Thermospheric Densities as Revealed by Concurrent 2 MAVEN, Swarm-C, and GOES Observations

Federico Gasperini¹, J Hughes¹, and E M B Thiemann²

¹Orion Space Solutions ²Laboratory for Atmospheric and Space Physics, University of Colorado

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Abstract

The responses of Earth's and Mars' thermospheric densities to quasi-periodic solar rotation variations in flux were measured contemporaneously by the MAVEN, GOES, and Swarm-C satellites. While large solar rotation variability is found in both planetary thermospheres, correlation analyses performed on 6+ years of data reveal that, independently of flux level, Earth's daytime density response is about 10-50% larger than Mars' at a similar density level. Important altitude dependencies in the sensitivities are found in the Martian thermosphere, while the terrestrial thermosphere is shown to exhibit only small ($\pm 5\%$) day/night and latitude variations in the response. Detailed analyses focused on correlative periods in 2015-2016 and 2020 indicate important solar cycle effects in the sensitivities of both planetary thermospheres, with increased slopes with low solar flux. These results provide important new insights into processes relevant to the interpretation of the sources of short-term density variability in Mars' and Earth's thermospheres associated with solar drivers and point to the need for targeted modeling efforts along with dedicated data analyses to help resolve current unknowns in thermal balance processes.

Solar Rotation Effects in Earth's and Mars' Thermospheric Densities as Revealed by Concurrent MAVEN, Swarm-C, and GOES Observations

Federico Gasperini^{1*}, J. Hughes¹, and E. M. B. Thiemann²

 $^1 \rm Orion$ Space Solutions, Louisville, CO, USA $^2 \rm Laboratory$ for Atmospheric and Space Physics, University of Colorado, Boulder, CO, USA

Key Points:

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8	• Solar rotation effects are quantified in over 6 years of simultaneous Mars' and Earth's
9	density and flux satellite observations
10	• Earth's middle thermospheric daytime density is 30-50% (10-30%) more respon-
11	sive than Mars' during solar maximum (minimum) conditions
12	• Density sensitivities to the solar rotation in flux show prominent dependencies on
13	altitude and solar flux at both planets

^{*282} Century Place, Suite 1000, Louisville, CO, 80027

Corresponding author: Federico Gasperini, federico.gasperini@orionspace.com

14 Abstract

The responses of Earth's and Mars' thermospheric densities to quasi-periodic (~ 27 -day) 15 solar rotation variations in flux were measured contemporaneously by the Mars Atmo-16 sphere and Volatile Evolution (MAVEN), Geostationary Operational Environmental Satel-17 lites (GOES), and Swarm-C satellites. While large solar rotation variability is found in 18 both planetary thermospheres, correlation analyses performed on over 6 years of obser-19 vations reveal that, independently of flux level, Earth's daytime density response is about 20 10-50% larger than Mars' at a similar density level. Important altitude dependencies in 21 the density sensitivity to the solar rotation in flux are found in the Martian thermosphere, 22 while the terrestrial thermosphere is shown to exhibit only small $(\pm 5\%)$ day/night and 23 latitude variations in the response. Detailed analyses focused on correlative periods in 24 2015-2016 and 2020 indicate important solar cycle effects in the sensitivities of both plan-25 etary thermospheres, with increased slopes under low solar flux conditions. These results 26 provide important new insights into processes relevant to the interpretation of the sources 27 of short-term density variability in Mars' and Earth's thermospheres associated with so-28 lar drivers and point to the need for targeted modeling efforts along with dedicated data 29 analyses to help resolve current unknowns in thermal balance processes. 30

³¹ Plain Language Summary

The quasi-periodic change in solar extreme ultraviolet (EUV) radiation from ac-32 tive regions on the Sun rotating with a period of about 27 days is one of the largest sources 33 of short-term variability in the thermospheres of both Mars and Earth. This work in-34 vestigates the response of total mass densities in the thermospheres of Mars and Earth 35 to the solar rotation variation in flux, as a tracer of short-term solar-driven impacts on 36 thermospheric densities, using simultaneous density and flux observations from the Mars 37 Atmosphere and Volatile EvolutioN (MAVEN), Swarm-C, and Geostationary Operational 38 Environmental Satellites (GOES) satellites from 2014 through 2021. Earth's daytime den-39 sities in the middle thermosphere are found to be about 10-50% more responsive than 40 Mars' for an equivalent altitude. Important dependencies on height are found at Mars, 41 while small day/night and latitude dependencies are found at Earth. Correlation anal-42 yses also suggest strong solar cycle effects in the sensitivities, with significantly higher 43 sensitivities during solar minimum. The results of this study can help to better constrain 44 comparative planetary thermosphere simulations and resolve unknowns in thermal bal-45 ance processes but also suggests the need for additional data- and modeling-driven stud-46 ies. 47

48 1 Introduction

The response of planetary upper atmospheres, including Earth and Mars, to vari-49 ability in solar extreme ultraviolet (EUV) of critical importance in solar-planetary physics. 50 The thermospheres of Earth and Mars share some similarities, yet are profoundly dif-51 ferent, making their comparative study an excellent way to test the fidelity of models 52 and theories across a broad range of parameters. Solar EUV heating and cooling by molec-53 ular thermal conduction, along with heating efficiency and dynamics, are critical to the 54 response of both planetary thermospheres to solar radiation (e.g., Bougher et al., 2000, 55 2015; Forbes et al., 2008). Solar EUV (\sim 10-121 nm) radiation is the primary energy in-56 put to Mars' and Earth's thermospheres. For both planets, the most significant fraction 57 of EUV irradiance is absorbed at altitudes ranging from about 100 km (i.e., $\sim 10^{-2}$ Pa 58 for both Mars and Earth) and 220 km (i.e., $\sim 10^{-5}$ Pa for Earth and $\sim 10^{-8}$ Pa for Mars. 59 Variability in EUV radiation spans significantly different time scales, from hours for so-60 lar flares and weeks for solar rotation to years for the solar cycle (e.g., Lean, 1997). Longer 61 wavelengths tend to be less variable than shorter wavelengths as they are primarily orig-62 inating in the solar chromospheric region instead of the more variable solar corona (Woods 63

et al., 2005, 2015; Thiemann et al, 2017a,b). Near the sub-solar regions, the peak ab-64 sorption occurs near 130 km on Mars (i.e., $\sim 10^{-4}$ Pa; Bougher, 1995; Bougher et al., 2000, 65 2009, 2015; Gonzalez-Galindo et al., 2015; Thiemann et al., 2018) and 200 km on Earth 66 (i.e., $\sim 10^{-4}$ Pa; Hedin and Mayr, 1987; Roble et al., 1987). Mars' thermosphere is pri-67 marily composed of carbon dioxide (CO_2) , atomic oxygen (O), molecular nitrogen (N_2) , 68 carbon monoxide (CO), argon (Ar), molecular oxygen (O_2) , and atomic nitrogen (N) (Bougher 69 et al., 1995, 2009; Mahaffy et al., 2015; Zurek et al., 2017; Stone et al., 2018), while Earth's 70 thermosphere is mainly composed by O, N₂, O₂, helium (He), and hydrogen (H) (Dick-71 inson et al., 1981; Roble et al., 1987; Forbes, 2007). The rotation of active regions on the 72 Sun produces periodicities in EUV radiation, the most prominent of which is near the 73 mean rotation period of ~ 27 days (e.g., Fan 2009, 2021). This variability in EUV is sub-74 sequently absorbed by planetary thermospheres and generates thermospheric variabil-75 ity with time scales ranging from about 25 days to 35 days. Shorter-period EUV-driven 76 thermospheric variability is also possible as a result of the absorption of flux variabil-77 ity at subharmonics of the main solar rotation variation. The EUV irradiance reaching 78 Earth's and Mars' atmospheres have some notable differences (e.g., Thiemann et al., 2017, 79 2018): (1) at any given time the solar hemisphere visible from Mars may have more or 80 less numerous or intense EUV source regions than the solar hemisphere visible from Earth, 81 (2) irradiance at Mars is \sim 36-53% of that reaching Earth at 1 AU as irradiance falls off 82 inversely with the square of the distance from the Sun, (3) planetary orbital eccentric-83 ity plays a larger role at Mars compared to Earth, with irradiance at Mars varying by 84 about 40% over the course of the Martian year (e.g., Woods and Rottman, 2002; Bougher 85 et al., 2017; Zurek et al., 2017). 86

Variability in Mars' thermospheric density is primarily generated by processes re-87 lated to EUV flux absorption, molecular thermal conduction, CO_2 cooling, and adiabatic 88 heating and cooling due to dynamics (Bougher et al., 1999, 2000, 2015, 2017; Forbes et 89 al., 2006). Previous studies (e.g., Hagan and Oliver, 1985; Forbes et al., 2006) indicated 90 the terrestrial response to EUV-driven circulation and adiabatic cooling is less promi-91 nent than Mars' due to the effect of ion drag. The resulting suppression of cooling leads 92 to more heating from a given EUV increase, resulting in a stronger response to long-term 93 changes in flux at Earth. Forbes et al. (2006) employed simultaneous density observa-94 tions from the Challenging Minisatellite Payload (CHAMP) and Mars Global Surveyor 95 (MGS) satellites to investigate Earth's and Mars' thermospheric responses to the solar 96 rotation variation in flux using the F10.7 solar radio flux as a proxy for EUV and revealed 97 a response that is twice as large for Earth than for Mars. Forbes et al. (2006) and re-98 lated studies (e.g., Forbes et al., 2007; Keating and Bougher, 1987, 1992; Bougher et al., 99 1999; Thiemann and Dominique, 2021) established that exospheric temperatures at Mars 100 are about 30-50% as responsive as Earth to the ~ 27 -day solar rotation variation in EUV. 101 This different response is discussed to be mainly associated with larger damping of so-102 lar EUV energy at Mars due to increased CO_2 cooling. These results were more recently 103 confirmed by Thiemann and Dominique (2021), who showed Mars' exospheric EUV tem-104 perature sensitivities to be about 0.47 times as sensitive to EUV variability as those at 105 Earth. 106

In a recent study, Fang et al. (2022) investigated the relative contribution of lo-107 cal effects from EUV heating and indirect effects associated with solar infrared (and thus 108 upward coupling from the middle atmosphere) to generate thermospheric density vari-109 ability using Mars Atmosphere and Volatile Evolution (MAVEN) Neutral Gas and Ion 110 Mass Spectrometer (NGIMS) data. These authors reported that the indirect effects from 111 solar infrared decreased with altitude and the local EUV effect increased with altitude. In a related study, Hughes et al. (2022) investigated solar rotation effects on the Mar-113 tian thermospheric density from ~ 125 km to ~ 250 km using over 5 years of concurrent 114 MAVEN/NGIMS and MAVEN/EUV Monitor (EUVM) instruments. Large EUV-driven 115 effects were found in CO_2 , Ar, and N_2 thermospheric densities, with effects increasing 116 with altitude (up to $\sim 200-230$ km) and strong dependencies on the particular species and 117

flux band examined. The increase of sensitivities up to about 230 km was explained by the increasing energy per particle with altitude (similar to the terrestrial results in Thiemann et al., 2017a), while the decrease of sensitivities above about 230 km was ascribed to additional complexities potentially introduced by solar wind effects near or above the Martian exobase (e.g., Chaffin et al., 2015; Hughes et al., 2021).

This work seeks to reveal and quantify the contemporaneous response of the ter-123 restrial and Martian thermospheric densities to solar rotation variability in flux using 124 in situ density and flux observations from the MAVEN, Swarm-C, and Geostationary 125 Operational Environmental Satellites (GOES) satellites from late 2014 during solar max-126 imum through mid-2021 near solar minimum. This study presents detailed analyses of 127 the comparative responses of Earth's and Mars' thermospheric densities to the solar rotation-128 related variability in EUV flux using simultaneous in situ irradiance and density mea-129 surements and further investigates altitude and solar cycle dependencies in these responses. 130 These analyses represent a significant advancement over previous investigations (e.g. those 131 noted above), being uniquely enabled by MAVEN's orbital characteristics and recent so-132 lar minimum measurements (2018-2021) that allow for altitude-dependencies (\sim 150-230 133 km) and solar cycle effects to be resolved. After a brief description of the observational 134 datasets (Section 2), Section 3 provides details on the data processing techniques, Sec-135 tion 4 contains results from the comparative and correlation analyses, while Section 5 136 discusses the main findings and summarizes the results. 137

¹³⁸ 2 MAVEN, Swarm-C, and GOES Observations

MAVEN is the second Scout-class spacecraft mission to Mars selected by NASA 139 (Jakosky et al., 2015). MAVEN entered Mars' orbit on 21 September 2014 and, after a 140 two-month transition phase, reached its nominal science elliptical orbit, with 75° incli-141 nation, a \sim 4.5-hr period, apoapsis near 6200 km, and periapsis near 140-160 km, deter-142 mined by a targeted density corridor of 0.05 to 0.15 kg km⁻³. MAVEN's periapsis sam-143 ples five to six longitudes around the planet every Mars day (sol) and precesses through 144 \sim 3.5 diurnal cycles every Mars year. On 5 April 2019, MAVEN completed a two-month 145 aerobraking maneuver and was temporarily lowered to an elliptic orbit of $\sim 4,500$ km by 146 \sim 130 km and \sim 6.6 orbits to better serve as a communications relay. Starting in August 147 2020, MAVEN was placed in a more fuel-efficient and stable orbit with periapsis near 148 \sim 180-220 km. MAVEN's instruments include the NGIMS designed to measure atmo-149 spheric densities at altitudes below about 500 km (Mahaffy et al., 2014, 2015) and the 150 EUVM measuring solar irradiance in the 0.1-7 nm, 17-22 nm, and 117-125 nm bands se-151 lected to characterize EUV emissions from distinctly different regions of the solar atmo-152 sphere (Epavier et al., 2015). The altitude profiles of CO₂, N₂, O, Ar, CO number den-153 sities that are continuously measured by NGIMS from ~ 150 km to $\sim 200-230$ km have 154 been used in several thermospheric studies (e.g., England et al., 2016, 2017, 2019; Liu 155 et al., 2017; Terada et al., 2017; Yiğit et al., 2015; Hughes et al., 2022; Fang et al., 2022). 156 EUVM measures solar irradiance continuously except when MAVEN is in eclipse or when 157 both MAVEN is below 500 km and the Sun is in the direction of spacecraft motion, with 158 an approximate solar measurement duty cycle of $\sim 60\%$. EUVM Level 3 (L3) irradiance 159 data is processed using the Flare Irradiance Spectral Model for Mars (FISM-M) (Thie-160 mann et al., 2016, 2017), which is an iteration of the FISM model of the FISM model 161 of Chamberlain et al. (2007, 2008) for spectral irradiance at Earth. Away from solar flares, 162 the relative uncertainty in the EUVM L3 daily averaged irradiance is generally less than 163 $\sim 5\%$ for most wavelength bins, and the larger total uncertainty is mostly driven by the 164 uncertainty in the data sets used for calibration (Thiemann et al., 2017). 165

Swarm constitutes the fifth Earth Explorer mission from the European Space Agency
 (ESA)'s Living Planet Programme (Friis-Christensen et al., 2008). Swarm is a constel lation of 3 identical satellites (A, B, and C) that launched on 22 November 2013 into 87.4°
 inclination near-polar circular orbits. Swarm-B was placed in a relatively high orbit with

an average altitude of about 530 km, while the other two satellites were placed to fly al-170 most side-by-side at a lower altitude of about 480 km (Van Den Lissel et al., 2016). Swarm-171 A and -C nodes precess through 24 hours of local solar time (LT) in about 266 days. A 172 Global Positioning System (GPS) receiver is used for the Precise Orbit Determination 173 (POD) of the satellite, with a laser retroreflector that allowed validation of the orbits 174 computed from the GPS observations (Siemes et al. 2016). Each Swarm satellite pay-175 load includes an accelerometer instrument to measure non-conservative forces (e.g., at-176 mospheric drag, solar radiation pressure), which can be used to derive atmospheric mass 177 density estimates (Visser et al., 2013; Yuan et al. (2019). Due to significant data gaps 178 in the accelerometer-based density retrievals, this work employs the temporally and spa-179 tially coarser POD-derived post-processed density product from Swarm-C. This POD-180 based product is considered more suitable than the accelerator-based product for the type 181 of investigation herein presented given the interest in large-scale variability with timescales 182 greater than ~ 5 days. Comparisons with physical and empirical models and other satel-183 lite observations (e.g., Luo et al., 2022) indicate errors generally lower than 3% for the 184 Swarm-C POD-based density retrievals. 185

The National Oceanic and Atmospheric Administration (NOAA) GOES satellites 186 have monitored solar soft X-ray irradiance in two bands since their inception in 1975 with 187 the X-Ray Sensor (XRS) instruments, while GOES 13-15 have measured EUV irradi-188 ance in several bands with the Extreme Ultraviolet Sensor (EUVS) instruments since 2006 189 (Viereck et al., 2007, Thiemann et al., 2019). For the GOES-R series satellites, new ver-190 sions of the XRS and EUVS instruments have been built as part of the EUV and X-ray 191 Irradiance Sensors (EXIS) instrument suite. The GOES-R series program is the latest 192 iteration of the GOES satellite constellation and consists of 4 satellites, each carrying 193 a suite of identical instruments designed to monitor terrestrial and space weather con-194 tinuously. The GOES-R series satellites are named GOES 16-19 upon commissioning. 195 GOES-16 was successfully launched on 19 November 2016. This study employs GOES-196 15 irradiance measurements near 121.6 nm from 28 November 2013 to 6 June 2016 and 197 GOES-16 irradiance measurements near seven different flux bands from 25.6 nm to 140.5 198 nm from 10 February 2017 to 24 September 2021. While GOES-15 provides irradiance 199 measurements near 121.6 nm only, GOES-16 detects seven different flux bands around 200 25.6 nm, 28.4 nm, 30.4 nm, 117.5 nm, 121.6 nm, 133.5 nm, and 140.5 nm. Thus, our anal-201 ysis of GOES 121.6 nm contains a gap of 249 days between 6 June 2016 and 10 Febru-202 ary 2017. Future work may explore the use of Solar Radiation and Climate Experiment 203 (SORCE) SOLar STellar Irradiance Comparison Experiment (SOLSTICE) (McClintock et al., 2005a,b; Snow et al., 2005) Lyman-alpha (Lyman- α) measurements (Machol et 205 al., 2019) available from 14 April 2013 through 25 February 2020. Note that the inclu-206 sion of SORCE SOLTICE data to cover this gap in GOES observations would not ben-207 efit the comparative nature of this investigation given the lack of statistically significant 208 (r>0.5) solar rotation variability in EUVM/NGIMS observations at Mars during this pe-209 riod. 210

²¹¹ 3 Methods

NGIMS inbound verified (IV) CO₂, N₂, Ar, O and inbound unverified (IU) CO abun-212 dances are interpolated each orbit onto a 2.5 km resolution grid stretching from ~ 125 213 to ~ 275 km in altitude. We limit the analysis to inbound data to avoid possible contam-214 ination by heterogeneous chemistry as well as physical adsorption and desorption occur-215 ring on the instrument antechamber walls (Mahaffy et al., 2015). Analyses of IU CO (qual-216 ity flag '0') and the use of this dataset in previous studies (e.g., Girazian et al., 2019) 217 demonstrate it to be suitable for the investigation herein conducted. Additionally, ne-218 glecting CO would lead to a significant underestimation of the total mass density at lower 219 thermospheric altitudes, while including it can be assumed to be a minimum-error so-220 lution. Similar to Girazian et al. (2019), the abundance measurements are processed to 221

yield total mass density estimates by multiplying by the molecular mass, and adding the 222 mass densities of CO₂, N₂, Ar, O, and CO. When N₂, Ar, O, or CO data are not avail-223 able, their mass density contribution is assumed to be 0. If CO_2 data is not available, 224 we avoid computing the mass density for that time and altitude. This method generates 225 small non-physical jumps in the mass density as Ar, N_2 , O, and CO data become avail-226 able, but this effect is found to be small enough not to significantly impact the results. 227 Note that MAVEN also produces periapsis density estimates using precise orbit deter-228 mination (POD), but these data would not have enabled reliable density estimates at 229 an altitude with a mean density equal to Swarm-C at Earth. Meanwhile, MAVEN ac-230 celerometer data at these altitudes are too noisy to provide reliable measurements of mass 231 density. Similar to Hughes et al. (2022), EUVM L3 irradiance data are averaged in four 232 spectral bands: 0-7 nm, 17-22 nm, 0-45 nm, and 117-125 nm. As noted by Thiemann 233 et al. (2017), over $\sim 90\%$ of the signal measured by the 117-125 nm EUVM band lies in 234 the 121-122 nm range thus, in the following, this band is referred to as the 'Lyman- α ', 235 '121.6 nm band', or '121.6 nm irradiance'. 236

Swarm-C POD-derived total mass densities and GOES irradiances are processed 237 using methods similar to those detailed above. The time series of GOES 25.6 nm, 28.4 238 nm, 30.4 nm, 117.5 nm, 121.6 nm (scaled by a factor of 20), 133.5 nm, and 140.5 nm ir-239 radiances, Swarm-C mean altitude and LT, and total mass density from 28 November 240 2013 to 24 September 2021 are shown in Figures 1a-c. The Swarm-C densities in Fig-241 ure 1c are obtained by combining ascending and descending node data (i.e., 'proxies' for 242 daily zonal means, see e.g., Gasperini et al., 2015, 2020) and all latitudes $(\pm 87^{\circ})$. Dis-243 tinct solar rotation variations near 27 days are present in the time series of all irradiances, 244 particularly during solar maximum conditions in 2013-2016 and during 2017 and 2021. 245 The Swarm-C mean altitude varies from about 500-530 km in early 2014 to \sim 430-460 246 km in 2021. The time series of in-situ-measured Swarm-C total mass density (Figure 1c) 247 contains solar cycle variations, changes associated with the spacecraft's lowering altitude, 248 and periodicities around 133 days due to Swarm-C's precession cycle. These longer-term 249 (>130-day) variations are not contributing uncertainty to the correlation analysis tech-250 niques herein implemented given the data processing methods detailed below. 251

The time series of the 0-7 nm, 17-22 nm, 0-45 nm, and 121.6 nm (scaled by a fac-252 tor of 5) EUVM irradiances, NGIMS altitude and LT, and NGIMS total mass density 253 at ~ 220 km from January 2015 to May 2021 are shown in Figures 1d-f. The altitude of 254 \sim 220 km is chosen to closely match the mean density level measured by Swarm-C dur-255 ing this time period. The four irradiances exhibit solar cycle, orbital eccentricity, and 256 solar rotation variations largely reflected in the densities. The large variations with a pe-257 riod of about 200 days in the NGIMS total mass densities (Figure 1f) are largely due to 258 MAVEN's diurnal precession cycle. MAVEN's inclination is $\sim 75^{\circ}$ thus all latitudes within 259 about $\pm 75^{\circ}$ are sampled. Note also that MAVEN precesses through ~ 3.5 diurnal cycles 260 every Martian year and that all latitudes within $\pm 75^{\circ}$ are sampled every ~ 400 days. Em-261 bedded in the densities are also prominent solar rotation variations that were examined in detail by Hughes et al. (2022) using statistical methods. Compared to Earth (cf., Fig-263 ure 1a), Mars' irradiances (Figure 1d) exhibit much larger orbital eccentricity effects due 264 to the greater annual Sun-Mars distance change with solar longitude (Ls). These effects 265 have been studied in detail by Fang et al. (2022) who investigated the relative contri-266 bution of orbital and EUV effects in thermospheric density variations and found that the 267 solar EUV effect nearly monotonically increases with altitude while the orbital effect is 268 relatively constant at low altitudes and then decreases with increasing altitude. The small 269 (i.e., few-day) gaps in the NGIMS densities are not contributing significant uncertainty 270 to the correlation analysis techniques given the interest in variations with solar rotation 271 time scales (see below and Section 4 for further details). 272

To effectively quantify the density responses of Earth's and Mars' thermospheres to the solar rotation variation in flux, densities and irradiances are transformed into rel-

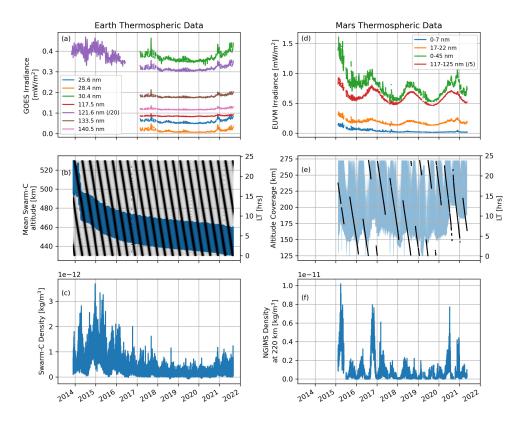


Figure 1. (a) Time series of GOES-15 121.6 nm irradiance (purple line, scaled by a factor of 20) from 28 November 2013 to 6 June 2016, and of GOES-16 25.6 nm (blue line), 28.4 nm (orange line), 30.4 nm (green line), 117.5 nm (red line), 121.6 nm (purple line, scaled by a factor of 20), 133.5 nm (brown line), and 140.5 nm (pink line) irradiances from 10 February 2017 until 24 September 2021. (b) Time series of Swarm-C mean altitude (blue lines, left y-axis) and LT (black line, right y-axis) from 28 November 2013 to 24 September 2021. (c) Time series of zonal mean Swarm-C POD-derived in situ total mass density during the same time interval as (b). A gap of 249 days between 6 June 2016 and 10 February 2017 is present where there are no 121.6 nm measurements from either GOES-15 or GOES-16. (d) Time series of EUVM 0-7 nm (blue line), 17-22 nm (orange line), 0-45 nm (green line), 121.6 nm (red line, scaled by a factor of 5) irradiances from January 2015 to May 2021. (e) MAVEN altitude coverage (blue lines, left y-axis) and local time (black line, right y-axis) for the same time interval as (d). (f) Time series of NGIMS total mass density for the same time interval as (d).

ative changes (e.g., Hughes et al., 2022; Gasperini et al., 2018). This treatment of the 275 data is performed in four steps: (1) a uniform time vector with a one-day cadence is cre-276 ated spanning the entire dataset; (2) for each time in the uniform time vector the data 277 within 20 days before or after (40 days total) are averaged to make x_{40} ; (3) for each time 278 in the uniform time vector, the data within 2.5 days (5 days total) are averaged to make 279 x_5 ; (4) the relative change is computed as $(x_5 - x_{40})/x_{40} \times 100\%$. This method is effec-280 tive at isolating signals with periods between 5 and 40 days while removing higher- and 281 lower-period variability. Relative change values computed using fewer than 30 days (i.e., 282 3/4 of 40 days) are not incorporated. Periodicities that are of most interest are those re-283 lated to the solar rotation variation near 25-35 days. The first and second sub-harmonics 284 of the solar rotation variation around 8-12 days and 13-18 days are also included with 285 this treatment of the data. Meanwhile, longer-period variability (e.g., solar cycle and sea-286

sonal effects and those due to Mars' orbital eccentricity) along with short-term variabil-

ity (e.g., disturbances from the lower and middle atmosphere) are largely eliminated. So-

lar flare days (M5 GOES class flares or greater) are considered data gaps to minimize

additional disturbances not related to the solar rotation in flux.

Correlation analyses are performed using the Python package 'scipy.stats.linregress' and include the standard error (SE) of the regression slope. SE is defined as

$$SE = \sqrt{\frac{1}{(n-2)} \frac{\sum_{0}^{i} (y_i - \hat{y}_i)^2}{\sum_{0}^{i} (x_i - \overline{x})^2}}$$
(1)

where n is the total sample size, y_i is the actual value of the response variable, \hat{y}_i is the 291 predicted value of the response variable, x_i is the actual value of the predictor variable, 292 and \overline{x} is the mean value of the predictor variable. The smaller the SE, the lower the vari-293 ability around the coefficient estimate for the regression slope. For all cases examined 294 with r > 0.5, SE values are found to be < 20% of the slope providing confidence in the 295 statistical results herein presented. Note that the focus of this work is on the solar ro-296 tation variation as a tracer of short-term solar-driven impacts on thermospheric density. 297 Thus only periods with r > 0.5 are used in computing estimates of slope values. Further-298 more, only cases with r > 0.5 include SE values that are sufficiently small to provide con-299 fidence in the statistical results. 300

³⁰¹ 4 Correlation Analyses and Comparative Results

Figure 2 shows a direct comparison between the 'simultaneous' response of Earth's 302 and Mars' thermospheric densities to ~ 7 prominent solar rotation variations in 121.6 nm 303 flux from 11 September 2015 to 1 April 2016 under high solar flux conditions. This pe-304 riod is characterized by the largest and most persistent \sim 27-day variations observed con-305 currently in Mars' (Hughes et al., 2022) and Earth's thermospheric densities from 28 Novem-306 ber 2013 to 24 September 2021 (see also Figure 3a and related discussion). The 5-day 307 running means of 40-day density and irradiance residuals (expressed as % relative changes, 308 see Section 3) are shown in Figures 2a'-2b' for Earth and Mars, respectively. The ~121.6 309 nm irradiance (scaled by a factor of 3) shows that $\pm 7.10\%$ variations in flux correspond 310 to around $\pm 20-30\%$ variations in thermospheric density at Earth near 460 km and around 311 $\pm 10-25\%$ variations in thermospheric density at Mars near 220 km. The scatter plots 312 of mass density relative changes versus 121.6 nm irradiance relative changes for this \sim 7-313 month period of prominent solar rotation forcing are contained in Figures 2a"-2b" for 314 Earth and Mars, respectively. The legends of Figures 2a''-2b'' include slopes (m) and cor-315 relation coefficients (r) from the fitting algorithm. (Note that in the following the terms 316 'slopes' and 'sensitivities' are used interchangeably). The noted m and r values of ~ 2.63 317 ± 0.24 (~1.70 ± 0.20) and ~0.67 (~0.56) at Earth (Mars), respectively, indicate that the 318 terrestrial response to the solar rotation in flux is significantly stronger than that at Mars 319 for a similar density level (Table 1 and Figure 4 contain more quantitative analyses). This 320 result confirms previous findings (e.g., Forbes et al., 2006, 2007; Keating and Bougher, 321 1987, 1992; Bougher et al., 1999, 2015, 2017; Thiemann and Dominique, 2021) report-322 ing reduced sensitivities to solar irradiance for Mars' thermosphere generally explained 323 by the increased importance of CO_2 cooling in damping solar EUV energy at Mars. The 324 lower correlation for Mars may be due to short-term density variability not associated 325 with solar effects. A detailed investigation of possible impacts from the lower and mid-326 dle atmosphere (e.g., waves and dust storm effects) and CO_2 cooling effects, outside the 327 purview of the current study, is left for future investigations. Note that while shorter wave-328 length irradiance may be more representative of solar flux absorption in the middle and 329 upper thermospheric regions, Figure 2 has the critical advantage of showing the 'same' 330 Lyman- α band measured concurrently at the two planets. Furthermore, solar rotation 331 variability at different wavelengths is expected to be strongly correlated. 332

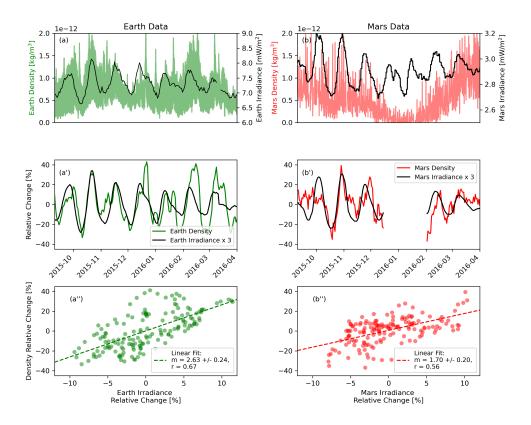


Figure 2. (a) Globally (i.e., $\pm 87^{\circ}$ latitude and 0-360° longitude) averaged Swarm-C POD thermospheric density (green line) and GOES-15 121.6 nm irradiance (black line) from 11 September 2015 to 1 April 2016. (b) NGIMS total mass density near 220 km (red line) and EUVM 121.6 nm irradiance (black line) for the same time interval as (a). (a')-(b') 5-day running means of 40-day residuals of (a) and (b) expressed as % values. (a'') Scatter plot of Swarm-C density relative changes versus GOES irradiance relative changes (green dots) for the same time interval as (a) and (a'). (b'') Same as (a'') but for NGIMS density relative changes versus EUVM irradiance relative changes at Mars. The Pearson correlation coefficients (r), slopes (m), and fitting lines are also included. No data points for 15 December 2015 - 31 January 2016 (i.e., for r<0.5, see gap in panel (b')) are included in (a'') and (b''). For Mars, LT varies between ~0.5-hr and ~14.5-hr, latitude changes from ~47°S to ~56.2°N, and Ls varies between ~39.5° and ~105.4°. The average solar radio flux at 10.7 cm (F10.7) measured at Earth is ~110 sfu.

A closer inspection of Figure 2 also reveals an apparent phase shift between the neu-333 tral density response to solar irradiance of both planetary thermospheres. Further anal-334 yses (not shown here) demonstrate this phase shift to correspond to approximately one 335 terrestrial/Martian day. This lag in the density response to the solar rotation variation 336 in flux may be an indication of the plausible timescale at play related to heating and is 337 in excellent agreement with previous studies (e.g., Jacchia et al., 1973; Hedin and Mayr, 338 1987). Yet, it is important to note that our ability to accurately capture such small timescales 339 is impacted by the data processing methods adopted. The correlation analyses are per-340 formed on 5-day running means of 40-day residuals. This 5-day averaging, which is used 341 to highlight variability associated with the solar rotation variation by effectively min-342 imizing short-term variability due to lower atmospheric forcing, challenges our ability 343 to discern phase delays on such short timescales. Correlation analyses performed dur-344 ing other periods (e.g., Figure 3 near solar minimum) provide less conclusive results, sug-345

gesting that further work in this direction is needed that may require dedicated mod-346 eling effort along with targeted correlative methods.

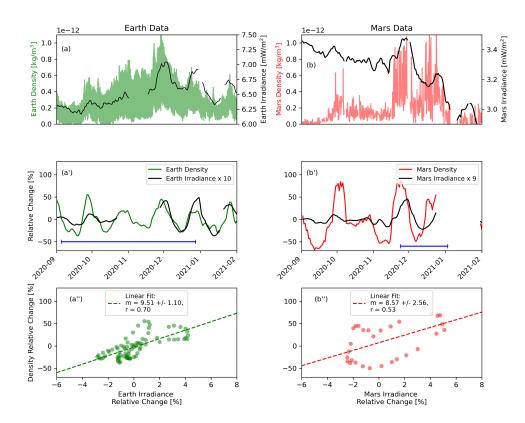


Figure 3. Same as Figure 2 but for the time period extending from September 2020 to January 2021 under low solar flux conditions (with an average F10.7 at Earth near 70 sfu). For Mars, LT varies between \sim 2.4-hr and \sim 23.1-hr, latitude changes from \sim 66.1°S to \sim 74.2°N, and Ls varies between $\sim 270.3^{\circ}$ and $\sim 350.2^{\circ}$. The NGIMS altitude is selected to be near 240 km to best conform with the average density values observed by Swarm-C during this period (Swarm-C's mean altitude decreased by about 20 km from 2015 to 2020, as shown in Figure 1b).

349 350

Figure 3 shows similar depictions to those contained in Figure 2 but is focused on 348 a period extending from 6 September 2020 to 3 January 2021 with reduced solar flux conditions (F10.7 \sim 70 sfu versus \sim 110 sfu) and similar LT coverage by NGIMS. Large slopes are found for both planetary thermospheres, with values near 9.51 ± 1.10 and 8.57 ± 2.56 351 for Earth and Mars, respectively. In agreement with the 2015 case, the terrestrial response 352 to the solar rotation in flux is stronger (by about 10-20%) than that of Mars for a sim-353 ilar density level. Comparisons between Figures 2 and 3 reveal a significantly increased 354 response (by a factor upwards of 5) of the middle thermospheric densities to the solar 355 rotation in flux during the late-2020 period. A higher response during solar low condi-356 tions is not unexpected as shown empirically by Hedin and Mayr (1987) in the context 357 of terrestrial exospheric temperature dependencies on F10.7 (see Figure 6). While EUV 358 forcing decreases at solar minimum, adiabatic cooling due to rising motions in global cir-359 culation plays a progressively more important role in the heat budget as solar activity 360 increases. For higher levels of solar EUV flux, strong vertical winds and adiabatic cool-361 ing suppress the density response on the dayside at low to middle latitudes (Bougher et 362 al., 1999, 2000, 2009, 2015). 363

It should be noted that the 2015 period in Figure 2 is near aphelion while the 2020 364 period in Figure 3 is close to perihelion. Thus some seasonal dependencies that may lead 365 to a stronger response during the late-2020 period compared with the late-2015/early-366 2016 period may not be completely excluded. Nevertheless, correlation analyses performed 367 during other correlative periods under solar low conditions during 2018-2019 demonstrate 368 analog increased slopes supporting our conclusion that decreased solar flux level is likely 369 the principal contributor to the increased slopes observed during 2020. The authors also 370 note that the slope for both planets is influenced by the time ranges chosen. For Earth, 371 a window that includes all of the January 2021 peak results in a lower slope, and a win-372 dow that includes more of the October 2020 peak results in a higher slope. For Mars, 373 shifting the window to earlier times results in an increased slope. For both planets, the 374 signal in the 2020 period is weaker, and our estimates of the slope are less accurate, as 375 the availability of concurrent solar rotation variations is limited to 2-3 rotations. Thus 376 follow on comprehensive observational studies and targeted modeling efforts focused on 377 quantifying the solar cycle dependency on the thermospheric response to the solar ro-378 tation variation are needed to fully characterize this dependency and understand con-379 nections to heating and cooling rates/efficiencies, as noted by previous work by Richards, 380 2012.381

Table 1 summarizes the correlation results (reported as slope values and uncertain-382 ties in units of % density over % irradiance) between NGIMS total mass density and EUVM 383 0.7 nm, 17-22, nm, 0.45 nm, and 121.6 nm irradiances at $\sim 200 \text{ km}, \sim 220 \text{ km}, \text{ and } \sim 240 \text{ km}$ 384 km altitude and between Swarm-C total mass density and GOES-15 121.6 nm irradi-385 ance with all density data combined, and restricting to daytime, nighttime, latitudes $>45^{\circ}$, 386 and latitudes $<45^{\circ}$. Shown are three cases (from the top): (a) all the periods with $r \ge 0.5$ during 2015-2021, (b) the 10 September 2015 - 1 April 2016 period, and (c) the 1 Septem-388 ber 2020 - 31 January 2021 period. The data are separated by altitude, day, night, and 389 latitude before generating the relative changes used in the fitting routine. As previously 390 discussed, important irradiance and altitude differences in the density response to the 391 solar rotation variation are found for all cases examined. For Mars, for cases a and b, 392 the 121.6 nm irradiance is found to have higher slopes ($m \simeq 2.6-5.1$ depending on altitude) 393 compared to the other three bands (~ 0.4 -1.6), while all irradiances exhibit higher slopes 394 at ~ 240 km compared to 200 km). The higher slope values for the Lyman- α case can 395 be explained by weaker solar rotation variability in Lyman- α , i.e., while the density change 396 is the same for all channels, the irradiance change is the smallest for Lyman- α . In agree-397 ment with the terrestrial results by Guo et al. (2007), m and r are characterized by small dependencies on latitude and between daytime ($m \simeq 3.56 \pm 0.33$) and nighttime ($m \simeq 3.74$ 399 ± 0.36) conditions. Wind resulting from heating can impact day-night circulation chang-400 ing temperature and composition that in turn changes neutral density (e.g., Qian et al., 401 2011), yet our results indicate that day/night differences in the sensitivities at Earth may 402 be <5% for all solar flux conditions examined. Note that MAVEN's orbital character-403 istics and the limited number of correlative events make it challenging to reliably quan-404 tify day/night, and latitude dependencies at Mars. 405

The median sensitivities as a function of altitude during the September 2015 - April 406 2016 and September 2020 - January 2021 periods are contained in Figures 4a and 4b, 407 respectively. Earth's slope values at Mars' 'equivalent' heights of ~ 220 km and ~ 240 km 408 are also shown. During the late-2015/early-2016 period, Mars' thermospheric slope in-409 creases with altitude up to ~ 175 km, is relatively altitude-independent between ~ 180 410 km and ~ 220 km (with m values of ~ 1.8), increases significantly between ~ 220 km and 411 \sim 240 km (to *m* values up to \sim 2.2). During the late-2020 period, Mars' thermospheric 412 slope is relatively constant between ~ 205 and ~ 220 (with m values near 12.5) and then 413 shows a prominent decrease up to ~ 240 km before increasing again between $\sim 240-260$ 414 km. The growth of slopes with altitude below ~ 240 km in Figure 4a and ~ 220 km in 415 Figure 4b may be explained by increased efficiency in energy deposition (similar to pre-416 vious terrestrial studies, e.g., Richards, 2012; Thiemann et al., 2017a). This increase is 417

Table 1. List of slope values (as % density over % irradiance) obtained applying correlation analyses to relative changes of NGIMS, EUVM, Swarm-C, and GOES-16 data as an average of all the periods with $r \ge 0.5$ during 2015-2021 (top), during September 2015 - April 2016 (middle), and during September 2020 - January 2021 (bottom). Shown are slopes from correlation analysis between NGIMS total mass density and EUVM 0-7 nm, 17-22, nm, 0-45 nm, and 121.6 nm irradiances at ~200 km, ~220 km, and ~240 km; and slopes from correlation analysis between Swarm-C in situ total mass density and GOES-15 121.6 nm irradiance combining all density data, during daytime ($6\ge LT<18$), during nighttime (LT<6 or ≥ 18), for latitudes poleward of $\pm 45^{\circ}$, and (e) for latitudes equatorward of $\pm 45^{\circ}$. The Pearson correlation coefficient (r) is > 0.5 for all cases/altitudes/periods.

Sensitivity				
All r>0.5	All r>0.5			
Mars, Altitude	0-7 nm	17-22 nm	0-45 nm	121.6 nm
200 km	0.37 ± 0.05	1.37 ± 0.19	1.12 ± 0.15	2.59 ± 0.48
220 km	0.55 ± 0.10	1.84 ± 0.30	1.45 ± 0.24	5.05 ± 1.05
240 km	0.78 ± 0.13	1.95 ± 0.34	1.63 ± 0.28	3.93 ± 0.81
Earth, Case				121.6 nm
All				3.75 ± 0.38
Day				3.56 ± 0.33
Night				3.74 ± 0.36
$Lat > \pm 45^{\circ}$				3.82 ± 0.37
$\operatorname{Lat} \le \pm 45^{\circ}$				3.69 ± 0.37
High Solar Flux (2015-2016	i)			
Mars Altitude	0-7 nm	17-22 nm	0-45 nm	121.6 nm

Mars, Altitude	0-7 nm	17-22 nm	0-45 nm	121.6 nm
200 km	0.32 ± 0.04	0.68 ± 0.08	0.63 ± 0.07	1.75 ± 0.17
220 km	0.31 ± 0.04	0.67 ± 0.10	0.62 ± 0.09	1.70 ± 0.20
240 km	0.38 ± 0.06	0.80 ± 0.13	0.73 ± 0.11	1.97 ± 0.27
Earth, Case				121.6 nm
All				2.63 ± 0.24
Day				2.93 ± 0.23
Night				2.19 ± 0.29
$Lat > \pm 45^{\circ}$				2.56 ± 0.25
$Lat \le \pm 45^{\circ}$				2.69 ± 0.23

Low Solar Flux (2021-2022)

Mars, Altitude	0-7 nm	$17\text{-}22~\mathrm{nm}$	$0\text{-}45~\mathrm{nm}$	$121.6~\mathrm{nm}$
200 km	1.56 ± 0.14	7.66 ± 0.66	6.3 ± 0.55	13.1 ± 1.28
220 km	1.57 ± 0.25	7.91 ± 1.17	6.46 ± 0.98	12.91 ± 2.26
240 km	1.08 ± 0.29	5.66 ± 1.36	4.57 ± 1.14	8.57 ± 2.56
Earth, Case				121.6 nm
All				9.51 ± 1.10
Day				7.20 ± 0.61
Night				7.83 ± 0.77
$Lat > \pm 45^{\circ}$				9.42 ± 1.13
Lat $\leq \pm 45^{\circ}$				9.60 ± 1.08

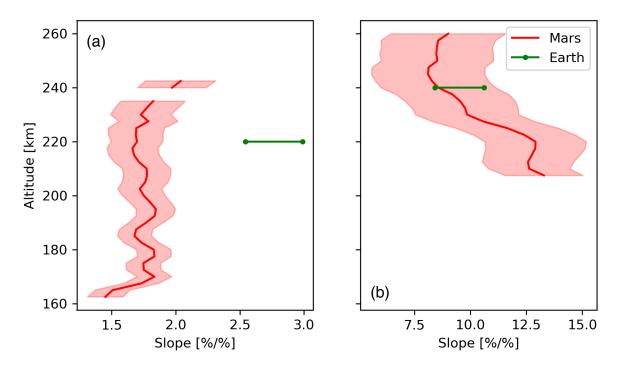


Figure 4. (a) Sensitivity of thermospheric total mass densities to the 121.6 nm irradiance at Mars (vertical red line) and Earth (horizontal green line) during the September 2015 - April 2016 period shown in Figure 2. (b) Same as (a), but for the September 2020 - January 2021 period shown in Figure 3. Earth's slope values at Mars' 'equivalent' heights of \sim 220 km and \sim 240 km are shown in (a) and (b), respectively. Uncertainties from the SE analysis are shown as shaded colors (horizontal lines) for Mars (Earth).

in general agreement with the results contained in Hughes et al. (2022) and is of sim-418 ilar magnitude to the local and nonlocal heating efficiency peaks in Gu et al. (2020) (see 419 Figure 9). The slope increase with altitude is also consistent with the terrestrial study 420 by Thiemann et al. (2017a), while the altitude dependency in the response is a possi-421 ble consequence of changes in local time (LT) and season. Using revised EUV heating 422 efficiencies, these authors attributed the sustained increase of sensitivities with altitude 423 as evidence of larger EUV heating efficiency at higher heights. Gu et al. (2020) seem not 424 to account for this thermal electron collision pathway for neutral heating, thus their heat-425 ing efficiencies at high altitudes may be underestimated. Important to note that Thie-426 mann et al. (2017a) show no clear high-altitude region of enhanced sensitivities. The de-427 crease in the slope for the late-2020 case above about ~ 225 km (near the exobase, e.g., 428 Chaffin et al., 2015) may be due to additional complexities potentially introduced by so-429 lar wind variability near or above the exobase (e.g., Hughes et al, 2022). Unfortunately, 430 NGIMS provides no density measurements below about 200 km during the late-2020 pe-431 riod as a result of MAVEN's periapsis orbit raise (see discussion in Section 2) and no r > 0.5432 slopes are available for the late-2015/early-2016 period above ~ 250 . Yet, results in Fig-433 ure 4b indicate a decrease in the slopes above about 220 km which is similar to the 2015 434 results above ~ 245 km (not shown). Note that SE estimates in the correlation analy-435 ses are within 20% even in the 220-260 km altitude range, providing confidence in the 436 robustness of the statistical analyses even at the upper heights. Note that the marked 437 altitude gradient in the slopes near 220-240 is statistically significant during both 2015 438 and 2020, and when combining all periods with r > 0.5 (see Table 1). Furthermore, this 439 result is consistent with the results of Hughes et al. (2022). As noted above, more com-440

prehensive terrestrial and Martian observations with improved spatial and temporal coverage, along with targeted modeling efforts, are needed to better characterize the sensitivity of thermospheric density to short-term solar variability (including dependencies
on altitude, LT, season, solar flux level, species, and irradiance band) and their associated differences in heating and cooling processes.

446 5 Summary and Conclusions

This paper investigated the comparative responses of Mars' and Earth's thermo-447 spheric total mass densities to the solar rotation variation in EUV flux by employing con-448 current in situ MAVEN NGIMS and EUVM, Swarm-C POD, and GOES observations 449 from late 2014 during solar maximum through mid-2021 near solar minimum. Correla-450 tion analyses revealed a prominent response of both planetary thermospheres to the ~ 27 -451 day variation in flux and large altitude dependencies in the thermospheric response at 452 Mars. Consistent with our understanding of the increased importance of CO_2 cooling 453 in damping solar EUV energy at Mars, Earth's daytime thermospheric density sensitiv-151 ity to flux is found to be $\sim 10-50\%$ larger than that of Mars for a similar density level. 455 This result is in excellent agreement with earlier studies (e.g., Forbes et al. 2006) and 456 the recent work by Thiemann and Dominique (2021) that showed Mars' exospheric EUV 457 temperature sensitivities to be about 0.47 as sensitive to EUV variability as those at Earth. 458 Similar to previous Martian (e.g., Hughes et al., 2022) and terrestrial (e.g., Thiemann 459 et al., 2017a) studies, Mars' thermospheric density response to EUV forcing is shown to 460 increase with altitude up to ~ 240 km (with m values up to $\simeq 2.8\%/\%$). The slope's growth 461 with altitude is interpreted as evidence that the EUV heating efficiency increases moving from the lower to the middle thermosphere, likely as a result of thermal electron heat-463 ing of neutrals at high altitudes (Richards, 2012). The large slope increase between about 464 220 km and 240 km altitude is generally consistent with the results in Hughes et al. (2022) 465 and closely resembles the local and nonlocal heating efficiency results in Gu et al. (2020). 466 For Earth, the density response to the solar rotation variation in flux is found to vary 467 only by up to $\sim 5\%$ between day and night and for different latitude sectors. 468

Correlative analyses and comparisons of Earth's and Mars' thermospheric density 469 responses to the solar rotation variation in 4 flux bands near 0-7 nm, 17-22 nm, 0-45 nm, 470 and 121.6 nm during 2015-2021 revealed notable effects likely associated with the solar 471 cycle. A detailed study of two periods with prominent solar rotation variability during 472 late-2015/early-2016 and late-2020 shows greatly increased sensitivities under reduced 473 474 solar flux levels for both planetary thermospheres. Furthermore, a closer inspection of the late-2015/early-2016 period reveals an apparent phase shift corresponding to approx-475 imately one terrestrial/Martian day between the neutral density response to solar irra-476 diance of both planetary thermospheres. While this time lag may be an indication of the 477 timescale at play related to heating, the correlation technique adopted was shown to be 478 inadequate to accurately capture the small timescales involved. 479

The responses of both thermospheres to solar irradiance are known to be largely 480 driven by the absorption of solar EUV photons and by the efficiency of energy redistri-481 bution and dissipation. It is suggested that while the processes that shape Mars' ther-482 mospheric density response to solar rotation variability in flux are similar to those at Earth, 483 some differences exist. The results herein contained provide important observational in-484 sights into processes relevant to the interpretation of the sources of short-term density 485 variability in Mars' and Earth's thermospheres associated with solar drivers, and pos-486 sible influences associated with the solar activity level. This study also indicates that 487 further work focused on investigating differences between the terrestrial and Martian re-488 sponses to heating and cooling processes under different solar flux conditions is needed. 489 This effort is likely to require targeting modeling work to complement dedicated data-490 oriented studies. 491

6 Data Availability Statement 492

The NGIMS Level 2 version 8 density data (Benna and Lyness, 2014) are publicly 493 available at https://doi.org/10.17189/1518931. The EUVM Level 3 version 1 daily ir-494 radiance data (Epavier 2022) are publicly available at https://doi.org/10.17189/1517691 495 Swarm-C POD-derived densities (Van Den LJssel et al., 2020) can be website: https: 496 //earth.esa.int/eogateway/missions/swarm/data. GOES irradiances can be accessed 497 at https://www.ngdc.noaa.gov/stp/satellite/goes/. 498

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