

# Segmentation of Common Conversion Point Stacks using a Neural Network

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## Abstract

Receiver functions, an important tool in understanding sub-surface interfaces, can be analysed through carefully implemented neural networks. We demonstrate this approach. Previously, we introduced our receiver function tool set, Pythonic Global Lithospheric Imaging using Earthquake Recordings (PyGLImER). PyGLImER enables us to: [1] create a database of teleseismic event displacement records at worldwide seismic stations, [2] compute receiver functions from these records, and [3] compute volumetric common conversion point (CCP) stacks from the receiver functions and their conversion points. CCP stacking is a standard tool to image the subsurface using receiver functions. The CCP stacks represent rich but large, three-dimensional volumes of data that contain information about discontinuities in Earth's crust and upper mantle. One goal of the interpretation of CCPs is the identification of such discontinuities. Automated picking routines reduce discontinuities to singular peaks and troughs, thus discarding the wealth of information available over the whole depth range, such as integrated discontinuity impedance and regional geometry. However, the obvious alternative, manual picking, is not feasible for large data volumes. Here, we explore the possibility of fully-automated segmentation of 3D CCP volumes through the application of image processing routines and machine learning to successive volume cross-sections. With our picking tool, we manually label discontinuities in CCP slices to serve as training and validation sets. We use these labeled datasets as input to train a convolutional neural network (CNN) to perform pixel-wise identifications in subsurface images. When applied to all slices of the CCP stack, the CNN outputs a fully-segmented 3D model, which furnishes quantitative exploration of subsurface discontinuity morphology. Specifically, we can investigate the thickness/width, intensity, and topography of discontinuities across continents. This information has the potential to improve our understanding of, e.g., mantle transition zone phase transitions and, therefore, mantle dynamics.

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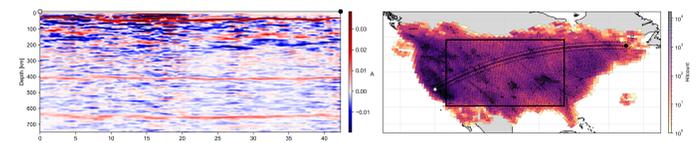


## Introduction

Converted teleseismic earthquake waves have been used for almost half a century to investigate Earth's sharp compositional discontinuities (Langston et al., 1977; Vinnik, 1977). During this time most of the focus rested on finding the exact location of the such discontinuities. However, different thermal and compositional differences can have a large effect on the transition itself. That is, width, intensity, and roughness could tell us more about thermal, chemical, and compositional structure of the upper mantle phase transitions. To assess this idea, we need to know the width of the subsurface discontinuities. Here, we explore the possibility of using a convolutional neural network to distinguish between discontinuity and surrounding mantle to analyze the discontinuities related to the mantle transition zone; i.e. the 410, which is the depth at which olivine transitions to wadsleyite, and the 660 which is the depth at which ringwoodite transitions to bridgmanite and ferropericlasite. We are asking whether the discontinuities occupy different ranges in depth, do they have different characteristic mean amplitudes and how do these values change laterally? Do they vary at all? Or is the observed thickness solely controlled by filter width?

## Dataset

Using PyGLmER (Makus et al., 2021), we first collected waveforms for a majority of the stations available in North America, rotated the waveforms to a P-Sv-Sh coordinate system, and computed receiver functions (RFs) using iterative time domain deconvolution with a Gaussian width parameter of 2.5 (Ligorria & Ammon, 1999). Then, we traced the P and S travel times using backwards ray-shooting in a 2-D plane through the GYPSUM 3D global P and S velocity model (Simmons et al., 2010), and computed the differential travel times. Using the travel times, we moveout corrected and stacked the traced receiver functions in circular bins spaced at 0.58° with radius 0.5° at their conversion points. An example slice through the resulting CCP volume is shown in Figure 2 on the left with accompanying map on the right.



## CNN

Neural Networks are ubiquitous in our daily lives. They are used in, e.g., automated photo tagging on your mobile phone or autonomous cars to detect surrounding objects. Neural networks are generally modeled after the human brain and can be extremely powerful in detecting features in images after the user trains the network by giving it traced examples (the equivalent of teaching a human child to recognize objects with flash cards). The CCP dataset we have in our hands is nothing more than a stack of images in three dimensions with features (410 and 660) that are waiting to be detected. An example of feature detection in photos is shown in Figure 3.

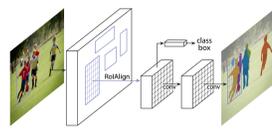


Figure 3: Example of a possible input and output of a Neural Network. In this case a more sophisticated approach "Mask R-CNN". Next to masks, a mask CNN also provides bounding boxes of individual features, which can be very powerful for overlapping objects. Figure from He et al., 2017.

## Dataset Labeling

We created a custom labeling software that makes use of 'super-pixels' that are found using the SLIC algorithm (Achanta et al. 2012) and are subsequently selected in a point-click fashion. We choose two labels: 'Discontinuity': 0 and 'Nothing': 1. In post-processing, the 410 and 660 discontinuity are separated using their depth ranges. Note that in Figure 4, the SLIC segmentation already does an incredible job at finding boundaries on a grayscale image — colormap is only for reference.

## Training

We designed a simple two-layer convolutional neural network (CNN) that takes in three channel 101x101 pixel images and outputs a single class. We trained the CNN by taking 101x101 element x-, y-, and z-slices around a pixel in the CCP volume, which serve as the 3 channel inputs (see Figure 5). We use a class-balanced subset of 100,000 points from CCP volume to train the model. The training of the neural network is done on a single NVIDIA Tesla V100 and PyTorch. We used the Adam optimizer for this experiment with a weight decay value of 0.1, a learning rate of 0.0001, and cross-entropy loss. The training and validation evolutions are shown in Figure 6, left. The final filters of the first layer at the end of the training are shown in Figure 6, right.

## CNN (cont.)

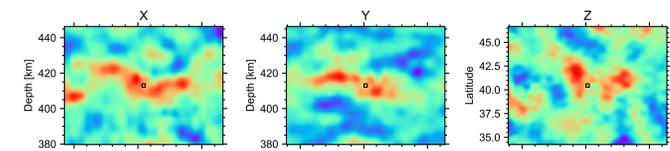


Figure 5: Example of three channel input for the CNN. Slices in each dimension (Lat, Lon, Z -> X, Y, Z). Here a slice around the 410 discontinuity. The black square indicates the location that is labeled by the CNN given these three input slices.

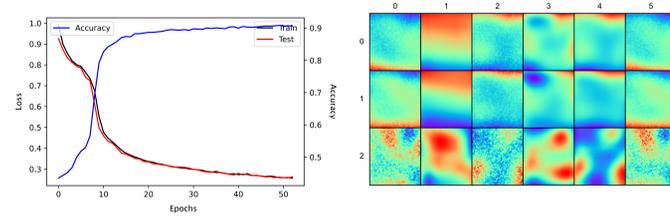


Figure 6: (Left) Model evolution. In black the training loss, and in red the loss associated with the held back data set. In blue the accuracy, i.e., fraction of correctly labeled data points. (Right) Final convolutional filters of the first layer in the neural network.

## Results

### Labeled Slices

The labeled volume is processed to remove spurious random classifications using common post processing routines such as opening, closing, remove small objects etc. The labeled discontinuities are also distinguished by their depth resulting in three masks: 410, 660, and 'nothing' (s. Figure 7).

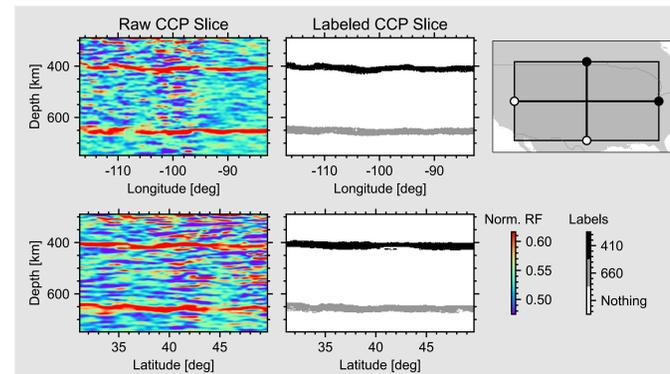


Figure 7: From Raw to labeled CCP slice. (Left column) Raw CCP slices with normalized amplitudes. Slice locations are indicated in the map in the top-right. (Center Column) Labeled CCP Slices. Colorbar for Norm RF and label are shown in the bottom right corner of the figure.

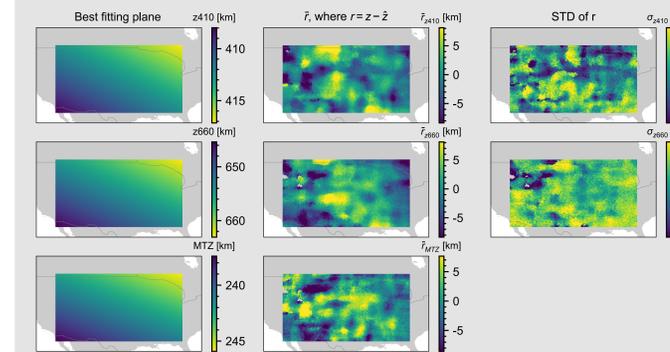


Figure 8: (Left column) Using the coordinates of the mask we can fit planes to the 410 and 660 discontinuity and compute corresponding MTZ thickness. (Center column) We subtract the fitted planes from the masked z coordinates to compute the mean vertical residual. In other words, positive values indicate the actual discontinuity is below the plane approximation and the standard deviation as for the horizontal coordinates. For the thickness, a positive value indicates that the actual MTZ is thicker than the ideal plane solution. (Right column) Standard deviations of the center columns' residuals.

## Results (cont.)

### Best fitting planar MTZ

In Figure 7, we fit a plane to the coordinates of the two mantle transition zone discontinuities within the masks and compute the vertical residuals and their distributions. According to planar fit, the MTZ, on average becomes thicker from south west to north east. The 410 and 660 both deepen northward. The 660 deepens at a higher rate than the 410 discontinuity leading. It is important to note that especially in the central part of the depth slices the plane approximation is not adequate to capture the strong relief of the discontinuities; a valley in both phase transitions stretches from north west to south within the plane. Both 410 and 660 are deeper in that region and the MTZ appears to be thicker. The "valley" in both discontinuities is clearly visible in the 2D slice in Figure 9. While the ideal plane solutions for absolute depths of the 410 and 660 discontinuities look fairly different from trends shown in a previous study (Gao, 2014), the trend in transition zone thickness matches. This discrepancy in absolute depth is an effect of the updates to the upper mantle velocity structure from the GYPSUM model (Simmons et al., 2010). This effect is studied extensively in Burky et al., 2021 (see here: Dec 13 16-18.00, Board 0005, Poster Hall D-F).

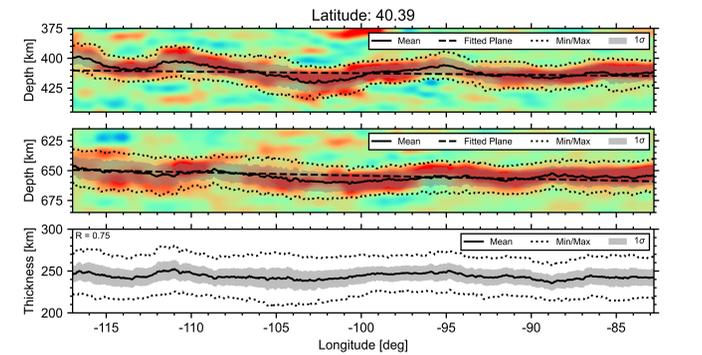


Figure 9: A latitude slice through the CCP volume at 40.39°N with statistics of the masked depth values. (Top) 410 discontinuity, (Center) 660 discontinuity, (Bottom) Thickness of the mantle transition zone. The standard deviation is the combined standard deviation of 410, and 660, assuming that they are distributed independently (which is most likely not true). The R value in the thickness plot is the correlation coefficient between 410 and 660 mean depths.

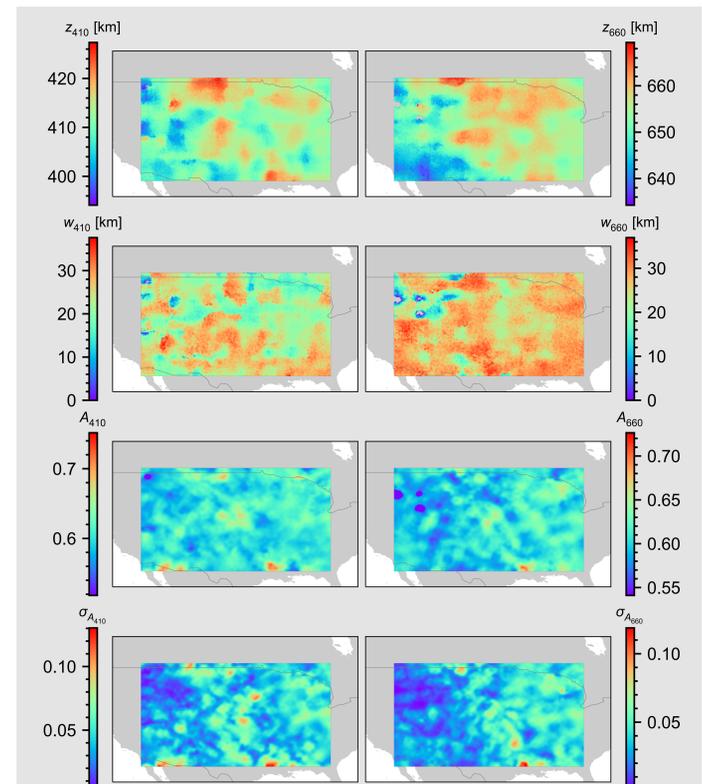


Figure 10: Measurements within the masks. (Left column) Measurement from the 410 mask. (Right column) Measurement from the 660 mask. Rows from top to bottom: [1] Mean depth - at location (x,y) the mean of all z values within the mask is computed; [2] width of the mask - at location (x,y) the range of z values in a mask is computed; [3] mean amplitude - at location (x,y) the mean of all amplitude values within the mask is computed; [4] standard deviation in the amplitude - at location (x,y) the standard deviation of all amplitude values within the mask is computed.

## Results (cont.)

The widths of the discontinuities show small regional trends (Figure 10, second row). The 410 is on average thinner in the north east than the south west. A similar trend does not seem to exist for the 660 discontinuity, which only shows some anomalously thin locations in the north west. The average pulse width of the 410 is 23.43±4.42 km and slightly thicker for the 660 at 26.18±4.32 km. *Disclaimer:* These are *not* the actual thickness of the transitions, but rather the convolution of its width with the RF's low pass filter. This is by no means a direct measure of the transitions thickness but rather a first order tool to investigate lateral variations. The average amplitude (Figure 10, third row) in the 410 discontinuity does not show and significant regional trends, whereas the 660 seems to increase in mean amplitude from south west to north east. With the increase in amplitude, however, the standard deviation of the amplitude (Figure 10, fourth row) is increasing as well. The transition from low to high standard deviation seems to coincide with transition from shallow to deep 660. At this point, we are not certain whether this is a results of the mask that is found by the CNN or, indeed, the structural and compositional effect — further investigation is required.

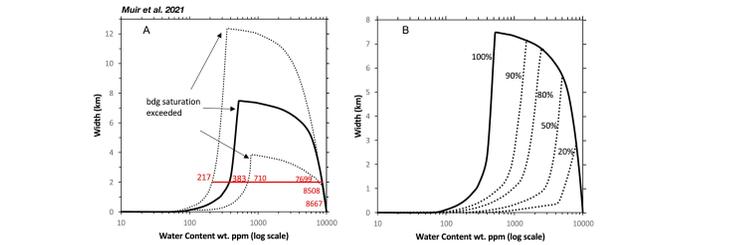


Figure 11: Effects of water content on the width of 660 discontinuity from Density Functional Theory. A wider transition could have implications on the water content in the transition zone. From Muir et al., 2021

Additionally, we find that the mean amplitude correlates positively with the depth of the 660 discontinuity (R = 0.88). Whether this is a regional effect or related to the path traveled or a result of the mask itself remains to be investigated.

## Conclusions

### Summary

- Using a simple convolutional neural network we detected the mantle transition zone discontinuities related to olivine phase transitions, and their width.
- We subsequently made measurements within the masks of the of the discontinuities finding
  - An average 410-depth of the 412.4±4.4 km
  - An average 660-depth of the 654.1±5.5 km
- Both discontinuities show similar mean amplitude although the mean amplitude of the 410 is slightly higher with a larger spread:
  - 410 — 0.6052±0.0230 A;
  - 660 — 0.6047±0.0193 A.
- We found a positive correlation between the depth of the 660 and its mean amplitude in the found mask correlate. At this point, we are not certain whether this is expected.
- The standard deviation in amplitude measurement increases abruptly from south west to north east.

### Outlook

- More investigation is needed and a higher accuracy CNN required to make clear deductions. Plans for improvement:
- Pre-trained models for cross-learning the features present in the CCP slices
  - Explore learning methods (not only 2D CNN, but R-CNN etc., 1D convolutional methods)
  - Change RF processing techniques
  - Instead of just RF slices additional data can be generated by processing the input RFs slices, say to 2D Fourier space etc.

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