# Effects of Pixel Resolution, Mapping Window Size, and Spectral Species Classification on Remote Sensing of Plant Beta Diversity Using biodivMapR and Hyperspectral Imagery

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December 21, 2022

#### Abstract

Using imaging spectroscopy (hyperspectral imaging), we sought to assess the effects of image pixel resolution, size of mapping windows composed of pixels, and number of spectral species assigned to pixels on the capacity to map plant beta diversity using the biodivMapR algorithm, in support of the planned NASA Surface Biology and Geology (SBG) satellite remote sensing mission. BiodivMapR classifies pixels as spectral species, then calculates beta diversity as dissimilarity of spectral species among mapping windows each composed of multiple pixels. We used NEON airborne 1 m resolution hyperspectral images collected at three sites representing native longleaf pine ecosystems in the southeastern U.S. and aggregated pixels to sizes ranging from 1-90 m for comparative analyses. Plant community composition was groundtruthed. Results show that the capacity to detect plant beta diversity decreases with fewer pixels per mapping window, such that pixel resolution limits the size of mapping windows effective for representing beta diversity. Mapping window size in turn limits the spatial resolution of beta diversity maps composed of mapping windows. Assigning too few pixels per window, as well as assigning too many spectral species per image, results in overestimation of dissimilarity among locations that have plant species in common. This overestimation undermines the capacity to contrast mapping window dissimilarity within versus among community types and reduces the information content of beta diversity maps. These results demonstrate the advantage of maximizing spatial resolution of hyperspectral imaging instruments on the anticipated NASA SBG satellite mission and similar remote sensing projects.

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1 2	Effects of Pixel Resolution, Mapping Window Size, and Spectral Species	
3	Classification on Remote Sensing of Plant Beta Diversity Using biodivMapR and	
4	Hyperspectral Imagery	
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12	Key Points:	
13	• Ability to map plant beta diversity on landscapes using biodivMapR and similar	
14	algorithms depends on having a sufficient number of pixels per mapping window.	
15	• Increasing mapping window size to accommodate sufficient numbers of pixels per	
16	window decreases spatial resolution of beta diversity maps.	
17	• Assigning the appropriate number of spectral species is important for generating a	
18	dissimilarity matrix that appropriately reflects actual plant beta diversity.	
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#### 20 Abstract

Using imaging spectroscopy (hyperspectral imaging), we sought to assess the effects of image 21 pixel resolution, size of mapping windows composed of pixels, and number of spectral species 22 assigned to pixels on the capacity to map plant beta diversity using the biodivMapR algorithm, in 23 support of the planned NASA Surface Biology and Geology (SBG) satellite remote sensing 24 mission. BiodivMapR classifies pixels as spectral species, then calculates beta diversity as 25 dissimilarity of spectral species among mapping windows each composed of multiple pixels. We 26 used NEON airborne 1 m resolution hyperspectral images collected at three sites representing 27 native longleaf pine ecosystems in the southeastern U.S. and aggregated pixels to sizes ranging 28 29 from 1-90 m for comparative analyses. Plant community composition was groundtruthed. Results show that the capacity to detect plant beta diversity decreases with fewer pixels per 30 mapping window, such that pixel resolution limits the size of mapping windows effective for 31 representing beta diversity. Mapping window size in turn limits the spatial resolution of beta 32 diversity maps composed of mapping windows. Assigning too few pixels per window, as well as 33 assigning too many spectral species per image, results in overestimation of dissimilarity among 34 locations that have plant species in common. This overestimation undermines the capacity to 35 contrast mapping window dissimilarity within versus among community types and reduces the 36 information content of beta diversity maps. These results demonstrate the advantage of 37 maximizing spatial resolution of hyperspectral imaging instruments on the anticipated NASA 38 SBG satellite mission and similar remote sensing projects. 39

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#### 43 Plain Language Summary

Mapping beta diversity, or the differences in species composition among different parts of a 44 landscape, is an important goal of satellite remote sensing. Different remote sensing products 45 have different sizes of pixels that make up the image, which can effect how much information 46 the image displays. NASA is interested in knowing the effects of image pixel size for designing 47 future satellite missions, including the upcoming Surface Biology and Geology (SBG) mission. 48 We used remote sensing data taken from aircraft at NEON research sites in the southeastern U.S. 49 to test how different pixel sizes, ranging from 1-90 m, affect how well beta diversity can be 50 mapped. We used the program biodivMapR, which creates maps from square "mapping 51 52 windows", which each contain multiple pixels that are classified according to their reflectance data, and which are used to tell how different one mapping window is from the other. We found 53 that the larger the pixel size, the larger the mapping window has to be to have enough pixels to 54 map biodiversity well. The tradeoff is that larger mapping windows result in coarser biodiversity 55 maps. Using 30-45 m pixels, which has been recommended for the SBG mission, relatively large 56 areas covered by different natural community types can be distinguished, but smaller features 57 like isolated ponds and narrow streams may not be detected. The study shows the importance of 58 having image pixel sizes that are as small as possible, in addition to having high quality 59 60 information per pixel.

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#### 62 **1 Introduction**

The impact of human activities on the function and and sustainability of earth's biological 63 and physical systems places high priority on tracking global patterns of biodiversity and 64 ecosystem change. Given the high cost and limited geographic distribution of field plots for 65 ecosystem monitoring, remote sensing will play an increasingly important role in systematically 66 67 monitoring trends in biodiversity and ecosystem health. Remote sensing approaches depend on demonstrated links between field-observed data and remotely sensed reflectance data in order to 68 develop automated systems for ecological interpretation of imagery covering wide geographic 69 70 areas (Pereira et al., 2017). The increasing availability of imaging spectroscopy, hereafter hyperspectral imagery, in which hundreds of reflectance wavelengths are measured for each 71 pixel, promises a paradigm shift in the capacity to remotely monitor biodiversity (Schimel et al., 72 2020). However, current application of hyperspectral technology remains limited by spatial and 73 temporal coverage (Cawse-Nicholson et al., 2021). 74

In light of these needs, the 2017-2027 Decadal Survey organized by the United States 75 National Academy of Sciences, Engineering, and Medicine (NASEM, 2018) established surface 76 biology and geology (SBG) as a designated observable using satellite remote sensing. The SBG 77 78 project is anticipated to use hyperspectral visible to shortwave infrared (VSWIR; 380-2500 nm) imagery in a mission to be lead by the National Air and Space Administration (NASA) (Cawse-79 Nicholson et al., 2021). To date, the Decadal Survey recommends a VSWIR instrument with 30-80 81 45 m pixel resolution, as well as  $\leq$ 16 day global revisit time and 10 nm spectral resolution in the 380-2500 nm range. During the current formulation phase, it is important to consider tradeoffs 82 among choices of parameter specifications. One important parameter under consideration is 83 84 spatial resolution of imagery, which may have a significant influence on the capability to

remotely sense spatial distributions of biodiversity (Gamon et al., 2020).

The current study focuses on implications of spatial resolution of imagery on 86 effectiveness of remotely sensing plant community beta diversity using the algorithm 87 biodivMapR (Féret and de Boissieu, 2020). Beta diversity refers to spatial variation, or turnover, 88 of species composition among plant communities at the landscape scale. BiodivMapR also 89 estimates alpha plant diversity (local diversity) as diversity of spectral species (classified pixels) 90 within larger mapping windows, which depends on the Spectral Variation Hypothesis (Palmer et 91 al., 2002; Rocchini et al., 2004), or the assumption that local variation in spectral signatures 92 93 among pixels corresponds to plant functional diversity and species diversity (Gamon et al., 2020). However, as the size of pixels increasingly exceeds the size of individual plant species, 94 this assumption becomes much less certain (Féret and Asner, 2014; Féret and de Boissieu, 2020; 95 Rocchini et al., 2018). For example, in grasslands where there multiple species per m<sup>2</sup>, this 96 relationship appears to break down at pixel sizes larger than about 5 m (Gholidezah et al. 2019; 97 2021; Gamon et al., 2020). Within the range of spatial resolutions suggested for the SBG mission 98 (30-45 m), alpha diversity procedures will likely not be effective in herb-dominated plant 99 communities such as those in the current study, which can have > 20 species per m<sup>2</sup> (Glitzenstein 100 et al., 2003). Remote sensing of beta diversity is less limited by spatial resolution, as pixels 101 classified as spectral species can represent local community composition instead of individual 102 species (Rocchini et al., 2018). Thus, the degree of similarity in biodiversity between two areas 103 104 of interest can be estimated by the similarity in spectral species composition. BiodivMapR uses the spectral species concept (Rocchini et al., 2010) to calculate dissimilarity in spectral species 105 among larger mapping windows containing multiple pixels. In this light, biodivMapR might be 106 107 effectively applied for detection of plant beta diversity using ranges of image spatial resolution

recommended for the SBG mission and currently available from existing hyperspectral satellite
 missions (e.g., PRISMA, DESIS).

The capacity to remotely sense beta diversity at spatial resolutions larger than individual 110 plants depends on the plant community concept, specifically that plant species belonging to 111 particular assemblages are adapted to certain environmental conditions (Lortie et al., 2004) and 112 collectively have definable reflectance characteristics (Cavender-Bares et al., 2020). Ability to 113 map beta diversity also depends on the pixel resolution of available imagery relative to the 114 spatial scale of plant community turnover (Gamon et al. 2020). Landscapes within the study 115 116 region, the southeastern U.S. Coastal Plain, provide a useful scenario for assessing methods of detecting beta diversity, given their complex spatial arrangements at small spatial scales (Carr et 117 al. 2010), geologically active karst topography that influences community distribution (Lane and 118 D'Amico, 2010), strong responses of vegetation to slight elevation changes (Drewa et al. 2002), 119 and varying coverage and effects of frequent prescribed fire (Robertson et al. 2019), which 120 contribute to the region being recognized as a global biodiversity hotspot (Noss et al., 2015). 121 Thus, the ability to differentiate communities for mapping beta diversity will depend on both 122 image pixel size and dimensions of the mapping windows composed of pixels classified as 123 spectral species, among which dissimilarity of spectral species is calculated for mapping beta 124 diversity (Asner and de Boissieu, 2020). Larger mapping windows contain more pixels and 125 spectral species and thus have more refined capacity to estimate dissimilarity, but at the cost of 126 127 spatial resolution of the beta diversity map. Effective mapping of beta diversity may also be influenced by the specified number of spectral species into which pixels are classified (Féret and 128 de Boissieu, 2020). 129



In this study, we use biodivMapR to compare estimates of beta diversity among levels of

131 image resolution ranging in pixel size from 1 m to 90 m. Our approach was to use 1 m resolution imagery from airborne sensors at three National Ecological Observatory Network (NEON; 132 neonscience.org) sites representing different natural landscapes representative North American 133 Coastal Plain pine communities. We aggregated pixels to simulate coarser resolution imagery 134 and ran biodivMapR algorithms to assess its capacity to detect beta diversity using different pixel 135 resolutions using nearly constant sized mapping windows. We also explored the effects of 136 mapping window size and the number of assigned spectral species on the ability to distinguish 137 natural communities. We used field-collected data to confirm the similarity within and 138 139 dissimilarity among plant communities with regard to actual plant species composition and to provide points of reference to estimates by biodivMapR. We use the results to discuss the 140 implications of spatial resolution requirements of imagery for measuring biodiversity on natural 141 landscapes using space-based hyperspectral imagery and indicate potential applications and 142 limitations of such imagery and to provide guidance for development of the anticipated SBG 143 mission. 144

### 145 **2 Materials and Methods**

146 2.1 Study Sites

We used remote sensing and field-collected plant presence and percent cover data provided by NEON (2022) from sites at three properties representing different longleaf pine (*Pinus palustris*) savanna ecosystems within the southeastern U.S. Coastal Plain. The properties were the Disney Wilderness Preserve (DSNY), Jones Ecological Research Center (JERC), and Ordway-Swisher Biological Station (OSBS) (Fig. 1). The sites were selected for the availability of NEON airborne hyperspectral imagery with 1 m resolution acquired annually within a 10 km x 10 km area centered on the property and the availability of surface vegetation data. Also, the sites represent the three historically dominant upland ecosystems in the Coastal Plain region andtheir associated community types, described below for each site.

156 2.1.1 Disney Wilderness Preserve (DSNY)

DSNY (28.1287°N, -81.4303°W) is a 4,600 ha property owned and managed by The Nature Conservancy. Elevation of the property is approximately 15 m above sea level (asl), and low to high average monthly temperatures are 15.6°C in January and 27.8°C in July. Native pine savannas dominating the DSNY landscape are more specifically flatwoods pine savannas (FNAI,

161 2010), historically the most widespread 162 natural community in Florida. Soils are mostly in the Spodosol order (Soil 163 Survey Staff 2022), which are sandy with 164 organic matter and saturated part of the 165 year. The flatwoods pine community has 166 sparse tree canopy dominated by longleaf 167 pine with an understory of evergreen 168 shrubs, especially saw palmetto (Serenoa 169 170 repens) and gallberry (Ilex glabra), and a diverse herbaceous plant community 171 172 dominated by wiregrass (Aristida 173 beyrichiana) in most areas. It is



Figure 1. Locations of the three NEON study sites within the southeastern U.S.: Disney Wilderness Preserve (DSNY), Jones Ecological Research Station (JERC), and Ordway-Swisher Biological Station (OSBS).

- dependent on frequent prescribed fire (Glitzenstein et al., 2003), applied at three-year or shorter
- intervals at DSNY. Cypress forests (syn. dome swamp, basin swamp; FNAI, 2010) occur at
- slightly lower elevations with long hydroperiods and a nearly closed canopy of pond cypress

177 (*Taxodium ascendens*) with evergreen shrubs and shade-tolerant herbs in the understory. Evergreen wetland forests (syn. baygall; FNAI, 2010) occur in similar though often shallower 178 physical locations but are dominated by evergreen broadleaf trees, primarily titi (Cvrilla 179 racemiflora) and sweetbay (Magnolia virginiana). Grass marshes (syn. depression marsh, basin 180 marsh; FNAI, 2010) are herbaceous wetlands associated with shallow karst depressions with 181 fluctuating water levels. Scrub is composed of shrub-like trees with sparse herbaceous surface 182 vegetation in slightly raised areas of nearly pure sand, and they typically burn with crown fires 183 less frequently than flatwoods (FNAI, 2010). Native pastures are former flatwoods communities 184 185 where trees and most woody vegetation were removed but otherwise have similar herbaceous vegetation and are frequently burned. 186

187 2.1.2 Jones Ecological Research Center (JERC)

JERC (31.2205°N, -84.4793°W) is a 12,000 ha private research center. Elevation ranges 188 from approximately 30-50 m asl. Low to high average monthly temperatures are 10.2°C in 189 January and 28.4°C in July. The dominant soils are in the Ultisol order (Soil Survey Staff, 2022), 190 consisting mostly of sand with a clayey subhorizon. Native pine savannas dominating JERC are 191 specifically upland pine communities (FNAI, 2010). This community type has an open canopy of 192 mostly longleaf pine and fire-tolerant broadleaf trees (mostly genera *Quercus* and *Carya*), 193 surface vegetation of resprouting broadleaf tree and shrub species, and a diverse herbaceous 194 community dominated by wiregrass in most areas (Carr et al., 2010). It is dependent on frequent 195 196 fire and is typically burned at two-year intervals at JERC. Bottomland forest communities occur in occasionally flooded areas and have a closed-canopy dominated by mesic broadleaf deciduous 197 trees with shrubs and sparse shade-tolerant herbaceous plants in the understory (FNAI, 2010). 198 199 Old-field pine communities are former row crop sites that have been planted with longleaf pine

and managed with frequent fire similar to the upland pine savannas, such that it contains a subset
of native savanna plant species (Kirkman et al., 2004; Dixon et al., 2021). Cultivated crop sites
are annually tilled and planted mostly with cotton, peanuts, corn, or soybeans and harbor a
variety of agricultural weeds.

204 2.1.3 Ordway-Swisher Biological Station (OSBS)

OSBS (29.6903°N, -82.0176°W) is a 3,800 ha property owned and managed by the 205 University of Florida. Elevation of the property ranges from 30-55 m asl, and low and high 206 average monthly temperatures are 12.6°C in January and 27.6°C in August. The dominant soils 207 208 are in the Entisol order (Soil Survey Staff, 2022) consisting mostly of sand. Its native pine community is specifically sandhill pine (FNAI, 2010) burned at three-year intervals. This 209 community has an open canopy of mostly longleaf pine and fire-tolerant broadleaf trees and 210 relatively xeric surface vegetation, though also dominated by wiregrass like the other sites. Open 211 wetland forests (syn. basin swamps; FNAI, 2010), with an open canopy of mostly pond cypress 212 and black gum (Nyssa biflora), occur in locations with long hydroperiods and have understory 213 vegetation consisting of wetland shrubs, ferns, and floating and emergent wetland herbaceous 214 vegetation. Upland mixed forests (syn. upland hardwood forest; FNAI, 2010) occupy areas that 215 were previously sandhill pine communities but fire-excluded for decades, resulting in a closed 216 canopy of oaks and residual pines and sparse understory vegetation. Disturbed areas are sandhill 217 pine communities with a history of intensive soil disturbance and are characterized by few pine 218 219 and broadleaf trees and sparse ruderal forbs and grasses. Bottomland forests and grass marshes at OSBS are similar to those described for DSNY and JERC, respectively. 220

221 2.2 Field Data



We used field data to confirm that locations within areas classified as a particular

223	community type have similar plant species composition relative to other community types. We
224	the plant presence and percent cover datasets provided for each site through the NEON portal
225	(NEON, 2022), as well as plots that we established and censused on each of the properties, with
226	the goal of providing multiple plots per common community type. Plots used in the study were
227	distributed such that each represented an individual natural community feature or management
228	unit. Both the NEON plots and our additional plots were 20 m x 20 m (400 $m^2$ ) and were
229	censused for presence of all vascular plant species during the growing season. The NEON plots
230	had all been censused within the previous three years. We censused additional plots at DSNY in
231	late March, OSBS in April, and JERC in May of 2022. We also field-validated our interpretation
232	of community types at virtual plot locations chosen from aerial photography for selecting points
233	representing those communities for the biodivMapR analyses, described below. For the dominant
234	pine community types, total numbers of plots ranged from 20-27 among the three study sites, and
235	for the other community types numbers of plots ranged from $2-12$ (average = 6) (Table 1).

Table 1. Plant community types, numbers of field-measured plots (NEON plant presence and
percent cover plots and our plots combined), and numbers of virtual plot locations remotely
chosen for analysis for the three study sites. DSNY = Disney Wilderness Preserve, JERC = Jones
Ecological Research Center, OSBS = Ordway-Swisher Biological Station.

Site	Community	Field plots	Virtual plots
DSNY	Cypress forest	2	5
DSNY	Evergreen wetland forest	2	6
DSNY	Flatwoods pine savanna	25	8
DSNY	Grass marsh	4	5
DSNY	Pasture	12	4
DSNY	Scrub	4	6
JERC	Bottomland forest	3	5
JERC	Cultivated crops	5	5

JERC	Old-field pine savanna	3	4
JERC	Upland pine savanna	26	6
OSBS	Bottomland forest	7	4
OSBS	Disturbed	5	6
OSBS	Grass marsh	10	6
OSBS	Open wetland forest	5	5
OSBS	Upland mixed forest	7	6
OSBS	Sandhill pine savanna	13	11

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For each of the three sites, we ran nonmetric multidimensional scaling (NMS) ordinations 241 using PC-ORD v. 7 (McCune and Mefford, 2018) to confirm that communities are relatively 242 definable in terms of plant species composition. We also ran multi-response permutation 243 procedures (MRPP) based on the Bray-Curtis dissimilarity matrices, which averages the within-244 community dissimilarity and total dissimilarity to calculate within-community agreement (A) 245 (McCune and Grace, 2002) for comparison to biodivMapR results, described below. 246 247 2.3 Airborne Hyperspectral Reflectance Data Surface reflectance data were acquired by the NEON Imaging Spectrometer (NIS) on the 248 NEON Airborne Observation Platform (AOP) and accessed through the NEON Data Portal 249 250 (data.neonscience.org). The NIS design is based on Next Generation Airborne Visible/Infrared Imaging Spectrometers (AVIRISng), which was developed under the Next-Generation Imaging 251 Spectrometer (NGIS) program at NASA's Jet Propulsion Laboratory (JPL). The raw data include 252 253 426 bands collected at 1--m spatial resolution in the visible-to-shortwave infrared (VSWIR) range between 0.38 and 2.5 microns and a spectral sampling of 5 nm (Karpowicz and Kampe, 254 2015). Images were collected by NEON as flightlines approximately 500 m in width which were 255 mosaiced for the 10 km x 10 km area and subsequently separated into 1 km<sup>2</sup> tiles available for 256

download (Karpowicz and Kampe, 2015). We downloaded and mosaiced tiles to cover our area
of interest, with numbers of tiles ranging from 25 to 50 tiles among the three study sites.

Reflectance data used in this study were collected in September, 2021 for all three sites. Although time since the previous prescribed fire no doubt had some influence on the reflectance properties of fire-dependent communities, the time between burning in the spring and imaging in September is sufficient for pine savannas to have considerable recovery by resprouting perennial vegetation characteristic of these communities (Picotte and Robertson, 2011).

NEON reflectance data were initially converted from at-sensor radiances to surface 264 reflectance using the ATCOR atmospheric correction (Karpowicz and Kampe, 2015) and then 265 provided to the community as georectified images in ENVI format using the neonhs R package 266 (https://www.earthdatascience.org/neonhs/). No additional corrections were performed. For each 267 site, we mosaiced multiple 1 km x 1 km flightline mosaics provided by NEON to create a 268 seamless product that included most of the NEON vegetation plots. Finally, we used a python 269 script from the Space-based Imaging Spectroscopy and Thermal pathfindER (SISTER) resample 270 repository (https://github.com/EnSpec/sister-resample) to aggregate the NEON mosaics from 271 their native resolution of 1 m to 5 m, 15 m, 30 m, 40 m, 60 m, and 90 m. These final mosaics, 272 273 including the original 1 m resolution mosaic, served as the inputs into the biodivMapR package (https://jbferet.github.io/biodivMapR/index.html). 274

R scripts provided through the GitHub page were used to guide the workflow. The first
step masked irrelevant pixels (e.g., non-vegetated, cloudy, shadow) based on a spectral
thresholding of NDVI and the Blue/NIR domains. We used the default thresholds for Blue and
NIR but lowered the Normalized Difference Vegetation Index (NDVI) threshold from the default
of 0.5 to 0.1 to include lightly vegetated areas characteristic of some frequently burned areas. A

series of processing steps were then applied to the remaining data, including band removal,
continuum removal, and dimensionality reduction using principal component analysis (PCA).
The wavelengths removed from the analysis corresponded to atmospheric water absorption or
otherwise had a high signal to noise ratio (Sousa et al., 2022), specifically 0-400 nm, 895-1005
nm, 1320-1480 nm, 1780-2040 nm, and 2400-3000 nm.

After the data were normalized and transformed, we performed a selection of principal 285 components that were most relevant to the mapping of biodiversity in our study areas. While 286 some components highlighted differences in vegetation properties, others showed information 287 related to sensor characteristics or very high noise level. Therefore, it was important to visualize 288 each component and follow the published recommendations for component selection (Féret and 289 de Boissieu, 2020). The next step partitioned the selected components into a predefined number 290 of clusters (spectral species) by using k-means clustering and assigned a cluster ID to each pixel. 291 We used either 50 spectral species (default) and then 20 spectral species for comparison, 292 described below. BiodivMapR then calculates the Bray-Curtis dissimilarity index for each pair of 293 mapping windows based on abundance of each spectral species, and then uses an ordination 294 technique to assign three numbers to each pixel as the basis for visualizing maps of beta 295 diversity. BiodivMapR can also provide a BC matrix including only dissimilarities among points 296 of interest, which we used for analyses described below. 297

In our first analysis, the goal was to test for effect of pixel size on the ability of biodivMapR to distinguish natural community types within each site in terms of dissimilarity in spectral species in pairwise comparisons among locations within and among communities. For this analysis, we used similarly size mapping windows (270-300 m) for comparison among pixel resolutions, such that the number of pixels per mapping window varied by several orders of

303 magnitude (Table 2). We used reference real color imagery from NEON used to create virtual plots by placing points within homogeneous areas of a given community type large enough to 304 contain one mapping window. We took this approach instead of using the locations of the 20 m x 305 20 m plots with field data because of the spatial mismatch between the plots and the much larger 306 mapping windows, and so we could choose a more balanced representation of community types 307 than provided by the field plots (Table 2). However, we confirmed in the field that the virtual 308 plot locations accurately represented the remotely interpreted community type. The function 309 'biodiversity from plots' was then used to extract the Bray-Curtis dissimilarity matrices 310 311 comparing spectral species composition among mapping windows centered on the virtual plot point locations. 312

Table 2. Pixel resolution, square mapping window width, and number of pixels per mapping
window used to calculate diversity metrics from NEON imagery.

Pixel	Window	Pixels per
size (m)	size (m)	window
1	270	72,900
5	270	2,916
15	270	324
30	270	81
40	280	49
60	300	25
90	270	9

315

Using the Bray-Curtis dissimilarity matrices, we ran MRPP analyses using the vegan package and the function mrpp in R (Oksanen et al., 2022) to provide the average withincommunity dissimilarity and total dissimilarity for each study site and pixel resolution (Table 2) and using 50 versus 20 spectral species. From these values we calculated within community

agreement (A) as A = 1 - (average within variance / average total variance) (McCune and Grace, 2002). We also calculated the percentage of total dissimilarities equal to 1 (no spectral species in common) to assess the method's ability to identify relative dissimilarity as opposed to absolute dissimilarity. We charted trends in each of these metrics with increasing pixel size to visualize the effects of image resolution on capacity to discriminate natural communities as reflected in the A statistic.

In a second analysis, we assessed the effects of changing the sizes of the both pixel size and mapping windows on ability to discriminate among community types. For this analysis, the sizes of mapping windows were adjusted according to pixel size to maintain numbers of pixels per window within the range of 49-81 (Table 3), which is within the 50-400 range recommended by Féret and de Boissieu (2020). In this analysis we used 20 spectral species, as the first analysis Table 3. Pixel resolution, square mapping window width, and number of pixels per mapping window used to calculate diversity metrics from NEON imagery.

Pixel	Window	Pixels per
size (m)	size (m)	window
1	8	64
5	40	64
15	120	64
30	270	81
40	280	49

revealed that this number provides higher resolution among community types. We used only pixel sizes 1 m, 5 m, 15 m, 30 m, and 40 m, as larger pixel resolutions would require mapping windows with 480 m or greater dimensions, which is larger than the area of any natural community feature in the study. Similar to the first analysis, we ran MRPP analyses to derive within community dissimilarity, total dissimilarity, the A statistic, and percentage of 338 dissimilarities equal to one, and

339 values were charted to visualize

340 trends among pixel sizes.

## **341 3. Results**

NMS analyses of field 342 collected data generally confirmed 343 that community types were well 344 defined by their plant species 345 composition, represented by 346 presence or absence of species, as 347 348 visualized using NMS ordination (Figure 2). 349

350 The analysis using varying 351 pixel resolutions (1-90 m) with similar sized mapping windows 352 353 (270-300 m) showed a fairly strong 354 decrease in capacity to identify beta diversity with increasing pixel size 355 (Figure 3). The average dissimilarity 356 among plots within community 357 types increased with coarser pixel 358 resolution (Figure 3a). Average total 359 dissimilarity among all plots also 360



Figure 2. Results from NMS ordination on field collected plant species presence-absence data for each community type and study site. Symbols represent data from 20 m x 20 m field plots.

361	increased, but more gradually (Figure 3b).
362	These patterns resulted in a general
363	decrease in within community agreement (A
364	= 1 - (within dissimilarity / total
365	dissimilarity)) with coarser pixel resolution
366	(Figure 3c). The percentage of pairwise
367	comparisons with dissimilarity = 1 (no
368	spectral species in common) increased with
369	coarser pixel resolution (Figure 3d). These
370	patterns can also be visualized through
371	results of NMS analyses reflecting the
372	Bray-Curtis dissimilarity matrices
373	comparing spectral species among
374	communities (Figure 4a-i). Plant
375	communities generally can be distinguished
376	in the ordination plots using 1 m pixels
377	(Figure 4a-c) and 30 m pixels (Figure 4d-f),
378	but the capacity to distinguish community
379	types has largely broken down at 90 m
380	(Figure 4g-i).
381	The trends were similar between

analyses using 50 spectral species versus 20

383 spectral species. However, the 20



Figure 3. Spectral species dissimilarity within community types, dissimilarity among all plot locations, within community agreement (A), and percentage of comparisons with absolute disagreement for each pixel resolution, study site, and 50 versus 20 spectral species using 270-300 m mapping windows. Symbols represent values for field-measured plots using actual plant species.



Figure 4. Results from NMS analyses from Bray-Curtis dissimilarity matrices generated by biodivMapR at using three pixel resolutions (1m, 30 m, 90 m) and 270 m mapping windows using 50 spectral species at each of the three study sites (DSNY = Disney Wilderness Preserve, JERC = Jones Ecological Research Center, OSBS = Ordway-Swisher Biological Research Station). Symbol colors correspond to community types.

spectral species invariably resulted in
lower dissimilarity among plots, higher
within community agreement, and fewer
pairwise comparisons with dissimilarity =
1, and thus overall higher resolution in
distinguishing plots among community
types (Figure 3a-d).

Field measured values for 392 dissimilarity metrics were generally most 393 similar to remote sensing estimates that 394 used the finest pixel resolution (Figure 3a-395 d). Field-measured plots had very few 396 pairwise comparisons with zero plant 397 species in common, in sharp contrast to 398 virtual plot dissimilarities based on 399 spectral species (Figure 3d). 400

For our second analysis, which
compared varying pixel resolutions and
mapping window sizes with similar
numbers of pixels per window (49-81),
there were no strong trends evident
(Figure 5a-d). The values were generally
similar to those for intermediate pixel



Figure 5. Spectral species dissimilarity within community types, dissimilarity among all plot locations, within community agreement (A), and percentage of comparisons with absolute disagreement for each pixel resolution and study site using 20 spectral species and varying sized mapping windows with 49-81 spectral species per window. Symbols represent values for field-measured plots using actual plant species.



Figure 6. Beta diversity maps generated by bioDivMapR using different pixel resolutions and mapping window sizes to represent part of the Disney Wilderness Preserve (DSNY). Beige lines indicate the extent of natural community types interpreted from aerial photographs, and some natural community types are labeled.

408 409

resolutions (30-40 m) using the 270-300 m mapping windows (Figure 3a-d).

Taken together, these results show that the number of pixels per window has the strongest influence on ability to discern community types using biodivMapR. However, beta diversity maps generated from varying window sizes with similar numbers of pixels per window show that increasing window size to incorporate more pixels decreases the spatial resolution of beta diversity maps, which at some point decreases the capacity to spatially distinguish community types (Figure 6a-d).

416 4 Discussion

417 4.1 Assessment of biodivMapR outputs

Results of our analysis indicate that spatial resolution of imagery has a strong effect on the capacity to identify beta diversity using the algorithm biodivMapR. The key variable influencing the ability to detect relative levels of dissimilarity among locations is the number of pixels per mapping window, where more pixels provide greater resolution. Increasingly coarse pixel resolution can be compensated by increasing the mapping window size, though at the cost of decreasing spatial resolution of beta diversity maps built from the windows.

The decreasing capacity to identify beta diversity with fewer pixels per mapping unit 424 appears to result primarily from overestimation of dissimilarity among mapping windows within 425 community types, such that there is a loss of distinction between the within-community 426 dissimilarity and total dissimilarity. This overestimation results from pairwise comparisons 427 between windows showing increasingly few or zero spectral species in common as pixels per 428 429 window decreases, even if comparisons are within the same community type. Where there were fewer than 50 pixels per mapping window (60-90 m pixels within 270-300 m mapping 430 windows), more than half of the total dissimilarities were equal to one (Figure 3d). Such absolute 431 432 dissimilarities provide limited information, even if between different community types, as they

suggest that communities within the same landscape have no more species in common than 433 communities on different continents. In fact, however, all pairwise comparisons among 434 community types using field data at JERC and OSBS showed at least some generalist species in 435 common, and only about 6% of comparisons at DSNY had no species in common. We suggest 436 that using the appropriately sized mapping window relative to image pixel size, particularly with 437 the goal of minimizing the number of dissimilarities equal to one, is essential for producing the 438 most meaningful beta diversity maps. The recommendation by Féret and de Boissieu (2020) that 439 there be a minimum of 50 pixels per mapping window seems appropriate, although, as they point 440 441 out, assigning an appropriate number of spectral species is also important.

The strong effect of number of spectral species on detection of beta diversity also relates 442 to overestimation of dissimilarity in pairwise comparisons among mapping windows. In this 443 study, reducing the number of spectral species from 50 to 20 considerably improved the capacity 444 to distinguish community types. This effect may seem counterintuitive, but reducing the number 445 resulted in there being more spectral species in common among windows within community 446 types, which more strongly contrasted average dissimilarity among all community types. The 447 advantage of having fewer spectral species may be a special case where pixels represent local 448 449 plant community composition rather than individual plant species. Where two pixels are assigned different species when in fact they have some species in common, their dissimilarity is 450 overestimated as 1 (Rocchini et al., 2022). For the studied community types, it is easy to imagine 451 452 that variations in the local abundance of potentially high-cover species, such as wiregrass, longleaf pine, and saw palmetto, might cause different spectral species classifications despite the 453 overall plant community composition is quite similar (Ostertag and Robertson, 2007). Of course 454 455 at some point reduction of spectral species will cause pixels classifications to be overly

456 homogenized and will not effectively represent degree of dissimilarity among communities.

457 Currently the appropriate number of spectral species must be determined by trial and error with

458 validation data, but eventually better guidelines might be determined based on the spatial

resolution of imagery relative to the spatial scale of plant community complexity.

460 4.2 Implications for the SBG mission

Our results indicate the importance of maximizing the spatial resolution of imagery for 461 the most effective mapping and monitoring of biodiversity. Using biodivMapR or similar 462 algorithms, the spatial resolution of imagery will determine the minimum size of mapping 463 windows with enough pixels to produce meaningful maps, which in turn limits the spatial 464 resolution of those maps. The implications of mapping window size depend on the spatial 465 distribution of natural community types representing plant beta diversity on a given landscape. In 466 the southeastern U.S. Coastal Plain, pixel resolutions  $\geq 30$  m, such as those recommended for the 467 SBG mission, corresponding to mapping windows > 270 m in order to contain > 50 pixels, 468 would be insufficient for identifying the contribution to beta diversity by certain community 469 types, such as isolated ephemeral ponds and narrow riparian features. However, coarser 470 resolution data might still identify beta diversity among larger ecological features, such areas 471 dominated by native pine communities, scrub, cypress forests, and native pastures (Figure 6). 472 Our study provides some context for assessing tradeoffs in investment among image 473 spatial resolution, spectral resolution, signal-to-noise ratio, and flyover return interval. Although 474 475 our focus was on spatial resolution, the ability for biodivMapR to distinguish natural community types as well as it did even with much coarser spatial resolution than those generally 476 recommended for remote sensing of biodiversity (Gamon et al., 2020) presumably benefited 477 from the immense spectral resolution afforded by hyperspectral imagery (Thorpe et al., 2013). 478

479 Flights for the NEON project were chosen on clear days, whereas utility of satellite remote sensing data is limited by cloudiness, underscoring the importance of sufficiently frequent 480 returns to collect cloud-free data in regions where clear days are limited. Data acquisition at a 481 frequency sufficient to account for seasonal effects and plant phenological changes and to 482 monitor changes in land use and ecological status over time is also critical. For example, 483 analyses in this study were simplified by having full coverages of the areas of interest within a 484 few days and at the height of the growing season. However, within these limits, our analysis 485 underscores the need to maximize the spatial resolution of imagery for effective mapping of 486 487 plant beta diversity.

488

#### 489 **5** Conclusions

Using the algorithm biodivMapR with hyperspectral remote sensing imagery, we show 490 that the capacity to detect plant beta diversity as represented by plant community types decreases 491 with number of pixels per mapping window. It follows that pixel resolution places a lower limit 492 on size of mapping windows that are effective for distinguishing community types, which in turn 493 limits the spatial resolution beta diversity maps composed of mapping windows. When image 494 pixel size is much larger than individual plants, the effect of having too few pixels per window, 495 as well as assignment of too many spectral species per image, has the effect of overestimating 496 dissimilarity among locations that in fact may have many plant species in common. This 497 498 overestimation undermines the capacity to contrast mapping window dissimilarity within versus among community types and thus reduces the information content of beta diversity maps. These 499 results demonstrate the advantage of maximizing spatial resolution of hyperspectral imaging 500 instruments on the anticipated NASA Surface Biology and Geology satellite mission and similar 501

502 remote sensing projects.

503

## 504 Acknowledgments

- 505 Funding for the project was provided by NASA grant 80NSSC21K1956 and Tall Timbers
- 506 Research Station. The National Science Foundation National Ecological Observatory Network
- 507 (NEON) provided remote sensing imagery and plot field data on which the study was based. We
- 508 thank Beatriz Pace-Aldana of The Nature Conservancy Disney Wilderness Preserve, Jeffery
- 509 Cannon of the Jones Ecological Research Center, and Andrew Rappe of Ordway-Swisher
- 510 Biological Station for providing access to sites and support for field work. We thank Joe Noble
- and Morgan Varner for administrative and technical support.

512

## 513 **Open Research**

514 Software used in analyses were:

- 515 PC-ORD, citation McCune, B., & Mefford, M. J. (2018) *PC-ORD. Multivariate Analysis of*
- 516 Ecological Data v. 7.08. Oregon: MjM Software Design, available for download at
- 517 https://www.wildblueberrymedia.net/store/pc-ord-7-single-user-license-regular-new-user.
- 518 R, downloadable at https://www.r-project.org/. We specifically used package version 2.6-2.
- 519 https://doi.org/10.1002/env.516 https://CRAN.R-project.org/package=vegan&gt
- 520 BiodivMapR was downloaded from https://github.com/jbferet/biodivMapR and installed
- 521 with the command line devtools::install \_ git('https://github.com/jbfer et/biodi vMapR.git') as
- 522 provided in the manuscript by Asner and de Boissieu (2020). Data command were those
- 523 described in the manuscript text.

524

525 Data sources are described as follows:

526	NEON hyperspectral remote sensing data as described in the manuscript was accessed by
527	logging into the NEON data portal (https://data.neonscience.org/home), where the user can
528	browse to or search for the section called Spectrometer orthorectified surface directional
529	reflectance - mosaic, where available data are listed by date for each NEON site.
530	NEON plant presence and percent cover as described in the manuscript was accessed by
531	logging into the NEON data portal (https://data.neonscience.org/home), where the user can
532	browse to or search for the section called Plant presence and percent cover, where data for each
533	site are displayed for download.
534	The Bray-Curtis dissimilarity matrices for all analyses presented, and the shapefiles of field
535	plot and virtual plot locations to which the matrices pertain, have been submitted for open access
536	storage in Pangaea (pangaea.de). The data submission is pending review.
537	
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