess curves (top) and regression lines (bottom).

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- Substantial variability is observed in estimated mean review times across journals, but all
- 8 journals show an increasing time taken to complete the review process. In accordance with the
- 9 descriptive statistics given earlier, PLoS Medicine has the longest estimated mean review
- time, whereas PLoS ONE is the fastest. As of 2015, the review process takes between 150-250
- days on average and is less variable across journals than in the preceding years.
- The estimated mean production times are highly consistent across journals and show less
- fluctuation than the aggregate results. The estimated mean review time is approximately 50
- days across journals, across years.

#### **Discussion**

- The results of this population level investigation of the PLoS publication cycle indicates that
- 17 review times have doubled to 150-250 days in the last decade, production time has remained
- relatively stable at 50 days, and that the publication cycle is not substantially predicted by
- article metadata. The lack of predictive value of length of a manuscript, number of authors, or
- 20 the presence of competing interests indicates that the publication cycle might be more a
- 21 random- than a structured process.
- It is noteworthy that, with the development of new editorial systems, the production times
- for research papers have remained stable in the last decade. Only recently, as of January 1

- 2015, PLoS has introduced a new set of manuscript guidelines to improve automatization of
- the production process. Note that the results in this paper show no systematic effect of this, or
- any previous, adjustment to the production process. The current system might provide this
- 4 effect in the (near) future, but has not yet.
- 5 The increase in review time is substantial and begs the question why this review time has
- 6 doubled. The increase in review times could be due to any amount of factors, ranging from
- 7 increased difficulty of finding reviewers through authors taking longer to reply to reviewer
- 8 comments. That review times are not predicted by the included metadata, however, eliminates
- 9 these properties of papers as explanatory factors for increased review times. If, for example,
- the length of the manuscript increased throughout the decade and this explained the increased
- review time, the effect of year would disappear after controlling for manuscript length. This
- 12 clearly was not the case.
- In sum, authors are left guessing how long it takes for their paper to be published, where
- this paper indicates that the duration of the publication cycle might be random in some sense.
- More specifically, publication time seems to only be subject to trends throughout the years
- and not paper specific characteristics. The trends in the number of review days seem
- particularly strong, where the doubling of the review time is concerning.

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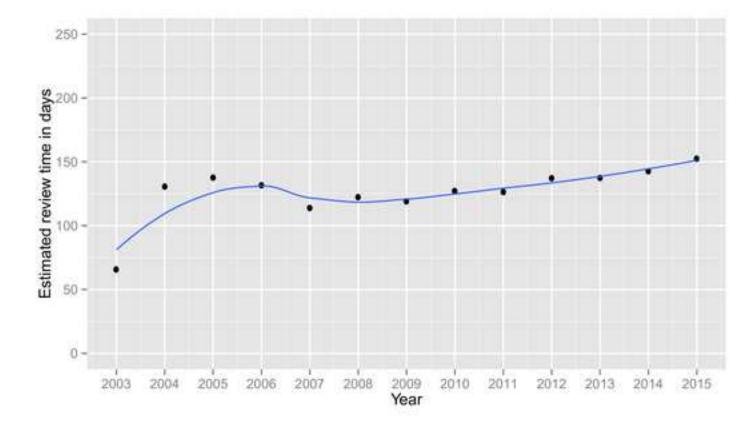
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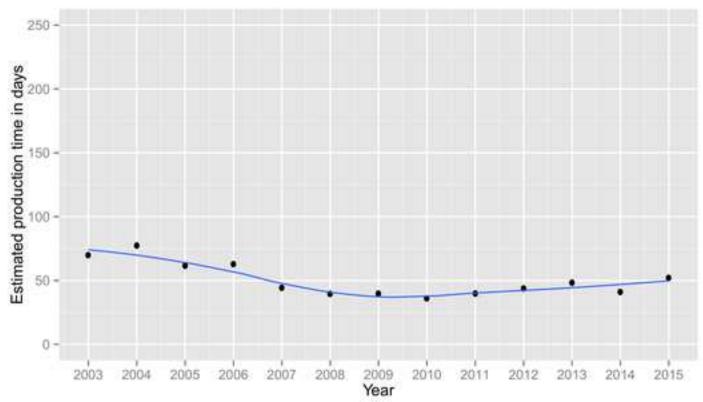
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### **Supporting Information**

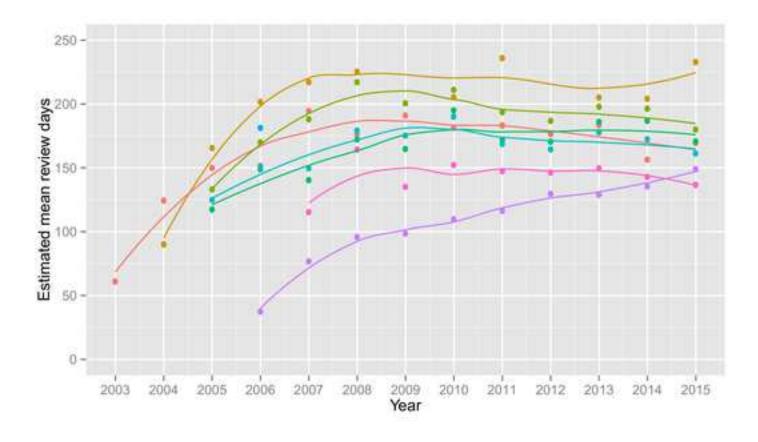
- 20 S1 Figure. Observed median review- and production time per journal.
- 21 S2 File. Poisson model estimates per journal for both review- and production time.

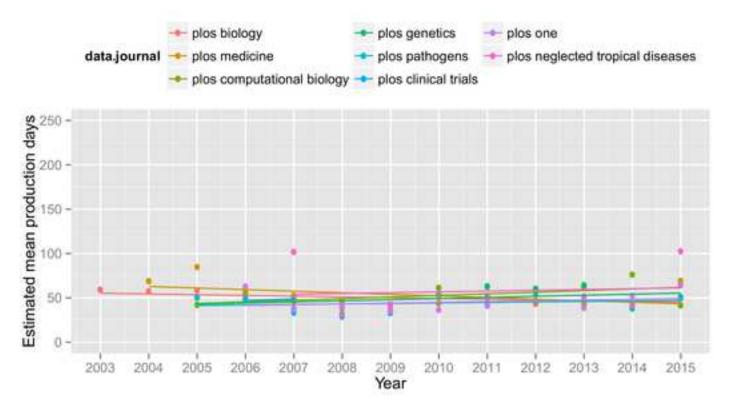
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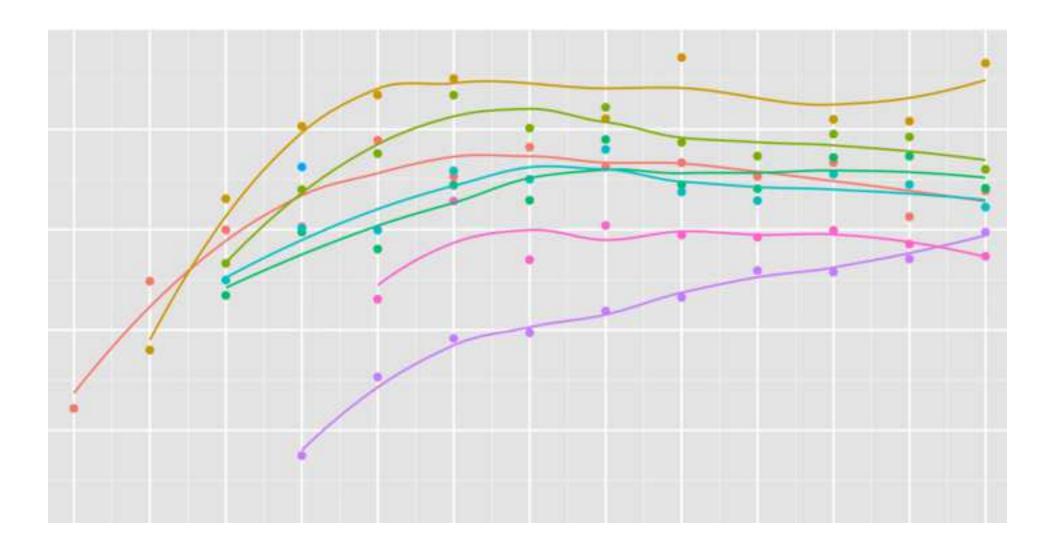
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S1 Figure
Click here to download Supporting Information: S1 Observed median review and production times.pdf

S2 File Click here to download Supporting Information: S2 Poisson model estimates per journal.xlsx



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