

A New Model of Solar Ultraviolet Irradiance Variability with 0.1-0.5 nm Spectral Resolution

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A New Model of Solar Ultraviolet Irradiance Variability with 0.1-0.5 nm Spectral Resolution

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Key Points

- NRLSSI2h solar irradiance variability model with 0.1-0.5 nm resolution captures larger UV. spectral line variability relative to continua.
- At 300-400 nm, dominated by spectral features, NRLSSI2h estimates 2-5X smaller solar cycle variability than radiative transfer models.
- Upper atmosphere solar radiation absorption can differ using NRLSSI2h (e.g., 34% decrease at 88 km for Lyman alpha).

1 Abstract

2 Observations of solar irradiance made from space since 2003 with 0.1 nm spectral resolution at
3 wavelengths from 115 to 310 nm and 0.5 nm spectral resolution at wavelengths from 260 to 500
4 nm are used to construct a new model, NRLSSI2h, of solar irradiance variability with higher
5 spectral resolution than the 1 nm NRLSSI2 model used to specify the NOAA Solar Irradiance
6 Climate Data Record. The new model better resolves irradiance variability in specific emission
7 and absorption features that are directly attributable to atoms and molecules in the Sun's
8 atmosphere. Singularly prominent is spectral irradiance variability at 379 to 389 nm, dominated
9 by the CN molecular band system; irradiance in this 10 nm band increased 0.078 W m^{-2} during
10 solar cycle 23, contributing 4.6% of the 1.7 W m^{-2} concurrent total solar irradiance increase.
11 Irradiance variability at wavelengths from 300-400 nm, a region dominated by multiple spectral
12 features, is a factor of 2 to 5 smaller in the new model than estimated by semi-empirical models
13 that use radiative transfer codes to calculate the contrasts of faculae and sunspots, which alter
14 the temperature-dependent densities of these species relative to the surrounding continuum.
15 Solar atmosphere temperature and composition profiles in radiative transfer models may
16 therefore not be realistic or their atomic and molecular databases complete. Improved co-
17 location of spectral features in solar irradiance and the absorption cross sections of molecular
18 oxygen and ozone with the new model may allow higher fidelity calculations of energy
19 deposition in Earth's atmosphere.

20

1 Introduction

2 Terrestrial research requires reliable knowledge of the Sun’s radiative output, which is Earth’s
3 primary energy source. Changes in this output may alter terrestrial radiative, chemical and
4 dynamical processes on time scales of the Sun’s 11-year activity cycle and longer, producing
5 natural changes in the coupled Earth system (e.g., Lean, 2017). Solar ultraviolet radiation, in
6 particular, is a primary determinant of the state of Earth’s atmosphere, including the ozone
7 layer. Physical simulations and empirical analyses of geophysical climate and ozone chemistry
8 and dynamics input solar spectral irradiance variability to separate natural and anthropogenic
9 components. NOAA established, and maintains for use by Earth scientists, the Solar Irradiance
10 Climate Data Record (CDR) which utilizes the Naval Research Laboratory Solar Spectral Irradiance
11 (NRLSSI2) model to specify daily solar spectral irradiance daily at wavelengths from 115 to
12 100,000 nm (Coddington & Lean, 2015; Coddington et al., 2016; Coddington et al., 2019).

13 The Sun’s radiation spectrum is a complex mix of absorption and emission features
14 superimposed on continua emission. Solar atmosphere temperature determines the continuum
15 spectrum and the impedance of this emission by atoms and molecules in the solar atmosphere
16 produce the absorption and emission spectral features. Figure 1 shows the solar spectral
17 irradiance at 0.1 nm resolution during 2009, a time of low solar activity, compared with the
18 radiation spectra of black bodies at temperatures of 4470 and 5990 K, a temperature range that
19 encompasses the ultraviolet and visible irradiance spectrum. Middle ultraviolet radiation (200-
20 300 nm), near ultraviolet radiation (300-400 nm), visible and longwave infrared radiation are
21 formed in the photosphere, the approximately 300 km thick lowest layer of the solar

1 atmosphere, whereas far ultraviolet radiation (100-200 nm) emerges mainly from somewhat
2 higher and cooler layers of the lower solar chromosphere, above which the temperature of the
3 solar atmosphere increases with altitude (e.g., Cox et al., 1991). In the far ultraviolet spectrum
4 (115 – 200 nm) the α line of the Lyman series of atomic hydrogen, H I Lyman α , at 121.567 nm
5 in Figure 1a exemplifies the enhancement of continuum radiation by solar atmosphere species
6 emission. In the middle (200-300 nm) and near (300-400 nm) ultraviolet and visible (400-750
7 nm) spectrum, especially at wavelengths from 250 to 450 nm, multiple solar atmospheric species
8 absorb the continuum radiation, resulting in “line blanketing” that depresses the net solar
9 radiative output. The Mg II h-k doublet (280.365 nm and 279.65 nm), and Ca II H-K doublet
10 (396.847 and 393.366 nm) in Figure 1a are examples of prominent “Fraunhofer” absorption
11 features, named for Joseph von Fraunhofer who mapped over 570 dark lines in the continuum
12 solar spectrum caused by photon absorption by chemical elements in the solar atmosphere.

13 Solar emission and absorption features are more variable than the continuum emission. This
14 is because the densities of atoms and molecules in the Sun’s atmosphere that produce these
15 features are temperature-dependent, and faculae and sunspots, the primary sources of
16 irradiance variability, are respectively warmer and cooler than the “quiet” solar atmosphere.
17 However, semi-empirical models that use theoretical stellar atmosphere radiative transfer codes
18 to specify the contrasts of faculae and sunspots relative to the quiet atmosphere calculate solar
19 ultraviolet irradiance variations that differ in both magnitude and spectral structure from the
20 variations calculated by the empirical NRLSSI2 model, which derives the facular and sunspot
21 contrasts from direct observations. For example, in wavelength regions dominated by
22 Fraunhofer lines the Spectral And Total Irradiance Reconstruction (SATIRE) semi-empirical model

1 (Krivova et al., 2010) overestimates rotational modulation relative to both independent OMI
2 observations and the NRLSSI2 model (Marchenko et al., 2016; Coddington et al., 2019; Lean et
3 al., 2020), suggesting that faculae and sunspots produce less irradiance variability than stellar
4 atmosphere models currently prescribe, at times by more than 50%, in multiple Fraunhofer lines
5 between 250 and 400 nm. In identifying such discrepancies, empirical observation-based models
6 such as NRLSSI2, can suggest the need for alterations to the temperature and density profiles of
7 the atmospheres in the stellar radiative transfer codes that the semi-empirical models use.

8 The significant differences among estimates of solar spectral irradiance variability by
9 observation-based and semi-empirical models extends beyond rotational time scales to the
10 decadal solar cycle and centennial time scales. Reconciling these differences is crucial because
11 simulations of climate and ozone change, including those used for the Intergovernmental Panel
12 on Climate Change (IPCC, 2013) and Ozone Assessments (WMO, 2011), rely on model
13 specifications of spectral irradiance variability to assess natural solar-forced terrestrial change
14 (Jungclaus et al., 2017; Matthes et al., 2017). For example, one semi-empirical model estimates
15 that spectral irradiance at wavelengths from 370 to 400 nm, dominated by the CN and Ca II
16 Fraunhofer features, increased 3% from the seventeenth century Maunder Minimum to
17 contemporary solar minima (Shapiro et al., 2011), 20 times more than the <0.15% increase in the
18 NRLSSI2 historical reconstruction (Lean, 2018) based on simulations of the transport of magnetic
19 flux in the Sun's disk flux over this period (Wang et al., 2005). The semi-empirical model estimate
20 is deemed too large by at least a factor of two in part because of the use of an erroneously cool
21 model solar atmosphere (Judge et al., 2012). By better isolating the specific spectral
22 contributions of atomic and molecular emissions, observation-based specifications of solar

1 ultraviolet irradiance variability with spectral resolution finer than 1 nm may help expose and
2 quantify those wavelength regions where semi-empirical models are least reliable.

3 Knowledge of solar ultraviolet irradiance variability with spectral resolution finer than 1 nm
4 may also help improve understanding of the mechanisms by which solar radiation interacts with
5 Earth's atmosphere. This is because, as Figure 1b shows, at ultraviolet wavelengths the
6 absorption cross sections of atmospheric molecular oxygen, O₂, and ozone, O₃, which absorb
7 essentially all of the Sun's far and middle ultraviolet radiation, have complex spectral structure
8 superimposed on continuum features. Figure 2 shows examples of overlapping features in the
9 solar ultraviolet spectrum and atmospheric absorption cross sections, including the approximate
10 coincidence of the H I Lyman α peak emission at 121.567 nm with a deep minimum in the O₂
11 absorption cross section (Figure 2). Since the O₂ and O₃ absorption cross sections and
12 concentrations determine the altitude at which solar ultraviolet energy is deposited in the
13 Earth's atmosphere, errors may accrue if calculations of solar energy deposition do not fully
14 resolve co-located spectral features in solar irradiance and atmospheric absorption cross
15 sections.

16 Current models of solar spectral irradiance variability such as the NRLSSI2 model that
17 specifies solar spectral irradiance for NOAA's CDR lack the spectral resolution needed to properly
18 specify the complex wavelength-dependent variability of solar emission and absorption features.
19 This paper describes a new model of solar spectral irradiance variability, NRLSSI2h, with 0.1 nm
20 resolution at wavelengths from 115 to 310 nm, constructed from high resolution observations
21 made by the Solar Stellar Irradiance Comparison Experiment (SOLSTICE) on the Solar Radiation

1 and Climate Experiment (SORCE) spacecraft, and with ~ 0.5 nm resolution at wavelengths from
2 310 to 500 nm, constructed from observations made by the Ozone Monitoring Instrument (OMI)
3 on the Aura spacecraft. The absolute scale and variability of NRLSSI2h summed into 1 nm bins
4 are equivalent to those of the NRLSSI2 model, so that the new high-resolution model directly
5 augments the Solar Spectral Irradiance CDR.

6 **2 Observations**

7 Solar ultraviolet irradiance has been observed from space since 1980 (e.g., Rottman, 2006). In
8 particular, the SOLSTICE onboard SORCE measured far and middle ultraviolet spectral irradiance
9 (115 to 310 nm) from 2003 to 2020 and OMI onboard AURA has measured the spectral
10 irradiance from 265 to 500 nm since 2004 (Snow et al., 2012; Marchenko et al., 2019). The solar
11 spectral irradiance observations made by SOLSTICE and OMI are reported in 1 nm wavelength
12 bins, which is the native resolution of instruments on the prior Solar Mesosphere Explorer (SME)
13 and Upper Atmosphere Research Satellite (UARS). Historically, a spectral resolution of 1 nm was
14 considered adequate for providing the needed long-term repeatability for assessing terrestrial
15 impacts of solar variability; an observation's measurement repeatability is generally considered
16 to increase as the wavelength band broadens, although broad bands can obscure spectrally
17 dependent drift errors. Observations of total solar irradiance have no spectral resolution but
18 maximum long-term repeatability.

19 Both SOLSTICE and OMI actually measure solar ultraviolet radiative output with spectral
20 resolution finer than 1 nm, thereby better resolving the multiple emission and absorption
21 features in the solar ultraviolet spectrum but, arguably, at the expense of repeatability. Both the
22 SOLSTICE and OMI "native resolution" observational databases, at 0.1 and 0.4-0.6 nm spectral

1 resolution respectively, are now of sufficient duration and maturity to facilitate the investigation
2 and modelling of solar spectral irradiance variability at spectral resolution finer than 1 nm. The
3 solar spectral irradiance during solar minimum 2009 shown in Figure 1a is the average of spectra
4 measured from 2009.0 to 2009.2 by SOLSTICE at wavelengths from 115 to 310 nm with 0.1 nm
5 spectral resolution (on a 0.025 nm grid), and by OMI at wavelengths from 265 to 500 nm with
6 spectral resolution of ~0.5 nm (on a 0.1 nm grid at wavelengths longer than 310 nm).

7 **3 Model**

8 The primary cause of variability in observed solar irradiance is the occurrence on the Sun's disk
9 of bright faculae, where local emission is enhanced, and dark sunspots, where local emission is
10 depleted. The NRLSSI2 model calculates the solar spectral irradiance, $I_{mod}(\lambda, t)$, at wavelength, λ ,
11 and time, t , as the net sum of these two competing effects;

$$12 \quad I_{mod}(\lambda, t) = I_Q(\lambda) + \Delta I_F(\lambda, t) + \Delta I_S(\lambda, t) \quad (1)$$

13 where $\Delta I_F(\lambda, t)$ and $\Delta I_S(\lambda, t)$ are the wavelength-dependent amounts that faculae and
14 sunspots alter a reference irradiance spectrum, $I_Q(\lambda)$, termed the "quiet" Sun, when these
15 features are absent. As Coddington et al. (2016, 2019) and Lean et al. (2020) describe in detail,
16 the NRLSSI2 CDR model was constructed by regressing SORCE SSI observations made from 2003
17 to 2015 in 1 nm bins against observed facular and sunspot proxies to establish the model
18 coefficients. The facular brightening component is the Mg II irradiance index, $M(t)$, which is the
19 ratio of the Mg II emission in the Fraunhofer h-k line cores to that in the wings (Skupin et al.,
20 2004; DeLand & Marchenko, 2013), and the sunspot darkening component, $S(t)$, is calculated
21 from direct measurements made by the Air Force Solar Observing Optical Network (SOON) sites

1 of the area, A_s , and heliographic location, μ , of N_{spot} individual sunspots present on the solar disk
 2 at time t , allowing for the center-to-limb variation of the radiance.

3 The new observation-based model of spectral irradiance at higher resolution, designated
 4 NRLSSI2h, that this paper describes is constructed following the approach used to formulate
 5 NRLSSI2 but with the model coefficients determined using SOLSTICE observations from 2003.18
 6 to 2020.16 (V18, with 0.1 nm resolution at wavelengths from 115.0125 to 309.9875 nm on a
 7 0.025 nm grid) and OMI observations from 2006.5 to 2019.9 (with 0.6 nm resolution at
 8 wavelengths from 265.05 to 309.65 nm on a 0.2 nm grid and 0.4-0.6 nm resolution at
 9 wavelengths from 310.1 to 499.9 nm on a 0.1 nm grid). As with the construction of the NRLSSI 2
 10 nm model, prior to regression the irradiance observations and the facular and sunspot indices
 11 are detrended (by subtracting 81-day running means) to isolate changes during the Sun's 27-day
 12 rotation, a period sufficiently short for instrumental changes to be deemed minimal. The
 13 observed, detrended rotational modulation of solar spectral irradiance, $I_{obs}(\lambda, t) -$
 14 $\langle I_{obs}(\lambda, t) \rangle_{81}$, at wavelength, λ , and time, t , is represented by the model, $I_{mod}^{rot}(\lambda, t)$, in terms of
 15 the corresponding detrended facular and sunspot darkening indices, $Mg(t) - \langle Mg(t) \rangle_{81}$ and
 16 $S(t) - \langle S(t) \rangle_{81}$, as

$$17 \quad I_{obs}(\lambda, t) - \langle I_{obs}(\lambda, t) \rangle_{81} = I_{mod}^{rot}(\lambda, t) + R(\lambda, t) \quad (2)$$

18 where

$$19 \quad I_{mod}^{rot}(\lambda, t) = d_0(\lambda) + d_1(\lambda)[Mg(t) - \langle Mg(t) \rangle_{81}] + d_2(\lambda)[S(t) - \langle S(t) \rangle_{81}] \quad (3)$$

1 The model coefficients, d_0 , d_1 and d_2 , are determined using linear regression of the detrended
2 observations and indices to minimize the error in the residuals of the observed and modeled
3 spectral irradiance, $R(\lambda, t)$.

4 An initial model of solar spectral irradiance variability at time, t , is then

$$5 \quad I_{mod}(\lambda, t) = I_{av}(\lambda) + d_0(\lambda) + d_1(\lambda)[Mg(t) - Mg_{av}] + d_2(\lambda)[S(t) - S_{av}] \quad (4)$$

6 where $I_{av}(\lambda)$, Mg_{av} and S_{av} are the averages of the irradiance and indices at all available times.

7 This model is adjusted to match the irradiance of the NRLSSI2 model by scaling its absolute
8 values in 1 nm bins, an adjustment that ensures that the new higher resolution model, NRLSSI2h,
9 is consistent with the absolute magnitude and variability of NRLSSI2, and thus seamlessly
10 augments the extant NOAA Solar Irradiance CDR. Atmospheric model simulations can therefore
11 implement NRLSSI2h without changing the overall solar energy input, which is important for
12 evaluating the impact of revised SSI data.

13 Figure 3 compares the NRLSSI2h modelled variations with SOLSTICE observations at the peak
14 emission and in the nearby continuum of spectral irradiance within the 121-122 nm (Figures 3a,
15 3b) and 181-182 nm (Figures 3d, 3e) wavelength bins (SOLSTICE observations are specified every
16 0.025 nm). Also shown, for comparison, are the corresponding NRLSSI2 and SOLSTICE irradiance
17 in 1 nm bins (Figures 3c, 3f). During solar cycle 23 the H I Lyman α peak emission at 121.5625
18 (Figure 3a) increases 47% (from ~45 to 66 mW m⁻² nm⁻¹), the continuum emission at 121.0125
19 (Figure 3b) increases 30% (from ~0.093 to 0.121 mW m⁻² nm⁻¹) and the irradiance in the 1 nm
20 band from 121 to 122 nm (Figure 3c) increases 42% (from 6.06 to 8.6 mW m⁻² nm⁻¹).

21 As expected, and demonstrated in Figure 3, the magnitudes of solar cycle variability at
22 wavelengths corresponding to the peaks of spectral emission and absorption lines in NRLSSI2h

1 exceed that of the adjacent continuum throughout the ultraviolet spectrum. Figure 4 shows the
2 relative changes during solar cycle 23 in the NRLSSI2h solar spectral irradiance at wavelengths
3 from 115 to 500 nm compared with that of the 1 nm bins in NRLSSI2. The solar cycle changes in
4 Figure 4 are determined as the percentage increase in the average spectral irradiance from
5 2009.0-2009.2 (solar cycle minimum) to 2001.93-2002.18 (solar cycle maximum), as in Lean et al.
6 (2020). Table 1 lists absolute values of spectral irradiance in dominant spectral features during
7 solar cycle minimum, solar cycle 23 maximum and solar cycle 24 maximum (2013.53-2013.78),
8 as well as, for comparison, the corresponding total solar irradiance. Figure 5 shows how absolute
9 changes in solar ultraviolet irradiance during solar cycle 23 manifest within the broader spectral
10 region from 150 to 2300 nm; in Figure 5a the solar cycle changes in NRLSSI2h are determined as
11 the difference in spectral irradiance from 17 May 2001 (solar cycle maximum) to 15 Sept 2008
12 (solar cycle minimum), so as to compare them explicitly with the (much larger) changes in the
13 ultraviolet spectrum that the Solar Radiation Physical Modeling (SRPM) semi-empirical model
14 calculates for those same days (Fontenla et al., 2015), shown in Figure 5b. In particular, SRPM's
15 ~5x larger increase at wavelength from 250-400 nm has significant implications for ozone
16 photochemistry.

17 It is well known, and evident in Table 1, that solar ultraviolet radiation contributes
18 significantly more to the variability of total solar irradiance than it does to total solar irradiance
19 itself (e.g., Lean, 1989). According to NRLSSI2h and NRLSSI2, near ultraviolet irradiance summed
20 over wavelengths from 300 to 400 nm encompasses 6.8% of total solar irradiance, but its
21 increase of 0.267 W m^{-2} in solar cycle 23 contributes 25% of the cycle 23 total solar irradiance
22 change of 1.06 W m^{-2} . Figure 6 and the values in Table 1 show that the contribution of ultraviolet

1 radiation to changes in total solar irradiance in the new NRLSSI2h model has a complex spectral
2 structure dominated by prominent spectral features on both solar cycle and solar rotation time
3 scales. In Figure 6a the solar cycle changes are determined as the difference of the yearly
4 averages in 2008 (solar cycle minimum) and 2000 (solar cycle maximum) so as to explicitly
5 compare them with the (larger) contribution of the ultraviolet spectrum to the change in total
6 solar irradiance for this same time period estimated by the semi-empirical non-local
7 thermodynamic Equilibrium Spectral SYnthesis code (NESSY) NESSY-SATIRE model (Shapiro et al.,
8 2015), which Figure 6a also shows. The rotational changes in Figure 6b are determined, also
9 following Shapiro et al. (2015), as the standard deviation of the residuals of the daily time series
10 relative to 81-day smoothed time series, from 1999 to 2010 (inclusive).

11 Figures 5 and 6 show that the ultraviolet spectral irradiance region that contributes most to
12 the solar cycle change in total solar irradiance in the NRLSSI2h model and both semi-empirical
13 models, is from wavelengths 370 to 400 nm, which includes the CN molecular band system
14 centered at ~383 nm and the Ca II H & K Fraunhofer lines at 396.8 and 393.4 nm. The increase
15 of 0.12 W m^{-2} (0.36%) in spectral irradiance in the wavelength band 370 to 400 nm during solar
16 cycle 23 is 11.2% of the 1.06 Wm^{-2} total solar irradiance increase over the same time, even
17 though the absolute flux (33 W m^{-2}) is only 2.4% of total solar irradiance (1360.60 W m^{-2}), a
18 factor of ~5 less. NRLSSI2h clearly resolves the contributions of the CN and Ca II spectral
19 features (cycle 23 increases are respectively 0.078 W m^{-2} at 379 to 389 nm and 0.037 W m^{-2} at
20 391 to 397 nm, Table 1, Figure 6), whereas the NESSY-SATIRE simulations as shown in Figure 6 do
21 not.

1 The spectral region that is least variable in Figures 4, 5 and 6 is at wavelengths from 450-460
2 nm. In NRLSSI2h the energy in this wavelength region increases 0.05 W m^{-2} during solar cycle 23
3 and 0.04 W m^{-2} in solar cycle 24. Neither the SRPM nor NESSY-SATIRE semi-empirical models
4 predict this increase; the SRPM model in Figure 5 shows a decrease of $\sim 0.002 \text{ Wm}^{-2}$ at
5 wavelengths near 450 nm and the NESSY-SATIRE model in Figure 6 shows essentially no change.

6 More generally, the spectral irradiance variability features evident in the empirical,
7 observation-based, NRLSSI2 and NRLSSI2h models in Figure 4, 5 and 6, and listed in Table 1,
8 identify prominent “benchmarks” that link spectral irradiance variability and its contributions to
9 total solar irradiance variability to the changing composition of the Sun’s atmosphere with solar
10 magnetic activity. This linkage is apparent throughout the ultraviolet spectrum, not just in
11 discrete atomic and molecular emission and absorption lines and bands, but also in other
12 features such as the overall factor of two decrease (from 10% to 5% during solar cycle 23, Figure
13 4) in relative variability near the Al I ionization edge at $\sim 207 \text{ nm}$ and in the value and phase of
14 the region of minimum spectral irradiance variability near 450 nm.

15 **4 Atmospheric Attenuation**

16 At those ultraviolet wavelengths where solar ultraviolet spectral irradiance and the absorption
17 cross sections of atmospheric gases vary notably within a 1 nm interval, such as shown in Figure
18 2, it may be expected that calculations of the deposition of solar radiation in the Earth’s
19 atmosphere might differ when using 0.1 nm spectral resolution rather than 1 nm wavelength
20 bins.

1 The comparison of mesospheric molecular oxygen absorption of solar H I Lyman α radiation
2 shown in Figure 7 illustrates the differences in atmospheric attenuation determined using the
3 spectral irradiance at 0.1 nm and 1 nm and the molecular oxygen absorption cross sections in
4 Figure 2a. For this comparison, the attenuation is calculated at 40 wavelengths (in increments of
5 0.025 nm) between 121.0 and 122.0 for the NRLSSI2h model with 0.1 nm spectral resolution
6 (blue curves) and for the NRLSSI2 model with 1 nm spectral resolution (orange curves). The
7 molecular oxygen absorption cross sections are similar to Lewis et al. (1983) but neglect
8 temperature dependence. Molecular oxygen atmospheric density profiles are specified for 1 Jan
9 2002 at 30° latitude, 0° longitude and noon local solar time using the NRLMSIS2 model (Emmert
10 et al., 2020).

11 At altitudes above ~140 km solar radiation at 121 nm is essentially unattenuated, and the
12 NRLSSI2h and the NRLSSI2 in Figure 7 are equal to that of the incident spectral irradiance. At
13 decreasing altitudes, atmospheric absorption depletes the solar spectrum differently at different
14 wavelengths, depending on the magnitude of the O₂ absorption cross section. Summing the
15 individual attenuation profiles at the 40 wavelengths (on 0.025 nm grid between 121 and 122
16 nm) in Figure 7 gives the attenuation profiles of the total solar radiative energy in the 1 nm bin
17 from 121-122 nm shown in Figure 8a, calculated using NRLSSI2h (blue curves) and NRLSSI2
18 (orange curves) at solar cycle maximum (solid lines) and minimum (dashed lines). At altitudes
19 above ~84 km there is less attenuation of solar irradiance at wavelengths between 120 and 121
20 nm when using NRLSSI2h than when using NRLSSI2. This is evident in Figure 8b, which shows that
21 there is 34% less attenuation (and hence less solar radiative energy deposited) at ~88 km when
22 using NRLSSI2h instead of NRLSSI2, during both solar maximum (solid line) and minimum (dashed

1 line) conditions. There are also modest differences in the change in atmospheric attenuation at
2 solar maximum and minimum, shown in Figure 8c using NRLSSI2h (blue curve) and NRLSSI2
3 (orange curve).

4 **5 Discussion**

5 The new NRLSSI2h model resolves and quantifies the variability in individual emission and
6 absorption spectral features in the Sun's ultraviolet radiative output better than does the 1 nm
7 NRLSSI2 model. The new model may thus contribute to improved understanding of the facular
8 and sunspot sources of spectral irradiance variability, whose contrasts depend on the solar
9 atmospheric composition of the atomic and molecular species that produce highly structured
10 spectral features. By improving the coincidence of spectral features of incoming solar radiation
11 and absorption cross sections of gases in Earth's atmosphere, the new model may also
12 contribute to more authentic calculations of atmospheric deposition of solar radiative energy
13 and the terrestrial impacts of its variability.

14 **5.1. Solar Irradiance Variability Models**

15 The observation-based NRLSSI2h model prescribes solar ultraviolet spectral irradiance changes
16 that are significantly smaller in magnitude, and differ in detailed spectral shape, than estimates
17 made by the semi-empirical SRPM and NESSY-SATIRE models (by factors of about 5 and 2,
18 respectively, Figures 5 and 6). Solar cycle ultraviolet spectral irradiance variability at wavelengths
19 300-400 nm are likely too high in the SRPM and NESSY-SATIRE models, rather than too low in the
20 NRLSSI2h model given our understanding of uncertainties in observations and observation-based

1 model estimates of solar cycle spectral irradiance variability, which is of order 20%, not a factor
2 of 2 to 5. This is demonstrated by the agreement to better than 20% between the magnitude of
3 NRLSSI2's solar cycle changes in the wavelength band 200-400 nm with the Solar Irradiance Data
4 Exploitation (SOLID) project observation-based composites of solar spectral irradiance
5 (Haberreiter et al., 2017), throughout solar cycles 21, 22 and 23 (Table 5, Coddington et al.,
6 2019).

7 Similarly, the NESSY-SATIRE estimates of larger rotational changes than NRLSSI2h in solar
8 ultraviolet spectral irradiance at 350-400 nm (Figure 6b) are also likely too high. The NRLSSI2h
9 (and NRLSSI2) models are constructed specifically to match the observed rotational modulation
10 of solar spectral irradiance, which is measured with greater certainty than are the longer-term
11 11-year solar cycle changes. Furthermore, comparisons with OMI observations independently
12 validate the magnitude of NRLSSI2's rotational modulation (Coddington et al., 2019; Lean et al.,
13 2020). Moreover, on Carrington timescales the OMI irradiances show good agreement with
14 numerous contemporaneous space missions and composite data sets (Marchenko et al., 2016;
15 Marchenko et al., 2019).

16 That near-ultraviolet solar spectral irradiance variability is likely too large in the SRPM and
17 NESSY-SATIRE models has implications for how these semi-empirical models estimate irradiance
18 variability at other wavelengths because the variability in the integral of the solar spectral
19 irradiance must match the variability of total solar irradiance. Whereas the semi-empirical
20 models predict larger spectral irradiance variability than NRLSSI2h at ultraviolet wavelengths,
21 especially in the vicinity of major Fraunhofer features, they predict negligible or out of phase

1 solar cycle variability near 450 nm compared with NRLSSI2h's small positive increase, and smaller
2 or negative spectral irradiance variability at visible wavelengths from 550 to 900 nm, where the
3 Sun's radiative output is primarily continuum emission from the lower photosphere. These
4 systematic differences in the variability of solar emissions formed over a range of heights in the
5 solar atmosphere suggest that the adopted theoretical temperature profiles in the semi-
6 empirical models may not be realistic, as Judge et al. (2012) also concluded.

7 That the SRPM and NESSY-SATIRE models not only differ from the NRLSSI2h model but also
8 differ significantly from each other suggests that semi-empirical models of solar spectral
9 irradiance variability have yet to properly compute the transfer of radiation in the sun's
10 atmosphere arising from the quantum mechanical structure of multiple atoms and molecules.
11 Even using non-local thermodynamical equilibrium, they are not yet able to properly quantify
12 solar atmosphere temperature and densities in faculae and sunspots with the certainty needed
13 for reliable estimates of solar irradiance variability. The discrepancies between semi-empirical
14 models themselves, and their differences with the NRLSSI2h and NRLSSI2 observation-based
15 models, caution against using semi empirical model estimates of solar spectral irradiance
16 variability to interpret changes in Earth's climate and atmosphere.

17 The observation-based NRLSSI2h model may help improve semi-empirical models of solar
18 spectral irradiance variability. Comparisons of the variability estimated by the two types of
19 models at wavelengths dominated by primary emission and absorption features (such as those
20 listed specifically in Table 1) could help calibrate and quantify the semi-empirical model
21 characterizations of magnetic features in the solar atmosphere and the combinations of bright

1 faculae and dark sunspot features that they adopt. For example, the NRLSSI2h model shows that
2 the Ca II Fraunhofer lines contribute ~50% as much as the CN molecular system to spectral
3 irradiance variability at wavelengths from 370 to 400 nm, a region whose variability Shapiro et al.
4 (2011, 2015) attribute primarily to CN in their NESSY-SATIRE semi-empirical model, which does
5 not appear to adequately resolve the Ca II and CN features. Such comparisons could be
6 particularly instructive for rotational time scale variability, on which the observation-based
7 models are based and are therefore relatively robust, as demonstrated also by independent
8 validation.

9 [5.2. Solar Energy Deposition in the Atmosphere](#)

10 The spectral resolution of co-located features in incident solar radiation and atmosphere
11 absorption cross sections can affect calculations of solar energy deposition in the terrestrial
12 atmosphere, as the attenuation of solar Lyman α radiation by molecular oxygen shown in Figures
13 7 and 8, demonstrates. That the peak emission at 121.567 nm coincides with a deep minimum in
14 the O₂ absorption cross section (Figure 2) allows the more spectrally variable H I Lyman α
15 emission to penetrate more deeply into the Earth's atmosphere than does far ultraviolet
16 radiation in the less variable wings of the line. By better resolving the solar H I Lyman α line
17 profile, the 0.1 nm spectral resolution NRLSSI2h model permits improved calculations of its
18 atmospheric absorption. Since solar Lyman α radiation is a dominant source of energy for the
19 mesosphere and water vapor dissociation, properly specifying the spectral dependence of its
20 variability may improve simulations of solar influences on mesospheric variability.

1 In some wavelength regions such as 279-282 nm, where solar spectral irradiance variability is
2 strongly wavelength dependent due to the Mg II h-k Fraunhofer lines but the ozone absorption
3 cross section, near the peak of the Hartley band does not vary greatly, the differences in
4 calculations of ozone absorption are not expected to differ greatly using NRLSSI2h and NRSSI2.
5 More generally, a rigorous determination of the extent to which ultraviolet spectral emission and
6 absorption feature affect solar energy deposition in the atmosphere requires spectral irradiance
7 variability models with higher spectral resolution than 0.1 nm at far ultraviolet wavelengths and
8 better than 0.5 nm at middle and near ultraviolet wavelengths. This is because the improved 0.1
9 nm spectral resolution of NRLSSI2h is still insufficient to resolve many ultraviolet emission lines
10 that are optically thin, with widths of ~ 0.01 nm that may be co-located with O₂ discrete
11 absorption in the Schumann Runge bands (175-205 nm). The newly constructed TSIS-1 Hybrid
12 Solar Reference Spectrum (HSRS) affords such higher spectral resolution during solar cycle
13 minimum in 2019 (Coddington et al., 2020). Evaluation of the improvement in the
14 characterization of solar spectral irradiance using 0.1 nm instead of 1 nm resolution will require
15 atmospheric models to utilize cross-section data with comparable spectral resolution.

16 **6 Summary**

17 A new model of solar ultraviolet spectral irradiance variability, NRSSI2h, is constructed with 0.1
18 nm resolution at wavelength from 115 to 310 nm and 0.5 nm spectral resolution from 310 to
19 500 nm. The modelled irradiances summed into 1 nm bins have the same absolute scale and
20 variability as the 1 nm NRLSSI2 model, and therefore directly augment the NOAA Solar Irradiance
21 CDR. The auxiliary material provides a file of NRLSSI2h at wavelengths from 115 to 500 nm from
22 1978 to 2020 (inclusive). In future work, the NRLSSI2h (and NRLSSI2) spectral irradiance

1 variability models will be converted to a new absolute irradiance scale based on recent
2 measurements made by the Spectral Irradiance Monitor (SIM) on the Total and Spectral Solar
3 Irradiance Sensor (TSIS) mission (Coddington et al., 2020) and improved by incorporating new
4 TSIS SIM observations which have superior stability to SORCE SIM, especially at near infrared
5 wavelengths.

6 By better resolving ultraviolet spectral emission and absorption features, the new
7 observation-based NRLSSI2h model better captures the larger variability in these features than
8 does the NRLSSI2 1 nm model. Compared to semi empirical models of solar spectral irradiance
9 variability, the NRLSSI2h model estimates notably less variability, especially in prominent
10 Fraunhofer absorption features, and has a different spectral structure than semi-empirical
11 models estimate on time scales of both the solar cycle and, to a smaller extent, solar rotation.
12 Since NRLSSI2h results are based on independent and well-documented SSI measurements
13 during solar cycle 24, it is more likely that the semi-empirical models overestimate ultraviolet
14 solar irradiance variability than the observation-based NRLSSI2h model underestimates the
15 variability. This challenges the semi-empirical radiative transfer models to calculate their facular
16 and sunspots components such that the resultant irradiance variability agrees with the
17 constraints in both magnitude and spectral shape of the observation-based model estimates,
18 especially on time scales of solar rotation for which the observations specify the changes with
19 highest certainty.

20 The NRLSSI2h 0.1 nm spectral irradiance variability model can enable calculations of solar
21 energy deposition in the Earth's atmosphere that better map incident solar radiation and its

1 variability to the atmospheric altitude of its absorption, by better matching the spectral
2 resolution of the features in the atmosphere gases that absorb this radiation. Incorporating a
3 more accurate representation of both SSI variability and atmospheric response may affect the
4 ability of atmospheric models to simulate changes in temperature and composition due to
5 changing solar radiation. While the spectral resolution of the new irradiance variability model is
6 not sufficient to resolve the details of oxygen absorption cross section in the Schumann Runge
7 bands, it may nevertheless contribute some improvements in this region also; a new
8 SCOSTEP/PRESTO program proposes to investigate the utility of the new higher resolution solar
9 spectral irradiance model, and of the even higher resolution HSRS, for improving Solar terrestrial
10 simulations (Coddington & Lean, 2021)

11 **7 Acknowledgements**

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13 Team (SIST) program.

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8

1 Table 1. Radiative energy in selected spectral irradiance features (in $W m^{-2}$ total for the specified
 2 wavelength interval), according to NRLSSI2h during solar minimum (2009.0-2009.2), solar cycle
 3 23 maximum (2001.93-2002.18) and solar cycle 24 maximum (2013.53-2013.78), and the
 4 percentage increases in solar cycle 23 and 24. Also included are the corresponding values for
 5 total solar irradiance, according to the integrated NRLSSI2 CDR model.

6

Lower Wavelength nm	Upper Wavelength nm	Spectral Feature Identification	Solar Minimum $W m^{-2}$	Cycle 23 Maximum $W m^{-2}$	Cycle 24 Maximum $W m^{-2}$	Cycle 23 Increase %	Cycle 24 increase %
115.5	999,999.5	total solar irradiance	1360.572	1362.265	1361.418	0.124	0.062
120.8	121.2	continuum short of Lyman α	0.000040	0.000057	0.000047	42.4	17.5
121.4	121.8	H I Lyman α line	0.00585	0.00926	0.00724	58.3	23.7
181.2	181.5	continuum short of Si II lines	0.000523	0.000588	0.00055	12.4	5.1
181.55	181.85	Si II lines	0.00097	0.00119	0.00106	22.3	9.0
202	204	continuum short of Al I edge	0.0163	0.0177	0.0169	8.4	3.4
222	224	continuum long of Al I edge	0.106	0.110	0.108	3.5	1.4
279.5	279.75	Mg II k line	0.017	0.022	0.019	26.5	10.7
279.8	280.05	continuum between Mg II h-k	0.0156	0.016	0.0158	2.9	1.2
280.2	280.45	Mg II h line	0.0165	0.020	0.0179	20.3	8.2
360	370	continuum short of CN band system	10.987	11.015	11.001	0.26	0.13
379	389	CN band system	9.851	9.929	9.885	0.79	0.34
391	397	Ca II H-K lines	6.236	6.273	6.252	0.59	0.26
401	407	continuum long of Ca II H-K lines	10.721	10.732	10.728	0.11	0.07
425	435	CH	16.01	16.05	16.03	0.24	0.12
450	460	continuum long of CH	20.840	20.850	20.848	0.05	0.04

7

8

9

1 Figure Captions

- 2 1. Shown in a) is the Sun's spectral irradiance from 115 to 500 nm measured by SOLSTICE with
3 0.1 nm resolution at wavelengths below 310 nm and by OMI with 0.4-0.6 nm resolution at
4 wavelengths 260 to 500 nm. Nominal black body irradiance curves for temperatures of 4470
5 K and 5990 K are also shown for reference. Shown in b) are the absorption cross sections of
6 molecular oxygen, O_2 , and ozone, O_3 , the two gases in Earth's atmosphere that primarily
7 absorb solar ultraviolet radiation.
- 8 2. Shown are examples of ultraviolet spectral regions where prominent solar spectral irradiance
9 features, shown in a), are co-located with spectral structure in the absorption cross sections
10 of atmospheric gases, shown in b).
- 11 3. Compared are the time series of solar ultraviolet irradiance observed by SOLSTICE with 0.1
12 nm spectral resolution (red dots) and modelled by NRLSSI2h (blue lines) at the wavelength of
13 a) peak H I Lyman α line emission and b) the nearby continuum, compared with c) the
14 irradiance in the 121-122 nm bin in the NRLSSI2 model and SOLSTICE observations.
15 Compared in d) are the SOLSTICE observations (red dots) and NRLSSI2h model (blue lines) of
16 irradiance at the wavelength of the peak Si II emission, in e) in the nearby continuum, and in
17 f) in the 181-182 nm bin of the NRLSSI2 model and SOLSTICE observations.
- 18 4. The increase in solar spectral irradiance at wavelengths from 115 to 500 nm from solar cycle
19 minimum (average of days from 2009.0 to 2009.2) to the peak of solar cycle 23 (average of
20 days from 2001.93-2002.18) is shown as the percentage change (relative to cycle minimum)
21 in the higher resolution NRLSSI2h model (blue line, modeled using SOLSTICE in the 120-310

1 nm range, green line modelled using OMI in the 310-500 nm range) compared with the 1 nm
2 NRLSSI2 model (orange line).

3 5. Shown in the upper panel, as an alternate depiction of solar cycle spectral irradiance
4 variability, is the increase at wavelengths from 115 to 500 nm in energy units ($\text{W m}^{-2} \text{nm}^{-1}$)
5 from one day during solar cycle minimum (15 Sept 2008) to one day near the maximum of
6 solar cycle 23 (17 May 2001) in the higher resolution NRLSSI2h model (green line) compared
7 with the 1 nm NRLSSI2 model (red line). Shown in the lower panel is the spectral irradiance
8 increase (also in $\text{W m}^{-2} \text{nm}^{-1}$) for the same two days according to the semi-empirical SRPM
9 model (Fontenla et al., 2015). Note the change in vertical scale relative to the upper panel.

10 6. Shown in the upper panel is a further depiction of the solar cycle increase in solar spectral
11 irradiance at wavelengths from 115 to 500 nm, as a fraction of the contribution (in $\text{W m}^{-2} \text{nm}^{-1}$)
12 ¹) to the corresponding increase in total solar irradiance (W m^{-2}) from solar cycle minimum
13 (the average of all days in 2008) solar cycle 23 maximum (the average of all days in 2000).
14 Compared with the estimates of the higher resolution NRLSSI2h model (blue line) and the 1
15 nm NRLSSI2 model (orange line) are the calculations of the semi-empirical NESSY-SATIRE
16 model (green line, Shapiro et al., 2015). Shown in the lower panel are magnitudes of the
17 modulation of solar spectral irradiance by the Sun's ~27-day rotation, according to NRLSSI2h
18 (blue line), NRLSSI2 (orange line) and the NESSY-SATIRE semi-empirical model (green line); as
19 in Shapiro et al. (2015), the magnitude of rotational modulation is determined as the
20 standard deviation of the spectral irradiance relative to 81-day running means for 1999 to
21 2010 (inclusive).

1 7. Shown are the altitude profiles of solar spectral irradiance attenuated by atmospheric
2 molecular oxygen absorption at 40 discrete wavelengths (in 0.025 nm increments) between
3 121 and 122 nm, determined using the higher resolution spectral irradiance variability model
4 NRLSSI2h (blue lines). For comparison, also shown are the altitude profiles of atmospheric
5 molecular oxygen absorption of solar spectral irradiance specified by the 1 nm band at 121.5
6 nm of NRLSSI2 (orange lines), for which the irradiance is constant across the 121-122 nm
7 interval (and values at the 0.025 nm wavelength increments correspondingly constant).

8 8. The atmospheric attenuation of total solar flux at wavelengths 121-122 nm (the sum of the
9 individual attenuation profiles in Figure 7) calculated using NRLSSI2h (blue lines) and NRLSSI2
10 (orange lines) are compared in the left panel during solar cycle minimum (dashed lines) and
11 maximum (solid lines). The middle panel shows the differences between the attenuation
12 profiles of the 121-122 nm solar radiation calculated using NRLSSI2h and NRLSSI2 during
13 solar maximum (1 Jan 2002, solid line) and solar minimum (1 Jan 2009, dashed line). In the
14 right panel are the differences in the attenuation profiles of the 121-122 nm solar radiation
15 between solar maximum and minimum, calculated using NRLSSI2h (blue line) and
16 NRLSSI2 (orange line).

Figure 1

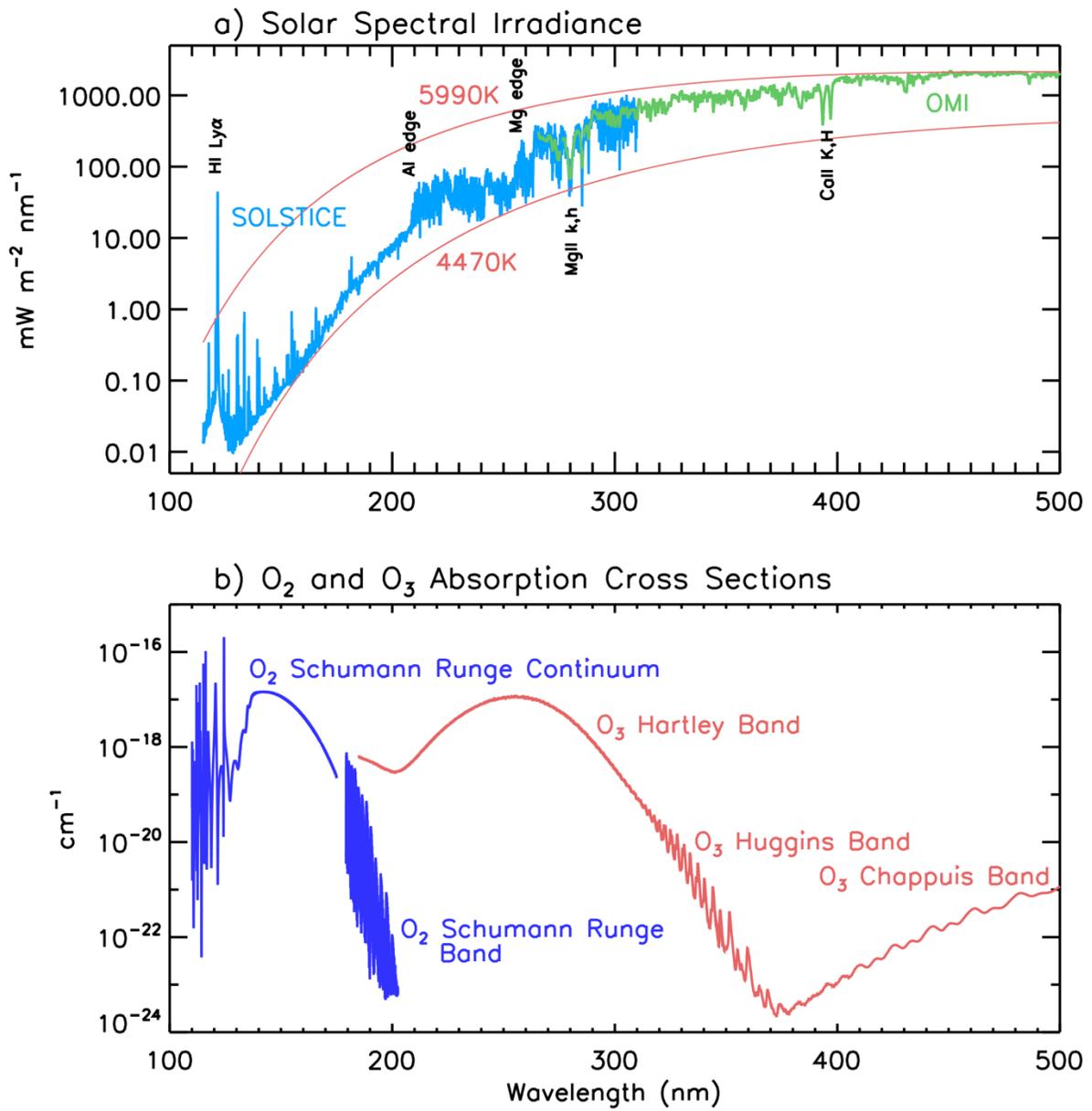


Figure 2

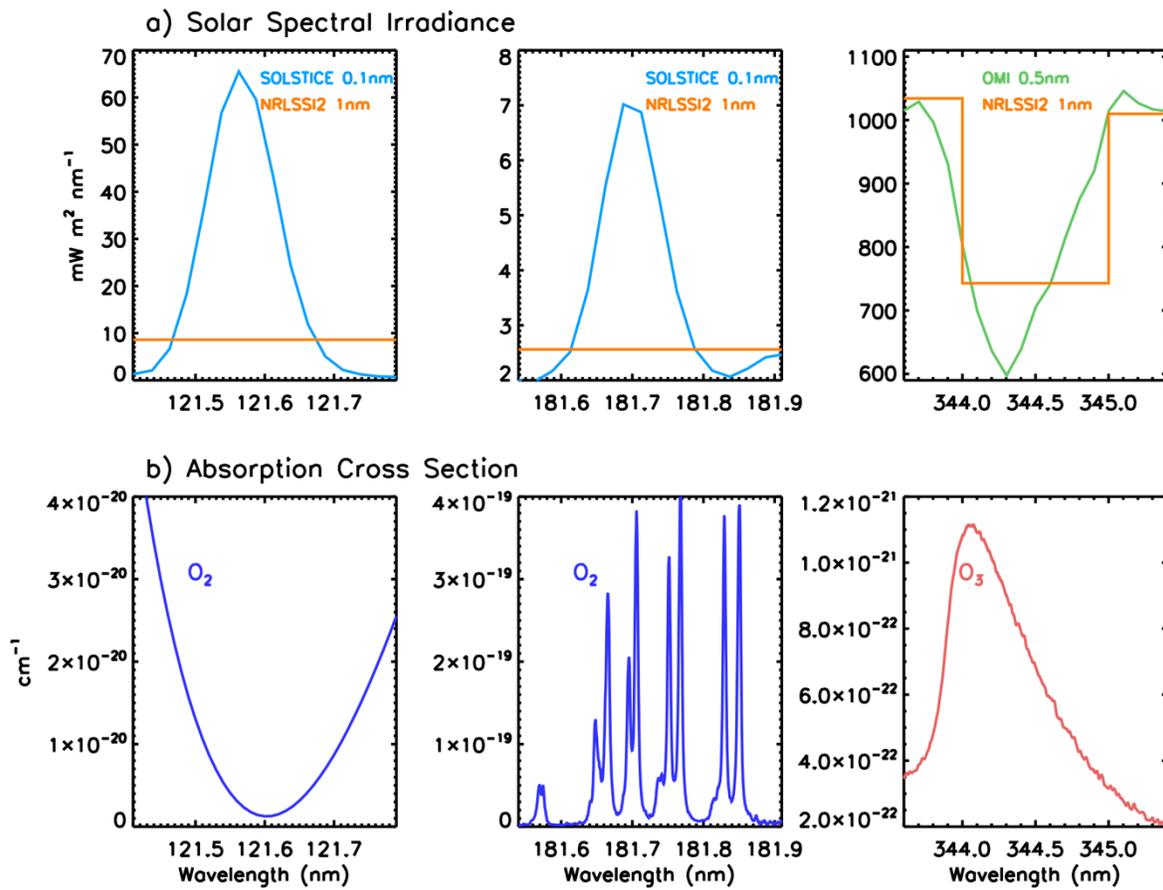


Figure 3

Solar Spectral Irradiance Variability

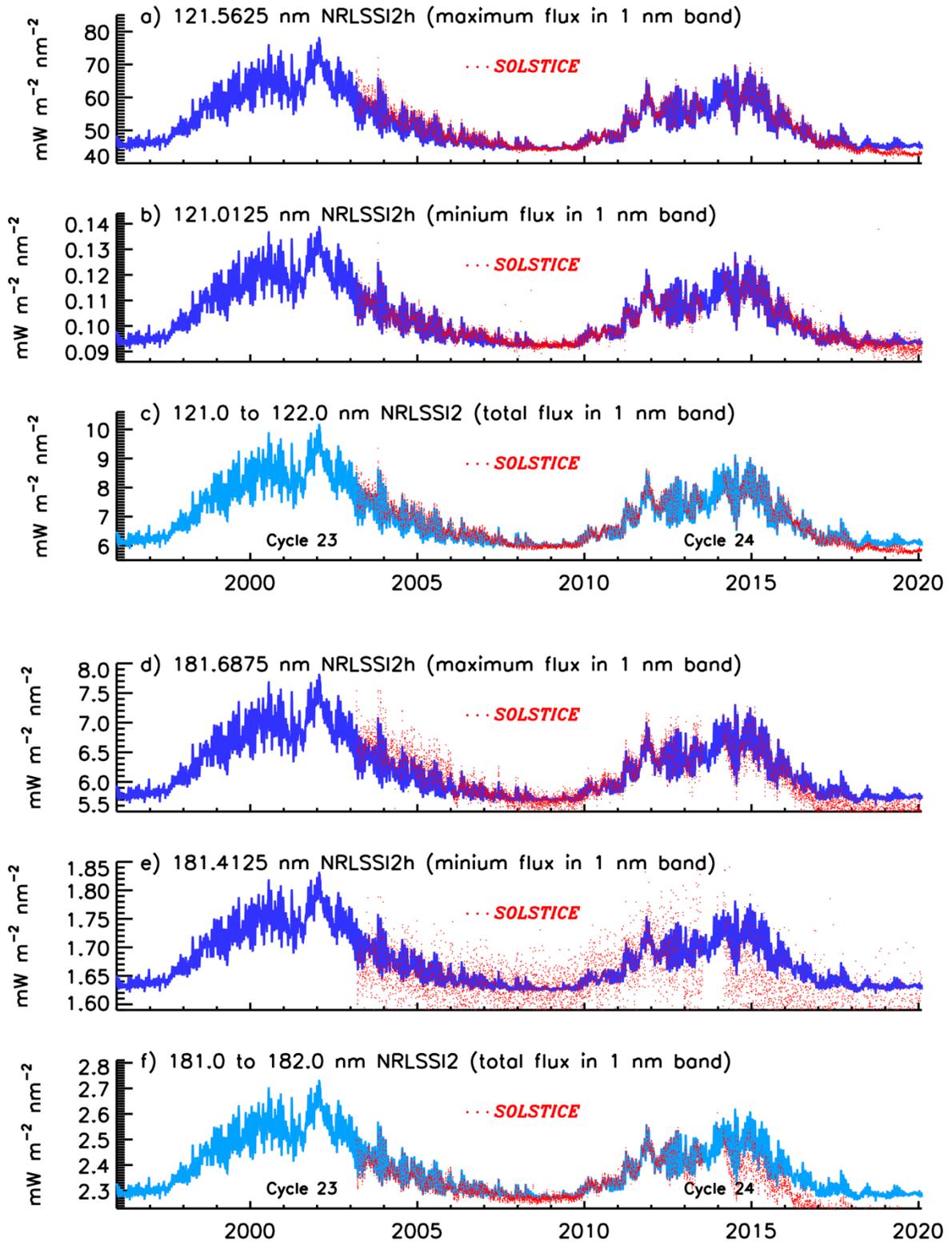


Figure 4

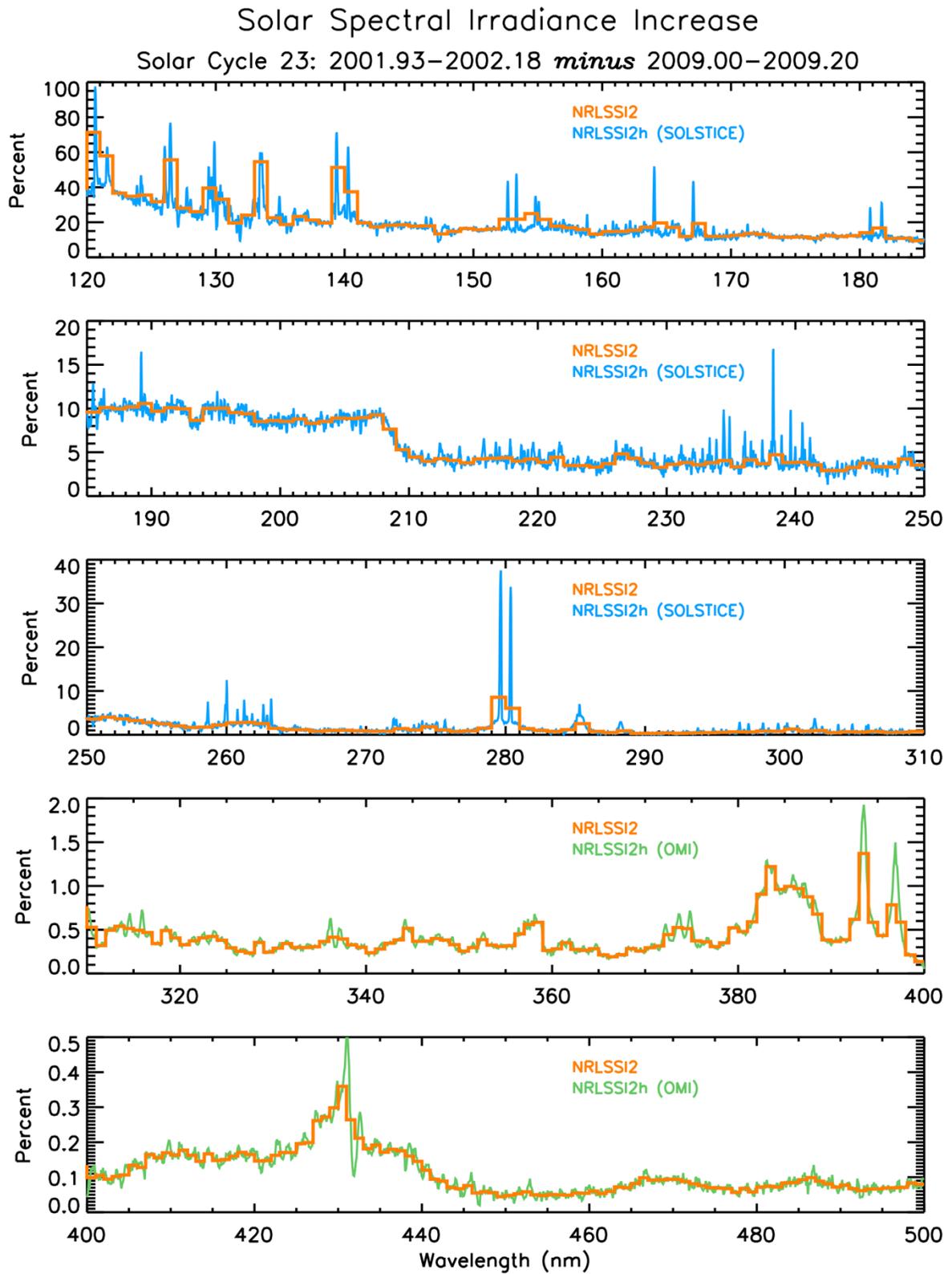


Figure 5

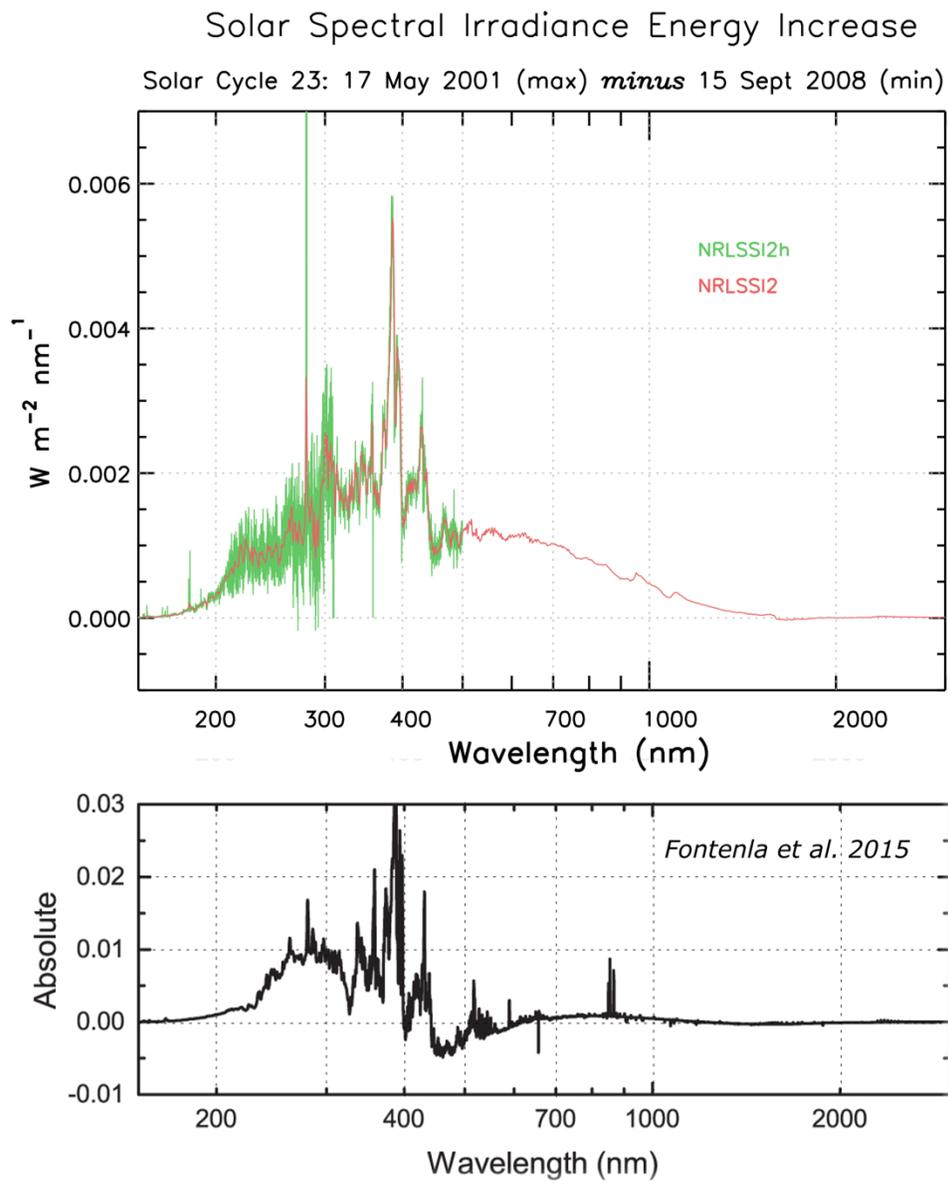


Figure 7

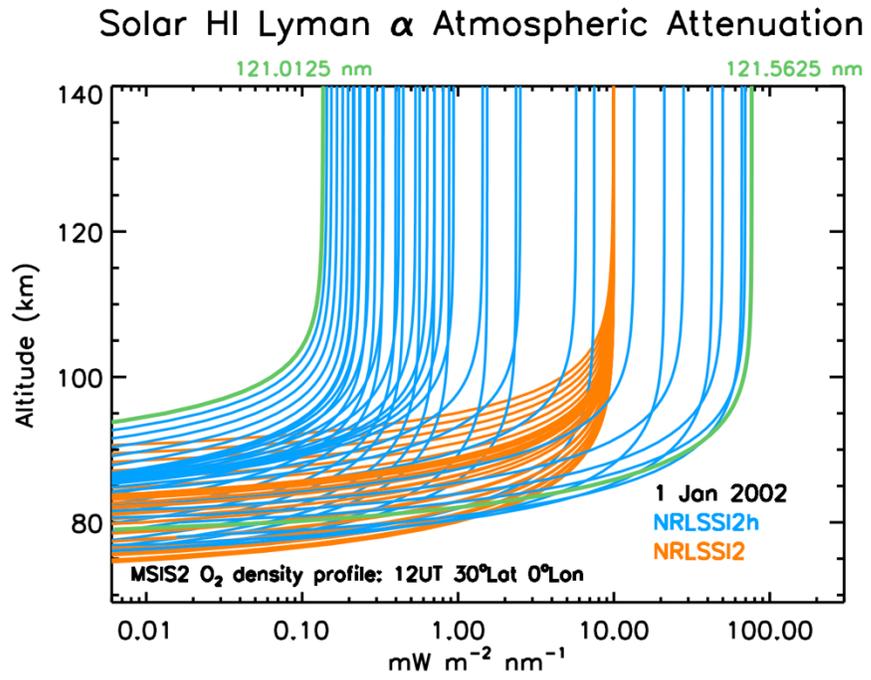


Figure 8

Solar HI Lyman α Atmospheric Attenuation

