# Kinematics and Convergent Tectonics of the Northwestern South American Plate during the Cenozoic.

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December 13, 2022

#### Abstract

The interaction of the northern Nazca and southwestern Caribbean oceanic plates with South America, and the collision of the Panama-Choco arc have significant implications on the evolution of the northern Andes. We integrate an alternative interpretation of the Nazca and Caribbean kinematics with the magmatic and deformation history in the region. The northeastward migration of the Caribbean plate caused a progressive change in the geometry of the subducting Farallon plate, causing flat-slab subduction throughout the late Eocene-late Oligocene, inhibition of magmatism and eastward migration of the Andean deformation. Meanwhile, the Paleocene-Eocene highly oblique convergence of the Caribbean plate against South America changed by the mid-Eocene, when the Caribbean plate began to migrate in an easterly direction. These events and the late Oligocene breakup of the Farallon plate, prompted a Miocene plate reorganization, with further plate fragmentation, changes in convergence obliquity, steepening of the subducting slabs and renewal of magmatism. This tectonics was complicated by the accretion of the Panama-Choco arc to South America, which was characterized by early Miocene subduction erosion of the forearc and trench advance, followed by breakoff of the subducting slab east of Panama and collisional tectonics from the middle Miocene. By 9 Ma the Coiba and Malpelo microplates were attached to the Nazca plate, resulting in an abrupt change in convergence directions, that correlates with the main pulse of Andean orogeny. During the late Pliocene, the Nazca slab broke, triggering the modern volcanism south of 5.5<sup>o</sup> N. Seismicity data and tomography support the proposed reconstruction.

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Cretaceous to recent magmatic intrusions

> Bucaramanga Seismic nest (projected)

Esc. 1:2

100 Km -

150 Km

Oceanic crust Continental crust

100 Km





Coas



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# 11 Key points

12 The tectonics of convergent triple junctions is complicated by the relative plate motion and interaction of 13 the involved plates.

We propose a model for the kinematic and geometric evolution of the Farallon/Nazca and Caribbean plates throughout the Cenozoic.

16 The interaction between the Caribbean, Nazca and South American plates is closely related to the defor-17 mation history in the Northern Andes.

## 18

# 19 Abstract

20 The interaction of the northern Nazca and southwestern Caribbean oceanic plates with South America, 21 and the collision of the Panama-Choco arc have significant implications on the evolution of the northern 22 Andes. We integrate an alternative interpretation of the Nazca and Caribbean kinematics with the 23 magmatic and deformation history in the region. The northeastward migration of the Caribbean plate 24 caused a progressive change in the geometry of the subducting Farallon plate, causing flat-slab 25 subduction throughout the late Eocene-late Oligocene, inhibition of magmatism and eastward migration of 26 the Andean deformation. Meanwhile, the Paleocene-Eocene highly oblique convergence of the Caribbean 27 plate against South America changed by the mid-Eocene, when the Caribbean plate began to migrate in 28 an easterly direction. These events and the late Oligocene breakup of the Farallon plate, prompted a 29 Miocene plate reorganization, with further plate fragmentation, changes in convergence obliquity, 30 steepening of the subducting slabs and renewal of magmatism. This tectonics was complicated by the 31 accretion of the Panama-Choco arc to South America, which was characterized by early Miocene 32 subduction erosion of the forearc and trench advance, followed by breakoff of the subducting slab east of 33 Panama and collisional tectonics from the middle Miocene. By 9 Ma the Coiba and Malpelo microplates 34 were attached to the Nazca plate, resulting in an abrupt change in convergence directions, that correlates 35 with the main pulse of Andean orogeny. During the late Pliocene, the Nazca slab broke, triggering the modern volcanism south of 5.5° N. Seismicity data and tomography support the proposed reconstruction. 36

# 37 Plain language summary

The tectonic reconstruction in convergent triple junctions is a particularly challenging task, as the relative

39 motion between plates could define highly changing boundaries. Indeed, the resulting interaction between

40 these convergent plates may induce important changes in the disposition of the trenches, and in turn in 41 the three-dimensional geometry of the subducting plates. Therefore, these highly dynamic conditions 42 throughout geological time may be accommodated by different phases of plate fragmentation and 43 reorganization. These factors could explain the complex spatial-temporal distribution of subduction-44 related magmatism and the different episodes of deformation in the upper plates. This reasoning is 45 validated in the northwestern corner of South America, where the continent has been converging with the 46 northern Nazca and southwestern Caribbean oceanic plates since Cretaceous time. Additionally, we study the effects of the collision and accretion of the Panama-Choco arc with South America. To 47 48 accomplish that, we review the kinematic history of the Farallon/Nazca and Caribbean kinematics relative 49 to stable South America, and we integrate these results with the magmatic and deformation evolution of 50 the northern Andes, which allow us to propose a model of the geometrical evolution of the subducting 51 slabs. The obtained model is additionally constrained by seismological data and published velocity 52 anomalies.

#### 53 Index terms and keywords

54 Plate Kinematics, Convergent Margins, Slab Geometry, Northern Andean Deformation Episodes.

#### 55 **1. Introduction**

56 Lateral variations of the convergence parameters between the Farallon/Nazca and South America plates 57 have led to along strike differences along the Andean range (Fig.1) (e.g. Gansser, 1973; Cediel et al., 58 2003; Oncken et al., 2006; Trumbull et al., 2006; Ramos, 2010). The most important element that charac-59 terizes the tectonic evolution of the northern Andes is that the Nazca subduction is complicated by its 60 interaction with the Caribbean plate since Cretaceous time (Fig.2) (e.g. Burke, 1988; Pindell and Barrett, 61 1990; Mann, 1999), conforming a triple junction with South America. Furthermore, the tectonic setting in 62 this region is conditioned by the collision of the Panama-Choco arc (PCA) since the late Oligocene (Farris 63 et al., 2011; Montes et al., 2012). Within this context, the subduction geodynamics of the Nazca and Car-64 ibbean plate should not be simplified as the independent subduction of two oceanic slabs beneath the 65 continent, but as a triple junction that should be addressed in a three-dimensional framework. Indeed, 66 several authors (e.g. Toda et al., 2008; Faccenna et al., 2018) have demonstrated that the interaction 67 between subducting slabs in similar convergent triple junctions, has major tectonic implications on the 68 tectonic evolution of the upper plate.

69 A fundamental question to understand the tectonics of Northwestern South America (NWSA) is the pre-70 sent-day configuration of the subducting plates. Several studies on the distribution of seismic events in 71 the northern Andes (Fig.3) (e.g. Taboada et al., 2000; Chiarabba et al., 2015; Sun et al., 2022) have led 72 to several competing models on the geometric configuration of the transition between the Nazca and Car-73 ibbean plates at depth (Fig.4) (e.g. Pennington, 1981; Vargas and Mann, 2013; Syracuse et al., 2016; 74 Kellogg et al., 2019; Sun et al., 2022). While some models support a shear zone between the Caribbean 75 and Nazca slabs at 5.5°N (Figs.3 and 4) (Pennington, 1981), implying the abrupt termination of both plates 76 at this position, other models favor an overlapping region between these slabs (e.g. Taboada et al., 2000; 77 Cortes and Angelier., 2005; Vargas, 2019; Sun et al., 2022).

As convergent triple junctions are not static elements in time, the understanding of the kinematic history of the interacting plates is crucial for reconstructing the tectonic evolution of these margins (Faccenna et

al., 2018). On the one hand, the Nazca kinematics is well known between 10°S and 21°S (Fig.1) (e.g.

81 Pardo-Casas & Molnar, 1987; Somoza and Ghidela, 2012). This pattern of motion, however, is signifi-82 cantly different from the one in the northern Nazca plate, as the kinematics in this region was complicated 83 by an intricate crustal spreading history in the Nazca/Cocos plate boundary after the breakup of the Faral-84 lon plate (e.g. Handschumacher, 1976; Sallarès et al., 2003; MacMillan et al., 2004; Lonsdale, 2005; 85 Geldmacher et al., 2013). On the other hand, the regional Caribbean kinematics are well understood following several recent studies (Pindell and Kennan, 2009; Escalona and Mann, 2011; Farris et al., 2011; 86 87 Montes et al., 2012; Boschman et al., 2014). These findings allowed these authors to define a northeast-88 ward migration of the Caribbean plate since the late Cretaceous (relative to South America) and the time 89 of initial collision of the PCA against South America by the late Oligocene (Fig.2). Although the influence 90 of this collisional event has been identified on both Central and South America (e.g. Montes et al., 2012; 91 Bayona et al., 2013, 2020; Silva et al., 2013; León et al., 2018; Mora-Bohórguez et al., 2018; Mora et al., 92 2013a), the contribution of additional drivers in the northern Andes orogeny is not well understood.

93 We review the kinematic reconstruction of the subducting Farallon/Nazca and Caribbean plates relative to 94 South America throughout the Cenozoic. The analysis of the three-dimensional evolution of these plates 95 allowed us to identify positive correlations between different phases of subduction and the spatial and 96 temporal patterns of magmatism and first order deformation episodes in the northern Andes. An important 97 aspect of our analysis is the influence of the migration of the triple junction on the geometry of both the 98 Farallon/Nazca and Caribbean plates, and in turn on the tectonics of Northwestern South America 99 (NWSA). Finally, our kinematic reconstruction is integrated with a new interpretation of the present-day 100 tectonic configuration of NWSA.

#### 101 2. Tectonic Setting

#### 102 2.1 The southwestern Caribbean Plate and the Panama-Choco Arc (PCA)

103 Global and regional kinematic reconstructions agree that the Caribbean plate is a thick and buoyant oce-104 anic plateau (e.g. Pindell et al., 2005) formed during the Late Cretaceous in the eastern Pacific region, 105 that subsequently migrated eastwards between the North and South American plates (Burke, 1988; 106 Mann, 1999; Pindell and Kennan, 2009). During its migration, the Caribbean plate underwent a major 107 collision event against South America during the Late Cretaceous, accreting the oceanic terrain that ex-108 tends along the Ecuadorian and Colombian western margin known as the Caribbean Large Igneous Prov-109 ince (CLIP; Fig.2) (Etayo-Serna, 1983; Cediel et al., 2003). This collision was followed by highly oblique 110 subduction below the South American plate that prevailed until the Eocene (Pindell and Kennan, 2009). This early Caribbean subduction is associated with arc-related plutonism along the northern Central Cor-111 112 dillera, the lower Magdalena valley, and the Santa Marta Massif (e.g. Bayona et al., 2012; Cardona et al., 113 2014; Jaramillo et al., 2017; Leal-Mejía et al., 2019;) (Fig.2). By the Eocene, a kinematic change of the Caribbean plate (Muller et al., 1999; Seton et al., 2012; Boschman et al., 2014), represented a more or-114 115 thogonal convergence and the onset of shallow subduction beneath the northern South American plate 116 (e.g. Mora-Bohórquez et al., 2017b). This change in subduction dynamics has been regarded by previous 117 studies as the causal factor of the cessation of magmatism in northern Colombia between 56 and 45 Ma 118 (Cardona et al., 2014) and the middle Eocene exhumation pulse in the northern central Cordillera, San 119 Jacinto range and Santa Marta Massif (Restrepo et al., 2009; Villagómez et al., 2011; Mora-Bohórguez et 120 al., 2018) (Fig.2). Additionally, the Eocene to recent eastward displacement of the Caribbean plate prompted the collision of the PCA against northern South America during the late Oligocene-early Mio-121 cene (Farris et al., 2011; Montes et al., 2012). Oceanic rocks accreted to South America indicate that 122 123 initial collision of the PCA was located at 3°N (Duque-Caro, 1990) and then the accretion migrated northward, currently reaching as far as 8°N (Fig.2). Based on seismological and tomography interpretations,
several authors have proposed that the current geometry of the Caribbean slab is characterized by shallow subduction beneath northern Colombia and Venezuela (e.g. Taboada et al., 2000; Bezada et al.,
2010; Syracuse et al., 2016; Cornthwaite et al., 2021; Sun et al., 2022).

![](_page_17_Figure_1.jpeg)

Fig. 1. Regional tectonic map of the South and Central American subduction zones showing the plate boundaries. Color lines show Cenozoic displacement vectors according to existing paleotectonic models for the Farallon/Nazca (green; Müller et al., 2019), Cocos (red; Müller et al., 2019), and Caribbean (yellow; Boschman et al., 2014) plates. Numbers refer to the reconstructed positions throughout the Cenozoic (Ma) relative to stable South America. The dashed area within the Nazca-Cocos plates shows the Miocene to Recent crust erupted from the Nazca-Cocos spreading center. The dashed area within the South American plate highlights the Northern Andean Block. Digital elevation model from Amante and Eakins (2009).

#### 2.2 The Northern Nazca Plate

The present-day Nazca plate south of 3°S is significantly different from

the part further north (Fig.1). Age dating and paleo magnetic anomalies indicate that south of 3°S, the 132 133 oceanic crust was originated at the East Pacific Rise (Figs.1 and 2), while the Nazca and Cocos plates subducting in the Ecuadorian, Colombian, Panamanian and Costa Rican margins correspond to oceanic 134 135 crust entirely formed at the combined Galapagos hotspot track and the Nazca-Cocos spreading center 136 (NCS, also known as the Galapagos spreading center; Fig.2) (e.g. Wilson and Hey, 1995; Werner et al., 137 2003; Sallarès et al., 2003; MacMillan et al., 2004; Lonsdale, 2005). A complicated spreading history 138 along this plate boundary determined a particular kinematics in the region (Barckhausen et al., 2001; 139 Lonsdale, 2005). Fig.2 shows a simplified compilation of estimated ages of the ocean floor originated in 140 the Nazca- Cocos spreading Center (NCS) (Barckhausen et al., 2001; Werner et al., 2003; Sallarès et al., 141 2003; MacMillan et al., 2004; Lonsdale, 2005).

![](_page_18_Figure_0.jpeg)

#### 142

Fig. 2. Tectonic setting of the Northwestern South American subduction zones. Main faults in the Northern Andes modified after Veloza et al. (2012); distribution of modern Volcanoes (black triangles) taken from Siebert et al. (2002); and age of the crust originated in the Nazca-Cocos spreading center (NCS) modified after Barckhausen et al. (2001), Werner et al. (2003) and Lonsdale (2005). Motion vectors relative to stable South America (Mora-Paez et al, 2019). BFS= Bocono Fault System, CC= Central Cordillera, CLIP= Caribbean Large Igneous Province, CR= Coiba ridge, CSP= Caribbean-South America-Pacific plates triple Junction, EC= Eastern Cordillera, EPR crust= East Pacific Ridge crust, GHS= Galapagos Hotspot, LLA= Llanos basin, LMV= Lower Magdalena Valley, MB= Maracaibo Basin, MR= Malpelo Ridge, MV= Magdalena Valley, NAB= Northern Andean Block, NPDB= North Panama Deformed Belt, NCS= Nazca-Cocos Spreading center, PCA= Panama-Choco arc, PFZ= Panama Fracture Zone, RFS= Romeral Fault System, SAP= Sinu Accretionary Prism, SBFS= Santa Marta Bucaramanga Fault Zone, SM= Santander Massif, SMM= Santa Marta Massif, UFS= Uramita Fault System, YG= Yaquina graven.

![](_page_19_Figure_0.jpeg)

Fig. 3. Seismicity map and modern volcanism in NWSA. For visualization purposes, seismic events are shown according to their depth in shallower than 90 km (a) and deeper than 90 km (b). Earthquake data are downloaded from the catalog of the RSNC website for the period between 1993 and 2018 (https://www2.sgc.gov.co/Paginas/aplicaciones-sismos.aspx). Black triangles represent modern volcanoes taken from Siebert et al. (2002). Arrows indicate the current directions of tectonic motion according to Mora-Paez et al. (2019). Continuous grey lines correspond to main faults in the northern Andes (Modified after Veloza et al., 2012). Caldas tear lineament taken from Vargas and Mann, (2013). Bucaramanga seismic nest location taken from Prieto et al. (2012). CR= Coiba Ridge, MR= Malpelo Ridge, MRf= Malpelo Rift, RFS= Romeral Fault System, UFS= Uramita Fault System, SRf= Sandra Rift, YG= Yaquina Graven. Note that north of 5°N, the seismicity is significantly reduced west of 76°W. However, there is a subtle N-S change in the distribution of seismicity across the white dashed line, which represents the southern edge of the Caribbean plate as interpreted in this study (see section 5 of this manuscript for details).

While arrangement of seafloor ages is relatively simple west of the Panama fracture zone (PFZ) (Fig.2), 143 144 with rock age increasing with distance from the Nazca-Cocos spreading Center (NCS) (Wilson and Hey, 145 1995), to the east of the PFZ (northern Nazca plate), the seafloor ages reveal a complex history. The youngest rocks in the northern Nazca plate (9 Ma) are located next to the eastward-trending Sandra and 146 147 Malpelo rifts (Fig.2) (Lonsdale, 2005). Moving away from these rifts, the seafloor becomes older until reaching the Coiba, Malpelo and Carnegie ridges (Fig.2), where the rocks range between 16 and 20 Ma 148 (Barckhausen et al., 2001; Sallarès et al., 2003; Lonsdale, 2005). Furthermore, given that there is rem-149 nant seismicity associated with the Sandra and Malpelo rifts (Lonsdale, 2005), some authors have pro-150 151 posed that the Nazca plate in this region is fragmented into minor tectonic blocks known as the Coiba (Adamek et al., 1988; Hardy, 1991) and Malpelo (Zhang et al., 2017) microplates (Fig.2). 152

The Nazca slab geometry is well constrained to the south of 5.5°N by geophysical methods (e.g. Cortes and Angelier, 2005; Syracuse et al., 2016). The termination of the northern volcanic zone (Fig.3) (Wagner et al., 2017) and the abrupt change in the distribution of seismicity (Wadati-Benioff Zones, WBZs) has been interpreted as a slab tear (Fig.2) (e.g. Vargas and Mann, 2013). Although a flat-slab has been considered north of this tear (e.g. Chiarabba et al., 2015; Wagner et al., 2017), the northernmost edge of the Nazca plate, and its transition beneath Central America is not understood. The presence of the South Panama Deformed Belt (SPDB, Fig.2) has been regarded as strong evidence of oblique subduction of the Nazca plate beneath the Panama isthmus (e.g. Moore et al., 1995; Mann and Kolarsky, 1995). This is supported by young adakitic volcanism in eastern Panama that has been associated with shallow subduction of the Nazca plate (Gutscher et al., 2000). Nonetheless, Johnston and Thorkelson (1997) proposed that this adakitic signature could be the result of slab windows or localized tears in the Nazca slab that facilitated the migration of mantle melts from the lower plate.

![](_page_20_Figure_1.jpeg)

Fig. 4. Some previous interpretations showing the existing ambiguity in the definition of the slab boundaries beneath South America. While several authors consider the northern edge of the Nazca plate to be at about 5.5°N (e.g. Pennington, 2013), others prefer more northerly positions (Taboada et al., 2000; Cortes and Angelier., 2005; Sun et al., 2022). The southern edge of the Caribbean plate is also ambiguous, as some interpretations delineate this boundary at 5.5°N. In contrast, other authors consider the Caribbean slab to be as south as 4°N (Kellogg et al., 2019; Sun et al., 2022), in agreement with the southern occurrence of Miocene accreted rocks of the PCA. The Panama indenter and the Bucaramanga segment (c and d) are derived from the Caribbean plate after its convergence against South America (Vargas and Mann, 2013; Syracuse et al., 2016).

## 169 2.3 The Northwestern South America (NWSA) Plate

The Late Cretaceous to recent geodynamics in NWSA are associated with three mountain belts devel-170 oped north of 2°N, separated by along-strike topographic depressions (Fig.2). This area is comprised by 171 an assemblage of terranes (e.g., Restrepo and Toussaint, 1988; Cediel et al., 2003) that may be consid-172 ered in terms of two broad domains separated by the Romeral fault system (RFS), which extends along 173 174 the western flank of the Central Cordillera (Fig.2). East of the RFS, the crust is constituted by a Precambrian to Paleozoic continental basement related to the Guiana Craton (Cediel et al., 2003) (Fig.1). Con-175 176 versely, west of the RFS the crust corresponds to relict slivers of oceanic plateau rocks of the Caribbean 177 Large Igneous Province (CLIP; Fig 2) (Kerr et al., 1997; Sinton et al., 1998), accreted during the Late 178 Cretaceous to the continental Ecuadorian-Colombian margin. Further to the west, and bounded by the 179 Uramita fault system (UFS; Fig.2), the CLIP plateau rocks are replaced by intra-oceanic arc rocks that 180 define the continuation of the Panamanian magmatic arc within South America (León et al., 2018). This

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terrain, known as the Panama-Choco Arc (PCA; Fig.2) (Duque-Caro, 1990), has been interpreted as re-181 sult of a Miocene accretion event (e.g. Pindell and Kennan, 2009; Montes et al., 2019; Kellogg et al., 182 183 2019). As result of this complex history, the northern Andean range evolved from a diachronic and heter-184 ogeneous orogenic advance (Parra et al., 2009; Bayona et al., 2013, 2020; Mora et al., 2010, 2020). The 185 collision of the PCA against South America after the late Oligocene was a determining factor in the Andean Orogeny (e.g. Córtes and Angelier, 2005; Mora et al., 2015; Montes et al., 2019). However, the role of 186 187 other driving mechanisms that controlled the mountain building in the Northern Andes remains controversial (e.g. Reves-Harker et al., 2015; Mora et al., 2015). 188

189

## 190 3. Data and Methods

## 191 **3.1 Paleo-Tectonic Reconstruction**

192 Using the GPlates open access software v. 2.3 (Boyden et al., 2011; Müller et al. 2018; Gurnis et al., 193 2018; https://www.gplates.org), we modeled the relative motion of the Caribbean and Farallon/Nazca 194 plates from 60 Ma to recent times. Our regional model was built upon the global hierarchy of plate mo-195 tions and mantle reference frame proposed by Müller et al. (2019), by following the methodology of Gurnis et al. (2012) for building deforming plate boundaries through time. For visualization purposes, the 196 197 South American plate was anchored and, consequently, the tectonic plate convergence velocities and 198 obliguities presented in this paper are relative to fixed South America (Fig.1). Considering that our study 199 area is focused in the southwestern corner of the Caribbean plate and its interaction with the South Amer-200 ican and Farallon/Nazca plates, we give more relevance to the PCA kinematics. To this end, we relied 201 mainly on the regional reconstruction by Boschman et al. (2014), who used local block rotations for the Panama isthmus proposed in previous studies (Di Marco et al., 1995; Farris et al., 2011; Montes et al., 202 203 2012). We also used global plate models (Seton et al., 2012; Matthews et al., 2016; Müller et al., 2019), 204 who made improvements to the regional model of Boschman et al. (2014) and allow for better integration 205 with the kinematics of the Farallon plate.

206 The kinematics calculated for the Farallon/Nazca plate in southern latitudes (motion tracks in Fig.1) (e.g. Pardo-Casas and Molnar, 1987; Müller et al., 2019); are only applicable for the Ecuadorian - Colombian 207 208 offshore domain for geological times prior to the Farallon breakup (Lonsdale, 2005). Therefore, we used 209 the rotation poles of Müller et al. (2019) for the time period between 60 and 23 Ma. For the Miocene to 210 recent period, we used published variations in the relative positions of the Nazca-Cocos spreading center 211 and the Galapagos hotspot (NCS and GHS in Fig.2), as well as rates of spreading calculated from paleomagnetic anomalies (Barckhausen et al., 2001; Werner et al., 2003; Lonsdale, 2005), to propose an 212 213 alternative kinematic reconstruction of the northern Nazca plate. Although there is uncertainty about the 214 trend of the spreading centers along the already subducted slab (McGirr et al., 2020), we assume that the 215 trend of these features in these areas do not have significant changes to those observed in the preserved 216 area.

## 217 3.2 Upper Plate Record and Geometrical Reconstruction

218 Although there are several factors that influence volcanic distribution in continental arcs, different studies

in the Andes have postulated that past changes in subduction angle can be estimated from spatial varia-

tions, width and age of the magmatic arc (e.g., Coira et al. 1993; Ramos et al. 2002; Trumbull et al., 2006;

221 Wagner et al., 2017). Indeed, Tatsumi and Eggins (1995) found an empirical relationship between arc-222 trench distance and slab dip and stated that the slab is between 110 and 140 km below the arc. In this 223 study we use this empirical dependence to constrain the depth isocontours of subducting plates. We em-224 ploy the paleogeographic reconstruction of the plate margins and the spatiotemporal distribution of Ceno-225 zoic arc magmatism. We used the recently published Cenozoic arc magmatism records along the Colombian margin (Lara et al., 2013; Wagner et al., 2017; Leal-Mejía et al., 2019; Marín-Cerón et al., 2019; 226 227 Cardona et al., 2018; Barbosa et al., 2019; Weber et al., 2020), the Ecuadorian Andes (Schütte et al., 2010) and the Panamanian isthmus (Lissinna, 2005; Wegner et al., 2011; Montes et al., 2012; Rooney et 228 229 al., 2015).

230 The resulting geometric models were integrated with published evidence of deformation in the upper plate, which allowed us to identify possible correlations between the regional plate geodynamics and first-231 232 order phases of deformation in the Northern Andes during the Cenozoic (Table S1). These deformation 233 episodes are based on recently published interpretations for the Northern Andes (e.g. Parra et al., 2009; 234 Mora et al., 2010; Caballero et al., 2013; Mora et al 2013a; Mora et al 2013b; Moreno et al., 2013; Silva et 235 al., 2013; Montes et al., 2012; Bayona et al., 2013; Villagómez and Spikings, 2013; Horton et al., 2015; 236 Reyes-Harker et al., 2015; Mora-Bohórquez et al., 2017a; León et al., 2018; Pardo-Trujillo et al., 2020) 237 and eastern Panama (Montes et al., 2012; Barat et al., 2014). Due to the complex interaction between the 238 subducting Caribbean and Farallon/Nazca plates throughout the Cenozoic, the geometrical restoration of 239 these slabs requires a three-dimensional approach. In this study we achieved this by using the Petrel 240 software (https://www.software.slb.com/products). The results are shown in different time-step structural contour maps and three-dimensional diagrams. Although there is uncertainty about the actual structural 241 242 evolution, we draw detailed contour maps (20 km contour interval), only for the purpose of emphasizing 243 the geometrical changes between the different tectonic episodes.

244

## 245 **4. Results**

## 246 4.2 Plate Kinematic Reconstruction

247 Below we integrate the results of our kinematic reconstruction of the Northern Nazca plate (Fig.5) and 248 Southwestern Caribbean plate (Fig.6) with a compilation of observations made by different authors.

#### 249 4.2.1 Nazca Plate Reconstruction

250 Previous studies suggest that the Farallon kinematics were relatively homogeneous during the Paleogene 251 (Figs.1, 5f) (e.g. Müller et al., 2019). However, by the late Oligocene the Galapagos hotspot got aligned 252 with a preexisting fractured or weakened zone triggering the Farallon plate breakup and the origin of the 253 Nazca and Cocos plates at 23 Ma (Fig.5a) (Lonsdale, 2005). The initial oceanic spreading along the new 254 Nazca-Cocos plate boundary prompted a differential direction of displacement for the Nazca and Cocos 255 plates, representing a more orthogonal convergence against Central and South America (Fig.5a). This 256 motion scheme changed at around 18 Ma, when the spreading center jumped to a more southern position 257 (note shift in spreading-axis position between Figs.5a and 5b) (Barckhausen et al., 2001; Werner et al., 258 2003). From a kinematic point of view, this E-W spreading center was the northern edge of the Nazca 259 plate during the middle Miocene, and as a consequence, the segment converging against NWSA (the 260 proto-Coiba and proto-Malpelo microplates) exhibited similar kinematics as the Cocos plate at that time

261 (Fig. 5f). After 15 Ma, the spreading axis in the easternmost Pacific shifted to the Malpelo rift (Figs.5b) 262 and shortly after, but with concurrent activity, to the Sandra rift (5c) (Lonsdale, 2005). This complex oceanic spreading generated a northward motion to the north of the Malpelo spreading center (Fig.5c). Final-263 264 ly, the spreading along the Sandra and Malpelo rifts abruptly ended at 9 Ma, and as a consequence, the Coiba (CM) and Malpelo (MM) microplates became part of the Nazca plate (Fig.5d) (Sallarès et al., 2003; 265 Lonsdale, 2005), leading to the establishment of a transform fault boundary between the Cocos and 266 267 Nazca plates (the Panama fracture zone, PFZ, Fig.2) (Sallarès et al., 2003; Lonsdale, 2005; Morell, 2015). As a consequence of this late Miocene coupling of the Coiba and Malpelo microplates to the 268 269 Nazca plate, the convergence direction became more oblique against the Panama isthmus (Morell, 2015; 270 Rooney et al., 2015) and more orthogonal against the northern South American margin (Figs.5d,e). In 271 other words, the modern Nazca-Cocos plate boundary and the current tectonic configuration in the Pacif-272 ic, offshore Colombia and Panamá, were established after 9 Ma.

![](_page_24_Figure_0.jpeg)

Fig. 5. a-e) Paleotectonic reconstruction of the Nazca-Cocos plate boundary (NCS) from the early Miocene to the present-day. Red colors indicate the age of the ocean-floor according to previous paleomagnetic studies (Barckhausen et al., 2001; Werner et al., 2003; MacMillan et al., 2004; and Lonsdale, 2005). f) Estimated evolution of the motion direction and velocity of the Farallon, Nazca and Cocos plates, and the Coiba and Malpelo microplates.

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## 276 4.2.2 Southwestern Caribbean Plate Reconstruction

The Panama-Choco arc (PCA) was located about 1000 km in a southwestern position of its current location during the Paleocene (60 Ma) (Figs.2, 6b) (e.g. Pindell and Kennan, 2009) and was moving towards the NE, defining a highly oblique convergence with the South American margin (Fig.6b). During the 280 Paleocene, the Farallon plate margin, defined by its boundary with the Caribbean plate to the northwest 281 and the South American plate to the southeast, formed a subtle curved trend (Fig.6b). Throughout the 282 Paleocene-early Eocene, the southwestern Caribbean plate continued moving in SW-NE direction at an 283 average speed of 36 mm/yr (Fig.6a) (Boschman et al., 2014; Müller et al., 2019). During the middle Eo-284 cene there was an abrupt shift towards the SE (Boschman et al., 2014; Müller et al., 2019) (Fig.6a), that 285 implied a more orthogonal convergence direction against South America. As a result, the CSP triple junction moved northeastward during the Eocene and the vertical-axis curvature between the Farallon-286 Caribbean and Farallon-Peruvian trenches significantly increased to become almost orthogonal 287 288 (Figs.6c,h).

During the late Eocene-Oligocene, the Caribbean plate continued moving in an eastward direction at an average rate of 22 mm/yr (Fig.6a), moving the PCA toward the Colombian margin (Fig.6d). At ca. 23 Ma, the eastward motion of the Caribbean plate culminated in the onset of collision of the intra-oceanic PCA against the Colombian margin at about 300 km to the south of the present-day CSP triple junction (Fig.6e) (Duque-Caro, 1990) (4.5°N in Fig7a). Although the Caribbean kinematics during the Miocene, after initial collision, were dominated by eastward plate motion, the resulting arc fragmentation and rotation of blocks (Farris et al., 2011; Montes et al., 2012, 2019) caused the continued northward migration of the caretoin front with South America (Figa 6f a)

the accretion front with South America (Figs.6f,g).

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![](_page_25_Figure_4.jpeg)

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![](_page_26_Figure_0.jpeg)

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**Fig. 6.** a) Kinematics of the Caribbean plate relative to stable South America. b, c, d, e, f, g) Paleotectonic reconstruction of the southwestern Caribbean plate motion throughout the Cenozoic showing the migration and convergence of the PCA against SA. Note that as a consequence of the Caribbean kinematics, the triple junction with the Farallon/Nazca and South American plates migrated in northward direction. h) Migration of the Caribbean-Pacific trench. CM= Coiba microplate, LMV= Lower Magdalena Valley, MM= Malpelo microplate, PCA= Panama-Choco arc, SA= South America.

#### 300

## 301 5. Discussion

## 302 5.1 Farallon/Nazca Plate Geodynamics

The evolution of the Farallon/Nazca plate convergence against the NWSA can be divided into three major episodes separated by the breakup of the Farallon plate during the late Oligocene and the establishment of the modern northern Nazca plate kinematics during the late Miocene.

## 306 **5.1.1 Paleocene to late Oligocene (65 – 23Ma).**

The continuity of the Farallon slab to the northeast of the South American margin is critical for understanding the geodynamics prior to the Farallon breakup. However, geophysical methods do not allow a clear definition of this transition (e.g. Wagner et al., 2017). For this reason, most previous models have assumed that there is no continuity between the South and Central American margin. On the contrary, below we argue that the Farallon plate evolved as a continuous slab segment, conditioning the geodynamics at the triple-junction.

The Farallon kinematics during the Paleogene (Figs.1, 5f) defined an oblique convergence against the 313 314 PCA and South American margins. Although the oceanic crust of the Caribbean plate east of the PCA 315 during the Paleocene is already subducted (see subducted area in Fig.6a), the most likely geometrical 316 interpretation is that the trench followed a continuous trend, linking the PCA and South American 317 trenches during the Paleocene (Fig.6a). This is supported by the spatiotemporal distribution of 318 magmatism along the PCA and South American margins during the Paleogene-Eocene (Figs.7a,b) (e.g. 319 Wegner et al., 2011; Leal-Mejía et al., 2019; Cardona et al., 2018). The Paleocene configuration of the 320 Farallon margin defined a lateral transition between ocean-continent (South America) and ocean-ocean 321 (Caribbean) subduction that, in agreement with Cardona et al. (2018), resembled an Aleutian type margin 322 (Fig.7a). Cardona et al. (2018) and Barbosa-Espitia et al. (2019) provide geochemical evidence of this 323 magmatic transition. Assuming these intrusions occurred at a distance of 300 km from the trench, similar 324 to the present-day volcanic zone, the Farallon plate was subducting below the margin at a steep angle (> 325 25° dip) during the Paleocene (green contour lines on Fig.7a).

326 This configuration changed during the middle-late Eocene (Figs.7b,c), when the curvature of the Farallon 327 trench strongly increased at the CSP triple junction, shaping a nearly orthogonal corner (green contour 328 lines on Fig.7b). The coincidence between this trench constriction and the contemporaneous decrease in 329 magmatic activity throughout the late Eocene-Oligocene on the northern South American margin (Leal-330 Mejía et al., 2019; Bayona et al., 2012) and the eastern PCA (Figs.7c,d) (Lissinna, 2005; Wegner et al., 331 2011) suggests that the slab segments beneath these margins formed a continuous subduction system. 332 We propose that depending on the angle of subduction, there are two possible end-members scenarios 333 for the subducting slab geometry beneath the PCA and South America margins from the late Eocene 334 (Fig.9). Considering that the Farallon plate evolved as a single subducting element implies that the slab 335 bent tightly to the east of the CSP triple junction. This leads to an accommodation-space problem in the 336 hinge zone, that causes trench-parallel shortening strain in the bent slab, resulting either in subsequent 337 rupture and overlapping of slab segments (Fig.9b) or in a reduced subduction angle with a regional flat-338 slab around the hinge zone (Fig.9c). A similar flat-slab setting associated with a convergent triple junction has been reported beneath Japan (Faccenna et al., 2018). It is interesting to note that a regional onset of 339 340 inversion of rift structures in the Eastern Cordillera of Colombia, associated with an eastward migration of 341 the deformation front toward the backarc region (Table S1) (eg. Gómez et al., 2003; Parra et al., 2009; Mora et al., 2010, 2013; Saylor et al., 2012; Sánchez et al., 2012; De la Parra et al., 2015; Martínez et al., 342 343 2022; Rosero et al., 2022) could support an Oligocene episode of flat-slab subduction (Fig.7d). This Oli-344 gocene event is also concurrent with a deformation phase in eastern Panama (Montes et al., 2012; Barat 345 et al., 2014). However, future work is required to determine if there is a causal relationship between these 346 events.

The approximate simultaneity of decreased magmatic activity during the late Eocene-Oligocene (Figs.7c,d) on both the PCA and South America margins (Wegner et al., 2011; Leal-Mejía et al., 2019; Cardona et al., 2018; Barbosa-Espitia et al., 2019) suggests that the subduction zones along these margins did not evolve independently. Instead, the Farallon plate subducted as a single slab beneath these margins during the Oligocene, favoring the flat-slab model proposed in Fig.9c.

## 352 5.1.2 Early to latest middle Miocene (23 – 9 Ma)

The Oligocene flat-slab subduction during the Oligocene is in line with the hypothesis formulated by Lonsdale (2005), which suggests that the stress that broke the Farallon plate was preceded by the extensional pull between the Central and South American subduction zones (as conceptualized in Fig.9c) and 356 the alignment of this weakness zone with the Galapagos hotspot by the early Miocene (Fig.5a). Neverthe-357 less, there is no evidence in South America of this breakup and initial spreading during the early Miocene. 358 This lack of evidence can be explained by the fact that during the Oligocene-Miocene, the subducted 359 Farallon/Nazca slab at this position was overlapped by the subducting Caribbean slab (Fig.7d), implying 360 that the Caribbean plate must have acted as a blanket that inhibited the rise of magmatic fluids to the 361 South American plate. This interpretation is different from that of McGirr et al. (2020), which considers the 362 Azuero zone in Panama as the initial rupture point of the Farallón plate. However, if that scenario were correct, a magmatic record associated with the initial crustal spreading would indeed be in that region. 363

364 Interestingly, subduction-related magmatism renewed during the late Oligocene in the PCA and South 365 America (Fig.7e) (Wegner et al., 2011; Wagner et al., 2017; Leal-Mejía et al., 2019; Marín-Cerón et al., 2019) suggesting a re-steepening of the now separated Nazca and Cocos plates in the Panamanian and 366 367 Colombian margins. This steepening, and the increasing curvature of the trenches at the CSP triple junction (Fig.7e), may have resulted in the above-mentioned zone of compressive stress in the subducting 368 369 slab, indicating an evolution between the model shown in Fig.9c to the model shown in Fig.9b during the 370 early Miocene. This compressive stress in the inflexion point (Fig.9b) may have prevented the oceanic 371 spreading along the original rupture zone (Fig.5a), forcing the southward migration of the spreading cen-372 ter by 18 Ma (Fig.5b) (Barckhausen et al., 2001; Werner et al., 2003). Possibly, this gave way to the San-373 dra and Malpelo rifts, and in turn to the Coiba and Malpelo microplates during the early-middle Miocene 374 (Figs.5b,c and 7f).

375 From a kinematic point of view, the southward migration of the spreading center implies that the eastward 376 motion of the Nazca plate during most of the early-middle Miocene was limited to offshore southern Ec-377 uador, Peru and Chile (Fig.7f). Conversely, the Sandra and Malpelo spreading centers imprinted a north-378 ward component of motion to the Coiba and Malpelo microplates. As a result, the plate beneath NWSA 379 and the Panamá isthmus had a Cocos-like motion during most of the early-middle Miocene, which implied 380 an orthogonal convergence against the Panama isthmus (Morell, 2015; Rooney et al., 2015) and an oblique convergence against South America (Fig.7f). This interpretation, however, differs from the model 381 382 by McGuirr et al. (2020), who assume that the Sandra and Malpelo rifts did not project eastward beneath 383 South America due to the flat-slab subduction of the Nazca plate. Nonetheless, there is no evidence of 384 Nazca flat-slab subduction during the middle Miocene (Chiarabba et al., 2015; Wagner et al., 2017).

While the moderate exhumation rates reported in the northern Andes throughout the early-middle Miocene (Table S1) (Mora et al., 2020a) is consistent with the continued oblique convergence during most of that time span (Fig.7f), the accelerated Andean deformation during the late Miocene could be associated with a major tectonic event. Morell (2015) and Rooney et al. (2015) recognize a kinematic change in the northern Nazca plate by 9 Ma with implications for the Central American tectonic history. The influence of this kinematic event on the South American plate has not been studied until now.

# 391 5.1.3 Late Miocene to Recent (9 – 0Ma)

The kinematic change at 9 Ma due to the attachment of the Coiba and Malpelo microplates to the Nazca plate, represented a major tectonic event that modified the convergence directions and the subduction system beneath Eastern Panama (Morell, 2015) and Northern South America. The eastward migration of the arc-related magmatism in the Central Cordillera of Colombia during the Miocene (Figs.7e-h) (Wagner et al., 2017; Leal-Mejia et al., 2019; Marín-Cerón et al., 2019) has been associated with a progressive shallowing of the Nazca slab (Wagner et al., 2017). Particularly, the magmatic migration north of 5.5°N

(Fig.7g) that initiated the volcanism in the Eastern Cordillera at 6 Ma (Pardo et al, 2005; Bernet et al., 398 399 2016), supports a shallow subduction of the Nazca plate during the late Miocene (Fig.7h) (Chiarabba et 400 al., 2015; Wagner et al., 2017). However, the uninterrupted magmatism in the South American arc south 401 of 3°N (Figs.7g, 8), indicates that the late Miocene shallow subduction was operating only in the most 402 northern Nazca slab segment, but not in southern latitudes. The change in convergence direction, in 403 combination with the already subducted slab beneath Panama, and the complicated subduction geometry east of the CSP triple junction (Fig.7g), very likely triggered this new phase of flattening behind the triple 404 405 junction (Figs.7g,h, 9b). Therefore, this mechanism of slab flattening supports a Nazca plate behaving as 406 a single subduction system beneath the Eastern Panama units (Gutscher et al., 2000) and the Northern 407 Andes. Though the present-day northward motion of the Nazca plate beneath Panama is minimal, the amount of crust subducted before 9 Ma in northward direction was significantly more important (see con-408 tours of subducted ages in Fig.8), which weakens the model of a slab window beneath Panama (John-409 410 ston and Thorkelson, 1997; McGirr et al., 2020).

411 It is very likely that the change in convergence obliquity, and the flat-slab subduction of the northern 412 Nazca plate during the late Miocene (Figs.7h,8) played a major role in the onset of accelerated defor-413 mation and the main phase of Andean orogeny (e.g. Parra et al., 2009; Mora et al., 2006, 2010, 2020a,b; 414 Carrillo et al., 2016) (Table S1). During the late Pliocene, the renewed volcanism in the Central Cordillera 415 south of 5.5°N indicates steepening of the Nazca slab after its tearing (Caldas tear) and separation from 416 the northern segment that stayed flattened (Fig.8) (Wagner et al., 2017). As the geometrical conditions 417 shown in Fig.9c prevailed only in the northernmost segment, the slab steepening was induced merely in the southern segment (Fig.8), triggering the modern volcanism south of 5.5° N (Fig.8) (Vargas and Mann, 418 419 2013; Wagner et al., 2017; Leal-Mejia et al., 2019; Marín-Cerón et al., 2019).

Nonetheless, while there is agreement of late Miocene to recent flat-slab subduction of the Nazca plate north of 5.5°N in South America, on the Panamanian side there is controversy on the presence (Gutscher et al., 2000) or absence of the Nazca plate (e.g. Johnston and Thorkelson, 1997). Even though previous studies do not interpret the continuity of the Nazca plate beneath Central and South America, our reconstruction suggests that this plate is continuous east of the CPS triple junction, connecting both margins by means of a flat slab.

## 426 5.2 Caribbean Plate Geodynamics

The convergent geodynamics of the Caribbean plate against NWSA were dominated by two events during the Cenozoic: the abrupt kinematic change during the middle Eocene and the accretion of the PCA to South America.

# 430 5.2.1 Kinematic Change during the middle Eocene

The highly oblique subduction of the Caribbean plate during the late Cretaceous to middle Eocene 431 432 (Figs,7a,b) prompted the arc-related magmatism in the northern Central Cordillera of Colombia and the 433 Santa Marta Massif (Cardona et al., 2011; Bayona et al., 2012; Jaramillo et al., 2017; Leal-Mejia et al., 434 2019). Although there is consensus that the Caribbean plate shifted from northeastward to eastward mi-435 gration during the middle Eocene (Figs.6a,d) (Seton et al., 2012; Matthews et al., 2016; Müller et al., 436 2019), the implications on the convergence with South America are not well understood. Several authors 437 propose that this kinematic change coincided in time with the initial subduction of a thicker lithosphere, 438 which defined the onset of flat-slab subduction of the Caribbean plate (Cardona et al., 2011; Bayona et al., 2012). Below we propose that the Eocene change in convergence obliquity was additionally associat-ed with a break-off in the Caribbean slab.

441 Although the distribution of magmatism suggests at least 300 km of subducted slab of the Caribbean 442 plate between the Late Cretaceous and middle Eocene (Fig.7a,b), an implication of this protracted oblig-443 uity is that the slab may have been unstable due to its negligible downward (eastward) motion. We specu-444 late that the abrupt change in Caribbean plate convergence, that became more orthogonal by the middle Eocene (Figs.6c and 6d) (Boschman et al., 2014; Müller et al., 2019), may have led to the break-off and 445 446 collapse of this nearly stagnant slab segment (Fig.7c). This is supported by the paradoxical cessation of 447 magmatism when the Caribbean convergence became orthogonal after the middle Eocene (Figs.7c,d). 448 Although this magmatic shutting down has been interpreted as initial flat-slab subduction of the Caribbean plate (e.g. Bayona et al., 2012), this cannot explain the late Eocene regional tectonic quiescence in the 449 450 northern Andes (Table S1) (e.g. Mora et al., 2013a). On the contrary, a missing Caribbean slab (Fig.7c), 451 and in turn the lack of plate coupling during the late Eocene may have accounted for both the magmatic 452 and tectonic quiescence during the late Eocene. Furthermore, the northward reduction in exhumation 453 rates from the Ecuadorian to the Colombian Andes (Spikings et al., 2010; Restrepo-Moreno et al., 2009, 454 Mora et al., 2020a) (Table S1) is consistent with the area of influence of the Caribbean plate during the 455 late Eocene (Fig.7c).

![](_page_31_Figure_0.jpeg)

Fig. 7. a-h) Suggested reconstruction of the northwestern South America and Panama subduction systems considering the development of magmatism, the change of geometry of the trenches and other concepts discussed in this paper. Farallon/Nazca (green), Cocos (green), and Caribbean (blue) slabs. Black triangles show active magmatism. Big arrows indicate

the direction of plate motion relative to stable South America. Blue dashed lines show the suggested alternatives for the southern boundary of the Caribbean plate, minimum resulting from the development of magmatism east of the PCA, and maximum derived from the Caribbean kinematics after initial contact between the PCA and SA. Note that the purpose of showing this relatively detailed interpretation (contour interval 20 km) is to emphasize the geometric changes between the different tectonic episodes.

![](_page_32_Figure_1.jpeg)

Fig. 8. Present-day structural map of the Nazca and Caribbean slabs beneath NWSA. Farallon/Nazca (green), Cocos (green), and Caribbean (blue) slabs. White contours indicate the age of entrance in the trench. Black triangles show active magmatism. Big arrows indicate the direction of plate motion relative to stable South America.

457 Another implication of the new Caribbean kinematics after the middle Eocene (Fig.7c), is that since that moment, the margin laterally transitioned between an orthogonal subduction to a highly oblique boundary 458 459 below NWSA (Fig.10b). Although this geometric configuration may prompt the formation of subduction-460 transform edge propagators (STEP faults) (Mora-Bohórquez, et al., 2017b; Govers & Wortel, 2005), un-461 der low convergence rates, the slab could not be torn apart in the transform margin, which would result in 462 shallow subduction by slab-pulling (Fig.10c) (Govers & Wortel, 2005). We consider that this was the case 463 in northern South America, where the shape of the continental margin, and the direction of motion 464 (Fig.6a), may have forced the shallow subduction of the Caribbean plate since the middle Eocene

465 (Figs.7c, 10c). The previous break-off of the slab and the buoyant nature of this lithosphere (Pindell et al.,
466 2005; Bayona et al., 2012) may have favored this process.

![](_page_33_Figure_1.jpeg)

Fig. 9. Simplified sketch showing two end-member scenarios for the subduction geometry of a single slab obliquely subducting under two orthogonal margins. These settings depict the Farallon plate at the corner between Central and South America (a). In the first model (b) it is assumed that the slabs subduct with steep angles on both margins, prompting the compressional stress behind the trench due to a reduced accommodation space. In the second model (c) it is assumed that the slab horizontal folding is accommodated by reducing the angle of subduction at the inflexion point, favoring the slab flattening on both margins. It is important to note that the stress behind the hinge zone in c) could be related to slab rupture at advanced stages of flattening.

![](_page_33_Figure_3.jpeg)

Fig. 10. Simplified sketch showing three time-steps of the convergence of the Caribbean plate against NWSA (in this sketch the effect of the PCA accretion is not considered). Given the curved trench geometry and the direction of convergence, the southernmost segment is characterized by orthogonal subduction, while the northeastern segment by a highly oblique convergence. Although this configuration is similar to other models that account for Subduction-Transform Edge Propagators (STEP faults) (Govers and Wortel, 2005), the very slow convergence could inhibit the faulting and favor the slab shallowing near the oblique margin. We consider that this is the case in the area shown in a), as the low convergence rate of the Caribbean plate, in combination with the margin shape induced the flat-slab subduction by slab-pull from the northeastern side of the margin (b,c). At advanced stages, the cumulative shallow subduction may have not be sustainable, which possibly prompted the faulting in the leading edge of the slab (d).

#### 468 5.2.2 Accretion of the Panama-Choco Arc (PCA) to NWSA

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Though the accretion of the PCA to South America is widely mentioned in the literature (e.g. Duque-Caro, 1990; Montes et al., 2012), the geodynamic mechanism of this event is not understood (Fig.12). Most previous interpretations agree that the Caribbean plate is subducting east of the PCA (Figure S3) (e.g.
Vargas and Mann, 2013; Kellogg et al., 2019), which has been interpreted as a renewed subduction after
the initial collision between the PCA and South America during the early-middle Miocene (León et al.,
2018). Although the acquisition of additional data is required, in the following lines we explain why our
preferred interpretation is that there is not a Caribbean slab east of the PCA.

476 The initial point of collision of the PCA against South America was approximately 400 kilometers to the 477 south of the present Panama Isthmus during the late Oligocene (Fig.12a). However, during the Miocene, 478 the PCA was virtually forced into the continent defining a concave suture ((Fig.12a). The paleogeographic 479 reconstruction (Fig.12) indicates that the deformation was not symmetrical on both sides of the suture 480 after first contact of the PCA with South America. On the one hand, the compressive strain fragmented the PCA and rotated the resulting blocks forming an orocline (Montes et al., 2012), the northeastward 481 482 advance of the southern edge of the Caribbean plate, and the northward migration of the accretion front 483 against South America. It is very likely that this behavior was prompted by the northeastward pushing of 484 the Pacific plates (Figs.12a-c). On the other hand, the most important change on the continental side was 485 the eastward retreat of the margin by at least 200 km (Fig.12). In spite of this retreat, several recent stud-486 ies indicate that horizontal shortening and exhumation rates were not significantly increased in the north-487 ern Andes during the early-middle Miocene (Restrepo-Moreno et al., 2009; Mora et al., 2020a; Zapata et 488 al., 2021; Pérez-Consuegra., 2022). For instance, shortening estimates of 40 ±10 km in the Eastern Cor-489 dillera and Magdalena basin during the Miocene to recent between 6° and 8°N (Mora et al., 2013a), and a 490 maximum ~10 km of shortening in the Central Cordillera during the same time span (range dominated by 491 transpressive structures) give a total maximum shortening of ~60 km, which cannot explain the 200 km of 492 margin retreat. Moreover, León et al. (2018) recognize that the suture zone evolved as a negative topo-493 graphic area, with the continuous accumulation of fine-grained hemipelagic sediments, precluding crustal 494 thickening as a consequence of this contraction. The lack of known Miocene metamorphic rocks near the 495 suture zone, also suggests that this accretional process did not take place as a typical collisional event 496 (Zheng et al., 2015). This is also confirmed by crustal thickness estimation from receiver functions by 497 Poveda et al. (2015), showing no crustal thickening in the suture zone. In the contrary, the Moho depth 498 slightly decreases west of the Central Cordillera toward the suture.

499 Given that most of the original Paleogene forearc area is missing on the continental side (Fig.11a) (do-500 main between the Uramita and the Romeral fault systems), we suggest that most parts of the forearc 501 terrane (up to 200 km) were removed by subduction erosion during the emplacement of the PCA into the 502 margin (Fig.11b). This tectonic erosion and fast retreating margin was likely a consequence of the ham-503 pered subduction of the highly buoyant lithosphere associated with the PCA, and the oceanic nature of 504 the continental margin (mafic terrane accreted during the Late Cretaceous). Subduction erosion is a ma-505 jor factor in removing material from active plate margins (von Huene & Scholl, 1991; Clift and Vannucchi, 506 2004; Kukowsky and Oncken, 2006; Bruce et al., 2011). Furthermore, the loss of at least 200 km of fore-507 arc material and trench retreat since 20 Ma to the east of the PCA is similar to the average rate of 10 508 mm/yr of subduction erosion reported in the Peruvian margin associated with the subduction of the ero-509 sive Nazca ridge (Clift and Vannucchi, 2004). Also, from numerical modeling Keppie et al. (2009) find that 510 regionally focused subduction erosion may occur in an unsteady fast mode that they show to have oc-511 curred along the Andean margin. Finally, the lack of relevant exhumation episodes before the late Mio-512 cene could be due to the fact that erosive subduction systems are typically associated with subsidence in 513 the forearc (e.g. von Huene & Scholl 1991; Clift and Vannucchi, 2004; Kukowsky and Oncken, 2006), 514 which supports the accumulation of an important Miocene sedimentary sequence west of the Romeral 515 fault system (Gomez et al., 2015; León et al., 2018), simultaneous with the initial accretion of the PCA.

516 Nonetheless, the forced subduction of a highly buoyant lithosphere could also lead to the rupture of the 517 already subducted slabs (Fig.11b) (Zheng et al., 2015). The clearest evidence which points to a slab 518 break-off beneath the NWSA margin is the development of Miocene arc-related magmatism in close prox-519 imity to the suture zone between the PCA and South America (Figs.11a, 12). These magmatic intrusions, 520 ranging in age from 15 to 7 Ma (e.g.Leal-Mejía et al., 2019), with heterogeneous compositions including 521 tholeiitic, calc-alkaline, and shoshonitic signatures (Weber et al., 2020), have been regarded as originat-522 ing from fluids from the Nazca slab (Wagner et al., 2017; Weber et al., 2020). Under the premise of an existing Caribbean slab, however, this magmatism would not be possible, as the fluids rising from the 523 524 Nazca plate would be stopped by the overlaying Caribbean slab (which may have been subducting shal-525 lower than 50 kilometers) (Fig. S1f,g,h). Therefore, the emplacement of these magmatic intrusions re-526 quires a breakoff in the Caribbean slab east of the PCA at about 15 Ma. This interpretation is corroborat-527 ed by the regional trend of Miocene magmatism related to the Nazca plate (Figs.7 f,g) and additionally, by 528 the adakitic signature of these Miocene bodies (Weber et al., 2020). The latter could be related to the 529 subduction of the active Sandra spreading center and with the complicated Nazca plate geometry behind 530 the CSP triple junction. Moreover, the distribution of shallow seismicity indicates that the current defor-531 mation is not symmetrical on both sides of the suture, but it is significantly reduced east of the PCA side 532 (east of the Uramita fault) (Fig.3a), coinciding with the area of no coupling by a subducting slab.

533 We propose that the PCA was forced into the South American margin by means of a major subduction 534 erosion event of the forearc during the early Miocene (Fig.11b), with the consequent advance of the plate 535 boundary (Fig.12). Subsequently, the hampered subduction of the highly buoyant arc resulted in the breakoff of the slab to the east of the PCA at about 15 Ma, favoring initial margin subsidence and em-536 537 placement of Nazca-derived magmas in the upper plate. This interpretation, however, raises the question 538 about the driving mechanism that supported the eastward motion of the PCA after initial collision. As sug-539 gested by published geodetic data, the Panama isthmus is currently moving at 22 mm/yr relative to stable 540 South America, slightly faster than the current motion of the Caribbean plate (e.g. Trenkamp et al., 2002) 541 (Fig.12f). Considering the late Miocene shallow subduction and the much faster Nazca plate, we specu-542 late that the Nazca kinematics boosted the motion of the overriding PCA microplate from below. Besides 543 explaining the current kinematics of the PCA, this mechanism accounts for the continued approaching 544 between the intra-oceanic and continental arcs (Mandé and Antioquia batholiths in Figs.12d-f), and the 545 increased deformation rate in the northern Andes.

546 Even though the subduction mode of the Caribbean plate associated with the PCA accretion was highly 547 erosive, it is interesting that the adjacent area to the north corresponds to a contemporaneous accretion-548 ary margin (Sinu accretionary prism) (Fig.12). Mora-Bohórguez et al. (2017a) argue that the onset of the 549 Sinu accretionary prism during the early Miocene following an earlier stage of subduction erosion was 550 triggered by the initiation of massive influx of sediments from the Magdalena river to the trench. The PCA 551 accretion could also play a role in this tectonic evolution. As suggested by Montes et al. (2015), the pro-552 gressive closure of the Pacific-Atlantic seaway changed the oceanic currents during the Miocene, leading 553 to the definitive separation of the Pacific and Atlantic oceans. The incremental restraining of oceanic cur-554 rents, could have favored the accumulation of the deltaic Magdalena sediments to the north of the PCA, 555 favoring the formation of the Sinu accretionary prism in the Northern margin.

556 Finally, the kinematic reconstruction provides key arguments to propose that the southern Caribbean 557 boundary beneath South America is located north of the accreted PCA. As previously suggested, follow-558 ing the initial erosive subduction during the early Miocene, the physical impossibility of subducting thick 559 and buoyant arc-related units (Mande Batholith), may have induced the slab breakup east of the PCA by
560 the middle Miocene. An additional fact that restricts the Caribbean lithosphere to the north of 8°N, is that 561 the PCA, as an island-arc is made up of a thick continental crust (e.g. Pindell et al., 2005) and underlain 562 by sub-arc mantle lithosphere that is likely both, very thin as well as weak due to its thermal state. If this 563 thick arc is stacked against the South American plate, then there is no strong and thick mantle lithosphere 564 to be subducted beneath South America east of the PCA. However, apart from the middle Miocene mag-565 matism in northern Colombia (Figs. 7f,g), there is no additional evidence to date the onset of this condition. In Figs. 7f,g,h are shown the maximum southern edge of the Caribbean plate, estimated according 566 to the magmatic development on SA; and the minimum southern edge derived from tracing the boundary 567 568 of the PCA with the Caribbean lithosphere into the subduction zone using the Caribbean plate displace-569 ment since collision of the PCA with South America.



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Fig. 11. a) Geological map of NWSA, modified after Gómez et al. (2015). Geological units: 1- Quaternary sedimentites, 2-Neogene sedimentites, 3- Neogene volcanosedimentary rocks, 4- Paleogene sedimentites, 5- Paleogene extrusive rocks, 6-Paleogene plutonic rocks, 7- Upper Cretaceous oceanic platform rocks, 8- Upper Cretaceous intrusive arc rocks, 9- Jurassic sedimentites, 10- Triassic and Jurassic metamorphic rocks, 11- Paleozoic igneous and metamorphic rocks, BF: Bucaramanga fault, GF: Garrapatas fault, IDF: Istmina deformed belt, NPDF: North Panama deformed belt, PCA: Panama-Choco arc, RFZ: Romeral fault system, UFZ: Uramita fault system. b) Simplified cross section showing the tectonic configuration. Black dots correspond to seismic events located within 8 km of the section. Crustal thickness for the South American plate taken from Poveda et al. (2015). Structural interpretation in the South American plate modified after Tesón et al. (2013) and Mora-Bohorquez et al. (2017).



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Fig. 12. Detailed paleogeographic reconstruction of the Panama-Choco arc (PCA) convergence and accretion to the South American margin. While the continued eastward motion of the PCA (relative to South America) resulted in the vertical axis rotation of blocks and orocline formation (Montes et al., 2012), in the continental side the subduction of the highly buoyant crust prompted the severe subduction erosion and the trench retreat toward the continent. Simultaneously, north of the collisional zone the slow convergence rate and increased sediment accumulation coincide with the formation of the Sinu accretionary prism. Black triangles show the location of active magmatism. Red polygons show the restored location of Paleogene plutons. AB: Antioquia batholith and associated Paleogene stocks, AcB: Acandi batholith, MB: Mande batholith, RFS: Romeral fault system, Sinu Ac: Sinu accretionary prism, UFS: Uramita fault system.

### 572 5.3 Present-Day Tectonic Geometry

In order to validate the tectonic configuration resulting from the geometrical reconstruction of subduction, we developed a three-dimensional interpretation of the Nazca and Caribbean slabs based on existing seismicity data and a recent tomographic model. As a result of this analysis, we obtained a model that is consistent not only with the geologic record, but also with observables of the current configuration, such as seismicity, tomography, magmatism and geodetic vectors.

578 The seismicity dataset comprises a catalogue of 177,000 seismic events (magnitude < 6.8) during the period 2003-2018 taken from the National Seismological Network of Colombia (RSNC; Fig.3). The tomo-579 580 graphic data that we use correspond to the recently published finite-frequency velocity model for the 581 Northern Andean region developed by Sun et al., (2022) (Fig.13). In that tomography they obtained a P 582 wave velocity model based on seismic events retrieved from 165 stations in Colombia and 65 stations in 583 Venezuela (Sun et al., 2022). We are using dVp/Vp anomalies, which according to Sun et al. (2022), pro-584 vide a reliable resolution for interpreting regional and continuous high-velocity anomalies that could be 585 related to distinct slab segments. The visualization -interpretation of this slabs within the tomographic 586 model was made with the commercial software MOVE v. 2019 (https://www.petex.com) by using an or-587 thogonal projection.

# 588 5.3.1 The Nazca Plate

589 The present-day Nazca slab can be separated into two domains separated by the Caldas tear at 5.5°N 590 (Figs.8, 13) (Vargas and Mann, 2013). The seismic distribution suggests that south of this position the 591 Nazca plate subducts at a steep angle (>25°) (Fig.3), which is further supported by the trend of the Ande-592 an magmatic arc (Andean Volcanic Zone), that is continuous south of this location (Pennington, 1981; 593 Vargas and Mann, 2013; Wagner et al., 2017) (Figs.2 and 3). Nonetheless, some authors have identified 594 a velocity discontinuity at 3°N (N1 and N2 in Figs.13b,c,d) (Vargas and Mann, 2013, Sun et al., 2022). 595 However, as the cross section i (Fig.13) and seismicity data are not clear indicators of a significant 596 change in geometry (Fig.3), we regard this anomaly as related to a thermal perturbation, possibly related 597 to the subduction of the extinct Malpelo rift (Fig.8).

598 North of 5.5°N the tomographic model shows a greater number of minor velocity anomalies which makes 599 it more difficult to differentiate between the Nazca and Caribbean slabs (N3 in Figs.13b,c,d and cross 600 section 1). Although these anomalies could be interpreted as artifacts, it is possible that they are related 601 to an inclined-axis bending of the Nazca slab subducting at shallow depth following a similar shape to that 602 of the trench at the CSP triple junction, as suggested by our reconstruction (Fig.8). In the cross-section e 603 of Fig.13 there is a complex pattern of high velocity anomalies (N3 in Fig. 12). While the shallowest 604 anomaly can be associated with a shallow subducting Caribbean plate (due to its proximity to the Carib-605 bean trench), the deeper ones define a parabolic shape that could be regarded as a geophysical artifact 606 (N3 in Fig. 12e). Considering that this pattern is also observed between 6°N and 9°N (Fig.13 b,c,d), and 607 that other tomographic studies have also identified anomalies in this region at depths below 50 km (Yarce 608 et al., 2014; Bernal-Olaya et al., 2015; Syracuse et al., 2016; Sun et al., 2022), we ruled out that this 609 anomaly is a geophysical artifact. Based on synthetic modeling, Sun et al. (2022) showed that this complex array of velocity anomalies to the north of 5.5°N is the result of overlapping slabs. Following this 610 611 analysis, we consider that this pattern could be related to a subducting slab north of 5.5°N that seems to 612 be connected to the Nazca trench, east of the CSP triple junction (GIF image in Fig. S1). Furthermore, it 613 is important to consider that subduction along this narrow corner of the Nazca plate may have resulted in 614 different phases of slab rupture (Fig.9a), which together with the subduction of the Sandra rift may be 615 related to an anomalously hot slab, which would explain the weak nature of these velocity anomalies.

Additionally, this interpretation is supported by the adakitic magmatic signature in eastern Panama (de Boer et al., 1988; Gutscher et al., 2000); the faster eastward motion of the Panama isthmus as compared to the Caribbean plate (as result of the Nazca slab pushing from below) (Figs.11, 12f); the Miocene subduction-related magmatism north of 5.5°N (Weber et al., 2020), and the origin of the Farallon plate boundary as an Aleutian type margin (Cardona et al., 2018).

621 The Bucaramanga seismic nest (Fig.11) has been related to either the Caribbean or the Nazca plate 622 (Fig.3) (e.g. Taboada et al., 2000; Zarifi et al., 2007; Vargas and Mann, 2013; Prieto et al., 2012; Chiar-623 abba et al., 2015, Sun et al., 2022). According to our reconstruction, the Bucaramanga seismic nest is 624 associated with the Nazca slab (Fig.11b). This interpretation is consistent with the high eastward rate of 625 subduction, as well as localized dehydration processes proposed by Chiarabba et al. (2015) and Siravo et al. (2019) in the inflection point of the Nazca plate, which could increase the intermediate-depth seismici-626 627 ty. In addition, the possible high strain rates at this bending geometry, as well as the complex mantle fluid 628 dynamics that could be expected beneath this vertical-axis curved slab, may be associated with thermal 629 runaway processes as suggested by Prieto et al. (2013) in the Bucaramanga seismic nest. However, the 630 lack of Nazca-related seismicity west of the vertical-axis bending and beneath Panama (Fig.3) poses a 631 challenge, which could be explained by the fact that today, the Nazca slab has a negligible subduction 632 velocity in the northward direction (Fig.8).

# 633 5.3.2 The Caribbean Plate

634 In agreement with previous studies, our interpretation confirms that most of the Caribbean plate corre-635 sponds to a flat-slab (e.g. Cornthwaite et al., 2021; Sun et al., 2022) that decreases its dip towards the 636 north (Figs.8, 13). This shallow geometry is supported by a continuous northward trend of intermediate-637 depth seismicity (WBZ) at about 73°W (Fig.3), which defines the position where the shallow subduction 638 ends and the slab starts to sink into the mantle. In line with this observation, the kinematic reconstruction 639 predicts that east of the WBZ, the current Caribbean slab may be fragmented as result of cumulative in-640 stability in its leading edge (Figs.8, 9d). This interpretation is confirmed (C1 and C2 in Figs. 13b,c,d) and 641 cross section f in Fig.13, which show that east of 73°W the Caribbean slab is composed of three distinct 642 step segments that are deeper to the south, possibly separated by E-W tear faults.

643 Nonetheless, not all the intermediate-depth seismicity running from 5° to 10°N (Fig.3b) corresponds to the Caribbean plate. The southern edge of the Caribbean plate beneath South America is perhaps the most 644 645 debated question that has not been resolved. Even though rocks of the Caribbean plate and PCA, ac-646 creted to the continental margin during the Miocene are found between 4.5°N and 8°N (Duque-Caro, 647 1990), we conclude that it is not geodynamically possible to have remnants of the Caribbean slab sub-648 ducting to the east of the accreted PCA (see discussion above). The simplest explanation is that there is 649 no oceanic lithosphere beneath the stacked arc to feed the subduction system, nor is there a subduction 650 trench (instead there is the Uramita suture zone, see Fig.11). In addition to the deformation and magmatic record in the upper plate that supports this hypothesis (see discussion above), the shallow and intermedi-651 652 ate-depth seismicity, north of the Caldas tear, shows a subtle reduction between the Uramita fault zone 653 and the WBZ at about 74°E (Fig. 4). This pattern of reduced seismicity is consistent with a missing Carib-654 bean slab in that position. The white dashed line in Fig. 4 indicates the proposed southern edge of the Caribbean slab. Finally, it is important to remark that there is an area of close overlapping between the 655 656 Nazca and Caribbean plates as suggested in other studies (Figs.8, 13) (e.g. Sun et al., 2022).



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Fig. 13. Tomographic model taken from Sun et al. (2022) beneath NWSA (dVp/Vp). a) Location of seismic stations and location of cross sections. b, c, d) depth slices at 75, 90 and 230 km. e,f,g,h,i show tomographic cross sections. The purple lines and texts underscore well-resolve velocity anomalies interpreted in this study. Black dots in cross sections show seismicity within 10 km from the profiles. J) Three-dimensional view of the interpreted Nazca plate, highlighting the intercept between the section "e" and the Nazca slab

at its vertical-axis bending. MR: Malpelo ridge, SR: Sandra ridge, PCA: Panama-Choco arc.

#### 659 6. Conclusions

660 Our reconstruction indicates that the northeastward motion of the southern Caribbean edge caused a 661 progressive change in geometry of the Pacific trench, and in turn of the Farallon plate subduction. This change induced the flat-slab subduction of the Farallon plate throughout the late Eocene-late Oligocene, 662 663 which inhibited the Farallon-related magmatism in the South American and PCA margins and facilitated 664 the rupture of the Farallon plate. The subsequent plate kinematics during the early-middle Miocene induced a plate reorganization that included further plate fragmentation, change in convergence obliquity, 665 666 steepening of the subducting slabs and renewing of magmatism. During the late Miocene, the Coiba and 667 Malpelo microplates were attached to the Nazca plate, resulting in an abrupt change in convergence di-668 rections against eastern Panama and northern South America. This event correlates with the late Mio-669 cene onset of shallow subduction of the northernmost Nazca plate and the accelerated deformation in the 670 Andes. Finally, during the late Pliocene, the flattened slab broke along the Caldas tear triggering the 671 modern volcanism south of 5.5° N.

672 On the other side, the highly oblique convergence of the Caribbean plate during the Paleocene-Eocene, 673 allowed arc-related magmatism in northernmost South America. This configuration changed by the middle 674 Eocene, when the Caribbean plate began to migrate in eastward direction relative to stable South Ameri-675 ca. This change triggered the breakoff of the slab and meant a significant lateral variation in obliquity along the margin. These factors prompted the slab-pull and flat-slab subduction from that moment on-676 677 wards. By the late Oligocene-early Miocene, the approaching of the buoyant PCA triggered the fragmentation and rotation of the PCA and a phase of rapid subduction erosion of the mafic forearc, accompanied 678 679 by nearly 200 km of trench advance. By the middle Miocene, the Caribbean slab subduction could not 680 continue, breaking this slab east of the PCA, and initiating a phase of tectonic collision in NWSA.

In summary, this contribution presents a paleotectonic reconstruction of the interacting Caribbean and Farallon/Nazca plates against NWSA, that integrates the spatiotemporal distribution of magmatism and the first-order history of deformation in the northern Andes. The results of this reconstruction allowed us to constrain a new geometrical model of the subducting Caribbean and Nazca plates (Fig.14), which additionally explains key observables, such as seismicity and mantle velocity anomalies. Further works, including acquisitions of new seismological data and three-dimensional numerical and analog experiments, are required to test the proposed conceptual models and plate tectonic geometries.

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Fig. 14. Three-dimensional view of the tectonic configuration of the Nazca and Caribbean plates at the junction between Central and South America. The Nazca slab can be separated in two domains, a southern one with steep angle of subduction (>25°), and a northern one with flat-slab subduction that turns horizontally behind the PCA (Panama-Choco arc), following a similar geometry than the trench. On the other hand, the Caribbean slab consists of a shallow subducting slab that is tore apart to the east in three different segments. Note that the Caribbean slab is absent east of the PCA due to its breakoff during the middle Miocene. Nevertheless, the southern Caribbean edge overlaps the Nazca plate in its more northern segment. Directions of plate motion are relative to stable South America. Contour interval: 20 km.

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#### 690 7. Acknowledgements

This manuscript is part of the PhD research of R. Gonzalez at the Freie Universität Berlin and the GFZ Potsdam. R. González is especially grateful to Ecopetrol for funding this study. We acknowledge the GFZ Lithospheric Dynamics section for ongoing discussions, in particular Sabrina Metzger. We thank Robert Trumbull for his clarifications on subduction geodynamics and German Bayona for fruitful discussions. Finally, R. Gonzalez show gratitude to Robert Ondrack and Alejandro Mora for their support in initiating this research.

#### 691 8. Open research

GPlates files from the paleotectonic reconstruction presented in this research are accessible as a Source Data file [https://zenodo.org/deposit/7411340]. The Data file additionally contains information including a compilation of the main tectonic events in the South American plate, and figures in three-dimensional view.

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Location map.



Tectonic setting.



# Age of crust erupted from the NCS



# Accreted terrains



Panama-Choco Arc

Caribbean Large

- Igneous Province
- Main upper-plate faults
- NAB boundary
  - Plate boundary
  - Trench
  - Modern volcanism

Seismicity map.



Previosu models.



Paleotectonic reconstruction Nazca-Cocos plate boundary.











Paleotectonic reconstruction southwestern Caribbean plate.



Reconstruction of the northwestern South America and Panama subduction systems.



Farallon/Nazca Plate

Cocos Plate

Contour interval:

20km

Abandoned Spreading center Tear fault

----

- Plate-motion direction m 7 (relative to South America)

Present-day structural map of the Nazca and Caribbean slabs beneath NWSA.



Subduction geometry of a single slab obliquely subducting under two orthogonal margins.

a.





b.





Present-day coast line (for reference purpose only) Sketch showing the convergence of the Caribbean plate against NWSA.



Geological map of NWSA and simplified cross section.




Paleogeographic reconstruction of the Panama-Choco Arc convergence and accretion to the South American margin.











Tomographic model.



Three-dimensional view of the tectonic configuration of the Nazca and Caribbean subduction zones.

