Interactions between Lightning and Ship Traffic

Michael Jay Peterson¹

¹ISR-2, Los Alamos National Laboratory

March 13, 2023

Abstract

It is important to understand connections between society and the natural environment for anticipating environmental hazards and anthropogenic effects on the broader Earth system. In this study, we conduct a detailed exploration of the interactions between oceanic thunderstorms and maritime traffic. Shipping traffic produces aerosols that perturb the otherwise "clean" ocean environment. Prior work proposed these aerosol effects as the cause of increased lightning activity over certain shipping lanes. However, introducing tall well-grounded objects into a high electric field environment might also facilitate lightning discharges, as we see with upward lightning over land. We consider both possibilities in this work.

Our analyses of the thunderstorms responsible for the enhanced lightning activity over the shipping lane with the clearest anthropogenic signal indicate that the anthropogenic signature results from an increased frequency of lightning-producing storms. We did not find evidence of variations in the microphysical parameters describing the storms over shipping lanes and other nearby oceanic regions that might suggest aerosol effects. In contrast, matching lightning stroke data with ship transponder events in oceanic regions where public data are available reveals a strong signal from direct ship interactions with lightning that results in a 1-2 orders of magnitude increase in stroke frequency at current ship locations compared to other nearby regions. These results highlight the central role of direct ship interactions in explaining lightning lightning enhancements over shipping lanes.

We also document the frequency of these direct lightning interactions across various categories of vessels and on individual ships present in the public data.

1	Interactions between Lightning and Ship Traffic
2	
3	Michael Peterson ¹
4	¹ ISR-2, Los Alamos National Laboratory, Los Alamos, New Mexico
5	
6	Corresponding author: Michael Peterson (mpeterson@lanl.gov)
7	
8	
9	Key Points:
10 11	• Lightning enhancements over shipping lanes are accompanied by increased thunderstorm activity without clear evidence of storm invigoration
12 13	• Lightning over maritime routes preferentially occurs close to current ship positions compared to other nearby locations surrounding the ship
14 15	• Direct ship interactions, aerosol effects, and local weather patterns are all important for understanding lightning enhancements
16 17	

18 Abstract

19 It is important to understand connections between society and the natural environment for anticipating environmental hazards and anthropogenic effects on the broader Earth system. In 20 this study, we conduct a detailed exploration of the interactions between oceanic thunderstorms 21 and maritime traffic. Shipping traffic produces aerosols that perturb the otherwise "clean" ocean 22 23 environment. Prior work proposed these aerosol effects as the cause of increased lightning activity over certain shipping lanes. However, introducing tall well-grounded objects into a high 24 electric field environment might also facilitate lightning discharges, as we see with upward 25 lightning over land. We consider both possibilities in this work. 26

27 Our analyses of the thunderstorms responsible for the enhanced lightning activity over the shipping lane with the clearest anthropogenic signal indicate that the anthropogenic signature 28 results from an increased frequency of lightning-producing storms. We did not find evidence of 29 variations in the microphysical parameters describing the storms over shipping lanes and other 30 nearby oceanic regions that might suggest aerosol effects. In contrast, matching lightning stroke 31 data with ship transponder events in oceanic regions where public data are available reveals a 32 strong signal from direct ship interactions with lightning that results in a 1-2 orders of magnitude 33 increase in stroke frequency at current ship locations compared to other nearby regions. These 34 results highlight the central role of direct ship interactions in explaining lightning enhancements 35 over shipping lanes. 36

We also document the frequency of these direct lightning interactions across various categories of vessels and on individual ships present in the public data.

39

40 Plain Language Summary

It was previously shown that there is more lightning over certain shipping lanes compared to the surrounding oceans. These enhancements were attributed to pollution from shipping traffic making it easier for thunderstorms to form. However, tall objects in high electric field environments are also known to initiate lightning. An alternate explanation for the lightning enhancement is that tall well-grounded ships may be facilitating lightning production – particularly in storms that are near the tipping point between remaining Electrified Shower Clouds (ESCs) and becoming thunderstorms.

Our analyses indicate that there are no clear differences in the thunderstorms responsible for the lightning enhancement that would suggest aerosol effects. There are simply more thunderstorms over the shipping lane compared to the nearby oceanic regions, supporting the tipping point explanation. Moreover, directly matching lightning strokes with ship positions provides clear evidence of lightning enhancements specifically at the ship location from direct interactions with the vessel.

54

55 **1 Introduction**

Interactions between ships and atmospheric electricity have been observed for thousands
of years. Some of the first recorded descriptions of corona discharges (Aleksandrov et al., 2002;
Arcanjo et al., 2021) are found in sources from antiquity. In his A.D. 77 work "Historia
Naturalis," Pliny the Elder described the phenomenon, which he attributes to the Roman gods

60 Castor and Pollox: "I have seen, during the night-watches of the soldiers, a luminous appearance,

- 61 like a star, attached to the javelins on the ramparts. They also settle on the yardarms and other
- 62 parts of ships while sailing, producing a kind of vocal sound, like that of birds flitting about.
- When they occur singly they are mischievous, so as even to sink the vessels, and if they strike on the lower part of the keel, setting them on fire" (Plinius, 77). This phenomenon would only later
- come to be known by its modern common name of St Elmo's fire named for St. Erasmus, who
- 66 like Castor and Pollox, was credited with protecting sailors from harm and studied using
- modern measurements and scientific methods (Whipple and Scrase, 1936; Cockbain, 1945;
- 68 Lundquist, 1985; Wescott 1996).

While many of the observations in Historia Naturalis have not held up to millennia of 69 scientific scrutiny, the key observables in Pliny's description – occurring on pointed objects, the 70 71 characteristic hissing sound, and the concerns over atmospheric electrical phenomena igniting fires on ships at sea - are consistent with our modern understanding of corona discharges and the 72 lightning strikes sometimes associated with them (Aleksandrov et al., 2001; Bazelyan et al., 73 2008; Wu et al., 2017). Later histories are filled with reports of lightning strikes on ships at sea. 74 Slow sailing speeds and being the only tall object around for many kilometers leaves ships 75 particularly exposed when lightning hazards manifest. Reports of the damage sustained by 76 sailing ships in logs and journal articles (Priestley, 1775; Lightning, 1840; Ship destroyed, 1846) 77 78 range from shrapnel injuries and repairable damage to the mast to compass failures hindering navigation, and even fires resulting in the complete loss of the vessel. 79

80 Following Benjamin Franklin's lightning experiments, his British colleague Dr. William Watson petitioned the Royal Navy to install lightning protection systems on their ships. The 81 proposed early systems consisted of a brass wire connecting the top of the mast to the sea 82 surface. The Royal Navy ultimately favored a different design whose complexity and difficulty 83 to deploy (it could not be used permanently as it interfered with the riggings) limited its success 84 (Bernstein and Reynolds, 1978). Maritime lightning protection systems have improved over the 85 86 centuries, but still conform to the same basic principle of providing conductive paths from potential attachment points to ground that should not result in damage to the vessel or harm to its 87 occupants. 88

89 While we know that lightning impacts oceangoing vessels, it is not clear how often this 90 occurs, or whether certain types of vessels are more susceptible to lightning strikes than others. 91 Moreover, historic levels of global shipping activity in recent decades are expected to cause 92 anthropogenic changes to the otherwise clean ocean environments that are critical for the Earth 93 system. The two major anthropogenic effects that have been proposed are aerosol effects on 94 thunderstorm development from burning fuel and direct lightning interactions with ships, though 95 the former has been prioritized over the latter in prior studies.

96 Effects of the aerosols from ship exhaust on the ocean environment have been noted since the dawn of the satellite era. "Anomalous cloud lines" were reported over the ocean in early 97 satellite imagery from the Television InfraRed Observation Satellite (TIROS-VII) that extended 98 99 500 km in length and 25 km in width (Conover, 1966). As these clouds were linked to shipping traffic, they came to be known as "ship track" clouds. Oceanic environments are described as 100 "clean" for having small numbers of aerosols compared to land. These aerosols act as cloud 101 condensation nuclei (CCNs), and their scarcity results in low maritime clouds containing smaller 102 numbers of large precipitation droplets (O'Dowd et al., 1997; Han et al. 1998; Capaldo et al., 103

104 1999; Schreier et al., 2007). Pollution from shipping exhaust introduces aerosols into these clean
 regions, facilitating cloud growth to produce the ship track signature along the sea lanes.

Thornton et al. (2017) found a similar ship track signature in two major shipping lanes 106 within the global lightning data provided by the World Wide Lightning Location Network 107 (WWLLN). They attribute the enhanced oceanic lightning activity at the boundary between the 108 109 Bay of Bengal and the Indian ocean and in the South China Sea between Vietnam and Malaysia solely to aerosol effects from shipping traffic through the Strait of Malacca and the port of 110 Singapore. They suggest that shipping aerosols are not only responsible for low (< 2 km) ship 111 track clouds, but also invigorate deep convection and increase electrification over the shipping 112 113 lanes.

At the same time, the mere presence of a grounded tall ship on an otherwise flat ocean 114 surface might be sufficient to cause a notable increase in the lightning stroke rates measured by 115 WWLLN. Lightning strikes on tall objects are relatively common over land (Aleksandrov et al., 116 2005) and can either be self-triggered or triggered by an ongoing lightning flash (Wang and 117 Takagi, 2012; Warner et al., 2014; Montoya et al., 2014; Schumann et a., 2019). They are 118 particularly common in the electrified stratiform regions of Mesoscale Convective Systems 119 (MCSs) where the lack of a vigorous updraft allows charged hydrometeors to accumulate in 120 horizontally-extensive vertically stacked layers over long periods of time between successive 121 flashes (Stolzenburg et al., 1994; Carey et al., 2005). These meteorological conditions are also 122 present in maritime storms and explain why oceanic thunderstorms typically generate stronger 123 124 conduction currents than land storms despite producing lower flash rates (Mach et al., 2011). Introducing a preferential path to ground into such an environment might facilitate the transition 125 of borderline Electrified Shower Clouds (ESCs) - that have strong electric fields but have not 126 produced lightning - into thunderstorms that are detectable by WWLLN. 127

In this study, we take a detailed look at the data that supports either of these possible anthropogenic impacts of naval traffic on the Earth system. We then use the data to comment on how each effect might explain the observed lightning trends. Finally, we elaborate on the vessels that have frequent lightning interactions for the first time.

132

133 **2 Data and Methods**

We employ a diverse collection of lightning, shipping, and thunderstorm microphysical observations in this study that do not share a common domain. Our selection of these disparate datasets is motivated by two factors: (1) independent evaluation of the same research-grade datasets from prior studies for reproducibility and (2) prioritizing the use of public data over commercial datasets that are generally not available for research purposes. In each analysis, we will make use of the longest possible data record given the mis-matched domains of the underlying observations to generate robust statistical descriptions of the lightning and thunderstorm trends. The particularities of these datasets, including their spatial and temporaldomains, are documented in the following sections.

143 2.1 The World Wide Lightning Location Network

For consistency with past studies, we will use WWLLN stroke data to examine the
lightning that occurs over shipping lanes. More accurate datasets exist (i.e, Thomas et al., 2004),
but these measurements lack the global coverage and long historical record of WWLLN. If

WWLLN detects a trend related to ship interactions, then it would only be more accurately

resolved using a different lightning network.

149 WWLLN uses Very Low Frequency (VLF: 3-30 kHz) band radio receivers scattered across the globe to detect and geolocate lightning (Lay et al., 2004; Rodger et al., 2006; Abarca 150 et al., 2010; Hutchins et al., 2012; Jacobson et al., 2006). The VLF pulse emitted by a lightning 151 stroke propagates from the source to the sensor within the Earth-ionosphere waveguide over 152 potentially thousands of kilometers with reduced attenuation compared to higher frequencies. 153 Removing the line-of-sight detection requirement allows systems like WWLLN to achieve 154 global coverage at minimal cost using only dozens of well-sited sensors (Jacobson et al., 2006). 155 When at least five stations detect the same lightning signal (or, "sferic"), the Time of Group 156 Arrival (TOGA) is extracted from the waveform data at each station, and this information is used 157 to calculate the location of the lightning source on the Earth. 158

The accuracy of WWLLN geolocation solutions has been quantified relative to multiple 159 lightning detection networks, and has changed over time as the WWLLN network grew and its 160 location algorithm was refined. The current average location accuracy is thought to be within 10 161 km of the source based on comparisons with the National Lightning Detection Network (NLDN: 162 Cummins et al., 2009), which includes a < 5 km systematic offset in the detections over the 163 continental United States (Abarca et al., 2010). As this is a climatological average, WWLLN 164 geolocation performance may be better or worse for the strokes from an individual thunderstorm 165 or different locations on Earth. Our results in Section 3 suggest that the recent (since 2019) 166 WWLLN location accuracy for oceanic strokes is < 2 km with no systematic offset. 167

The WWLLN data product only reports the locations and times of individual strokes. 168 Unlike other lightning sensors, WWLLN does not attempt to cluster these strokes into features 169 representing distinct lightning flashes or thunderstorms. Therefore, we create our own WWLLN 170 "thunderstorm area" dataset based on the approach used by NASA's Lightning Imaging Sensor 171 (LIS: Christian, 1994; Mach et al., 2007). WWLLN strokes are assigned to the same 172 thunderstorm if they occur in close spatiotemporal proximity to one another. We loop through 173 the WWLLN strokes, identifying events that satisfy a 3-termed Weighted Euclidian Distance 174 (WED) model consisting of longitude (X), latitude (Y), and time (T) with thresholds of 0.5 175 degrees in X and Y and 30 minutes in T. These thresholds are largely arbitrary beyond exceeding 176 typical time and spatial scales for the convective thunderstorms detected by WWLLN. Due to the 177 large volume of WWLLN strokes, we also do not convert geographic coordinates into distances 178 179 to avoid the increased computational expense. Note that these thresholds are far more generous

than LIS, which used a 16.5 km distance threshold and an implicit time threshold equal to the
 minute-scale view time of the instrument while it was over a given storm.

182 2.2 Tropical Rainfall Measuring Mission (TRMM) Data

We will compare our WWLLN thunderstorm area feature statistics in the Bay of Bengal 183 region with Precipitation Radar (PR: Kozu et al., 2001; Kummerow et al., 1998) profiles and 184 storm feature statistics from the Tropical Rainfall Measuring Mission (TRMM) satellite. TRMM 185 provided snapshots of global storms from between 1998 and 2015, though we avoid using the 186 2015 data due to orbital decay. The PR recorded three-dimensional reflectivity data over a 215 187 km swath with pixels (at nadir) spanning 4.3 km in the horizontal direction and 0.25 km in the 188 vertical. The PR swath width and horizontal pixel size increased slightly after the August 2001 189 190 satellite boost to a higher orbit to conserve fuel and prolong the mission (Kozu et al., 2001).

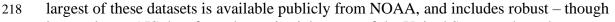
The PR reflectivity profile data used in Thornton et al., (2017) are one of the simplest derived PR data products. Raining pixels are identified in the PR swath data, and then the vertical reflectivity data are extracted from each of these pixels. The key limitation of the profile data is that each profile does not represent an independent storm. A single storm spanning multiple pixels across the PR swath will contribute multiple profiles, and this can introduce bias from larger storms – particularly those that occur in regions with infrequent storm activity.

To counteract these biases, the PR data needs to be clustered into distinct storm features, similar to our WWLLN area thunderstorm features. TRMM Radar Precipitation Features (RPFs: Liu et al., 2008) cluster contiguous regions of raining pixels across the PR swath into features representing independent raining areas. Each feature additionally contains information gathered from the full suite of sensors on the TRMM satellite - the Visible and Infrared Scanner (VIRS), the TRMM Microwave Imager (TMI), the PR, and the LIS. We also evaluate RPF properties over the Bay of Bengal shipping lane.

204 2.3 Automatic Identification System (AIS) Data

Ship locations are provided by Automatic Identification System (AIS) transponders. The 205 206 AIS system reports information about each vessel, including its position, to coastal authorities and to nearby vessels for collision avoidance. The International Marine Organization (IMO) 207 requires all ships in certain classes of activity (i.e., all ships on international voyages in excess of 208 300 gross tonnes, all other cargo ships in excess of 500 gross tonnes, and all passenger ships) to 209 be fitted with an AIS transponder and for it to be active at all times (with certain exceptions) 210 (International Maritime Organization, 2019). It is important to note that activities where AIS use 211 is not mandated – including domestic shipping – account for a large volume of maritime activity 212 that may still contribute to lightning enhancements in the WWLLN data. 213

Global AIS databases are generated by commercial organizations who sell the data for profit. Event data are generally not provided by these companies for use in research. Acquiring the Bay of Bengal shipping data proved to be prohibitively costly for this study. However, regional AIS event datasets are also produced by individual nations' coastal authorities. The



219 incomplete – AIS data from the territorial waters of the United States and nearby oceans.

We acquired the NOAA Office for Coastal Management's complete AIS dataset from 220 (NOAA, 2022) from between 1/1/2019 and 12/31/2021, and matched the AIS events to WWLLN 221 strokes using generous thresholds to capture all lightning activity in the vicinity of maritime 222 traffic. For each WWLLN stroke, we searched the AIS database for all nearby ships that satisfied 223 a second WED model with an X and Y threshold of 0.5 degrees and a T threshold of 10 minutes. 224 225 We then recorded the number of nearby ships and the AIS information (i.e., identification information, position, length, heading, etc.) for the closest ship by distance. The differences in 226 position between the stroke and the closest ship will allow us to seek evidence for WWLLN 227 strokes occurring preferentially in close proximity to ships, while the ancillary AIS data provide 228 context on the types of vessels that are prone to close lightning encounters. 229

230 2.4 The Emissions Database for Global Atmospheric Research (EDGAR)

As with Thornton et al. (2017), we compare the WWLLN data with shipping sector 231 specific fine Particulate Matter (PM_{2.5}) emissions from the Emissions Database for Global 232 Atmospheric Research (EDGAR: Olivier et al., 1994). EDGAR calculates shipping emissions by 233 combining global AIS data with published information on ship emissions. The current version of 234 EDGAR (v6.1: Crippa et al. 2019) differs from prior versions by updating all activity data up to 235 the year 2018, and generating new shipping proxies and monthly profiles (Jalkanen et al., 2012; 236 Johansson et al., 2017), among other changes are not relevant to this study. We aggregate 237 shipping emissions from 2003 to 2018 in our EDGAR analyses. 238

239

240 **3 Results**

241 To improve our understanding of lightning interactions with marine traffic, we will first revisit the prominent WWLLN signal over the shipping lane in the Bay of Bengal. Section 3.1 242 provides additional context for the results in Thornton et al. 2017 by analyzing the thunderstorms 243 244 responsible for the lightning enhancements over the shipping lane - both using TRMM RPFs and WWLLN thunderstorm areas. We will then examine direct interactions between lightning and 245 ship traffic using WWLLN strokes in the vicinity of AIS events in the NOAA dataset. Section 246 3.2 documents the frequency of WWLLN / AIS matches to constrain the magnitude of lightning 247 enhancements that can be attributed to direct ship interactions. Section 3.3, then, documents the 248 vessels that have frequent interactions with lightning. 249

250 3.1 Enhanced Lightning Activity over the Bay of Bengal Shipping Lane

An important point of discussion from the original work on ship track clouds is that these clouds occur when the meteorological environment is already suitable for cloud production. The aerosol effects from ship exhaust modify cloud formation and growth, but we must recognize that complex atmospheric interactions external to aerosols are also key in their development. Similarly, we would not expect drastic lightning enhancements over all shipping lanes – only those with favorable conditions for thunderstorm development. We hypothesize that the most notable enhancements relative to the local background should occur in regions where local storms frequently approach the requirements for initiating lightning, and the presence of the

- shipping lane makes the difference between the storm remaining an ESC or becoming a
- thunderstorm. Note that this hypothesis does not consider the source of the lightning
- 261 enhancement. Thornton et al. (2017) attributed lightning enhancements solely to aerosol effects.
 262 We consider both aerosol effects and direct lightning interactions with the ship as potential
- We consider both aerosol effects and direct lightning interactions with the ship as potential sources. If our hypothesis is valid, then we will see a notable increase in thunderstorm activity
- 263 sources. If our hypothesis is valid, then we will see a notable increase in thunderstorm activity 264 over the shipping lanes that is not accompanied by a significant difference in thunderstorm
- 265 characteristics compared to nearby ocean regions.

Figure 1a uses the EDGAR shipping aerosol data from 2003 to 2018 to show the 266 locations of the major shipping lanes across the Earth. Shipping routes extend between the major 267 economic centers in the Americas, Europe, Africa, and Asia with the destinations, routes, and 268 timetables determined by the business pressures involved in minimizing cost. The organization 269 of global shipping infrastructure into specific origin and destination ports on each continent 270 makes shipping routes predictable - particularly in regions where terrain features limit the 271 number of efficient routes between ports, which can become problematic when unforeseen issues 272 arise (Forti et al., 2021). 273

The shipping lanes that extend across the Bay of Bengal and the South China Sea 274 275 examined by Thornton et al. (2017) produce a large amount of PM_{2.5} emissions over a narrow width because they are located along the most efficient routes for both shipping traffic passing 276 between Europe and Asia and petrochemical traffic moving from the Persian Gulf to Asia. In the 277 278 Bay of Bengal, this traffic is forced south by the Indian and Sri Lankan landmasses but must progress northward to enter the natural choke point of the Straits of Malacca. The terrain 279 constraints are removed in the South China Sea, allowing shipping traffic to spread out along the 280 most efficient routes to reach each destination port, broadening the PM_{2.5} emissions signal. 281

Global WWLLN strokes from 2005 to 2021 are shown in Figure 1b for comparison. Note that we have restricted the dynamic range of the color bar, as in Thornton et al. (2017). An unrestricted version of the plot is included as Supporting Information in Figure S1. Both segments of the shipping route show a pronounced increase in the local lightning frequency compared to adjacent oceanic regions whose peaks follow the maximum EDGAR PM_{2.5} signature in Figure 1a, as reported by Thornton et al., (2017).

Before we zoom in to the Bay of Bengal shipping lane in subsequent analyses, it is 288 289 important to appreciate the unique nature of this particular shipping route. The Bay of Bengal and the South China Sea are the only two regions in Figure 1 that exhibit an obvious signature of 290 enhanced lightning over shipping lanes that cannot be otherwise accounted for via natural 291 environmental effects. For example, there are large numbers of WWLLN strokes over the 292 Atlantic Ocean east of the continental United States, and it is unclear whether there is an 293 enhancement from the large volume of maritime traffic in this region beyond the natural 294 295 contribution from the Gulf Stream. Moreover, the adjacent western segment of the shipping route between Europe and Asia that extends through the Red Sea, the Mediterranean Sea, and around 296

the European landmass to ports in the North Sea has comparable EDGAR shipping emissions but
 no lightning enhancement visible in the WWLLN data.

The two shipping lanes in Asia discussed in Thornton et al. (2017) are unique, but it is 299 unclear in the WWLLN stroke data whether their enhanced lightning signatures result from 300 higher flash rates (indicating enhanced convective intensity) or a higher frequency of borderline 301 ESCs over the shipping route becoming thunderstorms. To evaluate these possibilities, we turn to 302 our WWLLN thunderstorm area data over the Bay of Bengal. Figure 2 shows the total EDGAR 303 PM_{2.5} emissions region (Figure 2a) along with the WWLLN thunderstorm area frequency (Figure 304 2b), the mean thunderstorm propagation distance (Figure 2c), and the mean number of WWLLN 305 strokes per storm (Figure 2d). The region is divided into longitude bins, and the average 306 amplitude of each parameter by latitude within the bin is overlaid with a solid white line plot. 307 The broad domain in Figure 1a obscures much of the fine structure in the EDGAR emissions 308 data. There are two peaks along the east-west shipping lane in Figure 2a (a primary northern 309 peak and a weaker southern peak), as well as less-frequent and less-constrained maritime traffic 310 throughout the region. The most prominent feature outside of the east-west shipping lane is the 311 merging southwest-northeast route on the right side of the map from ships sailing around South 312 Africa to reach the Straits of Malacca. 313

The enhanced lightning signature over the primary east-west shipping lane is also present 314 in the thunderstorm area rates (Figure 2b). The peak (dashed) and 10%-10% latitude extent 315 (dotted) of the EDGAR signal across longitude bins are fit to a linear model and overlaid as 316 317 white lines in Figure 2b-d. The peak of the enhancement in thunderstorm frequency follows the EDGAR emissions peak along the shipping lane to the northeast, confirming the connection 318 noted by Thornton et al. (2017). However, the attributes of WWLLN areas over the shipping lane 319 do not appear to be distinct from thunderstorms in the nearby clean ocean regions. The mean 320 thunderstorm propagation distances in Figure 2c are nearly identical to storms immediately to the 321 south, and the only clear enhancements are far to the northwest over the Bay of Bengal. For all 322 mapped regions, storms typically form and dissipate in the same approximate region – traveling 323 over a distance that is smaller than the width of the ship track. The thunderstorm stroke rate data 324 in Figure 2d, meanwhile, are dominated by random noise from a small sample size with no 325 evidence of enhancement near the shipping lane. 326

The TRMM RPF characteristics likewise do not show evidence of notable enhancements 327 over the shipping lane in the Bay of Bengal. Figure 3 plots four representative parameters in the 328 same format as Figure 2: the unique RPF count (Figure 3a), the mean RPF minimum infrared 329 brightness temperature (Figure 3b), the mean RPF passive microwave minimum 37 GHz 330 Polarization Corrected Temperature (PCT) (Figure 3c), and the mean RPF PR echo top height 331 (Figure 3). As in Thornton et al. (2017), we only show data from the winter months that have the 332 strongest WWLLN signatures. The same plot for the full year is included as Figure S2. During 333 this season, convection is only prevalent across the southern extent of the region with higher RPF 334 rates and taller / more vigorous storms. The ship track is located near the gradient between 335 frequent deep convection in the south and infrequent shallow convection in the north, and also 336 passes close to apparent terrain effects in the east. The RPF minimum 37 GHz PCTs was 337 selected because it showed the most complex behavior of available RPF parameters with a local 338 minimum parallel to the ship track. However, its width is greater than the WWLLN signature 339 340 and the minimum is two degrees further north than the EDGAR data. The poor match between

these signatures makes it unlikely to be caused by the shipping lane. Instead, it emphasizes that

local variations exist in this region beyond the absence / presence of ship traffic that need to beconsidered.

These TRMM results differ from the analyses presented in Thornton et al. (2017) because the past work did not account for these natural variations. Even the WWLLN signature in Figure 2b is inconsistent from west to east along the shipping lane. The eastern longitude bins closer to Sumatra and in the vicinity of the Andaman and Nicobar Islands have a broader WWLLN enhancement due to contributions from local terrain interactions. Meanwhile, the seasonal migration of the Intra Tropical Convergence Zone (ITCZ) and the onshore / offshore phases of the Indian monsoon introduce north-south variations that are nearly parallel to the ship track.

351 Using PR profile data to compare the winter only to the full year further emphasizes these natural variations in the data. Figure 4 shows vertical TRMM PR profiles in each latitude bin 352 across the domain mapped in Figure 2. Mean reflectivity profiles for all raining pixels are 353 computed separately for February and August in Figure 4a,c, and conditional reflectivity profiles 354 355 (average of all profiles with PR reflectivity at each altitude) are computed in Figure 4b,d. These two months correspond to extremes of the seasonal cycle for deep convection in the region. In 356 February, the tallest radar profiles in the region reach their southernmost extent directly over the 357 shipping lane. As the year progresses, intense convection migrates northward until it reaches its 358 northernmost extent in August. This leaves the shipping lane at a local minimum in the PR 359 profile data. The 0.5 - 1.0 dBZ difference at high altitudes in Thornton et al. (2017) may be due 360 to the shipping lane being co-located with the extremes of the seasonal cycle, unrelated to the 361 shipping traffic through the region. 362

Moreover, the variability in the mean reflectivity profiles in Figure 4 demonstrates why 363 relying on the PR reflectivity profile data is problematic: biases from individual large 364 thunderstorms. Figure 5 uses the RPF pixel counts over the domain in Figure 2 to quantify the 365 severity of this problem. For all storm types in the Bay of Bengal, 35% of RPFs contain a single 366 raining pixel and would produce equivalent results to the PR profile approach. However, that 367 fraction falls to 27% for features that extend to the altitudes where Thornton et al. (2017) noted 368 enhanced reflectivities over the shipping lane (> 7 km), and to only a few percent for 369 thunderstorms. Meanwhile, the median RPF raining PR pixel counts in the latter two categories 370 are around 10 and 100, respectively, and the largest RPFs in the region can individually 371 encompass 10,000 raining pixels. 372

Our TRMM and WWLLN analyses support the idea that the lightning enhancement over the Bay of Bengal shipping lane results from shipping traffic facilitating lightning initiation. However, the enhancement does not appear to be accompanied by a clear modification to the intensity or microphysical properties of storms over the shipping lane that is expected in the aerosol hypothesis put forward by Thornton et al. (2017).

378 3.2 Lightning Enhancements Near Maritime Traffic from Direct Ship Interactions

Thornton et al. (2017) argued that aerosols, rather than direct lightning interactions with ships, are responsible for the enhanced lightning activity over shipping lanes because the width of the WWLLN signature in the Bay of Bengal was greater than the width of the shipping lane in the EDGAR data. Lightning strikes on ships must occur at the current location of the ship. At the same time, there is no such restriction on lightning enhancements from aerosols. The ship exhaust will be advected downwind where it may modify convective processes at variable distances and directions from the emissions source. As the WWLLN thunderstorm areas hardly propagate in most cases (Figure 2c), we would expect to find a broad peak that is still centered on the shipping lane under this explanation, consistent with the results from Thornton et al. (2017).

389 There are two problems with this argument, however. The first is that the increased width of the WWLLN signature relative to the EDGAR emissions data does not rule out ship 390 interactions as being responsible for the factor-of-two peak WWLLN enhancement over the 391 shipping lane. Aerosol effects and lightning strikes on / around ships can both simultaneously 392 contribute to the observed enhancement, and their relative contributions can vary spatially. For 393 example, the weaker enhancements outside of the shipping lane (i.e, beyond the 10% lines in 394 Figure 2) may be primarily due to aerosol effects. Yet, this leads to the second problem: the 395 EDGAR emissions data show that maritime traffic is not zero in the WWLLN enhancement 396 region outside of the primary shipping lane. Lightning enhancements from ship strikes may not 397 be a linear function of the number density of shipping traffic, and if the mouth of the Bay of 398 Bengal is naturally prone to creating borderline ESCs, then the smaller number of vessels outside 399 of the shipping lane could still contribute to the broader peak. 400

To further explore these possibilities, this section will move from large-scale EDGAR 401 correlations to individual matches between AIS transponder events and WWLLN strokes. 402 However, we cannot distinguish between lightning strikes on ships and strokes that attach to 403 seawater close to a ship using WWLLN due to its kilometer-scale location accuracy. We 404 consider a pronounced narrow peak at the current ship location to be evidence of ship 405 interactions, without differentiating between these possibilities. Future work will use a lightning 406 detection network with a finer location accuracy to separate close lightning strikes on seawater 407 from lightning strikes on ships. 408

We identify these close lightning events as a subset of the WWLLN strokes (Figure 1b) 409 that are matched to any of the AIS events from the NOAA dataset (Figure 6a), as described in 410 Section 2. Generous matching criteria of 0.5 degrees and 10 minutes are used to generate the 411 distribution of WWLLN events in the vicinity of shipping traffic in Figure 6b. Then, close 412 WWLLN matches are designated as any matched event that occurs within the nominal WWLLN 413 location accuracy of an oceangoing vessel. Note that our samples of all WWLLN matches and 414 close WWLLN matches will be subject to the sampling biases in the NOAA AIS data that are 415 evident in Figure 6a. The only filtering that we apply is to remove inland AIS events and to 416 restrict the northern extent of the plot due to a lack of Arctic lightning events. The hard 417 boundaries at 60 W and the equator, and the inconsistent sampling west of the international date 418 line are caused by limitations in the NOAA AIS data. Most of the matched WWLLN strokes to 419 AIS events in Figure 6b occur along the southern and eastern coasts of the continental United 420 States – extending further offshore than the maximum in AIS event density from Figure 6a – but 421

there are also large clusters of matched events surrounding Guam, Hawaii, the California coast,and Puerto Rico, as well as collections of infrequent events in open ocean regions.

For all of these matches, we compute the difference in position between the WWLLN stroke and the AIS event. The latitude and longitude displacements of WWLLN strokes relative to ship locations are shown in Figure 7. The plan view in Figure 7b depicts how often lightning within a 0.5 degree radius strikes each point surrounding the ship in geographic coordinates. The distribution is flat at large distances, indicating no location preference when lightning occurs far from the ship. This can also be noted in the longitude and latitude cross sections through the center of the distribution in Figure 7a and c.

Multiple types of enhanced WWLLN activity can be noted closer to the ship in Figure 7. 431 The most prominent enhancement is the narrow peak centered on the vessel position at the center 432 of the distribution. We attribute this peak to direct ship interactions where the presence of the 433 vessel increases the likelihood of lightning strikes. Aerosol effects would be located beyond this 434 narrow peak where two distinct types of enhancement are evident. The first is a broad low-435 436 amplitude peak surrounding the ship, which may also be due to variable WWLLN location accuracy. The second manifests as linear paths extending through the center of the image, 437 preferentially at certain angles. The linear enhancements trace out the paths of the most common 438 shipping lanes in the NOAA AIS data. We use the ship heading information recorded in the AIS 439 data to compute the frequency of matched WWLLN events relative to along track and cross track 440 distances in Figure 8a. The peak of the distribution remains at the ship location, but rotating the 441 coordinate system relative to the ship's course elongates the enhancement signature in the along 442 track direction, as the linear features in Figure 7b become superimposed on the same axis. The 443 along track event totals in Figure 8b show a far more gradual decrease over the 50 km range 444 depicted in the figure than the cross sections from Figure 7. 445

446 This enhancement following the shipping lane along distances 25 km or greater from the closest vessel might appear to provide evidence for an aerosol effect similar to the ship track 447 clouds whose widths are also ~25 km. However, if convection were initiating preferentially over 448 the ship track due to the shipping exhaust, then we would not expect the enhanced signal to be 449 confined to just ~2 km in the cross-track direction. If it were, then aerosol effects could not 450 explain the broader WWLLN signature over the Bay of Bengal. We might also expect to find a 451 slight enhancement behind the ship compared to out ahead of the ship, yet the lightning totals in 452 Figure 8b are symmetrical about the ship position. There is an alternate explanation for why 453 lightning enhancements might occur only along the ship track from the relevant maritime law: 454 exemptions to the required use of AIS transponders, particularly from domestic shipping. 455 Domestic-bound vessels are not required to have operational AIS transponders and might not be 456 included in our catalog of AIS events. Moreover, vessels that are not required to maintain an 457 active AIS transponder over the duration of their voyages may choose to only activate it 458 intermittently. These vessels would still be susceptible to being struck by lightning, resulting in 459 increased WWLLN detections along the common shipping routes, but the signal would not be 460 confined to 0 km in the along track direction due to the incomplete AIS data. 461

This ambiguous enhancement is not present in the cross-track direction, allowing us to compare the relative magnitudes of the narrow peak from direct ship interactions with the broad enhancements that might result from aerosol effects. Figure 9 shows a cross-track slice through Figure 8 within 2 km of the ship position in the along-track direction. Since the narrow peak at the ship position in Figure 9 has a greater amplitude relative to the surrounding oceans than the along-track integration in Figure 8b, we show the distribution on a logarithmic scale normalized to the maximum amplitude. A Cumulative Distribution Function (CDF) across the ship track is also overlaid in black.

The lightning distribution is dominated by the central peak at the ship location. When 470 lightning occurs in a convective-scale (10-20 km) region surrounding shipping traffic, the 471 likelihood of the stroke occurring at the ship location is enhanced by ~50x compared to the edge 472 of the domain. 38% (31%) of all strokes that occur over the 50 km cross section are located 473 within 2 km (1 km) of the ship. Outside of this narrow peak, the amplitude of the enhancement 474 decreases slowly with distance due to a combination of WWLLN location errors and, potentially, 475 aerosol enhancements. Unlike the along-track distribution, the cross-track distribution is not 476 symmetric. 28% of strokes occur >2 km to the left of the ship's course, while 34% occur >2 km 477 to the right of the ship. This could be caused by land / sea effects or evidence of a systematic 478 WWLLN offset, as seen previously (Abarca et al., 2010) – though such an offset would have to 479 be situational to maintain the stroke density peak at the center of the ship track. However, it 480 could also be evidence of preferential wind directions causing increased aerosol enhancements in 481 certain directions over others. 482

Even if we assume that all WWLLN enhancements >2 km from the ship location can 483 solely be attributed to aerosol effects, the amplitude of the enhancement (the measured quantity 484 in Thornton et al., 2017) is only on the order of a few percent compared to the edge of the 485 domain, and at least an order of magnitude smaller than the narrow peak from direct ship 486 interactions. The role of aerosols in the complex series of atmospheric processes that leads to 487 lightning initiation is both subtle and nuanced, and evidence of aerosol effects must compete 488 with strong natural and manmade trends in the data. More work is needed to separate the aerosol 489 signal in the lightning data from other factors. 490

491

3.3 Frequencies of Lightning Interactions with Ships in the NOAA AIS Dataset

492 Matching WWLLN strokes with AIS transponder events from the NOAA catalog allows 493 us to document the frequency of close ship interactions with lightning by vessel type, and even 494 for individual vessels. In this section, we use the vessel information fields in the AIS data to take 495 a detailed look at these close WWLLN matches to AIS events that are either direct strikes on the 496 ship or at a close enough range to pose a particular hazard.

497 Unfortunately, the AIS data does not include information about vessel heights above the sea surface, which would be the key parameter for understanding lightning interactions. Instead, 498 we will use the vessel dimensions as a rough proxy for vessel height. Note that the AIS catalog 499 includes vessels such as oil rigs whose "length" and "width" values might not be intuitive. Figure 500 10 compares the reported vessel lengths and widths in the overall AIS dataset (red) with only 501 those AIS events that are closely matched with WWLLN (blue). The left panels (Figure 10a and 502 503 c) include all AIS transponder events while each vessel is at sea, weighting the distributions according to the amount of time the vessels in each bin spends in active service. For each of 504 these bins, the frequency of close WWLLN matches is roughly four orders of magnitude lower 505 than the total number of AIS events. In total, direct vessel lightning exposure rates are around 506

0.004%. However, these rates fluctuate according to vessel type, which can be noted in the ship
 size data. The CDFs in Figure 10a and c indicate that mid-to-large vessels account for a greater
 share of the close WWLLN matches than their overall proportion of the AIS data would suggest.

The right panels in Figure 10 (Figure 10b and d) compare the frequencies of all AIS events from unique vessel Marine Mobile Service Identities (MMSIs) (red) with those that have had a close WWLLN match (blue). While direct lightning interactions account for a small percentage of all AIS events, the frequencies of ships that have potentially been struck ranges from a few percent to over 10%, depending on the length and width of the vessel. As before, mid-to-large ships are more likely to have a close WWLLN match than smaller vessels.

The likelihood of a ship having a direct lightning interaction should depend on both its construction and the amount of time it spends around active thunderstorms. Even large / tall vessels operating off the coast of California are unlikely to be struck by lightning due to the limited number of strokes that occur in the area. When vessels must operate in storm-prone regions in the Gulf of Mexico or along the Gulf Stream, their increased exposure to lightning raises the likelihood of being struck – especially for commercial vessels that are financially motivated to sail through oceanic thunderstorms to reach their destinations.

We would thus expect the frequencies of close WWLLN events in Figure 10 to differ 523 according to the vessel categories listed in the AIS data. We have combined the multitude of 524 similar categories into 8 distinct sectors: fishing, towing (including port facilities), sailing, 525 pleasure craft, passenger vessels, cargo vessels, tanker vessels, and none / other (including AIS 526 entries without the vessel category specified). Pie charts of the vessels in these categories are 527 528 shown in Figure 11. Figure 11a depicts the composition of all maritime traffic in the NOAA dataset. The most common categories are pleasure craft (19,921 vessels), cargo ships (10,206 529 vessels), and sailing ships (7,483 vessels). Together, these three categories comprise 68% of all 530 traffic. 531

532 However, the frequencies of vessels that have had a close lightning encounter in each category differ from the fleet composition in Figure 11a. Figure 11b counts the number of 533 534 vessels in each category with at least one close WWLLN stroke. In total, 14,184 of the 55,327 vessels from Figure 11a -25% of the total - had a close lightning encounter in the 1/1/2019 -535 12/31/2021 period considered in this study. Despite accounting for just 19% of all maritime 536 traffic, 26% of the close WWLLN strokes occurred with cargo ships, making it the top ranked 537 538 category for lightning interactions. 3,658 of the 10,206 cargo ships in the NOAA AIS catalog have had a close WWLLN stroke -36% of the cargo fleet. Pleasure craft are the second most 539 common category for lightning interactions, accounting for 19% of the total, though the fraction 540 of all vessels with a close WWLLN match is only 13%. Tanker ships, meanwhile, accounted for 541 a further 18% of close WWLLN matches. 2,558 of the total 4,079 tanker ships in the AIS dataset 542 had a lightning encounter, representing 62% of the fleet. Sailing ships, by contrast, had the 543 544 lowest rate of lightning interactions of our 8 categories, with only 9% having a close WWLLN match over the 3-year period. 545

Individual vessels have also had multiple lightning interactions. The total number of
 close WWLLN strokes for all vessels in each category are computed in Figure 11c. Allowing
 repetition weights the distribution from Figure 11b to account for the varying amount of time the

ships in each category spend under lightning hazards. The tanker and cargo ship fractions are 549

- nearly the same in this new weighting, but the contribution from passenger ships is nearly 550
- doubled, while the pleasure craft and sailing ship contributions are reduced by nearly half. This 551
- is consistent with expectations that the latter two categories have the greatest flexibility to avoid 552 storms. There are also increased contributions from fishing vessels, as well as the none / other
- 553
 - category, which includes offshore platforms. 554

The ships that have direct lightning encounters tend to have multiple close WWLLN 555 events. This can include multiple potential strikes during a single voyage through a 556 thunderstorm, or due to static routes that frequently take the vessel through lightning-prone 557 regions. Figure 12 computes the frequency of close WWLLN matches for each unique vessel 558 MMSI that has had a close lightning encounter over the 3-year period. Only 1-in-5 of these 559 vessels had just one close WWLLN match. The median is ~5 strokes in 3 years, and one-third of 560 vessels exposed to lightning had greater than 10 close WWLLN matches in this time. The tip of 561 this distribution includes vessels with dozens or even hundreds of close lightning encounters. We 562 list the top 25 of these vessels in Table 1. Nearly half of the vessels in Table 1 are 30-60 m 563 offshore supply boats / crew boats - vessels that transport crew and supplies to offshore 564 installations. The vessel with the most lightning encounters was the Shelby Courtney, which 565 operates around Gulf Coast Louisiana and was within 2 km of 449 WWLLN strokes during the 566 3-year period. The second vessel by stroke count is the 187 m cargo ship Bahama Spirit with 444 567 close lightning encounters. Cargo and tanker ships account for 5 of the top 25 vessels in Table 1, 568 569 while there are also 3 tugs, 1 dredger, and 1 fishing boat. There are no pleasure craft or sailing ships in Table 1, but the top 25 ships by close lightning encounters do include 3 cruise ships: the 570 Disney Dream with 413 strokes, the Disney Fantasy with 290 strokes, and the Carnival Pride 571 with 270 strokes. The variety of vessel sizes and categories in Table 1 suggests that the amount 572 of time spent sailing in lightning-prone regions is the primary driver of close lightning 573 encounters. All of these vessels spent hundreds of days at sea over the 3-year period, and the 574 575 hundreds of WWLLN matches only account for < 0.3% of the AIS data generated by each of these vessels. 576

The fractions of WWLLN-matched AIS events can be considerably greater, however. We 577 rank the vessels with frequent lightning encounters (≥ 5 strokes in 3 years) by the percent of AIS 578 events that have close WWLLN matches, and list the top 25 vessels in Table 2. These vessels left 579 port on 30-or-fewer days over the 3 year period, but these voyages preferentially occurred during 580 hazardous conditions for lightning interactions – resulting in between 1.8% and 45.4% of all AIS 581 events generated by each vessel occurring in close proximity to a WWLLN stroke. The top 582 vessel by WWLLN-matched AIS fraction is clearly an outlier, though it is unclear why 241 of 583 the 531 total AIS events from the Karon Louise recorded over a period of 8 days matched a 584 585 WWLLN stroke. As the vessel is a pleasure craft and probably not required to keep its AIS transponder active, it is likely that the captain elected to turn it on when they encountered 586 hazardous conditions at sea. In total, 9 of the top 25 vessels by lightning-matched AIS event 587 fractions were pleasure craft, while 7 were cargo ships, 4 were sailing ships, 3 were government 588 vessels, and 2 were unknown. Actual stroke rates ranged from our arbitrary minimum of 5 to the 589 Karon Louise's 241 strokes in 3 years. In contrast to the top pleasure crafts' ratios exceeding 590 10%, the top cargo ships fall in the 1-4% range and are comprised of a few hundred to a few 591 thousand AIS events. This order of magnitude increase from the top stroke count cases in Table 592

1 is probably a reasonable estimate of peak AIS WWLLN-matched fractions without manmadesampling biases.

595 4 Conclusions

This study examines lightning interactions with maritime traffic. Thornton et al. (2017) 596 noted an enhancement in lightning activity over two shipping lanes, and attributed this increase 597 in lightning frequency to the aerosols in ship exhaust modifying convective processes 598 responsible for lightning generation, resulting in stronger storms over the shipping lanes 599 compared to nearby clean ocean regions. They discounted direct lightning strikes on ships as the 600 cause of this enhancement because the signature in the lightning data appeared to be wider than 601 the shipping lane. Their primary evidence for storm modifications came from a slight (~0.5-1 602 603 dBZ) enhancement in TRMM PR reflectivity profiles at high altitudes over the shipping lane.

Our analyses indicate that the enhanced lightning signal identified by Thornton et al. 604 (2017) over the Bay of Bengal is not accompanied by a clear variation in storm properties over 605 the shipping lanes. Thunderstorm stroke rates reported by WWLLN and storm-level TRMM 606 proxies for convective intensity are not notably different between the shipping lane and the 607 surrounding clean ocean regions, aside from the large-scale natural variations in the region (i.e., 608 terrain effects, the Indian monsoon, etc.). The reflectivity enhancement discussed by Thornton et 609 al. (2017) exists only in the radar profile data, where individual storms are able to contribute 610 multiple profiles to the sample – causing the largest / strongest storms to have an outsized impact 611 on the statistics. We have not identified a clear sign of aerosol effects over the shipping lane in 612 the microphysical parameters measured by TRMM. 613

614 In contrast to the prior work, we do find evidence of a strong signature of lightning enhancement from direct lightning interactions with oceangoing vessels. When lightning occurs 615 within a convective-scale region surrounding a ship, the stroke is much more likely to occur at 616 the location of the ship than anywhere else in the domain. Due to the limited location accuracy of 617 WWLLN, we cannot verify whether lightning struck the ship or if it attached to seawater near 618 the ship's location. However, the 1-2 orders of magnitude enhancement to WWLLN stroke 619 frequencies at the ship location makes it clear that the presence of the vessel directly influenced 620 the stroke. Elevated lightning rates at larger distances are either the result of poor WWLLN 621 geolocation solutions or aerosol effects. If we assume that all of the spread comes from the latter, 622 we can estimate the maximum magnitude of aerosol enhancements at ~6% of the overall 623 624 lightning enhancement in the vicinity of the ship.

The frequency of close lightning encounters depends on the amount of time each vessel 625 spends traversing lightning-prone regions, ship construction, and vessel category. Ships that have 626 the greatest exposure to lightning tend to be moderate-to-large commercial vessels that may be 627 motivated to sail through stormy conditions rather than avoid foul weather. Thus, cargo ships, 628 tanker ships, and passenger ships have greater incidences of close WWLLN strikes than pleasure 629 craft or sailing vessels. The ships with the most close lightning encounters are offshore supply 630 boats, cargo / tanker ships, tug / towing / dredging vessels, fishing boats, and cruise ships – with 631 certain individual vessels having up to 270-449 close lightning strokes over a 3-year period. 632 Meanwhile, the vessels that have the greatest fractions of AIS events closely matching WWLLN 633 strokes are primarily pleasure craft, cargo ships, sailing ships, and government vessels. These 634

ships are either infrequently used or are not required to have an active AIS transponder while
 under way. Pleasure craft, in particular, may be biased if they only turn on their transponders

637 when they encounter trouble at sea (i.e., a thunderstorm).

These results demonstrate that the connections between the natural environment and 638 human activities are rarely simple or clear-cut. We do not know to what extent the increased 639 aerosol emissions from shipping traffic are modifying deep convection across the world's 640 oceans. In the search for this answer, we should not overlook alternate explanations for the 641 signals that we find in our data. Nor should we ignore the fact that only two of the world's 642 shipping lanes show clear evidence of an anthropogenic lightning enhancement. Based on all 643 available evidence, we propose that these signals only arise because the Bay of Bengal and the 644 South China Sea frequency produce ESCs that nearly become thunderstorms, and that the 645 presence of shipping traffic facilitates this transition. We do not see enhancements in other 646 regions because the environment is either not conducive to electrification, or the region already 647 contains active thunderstorms that dominate the lightning signal. More work is needed to 648 understand these complex Earth system interactions, and the role of shipping traffic in 649 atmospheric electricity. 650

651

652 Acknowledgments

- Los Alamos National Laboratory is operated by Triad National Security, LLC, under contract
- number 89233218CNA000001.

655

656 **Open Research**

- The processed data used in this study are available at the Harvard Dataverse via DOI:
- 10.7910/DVN/HMADPN (Peterson, 2023). WWLLN stroke data may be acquired by requesting
- the data from the University of Washington. The NOAA AIS dataset may be accessed via
- MarineCadastre.gov (NOAA, 2022), a data infrastructure collaboration between NOAA and the
- 661 Bureau of Ocean Energy Management.

662 **References**

663	Abarca, S. F., Corbosiero, K. L., & Galarneau Jr, T. J. (2010). An evaluation of the worldwide
664	lightning location network (WWLLN) using the national lightning detection network
665	(NLDN) as ground truth. Journal of Geophysical Research: Atmospheres, 115(D18).
666	Arcanjo, M., Montanyà, J., Urbani, M., Lorenzo, V., & Pineda, N. (2021). Observations of
667	corona point discharges from grounded rods under thunderstorms. Atmospheric Research,
668	247, 105238.
669	Aleksandrov, N. L., Bazelyan, E. M., Carpenter Jr, R. B., Drabkin, M. M., & Raizer, Y. P.
670	(2001). The effect of coronae on leader initiation and development under thunderstorm
671	conditions and in long air gaps. Journal of Physics D: Applied Physics, 34(22), 3256.
672	Aleksandrov, N. L., Bazelyan, E. M., Drabkin, M. M., Carpenter, R. B., & Raizer, Y. P. (2002).
673	Corona discharge at the tip of a tall object in the electric field of a thundercloud. Plasma
674	<i>Physics Reports</i> , 28(11), 953-964.
675	Aleksandrov, N. L., Bazelyan, E. M., & Raizer, Y. P. (2005). The effect of a corona discharge on
676	a lightning attachment. Plasma physics reports, 31(1), 75-91.
677	Bazelyan, E. M., Raizer, Y. P., & Aleksandrov, N. L. (2008). Corona initiated from grounded
678	objects under thunderstorm conditions and its influence on lightning attachment. Plasma
679	Sources Science and Technology, 17(2), 024015.
680	Bernstein, T., & Reynolds, T. S. (1978). Protecting the Royal Navy from Lightning-William
681	Snow Harris and His Struggle with the British Admiralty for Fixed Lightning
682	Conductors. IEEE Transactions on Education, 21(1), 7-14.
683	Capaldo, K., Corbett, J. J., Kasibhatla, P., Fischbeck, P., & Pandis, S. N. (1999). Effects of ship
684	emissions on sulphur cycling and radiative climate forcing over the ocean. Nature,
685	400(6746), 743-746.
686	Carey, L. D., Murphy, M. J., McCormick, T. L., & Demetriades, N. W. (2005). Lightning
687	location relative to storm structure in a leading-line, trailing-stratiform mesoscale
688	convective system. Journal of Geophysical Research: Atmospheres, 110(D3).
689	Christian, H. J. (1994). Algorithm theoretical basis document (ATBD) for the Lightning Imaging
690	Sensor (LIS). http://thunder. msfc. nasa. gov/bookshelf/pubs/atbd/.
691	Crippa, M., Guizzardi, D., Muntean, M., Schaaf, E., MONFORTI-FERRARIO, F., BANJA, M.,
692	& SOLAZZO, E. (2019). EDGAR v6. 1 global air pollutant emissions.
693	Cockbain, T. G. E. (1945). Manifestations of St. Elmo's Fire in a Tropical Storm. The
694	Aeronautical Journal, 49(413), 289-290.
695	Conover, J. H. (1966). Anomalous cloud lines. Journal of Atmospheric Sciences, 23(6), 778-785.
696	Cummins, K. L., & Murphy, M. J. (2009). An overview of lightning locating systems: History,
697	techniques, and data uses, with an in-depth look at the US NLDN. <i>IEEE transactions on</i>
698	electromagnetic compatibility, 51(3), 499-518.
699	Dallinger, W. H. (1889). ST. ELMO'S FIRE, AND THE OBSERVATORY ON BEN NEVIS.
700	The Wesleyan-Methodist magazine, 779-780.
701	Forti, N., d'Afflisio, E., Braca, P., Millefiori, L. M., Willett, P., & Carniel, S. (2021). Maritime
702	anomaly detection in a real-world scenario: Ever Given grounding in the Suez Canal.
703	IEEE Transactions on Intelligent Transportation Systems, 23(8), 13904-13910.
704	Han, Q., Rossow, W. B., Chou, J., & Welch, R. M. (1998). Global variation of column droplet
705	concentration in low-level clouds. Geophysical Research Letters, 25(9), 1419-1422.

706	Hutchins, M. L., Holzworth, R. H., Brundell, J. B., & Rodger, C. J. (2012). Relative detection
707	efficiency of the world wide lightning location network. Radio Science, 47(06), 1-9.
708	International Maritime Organization. (2019). AIS transponders. Retrieved December 30, 2022,
709	from https://www.imo.org/en/OurWork/Safety/Pages/AIS.aspx
710	Jacobson, A. R., Holzworth, R., Harlin, J., Dowden, R., & Lay, E. (2006). Performance
711	assessment of the world wide lightning location network (WWLLN), using the Los
712	Alamos sferic array (LASA) as ground truth. Journal of Atmospheric and Oceanic
713	<i>Technology</i> , 23(8), 1082-1092.
714	Jalkanen, J. P., Johansson, L., Kukkonen, J., Brink, A., Kalli, J., & Stipa, T. (2012). Extension of
715	an assessment model of ship traffic exhaust emissions for particulate matter and carbon
716	monoxide. Atmospheric Chemistry and Physics, 12(5), 2641-2659.
717	Johansson, L., Jalkanen, J. P., & Kukkonen, J. (2017). Global assessment of shipping emissions
718	in 2015 on a high spatial and temporal resolution. Atmospheric Environment, 167, 403-
719	415.
720	Kozu, T., Kawanishi, T., Kuroiwa, H., Kojima, M., Oikawa, K., Kumagai, H., & Nishikawa,
721	K. (2001). Development of precipitation radar onboard the Tropical Rainfall Measuring
722	Mission (TRMM) satellite. <i>IEEE transactions on geoscience and remote sensing</i> , 39(1),
723	102-116.
724	Kummerow, C., Barnes, W., Kozu, T., Shiue, J., & Simpson, J. (1998). The tropical rainfall
725	measuring mission (TRMM) sensor package. Journal of atmospheric and oceanic
726	technology, 15(3), 809-817.
727	Lay, E. H., Holzworth, R. H., Rodger, C. J., Thomas, J. N., Pinto Jr, O., & Dowden, R. L.
728	(2004). WWLL global lightning detection system: Regional validation study in Brazil.
729	Geophysical Research Letters, 31(3).
730	Lightning. (1840). The Nautical Magazine and Naval Chronicle. 387-387.
731	Liu, C., Zipser, E. J., Cecil, D. J., Nesbitt, S. W., & Sherwood, S. (2008). A cloud and
732	precipitation feature database from nine years of TRMM observations. Journal of Applied
733	Meteorology and Climatology, 47(10), 2712-2728.
734	Lundquist, S. (1985). On the discharge of static electricity: Some historic notes with comments
735	and remarks. Journal of Electrostatics, 16(2-3), 221-230.
736	Mach, D. M., Christian, H. J., Blakeslee, R. J., Boccippio, D. J., Goodman, S. J., & Boeck, W. L.
737	(2007). Performance assessment of the optical transient detector and lightning imaging
738	sensor. Journal of Geophysical Research: Atmospheres, 112(D9).
739	Mach, D. M., Blakeslee, R. J., & Bateman, M. G. (2011). Global electric circuit implications of
740	combined aircraft storm electric current measurements and satellite-based diurnal
741	lightning statistics. Journal of Geophysical Research: Atmospheres, 116(D5).
742	Montanyà, J., Van Der Velde, O., & Williams, E. R. (2014). Lightning discharges produced by
743	wind turbines. Journal of Geophysical Research: Atmospheres, 119(3), 1455-1462.
744	NOAA. (2022). Vessel Traffic Data. MarineCadastre.gov Vessel Traffic Data. Retrieved
745	December 30, 2022, from https://marinecadastre.gov/ais/
746	O'Dowd, C. D., Smith, M. H., Consterdine, I. E., & Lowe, J. A. (1997). Marine aerosol, sea-salt,
747	and the marine sulphur cycle: A short review. Atmospheric Environment, 31(1), 73-80.
748	Olivier, J. G. J., Bouwman, A. F., Van der Maas, C. W. M., & Berdowski, J. J. M. (1994).
749	Emission database for global atmospheric research (EDGAR). Environmental Monitoring
750	and Assessment, 31(1), 93-106.

- Peterson, M. (2023) Ship Lightning Interactions Data, https://doi.org/10.7910/DVN/HMADPN,
 Harvard Dataverse, V2
- Plinius, Gaius II (77). Or the stars which are named Castor and Pollux. In Historia Naturalis
 (Vol. 2, Ch. 37).
- Priestley, J. (1775). "*The*" *History and Present State of Electricity: With Original Experiments*.
 C. Bathurst and T. Lowndes, in Fleet-Street, J. Rivington and J. Johnson, in St. Paul's
 Church-Yard, S. Crowder, G. Robinson, and R. Baldwin, in Paternoster Row, T. Becket
 and T. Cadell, in the Strand.
- Rodger, C. J., Werner, S., Brundell, J. B., Lay, E. H., Thomson, N. R., Holzworth, R. H., &
 Dowden, R. L. (2006, December). Detection efficiency of the VLF World-Wide
 Lightning Location Network (WWLLN): initial case study. In *Annales Geophysicae* (Vol. 24, No. 12, pp. 3197-3214). Copernicus GmbH.
- 763 Ship destroyed by lightning. (1846). *Scientific American*. 106-106.
- Schreier, M., Mannstein, H., Eyring, V., & Bovensmann, H. (2007). Global ship track
 distribution and radiative forcing from 1 year of AATSR data. *Geophysical Research Letters*, 34(17).
- Schumann, C., Saba, M. M., Warner, T. A., Ferro, M. A., Helsdon, J. H., Thomas, R., & Orville,
 R. E. (2019). On the triggering mechanisms of upward lightning. *Scientific Reports*, 9(1),
 1-9.
- Thomas, R. J., Krehbiel, P. R., Rison, W., Hunyady, S. J., Winn, W. P., Hamlin, T., & Harlin, J.
 (2004). Accuracy of the lightning mapping array. *Journal of Geophysical Research: Atmospheres*, *109*(D14).
- Thornton, J. A., Virts, K. S., Holzworth, R. H., & Mitchell, T. P. (2017). Lightning enhancement
 over major oceanic shipping lanes. *Geophysical Research Letters*, 44(17), 9102-9111.
- Wang, D., & Takagi, N. (2012). Characteristics of winter lightning that occurred on a windmill
 and its lightning protection tower in Japan. *IEEJ Transactions on Power and Energy*,
 132(6), 568-572.
- Warner, T. A., Lang, T. J., & Lyons, W. A. (2014). Synoptic scale outbreak of self-initiated
 upward lightning (SIUL) from tall structures during the central US blizzard of 1–2
 February 2011. *Journal of Geophysical Research: Atmospheres*, *119*(15), 9530-9548.
- Wescott, E. M., Sentman, D. D., Heavner, M. J., Hallinan, T. J., Hampton, D. L., & Osborne, D.
 L. (1996). The optical spectrum of aircraft St. Elmo's fire. *Geophysical research letters*, 23(25), 3687-3690.
- Whipple, F. J. W. and F. J. Scrase (1936). Point-discharge in the electric field of the Earth.
 Geophys. Mem. VII, 68, 1-20.
- Wu, T., Wang, D., Rison, W., Thomas, R. J., Edens, H. E., Takagi, N., & Krehbiel, P. R. (2017).
 Corona discharges from a windmill and its lightning protection tower in winter
 thunderstorms. *Journal of Geophysical Research: Atmospheres*, *122*(9), 4849-4865.
- 789

791
792

Table 1. The top 25 vessels by close WWLLN stroke counts between 1/1/2019 and 12/31/2021

Close WWLLN	Total AIS	Close WWLLN	Days with AIS	Vessel Length	Vessel Width	Vessel Name	Vessel Category
Strokes	Events	Fraction (%)	Events	(m)	(m)		
449	175,810	0.26	500	34	7	Shelby Courtney	Offshore Supply Boa
444	422,063	0.11	897	187	32	Bahama Spirit	Cargo Ship
425	442,975	0.10	884	52	9	Grey Cup	Offshore Supply Boa
413	278,612	0.15	841	339	40	Disney Dream	Cruise Ship
381	441,490	0.09	765	50	9	Gol Intruder	Offshore Supply Boa
374	254,498	0.15	534	42	13	Abundance	Tug
373	213,868	0.17	431			Cape Hatteras	Towing Vessel
357	852,191	0.04	1056	41	8	Diamond Mine	Offshore Supply Boa
357	466,769	0.08	797	94	15	Columbia	Dredger
336	119,186	0.28	650	58	9	Fast Leopard	Offshore Supply Boa
327	66,693	0.49	189	182	40	Donna	Tanker Ship
314	389,927	0.08	1019	45	10	Dustin Danos	Offshore Supply Boa
312	141,336	0.22	373	249	43	Chrysalis	Tanker Ship
301	334,931	0.09	719	90	17	Tropic Lure	Cargo Ship
300	542,145	0.06	991	36	7	Eveready	Offshore Supply Boa
294	457,764	0.06	954	49	13	Odyssea Darwin	Offshore Supply Boa
292	683,528	0.04	790	48	9	Sea Angel	Offshore Supply Boa
291	467,655	0.06	651	24	7	St. Peter	Fishing Boat
290	259,229	0.11	719	339	40	Disney Fantasy	Cruise Ship
289	227,443	0.13	576	224	32	Camila B	Tanker Ship
284	368,639	0.08	643	36	10	Scott Turecamo	Tug
283	437,353	0.06	693	58	12	Odyssea Defender	Offshore Supply Boa
282	580,801	0.05	986	44	8	Anna M	Offshore Supply Boa

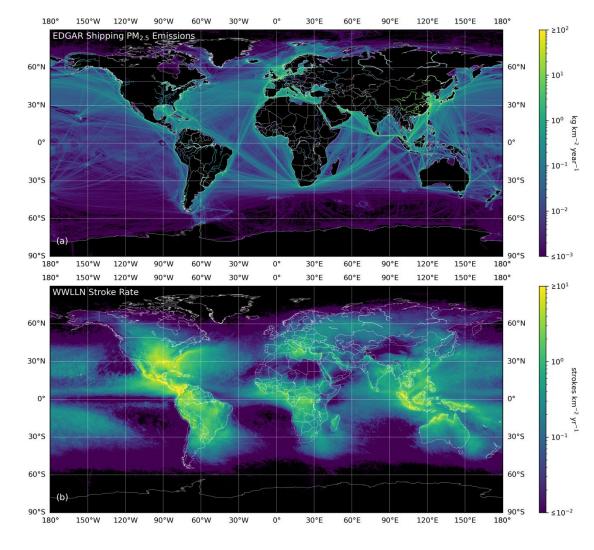
793 794

LA-UR-23-20031

795	Table 2. The top 25 vessels by close WWLLN stroke fractions of all AIS events between
796	1/1/2019 and 12/31/2021
797	

Close WWLLN	Total AIS	Close WWLLN	Days with AIS	Vessel Length	Vessel Width	Vessel Name	Vessel Category
Strokes	Events	Fraction (%)	Events	(m)	(m)		
241	530	45.47	8	17	4	Karon Louise	Pleasure Craft
28	176	15.91	7	12	7		
5	46	10.87	2	21	6	Holiday	Local Vessel
70	648	10.80	13	11	4	Jully Roger IX	Pleasure Craft
25	291	8.59	17	276	32	USNS Yano	Government
22	338	6.51	9	54	12	Lake Guardian	Government
20	335	5.97	7	14	5	Abyss	Pleasure Craft
21	441	4.76	3	19	5	Rosa del Mar	Sailing Ship
45	1169	3.85	3	182	27	Ippokratis	Cargo Ship
59	1597	3.69	15	13	5	Barefoot Jones	Pleasure Craft
13	418	3.11	16			Nighthawk	Government
7	236	2.97	8	12	4	Sweet Tides	Sailing Ship
38	1342	2.83	13	13	22	Olivia	Pleasure Craft
10	420	2.38	8	14	4	Island Time	Pleasure Craft
12	512	2.34	1	225	32	Magic Phoenix	Cargo Ship
64	2829	2.26	10	180	32	Isabella M	Cargo Ship
5	228	2.19	9	13	3	Wings	Sailing Ship
48	2230	2.15	10	189	28	Podlasie	Cargo Ship
54	2541	2.13	9	288	45	Ocean Caesar	Cargo Ship
104	5010	2.08	10	189	28	Pomorze	Cargo Ship
232	11712	1.98	30	35	7	Mimi	Pleasure Craft
48	2523	1.90	9	224	32	Asia Graeca	Cargo Ship
10	527	1.90	5	10	4	Catch This	Pleasure Craft

Manuscript submitted to Earth and Space Science



800 801

Figure 1. Global distributions of the annual average (a) EDGAR PM_{2.5} shipping emissions and
(b) WWLLN stroke rates. Note that the color bar ranges have been restricted to highlight activity
over the sea lanes.

805

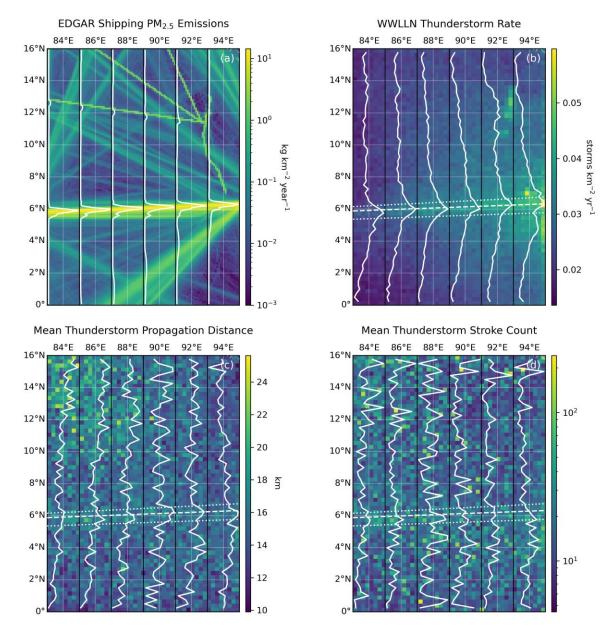




Figure 2. Regional distributions of (a) EDGAR PM2.5 shipping emissions, (b) WWLLN 807 thunderstorm area rates, (c) mean WWLLN thunderstorm propagation distances, and (d) mean 808 WWLLN thunderstorm stroke counts over the Bay of Bengal shipping lane. For each longitude 809 bin (black lines), the signal variation by latitude is depicted with a white line overlay. The peak 810 (dashed) and 10th percentile width (dotted) of the shipping lane are also mapped in b-d by fitting 811 the primary EDGAR emissions signature across longitude bins in (a) to a linear model. 812 813

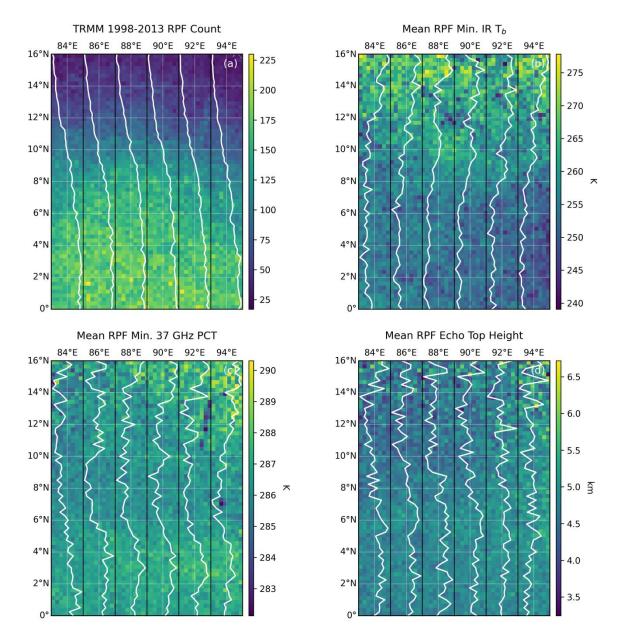




Figure 3. As in Figure 2, regional plots showing TRMM RPF (a) storm counts, and mean

microphysical parameters including (b) minimum 10.8µm infrared brightness temperatures, (c)

minimum passive microwave 37 GHz PCTs, and (d) PR echo top heights over the Bay of Bengal

- region during the northern hemisphere winter season.
- 820

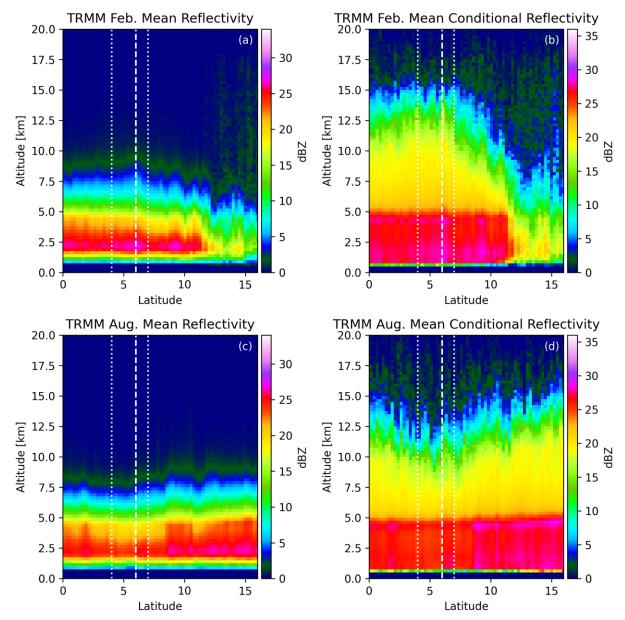




Figure 4. TRMM PR raining pixel vertical reflectivity profiles over the Bay of Bengal region 822 from Figures 2 and 3 at the (a-b) February and (c-d) August extremes of the local seasonal cycle. 823 Average reflectivity profiles from all raining pixels in each latitude bin are shown in (a) and (c). 824 Conditional reflectivity profiles from only raining pixels with echoes exceeding each altitude are 825 shown in (b) and (d). The ship track is represented via the overall peak (dashed) and 10th 826 percentile width (dotted) from the EDGAR emissions data with white vertical lines in each 827 panel. 828

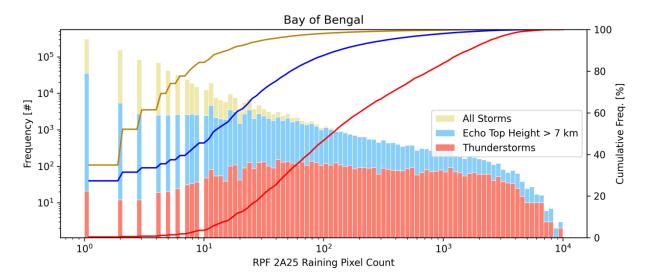
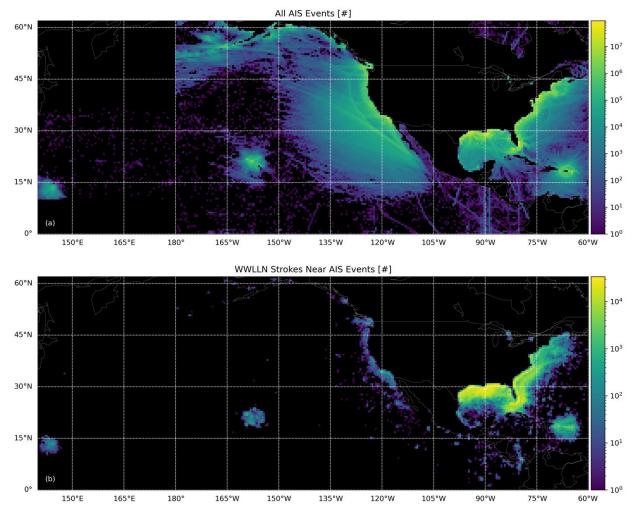
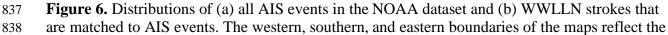




Figure 5. Distributions of TRMM RPF 2A25 raining pixel counts for all storms, tall storms, and thunderstorms over the Bay of Bengal region from Figures 2-4.

- 833
- 834
- 835





boundaries of the AIS data domain, while the discontinuity at the date line is an artifact in the

NOAA data.

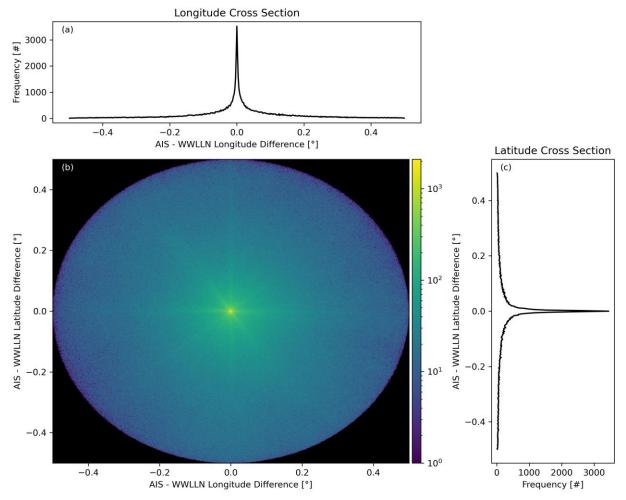


Figure 7. Distributions of WWLLN stroke locations matched to AIS events relative to the ship

position at the point (0,0). (a) Longitude cross section through the center of the domain. (b) Twodimensional histogram of WWLLN strokes. (c) Latitude cross section through the domain center.

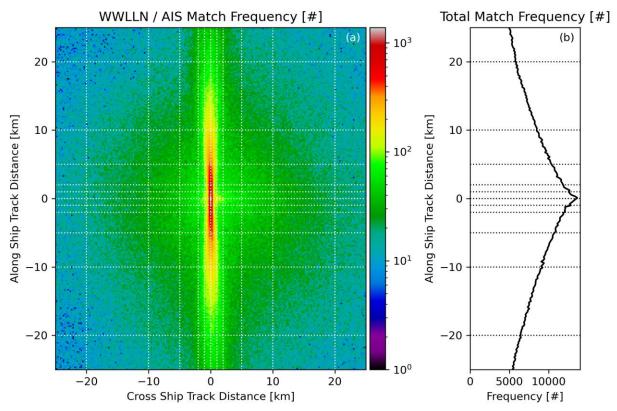




Figure 8. As in Figure 7, the locations of WWLLN strokes surrounding the matched ship, but with the coordinate system rotated to reflect the vessel heading. The two-dimensional histogram of stroke displacements is quantified in (a) using along-track and cross-track distances, with the ship motion towards the top of the figure. Total stroke counts along the ship course are shown in (b). Dotted lines depict 0, 1, 2, 5, 10, and 20 km displacements in each direction from the ship position.

855 856

857

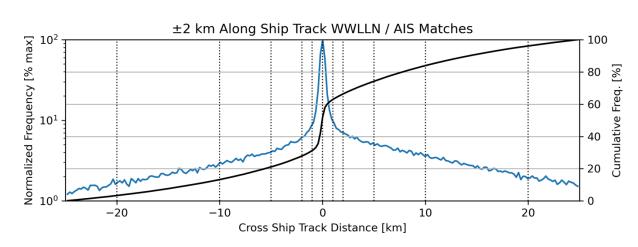




Figure 9. Cross-track distribution of WWLLN stroke counts for all strokes within 2 km of the ship position in the along-track direction. Stroke frequencies are normalized as a percent of the maximum. The CDF is also overlaid in black.

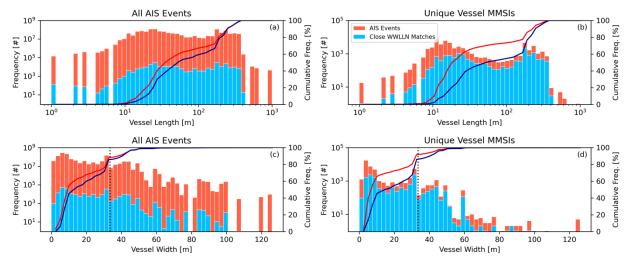


Figure 10. Distributions of (a,c) AIS events, and (b,d) unique vessels in the NOAA AIS dataset
by (a-b) vessel length and (c-d) vessel width. Separate distributions and CDFs are shown for all
AIS events (red) and close (i.e., within 2-km) WWLLN-matched AIS events (blue). The

870 maximum vessel width for transiting the Panama Canal (i.e., PANAMAX) is indicated with a

- 871 vertical dotted line in (c-d).
- 872
- 873

Cargo Total MMSI Entries with Close WWLLN Strokes Passenger Tanker 20.9% (53935) 17.0% (43892) Sailing Pleasure Craft 15.8% (40726) 16.7% (43042) None / Other Towing (c) Fishing Cargo Unique MMSI Entries with Close WWLLN Strokes Tanker Passenger 25.9% (3658) 18.1% (2558) 18.9% (2673) None / Other 10.3% (1457) Pleasure Craft Fishing Sailing (q) Towing Passenger Cargo All Unique Ship MMSI Entries Pleasure Craft 18.6% (10206) Tanker 36.2% (19921) None / Other 8.6% (4746) Fishing Towing

Sailing

(a)

Figure 11. Pie charts showing the frequency of (a) all unique vessels in the NOAA dataset, (b) unique vessels with close WWLLN matches, and (c) all close WWLLN matches in 8 distinct categories of maritime traffic.

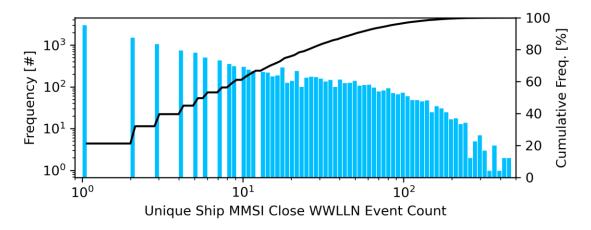


Figure 12. Histogram and CDF of the number of close WWLLN matches on each unique vessel that has had a close lightning encounter.



Earth and Space Science

Supporting Information for

Interactions between Lightning and Ship Traffic

Michael Peterson¹

¹ ISR-2, Los Alamos National Laboratory, Los Alamos, New Mexico

Contents of this file

Figures S1 and S2

Introduction

Figures S1 to S2 replicate Figures 1 and 3 to better show the full dataset – not just the regions / season that we are highlighting. Figure S1 allows does not restrict the logarithmic color scaling, as in Figure 1, and thus shows the upper and lower boundaries of WWLLN stroke densities across the globe. Figure S2, meanwhile, shows the average TRMM RPF properties from Figure 3 over the full year rather than just the northern hemisphere winter months.

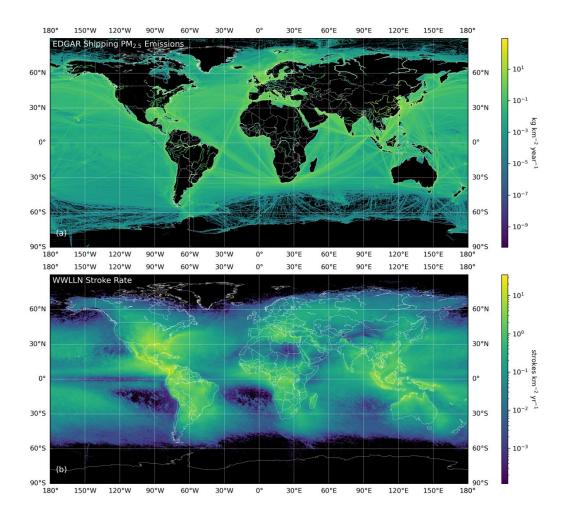


Figure S1. Global distributions of the annual average (a) EDGAR PM_{2.5} shipping emissions and (b) WWLLN stroke rates.

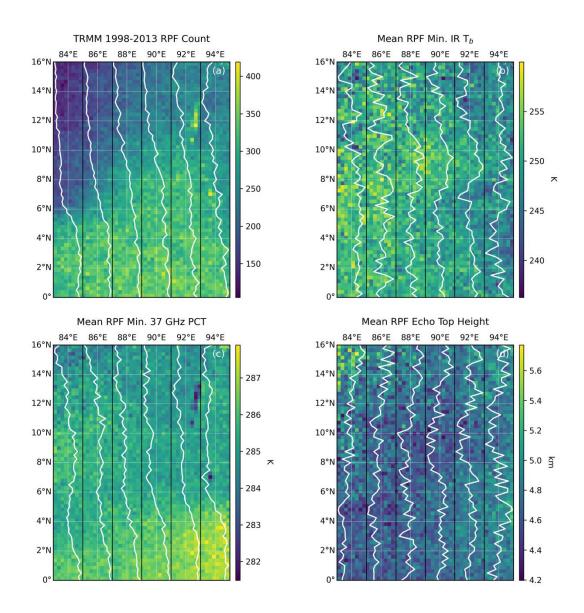


Figure S2. As in Figure 3, regional plots showing TRMM RPF (a) storm counts, and mean microphysical parameters including (b) minimum 10.8 μ m infrared brightness temperatures, (c) minimum passive microwave 37 GHz PCTs, and (d) PR echo top heights over the Bay of Bengal region during the full year.