# Mass Statistical Analysis of Early VLF Events

Nikhil Pailoor<sup>1</sup> and Morris Cohen<sup>1</sup>

<sup>1</sup>Georgia Institute of Technology

November 24, 2022

#### Abstract

The analysis of Very Low Frequency (VLF, 3-30 kHz) radio back-scattering can be used to measure the impact of lightning on the D region of the ionosphere (60-90 km). Early/fast events are prompt and rapid changes to the D-region ionosphere associated with certain lightning flashes, causing heating, ionization, and attachment. Previous work has observed the behavior of early/fast events and their connection to specific types of lightning flashes through VLF remote sensing and lightning geolocation, but the unique nature of each event makes it difficult to broadly infer the interactions between lightning and the ionosphere using a small number of case studies.

We assembled a massive database of VLF amplitude samples for cases when high intensity lightning occurs near a transmitterreceiver path. We constructed an artificial neural network to detect and label early/fast events. With a large volume of events compiled, we charted detailed statistics of event occurrences and behavior.

We find a correlation between lightning current magnitude and event likelihood, as well as inverse correlation between event likelihood and distance to transmitter-receiver path. We further confirm the asymmetry of the peak current trends, with positive-current strokes being significantly more likely to produce an event. We find that increased distance of the lightning to the transmitter, and to a lesser extent to the receiver, decreases the probability of an ionospheric disturbance. We find that recovery time is largely not a function of the peak current. We do not find evidence that long-recovery events are a distinct class of Early/Fast events.

# Mass Statistical Analysis of Early VLF Events

# N. A. Pailoor<sup>1\*</sup>, M. B. Cohen

<sup>1</sup>Georgia Institute of Technology

1

2

3

 $<sup>^{*}</sup>$ Atlanta, Georgia

Corresponding author: Nikhil Pailoor, npailoor3@gatech.edu

#### 4 Abstract

The analysis of Very Low Frequency (VLF, 3-30 kHz) radio back-scattering can be used to measure the impact of lightning on the D region of the ionosphere (60-90 km). Early/fast events are prompt and rapid changes to the D-region ionosphere associated with certain lightning flashes, causing heating, ionization, and attachment. Previous work has observed the behavior of early/fast events and their connection to specific types of lightning flashes through VLF remote sensing and lightning geolocation, but the unique nature of each event makes it difficult to broadly infer the interactions between lightning and the ionosphere using a small number of case studies.

We assembled a massive database of VLF amplitude samples for cases when high intensity lightning occurs near a transmitter-receiver path. We constructed an artificial neural network to detect and label early/fast events. With a large volume of events compiled, we charted detailed statistics of event occurrences and behavior.

We find a correlation between lightning current magnitude and event likelihood, 17 as well as inverse correlation between event likelihood and distance to transmitter-receiver 18 path. We further confirm the asymmetry of the peak current trends, with positive-current 19 strokes being significantly more likely to produce an event. We find that increased dis-20 tance of the lightning to the transmitter, and to a lesser extent to the receiver, decreases 21 the probability of an ionospheric disturbance. We find that recovery time is largely not 22 a function of the peak current. We do not find evidence that long-recovery events are 23 a distinct class of Early/Fast events. 24

#### 25 1 Introduction

Lightning is a chaotic phenomenon which has an impact on the Earth's ionosphere 26 that is still not fully understood. One example of such effects are early/fast Very Low 27 Frequency (VLF) events, a class of ionospheric disturbances distinguished by their oc-28 currence within 100ms after an intense lightning stroke, affecting an area within several 29 hundred kilometers of the stroke. These disturbances occur in the D region of the iono-30 sphere (60 km - 90 km altitude). The D region is too high for direct balloon or aircraft 31 observations, and too low for satellite measurements. However, by monitoring the scat-32 ter of VLF radio signals from the D region, a process known as VLF remote sensing, we 33 can observe changes in the electron density and thickness. There is a long history of us-34 ing VLF radio signals for D-region remote sensing Silber and Price [2016] and specifi-35 cally for lightning-associated disturbances Inan et al. [2010]. 36

Early/fast events were originally distinguished from a separate phenomenon, Lightning-37 induced Electron Precipitation Events (LEP Events), in 1983 [Armstrong, 1983]. LEP 38 events carry a similar signature of a sharp perturbation in the received VLF signal, fol-39 lowed by a gradual recovery. However, early/fast events represent direct interactions be-40 tween the lightning stroke and the ionosphere, while the perturbations in LEP events 41 are the result of electron precipitation from the ionosphere, caused by VLF energy from 42 the lightning stroke escaping into the radiation belt and dislodging trapped particles there. 43 LEP events are characterized by a 1s delay between the lightning stroke and the result-44 ing perturbations of VLF signals, as well as a significantly longer region of disturbance. 45 In contrast, early/fast events typically have an onset delay of <100 ms. As such, Inan 46 et al. [1988] coined the term "early/fast", distinguishing both the "early" onset, along 47 with the "fast" perturbation (onset times i 1s). This terminology was later used to dis-48 tinguish these events from "early/slow" events, which work such as Haldoupis et al. [2006] 49 suggested had a different process of generation. More recent work such as Kotovsky and 50 Moore [2015] suggests that the distinction between early/fast and early/slow events may 51 not be so clear cut when examining the total scattered field of the VLF signal, rather 52 than just the amplitude changes. Early/fast events typically have recovery times in the 53 range of 10-100s driven primarily by atmospheric chemistry of recombination and attach-54

ment, although *Cotts and Inan* [2007] observed a class of "long recovery" early/fast events
 with recovery times ranging up to 20 minutes.

One of the first proposed mechanisms for early/fast events was direct heating from 57 electromagnetic pulses (EMPs) produced by the lightning stroke. Inan [1990] used the 58 100 kW 28.5 kHz VLF transmitter NAU, based in Arecibo, Puerto Rico, to demonstrate 59 the ability of VLF waves to cause heating in the lower ionosphere, and suggested that 60 lightning discharges could cause similar effects due to VLF energy released. The authors 61 noted that the rapid onset of early/fast events is consistent with the propagation speed 62 63 and pulse-width of EMPs. However, the authors suggested that the slow recoveries of those events indicate other mechanisms contributing a role, such as ionization enhance-64 ments. Modeling efforts by Marshall et al. [2008] suggested that EMPs could cause sig-65 nificant enough changes in the local ionosphere to result in perturbations in propagat-66 ing VLF signals; however, this model was unable to explain why early/fast events are 67 overwhelmingly the result of positive current strokes. 68

Pasko et al. [1995] suggested that the source of ionospheric heating was not EMPs 69 but rather the quasi-electrostatic (QE) effect from lightning clouds. This refers to a buildup 70 of charge occurring in the cloud preceding a lightning stroke. This charge produces a strong 71 static electric field, which under the proposed theory could couple with The intense stroke 72 immediately removes this charge, triggering a change in the quasi-statis fields which re-73 cover in 10s of ms. Further investigation by Inan et al. [1996a], however, proposed that 74 instead of the ionosphere being heated by the quiescent QE effect, the main mecha-75 nism at play was sustained heating of the ionosphere from charges built up during thun-76 derstorms. A sufficiently high-intensity lightning stroke, under this model, would cause 77 a marginal change in the electrostatic field to result in a perturbation in the local iono-78 sphere density. The sustained heating model as originally formulated predicted much smaller 79 perturbations in VLF signals than observed. However, recently updated models that take 80 into account the Earth's geomagnetic field have been able to predict perturbations con-81 sistent with the 0.2-1dB range typically observed. [Kabirzadeh et al., 2017] 82

In 1989, researchers accidentally discovered a new atmospheric electrical phenomenon 83 known as "sprites" [Franz et al., 1990]. These refer to discharges of electricity that oc-84 cur in the mesosphere, at the tops of clouds and extend up to 20 km higher. Inan et al. 85 [1995] found a possible connection between early/fast events and sprites. This study ex-86 amined six early/fast events that occurred between June 29th and July 12th, 1994, in 87 a campaign conducted by the University of Alaska Fairbanks. The authors observed sev-88 eral instances of sprites occurring simultaneously to early/fast events. However, the au-89 thors also noted that sprites may not be the exclusive cause of early/fast events, as sprites 90 are typically associated with high charge-moment positive current lightning strokes (*i*. 91 60 kA) while early/fast events appear to occur from positive or negative strokes. 92

Dowden et al. [1996] proposed an explanation for the relationship between sprites 93 and early/fast VLF events. This examined an event observed by Fukunishi et al. [1996], 94 using instrumentation and data from University of Colorado's SPRITES'95 campaign 95 [Lyons, 1996]. The event was caused by an intense (+326 kA) cloud-to-ground stroke 96 that occurred 231 km from the Yuca Ridge Field Station, where a set of VLF receivers 97 observed a perturbation in the signal received from the NLK VLF transmitter. At the 98 same time, a set of sprites were observed above the lightning stroke, in the altitude range 99 of 75-105 km. Dowden et al. [1996] suggested, based on analysis of the VLF data, that 100 the event was caused by scattering from the sprite body itself. Dowden and Rodger [1997] 101 went on to suggest that the logarithmic decay of early/fast events is a product of the 102 103 vertical body of sprites, with the time scale being strongly dependent on the altitude of the plasma column. 104

<sup>105</sup> Some more recent studies have expanded this case study process with the benefit <sup>106</sup> of a larger dataset. *Salut* [2013] examined the scattering produced from 7769 lightning

strokes, from which they identified 1250 events. They observed an asymmetry between 107 positive and negative-current strokes of the same amplitude, where positive strokes were 108 5 times more likely to produce an event. Long recovery events in particular correlated 109 strongly to positive strokes, and 83% of them occurred over sea rather than land. The 110 authors also found a correlation between peak current of the lightning stroke and the size 111 of the perturbed region of the ionosphere, extending up to 400 km from the lightning 112 stroke. The authors found this geography consistent with the theory of early/fast events 113 being produced by electromagnetic pulses from the lightning stroke directly heating the 114 ionosphere. 115

NaitAmor et al. [2010] investigated the role that geography plays in determining
how early/fast events are observed through their scattering of VLF signals. The authors
found that both the raw distance from the receiver to the location of the ionospheric disturbance, and the scattering angle between the transmitter-receiver path and the transmitterstroke path, are relevant to determining appearance and behavior of a signal perturbation at the receiver.

In this paper, we build the largest database of early/fast events yet assembled, us-122 ing an automated machine-learned search process, to quantify with more specificity the 123 relationship between path geometry, lightning peak current and polarity, and VLF early/fast 124 event characteristics. To do this, we use lighting geolocation data as a starting point and 125 cross-reference every stroke with a network of VLF/LF receivers across the continental 126 US, to identify instances where an early/fast event may have occurred based on the light-127 ning position. We train a neural-network classifier to analyze these VLF data samples 128 and classify every candidate event as being an early/fast events (or non-event). 129

#### 130 2 Methods

131 2.1 Instruments used

To accumulate a large volume of samples, we made use of Georgia Tech's network 132 of Atmospheric Weather Electromagnetic System for Observation Modeling and Edu-133 cation (AWESOME) receivers stationed across North America. These receivers each use 134 a pair of cross-looped air-core antennas that detect the magnetic field in both the north-135 south (N/S) and east-west (E/W) directions. The receivers have a low frequency cut-136 off of  $\sim 500$  Hz and a flat passband from 3-400 kHz, followed by a dropoff until a high 137 frequency cutoff of 470 kHz due to the built-in anti-aliasing filters. The noise levels re-138 main flat at -10 dB  $fT/\sqrt{Hz}$  over the 18-30 kHz frequency band. The receivers have a 139 96 dB dynamic range due to the 16-bit ADC, and typically detect magnetic field signals 140 from the femtoTesla range to the nanoTesla range. The receiver is described by *Cohen* 141 [2018]. 142

The receivers are used to detect scattering from the VLF signals produced by five Navy-operated transmitters as they propagate through a disturbed D-region ionosphere. These VLF signals reflect efficiently from the D-region (as well as the round) and are thus guided to global distances. Due to the long wavelengths corresponding to VLF propagation, the transmitting antennas are top-loaded dipole arrays, described in detail in *Watt* [1967]. The locations, call signs, and frequencies of these transmitters are listed in Table 1.

The transmitters make use of a Minimum Shift Key (MSK) modulation scheme with big 200 baud rate. By decoding this modulation and removing ambiguities in the phase, we can separate out the signal into the components of an elliptically polarized wave as described by *Gross et al.* [2018]. The polarization technique involves combining the amplitude and phase data from both the E/W and N/S antennas and mapping them to the Major Axis, Minor Axis, Tilt Angle, and Starting Phase of a polarization ellipse.

Call Sign	Location	Frequency (kHz)
NLK	Jim Creek, Washington	24.8
NML	LaMoure, North Dakota	25.2
NAA	Cutler, Maine	24.0
NPM	Lualualei, Hawaii	21.4
NAU	Aguada, Puerto Rico	40.75

Table 1. List of VLF Transmitters detectable in North America

158 159 The data collected is sampled at both high time resolution (50 Hz) and low time resolution (1 Hz). For the purposes of Early VLF Event analysis, we used only the low resolution data. The transmitters and receivers sued are shown in Figure 1



Figure 1. Georgia Tech LF Radio Lab VLF Receiver Network

157

161

# 2.2 Data collection

The National Lightning Detection Network (NLDN) continuously monitors lightning stroke activity in the United States. Their data includes a list of individual lightning incidents, giving precise information about geographical location, peak current, type (intracloud, cloud to ground, or ground to cloud) and polarity of each stroke [*Cummins et al.*, 1998].

Starting from September of 2017 and running to the end of June 2018, we used the
 NLDN to identify all lightning strokes occurring within 600 km of a transmitter-receiver
 path.

600 km is chosen to be fairly large, as Early/fast events more commonly occur within
170 km of the transmitter-receiver path, but by choosing a large circle we can quantify
the probability as a function of distance, even if the probability of an early/fast is low.
600 km is also chosen because it excludes most lightning-induced election precipitation
(LEP) events, which at these latitudes are typically poleward-displaced by several hundred km.

We screened for only the cases where the entire ionosphere (85 km) from transmitterreceiver was under nighttime conditions, as Early/fast events are known to occur almost exclusively at nighttime, if not entirely exclusively. For each path within this range, we extracted a sampled window of narrowband data.

Figure 2 shows this process. Here, a stroke occurring in upstate New York creates 180 a potential perturbation area with a radius of 600 km. The NAA-Dover and NAA-PARI 181 transmitter-receiver paths fall within this range, and as such we can examine the nar-182 rowband receiver data at both sites corresponding to the NAA frequency (24.0 kHz). In 183 addition, the NLK and NML transmitters' paths to Dover (overlapping) intersect the 184 edge of the perturbation circle, so we can examine those narrowband frequencies detected 185 at the Dover receiver as well. However, the NAU and NPM transmitters' paths to Dover 186 do not intersect with the perturbation circle, so we do not include the narrowband data 187 from those frequencies. Similarly, the NAA-Arecibo path does not intersect with per-188 turbation circle, so the 24.0 kHz narrowband data received at Arecibo is left out of out 189 database. In summary, the data samples corresponding to this stroke would be the NAA-190 Dover, NAA-PARI, NLK-Dover, and NML-Dover narrowband samples. We excluded all 191 other paths from analysis. 192

<sup>193</sup> 308,351 samples matching the above criteria were collected and stored in an Sqlite
<sup>194</sup> database, along with accompanying metadata such as the current of the lightning stroke,
<sup>195</sup> the location and geometry of the stroke and the transmitter-receiver path, and the date
<sup>196</sup> and time of the incident. Note that for many stroke locations there were multiple transmitter<sup>197</sup> receiver paths that went through the 600 km radius, each of which were treated as a sep<sup>198</sup> arate sample since the geometry was different. In total, the 308,351 samples resulted from
<sup>199</sup> 32391 lightning strokes.

Each sample contains four channels of data, representing each component of the polarization ellipse. The samples begin 40 seconds before the stroke and end 120 seconds after, with 1 Hz resolution.

308,351 samples were collected and stored in an Sqlite database, along with accompanying metadata such as the current of the lightning stroke, the location and geometry of the stroke and the transmitter-reciever path, and the date and time of the incident.

#### 2.3 Event Classifier

209

Many of the more than 1 million samples do not indicate an Early/fast event, so to manually screen out non-events would be a tedious exercise. To handle the large volume of data without this manual sorting, we constructed a classifier to identify the early/fast events automatically. To do this, a random selection of 1000 samples were manually examined and labeled as either "Events" or non-events, based on visual inspection given an understanding of previous early/fast Event observations throughout the literature. The 1000 samples were then evenly divided between training and test data.

Because a machine-learning based classifier, or really any detection algorithm, will always have a threshold for error, there is a fundamental tradeoff between building a classifier with a low false positive rate and one with a high detection efficiency. In order to



Figure 2. Hypothetical event occurring in upstate New York. Image shows several intersecting transmitter-receiver paths.

	Event	Non-Event
Classified as Event	116	22
Classified as non-Event	29	333

 Table 2.
 Test results for classifier

accurately reflect the broader trends in the data, we chose a detection threshold that seemsbalances the two.

After training, the network yielded a test accuracy - that is, the percentage of test samples accurately classified - of 90%. 20% of samples classified as "Events" were false positives, while 15.9% of all actual events were classified as "non-Events". The total distribution of the classified samples is shown in Table 2.

To further test the incidence of false positives, we selected 100,000 samples from 227 the larger database, and for each one, applied the algorithm to the data that was 120 228 seconds later. Nearly all of these do not have an LEP event exactly 120 seconds later, 229 since LEP events are sparse in time, though a few may have another LEP event by co-230 incidence. We then ran the classifier over these 100,000 non-event samples, and found 231 that. 0.63% of these were classified as events. Since these were nearly all false positives, 232 this allows to set a statistical significance level when applying the classifier to real data. 233 For example, out of the 32,391 candidates, the classifier detected a total of 5548 events, 234 or 17.1%. This is much higher than the 0.63% false positive probability indicating that 235 most (>96%) of the selected events are likely real. 236

#### 3 Observations

With this database of 5548 events, most of which are presumed to be real, we now present some statistics of early/fast event occurrence and quantify the connection to path geometry and lightning stroke properties. Some of our observations are consistent with previous findings around early/fast events, which lends some additional confidence to the automatic detection algorithm, but in addition we present several new observations using this database.

#### 3.1 The Role of Proximity of Stroke to the Path

Figure 3 shows, on the left, the distribution of all samples (both events and non-245 events) as a function of (closest) distance from the stroke to the transmitter-receiver path, 246 in 50-km bins. The total number of samples matches the >300 thousand as described 247 earlier. As this represents all samples of lightning occurrence, there is a roughly even dis-248 tribution, as expected, since lightning should be distributed evenly as a function of prox-249 imity to one of our VLF propagation paths. The right half of Figure 3 shows the frac-250 tion of samples that were classified as events, as a function of distance from lightning 251 source to the VLF transmitter-receiver path. The red line indicates our quantification 252 of the noise floor, or the false positive probability as discussed earlier of 0.63%. Values 253 near or below this line are effectively too few to be measured with our current classifi-254 cation algorithm. There is a clear tendency for the probability of an Early/fast event to 255 decrease as a function of distance from the transmitter-receiver path. By far the largest 256 number of events occur within 50 km, which is consistent with Moore [2003]. However, 257 there is a still a detectable and measurable quantity of early/fast events out to at least 258 400 km away. 259

260

244

#### 3.2 The Role of Lightning Peak Current

Figure 4 shows, as 3 does with distance, the role peak current has in affecting the 261 likelihood of an event to form. Here, as the chart on the left shows, the distribution of 262 candidate strokes is heavily concentrated among lower intensity strokes, reflecting the 263 distribution of peak current of NLDN-detected strokes. Meanwhile, the graph of the like-264 lihood of events, on the right, show the strong positive relationship of peak current to 265 the likelihood of events forming. There are no bins for lightning strokes less than 100 266 kA as these strokes were not collected in our search. In other words the probability of 267 these strokes producing events is so low that it is indistinguishable from errors in the clas-268 sifier. Meanwhile, strokes above 300 kA have over a 18% likelihood of forming an event, 269 although as the figure on the left shows, the sample size of strokes in this current range 270 is relatively small. 271

There is also a visibly asymmetric relationship between peak current and event like-272 lihood, with positive current strokes being considerably more likely to form events than 273 negative current events. In nearly every current bin, positive current strokes are twice 274 as likely as their negative counterparts to form an event. The asymmetric behavior of 275 event occurrence is also consistent with the work of *Salut* [2013]. However, it should be 276 noted that these high intensity strokes are orders of magnitude less likely to occur than 277 their lower intensity counterparts. Figure 5 displays the quantity of events in each peak 278 current bin. While concentration of events is not as skewed towards lower current ranges 279 as it is for the total population of samples, the majority (>80%) of events are still caused 280 by lightning strokes with a magnitude less than 200 kA. As a higher volume of data is 281 collected, the confidence of these trends may be tested. 282

Consistent with previous research [Salut, 2013] [Inan et al., 2010], these events are limited in distance, as shown in Figure 4. Events are less likely to be detectable, if present, as the distance from the incident to the transmitter-receiver path is increased.



Figure 3. Changes in event occurrence and behavior over distances to transmitter-receiver path



Figure 4. Changes in event occurrence and behavior over peak lightning current

Figure 6 shows that event occurrence also has an inverse relationship to both distances from the transmitter (on the left) and distances from the receiver (on the right). Note that the bins in this graph are logarithmic spaced, as the only constraint for searching for events is distance to path. A lightning stroke can occur at close distance to the transmitter-receiver path, while being at a long distance from either the transmitter or receiver. If back-scatter events are included, the only upper limit on the distance to either the transmitter or receiver is the Earth's circumference.

For the distance to transmitter, the dropoff in event likelihood for higher distances 299 is highly visible, and is dramatic between the 750 km and 1500 km bins. One factor is 300 that distance to transmitter is often a proxy for signal strength, and weaker signals may 301 be perturbed by changes in the ionosphere to a lesser degree. It seems unlikely that the 302 lightning near VLF transmitters are systematically different from the lighting that is far-303 ther away enough to demonstrate this type of relationship. As such, the dropoff in event 304 occurrence with distance is likely a VLF propagation effect. In particular, near the trans-305 mitter, there is wider variety of propagating VLF modes, both TE and TM, particularly 306 at high orders. As the VLF energy propagates further away from the transmitter, many 307 of the higher order modes are attenuated away, leaving only a small number of mostly 308



Figure 5. Distribution of events by lightning current.





TM modes dominating the signal. Past theoretical investigations have found that lower order modes tend to be less affected by an ionospheric disruption[*Poulsen et al.*, 1993]. Samples in the higher distance bins, particularly in the 7500 km and 15000 km bins may also be more heavily contaminated by backscatter events, as these distances are much larger than most of the transmitter-receiver path lengths, and it is known that backscatter events are rare.

The dropoff in early/fast probability with distance the receiver is considerably less 315 dramatic, although visible nonetheless. Strokes in the 1500 km bin were over 25% less 316 likely than events in the 100 km bin. These differences may represent the same relation-317 ship to distance to path as shown in 3 as, for any given point along the transmitter-receiver 318 path, a higher perpendicular distance to the path will also have a higher distance to the 319 receiver. Some of the dropoff in event occurrence rate with distance may also be due to 320 the fact that the scattered modes generated by the VLF wave against the ionospheric 321 disturbance may fade with distance, being themselves composed of higher order modes. 322

# 3.3 Individual Path Analysis

Table 3 shows the distribution the geographic distribution of samples by transmitterreceiver path, while Table 4 shows the likelihood of those samples containing an early/fast event. Significantly fewer lightning strokes were detected along the paths between the Juneau receiver and the three mainland transmitters. This reflects the tendency of intense lightning strokes to occur closer to the tropical regions. Note that the receivers at

323

	Arecibo	Baxley	Briarwood	Burden	Delaware	Juneau	Oxford	PARI
NAA	4229	1128	795	7000	1709	196	219	6217
NAU	0	1779	1090	12888	11704	7490	1177	13675
NLK	8635	4203	2546	6810	7604	37	2065	9001
NML	10377	4429	2385	8415	7218	677	3006	8856
NPM	4969	2723	1381	3594	6659	0	693	5731

Table 3. Sample distribution across Transmitter/Receiver paths

	Arecibo	Baxley	Briarwood	Burden	Delaware	Juneau	Oxford	PARI
NAA	0.0192	0.0496	0.0818	0.0354	0.2165	0.0051	0.0320	0.0286
NAU	N/A	0.0090	0.0064	0.0151	0.0179	0.0264	0.0178	0.0085
NLK	0.0076	0.0193	0.0342	0.0366	0.0153	0.0811	0.0634	0.0221
NML	0.0093	0.0646	0.0683	0.1616	0.0529	0.1064	0.1277	0.0533
NPM	0.0105	0.0066	0.0014	0.0086	0.0144	N/A	0.0173	0.0112

336

 Table 4.
 Event occurrence at each Transmitter/Receiver path

times have been off line for certain periods of the years, also contributing to the discrep-ancy in number of strokes recorded.

The NAA-Delaware path produced the highest concentration of events, with over 21% of strokes falling in range of this path resulting in events. This may be due to a combination of geographical factors, as well as the short distance of this path resulting in a stronger signal that would be more sensitive to ionospheric fluctuations.

337 **3.4 Pe** 

## 3.4 Perturbation Intensities

Event perturbations varied depending on peak current and distance to path. Figure 7 shows the probability distributions of the major axis perturbation, plotted for varying distance to path values.

At all distances, there is an asymmetry of positive and negative perturbations. This 342 asymmetry is more predominant at larger distance ranges, suggesting that events with 343 a negative signal perturbation are considerably shorter in range. The predominance of 344 positive perturbation events is consistent with previous research.[Inan, 1993][Inan et al., 345 1996b][Marshall et al., 2006] Marshall et al. [2008] suggested that this predominance is 346 because the underlying causes of early/fast events involve a reduced electron density in 347 the perturbed region of the ionosphere, which in turn would tends towards higher VLF 348 signal absorption. 349

## 350 **3.5 Recovery analysis**

Due to the noisiness of the data, as well as constant changes in the background D region at nighttime, measuring recovery time is an imprecise process. To account for noise



Figure 7. Changes in event occurrence and behavior over peak lightning current

and background fluctuations, we perform a least-squares linear fit for the VLF data from 353 10 seconds to 2 seconds before the lightning incident to a line, and did a separate lin-354 ear fit to the data 2 seconds to 10 seconds after the incident. The reason the line is fit 355 to only 8 seconds of data is to ensure contamination from multiple Early events in a row, 356 and to account for the fact that at least some Early events may have recoveries only around 357 10 seconds. The data points including and immediately surrounding the incident were excluded to avoid contamination from the return stroke's direct radiation. The point where 359 these lines intersect is defined here as the recovery time. This process is no doubt im-360 perfect method but measuring the recovery time is itself an inexact process; when done 361 manually it sometimes requires some amount of subjective judgment, much like defin-362 ing what is or is not an Early VLF event. Our hope is defining a technique here is to de-363 termine some statistical properties with consistent criteria. 364

To illustrate this process, Figure 8 shows two samples from events. The first, on 365 the left, originates from a May 31, 2018 signal at 07:05:29 UTC from NML received at 366 Burden, corresponding to a lightning stroke of intensity 336 kA. The second, on the right, 367 is taken from a January 22, 2018 event at 02:34:59 UTC caused by a 249 kA lightning 368 stroke, detected at PARI from the NLK signal. Both samples are displayed in log scale 369 after being processed through a 5-point median filter. The red lines illustrate an esti-370 mation for the background ionosphere, while the green line represents an estimate for 371 the recovery after the initial perturbation. We observe that for the event on the left, these 372 two lines meet at a point along the signal 47.2 seconds after the lightning occurrence. 373 It this case it appears that the signal has recovered to the background ionospheric lev-374 els at this point. For the event on the right, however, the intersection of the green and 375 red lines do not correspond to a point along the signal. This is because successive events 376 are occurring, roughly at t=45 and t=75, which cause the signal to drop repeatedly be-377 low the background levels. Nonetheless, we define the recovery time at the point where 378 the lines intersect, as this represents the recovery process from the initial event. We use 379 this definition to minimize interference from succeeding events and other background noise. 380 We can describe the formula for the recovery time as follows: 381

$$t_{recovery} = \frac{c_2 - c_1}{m_1 - m_2}$$

Where m1 and c1 represent the slope and y intercept respectively of the background line, and m2 and c2 represent the slope and intercept of the recovery line.

We note that while this method can accurately characterize the event recoveries for shorter time scales, the error scales quadratically with longer recovery times. This is a result of the inverse relationship between the recovery slopes and the recovery times, and the propagation of errors from a least squares interpolation. We attempt to account for this by estimating the error range for each sample, taking into account both the variance of the data, and the length of the estimated recovery time. The full calculation used is described below.

The general formula for a propagation of errors can be described as follows, if q is a function of variables x,...,z[*Taylor*, 1997, p.75]:

$$\delta q = \sqrt{(\frac{\partial q}{\partial x} \delta x)^2 + \ldots + (\frac{\partial q}{\partial z} \delta z)^2}$$

Where  $\delta q$ ,  $\delta x$ , and *deltaz* are the errors of q, x, and z respectively. Therefore, we can estimate  $\delta t_r$  as:

$$\delta t_r = \sqrt{(\frac{\partial t_r}{\partial m_1} \delta m_1)^2 + (\frac{\partial t_r}{\partial m_2} \delta m_2)^2}$$

Since  $c = y_{avg} - mx_{avg}$ ,  $-\frac{\partial c}{\partial m}$  is simply equal to the average absolute values of the times of the points being sampled relative to the time of the lightning stroke, which are 20.5 and 24.5 for the background and the disturbed samples respectively.

<sup>398</sup> We can therefore make the following derivations:

401

$$\frac{\partial t_r}{\partial m_1} = \frac{t_r(t_r + 20.5)}{c_2 - c_1}, \frac{\partial t_r}{\partial m_2} = \frac{t_r(t_r + 24.5)}{c_2 - c_1}$$

From the generalized formula of least squares linear regression error[*Taylor*, 1997, p.188],

$$\delta m = \sigma_y \sqrt{\frac{N}{\Delta}}$$
$$\Delta = N\Sigma x^2 - (\Sigma x)^2$$

Putting this together, and factoring out  $\frac{sigma_y}{p}$  where  $p = c_2 - c_1$  or the perturbation:

$$\delta t_r = \frac{\sigma_y}{p} \sqrt{\frac{N_1}{\Delta_1} t_r^2 (t_r + 20.5)^2 + \frac{N_2}{\Delta_2} t_r^2 (t_r + 24.5)^2}$$

If the lines do not intersect after the stroke, or if the calculated error was greater than both the bin size (20 seconds) or the estimated recovery time, we discarded the sample as not having a recovery time that could be estimated with confidence. If the recovery time is greater than 300s, it is treated as a long recovery event (LORE) [*Cotts and Inan*, 2007; *Haldoupis et al.*, 2013].

Figure 9 shows the distribution of event recoveries. Each bar shows the number of 409 event samples with a Major Axis recovery time in the corresponding bin. Unlike some 410 of the previous charts shown, this is not a quantification of distinct events, but rather 411 different samples. Because the same event may be captured on multiple transmitter-receiver 412 paths, different samples may display different recovery times. Excluded from this chart 413 are event samples where the Major Axis recovery time has been found to be negative, 414 as this is typically a consequence of either noisy data or rapidly changing background 415 ionosphere. 416

<sup>417</sup> Based on a Kolmogorov-Smirnov two-sample test[*Marsaglia et al.*, 2003], there is <sup>418</sup> not a significant difference in the distributions for high peak current events (right panel) <sup>419</sup> and more typical peak current events (left panel). The test yields a p-value of 0.5886.

A plurality of event samples have recovery times less than 20s, and each increasing time bin has a decreasing number of event samples. In addition, 0.66% of low current events and 1.19% of high current events could confidently be described are LOREs, using 300s as the threshold.

We do not see a bifurcation of this distribution, meaning that we cannot see an easily separable category of LORE events distinct from ordinary events. This suggests that LORES may not be fundamentally different types of events but rather at the extremes of a continuum, with similar underlying physics. As mentioned previously, however, this method of calculating recovery times becomes increasingly imprecise for higher recovery times, meaning further investigation is necessary.



430

Figure 8. Two events, with the recovery time estimate shown.

431

433

# 434 4 Conclusion

The constant fluctuations in the ionosphere, and the inherent randomness in lightning properties and distribution mean that the behavior of each early/fast is unique. How-



Figure 9. Distribution of Event Recoveries

ever, by collecting a large enough sample, we can still isolate patterns in the occurrence
and appearance of these events.

Our efforts represent a starting point towards a more comprehensive, data-driven
approach towards early/fast event analysis. Working with a larger dataset means that
standardized measurements of event perturbation and recovery time will be imprecise
and potentially skewed by noise. Nonetheless, our observations seem to confirm much
of the evidence from previous research, particularly in the asymmetric likelihoods of positive vs negative current strokes producing events, as well as the much greater proportion of positive-perturbation events.

## 446 Acknowledgments

432

<sup>447</sup> This work is supported by the National Science Foundation under awards AGS-1451210417

and AGS-1653114 (CAREER) to the Georgia Institute of Technology, and by the De-

fense Advanced Research Projects Agency (DARPA) through US Department of the In-

450 terior award D19AC00009 to the Georgia Institute of Technology.

# 451 References

- Armstrong, W. C. (1983), Recent advances from studies of the trimpi effect, Antarctic Journal of the United States.
- 454 Cohen, M. (2018), Broadband VLF/LF/MF Radio Reception and Remote Sensing
   455 with the AWESOME Instrument, .
- Cotts, B. R. T., and U. S. Inan (2007), VLF observation of long ionospheric recovery
   events, *Geophysical Research Letters*, 34(14), 10.1029/2007gl030094.
- 458 Cummins, K. L., M. J. Murphy, E. A. Bardo, W. L. Hiscox, R. B. Pyle, and A. E.
- Pifer (1998), A combined TOA/MDF technology upgrade of the u.s. national
- lightning detection network, Journal of Geophysical Research: Atmospheres,

461	103(D8), 9035–9044, 10.1029/98jd00153.
462	Dowden, R. L., and C. J. Rodger (1997), Decay of a vertical plasma column: A
463	model to explain VLF sprites, Geophysical Research Letters, 24(22), 2765–2768,
464	10.1029/97gl $02822$ .
465	Dowden, R. L., J. B. Brundell, and W. A. Lyons (1996), Are VLF rapid onset, rapid
466	decay perturbations produced by scattering off sprite plasma?, Journal of Geo-
467	physical Research: Atmospheres, 101(D14), 19,175–19,183, 10.1029/96jd01346.
468	Franz, R. C., R. J. Nemzek, and J. R. Winckler (1990), Television image of a large
469	upward electrical discharge above a thunderstorm system, $Science$ , $249(4964)$ ,
470	48–51, 10.1126/science.249.4964.48.
471	Fukunishi, H., Y. Takahashi, M. Kubota, K. Sakanoi, U. S. Inan, and W. A. Lyons
472	(1996), Elves: Lightning-induced transient luminous events in the lower iono-
473	sphere, <i>Geophysical Research Letters</i> , 23(16), 2157–2160, 10.1029/96gl01979.
474	Gross, N. C., M. B. Cohen, R. K. Said, and M. Gołkowski (2018), Polarization of
475	Narrowband VLF Transmitter Signals as an Ionospheric Diagnostic, Journal of $C_{1}$ is the probability of $C_{2}$ and $C_{2}$
476	Geophysical Research: Space Physics, 123(1), 901–917, 10.1002/2017 Ja024907.
477	T. Bringer and T. Neubert (2006) "confut dow" events: A new estagery of VIE
478	nerturbations observed in relation with sprites <i>Journal of Geophysical Research</i>
479	111(A11) 10 1029/2006ja011960
481	Haldoupis, C., M. Cohen, E. Arnone, B. Cotts, and S. Dietrich (2013). The VLF
482	fingerprint of elves: Step-like and long-recovery early VLF perturbations caused
483	by powerful $\pm CG$ lightning EM pulses, Journal of Geophysical Research: Space
484	Physics, 118(8), 5392–5402, 10.1002/jgra.50489.
485	Inan, U. S. (1990), VLF heating of the lower ionosphere, Geophysical Research Let-
486	ters, 17(6), 729-732, 10.1029/gl017i006p00729.
487	Inan, U. S. (1993), VLF signatures of lightning-induced heating and ionization of the
488	nighttime D-region, Geophysical Research Letters.
489	Inan, U. S., D. C. Shafer, W. Y. Yip, and R. E. Orville (1988), Subionospheric
490	VLF signatures of nighttime d region perturbations in the vicinity of light-
491	infig discharges, Journal of Geophysical Research, 93 (A10), 11,455, 10.1029/
492	Jab $331310$ $11433$ .
493	Lyons (1995) VLF signatures of ionospheric disturbances associated with sprites
494	Geophysical Research Letters, 22(24), 3461–3464, 10,1029/95gl03507.
496	Inan, U. S., V. P. Pasko, and T. F. Bell (1996a). Sustained heating of the jono-
497	sphere above thunderstorms as evidenced in "early/fast" VLF events, <i>Geophysical</i>
498	Research Letters, 23(10), 1067–1070, 10.1029/96gl01360.
499	Inan, U. S., A. Slingeland, V. P. Pasko, and J. V. Rodriguez (1996b), VLF and
500	LF signatures of mesospheric/lower ionospheric response to lightning dis-
501	charges, Journal of Geophysical Research: Space Physics, 101(A3), 5219–5238,
502	10.1029/95ja03514.
503	Inan, U. S., S. A. Cummer, and R. A. Marshall (2010), A survey of ELF and VLF
504	research on lightningionosphere interactions and causative discharges, Journal of
505	Geophysical Research.
506	Kabirzaden, K., R. A. Marshall, and U. S. Inan (2017), Early/fast VLF events
507	Journal of Geophysical Research: Atmospheres 199(12), 6217, 6220, 10, 1002/
508	2017id026528
510	Kotovsky D A and B C Moore (2015) Classifying onset durations of early VLF
510	events: Scattered field analysis and new insights. <i>Journal of Geophysical Research</i> :
512	Space Physics, 120(8), 6661–6668, 10.1002/2015ja021370.
513	Lyons, W. (1996), The sprites 95 field campaign: Initial results-characteristics of
514	sprites and the mesoscale convective systems that produce them, in <i>Preprints</i> ,

515	18th Conf. on Severe Local Storms, San Francisco, CA, Amer. Meteor. Soc, pp.
516	442 - 446.
517	Marsaglia, G., W. W. Tsang, and J. Wang (2003), Evaluating kolmogorov's distribu-
518	tion, Journal of Statistical Software, 8(18), 10.18637/jss.v008.i18.
519	Marshall, R. A., U. S. Inan, and W. A. Lyons (2006), On the association of
520	early/fast very low frequency perturbations with sprites and rare examples of VLF
521	backscatter, Journal of Geophysical Research, 111(D19), 10.1029/2006jd007219.
522	Marshall, R. A., U. S. Inan, and T. W. Chevalier (2008), Early VLF perturbations
523	caused by lightning EMP-driven dissociative attachment, Geophysical Research
524	Letters, $35(21)$ , $10.1029/2008$ gl $035358$ .
525	Moore, R. C. (2003), Early/fast VLF events produced by electron density changes
526	associated with sprite halos, Journal of Geophysical Research, 108(A10),
527	10.1029/2002ja009816.
528	NaitAmor, S., M. A. AlAbdoadaim, M. B. Cohen, B. R. T. Cotts, S. Soula,
529	O. Chanrion, T. Neubert, and T. Abdelatif (2010), VLF observations of iono-
530	spheric disturbances in association with TLEs from the EuroSprite-2007 cam-
531	paign, Journal of Geophysical Research: Space Physics, 115(A7), 10.1029/
532	2009ja015026.
533	Pasko, V. P., U. S. Inan, Y. N. Taranenko, and T. F. Bell (1995), Heating, ionization
534	and upward discharges in the mesosphere, due to intense quasi-electrostatic thun-
535	dercloud fields, Geophysical Research Letters, 22(4), 365–368, 10.1029/95gl00008.
536	Poulsen, W. L., T. F. Bell, and U. S. Inan (1993), The scattering of VLF waves by
537	localized ionospheric disturbances produced by lightning-induced electron precipi-
538	tation, Journal of Geophysical Research, 98(A9), 15,553, 10.1029/93ja01201.
539	Salut, M. M. (2013), On the relationship between lightning peak current and Early
540	VLF perturbations, Journal of Geophysical Research.

Silber, I., and C. Price (2016), On the Use of VLF Narrowband Measurements to
 Study the Lower Ionosphere and the Mesosphere–Lower Thermosphere, Surveys in
 *Geophysics*, 38(2), 407–441, 10.1007/s10712-016-9396-9.

- Taylor, J. R. (1997), An introduction to error analysis, second ed., University Science Books.
- <sup>546</sup> Watt, A. D. (1967), VLF Radio Engineering, Elsevier, 10.1016/c2013-0-02069-5.