Investigating three-dimensional (3D) evolution of submarine landslide initiation and dynamics

Wangcheng Zhang[1] and Alexander M. Puzrin[1]

[1]ETH Zurich

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Abstract

Submarine landslides are usually enormous in size but often developed from a minute slip surface. Attention has previously been paid to quantifying the failure initiation of a submarine landslide through two-dimensional (2D) plane strain slope stability analyses. The findings of failure initiation from the 2D simplifications need to be justified in a realistic 3D scenario, and more importantly, are inconvenient to apply into analysing the subsequent 3D post-failure behaviours. This study aims to explore to discover the true physical mechanism of submarine landslides and to establish practical criteria for submarine slope stability analysis, by modelling and investigating the whole 3D landslide evolution integrating both the failure initiation and post-failure behaviours. The numerical method is formulated by solving governing equations in terms of the conservations of mass and momentum considering isotropic and linear strain softening materials. Ability of this framework to simulate a complete landslide evolution, including the initiation and growth of slip surface, global slab failure, post-failure behaviours and re-deposition, has been demonstrated for different slope geometries. The proposed numerical scheme is able to capture diverse post-failure behaviours, such as retrogression and blocky slide mass, in sensitive soils. The characteristics of the slip surface growth within a favoured layer and the patterns of the global slab failure in the overlying layer have been thoroughly discussed. For planar slopes, it helps to establish an analytical criterion for unstable dynamic growth of a planar slip surface, which can optimise the slope stability analysis in sensitive soils.

1 **INVESTIGATING THREE-DIMENSIONAL (3D) EVOLUTION OF SUBMARINE**

2 **LANDSLIDE INITIATION AND DYNAMICS**

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6 **Wangcheng Zhang**
7 Assistant professor
8 Department of Engineering
9 Durham University
10 South Road, Durham, DH1 3LE, UK
11 Email: wangcheng.zhang@durham.ac.uk
12
13 Formerly
14 Institute for Geotechnical Engineering, ETH Zurich
15 Stefano Franscini Platz 5, 8093 Zurich, Switzerland
16 Email: wangcheng.zhang@igt.baug.ethz.ch
17
18
19 **Alexander M. Puzrin**
20 Professor
21 Institute for Geotechnical Engineering, ETH Zurich
22 Stefano Franscini Platz 5, 8093 Zurich, Switzerland
23 Email: alexander.puzrin@igt.baug.ethz.ch

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ABSTRACT

Submarine landslides are usually enormous in size but often developed from a minute slip surface. Attention has previously been paid to quantifying the failure initiation of a submarine landslide through two-dimensional (2D) plane strain slope stability analyses. The findings of failure initiation from the 2D simplifications need to be justified in a realistic 3D scenario, and more importantly, are inconvenient to apply into analysing the subsequent 3D post-failure behaviours. This study aims to explore to discover the true physical mechanism of submarine landslides and to establish practical criteria for submarine slope stability analysis, by modelling and investigating the whole 3D landslide evolution integrating both the failure initiation and post-failure behaviours. The numerical method is formulated by solving governing equations in terms of the conservations of mass and momentum considering isotropic and linear strain softening materials. Ability of this framework to simulate a complete landslide evolution, including the initiation and growth of slip surface, global slab failure, post-failure behaviours and re-deposition, has been demonstrated for different slope geometries. The proposed numerical scheme is able to capture diverse post-failure behaviours, such as retrogression and blocky slide mass, in sensitive soils. The characteristics of the slip surface growth within a favoured layer and the patterns of the global slab failure in the overlying layer have been thoroughly discussed. For planar slopes, it helps to establish an analytical criterion for unstable dynamic growth of a planar slip surface, which can optimise the slope stability analysis in sensitive soils.

PLAIN LANGUAGE SUMMARY

Submarine landslides can be tens of kilometres long but may originate from a relatively small initial slip surface, growing within a 'sandwiched' weak layer. The physical mechanisms causing the slip surface growth and the whole evolution of submarine landslides are difficult to assess even with the help of state-of-the-art geophysical and geological investigations. Advanced numerical modelling is a useful tool to improve our understanding of the three-dimensional (3D) evolution of submarine landslides. Here, we propose such an original numerical method formulated from the fundamental physical laws and simulate the entire landslide evolution from slip surface growth to failure of the sliding layer and its post-failure behaviour with final re-deposition of slide mass. We optimise the assessment of the critical slip surface size for catastrophic failure, which may facilitate safe offshore and coastal development, e.g., for offshore renewables. Our criterion shows, upon certain conditions, that a slip surface can grow at considerable velocities and become as large as ~100 km^2 within minutes. This is followed by global failure and post-failure evolution, such as extended failure upslope and fan heaving downslope. The numerical replication of submarine landslide evolution improves our understanding of the complex cascading mass movement mechanisms of submarine landslides.

INTRODUCTION

Layered marine sediments usually exhibit reduction in strength during shearing, because of the collapse of the inter-particle bonding structures and the accumulation of pore water pressure. The ratio between the initial (peak) and softened (residual) strengths of marine soil sediments is usually between 3 to 7, and such soil with strength sensitive to shearing is referred to as 'sensitive soil' (Skempton 1985, L'Heureux et al. 2012, Issler et al. 2015). For slope failure in marine sensitive soils, shearing failure within a basal slip surface might lead to the growth of the slip surface, eventually evolving into a huge translational landslide such as the well-known enormous Storegga Slide (Kvalstad et al. 2005, Micallef et al. 2007) or the massive retrogressive landslide in December 2020 at Gjerdrum, Norway, which caused seven deaths.

Figure 1 shows a conceptual evolution of typical translational submarine landslides. Although their scales are usually very large, e.g. the Storegga Slide covered between 2500 and 3500 km^2 of sediment (Haflidason et al. 2004), translational slides might be initiated at a minute slip surface, as shown in Figure 1a, triggered by external factors such as earthquakes. An initial slip surface is often concentrated in a favoured soil layer (the so-called 'weak layer') where shear strength relative to the overburden pressure is lower than adjacent layers. The weak layer provides a locus for progressive growth of the slip surface with extensive external triggers, as shown in Figure 1b. Once the size of the slip surface reaches a threshold, the slip surface growth becomes catastrophic and can only be limited by slope flattening or global slab failure (see Figure 1c). Diverse post-failure behaviours, such as retrogression upslope and progressive ploughing or debris flow downslope, may present after the global slab failure (Zhang et al. 2021), as illustrated in Figure 1d.

Submarine slope stability analysis and assessment of landslide dynamics and impact to offshore infrastructure are important areas of study for engineering geology professionals and geotechnical engineers. In practice, slop stability (failure initiation) is usually simplified as a

102　　two-dimensional (2D) plane strain problem (e.g., Morgenstern and Price 1965, Spencer 1967,

103　　Cornforth 2005), which has been considered conservative in the Limit Equilibrium Method

104　　(LEM), as resistances from the out-of-plane direction are ignored. However, the slip surface of

105　　translational landslides can grow in any direction within a favoured 'weak' layer, which may

106　　or may not be parallel to the main travel direction of the slide mass as depicted in Figure 1.

107　　Such a multi-directional propagation mechanism can provide additional driving force because

108　　of the reduction in strength during slip surface growth, but this physical failure mechanism

109　　cannot be considered in a 2D case and remains poorly understood.

110　　Moreover, the 2D simplification needs the justification that the linear cross section chosen from

111　　a three-dimensional (3D) ground is the most 'pessimistic' (Duncan 1996). This is not an easy

112　　task in realistic complex terrain, where the most pessimistic section, if it can be found, is most

113　　likely nonlinear. To partly avoid such a geometrical uncertainty, slope stability analysis might,

114　　in practice, be conducted for multiple cross sections of a single slope, which could be a huge

115　　workload for risk assessment and mapping of a large area involved in an engineering project

116　　such as the determination of a subsea pipeline route. A practical and effective criterion

117　　considering 3D slope geometry may facilitate risk assessment of submarine landslide and help

118　　safe offshore developments.

119　　Therefore, the main aims of the study are to discover the true physical mechanism of multi-

120　　directional slip surface growth leading to submarine landslides and to establish practical criteria

121　　for slope stability analysis in marine sensitive soils. To achieve so, the whole evolution of 3D

122　　submarine landslides, covering the failure initiation, slip surface growth, slab failure and post-

123　　failure behaviours, is observed and discussed through an original Lagrangian-Eulerian depth

124　　integrated numerical analysis. The governing equations of the problem are formulated based

125　　on conservation of mass and momentum. The solutions of landslide dynamics are obtained

126　　from a finite volume scheme with a staggered mesh strategy. New criteria for slip surface

127   growth in planar slopes are proposed and characteristics of slab failure are discussed based on

128   the numerical investigations, which are expected to facilitate slope stability analysis and risk

129   assessment of submarine landslides in sensitive soils. Finally, the ability of the framework to

130   account for the effects of the true 3D slope geometry is demonstrated.

131   **METHODS**

132   ***Existing methods for analysing submarine landslide initiation and dynamics***

133   Because of greater computational capacity, numerical studies have been able to consider 3D

134   slope stability analysis in the last several decades (Hungr et al. 1989, Lam and Fredlund 1993,

135   Huang and Tsai 2000, Cheng and Yip 2007), although most of them have focused on rotational

136   slide mechanisms using the LEMs. Some more sophisticated 3D models for slope stability

137   problems have been emerging that use numerical methods such as the Finite Element Method

138   (Griffiths and Marquez 2007, Lin et al. 2020) and Finite Difference Method (Zhang et al. 2013).

139   The LEM and most existing numerical methods reach their limits in carrying out satisfactory

140   stability analyses of submarine slopes with sensitive clays against potential translational

141   landslides, due to the progressive failure process and large deformation involved (Puzrin et al.

142   2004, 2016, Locat et al. 2011, Zhang et al. 2015). Instead, some recent studies have explored

143   simplified analytical criteria for 3D translational landslide initiation in sensitive clays by

144   interpretation and quantification of slip surface growth along a weak layer (Zhang et al. 2020,

145   Klein and Puzrin 2021), based on planar or idealised conical geometry and static conditions.

146   The applicability of these criteria to realistic slope conditions and complex geometry remains

147   uncertain.

148   Another important issue in assessing the risk of slope instability is the modelling of landslide

149   dynamics and its evolution, which can be performed by using large deformation numerical

150   methods such as the depth-integrated method (Hungr 1995, Liu and Huang 2006, Zhang and

151   Puzrin 2021), computational fluid dynamics (Biscarini 2010), smoothed particle

152   hydrodynamics (Zhang et al. 2020) or the material point method (Dong et al. 2017). However,

153   most of these are 2D in nature and need the input of details of the initial slide mass such as

154   geometry, volume and initial velocity, which are rarely determined in practice.

155   A correlation between slope stability analysis (landslide initiation) and evolved debris flow

156   (landslide dynamics) in 3D is required for fully understanding 3D landslide evolution and

157   hence for optimised risk assessment, which will be for the first time addressed in the study.

158   ***Governing equations***

159   In order to analyse slope stability and evolution of landslides in sensitive soils, the domain of

160   interest is essentially divided into regularised cells, with each cell holding characteristics of the

161   evolving landslide, as shown in Figure 2. Note that the bathymetry map, showing a submarine

162   landslide offshore Scotland (after Carter et al. 2020) is just for illustration. The edges of the

163   cell are parallel to the axes of coordinates x and y, and the x–y plane ($z = 0$) was set as the

164   horizontal plane and crossing through a reference point (taken as the slope centre in the study)

165   at the basal slip surface. Cells are fixed during the landslide process, with materials travelling

166   through them, forming a Eulerian framework. Conservations of mass and momentum are then

167   formulated within each cell, and global instability can be modelled by integrating all cells with

168   consideration of proper inter-cell constitutive models and fluxes.

169   Key assumptions for establishing governing equations are as follows.

170   • The thickness of the landslide is small (<1:10) compared to its dimensions, so that the

171   velocity can be averaged along the depth of each cell.

172   • Momentum of the slide mass along the z-direction is negligible.

173   • Trapped water moves together with soils in each cell, and any generated pore pressures

174   have no time to dissipate, ensuring an undrained (and incompressible) condition.

175   Based on these assumptions, conservation of mass in each cell can be expressed by

$$\frac{\partial h}{\partial t} + \frac{\partial hu}{\partial x} + \frac{\partial hv}{\partial y} = 0 \tag{1}$$

176   where h is the height of the cell, u and v are the velocity in the x- and y-directions (as shown

177   in Figure 2), respectively, and t is the elapsed time. Conservation of momentum in each cell is

178   given by

$$\frac{\partial hu}{\partial t} + \frac{\partial hu^2}{\partial x} + \frac{\partial h\sigma_x}{\rho \partial x} + \frac{\partial huv}{\partial y} - \frac{\partial h\tau_{xy}}{\rho \partial y} - \frac{\tau_{w,x} + \tau_{g,x} + \tau_{drag,x}}{\rho} = 0 \tag{2}$$

179   and

$$\frac{\partial hv}{\partial t} + \frac{\partial hv^2}{\partial y} + \frac{\partial h\sigma_y}{\rho \partial y} + \frac{\partial huv}{\partial x} - \frac{\partial h\tau_{xy}}{\rho \partial x} - \frac{\tau_{w,y} + \tau_{g,y} + \tau_{drag,y}}{\rho} = 0 \tag{3}$$

180   for the x- and y-directions, respectively. In the above equations, σ_x, σ_y and τ_{xy} are stress

181   components applied at the centre of the cell face, with the face normals parallel to the x or y

182   axis; $\tau_{w,x}$ and $\tau_{w,y}$ are weak layer (or slip surface) shear stress components; $\tau_{g,x}$ and $\tau_{g,y}$ are

183   gravity shear stress components at the buried depth of the weak layer; and $\tau_{drag,x}$ and $\tau_{drag,y}$

184   are drag shear stress components.

185   The governing equations are solved through a finite volume scheme with a staggered mesh

186   strategy, which is detailed in the Appendix. Determinations and numerical treatments of marine

187   sediment properties and drag forces from the ambient sea water are also fully addressed in the

188   Appendix, with briefs given as follows.

189   ***Marine sediment properties***

190   In addition to solving the governing equations of dynamics of the sliding layer, the changes in

191   soil properties of slip surface (within the weak layer) during the landslide process are

192   considered. The soil properties, such as stress and strength, in the weak layer are updated in the

193   fixed mesh scheme based on the current values of h, u and v, assuming that the weak layer

194   does not move with the sliding layer. As the sliding layer moves during the landslide process,

195   its soil properties are updated at the deformed cell centre (based on current values of u and v)

196   and interpolated to the original fixed centre after each time increment, in the spirit of the

197   Arbitrary Lagrangian-Eulerian method. To this end, the numerical scheme proposed here is

198   hereafter called the Lagrangian-Eulerian depth integrated method (LEDIM).

199   Within the slip surface, the shear stress (τ_w) is limited to the current shear strength, which is

200   reduced during shearing, and given by

$$\tau_w = s_{uw}(\delta^p) = max\left(1 - \frac{\delta^p}{\delta_r^p}, \ \frac{1}{S_t}\right) \cdot s_{uw,p} \tag{4}$$

201   where $\delta^p = \int_0^t \lVert \dot{\boldsymbol{\delta}}^p \rVert dt$ is the accumulated plastic shear displacement across the weak layer, δ_r^p

202   the value of δ^p at the residual shear stress, and $s_{uw,p}$ the peak undrained shear strength in the

203   weak layer. Considering a linear and isotropic elasticity model, the pre-peak shear stress can

204   be expressed by

$$\boldsymbol{\tau}_w = K\boldsymbol{\delta}^e \tag{5}$$

205   where K is the shear stiffness and δ^e is the elastic component of the shear displacement across

206   the weak layer.

207   Hydrodynamic pressure drag for a streamlined body like a submarine sliding mass is less

208   significant than the skin friction drag, and the latter can be approximated by (Norem et al. 1990,

209   Elverhoi et al. 2005)

$$\tau_{drag} = \frac{1}{2}C_f\rho_w v^2; \ C_f = \left(1.89 + 1.62 \ log\frac{L}{k}\right)^{-2.5} \tag{6}$$

210   where C_f is the frictional drag coefficient, ρ_w is the seawater density, L is the sliding mass

211   length and k is the roughness length of the sliding mass surface in the range of 0.01–0.1 m. For

212   a length of the sliding mass varying between 10 and 1000 m, the friction drag coefficient falls

213   in the range of 0.005–0.016.

Verification

215   A series of 2D landslides with 1D slip surface growth along a weak layer, studied in Zhang et

216   al. (2019), were re-simulated using the proposed numerical scheme to verify its accuracy. The

217   governing equations are tailored to fit for the 2D problems by ignoring the momentum in the

218   *y*-direction (assuming the slide mass travels in the *x*-direction) and considering only one row

219   of cells (assuming the problem is of plane strain nature). The numerical results from the

220   proposed numerical scheme are compared with the observations from the large deformation

221   finite element (LDFE) modelling by Zhang et al. (2019).

222   A curvilinear slope model composed of an overlying layer and a weak layer was used, as shown

223   in Figure 3a. The weak layer is parallel to the slope surface and antisymmetric about the slope

224   centre, which is set as the origin of the coordinate system. The weak layer geometry is described

225   by

$$
z = \begin{cases} -H\left[1 - exp\left(\frac{y}{H}\tan\theta_c\right)\right], & y < 0 \\ H\left[1 - exp\left(-\frac{y}{H}\tan\theta_c\right)\right], & y \geq 0 \end{cases} \tag{7}
$$

226   where θ_c is the maximum slope angle at the centre, and H is the half-height of the slope.

227   The length of the model was set to 8,000 m so that the slope angles at two ends approach zero.

228   The soil properties are the same as those in Zhang et al. (2019) and are listed in Table 1. For a

229   curvilinear slope, plastic deformation is initiated at the steepest point once the maximum

230   gravity shear stress exceeds the peak undrained shear strength. In the benchmark cases, the

231   peak undrained shear strength of the weak layer soil is fixed at $s_{uw,p} = 10$ kPa, which is small

232   enough to achieve catastrophic slip surface growth along the weak layer, according to the

233   criterion of Zhang et al. (2015). The undrained shear strength of the sliding layer, however,

234   varies between $s_{us,p} = 10, 20, 30$ and 100 kPa, to simulate different post-failure behaviours.

235   For $s_{us,p} = 100$ kPa, the sliding layer is strong enough to remain stable, with the failure

236   concentrated within the weak layer only. The evolution of the length of the slip surface is shown

237   in Figure 3b for both LEDIM and LDFE modelling. The slip surface firstly increases and stops

238   with a final length of 2,350 m. The results from the two numerical methods compare well

239   overall, although the growth of the slip surface is slightly slower, and the final slip surface

240   length is about 2% higher in the LDFE analysis. This validates the accuracy of the proposed

241   method in simulating the slip surface growth in the weak layer.

242   For the other three cases with $s_{us,p} = 10$, 20 and 30 kPa, active and passive failure are

243   apparent at the upslope and downslope portion of the slope, respectively, as shown in Figure 4.

244   Figure 4 also compares the upslope and downslope segments of the slip surface in the weak

245   layer at the final stage according to the two numerical methods. With $s_{us,p} = 10$ kPa, the upper

246   layer soils are soft and flow downward after the global slab failure, with the layer becoming

247   thinner upslope and thicker downslope. The relative strong sliding layer with $s_{us,p} = 20$ and

248   30 kPa leads to break-up of the layer and a main scarp somewhere upslope. The proposed

249   numerical method can generally simulate the same post-failure behaviours as the LDFE method,

250   which further validates the method in modelling global failure.

251   The LDFE method is rather inefficient in simulating a 3D landslide process because of current

252   computational capacity and the difficulty in treating a highly distorted slope surface. The

253   proposed numerical method has a particular advantage in computational efficiency which

254   enables it to simulate the evolution of a 3D translational landslide in sensitive soils, as will be

255   demonstrated in the remainder of the paper.

256   ***Slope geometry***

257   Four types of submarine slopes (planar, S-shape, convex, and concave, as shown in Figure 5)

258   are used in the study. All of them consist of a continental shelf, a continental slope, and a

259 continental rise from nearshore to deep sea, with an overlying layer, a weak layer, and a base.

260 An initial slip surface was assumed to occur within the weak layer at the centre of the

261 continental slope. The continental slope is assumed to have an average inclination of 6° to

262 horizontal, and the continental shelf and continental rise are horizontal. Investigations of slip

263 surface growth and slab failure initiation focus on the planar continental slope, which is

264 assumed sufficiently long (8,000 m) and wide (6,000 m). The complete landslide evolution,

265 including the post-failure behaviours and the arrest of mass transport deposit, is then simulated

266 with considerations of the full slope model and different 3D slope geometries.

267 A local Cartesian coordinate system x-y-z is used, with the origin set at the slope centre and the

268 z-axis pointing away from the seabed as shown in Figure 5. The expression of the planar slope

269 geometry is straightforward with the coordinate z linearly varying from the slope crest to the

270 toe. To describe the S-shape slope geometry, the exponential function (7), extending through

271 the x-axis, is used. The convex and concave slope geometries are constructed from a truncated

272 cone and expressed, in terms of a global Cartesian coordinate system X-Y-Z as shown in Figure

273 5c and d, by

$$Z = 2H \left(1 - \frac{\sqrt{X^2 + Y^2} - R_t}{R_b - R_t} \right) \tag{8}$$

274 and

$$Z = 2H \frac{\sqrt{X^2 + Y^2} - R_b}{R_t - R_b} \tag{9}$$

275 where R_t and R_b are radii of circular cross sections of the truncated cone through the slope

276 crest and the slope toe, respectively. The local coordinates can then be easily related to the

277 global coordinates based on the origin shifting as shown in Figure 5c and d.

278 **RESULTS**

279 In this section, the numerical results of submarine landslide evolution are presented covering

280 the initiation and growth of slip surface, slab failure, post-failure evolution and arrest.

281 **Initiation of slip surface**

282 The initial local slip surface occurs where the permanent or transient driving force exceeds the

283 resistance. It forms either because of an increase in the driving force, e.g., by seismic events or

284 diapirs, or a decrease in shear strength, e.g., by soil degradation or accumulation of pore

285 pressures. In this first study, it is sufficient to assume the initial slip surface is symmetric, and

286 its boundary can be described by a series of functions:

$$\left|\frac{2x}{l_x}\right|^n + \left|\frac{2y}{l_y}\right|^n = 1 \tag{10}$$

287 where n is a shape parameter, and l_x and l_y are dimensions of the slip surface in the x- and y-

288 directions, respectively. The value of n is larger than unity for a convex slip surface. For $n = 1$

289 and 2, the boundary of the slip surface is a rhombus and an ellipse, respectively. As n increases,

290 the pre-softened zone extends further and further outward, and as $n \rightarrow \infty$, the boundary

291 becomes rectangular. For most cases studied here, the value of n was taken as 2. The shear

292 strength in the initial slip surface might be reduced from the peak to the residual by slip

293 weakening, time weakening or both, although the process may take a long time. Therefore, it

294 is sufficient and conservative to assume that the shear strength in the slip surface has been

295 reduced to the residual.

296 The force imbalance from the slip surface can be transferred and sustained by surrounding soils,

297 which may undergo plastic failure and fall into the post-peak strain softening state. Figure 6

298 shows the contour of shear strength after the formation of a circular slip surface ($n = 2$, and

299 $l_x = l_y = 40$ m), as simulated by using the proposed numerical scheme. At the initial state, the

300   undrained shear strength is reduced to the residual at the slip surface while being maintained

301   at the peak elsewhere. Other properties of the numerical model and materials are listed in Table

302   2. Within the weak layer, three zones can be identified as shown in the figure: the intact zone

303   (where soils remain intact), the 'process zone' (where soils undergo strain softening, and shear

304   strength ranges between the peak and the residual) and the slip surface (where soils reach the

305   residual state). Six cross sections, three in each (x- or y-) direction, are chosen to further observe

306   the distribution of the shear strength within the three zones, as given in Figure 6b and c. For

307   the x-I, x-II, y-I and y-II sections which cross all three zones, discontinuities in the distributions

308   of the shear strength exist, dropping from a post-peak value to the residual. These distinguish

309   the process zone from the initial slip surface. The x-III and y-III profiles, however, cross the

310   process zone and intact zone only, and the discontinuity in shear strength distribution is absent.

311   Note that, in this case, the process zone is developed to fully resist the unbalanced forces from

312   the slip surface, and hence the slope remains stable. This is defined as stable slip surface growth

313   and will be detailed in the next sub-section.

314   **Stable growth of slip surface**

315   Figure 7 shows under what conditions a process zone might be initiated and developed. For a

316   relatively large slip surface (i.e., $l_x = 40$ m for a circular slip surface), it can disturb and

317   weaken adjacent soils, leading to the development of a process zone surrounding the slip

318   surface. However, if the slip surface is sufficiently small (e.g., $l_x = 20$ m), the driving force

319   from the slip surface might be easily sustained by the surrounding soils without the formation

320   of the process zone, i.e., the soils remain intact as shown in Figure 7. When the diameter of the

321   slip surface grows to $l_x = 30$ m, the process zone appears only at the front and rear of the slip

322   surface.

323   The above observation reveals that: 1) for a circular slip surface, the process zone firstly

324   emerges at the rear and in front of the slip surface; and 2) the larger the slip surface, the more

325 significant the process zone. Figure 7 also compares the shear stress contours resulting from

326 different sizes of slip surface. The shear stress remains the gravity value (≈ 6 kPa for parameters

327 listed in Table 2) and increases to the peak at the interface between the intact and process zones.

328 It is limited to the shear strength within the process zone and the slip surface.

329 Stability can be eventually achieved for the cases of $l_x = 20$, 30 and 40 m despite the

330 development of the process zone. As defined above, the process of extensive expansion of the

331 slip surface up to $l_x = 40$ m can be termed stable slip surface growth. In contrast, for the case

332 of $l_x = 50$ m, the growth of the slip surface cannot be restricted under existing forces and

333 hence is termed unstable slip surface growth. Note that Figure 7 shows a transient moment of

334 this case, and the dynamic expansion of the slip surface during unstable slip surface growth

335 will be discussed in the next section.

336 ***Unstable growth of slip surface***

337 With an initial slip surface of $l_x = l_y = 50$ m and other properties listed in Table 2, the growth

338 of the slip surface is unstable and can only be limited by slope flattening or global slab failure.

339 Figure 8a, b and c, respectively, shows the evolution of the shear strength contour, horizontal

340 velocity field and vertical velocity field during the unstable growth of the slip surface. To

341 intensively investigate the slip surface growth without slab failure, the shear strength in the

342 overlying layer was intentionally set to a high value (1,000 kPa). At $t = 10$ s, the slip surface

343 grows from a circle to an ellipse with the major axis parallel to the potential travel direction.

344 Thereafter, the slip surface grows dramatically and propagates more outward at the four

345 shoulders, forming a distinctive 'peanut' shape at $t = 25$ s. The wide shoulders are generated

346 because of larger horizontal velocity in these areas, whereas along the major (y-) and minor (x-)

347 axes of the slip surface, the horizontal velocity is close to zero, as shown in Figure 8b. The slip

348 surface is symmetric in terms of both x- and y-axes before $t = 50$ s. At $t = 50$ s, however, the

349 downslope part of the slip surface is slightly larger than the upslope, as soil begins to be

350   accumulated more downslope.

Slab failure

352   Figure 9 shows the slab failure with respect to the contours of the plastic strain and deviatoric

353   stress in the overlying layer, together with the slip surface growth with respect to the contours

354   of the shear strength in the weak layer. Note that the at-rest lateral earth pressure coefficient,

355   which is the horizontal earth pressure over the vertical earth pressure, was set to $K_0 = 0.5$. The

356   peak undrained shear strength was fixed at $s_{uw,p} = s_{us,p} = 10$ kPa for this case. At $t = 5$ s,

357   slab failure emerges at the rear of the slip surface where soils are unloaded and the deviatoric

358   stress reaches the maximum 20 kPa; while in front of the slip surface, soils are loaded and the

359   deviatoric stress decreases from the initial value. At $t = 10$ s, the soils in front of the slip

360   surface have been loaded to the (passive) failure state, and, accordingly, the deviatoric stress

361   also reaches the maximum. Thereafter, the slab failure propagates mainly at the downslope

362   portion with diffusive plastic strain; at the rear of the slip surface, the plastic strains are

363   accumulated, but the propagation of the slab failure is not apparent. Rather than like a fan zone

364   formed in front of the slip surface, the rear boundary of the slip surface (or the main scarp) is

365   quite straight. For the planar slope studied here, the slip surface keeps growing along the *x*-

366   direction, with the side boundaries of the slip surface continuing to extend further outward.

Post-failure evolution and arrest of landslide

368   It has been demonstrated above that, once initiated, the slip surface growth and slab failure

369   propagation cannot be arrested on a planar continental slope. Either slope flattening or material

370   strengthening can restrict slip surface growth and slab failure propagation. To further observe

371   the arrest of slip surface and post-failure behaviours, the full slope model including a relatively

372   flat continental shelf and a continental rise, as shown in Figure 5, is used. It consists of a

373   continental slope with a slope angle of 6° and length of 400 m (coordinate *y* from −200 m to

374   200 m), a flat continental shelf ($y < -200$ m) and a flat continental rise ($y > 200$ m). The peak

375   undrained shear strength of the weak layer soil, the weak layer depth and the submerged soil

376   unit weight were chosen as $s_{uw,p} = 15$ kPa, $h = 8$ m, and $\gamma' = 8$ kN/m^3, respectively. This

377   parameter set generates a strength ratio of $s_{uw,p}/\gamma'h = 0.234$, which is typical for normally

378   consolidated marine sediments. The overlying layer was assumed slightly over-consolidated

379   with a peak strength of $s_{us,p} = 10$ kPa and strength ratio of 0.31. The soil sensitivities of the

380   weak layer and the overlying layer were set to 7 and 2, respectively. An elliptical initial slip

381   surface of $l_x = 40$ m and $l_y = 80$ m was pre-set. Other parameters are the same as those listed

382   in Table 2.

383   Figure 10a shows the landslide dynamic evolution from the slab failure initiation, post-failure

384   stage to re-deposition with respect to the contours of the shear strength in the weak layer and

385   the plastic strain in the overlying layer, and the changes in the overlying layer thickness. Note

386   that the normalised sliding layer thickness is calculated as the ratio of the current thickness and

387   the initial thickness of the overlying layer. At $t = 10$ s, slab failure is initiated with a certain

388   amount of slip surface growth. The plastic strain is more concentrated at the rear of the slip

389   surface, and a curved back scarp is formed at this stage, with the height of the scarp exceeding

390   4 m ($0.5h$). The main scarp moves backward with the retrogressive failure at the rear of the slip

391   surface. The retrogression is stopped by the flat continental shelf, leaving a fairly straight main

392   scarp of 200 m in length and over 4 m in height. Because of the strain softening, the failed

393   overlying mass is torn apart into blocks, within which the plastic strain of the sliding layer is

394   insignificant as shown in the second row of Figure 10a. The blocks are broken into smaller

395   pieces and finally disappear during their downward movement. The failed and softened slide

396   mass is finally deposited at the continental rise, forming a compressed fan zone of around 400

397   m in diameter and over 4 m in heave. The extent of the slip surface in the weak layer is almost

398   identical to the combined area of the source region and deposition fan zone. Features such as

399   the main scarp, the blocks seen during progressive failure, and the deposition fan zone are

400   consistent with site investigations of many historical submarine landslides, e.g., the Loch

401   Eriboll Slide discovered offshore Scotland (Carter et al. 2020) and shown in Figure 10b.

402   **DISCUSSIONS**

403   ***Mechanism and evolution of translational submarine landslides***

404   Observed from the numerical modelling, the evolution of translational submarine landslides in

405   sensitive marine sediments can be summarised as follows.

406   I.   *Slip surface initiation*. A slip surface might be formed and grow stably within a weak

407   layer due to extensive external triggers such as earthquakes and excess pore pressure

408   accumulation. Strain softening of sensitive soils during shearing leads to formation of

409   a process zone, where undrained shear strength reduces from the peak towards the

410   residual, surrounding the slip surface.

411   II.   *Slip surface growth*. Once the slip surface reaches a certain size because of intensive

412   triggers, its growth becomes unstable and catastrophic, restricted only by slope

413   flattening or slab failure. The critical area of the slip surface for unstable growth is

414   almost independent of its shape but depends on the material properties and shear stress

415   ratio over the slip surface. Regardless of the initial shape, the slip surface transitions

416   from an ellipse to a 'peanut' pattern during the unstable growth stage.

417   III.   *Slab failure*. With the growth of the slip surface, the driving force increases, and so does

418   the deviatoric stress within the overlying layer. Therefore, at a certain stage of the

419   unstable slip surface growth, the overlying soils may reach the maximum allowable

420   stress, initiating slab failure. After the slab failure, the growth of the slip surface is in

421   alignment with the propagation of the slab failure.

422   IV.   *Post-failure evolution*. A main scarp forms at the rear of the slip surface after the slab

423   failure, and is followed by retrogression, which is limited by upslope slope flattening.

424   The failed slide mass disintegrates into blocks and then turns to fully softened debris

425   flow with downward movement. The slide mass finally re-deposits at the flat terrain

426   with the mass transport deposit forming a fan zone.

427   ***Features of dynamic slip surface evolution***

428   *Slip surface growth pattern*

429   The pattern of stable growth of the slip surface depends on the shape of the initial slip surface.

430   Figure 11 shows the different patterns of stable slip surface growth for elliptical slip surfaces

431   with different ratios of major and minor axes, in terms of the shear strength contour. Similar to

432   Figure 6b and c, Figure 12 presents the distributions of shear strength along the major and

433   minor axes of the slip surface to visualise the growth pattern of the slip surface. In all cases,

434   the stable growth of the slip surface together with the development of the process zone is

435   obvious. As demonstrated above, for a circular slip surface with $l_x/l_y = 1$, the travel direction

436   (x-direction) is the favoured direction for slip surface growth. The favoured direction along the

437   x-direction of growth is enhanced with a larger axis ratio (a wider slip surface), e.g., $l_x/l_y = 2$.

438   For a slender slip surface (of small axis ratio, $l_x/l_y = 0.25$), however, the slip surface tends to

439   propagate along the y-direction first, presenting a different growth pattern from wide slip

440   surfaces. For instance, with $l_x = 20$ m and $l_y = 60$ m, soil at the two sides of the slip surface

441   begins to soften while the soil in front and at the rear of the slip surface remains intact. With

442   the axis ratio $l_x/l_y = 0.5$, the slip surface seems to grow simultaneously along the periphery

443   of the slip surface without an obvious favoured direction, which forms the most pessimistic

444   situation.

445   Figure 13 briefly illustrates the three modes. The growth of the slip surface along the *x*-direction

446   is driven by the compression force downslope and extension force upslope, akin to the in-plane

447   shear mode of crack propagation in fracture mechanics (i.e., a shear stress acting parallel to the

448   plane of the slip surface and perpendicular to the slip surface front); while the growth along the

449   y-direction is driven by the shear force, akin to the out-of-plane shear mode of crack

450   propagation in the fracture mechanics (i.e., a shear stress acting parallel to the plane of the slip

451   surface and parallel to the slip surface front). Here, the former is defined as the compression-

452   extension mode and the latter is defined as the shear mode. In reality, a combined mode

453   including both the compression-extension and shear modes is expected to be more common,

454   particularly when the slip surface growth is unstable and continuous. It has not been possible

455   to study the shear and combined modes in previous 2D investigations (e.g., Puzrin et al. 2004,

456   Kvalstad et al. 2005, Zhang et al. 2015).

457   Zhang et al. (2020) assumed that the (horizontal) velocity of the slide mass along the x-

458   direction is negligible compared to the y-direction component, which has been found to be a

459   robust result during the stable growth of slip surface through LDFE modelling. This generates

460   a plane strain condition with the compression/extension modulus in the sliding layer calculated

461   by

$$E_{ps} = \frac{E}{1 - v^2} = \frac{2G}{1 - v} \tag{11}$$

462   where E is the Young's modulus and v the Poisson's ratio. This assumption needs to be verified

463   for the stage of unstable slip surface growth. To achieve this, conservation of momentum in the

464   x-direction, i.e. governing equation (2), was ignored and the horizontal component of the

465   velocity was set to zero. Numerical results of such an idealised case in terms of the strength

466   contours are presented in Figure 8d. For $t = 5$ s and 10 s, the slip surfaces of the idealised case

467   are almost identical to the case formulated by rigorous governing equations as shown in Figure

468   8a. However, with further unstable growth of the slip surface, the shape of the slip surface

469   remains an ellipse, which is different from the 'peanut' shape of the rigorous case.

470   Figure 14 compares the two mechanisms at $t = 50$ s. The major and minor axes of the 'peanut'

471   slip surface are the same as those of the 'ellipse' slip surface, with the area of the slip surface

472   larger in the former mechanism. The different mechanisms found in the two cases imply that

473   the horizontal movement of the slide mass plays an important role and has to be considered

474   during the unstable growth of the slip surface.

475   *Slip surface growth speed*

476   Once a slip surface falls into the unstable growth stage, the growth speed depends on how the

477   unbalanced forces are transferred within the overlying layer. For the compression-extension

478   mode, the growth of the slip surface is driven by the compressional/tensile force, and therefore

479   the growth speed (of the major axis) can be related to the compression wave velocity

$$v_{maj} = 2\sqrt{\frac{E'}{\rho}} \tag{12}$$

480   where E' is the compression modulus. Note that the number 2 in the expression means that the

481   growth speed is double the wave velocity, as the slip surface grows in both the upslope and

482   downslope directions. Similarly, for the shear mode, the growth speed of the minor axis can be

483   related to the shear wave velocity

$$v_{min} = 2\sqrt{\frac{G}{\rho}} \tag{13}$$

484   For plane strain and undrained conditions, the compression modulus, $E' = E_{ps}$, is four times

485   the shear modulus (see equation (11)) and therefore, the major axis always doubles the minor

486   axis of the slip surface. This can be seen in Figure 14, where the major axes of the slip surfaces

487   are almost 3,000 m while the minor axes are around 1,500 m in both mechanisms.

488   Figure 15a shows the length (major axis) and width (minor axis) of the slip surface during its

489   growth for the selected case, compared with the analytical solutions given by equations (12)

490   and (13). It should be noted that the growth of the slip surface evolves from the stable to

491   unstable stages with the transition emerging at around $t = 4$ s. During the stable growth stage,

492   both axes of the slip surface are assumed unchanged. With this idealisation, the growth of the

493   two axes of the slip surface in the numerical modelling can be well predicted by the analytical

494   solutions, with the growth speeds being 34 m/s and 68 m/s for the minor and major axes,

495   respectively. Such fast speeds reveal that unstable growth of the slip surface is catastrophic and

496   significantly differs from creep failure.

497   Figure 15b gives the area of the slip surface during its unstable growth for both mechanisms.

498   For the 'ellipse' mechanism, the area can be calculated exactly by

$$A = \frac{\pi}{4} l_x l_y \qquad (14)$$

499   which is shown by the good agreement between the numerical and analytical results in the

500   figure. The area of the ellipse slip surface is initially 1,962.5 m^2 and increases to 3.6 km^2 in 50

501   s. The peanut slip surface (5.3 km^2) is about 45% larger than the ellipse slip surface at $t = 50$ s.

502   The fast growth of the slip surface implies that during an earthquake, even with a short period

503   of shaking, a large slip surface with a magnitude of $\sim$ km^2 might be formed. Such a large slip

504   surface may further result in global slab failure and debris flow. Therefore, it is key to determine

505   in what conditions the slip surface can grow unstably, which will be discussed in the next sub-

506   section.

507   *Criteria for unstable growth of slip surface*

508   For a slip surface described by a series of functions (10), the area of the slip surface can be

509   calculated by

$$A = \frac{l_x l_y}{n} \cdot \frac{\Gamma(1 + 1/n)\Gamma(1/n)}{\Gamma(1 + 2/n)} \qquad (15)$$

510   where Γ is the gamma function. By integrating the normal and shear resistances along the

511   boundary of the slip surface, one may calculate the total resistance and compare it to the driving

512   force from the slip surface, whereby the critical area of the slip surface for unstable growth is

513   given by (Zhang et al. 2020)

$$A_{cri} = 32 \left(\frac{1-r}{r} l_c \right)^2 \tag{16}$$

514   where r is the shear stress ratio and l_c is the critical length relevant to the process zone size,

515   given by

$$r = \frac{\tau_g - s_{uw,r}}{s_{uw,p} - s_{uw,r}}, l_c = \sqrt{\frac{Gh\delta_r^p}{s_{uw,p} - s_{uw,r}}} \tag{17}$$

516   For static analysis, ignoring any inertia effects, the criterion (16) is conservative compared to

517   the numerical data from finite element and finite difference modelling (Zhang et al. 2020).

518   A parametric study was conducted to observe the effects of the shape parameter, n, and the

519   dimensions of the slip surface on the critical area for unstable slip surface growth. The gravity

520   loads and the critical surface areas at critical conditions for all cases are presented in Table 3.

521   Figure 16a shows a comparison of the numerical results with or without inertia effects and the

522   analytical results by criterion (16). In dynamic analysis conducted in the current study, the

523   critical area estimated by (16) is not always conservative, particularly with a large shear stress

524   ratio. Assuming that the dynamic criterion meets the same series of functions as the static

525   criterion (16), the best fit of the numerical data from the dynamic analysis gives

$$A_{cri} = 18.4 \left(\frac{1-r}{r} l_c \right)^2 \tag{18}$$

526   which is shown in Figure 16b. This means that the critical area of the slip surface for unstable

527   slip surface growth under dynamic conditions is on average 42.5% smaller than that ignoring

528   inertia effects. This echoes the finding that in a 2D plane strain slope, the critical length (major

529   axis) of slip surface for catastrophic propagation can be up to 50% lower with inertia effects

530   than without inertia effects (Zhang et al. 2016). Extending this observation to the 3D case, one

531   may simply assume for the dynamic unstable growth a 50% reduction in the critical area of the

532   slip surface from the static criterion (18), that is

$$A_{cri} = 16 \left(\frac{1-r}{r} l_c \right)^2 \tag{19}$$

533   Figure 16a shows that the criterion (19) gives estimates of the critical slip surface area well

534   below the numerical data and is therefore conservative.

Slip surface growth *vs* slab failure

536   Growth of the slip surface within the weak layer and slab failure within the overlying layer are

537   two competing mechanisms leading to large-scale landslides in sensitive soils. The extent of

538   the slip surface depends on how 'weak' the weak layer is. With the growth of the slip surface,

539   the driving force increases, and so does the deviatoric stress within the overlying layer given

540   by equations (26) and (27). Therefore, at a certain stage of the unstable slip surface growth, the

541   overlying soils may reach the maximum allowable deviatoric stress, initiating slab failure.

542   Figure 17 shows the increase of the maximum deviatoric stress of the overlying soils with the

543   growth of the slip surface. The peak undrained shear strength of the weak layer soil was fixed

544   at $s_{uw,p} = 10$ kPa, while the strength of the overlying soil, $s_{us,p}$, was varied between 10 kPa,

545   20 kPa, 50 kPa, 100 kPa and 1,000 kPa without strain softening. The other parameters remain

546   the same as those in Table 2. At the initial state, the deviatoric stress is 26 kPa, which is essential

547   to make the slip surface grow unstably from an initial area of 2,352 m^2 (with $l_x = 40$ m and

548    $l_y = 80$ m). In the case of $s_{us,p}/s_{uw,p} = 100$, the 'unrealistic' strong overlying layer leads to

549   the pure growth of the slip surface over the whole simulation domain (8,000 m × 6,000 m),

550   and the deviatoric stress keeps growing, as shown in the figure. For the other cases, the

551   deviatoric stress is limited to $2s_{us,p}$, which satisfies the generalised nature of the von Mises

552   failure criterion with respect to the Tresca failure criterion. The stronger the overlying soil, the

553   larger the slip surface at the initiation of slab failure. For example, the slip surface at the slab

554   failure initiation is as large as 1.1 km^2 in the case of $s_{us,p}/s_{uw,p} = 10$, while it is reduced by

555   2/3 when the undrained shear strength of the overlying soil is decreased by half. When the

556   weak layer is not literally 'weak', i.e., in the case of $s_{us,p}/s_{uw,p} = 1$, the slab failure is triggered

557   without unstable growth of the slip surface, as the required deviatoric stress (26 kPa) is

558   essentially higher than the maximum allowable value ($2s_{us,p} = 20$ kPa).

559   Figure 18 compares the slip surface and slab failure at $t = 50$ s for cases with different strength

560   ratios, $s_{us,p}/s_{uw,p} = 1, 2, 5, 10$. For the case of the strongest overlying layer ($s_{us,p}/s_{uw,p} = 10$

561   10), the slab failure initiates only at the rear and the sides of the growing slip surface. With the

562   decrease of the overlying soil strength, the downslope portion fails together with the upslope

563   portion. The slip surface pattern of the downslope portion does not alter significantly, although

564   the accumulated plastic strain in the overlying layer decreases with the increase of the overlying

565   soil strength. A 'peanut' slip surface mechanism remains for $s_{us,p}/s_{uw,p} = 2, 5, 10$ before the

566   slab failure; while for $s_{us,p}/s_{uw,p} = 1$, only the bottom half of the 'peanut' is developed as the

567   slab failure occurs early and stops the growth of the slip surface upslope. The upper half of the

568   slip surface, however, becomes flat and propagates less after the slab failure.

Effects of a 3D slope geometry

570   Three typical 3D slope types, as shown in Figure 5b, c and d, are considered in this section in

571   order to gain an initial insight into the slope geometry effects on the translational landslide

572   evolution. For the S-shape slope, the half-height of the slope in equation (7) was set to be the

573   same as for the planar slope, i.e., $H = 21$ m, and the maximum slope angle was taken as $\theta_c =$

574   9° such that the average slope angle within the range of -500 m $< y < 500$ m is equal to the

575   planar slope angle of 6°. For the convex slope, the values of R_t and R_b were set to 800 m and

576   1,200 m, respectively; while for the concave slope, $R_b = 800$ m and $R_t = 1,200$ m. The slope

577   angle of the convex and concave slope models is the same with the planar slope model.

578   Figure 19 compares the final states of the four slope models with respect to the fields of the

579   shear strength in the weak layer, the shear stress in the weak layer, the plastic strain in the

580   sliding layer, and the normalised sliding layer thickness. It can be noted that the far field gravity

581   shear stress fields (second row of Figure 19) strongly depend on the geometry of the problem.

582   The results of the planar, convex and concave slopes look very similar, with slightly more

583   horizontal slip surface growth observed in the convex slope and slightly more retrogressive

584   extension pertained in the concave slope, suggesting that the slope gradient along the x-

585   direction has limited influence on the landslide evolution. In contrast, the final slip surface and

586   mass transport deposit observed in the S-shape curvilinear slope are significantly different from

587   the other three models with less extended retrogressive failure and a smaller fan heave zone.

588   This confirms the conclusions from the previous studies (e.g., Puzrin et al. 2017, Zhang et al.

589   2021) that the slope gradient along the y-direction has a considerable effect on the landslide

590   evolution.

591   The examples shown here present an initial investigation of 3D post-failure behaviours of

592   submarine landslides in sensitive clays. Detailed investigation of the effects of the 3D slope

593   geometry on post-failure patterns is beyond the scope of the present work and will be explored

594   in future studies by using the proposed numerical tool.

595   **CONCLUSIONS**

596   This study has simulated and discussed the whole evolution of a translational landslide in a 3D

597   slope of sensitive soils by using an original large deformation numerical tool, in order to

598   understand the true failure mechanism and physical evolution of translational submarine

599   landslides and establish original criteria for analysing landslide initiation. The numerical

600   scheme has been established by solving governing equations in terms of the conservations of

601   the mass and the momentum of sliding mass in discretised Eulerian cells of a simulation domain

602 with a depth integrated finite volume method.

603 The complete evolution of submarine translational landslides in sensitive soils includes the slip
604 surface initiation and growth along a weak layer, slab failure, post-failure behaviours and re-
605 stabilisation. A slip surface might be formed and grow stably within a weak layer due to
606 extensive external triggers such as earthquakes and excess pore pressure accumulation. The
607 growth pattern depends on the shape of initial slip surface. For an initially wide slip surface,
608 the process zone first emerges in front and at the rear of the slip surface, whereas for an initially
609 slender slip surface, it occurs at the two sides. For an elliptical slip surface, the process zone
610 develops around the periphery of the slip surface without any favoured direction. The critical
611 area of the slip surface for unstable growth is almost independent of its shape but depends on
612 the material properties and shear stress ratio over the slip surface. For planar continental slopes,
613 it is given by $A_{\text{cri}} = 16 \left(\frac{1-r}{r} l_c \right)^2$ where the shear stress ratio, r, and characteristic length, l_c,
614 are expressed by equation (17).

615 Regardless of the initial shape, the slip surface transitions from an ellipse to a 'peanut' pattern
616 during the unstable growth stage, with expansion rates equal to compression wave velocity and
617 shear wave velocity along the major and minor axes of the slip surface, respectively. The global
618 slab failure usually initiates at the rear of the slip surface if the at-rest earth pressure coefficient
619 is smaller than unity. The stronger the overlying layer, the larger the slip surface before the
620 global slab failure. A main scarp forms at the rear of the slip surface after the slab failure, and
621 is followed by retrogression, which is limited by upslope slope flattening. The slide mass finally
622 re-deposits at the flat terrain with the mass transport deposit forming a fan zone. The differences
623 in the landslide failure extension and mass transport deposit morphology between the planar,
624 the convex, and the concave slopes of the same and uniform slope angle and parallel layering
625 characteristic of sediments were found to be insignificant. In contrast, in the curvilinear slope,

626 a significantly less extended failure upslope and a smaller fan heave zone downslope have been

627 observed.

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717

APPENDIX – NUMERICAL SCHEME FOR 3D MODELLING OF SUBMARINE

719 **LANDSLIDE EVOLUTION**

720 ***Governing equations***

721 Based on assumptions given in the subsection of 'governing equations', conservation of mass

722 in each cell can be expressed by

$$\frac{\partial h}{\partial t} + \frac{\partial hu}{\partial x} + \frac{\partial hv}{\partial y} = 0 \tag{20}$$

723 where h is the height of the cell, u and v are the velocity in the x- and y-directions (as shown

724 in Figure 2), respectively, and t is the elapsed time. Conservation of momentum in each cell is

725 given by

$$\frac{\partial hu}{\partial t} + \frac{\partial hu^2}{\partial x} + \frac{\partial h\sigma_x}{\rho\partial x} + \frac{\partial huv}{\partial y} - \frac{\partial h\tau_{xy}}{\rho\partial y} - \frac{\tau_{w,x} + \tau_{g,x} + \tau_{drag,x}}{\rho} = 0 \tag{21}$$

726 and

$$\frac{\partial hv}{\partial t} + \frac{\partial hv^2}{\partial y} + \frac{\partial h\sigma_y}{\rho\partial y} + \frac{\partial huv}{\partial x} - \frac{\partial h\tau_{xy}}{\rho\partial x} - \frac{\tau_{w,y} + \tau_{g,y} + \tau_{drag,y}}{\rho} = 0 \tag{22}$$

727 for the x- and y-directions, respectively. In the above equations, σ_x, σ_y and τ_{xy} are stress

728 components applied at the centre of the cell face, with the face normals parallel to the x or y

729 axis; $\tau_{w,x}$ and $\tau_{w,y}$ are weak layer (or slip surface) shear stress components; $\tau_{g,x}$ and $\tau_{g,y}$ are

730 gravity shear stress components at the buried depth of the weak layer; and $\tau_{drag,x}$ and $\tau_{drag,y}$

731 are drag shear stress components.

732 ***Stress components at cell face centre***

733 Usually, the stress tensor ($\boldsymbol{\sigma}$) describing the stress status at the centre of the cell face can be

734 decomposed into

$$\boldsymbol{\sigma} = \boldsymbol{s} + p \cdot \boldsymbol{I} \tag{23}$$

735   where $\boldsymbol{s}$ is the deviatoric stress tensor, p the mean stress and $\boldsymbol{I}$ the second-order identity tensor.

736   Note that the vertical normal stress component σ_z can be expressed by

$$\sigma_z = \frac{1}{2}\gamma' h \tag{24}$$

737   where γ' is the submerged unit weight of soils.

738   Similarly, the strain tensor ($\boldsymbol{\varepsilon}$) can be decomposed into

$$\boldsymbol{\varepsilon} = \boldsymbol{e} + \frac{\varepsilon_v}{3} \cdot \boldsymbol{I} \tag{25}$$

739   where $\boldsymbol{e}$ is the deviatoric strain tensor and ε_v the volumetric strain. It can also be divided into

740   the elastic and plastic portions, which will be denoted by superscripts 'e' and 'p', respectively,

741   in the remainder of the paper. Note that the volumetric strain satisfies $\varepsilon_v = \varepsilon_v^e = \varepsilon_v^p \to 0$, as

742   the undrained condition was maintained and the von Mises yield criterion with an associated

743   flow rule was used. Hence, one may write $\boldsymbol{\varepsilon} \cong \boldsymbol{e}$, $\boldsymbol{\varepsilon}^e \cong \boldsymbol{e}^e$, and $\boldsymbol{\varepsilon}^p \cong \boldsymbol{e}^p$. The elasticity of

744   materials is assumed linear and isotropic, and therefore the deviatoric stress tensor is expressed

745   by

$$\boldsymbol{s} = 2G\boldsymbol{e}^e \cong 2G\boldsymbol{\varepsilon}^e \tag{26}$$

746   where G is the shear modulus.

747   A modified von Mises yield criterion was adopted in order to consider isotropic and linear

748   strain softening, given by

$$q = max\left(1 - \frac{\varepsilon_s^p}{\varepsilon_{s,r}^p}, \ \frac{1}{S_t}\right) \cdot 2s_{us,p} \tag{27}$$

749   where $q = \sqrt{\frac{3}{2}}\|\boldsymbol{s}\|$ is the deviatoric stress, $s_{us,p}$ the peak undrained shear strength in the sliding

750   layer which can be measured from a triaxial element test; $\varepsilon_s^p = \int_0^t \sqrt{\frac{2}{3}}\|\dot{\boldsymbol{e}}^p\|dt$ the accumulated

751   plastic deviatoric strain; $\varepsilon_{s,r}^p$ the accumulated deviatoric strain to the residual shear strength; S_t

752   the soil sensitivity defining the ratio of the peak and residual shear strengths. $\varepsilon_{s,r}^p$ can be

753   determined from a triaxial test by $\varepsilon_{s,r}^p = \frac{2}{3}\gamma_r^p$ where γ_r^p is the plastic shear strain associated to

754   the residual undrained shear strength.

755   The softening and associated flow rules used for calculating stress components on the cell faces

756   are also depicted in Figure A1a. The solid circle represents the current yield surface in the

757   meridian plane while the dashed circle represents the softening yield surface. With an

758   incremental deviatoric strain tensor, soils may move from the initial elastic state A to the plastic

759   state B (see Figure A1a). An intermediate virtual state T outside the yield surfaces was assumed,

760   in order to calculate the plastic strain. According to equations (26) and (27), one may write

$$\boldsymbol{OA} = 2G e^{e,A};\ \boldsymbol{OB} = 2G e^{e,B};\ \boldsymbol{AT} = 2G\Delta e;\ \boldsymbol{BT} = 2G\Delta e^p;\ \boldsymbol{BT'} = \frac{4}{3}\frac{S_{us,p}}{\varepsilon_{s,r}^p}\Delta e^p \qquad (28)$$

761   where T' is the intersect of the line BT and the current yield surface. As the radius of the current

762   yield surface (the solid circle) is $R_{ys} = \sqrt{\frac{2}{3}}d(\varepsilon_s^p)$, the incremental plastic deviatoric strain can

763   then be calculated based on the geometric relationship, by

$$R_{ys} - \|\boldsymbol{BT'}\| = \|\boldsymbol{OT}\| - \|\boldsymbol{BT}\| \rightarrow \sqrt{\frac{2}{3}}d(\varepsilon_s^p) - \frac{4}{3}\frac{S_{us,p}}{\varepsilon_{s,r}^p}\|\Delta e^p\|$$

$$= 2G\|e^{e,A} + \Delta e\| - 2G\|\Delta e^p\| \qquad (29)$$

$$\rightarrow \|\Delta e^p\| = \frac{2G\|e^{e,A}+\Delta e\| - \sqrt{\frac{2}{3}}d(\varepsilon_s^p)}{2G - \frac{4S_{us,p}}{3}\frac{}{\varepsilon_{s,r}^p}}$$

764   Alternatively, it can be determined by forcing the deviatoric stress at state B (q^B) to fall at the

765   reduced yield surface, by

$$q^B = q^T - 3G\Delta\varepsilon_s^p = d(\varepsilon_s^p) - \frac{\Delta\varepsilon_s^p}{\varepsilon_{s,r}^p} 2s_{u,p} \rightarrow \Delta\varepsilon_s^p = \frac{q^T - d(\varepsilon_s^p)}{3G - 2\frac{s_{u,p}}{\varepsilon_{s,r}^p}} \tag{30}$$

766 where $q^T = 2\sqrt{\frac{3}{2}}G\|e^A + \Delta e\|$ is the deviatoric stress at the virtual state T. The elastic strain

767 tensor at the new state B is therefore

$$e^{e,B} = \left(1 - \frac{\|\Delta e^p\|}{\|e^A + \Delta e\|}\right)(e^{e,A} + \Delta e) \tag{31}$$

768 The stress tensors can then be fully solved through equations (23) to (26).

769 Non-negative value of incremental plastic strain requires

$$2G - \frac{4}{3}\frac{s_{us,p}}{\varepsilon_{s,r}^p} > 0 \rightarrow s_{us,p} < \frac{3}{2}G\varepsilon_{s,r}^p \tag{32}$$

770 The physical meaning of inequality (32) is that the softening rate $\frac{s_{u,p}}{\gamma_r^p}$ should be less than the

771 unloading shear modulus; otherwise, the portion of plastic shear strain transferred from the

772 initially elastic part (due to softening) may self-drive the softening process. Therefore, if

773 inequality (32) is not satisfied, the shear strength would be essentially reduced to the residual

774 even with little plastic deformation.

Shear stress at weak layer

776 Within the slip surface, the shear stress (τ_w) is limited to the current shear strength, which is

777 reduced during shearing, and given by

$$\tau_w = s_{uw}(\delta^p) = max\left(1 - \frac{\delta^p}{\delta_r^p}, \frac{1}{S_t}\right) \cdot s_{uw,p} \tag{33}$$

778 where $\delta^p = \int_0^t \|\dot{\delta}^p\| dt$ is the accumulated plastic shear displacement across the weak layer, δ_r^p

779 the value of δ^p at the residual shear stress, and $s_{uw,p}$ the peak undrained shear strength in the

780 weak layer. Ignoring displacement beneath the weak layer (Zhang et al. 2015), the horizontal

781 slide displacement can be related to the shear displacement across the weak layer by $\frac{u}{\cos\theta} =$

782   $\delta = \delta^e + \delta^p$ where θ is the slope angle and δ^e and δ^p the elastic and plastic portion of the

783   shear displacement, respectively.

784   Soils surrounding the slip surface are first mobilized elastically before reaching the yield stress

785   governed by equation (27), and the shear stress is increased to be larger than the initial value

786   caused by gravity. Considering a linear and isotropic elasticity model, the pre-peak shear stress

787   can be expressed by

$$\boldsymbol{\tau}_w = K\boldsymbol{\delta}^e \tag{34}$$

788   where K is the shear stiffness.

789   Figure A1b gives details of the constitutive model for weak layer soils in the $\tau_{w,x} - \tau_{w,y}$ plane,

790   where the strain softening behaviour is isotropic, i.e., reduction of shear strength in the x-axis

791   results in the same-magnitude reduction in the y-axis. Similar to equation (29), the incremental

792   plastic shear displacement can be given by

$$K\|\boldsymbol{\delta}^{e,A} + \Delta\boldsymbol{\delta}\| - K\|\Delta\boldsymbol{\delta}^p\| = s_{uw}(\delta^p) - \frac{s_{uw,p}}{\delta_r^p}\|\Delta\boldsymbol{\delta}^p\| \rightarrow \|\Delta\boldsymbol{\delta}^p\|$$

$$= \frac{K\|\boldsymbol{\delta}^{e,A} + \Delta\boldsymbol{\delta}\| - s_{uw}(\delta^p)}{K - \frac{s_{uw,p}}{\delta_r^p}} \tag{35}$$

793   Again, a non-negative value of $\|\Delta\boldsymbol{\delta}^p\|$ requires

$$K - \frac{s_{uw,p}}{\delta_r^p} > 0 \rightarrow s_{uw,p} < K\delta_r^p \tag{36}$$

794   Otherwise, the shear strength could be immediately reduced to the residual upon any small

795   plastic deformation.

796   The updated elastic shear displacement is therefore

$$\boldsymbol{\delta}^{e,B} = \left(1 - \frac{\|\Delta\boldsymbol{\delta}^p\|}{\|\boldsymbol{\delta}^{e,A} + \Delta\boldsymbol{\delta}\|}\right)(\boldsymbol{\delta}^{e,A} + \Delta\boldsymbol{\delta}) \tag{37}$$

797 and the weak layer stress (vector) can then be updated through equation (34).

Gravity and drag shear stresses

799 The gravity shear stress is given by

$$\tau_g = \gamma' h \sin\theta \tag{38}$$

800 where γ' is the submerged unit weight of soil.

801 Hydrodynamic pressure drag for a streamlined body like a submarine sliding mass is less

802 significant than the skin friction drag, and the latter can be approximated by (Norem et al. 1990,

803 Elverhoi et al. 2005)

$$\tau_{drag} = \frac{1}{2}C_f\rho_w v^2; \; C_f = \left(1.89 + 1.62\,log\frac{L}{k}\right)^{-2.5} \tag{39}$$

804 where C_f is the frictional drag coefficient, ρ_w is the seawater density, L is the sliding mass

805 length and k is the roughness length of the sliding mass surface in the range of 0.01–0.1 m. For

806 a length of the sliding mass varying between 10 and 1000 m, the friction drag coefficient falls

807 in the range of 0.005–0.016.

Finite volume scheme

809 Two layers of fixed meshes with the same mesh size and alignment were taken, as shown in

810 Figure A2a, with the top layer used for solving mass and momentum conservation equations

811 and the bottom layer tracking the changes in soil properties in the weak layer during slip surface

812 growth. A finite volume method with staggered grids, as shown in Figure A2b, was used to

813 integrate and solve the governing equations (1) to (3).

814 Let us consider a slope of a rectangular space domain Ω: $(0, L_x) \times (0, L_y)$ and a time interval

815 $(0, T)$. Dirichlet boundary conditions, i.e., $u = 0$ and $v = 0$, are prescribed representing

816   unaffected remote regions. The space domain is meshed with a grid of $N_x \times N_y$ cells, and the

817   cells of dimensions Δx and Δy are indexed by (i,j) where $i \in (0, N_x)$ and $i \in (0, N_y)$. The

818   centres of the bottom, top, left, and right edges of the cell (i,j) are denoted by $\left(i, j-\frac{1}{2}\right)$,

819    $\left(i, j+\frac{1}{2}\right)$, $\left(i-\frac{1}{2}, j\right)$, and $\left(i+\frac{1}{2}, j\right)$, respectively. The mass conservation is integrated and

820   solved over the cell, with the thickness of the sliding layer, h, and slope angle (topography), θ,

821   discretised at the cell centre. The velocity in the x-direction is discretised at the centre of the

822   edges normal to the x-direction, while the velocity in the y-direction is discretised at the centre

823   of the edges normal to the y-direction. The approximation of h at cell (i,j) and time t^n is

824   denoted by $h_{i,j}^n$. The approximation of u at the edge $\left(i+\frac{1}{2}, j\right)$ and time t^n is denoted by $u_{i+\frac{1}{2},j}^n$

825   while the approximation of v at the edge $\left(i, j+\frac{1}{2}\right)$ and time t^n is denoted $v_{i,j+\frac{1}{2}}^n$.

826   At time t^{n+1}, the mass conservation equation (1) is discretised as

$$h_{i,j}^{n+1} - h_{i,j}^n = \frac{\Delta t}{\Delta x}\left(qx_{i-\frac{1}{2},j}^n - qx_{i+\frac{1}{2},j}^n\right) + \frac{\Delta t}{\Delta y}\left(qy_{i-\frac{1}{2},j}^n - qy_{i+\frac{1}{2},j}^n\right) \tag{40}$$

827   where

$$qx_{i-\frac{1}{2},j}^n = \hat{h}_{i-\frac{1}{2},j}^n u_{i-\frac{1}{2},j}^n, \ \hat{h}_{i-\frac{1}{2},j}^n = \begin{cases} h_{i,j}^n & u_{i-\frac{1}{2},j}^n \leq 0 \\ h_{i-1,j}^n & u_{i-\frac{1}{2},j}^n > 0 \end{cases};$$

$$qy_{i,j-\frac{1}{2}}^n = \hat{h}_{i,j-\frac{1}{2}}^n v_{i,j-\frac{1}{2}}^n, \ \hat{h}_{i,j-\frac{1}{2}}^n = \begin{cases} h_{i,j}^n & v_{i,j-\frac{1}{2}}^n \leq 0 \\ h_{i,j-1}^n & v_{i,j-\frac{1}{2}}^n > 0 \end{cases}$$

$$\tag{41}$$

828   The momentum conservation in the x-direction, i.e., equation (2), is discretised as

$$h^{n+1}_{i+\frac{1}{2},j} u^{n+1}_{i+\frac{1}{2},j} - h^{n}_{i+\frac{1}{2},j} u^{n}_{i+\frac{1}{2},j}$$

$$= \frac{\Delta t}{\Delta x}\left(qx^{n}_{i,j}\hat{u}^{n}_{i,j} - qx^{n}_{i+1,j}\hat{u}^{n}_{i+1,j} \right)$$

$$+ \frac{\Delta t}{\Delta y}\left(qy^{n}_{i+\frac{1}{2},j-\frac{1}{2}}\hat{u}^{n}_{i+\frac{1}{2},j-\frac{1}{2}} - qy^{n}_{i+\frac{1}{2},j+\frac{1}{2}}\hat{u}^{n}_{i+\frac{1}{2},j+\frac{1}{2}} \right) \qquad (42)$$

$$+ \frac{\Delta t}{\rho\Delta y}\left(\tau^{n}_{xy,i+\frac{1}{2},j+\frac{1}{2}} h^{n}_{i+\frac{1}{2},j+\frac{1}{2}} - \tau^{n}_{xy,i+\frac{1}{2},j-\frac{1}{2}} h^{n}_{i+\frac{1}{2},j-\frac{1}{2}} \right)$$

$$+ \frac{\tau^{n}_{w,i+\frac{1}{2},j} + \tau^{n}_{g,i+\frac{1}{2},j} + \tau^{n}_{drag,i+\frac{1}{2},j}}{\rho}$$

829 where

$$h^{n}_{i+\frac{1}{2},j} = \frac{h^{n}_{i,j} + h^{n}_{i+1,j}}{2};$$

$$qx^{n}_{i,j} = \frac{qx^{n}_{i-\frac{1}{2},j} + qx^{n}_{i+\frac{1}{2},j}}{2}, \ \hat{u}^{n}_{i,j} = \begin{cases} u^{n}_{i+\frac{1}{2},j} & qx^{n}_{i,j} \leq 0 \\ u^{n}_{i-\frac{1}{2},j} & qx^{n}_{i,j} > 0 \end{cases};$$

$$qy^{n}_{i+\frac{1}{2},j-\frac{1}{2}} = \frac{qy^{n}_{i,j-\frac{1}{2}} + qy^{n}_{i+1,j-\frac{1}{2}}}{2}, \ \hat{u}^{n}_{i+\frac{1}{2},j-\frac{1}{2}} = \begin{cases} u^{n}_{i+\frac{1}{2},j} & qy^{n}_{i+\frac{1}{2},j-\frac{1}{2}} \leq 0 \\ u^{n}_{i+\frac{1}{2},j-1} & qy^{n}_{i+\frac{1}{2},j-\frac{1}{2}} > 0 \end{cases}; \qquad (43)$$

$$\tau^{n}_{xy,i+\frac{1}{2},j+\frac{1}{2}} h^{n}_{i+\frac{1}{2},j+\frac{1}{2}}$$

$$= \frac{\tau^{n}_{xy,i,j} h^{n}_{i,j} + \tau^{n}_{xy,i+1,j} h^{n}_{i+1,j} + \tau^{n}_{xy,i,j+1} h^{n}_{i,j+1} + \tau^{n}_{xy,i+1,j+1} h^{n}_{i+1,j+1}}{4}$$

830 The momentum conservation in the y-direction, i.e., equation (3), can be discretised in a similar

831 way.

832

833

834

List of tables

839

840

841   Table 1 Parameters for benchmark case

Parameter	Value	Unit
Length of slope	8,000	m
Maximum slope angle, θ_c	6	degrees
Half slope height, H	20	m
Shear modulus, G	662.25	kPa
Shear stiffness in the weak layer, K	1656	kPa/m
At-rest earth pressure coefficient, K_0	0.75	
Gravity acceleration, g	9.81	m/s^2
Saturated density, ρ	1870	kg/m^3
Peak undrained shear strength in weak layer, $s_{uw,p}$	10	kPa
Peak undrained shear strength in sliding layer, $s_{us,p}$	10, 20, 30, 100	kPa
Soil sensitivity in weak layer	5	
Soil sensitivity in sliding layer	1	
Residual plastic shear displacement, δ_r^p	0.2	m

842

843

844   Table 2 Base parameters for numerical cases

Parameter	Value	Unit
Overall model length, L	4,000	m
Overall model width, B	150	m
Slope angle, θ	6.0	degrees
Sliding layer thickness, h	8.0	m
Shear stiffness in weak layer, K	1,656	kPa/m
Shear modulus in sliding layer, G	500	kPa
Peak shear strength in weak layer, $s_{uw,p}$	10	kPa
Residual shear strength in weak layer, $s_{uw,r}$	2	kPa
Plastic shear displacement to the residual strength, δ_r^p	0.2	m
Plastic shear strain to the residual strength, $\varepsilon_{s,r}^p$	0.2	
At-rest lateral earth pressure coefficient, K_0	0.5	
Characteristic length[1], l_c	10	m
Submerged soil density, ρ	740	kg/m^3

845    $^1\ l_{\mathrm{c}} = \sqrt{\dfrac{Gh\delta_{\mathrm{r}}^{\mathrm{p}}}{\tau_{\mathrm{p}}-\tau_{\mathrm{r}}}}$

846

847

848   Table 3 Critical conditions for unstable slip surface growth by numerical analysis

Dimension of slip surface				Gravity load	
l_x (m)	l_y (m)	n	A (m²)	ρ (kg/m³)	r
First series: $l_y/l_x = 1$, $n = 2$					
10	10	2	79	1,170	0.943
20	20	2	314	1,070	0.841
40	40	2	1,257	870	0.637
60	60	2	2,827	730	0.494
80	80	2	5,027	640	0.403
100	100	2	7,854	580	0.341
120	120	2	11,310	540	0.301
140	140	2	15,394	500	0.260
160	160	2	20,106	470	0.229
180	180	2	25,447	450	0.209
200	200	2	31,416	430	0.189
Second series: $l_y/l_x = 2$, $n = 2$					
10	20	2	157	1,130	0.902
20	40	2	628	980	0.749
40	80	2	2,513	750	0.515
60	120	2	5,655	630	0.392
80	160	2	10,053	550	0.311
100	200	2	15,708	500	0.260
120	240	2	22,619	460	0.219
140	280	2	30,788	430	0.189
Third series: $l_y/l_x = 3$, $n = 2$					
10	30	2	236	1,090	0.862
20	60	2	942	910	0.678
40	120	2	3,770	680	0.443
60	180	2	8,482	570	0.331
80	240	2	15,080	500	0.260
100	300	2	23,562	460	0.219
120	360	2	33,929	430	0.189
Fourth series: $l_y/l_x = 0.5$, $n = 2$					
20	10	2	157	1,130	0.902
40	20	2	628	990	0.760
80	40	2	2,513	770	0.535
120	60	2	5,655	640	0.403
160	80	2	10,053	560	0.321
200	100	2	15,708	510	0.270
240	120	2	22,619	470	0.229
280	140	2	30,788	450	0.209
Fifth series: $l_y/l_x = 2$, $n = 1$					
20	40	1	400	1,040	0.811
40	80	1	1,600	830	0.596
60	120	1	3,600	700	0.464
80	160	1	6,400	610	0.372
100	200	1	10,000	550	0.311
120	240	1	14,400	510	0.270
Sixth series: $l_y/l_x = 2$, $n = 10$					
20	40	10	789	950	0.719
40	80	10	3,154	720	0.484
60	120	10	7,097	590	0.352
80	160	10	12,617	520	0.280
100	200	10	19,715	470	0.229
120	240	10	28,389	440	0.199

849

List of figures

Figure 1 Conceptual evolution of submarine landslides: (a) local slip surface in weak layer; (b) slip surface growth; (c) global slab failure; (d) post-failure behaviour
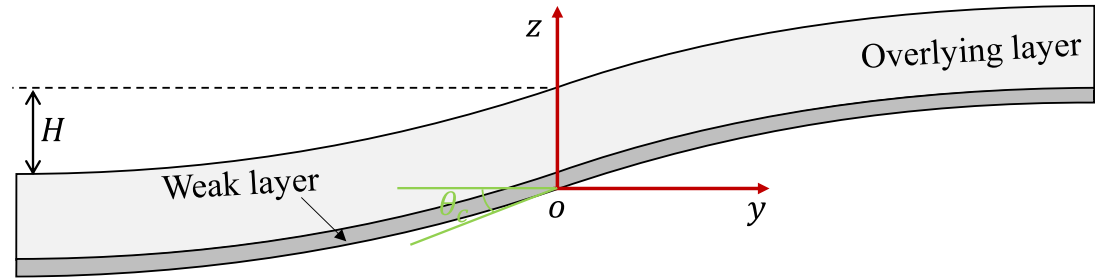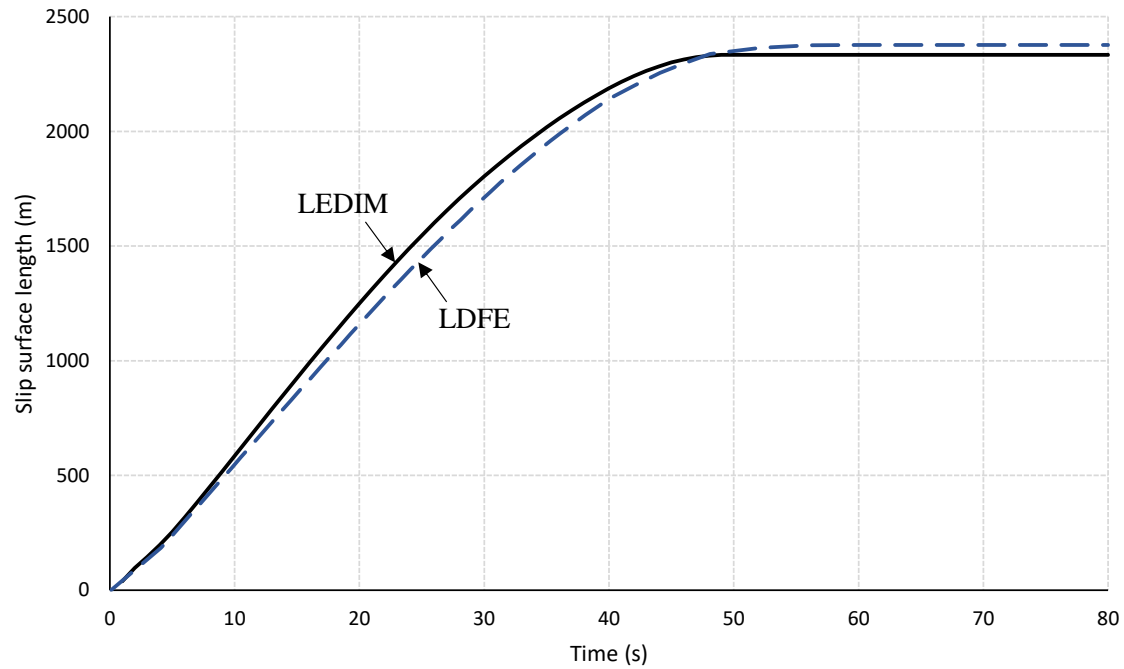
895
896 Figure 2 Discretisation and velocity and stress components of numerical model (bathymetry image shows the main scar of the Loch Eriboll
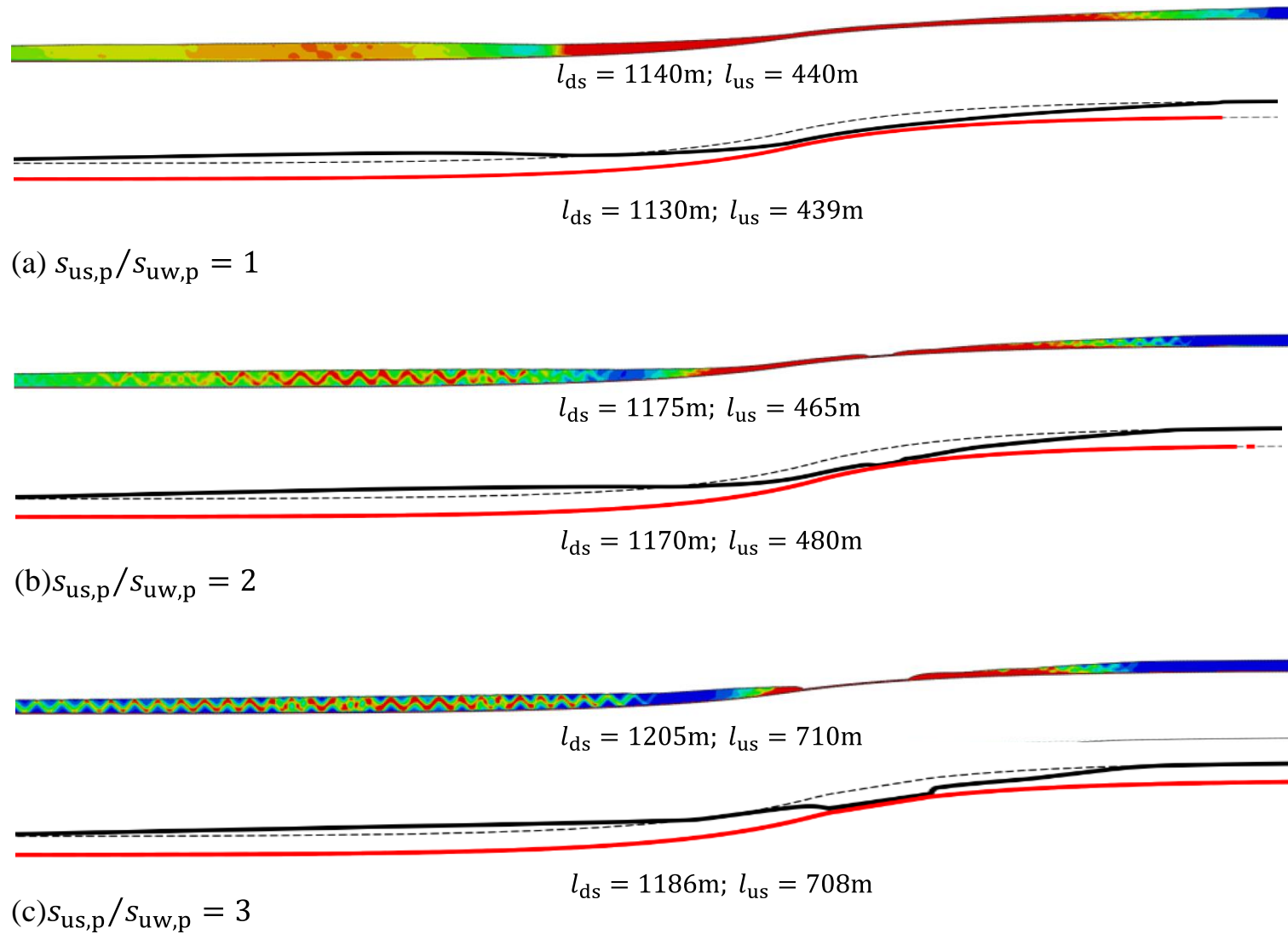897 Slide, after Carter et al. 2020)

(a)

(b)

Figure 3 (a) Model used for verification case: 2D slope with 1D slip surface growth; (b) comparison of slip surface length obtained by large deformation finite element (LDFE) modelling and by the proposed depth integrated numerical scheme

$l_{ds} = 1140m; \ l_{us} = 440m$

$l_{ds} = 1130m; \ l_{us} = 439m$

(a) $s_{us,p}/s_{uw,p} = 1$

$l_{ds} = 1175m; \ l_{us} = 465m$

$l_{ds} = 1170m; \ l_{us} = 480m$

(b) $s_{us,p}/s_{uw,p} = 2$

$l_{ds} = 1205m; \ l_{us} = 710m$

$l_{ds} = 1186m; \ l_{us} = 708m$

(c) $s_{us,p}/s_{uw,p} = 3$

904

905   Figure 4 A comparison of two-dimensional post-failure configuration by large deformation finite element (LDFE) modelling and proposed depth
906   integrated numerical scheme

Figure 5 Conceptual 3D submarine slope models used in the study: (a) planar; (b) S-shape; (c) convex; and (d) concave

910

(a)

(b)

(c)

911

Figure 6 A typical case with stable growth of slip surface: (a) shear strength contour; (b) distributions of shear strength along cross sections parallel to *x-axis*; and (c) distributions of shear strength along cross sections parallel to y-axis

914
915　　　Figure 7 Evolution of shear strength (top row) and shear stress (bottom row) contours during stable growth of slip surface for a typical case

916

917 Figure 8 (a) Shear strength contours, (b) horizontal velocity contours and (c) vertical velocity
918 contours for the case of free movement in x direction (perpendicular to the travel direction);
919 and (d) shear strength contours for the case of restricted movement in x direction

920

921

Figure 9 Contours of shear strength in weak layer and plastic shear strain in sliding layer for

the case with $s_{\mathrm{us,p}}/s_{\mathrm{uw,p}} = 1$ and $K_0 = 0.5$

Shear strength in weak layer (kPa)

Plastic shear strain in sliding layer

Normalized sliding layer thickness

Continental shelf – 0°

Continental slope – 6°

Continental rise – 0°

t=0s t=10s t=30s t=60s t=130s

924

925   (a)

Loch Eriboll Slide, Scotland

Water Depth (mbsl)
-10.00
-30.00
-50.00
-70.00

N

926

927   (b)

928   Figure 10 (a) Post-failure evolution of submarine landslides in terms of contours of shear strength in weak layer, plastic shear strain in sliding

929   layer and normalised sliding layer thickness; (b) similar submarine landslide morphology discovered in the Loch Eriboll Slide (Carter et al.

930   2020)

$l_x = 10m, l_y = 30m$ $l_x = 20m, l_y = 60m$ $l_x = 30m, l_y = 90m$ $l_x = 40m, l_y = 120m$

$l_x = 10m, l_y = 20m$ $l_x = 20m, l_y = 40m$ $l_x = 30m, l_y = 60m$ $l_x = 40m, l_y = 80m$

$l_x = 20m, l_y = 10m$ $l_x = 40m, l_y = 20m$ $l_x = 60m, l_y = 30m$ $l_x = 80m, l_y = 40m$

931

932   Figure 11 Stable growth of slip surface with different sizes and shapes of initiation zone

933

934

935   Figure 12 Distributions of shear strength along the *x*- and *y-axes during* stable growth of slip surface with different sizes and shapes

936

(a)

937
938

(b)

939
940

Figure 13 Three slip surface growth modes: (a) compression-extension mode; (b) shear mode; and (c) combined mode

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Figure 14 Two mechanisms for unstable growth of slip surface

947

948
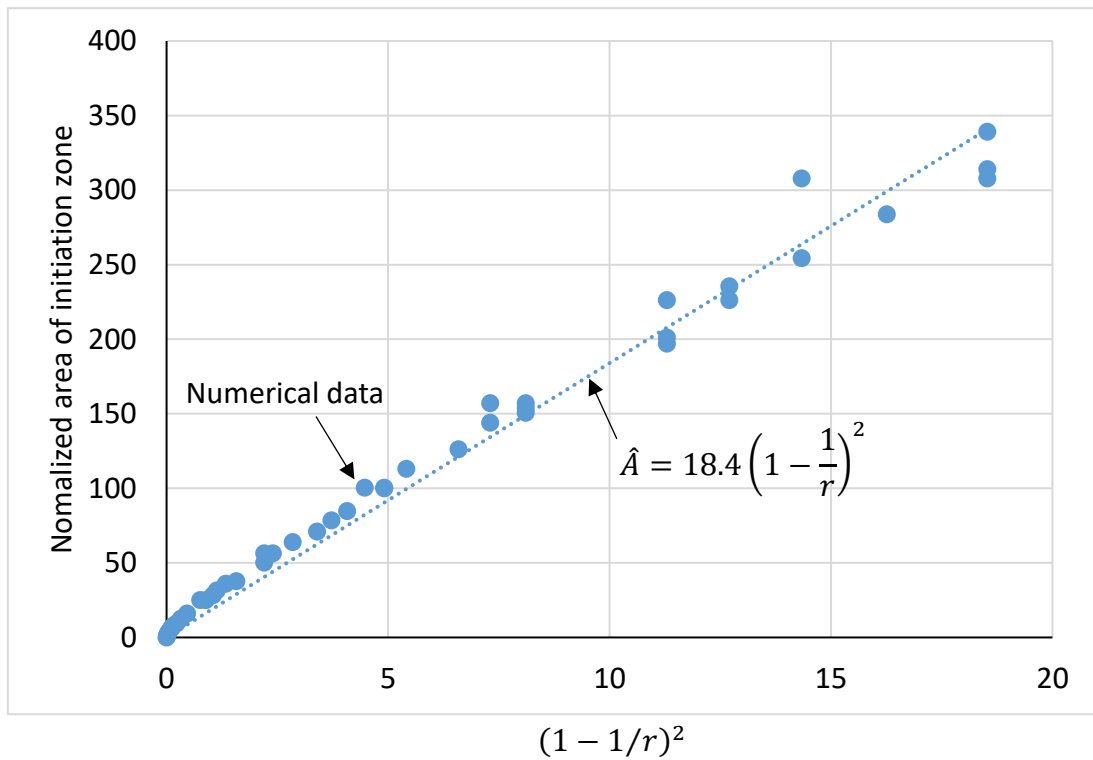
949

950

951

952

(a)

953

954

(b)

955   Figure 15 Speed of slip surface growth in terms of (a) major and minor axis lengths and (b)
956   area of slip surface

957

958
959 (a)

960
961 (b)

962 Figure 16 (a) Critical area of slip surface for unstable growth by numerical and analytical
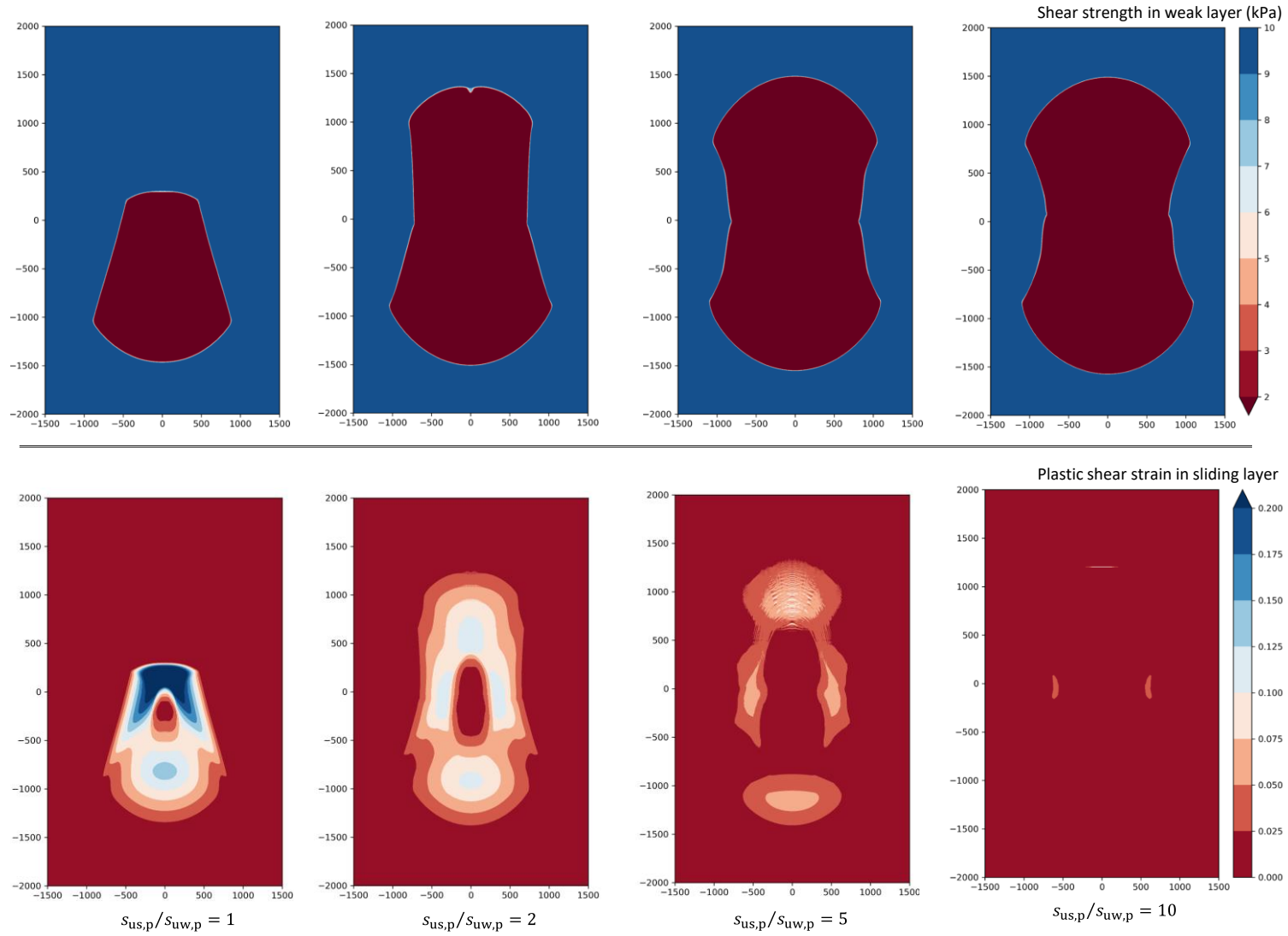963 analyses; and (b) best fitting of numerical data

964

965

966   Figure 17 Maximum deviatoric stress in the sliding layer during unstable growth of slip

967   surface

968

Figure 18 Contours of shear strength in weak layer and plastic shear strain in sliding layer for cases with different strength ratios $s_{us,p}/s_{uw,p}$
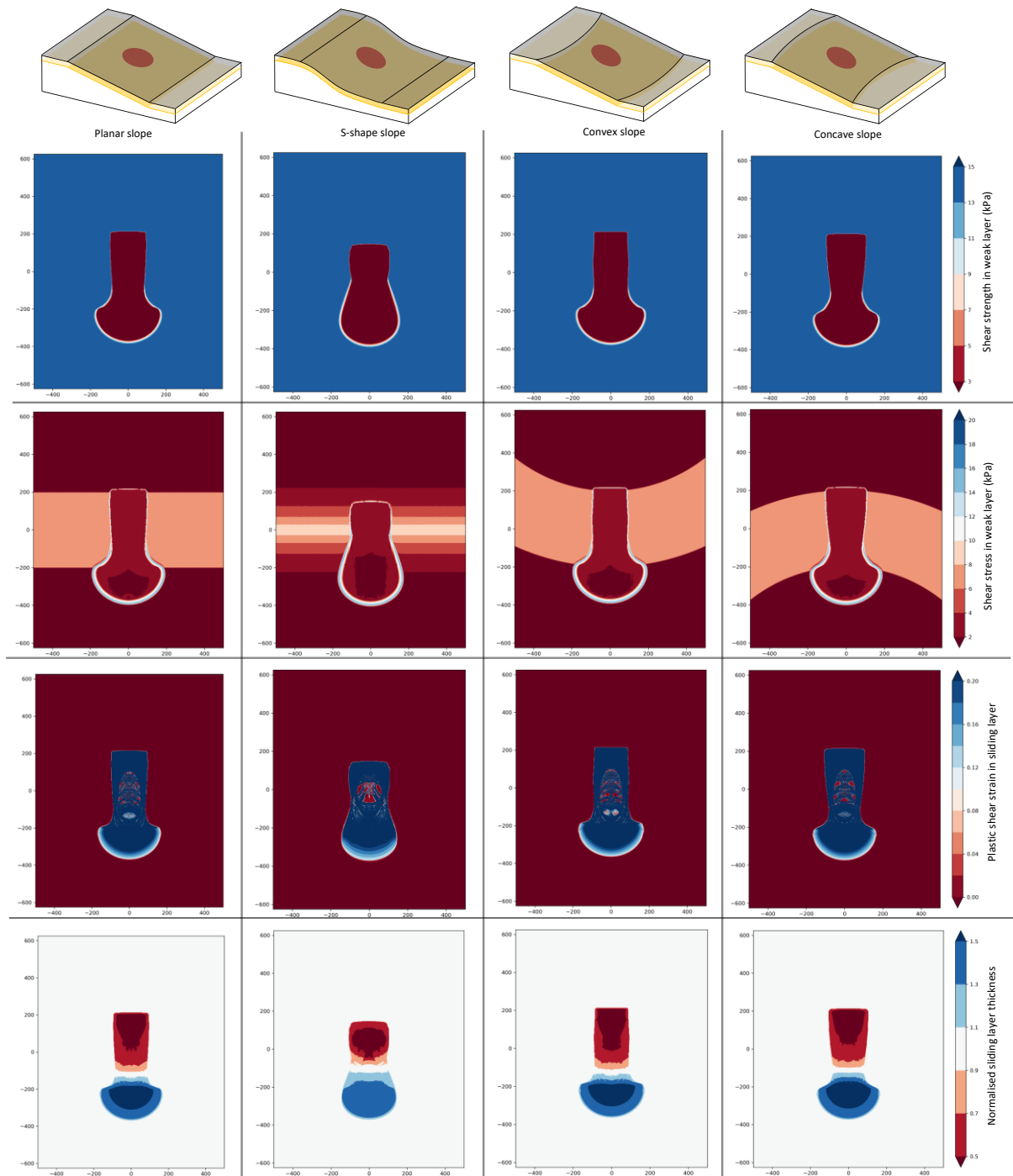
970

Figure 19 3D slope geometry effect on the ultimate slip surface growth and morphology of
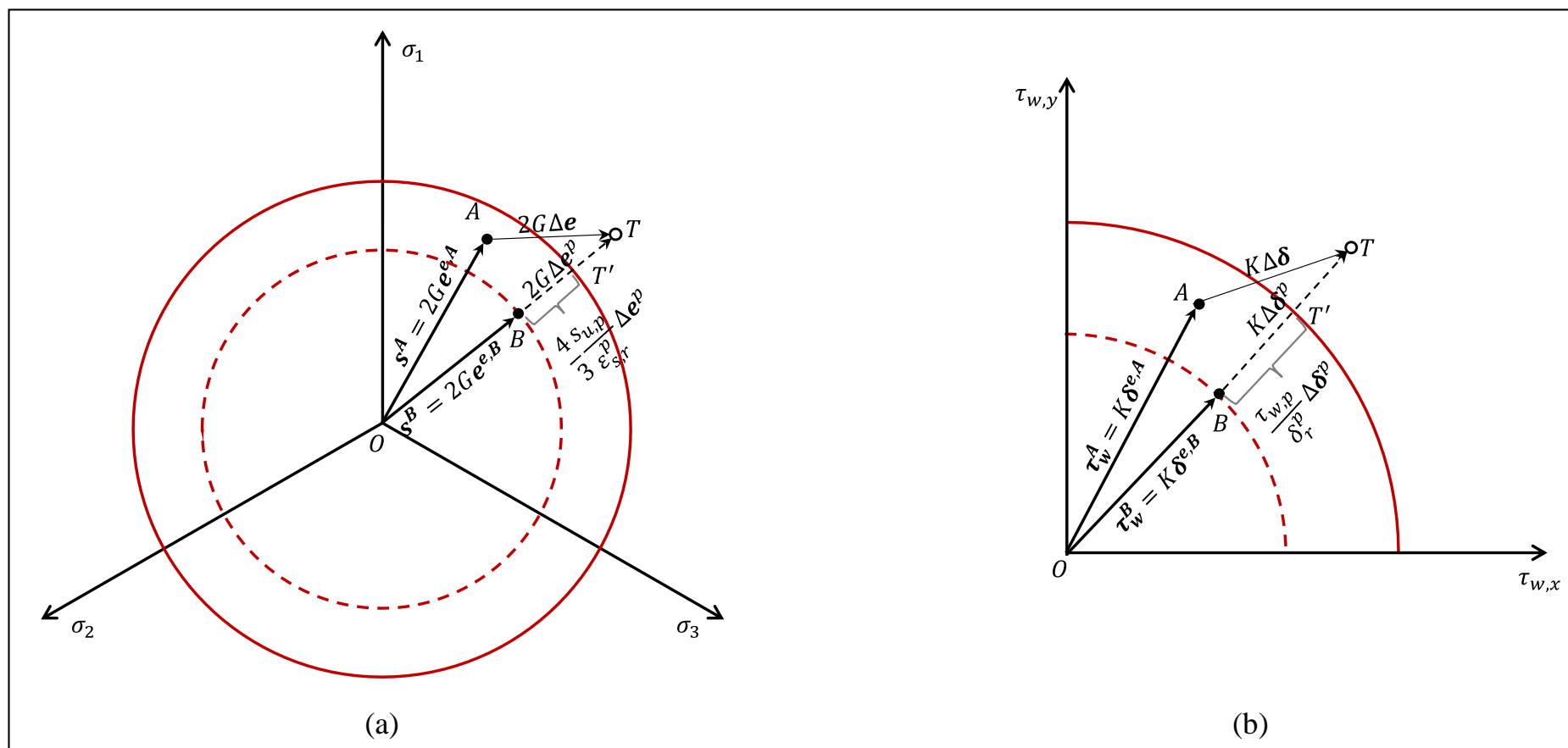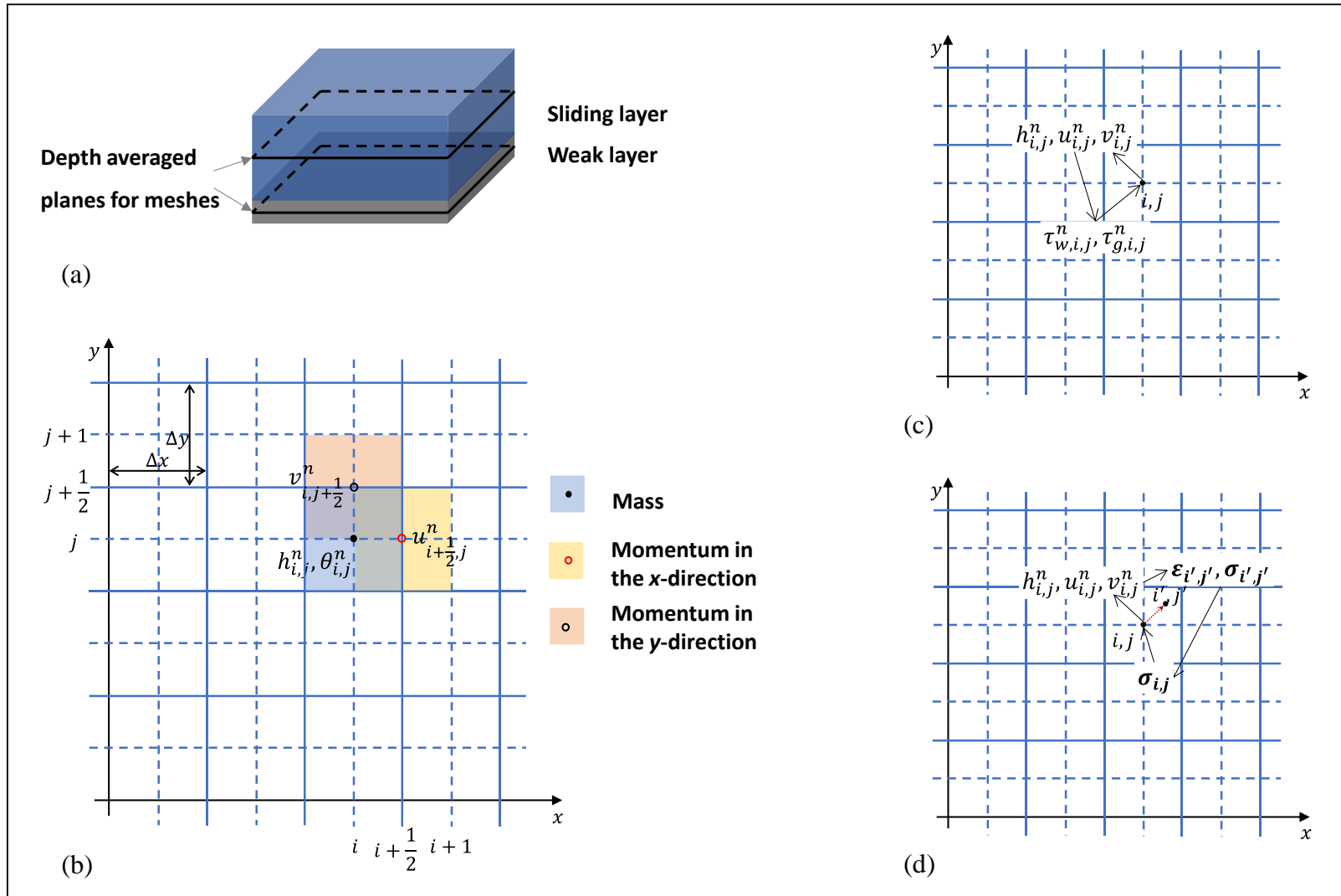
the mass transport deposit in translational landslides

Figure A1 Constitutive models of soils in (a) sliding layer and (b) weak layer

976

Figure A2 (a) Schematics of depth integrated model; (b) staggered mesh scheme; (c) update of properties for the fixed weak layer; and (d) update

977

978 of properties for the movable sliding layer