# Electron Heating in Magnetosheath Turbulence: Dominant Role of the Parallel Electric Field within Coherent Structures

Qianyun Xu<sup>1</sup>, Meng Zhou<sup>1</sup>, Wenqing Ma<sup>2</sup>, Jiansen He<sup>3</sup>, Shiyong Huang<sup>4</sup>, Zhihong Zhong<sup>1</sup>, Ye Pang<sup>1</sup>, and Xiaohua Deng<sup>1</sup>

<sup>1</sup>Nanchang University <sup>2</sup>Nanchang university <sup>3</sup>Peking University <sup>4</sup>Wuhan University

December 16, 2022

#### Abstract

How are particles being energized by turbulent electromagnetic fields is an outstanding question in plasma physics and astrophysics. This paper investigates the electron acceleration mechanism in strong turbulence ( $\delta B/B0$  ~ 1) in the Earth's magnetosheath based on the novel observations of the Magnetospheric Multiscale (MMS) mission. We find that electrons are magnetized in turbulent fields for the majority of the time. By directly calculating the electron acceleration rate from Fermi, betatron mechanism, and parallel electric field, it is found that electrons are primarily accelerated by the parallel electric field within coherent structures. Moreover, the acceleration rate by parallel electric fields increases as the spatial scale reduces, with the most intense acceleration occurring over about one ion inertial length. This study is an important step towards fully understanding the turbulent energy dissipation in weakly collisional plasmas.











1	Electron Heating in Magnetosheath Turbulence: Dominant Role of
2	the Parallel Electric Field within Coherent Structures
3	Qianyun Xu <sup>1,2</sup> , Meng Zhou <sup>1,2</sup> , Wenqing Ma <sup>2</sup> , Jiansen He <sup>3</sup> ,
4	Shiyong Huang <sup>4</sup> , Zhihong Zhong <sup>1,2</sup> , Ye Pang <sup>2</sup> , Xiaohua Deng <sup>2</sup>
5	
6	1. Department of Physics, School of Physics and Materials Science, Nanchang
7	University, Nanchang 330031, People's Republic of China;
8	2. Institute of Space Science and Technology, Nanchang University, Nanchang
9	330031, People's Republic of China;
10	3. School of Earth and Space Sciences, Peking University, Beijing 100871, People's
11	Republic of China;
12	4. School of Electronic Information, Wuhan University, Wuhan, People's Republic
13	of China;
14	Key points:
15	1. Electrons are primarily accelerated by the parallel electric field in the
16	magnetosheath turbulence.
17	2. The $E_{\parallel}$ acceleration mostly occurs within the coherent structures through Joule-type
18	dissipation.
19	3. The average $E_{\parallel}$ acceleration rate increases with the decreasing local spatial scale.
20	Abstract
21	How are particles being energized by turbulent electromagnetic fields is an
22	outstanding question in plasma physics and astrophysics. This paper investigates the
23	electron acceleration mechanism in strong turbulence ( $\delta B/B_0 \sim 1)$ in the Earth's
24	magnetosheath based on the novel observations of the Magnetospheric Multiscale
25	(MMS) mission. We find that electrons are magnetized in turbulent fields for the
26	majority of the time. By directly calculating the electron acceleration rate from Fermi,
27	betatron mechanism, and parallel electric field, it is found that electrons are primarily
28	accelerated by the parallel electric field within coherent structures. Moreover, the
29	acceleration rate by parallel electric fields increases as the spatial scale reduces, with

the most intense acceleration occurring over about one ion inertial length. This study is
an important step towards fully understanding the turbulent energy dissipation in
weakly collisional plasmas.

## 33 Plain language summary

34 The magnetosheath is one of the most turbulent environments in near-Earth space, 35 which is very beneficial to the study of collisionless turbulent plasma. The mechanism 36 of turbulent energy dissipation and the consequent plasma heating is not fully understood. The Magnetosphere Multiscale mission provides high-time cadence data 37 and simultaneous multi-spacecraft measurements at very small inter-spacecraft 38 39 separations. That can measure important quantities related to dissipation and heating at 40 kinetic scales. This paper investigates how electrons are being accelerated through the dissipation of magnetic energy in nonlinear turbulence in the Earth's magnetosheath. 41 42 We classify the acceleration mechanisms into three types: Fermi mechanism, betatron mechanism, and  $E_{\parallel}$  acceleration. By directly calculating and comparing these 43 44 mechanisms, we find electrons are predominantly accelerated by parallel electric fields within coherent structures. The  $E_{\parallel}$  acceleration is the most effective around the ion 45 inertial length. 46

47

## 48 1. Introduction

49 Energy cascade is one of the most prominent features of turbulence. Energy is injected at large scales, like fluid scales, then cascades to small scales through non-50 51 linear interactions, and finally dissipated at kinetic scales, leading to plasma heating 52 and particle acceleration and the formation of suprathermal tails in the particle energy 53 spectrum (Kiyani et al., 2015). Space plasma is typical of weak collisionality; hence collisionless mechanisms play a critical role in turbulent energy dissipation in space 54 plasmas (Matthaeus et al., 2015; Chen 2016; Howes 2017). How the particles are 55 heated/accelerated by turbulence is one of the most outstanding questions in plasma 56 turbulence; however, the mechanism of turbulent energy dissipation and the consequent 57 plasma heating is not fully understood after decades of intensive study. 58

59 Different types of acceleration mechanisms have been proposed to explain plasma

60 heating by the turbulent cascade in collisionless plasma. These mechanisms can be generally classified into two categories: resonant acceleration and non-resonant 61 62 acceleration. The dissipation of waves is usually due to the energy transfer to energizing particles caused by field and particle resonance, which can work over a long distance 63 and a long time. It includes Landau damping, cyclotron damping, and transit-time 64 65 damping (Chandran et al., 2010; Dmitruk et al., 2004a; Sahraoui et al., 2009; Isenberg 66 & Hollweg 1983; Gary et al., 2000; Isenberg 2001; Marsch & Tu 2001; Klein et al., 2017). Previous studies have found clues of this resonant acceleration in space plasma 67 turbulence. He et al. (2015a, 2015b) suggested that solar wind ions are heated by 68 69 Landau damping and cyclotron damping by identifying characteristic signatures of 70 these resonances in the ion velocity distribution functions. Recently, in situ signature of 71 cyclotron resonant heating in the solar wind turbulence is observed by Parker Solar 72 Probe observations (Bowen et al., 2022). Chen et al. (2019) presented direct evidence for Landau damping in magnetosheath turbulence by using the novel field-particle 73 74 correlation technique. The Landau damping mechanism for electron heating is further confirmed by examining more events in the magnetosheath using the same field-particle 75 76 correlation method (Afshari et al., 2021).

One typical non-resonant acceleration is stochastic heating, which heats plasma 77 78 when the motion of particles becomes chaotic as the amplitude of electromagnetic field 79 fluctuations, at scales comparable to the gyro-scale, exceeds a critical value (Chandran et al., 2010; Vech et al., 2017). It is found that acceleration and dissipation also occur 80 in coherent structures, such as current sheets (Retinò et al., 2007; Dmitruk et al., 2004b; 81 82 Osman et al., 2012), magnetic islands (Huang et al., 2016), small-scale vortices 83 (Alexandrova & Saur 2008), and magnetic holes (Huang et al., 2017a, 2017b; Zhong et al., 2019), etc. It is suggested that magnetic reconnection occurring within the current 84 sheets in turbulence provides an important pathway for energy dissipation (Osman et 85 86 al., 2014; Zhou et al., 2021). The correlation between energy dissipation and localized coherent structures indicates that energy dissipation may occur non-uniformly. 87 The motivation of this study is to investigate the acceleration and heating of electrons 88

in plasma turbulence. Different from Chen et al. (2019) and Afshari et al. (2021), the

turbulent interval we examine in this paper has large fluctuations with  $\delta B/B_0 \sim 1$ . In 90 addition, we not only quantify the electron acceleration rate by the parallel electric field, 91 92 as has been done by Chen et al. (2019) and Afshari et al. (2021), but also quantify the acceleration by the perpendicular electric field. The electron acceleration rates are 93 evaluated under the guiding center approximation. We have used the data from the 94 95 Magnetospheric Multiscale (MMS) mission, which provides high-time cadence data 96 and simultaneous multi-spacecraft measurements at very small inter-spacecraft separations. This combination enables the study of the nature of dissipation at kinetic 97 scales with an unprecedented level of accuracy and resolution. The FGM magnetic field 98 99 instruments (Russell et al., 2016), EDP electric field instruments (Ergun et al., 2016; 100 Lindqvist et al., 2016), and FPI ion and electron detectors (Pollock et al., 2016) provide 101 the high-resolution data required to characterize signatures of dissipation and heating.

102

# 103 2. Methodology

Here we employ the method that has been used to calculate the acceleration rate in reconnection. This method considers the particle energy gain under guiding center approximation (Dahlin et al., 2014; Zhou et al., 2018; Zhong et al., 2020; Ma et al., 2020, 2022). The integrated energy gain of electrons in a unit volume per unit time for betatron acceleration is given by:

109 
$$W_{b} = P_{e\perp} \boldsymbol{\nu}_{\boldsymbol{E} \times \boldsymbol{B}} \cdot \frac{\boldsymbol{\nabla} \boldsymbol{B}}{B} + \frac{P_{e\perp}}{B} \frac{\partial \boldsymbol{B}}{\partial t}$$
(1)

110 where  $P_{e\perp}$  is the perpendicular electron pressure,  $v_{E\times B}$  is the E×B drift speed,  $\nabla B$  is 111 the gradient of the total magnetic field. We refer to  $W_b$  as the betatron acceleration 112 rate hereafter. Betatron acceleration might be efficient in magnetosheath turbulence, 113 which usually involves large-amplitude |B| fluctuations, such as magnetic holes and 114 magnetic peaks (e.g., Huang et al., 2017a, 2017b; Yao et al., 2018).

116 
$$W_{f} = \left(P_{e||} + n_{e}m_{e}v_{e||}^{2}\right)\boldsymbol{\nu}_{\boldsymbol{E}\times\boldsymbol{B}}\cdot(\boldsymbol{b}\cdot\boldsymbol{\nabla}\boldsymbol{b})$$
(2)

117 where  $P_{e\parallel}$  is the electron parallel pressure,  $v_{e\parallel}$  is the electron parallel bulk velocity and 118 **b** is the unit vector of the magnetic field. Fermi acceleration is essentially caused by the

- curvature drift in motional curved field lines. In situ observations in the magnetosheath
  suggest that curvature drift acceleration may be important for particle energization in
  magnetized turbulence (Bandyopadhyay et al., 2020; Huang et al., 2020).
- 122 The  $E_{\parallel}$  acceleration rate, which is caused by the parallel electric field, is given by

123 
$$W_{E||} = J_{e||}E_{||} + \frac{\beta_{e\perp}}{2}J_{||}E_{||}$$
 (3)

- where  $\beta_{e\perp}$  is the ratio between the perpendicular electron pressure and the magnetic pressure,  $J_{\parallel}$  is the total parallel current density and  $J_{e\parallel}$  is the parallel current carried by electrons. The presence of  $\frac{\beta_{e\perp}}{2}J_{\parallel}E_{\parallel}$  is to eliminate the work caused by the parallel magnetization drift.
- Betatron and Fermi mechanisms cause the heating of plasmas while  $E_{\parallel}$  leads to not only plasma heating but also plasma bulk acceleration. The heating of plasma by  $E_{\parallel}$  can be understood by examining the electron momentum equation:

131 
$$E_{\parallel} = -\frac{1}{en} (\boldsymbol{\nabla} \cdot \mathbf{P}_{e})_{\parallel} - \frac{m_{e}}{e} \left( \frac{d\boldsymbol{\nu}_{e}}{dt} \right)_{\parallel}$$
(4)

where e is the unit charge, n is the number density,  $\mathbf{v}_e$  is the electron bulk velocity and P<sub>e</sub> is the electron pressure tensor. The relationship between the parallel electric field and the electron energy gain can be obtained by multiplying Eq. (4) by -nev<sub>ell</sub>:

135 
$$-\operatorname{nev}_{e} \mathbf{E}_{\parallel} = \mathbf{v}_{e\parallel} (\boldsymbol{\nabla} \cdot \mathbf{P}_{e})_{\parallel} + \operatorname{nm}_{e} \mathbf{v}_{e\parallel} (\frac{\mathrm{d} \mathbf{v}_{e}}{\mathrm{d} t})_{\parallel}$$
(5)

The first term on the RHS of Eq. (5) contributes to the thermal energy increase of electrons, i.e., electron heating, while the second term on the RHS of Eq. (5) is related to the electron bulk velocity variation.

Equations (1) – (3) can be used to evaluate the acceleration rates for the three different types of mechanisms when the electrons satisfy the guiding center approximation, i.e., they are magnetized, or say, the 1<sup>st</sup> adiabatic invariant is conserved. To test this criterion, we calculate  $\kappa$  (Büchner & Zelenyi 1989):

143 
$$\kappa_{\rm curv} = \sqrt{R_c/\rho_e}$$
 (6)

144 where  $R_c$  is the curvature radius of the magnetic field, and  $\rho_e$  is the electron gyration 145 radius, which is calculated by using four times the electron temperature, higher than the 146 energy of most electrons in the magnetosheath. When  $\kappa>3$ , electrons of the specific 147 energy are considered to satisfy the guiding center approximation. In the following

148 study, we calculate the acceleration rates only at times when  $\kappa$  is greater than 3.

149

# 150 **3. Results**

Figure 1 shows the overview of the MMS observations in a turbulent magnetosheath 151 152 from 07:08:14 to 07:18:34 UT on 2016 December 18. The location of the MMS spacecraft in the geocentric solar ecliptic (GSE) coordinate system is [11.4, 0.8, 0.2] R<sub>E</sub> 153 (R<sub>E</sub> is earth radii), downstream of the quasi-perpendicular bow shock. The average 154 spacing of the MMS tetrahedron is  $\sim 8.5$  km  $\sim 9.5$  d<sub>e</sub> given the average plasma density 155 of  $\sim 35$  cm<sup>3</sup>, where d<sub>e</sub> is the electron inertial length. The tetrahedron quality factor (TQF) 156 is  $\sim 0.99$ , indicating that the four satellites constitute a nearly perfect tetrahedron in 157 158 space. One can see from Figures 1a-1c that the electromagnetic fields and plasma flows 159 are highly turbulent. The electron flow speed is similar to that of the ion flow, except that electron bulk velocity has some high-frequency fluctuations, which leads to 160 filamentary currents with peak density larger than 500 nA m<sup>-2</sup> (Figure 1f). The electron 161 temperature exhibits an anisotropy with  $T_{e\parallel} > T_{e\perp}$  in this interval (Figure 1g). The average 162 ion bulk velocity is about 120 km s<sup>-1</sup> and the average electron temperature is about 50 163 eV. 164

165 Figure 1g shows that  $\kappa$  is larger than 3 (the black dotted line) for most of the time. This can be also clearly seen in the probability distribution function (PDF) of the  $\kappa$ 166 values displayed in Figure 2a. About 99% of  $\kappa$  are greater than 3, which means that 167 electrons are magnetized almost during the entire interval. The PDF of k increases from 168 nearly 0 and reaches the peak at around  $\kappa=18$ , then it monotonically descends as the 169 increment of  $\kappa$ . Figures 1h-1j display the electron acceleration rates for the three 170 171 different acceleration mechanisms. They have both positive and negative values, suggesting bi-directional energy exchange between the electromagnetic fields and 172 electrons rather than unidirectional energy conversion. The largest acceleration rate is 173 up to  $2 \times 10^4$  eV s<sup>-1</sup> cm<sup>-3</sup> ~ 3.2 nW m<sup>-3</sup>. There are many spikes in accelerated rates, which 174 175 is the manifestation of intermittency. Note that the above three acceleration rates are

176 calculated in the frame co-moving with the magnetosheath flow, that is,  $\mathbf{E}' = \mathbf{E} + \langle \mathbf{V} \rangle \times$ 177 **B**, where  $\langle \mathbf{V} \rangle$  is the average ion bulk velocity in the whole interval.

178 To determine the main acceleration mechanism, we plot the PDF of the three acceleration rates in Figure 2b. The total number of data points is about 20,000. We see 179 that the highest value of the PDF is around W=0. The PDFs are sign-indefinite, which 180 implies that the energy exchange between electromagnetic fields and plasmas goes both 181 ways. The PDF of  $W_{E\parallel}$  is the broadest among the three, indicating that  $E_{\parallel}$  acceleration 182 is generally greater than the other two mechanisms. The PDF of  $W_{E\parallel}$  is asymmetric with 183 respect to W=0, with a higher positive tail, while the PDF of W<sub>b</sub> shows a subtle heavier 184 negative tail and the PDF of W<sub>f</sub> is nearly symmetric to W=0. The average acceleration 185 rate of E<sub>||</sub>, betatron, and Fermi acceleration is 278 eV s<sup>-1</sup> cm<sup>-3</sup>, -77 eV s<sup>-1</sup> cm<sup>-3</sup>, and -4 186 eV s<sup>-1</sup> cm<sup>-3</sup>, respectively. Therefore, on average, electrons were accelerated by  $E_{\parallel}$ , 187 188 whereas betatron and Fermi mechanisms decelerated the electrons. The average energization rate of electrons by E<sub>ll</sub> is at least one order of magnitude larger than the 189 190 results reported in previous literature (Afshari et al., 2021; Bandyopadhyay et al., 2020). The PDF of W<sub>Ell</sub> is non-Gaussian with a heavier tail (Figure 2c), suggesting the 191 intermittent nature of the acceleration process (Matthaeus et al., 2015). The 192 intermittency is further proved by the large kurtosis of the  $E_{\parallel}$ , betatron, and Fermi 193 194 acceleration rate, which is 374, 196, and 72, respectively.

195 Since the acceleration of electrons is dominated by parallel electric fields, we mainly focus on E<sub>ll</sub> acceleration in the following. To understand at which scale the acceleration 196 occurs, we estimate the spatial scale of the magnetic field  $L_{dB} = \frac{B}{|\nabla B|}$  using the 197 multi-spacecraft measurements under the assumption that the spatial variation is linear 198 inside the MMS tetrahedron (Chanteur 1998). Here,  $|\nabla B|$  is the norm of the Jacobian 199 matrix of the magnetic field, i.e.,  $|\nabla B| = \sqrt{\sum_{ij} (\frac{\partial B_i}{\partial x_i})^2}$  (Kress et al. 2007). Figure 3a 200 shows the joint PDF of the  $E_{\parallel}$  acceleration rate  $W_{E\parallel}$  and the  $L_{dB}$ . We see that most of the 201 data points are near  $W_{E\parallel}=0$ , which is consistent with Figure 2b. L<sub>dB</sub> is typically larger 202 than  $\sim 0.3 d_i$  and smaller than 30 d<sub>i</sub>. Figure 3b points out that the average acceleration 203 rate descends with the increment of the spatial scale, from larger than 1000 eV s<sup>-1</sup> cm<sup>-3</sup> 204

when  $L_{dB} < 10^{-0.5} d_i$  to less than 200 eV s<sup>-1</sup> cm<sup>-3</sup> when  $L_{dB} > 10 d_i$ . Figures 3c displays that the average  $L_{dB}$  is the largest near  $W_{E\parallel}=0$  and descends toward larger  $W_{E\parallel}$  in both the positive and negative directions. The average  $L_{dB}$  reduces to about 1 d<sub>i</sub> when  $W_{E\parallel}$  is 6 times larger than its RMS.

The Partial Variance of Increments (PVI) method has been widely used to identify the coherent structures in turbulent plasma (Matthaeus et al., 2015; Greco et al., 2009, 2018; Chasapis et al., 2015). The PVI index can be calculated using magnetic fields observed by multi-spacecraft:

213 
$$PVI_{ij}(t) = \sqrt{\frac{|B^{i}(t) - B^{j}(t)|^{2}}{\langle |B^{i}(t) - B^{j}(t)|^{2} \rangle}}$$
 (7)

214 where the subscript i, j=1,2,3,4 indicates the different spacecraft. Figure 4a shows the  $E_{\parallel}$  acceleration rate conditioned on the PVI index. We see that the average  $W_{E\parallel}$ 215 216 monotonically increases with the increment of PVI index, which means that the most intense  $E_{\parallel}$  acceleration corresponds to the largest PVI index. The average  $W_{E\parallel}$  with PVI 217 218 index >3 is about 40 times the  $W_{E\parallel}$  averaged over all the data points. We also examine the local increase of the electron temperature conditioned on the PVI index (Figure 4b). 219 220 The local increase of the electron temperature is represented by the electron temperature normalized by its regional average. It shows that similar to the profile of  $W_{E\parallel}$ , the 221 222 average Te also increases nearly monotonically with the increase of the PVI index. Notice that the monotonic trend is clearer in  $Te_{\parallel}$  than in  $Te_{\perp}$ . This is consistent with 223 previous observations that strong electron heating, measured by the local increase of 224 225 the electron temperature, occurs within current sheets with large PVI index, while no 226 apparent heating within current sheets with small PVI index (Chasapis et al., 2015; 227 Huang et al., 2022). Here we go one step further by confirming that structures with 228 larger PVI index contribute to greater energy dissipation and electron acceleration.

Moreover, we investigate where the most intense  $E_{\parallel}$  acceleration occurs. We define the intense  $E_{\parallel}$  acceleration event as the interval in which the peak  $W_{E\parallel}$  is greater than 5,100 eV s<sup>-1</sup> cm<sup>-3</sup>. This value is the intersection of the PDF of  $W_{E\parallel}$  and the Gaussian curve in Figure 2c. The boundary of each event is set as 5100/e  $\approx$  1,900, where e is the natural exponential. We identify the coherent structures when the PVI index is larger than the threshold  $\langle PVI \rangle + \sigma(PVI) \sim 1.3$ , where  $\langle PVI \rangle$  and  $\sigma(PVI)$  are the average and standard deviation of PVI index in the entire interval (Greco et al., 2009). Finally, 68 intense E<sub>||</sub> acceleration events were selected, with 60 events having a PVI index greater than the threshold, i.e., they are within the coherent structures. One can see from Figure 3a that data points with W<sub>E||</sub> larger than 5,100 eV s<sup>-1</sup> cm<sup>-3</sup> are mostly in the range L<sub>dB</sub> ~ [1, 10] d<sub>i</sub>.

Figure 5 shows one example of intense  $E_{\parallel}$  acceleration events. It is shown that the 240 intense E<sub>ll</sub> acceleration was coincident with a large PVI index, which corresponds to a 241 242 coherent structure with a sharp change of the magnetic field and an intense current. A unipolar  $E_{\parallel}\sim 3~mV~m^{\text{-1}}$  was responsible for  $\sim 8\times 10^4~eV~s^{\text{-1}}~cm^{\text{-3}}$  acceleration rate in the 243 parallel direction. Moreover, we transfer the magnetic field, electron bulk flow, and 244 electric current to the local LMN coordinates (Figure 5g-5i) to see whether this event 245 was associated with a local reconnection. We employ the same procedure as Man et al. 246 247 (2022) to identify local reconnection, such as the electron outflowing jets and the outof-plane current supporting the magnetic field reversal. We see a clear electron bulk 248 flow reversal corresponding well to the current sheet crossing, implying that MMS 249 250 encountered an active reconnection in this coherent structure. We have further 251 examined all the intense  $E_{\parallel}$  acceleration events. Overall, 30 (~ 44%) intense  $E_{\parallel}$ 252 acceleration events are associated with local reconnection. Therefore, we conclude that 253 reconnection plays a significant role in accelerating electrons in this event.

254

## 255 4. Discussion and Conclusion

We have analyzed 31 other intervals in the turbulent magnetosheath observed by MMS from the year 2015 to 2019 (Wang et al., 2021). Twenty-two of these intervals are downstream of the quasi-parallel bow shock, and the other 9 events are downstream of the quasi-perpendicular bow shock. These events have a broader range of plasma  $\beta$ . We analyze these events by the same method described in this paper and find qualitatively similar results, that is, electrons are predominantly accelerated by  $E_{\parallel}$  no 262 matter whether the interval is downstream of the quasi-parallel or quasi-perpendicular263 bow shock.

264 A further question that needs to be addressed is whether the  $E_{\parallel}$  acceleration in the coherent structures is due to Landau damping, stochastic heating, or Joule-type 265 dissipation. Since the electrons are magnetized most of the time, they could not be 266 267 energized through stochastic heating, which requires that the electron magnetic moment 268 is not conserved (e.g., Vech et al., 2017). This event is different from the events reported by Afshari et al. (2021) and Chen et al. (2019), which demonstrate that electrons were 269 270 accelerated through Landau resonance with the kinetic Alfven waves. One major 271 difference is that the magnetic field is relatively stable and has few coherent structures 272 in the events studied by Afshari et al. (2021). This is also manifested by the non-273 Gaussian PDF of the E<sub>ll</sub> acceleration rate in our event, whereas the PDF is near Gaussian 274 in turbulence dominated by Landau damping (not shown). Importantly, we find that the large  $E_{\parallel}$  acceleration studied in this paper is usually associated with unipolar  $E_{\parallel}$  rather 275 276 than wave-like E<sub>ll</sub>. Thus, the E<sub>ll</sub> acceleration observed in our event is unlikely caused by Landau damping. More detailed analysis using the field-particle correlation technique 277 for each intense  $E_{\parallel}$  acceleration event can be performed to further understand  $E_{\parallel}$ 278 acceleration in these coherent structures. 279

280 In summary, we have investigated how electrons are being accelerated through the 281 dissipation of magnetic energy in nonlinear turbulence in the Earth's magnetosheath. Since electrons are mostly magnetized, we classify the acceleration mechanisms into 282 three types: Fermi process, betatron mechanism, and  $E_{\parallel}$  acceleration. We find that the 283 284 PDF of  $E_{\parallel}$  acceleration is significantly broader than the PDF of the other two acceleration rates, which implies that electrons are predominantly accelerated by 285 286 parallel electric fields.  $W_{E\parallel}$  increases with the reduction of the spatial scale and the increment of the PVI index, suggesting that the E<sub>ll</sub> acceleration is the most effective 287 288 around the ion inertial length and coherent structures play a vital role in energizing electrons through E<sub>II</sub>. We demonstrate that electrons are accelerated through Joule-type 289 dissipation/heating in strong turbulence containing many coherent structures, which is 290 another important building block of the particle energization physical scenario besides 291

the mechanism proposed by Chen et al. (2019) and Afshari et al. (2021).

The acceleration and heating of ions by turbulence may be quite different to the electrons because ions are expected to be demagnetized due to their much larger gyroradius. Hence one cannot use the guiding center approximation to describe the ion motion and may resort to other methods to quantify the ion acceleration, which is underway for further report.

298

# 299 Acknowledgments

- 300 Thanks to the MMS team for providing the high-quality data to complete this work.
- 301 This work is supported by the National Natural Science Foundation of China (NSFC)

302 under grant Nos. 42074197, 42130211, and 41774154.

## 303 Data Availability Statement

- 304 The data used in this study was obtained from the MMS Science Data Center
- 305 (https://lasp.colorado.edu/mms/sdc/public/about/browse-wrapper/).



306

**Figure 1.** Overview of the turbulence in the magnetosheath observed by MMS from 07:08:14 to 07:18:34 UT on 18 December 2016. From the top to bottom are: (a) three components of the magnetic field and (b) the electric field; (c) electron number density; (d) ion bulk velocity; (e) electron parallel and perpendicular temperatures; (f) electric current density; (g)  $\kappa$  value; (h) – (j) the acceleration rate from E<sub>||</sub>, Fermi process and betatron mechanism, respectively. The vectors are displayed in the GSE coordinate system.

![](_page_17_Figure_0.jpeg)

316

Figure 2. (a) probability distribution function (PDF) of  $\kappa$ . The pink line marks  $\kappa$ =3; (b) PDF of betatron acceleration rate (blue), Fermi acceleration rate (red) and the  $E_{\parallel}$ acceleration rate (green). The green dashed line is the mirror image of the negative  $E_{\parallel}$ acceleration rate; (c) comparison of the PDF of the  $E_{\parallel}$  acceleration rate and the Gaussian curve (black).

323

![](_page_17_Figure_4.jpeg)

Figure 3. (a) Joint PDFs of  $E_{\parallel}$  acceleration rate and the local magnetic field scale  $L_{dB}$ ; (b) the average  $W_{E\parallel}$  as a function of  $L_{dB}$ ; (c) the average  $L_{dB}$  as a function of  $W_{E\parallel}/(W_{E\parallel})_{rms}$ , here  $(W_{E\parallel})_{rms}$  is the root mean square of  $W_{E\parallel}$ ; The vertical bars in panels (b) and (c) represent the standard errors of the mean.

![](_page_17_Figure_6.jpeg)

Figure 4. Average  $E_{\parallel}$  acceleration rate (a) and electron temperature (b) conditioned on the binned PVI index. The average  $W_{E\parallel}$  is normalized by the averaged value in the entire

interval. The electron temperature is normalized by  $\langle T_e \rangle$  in a moving window with duration of 4 s, equivalent to approximately 10 d<sub>i</sub>. The vertical bars represent the standard error of the mean.

![](_page_18_Figure_2.jpeg)

Figure 5. An example of the intense  $E_{\parallel}$  accelerate event. From the top to bottom are: (a) three components of the magnetic field; (b) parallel electric field; (c) electric current density; (d)  $E_{\parallel}$  acceleration rate; (e) PVI Index. The shaded area highlights a significant

electron accelerate by  $E_{\parallel}$  within a coherent structure. The expanded view displays the (f) magnetic field; (g) electron bulk velocity and (h) current density in the LMN coordinate system around the coherent structure.

342

345

# 343 **References**

344 [1] Afshari, A. S., Howes, G. G., Kletzing, C. A., Hartley, D. P., & Boardsen, S. A.

346 energy in terrestrial magnetosheath plasma. Journal of Geophysical Research: Space

(2021). The importance of electron Landau damping for the dissipation of turbulent

347 Physics, 126(12), e2021JA029578. https://doi.org/10.1029/2021JA02957

- 348 [2] Alexandrova, O., & Saur, J. (2008). Alfvén vortices in Saturn's magnetosheath:
- 349 Cassini observations. Geophysical Research Letters, 35(15).
  350 https://doi.org/10.1029/2008GL034411
- 351 [3] Bandyopadhyay, R., Matthaeus, W. H., Parashar, T. N., Yang, Y., Chasapis, A., Giles,
- 352 B. L., Gershman, D. J., Pollock, C. J., Russell, C. T., Strangeway, R. J., Torbert, R. B.,
- 353 Moore, T. E., & Burch, J. L. (2020). Statistics of kinetic dissipation in Earth's
- magnetosheath: MMS observations. Physical Review Letters, 124(25), 255101.
   <a href="https://doi.org/10.1103/PhysRevLett.124.255101">https://doi.org/10.1103/PhysRevLett.124.255101</a>
- 356 [4] Bowen, T. A., Chandran, B. D., Squire, J., Bale, S. D., Duan, D., Klein, K. G., Larson,
- D., Mallet, A., McManus, M. D., Meyrand, R., et al. (2022). In Situ Signature of
  Cyclotron Resonant Heating in the Solar Wind. Physical Review Letters, 129(16),
- 359 165101.
- [5] Büchner, J., & Zelenyi, L. M. (1989). Regular and chaotic charged particle motion
  in magnetotaillike field reversals: 1. Basic theory of trapped motion. Journal of
  Geophysical Research Space Physics, 94(A9), 11821-11842.
- 363 https://doi.org/10.1029/JA094iA09p11821
- 364 [6] Chandran, B., Li, B., Rogers, B., Quataert, E., & Germaschewski, K. (2010).
- 365 Perpendicular ion heating by low-frequency Alfven-wave turbulence in the solar wind.
- 366 The Astrophysical Journal, 720(1). <u>https://doi.org/10.1088/0004-637X/720/1/503</u>
- 367 [7] Chanteur, G. (1998). Spatial interpolation for four spacecraft: Theory. In G.

- Paschmann, P. W. Daly (Eds.), Analysis methods for multi-spacecraft data (p. 349).
  Noordwijk, Netherlands: ESA Publ. Div.
- 370 [8] Chasapis, A., Retinò, A., Sahraoui, F., Vaivads, A., Khotyaintsev, Y. V., Sundkvist,
- 371 D., Greco, A., Valvo, L. S., & Canu, P. (2015). Thin current sheets and associated
- electron heating in turbulent space plasma. The Astrophysical Journal Letters, 804(1),
- 373 L1. https://doi.org/10.1088/2041-8205/804/1/L1
- [9] Chen, C. H. K. (2016). Recent progress in astrophysical plasma turbulence from
- 375 solar wind observations. Journal of Plasma Physics, 82(6).
  376 https://doi.org/10.1017/S0022377816001124
- 377 [10] Chen, C. H. K., Klein, K. G., & Howes, G. G. (2019). Evidence for electron Landau
- damping in space plasma turbulence. Nature communications, 10(1), 1-8.
  https://doi.org/10.1038/s41467-019-08435-3
- 380 [11] Dahlin, J. T., Drake, J. F., & Swisdak, M. (2014). The mechanisms of electron
- heating and acceleration during magnetic reconnection. Physics of Plasmas, 21(9),
  092304. https://doi.org/10.1063/1.4894484
- 383 [12] Dmitruk, P., Matthaeus, W. H., & Lanzerotti, L. J. (2004a). Discrete modes and
- 384 turbulence in a wave-driven strongly magnetized plasma. Geophysical Research Letters,
- 385 31(21). <u>https://doi.org/10.1029/2004GL021119</u>
- 386 [13] Dmitruk, P., Matthaeus, W. H., & Seenu, N. (2004b). Test particle energization by
- current sheets and nonuniform fields in magnetohydrodynamic turbulence. The
  Astrophysical Journal, 617(1), 667. https://doi.org/10.1086/425301
- 389 [14] Ergun, R. E., Tucker, S., Westfall, J., Goodrich, K. A., Malaspina, D. M., Summers,
- 390 D., Wallace, J., Karlsson, M., Mack, J., Brennan, N., Pyke, B., Withnell, P., Torbert, R.,
- 391 Macri, J., Rau, D., Dors, I., Needell, J., Lindqvist, P. -A., Olsson, G., Cully, C. M. (2016).
- 392 The axial double probe and fields signal processing for the MMS mission. Space
- 393 Science Reviews, 199(1), 167–188. <u>https://doi.org/10.1007/s11214-014-0115-x</u>
- 394 [15] Gary, S. P., Winske, D., & Hesse, M. (2000). Electron temperature anisotropy
- 395 instabilities: Computer simulations. Journal of Geophysical Research: Space Physics,
- 396 105(A5), 10751-10759. <u>https://doi.org/10.1029/1999JA000322</u>
- 397 [16] Greco, A., Matthaeus, W. H., Perri, S., Osman, K. T., Servidio, S., Wan, M., &

- 398 Dmitruk, P. (2018). Partial variance of increments method in solar wind observations
- and plasma simulations. Space Science Reviews, 214(1), 1-27.
  https://doi.org/10.1007/s11214-017-0435-8
- 401 [17] Greco, A., Matthaeus, W. H., Servidio, S., Chuychai, P., & Dmitruk, P. (2009).
- 402 Statistical analysis of discontinuities in solar wind ACE data and comparison with
- 403 intermittent MHD turbulence. The Astrophysical Journal, 691(2), L111.
- 404 <u>https://doi.org/10.1088/0004-637X/691/2/L111</u>
- 405 [18] He, J., Pei, Z., Wang, L., Tu, C., Marsch, E., Zhang, L., & Salem, C. (2015).
- 406 Sunward propagating Alfvén waves in association with sunward drifting proton beams
- 407 in the solar wind. The Astrophysical Journal, 805(2), 176. <u>https://doi.org/10.1088/0004-</u>
- 408 <u>637X/805/2/176</u>
- 409 [19] He, J., Wang, L., Tu, C., Marsch, E., & Zong, Q. (2015). Evidence of Landau and
- 410 cyclotron resonance between protons and kinetic waves in solar wind turbulence. The
- 411 Astrophysical Journal Letters, 800(2), L31. <u>https://doi.org/10.1088/2041-</u>
  412 <u>8205/800/2/L31</u>
- 413 [20] Howes, G. G. (2017). A prospectus on kinetic heliophysics. Physics of Plasmas,
- 414 24(5), 055907. <u>https://doi.org/10.1063/1.4983993</u>
- 415 [21] Huang, S. Y., Sahraoui, F., Retinò, A., Le Contel, O., Yuan, Z. G., Chasapis, A.,
- 416 Aunai, N., Breuillard, H., Deng, X. H., Zhou, M., et al. (2016). MMS observations of
- 417 ion-scale magnetic island in the magnetosheath turbulent plasma. Geophysical
- 418 Research Letters, 43(15), 7850-7858. <u>https://doi.org/10.1002/2016GL07003</u>
- 419 [22] Huang, S. Y., Du, J. W., Sahraoui, F., Yuan, Z. G., He, J. S., Zhao, J. S., Le Contel,
- 420 O., Breuillard, H., Wang, D. D., Yu, X. D., et al. (2017a). A statistical study of kinetic-
- 421 size magnetic holes in turbulent magnetosheath: MMS observations. Journal of
- 422 Geophysical Research: Space Physics, 122(8), 8577-8588.
  423 <u>https://doi.org/10.1002/2017JA024415</u>
- 424 [23] Huang, S. Y., Sahraoui, F., Yuan, Z. G., He, J. S., Zhao, J. S., Le Contel, O., Deng,
- 425 X. H., Zhou, M., Fu, H. S., Shi, Q.Q., et al. (2017b). Magnetospheric multiscale
- 426 observations of electron vortex magnetic hole in the turbulent magnetosheath plasma.
- 427 The Astrophysical Journal Letters, 836(2), L27. https://doi.org/10.3847/2041-

#### 428 <u>8213/aa5f50</u>

442

- 429 [24] Huang, S. Y., Zhang, J., Sahraoui, F., Yuan, Z. G., Deng, X. H., Jiang, K., Xu, S.
- 430 B., Wei, Y. Y., He, L. H., & Zhang, Z. H. (2020). Observations of magnetic field line
- 431 curvature and its role in the space plasma turbulence. The Astrophysical Journal Letters,
- 432 898(1), L18. <u>https://doi.org/10.3847/2041-8213/aba263</u>
- 433 [25] Huang, S. Y., Zhang, J., Yuan, Z. G., Jiang, K., Wei, Y. Y., Xu, S. B., et al. (2022).
- 434 Intermittent dissipation at kinetic scales in the turbulent reconnection outflow.
- 435
   Geophysical
   Research
   Letters,
   49,
   e2021GL096403.

   436
   https://doi.org/10.1029/2021GL096403
- 437 [26] Isenberg, P. A. (2001). The kinetic shell model of coronal heating and acceleration
- 438 by ion cyclotron waves: 2. Inward and outward propagating waves. Journal of
- 439 Geophysical Research: Space Physics, 106(A12), 29249-29260.
- 440 <u>https://doi.org/10.1029/2001JA000176</u>
- 441 [27] Isenberg, P. A., & Hollweg, J. V. (1983). On the preferential acceleration and

heating of solar wind heavy ions. Journal of Geophysical Research: Space Physics,

- 443 88(A5), 3923-3935. https://doi.org/10.1029/JA088iA05p03923
- 444 [28] Kiyani, K. H., Osman, K. T., & Chapman, S. C. (2015). Dissipation and heating in
- solar wind turbulence: From the macro to the micro and back again. Philosophical
- 446 Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences,
- 447 373(2041), 20140155. <u>https://doi.org/10.1098/rsta.2014.0155</u>
- 448 [29] Klein, K. G., Howes, G. G., & TenBarge, J. M. (2017). Diagnosing collisionless
- 449 energy transfer using field-particle correlations: gyrokinetic turbulence. Journal of
- 450 Plasma Physics, 83(4). https://doi.org/10.1017/S0022377817000563
- 451 [30] Kress, B. T., Hudson, M. K., Looper, M. D., Albert, J., Lyon, J. G., & Goodrich,
- 452 C. C. (2007). Global MHD test particle simulations of >10 MeV radiation belt electrons
- 453 during storm sudden commencement. Journal of Geophysical Research: Space Physics,
- 454 112(A9). https://doi.org/10.1029/2006JA012218
- 455 [31] Lindqvist, P.A., Olsson, G., Torbert, R. B., King, B., Granoff, M., Rau, D., Needell,
- 456 G., Turco, S., Dors, I., Beckman, P., et al. (2016). The spin-plane double probe electric
- 457 field instrument for MMS. Space Science Reviews, 199(1), 137-165.

- 458 <u>https://doi.org/10.1007/s11214-014-0116-9</u>
- 459 [32] Ma, W., Zhou, M., Zhong, Z., & Deng, X. (2020). Electron acceleration rate at
- 460 dipolarization fronts. The Astrophysical Journal, 903(2), 84.
  461 https://doi.org/10.3847/1538-4357/abb8cc
- 462 [33] Ma, W., Zhou, M., Zhong, Z., & Deng, X. (2022). Contrasting the Mechanisms of
- 463 Reconnection-driven Electron Acceleration with In Situ Observations from MMS in the
- 464 Terrestrial Magnetotail. The Astrophysical Journal, 931(2), 135.
  465 https://doi.org/10.3847/1538-4357/ac6be6
- 466 [34] Man, H., Zhou, M., Zhong, Z., & Deng, X. (2022). Intense energy conversion
- 467 events at the magnetopause boundary layer. Geophysical Research Letters, 49(8),
- 468 e2022GL098069. <u>https://doi.org/10.1029/2022GL098069</u>
- 469 [35] Marsch, E., & Tu, C. Y. (2001). Evidence for pitch angle diffusion of solar wind
- 470 protons in resonance with cyclotron waves. Journal of Geophysical Research: Space
- 471 Physics, 106(A5), 8357-8361. <u>https://doi.org/10.1029/2000JA000414</u>
- 472 [36] Matthaeus, W. H., Wan, M., Servidio, S., Greco, A., Osman, K. T., Oughton, S., &
- 473 Dmitruk, P. (2015). Intermittency, nonlinear dynamics and dissipation in the solar wind
- 474 and astrophysical plasmas. Philosophical Transactions of the Royal Society A:
- 475 Mathematical, Physical and Engineering Sciences, 373(2041), 20140154.
- 476 https://doi.org/10.1098/rsta.2014.0154
- 477 [37] Osman, K. T., Matthaeus, W. H., Gosling, J. T., Greco, A., Servidio, S., Hnat, B.,
- 478 Chapman, S. C., & Phan, T. D. (2014). Magnetic reconnection and intermittent
- 479 turbulence in the solar wind. Physical Review Letters, 112(21), 215002.
  480 https://doi.org/10.1103/PhysRevLett.112.215002
- 481 [38] Osman, K. T., Matthaeus, W. H., Wan, M., & Rappazzo, A. F. (2012). Intermittency
- and local heating in the solar wind. Physical review letters, 108(26), 261102.
  <a href="https://doi.org/10.1103/PhysRevLett.108.261102">https://doi.org/10.1103/PhysRevLett.108.261102</a>
- 484 [39] Pollock, C., Moore, T., Jacques, A., Burch, J., Gliese, U., Saito, Y., Omoto, T.,
- 485 Avanov, L., Barrie, A., Coffey, V., et al. (2016). Fast plasma investigation for
- 486 magnetospheric multiscale. Space Science Reviews, 199(1), 331-406.
- 487 <u>https://doi.org/10.1007/s11214-016-0245-4</u>

- 488 [40] Retinò, A., Sundkvist, D., Vaivads, A., Mozer, F., André, M., & Owen, C. J. (2007).
- In situ evidence of magnetic reconnection in turbulent plasma. Nature Physics, 3(4),
  235-238. https://doi.org/10.1038/nphys574
- 491 [41] Russell, C. T., Anderson, B. J., Baumjohann, W., Bromund, K. R., Dearborn, D.,
- 492 Fischer, D., Le, G., Leinweber, H. K., Leneman, D., Magnes, W., et al. (2016). The
- 493 magnetospheric multiscale magnetometers. Space Science Reviews, 199(1), 189-256.
- 494 https://doi.org/10.1007/s11214-014-0057-3
- 495 [42] Sahraoui, F., Goldstein, M. L., Robert, P., & Khotyaintsev, Y. V. (2009). Evidence
- 496 of a cascade and dissipation of solar-wind turbulence at the electron gyroscale. Physical
- 497 Review Letters, 102(23), 231102. <u>https://doi.org/10.1103/PhysRevLett.102.231102</u>
- 498 [43] Vech, D., Klein, K. G., & Kasper, J. C. (2017). Nature of stochastic ion heating in
- 499 the solar wind: testing the dependence on plasma beta and turbulence amplitude. The
- 500 Astrophysical Journal Letters, 850(1), L11. <u>https://doi.org/10.3847/2041-8213/aa9887</u>
- 501 [44] Wang, Y., Bandyopadhyay, R., Chhiber, R., Matthaeus, W. H., Chasapis, A., Yang,
- 502 Y., Wilder, F. D., Gershman, D. J., Giles, B. L., Pollock, C. J., et al. (2021). Statistical
- survey of collisionless dissipation in the terrestrial magnetosheath. Journal of
  Geophysical Research: Space Physics, 126(6), e2020JA029000.
  https://doi.org/10.1029/2020JA029000
- 506 [45] Yao, S. T., Shi, Q. Q., Guo, R. L., Yao, Z. H., Tian, A. M., Degeling, A. W., Sun,
- 507 W. J., Liu, J., Wang, X. G., Zong, Q. G., et al. (2018). Magnetospheric Multiscale
- 508 observations of electron scale magnetic peak. Geophysical Research Letters, 45(2),
- 509 527-537. https://doi.org/10.1002/2017GL075711
- 510 [46] Zhong, Z. H., Zhou, M., Huang, S. Y., Tang, R. X., Deng, X. H., Pang, Y., & Chen,
- 511 H. T. (2019). Observations of a kinetic-scale magnetic hole in a reconnection diffusion
- 512 region. Geophysical Research Letters, 46(12), 6248-6257.
  513 <u>https://doi.org/10.1029/2019GL082637</u>
- 514 [47] Zhong, Z. H., Zhou, M., Tang, R. X., Deng, X. H., Turner, D. L., Cohen, I. J., Pang,
- 515 Y., Man, H. Y., Russell, C. T., Giles, B. L., et al. (2020). Direct evidence for electron
- 516 acceleration within ion-scale flux rope. Geophysical Research Letters, 47(1),
- 517 e2019GL085141. https://doi.org/10.1029/2019GL085141

- 518 [48] Zhou, M., El-Alaoui, M., Lapenta, G., Berchem, J., Richard, R. L., Schriver, D.,
- 519 & Walker, R. J. (2018). Suprathermal electron acceleration in a reconnecting
- 520 magnetotail: Large-scale kinetic simulation. Journal of Geophysical Research: Space
- 521 Physics, 123(10), 8087-8108. <u>https://doi.org/10.1029/2018JA025502</u>
- 522 [49] Zhou, M., Man, H. Y., Deng, X. H., Pang, Y., Khotyaintsev, Y., Lapenta, G., Yi, Y.
- 523 Y., Zhong, Z. H., Ma, W. Q. (2021). Observations of secondary magnetic reconnection
- 524 in the turbulent reconnection outflow. Geophysical Research Letters, 48(4),
- 525 e2020GL091215. <u>https://doi.org/10.1029/2020GL091215</u>
- 526

Figure 1.

![](_page_27_Figure_0.jpeg)

Figure 2.

![](_page_29_Figure_0.jpeg)

Figure 3.

![](_page_31_Figure_0.jpeg)

Figure 4.

![](_page_33_Figure_0.jpeg)

Figure 5.

![](_page_35_Figure_0.jpeg)

1	Electron Heating in Magnetosheath Turbulence: Dominant Role of
2	the Parallel Electric Field within Coherent Structures
3	Qianyun Xu <sup>1,2</sup> , Meng Zhou <sup>1,2</sup> , Wenqing Ma <sup>2</sup> , Jiansen He <sup>3</sup> ,
4	Shiyong Huang <sup>4</sup> , Zhihong Zhong <sup>1,2</sup> , Ye Pang <sup>2</sup> , Xiaohua Deng <sup>2</sup>
5	
6	1. Department of Physics, School of Physics and Materials Science, Nanchang
7	University, Nanchang 330031, People's Republic of China;
8	2. Institute of Space Science and Technology, Nanchang University, Nanchang
9	330031, People's Republic of China;
10	3. School of Earth and Space Sciences, Peking University, Beijing 100871, People's
11	Republic of China;
12	4. School of Electronic Information, Wuhan University, Wuhan, People's Republic
13	of China;
14	Key points:
15	1. Electrons are primarily accelerated by the parallel electric field in the
16	magnetosheath turbulence.
17	2. The $E_{\parallel}$ acceleration mostly occurs within the coherent structures through Joule-type
18	dissipation.
19	3. The average $E_{\parallel}$ acceleration rate increases with the decreasing local spatial scale.
20	Abstract
21	How are particles being energized by turbulent electromagnetic fields is an
22	outstanding question in plasma physics and astrophysics. This paper investigates the
23	electron acceleration mechanism in strong turbulence ( $\delta B/B_0 \sim 1)$ in the Earth's
24	magnetosheath based on the novel observations of the Magnetospheric Multiscale
25	(MMS) mission. We find that electrons are magnetized in turbulent fields for the
26	majority of the time. By directly calculating the electron acceleration rate from Fermi,
27	betatron mechanism, and parallel electric field, it is found that electrons are primarily
28	accelerated by the parallel electric field within coherent structures. Moreover, the
29	acceleration rate by parallel electric fields increases as the spatial scale reduces, with

the most intense acceleration occurring over about one ion inertial length. This study is
an important step towards fully understanding the turbulent energy dissipation in
weakly collisional plasmas.

## 33 Plain language summary

34 The magnetosheath is one of the most turbulent environments in near-Earth space, 35 which is very beneficial to the study of collisionless turbulent plasma. The mechanism 36 of turbulent energy dissipation and the consequent plasma heating is not fully understood. The Magnetosphere Multiscale mission provides high-time cadence data 37 and simultaneous multi-spacecraft measurements at very small inter-spacecraft 38 39 separations. That can measure important quantities related to dissipation and heating at 40 kinetic scales. This paper investigates how electrons are being accelerated through the dissipation of magnetic energy in nonlinear turbulence in the Earth's magnetosheath. 41 42 We classify the acceleration mechanisms into three types: Fermi mechanism, betatron mechanism, and  $E_{\parallel}$  acceleration. By directly calculating and comparing these 43 44 mechanisms, we find electrons are predominantly accelerated by parallel electric fields within coherent structures. The  $E_{\parallel}$  acceleration is the most effective around the ion 45 inertial length. 46

47

## 48 1. Introduction

49 Energy cascade is one of the most prominent features of turbulence. Energy is injected at large scales, like fluid scales, then cascades to small scales through non-50 51 linear interactions, and finally dissipated at kinetic scales, leading to plasma heating 52 and particle acceleration and the formation of suprathermal tails in the particle energy 53 spectrum (Kiyani et al., 2015). Space plasma is typical of weak collisionality; hence collisionless mechanisms play a critical role in turbulent energy dissipation in space 54 plasmas (Matthaeus et al., 2015; Chen 2016; Howes 2017). How the particles are 55 heated/accelerated by turbulence is one of the most outstanding questions in plasma 56 turbulence; however, the mechanism of turbulent energy dissipation and the consequent 57 plasma heating is not fully understood after decades of intensive study. 58

59 Different types of acceleration mechanisms have been proposed to explain plasma

60 heating by the turbulent cascade in collisionless plasma. These mechanisms can be generally classified into two categories: resonant acceleration and non-resonant 61 62 acceleration. The dissipation of waves is usually due to the energy transfer to energizing particles caused by field and particle resonance, which can work over a long distance 63 and a long time. It includes Landau damping, cyclotron damping, and transit-time 64 65 damping (Chandran et al., 2010; Dmitruk et al., 2004a; Sahraoui et al., 2009; Isenberg 66 & Hollweg 1983; Gary et al., 2000; Isenberg 2001; Marsch & Tu 2001; Klein et al., 2017). Previous studies have found clues of this resonant acceleration in space plasma 67 turbulence. He et al. (2015a, 2015b) suggested that solar wind ions are heated by 68 69 Landau damping and cyclotron damping by identifying characteristic signatures of 70 these resonances in the ion velocity distribution functions. Recently, in situ signature of 71 cyclotron resonant heating in the solar wind turbulence is observed by Parker Solar 72 Probe observations (Bowen et al., 2022). Chen et al. (2019) presented direct evidence for Landau damping in magnetosheath turbulence by using the novel field-particle 73 74 correlation technique. The Landau damping mechanism for electron heating is further confirmed by examining more events in the magnetosheath using the same field-particle 75 76 correlation method (Afshari et al., 2021).

One typical non-resonant acceleration is stochastic heating, which heats plasma 77 78 when the motion of particles becomes chaotic as the amplitude of electromagnetic field 79 fluctuations, at scales comparable to the gyro-scale, exceeds a critical value (Chandran et al., 2010; Vech et al., 2017). It is found that acceleration and dissipation also occur 80 in coherent structures, such as current sheets (Retinò et al., 2007; Dmitruk et al., 2004b; 81 82 Osman et al., 2012), magnetic islands (Huang et al., 2016), small-scale vortices 83 (Alexandrova & Saur 2008), and magnetic holes (Huang et al., 2017a, 2017b; Zhong et al., 2019), etc. It is suggested that magnetic reconnection occurring within the current 84 sheets in turbulence provides an important pathway for energy dissipation (Osman et 85 86 al., 2014; Zhou et al., 2021). The correlation between energy dissipation and localized coherent structures indicates that energy dissipation may occur non-uniformly. 87 The motivation of this study is to investigate the acceleration and heating of electrons 88

in plasma turbulence. Different from Chen et al. (2019) and Afshari et al. (2021), the

turbulent interval we examine in this paper has large fluctuations with  $\delta B/B_0 \sim 1$ . In 90 addition, we not only quantify the electron acceleration rate by the parallel electric field, 91 92 as has been done by Chen et al. (2019) and Afshari et al. (2021), but also quantify the acceleration by the perpendicular electric field. The electron acceleration rates are 93 evaluated under the guiding center approximation. We have used the data from the 94 95 Magnetospheric Multiscale (MMS) mission, which provides high-time cadence data 96 and simultaneous multi-spacecraft measurements at very small inter-spacecraft separations. This combination enables the study of the nature of dissipation at kinetic 97 scales with an unprecedented level of accuracy and resolution. The FGM magnetic field 98 99 instruments (Russell et al., 2016), EDP electric field instruments (Ergun et al., 2016; 100 Lindqvist et al., 2016), and FPI ion and electron detectors (Pollock et al., 2016) provide 101 the high-resolution data required to characterize signatures of dissipation and heating.

102

# 103 2. Methodology

Here we employ the method that has been used to calculate the acceleration rate in reconnection. This method considers the particle energy gain under guiding center approximation (Dahlin et al., 2014; Zhou et al., 2018; Zhong et al., 2020; Ma et al., 2020, 2022). The integrated energy gain of electrons in a unit volume per unit time for betatron acceleration is given by:

109 
$$W_{b} = P_{e\perp} \boldsymbol{\nu}_{\boldsymbol{E} \times \boldsymbol{B}} \cdot \frac{\boldsymbol{\nabla} \boldsymbol{B}}{B} + \frac{P_{e\perp}}{B} \frac{\partial \boldsymbol{B}}{\partial t}$$
(1)

110 where  $P_{e\perp}$  is the perpendicular electron pressure,  $v_{E\times B}$  is the E×B drift speed,  $\nabla B$  is 111 the gradient of the total magnetic field. We refer to  $W_b$  as the betatron acceleration 112 rate hereafter. Betatron acceleration might be efficient in magnetosheath turbulence, 113 which usually involves large-amplitude |B| fluctuations, such as magnetic holes and 114 magnetic peaks (e.g., Huang et al., 2017a, 2017b; Yao et al., 2018).

116 
$$W_{f} = \left(P_{e||} + n_{e}m_{e}v_{e||}^{2}\right)\boldsymbol{\nu}_{\boldsymbol{E}\times\boldsymbol{B}}\cdot(\boldsymbol{b}\cdot\boldsymbol{\nabla}\boldsymbol{b})$$
(2)

117 where  $P_{e\parallel}$  is the electron parallel pressure,  $v_{e\parallel}$  is the electron parallel bulk velocity and 118 **b** is the unit vector of the magnetic field. Fermi acceleration is essentially caused by the

- curvature drift in motional curved field lines. In situ observations in the magnetosheath
  suggest that curvature drift acceleration may be important for particle energization in
  magnetized turbulence (Bandyopadhyay et al., 2020; Huang et al., 2020).
- 122 The  $E_{\parallel}$  acceleration rate, which is caused by the parallel electric field, is given by

123 
$$W_{E||} = J_{e||}E_{||} + \frac{\beta_{e\perp}}{2}J_{||}E_{||}$$
 (3)

- where  $\beta_{e\perp}$  is the ratio between the perpendicular electron pressure and the magnetic pressure,  $J_{\parallel}$  is the total parallel current density and  $J_{e\parallel}$  is the parallel current carried by electrons. The presence of  $\frac{\beta_{e\perp}}{2}J_{\parallel}E_{\parallel}$  is to eliminate the work caused by the parallel magnetization drift.
- Betatron and Fermi mechanisms cause the heating of plasmas while  $E_{\parallel}$  leads to not only plasma heating but also plasma bulk acceleration. The heating of plasma by  $E_{\parallel}$  can be understood by examining the electron momentum equation:

131 
$$E_{\parallel} = -\frac{1}{en} (\boldsymbol{\nabla} \cdot \mathbf{P}_{e})_{\parallel} - \frac{m_{e}}{e} \left( \frac{d\boldsymbol{\nu}_{e}}{dt} \right)_{\parallel}$$
(4)

where e is the unit charge, n is the number density,  $\mathbf{v}_e$  is the electron bulk velocity and P<sub>e</sub> is the electron pressure tensor. The relationship between the parallel electric field and the electron energy gain can be obtained by multiplying Eq. (4) by -nev<sub>ell</sub>:

135 
$$-\operatorname{nev}_{e} \mathbf{E}_{\parallel} = \mathbf{v}_{e\parallel} (\boldsymbol{\nabla} \cdot \mathbf{P}_{e})_{\parallel} + \operatorname{nm}_{e} \mathbf{v}_{e\parallel} (\frac{\mathrm{d} \mathbf{v}_{e}}{\mathrm{d} t})_{\parallel}$$
(5)

The first term on the RHS of Eq. (5) contributes to the thermal energy increase of electrons, i.e., electron heating, while the second term on the RHS of Eq. (5) is related to the electron bulk velocity variation.

Equations (1) – (3) can be used to evaluate the acceleration rates for the three different types of mechanisms when the electrons satisfy the guiding center approximation, i.e., they are magnetized, or say, the 1<sup>st</sup> adiabatic invariant is conserved. To test this criterion, we calculate  $\kappa$  (Büchner & Zelenyi 1989):

143 
$$\kappa_{\rm curv} = \sqrt{R_c/\rho_e}$$
 (6)

144 where  $R_c$  is the curvature radius of the magnetic field, and  $\rho_e$  is the electron gyration 145 radius, which is calculated by using four times the electron temperature, higher than the 146 energy of most electrons in the magnetosheath. When  $\kappa>3$ , electrons of the specific 147 energy are considered to satisfy the guiding center approximation. In the following

148 study, we calculate the acceleration rates only at times when  $\kappa$  is greater than 3.

149

# 150 **3. Results**

Figure 1 shows the overview of the MMS observations in a turbulent magnetosheath 151 152 from 07:08:14 to 07:18:34 UT on 2016 December 18. The location of the MMS spacecraft in the geocentric solar ecliptic (GSE) coordinate system is [11.4, 0.8, 0.2] R<sub>E</sub> 153 (R<sub>E</sub> is earth radii), downstream of the quasi-perpendicular bow shock. The average 154 spacing of the MMS tetrahedron is  $\sim 8.5$  km  $\sim 9.5$  d<sub>e</sub> given the average plasma density 155 of  $\sim 35$  cm<sup>3</sup>, where d<sub>e</sub> is the electron inertial length. The tetrahedron quality factor (TQF) 156 is  $\sim 0.99$ , indicating that the four satellites constitute a nearly perfect tetrahedron in 157 158 space. One can see from Figures 1a-1c that the electromagnetic fields and plasma flows 159 are highly turbulent. The electron flow speed is similar to that of the ion flow, except that electron bulk velocity has some high-frequency fluctuations, which leads to 160 filamentary currents with peak density larger than 500 nA m<sup>-2</sup> (Figure 1f). The electron 161 temperature exhibits an anisotropy with  $T_{e\parallel} > T_{e\perp}$  in this interval (Figure 1g). The average 162 ion bulk velocity is about 120 km s<sup>-1</sup> and the average electron temperature is about 50 163 eV. 164

165 Figure 1g shows that  $\kappa$  is larger than 3 (the black dotted line) for most of the time. This can be also clearly seen in the probability distribution function (PDF) of the  $\kappa$ 166 values displayed in Figure 2a. About 99% of  $\kappa$  are greater than 3, which means that 167 electrons are magnetized almost during the entire interval. The PDF of k increases from 168 nearly 0 and reaches the peak at around  $\kappa=18$ , then it monotonically descends as the 169 increment of  $\kappa$ . Figures 1h-1j display the electron acceleration rates for the three 170 171 different acceleration mechanisms. They have both positive and negative values, suggesting bi-directional energy exchange between the electromagnetic fields and 172 electrons rather than unidirectional energy conversion. The largest acceleration rate is 173 up to  $2 \times 10^4$  eV s<sup>-1</sup> cm<sup>-3</sup> ~ 3.2 nW m<sup>-3</sup>. There are many spikes in accelerated rates, which 174 175 is the manifestation of intermittency. Note that the above three acceleration rates are

176 calculated in the frame co-moving with the magnetosheath flow, that is,  $\mathbf{E}' = \mathbf{E} + \langle \mathbf{V} \rangle \times$ 177 **B**, where  $\langle \mathbf{V} \rangle$  is the average ion bulk velocity in the whole interval.

178 To determine the main acceleration mechanism, we plot the PDF of the three acceleration rates in Figure 2b. The total number of data points is about 20,000. We see 179 that the highest value of the PDF is around W=0. The PDFs are sign-indefinite, which 180 implies that the energy exchange between electromagnetic fields and plasmas goes both 181 ways. The PDF of  $W_{E\parallel}$  is the broadest among the three, indicating that  $E_{\parallel}$  acceleration 182 is generally greater than the other two mechanisms. The PDF of  $W_{E\parallel}$  is asymmetric with 183 respect to W=0, with a higher positive tail, while the PDF of W<sub>b</sub> shows a subtle heavier 184 negative tail and the PDF of W<sub>f</sub> is nearly symmetric to W=0. The average acceleration 185 rate of E<sub>||</sub>, betatron, and Fermi acceleration is 278 eV s<sup>-1</sup> cm<sup>-3</sup>, -77 eV s<sup>-1</sup> cm<sup>-3</sup>, and -4 186 eV s<sup>-1</sup> cm<sup>-3</sup>, respectively. Therefore, on average, electrons were accelerated by  $E_{\parallel}$ , 187 188 whereas betatron and Fermi mechanisms decelerated the electrons. The average energization rate of electrons by E<sub>ll</sub> is at least one order of magnitude larger than the 189 190 results reported in previous literature (Afshari et al., 2021; Bandyopadhyay et al., 2020). The PDF of W<sub>Ell</sub> is non-Gaussian with a heavier tail (Figure 2c), suggesting the 191 intermittent nature of the acceleration process (Matthaeus et al., 2015). The 192 intermittency is further proved by the large kurtosis of the  $E_{\parallel}$ , betatron, and Fermi 193 194 acceleration rate, which is 374, 196, and 72, respectively.

195 Since the acceleration of electrons is dominated by parallel electric fields, we mainly focus on E<sub>ll</sub> acceleration in the following. To understand at which scale the acceleration 196 occurs, we estimate the spatial scale of the magnetic field  $L_{dB} = \frac{B}{|\nabla B|}$  using the 197 multi-spacecraft measurements under the assumption that the spatial variation is linear 198 inside the MMS tetrahedron (Chanteur 1998). Here,  $|\nabla B|$  is the norm of the Jacobian 199 matrix of the magnetic field, i.e.,  $|\nabla B| = \sqrt{\sum_{ij} (\frac{\partial B_i}{\partial x_i})^2}$  (Kress et al. 2007). Figure 3a 200 shows the joint PDF of the  $E_{\parallel}$  acceleration rate  $W_{E\parallel}$  and the  $L_{dB}$ . We see that most of the 201 data points are near  $W_{E\parallel}=0$ , which is consistent with Figure 2b. L<sub>dB</sub> is typically larger 202 than  $\sim 0.3 d_i$  and smaller than 30 d<sub>i</sub>. Figure 3b points out that the average acceleration 203 rate descends with the increment of the spatial scale, from larger than 1000 eV s<sup>-1</sup> cm<sup>-3</sup> 204

when  $L_{dB} < 10^{-0.5} d_i$  to less than 200 eV s<sup>-1</sup> cm<sup>-3</sup> when  $L_{dB} > 10 d_i$ . Figures 3c displays that the average  $L_{dB}$  is the largest near  $W_{E\parallel}=0$  and descends toward larger  $W_{E\parallel}$  in both the positive and negative directions. The average  $L_{dB}$  reduces to about 1 d<sub>i</sub> when  $W_{E\parallel}$  is 6 times larger than its RMS.

The Partial Variance of Increments (PVI) method has been widely used to identify the coherent structures in turbulent plasma (Matthaeus et al., 2015; Greco et al., 2009, 2018; Chasapis et al., 2015). The PVI index can be calculated using magnetic fields observed by multi-spacecraft:

213 
$$PVI_{ij}(t) = \sqrt{\frac{|B^{i}(t) - B^{j}(t)|^{2}}{\langle |B^{i}(t) - B^{j}(t)|^{2} \rangle}}$$
 (7)

214 where the subscript i, j=1,2,3,4 indicates the different spacecraft. Figure 4a shows the  $E_{\parallel}$  acceleration rate conditioned on the PVI index. We see that the average  $W_{E\parallel}$ 215 216 monotonically increases with the increment of PVI index, which means that the most intense  $E_{\parallel}$  acceleration corresponds to the largest PVI index. The average  $W_{E\parallel}$  with PVI 217 218 index >3 is about 40 times the  $W_{E\parallel}$  averaged over all the data points. We also examine the local increase of the electron temperature conditioned on the PVI index (Figure 4b). 219 220 The local increase of the electron temperature is represented by the electron temperature normalized by its regional average. It shows that similar to the profile of  $W_{E\parallel}$ , the 221 222 average Te also increases nearly monotonically with the increase of the PVI index. Notice that the monotonic trend is clearer in  $Te_{\parallel}$  than in  $Te_{\perp}$ . This is consistent with 223 previous observations that strong electron heating, measured by the local increase of 224 225 the electron temperature, occurs within current sheets with large PVI index, while no 226 apparent heating within current sheets with small PVI index (Chasapis et al., 2015; 227 Huang et al., 2022). Here we go one step further by confirming that structures with 228 larger PVI index contribute to greater energy dissipation and electron acceleration.

Moreover, we investigate where the most intense  $E_{\parallel}$  acceleration occurs. We define the intense  $E_{\parallel}$  acceleration event as the interval in which the peak  $W_{E\parallel}$  is greater than 5,100 eV s<sup>-1</sup> cm<sup>-3</sup>. This value is the intersection of the PDF of  $W_{E\parallel}$  and the Gaussian curve in Figure 2c. The boundary of each event is set as 5100/e  $\approx$  1,900, where e is the natural exponential. We identify the coherent structures when the PVI index is larger than the threshold  $\langle PVI \rangle + \sigma(PVI) \sim 1.3$ , where  $\langle PVI \rangle$  and  $\sigma(PVI)$  are the average and standard deviation of PVI index in the entire interval (Greco et al., 2009). Finally, 68 intense E<sub>||</sub> acceleration events were selected, with 60 events having a PVI index greater than the threshold, i.e., they are within the coherent structures. One can see from Figure 3a that data points with W<sub>E||</sub> larger than 5,100 eV s<sup>-1</sup> cm<sup>-3</sup> are mostly in the range L<sub>dB</sub> ~ [1, 10] d<sub>i</sub>.

Figure 5 shows one example of intense  $E_{\parallel}$  acceleration events. It is shown that the 240 intense E<sub>ll</sub> acceleration was coincident with a large PVI index, which corresponds to a 241 242 coherent structure with a sharp change of the magnetic field and an intense current. A unipolar  $E_{\parallel}\sim 3~mV~m^{\text{-1}}$  was responsible for  $\sim 8\times 10^4~eV~s^{\text{-1}}~cm^{\text{-3}}$  acceleration rate in the 243 parallel direction. Moreover, we transfer the magnetic field, electron bulk flow, and 244 electric current to the local LMN coordinates (Figure 5g-5i) to see whether this event 245 was associated with a local reconnection. We employ the same procedure as Man et al. 246 247 (2022) to identify local reconnection, such as the electron outflowing jets and the outof-plane current supporting the magnetic field reversal. We see a clear electron bulk 248 flow reversal corresponding well to the current sheet crossing, implying that MMS 249 250 encountered an active reconnection in this coherent structure. We have further 251 examined all the intense  $E_{\parallel}$  acceleration events. Overall, 30 (~ 44%) intense  $E_{\parallel}$ 252 acceleration events are associated with local reconnection. Therefore, we conclude that 253 reconnection plays a significant role in accelerating electrons in this event.

254

## 255 4. Discussion and Conclusion

We have analyzed 31 other intervals in the turbulent magnetosheath observed by MMS from the year 2015 to 2019 (Wang et al., 2021). Twenty-two of these intervals are downstream of the quasi-parallel bow shock, and the other 9 events are downstream of the quasi-perpendicular bow shock. These events have a broader range of plasma  $\beta$ . We analyze these events by the same method described in this paper and find qualitatively similar results, that is, electrons are predominantly accelerated by  $E_{\parallel}$  no 262 matter whether the interval is downstream of the quasi-parallel or quasi-perpendicular263 bow shock.

264 A further question that needs to be addressed is whether the  $E_{\parallel}$  acceleration in the coherent structures is due to Landau damping, stochastic heating, or Joule-type 265 dissipation. Since the electrons are magnetized most of the time, they could not be 266 267 energized through stochastic heating, which requires that the electron magnetic moment 268 is not conserved (e.g., Vech et al., 2017). This event is different from the events reported by Afshari et al. (2021) and Chen et al. (2019), which demonstrate that electrons were 269 270 accelerated through Landau resonance with the kinetic Alfven waves. One major 271 difference is that the magnetic field is relatively stable and has few coherent structures 272 in the events studied by Afshari et al. (2021). This is also manifested by the non-273 Gaussian PDF of the E<sub>ll</sub> acceleration rate in our event, whereas the PDF is near Gaussian 274 in turbulence dominated by Landau damping (not shown). Importantly, we find that the large  $E_{\parallel}$  acceleration studied in this paper is usually associated with unipolar  $E_{\parallel}$  rather 275 276 than wave-like E<sub>ll</sub>. Thus, the E<sub>ll</sub> acceleration observed in our event is unlikely caused by Landau damping. More detailed analysis using the field-particle correlation technique 277 for each intense  $E_{\parallel}$  acceleration event can be performed to further understand  $E_{\parallel}$ 278 acceleration in these coherent structures. 279

280 In summary, we have investigated how electrons are being accelerated through the 281 dissipation of magnetic energy in nonlinear turbulence in the Earth's magnetosheath. Since electrons are mostly magnetized, we classify the acceleration mechanisms into 282 three types: Fermi process, betatron mechanism, and  $E_{\parallel}$  acceleration. We find that the 283 284 PDF of  $E_{\parallel}$  acceleration is significantly broader than the PDF of the other two acceleration rates, which implies that electrons are predominantly accelerated by 285 286 parallel electric fields.  $W_{E\parallel}$  increases with the reduction of the spatial scale and the increment of the PVI index, suggesting that the E<sub>ll</sub> acceleration is the most effective 287 288 around the ion inertial length and coherent structures play a vital role in energizing electrons through E<sub>II</sub>. We demonstrate that electrons are accelerated through Joule-type 289 dissipation/heating in strong turbulence containing many coherent structures, which is 290 another important building block of the particle energization physical scenario besides 291

the mechanism proposed by Chen et al. (2019) and Afshari et al. (2021).

The acceleration and heating of ions by turbulence may be quite different to the electrons because ions are expected to be demagnetized due to their much larger gyroradius. Hence one cannot use the guiding center approximation to describe the ion motion and may resort to other methods to quantify the ion acceleration, which is underway for further report.

298

# 299 Acknowledgments

- 300 Thanks to the MMS team for providing the high-quality data to complete this work.
- 301 This work is supported by the National Natural Science Foundation of China (NSFC)

302 under grant Nos. 42074197, 42130211, and 41774154.

## 303 Data Availability Statement

- 304 The data used in this study was obtained from the MMS Science Data Center
- 305 (https://lasp.colorado.edu/mms/sdc/public/about/browse-wrapper/).

![](_page_47_Figure_0.jpeg)

306

**Figure 1.** Overview of the turbulence in the magnetosheath observed by MMS from 07:08:14 to 07:18:34 UT on 18 December 2016. From the top to bottom are: (a) three components of the magnetic field and (b) the electric field; (c) electron number density; (d) ion bulk velocity; (e) electron parallel and perpendicular temperatures; (f) electric current density; (g)  $\kappa$  value; (h) – (j) the acceleration rate from E<sub>||</sub>, Fermi process and betatron mechanism, respectively. The vectors are displayed in the GSE coordinate system.

![](_page_48_Figure_0.jpeg)

316

Figure 2. (a) probability distribution function (PDF) of  $\kappa$ . The pink line marks  $\kappa$ =3; (b) PDF of betatron acceleration rate (blue), Fermi acceleration rate (red) and the  $E_{\parallel}$ acceleration rate (green). The green dashed line is the mirror image of the negative  $E_{\parallel}$ acceleration rate; (c) comparison of the PDF of the  $E_{\parallel}$  acceleration rate and the Gaussian curve (black).

323

![](_page_48_Figure_4.jpeg)

Figure 3. (a) Joint PDFs of  $E_{\parallel}$  acceleration rate and the local magnetic field scale  $L_{dB}$ ; (b) the average  $W_{E\parallel}$  as a function of  $L_{dB}$ ; (c) the average  $L_{dB}$  as a function of  $W_{E\parallel}/(W_{E\parallel})_{rms}$ , here  $(W_{E\parallel})_{rms}$  is the root mean square of  $W_{E\parallel}$ ; The vertical bars in panels (b) and (c) represent the standard errors of the mean.

![](_page_48_Figure_6.jpeg)

Figure 4. Average  $E_{\parallel}$  acceleration rate (a) and electron temperature (b) conditioned on the binned PVI index. The average  $W_{E\parallel}$  is normalized by the averaged value in the entire

interval. The electron temperature is normalized by  $\langle T_e \rangle$  in a moving window with duration of 4 s, equivalent to approximately 10 d<sub>i</sub>. The vertical bars represent the standard error of the mean.

![](_page_49_Figure_2.jpeg)

Figure 5. An example of the intense  $E_{\parallel}$  accelerate event. From the top to bottom are: (a) three components of the magnetic field; (b) parallel electric field; (c) electric current density; (d)  $E_{\parallel}$  acceleration rate; (e) PVI Index. The shaded area highlights a significant

electron accelerate by  $E_{\parallel}$  within a coherent structure. The expanded view displays the (f) magnetic field; (g) electron bulk velocity and (h) current density in the LMN coordinate system around the coherent structure.

342

# 343 **References**

- 344 [1] Afshari, A. S., Howes, G. G., Kletzing, C. A., Hartley, D. P., & Boardsen, S. A.
- (2021). The importance of electron Landau damping for the dissipation of turbulent
   energy in terrestrial magnetosheath plasma. Journal of Geophysical Research: Space

347 Physics, 126(12), e2021JA029578. https://doi.org/10.1029/2021JA02957

- 348 [2] Alexandrova, O., & Saur, J. (2008). Alfvén vortices in Saturn's magnetosheath:
- 349 Cassini observations. Geophysical Research Letters, 35(15).
  350 https://doi.org/10.1029/2008GL034411
- 351 [3] Bandyopadhyay, R., Matthaeus, W. H., Parashar, T. N., Yang, Y., Chasapis, A., Giles,
- 352 B. L., Gershman, D. J., Pollock, C. J., Russell, C. T., Strangeway, R. J., Torbert, R. B.,
- 353 Moore, T. E., & Burch, J. L. (2020). Statistics of kinetic dissipation in Earth's
- magnetosheath: MMS observations. Physical Review Letters, 124(25), 255101.
   <a href="https://doi.org/10.1103/PhysRevLett.124.255101">https://doi.org/10.1103/PhysRevLett.124.255101</a>
- 356 [4] Bowen, T. A., Chandran, B. D., Squire, J., Bale, S. D., Duan, D., Klein, K. G., Larson,
- D., Mallet, A., McManus, M. D., Meyrand, R., et al. (2022). In Situ Signature of
  Cyclotron Resonant Heating in the Solar Wind. Physical Review Letters, 129(16),
- 359 165101.
- [5] Büchner, J., & Zelenyi, L. M. (1989). Regular and chaotic charged particle motion
  in magnetotaillike field reversals: 1. Basic theory of trapped motion. Journal of
  Geophysical Research Space Physics, 94(A9), 11821-11842.
- 363 https://doi.org/10.1029/JA094iA09p11821
- 364 [6] Chandran, B., Li, B., Rogers, B., Quataert, E., & Germaschewski, K. (2010).
- 365 Perpendicular ion heating by low-frequency Alfven-wave turbulence in the solar wind.
- 366 The Astrophysical Journal, 720(1). <u>https://doi.org/10.1088/0004-637X/720/1/503</u>
- 367 [7] Chanteur, G. (1998). Spatial interpolation for four spacecraft: Theory. In G.

- Paschmann, P. W. Daly (Eds.), Analysis methods for multi-spacecraft data (p. 349).
  Noordwijk, Netherlands: ESA Publ. Div.
- 370 [8] Chasapis, A., Retinò, A., Sahraoui, F., Vaivads, A., Khotyaintsev, Y. V., Sundkvist,
- 371 D., Greco, A., Valvo, L. S., & Canu, P. (2015). Thin current sheets and associated
- electron heating in turbulent space plasma. The Astrophysical Journal Letters, 804(1),
- 373 L1. https://doi.org/10.1088/2041-8205/804/1/L1
- [9] Chen, C. H. K. (2016). Recent progress in astrophysical plasma turbulence from
- 375 solar wind observations. Journal of Plasma Physics, 82(6).
  376 https://doi.org/10.1017/S0022377816001124
- 377 [10] Chen, C. H. K., Klein, K. G., & Howes, G. G. (2019). Evidence for electron Landau
- damping in space plasma turbulence. Nature communications, 10(1), 1-8.
  https://doi.org/10.1038/s41467-019-08435-3
- 380 [11] Dahlin, J. T., Drake, J. F., & Swisdak, M. (2014). The mechanisms of electron
- heating and acceleration during magnetic reconnection. Physics of Plasmas, 21(9),
  092304. https://doi.org/10.1063/1.4894484
- 383 [12] Dmitruk, P., Matthaeus, W. H., & Lanzerotti, L. J. (2004a). Discrete modes and
- 384 turbulence in a wave-driven strongly magnetized plasma. Geophysical Research Letters,
- 385 31(21). <u>https://doi.org/10.1029/2004GL021119</u>
- 386 [13] Dmitruk, P., Matthaeus, W. H., & Seenu, N. (2004b). Test particle energization by
- current sheets and nonuniform fields in magnetohydrodynamic turbulence. The
  Astrophysical Journal, 617(1), 667. https://doi.org/10.1086/425301
- 389 [14] Ergun, R. E., Tucker, S., Westfall, J., Goodrich, K. A., Malaspina, D. M., Summers,
- 390 D., Wallace, J., Karlsson, M., Mack, J., Brennan, N., Pyke, B., Withnell, P., Torbert, R.,
- 391 Macri, J., Rau, D., Dors, I., Needell, J., Lindqvist, P. -A., Olsson, G., Cully, C. M. (2016).
- 392 The axial double probe and fields signal processing for the MMS mission. Space
- 393 Science Reviews, 199(1), 167–188. <u>https://doi.org/10.1007/s11214-014-0115-x</u>
- 394 [15] Gary, S. P., Winske, D., & Hesse, M. (2000). Electron temperature anisotropy
- 395 instabilities: Computer simulations. Journal of Geophysical Research: Space Physics,
- 396 105(A5), 10751-10759. <u>https://doi.org/10.1029/1999JA000322</u>
- 397 [16] Greco, A., Matthaeus, W. H., Perri, S., Osman, K. T., Servidio, S., Wan, M., &

- 398 Dmitruk, P. (2018). Partial variance of increments method in solar wind observations
- and plasma simulations. Space Science Reviews, 214(1), 1-27.
  https://doi.org/10.1007/s11214-017-0435-8
- 401 [17] Greco, A., Matthaeus, W. H., Servidio, S., Chuychai, P., & Dmitruk, P. (2009).
- 402 Statistical analysis of discontinuities in solar wind ACE data and comparison with
- 403 intermittent MHD turbulence. The Astrophysical Journal, 691(2), L111.
- 404 https://doi.org/10.1088/0004-637X/691/2/L111
- 405 [18] He, J., Pei, Z., Wang, L., Tu, C., Marsch, E., Zhang, L., & Salem, C. (2015).
- 406 Sunward propagating Alfvén waves in association with sunward drifting proton beams
- 407 in the solar wind. The Astrophysical Journal, 805(2), 176. <u>https://doi.org/10.1088/0004-</u>
- 408 <u>637X/805/2/176</u>
- 409 [19] He, J., Wang, L., Tu, C., Marsch, E., & Zong, Q. (2015). Evidence of Landau and
- 410 cyclotron resonance between protons and kinetic waves in solar wind turbulence. The
- 411 Astrophysical Journal Letters, 800(2), L31. <u>https://doi.org/10.1088/2041-</u>
  412 <u>8205/800/2/L31</u>
- 413 [20] Howes, G. G. (2017). A prospectus on kinetic heliophysics. Physics of Plasmas,
- 414 24(5), 055907. <u>https://doi.org/10.1063/1.4983993</u>
- 415 [21] Huang, S. Y., Sahraoui, F., Retinò, A., Le Contel, O., Yuan, Z. G., Chasapis, A.,
- 416 Aunai, N., Breuillard, H., Deng, X. H., Zhou, M., et al. (2016). MMS observations of
- 417 ion-scale magnetic island in the magnetosheath turbulent plasma. Geophysical
- 418 Research Letters, 43(15), 7850-7858. <u>https://doi.org/10.1002/2016GL07003</u>
- 419 [22] Huang, S. Y., Du, J. W., Sahraoui, F., Yuan, Z. G., He, J. S., Zhao, J. S., Le Contel,
- 420 O., Breuillard, H., Wang, D. D., Yu, X. D., et al. (2017a). A statistical study of kinetic-
- 421 size magnetic holes in turbulent magnetosheath: MMS observations. Journal of
- 422 Geophysical Research: Space Physics, 122(8), 8577-8588.
  423 <u>https://doi.org/10.1002/2017JA024415</u>
- 424 [23] Huang, S. Y., Sahraoui, F., Yuan, Z. G., He, J. S., Zhao, J. S., Le Contel, O., Deng,
- 425 X. H., Zhou, M., Fu, H. S., Shi, Q.Q., et al. (2017b). Magnetospheric multiscale
- 426 observations of electron vortex magnetic hole in the turbulent magnetosheath plasma.
- 427 The Astrophysical Journal Letters, 836(2), L27. https://doi.org/10.3847/2041-

#### 428 <u>8213/aa5f50</u>

- 429 [24] Huang, S. Y., Zhang, J., Sahraoui, F., Yuan, Z. G., Deng, X. H., Jiang, K., Xu, S.
- 430 B., Wei, Y. Y., He, L. H., & Zhang, Z. H. (2020). Observations of magnetic field line
- 431 curvature and its role in the space plasma turbulence. The Astrophysical Journal Letters,
- 432 898(1), L18. <u>https://doi.org/10.3847/2041-8213/aba263</u>
- 433 [25] Huang, S. Y., Zhang, J., Yuan, Z. G., Jiang, K., Wei, Y. Y., Xu, S. B., et al. (2022).
- 434 Intermittent dissipation at kinetic scales in the turbulent reconnection outflow.
- 435 Geophysical Research Letters, 49, e2021GL096403.
  436 https://doi.org/10.1029/2021GL096403
- 437 [26] Isenberg, P. A. (2001). The kinetic shell model of coronal heating and acceleration
- 438 by ion cyclotron waves: 2. Inward and outward propagating waves. Journal of
- 439 Geophysical Research: Space Physics, 106(A12), 29249-29260.
- 440 <u>https://doi.org/10.1029/2001JA000176</u>
- 441 [27] Isenberg, P. A., & Hollweg, J. V. (1983). On the preferential acceleration and
- heating of solar wind heavy ions. Journal of Geophysical Research: Space Physics,
  88(A5), 3923-3935. https://doi.org/10.1029/JA088iA05p03923
- 444 [28] Kiyani, K. H., Osman, K. T., & Chapman, S. C. (2015). Dissipation and heating in
- solar wind turbulence: From the macro to the micro and back again. Philosophical
- 446 Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences,
- 447 373(2041), 20140155. <u>https://doi.org/10.1098/rsta.2014.0155</u>
- 448 [29] Klein, K. G., Howes, G. G., & TenBarge, J. M. (2017). Diagnosing collisionless
- 449 energy transfer using field-particle correlations: gyrokinetic turbulence. Journal of
- 450 Plasma Physics, 83(4). https://doi.org/10.1017/S0022377817000563
- 451 [30] Kress, B. T., Hudson, M. K., Looper, M. D., Albert, J., Lyon, J. G., & Goodrich,
- 452 C. C. (2007). Global MHD test particle simulations of >10 MeV radiation belt electrons
- 453 during storm sudden commencement. Journal of Geophysical Research: Space Physics,
- 454 112(A9). https://doi.org/10.1029/2006JA012218
- 455 [31] Lindqvist, P.A., Olsson, G., Torbert, R. B., King, B., Granoff, M., Rau, D., Needell,
- 456 G., Turco, S., Dors, I., Beckman, P., et al. (2016). The spin-plane double probe electric
- 457 field instrument for MMS. Space Science Reviews, 199(1), 137-165.

- 458 <u>https://doi.org/10.1007/s11214-014-0116-9</u>
- 459 [32] Ma, W., Zhou, M., Zhong, Z., & Deng, X. (2020). Electron acceleration rate at
- 460 dipolarization fronts. The Astrophysical Journal, 903(2), 84.
  461 https://doi.org/10.3847/1538-4357/abb8cc
- 462 [33] Ma, W., Zhou, M., Zhong, Z., & Deng, X. (2022). Contrasting the Mechanisms of
- 463 Reconnection-driven Electron Acceleration with In Situ Observations from MMS in the
- 464 Terrestrial Magnetotail. The Astrophysical Journal, 931(2), 135.
  465 https://doi.org/10.3847/1538-4357/ac6be6
- 466 [34] Man, H., Zhou, M., Zhong, Z., & Deng, X. (2022). Intense energy conversion
- 467 events at the magnetopause boundary layer. Geophysical Research Letters, 49(8),
- 468 e2022GL098069. <u>https://doi.org/10.1029/2022GL098069</u>
- 469 [35] Marsch, E., & Tu, C. Y. (2001). Evidence for pitch angle diffusion of solar wind
- 470 protons in resonance with cyclotron waves. Journal of Geophysical Research: Space
- 471 Physics, 106(A5), 8357-8361. <u>https://doi.org/10.1029/2000JA000414</u>
- 472 [36] Matthaeus, W. H., Wan, M., Servidio, S., Greco, A., Osman, K. T., Oughton, S., &
- 473 Dmitruk, P. (2015). Intermittency, nonlinear dynamics and dissipation in the solar wind
- 474 and astrophysical plasmas. Philosophical Transactions of the Royal Society A:
- 475 Mathematical, Physical and Engineering Sciences, 373(2041), 20140154.
- 476 https://doi.org/10.1098/rsta.2014.0154
- 477 [37] Osman, K. T., Matthaeus, W. H., Gosling, J. T., Greco, A., Servidio, S., Hnat, B.,
- 478 Chapman, S. C., & Phan, T. D. (2014). Magnetic reconnection and intermittent
- 479 turbulence in the solar wind. Physical Review Letters, 112(21), 215002.
  480 https://doi.org/10.1103/PhysRevLett.112.215002
- 481 [38] Osman, K. T., Matthaeus, W. H., Wan, M., & Rappazzo, A. F. (2012). Intermittency
- and local heating in the solar wind. Physical review letters, 108(26), 261102.
  <a href="https://doi.org/10.1103/PhysRevLett.108.261102">https://doi.org/10.1103/PhysRevLett.108.261102</a>
- 484 [39] Pollock, C., Moore, T., Jacques, A., Burch, J., Gliese, U., Saito, Y., Omoto, T.,
- 485 Avanov, L., Barrie, A., Coffey, V., et al. (2016). Fast plasma investigation for
- 486 magnetospheric multiscale. Space Science Reviews, 199(1), 331-406.
- 487 <u>https://doi.org/10.1007/s11214-016-0245-4</u>

- 488 [40] Retinò, A., Sundkvist, D., Vaivads, A., Mozer, F., André, M., & Owen, C. J. (2007).
- In situ evidence of magnetic reconnection in turbulent plasma. Nature Physics, 3(4),
  235-238. https://doi.org/10.1038/nphys574
- 491 [41] Russell, C. T., Anderson, B. J., Baumjohann, W., Bromund, K. R., Dearborn, D.,
- 492 Fischer, D., Le, G., Leinweber, H. K., Leneman, D., Magnes, W., et al. (2016). The
- 493 magnetospheric multiscale magnetometers. Space Science Reviews, 199(1), 189-256.
- 494 https://doi.org/10.1007/s11214-014-0057-3
- 495 [42] Sahraoui, F., Goldstein, M. L., Robert, P., & Khotyaintsev, Y. V. (2009). Evidence
- 496 of a cascade and dissipation of solar-wind turbulence at the electron gyroscale. Physical
- 497 Review Letters, 102(23), 231102. <u>https://doi.org/10.1103/PhysRevLett.102.231102</u>
- 498 [43] Vech, D., Klein, K. G., & Kasper, J. C. (2017). Nature of stochastic ion heating in
- 499 the solar wind: testing the dependence on plasma beta and turbulence amplitude. The
- 500 Astrophysical Journal Letters, 850(1), L11. <u>https://doi.org/10.3847/2041-8213/aa9887</u>
- 501 [44] Wang, Y., Bandyopadhyay, R., Chhiber, R., Matthaeus, W. H., Chasapis, A., Yang,
- 502 Y., Wilder, F. D., Gershman, D. J., Giles, B. L., Pollock, C. J., et al. (2021). Statistical
- survey of collisionless dissipation in the terrestrial magnetosheath. Journal of
  Geophysical Research: Space Physics, 126(6), e2020JA029000.
  https://doi.org/10.1029/2020JA029000
- 506 [45] Yao, S. T., Shi, Q. Q., Guo, R. L., Yao, Z. H., Tian, A. M., Degeling, A. W., Sun,
- 507 W. J., Liu, J., Wang, X. G., Zong, Q. G., et al. (2018). Magnetospheric Multiscale
- 508 observations of electron scale magnetic peak. Geophysical Research Letters, 45(2),
- 509 527-537. https://doi.org/10.1002/2017GL075711
- 510 [46] Zhong, Z. H., Zhou, M., Huang, S. Y., Tang, R. X., Deng, X. H., Pang, Y., & Chen,
- 511 H. T. (2019). Observations of a kinetic-scale magnetic hole in a reconnection diffusion
- 512 region. Geophysical Research Letters, 46(12), 6248-6257.
  513 <u>https://doi.org/10.1029/2019GL082637</u>
- 514 [47] Zhong, Z. H., Zhou, M., Tang, R. X., Deng, X. H., Turner, D. L., Cohen, I. J., Pang,
- 515 Y., Man, H. Y., Russell, C. T., Giles, B. L., et al. (2020). Direct evidence for electron
- 516 acceleration within ion-scale flux rope. Geophysical Research Letters, 47(1),
- 517 e2019GL085141. https://doi.org/10.1029/2019GL085141

- 518 [48] Zhou, M., El-Alaoui, M., Lapenta, G., Berchem, J., Richard, R. L., Schriver, D.,
- 519 & Walker, R. J. (2018). Suprathermal electron acceleration in a reconnecting
- 520 magnetotail: Large-scale kinetic simulation. Journal of Geophysical Research: Space
- 521 Physics, 123(10), 8087-8108. <u>https://doi.org/10.1029/2018JA025502</u>
- 522 [49] Zhou, M., Man, H. Y., Deng, X. H., Pang, Y., Khotyaintsev, Y., Lapenta, G., Yi, Y.
- 523 Y., Zhong, Z. H., Ma, W. Q. (2021). Observations of secondary magnetic reconnection
- 524 in the turbulent reconnection outflow. Geophysical Research Letters, 48(4),
- 525 e2020GL091215. <u>https://doi.org/10.1029/2020GL091215</u>
- 526