

# The impact of chronotropic incompetence on atrioventricular conduction times in heart failure patients

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## Abstract

**Objectives:** To evaluate changes in intrinsic atrioventricular (AV) conduction associated with exercise and atrial pacing among heart failure patients with cardiac resynchronization therapy (CRT). **Methods:** RAVE was a multicenter prospective trial of CRT patients. Heart rate was increased with incremental atrial pacing up to 130 beats/min and with submaximal exercise without atrial pacing. According to maximal heart rate achieved during exercise, patients whose maximal heart rate < 100 bpm or 75% of 85% of age predicted max heart rate were diagnosed with chronotropic incompetence(CI). Others were classified as patients with chronotropic competence(CC). For CI patients, an additional symptom limited exercise with rate adaptive pacing activated was performed. Intracardiac intervals were measured from the implantable lead electrograms. **Results:** There were 12 subjects with CI and 24 with CC. With atrial pacing, AV interval immediately increased and gradually increased with incremental atrial pacing in all patients. However, the changes in the atrial to right ventricular (ARV) and atrial to left ventricular (ALV) intervals with increasing atrial pacing rates were about 3-fold greater in CI patients compared to CC patients ( $28.3 \pm 29.5$  vs.  $10.8 \pm 8.9$  ms/10 bpm for ARV and  $25.5 \pm 22.1$  vs.  $9.9 \pm 8.8$  ms/10 bpm for ALV in the supine position,  $p < 0.05$ ). In CI pacing with rate-adaptive pacing during exercise, AV interval changes with paced heart rate were variable. **Conclusions:** AV response to overdrive atrial pacing at rest may provide a simple means of identifying chronotropic competence in CRT patients. For patients with CI, who often require rate-adaptive atrial pacing, rate-adaptive AV algorithms should be adjusted individually.

## 1. INTRODUCTION

Patients with heart failure with a reduced ejection fraction (HFrEF) have a high incidence of chronotropic incompetence (CI), which is often exacerbated with medical therapy such as beta-adrenergic blockers, antiarrhythmic drugs, or digoxin.<sup>1-3</sup> As such, rate-adaptive atrial pacing may be beneficial, especially among patients who are physically active.<sup>4-5</sup> In HFrEF patients with QRS prolongation, cardiac resynchronization therapy (CRT) has been shown to improve exercise tolerance as well as functional status.<sup>6-9</sup> However, the pivotal CRT trials were performed in the absence of rate-responsive atrial pacing. More recent trials demonstrated the safety of rate-responsive pacing in this population.<sup>10</sup> However, rate-responsive pacing also affects atrioventricular (AV) conduction times. This can result in suboptimal timing of ventricular pacing, including pseudo fusion or inhibition of pacing. In addition, AV intervals are used for programming AV delays in several CRT systems.<sup>11-13</sup> Accordingly, the RAVE study was designed to assess the change in AV conduction with exercise and atrial pacing to help guide the identification of patients with CI, as well as programming of rate responsive AV delay.

## 2. METHODS

RAVE was a multicenter, prospective study of CRT patients in normal sinus rhythm. The study protocol

was approved by the institutional review board of each center and all patients provided written informed consent.

## 2.1 Patients

Patients were required to be in sinus rhythm with intact AV conduction and be receiving CRT for at least 3 weeks post-device implantation. The exclusion criteria included contraindication to exercise testing. A more detailed description of the study protocol has been published previously.<sup>14</sup>

## 2.2 Study protocol

The RAVE study protocol is shown in Figure 1. First, heart rate (HR) was increased with incremental atrial pacing up to 130 beats/min (bpm) at intervals of 10 bpm in three different postures (supine, standing, and sitting with sitting being optional) to assess the relationship of atrial pacing rate and posture on AV timing (protocol A). Then, a symptom-limited sub-maximal exercise treadmill test was performed in the absence of pacing (protocol B). The ACSM exercise testing and prescription guidelines<sup>15</sup> were followed to determine chronotropic incompetence. Subsequently, patients who had CI (a maximal heart rate achieved during the submaximal exercise test  $< 100$  bpm or  $< 63.8\%$  of age-predicted max heart rate) continued an additional symptom-limited exercise with rate-adaptive atrial pacing with nominal settings activated (protocol C). Of note, only one CI patient had a heart rate  $> 100$  bpm. At least 5 minutes was required between each stage of the recordings.

## 2.3 Data collection and analysis

The real-time electrogram data of the implanted CRT device were collected from the right atrial, right ventricular, and left ventricular leads. Atrial to right ventricular (ARV), atrial to the left ventricular (ALV), and RV to LV time intervals were recorded. ARV was defined as the interval between the peaks of RA and RV electrograms, and ALV interval was the interval between the peaks of RA and LV electrograms. Ectopic beats, noisy signals, and the intervals due to under-sensing or over-sensing were removed prior to analysis.

All data analyses used MATLAB (Natick, MA) and SAS (Cray, NC) software. Continuous variables were expressed as mean  $\pm$  standard deviation or median (interquartile range (IQR)), where appropriate. A linear regression line was fitted between AV intervals and heart rates, and the slope of the line was reported to assess the relationship between AV intervals and exercise/pacing. An unpaired t-test was performed for significance testing, and a p-value of  $< 0.05$  was considered statistically significant. For categorical variables, a Fisher exact test was performed.

## 3. RESULTS

### 3.1 Baseline characteristics

There were 36 heart failure patients enrolled in the RAVE study. According to the maximal heart rate achieved during the symptom-limited exercise test, patients were divided into 2 groups: Group 1: CI patients (n=12); and group 2: chronotropic competent (CC) patients (n=24). The baseline characteristics of the groups are shown in Table 1. This was a typical cohort of heart failure patients and the groups were well matched, except that CI patients had a higher ejection fraction than CC subjects ( $29 \pm 5\%$  vs.  $23 \pm 6\%$ ,  $p < 0.05$ ). Based on group classification, compared to CC patients, CI patients had significantly lower maximum heart rate during the submaximal exercise test in the absence of pacing (protocol B) ( $86.7 \pm 11.8$  bpm Vs.  $110.7 \pm 25.0$  bpm,  $p < 0.001$ ).

### 3.2 AV interval changes in CI and CC patients at rest

During protocol A, incremental atrial pacing at different postures was performed to study the changes in AV intervals with respect to HR. Both ARV and ALV increased with incremental atrial pacing compared to intrinsic intervals. As shown previously, ARV and ALV intervals increased rapidly in all subjects from atrial sense to atrial pacing as shown by a larger slope and then increased gradually as the paced heart rate increased, as shown by a smaller slope.<sup>14</sup> New data reported here shows that patients with CI have

significantly greater prolongation of AV intervals than CC patients. These results are summarized in Table 2. The averaged slopes of prolongation of ARV and ALV intervals with increasing paced heart rate for CI patients were  $> 20$  ms per 10 beat increase in atrial pacing rates for all postures. In contrast, in CC patients, the averaged slopes of prolongation of AV intervals with paced rates were almost always  $< 10$  ms per 10 beat increase in pacing rates for each posture. The changes in ARV and ALV were similar for all postures in both groups ( $p = \text{NS}$ ). Overall, the prolongation of AV intervals was about 3-fold greater in patients with CI compared with CC for all postures ( $p < 0.05$ ).

### 3.3 AV interval changes in CI patients during exercise testing

In the CI patients, submaximal exercise testing was performed first (protocol B) with no atrial pacing. Next, rate-adaptive atrial pacing was activated (protocol C). As expected, the maximum heart rate during exercise was higher when the rate-adaptive pacing was present, as shown in Figure 2. ARV and ALV slopes during standing at rest, exercise with no pacing, and exercise with rate-adaptive atrial pacing activated are summarized in Table 3. It was observed that the slope of prolongation of AV intervals vs. atrial paced heart rate (protocol C) was larger with rate-adaptive pacing turned on as compared to the slope of prolongation of AV intervals vs. atrial sensed heart rate during exercise (protocol B) in CI patients (Figure 3).

During the exercise test for CI patients in protocol C, except for one patient, there was initially rapid increase in AV intervals with the transition from atrial sensing (As) to atrial pacing (Ap) (ARV slope =  $57.4 \pm 64.8$  ms/10 bpm and ALV slope =  $68.7 \pm 76.9$  ms/10 bpm). This was followed by continuous but more gradual increases in AV intervals as heart rate increased (ARV slope =  $14.0 \pm 12.9$  and ALV slope =  $13.6 \pm 13.8$  ms/10 bpm). The trends were similar to those with atrial pacing at rest. However, the changes in AV intervals were variable among different CI patients. Only one patient showed a decreased AV interval from As to Ap. Specifically, 6 patients had a slope of ARV vs. heart rate  $> 5$  ms/10 bpm, and 4 patients had a slope of ALV vs. heart rate  $> 5$  ms/10 bpm during increased HR with rate-adaptive pacing activated.

## 4. DISCUSSION

### 4.1 Main findings

RAVE is the first multicenter trial to evaluate the impact of posture, atrial pacing, and exercise on inter and intraventricular delays in CRT patients. Such delays are important to maintain a high percentage of ventricular pacing, but are also used to optimize fusion with intrinsic conduction. We report 4 major findings from this study. **First**, the changes in AV conduction are very different in CI and CC patients with atrial pacing. **Second**, posture has little effect on AV conduction, so device AV delay programming and optimization algorithms do not need to adjust for posture. **Third**, a sudden and large increase in AV conduction is observed with atrial pacing, which should help guide the programming of AV delays during such pacing. **Finally**, the change in AV conduction with exercise in patients with CI is variable, so individualization is likely needed rather than using nominal settings.

About 30% of all CRT patients are classified as nonresponders, although the classification system has recently been questioned.<sup>16</sup> Nevertheless, a weaker response to CRT may be partly explained by the inappropriate programming of AV intervals.<sup>17</sup> Although guidelines do not recommend routine optimization,<sup>4,18</sup> current electrogram algorithms<sup>11-13</sup> are frequently based on achieving fusion with intrinsic conduction, so such intervals are important.

### 4.2 Different changes in AV interval with atrial pacing in CI and CC patients

In this study, one-third of patients were diagnosed with CI, and overdriving atrial pacing markedly increased the AV interval in those patients. The prolongation of AV intervals was about 3-fold greater in patients with CI compared with CC. This result has important implications, as it may provide a simple method to identify CI patients according to the response to overdriving atrial pacing without the need for exercise testing. In clinical practice, CI is frequently underdiagnosed as formal exercise testing is not performed routinely. CI is a major limiting factor for exercise capacity, and it is also a predictor of cardiovascular events and mortality.<sup>1,5,19,20</sup> Consequently, timely and easily identified CI patients will allow activation of

rate-adaptive pacing, which can improve patients' exercise tolerance, functional class, quality of life, and potentially improve prognosis.<sup>21,22</sup>

A second observation from this study is that high-rate atrial pacing at rest caused the significant lengthening of intrinsic AV interval. Notably, atrial pacing rates were increased during rest, which is in the absence of metabolic demand. However, higher basal heart rates are often favored among patients with severe cardiac dysfunction or worsening heart failure. Given a low stroke volume, a higher basic pacing rate even at rest is often needed to maintain cardiac output. For these patients, AV delays should be programmed markedly longer than the nominal settings. Presumably, this slowed AV conduction is due to properties of the AV node as well as possible slowing of conduction through the myocardium in myopathic hearts.

#### 4.3 Rate adaptive atrial pacing variably lengthened the AV intervals

CRT AV delay programming is complex due to uncertainty of the optimal AV delay, atrial-paced/sensed offset, and rate-adaptive AV delay. In this study, the intrinsic AV interval with activated rate-adaptive pacing changed individually but on average lengthened. This observation has important implications for dynamic AV delay programming in CRT patients, especially in those who need atrial pacing. The paced AV offset and rate-adaptive changes should be patient-specific and differ significantly from nominal device settings. Automated assessment of AV conduction with atrial pacing may facilitate this response.

Previous studies have shown that the AV delay offset for atrial paced compared with atrial sensed in CRT is often underestimated at normal settings derived from bradycardia pacing patients.<sup>23-25</sup> Our study indicates a longer paced AV offset may be useful for CRT than most nominal settings. These results provide further support for the physiologic differences in AV conduction in systolic heart failure patients compared with patients with normal systolic function.<sup>26</sup>

For rate-adaptive pacing, progressive shortening of AV interval has been proved to benefit diastolic filling and ejection time, and so empirically programmed in a dual-chamber pacemaker. However, recent studies have suggested that this algorithm does not work well for biventricular pacing.<sup>27-29</sup> Due to the uncertainty and inconsistency in this aspect, the AV delay is often programmed to be fixed in most modern biventricular devices. However, our results suggest that lengthening of the rate-adaptive AV delay may be more suitable for heart failure patients, which differs significantly from the traditional device settings.

### 5. LIMITATIONS

This study should be interpreted in light of several methodologic limitations. First, the number of patients studied was relatively small. Second, the data were collected over a relatively short period of time, so the impact of prolonged pacing or exercise was not assessed. Finally, further study is needed to develop algorithms for AV delays in sensor-driven as well as programmed changes in heart rate.

### 6. CONCLUSIONS

The AV response to overdrive atrial pacing at rest may provide a simple means of identifying chronotropic competence in CRT patients. For patients with CI, who often require rate-adaptive atrial pacing, the intrinsic AV interval changed individually but on average lengthened. Accordingly, optimization of AV delay at rest is not suitable for all activity levels. Rate Adaptive AV should be individually determined and dynamically optimized according to the increased heart rate.

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**Table 1** . Baseline demographics for CI and CC patients. CI, chronotropic incompetence; CC, chronotropic competence; LBBB, left bundle branch block.

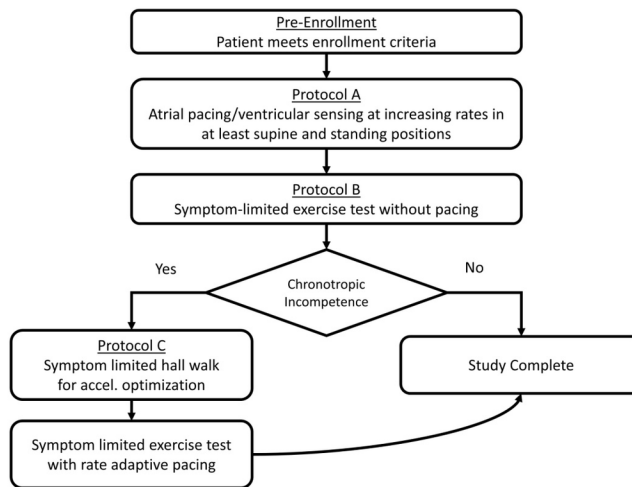
	CI pts (n=12)	CC pts (n=24)	P value
Age (years)	67±9	64±10	0.37
Male (%)	75	71	0.56
Ischemic Cardiomyopathy (%)	83	46	0.034
NYHA functional class III (%)	50	46	0.55
NYHA functional class II (%)	42	36	0.45
NYHA functional class I (%)	8	18	0.89
Left-ventricular ejection fraction (%)	29±5	23±6	0.025
LBBB (%)	67	83	0.88
QRS duration (ms)	165±27	170±30	0.611
PR interval (ms)	191±40	186±33	0.715
Maximal Heart Rate during 1st exercise test (bpm)	87±12	111±25	0.001
Beta blocker (%)	92	100	1
Digoxin (%)	25	17	0.43

**Table 2** The rates of change of ARV and ALV intervals with increasing paced atrial rates. \*p<0.05 compared with CC. Unit: ms/10 bpm. ARV, atrial to right ventricular; ALV, atrial to the left ventricular; CC, chronotropic competence; CI, chronotropic incompetence

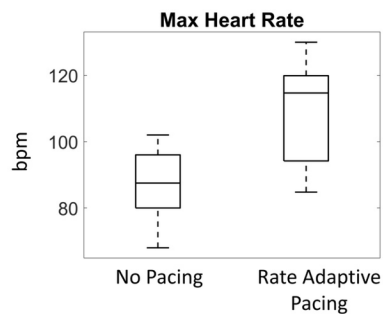
	<i>Supine</i>	<i>Supine</i>	<i>Standing</i>	<i>Standing</i>	<i>Sitting</i>	<i>Sitting</i>
	ARV slope*	ALV slope*	ARV slope*	ALV slope*	ARV slope	ALV slope
<b>CC patients</b>	10.81±8.92	9.85±8.75	7.18±5.51	7.09±5.68	8.81±5.35	8.51±6.15
<b>CI patients</b>	28.32±29.51	25.45±22.07	24.29 ± 28.90	22.73 ± 25.56	20.14± 24.01	20.75± 24.75

**Table 3** ARV and ALV slopes during standing at rest (protocol A), exercise with rate-adaptive atrial pacing activated (Protocol C), and exercise with no pacing (Protocol B) in chronotropic incompetence patients. Unit: ms/10 bpm. ARV, atrial to right ventricular; ALV, atrial to left ventricular; As, atrial sensing; Ap, atrial pacing; HR, heart rate.

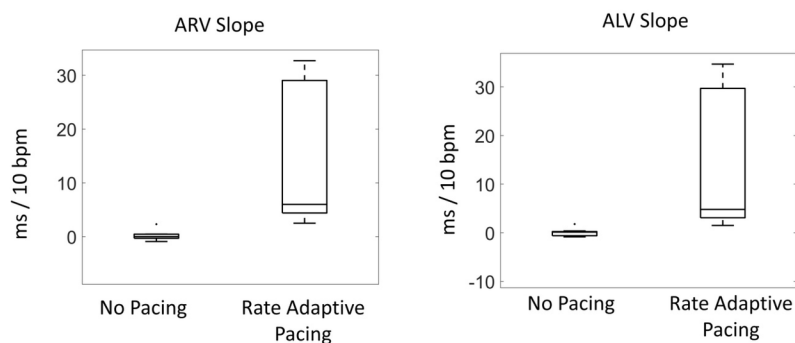
	<i>ARV slope</i>	<i>ARV slope</i>	<i>ARV slope</i>	<i>ARV slope</i>	<i>ARV slope</i>	<i>ALV</i>
	<i>Protocol A</i>	<i>Protocol A</i>	<i>Protocol C</i>	<i>Protocol C</i>	<i>Protocol B</i>	<i>Protocol B</i>
	From As to Ap	Ap with increased HR	From As to Ap	Ap with increased HR	Exercise with no pacing	Exercise with no pacing
1	136.1	10.9	49.4	6.0	23.2	128.6
2	-	108.2	198.1	-	42.7	-
3	-17.7	36.6	-1.4	2.9	4.78	55.3
4	23.4	14.5	38.3	5.7	-4.11	26.6
5	-	28.1	-	31.2	4.63	-
6	-	14.3	45.8	2.5	0.16	-
7	176.0	38.9	-	32.7	-8.98	179.3
8	206.4	14.3	50.3	12.2	4.34	205.0
9	38	5.3	-	28.3	-2.57	38.3
10	48.9	7.9	21.5	4.9	-0.85	48.9
avg	87.3±85.1	27.9±30.6	57.4±64.8	14.0±12.9	6.3±16.0	97.4±



**Figure 1** . RAVE study protocol flow.



**Figure 2** Maximum heart rate in chronotropic incompetence patients during exercise tests with no atrial pacing (protocol B) and rate-adaptive atrial pacing (protocol C).



**Figure 3** ARV and ALV slope in chronotropic incompetence patients during exercise with no atrial pacing (protocol B) and rate-adaptive atrial pacing (protocol C). ARV, Atrial to right ventricular; ALV, atrial to left ventricular.