Transient behavior of the Asian summer monsoon anticyclone associated with eastward eddy shedding

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Abstract

The Asian monsoon anticyclone (AMA) exhibits a trimodal distribution of sub-vortices and the western Pacific is one of the preferred locations. Amplification of the western Pacific anticyclone (WPA) is often linked with eastward eddy shedding from the AMA, although the processes are not well understood. This study investigates the dynamics driving eastward eddy shedding associated with the emergence of the WPA in the upper troposphere and lower stratosphere on synoptic scales. Using reanalysis data during 1979 to 2019, our composite analysis reveals that amplified WPA events are closely related to the upstream Silk Road (SR) wave-train pattern over mid-latitude Eurasia as identified in previous studies. The quasi-stationary eastward propagating eddies result from baroclinic excitation along the westerly jet, as identified by coherent eddy heat fluxes and relaxation of the low-level temperature gradient. The upper-level westerly jet is important in determining the longitudinal phase-locking of wave trains, which are anchored and amplify near the jet exit. Occasionally enhanced convection near the Philippines also triggers anticyclonic eddies that propagate upward and northeastward via the Pacific-Japan (PJ) pattern, forming the WPA in the upper troposphere. Correlation analysis suggests that the SR and PJ mechanisms are not physically correlated.

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10 Key Points:

- Eastward eddy shedding from the Asian monsoon anticyclone is often associated with
- the emergence of an isolated western Paci c anticyclone.
- ¹³ Western Paci c anticyclone is closely related to the Silk Road pattern. Eddies grow
- ¹⁴ along the jet in a baroclinically unstable background.
- ¹⁵ Eastward eddy shedding occasionally occurs in association with the Paci c-Japan
- ¹⁶ pattern forced by strong convection near the Philippines.

17 Abstract

The Asian monsoon anticyclone (AMA) exhibits a trimodal distribution of sub-vortices and 18 the western Paci c is one of the preferred locations. Ampli cation of the western Paci c an-19 ticyclone (WPA) is often linked with eastward eddy shedding from the AMA, although the 20 processes are not well understood. This study investigates the dynamics driving eastward 21 eddy shedding associated with the emergence of the WPA in the upper troposphere and 22 lower stratosphere on synoptic scales. Using reanalysis data during 1979 to 2019, our com-23 posite analysis reveals that ampli ed WPA events are closely related to the upstream Silk 24 Road (SR) wave-train pattern over mid-latitude Eurasia as identi ed in previous studies. 25 The quasi-stationary eastward propagating eddies result from baroclinic excitation along 26 the westerly jet, as identi ed by coherent eddy heat uxes and relaxation of the low-level 27 temperature gradient. The upper-level westerly jet is important in determining the lon-28 gitudinal phase-locking of wave trains, which are anchored and amplify near the jet exit. 29 Occasionally enhanced convection near the Philippines also triggers anticyclonic eddies that 30 propagate upward and northeastward via the Paci c-Japan (PJ) pattern, forming the WPA 31 in the upper troposphere. Correlation analysis suggests that the SR and PJ mechanisms 32 are not physically correlated. 33

³⁴ 1 Introduction

The Asian monsoon anticyclone (AMA) is the major circulation pattern in the upper 35 troposphere and lower stratosphere (UTLS) during Northern summer, covering large parts 36 of Eurasia. Relatively high tropospheric trace gases (e.g., water vapor, carbon monoxide, hy-37 drogen cyanide) and aerosol (e.g., sulfate, black carbon) concentrations are con ned within 38 the area of anticyclonic circulation, imposing a substantial e ect on UTLS composition, and 39 also potentially on the surface weather and climate (Randel et al., 2015; X. Wang et al., 40 2018; Randel & Park, 2006; Randel et al., 2010; Santee et al., 2017; Hopfner et al., 2019; 41 Vernier et al., 2015; Solomon et al., 2011; Y. Wu et al., 2020). 42

⁴³ Understanding the location and movement of the AMA is important for quantifying ⁴⁴ dynamical and trace gas evolution in the UTLS. Studying the behavior of AMA dates back ⁴⁵ to Tao and Zhu (1964) who found the opposite movement between the upper-level AMA and ⁴⁶ mid-level western North Paci c subtropical high in East Asia. Previous studies assuming ⁴⁷ the anticyclone has a single center reveal that the AMA exhibits a bimodal distribution ⁴⁸ over Iran and the Tibetan Plateau (Q. Zhang et al., 2002). The details of the bimodal

distribution are sensitive to the use of di erent reanalysis data sets (Nutzel et al., 2016), 49 and bimodality is potentially driven by variations in convection (e.g., Garny & Randel, 50 2013), monsoonal heating (e.g., P. Zhang et al., 2016), orographic e ects (Q. Zhang et al., 51 2002; Liu et al., 2007), and large-scale dynamical variability (Amemiya & Sato, 2020). More 52 recent analyses have highlighted that the AMA is subject to large dynamical variability on 53 synoptic scales, constantly splitting, merging, and shedding anticyclonic eddies westward 54 and eastward (Garny & Randel, 2013, 2016; Pan et al., 2016; P. M. Rupp & Haynes, 2020; 55 Manney et al., 2021). C. J. Hsu and Plumb (2000) showed that an idealized monsoon anti-56 cyclone circulation periodically sheds secondary anticyclones due to dynamical instabilities, 57 and observational con rmation of eddy shedding was rst shown in Popovic and Plumb 58 (2001). Siu and Bowman (2020) showed that anticyclonic sub-vortices often occur within 59 the AMA at the same time with similar strength. Therefore, consideration of only a single 60 center of the AMA belies the importance of its transient nature and smears out important 61 details. 62

Recently, Honomichl and Pan (2020) tracked multiple simultaneous maxima of the AMA 63 and identied a third preferred center near £4@which is referred to as the western Pacic 64 anticyclone (WPA) or the Bonin high (Enomoto et al., 2003; Enomoto, 2004). Chemical 65 species and low potential vorticity (PV) air within the AMA are shed eastward associated 66 with the emergence of WPA (Vogel et al., 2014; Honomichl & Pan, 2020; Fujiwara et al., 67 2021). The atmospheric composition and transport pathways associated with the WPA will 68 be systematically investigated in the Asian Summer Monsoon Chemical and Climate Impact 69 Project (ACCLIP) during July-August 2022h(tps://www2.acom.ucar.edu/acclip). 70

While observational studies consistently highlight the chemical signature of the WPA, 71 consensus is yet to be reached on the associated dynamics. This topic has a substantial 72 history. For example, Tao and Zhu (1964) pointed out that the AMA moves in the opposite 73 direction of the western Paci c subtropical high at 500 hPa, modulated by the precipitation 74 in east China. Enomoto et al. (2003) used the primitive-equation model in Hoskins and 75 Rodwell (1995) to study the formation mechanism of the (time-averaged) Bonin high. Their 76 model sensitivity analysis showed that the Bonin high disappears by removing the diabatic 77 cooling over the Asian jet while it still exists at monthly timescale when removing the 78 heating in the western Paci c region. Thus, they emphasized the importance of the external 79 Rossby wave source induced by the cooling due to the monsoon-forced descent over the 80 eastern Mediterranean Sea. The wave disturbances along the Asian jet across Eurasia have 81

since been recognized as the \Silk Road (SR) pattern". In fact, the WPA over Japan 82 was already simulated in Hoskins and Rodwell (1995) but considered to be a model defect 83 after validation against reanalysis data. Further, Enomoto (2004) conducted a composite 84 analysis to study interannual variability of monthly-mean stationary Rossby waves along 85 the subtropical jet (including anticyclonic anomalies over Japan), emphasizing the role of 86 an intensi ed jet in contributing to the eastward group velocity of stationary waves. Yasui 87 and Watanabe (2010) used dry atmospheric general circulation model and identi ed the Silk 88 Road pattern as a part the circumglobal teleconnection. They performed a singular value 89 decomposition (SVD) analysis for the diabatic heating and meridional wind anomalies, and 90 concluded that the heating anomalies over the eastern Mediterranean is most responsible for 91 the formation of the WPA, rather than cooling anomalies induced by the monsoon. P. Rupp 92 and Haynes (2021) used a dry dynamical core model to simulate interactions of the Asian 93 monsoon with baroclinic eddies on the westerly jet. They observed a transition from a steady 94 circulation with westward eddy shedding to an unstable eastward eddy shedding state as the 95 background meridional temperature gradient gradually increases. Their results imply that 96 the WPA emerges in response to interaction between localized forcing by monsoon and the 97 mid-latitude baroclinic eddies. Furthermore, Kosaka and Nakamura (2006) aruged that the 98 emergence of the Bonin high can be attributed to the western Paci c convective heating, 99 contradicting the conclusion of Enomoto et al. (2003). The teleconnection between the 100 convective activity in the tropical western Paci c and the upper-level anticyclone anomaly 101 over Japan is called the \Paci c-Japan (PJ) pattern" (Nitta, 1987). R. Lu and Lin (2009) 102 employed a baroclinic model and suggested that the latent heating released from the rainfall 103 anomalies near the Philippine Sea facilitates the eastward wave propagation towards Japan 104 and forms the WPA. Similarly, Ren et al. (2015) showed that the diabatic heating induced 105 by enhanced rainfall over the south China Sea initiates the eastward extension of the AMA. 106 In addition, Kosaka et al. (2009) applied the empirical orthogonal function on monthly-mean 107 200 hPa meridional winds spanning over the Asian monsoon regions and indicated that the 108 SR pattern and the PJ pattern coincide. Chen and Huang (2012) performed an SVD analysis 109 between upper-level meridional wind across Asia and tropical rainfall on monthly scales and 110 identi ed that the SR pattern also includes a signature of the PJ pattern. Thus, previous 111 research has concluded that several di erent mechanisms can contribute to enhancement 112 of the WPA, and our goals include revisiting these mechanisms in the context of transient 113 WPA events. Moreover, the WPA has been mostly examined in the context of monthly and 114

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seasonal time scales, but the transient behavior of the WPA associated with eastward eddy
shedding has not been fully analyzed.

In this study, we examine the dynamical mechanisms of eastward eddy shedding asso-117 ciated with the formation of WPA, in particular for transient variability. Calculations are 118 based on the latest high resolution reanalysis products from ERA5 (section 2). In section 3, 119 we rst analyze the statistical occurrence of enhanced Bonin high events and isolated large 120 amplitude WPA, and their relationships to eastward eddy shedding. Composite patterns 121 of large WPA are analyzed to illustrate the time evolution of shedding events. We de ne 122 an index to measure the strength of the Bonin high, select isolated large amplitude WPA 123 events, and quantify links with the SR and the PJ patterns, respectively. The dynamics of 124 eastward shedding are then thoroughly investigated with the help of these indices. The goal 125 is to incorporate the synoptic eddy regime into the existing literature. Section 4 concludes 126 the paper. 127

¹²⁸ 2 Reanalysis data

We use European Centre for Medium-Range Weather Forecasts (ECMWF) ERA5 me-129 teorological products (Hersbach et al., 2020), including geopotential (z), potential vorticity, 130 zonal and meridional (u and v) wind elds, and temperature. We employ top net thermal 131 radiation (the negative of outgoing longwave radiation, OLR) as a proxy for deep convec-132 tion. Reanalyses are used at 6-hourly intervals (0000, 0600, 1200, and 1800 UTC) with 133 a horizontal resolution of 25 latitude 0.25 longitude on 37 standard pressure levels. 134 Our investigation focuses on the eastward eddy shedding at 100 hPa during the months of 135 July{August over forty-one years (1979{2019). 136

137 3 Results

3.1 Overview of the WPA

Several previous studies of the Asian summer anticyclone identi ed a single maximum
along the geopotential ridge line and found a bimodality behavior, referred to as the Tibetan
Plateau (TP) mode and the Iranian Plateau (IP) mode (Q. Zhang et al., 2002; Nutzel et al.,
2016). Honomichl and Pan (2020) identi ed multiple simultaneous anticyclonic circulation
centers at 100 hPa, and highlighted frequent occurrence of a third center over the western
Paci c. We follow their method to identify localized anticyclones, slightly modifying the

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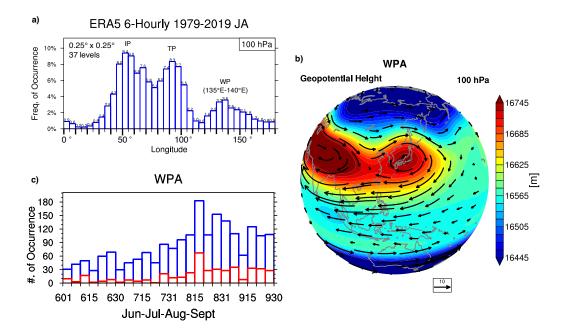


Figure 1. (a) The frequency distribution of the anticyclone centers vs. longitude at 100 hPa compiled using ERA5 6-hourly Geopotential during July-August, 1979-2019 (2542 days in total). Values above each bar indicate the frequency of occurrence in (numbers/2542 days). \IP" refers to the Iranian Plateau mode, \TP" refers to the Tibetan Plateau mode, and \WP" refers to the western Paci c mode. (b) 100 hPa geopotential height (in color) and horizontal circulation (in arrows,m=s) for composites associated with WPA events. (c) The distribution of WPA occurrence dates during June to September of 1979-2019. Blue bars indicate overall histograms while red bars indicate stronger anticyclonic events when the v wind thresholonis so

details to eliminate any localized small-scale circulations in the higher resolution ERA5 145 data. Speci cally, maxima are selected only if the meridional wind within 1500 km of the 146 center along the ridge was greater tham 3 (-3 m) on the west (east) side. Note that 147 we have adopted a more strict criterionsm(3 vs. Osm threshold as in Honomichl & 148 Pan, 2020) for selecting local maxima due to the ner horizontal grid resolution of ERA5 149 than ERA-Interim. Fig. 1a shows the histogram of frequency and longitude of transient 150 anticyclone centers at 100 hPa for July{August. In addition to the IP mode netr 50 151 and TP mode near 90E, a third preferred center is found over the western Paci c (WP) 152 peaking around 135140E. The frequency distribution is almost identical to the previously 153 calculated result (Fig. 3a, Honomichl & Pan, 2020), and similar to the results of Siu and 154 Bowman (2020). We've repeated the analysis on meteorological elds at 150 hPa level and 155 found that the locations for the WPA remain the same (not shown). 156

To gain a better understanding of the dynamical processes leading to the eastward eddy 157 shedding, we de ne the WPA event as anticyclonic center that falls within the 405 158 longitudinal bin during July-August. This analysis selects 614 samples using 6-hourly data 159 over 41 years and construct 100 hPa geopotential composite; these 614 samples represent 160 140 seperate events during 1979-2019, i.e. typically 3-4 events per year. As displayed 161 in Fig. 1b, a localized maximum of geopotential and associated meridional winds identi es 162 a separate anticyclone is prominent in the western Paci c region, adjacent to the AMA. 163 Figure 1c shows the number of WPA events during June to September during 1979-2019, 164 suggesting that the occurrence of WPA peaks in late August and drops in September. 165 Sensitivity test shows that doubling the v wind criterionsto bie., selecting stronger 166 localized anticyclones, doesn't change the shape of the distribution as indicated by red bars. 167 We note that the distribution of anticyclonic centers for June-September is similar to that 168 in Fig. 1a (not shown), and the composited signals are about the same as for July-August. 169

To quantify the strength of the anticyclone over Japan, a Bonin high Index (BHI) is de ned as the regional averaged geopotential height with**i530** and 135-140E. Figure 2 shows time series of the BHI during July and August 1979-2019, along with identi ed WPA events. The curves exhibit substantial intraseasonal and yearly variabilities in frequency and intensity. Overall, the WPA events typically coincide well with peaks in BHI, although not for all events. It is because we require the WPA to be an anticyclonic cell while the BHI does not indicate a closed contour, e.g., a strong ridge can create large BHI but not WPA.

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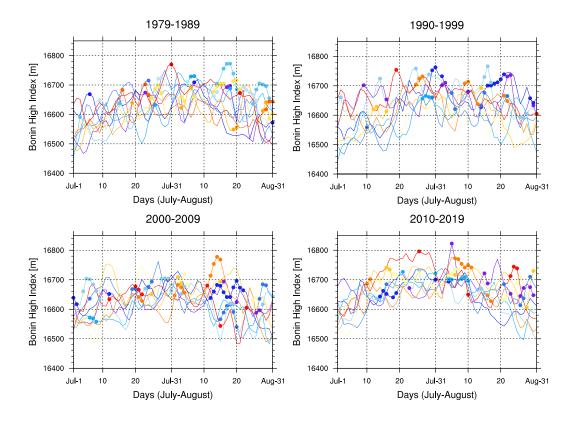


Figure 2. The color curves show the time series of the BHI in July-August over 41 years. Each color curve represent one year. Colored dots indicate the identi ed WPA events.

The 100 hPa geopotential and meridional wind anomalies composited for the WPA 177 events are shown in Fig. 3a and b, respectively. We subtract the climatological mean value 178 for each time step to derive a deseasonalized anomaly. Here Day O denotes the day the WPA 179 event occurs. The composited wave packet structure shows disturbances embedded along 180 the climatological westerly ow with an approximate zonal scale of wavenumber 6. Positive 181 geopotential coupled with intensi ed anticyclone occurs near the jet exit above Japan. The 182 composite features are not sensitive to the choice of the longitude range in de ning the WPA 183 events (not shown). Time development of geopotential height averaged over the over t 184 the upper-level jet at 100 hPa is depicted by the Hovmoller diagram in Fig. 3c, highlighting 185 coherent upstream wave structure beginningdays prior to the WPA events. The wave 186 packet has near zero phase velocity, but a clear eastward group velocity mear. 24e 187 wave packet propagates downstream through the waveguide of the jet core, and ampli es 188 near the jet exit on Day 0. The quasi-stationary zonal wavenumber 6 structure identi ed 189 in Fig. 3 is consistent with the SR behavior analyzed in Kosaka et al. (2009), interpreted 190 as a stationary Rossby wave on the background westerly jet. During Day +1 to +4, wave 191 packets develop successively downstream and reach the Paci c coast of the United States. 192

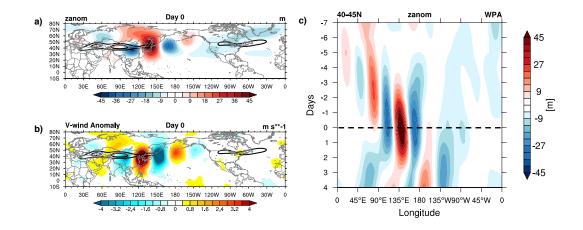


Figure 3. Composites of 100 hPa geopotential and meridional wind anomalies (zanom and vanom) for the WPA events on Day 0 in (a) and (b), respectively. Regions where anomalies are not signi cant at the 95% level usingtest are shaded white. Black contours highlight the 200 hPa climatological westerly jet of 24 and Bes (c) Hovmoller diagram of zanom at 100 hPa averaged over 40-45N from Day -7 to +4.

Fig. 4a displays the time evolution of PV interpolated to 360 K isentrope for the composited WPA events. The anticyclone is associated with a region of relatively low PV,

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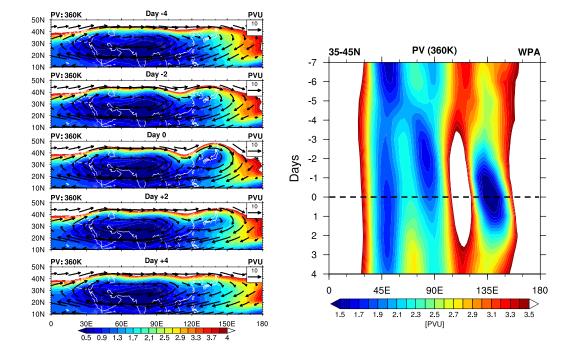


Figure 4. (a) Composite patterns of PV (in colors, PVU) at 360 K overlaid with the winds at 100 hPa (in vectors, m/s) on Day -4, Day -2, Day 0, Day +2, and Day +4 of the WPA events, respectively. (b) Hovmoller diagram of PV at 360 K averaged over 3465 N from Day -7 to Day +4.

e.g. Garny and Randel (2016) and Ploeger et al. (2017). Low PV patches develop on the 195 eastern side of the anticyclone during the WPA events, in a manner consistent with wave 196 trains seen in Fig. 3. Fig. 4b shows a Hovmoller diagram of PV at 360 K averaged over 35 197 45N from Day -7 to +4, highlighting development of low PV air over the composite WPA 198 life cycle. During Day -4 to +2, the low PV air associated with the eastward shedding is 199 con ned between 120 50E and remains guasi-stationary, consistent with the geopotential 200 signature in Fig. 3. The PV evolution is consistent with the developing WPA transporting 201 air masses with elevated mixing ratios of CO and Hapidly into the extratropical lower 202 stratosphere (Ploeger et al., 2015; Pan et al., 2016). We note that while the WPA is 203 quasi-stationary, air parcel trajectories can move through the circulation and transport 204 constituents towards the east, e.g. Honomichl and Pan (2020), their Fig. 7. 205

The composited WPA meteorological features include combined e ects of the SR and PJ teleconnections { wave trains in the upper troposphere together with enhanced convection over the tropical western Paci c (Fig. S1). However, the SR and PJ patterns do not always coincide in individual cases, which motivates us to evaluate the WPA events in terms of relations to the SR and PJ indices and examine their dynamics separately.

3.2 WPA Relationships to the Silk Road Pattern

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The most striking feature in Fig. 3 is the guasi-stationary wave along the upper-level 213 jet, resembling the SR pattern (R.-Y. Lu et al., 2002; Enomoto et al., 2003). To quantify 214 the occurrence of the Silk Road wave trains, we construct a time varying Silk Road Index 215 (SRI). As indicated by the composite map of geopotential height averaged over Day -4 to 216 -1 preceding the WPA events in Fig. 5, we see that the SR pattern consists of four zonally 217 oriented anomaly centers con ned to-355N, located over the Caspian Sea (4055E), 218 B central Asia (70.85E), C Mongolia (95-115E), and D east China (120140E). Two 219 negative geopotential centers are marked assid C while two positive centers are marked 220 as B and D. We de ne \mathbf{z}_{i} as the maximum anomaly value in each box and SRI is the sum 221 of absolute values of the four boxes as in Eq. 1: 222

$$\mathbf{\hat{R}} = \begin{array}{ccc} X & X \\ \mathbf{\hat{z}}_{i} + \mathbf{\hat{z}}_{i} \\ \mathbf{\hat{z}}_{i} = \mathbf{\hat{z}}_{i} \end{array}$$
(1)

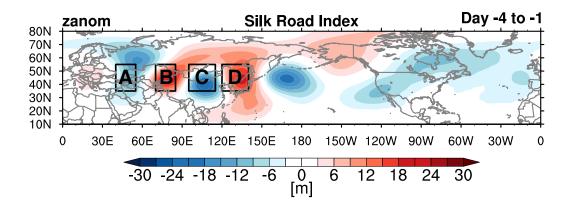


Figure 5. Schematic illustrating four centers where the SRI is constructed. The zanom composites (in colors) are averaged during Day -4 to -1 prior to the WPA events.

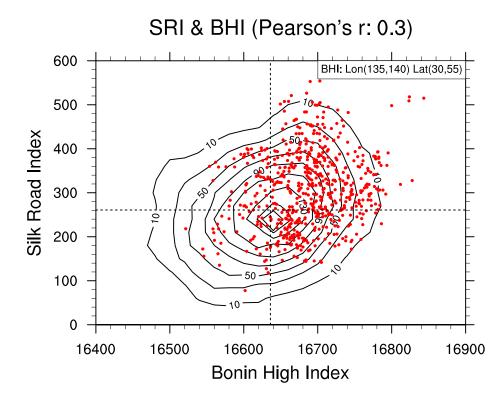


Figure 6. Two dimensional distribution of the SRI against the BHI compiled using all July-August data during 1979-2019. Red dots indicate the WPA events. Dashed reference lines indicate the median values. Correlation coe cient is given in the gure title.

Figure 6 shows a 2D distribution of SRI vs. BHI for all daily samples over July-August 1979-2019. Contours represent the density of scatter points. There is a weak but statistically signi cant correlation in the distribution (r 0:3), as expected from the results in Fig. 3. The red dots in Fig. 6 represent the WPA events, primarily falling in the upper righthand quadrant, i.e. large amplitude BHI and SRI. These statistics are consistent with an ampli ed Silk Road pattern typically preceding the strong anticyclone above Japan by 1 to 4 days.

3.2.2 Dynamics in Relation to the Silk-Road Pattern

We apply composite analysis to obtain the essential circulation patterns of the WPA 231 with reference to the intensity of the SR pattern. To sharpen the composited features, 232 variables whose SRI fall above the 75 percentile are selected. Wave activity ux (WAF) 233 vectors are computed to identify the origin and propagation of Rossby waves associated 234 with the WPA events coinciding with the pronounced SR pattern. The calculation is based 235 on the methods of Takaya and Nakamura (2001), which generalizes Plumb uxes (Plumb, 236 1979) to allow for transient eddies propagating in a zonally varying mean state. The WAF 237 is designed in the quasi-geostrophic (QG) framework, whose direction is parallel to the 238 wave group velocity and the divergence (convergence) implies source (sink) of Rossby waves 239 (H.-H. Hsu & Lin, 2007; Gu et al., 2018). 240

Figure 7. Cross sections of QG streamfunction anomalies (in colors, unit: $10^{6}m^{2}=s$) and WAF (in vectors, unit: $m^{2}=s^{2}$) (a) averaged over 120 -140 E and (b) at 40 N composited for the WPA events which coincide with pronounced Silk Road pattern.

statistical signi cance at the 95% con dence level), although there is stronger relationship 303 for extreme PJ patterns. For instance, red dots represent the WPA events whose PJI falls 304 above the 75th percentile and suggest a positive correlation with the intensity of the WPA. 305 Figure 11b shows only thesigni cant correlation coe cients between the PJI and the BHI 306 as the PJI increases from -30, -20, ..., 20, 30V=m². The correlation is in fact maximized 307 when the PJI falls above the upper 30° percentile (0.47*) while becomes insigni cant as 308 the PJI reaches 20W=m². The upper 30th percentile agrees well with statistics of back 309 trajectories initialized within the WPA in Honomichl and Pan (2020), where one third of 310 air parcels trace back to the Philippine Sea. 311

Figure 11. (a) Scatterplot between the BHI (m) against the PJI ($W=m^2$) composited for a total of 614 Bonin high events. Red dots highlight the Bonin high events whose PJI falls above the 75th percentile. Correlation coe cients are given in the gure title. Gray reference lines indicate the 10th (p10), the 25th (p25), the median (p50), the 67th (p67), the 75th (p75), and the 90th (p90) percentiles of the PJI, respectively. (b) Curve indicates the signi cant correlation between subsets of the BHI and PJI, which are regrouped as the PJI increases.

312 3.3.2 Dynamics in Relation to the Paci c-Japan Pattern

We apply composite analysis to identify the circulation patterns with reference to the intensity of the PJ pattern. Similar to Section 3.2.2, variables composited for the WPA events on Day 0 are averaged when the corresponding PJI falls above the th75percentile, i.e. enhanced convection as in Fig. 10a (represented by gray contours in Fig. 12b). Fig. 12a

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