CONTRASTING THE ROLE OF HISTORIC FACTORS IN PHYLOGEOGRAPIC PATTERNS IN THE NATIVE JOHNNY DARTER (Etheostoma nigrum) AND INVASIVE ROUND GOBY (Neogobius melanostomus) IN LOWER MICHIGAN

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Abstract

Round goby (*Neogobius melanostomus*) is an invasive fish present in all five Great Lakes and is becoming increasingly common in their tributaries. Johnny darter (*Etheostoma nigrum*) is a native species that often coexists with *N. melanostomus*. In this work, historic factors are addressed as a source of genomic variation in study populations of these species. To do this, patterns of variation in the mitochondrial gene NADH dehydrogenase subunit 2 (ND2) were characterized for both species throughout Lower Michigan. Populations of *N. melanostomus* and *E. nigrum* were sampled from 17 localities representing both eastern and western basins of Lower Michigan to test the hypothesis that populations differ between the eastern and western basins of the Great Lakes. *Neogobius melanostomus* populations were largely homogenous with no significant differences detected among populations or between the eastern and western basins. Additionally, *N. melanostomus* exhibited no evidence of overarching historical genetic structure, consistent with the recent invasion and rapid expansion of this species. *Etheostoma nigrum* exhibited significant differentiation among local populations; however, similarity among mtDNA haplotypes indicated that differences among populations are recent, suggesting that local forces are a more important factor in shaping patterns of variation than historical factors. Contrary to predictions, there were no significant differences detected between the eastern and western basins of the Great Lakes; however, construction of a neighbor joining tree with F_{st} estimates revealed clustering of populations by basin with some anomalies. These anomalies may be the result of recent stream capture events facilitating gene flow between the two basins.

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1 ABSTRACT

2 Round goby (Neogobius melanostomus) is an invasive fish present in all five Great Lakes 3 and is becoming increasingly common in their tributaries. Johnny darter (*Etheostoma nigrum*) is a 4 native species that often coexists with N. melanostomus. In this work, historic factors are addressed 5 as a source of genomic variation in study populations of these species. To do this, patterns of 6 variation in the mitochondrial gene NADH dehydrogenase subunit 2 (ND2) were characterized for 7 both species throughout Lower Michigan. Populations of N. melanostomus and E. nigrum were 8 sampled from 17 localities representing both eastern and western basins of Lower Michigan to test 9 the hypothesis that populations differ between the eastern and western basins of the Great Lakes. 10 *Neogobius melanostomus* populations were largely homogenous with no significant differences 11 detected among populations or between the eastern and western basins. Additionally, N. melanostomus exhibited no evidence of overarching historical genetic structure, consistent with 12 13 the recent invasion and rapid expansion of this species. Etheostoma nigrum exhibited significant 14 differentiation among local populations; however, similarity among mtDNA haplotypes indicated 15 that differences among populations are recent, suggesting that local forces are a more important factor in shaping patterns of variation than historical factors. Contrary to predictions, there were 16 17 no significant differences detected between the eastern and western basins of the Great Lakes; however, construction of a neighbor joining tree with F_{st} estimates revealed clustering of 18 19 populations by basin with some anomalies. These anomalies may be the result of recent stream 20 capture events facilitating gene flow between the two basins.

KEYWORDS biogeography, Great Lakes fishes, freshwater fish, genetic distance, molecular
 dating

23 INTRODUCTION

Evolutionary history, geologic history, and ecology of a species contribute to variable 24 patterns of genetic diversity across the landscape (e.g., Avise 1992, Heithaus and Laushman 1997, 25 26 Leclerc et al. 2008). Quantifying these patterns provides information on the structure and dynamics 27 of populations in the context of landscape features and how historical factors can influence 28 variation in the genome and gene expression. Depending on an organism's dispersal ability, 29 landscape features may function to facilitate gene flow or create barriers that isolate populations. Isolated populations become genetically distinct over time, proceeding on independent 30 31 evolutionary tracks due to mutation, drift, and differential selection. However, if connections exist 32 that facilitate dispersal, populations can experience continuous gene flow, potentially eliminating 33 differences among them (Slatkin 1987). Species vary in their ability to disperse and the extent to which landscape features facilitate or prevent gene flow depends on the ecology of a species (Hitt 34 and Angermeier 2008). Taxa with more limited habitat preferences may be restricted to suitable 35 36 areas (Tibbets and Dowling 1996) while a more plastic species may be able to disperse through 37 variable habitats; therefore, patterns of genetic diversity are likely to reflect these ecological 38 differences (Bohonak 1999).

The recent glacial history of the Great Lakes region has influenced population structure and the distribution of genetic diversity in its native fishes. The Laurentian Great Lakes were formed by glacial action during the Pleistocene, approximately 14,000 years ago, created by retreat of the Laurentide ice sheet (reviewed in Bailey and Smith 1981). The Lake Michigan and Lake Erie/Huron basins were formed due to the retreat of separate glacial lobes, each with their own periglacial lakes (Lakes Chicago and Maumee, respectively) and outflow systems. Thus, the eastern and western basins of the Great Lakes were potentially colonized by fishes from distinct source populations that derived from separate glacial refugia (Bailey and Smith 1981, Lewis et al. 2008). Population structure of fishes in rivers of the two basins often reflects this geologic history as some species from these basins are distinct relative to each other (e.g., Gach 1996, Dowling et al. 1997, Stepien et al. 2009). Ecological factors influence observed patterns as well. Coldwater fishes may maintain populations near the glacial front where more recent connections could result in a more homogenous population colonizing the eastern and western basins of the post-glacial Great Lakes (Bailey and Smith 1981).

Here, population and phylogenetic approaches were used to characterize levels of genetic 53 54 diversity and population structure within two common Great Lakes fishes, round goby (Neogobius 55 melanostomus) and Johnny darter (Etheostoma nigrum), to better understand factors that 56 contribute to patterns of variation in these two species. Next generation sequencing technology has 57 made it possible to study ecological interactions through quantification of patterns of gene expression (Ekblom and Galindo 2011), providing a perspective of how physiological processes 58 59 can change in varying environments of natural systems. Comparing gene expression patterns could 60 be informative in understanding the distribution of N. melanostomus in the Great Lakes region and 61 impacts on interactions with native species like E. nigrum; however, knowledge of evolutionary 62 history is important because differences in this history may have a significant influence on local 63 patterns of gene expression. Given the recent ages of these populations, local evolutionary forces 64 are more likely to play a significant role in gene expression patterns for round goby and Johnny 65 darter than would the influence of genetic differences accumulated in older populations.

As a native species to the Great Lakes, *E. nigrum* populations may exhibit patterns that
reflect their colonization history as glaciers retreated. As such, populations from the western basin
of the Great Lakes may be significantly different from those of the eastern basin, illustrating how

historical processes can influence current patterns of genetic variation. Natural and anthropogenic
barriers are also likely to influence structure in *E. nigrum* populations as. *E. nigrum* prefer shallow,
slow-moving water; therefore, large waterways may function as barriers to gene flow and could
result in differentiation across study rivers (Leidy 1992).

73 Unlike E. nigrum, N. melanostomus is a recent invader of the Great Lakes. It was first 74 discovered in the St. Clair River in 1990 (Jude et al. 1992); therefore, this species is not influenced 75 by local geological history. Population genetic studies using microsatellite and mitochondrial DNA markers determined that the Great Lakes were populated by individuals derived from a single 76 77 source population from a tributary to the Black Sea (Brown and Stepien 2009), and N. 78 melanostomus is now present in all five Great Lakes and in many tributaries (Kornis et al. 2012). 79 Analyses of population structure indicate that genetic diversity of the source population is largely 80 maintained throughout the Great Lakes; some limited structure has been identified and is primarily driven by differences in the populations of Saginaw Bay and Lake Ontario (Brown and Stepien 81 82 2009). In recently colonized Great Lakes tributaries, N. melanostomus populations have generally 83 come to represent their local source populations likely due to consistent propagule pressure 84 through migration and aided by human activity (Bronnehuber et al. 2011, Sard et al. 2019).

As a recent invader, *N. melanostomus* are expected to exhibit limited differences (e.g., numbers of haplotypes and mutations among them) within and among sampled populations. Additionally, it is expected that recently established riverine populations may exhibit only a subset of the variation found in the source lake populations (Brown and Stepien 2008). *Etheostoma nigrum* has resided in this region since glaciation (Bailey and Smith 1981), and given the complex glacial history of the region, there may be differences within and/or among regions (e.g., eastern vs western Great Lakes). As a native species, *E. nigrum* populations are more likely to have 92 diverged since their colonization as compared to *N. melanostomus*, thus more genetic 93 differentiation is expected among populations of *E. nigrum* than *N. melanostomus*. Because of 94 their propensity to inhabit shallow streams, large waterways are also likely to represent a barrier 95 to gene flow for *E. nigrum* and could lead to divergence among populations. These hypotheses 96 were tested by sequencing the mitochondrial DNA gene NADH dehydrogenase subunit 2 (ND2) 97 for populations of *E. nigrum* and *N. melanostomus* throughout lower Michigan.

98 METHODS

99 Sixteen stream localities were selected representing the Lake Michigan, Lake Huron, and 100 Lake Erie watersheds (Figure 1). Fishes were collected by seining, with up to 20 individuals of each species collected at each site. Acronyms used in tables and figures for sampling localities 101 102 are: Au Sable (AS, 44.503294, -83.793609), Clinton (CL, 42.671619, -83.095602), Crockery Creek 103 (CC, 43.053465, -86.062942), Dowagiac (DG, 42.011859, -85.962827), Kalamazoo (KA, 42.638600, 104 -86.163315), Little Manistee (LM, 44.209024, -86.263904), Lower Rouge (LR, 42.285374, -105 83.388700), Muskegon (MU, 43.297940, -86.079321), Oqueoc (OQ, 45.456219, -84.087664), 106 Pentwater (PW, 43.769223, -86.424267), Raisin (RN, 41.922478, -83.695259), Red Cedar (RC, 107 42.698207, -84.404845), Rifle (RF, 44.141451, -84.043657), St. Joseph (SJ, 42.074666, -86.461342), 108 Shiawasee (SH, 42.919861, -83.969519), Stony Creek (SC, 42.023489, -83.419425). In some 109 localities where one or both species was rare, multiple nearby localities and/or sample dates were 110 combined to achieve desired sample size. As both species were not present at every locality, the 111 Dowagiac, Raisin, and Shiawasee include only E. nigrum. Crockery Creek, Pentwater, and St. 112 Joseph include only N. melanostomus. Individuals were fin clipped, and tissue was stored in 95%

113 ethanol. For DNA isolation, tissue samples were dissolved in a solution of proteinase K and

sodium dodecyl sulfate and purified via one of two methods: phenol/chloroform extraction
method or magnetic bead DNA purification (samples collected in 2015-2016 and 2017-2019,
respectively). Phenol/chloroform extraction followed Tibbets and Dowling (1996). Magnetic
bead purifications followed the manufacturer's (Axygen) protocol with the following changes:
25 ul of lysate was added to 25 ul of AxyPrep, 70% ethanol was used for two washes, and DNA
was eluted in 40 ul of water. DNA was assessed for quality and quantity with a Nanodrop
Spectrophotometer (ThermoFisher).

121 The mitochondrial gene NADH dehydrogenase subunit 2 (ND2) was selected because of 122 its relatively high rate of mutation and strict maternal inheritance, allowing for better 123 characterization of more recent events. Sequences were amplified using the primers B2Gila (5' 124 CTCTTAGTGCTTCCTCACA 3') and ASN (5' CGCGTTTAGCTGTTAACTAA 3') for E. 125 nigrum and RGND2F (5' AGCATGCCGGTTAAAATCC 3') and RGND2R (5' GGATCCGAGGCCTTCCTGTCT 3') for N. melanostomus. The following PCR conditions were 126 127 used for both species: 94°C for 15 min, 25 cycles of denaturation at 94°C for 1 min, annealing at 128 58°C for 1 min, and polymerization at 72°C for 2 min with a final annealing step of 72°C for 10 129 min, and holding at 4°C until long term storage at -20°C. Amplification products were checked for 130 quantity and quality using agarose gel electrophoresis prior to being sent to the Wayne State 131 University's Applied Genomics Technology Center or Eton Bioscience for 2015-2018 and 2019 132 samples, respectively. Products were sequenced with the same primers using Applied Biosystems 133 DNA Analyzer 3730 sequencer, yielding total of 1047 bp (the entire ND2 gene) for E. nigrum. 134 Due to low quality reads at the beginning of the gene for some samples, N. melanostomus 135 sequences were trimmed to 1029 bp.

Some individuals included in this study were also included in a separate RNA-seq study (Wicks 2019). For these individuals, ND2 gene sequences were obtained from RNA-seq reads.
From the assembly and aligned read files, the SAMtools package (Li 2011) was used to call SNPs and output as a consensus sequence for each individual. Variants were quality filtered for phred score >30 and individuals with ambiguous variant calls were excluded from the analysis.

141 Sequences were aligned, assembled, and trimmed in Bioedit (Version 7.0.5.3) (Hall et al. 142 2011). MEGA (Version 5.2.2) (Kumar et al. 2016) was used to produce a maximum likelihood 143 tree and to assign haplotypes. Sequences and haplotype counts for each location were used in 144 ARLEQUIN (version 3.5.1.2) (Excoffier and Lischer 2010) to obtain estimates of genetic diversity 145 (e.g., number of haplotypes, gene diversity) within populations. Variation among populations was 146 assessed and tested for significance using a molecular analysis of variance (AMOVA), also in 147 ARLEQUIN. This approach was used to partition levels of genetic variation within and among 148 river drainages and regions (e.g., eastern vs western basins of the Great Lakes). Haplotype 149 networks were created as median-joining networks with PopArt (Version 1.7) (Leigh and Bryant 150 2015). Neighbor joining trees of populations were generated with pairwise F_{ST} values in MEGA. 151 For E. nigrum, additional ND2 sequences were obtained from NCBI GenBank and included in the maximum likelihood tree of haplotypes, also generated with MEGA with 1000 bootstrap 152 153 replicates. These included closely related species E. olmstedi (EF027210), E. podostemone (JQ088571), E. perlongum (JQ088568), E. susanae (JQ088589), E. vitreum (FJ381264), and E. 154 longimanum (JQ088552) and co-occurring darter species E. blennioides (JQ088546), E. exile 155 156 (EF027194), and E. caereleum (JQ088546). Additionally, one E. nigrum ND2 sequence 157

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(JQ088561) from an individual collected from the Embarras River, a tributary of the Wabash River (N. Lang, pers. comm.) was obtained from GenBank and included in the analysis.

159 To provide context for the large divergence among some E. nigrum haplotypes, the 160 program *BEAST (found within BEAST 2 v2.7.3, Bouckaert et al. 2014) was used to estimate 161 divergence dates among E. nigrum haplotypes as well as other Etheostoma species included in the 162 maximum likelihood tree. Etheostoma species are not well represented in the fossil record (Bailey 163 and Smith 1981); therefore, previous estimates of molecular divergence in darters that have used 164 Centrarchid fossil records for calibration for divergence dating were used here (e.g., Near et al. 165 2011, Bossu et al. 2013, Fluker et al. 2014). The substitution rate was estimated by Near et al. (2011) from the mitochondrial cytochrome b (cytb) gene as 8.99×10^{-3} , and this has been applied 166 167 in recent estimates of molecular divergence in *Etheostoma* species (Echelle et al. 2015, McCall and Fluker 2021, MacGuigan et al. 2023). Although this rate may result in an underestimate of 168 divergence time for ND2 as ND2 evolves at a faster rate than cytb (Mueller 2006), it is the best 169 170 available rate for estimating divergence time from mitochondrial genes in Etheostoma species and 171 was therefore used as the substitution rate in this analysis. *BEAST was run using default options 172 except for the use of a species tree relaxed clock, HKY substitution model, and Yule model as a tree prior. Within the BEAST package, TreeAnnotator was used to generate a maximum clade 173 174 credibility tree using median node heights and the tree was visualized with FigTree.

175

176 **RESULTS**

177 Neogobius melanostomus

A total of 247 *N. melanostomus* samples were collected from 12 localities and sequenced for ND2. Five haplotypes were identified (Table 1, Figure 2). Each was separated by one substitution from its closest neighbor except for haplotype C, which differed by two changes. Four variants resulted from changes to third codon positions (Haplotypes A-D) and did not result in amino acid changes. One variant resulted from a mutation in a first codon position and resulted in an amino acid change (Haplotype E).

184 Haplotype A was found in 96% of all individuals sampled, resulting in very low levels of 185 gene and nucleotide diversity within samples (Table 2, Figure 3). AMOVA was used to quantify 186 levels of genetic variance within and among samples (F_{ST}). The distribution of variation between 187 the lower Great Lakes was assessed by further partitioning variance between tributaries flowing 188 into eastern (Lakes Huron, St. Clair, and Erie) and western lakes (Lake Michigan) (FCT) and among 189 samples within these two groups of drainages (Fsc). Statistical assessment failed to identify 190 significant differences among samples ($F_{ST} = 0.033$, P = 0.106), between the two drainages ($F_{CT} =$ 191 0.017, P = 0.055), or among samples within these two drainages ($F_{SC} = 0.016$, P = 0.259). This 192 lack of differentiation was also supported by examination of pairwise estimates of F_{ST} as only three of the comparisons were significant (Table 3). Similarity among samples was examined by 193 194 clustering samples by F_{ST} using the neighbor-joining method (Figure 4). There was no overarching 195 genotypic structure of N. melanostomus populations as samples from different lake basins were 196 intermingled.

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A total of 207 *E. nigrum* individuals were collected from 13 localities and sequenced for ND2. Twenty-eight haplotypes were identified (Table 2, Figure 5). There were 53 polymorphic sites with 13 of those variants in the first codon position and 40 in the third codon position. Of these, 10 changes resulted in amino acid changes. Most of variants resulted from single base pair changes from the most common haplotype, A. Two haplotypes, AA and AB, differed from haplotype A by 28 and 27 base pair changes, respectively.

205 A maximum likelihood tree was generated to examine haplotypic variation in E. nigrum 206 from the lower peninsula of Michigan in phylogenetic context relative to other samples obtained 207 from GenBank (Figure 6). Most haplotypes sampled cluster closely to the most common 208 haplotype, A, and formed a well-supported monophyletic lineage (98% bootstrap value) with limited phylogenetic structure among haplotypes within this group. Haplotypes D, U, V, W, and 209 210 X form a lineage in the minimum spanning network (Figure 5) and form a monophyletic group in 211 the maximum likelihood tree (Figure 6). This group exhibited a moderately high bootstrap value 212 in the ML analysis (74%) and is found in samples from three separate drainages from both eastern 213 and western Great Lakes (Stony Creek [Erie], Ocqueoc [Huron] and Kalamazoo [Michigan] rivers, 214 Table 2). Another distinct haplotype lineage Y-AA occurred only in the Oqueoc and Little 215 Manistee rivers (Lakes Huron and Michigan drainages, respectively), and this lineage clusters 216 closely to the unresolved large group of E. nigrum haplotypes. Etheostoma podostemone was the 217 sister taxon to most haplotypes from the Great Lakes sampled here (98% bootstrap value). The 218 two divergent haplotypes AB and AC from Stony Creek (Lake Erie drainage) share their most 219 recent ancestry with E. nigrum from the Embarras River (Wabash River drainage) in central 220 Illinois (95% bootstrap value).

Levels of variation within and among samples were used to assess geographic population structure in *E. nigrum* (Figure 7). Haplotype A was the most common, found in more than 40% of all individuals sampled and at every locality except the Kalamazoo River. All other haplotypes were more localized, occurring at a maximum of two localities. Private alleles were also common, with 22 alleles occurring at only single localities; however, every locality harbored more than one haplotype.

Levels of sequence diversity were highly variable among samples (Table 4), with gene diversity and number of pairwise differences ranging from 0.13 – 0.76 and 0.13-9.66 respectively. Exceptional levels of gene and nucleotide diversity were noted in Stony Creek and Little Manistee samples due to the presence of divergent haplotypes discussed above. Stony Creek samples exhibited five haplotypes with a mean number of pairwise differences of 9.67 relative to the other Great Lakes samples, and the Little Manistee River contained five haplotypes with a mean number of pairwise differences of 5.05.

234 AMOVA was used to partition genetic variance into within and among sample components, identifying significant differences among samples (F_{ST} = 0.457, P < 0.0001, 54.3% of 235 236 the variation). Further subdivision to assess levels of variation within and among two sets of 237 drainages (Lake Michigan vs Lakes Huron, St Clair, and Erie) indicated that variation was largely attributable to differences among samples within those two drainage groups ($F_{SC} = 0.435$, P < 238 0.0001, 41.9% of the variation), not differences among them (F_{CT} = 0.038, P = 0.19, 3.8% of the 239 240 variation). The lack of differentiation among the drainage groups appears to be driven by a shared 241 haplotype (U) between Stony Creek and the Kalamazoo River. If Stony Creek is removed from the analysis, differences among drainage groups becomes significant ($F_{SC} = 0.565$, P < 0.0001, 242 243 F_{CT} = 0.100, P <0.023). However, differences among samples within the groups and within populations explain a much larger proportion of the variation (51.0% and 39.2% respectively) than
differences among groups (9.9%)

246 Pairwise estimates of F_{ST} (Table 6) were used to construct a neighbor-joining tree for *E*. 247 *nigrum* population samples, revealing similarities among the locations within the major basins 248 (Figure 8). Samples from Lake Huron River drainages were like each other while those of the other 249 basins exhibited more divergence among populations. Samples from the Muskegon River 250 population, a Lake Michigan drainage, and the Raisin River, a Lake Erie drainage, clustered with 251 samples from the Lake Huron basin instead of more geographically proximate samples because of 252 the high frequency of haplotype A (Table 4, Figure 7). Drainages of Lake St. Clair and Lake Erie 253 were generally intermediate to those from Lakes Huron and Michigan; however, samples from 254 these basins and Lake Michigan have long terminal branches reflecting the high frequency of 255 private alleles at these locations.

Estimates of molecular divergence were calculated using *BEAST to better understand the 256 257 context of highly divergent E. nigrum haplotypes. The resulting tree generated with TreeAnnotator 258 and visualized with FigTree is shown in Figure 9. Etheostoma nigrum haplotypes from these 259 populations show two major mtDNA lineages (haplotypes A - AA and AB - AC) which diverged 260 approximately 1.8 mya (95% HPD 1.2-2.6 Ma). There are two groups in the main lineage (A - X), Y - AA) which diverged approximately 500,000 years ago (95% HPD 0.25-0.83 Ma). Within this 261 262 main lineage, divergence between haplotypes range from 70,000 to almost 200,000 years ago. The 263 sister group contains haplotypes Y and AA which are about 90,000 years diverged. The divergent 264 lineage that contains haplotypes AB and AC shares its most recent common ancestry with the E. 265 nigrum individual from the Embarras river, with estimated divergence time of approximately 266 600,000 years ago.

267 **DISCUSSION**

268 Patterns of genetic variation in N. melanostomus and E. nigrum were explored using a 269 variable mitochondrial DNA gene to assess the levels of divergence within and among populations. 270 It was predicted that, as a recently introduced species, N. melanostomus would show limited 271 divergence among populations and low genetic diversity. As a native species with more limited 272 dispersal, greater diversity within and more divergence among E. nigrum populations was 273 predicted. Results were consistent with these expectations. It was also predicted that E. nigrum 274 populations of east and west basins of the Great Lakes would be significantly different due to 275 distinct colonization sources, but those from eastern and western lake basins were not significantly 276 different. Despite this result, the distribution of genetic diversity provided insight into the geologic 277 and ecological factors that may have shaped the population structure in *E. nigrum*.

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279 *Neogobius melanostomus*

280 ND2 sequences from *N. melanostomus* showed substantially less diversity than *E. nigrum*. 281 Invasion of the Great Lakes by *N. melanostomus* is recent, arriving in ballast water from the Black 282 Sea circa 1990. Previous work has found that, as it expanded its range within the Great Lakes, N. 283 melanostomus has retained levels of genetic variation on par with source populations (Brown and 284 Stepien 2009). Population genetic studies of *N. melanostomus* in more recently established stream 285 populations show that N. melanostomus is expanding its range without founder effects 286 (Bronnenhuber et al. 2011). Bronnenhuber et al. (2011) also found N. melanostomus populations 287 lacked genetic structure. Consistent with this result, there were few significant differences among 288 populations of N. melanostomus and no significant geographic structure. Brown and Stepien 289 (2008) found limited structure among N. melanostomus populations in the Great Lakes, with this

290 result primarily driven by differences between populations (Lake Ontario and Saginaw Bay) which 291 were not included in this study. Additional statistically significant structure in these previous 292 studies may also have been driven by low-frequency private alleles in lake populations which may 293 have been missed here due to the small number of samples and their sizes, or that these rare alleles 294 may not yet be present in these more recently established stream populations. The small number 295 of ND2 haplotypes and dominance of a single haplotype identified in N. melanostomus is 296 consistent with other previous work examining levels of mtDNA variation in N. melanostomus 297 (Stepien and Tumeo 2006, Brown and Stepien 2008).

298 *Neogobius melanostomus* has dispersed rapidly throughout the Great Lakes (Kornis et al. 299 2012), resulting in high levels of gene flow, and the homogeneity and low diversity of samples 300 around the Great Lakes is consistent with expectations. Established populations of N. 301 *melanostomus* are less prone to dispersal and favor residents (Thorlacius et al. 2015); however, 302 even very low levels of migration can provide sufficient gene flow to prevent population 303 divergence at presumably neutral loci like these (Slatkin 1985, Newman and Tallmon 2001). As a 304 high dispersing species not restricted by habitat, migration will likely be a persistent, 305 homogenizing force in N. melanostomus. This situation has been aided by human activity as 306 secondary spread of N. melanostomus to Michigan's inland waterways has occurred due to the 307 accidental movement of round gobies in live bait used by anglers (Sard et al. 2019). Populations 308 found where barriers such as dams prevent natural migration will likely be distinct from connected 309 populations due to founder effects and genetic drift. However, where migration and human activity 310 are a constant source of gene flow, the lack of structure observed here will likely persist.

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314 As a native species, the distribution of *E. nigrum* has been shaped, in part, by the action of 315 glaciers. Ancestors of this species likely colonized the early Great Lakes region more than 10,000 316 years ago as glaciers receded, and the modern lake basins were formed (Bailey and Smith 1981). 317 In addition, E. nigrum tends to be habitat restricted, occupying shallow, slow-moving stretches of 318 streams and rivers. Such preferences have been shown to make fishes less likely to move among 319 rivers through downstream dispersal and more likely to diverge (Tibbets and Dowling 1996). 320 Etheostoma nigrum also have relatively low fecundity with males guarding nests, characteristics 321 which may limit gene flow (Turner and Trexler 1998, Stepien et al. 2007). This is reflected in 322 results of the AMOVA, where significant differences were mainly driven by variation among 323 individual river drainages within lakes basins, but not between east and west basins as expected, 324 even if the population with the divergent haplotypes (Stony Creek) as removed from the analysis. 325 Westbrook (2012) identified a similar pattern in Wisconsin drainages of the Great Lakes, in which 326 geographic isolation resulted in limited gene flow and significant divergence among E. nigrum 327 populations in different drainages.

328 For species limited in their ability to disperse by suitable habitat, isolation of individual 329 populations can lead to divergence. Dowling et al. (2015) highlighted the importance of local 330 forces in shaping population structure among populations of three species of roundtail chub (Gila 331 intermedia, G. nigra, and G. robusta), noting high levels of population level divergence that could 332 obscure broader historical factors. It is possible that the east and west basins of the Great Lakes 333 were colonized by distinct populations of E. nigrum, but over time evolutionary processes may 334 have driven divergence among local populations such that differences among broader hierarchical 335 categories cannot be detected (Hedrick 1999). Similar patterns have been observed for other species including smallmouth bass (*Micropterus dolomieu*, Stepien et al. 2017), white sucker
(*Catostomus commersoni*, Lafontaine and Dodson 1997), and mottled sculpin (*Cottus bairdii*,
Homola et al. 2016).

339 Despite isolation due to limited dispersal, more recent shifts in hydrology and geologic 340 features in the region may provide paths of dispersal that facilitate gene flow. These shifts may 341 explain some of the patterns observed in *E. nigrum*. Ray et al. (2006) examined mtDNA variation 342 among rainbow darter (*Etheostoma caeruleum*) populations (an ecologically similar species to E. 343 *nigrum*) and found that sampled Wabash River populations grouped with samples from both Lakes 344 Michigan and Erie. Stream capture of parts of the Wabash River (a tributary of the Ohio River) by 345 the Maumee River (Figure 1) may have facilitated gene flow between the two basins, as evidenced 346 by similarity of haplotypes with Stony Creek (Lake Erie drainage) and the Wabash River drainage 347 (Figure 6). *Etheostoma nigrum* populations appear to have followed a similar colonization pattern in which colonization of Lake Erie occurred via the captured portion of the Wabash River and 348 349 propagated throughout the Lake Erie watershed, reducing structure based on east/west basins. The 350 molecular dating analysis placed the divergence of this Wabash River-derived lineage during the 351 Pleistocene, approximately 1.8 mya.

Construction of a neighbor-joining tree using *E. nigrum* population samples also revealed similarities among samples within major basins, especially Lake Huron; however, one Lake Michigan drainage (Muskegon River) grouped with Lake Huron drainages (Figure 8). This grouping appears to be the result of the higher frequency of the "A" haplotype in the Muskegon River, which is rare in the other drainages of the western basin and common in the eastern basin drainages. Given the close proximity of the headwaters of the Muskegon River to the headwaters of several Lake Huron drainages (Figure 1) and the low, marshy topography of the region, it is possible that connections historically existed and allowed gene flow between basins in this region.During high water events, connections may temporarily exist in the present day.

361 Despite their recent origin, there is a high level of ND2 diversity within and among these *E. nigrum* populations (F_{ST} = 0.406, P < 0.0001 and mean pairwise differences ranging from 0.125-362 363 9.66). Based on *BEAST analysis, emergence of the most recent haplotypes predates formation of 364 the modern Great Lakes (70,000 to 200,000 years ago), indicating that some haplotypes may have been found in different refugia. Additionally, two highly divergent haplotypes grouped closely 365 366 with geographically distant populations. This would suggest that much of this diversity was 367 introduced from populations maintained along the glacial front, rather than evolving in situ. Given 368 that only one E. nigrum ND2 haplotype was available in Genbank and already included in the 369 analysis, further exploration of source populations using ND2 was not available at this time. 370 However, many cytb sequences are available and a sample of these from around the Midwest 371 (MacGuigan et al. 2023), along with a subset of cytb sequences from the Rouge and Clinton River 372 individuals were used in a maximum likelihood tree which yields a large clade with minimal 373 structure. Individuals from these Rouge and Clinton haplotypes group with geographically diverse 374 locations including the Ohio River, Wabash River, Kentucky River, and Wisconsin River, 375 including a shared haplotype between the Rouge, Ohio, Wabash, and Embarrass Rivers (data not 376 shown). The minimal structure in cytb haplotypes supports the conclusion from the ND2 analysis 377 that diversity was contributed by multiple source populations along the glacial front.

Additional unexpected patterns were noted in the maximum-likelihood tree of *E. nigrum* haplotypes and related taxa (Figure 6). The clustering of *E. podostemone* within *E. nigrum* haplotypes is inconsistent with current darter phylogenies. Darters are a highly speciose group and their taxonomy is not fully resolved (Near et al. 2011). MacGuigan and Near (2018) characterized

382 the group which includes *E. nigrum* using Next Generation sequencing data, yielding two major 383 lineages, one containing E. nigrum, E. olmstedi, and E. perlongum, and the other E. podostemone 384 and E. longimanum (Figure 6B). Results from this analysis (Figure 6A) are not generally consistent 385 with this result as the two lineages identified here include 1) E. nigrum, E. perlongum, and E. 386 podostemone and 2) E. olmstedi and E. longimanum. Differences between these studies may 387 reflect differences mitochondrial inheritance patterns and/or introgression among species 388 compared to nuclear genes. Understanding reasons behind the discordance between mtDNA and 389 nuclear genes requires further study.

390 The position of *E. podostemone* is especially interesting as it is found clustered within a 391 group of *E. nigrum* haplotypes. *Etheostoma podostemone* is localized to a small and isolated region 392 in Virginia and North Carolina, distant from the localities sampled here. Heckmann et al. (2009), 393 using nuclear genes and the mitochondrial cytb gene, found a similar pattern of nesting of E. 394 podostemone among E. nigrum haplotypes from the Mississippi River, Mobile River, and the Great 395 Lakes. MacGuigan et al. (2023) also identified the nesting of E. podostemone within E. nigrum 396 with mtDNA, while the phylogeny generated from restriction site associated DNA sequencing 397 (RADseq) grouped E. podostemone most closely with E. longimanum, forming a sister clade to E. 398 *nigrum.* This pattern may reflect ancient mitochondrial introgression, a process that has often been 399 identified as an important mechanism in the evolution of *Etheostoma* species (Ray et al. 2008, 400 Bossu and Near 2009, MacGuigan and Near 2018).

401

402 Conclusions

It was predicted that, as a recently introduced species, *N. melanostomus* populations would
show low diversity and limited geographic structure. Results were consistent with this hypothesis.

405 Neogobius melanostomus populations were dominated by a single mtDNA haplotype and few 406 statistically significant differences among locations as detected using F-statistic analysis. This 407 result reflects the history of round goby in the Great Lakes, having been recently founded from a 408 single source population, followed by rapid dispersal through the region.

409 This is the first study to examine population genetics of E. nigrum in the Great Lakes 410 region, with population genetic structure of *E. nigrum* in Lower Michigan offering insight into 411 the historic processes that shaped the geographic distribution of the species. Native *E. nigrum* is 412 more likely to exhibit geographic differences among and higher levels of genetic diversity within 413 populations than N. melanostomus, reflecting its past geological and evolutionary history. This 414 study identified differences among populations within basins as a significant source of variation 415 with limited divergence among regions. Contrary to predictions based on findings of previous 416 studies (Gach 1996, Dowling et al. 1997, Stepien et al. 2009), there were not significant 417 differences between the eastern and western basins of the Great Lakes; however, multiple 418 mtDNA lineages found within E. nigrum populations suggests that multiple source populations 419 colonized the region as the glaciers receded, and historic routes of gene exchange among basins 420 may have reduced the level of genetic differences between them. Given this pattern was 421 consistent with that of an ecologically similar species (E. caeruleum) it may follow for other 422 similar species as well. Future research could expand to a broader geographical range with 423 markers, allowing for greater historical perspective. This also informs future work comparing 424 gene expression differences in E. nigrum and N. melanostomus populations, allowing 425 evolutionary history to be considered as a source of variation in patterns of gene expression 426 within and between these species.

427

428 AUTHOR CONTRIBUTIONS

429 AJW and TED conceptualized and designed the work; AJW, MB, and TED contributed to

430 sample collection; AJW and MB contributed to lab work and data processing; AJW completed

431 data analysis; AJW, MB, and TED contributed to drafting, editing, and approving the

432 manuscript.

433

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443

444 CONFLICT OF INTEREST

445 The authors declare no competing interest.

446

447 DATA ACCESSABILITY STATEMENT

All ND2 haplotype sequences for *E. nigrum* and *N. melanostomus* have been submitted to
GenBank under accession numbers OR777617-OR777644 and OR795530-OR795534,
respectively.

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TABLES AND FIGURES

Table 1. Sample size (N) and haplotype counts (identified as letters) for samples of *N*. *melanostomus*. Complete locality data is provided in the Methods section.

					Haplot	уре	
Major Drainage	Locality	Ν	Α	В	С	D	Е
Lako Erio	Stony Creek	30	30				
	Lower Rouge	30	30				
Lake St. Clair	Clinton	19	19				
	Rifle	4	4				
Lake Huron	Au Sable	20	19	1			
	Oqueoc	40	39		1		
	Manistee Lake	40 39 1 e 5 5					
	Pentwater Lake	25	24		1		
Laka Miabigan	Muskegon	20	17				3
Lake Michigan	Crockery Creek	20	19		1		
	Kalamazoo	14	14				
	St Joseph	20	17		2	1	
Total		247	237	1	5	1	3

																Haple	otype													
Major Basin	Locality	Ν	Α	в	С	D	Е	F	G	н	I.	J	κ	L	Μ	Ν	ο	Ρ	Q	R	S	Т	U	v	w	Х	Υ	AA	AB	AC
	Stony Creek	17	6									2											6						1	2
Lake Erie	Raisin	13	10						2			1																		
	Lower Rouge	21	5																			16								
Lake St. Clair	Clinton	25	1											7						17										
Laka Uuran	Shiawasee	14	10				2	2																						
	Rifle	23	17					2		2					2															
Lake Hulon	Au Sable	13	11	1	1																									
	Oqueoc	11	10																									1		
	Little Manistee	11	2										2													2	2	3		
	Muskegon	12	6								1					1	2	1	1											
Lake Michigan	Red Cedar	15	1																14											
	Kalamazoo	16				4																	8	2	2					
	Dowagiac	16	1																		15									
Total		207	80	1	1	4	2	4	2	2	1	3	2	7	2	1	2	1	15	17	15	16	14	2	2	2	2	4	1	2

Table 2. Sample size (N) and haplotype counts (identified as letters) for samples of *E. nigrum*. Complete locality data is provided in the methods section.

Table 3. Estimates of molecular diversity in samples of *N. melanostomus* for each of the drainages sampled. N and N_h refer to the number of individuals and number of haplotypes per sample, respectively. Standard deviations are provided for each estimate.

				Mean No. of Pairwise	
Drainage	Ν	N _h	Gene Diversity	Differences	Nucleotide Diversity
Stony Creek	30	1	0.0000 +/- 0.0000	0.000000 +/- 0.000000	0.000000 +/- 0.000000
Lower Rouge	30	1	0.0000 +/- 0.0000	0.000000 +/- 0.000000	0.000000 +/- 0.000000
Clinton	19	1	0.0000 +/- 0.0000	0.000000 +/- 0.000000	0.000000 +/- 0.000000
Rifle	4	1	0.0000 +/- 0.0000	0.000000 +/- 0.000000	0.000000 +/- 0.000000
Au Sable	20	2	0.1000 +/- 0.0880	0.100000 +/- 0.177536	0.000097 +/- 0.000193
Oqueoc	40	2	0.0500 +/- 0.0469	0.150000 +/- 0.216477	0.000146 +/- 0.000234
Manistee Lake	5	1	0.0000 +/- 0.0000	0.000000 +/- 0.000000	0.000000 +/- 0.000000
Pentwater Lake	25	2	0.0800 +/- 0.0722	0.240000 +/- 0.284615	0.000233 +/- 0.000308
Muskegon	20	2	0.2684 +/- 0.1133	0.268421 +/- 0.305890	0.000261 +/- 0.000332
Crockery Creek	20	2	0.1000 +/- 0.0880	0.300000 +/- 0.326275	0.000292 +/- 0.000354
Kalmazoo	14	1	0.0000 +/- 0.0000	0.000000 +/- 0.000000	0.000000 +/- 0.000000
St. Joseph	20	3	0.2789 +/- 0.1235	0.647368 +/- 0.523752	0.000629 +/- 0.000568

Table 4. Pairwise estimates of F_{ST} for populations of *N. melanostomus*. Significant values are underlined. See methods section for locality abbreviations.

	SC	CC	KZ	LM	MU	PW	AS	CL	LR	OQ	RF
CC	-0.0187										
KΖ	0.04749	-0.019									
LM	-0.0477	-0.1047	0								
MU	<u>0.08421</u>	0.05263	0.07447	-0.0241							
PW	0.00095	-0.0459	-0.0255	-0.107	0.05935						
AS	0.06579	0	-0.019	-0.1047	0.07895	-0.004					
CL	0.07109	-0.0026	0	0	0.10065	-0.0115	-0.0026				
LR	0.11047	0.02104	0	0	0.14537	0.00744	0.02104	0			
OQ	-0.0462	-0.0289	-0.0326	-0.1095	<u>0.08392</u>	-0.0297	-0.0048	-0.021	-0.0074		
RF	0.07989	-0.1377	0	0	-0.0559	-0.1377	-0.1377	0	0	-0.1416	
SC	0.11047	0.02104	0	0	<u>0.14537</u>	0.00744	0.02104	0	0	-0.0074	0

Table 6. Estimates of molecular diversity for each sample of *E. nigrum*. N and N_h refer to the number of individuals and number of haplotypes per sample, respectively. Standard deviations are provided for each estimate.

				Mean No. of Pairwise	
Drainage	Ν	Nh	Gene Diversity	Differences	Nucleotide Diversity
Stoney Creek	17	5	0.7647 +/- 0.0657	9.661765 +/- 4.659285	0.009219 +/- 0.004976
Raisin	13	3	0.4103 +/- 0.1539	0.435897 +/- 0.416881	0.000416 +/- 0.000447
Lower Rouge	21	2	0.3810 +/- 0.1005	0.380952 +/- 0.375176	0.000364 +/- 0.000400
Clinton	25	3	0.4767 +/- 0.0855	0.873333 +/- 0.634421	0.000833 +/- 0.000675
Shiawasee	14	3	0.4835 +/- 0.1425	0.527473 +/- 0.467361	0.000503 +/- 0.000501
Rifle	23	4	0.4506 +/- 0.1208	0.498024 +/- 0.440699	0.000475 +/- 0.000469
Au Sable	13	3	0.2949 +/- 0.1558	0.461538 +/- 0.431834	0.000440 +/- 0.000463
Oqueoc	11	2	0.1818 +/- 0.1436	1.272727 +/- 0.864354	0.001214 +/- 0.000930
Little Manistee	11	5	0.8727 +/- 0.0593	5.054545 +/- 2.657675	0.004823 +/- 0.002861
Muskegon	12	<u>6</u>	0.7576 +/- 0.1221	1.151515 +/- 0.798643	0.001099 +/- 0.000858
Red Cedar	15	2	0.1333 +/- 0.1123	0.133333 +/- 0.209858	0.000127 +/- 0.000225
Kalamazoo	16	4	0.7000 +/- 0.0896	1.433333 +/- 0.921163	0.001368 +/- 0.000985
Dowagiac	16	2	0.1250 +/- 0.1064	0.125000 +/- 0.202014	0.000119 +/- 0.000216

Table 7. Pairwise estimates of F_{ST} for *E. nigrum* populations. Significant values are underlined. See methods section for locality abbreviations.

	RN	LR	CL	SH	RF	AS	OQ	SC	LM	MU	RC	ΚZ
LR	<u>0.59300</u>											
CL	<u>0.42047</u>	<u>0.62722</u>										
SH	0.06667	<u>0.57570</u>	<u>0.41789</u>									
RF	0.04725	<u>0.56864</u>	<u>0.43515</u>	0.01816								
AS	0.02778	<u>0.58206</u>	<u>0.41189</u>	0.04210	0.02262							
OQ	0.02268	<u>0.46580</u>	<u>0.35012</u>	0.03354	0.03996	0.00709						
SC	<u>0.15878</u>	<u>0.28477</u>	<u>0.28823</u>	<u>0.16683</u>	<u>0.22339</u>	0.15921	0.10720					
LM	<u>0.41412</u>	<u>0.55534</u>	<u>0.53071</u>	<u>0.42083</u>	<u>0.49298</u>	<u>0.41102</u>	<u>0.25814</u>	<u>0.11338</u>				
MU	<u>0.12001</u>	<u>0.50833</u>	<u>0.39239</u>	<u>0.12551</u>	<u>0.13964</u>	<u>0.10536</u>	0.07027	0.15679	<u>0.38089</u>			
RC	<u>0.76347</u>	<u>0.83729</u>	<u>0.69670</u>	<u>0.73357</u>	<u>0.71050</u>	<u>0.75303</u>	<u>0.59165</u>	<u>0.27691</u>	<u>0.55047</u>	<u>0.58243</u>		
KΖ	<u>0.76165</u>	<u>0.81794</u>	<u>0.77040</u>	<u>0.75823</u>	<u>0.78376</u>	<u>0.75884</u>	<u>0.67100</u>	<u>0.19774</u>	<u>0.41001</u>	<u>0.71184</u>	<u>0.83311</u>	
DG	<u>0.77181</u>	<u>0.84190</u>	<u>0.70357</u>	<u>0.74241</u>	<u>0.71805</u>	<u>0.76167</u>	<u>0.60429</u>	<u>0.28644</u>	<u>0.56226</u>	<u>0.63595</u>	<u>0.93103</u>	<u>0.8381</u>



Figure 1. Map of collection localities for *N. melanostomus* and *E. nigrum*. Acronyms for sampling localities are: Au Sable (AS), Clinton (CL), Crockery Creek (CC), Dowagiac (DG), Kalamazoo (KA), Little Manistee (LM), Lower Rouge (LR), Muskegon (MU), Oqueoc (OQ), Pentwater (PW), Raisin (RN), Red Cedar (RC), Rifle (RF), St. Joseph (SJ), Shiawasee (SH), Stony Creek (SC). Precise location information is provided in the Methods section. A sequence of *E. nigrum* from the Embarras River (EM) in Illinois was obtained from GenBank. The dotted circle is the location of the headwaters of the Muskegon River and the Au Sable River. The solid circle shows the region where the Maumee River captured a portion of the Wabash River. Base map is reprinted from the Fish Division drainage map, University of Michigan Museum of Zoology, under a CC BY license, with permission from University of Michigan Museum of Zoology, original copyright 1972.



Figure 2. Median-joining network of *N. melanostomus* haplotypes. Pies show proportional representation of haplotypes by locality (indicated by different colors), with size of the circle reflecting the number of individuals. Mutations between haplotypes are indicated by vertical lines.



Figure 3. Distribution of haplotypes among sampling localities for *N. melanostomus*. The size of the circle reflects sample sizes, and the haplotype frequency is reflected by size of different colored slices. Haplotype colors are identified in the legend at the upper left.



Figure 4. Neighbor-joining tree based of pairwise F_{ST} estimates for *N. melanostomus*. Color indicates major drainage: Black, Lake St. Clair; Green - Lake Michigan; Red - Lake Erie; Blue, Lake Huron.



Figure 5. Median-joining network of *E. nigrum* haplotypes. Pies show proportional representation of haplotypes by locality, and size of the circle reflects number of individuals with that haplotype. Mutations between haplotypes are indicated by vertical lines, and the frequency of each haplotype is reflected by size of different colored slices, which are identified in the legend at the right.



Figure 6. A) Maximum likelihood tree of *E. nigrum* haplotypes with bootstrap values indicated. The tree includes closely related species *E. olmstedi*, *E. podostemone*, and *E. perlongum*, *E. susanae*, *E. vitreum*, *E. longimanum* and more distantly related but co-occurring darter species *E. blennioides*, *E. exile*, and *E. caereleum*. The additional *E. nigrum* individual obtained from GenBank was sampled from the Wabash River drainage. B) Phylogeny based on single nucleotide polymorphisms modified from MacGuigan and Near (2018).



Figure 7. Distribution of haplotypes among sampling localities for *E. nigrum*. The size of the circle reflects sample size. The frequency of each haplotype is reflected by size of different colored slices, which are identified in the legend at the left. For ease of presentation, alleles that are both rare and private were collapsed into one category. Precise allele counts are available in Table 2.



Figure 8. Neighbor-joining tree based on pairwise F_{ST} estimates for *E. nigrum*. Label color indicates major basin: Black - Lake St. Clair, Green - Lake Michigan, Red - Lake Erie, Blue - Lake Huron.



Figure 9. Time tree generated by molecular divergence analysis with *BEAST for *E. nigrum* haplotypes including related *Etheostoma* species. Blue bars represent the 95% HPD Scale is shown in millions of years before present.