Improving Forecasting Ability of GITM using Data-driven Model Refinement

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November 21, 2022

Abstract

At altitudes below about 600 km, satellite drag is one of the most important and variable forces acting on a satellite. Neutral mass density predictions in the upper atmosphere are therefore critical for (1) designing satellites; (2) performing adjustments to stay in an intended orbit; and (3) collision avoidance maneuver planning. Density predictions have a great deal of uncertainty, including model biases and model misrepresentation of the atmospheric response to energy input. These may stem from inaccurate approximations of terms in the Navier-Stokes equations, unmodeled physics, incorrect boundary conditions, or incorrect parameterizations. Two commonly parameterized source terms are the thermal conduction and eddy diffusion. Both are critical components in the transfer of the heat in the thermosphere. Determining how well the major constituents (\$N_2\$, \$O_2\$, \$O\$) are as heat conductors will have effects on the temperature and mass density changes from a heat source. This work shows the effectiveness of using the retrospective cost model refinement (RCMR) technique at removing model bias caused by different sources within the Global Ionosphere Thermosphere Model (GITM). Numerical experiments, Challenging Minisatellite Payload (CHAMP) and Gravity Recovery and Climate Experiment (GRACE) data during real events are used to show that RCMR can compensate for model bias caused by both inaccurate parameterizations and drivers. RCMR is used to show that eliminating model bias before a storm allows for more accurate predictions throughout the storm.

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7 Abstract

At altitudes below about 600 km, satellite drag is one of the most important and variable 8 forces acting on a satellite. Neutral mass density predictions in the upper atmosphere 9 are therefore critical for (1) designing satellites; (2) performing adjustments to stay in an 10 intended orbit; and (3) collision avoidance maneuver planning. Density predictions have 11 a great deal of uncertainty, including model biases and model misrepresentation of the 12 atmospheric response to energy input. These may stem from inaccurate approximations of 13 terms in the Navier-Stokes equations, unmodeled physics, incorrect boundary conditions, 14 or incorrect parameterizations. Two commonly parameterized source terms are the thermal 15 conduction and eddy diffusion. Both are critical components in the transfer of the heat in 16 the thermosphere. Determining how well the major constituents (N_2, O_2, O) are as heat 17 conductors will have effects on the temperature and mass density changes from a heat 18 source. This work shows the effectiveness of using the retrospective cost model refinement 19 (RCMR) technique at removing model bias caused by different sources within the Global 20 Ionosphere Thermosphere Model (GITM). Numerical experiments, Challenging Minisatel-21 lite Payload (CHAMP) and Gravity Recovery and Climate Experiment (GRACE) data 22 during real events are used to show that RCMR can compensate for model bias caused 23 by both inaccurate parameterizations and drivers. RCMR is used to show that eliminating 24 model bias before a storm allows for more accurate predictions throughout the storm. 25

²⁶ 1 Introduction

Orbit estimation of drag along a satellite path for collision avoidance is growing in 27 importance due to the increased risk of collisions as more objects are being launched into 28 low Earth orbit. Satellites are expensive to build, launch and maintain [Saleh et al., 2004] 29 and there is an increasing collision risk posed by over twenty thousand pieces of space 30 debris larger than 10 cm³ [Garcia, 2021]. In reponse to the threat of collisions, the Joint 31 Space Operations Center (JSpOC) continuously monitors orbiting objects' positions and 32 velocities. From its database, it computes a probability of collision between two bodies 33 and will issue a Conjunction Data Message (CDM) to the mission operator for further 34 action [Hejduk and Frigm, 2015], [Bussy-Virat et al., 2018]. Then a collision avoidance 35 maneuver could be performed, costing time of inactivity and fuel. 36

There are underlying assumptions to the advanced computing technique of predicting a collision. One assumption is the drag force estimation used to solve the kinematic

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equations. The acceleration (a) experienced due to satellite drag is proportional to the ratio of surface area (A) to mass (m) of the spacecraft, coefficient of drag (c_D), the atmospheric density (ρ) and the velocity, relative to a rotating atmosphere, squared (v):

$$\underline{a} = -\frac{1}{2} \frac{A}{m} c_D \rho \underline{v}^2 \hat{v} \tag{1}$$

³⁷ where density is the largest uncertainty in this equation.

Attitude control is a related topic that requires properly estimating the drag-induced 38 torques on a satellite to control its orientation. This could be important for instrumentation 39 to function properly. Part of the attitude control problem is bounding torques to ensure 40 systems do not get overwhelmed. Alternatively, over-engineering a powerful attitude con-41 trol system costs extra money. The accuracy of torque prediction is reliant on low-error 42 density estimation too. Moorthy et al. [2021] describes the importance of attitude control 43 and the potential impact to expand our ability to explore extremely low Earth orbits (150-44 250 km). This region of Earth's atmosphere is under-explored due to the large drag force 45 causing short expected lifetimes. 46

Accurately predicting the density in the thermosphere is a difficult task and atmospheric models are often called upon to make these density-driven drag estimations, but can be inaccurate by 20% ([*Kuang et al.*, 2014], [*Marcos*, 1990], [*Bruinsma et al.*, 2004]). The errors in the prediction are amplified during a geomagnetic storm, largely due to poor density estimation [*Pachura and Hejduk*, 2016]. Drag inaccuracies can create positioning errors on the order of 10 km after just one day. In a short period of time, the satellites' trajectory can change enough such that JSpOC may need to reacquire them.

One of the models available to estimate density is NRLMSISE-00 (referred to as 54 MSIS). MSIS is an empirical model ([Hedin, 1983], [Hedin, 1987], [Hedin, 1991], [Pi-55 cone et al., 2002]) that uses a spherical harmonic fitting of ground-based and satellite 56 measurements to estimate neutral densities and temperatures of the thermosphere for given 57 solar conditions (F10.7) and geomagnetic activity (A_p). Empirical models incorporate 58 data from remote observations so they are able to capture background neutral densities 59 well, but do not have the same success during a solar storm due to limited time periods 60 of enhanced activity. Wang et al. [2022] analyzed 265 storms, showed that MSIS under-61 predicted the density during storms, and fit coefficients to improve MSIS's peak density 62 prediction during weak, moderate and intense storms. 63

The Jacchia-Bowman 2008 Empirical Thermospheric Density Model (JB2008) 64 [Bowman et al., 2008] is an empirical model that estimates total mass density. JB2008 65 is a series of improvements upon the Jacchia 70 model [Jacchia, 1970] changing the in-66 put for the geomagnetic indices (from A_p to D_{st}) and adding to the input for the solar 67 indices using orbit-based sensor measurements of solar data in the EUV and far EUV 68 (FUV) wavelengths. As part of the change from Jacchia 70, Bowman [2004] concluded 69 that a Fourier time series and an altitude dependent, quadratic function could accurately 70 replace the existing Jacchia 70 density functions used to compute the semidiurnal density 71 variation. Bowman et al. [2006] introduced EUV and FUV solar indices into their temper-72 ature equation, replacing the standard Jacchia temperature equation. The accumulation of 73 these changes led to lower standard deviation in errors, particularly during solar minimum 74 conditions and during major geomagnetic storms. 75

There are two common issues with models: (1) bias during background conditions where mean densities from the model differ from mean measurements over a period of several days or longer and (2) enhanced errors over periods of a couple of days, driven by space weather events like storms. There are many ways people have tried to address these issues of poor density estimation.

The High Accuracy Satellite Drag Model (HASDM) [Storz et al., 2005] is an exten-81 sion of JB2008 used by the US Space Force Combined Space Operations Center which 82 uses observed drag effects from approximately 75 Earth-orbiting spheres to compute di-83 urnal and semidiurnal variations to the thermosphere density. Doornbos et al. [2008] has 84 done work with two-line element (TLE) data to directly create altitude-dependent multi-85 plication factors to scale the densities of empirical models. Brandt et al. [2020] created 86 the Multifacted Optimization Algorithm (MOA) which similarly uses TLE data to incre-87 mentally adjust the drivers for MSIS within the orbital propagator (SpOCK) [Bussy-Virat 88 et al., 2018]. MOA adjusts the drivers of MSIS when MSIS has a large bias or misrep-89 resents a storm to bring SpOCK-predicted orbits in line with TLEs from several small 90 satellites. Lastly, [Kalafatoglu Eyiguler et al., 2019] showed that debiasing a model's back-91 ground density prior to a storm may lead to improved performance for some models and 92 recommends a few calculations for assessing storm-time performance. 93

94 95 Physics-based models estimate the thermosphere state variables using approximations of the Navier-Stokes equations. The idea is that correctly implemented physics could

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more accurately reproduce typical and highly-variable thermosphere conditions as ob-96 served during storms. Coupled Thermosphere Ionosphere Model (CTIM) [Fuller-Rowell 97 and Rees, 1980], Thermosphere Ionosphere Electrodynamics General Circulation Model 98 (TIEGCM) [Richmond et al., 1992] and Global Ionosphere Thermosphere Model (GITM) 99 [Ridley et al., 2006] are examples of Earth-based, physics models. The different numer-100 ical approximations, source terms included (or not included), and drivers in each model 101 generates different temperatures, wind structures and densities. TIEGCM and CTIM use 102 the hydrostatic assumption, whereas GITM does not make the same hydrostatic equilib-103 rium assumption and solves a more complete vertical momentum and energy equation, but 104 takes significantly longer to run. GITM makes use of the Flare Irradiance Spectral Model 105 (FISM) [Chamberlin et al., 2008] fluxes to better represent the solar EUV entering the 106 atmosphere. 107

This study presents work on debiasing the background density in GITM using observational data. It also shows the impact of debiasing a model prior to a geomagnetic storm using satellite measurements and the MSIS model.

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1.1 The Global Ionosphere Thermosphere Model (GITM)

Understanding the parameters that affect the thermosphere's neutral density are critical for improving physics-based models like GITM. GITM is a 3D spherical model that is used for Earth [*Ridley et al.*, 2006], Mars [*Bougher et al.*, 2015] and Saturn's moon Titan [*Bell et al.*, 2010]. In this study, the resolution of GITM was 2° latitude and 4° in longitude.

Ridley et al. [2006] explains the capabilities of the model, including the chemistry and numerical schemes. The vertical energy equation in GITM, including source terms, is [*Ridley et al.*, 2006]:

$$\frac{\partial \mathcal{T}}{\partial t} + u_r \frac{\partial \mathcal{T}}{\partial r} + (\gamma - 1)\mathcal{T}(\frac{2u_r}{r} + \frac{\partial u_r}{\partial r}) = \frac{k}{c_v \rho \bar{m}_n} Q \tag{2}$$

where the first term is the time rate of change for the normalized, neutral temperature, $\mathcal{T} = kT/\bar{m}_n$. The second term is the advection of temperature gradients, while the third term is the adiabatic heating, which is a result of the divergence of the velocity. This is is only the vertical component which depends on the vertical velocity, u_r , radius of the Earth, r, and the temperature gradient. γ is the adiabatic index that is attached to the

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change in energy from the expansion of the gas. On the right-hand side, c_v is the specific heat, k is Boltzmann's constant, ρ is the mass density, and \bar{m}_n is the mean mass of the neutrals. The various source terms are given by:

$$Q = Q_{EUV} + Q_{NO} + Q_O + \frac{\partial}{\partial r} ((\kappa_c + \kappa_{eddy}) \frac{\partial T}{\partial r}) + \sum_i n_i m_i \sum_n \frac{\nu_{in} [3k(T_n - T_i) + m_n(v - u)^2]}{m_i + m_n}$$
(3)

where: Q_{EUV} is the contribution from the solar extreme ultraviolet irradiance; the Q_{NO} 117 and Q_O terms are the cooling to space from the 5.3 μm and 63 μm bands respectively. 118 The last term is the collisional frictional heating and heat transfer between ions and neu-119 trals. This is a function of the ion density (n_i) , mass of the ion (m_i) , mass of the neutrals 120 (m_n) , the ion-neutral collision frequency (ν_{in}) , the ion velocity (v_i) , neutral velocity 121 (u_n) , ion temperature (T_i) and the neutral temperature (T_n) . Finally, the fourth term is the 122 thermal conductivity, where κ_{eddy} and κ_c are the conductivity coefficients due to eddy 123 diffusion and molecular heat conductivity respectively, and is the focus of this study. 124

125

1.2 Thermal Conductivity in the Upper Atmosphere

Thermal conductivity uncertainty is a serious issue in physics-based models ([*Banks and Kockarts*, 1973], [*Pawlowski and Ridley*, 2009], [*Schunk and Nagy*, 2004]). Most of the literature describes thermal conductivity in a laboratory setting where it is expressed as a function of temperature alone for specific species [*Vargaftik et al.*, 1993]. The theoretical expression for the thermal conductivity coefficient (κ_c) are complex and so it has been useful to simplify the coefficient to be a parameterization ([*Banks and Kockarts*, 1973], [*Schunk and Nagy*, 2004]) as:

$$\kappa_c = \sum_{i=O,O_2,N_2} \left[\frac{N_i}{N_{total}}\right] A_i T^s \tag{4}$$

where N_i/N_{total} is a weighting factor by number density of each neutral species, T is 129 the thermosphere temperature, A_i and s are species specific thermal conductivity coeffi-130 cients to fit the total conductivity as needed. The summation includes the three species 131 with the largest concentrations in the thermosphere. From Figure 1, above about 200 km, 132 O is a dominant neutral species whereas in the lower thermosphere O_2 and N_2 densities 133 are more prevalent and must be considered in the contribution to the heat exchange pro-134 cess. The temperature profile shows that above about 250 km, the atmosphere is roughly 135 isothermal, so the conduction term can be quite small. This is the region where O is 136

- dominant. This implies that the N_2 term in the thermal conductivity is probably a more
- important term since N_2 is dominant below ~ 250 km where the vertical temperature

139 gradient is largest.

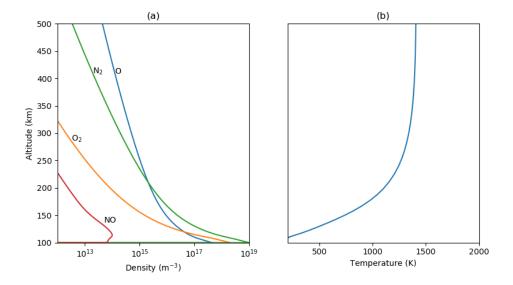


Figure 1. (a) Globally averaged atmosphere constituents and (b) globally averaged temperature in the thermosphere from GITM on September 26th, 2002. This time period is representative of solar max conditions ($F_{10.7} \approx 180$) and is used in some of the tests performed in later sections.

Pavlov [2017] gives approximations from tabulated values in *Vargaftik et al.* [1993] for thermal conduction (denoted as λ in *Pavlov* [2017]) experiencing pressures much less than 0.1 MPa in temperature ranges of 160 - 2500 K for N_2 and 160 - 1500 K for O_2 . The full expressions are:

$$\kappa_{N_2} = -3520 + 720.5T^{0.5} - 41.93T + 1.613T^{1.5} - 0.02685T^2 + 1.665 \times 10^{-4}T^{2.5}$$
(5)

$$\kappa_{O_2} = -3169 + 735.7T^{0.5} - 53.83T + 2.583T^{1.5} - 0.05325T^2 + 4.083 \times 10^{-4}T^{2.5} \tag{6}$$

$$\kappa_O = 46.7(1 + 2.228 \times 10^{-5}T - 5.545 \times 10^{-9}T^2)T^{0.77} \tag{7}$$

Figure 2 shows the *Pavlov* [2017] values of κ_{N_2} , κ_{O_2} , κ_O , as well as the corresponding *Schunk and Nagy* [2004] conductivities (assuming s = 0.75). In the bottom subplot, "best fit" lines are shown using the same parameterization scheme in (4). The estimation of the ¹⁴⁷ coefficients and exponent in the parameterization are derived from data and theoretical

- expressions of the thermal conductivity of individual gases from [Hilsenrath, 1960], [Reid
- et al., 1977], [Lide, 1997], [Barlier et al., 1969] and [Banks and Kockarts, 1973]. While
- Vargaftik et al. [1993] describes more complex expressions that best fit to an exponent
- close to 0.8. Although the parameterizations of the atomic oxygen, O, and nitrogen, N_2 ,
- seem to match fairly well, there is a great deal of discrepancy for the estimation of the
- 153 O₂.

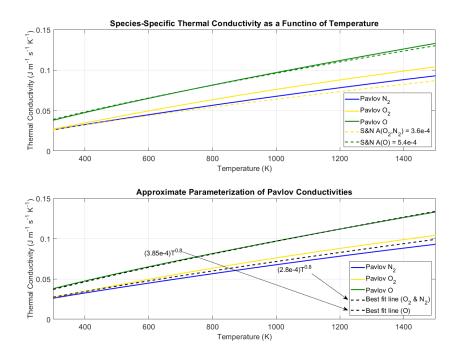


Figure 2. Different species-specific thermal conductivities plotted as a function of temperature with differing definitions of the suggested parameterization. Top: Pavlov and Schunk and Nagy parameterized species-specific conductivities. Bottom: Best fit lines for the Pavlov species-specific curves with the form $A_i T^s$.

As described in *Pawlowski and Ridley* [2009], model bias can originate from incorrectly defined parameters like the thermal conductivity, eddy diffusion, or photoelectron heating efficiencies. Certain quantities such as the eddy diffusion, and lower boundary density and temperature affect model bias such that the best modeled physics equations can still result in inaccurate mass density calculations. It is therefore quite difficult to identify the cause of data-model comparison discrepancies. For example, *Masutti et al.* [2016] explored a time period in which F10.7 increased over the course of several days and showed that GITM's mass density at approximately 400 km altitude overresponded to this change. Overall, there was an underestimate of a mass density when F10.7 was low and an overestimate when F10.7 was high. Since GITM's performance was a function of the solar irradiance, improved performance could possibly be captured through thermal conductivity adjustments based on solar activity, but may be possibly masking other incorrectly modeled physics.

The thermal conductivity is the focus of this study because its parameterization 167 is a possible deficiency in GITM and it significantly changes the density results needed 168 for orbit prediction. This is an opportunity to settle the discrepancy of parameterizations 169 and compensate for neutral density model bias that may be caused by other incorrectly 170 modeled physics, boundary conditions or drivers. For instance, inaccurate modeling of a 171 term like the eddy diffusion coefficient could also influence neutral density results [Qian 172 et al., 2009]. Handling the eddy diffusion has been a topic of previous research in GITM 173 ([Goel et al., 2018], [Malhotra et al., 2017]), but the eddy diffusion is a term that also 174 controls the composition and ionospheric density due to the changed turbulent mixing and 175 its inclusion in the continuity, vertical momentum and energy equations. 176

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1.3 Manually Debiasing the Thermal Conductivity

This section outlines the need for debiasing models by describing an attempt to 178 choose a single constant, thermal conductivity coefficient that allows GITM's mass density 179 to better match CHAMP observations. Nine runs with varying thermal conductivity coef-180 ficients (Table 1) were performed for each of six different time periods. For each run, the 181 eddy diffusion coefficient was set to 500, and s was set to 0.69. The percent difference in 182 mass density from CHAMP measurements and GITM calculations were examined. GITM 183 was ran for ten days, but only the last five days of each run were used to allow GITM 184 to reach a quasi-diurnally reproducible state before comparison. CHAMP and GITM 185 densities were averaged over the orbital period (~ 90 minutes). 186

Contours of percent error for each time period are shown in Figure 3. The September 2002 and September 2004 time periods were selected to tune GITM, keeping the season and geomagnetic conditions similar, but allowing the solar activity to vary (see Table 2).

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Run	$\mathbf{A}(\mathbf{O_2},\mathbf{N_2})$	$\mathbf{A}(\mathbf{O})$
1	3.6	4.6
2	4.6	4.6
3	5.6	4.6
4	3.6	5.6
5	4.6	5.6
6	5.6	5.6
7	3.6	6.6
8	4.6	6.6
9	5.6	6.6

Table 1. The variety of inputs to thermal conductivity coefficients. Multiply A(i) by 10^{-4} to yield $Jm^{-1}s^{-1}K^{-1}$.

Time period	F10.7
September 2002	184
February 2003	133
September 2004	92
August 2005	94
October 2005	80
September 2006	74

193

 Table 2.
 F10.7 (solar flux units) values during the different time periods.

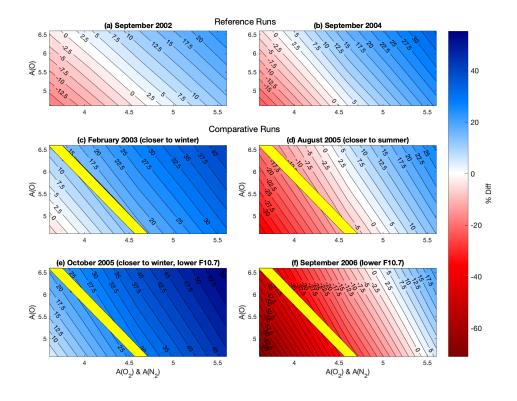


Figure 3. Contours of model errors as a function of thermal conductivity (molecular on x-axis, atomic on y-axis) for different time periods. The blue and red regions indicate GITM having mass densities lower and higher than CHAMP observed, respectively. Areas of white yield results similar mean densities to CHAMP. (a) and (b) are baseline runs to find suitable thermal conductivity coefficients. The yellow region in (c)-(f) are thermal conduction values that yield good results for both the reference runs to within 5%.

199	As the thermal conductivity is increased, the gradient in temperature in the lower
200	thermosphere decreases. Since the lower boundary condition fixes the temperature, the
201	temperature in the upper thermosphere must decrease. Pressure and density profiles are
202	strongly controlled by the temperature, so as the temperature decreases, the density at
203	a fixed altitude in the upper thermosphere also decreases. This means that the neutral
204	density in GITM decreases as the thermal conductivity increases. Figure 3 shows that
205	the molecular coefficient has a stronger effect than the atomic oxygen coefficient. This
206	is because the thermal conductivity multiplies ∇T , which is largest in the lower ther-
207	mosphere (~100-200 km), where the major species O_2 and N_2 are dominant (Figure 1).

Hence, the thermal conductivity in the lower thermosphere dictates the middle and upper
 thermosphere temperature and density.

The top two plots of Figure 3 indicate that, for these two intervals, there is a span 210 of atomic and molecular coefficients that reduce the model bias to extremely low levels, 211 even with different solar irradiance. However, when the study was expanded to include 212 other seasons and other conditions, it became clear that no combination reduced the bias 213 universally. Times outside of September 2002 and 2004 needed to be considered to see 214 that this overlapping parameterization space does not provide unbiased results at different 215 parts of the solar cycle. The yellow zone overlayed on each subplot is the parameter space 216 from the September 2002 and 2004 runs where the error was within $\pm 5\%$ for both times. 217 These yellow zones show that a debiased set of thermal conductivity parameters for one 218 set of times do not necessarily reduce the error to zero for other time periods. The causes 219 of this could stem from incorrect drivers (EUV, lower boundary condition, aurora, etc...) 220 or incorrect physics (ion variability, small-scale structures, turbulent heating, etc...). This 221 is the reason an automated debiasing mechanism is needed. The difference in performance 222 to estimate other state variables (aside from the neutral density) between these two sets of 223 thermal conductivity candidates was not studied in this work. 224

225 **2** Retrospective Cost Model Refinement (RCMR)

Retrospective Cost Model Refinement (RCMR) is a technique developed for param-226 eter estimation in nonlinear systems [Morozov et al., 2011]. The technique is a variation 227 of retrospective cost adaptive control (RCAC) that was primarily developed for adap-228 tive control applications in aerospace engineering [Santillo and Bernstein, 2010]. In this 229 work, RCMR is used to estimate thermal conductivity coefficients in a system modeled 230 by Navier-Stokes partial differential equations. RCMR minimizes a cumulative cost func-231 tion that is based on the difference between the density computed self-consistently by 232 GITM and the density specified externally, such as that measured by a real satellite or 233 estimated by a different model. This technique has been applied for estimation of (1) the 234 eddy diffusion coefficient using total electron content (TEC) as the comparison variable 235 [Goel et al., 2018], (2) NOx cooling using simulated space-based measurements [D'Amato 236 et al., 2013], (3) the photoelectron heating coefficient based on real satellite measurements 237 [Burrell et al., 2015] and, (4) the thermal conductivity coefficients using simulated density 238 measurements [Goel et al., 2020]. Each of these studies successfully estimated the corre-239

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sponding unknown parameter using RCMR. For a more complete description of RCMR,
refer to *Goel et al.* [2020].

Figure 4 shows the block diagram used to estimate the unknown parameter within 242 RCMR. As shown by the top block in Figure 4, the external drivers, including the solar 243 EUV, frictional heating and auroral precipitation, force the real thermosphere's density, 244 ρ . Thermal conductivity serves to move the energy vertically. When trying to reproduce 245 nature's physics with a model (GITM), there are assumptions that try to emulate the true 246 relationships. The empirical formulations, boundary conditions and other model necessities 247 result in error accumulation. This is seen when comparing the model estimated density, $\hat{\rho}$ 248 with in-situ measurements, as shown in Figure 3. 249

Reducing the error (z) is ideally done by correctly implementing equations that 250 accurately and completely capture all dynamics, boundary conditions and drivers within 251 the model. Low error could also be obtained by incorrect physics within the models that 252 cancel each other out, inadvertently matching the measurements. This can occur when 253 multiple incomplete physics terms compensate for each other. For example, having too 254 low solar EUV heating along with too high frictional heating at high-latitudes could result 255 in an orbit-averaged mass densitity that is more or less correct. In the case of RCMR, 256 intentionally adjusting thermal conductivity coefficient(s) changes the error by altering the 257 thermal balance between sources and sinks. 258

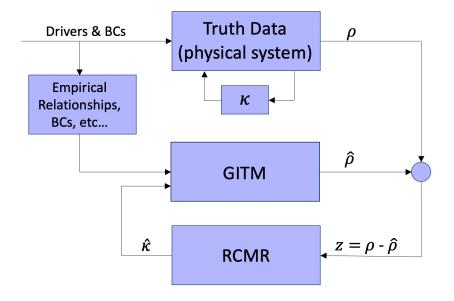


Figure 4. Modified block diagram from Goel et al. [2020] to illustrate the RCMR process.

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In Figure 4, the top block represents the true physical system with real drivers and boundary conditions. In the real system, κ is driven by the states and dynamics, making a complex, nonlinear system. GITM approximates the drivers and boundary conditions as well as approximating the dependence of κ on the system state as described above (i.e. κ = $\sum A_i T^s$). RCMR takes the difference between the "actual" ρ and the GITM-estimated $\hat{\rho}$, and alters the κ (through the values of A_i and/or s) to minimize the difference.

In order to validate the integration of RCMR within GITM, RCMR was used to esti-266 mate κ (A(O₂, N₂)) using simulated truth density data obtained from a GITM simulation 267 with a known value of κ . The density data was recorded and serves as the satellite mea-268 surements. Next, GITM was re-run with an intentionally incorrect $A(O_2, N_2)$ and RCMR 269 updated the estimate $A(O_2, N_2)$ using the simulated truth density data. If RCMR was 270 implemented correctly, RCMR's estimated $A(O_2, N_2)$ would converge to the true value of 271 $A(O_2, N_2)$ used to generate the simulated truth data, validating the technique. When this 272 is true, it is a good indication that when actual truth data (i.e. CHAMP, GRACE, MSIS) is 273 used, the convergence will provide the real thermosphere thermal conductivity coefficients. 274

275 **3 Results**

276

3.1 Automating the Model Debiasing Process via RCMR

RCMR estimates the thermal conductivity coefficients using density measurements 277 from the CHAMP and GRACE satellites as well as Naval Research Laboratory's (NRL) 278 Mass Spectrometer and Incoherent Scatter Radar (MSIS) empirical model [Picone et al., 279 2002]. In order to implement this, GITM was ran independent of RCMR to obtain global 280 density values from September 16-26, 2002. The thermal conductivity coefficients of A(O) 281 = $4.6 \times 10^{-4} \text{ Jm}^{-1}\text{s}^{-1}\text{K}^{-1}$, A(O₂, N₂) = $4.6 \times 10^{-4} \text{ Jm}^{-1}\text{s}^{-1}\text{K}^{-1}$ and the exponent s = 282 0.69 were used. In comparison to CHAMP satellite data, this provided a low-biased mass 283 density result (Figure 3a). 284

The orbit of the CHAMP satellite was used to extract densities from the GITM 291 run ($\rho_{4.6}$) at a one minute cadence. Using GITM densities at the satellite-position as in-292 puts for RCMR (see Figure 5), a GITM simulation was run again during the same time, 293 but used RCMR to change the molecular coefficient. This was different from Goel et al. 294 [2020] which used the global maximum, minimum and mean densities. The thermal con-295 ductivity coefficient $A(O_2, N_2)$ was initialized to 1.0 \times 10⁻⁴ Jm⁻¹s⁻¹K⁻¹, while the 296 A(O) and exponent S were held constant at their previously set values above. The densi-297 ties modeled by GITM with RCMR is denoted as ρ_{RCMR} . RCMR used the $\rho_{4.6}$ data and 298 ρ_{RCMR} data to compute an error (z) to update the thermal conductivity estimation while 299 the simulation progressed. Figure 5 shows that the dynamic adjustments of $A(O_2, N_2)$ 300 in RCMR work, in that the error z decreased to zero, while $A(O_2, N_2)$ converged to 4.6 301 $Jm^{-1}s^{-1}K^{-1}$ after around three days. 302

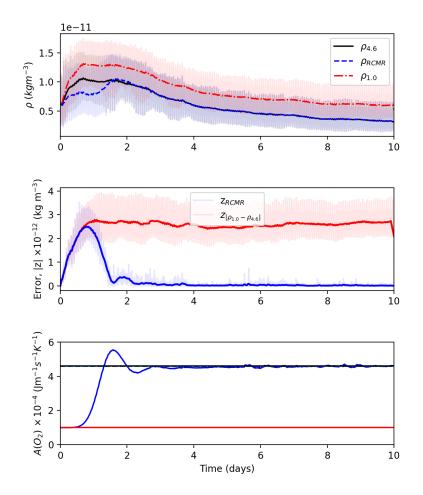


Figure 5. Top: Densities along the CHAMP orbit are shown with three different values of A_{O2} . Raw values are shown as transparent lines, while orbit averaged values are shown as bold. The error (middle) and thermal conductivity coefficient (bottom) from using simulation data at CHAMP locations at a one minute cadence is shown in blue for the RCMR assisted run, red for a constant parameterization of 1.0e-4 Jm⁻¹s⁻¹K⁻¹, and black for a constant parameterization of 4.6e-4 Jm⁻¹s⁻¹K⁻¹. The orbit averaged errors are shown with a thicker line of their corresponding color.

In addition to the truth data and RCMR-adjusted mass densities, the density and error is shown when the incorrect parameterization was used and not corrected. This provides a quantification of the level of improvement that can be gained using RCMR.

306	This example shows that RCMR can correct for an incorrectly set thermal coef-
307	ficient, but model bias can be caused by a variety of issues, as described above. For a
308	second example of idealized RCMR runs, illustrated in Figure 6, GITM was run with
309	consistent thermal conductivity parameters but incorrect drivers.

F10.7, the daily solar flux at wavelength 10.7 cm, is a proxy for solar spectra 315 [Richards et al., 1994]. An alternative to the F10.7 proxy is using FISM to describe 316 the spectrum [Chamberlin et al., 2008], [Chamberlin et al., 2020]. Near real time and for 317 predictions, F10.7 is approximate and one of the only ways to describe the solar spectrum. 318 If F10.7 is not right or does not describe the spectrum correctly, model bias could result. 319 This second test explores whether RCMR can compensate for an incorrect specification of 320 the F10.7. The RCMR estimated parameter for this run and future runs was the exponent 321 s, with an initial value of s as 0.1. 322

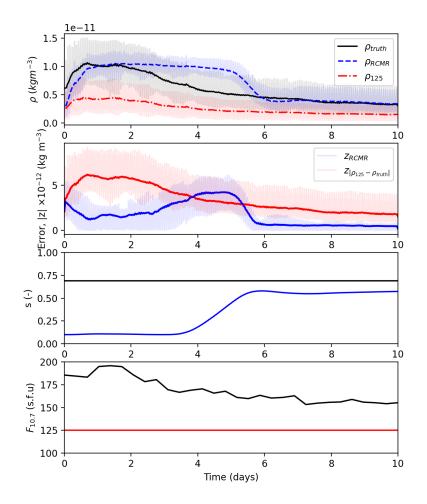


Figure 6. Densities and errors are shown with three different run conditions: (1) the truth data used as input for RCMR in black, (2) the RCMR run dynamically debiasing GITM with incorrect solar drivers in blue, and (3) the case where GITM has incorrect solar drivers and is not implementing RCMR in red. The orbit averaged errors are shown with a thicker line of their corresponding color. The third subplot shows the thermal conductivity exponent over time. The bottom subplot shows the corresponding F10.7 used in each run.

Similarly to the previous run, the truth data being used was an extraction of GITM results where the F10.7 was updated based on the actual F10.7, which varied from 190 to 150 solar flux units. The RCMR run was intentionally run with an incorrect constant F10.7 of 125 solar flux units. Over time, the RCMR-debiased run converged to the truth data and the error decreased dramatically. The time it took to converge was longer than

Satellite	Sept. 2002 Altitude	Sept. 2004 Altitude	Inclination	
	(km)	(km)	(°)	
CHAMP	390-450	370-410	87.3	
GRACE	485-515	460-505	89.0	

343

Table 3. Information on the altitude and orbit inclination during the two test periods.

328	the first test by roughly two days. This was due to the densities being similar between
329	the two runs for the first two days despite the very different run settings. In this case,
330	a low F10.7 incorrect driver caused a low density, having a negative bias. At the same
331	time, a low initial value of s caused a high density since the thermal conduction would be
332	reduced leading to a high temperature. In this case, a positive bias would result. In combi-
333	nation, the biases mostly cancelled and RCMR was relatively ineffective for the first two
334	days. After this, RCMR was able to track the error and produced an 's' that adequately
335	compensated for the incorrect specification of F10.7.

336

3.2 RCMR with CHAMP and GRACE Satellite Densities

In the previous section, the simulated densities generated from a GITM run represented the "true" thermosphere. In this section, tests of RCMR with real satellite data are described. Initial tests were done using data from September of the years 2002 and 2004 as sample months for high and moderate F10.7 fluxes, respectively, since these were used for manual debiasing earlier in the study. Both time periods had relatively low levels of activity, with $|D_{st}|$ being less than 50 nT during each time period.

The estimation of the thermal conductivity exponent *s* was explored using CHAMP and GRACE individually. Figures 7 and 8 show the September 16-26, 2002 period comparing the results of GITM with a constant thermal conductivity to the RCMR adjusted values against the satellite observations.

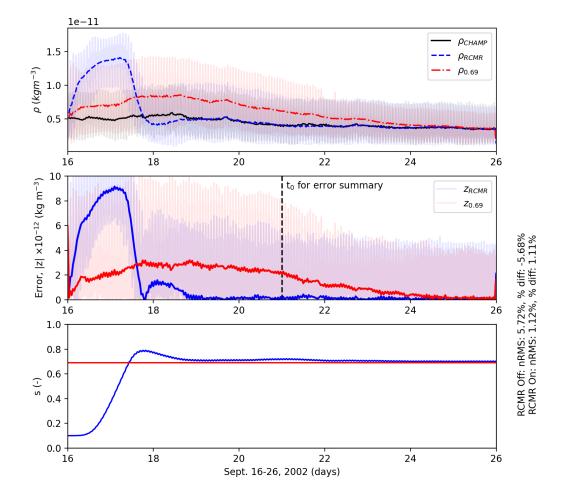


Figure 7. The top subplot shows the raw and orbit averaged densities are shown for GITM, CHAMP and RCMR. In the middle subplot, the errors are plotted over one another to observe how RCMR compares to a constant thermal conductivity typically used in GITM. The bottom subplot shows the consequent thermal conductivity exponent estimated in blue. In red is the constant value used when RCMR was not applied. The local time of ascending node for CHAMP was 13.4 LT.

The RCMR and non-RCMR runs both converge to the CHAMP and GRACE measurements. With RCMR, the convergence is much faster with large improvements in mass density after around two to three days. As observed in Figures 7 and 8, the free parameter s converged to 0.70 which is similar to the constant value of 0.69 used in a typical GITM 357

run. This set of thermal conductivity coefficients (4.6e-4, 4.6e-4, 0.69) matched the results

³⁵⁸ found in the manual debiasing process.

359	Normalized root mean square (nRMS) and percent error are shown on the bottom
360	right of Figures 7 and 8 to quantify the improvement with RCMR. These values were
361	computed based on orbit-averaged densities for the final five days of the run (marked
362	as t_0 on the figure). This gave sufficient time for RCMR to debias the model and allow
363	GITM to reach a roughly diurnally reproducible state. In Figure 8, the nRMS and percent
364	difference show improvement of $\pm 33\%$ percent error and nRMS to less than 3%.

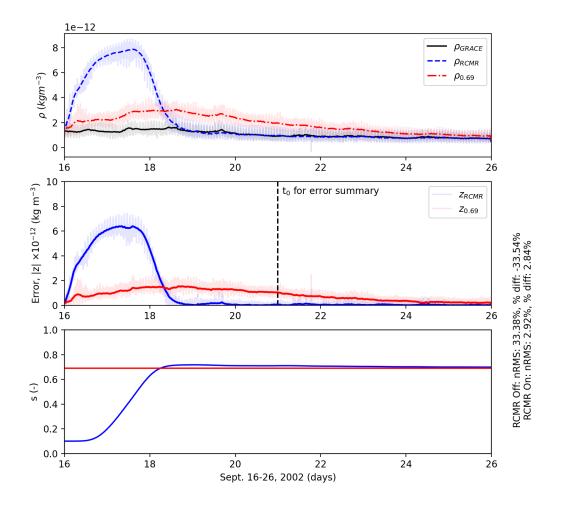


Figure 8. Same as Figure 7, except using GRACE instead of CHAMP. The local time of ascending node
 for GRACE was 21.7 LT.

367	Switching to the time period in 2004, a similar simulation was performed using
368	CHAMP data to check the robustness of RCMR under different solar conditions. The
369	F10.7 was considerably lower for this run mostly being between 90-110 $Wm^{-2}Hz^{-1}$,
370	while the seasonality and geomagnetic activity was similar. Recall that debiasing between
371	September 2002 and 2004 was possible with similar thermal conductivity coefficients, and
372	so running this time period gave RCMR the opportunity to demonstrate this. As shown
373	in Figure 9, the RCMR and non-RCMR mass densities converged to CHAMP measure-
374	ments with RCMR reducing the time to converge by nearly seven days. In the bottom
375	subplot, the estimated thermal conductivity exponent converges to right around 0.70 which
376	is consistent with the RCMR test performed in 2002 and the manual debiased simula-
377	tions. nRMS and percent error were used to quantify the improvement with RCMR. They
378	showed a much larger improvement from a roughly -20% percent error and nRMS to less
379	than 5%.

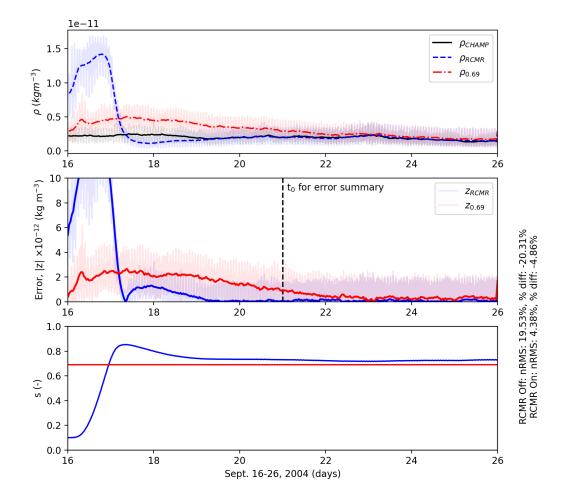


Figure 9. Same as Figure 7, except for September 2004. The local time of ascending node for CHAMP was
19.4 LT.

3.3 Storm-time Debiasing and Forecasting

In this section, GITM was debiased by RCMR before the storm in August 2005.

The F10.7 for this time period was lower than the previous runs shown. It varied between

 $_{385}$ 70-100 Wm⁻²Hz⁻¹. Comparisons between the typical GITM run, a purposefully biased

GITM run, an RCMR-assisted GITM run with purposefully biased F10.7, and CHAMP

data were made in an effort to improve forecasting of density enhancements during and

388 after the storm.

382

389	The storm took place between August 24-26, 2005. In the RCMR run, the debiasing
390	took place from August 14-21. The run continued through the storm from August 21-28
391	without the assistance of RCMR. During the storm, the exponent 's' was held constant
392	at its last value specified by RCMR on August 21. In Figure 10, the debiasing was done
393	prior to the storm using CHAMP measurements. As was done before, the densities, errors
394	and dynamic thermal conductivity exponenent are shown in comparison to the static runs.

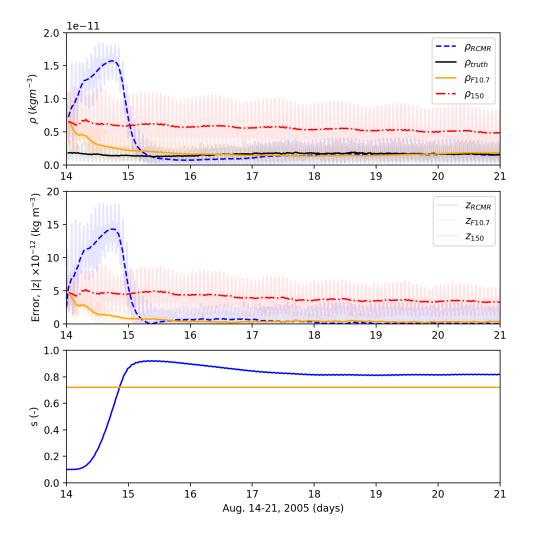
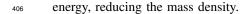


Figure 10. The densities and errors compared to CHAMP during August 2005 with RCMR on (blue) and RCMR off in two conditions. One run is with the daily averaged F10.7 values included (orange) and the other is with a constant, incorrect F10.7 of 150 (red). Both of the non-RCMR runs have the same constant thermal conductivity exponent, but only one of them is shown. The RCMR run is done with the incorrect F10.7. The bottom subplot shows the consequent thermal conductivity coefficient estimated.

As expected, the biased run with a constant F10.7 of 150 $Wm^{-2}Hz^{-1}$ was very different than the CHAMP measurements and a GITM run using real F10.7 measurements. It is important to point out that the parameter estimation from RCMR showed that the best exponent *s* was around 0.8 which was considerably larger than the other runs. The F10.7

- $_{404}$ of 150 Wm⁻²Hz⁻¹ is higher than the true conditions artificially increasing mass densities.
- ⁴⁰⁵ To counteract this, an increased thermal conductivity was needed to dissipate this excess



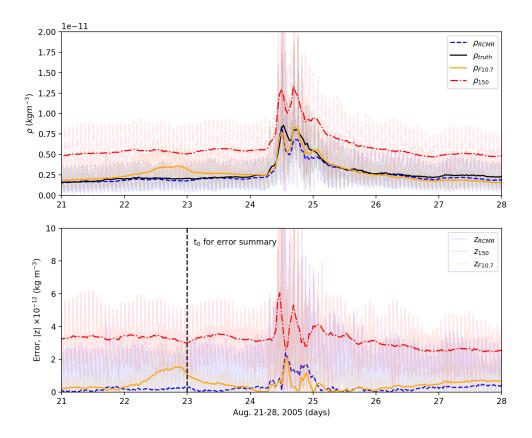


Figure 11. Similar to the previous figure, but for the August 21st-28th, 2005. RCMR is turned off so no
 thermal conductivities are being shown.

Figure 11 shows the runs proceeding through the storm and storm recovery. For 409 the three days after RCMR was turned off, the densities stayed debiased. The storm was 410 better represented because of this, although GITM with RCMR under predicted the storm 411 response during the peaks. This is most likely due to the increased thermal conductivity, 412 which pulled energy out of the thermosphere too quickly during the storms. This is rela-413 tively minor compared to the biased model results though. The RCMR run matched the 414 recovery density after the storm quite well. Additional performance assessment metrics are 415 shown in Table 4. The formulations for each metric is shown in Kalafatoglu Eyiguler et al. 416

[2019]. When comparing the RCMR run to the biased run, the RCMR run performed
better in every metric. Each of these statistics help quantify the improvements that can be
had to the mean and variability of the mass densities.

On the other hand, the calibrated model of GITM also performed better than the biased run. Comparing the RCMR run and the calibrated model of GITM, the Ratio_{*avg*} of the default GITM simulation performed better than the RCMR run. RCMR was capable of improving the time delay (TD) of the storm peak, the mean average error (MAE) and normalized root mean square error (NRMSE).

425

3.4 Debiasing using an Empirical Model

Satellite measurements of the thermosphere are not always available, especially 426 during real-time operations. For this reason, an empirical model such as MSIS may be 427 useful as a source of "truth data". Whereas empirical models are not always skilled at cor-428 rectly predicting highly perturbed events, like solar storms, they are useful for obtaining 429 information on the background state. Further, satellite orbits may not be ideally placed 430 to represent the global conditions, while an empirical model can be sampled anywhere 431 (or everywhere). While satellite data is the ideal choice for debiasing, using an empirical 432 model may help in some situations. For these reasons, a final test was run to attempt to 433 debias GITM under conditions where satellite data was (in theory) not available. 434

In this run, MSIS mass densities at the subsolar point at 400 km altitude were used as the source of "truth data". The same time period in August 2005 was used for this. RCMR was allowed to debias GITM for seven days and then proceed through the storm. During the storm, RCMR was turned off and the storm-time performance evaluation of GITM was checked against CHAMP data, as in the previous case.

-27-

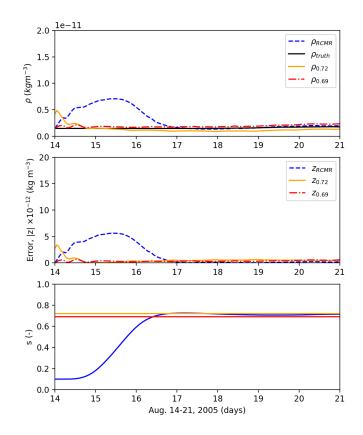
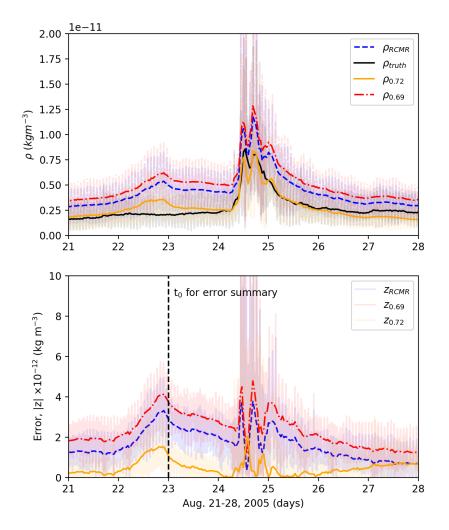


Figure 12. The densities and errors compared to MSIS at the 400 km altitude sub-solar point during August 2005 with RCMR on (blue) and RCMR off in two conditions. One run is with manually calibrated thermal conductivity values included (orange) and the other is with a constant, biased thermal conductivity exponent of 0.69 (red). The bottom subplot shows the consequent thermal conductivity coefficient estimated.

445	In Figure 12 shows the mass density for different runs at the subsolar point at 400
446	km altitude, which is where the MSIS data was extracted. The biased run (labeled $\rho_{0.69}$)
447	and RCMR run no longer had error induced by the F10.7. The only source of error in the
448	RCMR run (ρ_{RCMR}) was the initial value of 0.1 given to the thermal conductivity expo-
449	nent s . The thermal conductivity exponent s in the wrong tuning run was 0.69, whereas
450	the best tuning had an exponent of 0.72 ($\rho_{0.72}$). At the 400 km, subsolar point each run
451	converged to MSIS results within a few days of the run. As shown in the bottom subplot,
452	RCMR estimated the 's' to be 0.71, using the MSIS results.



444

Figure 13. Similar to Figure 11, but for the MSIS debiased mass densities at CHAMP locations.

Figure 13 shows the same runs proceeding through the storm and storm recovery, 453 but now at the CHAMP positions. These densities are quite different than the subsolar 454 density, since CHAMP is a high inclination satellite sampling the high latitudes, where 455 the energy balance can be quite different. In this case, the biased run performed worst of 456 the three runs. In Table 4, the same performance tools from Kalafatoglu Eyiguler et al. 457 [2019] are shown. The RCMR run performed similarly or better than the biased run, but 458 considerably worse than the calibrated GITM run. This is due to the difference between 459 MSIS and CHAMP during the proceeding time period. Since RCMR was debiasing to-460

Performance Assessment Tool	$ ho_{F10.7}$	$ ho_{150}$	<i>P</i> RCMR	$ ho_{0.72}$	$ ho_{0.69}$	ρ_{RCMR}
Ratio _{max} (-)	0.98	1.56	0.98	0.98	1.5	1.38
Ratio _{avg} ($-$)	0.96	2.00	0.9	0.96	1.75	1.52
TD (hours)	3.8	3.8	-0.8	3.8	3.8	3.8
MAE (kg/m ³)	4.44e-13	3.13e-12	3.47e-13	4.44e-13	2.35e-12	1.64e-12
NRMSE (%)	8.32	48.68	8.29	8.32	38.15	27.41
PE (-)	0.65	-1.06	0.65	0.65	-0.62	-0.16

Table 4. Statistical analysis on orbit-averaged data from t_0 for each run in Figure 11. The first two are dimensionless quantities. TD is the time difference between storm peak as seen from data and from the model computed in hours. The mean average error (MAE) has units of kg/m³. The normalized root mean square error (NRMSE) is shown as a percentage. The prediction efficiency (PE) is also a non-dimensional statistic. The columns are separated by run-type the first three columns being associated with debiasing with CHAMP data and the final three columns are associated with debiasing with MSIS.

wards MSIS, the debiasing improvement is subject to the accuracy of MSIS. It is possible
that debiasing with MSIS at locations other than at 400 km altitude at the subsolar point
could improve this, but it was not explored in this work. This simulation does show that
debiasing with an empirical model improves the performance of the biased model, but
then is subject to other limitations.

472 **4 Summary and Conclusion**

In this work, GITM used RCMR with CHAMP and GRACE satellite measurements 473 to correct for uncertain parameters and incorrect drivers. During these runs, it was shown 474 that after sufficient error accumulation, RCMR was able to reduce the bulk of the error 475 and nRMS to below 5% within 2-3 days. This work also showed the effectiveness of de-476 biasing GITM prior to a storm in August 2005 with CHAMP measurements and MSIS. 477 When debiasing was applied before a storm, the results during the storm were shown 478 to improve in all metrics except the time delay between a measured storm peak and the 479 model-predicted peak (where they performed identically with and without RCMR). It was 480

-30-

- demonstrated that RCMR could use empirical models within GITM to debias the model,
- but this was reliant on MSIS results having low error during the pre-storm time period
- and the choice of where to sample the empirical model. Future work will show more
- runs and have a statistical approach to address how beneficial using MSIS for parameter estimation can be.

486 Acknowledgments

- ⁴⁸⁷ The research presented in this study was supported at University of Michigan partially by
- the U.S. Air Force Office of Scientific Research under Dynamic Data-Driven Applications
- 489 Systems grant FA9550-16-1-0071. This work was also supported by the joint NSF-NASA
- 490 Space Weather with Quantified Uncertainties program under NSF grant number 2028125
- ⁴⁹¹ and NASA grant number 80NSSC20K1581. GITM is freely available through GitHub
- (https://github.com/aaronjridley/GITM). Dst obtained from the World Data Center in Ky-
- 493 oto, Japan (https://wdc.kugi.kyoto-u.ac.jp/dstdir/). CHAMP and GRACE satellite data is
- ⁴⁹⁴ available through Technical University, Delft (http://thermosphere.tudelft.nl/).

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