

# Influence of Reconstruction of Arctic Sea Ice Thickness on the Ice-ocean Coupled Forecast in Ice Melting Season

Lu Yang<sup>1</sup>, Hongli Fu<sup>2</sup>, Xiaofan Luo<sup>1</sup>, Shaoqing Zhang<sup>3</sup>, and Xuefeng Zhang<sup>4</sup>

<sup>1</sup>Tianjin University

<sup>2</sup>National Marine Data and Information Service

<sup>3</sup>Physical Oceanography Laboratory, Ocean University of China

<sup>4</sup>School of Marine Science and Technology, Tianjin University

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## Abstract

Generally, the sea ice prediction skills can be improved by assimilating the observed sea ice data into a numerical forecast model to update the initial fields of the model. Meanwhile, it is necessary to assimilate sea ice thickness (SIT) while assimilating sea ice concentration (SIC) to keep the two harmony in assimilation. However, due to the lack of the SIT from satellite remote sensing observation, it cannot meet the bivariate assimilation requirement in Arctic melting season. In order to solve this problem, an easy-to-implement bivariate regression mode of SIT is tentatively established based on the grid reanalysis data of SIC and SIT, through which the SIT field is statistically constructed. Then, the ice-ocean coupled numerical forecast experiment is carried out in which both the observed SIC and the constructed SIT are jointly assimilated using the spatial multi-scale recursive filter (SMRF) method. Results show the joint assimilation of SIC and the constructed SIT can greatly improve the forecast accuracy of sea ice elements especially in the multi-year ice region of Arctic center, where the average absolute error between the SIT forecast and in situ observations is about 0.14 m. Further, effects of the bivariate assimilation on the ocean elements are also deeply investigated in melting season. The higher forecast skill of sea surface temperature and drift flow can be obtained via the bivariate assimilation scheme considering the ice-ocean coupled dynamics and the feedback process between them.

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<sup>1</sup>School of Marine Science and Technology, Tianjin University, Tianjin, China

<sup>2</sup>Key Laboratory of Marine Environmental Information Technology, National Marine Data  
and Information Service, Ministry of Natural Resources, Tianjin, China

<sup>3</sup>Key Laboratory of Physical Oceanography, Ministry of Education, Ocean University of  
China, Qingdao, China

Corresponding author: X. Zhang ([xuefeng.zhang@tju.edu.cn](mailto:xuefeng.zhang@tju.edu.cn))

## **Key Points:**

- A bivariate sea ice thickness regression mode provides the possibility for the record of Arctic sea ice thickness in the melting season.
- The forecast accuracy of sea ice variables can be improved via the joint assimilation of sea ice concentration and reconstructed sea ice thickness.
- The higher forecast skill of sea surface temperature and drift flow can be obtained through the ice-ocean coupled feedback process.

## Abstract

Generally, the sea ice prediction skills can be improved by assimilating the observed sea ice data into a numerical forecast model to update the initial fields of the model. Meanwhile, it is necessary to assimilate sea ice thickness (SIT) while assimilating sea ice concentration (SIC) to keep the two harmony in assimilation. However, due to the lack of the SIT from satellite remote sensing observation, it cannot meet the bivariate assimilation requirement in Arctic melting season. In order to solve this problem, an easy-to-implement bivariate regression mode of SIT is tentatively established based on the grid reanalysis data of SIC and SIT, through which the SIT field is statistically constructed. Then, the ice-ocean coupled numerical forecast experiment is carried out in which both the observed SIC and the constructed SIT are jointly assimilated using the spatial multi-scale recursive filter (SMRF) method. Results show the joint assimilation of SIC and the constructed SIT can greatly improve the forecast accuracy of sea ice elements especially in the multi-year ice region of Arctic center, where the average absolute error between the SIT forecast and in situ observations is about 0.14 m. Further, effects of the bivariate assimilation on the ocean elements are also deeply investigated in melting season. The higher forecast skill of sea surface temperature and drift flow can be obtained via the bivariate assimilation scheme considering the ice-ocean coupled dynamics and the feedback process between them.

## Plain Language Summary

In order to improve the prediction skills of Arctic sea ice, it is necessary to assimilate the sea ice observation data into the dynamic model to generate a more realistic initial prediction field. However, during the melting season, sea ice thickness (SIT) is difficult to detect directly by using satellite remote sensing since the inversion algorithms fail to work in the saturated surface water vapor from the surface snow melt. In this study, a simple, easy-to-implement bivariate regression model is put forward to construct SIT using the corresponding sea ice concentration (SIC) observation. Benefitting from the joint assimilation of the observed SIC and the constructed SIT, the prediction accuracy of both sea ice variables and ocean variables is greatly improved. The constructed SIT is expected to provide an

available dataset for further research on the Arctic sea ice prediction during the melting season.

## 1 Introduction

The Arctic is one of the most important regions for the exchange of materials and energy between the atmosphere and the ocean, and it plays a significant role in global climate change. The major interaction between the Arctic Ocean and the global climate system is reflected through the sea ice. However, observations in the past 30 years have shown that Arctic sea ice is undergoing rapid changes (Kwok and Cunningham, 2015). From 1979 to 2017, the sea ice extent decreased by 3.24 million square kilometers in September, with a significant decrease in the Arctic sea ice margin from the Beaufort Sea in the west to the Barents Sea in the north (Liu et al., 2019). As the ice shrinks, the ice floes are thinning. Recent satellite data show an average reduction of about 50% in Arctic SIT compared to submarine sea ice observations during 1958-1976 (Kwok and Rothrock, 2009). The above changes in Arctic sea ice have aroused people's attention and posed major opportunity to Arctic maritime activities, such as polar shipping, fishing and oil/gas resources exploration. Meanwhile, the grasp of SIC, SIT and other information is crucial for polar research (Takuya et al., 2018). Therefore, accurate real-time sea ice prediction has become an urgent need (Eicken, 2013). It is well known that Arctic sea ice dynamics models used for short-term forecasting depend heavily on the initial conditions of the model. Therefore, it is necessary to integrate the sea ice observation data into the numerical forecast model using appropriate data assimilation methods to generate a more realistic prediction initial condition and improve the prediction ability of Arctic sea ice (Lisæter et al., 2003).

Lindsay and Zhang (2006) used Nudging method to assimilate SIC observation. The assimilated SIC improves the match with the observed extent, but the sea ice draft in the Fram Strait is underestimated by 0.64m compared with the observation. Lisæter et al. (2003) assimilated SIC observation data using the Ensemble Kalman Filter (EnKF) (Evensen, 1994) based on the coupled ice-sea model. Although the correlation coefficient between the forecast SIC and SIT reaches more than 0.5 in winter, it drops below 0.3 or even reaches a negative

value in the melting season. Wang et al. (2013) proposed a method combining optimal interpolation with the Nudging to assimilate SIC. Results show that there are significant improvements for SIC analysis result in the sea ice margin region in summer, but there are deviations in the prediction of sea ice extent. Yang et al. (2015a) used Local Singular Evolution Interpolation Kalman Filter (LSEIK) to assimilate SIC in summer. The consistency of forecast SIC with satellite observations is improved, but the multi-year ice thickness in the central Arctic is overestimated by more than 1m. Previous studies have shown that while the assimilation of observed SIC can improve the SIC forecast results, SIT improvements are insignificant.

The study of Day et al. (2014) showed that accuracy of initial SIT is also important for the prediction of SIC and sea ice extent in summer. Lisæter et al. (2007) used EnKF to assimilate the SIT detected by Cryosat satellite and improved the quality of initial SIT. The results showed that the prediction results of SIC, sea surface temperature (SST) and sea surface salinity are improved by the SIT assimilation. Yang et al. (2014) used LSEIK to simultaneously assimilate Special Sensor Microwave Imager/Sounder (SSMIS) SIC and Soil Moisture and Ocean Salinity (SMOS) SIT in the cold season. Compared with only SIC assimilation or no data assimilation, the root mean square error (RMSE) of SIT forecast results is reduced 0.47m. However, SMOS SIT observation data are only applicable to thin ice (<1m) (Tian-Kunze et al., 2014), the assimilation of which only improves the one-year ice prediction in the marginal area of sea ice, while the thick (multi-year) ice cannot be significantly improved during the early melting and freezing seasons (Yang et al., 2016; Xie et al., 2016). In this case, in cold season, Mu et al. (2018b) not only assimilated SMOS SIT and SSMIS SIC observation data, but also simultaneously assimilated Cryosat-2 SIT observation data which can better capture interannual changes of thick ice areas (Laxon et al., 2013). By using the complementary characteristics of the two kinds of thickness data, the overall RMSE of SIT and SIC is smaller than the counterpart under the condition of assimilating both SMOS SIT and SSMIS SIC data.

It is worth noting that there are few studies on the prediction of SIT during the melting

season due to the sparse in situ observations of SIT. Additionally, the Arctic SIT obtained from satellite remote sensing inversion has only been available in the recent decade with the time coverage ranging from October to April (Ricker et al., 2014; Ricker et al., 2017), resulting in insufficient SIT observations during the melting season. In order to solve this problem, Mu et al. (2018a) combined the skill of satellite thickness assimilation in the freezing season with the model skill in the melting season, and a combined model and satellite thickness (CMST) is proposed to estimate the thickness of Arctic sea ice in the melting season. Yang et al. (2019) used the Nudging method to assimilate the CMST estimated SIT in the cold season to provide the initial state of the model for the melting season, so that the SIT in summer can be modified by the positive cross relationship between SIC and SIT. In addition, an integrated sea ice seasonal prediction system (SISPS) is designed for the real-time prediction of summer sea ice conditions in the Arctic. CMST estimation is heavily dependent on the quality of satellite data products and the parameterization of physical processes in the model, which has certain uncertainties (Mu et al., 2018a).

This paper proposed a bivariate regression model of SIT based on the fact that there is a strong positive correlation between SIC and SIT from the perspective of statistical significance (Yang et al., 2015b), so that the "pseudo" observation field of SIT can be constructed by using satellite remote sensing observation data of SIC. On this basis, the SMRF data assimilation method is used to assimilate the sea ice multi-element data to improve the initial field of the model. The traditional data assimilation methods used by other scholars (such as LSEIK, Nudging, 3DVAR, etc.) face with the problems that the determination of correlation scale and filtering parameters depends on human experience and the non-objectivity of the background error covariance estimation cannot be effectively solved (Wu et al., 2015). In contrast, the SMRF data assimilation method can not only correct the error of long wavelength information in the whole region, but also modify the error of short wavelength information in the data intensive region, so that the multi-scale information decomposed from the observation can be once extracted successively from the long wavelength to the short wavelength (Zhang et al., 2020).

The paper is organized as follows: the ice-ocean coupled model is presented in Section 2 and the data sources including sea ice and ocean are described in Section 3. In Section 4, two core methods of the bivariate SIT regression mode and the SMRF data assimilation method are introduced. The accuracy of the SIT field constructed by the regression model is tested based on an idealized twin experiment framework in Section 5. On this basis, in Section 6, the influence of multi-scale joint assimilation of SIC and SIT on the prediction results of sea ice and ocean elements is explored based on the ice-ocean coupled model, and the interaction between the predicted SIC and SIT is discussed. Finally, the conclusion and summary of this study are in Section 7.

## **2 The ice-ocean coupled model**

The ocean model used is the Massachusetts Institute of Technology General Circulation Model (MITgcm) (Marshall et al., 1997), which solves the three-dimensional primitive equations with implicit linear free-surface under the hydrostatic and Boussinesq approximations. The ocean model is coupled to a sea-ice model that computes ice thickness, ice concentration, and snow cover as Zhang et al. (1998) and that simulates a viscous-plastic rheology using an efficient parallel implementation of the Zhang and Hibler (1997) solver. The coupled model used in this study adopts a global cubic spherical grid, and the Arctic region includes  $510 \times 510$  grid points with an average horizontal distance of 18 km. The open boundary is about  $55^\circ\text{N}$  in the Atlantic Ocean and Pacific Ocean.

The atmospheric forcing fields include 10m surface wind speed, 2m temperature, relative humidity, precipitation, downward longwave and shortwave radiations. Through the two-way coupled between ice and sea, the ocean model provides the sea ice model with information such as ablation/freezing potential, SST and salinity, surface velocity, while the sea ice model provides the information of the SIC, fresh water and salinity fluxes, ice-sea stress and others. The heat flux on the sea ice surface is referred to the results of Parkinson and Washington (1979). The change of sub-grid ice thickness in the sea ice model is taken into account in the calculation of conduction heat flux. In other words, the sea ice is divided into 7 categories according to the SIT value in a horizontal grid. The variation of heat flux

and albedo caused by snow cover and the process of snow-ice conversion are considered on the surface of sea ice. The albedo of dry ice, wet ice, dry snow and wet snow is 0.87, 0.78, 0.98 and 0.80, the sea-ice drag coefficient is 5.2, and the sea-ice intensity is  $2.7 \times 10^4 \text{Pa}$  (Losch et al., 2010).

### 3 Data sources

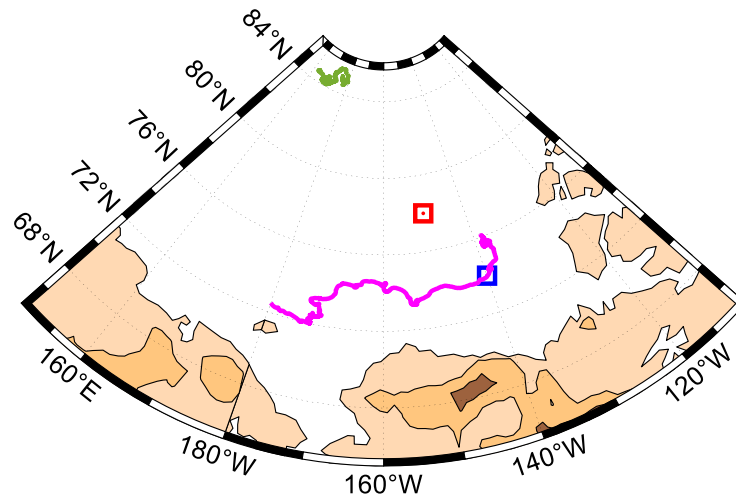
Observations of satellite remotely sensed SIC, independent ice thickness data and SST data are utilized.

SIC refers to the proportion of sea ice covered area in unit space, the variation range of which is 0-1. The observations of daily SIC are derived from the daily passive microwave data of the special sensor microwave /imager (SSM/I) carried by DMSP F-17. It was processed by National Snow and Ice Data Center (NSIDC) with NASA team algorithm (Cavalieri et al., 2012). The spatial resolution is  $25 \text{ km} \times 25 \text{ km}$ .

To assess our predicted SIT results, independent in situ SIT data are used. One is sea ice draft, which comes from Upward Looking Sonar (ULS) measurements of Beaufort Gyre Experiment Program (BGEP). It can be converted into thickness by multiplying by 1.1, which is approximately equal to the ratio of mean seawater density of  $1024 \text{ kg/m}^3$  and sea ice density of  $910 \text{ kg/m}^3$  (Nguyen et al., 2011). The error of ULS measurements of ice draft is estimated about 0.1m (Melling et al., 1995). The other is SIT data, which is derived from Ice Mass balance Buoys (IMB) deployed on the surface of Arctic sea ice (Perovich et al., 2009). The two acoustic rangefinders on the IMB monitor the position of the ice bottom and the snow and ice surface, which is used to estimate the SIT. The accuracy of both detectors is 5 mm (Richter-Menge et al., 2006). According to needs for the research, the data from mooring facilities of the BGEP\_2011B located in ( $78^\circ 0.395' \text{ N}$ ,  $149^\circ 58.462' \text{ W}$ ), BGEP\_2011D located in ( $73^\circ 59.649' \text{ N}$ ,  $139^\circ 59.043' \text{ W}$ ) and ice mass balance drift buoys of the IMB\_2011K in the Beaufort Sea during 1 September to 7 October 2011 are selected. In addition, ice mass balance drift buoys of the IMB\_2011L in the North Pole Center during 13 September to 7 October 2011 is also selected. The position of mooring facilities and buoy



drift trajectory are shown in Figure 1.



**Figure 1.** The location of mooring facilities BGEP\_2011B (red box) and BGEP\_2011D (blue box) and the drift track of buoys IMB\_2011K (pink line) and IMB\_2011L (green line).

Daily observations of SST are from the European Space Agency (ESA) Climate Change Initiative's Sea Surface Temperature Project. The dataset is named SST\_cci OSTIA Level 4 Analysis Climate Data Record, version 2.1. It combines data from the Advanced Very High-Resolution Radiometer (AVHRR) and the Along Track Scanning Radiometer (ATSR). Data assimilation method is used to provide SST fusion data with a spatial resolution of  $0.05^{\circ} \times 0.05^{\circ}$  (Merchant et al., 2019).

The reanalysis data are from TOPAZ4 version of the Nansen Centre for Environment and Remote Sensing, Norway's Sea Ice/Ocean Numerical Prediction System (Xie et al., 2017). The dataset is named Arctic\_Reanalysis\_Phys\_002\_003. It includes daily SIC, SIT and sea ice velocity. The spatial resolution is  $12.5\text{km} \times 12.5\text{km}$ , the time range is from January 1, 1991 to December 31, 2019, and the region covers the Arctic Ocean.

## 4 Method

### 4.1 Bivariate SIT regression mode

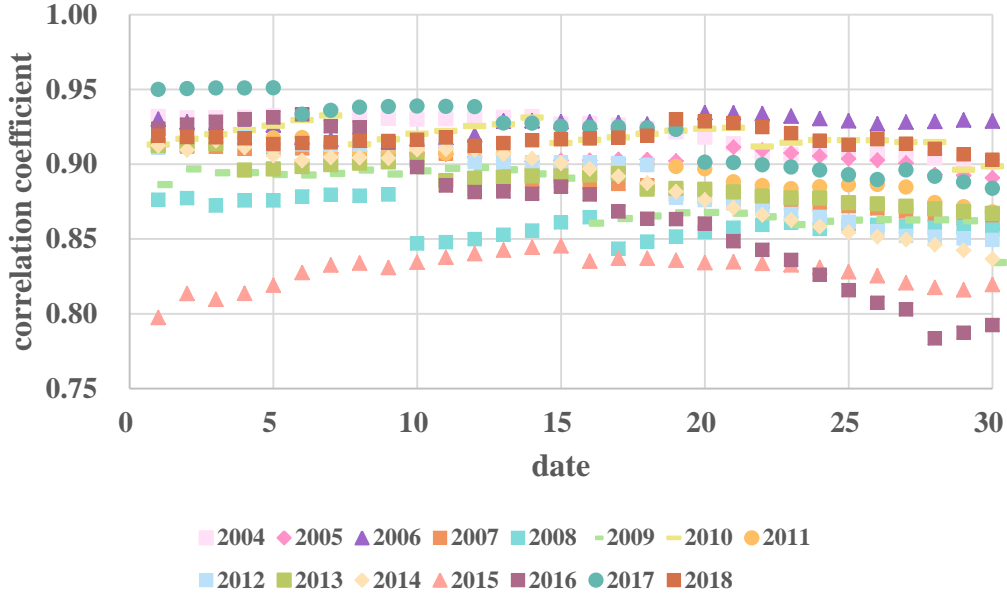
Considering that it is impossible to obtain Arctic SIT observation from the satellites

remote sensing in the melting season due to that the most advanced inversion algorithm was impeded by the saturated surface water vapor from the surface snow melt (Ricker et al., 2017), we focus on the reconstruction of SIT in the melting season (i.e., September). Meanwhile, because the smallest SIT was recorded in the past 40 years (Min et al., 2019), the autumn of 2011 (from 1 September to 30 September 2011) is chosen as an example to develop the bivariate regression mode for SIT.

First of all, in order to construct SIT field from 1 September to 30 September 2011, the TOPAZ4 reanalysis SIC and SIT data of the first 7 years (2004-2010) and the last 7 years (2012-2018) are selected. In order to verify the validity of the dataset, the spatial correlation coefficients between SIC and SIT on each day in September of each year are calculated respectively, and the calculation formula is shown in Eq. (1). According to the scatter plots between correlation coefficients and dates (Figure 2), except for a few dates, most of the correlation coefficients between SIC and SIT are between 0.80 and 0.95, which completely pass the significance test. It indicates that there is a strong correlation between SIC and SIT, which provides theoretical support for the establishment of regression mode in the next step.

$$coef = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2 \sum_{i=1}^n (y_i - \bar{y})^2}} \quad (1)$$

Where,  $i$  represents the label of grid point,  $x_i$  represents the value of SIC of the  $i$ -th grid point,  $y_i$  represents the value of SIT of the  $i$ -th grid point,  $\bar{x}$  represents the average value of SIC at all grids, and  $\bar{y}$  represents the average value of SIT at all grids.

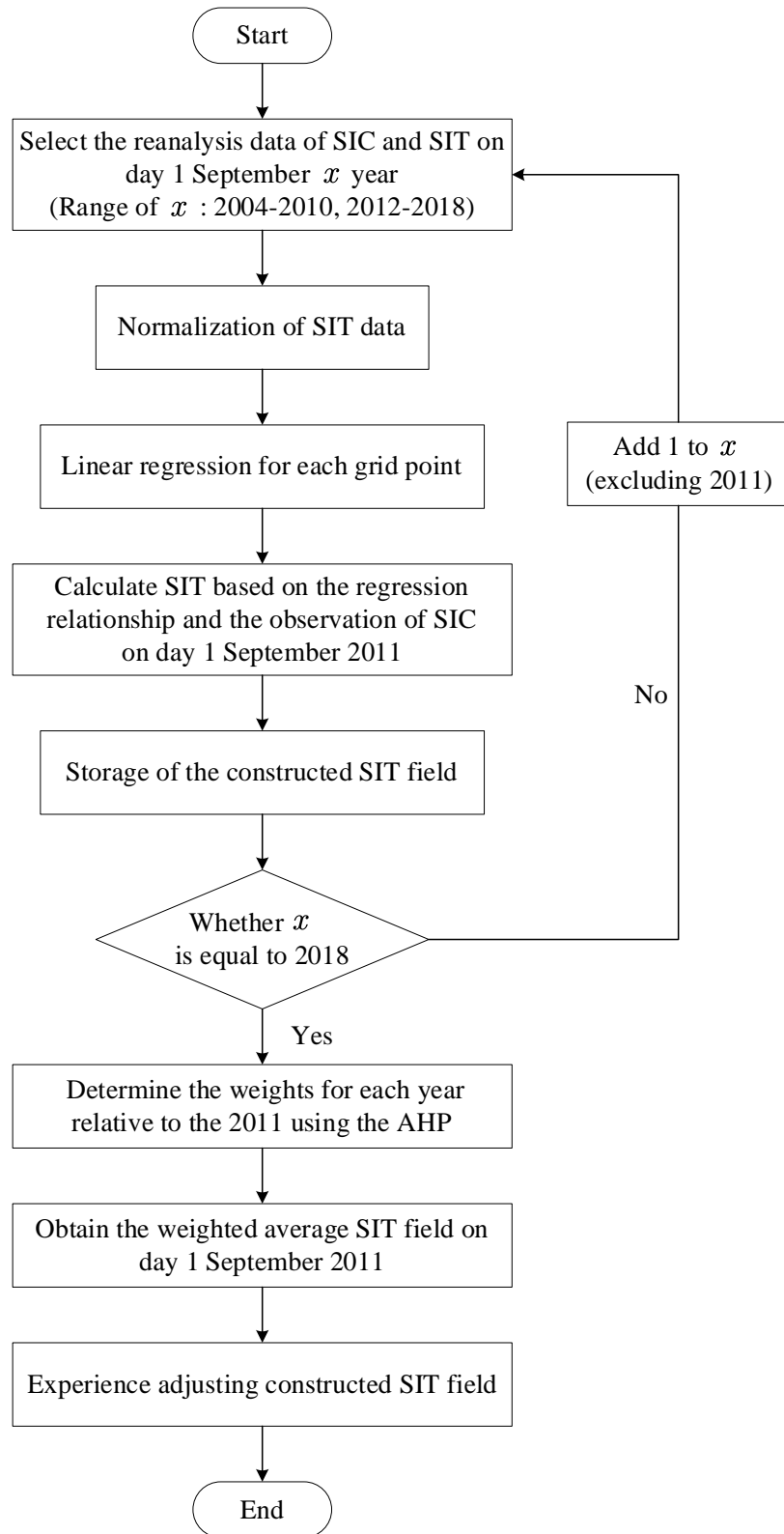


**Figure 2.** Spatial correlation coefficient between daily SIC and SIT in September from 2004 to 2018.

The following is an example of 1 September 2011 to illustrate the construction process of SIT. The flow chart is shown in Figure 3. First of all, the reanalysis data of daily SIC and SIT on 1 September in 14 years from 2004 to 2010 and from 2012 to 2018 are selected. In order to prevent "overfitting" in the calculation process, the SIT data are normalized and converted into a scalar quantity, which is limited in the interval of [0, 1], as follows:

$$x'_k = \frac{x_k - x_{\min}}{x_{\max} - x_{\min}} \quad (2)$$

where,  $x_k$  is original data at the  $k$ -th grid point in each year,  $x'_k$  is the result of normalization of  $x_k$ ,  $x_{\min}$  is the minimum value of SIT at all grids in each year,  $x_{\max}$  is the maximum value of SIT at all grids in each year.



**Figure 3.** A flow chart of bivariate SIT regression model (take 1 September 2011 as an example).

Then, the linear regression with SIC as independent variable and SIT as dependent variable is made at each grid point of the whole region for each year based on the reanalysis data of daily SIC and SIT. The corresponding SIC-SIT regression relation at each grid can be obtained for each year. The formula is as follows:

$$y = ax \quad (3)$$

where,  $a$  is the undetermined coefficient,  $x$  is the value of SIC, and  $y$  is the value of SIT corresponding to  $x$ .

The 14 sets of SIT fields on 1 September 2011 are calculated from the fitting relation and the SIC observational data. The 9-quartile scale method in the Analytic Hierarchy Process (AHP) (Rahman & Frair, 1984) is used to determine the weight of each year relative to 2011, as shown in Table 1 (all results pass the consistency test). The constructed SIT field on 1 September 2011 is obtained by weighting average.

Finally, according to the SIC observational data, the SIT field is empirically adjusted to make the constructed SIT consistent with the SIC (Preller et al., 2002): if the value of SIC at a certain grid point is 0 and the constructed SIT at this grid point is not 0, the ice will be removed from the constructed SIT field; If the value of constructed SIT at a certain grid point is 0 and the SIC at this grid point is not 0, the constructed SIT is adjusted to 1.0m (if  $SIC > 0.5$ ) or 0.5m (if  $SIC < 0.5$ ). The adjusted SIT field can be treated as the SIT observation field of 1 September 2011 in the process of data assimilation.

**Table 1.** The weights for years in 2004-2010 and 2012-2018 relative to year 2011

|        | 2004   | 2005   | 2006   | 2007   | 2008   | 2009   | 2010   |
|--------|--------|--------|--------|--------|--------|--------|--------|
| Weight | 0.0156 | 0.0224 | 0.0338 | 0.0518 | 0.0793 | 0.1200 | 0.1771 |
|        | 2012   | 2013   | 2014   | 2015   | 2016   | 2017   | 2018   |
| Weight | 0.1771 | 0.1200 | 0.0793 | 0.0518 | 0.0338 | 0.0224 | 0.0156 |

## 4.2 The SMRF data assimilation method

The traditional three-dimensional variational (3DVAR) data assimilation methods can only correct the error of a certain wavelength in the assimilation process. Xie (2005) shows that in the process of data assimilation, if the error of long wavelength could not be well corrected, the short wavelength error could not be well corrected either. The SMRF is a method that can realize the sequential correction from long wavelength to short wavelength and extract multi-scale information through a single 3DVAR analysis (Zhang et al., 2020).

In this method, firstly, a recursive filter operator  $\mathbf{B}$  with the small filter parameter  $\beta$  is applied to the initial guess field. The parameter  $\beta$  is set to small value in order to ensure that information of all scales could pass. The cost function and its gradient are calculated based on the filtered initial guess field. Then, another recursive filter operator  $\mathbf{E}$  with the parameter  $\alpha$  is applied to the negative gradient of the cost function. This filter parameter  $\alpha$  should select a larger value at the beginning to extract the "longest" wavelength information in the observational data. A line search process (More and Thuente 1992) is performed along this gradient direction to find the appropriate step size, and the estimated value is updated. The observational residual is obtained by removing the extracted signal from the observation data. Then, the filter parameter  $\alpha$  is appropriately reduced to extract the "maximum" scale signal in the observational residual at current iteration. As the number of iterations increases, the filter parameter  $\alpha$  sequentially decreases, so that the information of each scale can be extracted successively from long wavelength to short wavelength in order to obtain the analyzed field.

Compared with traditional data assimilation methods, SMRF not only possesses a good ability in propagating observational signals, but also shows a superior performance in extracting multi-scale information resolved in observation by performing 3DVAR once (Zhang et al., 2020). Based on the above two points, the SMRF is applied to assimilate the observed SIC and constructed SIT into initial fields for sea ice prediction experiments.

## 5 The twin test

### 5.1 Experiment design

In order to evaluate the SIT constructed by the bivariate SIT regression mode and its influence on the ice-sea coupled prediction, four prediction experiments are designed within an idealized twin experiment framework. The initial SIC and the SIT fields from four Exps are given in Table 2. The ice-ocean coupled MITgcm model is used to perform 120h prediction.

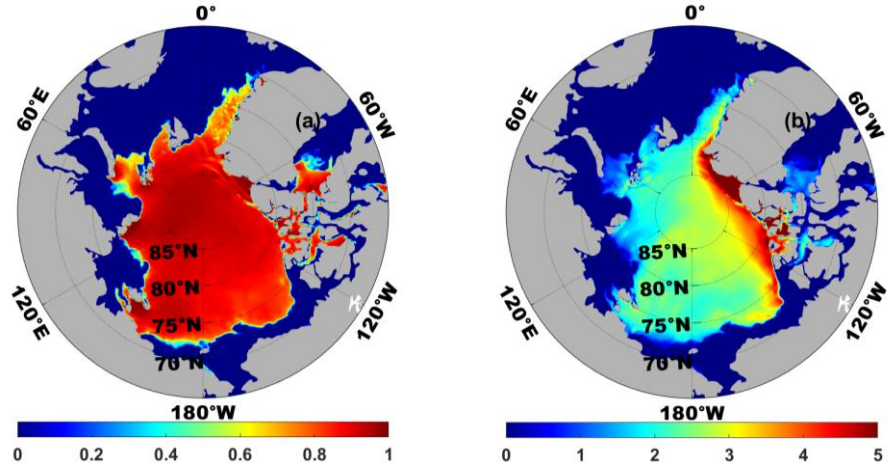
**Table 2.** Comparison of the initial fields of twin experiments

|             | Sea ice concentration  | Sea ice thickness                                     |
|-------------|--|---|
| Control Exp | The model result on 1 Sep 2011   | The model result on 1 Sep 2011                        |
| Exp 1       | The model result on 1 Sep 2012   | The model result on 1 Sep 2012                        |
| Exp 2       | Same as Exp 1, but the SIC initial field in control Exp is assimilated | Empirically adjusted the SIT initial field in Exp 1   |
| Exp 3       | Same as Exp 2  | Same as Exp 1, but the constructed SIT is assimilated |

In control Exp, the SIC and SIT initial fields (Figure 4) are the simulation results of the ice-sea coupled model on 1 September 2011, which is used as the "truth" field for the comparison of Exp 1-3. The prediction results of control Exp also serve as the "truth" field without deviation.

In Exp 1, all configurations are the same as the control Exp except employing the model results of SIC and SIT on 1 September next year (Figure 5) as a "biased" initial field of this year. In control Exp and Exp 1, the model results of different years are used as the initial fields, which not only makes the "truth" and the "biased" fields have obvious difference, but also ensures that the SIC matches with the SIT.

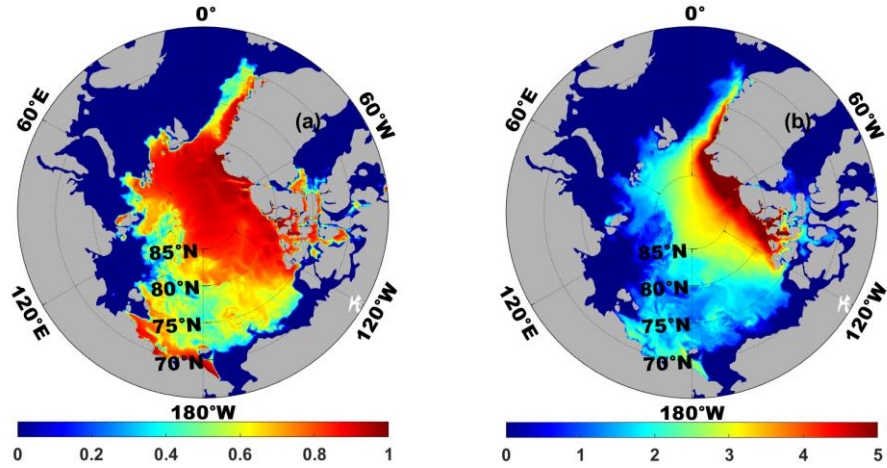
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312 **Figure 4.** The SIC (a) and the SIT (b, unit: m) on 1 September 2011 for initial fields in control Exp.

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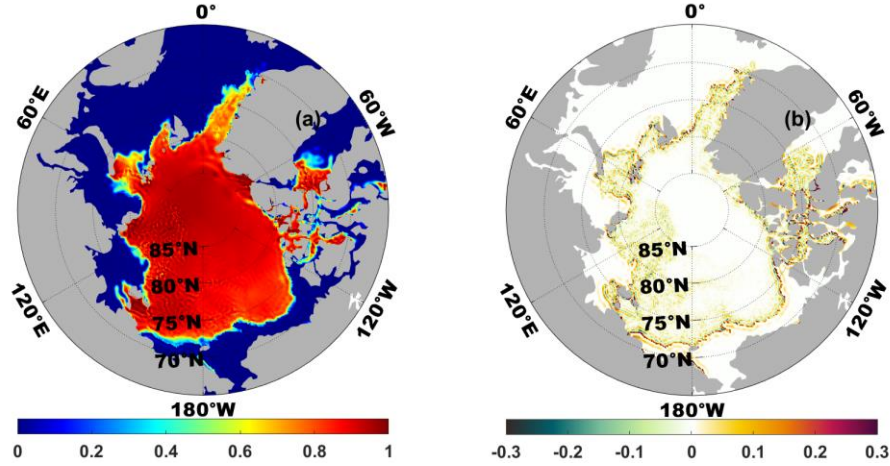
315 **Figure 5.** The SIC (a) and the SIT (b, unit: m) fields on 1 September 2012 for initial fields in Exp 1.

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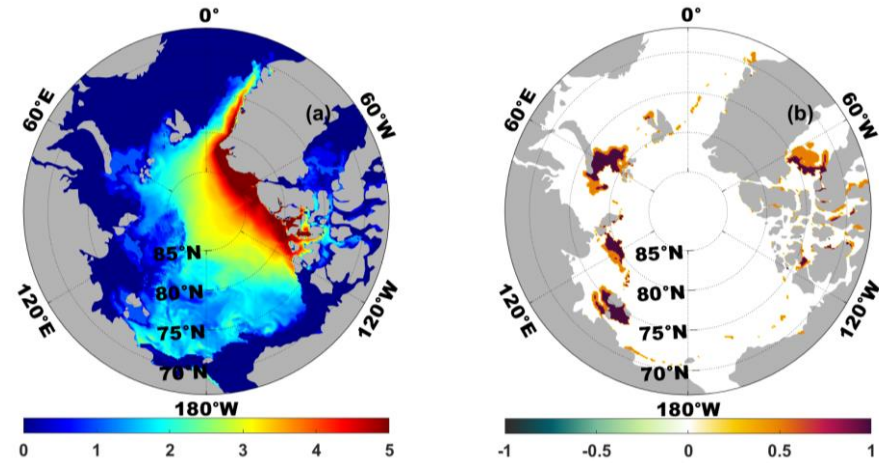
317 In Exp 2, all configurations are the same as the Exp 1 except that the initial SIC (Figure  
 318 6a) is changed to the analyzed result derived from assimilating the SIC "observations"  
 319 (Figure 4a) and the initial SIT (Figure 7a) is empirically adjusted according to the SIC change  
 320 in order to keep the physical consistency between SIC and SIT.

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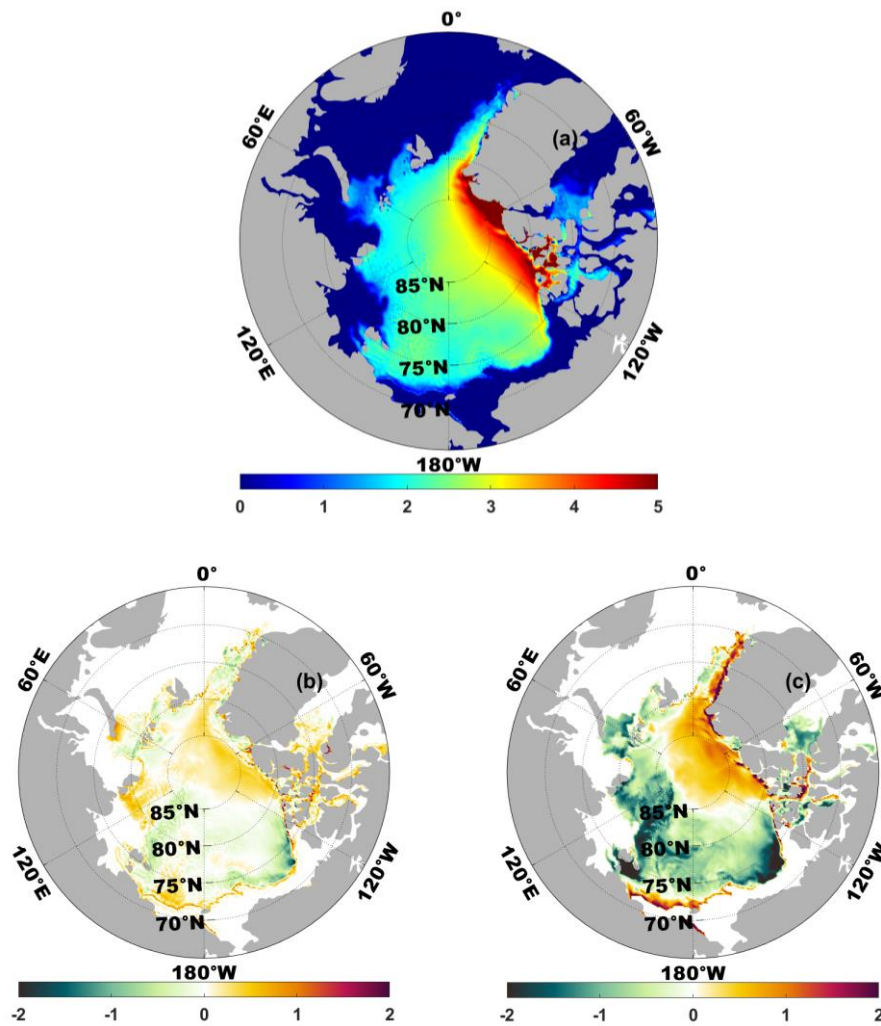
**Figure 6.** (a) The SIC initial field in Exp 2; (b) The difference between the SIC initial field in Exp 2 and the SIC initial field in control Exp.



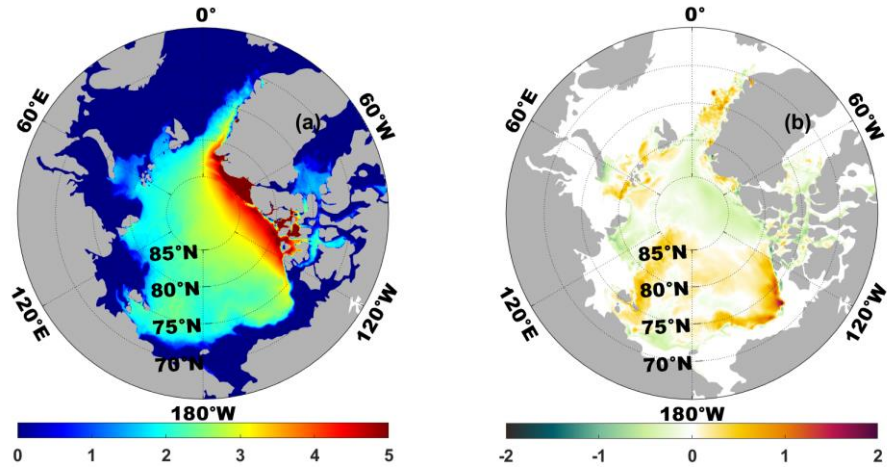
**Figure 7.** (a) The SIT initial field in Exp 2 (unit: m); (b) The difference between the SIT initial field in Exp 2 and the SIT initial field in Exp 1 (unit: m).

In Exp 3, all configurations are the same as the Exp 2 except that the initial SIT (Figure 8a) is changed to the analyzed result derived from assimilating the constructed SIT (Figure 9a). The constructed SIT is obtained by using the bivariate SIT regression mode. The construction process is the same as shown in Figure 3, which only needs to replace the reanalysis data with the simulation results and replace the observed SIC with the initial SIC of control Exp.

The difference between the constructed SIT and the SIT initial field in control Exp is shown in Figure 9b. The RMSE of the two is 0.0877. It is much smaller than the average SIT of the initial field in control Exp, which is 2.33 m. In addition, the RMSE between the initial field in Exp 3 and the SIT initial field in control Exp is 0.1482 (Figure 8b), which is extremely smaller than that between the initial field in Exp 2 and the initial field in control Exp (0.2764, Figure 8c). It can be seen that the assimilation of the constructed SIT can effectively improve the accuracy of the initial field and make it closer to the "truth" field.



**Figure 8.** (a) The SIT initial field in Exp 3 (unit: m); (b) The difference between the initial field in Exp 3 and the SIT initial field in control Exp (unit: m); (c) The difference between the SIT initial field in Exp 1 and the SIT initial field in control Exp (unit: m).



**Figure 9.** (a) The constructed SIT in Exp3 (unit: m); (b) The difference between the constructed SIT and the SIT initial field in control Exp (unit: m).

## 5.2 Results

To further evaluate whether the assimilation of the constructed SIT has a positive effect on the prediction of ocean and sea ice, the prediction accuracy of SIC, SIT, SST and sea ice drift are analyzed.

The RMSEs of the forecast SIC, SIT and SST in Exp 1, Exp 2, Exp 3 relative to that in control Exp are shown in Table 3. It is not difficult to see that the RMSEs of SIC in Exp 2 and Exp 3 are much smaller than that in Exp 1, which indicates that the assimilation of SIC in the initial field plays a vital role in improving the SIC prediction accuracy. It is particularly noted that although the same initial field of SIC is used in Exps 2 and 3, the RMSE of SIC in Exp 3 is smaller than that in Exp 2. So, the joint assimilation of the SIC and the constructed SIT in Exp 3 makes the initial SIC and SIT match better physically, which indirectly improves the prediction accuracy of SIC. The RMSE of the SIT in Exp 3 is far less than those in Exps 1 and 2, which also implies that the SIT field constructed by the bivariate SIT regression mode is more effective and accurate.

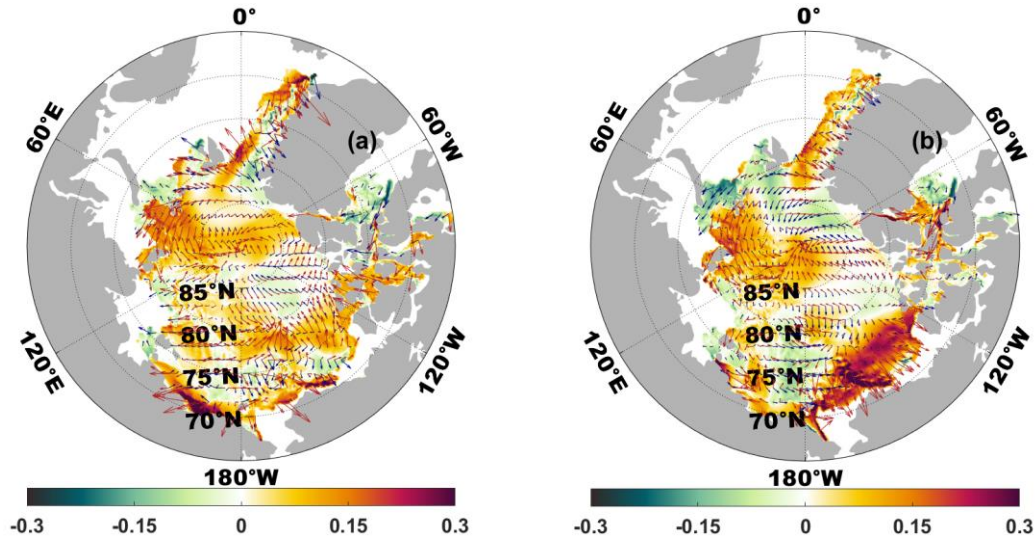
**Table 3.** *The RMSEs of SIC, SIT and SST in Exps 1 - 3 during the prediction time of 24-120h*

| Variable | Exps  | Prediction time |        |        |        |        |
|----------|-------|-----------------|--------|--------|--------|--------|
|          |       | 24h             | 48h    | 72h    | 96h    | 120h   |
| SIC      | Exp 1 | 0.1118          | 0.1123 | 0.1124 | 0.1124 | 0.1126 |
|          | Exp 2 | 0.0247          | 0.0297 | 0.0348 | 0.0395 | 0.0418 |
|          | Exp 3 | 0.0234          | 0.0288 | 0.0340 | 0.0379 | 0.0391 |
| SIT      | Exp 1 | 0.3007          | 0.3017 | 0.3025 | 0.3035 | 0.3058 |
|          | Exp 2 | 0.2609          | 0.2617 | 0.2655 | 0.2686 | 0.2706 |
|          | Exp 3 | 0.1070          | 0.1128 | 0.1184 | 0.1227 | 0.1240 |
| SST      | Exp 1 | 0.8109          | 0.8140 | 0.8183 | 0.8193 | 0.8250 |
|          | Exp 2 | 0.7704          | 0.7709 | 0.7820 | 0.7890 | 0.8118 |
|          | Exp 3 | 0.7684          | 0.7690 | 0.7805 | 0.7886 | 0.8114 |

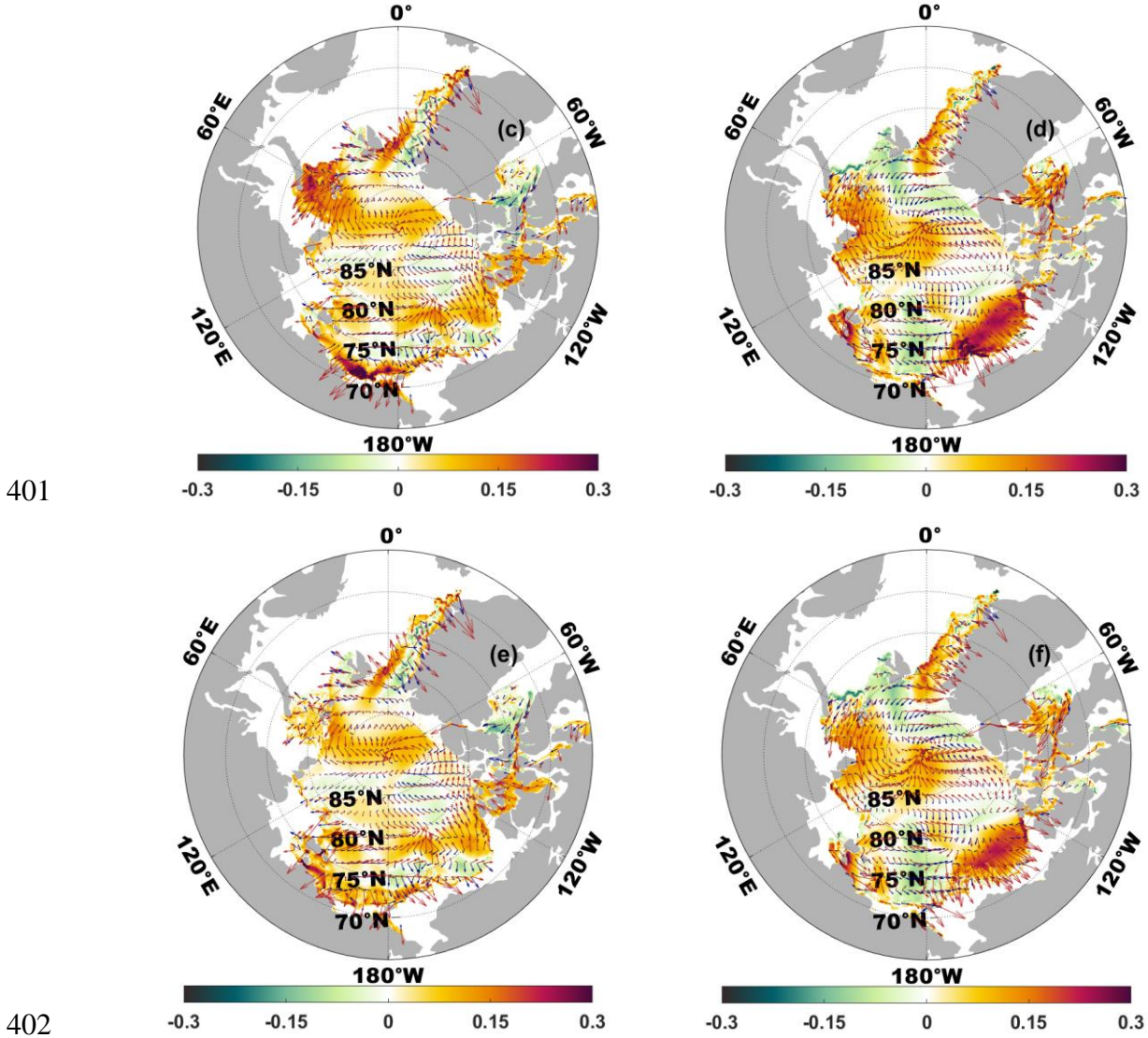
Sea ice is closely related to SST. High SST can release heat to sea ice, resulting in sea ice fusion. Low SST can absorb heat from sea ice and increase the thickness or area of sea ice. Therefore, although SST is not assimilated in the three experiments, their prediction accuracy is related to the initial SIC and SIT accuracy. Although the RMSEs of SST prediction results in the three experiments are not significantly different, the relationship of the SST RMSEs in three experiments is as follows: Exp 3 < Exp 2 < Exp 1 (Table 3). The RMSEs in Exp 3 are minimum in the whole prediction time. The SST short-term forecast results (24h and 48h) in Exp 3 are obviously better than those of the other two experiments. So, the higher the accuracy of initial SIC and SIT, the lower the error of SST prediction.

Sea ice divergence can reduce the local SIT and SIC; on the contrary, sea ice convergence can increase the SIT and SIC. At the same time, the thick ice is not easy to be accelerated by the wind and ocean current under the influence of the strong internal stress; on the contrary, the thin ice is easy to be accelerated due to the weakening of internal stress. So, sea ice velocity is also closed to the SIT and SIC. When the SIT and SIC are assimilated in

the initial field, the accuracy of sea ice velocity is also affected. Just as shown in Figure 10a, c and e, it is not difficult to see that the forecast results of 24h sea ice velocity direction in Exps 1 and 2 are apparently different from the "true" field. In addition, compared with the "true" field, the sea ice velocity of Exp 1 and 2 differ greatly in the Kara Sea, the Chukchi Sea and the East Siberian Sea. This may be due to the lower initial ice thickness value in these regions than the "true" field. Under the same atmospheric and ocean current driving conditions, the thin ice is easy to be accelerated. Although the results of Exp 3 are different from those of the "true" field, the drift direction and velocity in the Barents Sea and the East Siberian Sea are closer to those of the "true" field relative to the other two experiments. The RMSE of sea ice velocity in Exp 3 is 22.4% lower than that in Exp 1, and 16.9% lower than that in Exp 2. A similar conclusion can be drawn from the 120h forecast results (Figure 10b, d and f).







**Figure 10.** Difference of the sea ice velocity (color map) between the "true" field and the forecast results for Exp 1 (a, b), Exp 2 (c, d) and Exp 3 (e, f) at 24h (a, c, e) and 120h (b, d, f). And comparison of the sea ice drift (arrows) between the "true" field (blue) and the forecast results (red) for Exp 1, Exp 2 and Exp 3 at 24h and 120h (unit: m/s).

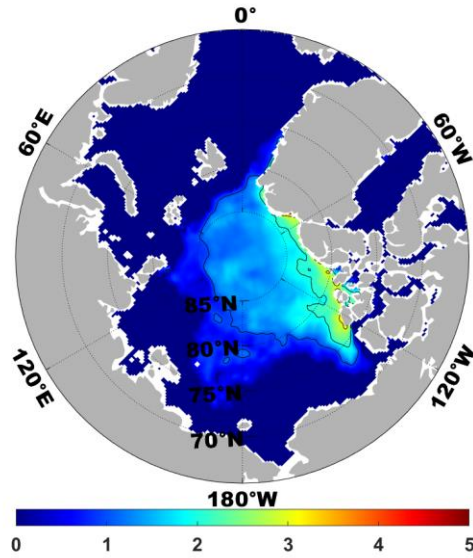
## 6 Retroactive real-time forecast experiments

In order to further explore the validity of the SIT field constructed by the bivariate regression mode under the actual condition that the satellite could not detect the ice thickness by remote sensing technology, retroactive real-time forecast experiments are carried out in this Section.

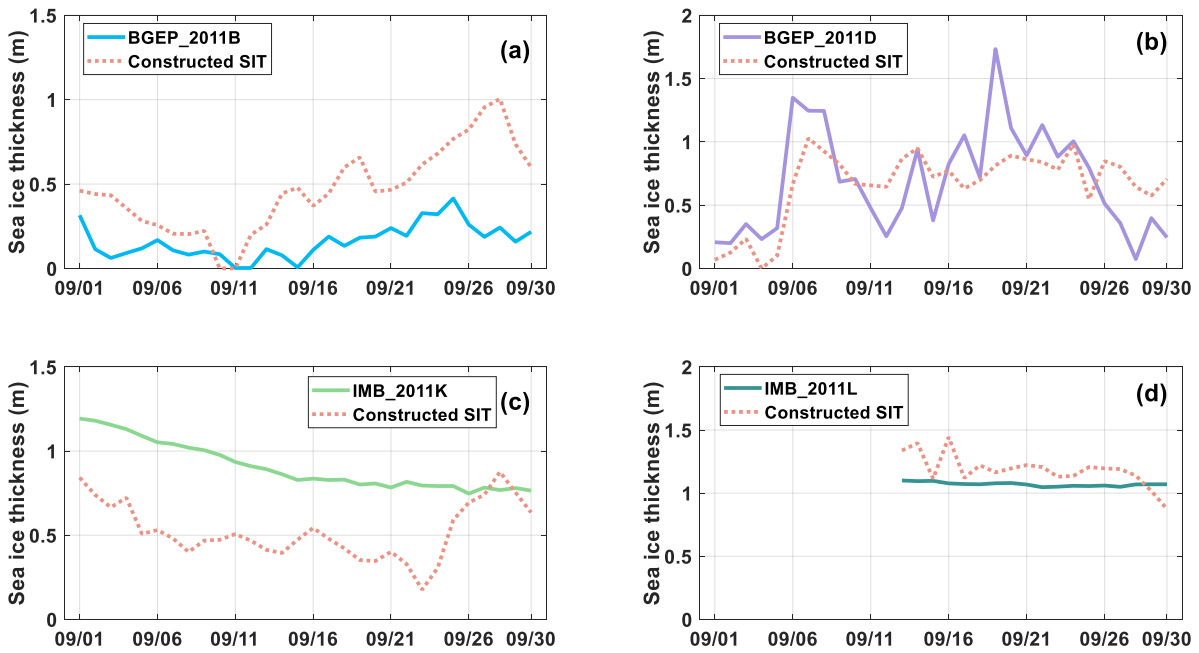
## 6.1 Experiment design

In the numerical forecast experiments, the simulation results of SIC and SIT from the ice-ocean coupled model on 1 September 2011 are used as the background field; the SIC from satellite remote sensing and the constructed SIT at the corresponding time are used as the observation field. Then, the analyzed field is obtained by using the SMRF method to assimilate the SIC and SIT; the analyzed field is used as the initial field, and the forecast results from 2 September to 8 September 2011 are obtained by the 7-day integration of the ice-ocean coupled model. In the next step, the 24h forecast results (i.e., 2 September) are used as the background field and the observed SIC and constructed SIT at the corresponding time are assimilated to provide the initial field of the next 7-day forecast; the forecast results from 3 September to 9 September 2011 are obtained by model simulation. According to this process, the data assimilation and model integration are alternately rolled until 30 September 2011, and the one-month numerical forecast of Arctic sea ice is realized.

According to the bivariate regression mode proposed in Section 4.1, the SIT field required in the real numerical forecast experiment can be constructed based on the reanalysis data of SIC and SIT and the satellite remote sensing observation data of SIC. Taking 1 September 2011 as an example, the reanalysis data of SIC and SIT on 1 September from 2004 to 2010 and from 2012 to 2018 are selected and normalized into dimensionless quantities. Based on this, a regression model is established at each grid point for each year. Then, the SIT is calculated based on the regression relationship and the satellite remote sensing SIC observation data of 1 September 2011 at each grid point for each year. The AHP is used to determine the weight of each year and the SIT field is weighted average. Finally, the SIT field is empirically adjusted according to the SIC observation data. The constructed SIT field on 1 September 2011 is shown in Figure 11 as an example., The average RMSEs between the constructed SIT field and the observed SIT on site of the four devices are 0.3622, 0.3338, 0.4241, and 0.1659 (Figure 12).



**Figure 11.** The constructed SIT field for 1 September 2011 (unit: m).



**Figure 12.** Comparison between the constructed SIT field (orange) and the observed SIT on site of BGEF\_2011B (a), BGEF\_2011D (b), IMB\_2011K (c), IMB\_2011L (d).

The initial fields for the three comparative experiments are shown in Table 4. The Exp\_Ctrl is a control experiment, which does not assimilate any data and only integrates



forward through the ice-ocean coupled model. The other two experiments both use the SMRF data assimilation method, but differ in the construction of the initial SIC and SIT. The Exp\_SIC only assimilates the SIC and empirically adjusts the SIT. The Exp\_SIC&SIT assimilates the SIC and constructed SIT.

**Table 4.** *Comparison of Exp\_Ctrl, Exp\_SIC and Exp\_SIC&SIT initial fields*

| Experiment title | Assimilation method | Assimilated SIC                   | Assimilated SIT              |
|------------------|---------------------|-----------------------------------|------------------------------|
| Exp_Ctrl         | None                | None                              | None                         |
| Exp_SIC          | The SMRF method     | SIC from Satellite remote sensing | The empirically adjusted SIT |
| Exp_SIC&SIT      | The SMRF method     | SIC from Satellite remote sensing | The constructed SIT          |

## 6.2 Results

### 6.2.1 Sea Ice Concentration forecast

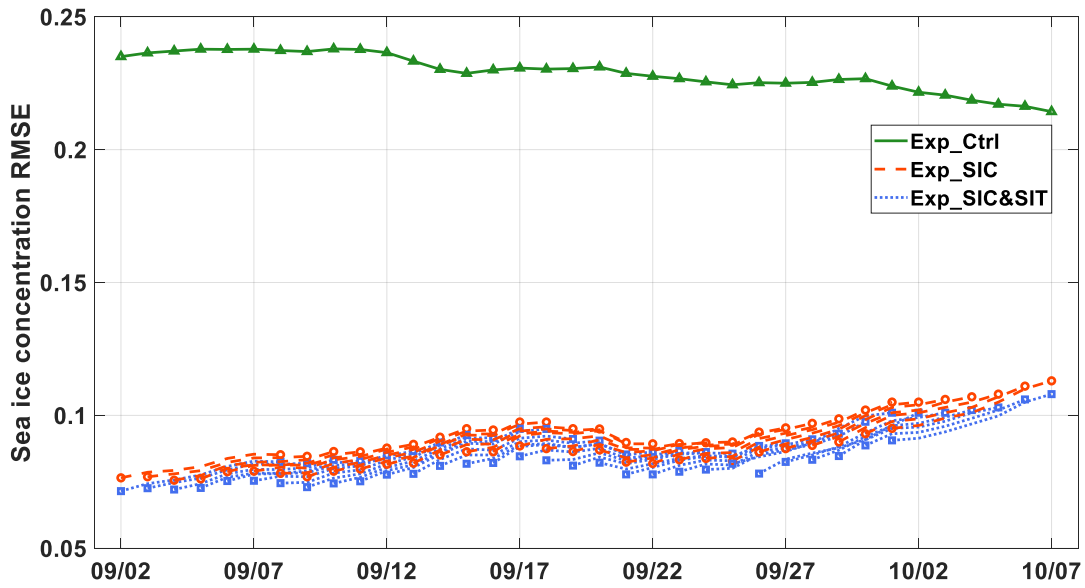
For intuitive and effective comparison, the RMSE of SIC between forecast results and observations is calculated by the following formula:

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (y_i - x_i)^2}{n}} \quad (4)$$

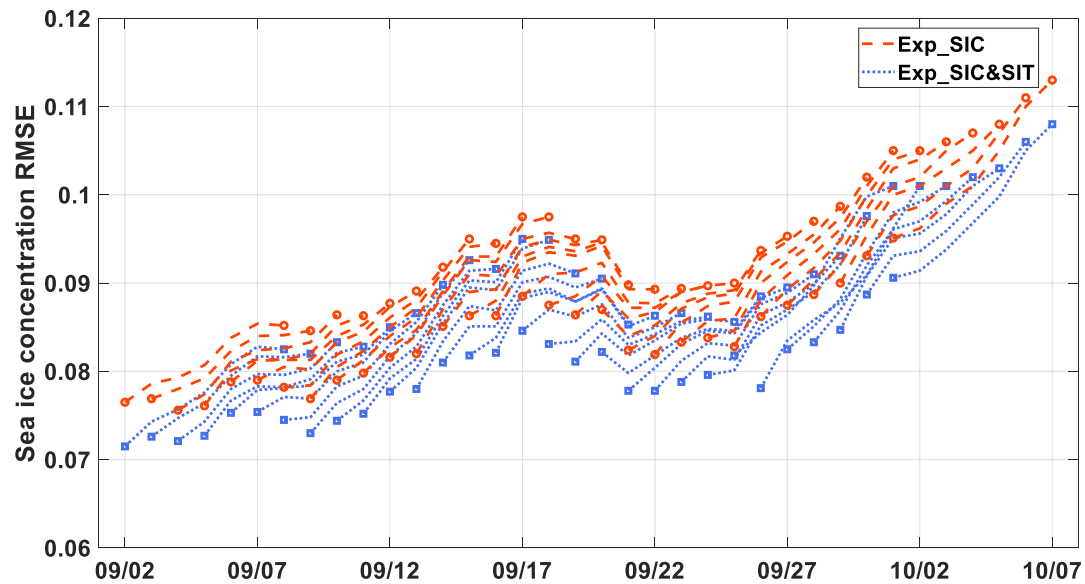
where  $i$  is index of the grid point,  $y_i$  is the analyzed value at the  $i$ -th grid point,  $x_i$  is the observation value at the  $i$ -th grid point,  $n$  denotes the total number of grid points (except land points and the north pole center point).

The RMSE time series of SIC forecast results relative to satellite remote sensing observation in the three experiments (Exp\_Ctrl, Exp\_SIC, Exp\_SIC&SIT) are shown in Figure 13. In order to more clearly express the difference of SIC between joint assimilation and single-variable assimilation, the RMSE time series in the Exp\_SIC and Exp\_SIC&SIT

experiments in Figure 13 are enlarged (Figure 14).

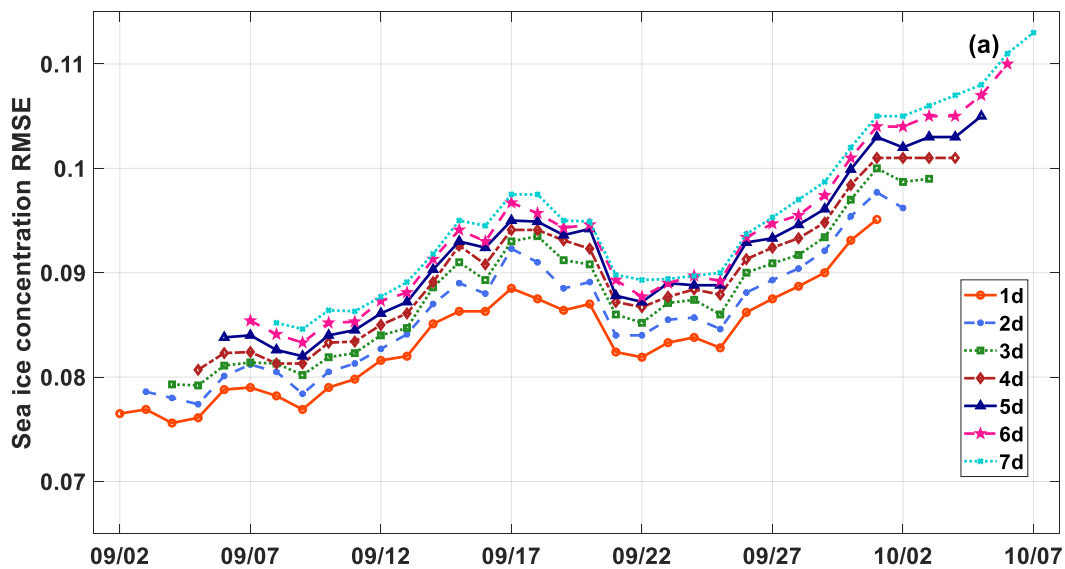


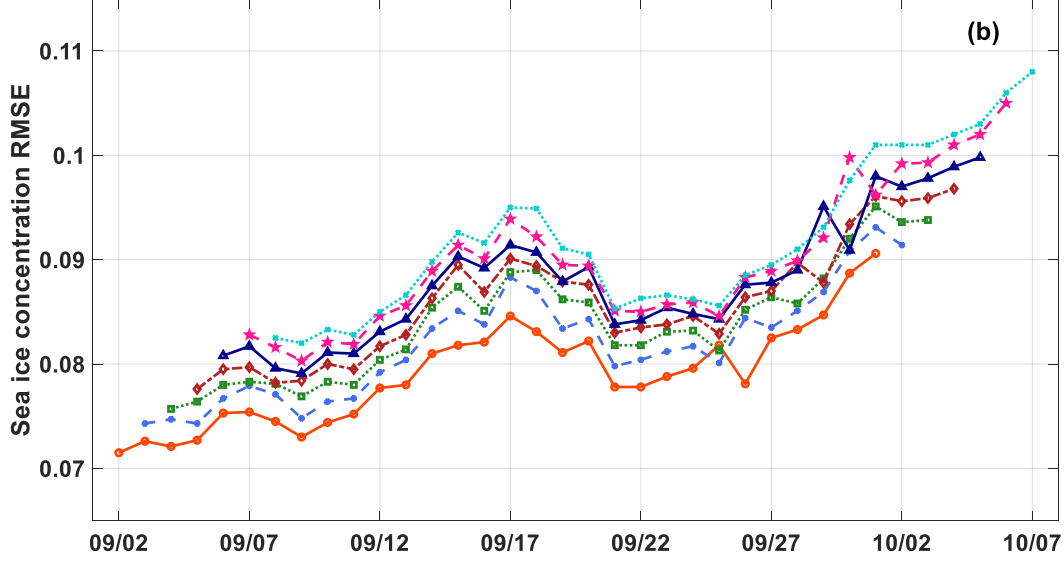
**Figure 13.** The RMSEs of SIC during 2 September to 7 October 2011 (each segment represents the 7-day forecast) between the forecast results of Exp\_Ctrl (green), Exp\_SIC (red), Exp\_SIC&SIT (blue) and the SSMI observation.



**Figure 14.** The RMSEs of SIC during 2 September to 7 October 2011 (each segment represents the 7-day forecast) between the forecast results of Exp\_SIC (red), Exp\_SIC&SIT (blue) and the SSMI observation.

It can be seen from Figure 13 that the RMSE in Exp\_Ctrl is within the range of 0.2-0.25, with an average value of 0.23. However, the RMSEs in Exp\_SIC and Exp\_SIC&SIT are in the range of 0.07-0.12, which are much smaller than Exp\_Ctrl. In other words, data assimilation greatly reduces the deviation between the forecast results and the satellite observation. It is not difficult to see from Figure 14 that the RMSE in Exp\_SIC&SIT is always smaller than that in Exp\_SIC no matter in which forecast period. Moreover, the difference between the RMSE in Exp\_SIC&SIT and the RMSE in Exp\_SIC is equal on the first day of the period (2 September) and the last day of the period (7 October). This indicates that the improvement of the initial field of SIT not only significantly improves the prediction accuracy of SIC, but also has a long-term stable effect. In addition, the relationship graph between the forecast error and the forecast time suggests that the RMSEs of 1-7 days SIC forecast results in Exp\_SIC&SIT are significantly smaller than those in Exp\_SIC, especially during the period from 2 September to 12 September and from 20 September to 27 September (Figure 15). This indicates that the improvement of the SIT initial field not only significantly improves the SIC forecast accuracy, but also has a long-term stable effect. To sum up, the joint assimilation of SIC and SIT shows better stability and accuracy features for the SIC prediction compared to simulation and the single variable assimilation.





**Figure 15.** The RMSEs of the 1-day (orange), 2-day (blue), 3-day (green), 4-day (brown), 5-day (dark blue), 6-day (pink), 7-day (sky blue) SIC forecast results in Exp\_SIC(a), Exp\_SIC&SIT (b) relative to the SSMI observation during the period of 2 September to 7 October, 2011.

### 6.2.2 Sea Ice Thickness forecast

Figure 16 compares the 24h forecast SIT of Exp\_Ctrl, Exp\_SIC, and Exp\_SIC&SIT with the observed SIT from ULS mooring facilities (BGEP\_2011B, BGEP\_2011D) and buoys (IMB\_2011K, IMB\_2011L). Among three experiments, the forecast SIT at the observation point is the weighted average of the 24-hour forecast value of SIT at all model grid points around it. The calculation formula is as follows:

$$Z_{ij}^a = \frac{\sum_k w_k z_k^o}{\sum_k w_k} \quad (5)$$

where,  $k$  is the number of model grid points within the influence radius,  $z_k^o$  represents the forecast value of SIT of the  $k$ -th model grid point,  $Z_{ij}^a$  represents the forecast SIT at the observation point, and  $w_k$  represents the weight. The calculation formula is as follows:

$$\begin{aligned} w_k &= e^{-\frac{r_k^2}{2R^2}}, \quad r_k \leq R \\ w_k &= 0, \quad r_k > R \end{aligned} \quad (6)$$

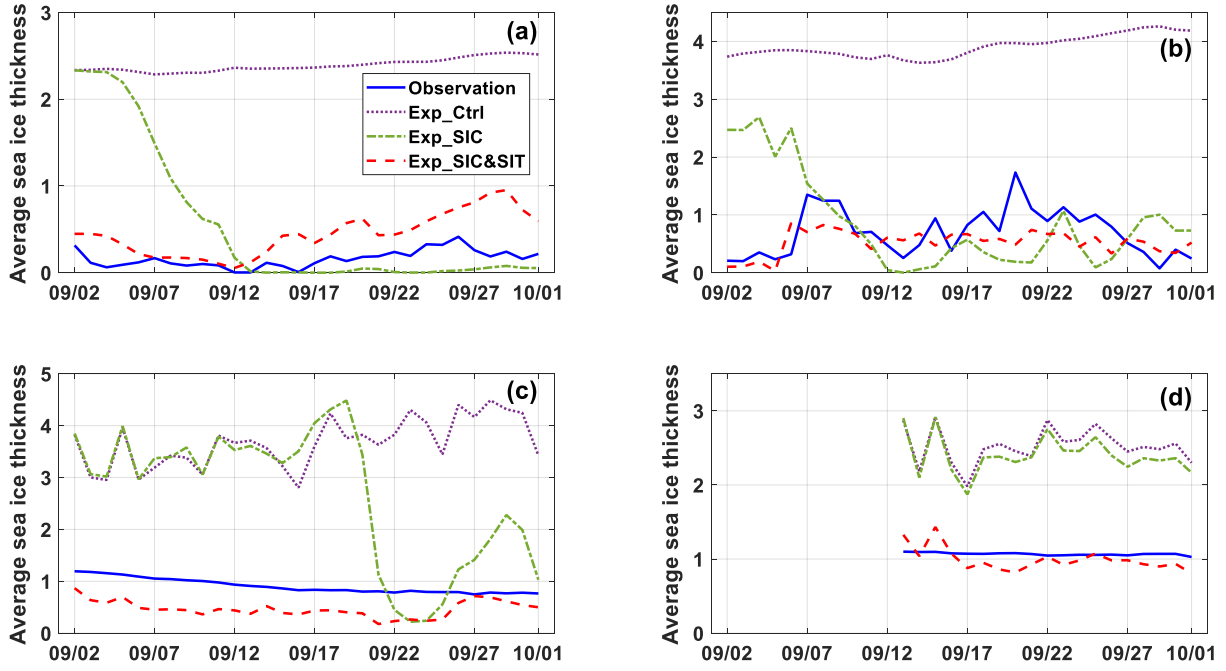
where,  $R$  represents the influence radius, which is taken as 36km in the experiments.  $r_k$  represents the distance between the  $k$ -th model grid point and the observation point.

The prediction results of SIT in Exp\_Ctrl tends to be overestimated (Figure 16). Exp\_Ctrl has a flat performance when the observation fluctuates sharply with time, while Exp\_Ctrl shows an abnormal fluctuation when the observation is flat. It can be seen from Table 5 that the forecast results of the other two experiments with the data assimilation (Exp\_SIC and Exp\_SIC&SIT) are more precise than that of Exp\_Ctrl.

**Table 5.** Average absolute deviations of SIT (m) between 24h forecast results of Exp\_Ctrl, Exp\_SIC, Exp\_SIC&SIT and observations

|            | Exp_Ctrl | Exp_SIC | Exp_SIC&SIT |
|------------|----------|---------|-------------|
| BGEP_2011B | 2.23     | 0.59    | 0.28        |
| BGEP_2011D | 3.21     | 0.74    | 0.32        |
| IMB_2011K  | 2.72     | 1.63    | 0.43        |
| IMB_2011L  | 1.46     | 1.33    | 0.14        |

Compared with the observations of the BGEP\_2011B (Figure 16 (a)), the fluctuation trend of SIT decreasing first and then rising with time can be better captured in Exp\_SIC&SIT. Although the decreasing trend of SIT before 12 September is captured in Exp\_SIC, the SIT is much larger than the observation due to the large error of the initial SIT. Compared with the observations of BGEP\_2011D (Figure 16 (b)), the SIT generally tends to be underestimated and cannot present the peak value of observed SIT for Exp\_SIC&SIT. However, its average absolute deviation compared to observed data is 0.32m, which is much smaller than 0.74m in Exp\_SIC.



**Figure 16.** Comparison of averaged SIT (unit: m) from 1 September to 30 September 2011 between the 24h forecast results of Exp\_Ctrl (purple dotted line), Exp\_SIC (green dotted line) and Exp\_SIC&SIT (red dotted line) and the observations (solid blue line) of BGEP\_2011B (a), BGEP\_2011D (b), IMB\_2011K (c), IMB\_2011L (d).

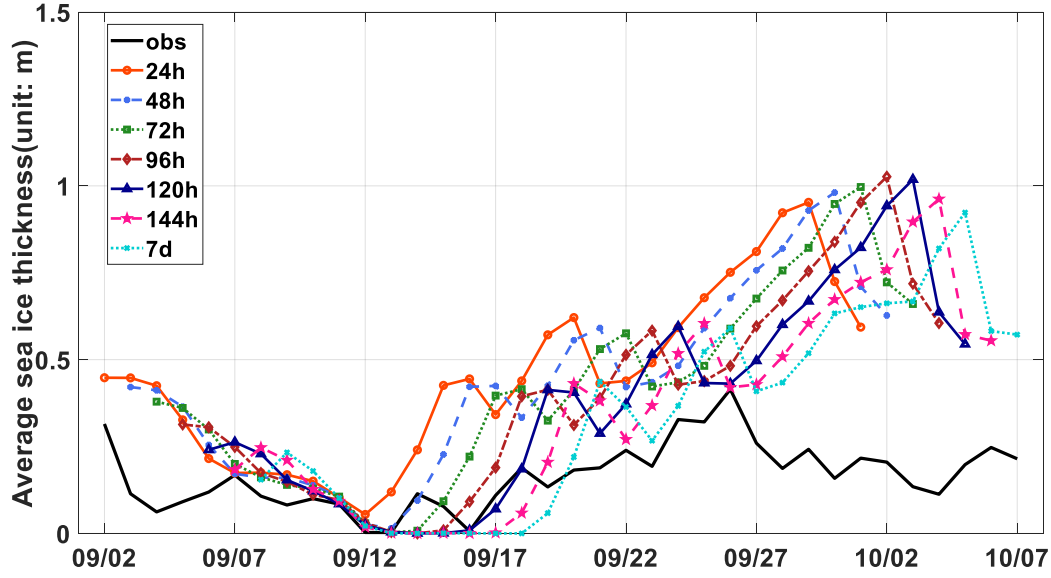
The average of absolute deviations between the forecast results and the observation at IMB\_2011K site are the largest among four groups of observations as shown in Table 5. As an example, we analyze the results on 21 September, when the absolute deviation between Exp\_SIC&SIT and observations is biggest. In fact, on this day, according to the prediction results, the IMB\_2011K buoy locates at the sea ice marginal area, but the observed sea ice extent is larger than the forecast sea, and the actual position of the buoy is in the sea ice inner area. Generally, the SIT in the sea ice marginal area is smaller than that in the sea ice inner area. So, the forecast SIT value is lower than the observed one. The IMB\_2011L has been in operation since 13 September 2011, and a total 19-day data have been obtained until October 1. Since it located in the central region of the Arctic, the SIT changes very little over time. It is worth noting that the absolute deviations of SIT at IMB\_2011L site is minimum during four groups of observations, with a value of 0.14m, which indicates that EXP\_SIC&SIT has a

better forecast effect in the multi-year ice area than that in the rapidly changing sea ice margin area.

The average absolute deviations between 7-day forecast results and observations are also compared for three experiments (Table 6). As can be seen from the comparison between Table 5 and Table 6, the average absolute deviations of 7-day SIT forecast results increase compared with that of 24-hour forecast results in Exp\_Ctrl, while a slightly decreasing trend is performed in Exp\_SIC and Exp\_SIC&SIT. In particular, the difference of average absolute deviations between 24-hour and 7-day forecast reaches to in order of magnitude  $10^{-2}$  for Exp\_SIC&SIT. Moreover, according to the comparison between the SIT forecast results of Exp\_SIC&SIT at each prediction time and the observation in BGEP\_2011B (Figure 17), joint assimilation makes the deviation between the forecast results and observations decrease with the increase of forecast time.

**Table 6.** Average absolute deviations of SIT (m) between 7d forecast results of Exp\_Ctrl, Exp\_SIC, Exp\_SIC&SIT and observations

|            | Exp_Ctrl | Exp_SIC | Exp_SIC&SIT |
|------------|----------|---------|-------------|
| BGEP_2011B | 2.25     | 0.25    | 0.22        |
| BGEP_2011D | 3.29     | 0.69    | 0.27        |
| IMB_2011K  | 3.02     | 1.61    | 0.39        |
| IMB_2011L  | 1.52     | 1.39    | 0.12        |



**Figure 17.** Comparison of the forecast SIT of EXP\_SIC&SIT in the prediction time of 24h, 48h, 72h, 96h, 120h, 144h and 7d with the observations of BGEP\_2011B during the period of 2 September to 7 October 2011.

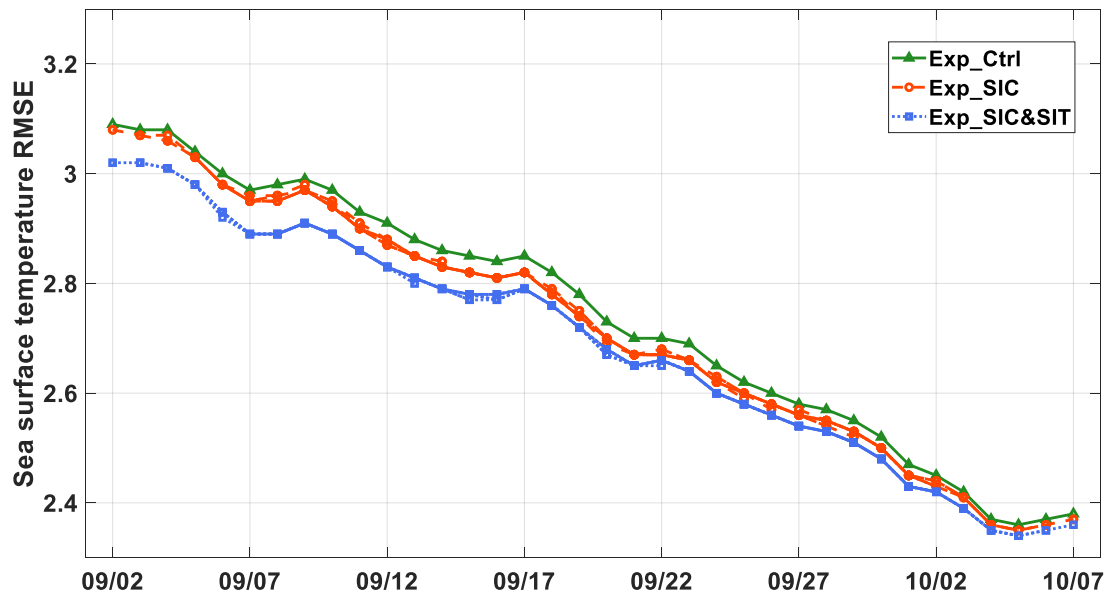
### 6.2.3 Other elements forecast

In order to explore whether improving the initial field of SIC and SIT has a positive impact on the forecast results of other elements, the SST and sea ice drift are taken as examples to analyze their forecast accuracy in the three experiments.

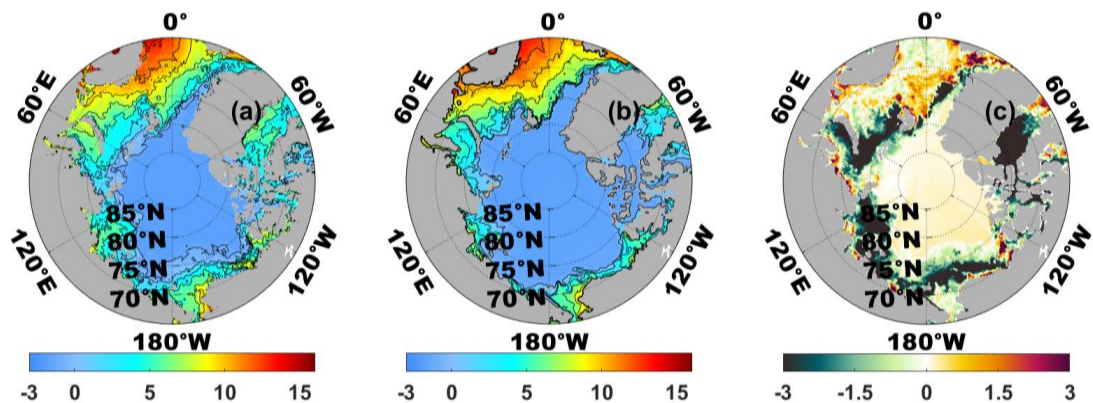
Figure 18 is the time series of the SST RMSE in the whole forecast period in Exp\_Ctrl, Exp\_SIC, Exp\_SIC&SIT compared to the OSTIA observation. It is not difficult to see from the figure that the overall trend of the RMSEs in the three experiments is basically consistent and decreases gradually with the increase of time. This phenomenon can be explained by Figure 19. On the first day of the forecast (2 September), since the SST is not assimilated, the initial SST field with a large range of cool area is still compatible with the initial SIC without SIC assimilation, which shows more sea ice. That is to say, the temperature in some area, where observation SIC and constructed SIT indicate that sea ice does not exist, is still at or below freezing point, leading to the inconsistency between the initial SST and initial SIC and SIT with assimilation; With the increase of dynamic mode integration time, the simulated

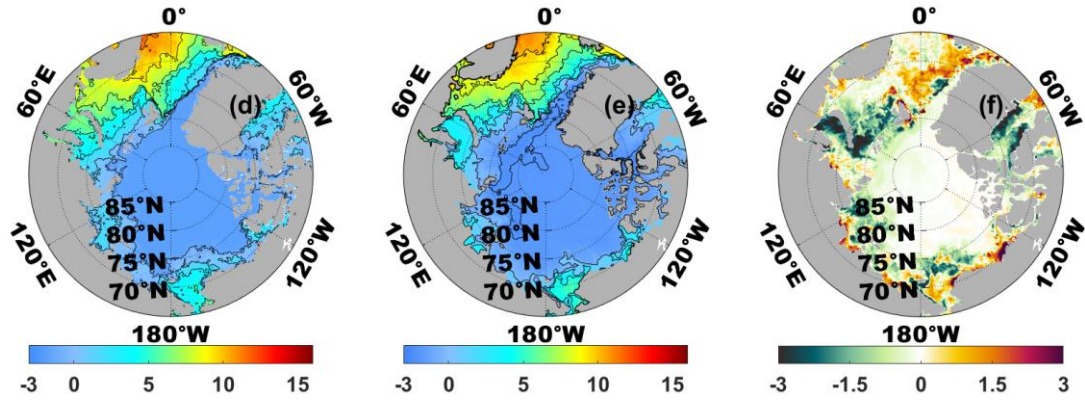


SST gradually matches to SIC. On the last day of the forecast (October 7), the prediction accuracy of SST is improved through continuous assimilation of SIC and SIT and model integration. It is worth noting that although the RMSEs of the forecast results among the three experiments are not significantly different, the performance of Exp\_SIC&SIT is still slightly better than that of the other two experiments, which is consistent with the results of the twin tests.



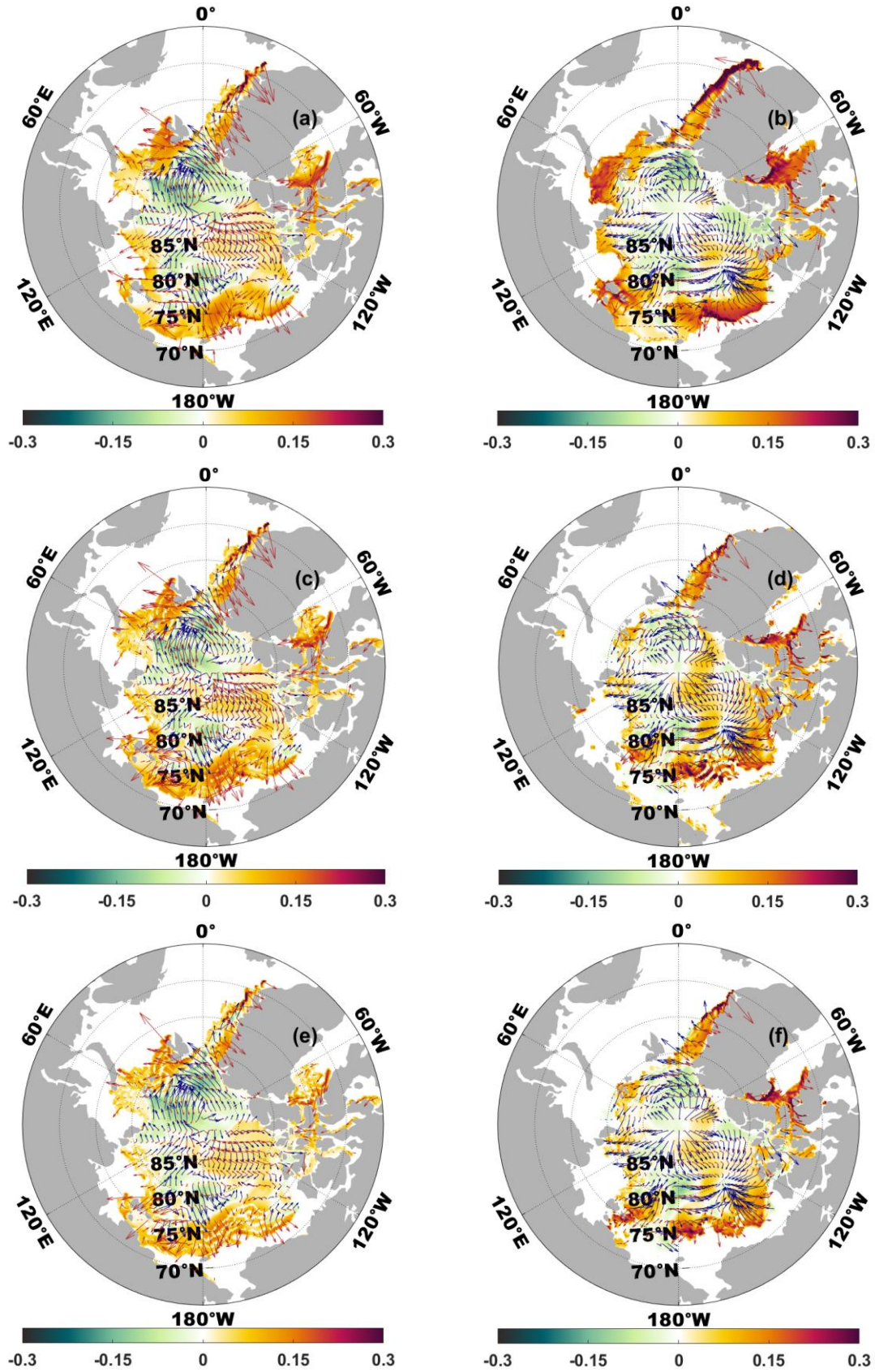
**Figure 18.** The RMSEs of sea surface temperature from 2 September to 7 October 2011 (each segment represents the 7 days forecast) between the forecast results of Exp\_Ctrl, Exp\_SIC, Exp\_SIC&SIT and the OSTIA observed data.





**Figure 19.** Sea surface temperature observed field (a and d), forecast field of Exp\_SIC&SIT (b and e), difference between forecast results and observation (c and f) on 2 September 2011 (a, b, c) and 7 October 2011 (d, e, f) (Unit: °C).

The sea ice velocity forecast field of the three experiments on 2 September and 7 October 2011 is compared with the TOPAZ reanalysis field (Figure 20). On the first day of prediction (Figure 20a, c and e), the difference between the results of the three experiments and the reanalysis field is greater in the outer sea ice region than in the inner sea ice region, because there is no assimilation of sea ice drift and the initial field of sea ice extent is large. It is noteworthy that the forecast results of the velocity and direction of sea ice drift in Exp\_SIC&SIT in some regions are significantly better than those in the other experiments, such as the Greenland Sea, the Baffin Bay and the Chukchi Sea. The RMSE of sea ice velocity in Exp\_SIC&SIT decreases by 11.4% compared with Exp\_Ctrl and 10.9% compared with Exp\_SIC. On the last day of prediction (Figure 20b, d and f), with the model integral correction, the difference of sea ice velocity in Exp\_SIC and Exp\_SIC&SIT is significantly smaller than that in Exp\_Ctrl. Compared with the RMSE of sea ice velocity in Exp\_Ctrl, EXP\_SIC&SIT decreases by 26.3% and Exp\_SIC decreases by 18.9%. However, the sea ice drift direction of three experiments still deviates from the reanalysis field.



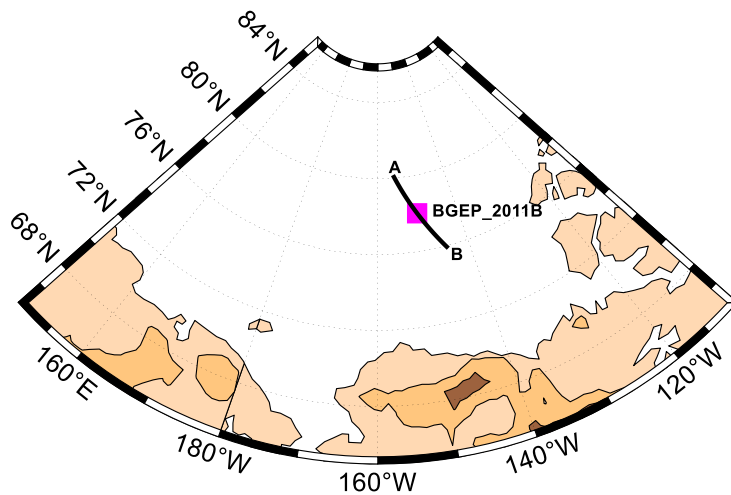
**Figure 20.** Difference of the sea ice velocity (color map) between the TOPAZ reanalysis field and the forecast fields of Exp\_Ctrl (a, b), Exp\_SIC (c, d), Exp\_SIC&SIT (e, f) on 2 September 2011 (a, c, e) and 7

October 2011 (b, d, f). And comparison of the sea ice drift (arrows) between the TOPAZ reanalysis field (blue) and the forecast fields (red) of Exp\_Ctrl, Exp\_SIC, Exp\_SIC&SIT on 2 September 2011 and 7 October 2011 (unit: m/s).

From the above analysis of the forecast results, it can be seen that, although the error between the forecast results of Exp\_SIC&SIT and the observation is smaller than that of the other two experiments under the effect of good initial fields, there are still errors that cannot be ignored. In the following study, the influence of the improvement of the multi-element initial fields on the prediction accuracy needs to be further discussed.

### 6.3 Interaction between SIC and SIT

In order to further explore the influence of the interaction between SIC and SIT on the prediction of SIT, BGEP\_2011B is taken as an example. A line segment AB is drawn in Figure 21, which is the 550km long. The position BGEP\_2011B is taken as the midpoint of AB, which is 275km away from A or B point. The position of point A is ( $80^{\circ} 9.666' N$ ,  $155^{\circ} 20.322' W$ ), and the position of point B is ( $75^{\circ} 51.126' N$ ,  $144^{\circ} 30.606' W$ ).



**Figure 21.** The schematic plot of mooring facility BGEP\_2011B as the midpoint of line AB with a total length of 550km.

In Figure 22, the correlation coefficients along AB between the 24h forecast results of

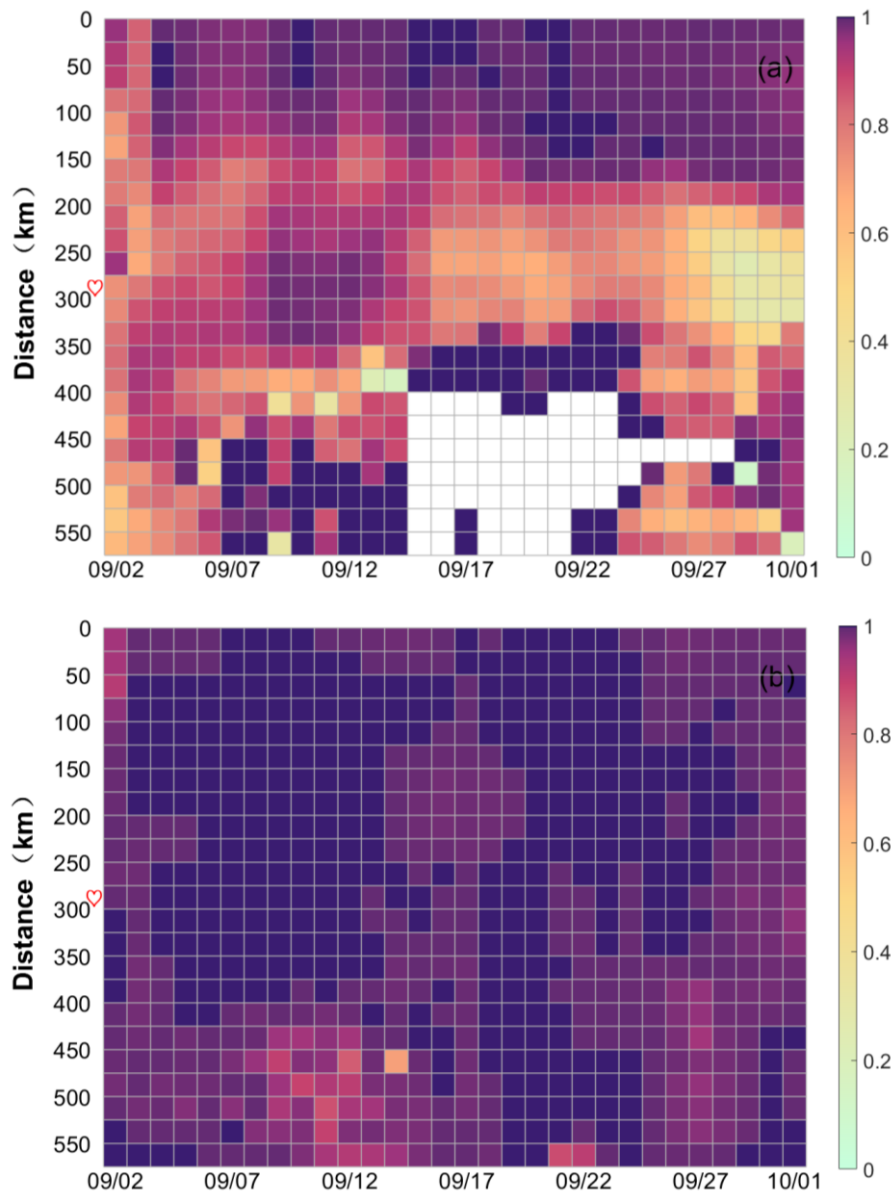
SIC and SIT in Exp\_SIC and Exp\_SIC&SIT from 1 to 30 September 2011 is shown. The white grid indicates that there is no sea ice at this location. As can be seen from Figure 22, both Exp\_SIC and Exp\_SIC&SIT show a positive correlation between SIC and SIT. It means that the larger the sea ice area, the thicker the sea thickness, which is consistent with the thermodynamics mechanism of sea ice proposed by Lister et al. (2003).

It is noted that in Figure 22a, correlation coefficients between SIC and SIT within the range of 0-275km are mostly above 0.75, while there is a large area of no sea ice within the range of 275-550km and the rest values are mostly less than 0.75 except for the relatively high abnormal value of correlation coefficient due to the lack of data. This may be attributed that Exp\_SIC only assimilates SIC, leading to poor dynamic coordination between SIC and SIT in the model integration process and the underestimation of sea ice extent. As a result, with the increase of the distance, the corresponding latitude gradually decreases and gets closer to the edge of the sea ice, so that the sea ice becomes thinner and its thermodynamic properties becomes more and more localized, resulting in the weak correlation. This also explains why the 24h SIT forecast results of Exp\_SIC is significantly different from the BGEP\_2011B observation and the forecast SIT is zero even after 12 September (Figure 16a).

Similar to Figure 22a, the correlation coefficients in the range of 0-275km is higher than that in the range of 275-550km in Figure 22b. However, different from Figure 22a, the correlation coefficient corresponding to 96.7% grid points in Figure 22b is 0.95 or above, much higher than the 38.4% in Figure 22a, which is closely related to the coordination in the process of model integration between the SIC and the SIT in Exp\_SIC&SIT. In particular, it is noted that the forecast SIT of Exp\_SIC&SIT almost coincide with the observations from 7 September to 12 September in Figure 16a. Correspondingly, the correlation coefficient during this period between forecast SIC and forecast SIT is close to 1 in the heart-shaped row of Figure 22b. In the same period, the forecast SIT of Exp\_SIC is much larger than the observations (Figure 16a), which is related to the slight correlation coefficient between forecast SIC and forecast SIT in the heart-shaped row of Figure 22a. It can be said that the higher the correlation coefficient between the forecast SIC and forecast SIT is, the better the



forecast SIT is; otherwise, the worse the forecast SIT is.



**Figure 22.** The correlation coefficients plots along AB between the 24h forecast results of SIC and SIT in Exp\_SIC (a) and Exp\_SIC&SIT (b) from 1 to 30 September 2011 (Line AB intersects BGEP\_2011B at 275 km (red heart)).

## 7 Conclusions

In this paper, a bivariate regression model is proposed to solve the problem that Arctic ice thickness in melting season cannot be detected by satellite remote sensing technology. The regression model is established by using the reanalysis data of SIC and SIT at each grid

point. Then, the SIT field can be constructed according to the SIC observational data at each grid point and the corresponding regression model. This method is simple and can quickly reconstruct SIT during Arctic melting season.

In addition, The SMRF assimilation method and ice-ocean coupled model MITgcm are used to establish an Arctic forecast system. The twin experiment and real forecast experiments are performed in order to analyze the joint assimilation of observed SIC and constructed SIT on forecast accuracy in the melting season.

The results show that the prediction results of sea ice and ocean elements in the experiment of joint assimilation are closer to observations than other experiments and the improvement has long-term stability. In particular, the average absolute deviation between the forecast SIT and the observations is only 0.14 m in the multi-year ice region of the central Arctic. In addition, the correlation coefficient of 96.7% grid points in the overall interaction plot of SIC and SIT is no less than 0.95 in the joint assimilation experiment, which means that there is a high dynamic coordination and consistency among various elements. At the same time, the results also demonstrate that the bivariate regression model can be applied to the actual SIT forecast in the future.

In fact, the relationship between SIC and SIT is nonlinear and complicated. Generally, the same SIC value corresponds to multiple SIT values and the range of thickness values is large, so it is a little difficult to describe the relationship between them only by using the fitting relationship. The ability of neural network in self-learning and high-speed searching for optimal solutions is extremely suitable for building the nonlinear model. In the future, the research will be carried out to solve the missing SIT data in melting season by giving play to the advantages of deep learning.

There are interactions and constraints among the sea ice parameters, ocean and climate elements. For the problems of how they interact and coordinate with each other in the ice-sea coupled model, the further in-depth analysis will be carried out from the perspective of dynamic and thermal processes of sea ice. It is hope to improve the physical process of the model and realize the accurate forecast in the Arctic sea ice edge.

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## Data Availability Statement

The SIC observational data set is available at the NSIDC (<https://nsidc.org/data/NSIDC-0051/versions/1>). The sea ice draft data from the ULS measurements of BGEP are available at the WHOI (<https://www2.whoi.edu/site/beaufortgyre/data/mooring-data/2011-2012-mooring-data-from-the-bgep-project/>), and the IMB data from are available from the CRREL-Dartmouth Mass Balance Buoy Program (<http://imb-crrel-dartmouth.org/archived-data/>). The SST data are available at ESA Climate Change Initiative's Sea Surface Temperature (<https://climate.esa.int/en/odp/#/project/sea-surface-temperature>). The reanalysis data of SIC, SIT and sea ice drift are available at CMEMS ([https://resources.marine.copernicus.eu/?option=com\\_csw&task=results?option=com\\_csw&view=details&product\\_id=ARCTIC\\_REANALYSIS\\_PHYS\\_002\\_003](https://resources.marine.copernicus.eu/?option=com_csw&task=results?option=com_csw&view=details&product_id=ARCTIC_REANALYSIS_PHYS_002_003)).

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