

# Impact of Rocket Launch and Space Debris Air Pollutant Emissions on Stratospheric Ozone and Global Climate

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November 16, 2022

## Abstract

Detailed examination of the impact of modern space launches on the Earth's atmosphere is crucial, given booming investment in the space industry and an anticipated space tourism era. We develop air pollutant emissions inventories for rocket launches and re-entry of reusable components and debris in 2019 and for a speculative space tourism scenario based on the recent billionaire space race. This we include in the global GEOS-Chem model coupled to a radiative transfer model to determine the influence on stratospheric ozone ( $O_3$ ) and climate. Due to recent surge in re-entering debris and reusable components, nitrogen oxides from ablation and chlorine from solid fuels contribute equally to all stratospheric  $O_3$  depletion by contemporary rockets. Decline in global stratospheric  $O_3$  is small (0.01%), but reaches 0.15% in the upper stratosphere ( $\sim 5$  hPa, 40 km) in spring at 60-90°N after a decade of sustained  $5.6\% \text{ a}^{-1}$  growth in 2019 launches and re-entries. This increases to 0.22% with a decade of emissions from space tourism rockets, undermining  $O_3$  recovery achieved with the Montreal Protocol. Rocket emissions of black carbon (BC) produce substantial global mean warming of  $8 \text{ mW m}^{-2}$  after just 3 years of routine space tourism launches. This is a much greater contribution to global radiative forcing (6%) than emissions (0.02%) of all other BC sources, as warming per unit mass emitted is  $\sim 500$  times more than surface and aviation sources. The  $O_3$  damage and warming we estimate should motivate regulation of an industry poised for rapid growth.

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# Impact of Rocket Launch and Space Debris Air Pollutant Emissions on Stratospheric Ozone and Global Climate

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Key Points:

- Air pollutant emission inventory for current space sector and future tourism input to a coupled chemistry and radiative transfer model.
- Upper stratospheric Arctic ozone loss from launch chlorine and ablation nitrogen oxide emissions undermines Montreal Protocol success.
- Warming efficiency of space tourism black carbon (soot) emissions about 500-times greater than surface and aircraft sources of soot.

Abstract

Detailed examination of the impact of modern space launches on the Earth's atmosphere is crucial, given booming investment in the space industry and an anticipated space tourism era. We develop air pollutant emissions inventories for rocket launches and re-entry of reusable components and debris in 2019 and for a speculative space tourism scenario based on the recent billionaire space race. This we include in the global GEOS-Chem model coupled to a radiative transfer model to determine the influence on stratospheric ozone ( $O_3$ ) and climate. Due to recent surge in re-entering debris and reusable components, nitrogen oxides from ablation and chlorine from solid fuels contribute equally to all stratospheric  $O_3$  depletion by contemporary rockets. Decline in global stratospheric  $O_3$  is small (0.01%), but reaches 0.15% in the upper stratosphere ( $\sim 5$  hPa, 40 km) in spring at 60-90°N after a decade of sustained 5.6%  $a^{-1}$  growth in 2019 launches and re-entries. This increases to 0.22% with a decade of emissions from space tourism rockets, undermining  $O_3$  recovery achieved with the Montreal Protocol. Rocket emissions of black carbon (BC) produce substantial global mean warming of 8 mW  $m^{-2}$  after just 3 years of routine space tourism launches. This is a much greater contribution to global radiative forcing (6%) than emissions (0.02%) of all other BC sources, as warming per unit mass emitted is  $\sim 500$  times more than surface and aviation sources. The  $O_3$  damage

and warming we estimate should motivate regulation of an industry poised for rapid growth.

### Plain Language Summary

It is imperative that we understand the current and future risks to Earth’s atmosphere posed by pollution from rocket launches and ablation of reusable and discarded rocket parts and historical debris. Rockets, unlike other anthropogenic pollution sources, emit gaseous and solid chemicals directly into the upper atmosphere. We compile inventories of these chemicals from rocket launches in 2019 and projections of future commercial and space tourism launches. We incorporate these in a 3D atmospheric chemistry model to simulate the impact on climate and the protective stratospheric ozone layer. We find that loss of ozone due to current rockets is small, but that routine space tourism launches may undermine progress made by the Montreal Protocol in reversing ozone depletion in the Arctic springtime upper stratosphere. The black carbon (or soot) particles from rockets are also of great concern, as these are almost five hundred times more efficient at warming the atmosphere than all other sources of soot combined. These findings demonstrate an urgent need to develop environmental regulation to mitigate damage from this rapidly growing industry.

### 1 Introduction

The space industry is one of the world’s fastest growing sectors. Global revenue generated from this industry is forecast to grow from 350 million USD in 2019 to more than 1 trillion USD by 2040 (Morgan Stanley, 2020). This demand stems from significantly reduced launch costs driven by commercialization (Jones, 2018), increased reliance on satellite technologies for global positioning systems, surveillance and broadband internet (Alvino et al., 2019, Dolgoplov et al., 2018, George, 2019), and postulated space resource extraction (Hein et al., 2020) and militarization (Quintana, 2017). To meet growing demand, new spaceports and launch vehicle companies are being established in historically aeronautically active nations such as the US and Russia, and in nations with emerging space sectors such as China and India (Roberts, 2019, Patel, 2019). In 2021, commercial space flights by Virgin Galactic (Gorman, 2021), Blue Origin (Johnson, 2021), and SpaceX (Wattles, 2021) demonstrated that space tourism is plausible, though the scale of this nascent industry is uncertain. Such rapid growth demands detailed understanding of the potential impact on the protective stratospheric ozone ( $O_3$ ) layer and climate.

Orbital rockets require multiple stages to achieve thrust through the Earth’s atmosphere. At the end of each stage, spent booster or rocket stages separate from the central launch vehicle and are either discarded or reused. Propulsion is achieved with a fuel and an oxidizer (collectively the ‘propellant’). The four most common fuels are kerosene, hypergolic fuels, liquid hydrogen (cryogenic), and solid fuels. Combustion emissions common to all propellants include water vapour ( $H_2O$ ) and nitrogen oxides ( $NO_x = NO + NO_2$ ) (Dallas et al., 2020). Other pollutants include black carbon (BC) from carbon-based solid and hyper-

golic fuels and kerosene, and alumina particles ( $\text{Al}_2\text{O}_3$ ) and gaseous chlorine (Cl) from solid fuels (Dallas et al., 2020). Rockets are unique among anthropogenic sources, due to direct injection of pollutants to all atmospheric layers. Crewed and reusable rockets, historical space debris and discarded rocket components also emit thermal  $\text{NO}_x$  from ablation on re-entry through the mesosphere (Larson et al., 2017, Park and Rakich, 1980).

Almost all these emitted pollutants deplete stratospheric  $\text{O}_3$  via gas-phase reactions or by promoting heterogeneous Cl-activated  $\text{O}_3$  loss on aerosol or cloud surfaces (Ross et al., 2009). Cl depletes  $\text{O}_3$  and  $\text{Al}_2\text{O}_3$  enhances Cl-activated  $\text{O}_3$  loss by an order of magnitude more than an equal mass of stratospheric sulfate aerosols (Jackman et al., 1998, Danilin et al., 2001b, Danilin et al., 2001a). Direct injection of  $\text{H}_2\text{O}$  to the stratosphere may enhance  $\text{O}_3$  loss via gas-phase reactions or by contributing to formation of polar stratospheric clouds (PSCs) (Kirk-Davidoff et al., 1999). Concerted measurement and modelling studies in the 1980s and 1990s determined that complete  $\text{O}_3$  destruction occurs in the wake of plumes of solid and kerosene propelled rockets (Ross et al., 2000), but that this local effect is negligible compared to  $\text{O}_3$  destruction by the dispersed emissions (Prather et al., 1990, Danilin et al., 2001b). Global depletion of stratospheric  $\text{O}_3$  determined to first order and with early generation chemistry transport models (CTMs) is small (0.01-0.1%) in comparison to  $\text{O}_3$  depleting substances like chlorofluorocarbons (1-2%) (Danilin et al., 2001a, Jackman et al., 1996, Jackman et al., 1998, Prather et al., 1990, Ross et al., 2009, Braesicke, 2018). Based on these studies, launch rates would need to increase by at least a factor of ten to match the impact of regulated  $\text{O}_3$  depleting substances (Ross et al., 2009, Braesicke, 2018). The space sector has evolved substantially since these estimates were obtained to include private companies and national and regional space agencies in Asia, The Middle East, Europe, and Australasia with an increasing proportion of launches in the tropics and subtropics (ref).

Assessment of  $\text{O}_3$  loss due to thermal  $\text{NO}_x$  emissions from ablation of space debris and reusable components re-entering the atmosphere is limited. There has been substantial build-up of space debris and increased use of reusable rockets, though Larson et al. (2017) determined that annual launches of reusable rockets would need to reach 100,000 for re-entry thermal  $\text{NO}_x$  from reusable stages to cause a 0.5 % decline in global stratospheric  $\text{O}_3$ . This is three to four orders of magnitude more than annual re-entries by the SpaceX Falcon9 reusable boosters. Even so, space debris is a pressing concern due to recent exponential growth in the amount of debris in orbit (European Space Agency, 2021). Lengthening orbital lifetimes due to anthropogenically driven cooling and consequent contraction of the upper atmosphere may increase space debris collisions (Brown et al., 2021) leading to more uncontrolled re-entry ablation emissions. The uncertain size of the space tourism industry is also a concern, as these use vehicles and reusable rocket stages that produce thermal  $\text{NO}_x$  due to ablation on re-entry.

Short-lived climate forcers emitted by rockets also offset the radiative balance

of the atmosphere, predominantly due to absorption of incident shortwave solar radiation by BC from kerosene and other hydrocarbon-based fuels. Ross and Sheaffer (2014) determined to first-order an approximate stratospheric warming of  $16 \pm 8 \text{ mWm}^{-2}$  due to a year of emissions from a fleet of rockets burning equal amounts of kerosene, hypergolic, and cryogenic fuels. This warming was dominated by BC (70 %) from kerosene combustion. The remainder (28%) was due to solid rocket emissions of  $\text{Al}_2\text{O}_3$  particles absorbing more upwelling longwave radiation than the incoming sunlight reflected by the particles. Warming by the greenhouse gases  $\text{H}_2\text{O}$  and  $\text{CO}_2$  was minor (Ross and Sheaffer, 2014).

The space sector remains unregulated by international treaties such as the Montreal Protocol (Ross et al., 2009) and the global impact of air pollutant emissions from rocket exhausts and re-entry burn of heat shields, spent rockets, and space junk on atmospheric composition and climate is yet to be assessed with a detailed, global, 3D CTM for the modern space sector and for plausible space tourism offerings. Here we compile an inventory of air pollutant emissions from recent (2019) rocket launches, and re-entries of reusable and discarded rocket components and reported space debris, as well as for a speculative space tourism industry. We implement these in the global GEOS-Chem CTM coupled to the Rapid Radiative Transfer Model for Global climate model (RRTMG) to determine the effect of rocket launch and re-entry ablation emissions on stratospheric  $\text{O}_3$  and global radiative forcing.

## 2 Methods

### 2.1 Emission Inventory Development

The number of rocket launches per year has increased steadily since a lull in the mid-2000s, from 58 launches in 2003 to over 100 launches in 2018 and 2019 (Figure S1), an average increase of three launches each year or  $5.6 \text{ \% a}^{-1}$ . To create an emissions inventory of modern-era rocket launches, we compiled details of the timing, geolocation, and rocket mass of all 2019 launches and crewed re-entries from the Space Launch Report database (Kyle, 2020) and re-entries of debris and spent upper rocket stages from The Aerospace Corporation (2020). Additional details are in the Supporting Information.

We use a standard approach to calculate emissions of pollutants from rocket launches in 2019, that is, the product of the activity factors (amount of fuel burned at each rocket stage) and reported emission factors of pollutants for the types of fuel used (mass of pollutant emitted per mass of fuel burned). We reasonably assume that all fuel is utilised at each launch stage. Even the Falcon 9 reusable first stage rocket only reserves  $\sim 6 \text{ \%}$  of total fuel mass for controlled re-entry and landing (Kim et al., 2021). Emissions from heat-shield ablation of returning crewed spacecraft and rocket components should be parameterized using re-entry velocity, trajectory, surface area and mass (Park et al., 2021), but only mass is readily available. We determine  $\text{NO}_x$  emissions equivalent to 17.5 % of the mass of each returning component. This is consistent with Larson et al. (2017) and is based on estimates for NASA Space Shuttle re-entries (Park and

Rakich, 1980). We model complete ablation of rocket stages discarded above 50 km during launch, controlled payload re-entries and unplanned re-entry of space debris, resulting in  $\text{NO}_x$  emissions equivalent to 100 mass %. Additional organic and inorganic pollutants form due to the complex range of chemical matrices of rocket propellants and parts and extreme temperatures during both launch and re-entry (Park et al., 2021), but there are only reported emission factors for the most common air pollutants (Cl, HCl, BC,  $\text{H}_2\text{O}$ ,  $\text{Al}_2\text{O}_3$  and  $\text{NO}_x$ ).

We also calculate emissions for a speculative scenario of annual space tourism offerings by Virgin Galactic, Blue Origin and SpaceX. Only Virgin Galactic has announced plans to offer 400 flights each year (Sheetz, 2020). Given this, we determine emissions for daily suborbital launches by Virgin Galactic and Blue Origin and weekly orbital launches by SpaceX. Virgin Galactic includes an aircraft that reaches 14 km altitude using standard jet fuel, before the rocket (spaceplane) burns a hybrid propellant of solid rubber (hydroxyl-terminated polybutadiene or HTPB) fuel and liquid nitrous oxide oxidizer producing  $\text{NO}_x$ ,  $\text{H}_2\text{O}$  and BC. The single stage Blue Origin rocket burns liquid hydrogen and oxygen and so emits  $\text{H}_2\text{O}$  and  $\text{NO}_x$ . SpaceX uses a two-stage Falcon 9 series rocket (Table S1) that burns kerosene fuel emitting  $\text{NO}_x$ ,  $\text{H}_2\text{O}$  and BC. We also include 17.5 mass % re-entry  $\text{NO}_x$  of the returning Virgin Galactic spaceplane, Blue Origin pod and rocket, and SpaceX orbital capsule and first stage rocket. All space tourism launches are modelled to occur in the morning local solar time at the demonstration launch sites in New Mexico for Virgin Galactic, Texas for Blue Origin, and California for SpaceX.

## 2.2 Implementation of launch and re-entry emissions in GEOS-Chem

We use GEOS-Chem version 12.9.3 (<https://doi.org/10.5281/zenodo.3959279>, accessed 8 August 2020) coupled to RRTMG to simulate global atmospheric composition due to rocket exhaust and re-entry ablation emissions. The model is run at  $4^\circ$  latitude  $\times$   $5^\circ$  longitude ( $\sim 400$  km  $\times$  500 km) horizontal resolution over 47 vertical layers from the Earth’s surface to the lower mesosphere (0.01 hPa;  $\sim 80$  km). The model is driven with the NASA offline Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2) meteorology and includes coupled  $\text{HO}_x$ - $\text{NO}_x$ -VOC- $\text{O}_3$ -halogen-aerosol tropospheric chemistry. Stratospheric chemistry is represented with the Unified tropospheric-stratospheric Chemistry eXtension (UCX) scheme (Eastham et al., 2014). We add  $\text{Al}_2\text{O}_3$  as a transported tracer and include in UCX the heterogeneous Cl activation reaction on  $\text{Al}_2\text{O}_3$  between chlorine nitrate ( $\text{ClONO}_2$ ) and hydrochloric acid (HCl), forming nitric acid ( $\text{HNO}_3$ ) and diatomic chlorine ( $\text{Cl}_2$ ) (Molina et al., 1997). Mesospheric chemistry in GEOS-Chem includes simple linearised chemistry for  $\text{O}_3$  (McLinden et al., 2000) and monthly mean production and loss rate constants for other trace gases (Murray et al., 2012). We do not account for complete  $\text{O}_3$  loss in the wake of rocket plumes (Ross et al., 2000), as the effect on global  $\text{O}_3$  is at least an order of magnitude less than  $\text{O}_3$  depletion due to global long-term accumulation of rocket pollutants (Danilin et al., 2001a). The RRTMG code calculates shortwave and longwave direct radiative forcing

at the top-of-the-atmosphere due to attenuation of radiation by trace gases and aerosols throughout the atmospheric column (Heald et al., 2014).

To implement rocket launch emissions in GEOS-Chem, we assume that the launch trajectory is at the same longitude and latitude as the launch coordinates and that emissions are instantaneous. Based on inspection of Space Shuttle launch trajectories (NASA, 2011), launches only typically deviate significantly from the launch coordinates at 70-80 km altitude, close to the model ceiling. The launch is also brief (2-3 min) compared to the model emission timestep (60 min). For the 2019 and SpaceX space tourism orbital launches, only the boosters and first stage rocket emissions are assumed to occur within the altitude range of the model. Booster emissions extend to ~50 km and the first stage rocket to the top of the model (80 km). Suborbital space tourism emissions from Blue Origin and Virgin Galactic spacecraft stop at ~50 km, the altitude at which the rockets cease burning fuel (Kordina, 2021). The rockets continue to ascend to just below 100 km for Virgin Galactic and above 100 km for Blue Origin, so both also emit ablation  $\text{NO}_x$  on re-entry. We use the launch profiles of mass of fuel burned in Ross and Sheaffer (2014) to represent the vertical distribution of rocket launch air pollutant emissions in GEOS-Chem.

Heterogeneous reaction of Cl on  $\text{Al}_2\text{O}_3$  is only appreciable for particles with diameters in the medium (0.01-1  $\mu\text{m}$ ) size range, as these can remain suspended in the atmosphere for several years (Danilin et al., 2001a, Ross et al., 2009). To account for this, we only represent heterogeneous Cl activation for 8% of total  $\text{Al}_2\text{O}_3$  mass emitted, based on the mass contribution of the medium size range of these particles from the Athena II solid fuelled rocket (Schmid et al., 2003). For simplicity, we distribute all launch emissions from the 3 failed Iranian rocket launches into the lowest model layer and assume the same emission factors as for a successful launch, as these rockets are reported to have exploded at the launch site (Kyle, 2020, Brumfiel, 2019).

Re-entry  $\text{NO}_x$  emissions from space debris and rocket components discarded during launch are distributed evenly across the top two model layers (60-80 km). Coordinates for re-entry of spacecraft and debris were often coarsely reported to within 200 km, but this is well within the model resolution (400-500 km). We assume for simplicity, and given the relatively coarse model resolution, that spent rocket components re-enter and burn up in the same horizontal grid square as the launch site.

The standard version of the model uses prescribed methane ( $\text{CH}_4$ ) concentrations that would lead to spurious results when assessing the influence of rocket emissions on  $\text{CH}_4$ . We follow the approach of Kerkweg et al. (2006) of sampling monthly mean  $\text{CH}_4$  pseudo fluxes from a GEOS-Chem simulation that uses prescribed monthly mean global surface concentrations obtained by interpolating NOAA flask measurements for 1983-2016 and extrapolated to 2019 (Murray, 2016). We then use these pseudo fluxes to determine the response of  $\text{CH}_4$  to perturbations in oxidants such as Cl and hydroxyl (OH) radicals that influence its abundance, and also allows us to capture long-term feedbacks on

O<sub>3</sub> concentrations.

We spin up the model without space sector emissions for 7 years (2012-2018) prior to 2019 to accommodate slow transport and turnover rates in the stratosphere (Engel et al., 2017). The three simulations we sample are (1) a decade without rocket emissions (baseline), (2) a decade of contemporary rocket emissions, beginning with 2019 emissions followed by nine years of growth in these at 5.6% a<sup>-1</sup>, and (3) the first 3 years of simulation (2) with our speculative scenario of space tourism emissions that are the same in each year. Three years of space tourism offerings are simulated to accommodate the time it takes for O<sub>3</sub> chemistry in the stratosphere to equilibrate (NASA, 2011; Ross et al., 2009). All simulations use the same 2019 meteorology to isolate the effect of emissions on atmospheric composition. We use the baseline simulation to assess the effect of sustained growth in rocket launches and a speculative space tourism industry on stratospheric O<sub>3</sub> and radiative forcing.

### 3 Results and Discussion

#### 3.1 Inventory of air pollutant emissions from rocket launches and re-entry burn

The map in Figure 1 shows the locations of all 103 documented 2019 rocket launches, dominated by China (34 launches), Russian-operated launches in Russia and Kazakhstan (22), and US-operated launches in the US (21) and New Zealand (6). Others include European Space Agency (ESA) launches from French Guiana in South America (9), and launches in India (6) and Japan (2) by their respective space agencies. A detailed summary of the characteristics of all 2019 launches is in Table S1. The pie charts in Figure 1 show the proportion, by mass, of the four main fuel types (kerosene, hypergolic, liquid hydrogen and solid) used in each country. Solid fuels dominate launches from Japan, India and French Guiana. Hypergolic fuels are typical in China, Kazakhstan and Iran. Kerosene is the dominant fuel for launches in New Zealand, Russia and the US. The 32 Gg total rocket propellant used in 2019 includes 45 % kerosene, 32 % hypergolic, 14 % solid and 8 % liquid hydrogen.

The emission factors we use to calculate air pollutant emissions are summarized in Table 1 for NO<sub>x</sub> (as NO), H<sub>2</sub>O, BC, Al<sub>2</sub>O<sub>3</sub> and gaseous chlorine. The latter is emitted mostly as HCl, but includes some Cl that accounts for rapid conversion of HCl to Cl in the wake of the rocket (Prather et al., 1990). Oxidation of NO in high temperature rocket plumes forms products such as HNO<sub>3</sub>, with HNO<sub>3</sub>:NO ratios between 0.6 and 1.3 for solid rocket plumes (Popp et al., 2002). These ratios are not known for all fuel types, so we represent all NO<sub>x</sub> as NO. The aircraft used by Virgin Galactic burns Jet A fuel. To estimate emissions for this, we use reported emissions factors of 13 g NO kg<sup>-1</sup>, 0.04 g BC kg<sup>-1</sup> and 4.35 kg H<sub>2</sub>O kg<sup>-1</sup> (Brink, 2020, Phillips, 2020). We assume emission factors for HTPB are the same as those for the other hydrocarbon-based fuel, kerosene. This may be conservative, as Ross and Sheaffer (2014) suggest HTPB emission factors are double those for kerosene.

Figure 2 shows the mass of each pollutant emitted in each month in 2019 within



the altitude range of the model. This includes combustion of fuel by the booster and first stage rocket and from re-entry burn. The relative mass of each emitted component is similar in each month. The booster and first stage rocket emissions that occur within the altitude limits of GEOS-Chem represent the majority of total emissions from all stages: 80% for  $\text{NO}_x$ , 94% for  $\text{Al}_2\text{O}_3$  and  $\text{HCl}+\text{Cl}$ , 84% for  $\text{H}_2\text{O}$ , and 79% for BC. The amount released above 15 km is 78-79% for  $\text{H}_2\text{O}$  and BC, and 68-69% for Cl and  $\text{Al}_2\text{O}_3$  (Figure S2). Most  $\text{NO}_x$  is from re-entry burn, so the majority (95%) is emitted above 60 km (Figure S3). The absolute and proportional contribution of re-entry ablation  $\text{NO}_x$  emissions in our inventory is likely conservative, as the geolocated re-entries included in the inventory are only 57 % of all documented re-entries (Figure S3 (European Space Agency, 2021)). Annual space tourism emissions from the 782 launches and associated re-entries total 30.4 Gg  $\text{H}_2\text{O}$ , 3.4 Gg  $\text{NO}_x$  (59% re-entry, 41% launch), and 1.0 Gg BC; much greater than the 2019 emissions (3-times more for  $\text{H}_2\text{O}$ ; double for BC and  $\text{NO}_x$ ). Combined annual re-entry  $\text{NO}_x$  emissions for 2019 and our space tourism scenario total 5.5 Gg, similar in magnitude to the lower-end of annual 2-40 Gg  $\text{NO}_x$  emissions from ablation of meteorites that we infer from the equivalent mass range of cosmic dust (Plane, 2012).

### 3.2 Impact of contemporary rocket launches and re-entries on stratospheric chemistry and radiative forcing

Figure 3 shows zonal changes in annual mean  $\text{O}_3$ ,  $\text{NO}_x$ ,  $\text{H}_2\text{O}$ , and total inorganic chlorine ( $\text{Cl}_y = \text{Cl} + 2\text{Cl}_2 + 2\text{Cl}_2\text{O}_2 + \text{ClNO}_3 + \text{ClO} + \text{ClOO} + \text{OCIO} + \text{BrCl} + \text{ICl} + \text{HOCl} + \text{HCl}$ ) following a decade of contemporary rocket launch and re-entry burn emissions. Global stratospheric  $\text{O}_3$  declines by 0.010 % (or 0.034 DU). This is ~200-times less than the 2.2% depletion of stratospheric  $\text{O}_3$  in 2018, relative to pre-1980 levels, due to surface sources of ozone depleting substances (Braesicke, 2018). This is also less than half the amount ascribed to annual contemporary-at-the-time solid and liquid rocket fuel emissions estimated by Ross et al. (2009) using a simple linear model, but similar to the 0.014% decline in global stratospheric  $\text{O}_3$  determined by Jackman et al. (1996) for 12 solid fuel rockets using a 2D CTM. Our simulation has 31 solid fuel rockets. The estimate by Jackman et al. (1996) excluded Cl activation by  $\text{Al}_2\text{O}_3$ , that, in a later study, increases their estimate of stratospheric  $\text{O}_3$  depletion to 0.025% (Jackman et al., 1998). This value was adjusted down to 0.015% when Danilin et al. (2001b) accounted for the effect of size distribution on the atmospheric lifetime of  $\text{Al}_2\text{O}_3$ . Peak decline in  $\text{O}_3$  of 4.5 ppb (0.09%) occurs in the northern hemisphere upper stratosphere (~5 hPa; ~40 km), above the altitude range where  $\text{O}_3$  depletion is dominated by heterogeneous chemistry on PSCs (15-25 km; Solomon (1999)). A decade of accumulation of rocket  $\text{H}_2\text{O}$  emissions only causes <0.001% increase in PSC optical depth over the Arctic and Antarctic (Figure S4). The Antarctic spring was anomalously warm in 2019 (Wargan et al., 2020). We find that Antarctic spring  $\text{O}_3$  loss following 3 years of accumulation of launch and ablation emissions is enhanced by just 7% in the portion of the stratosphere where PSCs are prevalent (100-10 hPa; Figure S4) for a simulation with 2019 emissions and 2020 meteorology relative to a simulation with both

2019 emissions and meteorology. The maximum  $\text{Cl}_y$  increase is 0.22 % and occurs in the mid-stratosphere (Figure 3). Peak increase in  $\text{NO}_x$  of 6.0% occurs in the lower mesosphere due to re-entry ablation emissions.  $\text{H}_2\text{O}$  increase is also largest in the mid-high latitude upper stratosphere and lower mesosphere, up to 0.010%, due to a long photochemical lifetime (Abbas et al., 1996) enabling long-range transport upwards via Brewer-Dobson circulation.

Figure 4 shows the temporal evolution of changes in global mean stratospheric (200-1 hPa) composition due to a decade of  $5.6 \text{ \% a}^{-1}$  growth in contemporary launch and ablation emissions. The strong seasonal cycles in  $\text{O}_3$  depletion and  $\text{Cl}_y$  and  $\text{NO}_x$  accumulation take 2-3 years to equilibrate, consistent with earlier estimates of the stratospheric lifetime of rocket emissions (Ross et al., 2009, NASA, 2014). Peak decline in stratospheric  $\text{O}_3$  is in the spring, coincident with maximum enhancements in  $\text{Cl}_y$ . In the polar ( $60\text{-}90^\circ$ ) upper stratosphere maximum  $\text{O}_3$  loss reaches 0.15% in the north and 0.04% in the south (Figure S5). The  $\text{NO}_x$  seasonal peak is in winter in both polar hemispheres (0.60% in the north; 0.15% in the south) due to the longer lifetime of  $\text{NO}_x$  in dark, cold conditions. The seasonal cycle of stratospheric  $\text{H}_2\text{O}$  change follows that of  $\text{NO}_x$ . More rocket launches and a greater number (and mass) of geolocated re-entering objects are in the northern hemisphere, causing the January global peak in  $\text{NO}_x$  in Figure 4.

We also conduct sensitivity simulations to determine the relative contribution of individual emitted air pollutants to depletion of stratospheric  $\text{O}_3$ . The results are in Figure 5 for year 3 of the simulations to accommodate the time it takes for stratospheric chemistry to equilibrate (Figure 4).  $\text{NO}_x$ , mostly from re-entry ablation, accounts for the majority of  $\text{O}_3$  decline (51%), followed closely by chlorine from solid fuel rockets (49%). This result is in contrast to the Ross et al. (2004) finding that chlorine is the dominant contributor to  $\text{O}_3$  depletion, as their estimate did not account for ablation  $\text{NO}_x$  and was for a period with much fewer documented re-entries anyway (15 documented re-entries in 2004, Figure S3). Our ablation  $\text{NO}_x$  emissions cause a decline in stratospheric  $\text{O}_3$  of 0.005%. This is 42-times more than 0.00012% due to all exhaust emissions from 10 hypergolic fuelled rockets (Ross et al., 2004) and 0.0001% inferred by Carpenter et al. (2018) for re-entry objects in 2017. The number of re-entries has accelerated from <100 in 2017 to >300 in 2019 (European Space Agency (2021); Figure S3). This is still far fewer than the 100,000 re-entries that Larson et al. (2017) calculated to cause 0.5 %  $\text{O}_3$  loss. We only include 185 geolocated objects in our simulation (Section 3.1). If we were able to include  $\text{NO}_x$  emissions from all 324 objects re-entering in 2019 (Figure S3), stratospheric  $\text{O}_3$  loss due to ablation, to first order, would be  $\sim 0.01\%$ . The effect of  $\text{Al}_2\text{O}_3$  on stratospheric  $\text{O}_3$  (Figure 5) is 200-times less than the effect of chlorine; a much greater difference than the factor of 4-6 difference obtained by Danilin et al. (2001b) using a 2D chemistry mechanism within a 3D atmospheric transport model. The contribution from BC is negligible. Ross et al. (2010) found BC caused a significant decline in  $\text{O}_3$ , due to increase in temperature causing an increase in reaction kinetics. The response is complex and highly variable and

is not captured with the prescribed meteorology in GEOS-Chem.

Figure 6 shows the climate forcing (top-of-the-atmosphere radiative forcing) due to a decade of sustained growth in contemporary rocket and ablation emissions. Global mean warming is  $+3.9 \text{ mW m}^{-2}$ , mostly due to warming by BC of  $+4.4 \text{ mW m}^{-2}$ . The BC radiative forcing is dominated by the shortwave component ( $+4.3 \text{ mW m}^{-2}$ ). Depletion of  $\text{O}_3$  and  $\text{CH}_4$  cause a small cooling effect ( $-0.016 \text{ mW m}^{-2}$ ). The remainder of the cooling ( $-0.20 \text{ mW m}^{-2}$ ) is due to  $\text{H}_2\text{O}$  emissions enhancing formation of reflective PSCs (Figure S4). The largest forcing occurs over the northern high latitudes (regional mean,  $60\text{-}90^\circ$  latitude,  $+7.7 \text{ mW m}^{-2}$ ) and the Antarctic ( $+5.1 \text{ mW m}^{-2}$ ) (Figure S6). The global mean warming due to BC we obtain ( $+4.4 \text{ mW m}^{-2}$ ) is less than the  $+11 \text{ mW m}^{-2}$  warming due to BC determined to first order by Ross and Sheaffer (2014), partly because their BC emissions ( $1.5 \text{ Gg}$ ) are three times more than our 2019 BC emissions ( $0.5 \text{ Gg}$ ; Figure 2). They also estimated net warming of  $+4.5 \text{ mW m}^{-2}$  due to  $\text{Al}_2\text{O}_3$  particles that results from greater absorption of longwave radiation than reflection of shortwave radiation. Ross and Sheaffer (2014) caution that the phase, optical properties and size distribution of  $\text{Al}_2\text{O}_3$  from solid rockets are poorly constrained. The BC radiative forcing we calculate is 3.2% of global total warming due to BC of  $+139 \text{ mW m}^{-2}$  (Dong et al., 2019); much greater than the proportional contribution ( $\sim 0.01\%$ ) of contemporary rocket emissions to global total emissions ( $6.7 \text{ Tg a}^{-1}$ ; Dong et al. (2019)).

### 3.3 Impact of proposed space tourism on stratospheric ozone and radiative forcing

Figure 7 shows the impacts of projected space tourism emissions on stratospheric chemistry. Spatial and seasonal variability is similar to the ten year accumulation scenario (Figure 3). The magnitude of maximum change in the northern hemisphere upper stratosphere is 3-4 ppbv greater for  $\text{O}_3$  after 3 years of space tourism emissions than a decade of sustained contemporary launch and ablation emissions.  $\text{NO}_x$  causes almost all the additional  $\text{O}_3$  depletion in the space tourism scenario, as indicated by the sensitivity simulations (Figure 5) and lack of additional chlorine emissions from space tourism rockets. There is no substantial change in the vertical distribution of increases in  $\text{NO}_x$  and  $\text{H}_2\text{O}$  compared to the 2019 rocket emissions results (Figure 3).

Figure 8 contextualizes the upper stratospheric springtime polar  $\text{O}_3$  loss due to space tourism emissions in year 3 by comparison to its decline after a decade of growth in 2019 emissions. We focus on the poles ( $60\text{-}90^\circ$ ) to assess the influence of rocket air pollutant emissions on  $\text{O}_3$  recovery achieved with a global phase-out of surface sources of  $\text{O}_3$  depleting substances by the Montreal Protocol.  $\text{O}_3$  loss in spring at  $60\text{-}90^\circ\text{N}$  reaches 5.7 ppbv after the atmosphere equilibrates and continues to decline at a rate of  $0.3 \text{ ppbv a}^{-1}$  due to sustained  $5.6 \text{ \% a}^{-1}$  growth in 2019 emissions. At the end of the decade,  $\text{O}_3$  loss reaches 8.5 ppbv or 0.22%. This is 10% of the  $\text{O}_3$  recovery of  $\sim 81 \text{ ppbv dec}^{-1}$  estimated to have been achieved with the Montreal Protocol (Eyring et al., 2010). Assuming the same rate of depletion as the decade-long simulation, a decade of space tourism

emissions could cause  $\text{O}_3$  depletion at 5 hPa and 60-90°N of ~16 ppbv, 20% of policy-driven upper stratospheric  $\text{O}_3$  recovery. At 60-90°S,  $\text{O}_3$  depletion of 2.6 ppbv is much less than the recovery due to controls on  $\text{O}_3$  depleting substances (114 ppbv  $\text{dec}^{-1}$  according to Eyring et al. (2010)).

Figure 6 also shows top-of-the-atmosphere climate forcing due to 3 years of space tourism and 2019 emissions. The space tourism scenario mean global climate forcing is  $+7.9 \text{ mW m}^{-2}$ , double the effect of a decade of contemporary emissions and also dominated by BC ( $+7.7 \text{ mW m}^{-2}$ ). SpaceX space tourism flights account for 52% of all BC emissions. The contribution from Virgin Galactic is 21%. Warming peaks at  $+30 \text{ mW m}^{-2}$  in the Arctic (Figure S6). The offset from an increase in PSCs and decline in  $\text{O}_3$  and  $\text{CH}_4$  is near-negligible (just  $-0.2 \text{ mW m}^{-2}$ ). Ross et al. (2010) estimated, to first order, a steady state global mean radiative forcing of  $+43 \text{ mW m}^{-2}$  from 1000 annual rockets similar to Virgin Galactic launches: an air launch and hydrocarbon-based rocket fuel synonymous with HTPB. This is  $35 \text{ mW m}^{-2}$  more than our estimate, despite smaller annual emissions of 0.6 Gg versus 1.5 Gg in our scenario. The difference may be due to a much longer atmospheric lifetime of BC in their calculation than is in GEOS-Chem. They estimated a BC loading that is 4.4 times greater than their BC emissions, whereas the BC loading in our space tourism simulation is a third of our BC emissions. BC radiative forcing for the space tourism scenario increases the contribution of rocket launch BC emissions to forcing from all BC sources from 2 to 6%. The contribution to total global BC emissions doubles, but is still very small ( $\sim 0.02\%$ ). BC forcing normalized by emissions is  $7,800 \text{ mW m}^{-2} \text{ a}^{-1} (\text{Tg BC})^{-1}$  for contemporary rockets only and  $9,900 \text{ mW m}^{-2} \text{ a}^{-1} (\text{Tg BC})^{-1}$  with space tourism launches, exceeding that from all other sources ( $20.7 \text{ mW m}^{-2} \text{ a}^{-1} (\text{Tg BC})^{-1}$ ) by a factor of 375 for contemporary rockets and 475 with space tourism. BC forcing is at least 8 times more than the warming effect of BC ( $0.94 \text{ mW m}^{-2}$ ) from aviation (Lee et al., 2021), even though the cumulative distance travelled by all rockets in the space tourism scenario ( $\sim 140,000 \text{ km}$ ) is  $10^5$  times less than that travelled by commercial aircraft in 2019 (61 billion km; Lee et al. (2021)).

#### 4 Conclusions

The space sector has evolved markedly since the early space race between the US and the Soviet Union due to establishment of space launch facilities in many countries, technological breakthroughs, commercial space launches, and now even space tourism. Substantial growth is anticipated, necessitating improved understanding of the impact on stratospheric ozone ( $\text{O}_3$ ) and climate. Here we develop inventories of dominant air pollutant emissions from rocket launches and re-entry ablation of reusable and discarded rocket components and space debris for the modern space sector (2019), for sustained modest ( $5.6\% \text{ a}^{-1}$ ) growth in emissions, and for a speculative space tourism industry. These we incorporated in the 3D atmospheric chemistry transport model GEOS-Chem coupled to a radiative transfer model.

The greatest impact of a decade of emissions on  $\text{O}_3$  occurs in the upper strato-

sphere in the northern high latitudes. Loss rates in that part of the atmosphere in springtime are 0.15% for 2019 emissions and 0.22% with space tourism emissions, due mostly to nitrogen oxides ( $\text{NO}_x$ ) from re-entry ablation (51%) and chlorine from solid rockets (49%). A future industry with sustained growth in rocket launches, continued accumulation of space debris, ongoing use of solid rocket fuel, and routine space tourism launches could substantially offset remediation of upper stratospheric  $\text{O}_3$  achieved with the Montreal Protocol.

Warming due to black carbon (BC) is  $3.9 \text{ mW m}^{-2}$  from a decade of contemporary rockets, dominated by emissions from kerosene-fueled rockets. This more than doubles ( $7.9 \text{ mW m}^{-2}$ ) after just 3 years of additional emissions from space tourism launches, due to the use of kerosene and hybrid synthetic rubber fuels. A  $7.9 \text{ mW m}^{-2}$  warming is 6% of warming due to BC from all other sources, even though the contribution to global BC emissions is 0.02%, as BC directly injected to the upper atmosphere has a greater warming efficiency than other sources. We estimate a warming efficiency that is almost 500 times more than all other BC sources.

Large uncertainties need to be addressed to further enhance our understanding of the true impact of contemporary rocket launch and re-entry ablation emissions on atmospheric composition and climate. These include the size of the nascent space tourism industry and growth in traditional rocket launches and returning space debris, improved estimates of BC emission factors from hybrid synthetic rubber fuels, precise geolocation and mass of space debris re-entering the Earth's atmosphere, emission factors for other potentially hazardous chemicals formed during rocket launches and ablation, improved parameterization of ablation  $\text{NO}_x$  emissions for returning reusable components, the phase, size distribution and optical properties of alumina ( $\text{Al}_2\text{O}_3$ ) from solid fuel, and exacerbation of greenhouse gas warming of the troposphere on stratospheric cooling and subsequent depletion of stratospheric  $\text{O}_3$ . These uncertainties and the results we obtain support the need to develop international regulation to mitigate environmental harm caused by launch and ablation emissions of a fast-growing industry.

#### Competing Interests

The authors declare that they have no conflicts of interest.

#### Financial support

This research has been supported by the European Research Council under the European Union's Horizon 2020 research and innovation programme (through the Starting Grant awarded to Eloise A. Marais, UpTrop (grant no. 851854)).

#### Data

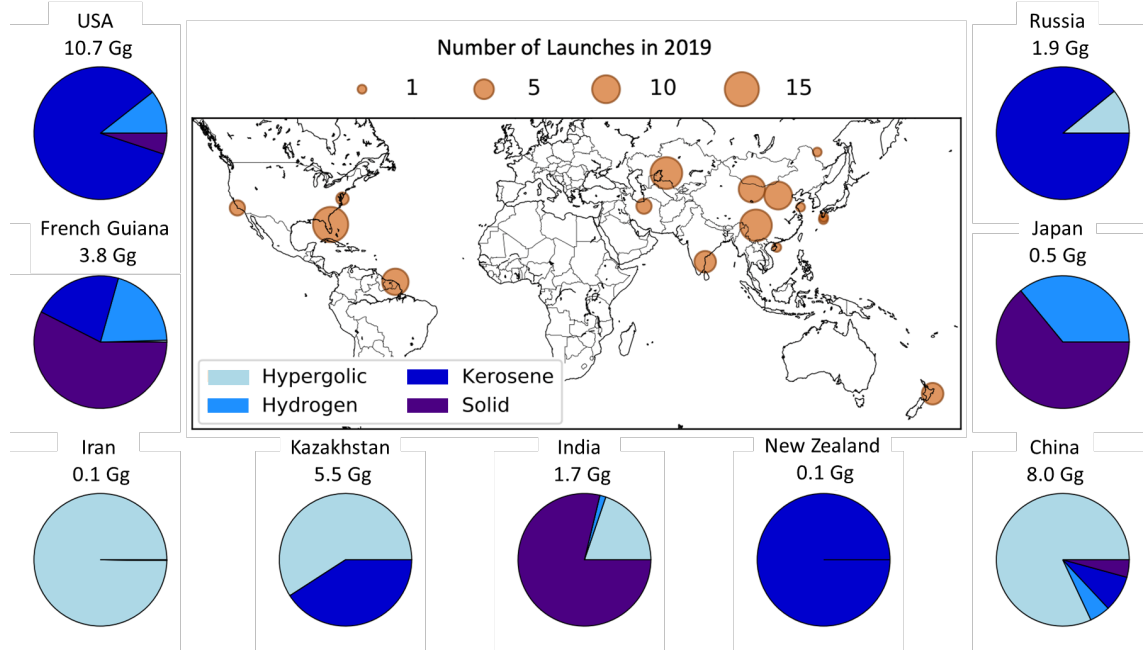
Data used in this study are publicly available from the UCL Data Repository (<https://doi.org/10.5522/04/17032349.v1>).

#### Tables and Figures

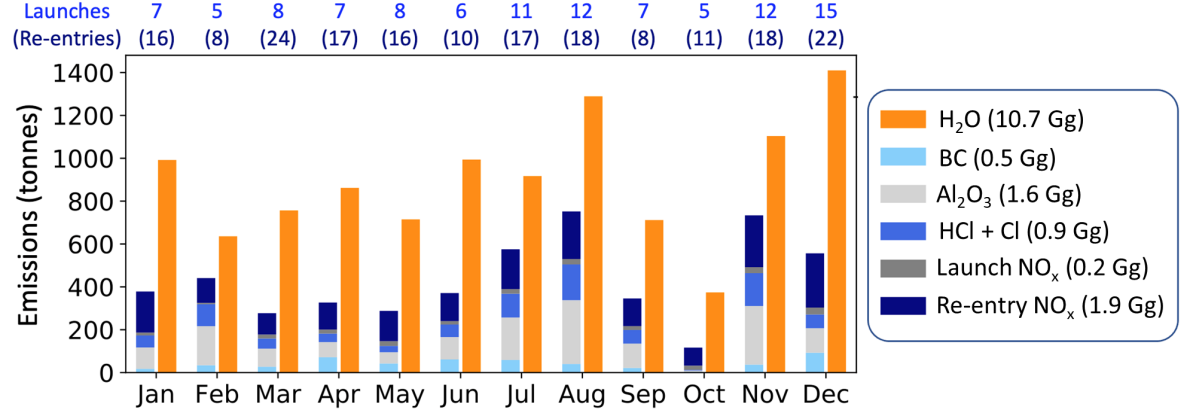
**Table 1.** Emission factors of dominant pollutants from rocket fuel types <sup>a</sup>.

Fuel	Emission factors [g kg <sup>-1</sup> ]					
	NO <sub>x</sub>	H <sub>2</sub> O	BC	HCl	Cl	Al <sub>2</sub> O <sub>3</sub>
Kerosene/HTPB	14 <sup>b</sup>	300 <sup>c</sup>	35 <sup>c,d</sup>			
Hypergolic	20 <sup>d</sup>	550 <sup>c,d,e</sup>	4 <sup>c</sup>			
Liquid hydrogen	33 <sup>b</sup>	1000 <sup>c,d,e</sup>				
Solid	3 <sup>e</sup>	370 <sup>b,c,d</sup>	4 <sup>c</sup>	210 <sup>e</sup>	3 <sup>e</sup>	380 <sup>e</sup>

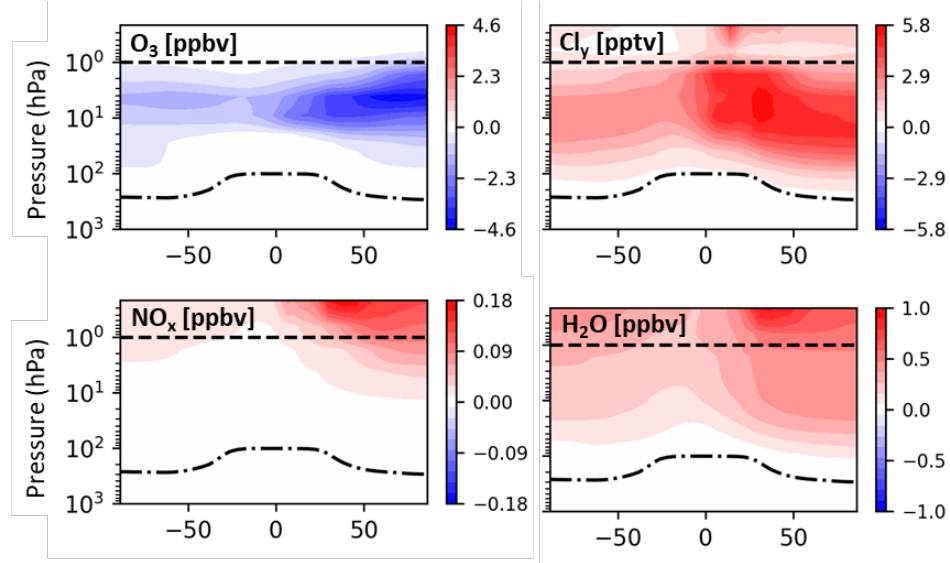
<sup>a</sup> Means are given where more than one estimate is reported in the literature. <sup>b</sup> Larson et al. (2017), <sup>c</sup> Ross and Sheaffer (2014), <sup>d</sup> Ross et al. (2009), <sup>e</sup> Federal Aviation Administration (2005).



**Figure 1.** Locations and fuel types of rocket launches in 2019. Marker size in the map indicates the number of launches at each location. Pie charts indicate the proportion of the four main fuel types at each launch location. Numbers above each pie chart are total propellant mass used in each country. Additional details are in Table S1.



**Figure 2.** Monthly space sector emissions in 2019 from boosters, first launch stages and re-entry burn as implemented in GEOS-Chem. Numbers in the legend give the total annual emissions of each pollutant. Listed above the chart are the number of launches and re-entries in each month. Emissions increase to 16.7 Gg  $\text{H}_2\text{O}$ , 0.8 Gg BC, 2.5 Gg  $\text{Al}_2\text{O}_3$ , 1.4 Gg HCl + Cl and 3.4 Gg  $\text{NO}_x$  in the last year of the 10-year simulation.



**Figure 3.** The effect of a decade of sustained growth in rocket and re-entry burn emissions on atmospheric composition. Panels show the change in annual zonal mean mixing ratios of  $\text{O}_3$ ,  $\text{Cl}_y$ ,  $\text{NO}_x$ , and  $\text{H}_2\text{O}$  due to launch and re-entry ablation emissions.

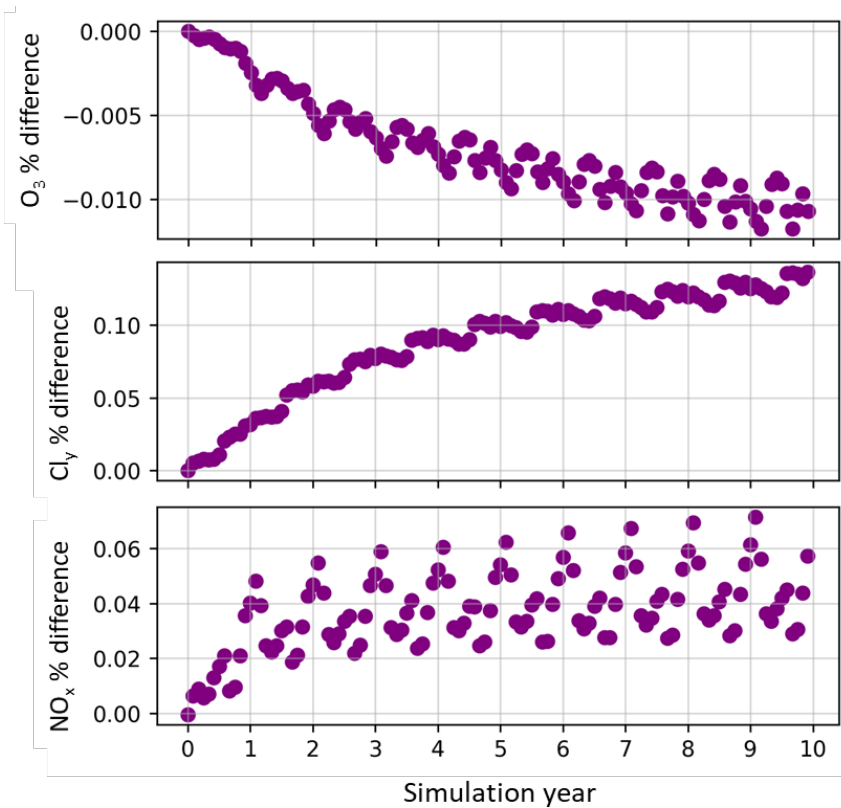


Figure 4. Influence of a decade of contemporary rocket launch and re-entry burn emissions on stratospheric chemical composition. Points are GEOS-Chem global monthly mean percentage differences in mixing ratios (rockets minus no rockets) averaged over 200 to 1 hPa for O<sub>3</sub>, Cl<sub>y</sub> and NO<sub>x</sub>. Seasonal cycles for O<sub>3</sub> at the poles (60-90°) are in Figure S5.

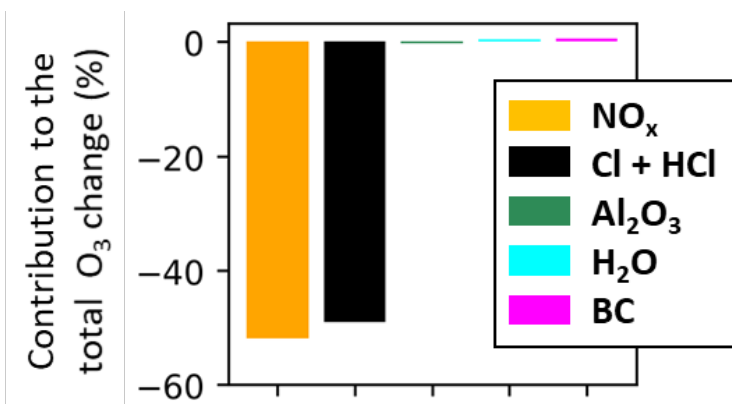
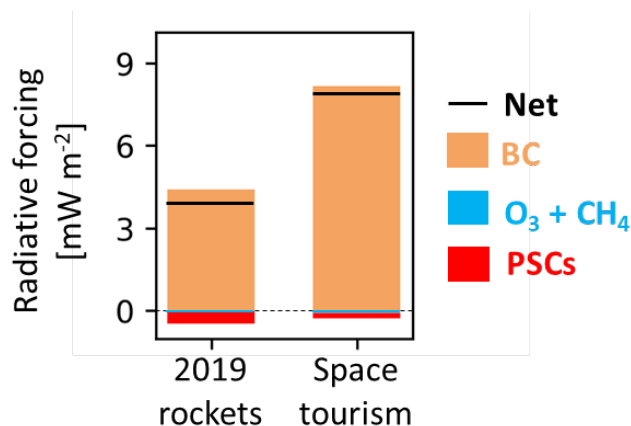
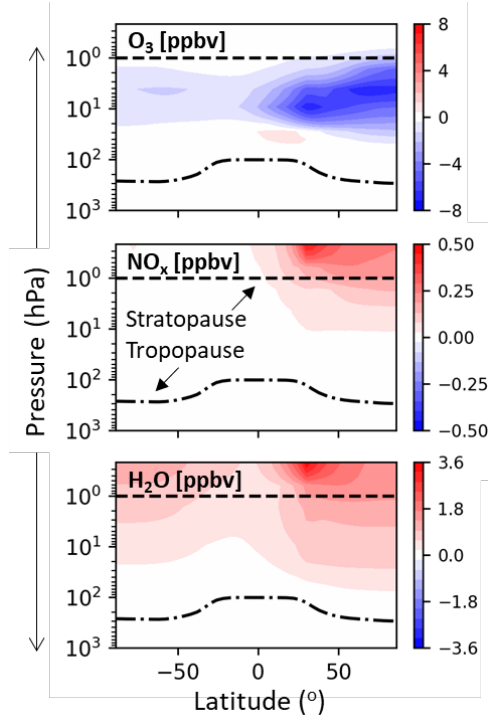




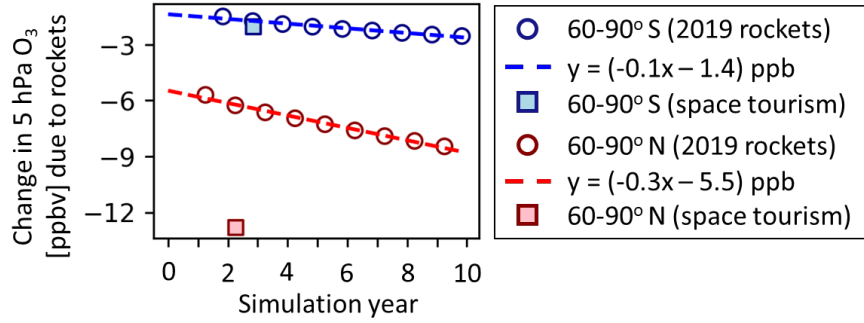
Figure 5. Contribution of individual pollutants to stratospheric  $O_3$  depletion. Bars show percent contribution of individual pollutants to the total change averaged from 200 to 1 hPa, determined as the percent difference in GEOS-Chem simulations with all emissions and with emissions of a single air pollutant after 3 years of 2019 rocket launch and re-entry burn emissions.



**Figure 6.** Effect of rocket launch and re-entry emissions on global climate forcing. Bars show the GEOS-Chem-RRTMG top-of-the-atmosphere radiative forcing of BC (orange), combined  $O_3$  and  $CH_4$  (blue) and polar stratospheric clouds (PSCs) after a decade of growth in 2019 emissions (left) and after 3 years of constant space tourism emissions and growth in 2019 emissions (right). The black solid line is the net radiative forcing.



**Figure 7.** The effect of 3 years accumulation of space tourism emissions on atmospheric composition. Panels show the change in annual zonal mean mixing ratios of  $O_3$ ,  $H_2O$ , and  $NO_x$  due to rocket emissions.



**Figure 8.** High-latitude springtime upper stratospheric  $O_3$  loss due to rocket launch and re-entry emissions. Open circles show the  $O_3$  response to rocket emissions at 60-90° and 5 hPa altitude for years 2-10 of the decade-long 2019 rocket emissions inventory simulation. Dashed lines show the linear least squares fit to these results. Filled squares show the springtime  $O_3$  loss due to space tourism emissions at the same altitude and latitude range.

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