# Modeling large-scale bioreactors with diffusion equations. Part II: Characterizing substrate, oxygen, temperature, pH, and CO $_2$ profiles

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### Abstract

Large-scale fermentation processes involve complex dynamic interactions between mixing, reaction, mass transfer, and the suspended biomass. Empirical correlations or case-specific computational simulations are usually used to predict and estimate the performance of large-scale bioreactors based on data acquired at bench scale. In this two-part-study, one-dimensional axial diffusion equations were studied as a general and predictive model of large-scale bioreactors. This second part focused on typical fed-batch operations where substrate gradients are known to occur, and characterized the profiles of substrate, pH, oxygen, carbon dioxide, and temperature. The physically grounded steady-state axial diffusion equations with first- and zeroth-order kinetics yielded analytical solutions to the relevant variables. The results were compared with large-scale *Escherichia coli* and *Saccharomyces cerevisiae* experiments and simulations from the literature, and good agreement was found in substrate profiles. The analytical profiles obtained for dissolved oxygen, temperature, pH, and CO 2 were also consistent with the available data. Distribution functions for the substrate were defined, and efficiency factors for biomass growth and oxygen uptake rate were derived. In conclusion, this study demonstrated that axial diffusion equations can be used to model the effects of mixing and reaction on the relevant variables of typical large-scale fed-batch fermentations.

# Modeling large-scale bioreactors with diffusion equations. Part II: 1 Characterizing substrate, oxygen, temperature, pH, and CO<sub>2</sub> 2 profiles 3 Pauli Losoi \* Jukka Konttinen Ville Santala 4 Faculty of Engineering and Natural Sciences, Tampere University, Hervanta campus, Korkeakoulunkatu 8, 5 Tampere, 33720, Finland 6 \* Corresponding author, pauli.losoi@tuni.fi 7

# **a** Abstract

Large-scale fermentation processes involve complex dynamic interactions between mixing, reaction, mass transfer, 9 and the suspended biomass. Empirical correlations or case-specific computational simulations are usually used 10 to predict and estimate the performance of large-scale bioreactors based on data acquired at bench scale. In 11 this two-part-study, one-dimensional axial diffusion equations were studied as a general and predictive model of 12 large-scale bioreactors. This second part focused on typical fed-batch operations where substrate gradients are 13 known to occur, and characterized the profiles of substrate, pH, oxygen, carbon dioxide, and temperature. The 14 physically grounded steady-state axial diffusion equations with first- and zeroth-order kinetics yielded analytical 15 solutions to the relevant variables. The results were compared with large-scale Escherichia coli and Saccharomyces 16 cerevisiae experiments and simulations from the literature, and good agreement was found in substrate profiles. The 17 analytical profiles obtained for dissolved oxygen, temperature, pH, and CO<sub>2</sub> were also consistent with the available 18 data. Distribution functions for the substrate were defined, and efficiency factors for biomass growth and oxygen 19 uptake rate were derived. In conclusion, this study demonstrated that axial diffusion equations can be used to model 20 the effects of mixing and reaction on the relevant variables of typical large-scale fed-batch fermentations. 21

# 22 Keywords

<sup>23</sup> bioreactor, scale-up, modeling, reaction, reactor control, diffusion equation

# <sup>24</sup> 1 Introduction

Substrate-limited large-scale fed-batch bioprocesses are attributed with competition between reaction, mixing, 25 and transfer phenomena, which eventually leads to heterogeneous and suboptimal conditions for the production 26 micro-organism (Enfors et al., 2001). Even though most modeling works have focused on substrate, micro-organisms 27 are known to be affected by dissolved oxygen, pH, temperature, and CO2 as well (Baez et al., 2009; Caspeta et al., 28 2009; Risager Wright et al., 2016; Schweder et al., 1999). Thus far the modeling of large-scale bioreactors has 29 been performed with compartment model or computational fluid dynamics (CFD) simulations and scale-down 30 experiments (Haringa et al., 2018; Nadal-Rey et al., 2021; Neubauer & Junne, 2010). Recently, a simple "interaction 31 by exchange with the mean" mixing model utilizing the substrate distribution instead of its axial profile was 32 presented (Maluta et al., 2020), showing that the level of spatial detail in hydrodynamically sophisticated simulations 33 is not strictly necessary to correctly predict biomass yields. 34

At simplest, the compartment models are one-dimensional or 1D (Bisgaard et al., 2022), and they are essentially 35 discretizations of a diffusion equation. The diffusion equation reproduces tracer curves measured in typical high 36 aspect ratio bioreactors, as it captures the limiting mechanism of mixing, the turbulent axial dispersion (Kawase 37 & Moo-Young, 1989; Machon & Jahoda, 2000; Pinelli & Magelli, 2000). Coupled with suitable approximations 38 to biologically relevant kinetics, the mathematics of diffusion could permit analytical solutions to profiles and 39 distribution functions of the relevant variables in large-scale fed-batch processes. Such results could even be used to 40 derive efficiency factors, which relate with a single number the performance at the large scale to a homogeneous 41 situation (Delvigne et al., 2005). 42

The aim of this two-part study was to develop a general model of mixing and reaction in typical large-scale 43 stirred fed-batch bioreactors using 1D diffusion equations. The first part derived a predictive formula for the axial 44 diffusivity. This second part focused on predicting and characterizing the profiles of substrate, pH, dissolved 45 oxygen, temperature, and gaseous CO<sub>2</sub> using analytically soluble 1D steady-state diffusion equations with zeroth-46 and first-order kinetics. The cumulative distribution and probability density functions were also defined for the 47 substrate. The modeling was compared against both experimental (Bylund et al., 1998; Larsson et al., 1996; Xu, 48 Jahic, Blomsten, & Enfors, 1999) and numerical (Larsson et al., 1996; Losoi et al., 2022; Pigou & Morchain, 2015) 49 literature data concerning Escherichia coli and Saccharomyces cerevisiae fed-batch fermentations. The model 50 solutions were also utilized to derive simple efficiency factor formulae for oxygen uptake and biomass growth rates. 51

# **2** Materials and methods

# 53 2.1 Experiments and simulations from literature

The large-scale experiments by Bylund et al. (1998), Larsson et al. (1996), and Xu, Jahic, Blomsten, and Enfors (1999) were used as a reference for the modeling. The cited works reported glucose concentrations measured at

top, middle, and bottom sections of the reactors and biomass concentrations. Dissolved oxygen tensions were also 56 monitored with one probe at the middle (Larsson et al., 1996) or two probes at the middle and the bottom (Xu, Jahic, 57 Blomsten, & Enfors, 1999). Bylund et al. (1998) did not report the probe location, so it was assumed here to be at 58 the middle as well. The control values for pH and temperature were provided in the referenced works. The liquid 59 volumes were from 8 m<sup>3</sup> up to 22 m<sup>3</sup>. Table 1 lists relevant variables and quantities regarding the experiments. The 60 axial diffusivities and mixing times were calculated from operating conditions as in Part I of this study (Losoi et al., 61 2023). Bylund et al. (1998) reported ranges of stirrer and gas flow rates, and here the midpoint of these ranges 62 was used as the operating condition. The mean substrate concentrations shown in Table 1 refer to time points with 63 constant feeds and  $20 \text{ g L}^{-1}$  biomass concentrations. Altogether the substrate data from these references included 64 96 time points with three values each measured at the top, middle, and bottom of the reactors. Gas holdups were 65 determined from the references from the reported liquid volumes and total dispersion heights, but for Bylund et al. 66 (1998) experiments the holdups were estimated here using a correlation fitted for large scale (Vrábel et al., 2000). 67 Larsson et al. (1996) and Xu, Jahic, Blomsten, and Enfors (1999) reported  $k_{\rm L}a = 180 \,{\rm h}^{-1}$  for their setups, and by 68 using the functionalities of the  $k_{\rm L}a$  correlations reviewed by Gabelle et al. (2011), it was estimated that  $k_{\rm L}a$  should 69 have been approximately 70 %-80 % of that value in the Bylund et al. (1998) experiments. For simplicity, the same 70  $k_{\rm L}a = 180 \,{\rm h}^{-1}$  was used also for the Bylund et al. (1998) experiments. Some of the operating conditions for the Xu, 71 Jahic, Blomsten, and Enfors (1999) experiment were determined using literature based on the same large-scale 72 reactor (Vrábel et al., 1999; Vrábel et al., 2001). The E. coli kinetic parameters determined by Xu, Jahic, and Enfors 73 (1999) were used both for Bylund et al. (1998) and Xu, Jahic, Blomsten, and Enfors (1999) experiments. 74 The large-scale simulations in 20 m<sup>3</sup> liquid volumes by Larsson et al. (1996), Losoi et al. (2022), and Pigou and 75 Morchain (2015) were used as a further reference. Larsson et al. (1996) reported glucose contours obtained with 76 CFD simulations with standard Monod kinetics (Figure 7 in Larsson et al., 1996). The cumulative distribution 77 function (CDF) of their simulated substrate concentrations was estimated here by approximating the areas between 78 concentration contour lines. Pigou and Morchain (2015) used a two-dimensional (2D) compartment model and a 79 metabolic model and provided heat maps and values of glucose concentration and also biomass concentrations 80 (Figures 9 and 7b in Pigou and Morchain, 2015). Their results were considered here as CDFs and also as radially 81 averaged 1D axial profiles. Our previously published results (Table 1 in Losoi et al., 2022) were obtained with a 82 three-dimensional (3D) compartment model and standard Monod kinetics. The diffusivity for Larsson et al. (1996) 83 simulations was kept the same as for their experiments (Table 1). For Pigou and Morchain (2015) simulations a 84 diffusivity of  $d = 0.0659 \text{ m}^2 \text{ s}^{-1}$  was calculated using the transfer resistance analogy concept presented in Part I of 85 this study (Losoi et al., 2023) and the provided exchange, circulation, and induced flow rates (Appendix B in Pigou 86 and Morchain, 2015). A  $d = 0.106 \text{ m}^2 \text{ s}^{-1}$  diffusivity was estimated for Losoi et al. (2022) simulations from the 87 reported 95 % standard deviation based mixing time of 154 s using the corresponding formula from Part I of this 88 study (Equation 7 in Losoi et al., 2023). 89

### <sup>90</sup> 2.2 Linearization of substrate consumption rates

For the model used here, volumetric (liquid-phase) substrate consumption rates  $r_S$  (g L<sup>-1</sup> h<sup>-1</sup>) were linearized 91 into  $r_S = k_S S$ , where  $k_S$  is first-order rate-pseudoconstant (h<sup>-1</sup>), a function of substrate concentration, and S 92 the substrate concentration  $(gL^{-1})$ . Using this definition, the rate-pseudoconstants were evaluated using mean 93 consumption rates and mean concentrations. Experimental mean concentrations were estimated here as weighted 94 averages of the three measured concentrations such that the individual sampling locations were given weights 95 according to the working height that they represented, although the weighing had only little effect on the mean. 96 Simulated mean concentrations were either provided directly in the references or they could be calculated from the 97 data. When comparing the model with experiments, the volumetric substrate consumption rate  $r_S$  was assumed 98 to equal the volumetric feed rate  $Q_S$  (steady-state assumption), which was obtained from the references. When 99 considering previously published simulations, the kinetics used in the reference were analytically linearized and 100 the first-order rate-pseudoconstant  $k_S$  was evaluated with the mean concentration of substrate,  $\langle S \rangle$ . Larsson et al. 101 (1996) used standard Monod-kinetics for substrate consumption, which yielded 102

$$k_S = \frac{q_S X}{\langle S \rangle + K_S} \tag{1}$$

as the volumetric substrate consumption's first-order rate-pseudoconstant, where  $q_S = 1.7 \text{ g s}^{-1} \text{ h}^{-1}$  is biomass-103 specific maximal substrate consumption rate, X biomass concentration (g L<sup>-1</sup>), and  $K_S = 0.18 \text{ g L}^{-1}$  Monod 104 constant. The kinetic parameters were  $q_S = 1 \text{ g s}^{-1} \text{ h}^{-1}$  and  $K_S = 0.025 \text{ g L}^{-1}$  for Losoi et al. (2022). The substrate 105 consumption rate in the Pigou and Morchain (2015) metabolic model was based on defining an equilibrium biomass 106 growth rate and using a Pirt-form of biomass yield to calculate the anabolic demand of substrate. Their model 107 included also catabolic demand of substrate, which accounted for oxidative capacity and state of the population. 108 Here, the effects of acetate, oxygen, and population state were neglected, which ultimately simplified the first-order 109 rate-pseudoconstant into the same Equation 1, but with 110

$$\frac{q_S}{g g^{-1} h^{-1}} = 1.28 + \left(1 + \frac{K_S}{\langle S \rangle}\right) 0.0722$$
(2)

and  $K_S = 0.05 \text{ g L}^{-1}$ . The parameters and biomass concentrations necessary for calculating the rate-constants were directly available in each study.

### **113 2.3** Green's function method

The Green's function method (Cole et al., 2010) was used to solve the steady-state 1D diffusion equations with zeroth- and first-order kinetics, or Laplace and Helmholtz equations, respectively. The method centers around integrating the considered equation's impulse response to a volumetric source term under the imposed boundary conditions. Symbolic computation software (sympy) was used for some of the derivations.

### **118 2.4** Model statistics and uncertainty

<sup>119</sup> Model fits were assessed against the experimentally determined data with the same two coefficients of determination <sup>120</sup> that were used also in Part I of this study (Losoi et al., 2023).  $R^2$  is the conventional coefficient of determination <sup>121</sup> based on residuals f - y, whereas  $Q^2$  is an analogous coefficient of determination defined with logarithmic error <sup>122</sup>  $q = \log (f/y)$ . The error term in both coefficients was also decomposed to systematic and random error components. <sup>123</sup> Details on these metrics are given in Section 2.2 of Part I and Supporting Information: Section S3 of Part I. Like <sup>124</sup> in Part I, the error  $\sigma$  expected in model prediction due to the uncertainty of its N parameters  $x_i$  was estimated <sup>125</sup> by propagation of error with zero covariance between parameters:  $\sigma_f = \sqrt{\sum_{i=1}^{N} (\partial f/\partial x_i)^2 \sigma_{x_i}^2}$ , where  $\sigma_i$  is  $x_i$ 's

standard deviation. In Part I, an error of  $\sigma_d/d = 7\%$  was determined for the diffusivity parameter *d*.

# 127 2.5 Software

The Python programming language version 3.8.5 (www.python.org) was used for all calculations and derivations with the packages numpy 1.19.2 (Harris et al., 2020), pandas 1.1.3 (McKinney, 2010; The pandas development team, 2020), scipy 1.5.2 (Virtanen et al., 2020), and sympy 1.6.2 (Meurer et al., 2017). Both experimental and simulated previously published data were digitized from original figures with WebPlotDigitizer (Rohatgi, 2020) and GNU Image Manipulation Program 2.10.18 (www.gimp.org).

# **3** Theoretical aspects

# 134 3.1 Substrate profile and distribution

Here, typical fed-batch operations were considered such that both the steady-state approximation  $\partial S/\partial t \approx 0$  and negligible dilution  $\partial V/\partial t \approx 0$  applied. Assuming spatially constant diffusivity and gas holdup and negligible volume fraction of biomass, the mass balance of substrate with a standard Monod-form uptake rate was

$$d\frac{\partial^2 S}{\partial z^2} + Q_S = \frac{S}{S + K_S} q_S X,\tag{3}$$

where z is the axial coordinate (m) and  $Q_S$  the local liquid-phase volumetric source or feed term (g L<sup>-1</sup> h<sup>-1</sup>). However, Equation 3 is not analytically soluble with Monod kinetics, but considering the mean substrate concentration  $\langle S \rangle$  as a parameter and approximating the Monod-term  $S/(S + K_S)$  in Equation 3 with  $S/(\langle S \rangle + K_S)$  as explained in Section 2.2 resulted in a classical Helmholtz equation

$$d\frac{\partial^2 S}{\partial z^2} + Q_S = \frac{q_S X}{\langle S \rangle + K_S} S.$$
(4)

### 142 A nondimensional form

$$\frac{\partial^2 u}{\partial x^2} + \frac{H^2 Q_S}{d \left\langle S \right\rangle} = M^2 u \tag{5}$$

was obtained by defining a dimensionless substrate concentration  $u = S/\langle S \rangle$  and a dimensionless axial coordinate x = z/H. The substrate modulus

$$M = H\sqrt{\frac{q_S X}{d\left(\langle S \rangle + K_S\right)}} \tag{6}$$

is a dimensionless number analogous to the Thiele modulus used in chemical reaction engineering to characterize 145 mass transfer effects in catalytic reactions. In terms of time-scale analysis, the substrate modulus is the square 146 root of the ratio of mixing and substrate consumption time-scales. For example, M = 2 indicates that mixing is 147 outperformed by reaction, as the rate of substrate uptake is four times the rate of mixing. A general feel for the 148 modulus and mixing limitations can be given by taking the longest  $t_{95}$  (95 % mixing time with probe and feed as 149 wide apart as possible) as the measure of mixing rate according to Equation 5 in Part I of this study (Losoi et al., 150 2023),  $\langle S \rangle = K_S = 0.05 \text{ g L}^{-1}$  as the mean substrate concentration and Monod-constant, both quite likely values in 151 fed-batch operations (Bylund et al., 1998, 2000; Castan & Enfors, 2002; Larsson et al., 1996; Xu, Jahic, Blomsten, & 152 Enfors, 1999), and  $q_s = 1 \text{ g s}^{-1} \text{ h}^{-1}$  as the biomass-specific maximal uptake rate. Under these conditions Equation 153 6 is simplified to 154

$$M \approx 0.0862 \sqrt{\frac{X}{\mathrm{g}\,\mathrm{L}^{-1}} \frac{t_{95}}{\mathrm{s}}}.$$
 (7)

Another approach to evaluate the modulus is to utilize the steady-state approximation  $r_S \approx Q_S$ , which gives the modulus as a function of substrate feed rate instead assuming  $\langle S \rangle = K_S = 0.05 \text{ g L}^{-1}$ :

$$M \approx 0.122 \sqrt{\frac{Q_S}{g L^{-1} h^{-1}} \frac{t_{95}}{s}}.$$
(8)

Tables 2 and 3 list example values for substrate modulus with some common 95 % mixing times, biomass concentrations, and feed rates using Equations 7 and 8, respectively. With over  $40 \text{ g L}^{-1}$  biomass concentrations or 16 g L<sup>-1</sup> h<sup>-1</sup> feed rates, mixing limitations (M > 1) seem likely even in small-scale reactors with only  $t_{95} = 10 \text{ s}$ mixing times. In large-scale reactors, where  $t_{95} > 200 \text{ s}$  and longer mixing times are possible, mixing limitations may occur with biomass concentrations as low as  $X = 5 \text{ g L}^{-1}$  or with feed rates as low as  $Q_S = 1 \text{ g L}^{-1} \text{ h}^{-1}$ .

Equation 5 was solved with the Green's function method (Cole et al., 2010), which allowed flexibility in defining the volumetric source  $Q_S$ . Using a Dirac delta point source at  $x_0$ ,  $\delta(x - x_0)$ , and insulated boundaries ( $\partial u / \partial x = 0$ at both x = 0 and x = 1), the axial profile of dimensionless substrate concentration was found to be

$$u = \frac{M}{\sinh M} \cosh(M\min(x, x_0)) \cosh(M(1 - \max(x, x_0))).$$
(9)

<sup>165</sup> Supporting Information: Figure S1 compares the analytical substrate profile with linearized kinetics to profiles <sup>166</sup> determined numerically by finite-volume discretization and with standard Monod kinetics assuming the Monod <sup>167</sup> constant is 0.1, 1, or 10 times the mean substrate concentration. The analytical profile is remarkably close to the <sup>168</sup> numerically solved unsimplified profiles, yielding mostly  $R^2 \ge 95$  %. The test case M = 4 with  $\beta = 0.1$  deviated substantially from the linearized analytical profile, but this case corresponded to an unlikely situation having both a considerable mixing limitation (time-scale of mixing 16 times the time-scale of reaction) and a high mean concentration of substrate ( $\langle S \rangle = 10K_S$ ). Thus, in the context of the steady-state 1D diffusion equation, linearization is a good approximation to Monod kinetics provided that the mean concentration of substrate is known or can be predicted.

The CDF of (dimensionless) substrate concentration was found by first noting that a randomly chosen point in the reactor obeys the uniform distribution such that the CDF of the (dimensionless) axial coordinate is F(x) = xwhen  $0 \le x \le 1$ . Solving for x in Equation 9 allowed identifying the substrate's CDF as

$$F(u) = \frac{1}{M} \left( \operatorname{arcosh}\left(\frac{u}{u_{\min}}\right) + \operatorname{arcosh}\left(\frac{\max(u, u_{\operatorname{tres}})}{u_{\operatorname{tres}}}\right) \right).$$
(10)

when  $u_{\min} \le u \le u_{\max}$ . Owing to the symmetry of the diffusion equation, feed points at  $0 \le x_0 \le 0.5$  can be reflected to  $0.5 \le x_0 \le 1$ , and it is easiest to continue by defining  $x'_0 = \max(x_0, 1 - x_0)$ . The minimum concentration is found at the point farthest away from the (reflected) feed,  $u_{\min} = u(0, x'_0, M)$ , the threshold value at the domain boundary closest to feed point,  $u_{\text{tres}} = u(1, x'_0, M)$ , and the maximum at the feed point,  $u_{\max} = u(x_0, x_0, M)$ . The substrate concentration's probability density function, also known as volume distribution (Morchain et al., 2014), was obtained by differentiating Equation 10 with respect to u:

$$f(u) = \frac{1}{M} \frac{1}{\sqrt{u^2 - u_{\min}^2}} \qquad \text{when } u_{\min} < u < u_{\text{tres}} \qquad (11)$$

$$f(u) = \frac{1}{M} \left( \frac{1}{\sqrt{u^2 - u_{\min}^2}} + \frac{1}{\sqrt{u^2 - u_{\text{tres}}^2}} \right) \qquad \text{when } u_{\text{tres}} < u \le u_{\max}. \qquad (12)$$

The density function has discontinuities at  $u \in \{u_{\min}, u_{\text{tres}}\}$ . The variance  $\sigma^2$  of substrate concentration is found easiest by integrating spatially  $(u(x) - 1)^2$  with respect to x, which yields

$$\sigma^{2} = M^{2} \frac{x_{0} \cosh^{2}(M(1-x_{0})) + (1-x_{0}) \cosh^{2}(Mx_{0})}{2 \sinh^{2} M} + M \frac{\cosh(M(1-x_{0})) \cosh(Mx_{0})}{2 \sinh M} - 1.$$
(13)

### 179 3.2 Dissolved oxygen

Based on a time-scale analysis the liquid-phase mixing of dissolved oxygen was surpassed by transfer between gas and liquid phases in the considered references, and by extension in typical fed-batch processes: for example, with Xu, Jahic, Blomsten, and Enfors (1999) configuration the time-scale of mixing was estimated to  $(7.9 \text{ m})^2/(0.134 \text{ m}^2 \text{ s}^{-1} \approx 466 \text{ s})$ whereas they reported  $k_L a = 180 \text{ h}^{-1}$  corresponding to a 20 s time-scale. The profile of dissolved oxygen was then obtained by a steady-state approximation without mixing assuming that local transfer and consumption rates are equal. The local consumption rate was estimated with spatially dependent zeroth-order kinetics, where the mean oxygen demand rate ODR (g L<sup>-1</sup> h<sup>-1</sup>) was determined from the volumetric substrate feed rate using a constant <sup>187</sup> yield coefficient (Bylund et al., 2000; Xu, Jahic, & Enfors, 1999):

$$ODR = 0.446 \,\mathrm{g} \,\mathrm{g}^{-1} Q_S. \tag{14}$$

<sup>188</sup> The local consumption was considered to have the same axial profile as the substrate concentration. The <sup>189</sup> corresponding mass balance was

$$k_{\rm L}a(hO_{\rm G} - O_{\rm L}) = {\rm ODR}u,\tag{15}$$

where  $k_{L}a$  is gas-liquid transfer rate-constant for oxygen (h<sup>-1</sup>), *h* Henry's constant for oxygen (mol<sub>L</sub> mol<sub>G</sub><sup>-1</sup>, Sander, 2015),  $O_{G}$  gas-phase concentration of oxygen (g L<sup>-1</sup>),  $O_{L}$  liquid-phase concentration of oxygen (g L<sup>-1</sup>), and *u* local dimensionless concentration of substrate (Equation 9). Solving for liquid-phase oxygen and limiting the values from below to zero yielded

$$O_{\rm L}(x) = \max\left(0, hO_{\rm G}(x) - \frac{\rm ODRu(x)}{k_{\rm L}a}\right)$$
(16)

Local dissolved oxygen tension (DOT) was obtained by dividing  $O_L$  by local equilibrium concentration with zero gas-phase conversion,  $hO_G(x)$ . In the referenced studies the flow of air into the bioreactors was so high that even with a 1 g g<sup>-1</sup> consumption of oxygen per substrate the overall gas-phase oxygen conversions could have been 25 %–50 % at most. The effect of gas-phase depletion was then neglected, which simplified the treatment.

The simple zeroth-order formulation allowed approximating the oxygen-limited volume fraction of the reactor directly as the volume fraction where the substrate concentration induced a demand exceeding the transfer rate:

$$1 - F(u^*, M),$$
 (17)

<sup>200</sup> where the threshold substrate concentration is

$$u^* = \frac{\text{OTR}}{\text{ODR}}.$$
(18)

201 Since the maximum demand is found at the feed point  $x_0$ , the oxygen transfer rate

$$OTR = k_{\rm L}ahO_{\rm G} \tag{19}$$

was evaluated with gas-phase concentration  $O_{\rm G}$  at the feed point's hydrostatic pressure as well.

### 203 3.3 Efficiency factors

Using the distribution and density functions derived for substrate, it was possible to derive efficiency factors for oxygen uptake and biomass growth on main substrate. The efficiency factors represent the fraction of oxygen demand satisfied and the fraction of substrate uptake that the population is adapted to continue growing on. The efficiency for oxygen uptake rate was obtained by integrating the substrate-dependent oxygen uptake rate OUR(u) with respect to the substrate concentration and dividing this overall volumetric oxygen uptake rate by the overall volumetric oxygen demand rate such that OUR =  $\eta_{OUR}$ ODR (g L<sup>-1</sup> h<sup>-1</sup>). At substrate concentrations below the threshold *u*\* (Equation 18), the uptake rate equals the local demand such that OUR(*u*) = ODR*u*, but at concentrations above the threshold the uptake rate is limited to the transfer rate in Equation 19 such that OUR(*u*) = OTR. The integral

$$\eta_{\text{OUR}} = \frac{\int_{u_{\text{min}}}^{u_{\text{max}}} f \text{OUR}(u) du}{\text{ODR}} = \int_{u_{\text{min}}}^{u^*} f u du + \frac{\text{OTR}}{\text{ODR}} \int_{u^*}^{u_{\text{max}}} f du,$$
(20)

where f is the substrate's density function, eventually simplified into

~ 11

$$\eta_{\text{OUR}} = G(u^*) + \frac{\text{OTR}}{\text{ODR}} \left(1 - F(u^*)\right), \tag{21}$$

where G is the first moment of the substrate distribution:

$$G(u) = \frac{1}{M} \left( \sqrt{u^2 - u_{\min}^2} + \sqrt{\max(u, u_{\text{tres}})^2 - u_{\text{tres}}^2} \right).$$
(22)

Efficiency of growth on main substrate was determined similarly by utilizing the population balance and adaptation concepts (Morchain & Fonade, 2009; Morchain et al., 2013): the population was assumed to grow at the growth rate  $\mu(u)$  allowed by the environment where substrate concentration was below the mean (u < 1), and to grow at the mean growth rate  $\langle \mu \rangle$  where substrate concentration exceeded the mean. Here the growth rate allowed by the environment was assumed to follow the substrate profile just like the oxygen consumption,  $\mu(u) = \langle \mu \rangle u$ , which yielded

$$\eta_{\mu} = \frac{\int_{u_{\min}}^{u_{\max}} f\mu(u) du}{\langle \mu \rangle} = \int_{u_{\min}}^{1} fu du + \int_{1}^{u_{\max}} f du$$
(23)

<sup>220</sup> as the integral. Integration resulted in

$$\eta_{\mu} = G(1) + 1 - F(1). \tag{24}$$

To give an interpretation to the efficiency factors, they can both be transformed into simplistic biomass yield efficiencies. Given an aerobic biomass yield on glucose  $Y_0 = 51$  % and an anaerobic yield  $Y_1 = 15$  % (Xu, Jahic, & Enfors, 1999), the oxygen-uptake-based yield efficiency was

$$\eta_Y = \eta_{\rm OUR} + (1 - \eta_{\rm OUR}) \frac{Y_1}{Y_0}$$
(25)

The concept is that globally the micro-organism utilizes glucose aerobically as far as possible and that the rest of glucose consumption is anaerobic. For biomass growth a similar yield efficiency can be formulated by using  $\eta_{\mu}$ , aerobic yield on glucose  $Y_0 = 51$  %, and aerobic yield on acetate that has resulted from glucose overflow  $Y_1 = 0.667 \times 0.4 = 26.7$  % (Xu, Jahic, & Enfors, 1999). For growth rate efficiency the concept is that the population grows on glucose, but dissimilates glucose to acetate by overflow metabolism when substrate concentration exceeds the mean and then consumes the acetate later on.

# 230 3.4 Temperature

The steady-state temperature profile was determined by using a heat source with the substrate's spatial distribution and a uniform cooling that balanced the heat source across the whole volume. In effect the diffusion equation for temperature had then two zeroth-order kinetic terms, one spatially variable and the other spatially uniform. The balance for temperature was

$$\rho C_p d \frac{\partial^2 T}{\partial z^2} = \Delta H_r OUR u - \Delta H_r OUR, \qquad (26)$$

where  $\rho = 1000 \text{ kg m}^{-3}$  is the fermentation broth density,  $C_p = 4180 \text{ J kg}^{-1} \text{ K}^{-1}$  the specific heat capacity of water (Rumble, 2022), *T* temperature (K), and  $\Delta H_r = 460 \text{ kJ mol}^{-1} = 14375 \text{ kJ kg}^{-1}$  the enthalpy of reaction per oxygen consumed (Doran, 2013). Equation 26 was solved with insulated boundaries and simplified into

$$\theta(x) = \frac{1}{3} + \frac{1}{2M^2} \left( -2u(x) + \cosh(M(1-x_0))(M^2(x^2-1)+2) \right) \qquad \text{when } x \le x_0 \tag{27}$$

$$\theta(x) = \frac{1}{3} + \frac{1}{2M^2} \left( -2u(x) + \cosh(Mx_0)(M^2(x^2 - 2x) + 2) \right) \qquad \text{when } x > x_0 \tag{28}$$

<sup>235</sup> by defining a nondimensional temperature

$$\theta(x) = \frac{T(x) - \langle T \rangle}{M_T}$$
<sup>(29)</sup>

<sup>236</sup> and a temperature coefficient (K)

$$M_T = \frac{\Delta H_r \text{OUR} H^2}{\rho C_p d}.$$
(30)

The substrate modulus M and feed point  $x_0$  define the shape of the temperature profile, but the temperature coefficient  $M_T$  defines its magnitude.

### 239 3.5 pH control

Addition of base was considered in this work, but addition of an acid would be treated similarly. The pH profiles were estimated by utilizing a steady-state approximation, that can be thought to represent a time point during a pH correction cycle after the initial transient. The source term is the pumping rate localized at the point of addition. A spatially uniform sink term balances the addition rate  $Q_B$  (mol L<sup>-1</sup> h<sup>-1</sup>). The resulting balance equation was similar to the temperature but with a Dirac delta point source at  $x_0$ :

$$d\frac{\partial^2 C_B}{\partial z^2} = Q_B \left(1 - \delta(x - x_0)\right),\tag{31}$$

245 which yielded

$$\frac{C_B}{\langle C_B \rangle} = 1 + \frac{Q_B H^2}{\langle C_B \rangle d} \left( \frac{1}{3} + \frac{x^2 + x_0^2}{2} - \max(x, x_0) \right)$$
(32)

with insulated boundaries.  $\langle C_B \rangle$  is the mean concentration of added base (mol L<sup>-1</sup>), which is defined by how large a pH change has been imposed on the medium. The local pH was then obtained with the Henderson-Hasselbalch approximation using the medium's buffer concentrations and the local base concentrations. The dimensionless number

$$M = \frac{Q_B H^2}{\langle C_B \rangle d} \tag{33}$$

250 is the pH modulus, or the ratio of the time-scale of mixing to the time-scale of base addition.

### **3.6** CO<sub>2</sub> in gaseous phase

The profile of CO<sub>2</sub> was estimated for a tall reactor using plug flow approximation for the gaseous phase. Similarly to the oxygen profile, CO<sub>2</sub> was considered to be released into the gas phase according to the substrate profile after having estimated the overall production rate  $Q_{CO_2}$  (g L<sup>-1</sup> h<sup>-1</sup>) from the substrate feed rate  $Q_S$  using a constant yield coefficient. Here a 1.47 g g<sup>-1</sup> yield was used, which corresponds to complete oxidation of glucose. The resulting balance equation was

$$\frac{\partial n_{\rm CO_2}}{\partial z} = Q_{\rm CO_2} u,\tag{34}$$

where  $n_{CO_2}$  is the molar flow of CO<sub>2</sub> (mol h<sup>-1</sup>) and  $Q_{CO_2}$  the volumetric (gas-liquid dispersion) CO<sub>2</sub> production rate divided by cross-section (mol m<sup>-1</sup> h<sup>-1</sup>). Inlet molar flow at the bottom was considered to be zero. The dimensionless profile of CO<sub>2</sub>'s molar flow in the gas phase integrated to

$$\frac{n_{\rm CO_2}(x)}{Q_{\rm CO_2}H} = \frac{1}{\sinh M} \left(\sinh(M\min(x, x_0))\cosh(M(1 - x_0)) - \sinh(M(1 - x))\cosh(Mx_0) + \sinh(M(1 - \min(x, x_0)))\cosh(Mx_0)\right).$$
(35)

# **4 Results and Discussion**

Substrate profiles and distributions produced by the model are first presented and discussed in Section 4.1 along 261 with both experimental and numerical reference data from literature. The effect of substrate modulus and feed point 262 number and placement on the substrate's volumetric variance were then calculated (Section 4.2). Instantaneous 263 profiles of dissolved oxygen, temperature, pH, and carbon dioxide were estimated for the referenced large-scale 264 experiments (Section 4.3). Finally, biomass yield effectivities were determined for the experimental references 265 by first calculating oxygen uptake and adaptation efficiency factors directly from the experimental substrate 266 concentration data (Section 4.4). The assumptions, limitations, and applicability of the model are evaluated in 267 Section 4.5, and implications of the characterized profiles and distributions are discussed in Section 4.6. 268

### **4.1** Substrate profiles and distributions

As expected, the model predicts that the substrate concentration is always highest at the feed point and lowest 270 furthest away from it (Supporting Information: Figure S2). At M = 1 the time-scales of mixing and reaction 271 equal each other, but the substrate concentration is still quite homogeneous even when the feed is located at the 272 slowest-to-mix points  $x_0 = 1$  or  $x_0 = 0$ . In contrast, with M = 4 the heterogeneity of the reactor is considerable: the 273 maximum concentration of substrate found at the feed point peaks at approximately two to four times the mean 274 depending on the feed point placement, but a large fraction of the total volume has a concentration much lower than 275 the mean. Instantaneous spatial profiles of substrate concentration produced by the model were compared against 276 simulated profiles obtained with a complex metabolic model in a 2D compartment model (Pigou & Morchain, 277 2015) and also against S. cerevisiae fed-batch cultivations in an over  $20 \text{ m}^3$  working volume (Larsson et al., 1996). 278 Using the published parameters of the metabolic and hydrodynamic models, the substrate moduli M corresponding 279 to the considered three profiles by Pigou and Morchain (2015) were estimated to be 1.46, 3.68, and 8.55 at 7 h, 280 9 h, and 15 h process times with  $2.4 \text{ g L}^{-1}$ ,  $5.0 \text{ g L}^{-1}$ , and  $14 \text{ g L}^{-1}$  biomass concentrations, respectively. The three 281 profiles represented mild (M = 1.46) and severe ( $M \ge 3.68$ ) mixing limitations with the time-scale of mixing being 282 twice and over tenfold the time-scale of reaction. The profiles yielded by the linearized kinetics were in excellent 283 agreement with the radially averaged profiles obtained by the much more complex modeling (Figure 1A). The 284 volumetric feed rates and experimental substrate concentrations reported by Larsson et al. (1996) yielded substrate 285 moduli ranging from 1.47 to 1.90. The biomass concentrations in these data were within  $10 \text{ g L}^{-1}$ -20 g L<sup>-1</sup>. Their 286 substrate profiles were relatively well represented by the model (Figure 1B), but the bottom-fed profiles were more 287 homogeneous than the model estimated here. 288

According to the model, the distribution of substrate (Equations 10 and 11) is unimodal (i.e. has one distinct 289 peak in density function) only if  $x_0 \in \{0, 0.5, 1\}$  and bimodal otherwise (two peaks). One mode is always found at 290 the minimum concentration,  $u_{\min}$ , and the possible second one always at  $u_{\text{tres}}$  when it differs from the maximum, 291  $u_{\rm max}$ . The distribution functions are not normal and they have more weight on concentrations lower than the 292 mean (Supporting Information: Figure S3). The cumulative distribution functions of substrate concentration were 293 calculated for the Pigou and Morchain (2015) data with the same substrate moduli as earlier (1.46, 3.68, and 8.55) 294 but without radial averaging, and also for CFD-simulation results by Larsson et al. (1996), for which the substrate 295 modulus was estimated to range from 3.0 to 4.4 using their kinetic parameters and operating conditions. The model 296 produced almost identical distribution functions to Pigou and Morchain (2015) data (Figure 2A), but the Larsson 297 et al. (1996) simulation data had higher variability than the model predicted (Figure 2B). Given the inevitable 298 inaccuracy in estimating the CDF of the CFD-simulated substrate contour curves from Larsson et al. (1996), the 299 model performed reasonably well (Figure 2B). The most notable discrepancies between the model here and the 300 referenced simulations were found at the upper end of the substrate distributions, which was also expected due to 301 the higher number of spatial dimensions in the cited works. The distribution functions of the referenced simulation 302 data also appeared to increase in two stages, indicating bimodality of the substrate distribution. The bimodality is 303

interesting considering a previous modeling work where the heterogeneity of a large-scale reactor was modeled
 with a bimodal distribution of just two distinct concentration values, a high and a low one (Maluta et al., 2020).

The time-evolutions of local substrate concentrations were calculated with the model for the large-scale S. 306 cerevisiae and E. coli fermentations with up to 40 h process times (Bylund et al., 1998; Larsson et al., 1996; Xu, 307 Jahic, Blomsten, & Enfors, 1999). Error estimates (Section 2.4) were also calculated for the model fits. The top-fed 308 run of the Bylund et al. (1998) large-scale experiments was not considered here, as the mean concentration of 309 substrate could not be estimated owing to very high glucose concentrations measured at the top sampling port. The 310 substrate modulus calculated with the reported volumetric feed rates and substrate concentrations ranged from 0.80 311 to 6.17 with a median of 3.9 and lower quartile of 3.0. In other words, most of the experimental data were estimated 312 to have time-scales of mixing almost tenfold the time-scale of reaction or considerably more. The model fitted 313 well the measured glucose concentrations of the over 20 m<sup>3</sup> S. cerevisiae fed-batches (Larsson et al., 1996) with 314 top and bottom feeds. The batch with top feeding (Figure 3A) was fitted better than the bottom-fed batch (Figure 315 3B), where the measured heterogeneity was less than the model suggested here. Shown in Figures 3C and 3D, 316 respectively, the large-scale E. coli fermentations were also well fitted by the model, but the bottom-fed 8 m<sup>3</sup> batch 317 (Bylund et al., 1998) better than the 20 m<sup>3</sup> batch (Xu, Jahic, Blomsten, & Enfors, 1999), where the measurements 318 showed less heterogeneity than the model. Altogether, approximately one half of the model values were within one 319 estimated modeling error from the measured value (131/288). The logarithmic coefficient of determination was 320  $Q^2 = 52\%$  with 2 % and 46 % contributions by systematic and random error, respectively, to fraction of variance 321 unexplained. The distribution of logarithmic error was approximately normal (Supporting Information: Figure 322 S4A). The conventional coefficient of determination was slightly higher at  $R^2 = 62$  %, and the systematic error 323 was negligible such that the fraction of variance unexplained (38%) was solely due to random error in this metric 324 based on absolute error. The distribution of residuals was rather symmetrical but sharper than normal, however 325 (Supporting Information: Figure S4B). 326

The two coefficients of determinations were both above 50% for the substrate time series data (Figure 3), 327 which would be relatively low for a fitted correlation, but indicates good performance here as the model was not 328 optimized to the data. Most of the uncertainty in model predictions was caused by the fact that the mean substrate 329 concentration was not directly available but had to be estimated using only three experimental concentration values 330 measured at the top, middle, and bottom of the reactors. The estimated mean values were on average  $22 \text{ mg L}^{-1}$ 331 with a 21 mg  $L^{-1}$  standard deviation, which is reasonable for fed-batch operations, though. The uncertainty caused 332 by diffusivity was small in comparison. The experimental values closest to the feed point were also rather variable, 333 which was especially apparent with top feeds. Nevertheless, the error distributions showed good quality of fit in 334 both absolute and relative error scales (Supporting Information: Figure S4). Most of the error was random and not 335 systematic in nature, lack of precision instead of lack of accuracy. 336

### **4.2** Variance, substrate modulus, and feed points

Substrate's spatial variance was calculated as a function of substrate modulus with Equation 13 and also with 338 experimental and numerical references (Larsson et al., 1996; Losoi et al., 2022; Pigou & Morchain, 2015) for 339 comparison. According to the model, the variance starts eventually to grow linearly with respect to substrate 340 modulus at higher values of M, which was somewhat apparent also in the experimental (Larsson et al., 1996) and 341 numerical (Pigou & Morchain, 2015) references (Figure 4A). With a conventional top feed the substrate's volumetric 342 standard deviation equals mean at  $M \approx 4.0$  and half the mean at  $M \approx 2.2$ , where the time-scales of mixing are 16-343 and 4.8-fold the time-scale of reaction, respectively (Figure 4B). Interestingly, most of the experimental reference 344 data (Larsson et al., 1996) with  $x_0 = 0.88$  clustered around the model curve for simulation with  $x_0 = 0.76$ , and vice 345 versa, the simulation reference data (Pigou & Morchain, 2015) seemed to follow the model curve for experiments. 346 The higher-than-predicted variance of Pigou and Morchain (2015) data could be explained by the two-dimensionality 347 and different kinetics of their simulation. The uncertainty in estimating the experimental substrate variance was 348 high, as only three samples (top, middle, bottom) were available at each time point. The variances of the rest of 349 the experimental substrate concentration data referenced earlier in this study were scattered quite randomly on the 350  $M,\sigma^2$ -plot (not shown). The effect of feed point placement and number demonstrated in a previous numerical work 351 (Losoi et al., 2022) was reproduced by the simpler analytic modeling here: the variances were substantially lower 352 with  $x_0 = 0.5$  than with  $x_0 = 1$  (equivalent to  $x_0 = 0$ ) and practically null with symmetrical placement of two feed 353 points at x = 0.25 and x = 0.75. The benefit of placing a single feed point in the middle instead of the top or bottom 354 is also seen in Supporting Information: Figures S2B, S3B and S3D. 355

# **4.3** Profiles of DOT, temperature, pH, and CO<sub>2</sub>

Just like the local concentration of substrate shows a peak around the feed point, the local concentration of dissolved 357 oxygen sinks around the feed point and is higher in regions away from it. The heterogeneity of dissolved oxygen 358 is coupled to the substrate's heterogeneity, which is defined by the feed point and substrate modulus (Supporting 359 Information: Figure S5A). A lower transfer-to-demand ratio also lowers the overall level of dissolved oxygen 360 (Supporting Information: Figure S5B) and exacerbates the oxygen limitation around the feed point. Figure 5A 361 shows DOT profiles estimated for the experimental references at time points corresponding to  $20 \,\mathrm{g}\,\mathrm{L}^{-1}$  biomass 362 concentrations and a constant feed (parameters in Table 1). The substrate modulus was estimated to be between 363 4.1 and 5.5 using the reported volumetric feed rates and mean concentrations. The proportion of oxygen-limited 364 zones (DOT = 0 with the zeroth-order kinetic approximation) was calculated to be 22%-46% (Equation 17). The 365 bottom-fed cultivations showed less limitation due to higher hydrostatic pressure at the feed point where the local 366 oxygen demand was highest. The works referenced here did not include spatial profiles of dissolved oxygen, and as 367 such, direct comparison was not possible. Oxygen limitations were not detected in any of the referenced works 368 directly with the probes at the middle of the reactors. However, Bylund et al. (1998) hypothesized that oxygen 369

limitations could have occurred around the feed points in their experiments, and likewise Xu, Jahic, Blomsten,
and Enfors (1999) estimated based on the formate accumulation that approximately 12 % of the culture volume
would have been anoxic. The modeling performed here was in accordance with these hypotheses: a poorly-mixing
substrate feed might localize the oxygen demand such that the limitation is undetected by the electrode(s) just as
suggested in literature (Figure 5A, Supporting Information: Figure S5A).

The axial profile of temperature is similar to the dissolved oxygen profile in that its shape is defined by the 375 substrate profile and its two parameters, the feed point and substrate modulus (Supporting Information: Figure 376 S6). However, the temperature profile is not as sharp as the oxygen profile, but much smoother. The temperature 377 modulus (Equation 30) defines the magnitude of the distribution. Figure 5B shows temperature profiles estimated 378 for the experimental references (Table 1) corresponding to the same time instants as in Figure 5A with DOT 379 profile estimates. The oxygen uptake efficiencies were estimated to be 57 %-85 % in these situations, leading to 380  $0.83 \text{ g } \text{L}^{-1} \text{ h}^{-1}$ - $1.55 \text{ g } \text{L}^{-1} \text{ h}^{-1}$  oxygen uptake rates. Consequently, the maximal gas-phase oxygen conversions 381 would have been 8 %-13 %. The axial temperature differences within the reactors were then estimated to be 0.04 °C-382 0.12 °C. Based on the modeling here, the axial profile of temperature should have been virtually homogeneous in 383 the axial dimension in the referenced large-scale experiments. With a higher  $1 \text{ g s}^{-1}$  consumption of oxygen per 384 substrate the estimated temperature differences would have been only up to 0.16 °C, which is still negligible. For a 385 general assessment of whether axial temperature differences could be expected to occur, temperature differences 386 were evaluated with substrate feed rate and 95 % mixing time as parameters by assuming  $\langle S \rangle = 0.05 \text{ g L}^{-1}$ ,  $x_0 = 1$ , 387 and OUR = ODR =  $0.446Q_S$ . According to these estimates a notable temperature difference of 1 °C-scale could 388 occur at large scale ( $t_{95} \ge 100$  s) with approximately  $10 \text{ g L}^{-1} \text{ h}^{-1}$  substrate feed rates or higher, provided that 389 oxygen transfer is not limiting (Table 4). Again, a higher than  $0.446 \text{ g g}^{-1}$  consumption of oxygen per substrate 390 would increase the difference accordingly. No reports of axial temperature differences were found in experimental 391 literature, and no simulation works were found either, which implies that either there is no reason to expect any 392 major axial differences to exist or they have been neglected. The estimations for axial temperature differences in the 393 referenced experiments were very small, which strongly suggests the former. Unless  $10 \text{ g L}^{-1} \text{ h}^{-1}$  substrate feed 394 rates are utilized with sufficient oxygen transfer (Table 4), the assumption of axially constant temperature remains 395 applicable. Local temperature differences in the proximity of the heat transfer surfaces are more likely to exist. 396

Similarly to the earlier profiles, the pH profiles are also sharp at the feed point and the heterogeneity can be 397 decreased by injecting the pH correcting agent into the middle instead of the top or bottom (Supporting Information: 398 Figure S7A). Higher addition rates of the pH correcting agent resulted in higher variability in the axial pH profile 399 (Supporting Information: Figure S7B). The location of the medium's  $pK_a$  in relation to the control value had a 400 minor effect (Supporting Information: Figure S7C). It was also observed that the higher the control treshold around 401 the pH control value, the higher the expected heterogeneity at the end of the control cycle (Supporting Information: 402 Figure S7D). Bylund et al. (1998) and Xu, Jahic, Blomsten, and Enfors (1999) both used a 25 % NH<sub>4</sub>OH solution 403 added at the top of the reactor to maintain pH at 7 during their large-scale E. coli fed-batches. Coincidentally the 404

pH modulus (Equation 33) was practically equal for both cases when assuming that the volume flow rate of the 405 alkalic solution during pH control cycles was the same as the volume flow of the substrate solution. Since the 406 exact flow rate of the pH-dosing pumps was not known here, cases with 0.5-, 2, and 4-fold flow rates were also 407 considered for comparison. According to the modeling here, long-term heterogeneity in axial pH profiles cannot 408 be ruled out: pH differences of up to 0.06, 0.11, and 0.22 were estimated with the same volume flow rate as with 409 substrate ( $M_{\text{pH}} = 0.59$ ) and with 2- and 4-fold flow rates ( $M_{\text{pH}} = 1.18$  and  $M_{\text{pH}} = 2.37$ ), respectively (Figure 6). 410 During the initial transients at the beginning of pH adjustment, when the steady-state approximation is not yet valid, 411 larger differences close to the dosing point of acids or alkali would be expected. For comparison, Langheinrich 412 and Nienow (1999) measured an excess of 0.6 units at the top during the addition of an alkaline solution in a  $8 \text{ m}^3$ 413 working volume reactor. 414

The profile of  $p_{CO_2}$  was different from the others in that it was modeled by plug-flow without any dispersion. 415 Consequently, the partial pressure was always zero at the bottom (Supporting Information: Figure S8A). Like 416 the temperature profile, the CO<sub>2</sub> profile was not as sharp as the substrate, DOT, or pH profiles. The effect of the 417 heterogeneity in substrate, or CO2 release, profile depended on the feed point's location: increased heterogeneity of 418 substrate correlated negatively with mean CO<sub>2</sub> when  $x_0 > 0.5$  but positively when  $x_0 < 0.5$  (Supporting Information: 419 Figure S8B). According to the  $p_{CO_2}$ -profiles estimated for the experimental references (Bylund et al., 1998; Larsson 420 et al., 1996; Xu, Jahic, Blomsten, & Enfors, 1999), the mean  $p_{CO_2}$  may have been relatively low, mostly below 421 50 mbar, when top feeds were utilized (Figure 5C). Bottom feeds increased the gas-phase CO<sub>2</sub> content earlier on, 422 and  $p_{CO_2} \ge 150$  mbar was estimated in majority of Bylund et al. (1998) bottom-fed case. The outlet partial pressures 423 were estimated to 69 mbar-165 mbar assuming total oxidation of glucose. The CO<sub>2</sub>-profiles were plausible: Baez 424 et al. (2009) measured 110 mbar dissolved CO<sub>2</sub> at a 5 L reactor with  $60 \text{ g L}^{-1}$  E. coli. It was suggested by Baez 425 et al. (2009) that the CO<sub>2</sub> pressure might increase up to 300 mbar at the bottom of a large reactor due to hydrostatic 426 pressure. The modeling performed suggests that in a fed-batch process such high values are obtainable at the bottom 427 only if the feed is at the bottom as well. The neglect of gas-phase dispersion influenced the model in this respect. 428

# 429 4.4 Efficiency factors

The experimental data referenced above in Section 4.1 were also used to estimate time-averaged efficiency factors for 430 the experiments. Both the oxygen uptake and adaptation efficiency factors (Equations 21 and 24) were calculated for 431 the same reported time points as in Figures 3C and 3D using the same substrate moduli calculated from the reported 432 volumetric feed rates and substrate concentrations. In comparison with small scale, Xu, Jahic, Blomsten, and Enfors 433 (1999) reported a  $0.31/0.41 \approx 76$  % yield efficiency in large scale during the constant-feed phase. Time-averaged 434 yield efficiencies of 88 % and 86 % were estimated here by oxygen uptake and adaptation efficiencies of 83 % and 435 70 %, respectively, resulting in a total yield effectivity of  $0.88 \times 0.86 = 75$  %. Bylund et al. (1998) reported a 85.5 % 436 biomass in their bottom-fed large-scale E. coli batch in comparison with a small-scale batch, and here the estimated 437 time-averaged yield effectivities were 82 % based on estimated oxygen uptake efficiency of 74 % and 84 % based on 438

adaptation efficiency of 67 %. Larsson et al. (1996) did not report comparisons of lab- and large-scale yields. In 439 general, the efficiency factors of both adaptation and oxygen uptake decrease as substrate modulus and heterogeneity 440 increase, and the better-homogenized middle feed retains fair efficiencies even with a considerably high substrate 441 modulus of M = 4 (Supporting Information: Figures S9A and S9B). Furthermore, the positive effect of hydrostatic 442 pressure on oxygen transfer is lost with a top feed as substrate heterogeneity increases and local oxygen demand 443 localizes (Supporting Information: Figures S9C and S9D). From the perspective of oxygen transfer, a bottom feed 444 can even outperform the better-mixing middle feed if the hydrostatic pressure at the bottom is comparable to the 445 head-space pressure (Supporting Information: Figure S9D). 446

Even though the efficiency factors were rather simplistic, they were consistent with the yields reported in 447 literature. Estimation of the time-averaged  $\eta_{OUR}$  for Bylund et al. (1998) experiments was rather uncertain, though. 448 Both the gas flow rate and stirrer rate were adjusted in their experiments, but here just the middle point of the range 449 was assumed to hold for the entire duration. The oxygen transfer rate coefficient was also only roughly approximated 450 from other experiments. Also the time-averaged OUR-effectivities were estimated using a time-independent 451 coefficient of oxygen consumption per substrate, even though the consumption is likely to increase during a fed-batch 452 process (Bylund et al., 2000). Furthermore, the yield losses estimated here were simplified also that the sense that 453 the effect of maintenance was not considered. Interestingly, Maluta et al. (2020) correlated yield loss to substrate 454 variance. Here, the simple yield losses were also related to variance through both the population balance concept 455 but also through oxygen uptake efficiency. The relation was not linear, though, unlike in their modeling work. 456

# 457 **4.5** Assumptions, limitations, and applicability of the model

First, it should be noted that the model was not optimized to any of the experimental or numerical references, but 458 the diffusivities were calculated directly from operating conditions using the methodology developed in Part I of 459 this study (Losoi et al., 2023), and the kinetic parameters and mean concentrations were obtained or calculated from 460 the referenced works. The model involved two main assumptions on the kinetics: (1) The substrate consumption 461 was considered to be linear or first-order with respect to substrate concentration. (2) The rest of the consumption or 462 production rates were modeled with zeroth-order kinetics by first estimating the overall volumetric rate using a 463 global balance and then using the substrate profile to transform this total consumption or production rate to a local rate. Hydrodynamically the major assumptions were to assume (1) turbulent axial dispersion for the liquid phase 465 and (2) plug flow for the gas phase. The solid phase (biomass) was not distinguished from the liquid phase here. 466

The assumption of linear substrate consumption rate might seem unlogical, as Monod kinetics are almost invariably used in bioreactor modeling studies. However, the linearized consumption rate yielded similar substrate profiles in the 1D diffusion equation's context as regular Monod kinetics (Supporting Information: Figure S1). Furthermore, it has been pointed out previously that Monod kinetics have been validated in homogeneous conditions in chemostat and batch cultivations, where the cell population has adapted to its environment (Morchain & Fonade, 2009; Morchain et al., 2013). Scale-down experiments have shown that the Monod kinetics do not apply at dynamic, heterogeneous conditions (Xu, Jahic, Blomsten, & Enfors, 1999): substrate uptake rates exceeding the "maximal
rate" parameter have been found, when a culture is suddenly exposed to a higher substrate concentration than what
it has adapted to. Thus, the linearized kinetics are actually more realistic than the standard Monod kinetics in that
they allow the uptake rate to exceed the conventional maximal uptake rate. The linearization with substrate mean
concentration also simplifies to standard Monod kinetics in homogeneous conditions.

Using the substrate profile to estimate the local consumption or production rates from a global volumetric 478 rate was a convenient choice that allowed analytical profiles to be formed for dissolved oxygen, temperature, and 479 CO2. This implied a one-way coupling between substrate and oxygen and substrate and CO2: substrate induced 480 oxygen consumption and  $CO_2$  release, but their availability or presence did not influence substrate consumption. A 481 two-way coupling would be more appropriate, but in a fed-batch context the overall substrate consumption rate 482 eventually equals the volumetric feed rate, making the straightforward one-way coupling more applicable. In a 483 batch reactor the two-way coupling would be more critical. The zeroth-order oxygen consumption simplified 484 the treatment substantially, as it also allowed discarding the standard Monod parameters of oxygen consumption, 485 requiring only the estimated overall oxygen demand rate and transfer rate coefficient. The obtained oxygen profiles 486 were more indicative of potential oxygen limitation zones that could be defined here as environments with  $O_L = 0$ , 487 but probably less applicable as definitive profiles. There is always some upper limit to biological oxidative capacity 488 (Szenk et al., 2017), which was not considered in this modeling work, however. It would be possible to estimate 489 the spatial profile of dissolved oxygen using also a biological limit to local uptake rate. This would hardly bring 490 substantial value, when the objective is simply to detect potential oxygen limitation around feed points in a fed-batch. 491 Deriving oxygen uptake effectivity would also be complicated after adding such a biological limit. In a batch setting 492 the biomass-specific rates and limits to them play a more important role, when the oxygen consumption is not 493 as limited by substrate availability. Likewise the profiles of temperature and CO2 were relatively easy to obtain 494 using the substrate profile. For simplicity, local limitations in oxygen transfer were not considered in determining 495 the temperature profile. Instead, the local limitations were incorporated through the oxygen uptake efficiency 496 factor  $\eta_{OUR}$ , which affected the overall oxygen consumption and heat release rate. Using a nonuniform cooling in 497 obtaining the temperature would have affected the profile, but most likely not in a significant amount. 498

The zeroth-order pH profile approximation gave a quick view to potential pH gradients during pH control. The steady-state methodology does not apply to the initial transient. The profiles are most applicable between correction cycle middle and end when the initial transient has smoothed out.

As for the hydrodynamic assumptions, the use of turbulent dispersion for the liquid phase was treated and validated in Part I of this study (Losoi et al., 2023). Plug flow assumption for the gas phase was necessary here to obtain an estimate of  $CO_2$  profiles. The assumption could be considered reasonable in the high aspect ratio reactors studied here. With lower aspect ratios it is probable that axial dispersion cannot be neglected. Its use also implied that the liquid phase is locally saturated with  $CO_2$  such that all produced  $CO_2$  is released as gas and none dissolves. A high oxygen flow rate was necessary for assuming undepleted gas-phase. With lower flow rates relative to

theoretical maximum consumption the gas-phase conversion should be taken into account. However, this would 508 complicate the efficiency factor calculations. For preliminary analyses it is perhaps easiest to use zero conversion 509 and to note that if limitations are predicted, they are likely to occur as well. In the modeling performed here, 510 the assumption of negligible gas-phase conversion of oxygen was not too bold. In some other context, using 511 zero conversion throughout would be unreasonable. If non-zero conversion cannot be assumed, the profile of 512 gas-phase oxygen could be roughly estimated similarly to  $CO_2$  by a plug flow equation but with spatially dependent 513 consumption. The axial and radial differences in oxygen transfer rate coefficients due to impeller vicinity (Oosterhuis 514 & Kossen, 1984) were neglected for simplicity. In a heterogeneous fed-batch setting such as here the spatial 515 variations in  $k_{\rm L}a$  are not necessarily as important as in a homogeneous batch setting, where the oxygen demand by 516 substrate is more-or-less uniform across the whole reactor. It would be possible to use a spatially heterogeneous 517 transfer rate coefficient for oxygen when estimating the profiles, if more precise data were available, or by correlations 518 (Oosterhuis & Kossen, 1984). Also, the space below the sparger at the bottom can usually be expected to be poorly 519 oxygenated (Oosterhuis & Kossen, 1984), which was not accounted for here. 520

# 521 4.6 Implications

With over  $40 \text{ g L}^{-1}$  biomass concentrations or  $16 \text{ g L}^{-1} \text{ h}^{-1}$  feed rates, mixing limitations (M > 1) begin to occur 522 even with only  $t_{95} = 10$  s mixing times characteristic to small-scale equipment. In large-scale reactors, where 523  $t_{95} > 200$  s and longer mixing times are possible, mixing limitations may appear already with low biomass 524 concentrations of 5 g  $L^{-1}$  or feed rates of 1 g  $L^{-1}$  h<sup>-1</sup> (Tables 2 and 3). Heterogeneous substrate concentration 525 profiles localize oxygen demand as well, leading to anoxic zones. Similarly the use of cofeeding strategies, where 526 an additional high-energy substrate is supplied in low concentrations (Park et al., 2019), could be compromized by 527 the high substrate concentrations found near the feeding points. If the substrate feed rates were more intensive, e.g. 528  $10 \, g \, L^{-1} \, h^{-1}$ , and oxygen transfer were not limiting, measurable axial temperature differences might be expected. 529 Based on the various negative effects of the characterized heterogeneity, it is suggested that large-scale reactors 530 should be homogenized more effectively. Of all the alternatives, the use of multiple feed points or at least positioning 531 the feed at the middle instead of at the top (Losoi et al., 2022) could be the easiest to implement. Symmetrical feed 532 placement divides the effective working height by the number of feed points N such that  $M \sim N^{-1}$ ,  $M_T \sim N^{-2}$ , 533 and  $M_{\rm pH} \sim N^{-2}$ , leading to a quick decrease in the time-scale of mixing and heterogeneity. Homogeneity in gas 534 phase is not achievable by feed arrangements, but linear gas-phase composition profiles would be found in case of a 535 homogeneous liquid phase. For pH control it can be suggested that a minimal volume flow rate should be used 536 together with relatively tight control thresholds to avoid persisting pH gradients in the reactor. 537

# 538 5 Conclusions

The aim of this two-part study was to comprehensively model large-scale stirred bioreactors using 1D diffusion 539 equations. Part I of this study (Losoi et al., 2023) presented a computation formula for the model's parameter, the 540 axial diffusivity, and validated it against a large set of previously published experimental data. This second part 541 employed the model to characterize substrate, pH, oxygen, CO<sub>2</sub>, and temperature profiles with few dimensionless 542 numbers in typical fed-batch contexts. The characterizations were compared with available experimental and 543 numerical data, and good accordance was found even though the model was not optimized to the reference data. 544 The modeling suggested that indeed each of the five variables could be heterogeneous, though pH and temperature 545 not as severely as substrate and oxygen. According to the model, appropriate feed point placement could effectively homogenize the liquid phase. CO<sub>2</sub> could not be homogenized in a tall reactor, but a linear profile of gas-phase 547 content could be expected if the reactor were homogeneous. Likewise, gas-phase O2 conversion would be expected 548 to be linear in a tall but homogeneous reactor. Based on this two-part study, 1D diffusion equations can be applied 549 for simple and predictive preliminary modeling of typical large-scale stirred bioreactors. 550

# 551 Author contributions

Pauli Losoi developed the model, performed the computations and analysis, and wrote the manuscript. Jukka
 Konttinen and Ville Santala supervised the study and revised the manuscript.

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# 557 Conflict of interest

<sup>558</sup> The authors declare that there are no conflicts of interest.

# **559** Data availability

<sup>560</sup> The data that support the findings of this study are available from the corresponding author upon reasonable request.

# 561 Supporting information

<sup>562</sup> Supplementary Text: Figures S1–S9.

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# 686 **Tables**

Quantity	Unit	L96	B98	X99
VL	m <sup>3</sup>	20.8 (t) / 19.8 (b)	8.0	22.4
$\epsilon_{ m G}$	%	17.1	13.1 (correlated)	17.5
H	m	7.3 (t) / 7.0 (b)	3.5	7.9
n	rpm	133	113 (75–150)	133
$v_{\rm G}/V_{\rm L}$	vvm	0.525 (t) / 0.552 (b)	0.50 (0.25-0.75)	0.48
d	$\mathrm{m}^2\mathrm{s}^{-1}$	0.126 (t) / 0.121 (b)	0.094	0.134
t95	S	159 (t) / 149 (b)	49	175
$Q_S$	${ m g}{ m L}^{-1}{ m h}^{-1}$	2.58 (t) / 2.72 (b)	5.9	3.86
$\langle S \rangle$	$ m mgL^{-1}$	10	10	30
$q_S$	${ m g}{ m g}^{-1}{ m h}^{-1}$	1.7	1.35	1.35
$K_S$	$gL^{-1}$	0.18	0.05	0.05
Reference	-	Larsson et al. (1996)	Bylund et al. (1998)	Xu, Jahic, Blomsten, and Enfors (1999)

Table 1: Referenced large-scale experiments.

Symbols:  $V_L$ , liquid volume;  $\epsilon_G$ , overall gas holdup; H, overall dispersion height; n, stirrer rate;  $v_G/V_L$ , volume flow of gas per liquid volume; d, axial diffusivity;  $t_{95}$ , longest possible 95 % mixing time;  $Q_S$ , volumetric substrate feed rate during constant-feed phase;  $\langle S \rangle$ , estimated mean substrate concentration during constant-feed phase at 20 g L<sup>-1</sup> biomass concentration;

 $q_S$ , specific substrate consumption rate;  $K_S$ , Monod constant for substrate consumption. Notes: The diffusivities and mixing times were calculated as in Part I of this study (Losoi et al., 2023) based on the operating conditions reported in the references. Larsson et al. (1996) reported the specific substrate consumption rate and Monod constant, and values reported in Xu, Jahic, and Enfors (1999) were used for Bylund et al. (1998) and Xu, Jahic, Blomsten, and Enfors (1999). Larsson et al. (1996) conducted two experiments, one with the feed at the top (t) and the other with the feed at the bottom (b). Bylund et al. (1998) reported ranges of values for stirrer and gas flow rates (shown in parentheses here), and their

means were used.

**Table 2:** Example values for kinetically calculated substrate modulus  $M \approx 0.0862\sqrt{(X/g L^{-1})(t_{95}/s)}$ .

$X / g L^{-1}$	$t_{95} = 10 \mathrm{s}$	$t_{95} = 100 \mathrm{s}$	$t_{95} = 200 \mathrm{s}$
1	0.27	0.86	1.22
5	0.61	1.93	2.73
10	0.86	2.73	3.86
20	1.22	3.86	5.45
40	1.72	5.45	7.71

Symbols: *M*, substrate modulus (Equation 7); *X*, biomass concentration; *t*<sub>95</sub>, 95 % mixing time with widest possible feed-probe distance.

**Table 3:** Example values substrate modulus with feed-based calculation  $M \approx 0.122 \sqrt{(Q_S/g L^{-1} h^{-1})(t_{95}/s)}$ .

$Q_S$ / g L $^{-1}$ h $^{-1}$	$t_{95} = 10 \mathrm{s}$	$t_{95} = 100 \mathrm{s}$	$t_{95} = 200 \mathrm{s}$
1	0.39	1.22	1.72
2	0.55	1.72	2.44
4	0.77	2.44	3.45
8	1.09	3.45	4.88
16	1.54	4.88	6.90

Symbols: *M*, substrate modulus (Equation 8);  $Q_S$ , volumetric feed rate of substrate;  $t_{95}$ , 95 % mixing time with widest possible feed-probe distance.

**Table 4:** Axial temperature differences (°C) between top and bottom evaluated with different substrate feed rates  $Q_S$  and 95 % mixing times assuming  $\langle S \rangle = 0.05 \text{ g L}^{-1}$ ,  $x_0 = 1$ , and OUR = ODR = 0.446 $Q_S$ .

$Q_S/{ m g}{ m L}^{-1}{ m h}^{-1}$	$t_{95} = 10 \mathrm{s}$	$t_{95} = 100 \mathrm{s}$	$t_{95} = 200 \mathrm{s}$
1	0.00	0.01	0.05
2	0.00	0.05	0.16
4	0.00	0.16	0.47
8	0.01	0.47	1.22
16	0.03	1.22	2.91

Symbols:  $Q_S$ , volumetric feed rate of substrate;  $t_{95}$ , 95 % mixing time with widest possible feed-probe distance.

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**Figure 4:** Volumetric variance of dimensionless substrate. (A) Experimental data by Larsson et al. (1996) (both top and bottom feeds, same set as in Figures 3A and 3B). Simulation data by Pigou and Morchain (2015). (B) Simulation data by Losoi et al. (2022).



**Figure 5:** (A) Estimated dissolved oxygen distributions in large-scale cultivation experiments reported by Bylund et al. (1998), Larsson et al. (1996), and Xu, Jahic, Blomsten, and Enfors (1999). (B) Estimated temperature distributions in large-scale cultivation experiments reported by Bylund et al. (1998), Larsson et al. (1996), and Xu, Jahic, Blomsten, and Enfors (1999). (C) Estimated  $p_{CO_2}$  distributions in large-scale cultivation experiments reported by Bylund et al. (1998), Larsson et al. (1999), and Xu, Jahic, Blomsten, and Enfors (1999). (C) Estimated  $p_{CO_2}$  distributions in large-scale cultivation experiments reported by Bylund et al. (1998), Larsson et al. (1996), and Xu, Jahic, Blomsten, and Enfors (1999).



**Figure 6:** Axial profile of pH in a pseudo-steady state corresponding to the end of a control cycle that has raised pH from 6.9 to 7.1. The pH modulus  $M_{pH} = 0.59$  corresponds to such a volume flow rate of the 25 % NH<sub>4</sub>OH solution that equals the constant volume flow rate of the substrate feed in the Xu, Jahic, Blomsten, and Enfors (1999) large-scale experiment.