Direct observations of electron firehose fluctuations in the magnetic reconnection outflow

Giulia Cozzani¹, Yuri V. Khotyaintsev², Daniel Bruce Graham², and Mats André²

¹University of Helsinki ²Swedish Institute of Space Physics

December 7, 2022

Abstract

Electron temperature anisotropy-driven instabilities such as the electron firehose instability (EFI) are especially significant in space collisionless plasmas, where collisions are so scarce that wave-particle interactions are the leading mechanisms in the isotropization of the distribution function and energy transfer. Observational statistical studies provided convincing evidence in favor of the EFI constraining the electron distribution function and limiting the electron temperature anisotropy. Magnetic reconnection is characterized by regions of enhanced temperature anisotropy that could drive instabilities – including the electron firehose instability – affecting the particle dynamics and the energy conversion. However, in situ observations of the fluctuations generated by the EFI are still lacking and the interplay between magnetic reconnection and EFI is still largely unknown. In this study, we use high-resolution in situ measurements by the Magnetospheric Multiscale (MMS) spacecraft to identify and investigate EFI fluctuations in the magnetic reconnection exhaust in the Earth's magnetotail. We find that the wave properties of the observed fluctuations largely agree with theoretical predictions of the non-propagating EF mode. These findings are further supported by comparison with the linear kinetic dispersion relation. Our results demonstrate that the magnetic reconnection outflow can be the seedbed of EFI and provide the first direct in situ observations of EFI-generated fluctuations.

Direct observations of electron firehose fluctuations in the magnetic reconnection outflow

G. Cozzani^{1,2}, Yu. V. Khotyaintsev², D. B. Graham², M. André²

 $^1 \rm Department$ of Physics, University of Helsinki, Helsinki, Finland $^2 \rm Swedish$ Institute of Space Physics, Uppsala, Sweden

Key Points:

1

2

3

4 5

6

7	•	Magnetic reconnection exhausts host regions of enhanced electron temperature anisotropy
8		where the electron firehose instability can develop
9	•	We analyze waves associated with regions where the electron firehose instability
10		(EFI) threshold is exceeded
11	•	We report direct in situ observations of the non-propagating electron firehose wave
12		mode in the magnetic outflow region in the magnetotail

Corresponding author: G. Cozzani, giulia.cozzani@helsinki.fi

Corresponding author: Yu. V. Khotyaintsev, yuri@irfu.se

13 Abstract

Electron temperature anisotropy-driven instabilities such as the electron firehose insta-14 bility (EFI) are especially significant in space collisionless plasmas, where collisions are 15 so scarce that wave-particle interactions are the leading mechanisms in the isotropiza-16 tion of the distribution function and energy transfer. Observational statistical studies 17 provided convincing evidence in favor of the EFI constraining the electron distribution 18 function and limiting the electron temperature anisotropy. Magnetic reconnection is char-19 acterized by regions of enhanced temperature anisotropy that could drive instabilities 20 - including the electron firehose instability – affecting the particle dynamics and the en-21 ergy conversion. However, in situ observations of the fluctuations generated by the EFI 22 are still lacking and the interplay between magnetic reconnection and EFI is still largely 23 unknown. In this study, we use high-resolution in situ measurements by the Magneto-24 spheric Multiscale (MMS) spacecraft to identify and investigate EFI fluctuations in the 25 magnetic reconnection exhaust in the Earth's magnetotail. We find that the wave prop-26 erties of the observed fluctuations largely agree with theoretical predictions of the non-27 propagating EF mode. These findings are further supported by comparison with the lin-28 ear kinetic dispersion relation. Our results demonstrate that the magnetic reconnection 29 outflow can be the seedbed of EFI and provide the first direct in situ observations of EFI-30 generated fluctuations. 31

³² Plain Language Summary

Space and astrophysical plasmas can be often treated as collisionless since they are suf-33 ficiently tenuous and warm and particle-particle collisions can be neglected. Because of 34 the scarcity of particle-particle collisions, the local thermodynamics equilibrium is gen-35 erally not established and collisionless processes play a key role in energy conversion and 36 heating. As local thermodynamic equilibrium is not achieved, particle distribution func-37 tions can significantly depart from Maxwellian distribution functions, leading to the de-38 velopment of instabilities and the formation of waves. This study focus in particular on 39 the electron firehose instability (EFI). It is established that the EFI constraints the elec-40 tron distribution function but direct observations of the waves generated by EFI are still 41 lacking. In this study, we use high-cadence spacecraft observations by the Magnetospheric 42 MultiScale (MMS) spacecraft mission to identify and investigate waves generated by the 43 EFI. We will focus on EFI observations during a magnetic reconnection event in the Earth's 44 magnetotail. Magnetic reconnection is a fundamental process of energy conversion in col-45 lisionless plasmas and investigating the interplay between magnetic reconnection and in-46 stability such as the EFI is critical to fully understanding energy conversion in plasmas. 47 Our results provide the first direct observations of waves generated by the EFI. 48

49 **1** Introduction

Kinetic plasma instabilities driven by temperature anisotropies are known to play 50 an essential role in collisionless plasma dynamics, scattering the particles and affecting 51 particle heating and energy conversion between the electromagnetic fields and particles 52 (e.g., Gary, 1993). Among these anisotropy-driven instabilities, the whistler anisotropy 53 instability is excited by electron temperature anisotropy $T_{e,\parallel}/T_{e,\perp} < 1$ while the electron firehose instability (EFI) develops if $T_{e,\parallel}/T_{e,\perp} > 1$, where $T_{e,\parallel}$ and $T_{e,\perp}$ are the 55 electron temperatures respectively parallel and perpendicular with respect to the back-56 ground magnetic field. The EFI is believed to constrain the electron temperature anisotropy 57 by inducing heating (cooling) in the perpendicular (parallel) direction with respect to 58 the background magnetic field, thus leading to isotropization. 59

The EFI was described for the first time by Hollweg and Völk (1970) and W. Pilipp and Völk (1971). Then, Gary and Madland (1985) provided the parametric dependencies of the growth rate of the EF modes with the assumption of parallel propagation, i.e.

the wave vector \mathbf{k} is directed parallel to the background magnetic field. One-dimensional 63 Particle-In-Cell (PIC) simulations further investigated the properties of the parallel prop-64 agating EF mode (Messmer, P., 2002; Paesold, G. & Benz, A. O., 2003). However, stud-65 ies using both analytical and numerical approaches demonstrated the presence of two 66 distinct branches of the EFI (Gary & Nishimura, 2003; Li & Habbal, 2000; Campore-67 ale & Burgess, 2008; Hellinger et al., 2014). These studies are based on linear theory and 68 2D PIC simulations. In particular, the linear kinetic dispersion theory predicts a prop-69 agating EF mode characterized by parallel propagation with respect to the background 70 magnetic field, and a non-propagating EF mode predicted to develop for oblique wave-71 normal angles. In addition, the non-propagating EF mode is resonant with both ions and 72 electrons, while the propagating EF mode is non-resonant with respect to electrons. The 73 two EF modes have been labeled in different ways, depending on the characteristics that 74 the different studies wanted to highlight. In this paper, we chose to refer to the two modes 75 as non-propagating and propagating EF modes, similar to Camporeale and Burgess (2008). 76 The former is called also oblique, resonant, and a-periodic mode in other studies; the lat-77 ter is called parallel, non-resonant and periodic (Li & Habbal, 2000; Gary & Nishimura, 78 2003; López et al., 2022). 79

There is a consensus that the non-propagating (oblique, resonant) mode is characterized by a lower threshold and higher growth rate compared with the propagating (parallel, non-resonant) mode. Hence, in this study, we will focus exclusively on the nonpropagating EF mode as it is expected to be more efficient than the propagating EF mode in constraining the electron temperature anisotropy. The properties of the EF modes will be presented in detail in Section 2, focusing in particular on the non-propagating EF mode.

In the past decades, the electron firehose instability has been investigated in par-86 ticular in the context of solar wind plasmas (Verscharen et al., 2022, and references therein) 87 since the EFI is invoked as one of the most significant possible isotropization mechanisms 88 to explain the quasi-isotropic state of the solar wind electrons. Indeed, the electron dis-89 tribution functions observed in the solar wind are much closer to isotropic distributions 90 than expected by considering the Chew–Goldberger–Low (CGL) model (Chew et al., 1956) 91 of a spherically expanding solar wind (Stverák et al., 2008). Hence, the development of 92 temperature-anisotropy-driven instabilities could explain the discrepancy between the 93 model and the observed quasi-isotropic electron distributions. Statistical observational 94 studies have confirmed the scenario of the EFI being crucial for isotropization by show-95 ing that the temperature anisotropy is well constrained by the thresholds of temperature-96 anisotropy-driven instabilities, notably the whistler instability and the EFI (Stverák et 97 al., 2008; Cattell et al., 2022). Recently, several studies were devoted to investigating the EFI by modeling the solar wind electron distribution with more accuracy (both focus-99 ing on the propagating EF mode only (Lazar et al., 2016; Shaaban et al., 2021) or in-100 cluding also the non-propagating mode (Shaaban et al., 2019)). This includes going be-101 yond the bi-Maxwellian approximation and taking into account the complex structure 102 of the solar wind electron distribution function – consisting of a thermal core, a suprather-103 mal halo, and a field-aligned beam (Feldman et al., 1975; W. G. Pilipp et al., 1987). Other 104 efforts have been devoted to the investigation of the EFI onset (Innocenti et al., 2019) 105 and evolution (Camporeale & Burgess, 2008; Hellinger et al., 2014; Innocenti et al., 2019). 106 These studies focus on the non-propagating EF mode, as it arises self-consistently in the 107 simulations of expanding solar wind (Innocenti et al., 2019) and has the larger growth 108 rate in all simulations, consistently with the predictions of the linear theory. 109

Despite the majority of the work having been devoted to the study of the EFI in the solar wind context, the EFI can arise in any space environment where the plasma is unstable to the instability. Statistical studies collected and analyzed electron distribution functions in different near-Earth plasmas. Gary et al. (2005) used Cluster data to investigate electron distributions in the magnetosheath, while Zhang et al. (2018) used THEMIS observations to study electron distributions at dipolarization fronts in the magnetotail. These studies show that the electron distribution functions are constrained by
 the EFI threshold, suggesting that the EFI plays an important role in shaping the dis tribution functions.

Magnetic reconnection is a fundamental plasma process that plays a key role in en-119 ergy conversion, plasma heating, and particle energization in a variety of plasma envi-120 ronments (Biskamp, 2000). The magnetic reconnection process is characterized by re-121 gions of enhanced temperature anisotropy (Egedal et al., 2013) that can be the seedbed 122 for temperature anisotropy-driven instabilities. Indeed, a 3D PIC simulation study re-123 cently reported the presence of EFI-generated fluctuations in the reconnection outflow 124 region (Le et al., 2019). The particle scattering and wave-particle interaction processes 125 induced by the development of the EFI could potentially affect the energy conversion 126 and acceleration produced by the reconnection process. However, little is known about 127 the interplay between magnetic reconnection and the EFI. More importantly, direct ob-128 servations of the EFI-generated fluctuations are currently lacking. 129

In previous studies focusing on near-Earth plasmas the presence of the EFI has been 130 detected somewhat *indirectly* by looking at the limited anisotropy of the electron dis-131 tribution functions (Zhang et al., 2018; Gary et al., 2005). The effect of the EFI is com-132 monly inferred from the fact that the electron distribution is bounded by the instabil-133 ity threshold. This approach is suitable for statistical studies but it does not allow for 134 *direct* observations of the EF wave modes. In this study, we use high-resolution measure-135 ments of the Magnetospheric Multiscale mission (MMS) (Burch et al., 2016) to shed light 136 on the EFI-generated waves in the Earth's magnetotail. We report MMS observations 137 of the non-propagating EF mode in the magnetic reconnection outflow region observed 138 by MMS during a current sheet flapping event in the magnetotail. We show that the ob-139 served electron temperature anisotropy is constrained by the EFI threshold and we present 140 *direct* in situ observations of the EFI-generated fluctuations. 141

This paper is organized as follows: In Section 2, we review the properties of the EF 142 modes based on linear dispersion theory, focusing in particular on the non-propagating 143 EF mode. In Section 3, we introduce the MMS data products used in this study. In Sec-144 tion 4, we present an overview of the current sheet flapping event in the Earth's mag-145 netotail that we used for the analysis and we discuss the selection criteria for the EF events. 146 Then, we present the detailed analysis of the EF fluctuations observed during two of the 147 selected EF events in Section 5. In Section 6 we compare the results of the in situ space-148 craft observations with a numerical solver. Sections 8 and 9 present the discussion and 149 the conclusions respectively. 150

¹⁵¹ 2 Properties of Electron Firehose Modes

Linear kinetic dispersion theory predicts that a magnetized plasma can be unsta-152 ble to the development of the EFI under the condition of presenting a sufficiently large 153 electron temperature anisotropy and being sufficiently warm, i.e. with $\beta_{e,\parallel} > 2$ ($\beta_{e,\parallel} =$ 154 $2\mu_0 n_e T_{e,\parallel}/B^2$, where μ_0 is the vacuum magnetic permeability, n_e is the electron num-155 ber density and B is the ambient magnetic field). As mentioned in the Introduction, the 156 linear theory predicts the presence of two distinct branches of the EFI. One is propa-157 gating (real frequency $\omega \neq 0$) and it is characterized by parallel propagation at small 158 θ_{kB} (where θ_{kB} is the angle between the wave vector **k** and the background magnetic field); 159 the other mode is non-propagating and predicted to develop for oblique wave-normal an-160 gles, $\theta_{\rm kB}$. For $\theta_{\rm kB} > 30^{\circ}$ the mode was defined as oblique by several studies (Li & Hab-161 bal, 2000; Gary & Nishimura, 2003), while more recently Camporeale and Burgess (2008) 162 considered a higher threshold of $\theta_{\rm kB} \sim 50^{\circ}$ to discriminate between the parallel and oblique 163 mode. 164

It is established by both analytical and numerical studies that the non-propagating (oblique, resonant) mode is characterized by a lower threshold and higher growth rate than the propagating (parallel, non-resonant) mode. Indeed, the growth rate γ of the non-propagating mode is expected to be $\Omega_{ci} < \gamma < \Omega_{ce}$, while $\gamma < \Omega_{ci}$ for the propagating mode (Gary & Nishimura, 2003) (here $\Omega_{\alpha} = eB/m_{\alpha}$ is the cyclotron frequency, e the elementary charge and m_{α} the mass, $\alpha = e$, i indicates the electron and ion species). For this reason, in the following, we will focus on the non-propagating EF mode only.

The EF instability threshold is predicted by the linear dispersion theory. The threshold depends upon the electron temperature anisotropy $T_{e,\parallel}/T_{e,\perp}$ and the parallel electron beta $\beta_{e,\parallel}$ and in the following we will use to formulation reported by Gary and Nishimura (2003), which reads

$$\frac{\mathrm{T}_{\mathrm{e}||}}{\mathrm{T}_{\mathrm{e}\perp}} = \frac{1}{1 - \mathrm{S}_{\mathrm{e}}^{\prime} / \beta_{\mathrm{e}}^{\alpha_{\mathrm{e}}^{\prime}}}.$$
(1)

The two primed quantities are dimensionless fitting parameters with $1 \leq S'_e \leq 2$ and $\alpha'_e \leq 1$ which are defined for $2 \leq \beta_{e,||} \leq 50$. For an instability growth rate $\gamma/\Omega_{ce} = 0.001$, $S'_e = 1.29$ and $\alpha'_e = 0.97$.

The non-propagating EF mode is resonant with both ions and electrons. To estab-179 lish if a mode is resonant or non-resonant with a plasma species, one can evaluate the 180 Landau resonance factor $\zeta_{\alpha} = \omega/\sqrt{2}|k_{\parallel}|v_{\text{th},\alpha}$ and the cyclotron resonance factor $\zeta_{\alpha}^{\pm} =$ 181 $|\omega \pm \Omega_{\rm c\alpha}|/\sqrt{2}|k_{\parallel}|v_{\rm th,\alpha}$. Here, $|k_{\parallel}|$ is the magnitude of the wave vector component par-182 allel to the background magnetic field and $v_{th,\alpha}$ is the thermal speed. In particular, for 183 resonant species, which strongly interact with the waves, the resonant velocity is expected 184 to lay within a thermal speed of the distribution function peak, satisfying the condition 185 $\zeta_{\alpha}, \zeta_{\alpha}^{\pm} \lesssim 1$. Instead, for non-resonant species $\zeta_{\alpha}, \zeta_{\alpha}^{\pm} \gg 1$ (Gary et al., 1984). For a 186 non-propagating mode, the Landau resonant factor is $Re(\zeta_{\alpha}) = 0$. 187

Figure 1 shows the properties of the non-propagating EF mode for $\beta_{e,||} = 9$ and 188 $T_{e,\parallel}/T_{e,\perp} = 2$. The value of $\beta_{e,\parallel} = 9$ is representative of the magnetotail plasma sheet 189 conditions. Figure 1 is obtained with the numerical solver Plasma Dispersion Relation 190 Kinetics (PDRK, (Xie & Xiao, 2016)) which solves the kinetic linear dispersion relation 191 for multi-species plasmas in the magnetized electromagnetic case. The model implemented 192 in the solver assumes that the plasma density is homogeneous, as well as the background 193 magnetic field. The properties are shown in the parameter space composed of the nor-194 malized wave vector $k\rho_e$ and the wave-normal angle θ_{kB} (ρ_e is the electron Larmor ra-195 dius). Figure 1(a) shows that this choice of input parameters leads to positive growth 196 with a maximum rate $\gamma_{max}/\Omega_{ce} \sim 0.13$. A positive growth rate is found for $k\rho_e \lesssim 1$ 197 and the wave vector at maximum $\gamma = \gamma_{max}$ is $k\rho_e = 0.66$. As discussed above, the 198 non-propagating EF mode is associated with oblique wave-normal angle $\theta_{\rm kB}$ and, for the 199 chosen set of parameters, the wave-normal angle at the maximum growth rate is $\theta_{\rm kB} =$ 200 69° (see Fig.1(a)). Figure 1(b) confirms that the mode is non-propagating, as $\omega = 0$ 201 in all points of the parameter space. To quantify the waves electrostatic and electromag-202 netic components we use the parameter $\mathcal{E}_{long} = |\mathbf{E} \cdot \hat{\mathbf{k}}|^2 / |\mathbf{E}|^2$ which is equal to 1 for a 203 purely longitudinal electrostatic wave and equal to 0 for a transverse electromagnetic wave. 204 Figure 1(c) shows that $\mathcal{E}_{\text{long}} < 0.5$ in the region of significant positive growth rate mean-205 ing that the non-propagating EF mode is electromagnetic. In Figure 1(d) we show the 206 ratio $\delta B_{\parallel}/\delta B$ where δB_{\parallel} is the fluctuating magnetic field parallel to the background mag-207 netic field and δB is the total fluctuating magnetic field. The magnetic field fluctuations are predominantly transverse i.e. $|\delta B_{\perp}|^2 \gg |\delta B_{\parallel}|^2$. The $\delta E_{\parallel}/\delta E$ ratio (Fig. 1(e)) in-208 209 dicates that the electric field fluctuations are dominated by the component aligned with 210 the background magnetic field. Then, Figure 1(f) shows the polarization of the electric 211 field fluctuations. For non-propagating waves, the polarization can be defined as P =212 $i\frac{\delta E_x}{\delta E_x}$, where δE_x and δE_y are two components of the electric field fluctuations. In the solver, 213

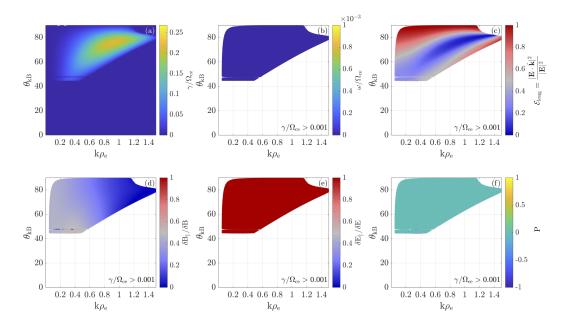


Figure 1. Properties of the non-propagating EF mode computed with the PDRK numerical solver. The input parameters used in the numerical solver are $T_{e,||} = 1000 \text{ eV}$, $T_{e,\perp} = 500 \text{ eV}$, the background magnetic field B = 3 nT and density $n_e = n_i = n = 0.2 \text{ cm}^{-3}$ while the isotropic ion temperature is $T_i = T_{i,||} = T_{i,\perp} = 4000 \text{ eV}$. The panels show the parameters space $k\rho_{e^-}$ θ_{kB} versus (a) imaginary frequency γ/Ω_{ce} (b) real frequency ω/Ω_{ce} (c) $\mathcal{E}_{long} = |\mathbf{E} \cdot \hat{\mathbf{k}}|^2/|\mathbf{E}|^2$ (d) $\delta B_{\parallel}/\delta B$ (e) $\delta E_{\parallel}/\delta E$ (f) polarization $P = i\frac{\delta E_x}{\delta E_y}$. The quantities in panels (b)–(f) are shown for values of the growth rate exceeding the marginal stability condition, which is usually set at 10^{-3} (Camporeale & Burgess, 2008).

the background magnetic field is along the z direction while the wave vector $\mathbf{k} = (\mathbf{k}_{x}, 0, \mathbf{k}_{z})$. As the polarization is 0 for all the values of $k\rho_{e}$ and θ_{kB} in Fig.1(f), the waves are expected to have a linear polarization.

In Section 5 we will consider several of the characteristics discussed above to identify fluctuations consistent with the non-propagating EF mode in MMS in situ observations. In particular, EFI-generated waves are expected to have zero real frequency and a wave vector $k\rho_e \leq 1$ directed obliquely with respect to the background magnetic field. The fluctuations are also expected to have a significant electromagnetic component (quantified via \mathcal{E}_{long}) and to be resonant with electrons.

²²³ 3 Magnetospheric MultiScale (MMS) Data

We use data from the Magnetospheric MultiScale (MMS) spacecraft (Burch et al., 224 2016). In particular, we use the magnetic field \mathbf{B} data from the fluxgate magnetometer 225 (FGM) (Russell et al., 2016), electric field data **E** from the spin-plane double probes (SDP) 226 (Lindqvist et al., 2016) and the axial double probe (ADP) (Ergun et al., 2016), and par-227 ticle data from the fast plasma investigation (FPI) (Pollock et al., 2016). All data pre-228 sented in this paper are high-resolution burst mode data. During the time interval se-229 lected for this study (15:24:00.0–15:58:00.0 UTC on 2017-07-06), the spacecraft were in 230 a tetrahedral configuration with inter-spacecraft separation of ~ 16 km. In the interval 231 of interest, the average electron inertial length is 14 km, so the inter-spacecraft separa-232

tion is comparable with the electron scales. Data from the MMS1 spacecraft are shown throughout the paper, as the observations are similar for the four spacecraft.

4 Event Overview and Data Selection

We consider a 34-minutes-long interval on 2017-07-06 when MMS was located at 236 [-24.1, 1.5, 4.4] R_E (in Geocentric Solar Magnetospheric GSM coordinate system) in 237 the Earth's magnetotail. During this interval, MMS observes multiple crossings of the 238 magnetotail current sheet, identified by the frequent B_x reversals (see Fig.2(a)). The plasma 239 density (see Fig.2(c)) shows variations that are associated with the magnetic field. Higher 240 values of the magnetic field (e.g. $|B| \sim 20$ nT at 15:40:02.7) correspond to lower den-241 sities (n $\sim 0.1 \text{ cm}^{-3}$), indicating that MMS is sampling the lobe region, while lower val-242 ues of magnetic field (e.g. $|B| \sim 1.5 \text{ nT}$ at 15:40:50.0) are associated with higher den-243 sities in the plasma sheet (n $\sim 0.26 \text{ cm}^{-3}$). These observations indicate that the cur-244 rent sheet is flapping (e.g. Richard et al., 2021; Gao et al., 2018). During this interval, 245 MMS often observes fast plasma flows. As shown in Fig.2(b), the x component of the 246 ion velocity reaches values of $|V_{i,x}| \sim 1000 \text{ km/s}$. The highest values are observed close 247 to the neutral line $B_x \sim 0$ while the value of $V_{i,x}$ decreases toward zero when B_x in-248 creases which corresponds to MMS entering the lobe region. In the first part of the in-249 terval, $V_{i,x} < 0$ so the flow is directed tailward. At ~15:46:41 MMS observes a flow re-250 versal followed by strong Earthward flow with V_{i,x}~\sim~1000 km/s. The observed flow 251 characteristics suggest that MMS is sampling the magnetic reconnection outflow region, 252 tailward outflow first and then Earthward flow. Similar conclusions were drawn in a study 253 by Leonenko et al. (2021) focusing on the properties of super thin current sheets (sub-254 ion scale thickness) observed during the flapping event. We conclude that MMS observed 255 a tailward retreating X-line in the magnetotail. 256

As the main goal of this study is the investigation of the EFI and the associated 257 waves, we compute the instability threshold in order to identify the intervals in which 258 the instability could develop. Figure 2(d) and 2(e) shows that there are several data points 259 where $T_{e,\parallel}/T_{e,\perp} > 1$ and $\beta_{e,\parallel} > 2$ at the same time, which is a necessary condition 260 for the development of the EFI. Then, Figure 2(f) shows the quantity $\mathcal{T}_{EFI} = \frac{T_{e|l}}{T_{e|l}} - (1 - 1)$ 261 $S'_e/\beta^{\alpha'_e}_{e,II})^{-1}$ which is obtained recasting Eq. 1. If $\mathcal{T}_{EFI} > 0$ the threshold for the firehose 262 instability is exceeded and the generation of waves is expected. We find 24 intervals with 263 $\mathcal{T}_{\text{EFI}} > 0$. Two time points t_1 and t_2 for which $\mathcal{T}_{\text{EFI}} > 0$ are considered to be part of 264 the same interval if $t_2 - t_1 < \tau$ where $\tau = 0.3 \ s$. This value of τ corresponds to sub-265 ion time scales. In particular, it corresponds to one-third of an ion time scale computed 266 considering a typical ion bulk velocity of 500 km/s and a typical d_i of 500 km based on 267 the density value n $\sim 0.2 \text{ cm}^{-3}$ (see Fig.2(b)–(c)). However, the number of intervals 268 does not change for $\tau = 0.5 \ s$. 269

Figure 3 shows the distribution of the data points of the interval shown in Fig.2 in the parameter space $\beta_{e\parallel}$ — $T_{e\parallel}/T_{e\perp}$, together with the EFI thresholds corresponding to growth rates $\gamma/\Omega_{ce} = 0.001$ (dark red curve), 0.01 (orange curve), and 0.1 (yellow curve) (see (Gary & Nishimura, 2003) for the values of the parameters used in the curves for different γ values). Only a few data points exceed the $\gamma/\Omega_{ce} = 0.001$ and 0.01 threshold, while no points are found above $\gamma/\Omega_{ce} = 0.1$, suggesting that the EFI plays a key role in shaping the electron distribution function.

From all the intervals where the threshold is exceeded, we select the ones composed of at least two data points and for which $\beta_{e,||} < 30$. We exclude intervals with large $\beta_{e,||}$ because, as it can be inferred from Fig.3, even small fluctuations of $T_{e,||}/T_{e,\perp}$ due to instrumental noise can yield to $\mathcal{T}_{EFI} > 0$ when $\beta_{e,||}$ is large, even though $T_{e,||}/T_{e,\perp} \sim$ 1 so that the available free energy would not be enough for the instability to develop. In addition, we select the intervals where magnetic field fluctuations could be identified by visual inspection, allowing us to thoroughly perform the wave analysis. Using these

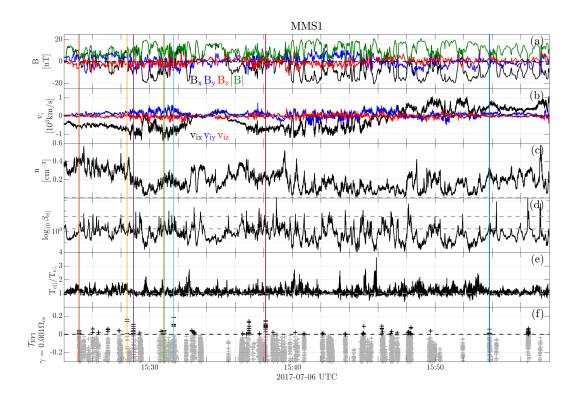


Figure 2. Overview of the current sheet flapping event in the Earth's magnetotail. (a) Magnetic field; (b) Ion velocity; (c) Ion number density; (d) $\log_{10} \beta_{e,\parallel}$, the grey horizontal dashed lines correspond to $\beta_{e,\parallel} = 2$ and $\beta_{e,\parallel} = 30$; (e) Electron temperature anisotropy $T_{e,\parallel}/T_{e,\perp}$. (f) Electron firehose instability threshold $\mathcal{T}_{EFI} = \frac{T_{e|\parallel}}{T_{e\perp}} - (1 - S'_e/\beta^{\alpha'_e}_{e,\parallel})^{-1}$ for $\gamma = 0.001\Omega_{ce}$. Data points with $\mathcal{T}_{EFI} > 0$ (black crosses) are unstable to the EFI. The vertical colored lines indicate the intervals with $\mathcal{T}_{EFI} > 0$ that are selected based on the criteria discussed in Sec. 4 and that exhibit EF fluctuations.

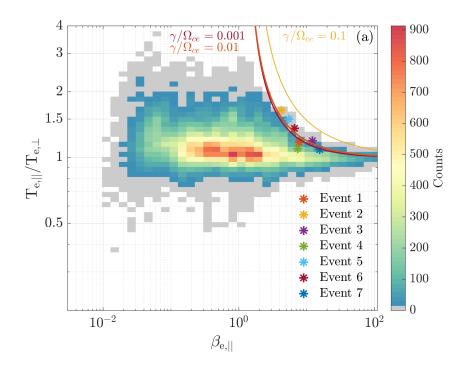


Figure 3. (a) Electron distribution in the parameter space $\beta_{\rm e\parallel}-T_{\rm e\perp}/T_{\rm e\parallel}$. The counts are scaled with bin size. The curves corresponds to the EFI threshold (see Eq. 1) for growth rates $\gamma/\Omega_{\rm ce}=0.001$ (dark red curve), 0.01 (orange curve), and 0.1 (yellow curve). The colored stars mark the average value of $\beta_{\rm e,\parallel}$ and $T_{\rm e,\parallel}/T_{\rm e,\perp}$ during the intervals of the selected events identified with the correspondingly color-coded vertical lines in Fig.2.

#	$\Delta t_{\mathcal{T}_{EFI}>0}$ [UTC]	$\beta_{\rm e,\parallel}$	$T_{e,\parallel}/T_{e,\perp}$	$T_{e,\parallel}$ [eV]	$T_{e,\perp}~[eV]$	$T_i \ [eV]$	$ \mathbf{B} $ [nT]	n $[\mathrm{cm}^{-3}]$
1	15:25:03.000-15:25:03.744	7.75	1.17	457	389	3259	3.3	0.47
2	15:28:25.070-15:28:25.134	4.24	1.64	1113	676	3840	5.8	0.32
3	15:28:52.010-15:28:52.284	12.17	1.19	1870	1567	4685	3.5	0.20
4	15:30:59.690-15:31:01.340	7.34	1.10	2678	2439	5178	5.5	0.20
5	15:31:41.150-15:31:41.300	5.46	1.50	2277	1516	5559	5.6	0.19
6	15:38:07.400-15:38:07.890	6.62	1.36	839	617	4844	3.3	0.22
7	15:53:47.700-15:53:48.430	15.48	1.12	668	596	4258	2.3	0.33

Table 1. Time intervals and characteristics of the events selected for the EF wave analysis. $\Delta t_{\tau_{EFI}>0}$ is the time interval where the EFI threshold is exceeded.

selection criteria, we retain seven intervals with $\mathcal{T}_{EFI} > 0$. They are marked with the vertical lines in Fig.2. The coloured stars in Fig.3 mark $\beta_{e,\parallel}$ and $T_{e,\parallel}/T_{e,\perp}$ averaged during the intervals identified with the correspondingly colour-coded vertical lines in Fig.2. The time intervals of the seven selected events are summarized in Table 1, together with the corresponding averaged plasma parameters.

In summary, we identify several intervals in which the EFI threshold is exceeded while MMS is sampling the outflow reconnection region in the Earth's magnetotail during a current sheet flapping event. After applying the selection criteria discussed above, we select seven events exhibiting wave activity at the time when the EFI threshold is exceeded. In the following, we will investigate the wave properties and establish whether the observed fluctuations are compatible with EFI-originated waves.

²⁹⁵ 5 Wave Analysis

In this Section, we present the detailed wave analysis of two of the seven selected 296 events (event #6 and #7), which we use to illustrate the typical wave properties. The 297 other events are discussed later in section 7. Event #6 exhibits very clear wave activ-298 ity and a significant electron temperature anisotropy peaking at $T_{e,\parallel}/T_{e,\perp}\sim 1.48.$ How-299 ever, the analyzed waves are not co-located with the interval where the EFI threshold 300 is exceeded. So, we show also the detailed analysis of another event, event #7, during 301 which we identify two intervals of wave activity. One is co-located with the interval with 302 $\mathcal{T}_{EFI} > 0$ and the other, similarly to event #6, is observed immediately after the inter-303 val where the EFI threshold is exceeded. Also, event #6 is characterized by $V_{i,x} < 0$, 304 meaning that MMS is observing the tailward reconnection outflow, while event #7 is ob-305 served in the Earthward outflow region. Hence, choosing these two events allows us to 306 show the properties of the observed waves both in Earthward and tailward outflow re-307 gions. We aim to compare the observed wave characteristics to the theoretical expecta-308 tions for EFI-generated fluctuations. As previously discussed, we focus on the non-propagating 309 EF mode (oblique, resonant mode) as it is predicted to have a lower instability thresh-310 old and a larger growth rate with respect to the propagating (parallel, non-resonant) mode. 311

312 5.1 Event #6

An overview of event #6 is shown in Fig. 4. Figure 4(e) shows that during the interval $\Delta t_{\mathcal{T}_{EFI}>0}=15:38:07.400-15:38:07.890$, highlighted with the red-shaded area, the temperature anisotropy $T_{e,\parallel}/T_{e,\perp}$ exceeds the EFI threshold (red line, see Eq. 1) and it reaches a maximum value of 1.5. In addition, $\beta_{e,\parallel}$ has moderate values ($\beta_{e,\parallel} \sim 7$ is the average $\beta_{e,\parallel}$ in the interval where the instability threshold is reached, see Fig.4(d)). The magnetic field is shown in Fig.4(a) and the relatively low magnitude of $|B| \sim 6 \text{ nT}$ suggests that MMS is sampling the plasma sheet. MMS also observed a strong electron (and ion, not shown) flow mainly directed along the x GSM direction with $|v_{e,x}| \sim 1500 \text{ km/s}$ suggesting that MMS is sampling the reconnection outflow region (see Fig.4(c)).

Figures 4(f) and (g) show the wavelet spectrograms of the electric and magnetic 322 323 field power. Both electric and magnetic field power increase in the yellow-shaded interval. The fluctuations are rather broadband but they exhibit a peak at a few Hz, close 324 to the lower hybrid frequency $f_{LH} = \sqrt{f_{ci}f_{ce}}$ (f_{ci} and f_{ce} are respectively the ion and elec-325 tron cyclotron frequency). As a first step, we isolate the high-frequency fluctuations from 326 the lower-frequency variations of the magnetic field. We define the filtering frequency 327 f_{filt} by requiring that the magnetic field signal filtered in the frequency range f < f_{filt} 328 exhibits all the main magnetic structures of the unfiltered signal. In this case we choose 329 $f_{\rm filt} = 2.6$ Hz (see Fig.4(a)). The magnetic field exhibits low frequency variations (f < 330 $f_{\rm filt}$, Fig.4(a)) and, interestingly, higher frequency fluctuations (f > $f_{\rm filt}$ showing wave 331 activity Fig.4(b)). The interval with enhanced wave activity $\Delta t=15:38:08.0-15:38:11.0$ 332 is highlighted by the yellow-shaded area. The magnetic field fluctuations $\delta \mathbf{B}$ have sim-333 ilar amplitude in all three components, both in the GSM coordinate system (see Fig.5(b)) 334 and in field-aligned coordinates (see Fig.4(b)). 335

To better characterize the observed waves, we compute the dispersion relation from 336 the phase differences of δB_z between spacecraft pairs, applying the multi-spacecraft in-337 terferometry method (Graham et al., 2016, 2019) to the time interval Δt . Figure 4(h) 338 shows that the normalized power $P(f, k)/P_{max}$ increases in the frequency range 2.6 Hz < 339 f < 3.8 Hz (black dashed lines) with a peak at $f = f_{obs} = 3.2$ Hz (black star). The 340 wave number at the $P(f,k)/P_{max}$ peak is $k\rho_e \sim 0.4$ ($\rho_e \sim 26$ km is the electron gyro-341 radius averaged over Δt) which corresponds to the wave phase speed in the spacecraft 342 reference frame of $v_{ph} \sim 900$ km/s. Figures 4(i) and (j) show that the wave vector k 343 is directed mainly along the x direction, i.e. aligned with the direction of the plasma flow. 344 The average wave vector direction is $\hat{\mathbf{k}} = [-0.82, 0.43, -0.38]$ GSM. 345

In addition, we estimate the uncertainty of the wave vector $\Delta k \rho_e$. Even though the 346 $P(k_x, k_z)/P_{max}$ and $P(k_y, k_z)/P_{max}$ distributions exhibit a clear peak (Fig.4(i)-(j)), they 347 are characterized by a certain spread in the (k_x, k_z) and (k_y, k_z) parameter space respec-348 tively. To compute the observed wave vector uncertainty $\Delta k \rho_e$, we consider all the points 349 for which the power $P(k_i, k_j)$ is above 10% of the maximum power P_{max} in Fig.4(i)–(j), 350 where i, j = x, y, z. The area selected with this criterion is shown in brighter colors in 351 Fig.4(i)–(j). For each wave vector component k_j , the minimum k_j for which the power 352 $P(k_i, k_j)$ is larger than 10% of the maximum power P_{max} is $k_{j,min}(P = 0.1P_{max})$. Anal-353 ogously, $k_{j,\max}(P=0.1P_{\max})$ is the maximum value of k_j for which the power $P(k_i,k_j)$ 354 is equal or larger than 10% of the maximum power $P_{\rm max}.$ In general, $k_{j,\rm min}(P=0.1P_{\rm max})$ 355 and $k_{j,max}(P = 0.1P_{max})$ are asymmetric with respect to k_j corresponding to the max-356 imum power. A simple way to symmetrize the uncertainty with respect to k_i is to use 357 the average between the two uncertainties $k_{j,min}(P = 0.1P_{max})$ and $k_{j,max}(P = 0.1P_{max})$ 358 so that the uncertainty $\Delta k_j \rho_e$ of the wave vector j^{th} component is $\Delta k_j \rho_e = \frac{\rho_e}{2} [k_{j,max}(P)]$ 359 $(0.1P_{\text{max}}) - k_{j,\min}(P = 0.1P_{\text{max}}))$. We then compute the uncertainty of the wave vec-360 tor magnitude $\Delta k \rho_e$. We obtain $\Delta k \rho_e \sim 0.17 \sim 0.41 k \rho_e$ which is quite significant but 361 expected, taking into account the considerable variability of the observed quantities. 362

Figure 5 shows additional characteristics of the observed fluctuations that are crucial to establishing whether the observed waves are indeed associated with the EFI. As discussed in Section 2, the non-propagating EF mode is characterized by zero real frequency $f = \omega/2\pi = 0$, $k\rho_e \leq 1$, the wave vector is directed obliquely with respect to the background magnetic field, and it is an electromagnetic mode. In addition, theoret-

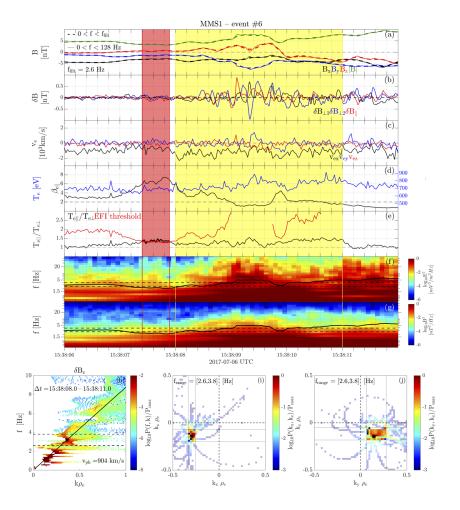


Figure 4. Top: (a) Magnetic field components and magnitude. The solid lines are the unfiltered magnetic field, with frequencies in the FGM frequency range [0, 128] Hz, the dashed thick lines are the filtered signal with frequencies in the range [0, f_{filt}] where f_{filt} = 2.6 Hz. (b) Magnetic field fluctuations (f > 2.6 Hz) in field-aligned coordinates (FAC). (c) Electron velocity. (d) $\beta_{e,\parallel}$ and electron temperature T_e. (e) Electron temperature anisotropy T_{e,\parallel}/T_{e,⊥} and the EFI threshold based on Eq. 1. (f) Magnetic field wave power. (g) Electric field wave power. The black line is the lower hybrid frequency f_{LH} and the dashed black lines corresponding to f = 2.6 Hz and f = 3.8 Hz indicate the frequency range of the observed fluctuations. Bottom: Normalized power of magnetic field fluctuations δB_z versus (h) k ρ_e and frequency f; (i) k_x ρ_e and k_z ρ_e (in the frequency range Δf = [2.6, 3.8] Hz); (j) k_y ρ_e and k_z ρ_e (in the frequency range Δf = [2.6, 3.8] Hz). The dashed lines in panel (h) correspond to f = 2.6 Hz and f = 3.8 Hz. The area with brighter color in panel (i) and (j) contains all the points with power P(k_x, k_z) (and P(k_y, k_z)) larger than 10% of the maximum power P_{max}, i.e. P(k_x, k_z) > 0.1P_{max} and P(k_y, k_z) > 0.1P_{max}.

ical expectations about the non-propagating EF mode include $\zeta_e^{\pm} \lesssim 1$, i.e. the mode is resonant with electrons.

Figure 5 shows that the characteristics of the observed fluctuations are compat-370 ible with the theoretical predictions listed above. Firstly, we establish that the observed 371 mode is non-propagating in the plasma reference frame, i.e. the Doppler-shifted frequency 372 is zero $(f_{obs} - f_{DS} = f_{obs} - (\mathbf{v}_e \cdot \mathbf{k})/2\pi = 0$, where $f_{DS} = (\mathbf{v}_e \cdot \mathbf{k})/2\pi$ is the Doppler shift 373 frequency) or, equivalently, $f_{obs} = f_{DS}$. To do that, we compare the observed frequency 374 of the fluctuations $(f_{obs}, red solid thick line in Fig.5(c))$ to the Doppler shift frequency 375 376 $f_{\rm DS}$ (black solid thick line in Fig.5(c)) in the time interval Δt where the waves are observed (yellow shaded interval in Fig.4). The Doppler shift frequency f_{DS} is significant 377 as the wave vector \mathbf{k} is quite aligned with the electron velocity \mathbf{v}_e . In particular, Fig.5(d) 378 shows that $\theta_{kv_e} < 60^\circ$ during the considered time interval and the average $\langle \theta_{kv_e} \rangle_{\Delta t} \sim$ 379 38° , where θ_{kv_e} is the angle between k and v_e. The time series of the Doppler shift fre-380 quency f_{DS} displays significant variations, which are due to the variations of the electron 381 velocity \mathbf{v}_{e} . To account for the variability of f_{DS} , we compute $\sigma_{f_{DS}}$ which includes the 382 wave vector uncertainty $\Delta k \rho_e$ and the standard deviation of \mathbf{v}_e computed across the in-383 terval Δt . The quantity $\sigma_{f_{DS}}$ corresponds to the uncertainty of f_{DS} . The grey area in Fig.5(c) 384 contains the points with $f_{DS} - \sigma_{f_{DS}} < f < f_{DS} + \sigma_{f_{DS}}$ and defines the range of variabil-385 ity of f_{DS} . Fig.5(c) also shows the time-averaged values across the interval ($\langle f_{DS} \rangle_{\Delta t}$ – 386 $\sigma_{f_{DS}}, \langle f_{DS} \rangle_{\Delta t}, \langle f_{DS} \rangle_{\Delta t} + \sigma_{f_{DS}} \rangle$ as black dashed lines. The observed frequency f_{obs} lies be-387 tween $\langle f_{DS} \rangle_{\Delta t} - \sigma_{f_{DS}}$ and $\langle f_{DS} \rangle_{\Delta t} + \sigma_{f_{DS}}$ and for the majority of the time points f_{obs} 388 lies in the variability range of f_{DS}. We conclude that the observed Doppler-shifted fre-389 quency is close to zero and the observed waves are hence non-propagating fluctuations. 390

Figure 5(d) shows that the wave vector is oblique with respect to the background magnetic field. Fig.5(e) shows the spectrogram of $\mathcal{E}_{\text{long}}$ which, while displaying significant variability, assumes relatively low values for the majority of the interval. The value of $\mathcal{E}_{\text{long}}$ averaged both in time across Δt and in the frequency range $\Delta f = [2.6, 3.8]$ Hz is $\langle \mathcal{E}_{\text{long}} \rangle_{\Delta t, \Delta f} \sim 0.54$. This means that the fluctuations are not electrostatic and they have a significant electromagnetic component. Also, $\langle \zeta_e^{\pm} \rangle_{\Delta t} \sim 1.7$ (not shown), indicating that electrons have a relatively strong resonance.

Hence, we observe non-propagating fluctuations characterized by a wave vector $k\rho_e \sim 0.4$ directed obliquely with respect to the background magnetic field, with significant electromagnetic component, and resonant electrons. All these characteristics are consistent with the theoretical expectations for EFI-generated fluctuations.

5.2 Event #7

402

As shown in Fig.4, during event #6 the interval where the EFI threshold is exceeded 403 $(\Delta t_{\mathcal{T}_{EFI}>0} = 15:38:07.400 - 15:38:07.890)$ and the interval exhibiting the strong wave ac-404 tivity ($\Delta t = 15:38:08.000-15:38:11.000$) are not co-located, albeit the waves are observed 405 immediately after the region with $T_{\rm EFI} > 0$. In this Section, we present the detailed 406 analysis of event #7 which exhibits wave activity both co-located with and, like event 407 #6, immediately after the interval with $\mathcal{T}_{EFI} > 0$. The observed fluctuations during event 408 #7 are very similar to the ones reported in event #6 and are also consistent with EFI-409 generated waves. 410

Figure 6 is analogous to Fig.4 for event #6 and it shows that during event #7 the 411 EFI threshold is exceeded in interval $\Delta t_{\mathcal{T}_{EFI}>0} = 15:53:47.700-15:53:48.430$ between the 412 vertical red lines (see in particular Fig. 6(e)), where $\beta_{e,\parallel}$ increases to a maximum value 413 414 of 28 (Fig. 6(d)) as MMS is located close to the neutral line. The magnetic field magnitude is $|B| \sim 2 nT$ (Fig. 6(a)) and MMS observes a strong electron flow, mainly along 415 the outflow in the GSM x direction reaching $|v_{e,x}| \sim 1200 \text{ km/s}$ (Fig.6(c)). Figure 6(b) 416 shows the magnetic field fluctuations $\delta \mathbf{B}$ (f_{filt} = 2.5 Hz) which have similar amplitude 417 in all three components in both intervals of wave activity. Both magnetic and electric 418

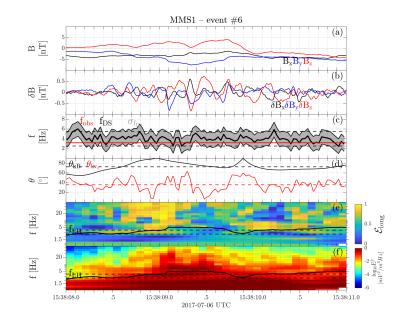


Figure 5. (a) Magnetic field; (b) Magnetic field fluctuations; (c) Observed frequency f_{obs} (red solid line), Doppler-shift frequency f_{DS} (solid black line) and associated variability range (grey shaded region) with value $\sigma_{f_{DS}}$. The central dashed black lines correspond to the time-averaged Doppler shift frequency $\langle f_{DS} \rangle$, the top and bottom dashed lines are $\langle f_{DS} \rangle + \sigma_{f_{DS}}$ and $\langle f_{DS} \rangle - \sigma_{f_{DS}}$. (d) Angle between the wave vector direction and background magnetic field direction θ_{kB} and angle between the wave vector direction and electron velocity direction θ_{kve} . (e) Spectrogram of \mathcal{E}_{long} . (f) Spectrogram of the electric field power. The black line is the lower hybrid frequency f_{LH} and the dashed black lines indicate the frequency range of the observed fluctuations ($\Delta f = [2.6, 3.8]$ Hz). The time interval shown in this figure corresponds to the yellow-shaded interval in Fig.4.

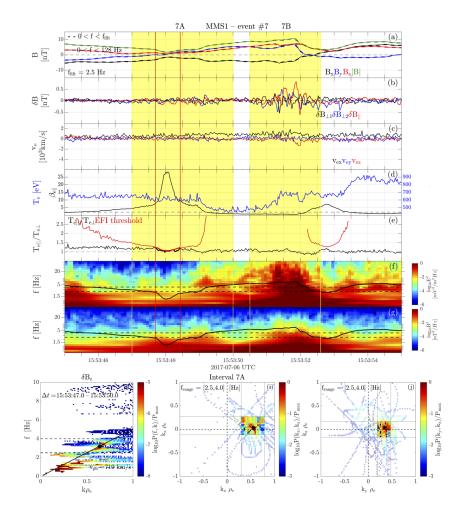


Figure 6. Same as Fig.4 for event #7. In this case, $f_{filt} = 2.5$ Hz. The bottom panels show the results of the multi-spacecraft interferometry method applied to interval 7A and The dashed lines in panel (h) correspond to f = 2.5 Hz and f = 4.0 Hz.

field power increase in the intervals with wave activity (Fig.6(f) and (g)). As mentioned above, we identify two intervals characterized by wave activity: interval 7A ($\Delta t_A = 15:53:47.0-15:53:50.0$), which encloses the interval with $\mathcal{T}_{EFI} > 0$ and interval 7B ($\Delta t_B = 15:53:50.5-15:53:53.0$). The fluctuations have larger amplitude in interval 7B, which is not co-located with the interval where the instability threshold is exceeded. In the following, we will focus in particular on the analysis of the fluctuations observed in interval Δt_A .

We use the multi-spacecraft interferometry method (Graham et al., 2016, 2019) to 425 establish the characteristics of the fluctuations in Δt_A . The normalized power of the mag-426 netic field fluctuations $P(f, k)/P_{max}$ increases in the frequency range $\Delta f = [2.5, 4.0]$ Hz 427 (black dashed lines in Fig.6(h)) and peaks at $f = f_{obs} = 3.2$ Hz (black star). The wave 428 number at the peak of δB_z normalized power $P(f, k)/P_{max}$ is $k\rho_e \sim 0.66 \ (\rho_e \sim 22 \text{ km})$ 429 is the electron gyroradius averaged over interval 7A) which corresponds to phase speed 430 in the spacecraft reference frame of $v_{\rm ph} \sim 710$ km/s. Figure 6(i) and (j) shows that the 431 wave vector \mathbf{k} is directed mainly along x GSM and aligned with the direction of the out-432 flow ($\mathbf{k} = [0.78, 0.61, 0.03]$ GSM). Analogously to event #6, we estimate the uncer-433 tainty of the wave vector magnitude $\Delta k \rho_e$ and we obtain $\Delta k \rho_e \sim 0.22 \sim 0.33 \ k \rho_e$. 434

Similarly as Fig.5 for event #6, Figure 7 shows the property of the fluctuations in interval 7A to establish whether the observations are consistent with theoretical expectations for the EF fluctuations. Fig.7(a) indicates that the waves observed in Δt_A can be considered as non propagating, as f_{obs} lies between $\langle f_{DS} \rangle_{\Delta t} - \sigma_{f_{DS}}$ and $\langle f_{DS} \rangle_{\Delta t} + \sigma_{f_{DS}}$ and for the majority of the time points f_{obs} lies in the variability range (gray area of Fig.7(a)) of f_{DS} . Also in this case, the contribution of f_{DS} to the Doppler shifted frequency is significant as $\langle \theta_{kv_e} \rangle_{\Delta t} \sim 36^\circ$ in interval 7A (see Fig.7(d)).

Other characteristics of the fluctuations in interval 7A include $\langle \mathcal{E}_{\text{long}} \rangle_{\Delta t, \Delta f} \sim 0.23$, 442 indicating that they are electromagnetic (in this case $\Delta f = [2.5, 4.0]$ Hz). The spectro-443 gram of $\mathcal{E}_{\text{long}}$ is shown in Fig.7(e) and despite exhibiting some variability, it never reaches 444 values close to 1 in the considered Δf during interval 7A. Also, electrons are resonant 445 since $\langle \zeta_e^{\pm} \rangle_{\Delta t} \sim 1.2$ (not shown). The angle between the wave vector and the background 446 magnetic field $\theta_{\rm kB}$ changes significantly in interval 7A, going from a minimum value of 447 $\theta_{\rm kB} \sim 30^{\circ}$ to values close to 90° (Fig.7(d)), while the time-averaged value of the wave 448 normal angle is $\langle \theta_{\rm kB} \rangle_{\Delta t} \sim 69^{\circ}$. The strong variation of $\theta_{\rm kB}$ across $\Delta t_{\rm A}$ is due to the 449 changing background magnetic field direction. In particular B_y goes from negative $B_y \sim$ 450 -1 nT to positive $B_v \sim 5$ nT in the considered interval. However, for the majority of 451 the interval $\theta_{\rm kB} > 30^{\circ}$, so that the wave vector can be considered to be oblique with 452 respect to the background magnetic field. 453

In summary, we observe non-propagating fluctuations with wave vector $k\rho_e \sim 0.66$ 454 directed obliquely with respect to the background magnetic field. The fluctuations have 455 a significant electromagnetic component and are resonant with electrons. We conclude 456 that the observed fluctuations are generated by the EFI instability as they exhibit the 457 characteristics associated with the non-propagating EF mode. As mentioned above, event 458 #7 presents two intervals with wave activity. We have shown the detailed wave analy-459 sis of the fluctuations in interval 7A, which are co-located with the region where the EFI 460 threshold is exceeded. The fluctuations with larger amplitude observed in interval 7B 461 have similar characteristics (not shown) and we conclude that they are also EFI-generated 462 waves. It is reasonable to expect that the development of the waves and the increase in 463 the wave amplitude results in a decrease in the temperature anisotropy, which is reduced 464 to a value close to isotropic. 465

6 Comparison between In Situ Observations and Model

To corroborate our conclusion that the observed fluctuations are EFI-generated, 467 we compare the MMS observations with the results of the numerical solver PDRK (Xie 468 & Xiao, 2016), which has been used to obtain Fig.1. The model implemented in the solver 469 assumes that the plasma is homogeneous, as well as the background magnetic field. We 470 consider a quasi-neutral plasma composed of electrons and protons. In the following, we 471 will refer to the protons as ions, for consistency with MMS notation. We use a non-drifting 472 bi-Maxwellian distribution function with $T_{e,\parallel}/T_{e,\perp} > 1$ for electrons and a non-drifting 473 Maxwellian distribution function for ions as input. The ion temperature is assumed to 474 be isotropic $T_i = T_{i,||} = T_{i,\perp}$. This approximation is motivated by the fact that the 475 non-propagating EF mode is not affected by the ion temperature anisotropy (López et 476 al., 2022; Maneva et al., 2016). The PDRK solver input parameters are obtained by av-477 eraging the relevant observed quantities in the interval $\Delta t_{\mathcal{T}_{\text{EFI}}>0}$, where the EFI thresh-478 old is exceeded. The input parameters for the seven observed events are collected in Ta-479 ble 1. To avoid confusion, in this section the quantities that resulted from the analysis 480 of in situ spacecraft observations are labeled with the subscript [obs]. 481

Figure 8 shows the results of the PDRK solver with input parameters mimicking the in situ observations of event #6. A positive growth rate γ is obtained for several points in the parameter space $k\rho_e - \theta_{kB}$ with the maximum growth rate $\gamma_{max}/\Omega_{ce} \sim 0.025$ at $[k\rho_e, \theta_{kB}] = [0.54, 58^\circ]$ (see Fig.8(a)). The unstable wave mode is characterized by zero

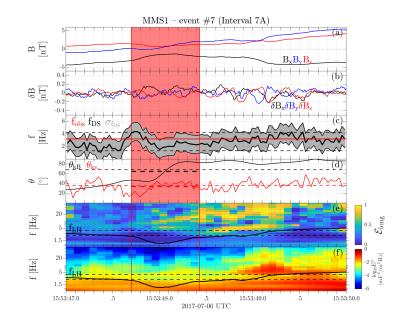


Figure 7. Same as Fig.5 for event #7 (interval 7A). In this case, the interval with $\mathcal{T}_{\text{EFI}} > 0$ (red-shaded region) is co-located with the wave activity. Panel (c) shows θ_{kB} and $\theta_{\text{kv}_{e}}$. The dashed lines correspond to the average value of θ_{kB} and $\theta_{\text{kv}_{e}}$ and they extend over the time interval where they are computed.

real frequency (see Fig.8(b)). The values of $\theta_{\rm kB}$ associated with highest wave growth range between 52° and 64° and indicate that the mode is oblique (see Fig.8(a)). The values of $\mathcal{E}_{\rm long}$, which are below 0.8 for the majority of the points in the area of the parameter space with positive growth rate, indicate that the mode is electromagnetic (see Fig.8(d)). We conclude that the unstable mode is the non-propagating EF mode, as expected considering the imposed input electron distribution function with $T_{\rm e,||}/T_{\rm e,\perp} > 1$.

Figure 8 shows that the results of the numerical solver are consistent with in situ 492 observations, providing further evidence that the observed fluctuations are associated 493 with the EFI. The observed $[\theta_{\rm kB}]_{\rm obs} \sim 61^{\circ}$ and $[k\rho_{\rm e}]_{\rm obs} \sim 0.41$, corresponding to max-494 imum magnetic field fluctuations normalized power $P(k, f)/P_{max}$ in Fig.5(h), are marked 495 with red stars in Fig.8. The red-shaded area corresponds to the points in the parame-496 ters space which lay within $[\Delta k \rho_e]_{obs}$ and $[\Delta \theta_{kB}]_{obs}$, the uncertainties of $[k \rho_e]_{obs}$ and $[\theta_{kB}]_{obs}$. 497 The estimation of the wave vector uncertainty $[\Delta k \rho_e]_{obs}$ is detailed in Sec.5. The uncer-498 tainty of the wave-normal angle $[\theta_{\rm kB}]_{\rm obs}$, $[\Delta \theta_{\rm kB}]_{\rm obs}$, is computed by considering $[\Delta k_{\rm i} \rho_{\rm e}]_{\rm obs}$ 499 and the background magnetic field direction averaged in the interval $\Delta t_{\mathcal{T}_{EFI}>0}$. As ex-500 pected considering the significant variability of the observed quantities, the uncertain-501 ties are significant, $[\Delta k \rho_e]_{obs} \sim 0.17 \sim 0.41 [k \rho_e]_{obs}$ and $[\Delta \theta_{kB}]_{obs} \sim 10^\circ \sim 0.16 [\theta_{kB}]_{obs}$. 502 Nonetheless, Fig.8 shows a good agreement between the numerical results and the in situ 503 observations, as the observational points composing the red-shaded area significantly over-504 lap with the EFI unstable region predicted by the numerical solver. The comparison be-505 tween the output of the numerical solver and in situ MMS observations further confirms 506 the fact that the observed waves are EF fluctuations. 507

Analogously to Fig.8 for event #6, Figure 9 shows a good agreement between the in situ observations and the numerical solver results for interval 7A of event #7. Figure 9(a) shows that a positive growth rate γ is obtained for several points in the parameter space $k\rho_e - \theta_{kB}$. The growth rate peaks ($\gamma_{max}/\Omega_{ce} \sim 0.01$) at $[k\rho_e, \theta_{kB}] = [0.56, 56^\circ]$

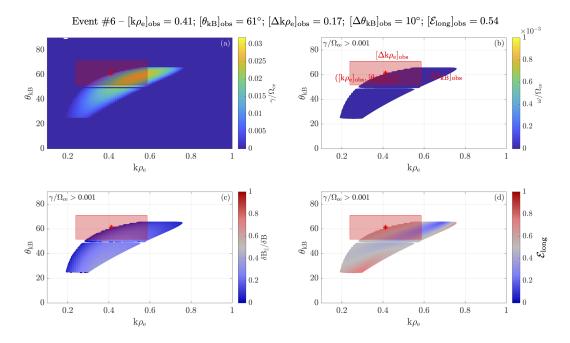


Figure 8. Observation–PDRK numerical solver comparison for event #6. The input parameters used in the numerical solver are $T_{e,||} = 839 \text{ eV}$, $T_{e,\perp} = 617 \text{ eV}$, the background magnetic field B = 3.3 nT and density $n_e = n_i = n = 0.22 \text{ cm}^{-3}$ while the isotropic ion temperature is $T_i = T_{i,||} = T_{i,\perp} = 4844 \text{ eV}$ (see Table 1). These values correspond to the average over the interval where the EFI threshold is exceeded ($\Delta t_{\tau_{EFI}>0} = 15:38:07.400-15:38:07.890$). $k\rho_e$ and θ_{kB} versus (a) imaginary frequency γ/Ω_{ce} (b) real frequency ω/Ω_{ce} (c) $\delta B_{\parallel}/\delta B$ (d) \mathcal{E}_{long} . The quantities in panels (b)–(d) are shown for values of the growth rate exceeding the marginal stability condition, which is usually set at 10^{-3} (Camporeale & Burgess, 2008). The values listed above panel (a) and (b) correspond to the values observed in situ. In each subplot, the red star corresponds to the observed $k\rho_e$ and θ_{kB} at the peak of normalized power of the fluctuations (see Fig.4(h)–(j)). The red-shaded area represents the uncertainty of these measurements, $\Delta k\rho_e$ and $\Delta \theta_{kB}$.

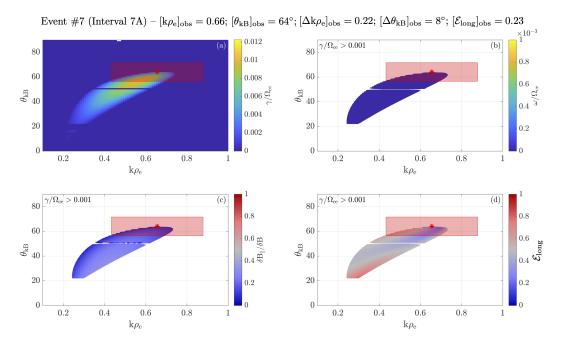


Figure 9. Observation–PDRK numerical solver comparison for event #7, analogous to Fig.8 for event #6. We use $T_{e,||} = 668 \text{ eV}$, $T_{e,\perp} = 596 \text{ eV}$, B = 2.3 nT, $n_e = n_i = n = 0.33 \text{ cm}^{-3}$ and $T_i = T_{i,||} = T_{i,\perp} = 4258 \text{ eV}$, corresponding to the average over the interval where the EFI threshold is exceeded ($\Delta t_{\mathcal{T}_{EFI}>0} = 15:53:47.700-15:53:48.430$) as input parameters for the PRDK solver (see Table 1).

⁵¹² so the growing mode is rather oblique with respect to the background magnetic field. Fig.9(b) ⁵¹³ shows that all the points associated with $\gamma > 0$ have zero real frequency, so the mode ⁵¹⁴ is non-propagating. Also, $\mathcal{E}_{\text{long}} \leq 0.5$ for the majority of the points in the area of the ⁵¹⁵ parameter space with $\gamma > 0$, suggesting that the mode is electromagnetic (Fig.9(d)). ⁵¹⁶ Similar to what we concluded for event #6, these characteristics suggest that the un-⁵¹⁷ stable mode presented in Fig.9 is the non-propagating EF mode.

The wave analysis results of the observed fluctuations in interval 7A of event #7 are shown in Fig.9. In this case, the wave analysis of in situ observations gives $[k\rho_e]_{obs} \sim$ 0.66 and $[\theta_{kB}]_{obs} \sim 64^{\circ}$ and the associated uncertainties $[\Delta k\rho_e]_{obs} \sim 0.22 \sim 0.33 \ [k\rho_e]_{obs}$ and $[\Delta \theta_{kB}]_{obs} \sim 8^{\circ} \sim 0.13 \ [\theta_{kB}]_{obs}$. During event #7 (interval 7A), as well as for event #6, we observe a good agreement between the in situ observations and the results of the numerical solver, reinforcing the conclusion that the observed fluctuations are indeed consistent with the non-propagating EF mode.

525 7 Other Events

As discussed in Section 4, during the interval shown in Fig.2 we have identified seven 526 intervals fulfilling $\mathcal{T}_{\rm EFI} > 0$ together with the selection criteria involving the number 527 of consecutive data points with $\mathcal{T}_{EFI} > 0$, the value of $\beta_{e,\parallel}$ and the presence of wave 528 activity. For each of the events, we perform the detailed wave analysis presented in Sec. 5 529 and we compare the in situ observations with the numerical solver results, using the in-530 put parameters reported in Table 1. Each event is defined by the interval where the EFI 531 threshold is exceeded ($\Delta t_{T_{\rm EFI}>0}$, see Table 1) and by the interval where the wave activ-532 ity is observed (Δt , see Table 2). As already discussed in Sec.5, event #7 presents two 533 intervals (7A and 7B) with enhanced wave activity. 534

For all the selected events, the observed fluctuations have characteristics consis-535 tent with the non-propagating EF mode. The results of the analysis of the seven events 536 are summarized in Fig.10 and Table 2. In Figure 10, the abscissa shows the event num-537 ber #. Fig.10(a) shows the observed frequency f_{obs} (black star) and the Doppler shift 538 frequency $\langle f_{\rm DS} \rangle_{\Delta t}$ (grey star) with the error bars corresponding to the variability $\sigma_{f_{\rm DS}}$ 539 for each of the selected events. For all the events, f_{obs} lies in the variability range of $\sigma_{f_{DS}}$ 540 so that the Doppler shifted frequency is close to zero and the fluctuations can be con-541 sidered as non-propagating. An exception is event #4 since f_{obs} lies outside (but still very 542 close to) the variability range of f_{DS} . We still include event #4 in the list of EF events 543 as the other characteristics of the observed waves are consistent with the EF mode. Also, 544 it is worth clarifying that the so-called $f_{\rm DS}$ variability range, $\sigma_{f_{\rm DS}},$ does not have to be 545 interpreted as a rigorously defined error of f_{DS} , but rather a qualitative estimation of the 546 uncertainty. The same quantities shown in Fig.10(a), this time normalized by the lower-547 hybrid frequency f_{LH} , are shown in Fig.10(b). In all the events, the observed frequency 548 is comparable with the local f_{LH} . Fig.10(c)–(f) show other characteristics that we take 549 into account for the wave analysis in Sec.5. In all the events, the wave characteristics are 550 quite similar. Notably, $k\rho_e$ ranges between 0.30 and 0.74 (Fig.10(c)); θ_{kB} ranges between 551 32° and 81° indicating that the observed mode is oblique (Fig.10(d)); $\langle \mathcal{E}_{\text{long}} \rangle_{\Delta t, \Delta f}$ ranges 552 between 0.23 and 0.57 meaning that the observed waves have a significant electromag-553 netic component (Fig.10(e)). The parameter $\langle \zeta_e^{\pm} \rangle_{\Delta t}$ has a minimum value of 0.9 for event 554 #4 and a maximum of 2.4 for event #7 (Fig.10(f)). Another common feature of the fluc-555 tuations observed in all the events is that all three $\delta \mathbf{B}$ components have similar ampli-556 tude (see Fig.5(b) and Fig.7(b) for event #6 and #7). Also, during all the events the 557 electron and ion velocity, notably in the GSM x direction, are large ($|v_{e,x}| \gtrsim 800 \text{ km/s}$ 558 and $|V_{i,x}| \gtrsim 500 \text{ km/s}$, see Fig.2), indicating that all the intervals with EF waves and 559 where the EFI threshold is exceeded are located in the magnetic reconnection outflow 560 region. 561

We then compare the in situ observations of each event with the results of the nu-562 merical solver PDRK, analogously to Sec.5 and 6 for event #6 and #7 (interval 7A). The 563 PDRK solver is run with initial parameters such as background magnetic field, density, 564 and temperatures, tailored to each event (see parameters in Table 1). For event #4, the 565 temperature anisotropy has been artificially increased in the solver by 23% (from the value 566 $T_{e,\parallel}/T_{e,\perp} = 1.10$ observed in situ to $T_{e,\parallel}/T_{e,\perp} = 1.35$) in order to obtain an unsta-567 ble EF mode. The fact that it is needed to consider a higher $T_{e,\parallel}/T_{e,\perp}$ value to obtain 568 wave growth is not surprising as it is expected for the anisotropy to decrease as the in-569 stability develops and the waves grow. Since waves are directly observed in situ, the elec-570 tron temperature anisotropy at the time of the observations is likely lower than the $T_{e,\parallel}/T_{e,\perp}$ 571 at the time of the instability onset. For each event, we find a good agreement between 572 in situ observations and the model (not shown) suggesting that the waves observed in 573 the selected events are fluctuations generated by the EFI developing in the reconnection 574 outflow. 575

576 8 Discussion

In this study, we investigate a current sheet flapping event in the Earth's magnetotail associated with strong flows in the x GSM direction indicative of ongoing magnetic reconnection. The flow is directed tailward during the first part of the interval and Earthward at the end of the interval, indicating that MMS observed a magnetic reconnection X-line retreating tailward. Magnetic reconnection regions such as the outflow can be characterized by strong temperature anisotropy so that temperature anisotropy-driven instabilities, such as the EFI, can develop at those locations.

Even though the EFI has been invoked to explain the constrained electron temperature anisotropy in a variety of plasma environments, direct observations of the EFIgenerated waves were lacking. In this study, we report in situ MMS observations of EF

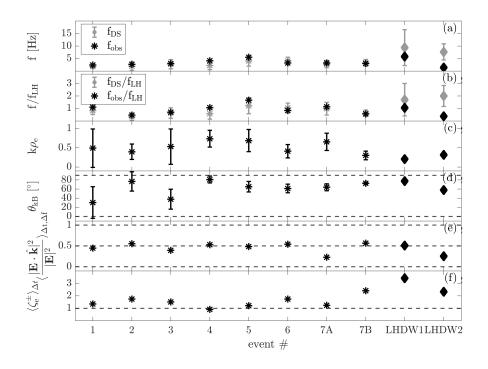


Figure 10. Fluctuations characteristics for the EF events (star markers) and two lower hybrid drift waves (LHDW) events observed in the magnetotail (diamond markers). Event LHDW1 and LHDW2 are reported respectively in (Chen et al., 2020) and (Cozzani et al., 2021). (a) Observed frequency f_{obs} and Doppler shift frequency averaged in the time interval of the fluctuations $\langle f_{DS} \rangle_{\Delta t}$ with the associated uncertainty $\sigma_{f_{DS}}$. (b) Same as (a) but frequencies are normalized to f_{LH} . (c) Wave vector magnitude $k\rho_e$. (d) θ_{kB} . (e) $\langle \mathcal{E}_{long} \rangle_{\Delta t, \Delta f}$. (f) $\langle \zeta_e^{\pm} \rangle_{\Delta t}$.

#	$\Delta t \ [UTC]$	$f_{\rm obs}~[{\rm Hz}]$	$\langle f_{\rm DS} \rangle_{\Delta t} ~[{\rm Hz}]$	$\sigma_{\rm f_{\rm DS}}~[{\rm Hz}]$	${\rm k}\rho_{\rm e}$	$\theta_{\rm kB}$ [°]	$\langle \mathcal{E}_{\mathrm{long}} \rangle_{\Delta t, \Delta f}$	$\langle \zeta_e^{\pm} \rangle_{\Delta t}$
1	15:25:03.0 - 15:25:04.6	2.4	1.8	0.7	0.49	32	0.45	1.3
2	15:28:24.6 - 15:28:27.2	2.7	1.9	1.7	0.40	77	0.55	1.7
3	15:28:51.4 - 15:28:53.8	3.0	2.7	1.8	0.53	38	0.39	1.5
4	15:30:58.5 - 15:31:01.5	4.1	2.2	1.7	0.74	81	0.53	0.9
5	15:31:41.9 – 15:31:42.7	5.5	4.0	2.1	0.69	65	0.48	1.2
6	15:38:08.0 - 15:38:11.0	3.2	4.0	1.5	0.41	61	0.54	1.7
7A	15:53:47.0 - 15:53:50.0	3.2	2.8	1.4	0.66	64	0.23	1.2
7B	$15:53:50.5 - \\15:53:53.0$	3.0	3.3	1.3	0.30	73	0.57	2.4

Table 2. Characteristics of the fluctuations of the EF events. Δt is the interval where the EF fluctuations are observed.

waves in the reconnection outflow region. There are two distinct EF modes but, as spec-587 ified above, we focus exclusively on the non-propagating EF mode since it has a larger 588 growth rate and a lower instability threshold with respect to the propagating EF mode. 589 While being located in the reconnection outflow, MMS observes several time intervals 590 during which the EFI threshold is exceeded ($\mathcal{T}_{EFI} > 0$). Taking into account the selec-591 tion criteria discussed in Sec. 4, we finally select seven events that are characterized by 592 both $\mathcal{T}_{\rm EFI} > 0$ and wave activity. We presented a detailed wave analysis of two of those 593 events, showing that the observed wave characteristics are in agreement with the prop-594 erties of the non-propagating EF mode. 595

Even though the non-propagating EF mode has distinct characteristics, it shares 596 a few properties with the electromagnetic part of the lower hybrid mode. Lower hybrid 597 drift waves (LHDW) are commonly observed in plasma regions characterized by strong 598 spatial gradients in various quantities such as the density or the magnetic field. For ex-599 ample, the characteristics of the LHDW have been thoroughly investigated at the Earth's 600 magnetopause (e.g., Graham et al., 2019). In the context of a current sheet, LHDW can 601 be triggered by the lower hybrid drift wave instability (LHDI) and while an electrostatic, 602 short wavelength ($k\rho_e \sim 1$) mode will be localized at the edges of the current sheet, an 603 electromagnetic, longer wavelength $(k\sqrt{\rho_e\rho_i} \sim 1)$ mode can be present at the center (Yoon 604 et al., 2002; Daughton, 2003). The electrostatic mode is characterized by a larger growth 605 rate but it stays confined at the edges of the current sheet, while the electromagnetic mode 606 develops at later times and is present at the current sheet center (Daughton, 2003). The 607 electromagnetic LHD mode is characterized by oblique propagation with respect to the 608 background magnetic field and by frequency of the order of the lower hybrid frequency 609 f_{LH} . So, both the electromagnetic LHD mode and the non-propagating EF mode are elec-610 tromagnetic and characterized by large wave-normal angles. Despite these similarities, 611 the two modes are of course distinct. Firstly, the EF mode is non-propagating so it has 612

⁶¹³ zero real frequency, while LHDW have a frequency of the order of f_{LH} . Also, EFI-generated ⁶¹⁴ waves are expected to have a quite low $\delta B_{||}/\delta B$, while for obliquely propagating LHDW ⁶¹⁵ $\delta B_{||}$ is the largest component of the fluctuating magnetic field.

To further corroborate our results, we make sure that the fluctuations that we have 616 identified as the EF waves are not the electromagnetic lower hybrid mode, which has been 617 reported in several studies investigating magnetic reconnection in the Earth's magne-618 totail and at the magnetopause (Chen et al., 2020; Cozzani et al., 2021; Wang et al., 2022; 619 Yoo et al., 2020). This further check is motivated by the fact that the observations are 620 621 complex and characterized by significant uncertainties. The direct comparison with the LHD mode – which shares characteristics with the EF waves – will demonstrate that we 622 are not mislabeling the observed waves and provide further robustness to our results. Thus, 623 we will consider two LHDW events corresponding to reconnection electron diffusion re-624 gion (EDR) crossings in the magnetotail reported by Cozzani et al. (2021) (on 2017-08-625 10 at 12:18:33.0) and Chen et al. (2020) (on 2017-07-03 at 05:27:07.5). As for the seven 626 events discussed in previous sections, we computed the EFI threshold and we performed 627 the wave analysis. The results are summarized in Fig. 10 (diamond markers), where the 628 event reported in Chen et al. (2020) is labeled as event LHDW1 ($\Delta t = 05:27:07.15-05:27:07:75$ 629 on 2017-07-03) and the event reported in Cozzani et al. (2021) is labeled as LHDW2 ($\Delta t =$ 630 12:18:30.30–12:18:36.50, $\Delta_{\mathcal{T}_{\rm EFI}>0} = 12:18:32.07-12:18:33.54$ on 2017-08-10). We note that 631 while the EFI threshold is reached during event LHDW2, it is never reached for LHDW1, 632 neither during the interval of wave activity nor considering an interval of several seconds 633 centered around the interval of wave activity. For this reason, we could not define $\Delta_{\mathcal{T}_{EFI}>0}$ 634 for event LHDW1. Both events present characteristics that are similar to the EF events 635 $(k\rho_e \leq 1, \text{ oblique } \theta_{kB} \text{ and } \mathcal{E}_{long} \leq 0.5)$. However, for LHDW2 we observe a non-zero frequency (see Fig. 10(a) and (b)), so the observed waves could not be identified as non-636 637 propagating EF waves. Concerning event LHDW1, while the observed frequency (black 638 diamond in Fig. 10(a) and (b)) lies inside the variability range $\sigma_{f_{DS}}$, we note that $\sigma_{f_{DS}}$ 639 is at least four times larger than any $\sigma_{\rm fps}$ computed for the EF events, indicating that 640 the measurement is not reliable in this case. Also, the behavior of f_{DS} is drastically dif-641 ferent in LHDW1 and the EF events. During the EF events, we observe the Doppler shift 642 frequency f_{DS} fluctuating around the value of the observed frequency f_{obs} so that for sev-643 eral points in the time interval with wave activity $f_{DS} = f_{obs}$ (see e.g. Fig.7(c)). In con-644 trast, during the wave activity interval of event LHDW1, f_{DS} does not fluctuate around 645 f_{obs} (not shown); it varies approximately linearly during the considered interval and it 646 takes the value f_{obs} only twice. More importantly, the EFI instability threshold is never 647 exceeded during event LHDW1. Hence, it is unlikely that EFI-generated waves would 648 be observed during event LHDW1. We conclude that, while the observed EF and LHDW 649 waves share some similarities, it is possible to distinguish between the two modes. This 650 comparison further confirms that the reported events are reliably identified as EF fluc-651 tuations. 652

As mentioned in previous sections, during several of the EF events, the waves that 653 we have identified as EFI-generated are not observed in correspondence of the EF un-654 stable intervals where $T_{\rm EFI} > 0$, but rather immediately before or after. This may be 655 unexpected as we might expect to observe the EF waves in the source region, as they 656 are non-propagating fluctuations. At the same time, we expect the electron temperature 657 anisotropy to decrease as the waves grow and the instability proceeds to the non-linear 658 stage leading to electron isotropization. This means that MMS could observe a region 659 with unstable plasma without (prior to) wave development and observe clear wave ac-660 tivity in a region where the instability has already saturated and reduced the anisotropy 661 of the plasma, so it is stable to EFI at the time of the observations. 662

⁶⁶³ The validity of this interpretation depends on the time scales associated with the ⁶⁶⁴ development and saturation of EFI compared to the duration of the observed intervals ⁶⁶⁵ with $T_{\rm EFI} > 0$ and of the intervals with wave activity. The time scales of interest are

related to the wave growth rate γ , $T_{\gamma} = 2\pi/\gamma$ and to the time required to reach the 666 maximum fluctuations amplitude T_{peak} . These two quantities cannot be easily computed 667 with in situ measurements. However, we can obtain an estimation of T_{γ} from the results 668 of the linear solver. The time scale T_{peak} has been evaluated in simulation studies. The value of T_{peak} is quite similar in simulation studies by Gary and Nishimura (2003); Cam-670 poreale and Burgess (2008); Hellinger et al. (2014) and corresponds to $T_{peak} \approx 5-10 T_{\gamma_{max}}$, 671 where $T_{\gamma_{max}} = 2\pi/\gamma_{max}$ is computed for the maximum growth rate. In the case of event 672 #6, the interval where the EFI threshold is exceeded, $\Delta t_{\mathcal{T}_{\text{EFI}}>0}$, has a duration of 0.49 673 s. The maximum growth rate is $\gamma_{\text{max}} = 0.025 \ \Omega_{\text{ce}}$ (see Fig.8(a)) so that $T_{\gamma_{\text{max}}} = 2\pi/\gamma_{\text{max}} =$ 674 0.43 s (here $\Omega_{ce} = 580$ rad/s for a background magnetic field of 3 nT). Considering the 675 estimate value of T_{peak} based on simulations results, $T_{peak} \approx 5 - 10T_{\gamma_{max}} \approx 2.15 - 10T_{\gamma_{max}}$ 676 4.3 s. Hence, $T_{peak} = 4.4 - 8.7 \Delta t_{\mathcal{T}_{EFI}>0}$, meaning that the time spent by MMS in the 677 unstable region is not enough to observe the wave development. At the same time, it is 678 not surprising that the waves remain in the region where the temperature anisotropy is 679 already being reduced, as the waves are non-propagating. This estimation yields to sim-680 ilar results also for the other events that have the wave activity not co-located with $\Delta t_{\mathcal{T}_{\text{EFI}}>0}$. 681 This simple qualitative estimation, despite its inherent limitations, can help us under-682 stand the lack of wave observations in the intervals with $T_{\rm EFI} > 0$. 683

The observed EF fluctuations are located in the reconnection outflow, which is characterized by strong flow. It is worth underlining that the presence of this strong electron flow is crucial for observing the non-propagating EF mode as it allows for a significant Doppler shift frequency that, in the case of non-propagating modes, will coincide with the observed frequency $(f_{obs} = f_{DS} \pm \sigma_{f_{DS}})$. We note, however, that a non-negligible Doppler shift frequency depends not only upon the magnitude of \mathbf{v}_e but also on the angle between \mathbf{v}_e and \mathbf{k} . In all considered events, \mathbf{v}_e has a significant component along the wave vector yielding significant Doppler shift frequency.

Interestingly, for all the EF events the observed waves are more complex than pre-692 dicted by linear dispersion theory. The observed EF waves exhibit magnetic field fluc-693 tuations of similar amplitude for all three components in both GSM and field-aligned 694 (FAC) coordinate systems (see Fig.4(b) and Fig.5(b) for event #6; Fig.6(b) and Fig.7(b) 695 for event #7). This is in contrast with the linear theory predicting low $\delta B_{\parallel}/\delta B$, mean-696 ing that the components perpendicular to the background magnetic field are dominat-697 ing the fluctuations (see Fig.1(d) and Fig.8(c), 9(c)). Also, while all the observed waves 698 have a clear electromagnetic component, for several events $\langle \mathcal{E}_{\text{long}} \rangle_{\Delta t, \Delta f} \sim 0.5$ further 699 indicating that the observed waves are quite complex as they are not fully electromag-700 netic or electrostatic. 701

702 9 Conclusions

We used high-resolution in situ measurements by MMS to investigate EFI-generated fluctuations in the outflow region of magnetic reconnection. We considered a current sheet flapping event in the Earth's magnetotail when MMS was almost continuously measuring the reconnection exhaust (both tailward and Earthward flow). We identified seven events characterized by wave activity during which the EFI threshold is exceeded.

Our results show that the observed waves have properties consistent with the non-708 propagating EF mode as predicted by the linear kinetic dispersion theory. In particu-709 lar, we observe non-propagating fluctuations (i.e. zero real frequency) characterized by 710 a wave vector $k\rho_e \lesssim 1$ directed obliquely with respect to the background magnetic field, 711 with significant electromagnetic component and resonant with electrons. However, there 712 are also some differences between the observed fluctuations and the prediction of the lin-713 ear theory. Notably, all three fluctuating magnetic field components have similar am-714 plitude; the waves are not fully electromagnetic or electrostatic, i.e. $\langle \mathcal{E}_{\text{long}} \rangle_{\Delta t, \Delta f} \sim 0.5$. 715

The investigation of the EF modes in the reconnection outflow region is crucial to 716 improve our knowledge of the global energy conversion associated with reconnection. In-717 deed, the EFI-generated fluctuations are likely to lead to particle scattering and enhanced 718 wave-particle interaction which in turn can affect particle energization and energy con-719 version during reconnection, ultimately altering the global energy budget of the mag-720 netic reconnection process. This study, reporting for the first time direct observations 721 of the EFI-generated fluctuations, represents the first step toward a more complete un-722 derstanding of the EFI and its possible interplay with reconnection. 723

The results of this work are also beneficial to the study of the EFI in other plasma environments and regimes. In particular, the EFI is thought to play a key role in electron distribution isotropization in the solar wind but direct observation of the EF mode is currently prevented by the limited time resolution of particle measurements and lack of multi-spacecraft observations.

729 Open Research: Data Availability Statement

MMS data are available at https://lasp.colorado.edu/mms/sdc/public/data/

following the directories: mms#/fgm/brst/l2 for FGM data, mms#/edp/brst/l2 for EDP

data, mms#/fpi/brst/l2/dis-dist for FPI ion distributions, mms#/fpi/brst/l2/dis-moms

⁷³³ for FPI ion moments, mms#/fpi/brst/l2/des-dist for FPI electron distributions, and mms#/fpi/brst/l2/des-

moms for FPI electron moments. Data analysis was performed using the IRFU-Matlab

analysis package, available at https://github.com/irfu/irfu-matlab. The PDRK nu-

⁷³⁶ merical solver code is available at https://github.com/hsxie/pdrk.

737 Acknowledgments

The authors thank the entire MMS team and instruments' principal investigators for data

access and support. Thank you to Konrad Steinvall for helpful discussions. GC is sup-

- ⁷⁴⁰ ported by the European Research Council Consolidator grant 682068-PRESTISSIMO.
- DBG is supported by the Swedish National Space Agency (SNSA), grant 128/17.

742 References

- Biskamp, D. (2000). Magnetic reconnection in plasmas. Cambridge University Press.
 doi: 10.1017/CBO9780511599958
- Burch, J., Moore, T., Torbert, R., & Giles, B. (2016, 05). Magnetospheric multi scale overview and science objectives. Space Science Reviews, 199, 5-21. doi: 10.1007/s11214-015-0164-9
- Camporeale, E., & Burgess, D. (2008). Electron firehose instability: Kinetic linear
 theory and two-dimensional particle-in-cell simulations. Journal of Geo physical Research: Space Physics, 113(A7). doi: https://doi.org/10.1029/
 2008JA013043
- Cattell, C., Breneman, A., Dombeck, J., Hanson, E., Johnson, M., Halekas, J., ...
 Whittlesey, P. (2022, jan). Parker solar probe evidence for the absence of whistlers close to the sun to scatter strahl and to regulate heat flux. The Astrophysical Journal Letters, 924 (2), L33. doi: 10.3847/2041-8213/ac4015
- Chen, L.-J., Wang, S., Le Contel, O., Rager, A., Hesse, M., Drake, J., ... Avanov,
 L. (2020, Jul). Lower-hybrid drift waves driving electron nongyrotropic heating
 and vortical flows in a magnetic reconnection layer. *Phys. Rev. Lett.*, 125,
 025103. doi: 10.1103/PhysRevLett.125.025103
- Chew, G. F., Goldberger, M. L., Low, F. E., & Chandrasekhar, S. (1956). The
 boltzmann equation and the one-fluid hydromagnetic equations in the ab sence of particle collisions. Proceedings of the Royal Society of London.
 Series A. Mathematical and Physical Sciences, 236 (1204), 112-118. doi:

764	10.1098/rspa.1956.0116
765	Cozzani, G., Khotyaintsev, Y. V., Graham, D. B., Egedal, J., André, M., Vaivads,
766	A., Burch, J. L. (2021, Nov). Structure of a perturbed magnetic reconnec-
767	tion electron diffusion region in the earth's magnetotail. Phys. Rev. Lett., 127,
768	215101. doi: 10.1103/PhysRevLett.127.215101
769	Daughton, W. (2003). Electromagnetic properties of the lower-hybrid drift insta-
770	bility in a thin current sheet. <i>Physics of Plasmas</i> , $10(8)$, 3103-3119. doi: 10
771	.1063/1.1594724
	Egedal, J., Le, A., & Daughton, W. (2013). A review of pressure anisotropy caused
772	
773	by electron trapping in collisionless plasma, and its implications for magnetic recomposition $Physics of Plasmas (20/6) = 061201$, doi: 10.1062/1.4811002
774	reconnection. Physics of Plasmas, $20(6)$, 061201. doi: 10.1063/1.4811092
775	Ergun, R. E., Tucker, S., Westfall, J., Goodrich, K. A., Malaspina, D. M., Sum-
776	mers, D., Cully, C. M. (2016). The axial double probe and fields signal
777	processing for the mms mission. Space Science Reviews, 199, 167-188. doi:
778	10.1007/s11214-014-0115-x
779	Feldman, W. C., Asbridge, J. R., Bame, S. J., Montgomery, M. D., & Gary, S. P.
780	(1975). Solar wind electrons. Journal of Geophysical Research (1896-1977),
781	80(31), 4181-4196. doi: https://doi.org/10.1029/JA080i031p04181
782	Gao, J. W., Rong, Z. J., Cai, Y. H., Lui, A. T. Y., Petrukovich, A. A., Shen, C.,
783	Wan, W. X. (2018). The distribution of two flapping types of mag-
784	netotail current sheet: Implication for the flapping mechanism. Jour-
785	nal of Geophysical Research: Space Physics, 123(9), 7413-7423. doi:
786	https://doi.org/10.1029/2018JA025695
787	Gary, S. P. (1993). Theory of space plasma microinstabilities. Cambridge University
	Press. doi: 10.1017/CBO9780511551512
788	Gary, S. P., Lavraud, B., Thomsen, M. F., Lefebvre, B., & Schwartz, S. J. (2005).
789	Electron anisotropy constraint in the magnetosheath: Cluster observa-
790	
791	tions. Geophysical Research Letters, 32(13). doi: https://doi.org/10.1029/
792	2005GL023234
793	Gary, S. P., & Madland, C. D. (1985). Electromagnetic electron temperature
794	anisotropy instabilities. Journal of Geophysical Research: Space Physics,
795	90(A8), 7607-7610. doi: https://doi.org/10.1029/JA090iA08p07607
796	Gary, S. P., & Nishimura, K. (2003). Resonant electron firehose instability: Particle-
797	in-cell simulations. Physics of Plasmas, $10(9)$, 3571-3576. doi: $10.1063/1$
798	.1590982
799	Gary, S. P., Smith, C. W., Lee, M. A., Goldstein, M. L., & Forslund, D. W. (1984).
800	Electromagnetic ion beam instabilities. The Physics of Fluids, 27(7), 1852-
801	1862. doi: 10.1063/1.864797
802	Graham, D. B., Khotyaintsev, Y. V., Norgren, C., Vaivads, A., André, M., Drake,
803	J. F., Ergun, R. E. (2019). Universality of lower hybrid waves at earth's
804	magnetopause. Journal of Geophysical Research: Space Physics, 124(11),
805	8727-8760. doi: https://doi.org/10.1029/2019JA027155
806	Graham, D. B., Khotyaintsev, Y. V., Vaivads, A., & André, M. (2016). Electro-
807	static solitary waves and electrostatic waves at the magnetopause. Journal of
808	Geophysical Research: Space Physics, 121(4), 3069-3092. doi: https://doi.org/
809	10.1002/2015JA021527
	Hellinger, P., Trávníček, P. M., Decyk, V. K., & Schriver, D. (2014). Oblique
810	electron fire hose instability: Particle-in-cell simulations. Journal of Geophys-
811	• • • • • •
812	<i>ical Research: Space Physics</i> , 119(1), 59-68. doi: https://doi.org/10.1002/ 2013 IA010227
813	2013 JA019227
814	Hollweg, J. V., & Völk, H. J. (1970). New plasma instabilities in the solar wind.
815	Journal of Geophysical Research (1896-1977), 75(28), 5297-5309. doi: https://
816	doi.org/10.1029/JA075i028p05297
817	Innocenti, M. E., Tenerani, A., Boella, E., & Velli, M. (2019, sep). Onset and
818	evolution of the oblique, resonant electron firehose instability in the ex-

819	panding solar wind plasma. The Astrophysical Journal, 883(2), 146. doi: 10.2847/1528.4257/ab2c40
820	10.3847/1538-4357/ab3e40
821	Lazar, M., Shaaban, S. M., Poedts, S., & Š. Štverák. (2016). Firehose constraints of
822	the bi-kappa-distributed electrons: a zero-order approach for the suprathermal
823	electrons in the solar wind. Monthly Notices of the Royal Astronomical Society,
824	464(1), 564-571. doi: https://doi.org/10.1093/mnras/stw2336
825	Le, A., Stanier, A., Daughton, W., Ng, J., Egedal, J., Nystrom, W. D., & Bird,
826	R. (2019). Three-dimensional stability of current sheets supported by
827	electron pressure anisotropy. <i>Physics of Plasmas</i> , $26(10)$, 102114. doi:
828	10.1063/1.5125014
829	Leonenko, M. V., Grigorenko, E. E., Zelenyi, L. M., Malova, H. V., Malykhin,
830	A. Y., Popov, V. Y., & Büchner, J. (2021). Mms observations of su-
831	per thin electron-scale current sheets in the earth's magnetotail. Journal
832	of Geophysical Research: Space Physics, 126(11), e2021JA029641. doi:
833	https://doi.org/10.1029/2021JA029641
834	Li, X., & Habbal, S. R. (2000). Electron kinetic firehose instability. <i>Journal of</i>
835	Geophysical Research: Space Physics, 105(A12), 27377-27385. doi: https://doi
836	.org/10.1029/2000JA000063
	Lindqvist, PA., Olsson, G., Torbert, R., King, B., Granoff, M., Rau, D.,
837	Tucker, S. (2016). The spin-plane double probe electric field instrument for
838	mms. Space Science Reviews, 199, 137–165. doi: 10.1007/s11214-014-0116-9
839	-
840	López, R. A., Micera, A., Lazar, M., Poedts, S., Lapenta, G., Zhukov, A. N.,
841	Shaaban, S. M. (2022, may). Mixing the solar wind proton and electron
842	scales. theory and 2d-PIC simulations of firehose instability. The Astrophysical
843	Journal, 930(2), 158. doi: 10.3847/1538-4357/ac66e4
844	Maneva, Y., Lazar, M., Viñas, A., & Poedts, S. (2016, nov). MIXING THE SO-
845	LAR WIND PROTON AND ELECTRON SCALES: EFFECTS OF ELEC-
846	TRON TEMPERATURE ANISOTROPY ON THE OBLIQUE PROTON
847	FIREHOSE INSTABILITY. The Astrophysical Journal, 832(1), 64. doi:
848	10.3847/0004-637x/832/1/64
849	Messmer, P. (2002). Temperature isotropization in solar flare plasmas due to the
850	electron firehose instability. $A & A, 382(1), 301-311.$ doi: $10.1051/0004-6361$:
851	20011583
852	Paesold, G., & Benz, A. O. (2003). Test particle simulation of the electron firehose
853	instability. $A & A$, $401(2)$, 711-720. doi: $10.1051/0004-6361:20030113$
854	Pilipp, W., & Völk, H. J. (1971). Analysis of electromagnetic instabilities parallel
855	to the magnetic field. Journal of Plasma Physics, $6(1)$, 1–17. doi: 10.1017/
856	S0022377800025654
857	Pilipp, W. G., Miggenrieder, H., Montgomery, M. D., Mühlhäuser, K. H., Rosen-
858	bauer, H., & Schwenn, R. (1987). Characteristics of electron velocity distri-
859	bution functions in the solar wind derived from the helios plasma experiment.
860	Journal of Geophysical Research: Space Physics, 92(A2), 1075-1092. doi:
861	https://doi.org/10.1029/JA092iA02p01075
862	Pollock, C. J., Moore, T. E., Jacques, A. D., Burch, J. L., Gliese, U., Saito, Y.,
863	Zeuch, M. A. (2016). Fast plasma investigation for magnetospheric multiscale.
864	Space Science Reviews, 199, 331-406. doi: 10.1007/s11214-016-0245-4
865	Richard, L., Khotyaintsev, Y. V., Graham, D. B., Sitnov, M. I., Le Contel, O.,
	& Lindqvist, PA. (2021). Observations of short-period ion-scale current
866	sheet flapping. Journal of Geophysical Research: Space Physics, 126(8),
867	e2021JA029152. doi: https://doi.org/10.1029/2021JA029152
868	
869	Russell, C. T., Anderson, B. J., Baumjohann, W., Bromund, K. R., Dearborn, D., Fischer, D., Richter, I. (2016). The magnetospheric multiscale magnetome-
870	
871	ters. Space Science Reviews, 199, 189-256. doi: 10.1007/s11214-014-0057-3 Shappan S. M. Lagar M. Lápag P. A. Vaca P. H. & Boadta S. (2021)
872	Shaaban, S. M., Lazar, M., López, R. A., Yoon, P. H., & Poedts, S. (2021).
873	Advanced interpretation of waves and instabilities in space plasmas. In

874	M. Lazar & H. Fichtner (Eds.), Kappa distributions: From observational
875	evidences via controversial predictions to a consistent theory of nonequilib-
876	rium plasmas (pp. 185–218). Cham: Springer International Publishing. doi:
877	$10.1007/978$ -3-030-82623-9_10
878	Shaaban, S. M., Lazar, M., López, R. A., Fichtner, H., & Poedts, S. (2019, mar).
879	Firehose instabilities triggered by the solar wind suprathermal electrons.
880	Monthly Notices of the Royal Astronomical Society, 483(4), 5642–5648. doi:
881	$10.1093/\mathrm{mnras/sty}3377$
882	Verscharen, D., Chandran, B. D. G., Boella, E., Halekas, J., Innocenti, M. E., Ja-
883	garlamudi, V. K., Whittlesey, P. L. (2022). Electron-driven instabili-
884	ties in the solar wind. Frontiers in Astronomy and Space Sciences, 9. doi:
885	10.3389/fspas.2022.951628
886	Štverák, v., Trávníček, P., Maksimovic, M., Marsch, E., Fazakerley, A. N., &
887	Scime, E. E. (2008). Electron temperature anisotropy constraints in the
888	solar wind. Journal of Geophysical Research: Space Physics, 113(A3). doi:
889	https://doi.org/10.1029/2007JA012733
890	Wang, S., Chen, LJ., Bessho, N., Ng, J., Hesse, M., Graham, D. B., Giles, B.
891	(2022). Lower-hybrid wave structures and interactions with electrons observed
892	in magnetotail reconnection diffusion regions. Journal of Geophysical Research:
893	<i>Space Physics</i> , <i>127</i> (5), e2021JA030109. (e2021JA030109 2021JA030109) doi:
894	https://doi.org/10.1029/2021JA030109
895	Xie, H., & Xiao, Y. (2016). PDRK: A general kinetic dispersion relation solver for
896	magnetized plasma. Plasma Science and Technology, 18(2), 97–107. doi: 10
897	.1088/1009-0630/18/2/01
898	Yoo, J., Ji, JY., Ambat, M. V., Wang, S., Ji, H., Lo, J., Goodman, A. (2020).
899	Lower hybrid drift waves during guide field reconnection. <i>Geophysical Research Letters</i> , 47(21), e2020GL087192. doi: https://doi.org/10.1029/2020GL087192
900	Yoon, P. H., Lui, A. T. Y., & Sitnov, M. I. (2002). Generalized lower-hybrid drift
901	instabilities in current-sheet equilibrium. <i>Physics of Plasmas</i> , 9(5), 1526-1538.
902	doi: 10.1063/1.1466822
903 904	Zhang, X., Angelopoulos, V., Artemyev, A. V., & Liu, J. (2018). Whistler and
904	electron firehose instability control of electron distributions in and around
905	dipolarizing flux bundles. <i>Geophysical Research Letters</i> , 45(18), 9380-9389.
907	doi: https://doi.org/10.1029/2018GL079613