

Abatacept-based Graft-Versus-Host-Disease Prophylaxis in Haplo-identical Hematopoietic Cell Transplant: Single Center Experience in a High-Risk Cohort

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Abstract

Post-transplant cyclophosphamide (PTCy) has become the most popular approach in haplo-identical hematopoietic cell transplant (haplo-HCT). Although there are reports of a small number of adult patients in the literature who experienced graft failure and were re-transplanted with a haploidentical donor with PTCy prophylaxis, there is still insufficient guidance for patients with specific contraindications/complications to cyclophosphamide and virtually no data in the pediatric setting. Abatacept (Aba), a T cell co-stimulation blockade, has been shown in previous studies to prevent severe acute graft-versus-host disease (GVHD) with minimal toxicity and durable engraftment. We report the efficacy of Aba-based GVHD prophylaxis in four pediatric patients (ages 2-12 years) who received a haplo-HCT with peripheral blood stem cells (PBSC). Three patients had previous transplants. One patient developed acute GVHD of skin stage 3 and one patient had both stage 3 skin and stage 1 GI acute GVHD. Two patients had mild chronic skin GVHD. All 4 patients are alive with full donor chimerism and without disease at 7-23 months follow up, weaning or off immunosuppressive agents with no complications. Successful haplo-HCT utilizing an Aba-based regimen can result in reliable engraftment and acceptable GVHD. However, our small sample size limits generalizability and encourages the consideration of a larger prospective trial to validate these results in the haplo-HCT setting.

INTRODUCTION

The platform of haplo-HCT has changed dramatically in recent decades, specifically with the introduction of post-transplant cyclophosphamide (PTCy) (1, 2). Several groups have since combined the use of PTCy and anti-thymocyte globulin (ATG), with varying doses, in an effort to further reduce GVHD with haplo-HCT when a PBSC graft is used (3-6). This regimen was shown to reduce the incidence of both acute and chronic GVHD, had lower NRM, with similar long term transplant outcomes. This highlights the possibility of preserving a good outcome post haplo-HCT with a variation in the standard use of PTCy alone.

Haplo-HCT with PTCy has been successfully used as salvage therapy in situations where the initial non-haplo HCT has failed. However, with the increasing popularity and success of haplo-HCT, we find ourselves facing unique challenges (7, 8). Although there are reports of a small number of adult patients in the literature who experienced graft failure or loss who were re-transplanted with a haploidentical donor with PTCy prophylaxis as well, there is still insufficient guidance for patients with specific contraindications/complications to cyclophosphamide and virtually no data in the pediatric setting (9-13). The concern regarding further use of cyclophosphamide may include, but is not limited to, recent exposure and significant cumulative dose of cyclophosphamide and presence of secondary organ toxicity such as cardiac dysfunction or urinary tract toxicity. Abatacept, a soluble fusion protein composed of the extracellular domain of human cytotoxic T-lymphocyte -associated antigen 4 (CTLA-4) linked to the modified Fc portion of human immunoglobulin

G1, selectively inhibits T cell co-stimulation by blocking CD28 mediated signaling (14). It therefore can attenuate T cell activation giving it the potential to mitigate GVHD (15). Abatacept earned the breakthrough designation from the US Food and Drug Administration for protection against acute GVHD in 2019 (16, 17).

In this single center retrospective study, we describe the use of abatacept for GVHD prophylaxis in four patients. This is the first report to describe the use of abatacept as an alternative to the PTCy approach in haplo-HCT in the malignant setting. The standard PTCy regimen had been avoided in these patients either due to previous exposure to PTCy or when administration of PTCy would not be appropriate due to their underlying disease or organ dysfunction.

METHODS

We describe our experience with using abatacept (Aba) as GVHD prophylaxis in four pediatric patients who received haplo-HCT. Our study was approved by the institutional Research Ethics Board. We conducted a retrospective chart review on all children who received a haploidentical HCT at The Hospital for Sick Children (Sickkids) in Toronto, Canada between January 2015 and July 2020.

Inclusion criteria consisted of: (a) age <18 years at time of transplantation, (b) recipient of a peripheral blood stem cell graft from HLA haploidentical donors (c) received non PTCy GVHD prophylaxis, and (d) may have malignant or non-malignant disorder. All patients who met the inclusion criteria have had at least 180 day follow up post-transplant at the time of this report. There were five recipients who were eligible for inclusion in this cohort. One patient was excluded from analysis to avoid confounding the outcome as the patient had also received immunosuppression with etanercept as part of the treatment for underlying disease.

SUPPORTIVE CARE

All our patients were treated in protective private HEPA (high-efficiency-particulate-air) filtered positive pressure rooms. Infectious prophylaxis (antimicrobial, antiviral and antifungal) was administered as per our institutional guidelines. All patients received weekly monitoring of qualitative/quantitative polymerase chain reaction (PCR) for Epstein Barr virus (EBV), Adenovirus, CMV and Human herpes virus-6 (HHV-6). Treatment was guided by quantitative viral loads when positive. Since May 2020, all patients are required to have a COVID19 (Coronavirus disease 2019) nasopharyngeal PCR swab prior to admission, procedures or at the emergence of new symptoms suggestive of an infection. Acute GVHD was graded according to modified Glucksberg criteria and chronic GVHD was scored based on National Institutes of Health global severity criteria (18, 19). Chimerism studies were performed at the time of WBC recovery.

RESULTS

Between January 2015 and July 2020, 40 patients received a haploidentical transplant at The Hospital for Sick Children. Indications for transplant included: AML (n=11), ALL (n=9), immunodeficiency (n=4), marrow failure (n=4), lymphoma (n=3), chronic myeloid leukemia (n=3), mixed phenotypic acute leukemia (n=2), myelodysplastic syndrome (n=2), and other (n=2). From this cohort, five patients received a haploidentical transplant with a non PTCy approach. We excluded one of the five patients because that patient also received a non PTCy prophylaxis with etanercept.

Patient demographics and transplantation details are summarized in Table 1. A total of four patients (2-12 years) received a haploidentical HCT with a PBSC graft and Aba-based GVHD prophylaxis. Our cohort included one patient with a prior liver transplant for erythropoietic porphyria, two patients who have had a previous haplo-HCT with PTCy for hematological malignancy (CML and AML) complicated by secondary graft failure and one patient with a primary immunodeficiency (interferon gamma receptor 1 [IGR1] deficiency) who had concurrent mycobacterial avian complex infection.

All our cohort had significant concerns for the use of PTCy GVHD prophylaxis either due to recent prior high dose cyclophosphamide, concern of alkylating therapy exposure or organ dysfunction and therefore required

an alternative regimen. Our GVHD prophylaxis included Aba (10mg/kg) on day -1 & +5 in four patients, methotrexate (5mg/m²) on day +1, 3, & 6 in three patients, tacrolimus starting on day +1, in four patients and mycophenolate mofetil starting on day +1, in four patients. Two patients received two additional doses of abatacept (four total) on day +32 and day +56 for acute skin GVHD. There were no infusion reactions or adverse effects noted with Aba infusion. Rituximab (375mg/m²) was given on day +1 as EBV prophylaxis in two patients with EBV seropositivity.

The median number of CD34⁺ cells dose was 6.75 x10⁶ cells/kg.

The median time to neutrophil and platelet (>20 ×10⁹/L) engraftment was 23 days (range, 20-26 days) and 51 days (range, 17-91 days), respectively. Three patients had viral re-activations seen on routine monitoring but no signs of clinical disease. Specifically, two patients had asymptomatic CMV reactivation and one patient had adenovirus, all treated successfully with pre-emptive anti-viral therapy. One patient developed transplant associated thrombotic microangiopathy (TA-TMA) on Day + 16 and resolved with medical management. The TA-TMA was likely secondary to the calcineurin inhibitor.

One patient had stage 3 skin acute GVHD (overall grade II), 46 days post-transplant, which quickly responded to methylprednisone and then an oral prednisone taper. One patient had both stage 3 skin and stage 1 gastrointestinal (GI) acute GVHD (overall grade II) 40 days post-transplant which responded to methylprednisone and restarting tacrolimus which were subsequently weaned. Two patients had mild chronic skin GVHD.

At a median follow up of 1.1 years (range: 214 days- 1.7 years), all four patients are alive with stable engraftment and full donor chimerism. They have had no evidence of disease and a performance score of 100 at last follow up. Three patients are off immunosuppressive therapy (patient 1 at 18 months, Patient 2 at 130 days, Patient 4 at 247 days), one patient is on gradual wean of immunosuppression for mild chronic skin GVHD.

DISCUSSION

Following the success of haplo-HCT, in both malignant and non-malignant disorders in recent years, multiple variations to conditioning intensity and GVHD prophylaxis have been employed to improve the outcome even further.

The use of PTCy in haplo-HCT, either with BM or PBSC grafts, has been proven to be safe and associated with low incidence of GVHD in adults (1, 20-22). Although promising results have been reported with the use of PTCy in haplo-HCT in a few pediatric studies published to date (23-25), an alternative regimen may be necessary in children with recent prior exposure to high dose cyclophosphamide, those with a contraindication to the use of alkylating agents, organ dysfunction and possibly in younger children (< 10 years) due to the reported unacceptable higher rate of acute GVHD (aGVHD) and early NRM (26).

Abatacept has emerged as an attractive alternative since a reduction of aGVHD was demonstrated in its first in-human trial with the addition of four peri-transplant doses to standard GVHD prophylaxis in patients with malignant diseases receiving matched unrelated donor (MUD) graft (17).

Aba2, a phase II trial, enrolled patients older than 18 years of age with hematological malignancies, to study the addition of abatacept to calcineurin inhibitor (CNI)/MTX-based GVHD prophylaxis to reduce aGVHD. In 8/8 HLA-MUD, grade III-IV GVHD was 6.8% in the abatacept group vs 14.8% in the group. Severe aGVHD free survival (SGFS) was 93.2% (CNI/MTX plus abatacept) versus 82% (CNI/MTX plus placebo, P=0.05). The addition of abatacept did not increase relapse in the 7/8 or 8/8 MUD recipients (7/8s HR, 0.45; P=0.21 and 8/8s HR, 0.86; P=0.66), a key safety outcome when adding an adjunctive immunomodulating agent to transplants for hematologic malignancies. There was a significant improvement demonstrated in survival indicators in the 7/8 HLA-MUD cohort as well (27). A phase II trial comparing cyclophosphamide and abatacept with standard of care treatment in haematological malignancies is currently recruiting (NCT03680092)

This approach has since been extended to patients with non-malignant diseases. There has been encouraging results with the use of abatacept in haemoglobinopathies, where unlike malignant disorders, the aim is not to produce a graft versus leukemia effect. Ngwube et al recently published results of a phase 1 trial (NCT03128996) of MUD HCT in patients with severe sickle cell disease (SCD) using reduced intensity conditioning followed by tacrolimus, methotrexate or MMF and abatacept as GVHD prophylaxis (28). The continued risk of cGVHD in the first 2 participants in this trial prompted an amendment to extend co-stimulation blockade with abatacept to 1 year posttransplant in SCD patients receiving bone marrow product. The incidence of grades III-IV aGVHD at day +100 was 7% with a 2-year overall and disease-free survival was 100% and 92.9%, respectively. One-year incidence of chronic GVHD was 57% and mild/limited in all but 1 patient who received abatacept for a longer duration. Phase 2 trial of unrelated donor HCT, adding abatacept to standard GVHD prophylaxis are underway.

Khandelwal et al built on their previous experience of using a myeloablative regimen on 24 children with transfusion dependent thalassemia with the addition of four doses of abatacept to CN1 and corticosteroids. They also found that abatacept reduced the incidence of aGVHD (No grade II-IV aGVHD in the abatacept cohort vs 50% in standard cohort) without impacting engraftment or survival (29). All of the above studies were in the unrelated donor setting.

A pilot study by Jaiswal et al, trialed the concept of extended T cell co-stimulation blockade (COSBL) with Abatacept until day +180 to achieve long term tolerance and decrease incidence of cGVHD. This was administered along with sirolimus and PTCy in 10 patients with severe aplastic anemia. The GVHD and disease-free survival at one year in the COSBL group was 80% vs. 30% in the control group ($p=0.05$). This protocol did result in reduced cGVHD, low incidence of CMV and better immunosuppression free survival at one year (30). Another trial is exploring the benefits of intermediate duration abatacept on the risk of GVHD in sickle cell disease HCT (31). The ideal dosing schedule for abatacept to yield the optimal GVHD free relapse free survival (GRFS) in different disorders is still being investigated.

Another reported application of abatacept pertained to its effects on Natural killer (NK) cells. NK resistance to CTLA4Ig mediated anergy has been shown in both murine and canine models (32, 33). NK cells were also found to have augmented anti-tumor effect in the presence of CTLA4Ig (34). Jaiswal et al reported the use of early and sequential CTLA4 (Abatacept) primed DLI starting at day+7 of PTCy based haplo-HCT in 30 patients with relapsed/refractory leukemia. Prophylactic use of DLIs had been successfully used in the setting of advanced haematological malignancies to attenuate the possibility of disease progression (DP). This was based on the principle that NK cell mediated anti-leukemia effect could be exploited without an increase in T cell-mediated alloreactivity. They showed promising results with an incidence of aGVHD of only 6.7%, limited cGVHD in 20.8%, NRM 4.5% and a progression free survival (PFS) of 75.8% (35). This is particularly striking as the reported PFS of 372 patients with high disease risk index after PTCy based haplo-HCT was reported to be 22% by the Baltimore group (36).

Abatacept represents a unique approach to immunomodulation where prevention of early severe aGVHD is not associated with a delay in overall immune reconstitution. Patients treated with abatacept have been shown to accumulate significantly fewer proliferating and activated CD4⁺ T cells with a reduction in effector memory CD4 T cell expansion, early after transplantation (17, 27). That effect was not similarly demonstrated in CD8⁺ function and therefore combining T cell blockade with another signaling pathway may be necessary to control CD 4 and CD8 alloreactivity (37, 38). Similar to other studies, reconstitution of NK cells occurred more rapidly compared to other lymphocyte subsets. In the phase 1 trial (NCT01917708), no differences were seen in granulocyte or B cell recovery in non-malignant disease patients compared to Aba2 groups (Aba2; NCT01743131). Patients treated with abatacept have experienced transient T cell and NK depletion, where similar counts are seen by day +100, and therefore may be less likely to experience T cell dependent immunocompromise (28).

An additional vital aspect with the introduction of new immunomodulating agents is the added risk of infectious complications. There is in vitro evidence that T cells exposed to CTLA4Ig and cyclosporine still retain virus specific immunity (39). The use of abatacept in patients with severe aplastic anemia resulted

in lower incidence of CMV and other viral infections which may be explained by the relative lack of effect of abatacept on anti-viral memory T cells as well as the demonstrated improved recovery of Tregs (30). Ngwube et al also showed T cell subsets gradually recovered after day +100 to the normal range by 1 year. Although the rates of viral reactivations are non-negligible, majority of the patients in the previous studies were asymptomatic, and treated successfully with antiviral therapy. No incidence of post-transplant lymphoproliferative disorder (PTLD) was reported in the aforementioned studies. Careful routine monitoring for viral activation and immune recovery is needed post-transplant to guide interventions.

Although our study has a small cohort of four patients, it is the only pediatric report to describe outcomes with abatacept in the unique setting where administration of PTCy may be contraindicated. Our report highlights the concept of achieving acceptable GVHD rates with the addition of abatacept to GVHD prophylaxis without compromising the risk of relapse or increasing infectious complications.

CONCLUSION

Successful Haplo-HCT utilizing an Aba- based regimen can result in reliable engraftment and acceptable GVHD. Similar to other studies, this supports the concept of Aba-induced immune tolerance with minimal treatment-related morbidity. Our small sample size limits generalizability and a prospective study incorporating abatacept as upfront GVHD prophylaxis to validate these results in the haplo-HCT setting is being developed at our program. Alternatives to the PTCy regimen may be especially needed to improve outcomes for patients requiring high risk transplants, such as those undergoing a second HCT or have had significant previous toxicity.

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Abbreviations

Haplo	Haploidentical	HSV	Herpes Simplex Virus
HCT	Hematopoietic Stem Cell Transplant	PCR	Polymerase Chain Reaction
GVHD	Graft-Versus-Host-Disease	EBV	Epstein Barr Virus
ATG	Anti Thymocyte Globulin	HHV-6	Human Herpes Virus-6
PTCy	Post Transplant Cyclophosphamide	RBC	Red Blood Cell
Aba	Abatacept	CML	Chronic Myeloid Leukemia
CMV	Cytomegalovirus	AML	Acute Myeloid Leukemia
HLA	Human Leukocyte Antigen	CR	Complete Remission
TCD	T cell depletion	MMF	Mycophenolate Mofetil
NRM	Non Relapse Mortality	IFGR1	Interferon Gamma Receptor 1
OS	Overall Survival	IVIG	Intravenous Immunoglobulin
GFRS	Graft versus host disease free relapse free survival	AKI	Acute Kidney Injury
MUD	Matched Unrelated Donor	CNI	Calcineurin Inhibitor
CTLA-4	Cytotoxic T lymphocyte associated antigen-4	TDT	Transfusion Dependent Thalassemia
PBSC	Peripheral Blood Stem Cells	Bu	Busulfan
HEPA	High Efficiency Particulate Air	TNC	Total Nucleated Cells

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Table 1- Abatacept word doc.docx available at <https://authorea.com/users/422076/articles/527837-abatacept-based-graft-versus-host-disease-prophylaxis-in-haplo-identical-hematopoietic-cell-transplant-single-center-experience-in-a-high-risk-cohort>