Statistical Characterization of GITM Thermospheric Horizontal Winds in Comparison to GOCE Estimations

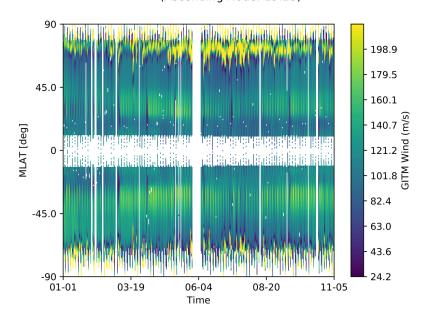
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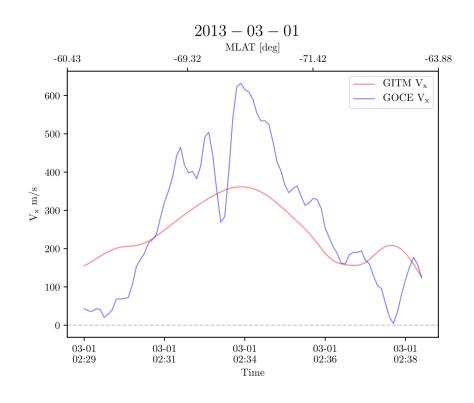
November 22, 2022

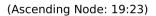
Abstract

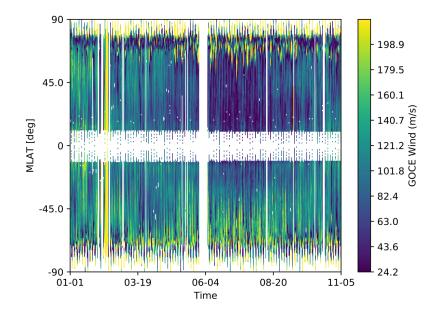
Characterization of the thermospheric horizontal wind is an important challenge in atmospheric modeling, due to its vital role in the transport of densities and energy, associations with the diurnal tide, and interplay with vertical winds that drive changes in the thermosphere neutral composition. The mechanisms and drivers that underlie the physics of thermospheric horizontal winds remain under investigation and, to date, no comprehensive statistical study between thermospheric winds generated by a physics-based atmospheric model and those retrieved from satellite measurements has been performed. Comparisons between cross-track horizontal winds from a 10-month run of GITM and those derived from the Gravity field and steady-state Ocean Circulation Explorer (GOCE) satellite showed that GITM's modeled horizontal winds best in the polar zone and overestimated them at midlatitudes in the equatorial ionization anomaly region. GITM's wind response to AE was best at polar noon and worst in the midnight auroral zone, its ability to capture seasonality was best in the northern high latitudes and worst in the southern high latitudes, and GITM displayed less wind variability as a function of F10.7 than GOCE, matching it best for F10.7~150. Discrepancies in GITM's performance may be explained by inaccurate modeling of ion drift, ion drag, and electron densities.

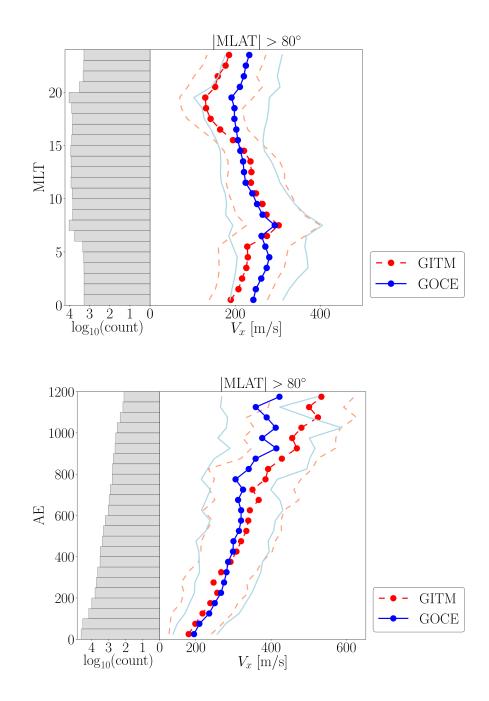


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Statistical Characterization of GITM Thermospheric Horizontal Winds in Comparison to GOCE Estimations

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6 Key Points:

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- Cross-track horizontal winds from GOCE are compared to GITM for Jan-Oct 2013.
- GITM captured the overall distribution of horizontal winds, but with lower means than GOCE.
 - GITM overpredicts horizontal wind in the equatorial ionization anomaly regions.

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11 Abstract

Characterization of the thermospheric horizontal wind is an important challenge in at-12 mospheric modeling, due to its vital role in the transport of densities and energy, asso-13 ciations with the diurnal tide, and interplay with vertical winds that drive changes in 14 the thermosphere neutral composition. The mechanisms and drivers that underlie the 15 physics of thermospheric horizontal winds remain under investigation and, to date, no 16 comprehensive statistical study between thermospheric winds generated by a physics-17 based atmospheric model and those retrieved from satellite measurements has been per-18 formed. Comparisons between cross-track horizontal winds from a 10-month run of GITM 19 and those derived from the Gravity field and steady-state Ocean Circulation Explorer 20 (GOCE) satellite showed that GITM's modeled horizontal winds best in the polar zone 21 and overestimated them at midlatitudes in the equatorial ionization anomaly region. GITM's 22 wind response to AE was best at polar noon and worst in the midnight auroral zone, its 23 ability to capture seasonality was best in the northern high latitudes and worst in the 24 southern high latitudes, and GITM displayed less wind variability as a function of F10.7 25 than GOCE, matching it best for F10.7> \sim 150. Discrepancies in GITM's performance 26 may be explained by inaccurate modeling of ion drift, ion drag, and electron densities. 27

²⁸ Plain Language Summary

Modeling the behavior of the horizontal wind in the thermosphere is an important 29 30 challenge, since the horizontal wind plays a major role in the dynamics of the thermosphere. Horizontal winds are important because they change the neutral composition of 31 the atmosphere and redistribute energy from the high latitudes towards the equator. While 32 there exist several physics-based models of the atmosphere capable of modeling horizon-33 tal winds, there has not yet been a detailed statistical study comparing model results 34 to horizontal wind data from satellites. Data collected by the Gravity field and steady-35 state Ocean Circulation Explorer (GOCE) satellite show that behavior of the horizon-36 tal wind is related to geomagnetic activity, magnetic latitude, and magnetic local time. 37 We evaluated the capability of the Global Ionosphere-Thermosphere Model (GITM) to 38 capture that behavior through a statistical analysis of the horizontal wind. We show that 39 GITM performs best at higher levels of activity in the auroral region and worse in the 40 equatorial anomaly region. 41

42 **1** Introduction

Horizontal winds in the thermosphere transport gradients in density, composition, 43 and temperature, and play an important role in the global thermospheric circulation. The 44 importance of horizontal winds can be seen in that their divergence and convergence can 45 drive vertical flows, density and composition changes, and changes in temperature (Prölss 46 (1980), (Smith, 1998), and Burns et al. (1991)). Their interactions with ions like O_2^+ , 47 NO⁺ and O⁺ can drive frictional temperature changes as well as field-aligned flows (Guo 48 et al., 2018), and they can push ions across field lines, driving electrodynamical changes 49 in the F-region ionosphere (Billett et al., 2020). While it is clear that winds are criti-50 cal in describing the thermosphere and ionosphere, there are not many measurements 51 of them, and model validation studies of the winds are few and far between. Since the 52 neutral wind is an important means by which the atmosphere reacts to momentum and 53 heat transfer (Johnson et al., 1995), it plays a vital role in the composition of the ther-54 mosphere, especially at the low and middle latitudes (Burns et al., 1989). Convergence 55 and divergence of horizontal winds is also an important mechanism that can produce ver-56 tical winds (Rishbeth & Müller-Wodarg, 1999). Therefore, improving understanding of 57 the horizontal winds will lead to an improved understanding of how winds behave in the 58 thermosphere and how thermospheric circulation is impacted by various environmental 59 conditions. 60

There are a variety of methods for measuring horizontal winds in the thermosphere. Many, such as specular meteor radars and Doppler lidars, are exclusively used on the ground, while others, such as Fabry-Perot interferometers (FPIs), have been used on both the ground and onboard satellites. While each of these methods have their own particular benefits, wind measurements derived from highly-accurate accelerometer measurements onboard low Earth orbit (LEO) satellites present a unique opportunity to study thermospheric winds in depth by virtue of being within the medium of interest itself.

Specular meteor radars detect plasma trails from incoming meteors when their paths 68 69 lie perpendicular to the radar beam (Ceplecha et al., 1998). Measuring the average Doppler shift allows an observer to infer neutral wind speeds along the radar's line of sight, pro-70 viding an inexpensive means of generating a dataset of horizontal winds for model de-71 velopment. Recent developments in meteor radar techniques have allowed large radars 72 to be used by following reflections from plasma irregularities that develop from many me-73 teor trails instead of following a single trail with a small radar, and have yielded obser-74 vations showing wind speeds over 100 m/s between 93 and 110 km using a large VHF 75 radar (Oppenheim et al. (2000) and Oppenheim et al. (2009)). Improvements in calibra-76 tion and detection techniques have also granted the capability of deriving useful infor-77 mation from nonspecular meteor trails ((Zhao et al., 2011)) and observations from mul-78 tilink configurations where the radar receivers are not located at the same location as 79 the transmitters ((Chau & Clahsen, 2019)). While this technique can produce high-resolution 80 observations of horizontal winds, it is limited to the upper mesosphere and lower ther-81 mosphere and requires as a large VHF radar with interferometric capability. 82

Horizontal wind measurements are also frequently obtained from Fabry-Perot In-83 terferometer (FPI) observations (Martinis et al. (2001), Oyama et al. (2010), Conde and 84 Smith (1998), and Meriwether et al. (2011)). The speed and direction of the horizon-85 tal winds can be obtained by observing the same thermospheric volume at orthogonal 86 look directions from two sites. It is customary to assume a vertical zero-wind reference 87 measurement (J. J. Makela et al. (2013), and Biondi (1984)), or use a stable calibration 88 source, such as a frequency-stabilized HeNe laser (J. Makela et al., 2012). FPIs have been 89 used to show the relationship between horizontal convergence/divergence and changes 90 in the vertical flow (Biondi & Sipler, 1985). Under geomagnetically quiet conditions in 91 the midlatitudes, FPI measurements routinely show wind speeds up to 50 m s⁻¹ in the 92 zonal direction and up to 100 m s^{-1} in the meridional direction (Jiang et al., 2018). FPI 93 measurements have additionally shown increased zonal flow and stronger southward merid-94 ional flow as a function of increasing altitude, as well as greater absolute wind speeds 95 during the winter (Yuan et al., 2013). They have also been used to study the impact of 96 gravity waves and atmospheric airglow on wind velocity observations in comparison to 97 those obtained with radar techniques (Fujii et al., 2004). 98

Doppler lidars have been used to study wind and temperature in the mesopause 99 region by detecting the Doppler shift of atomic spectral lines of mesospheric metals such 100 as Na (Bowman et al. (1969), fang Du et al. (2017) and Philbrick et al. (2021)). This 101 technique has seen most applicability using broadband lidars to observe the mesopause 102 region and below, but notable observations of the existence of detectable metals within 103 the lower thermosphere (Gardner et al. (1999), Chu et al. (2011), and Gao et al. (2015)) 104 and the usage of a narrow-band Lidar has enabled observation of winds up to 140 km 105 using this method. Measurements have been shown to be consistent with past rocket mea-106 surements (A. Z. Liu et al., 2016). 107

Satellites in low Earth orbit (LEO) present another source for thermospheric horizontal wind measurements. FPIs have been used aboard satellites such as Dynamics Explorer 2 (DE-2), where they were primarily used to measure the meridional component of the upper thermospheric neutral wind (Killeen et al., 1992). An FPI was also flown aboard the Upper Atmosphere Research Satellite (UARS), where it observed an amplitude of the diurnal tide in the meridional wind larger than that observed by ground-based

meteor radars by more than a factor of 2 (Khattatov et al., 1997). The Thermosphere-114 Ionosphere-Mesosphere Energetics and Dynamics (TIMED) satellite also carries onboard 115 a limb-scanning FPI known as the TIMED Doppler Interferometer (TIDI), which has 116 been used to study migrating diurnal and semidiurnal tides and consistently reproduces 117 ground-based radar observations of the wind (Killeen et al., 2006). The Gravity field and 118 steady-state Ocean Circulation Explorer (GOCE) is another source of horizontal wind 119 data (Floberghagen et al., 2011). The satellite was launched on 17 March 2009, used an 120 ion thruster to sustain its orbit at ~ 250 km at 96.7° inclination, and reentered the Earth's 121 atmosphere on 11 November 2013 (Strugarek et al., 2019). GOCE's orbit was near sun-122 synchronous and had a dusk ascending node, and a dawn descending node. GOCE's main 123 payload was the Electrostatic Gravity Gradiometer (EGG), a set of six 3-axis accelerom-124 eters mounted in a diamond configuration. The accelerometers of the EGG were 100 times 125 more sensitive than any others previously flown, such as the SuperSTAR accelerometer 126 onboard the GRACE spacecraft (Touboul, 2003). GOCE accelerometer data have been 127 used to investigate the wave coupling between the lower and middle thermosphere (Gasperini 128 et al., 2015), probe the mechanisms driving an eastward wind jet in the evening sector 129 and westward wind jet in the morning sector as well characterizing seasonal variation 130 of the wind (H. Liu et al., 2016), and improve handling of the energy accommodation 131 coefficient to reduce discrepancies when compared to ground-based measurements (Visser 132 et al., 2019a). 133

Several atmospheric models have been developed and used to simulate the horizon-134 tal wind in the thermosphere. The most prominent of these is the Horizontal Wind Model 135 (HWM), which represents the most comprehensive empirical model and describes the 136 atmosphere's vector wind fields from the surface to the exobase as a function of latitude, 137 longitude, altitude, day of year, and time of day. It additionally provides a time-dependent, 138 observationally based, global empirical specification of the upper atmospheric tides and 139 general circulation patterns (Drob et al., 2015). The first version of HWM (HWM87) 140 was generated from thermospheric wind data obtained from the Atmosphere Explorer 141 E and DE-2 satellites, and was limited to above 220 km (Hedin et al., 1988). A subse-142 quent version (HWM90) saw the incorporation of ground-based incoherent scatter radar 143 and FPI data and extension down to 100 km (Hedin et al., 1991), and was succeeded by 144 HWM07, which covers altitudes from the surface to the exosphere and includes repre-145 sentations of zonal means circulation, stationary waves and migrating tides (Drob et al., 146 2008). Due to the limitations of HWM in modeling storm-time wind activity, a global 147 empirical disturbance wind model (DWM07) was developed that represents averaged geospace-148 storm-induced perturbations of the upper thermospheric neutral winds, based on data 149 from the Upper Atmospheric Research Satellite, the Dynamics Explorer 2 satellite, and 150 seven ground-based FPIs. The Magnetic mEridional NeuTrAl Thermospheric (MENTAT) 151 model is a recently-developed thermospheric wind model, developed from a global database 152 of ionosonde $h_m F_2$ observations spanning the years 1961 to 1990, that captures solar cy-153 cle wind variation other empirical models fail to reproduce (Dandenault, 2018). The High-154 latitude Thermospheric Wind Model (HL-TiWM) is another recently-developed empir-155 ical model that specifies horizontal neutral winds in the F region at high-latitudes as a 156 function of day of year, latitude, longitude, local time, and geomagnetic activity. It was 157 developed from a several decades of F region FPI measurements and is able to capture 158 the semiannual oscillation-like behavior of winds measured by GOCE (Dhadly et al., 2019). 159

Physics-based models of the upper atmosphere also specify the horizontal neutral 160 winds across the globe. The Coupled-Magnetosphere-Ionosphere-Thermosphere (CMIT) 161 Model is a physics-based model that consists of three codes: (1) the Lyon-Fedder (LFM) 162 global magnetospheric MHD code, (2) the Rice Convection Model, and (3) the Thermo-163 sphere Ionosphere Electrodynamic General Circulation Model (TIEGCM). A detailed 164 description of the model and the coupling procedure can be found in (Wiltberger et al. 165 (2004) and Wang et al. (1999)). CMIT was used to study altitude variations of the hor-166 izontal wind during geomagnetic storms, validated the assumption of a shearless verti-167

cal profile of the horizontal winds in low and middle latitudes during quiet times, and 168 showed during storm time that enhancement of momentum advection and pressure gra-169 dient forces can create large vertical sheers in the horizontal winds (Wang et al., 2008). 170 The Global-Ionosphere Model (GITM) (Ridley et al., 2006) is another physics-based model 171 that has been used to investigate the effects of electric potential and auroral precipita-172 tion on thermospheric wind patterns by conducting multiple simulations of a substorm 173 event on 24 November 2012 using various combinations, revealing that GITM overesti-174 mated the magnitude of the neutral winds at GOCE altitudes (Liuzzo et al., 2015). A 175 year-long run of GITM evaluated against nighttime neutral wind data from FPIs also 176 indicated that GITM performs poorly at capturing spatial structure and day-to-day vari-177 ability of the horizontal winds (Harding et al., 2019). While each of these models has 178 been used to study different aspects of thermospheric horizontal winds, there is yet to 179 be a study involving use of these models to statistically characterize thermospheric hor-180 izontal wind behavior over an extended period. 181

Horizontal winds have been studied to understand thermospheric climatology rep-182 resented by annual/diurnal variation and semidiurnal tidal variations (Yao et al., 2015), 183 derived from observations of neutral and electron densities from satellite data to esti-184 mate wind behavior at low latitudes (Gasperini et al., 2016), and observed with a me-185 teor radar to investigate the efficacy of that detection method, study wind seasonality, 186 and the reveal importance of stationary planetary waves (Korotyshkin et al., 2019). How-187 ever, studies involving modeling of the horizontal winds have primarily involved valida-188 tion of the Horizontal Wind Model ((Drob et al., 2015)), which recently been used to in-189 vestigate the tracking of sporadic E field-aligned irregularities as a probe of thermospheric 190 winds (Helmboldt & Taylor, 2020), and GITM being used to investigate how the mag-191 nitude and temporal variations of ion drifts affect Joule heating in relation to the ver-192 tical wind structure (Yiğit & Ridley, 2011). However, to date, the horizontal winds in 193 GITM have not been validated extensively in any way. In order to elucidate the role hor-194 izontal winds play in thermosphere dynamics it is useful to investigate their behavior over 195 several parameters, including magnetic local time, magnetic latitude, season, and mag-196 netic activity, which can be represented adequately well by the auroral electrojet index 197 (AE) (Davis and Sugiura (1966) and Weygand et al. (2014)). This approach has been 198 done with the standard deviation of the vertical wind $\sigma(V_z)$ in Visser et al. (2019b), but 199 has heretofore not been applied to any studies of the horizontal wind. 200

The purpose of this paper is to characterize the capability of GITM to model ther-201 mospheric horizontal wind behavior as a function of magnetic latitude and geomagnetic 202 activity that has been observed in data derived from linear accelerometers. This was done 203 by comparing GITM's outputs along the orbit track of the GOCE satellite and perform-204 ing statistical analysis between the datasets after separating them into categories based 205 on magnetic latitude, magnetic local time, and auroral electrojet index. This statisti-206 cal analysis aims to reveal areas for improvement of GITM for the purposes of its con-207 tinued development. 208

209 2 Methodology

Horizontal wind data along the GOCE orbit was derived from its accelerometer data: 210 measured accelerations were used to determine a net force and torque acting on the satel-211 lite. Models described in Doornbos (2011) and Visser et al. (2018) were combined with 212 measurements and housekeeping data to estimate the forces and torques on the space-213 craft caused by the gravity gradient, magnetic attitude control, and other equipment. 214 The residual force and torque were found by subtracting the model output from the mea-215 surement. This residual force and torque were assumed to be entirely aerodynamic, and 216 an aerodynamic model was constructed to match it by changing the direction of the in-217 coming flow. The wind vector was thus defined as the difference between the flow and 218

the orbital plus co-rotation velocities. The acceleration due to drag on the spacecraft can then be written in the following form:

$$\vec{a} = -\frac{1}{2}\rho \frac{A}{m} C_D \left(\vec{v_o} - \vec{v_w} \right)^2 \left(\hat{v - v_w} \right)$$
(1)

where the ρ is the local thermospheric density, A is the spacecraft cross-sectional area, m is the spacecraft mass, C_D is the drag coefficient, $\vec{v_o}$ is the orbital velocity of the spacecraft, and $\vec{v_w}$ is the velocity of the thermospheric wind, which includes velocities in the along-track, cross-track, and cross-vertical directions, as well as contributions from corotation. It is extraordinarily difficult to separate change in ρ versus change in $v_o - v_{\text{along}}$, so it is customary to assume that v_{along} is negligible given that $v_o >> v_{\text{along}}$. For more information, this algorithm was described in detail in Visser et al. (2019a).

GITM was used to model horizontal winds, and was run from January to November 2013 with the conditions in Table 1.

Parameter	Value
Eddy Diffusion Coefficient	100
Eddy Pressure Lower	0.0050
Eddy Pressure Upper	0.0005
Photoelectron Heating Efficiency	0.02
Neutral Heating Efficiency	0.05
Thermal Conduction (Molecular)	3.6×10^{-4}
Thermal Conduction (Atomic)	5.6×10^{-4}
Thermal Conduction Power	0.69
AUSMSolver	True
CFL	0.80
Limiter	MC, 2.0
Dynamo High Lat. Boundary	45.0
Improved Ion Advection	True
Nighttime Ion B.C.s	True
Minimum TEC for Ion B.C.s	2.0

Table 1. GITM Parameters for the Horizontal Wind Validation Study

GITM is a three-dimensional, spherical, parallel code that models the thermosphere-230 ionosphere system using a stretched altitude grid. It allows for non-hydrostatic solutions 231 and solves for the neutral, ion, and electron temperatures, the bulk horizontal neutral 232 winds, the vertical velocity of the individual species, and ion and electron velocities. The 233 code can use a dipole magnetic field, a tilted dipole, or the IGRF magnetic field with 234 the APEX coordinate system (Richmond, 1995). The primary drivers of the thermosphere 235 and ionosphere are solar EUV modeled by the Flare Irradiance Spectral Model (FISM) 236 (Chamberlin et al. (2007) and Chamberlin et al. (2008)) and high-latitude electrodynam-237 ics, specified by the Weimer (2005) electrodynamic potential patterns driven by time-238 delayed IMF and solar wind measurements from the Advanced Composition Explorer 239

(ACE) satellite, and the Fuller-Rowell and Evans (1987) particle precipitation patterns
 driven by hemispheric power estimated by the LEO satellites operated by the National
 Oceanographic and Atmospheric Administration (NOAA).

As the horizontal wind in GITM was extracted along the GOCE orbit, both the GITM results and the GOCE measurements have the same 10-second temporal resolution. As the GOCE cross-track wind measurements have associated errors, some measurements may constitute outliers in the data and be too uncertain. Therefore, for wind measurements in excess of 25 m/s, if the associated error was greater than 25 m/s and the absolute value of the GOCE cross-track wind measurement was less than the associated error, the wind data were discarded.

Figure 1 shows the horizontal wind (V_x) at different times on the first six days of 250 March 2013 along the GOCE orbit, as representative examples of GITM's performance 251 in comparison to the GOCE data. Each of the times chosen corresponded to the time 252 for which the largest peak in the vertical wind (not shown) was also observed in the GOCE 253 data (Figure 5 in Visser et al. (2019b)). In each interval (~14 minutes), the the GOCE 254 data show the horizontal wind speed rising to a crest, often featuring smaller peaks sur-255 rounding a dominant central peak. By inspection, GITM performed well in capturing 256 the overall contour of the horizontal wind speed in each interval, especially on March 3 257 and March 5, though it does less well at capturing smaller features in the horizontal wind 258 that occur over much shorter timescales than that of the central peak. The small gaps 259 in the GOCE cross-track wind measurements on March 2 and March 4 correspond to dis-260 carded data. 261

By inspection, GITM performed well in about half of the times, capturing the overall contour and magnitude of the horizontal cross-track wind speed on each day, especially on March 3 and March 5, though it did less well at capturing smaller features in the wind speed that occurred over shorter timescales than that of the central peak.

We closely follow the statistical analysis of Visser et al. (2019b) and apply it to the 266 cross-track horizontal winds. GITM V_x results were compared to GOCE V_x data in terms 267 of their probability distributions calculated over bins with a width of 1 m/s. The means, 268 25th, and 50th percentiles were calculated for each distribution. We considered the ef-269 fects of several controlling parameters on the GITM V_x results in comparison to the GOCE 270 V_x data: magnetic latitude (MLAT), magnetic local time (MLT), day-of-the-year (DOY), 271 and geomagnetic activity. We used the minute-by-minute Auroral Electroject (AE) In-272 dex to quantify geomagnetic activity. We calculated probability densities in three mag-273 netic latitude ranges: midlatitudes $(30-60^\circ)$, auroral latitudes $(60-80^\circ)$ and polar cap 274 latitudes $(80-90^{\circ})$. As in Visser et al. (2019b) and Innis and Conde (2002), we further 275 restricted each MLAT range according to the following AE bounds: $AE \leq 250, 250 < AE \leq 500$, 276 and AE > 500. 277

In order to compare and contrast how GITM's V_x responded to different parameters and compare that responsiveness to that of the GOCE data, we again mirrored the method of Visser et al. (2019b) and distributed the data over bins of AE, MLAT, MLT, DOY, and F10.7, and plotted the 25th, 50th, and 75th percentiles of V_x . We complete our analysis with a series of implications and future steps, and describe the modeled and derived vertical winds, presenting some disagreement and challenges.

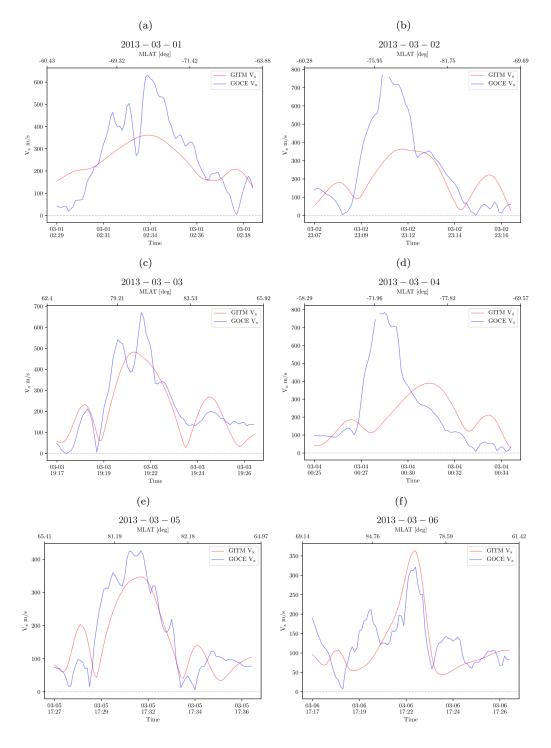


Figure 1. Time series of the 14 minutes surrounding notable large peaks in the horizontal wind V_x during the first 6 days of March 2013 for both GITM (red) and GOCE (blue). The selected times for each plot correspond to the largest peaks in the vertical wind in the GOCE data, as pointed out in Figure 5 of Visser et al. 2019. GITM performed well in all nearly cases with capturing the overall contour of the horizontal wind speed.

284 3 Results

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The neutral winds are controlled by forces that are ordered in geographic coordinates (e.g. gradient in the day-to-night pressure and Coriolis forces) and magnetic co-

ordinates (e.g. ion drag at high and low latitudes). Therefore, no matter which coordi-287 nate system is chosen to plot the data, there is a diurnal variation that is caused by the 288 Earth's magnetic field rotating through the day. We have chosen to plot in magnetic co-289 ordinates to minimize the effect, but it leads to other effects, such as not having data 290 near the magnetic equator. This is due to the choice of using a reference altitude of 100 291 km for the magnetic latitude, such that most of the time, GOCE (at ~ 250 km) does not 292 sample 0° magnetic latitude. With this in mind, Figures 2 and 3 show the horizontal cross-293 track wind speed from GITM and GOCE, as well as the difference. 294

During the entire time period considered, both GITM and GOCE horizontal winds 295 showed greater speeds in the auroral region and lesser speeds in the midlatitudes, in both 296 hemispheres and for both the ascending and descending nodes. 297

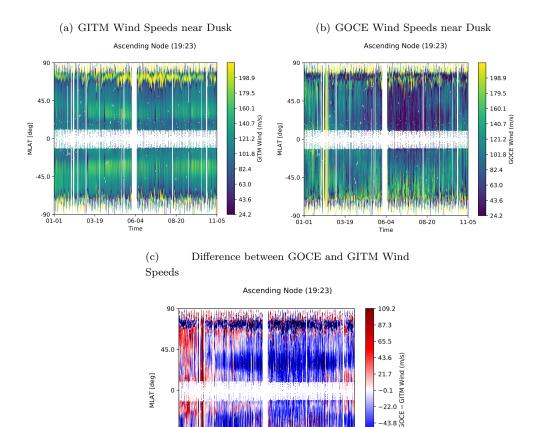


Figure 2. (a) Time series and latitude plots of the GITM (left) and GOCE (right) horizontal wind for the ascending node. (b) Time series and latitude plots of the difference between GITM and GOCE horizontal wind for the ascending node. GITM overestimated the horizontal wind speed throughout the entire time considered, especially in the midlatitudes.

06-04

.45

-90 |--- 01-01

03-19

-43.8

-65.7 87.5

11-05

08-20

By inspection, GITM's demonstrated difficulty in capturing finer latitudinal struc-298 tures in the horizontal wind, and placed a morphological feature at $\pm 20^{\circ} - 30^{\circ}$, coin-299 cident with location of the Equatorial Ionization Anomaly (EIA). GITM also struggled 300 with capturing some of the seasonal variation in the horizontal winds shown in the GOCE 301 data. This is most evident in the midlatitudes for the descending node (Figure 3, near 302

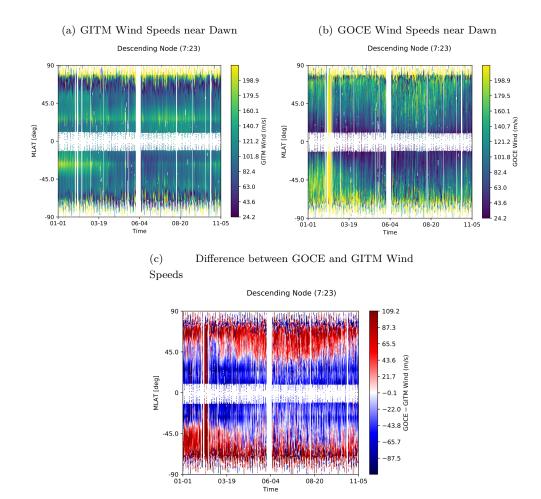
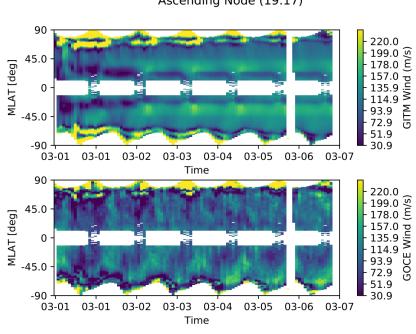


Figure 3. (a) Time series and latitude plots of the GITM (left) and GOCE (right) horizontal wind for the descending node. (b) Time series and latitude plots of the difference between GITM and GOCE horizontal wind for the descending node. GITM underestimated wind speeds in the auroral and polar latitudes, but underestimated them in the mid and low-latitudes.

dusk): The GOCE data showed that higher wind speeds begin to extend from the auroral to the equatorial region throughout the summer in the Northern Hemisphere, which GITM failed to capture, as it underestimated the winds during those times in that region. Conversely, for the ascending node (Figure 2, near dusk), during that same time period, GITM overestimated the horizontal winds in the same latitude region. For both the ascending and descending node throughout the entire year, GITM generally overestimated the horizontal wind speeds in the low- and midlatitudes in both hemispheres.

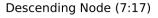
Additionally, Figure 2 shows that GITM overestimated the wind speed for the ascending node (dusk) most prominently during the summer, and underestimated it for the descending node (dawn). This behavior was most prominent during the height of the summer in the northern hemisphere.

Exploring V_x specifically during the first six days of March (Figure 4) shows the differences between the GITM results and GOCE winds in greater detail. GITM reproduces the diurnal variability of V_x shown in the GOCE data, but shows higher winds speeds in the EIA region, possibly due to ion drifts or ion drag that are too strong. GITM's wind



(a) Ascending Node (19:17)

(b)



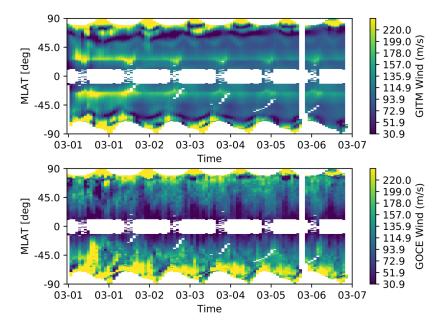


Figure 4. Time series and latitude plots of the GITM and GOCE horizontal wind for the ascending node (top) and descending node (bottom) for the first 6 days of March 2013. GITM sufficiently captures the diurnal variability shown in the GOCE data but overestimates horizontal wind speed in the EIA region.

speeds are more similar to GOCE at high latitudes in the dusk sector, but GOCE wind speeds are larger in the southern auroral zone in the dawn sector.

GOCE V_x probability distributions were compared to their GITM counterparts in 320 each of three latitude ranges for three AE bins (Figure 5). GITM's probability distri-321 butions were generally narrower than those of GOCE outside of the polar region, most 322 drastically in the midlatitudes, which was likely due to the constant jet coincident with 323 the EIA. The stronger signature of diurnal variability in the GOCE data additionally 324 shows up as wider spread in the distribution. Even though GITM's distributions were 325 narrower in the midlatitudes, its values of mean V_x were closer to those of GOCE than 326 in the auroral zone. This shows that GITM is more precise in the auroral latitudes but 327 with less accuracy, and less precise in the midlatitudes but with greater overall accuracy. 328 GITM's peak probabilities skewed towards larger V_x in comparison to GOCE in the mid-329 latitudes, where it showed a tendency to overestimate wind speed, but skewed towards 330 smaller V_x than GOCE in the auroral latitudes (with the exception of high activity), where 331 it underestimated wind speeds. GITM's probabilities matched GOCE's quite well in the 332 polar region, with the exception of during high activity, where it tended to overestimate 333 the wind speed. 334

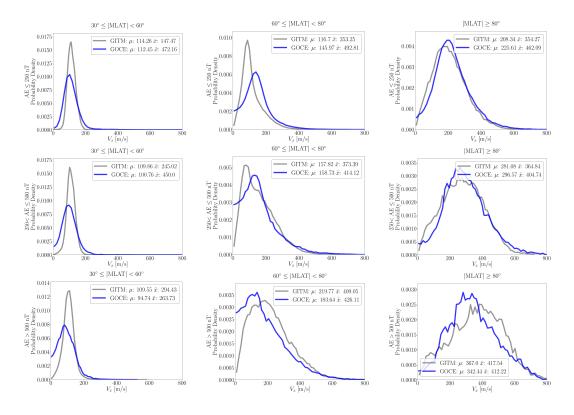


Figure 5. Probability densities of V_x for GOCE compared to GITM across the three MLAT ranges (from left to right: Mid-, auroral-, and polar-latitudes), for three different levels of geomagnetic activity described by the AE index (from top to bottom: AE $\leq 250, 250 < AE \leq 500$, and AE > 500). GITM's performance tended to increase with magnetic latitude and activity level.

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The 10-month window chosen for this study allowed us to explore how different conditions affected the GITM results. Specifically, AE, MLT, Day of Year (DOY), and F10.7 were investigated. Because GITM was sampled at the GOCE locations and times, the number of counts of V_x per bin of the selected parameter were identical for both sets of data. The Northern and Southern Hemisphere data were combined for this analysis, except when binning the data as a function of MLT.

Figure 6 displays the dependence of V_x on the AE index for GITM in comparison 341 to GOCE. The GOCE data show that in the low- and midlatitudes, thermospheric hor-342 izontal wind speeds decrease slightly for increasing activity level. In both these regions, 343 GITM overestimated the wind speed, with the magnitude of the overestimation gener-344 ally growing as activity level increased. GITM's wind speed overestimation was most clear 345 in the low-latitudes, where the it grew from ~ 5 m/s to ~ 30 m/s from AE values of 50 346 to 1200. In contrast to the midlatitudes, where GITM's V_x values slightly decreased with 347 activity, in the low-latitudes, GITM's V_x slightly increased with activity. In the auro-348 ral and polar zones, GITM performed much better in capturing the characteristic increase 349 in V_x with activity level shown in the GOCE data, even though it began to overestimate 350 the wind speed above AE=400 in both cases, growing to a difference of at least ~ 80 m/s 351 at AE=1200. 352

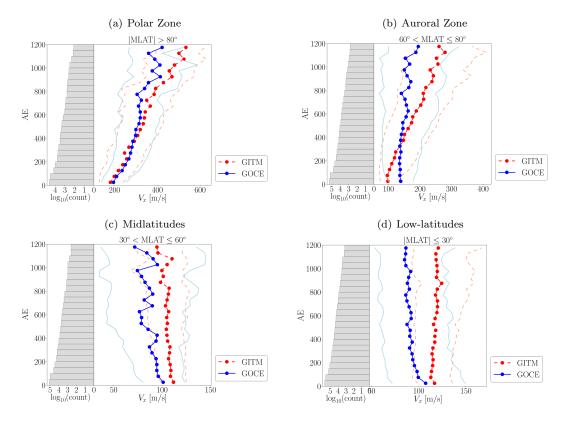


Figure 6. The 25th, 50th, and 75th percentiles of V_x per bin of AE for both the GITM and GOCE data constructing an adjacent histogram of the number of counts of data per bin. Lines corresponding to GITM are red and dashed, and lines corresponding to GOCE are blue and solid. The 25th and 75th percentiles of the GITM V_x values are in light blue, while the 50th percentile is in rich blue, marked with circles, while the 25th and 75th percentiles of the GOCE V_x values are in light red, while the 50th percentile is in rich red, also topped with circles. In the top row from left to right, the results are shown for the polar latitudes and then auroral latitudes, and in the bottom row from left to right, the results are shown for midlatitudes and the equatorial region. GITM performs best in the polar and auroral zones, with an overall tendency to overestimate the wind speed as activity increases.

353 354 355 Next, we consider variations with magnetic local time (Figure 7), noting characteristic troughs in the data around 7 and 19 hours, due to GOCE being in a roughly duskto-dawn orbit (in geographic coordinates).

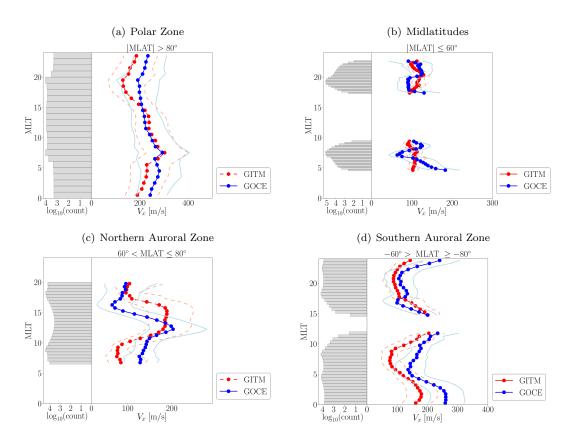


Figure 7. Top row, left to right: Percentiles (25th, 50th, and 75th) of V_x and data count, per bin of MLT over the polar region (bin width of 1 hr), auroral latitudes, and low and middle latitudes (bin width of 15 minutes). Bottom row, left to right: Percentiles (25th, 50th, and 75th) of V_x and data count, per bin of MLT index over the Northern auroral oval and Southern auroral oval, with bin widths of 30 min for both. GITM showed a tendency to underestimate the wind speed overall, and again, performed best in the polar zone.

Due to the offset between the geographic and magnetic poles, GOCE was able to 356 sample all MLTs in the polar cap, but only near dawn and dusk at lower altitudes. In 357 the northern auroral zone, GOCE MLT coverage was limited between mid-morning to 358 evening, while in the southern auroral zone, GOCE covered all MLTs but excluded those 359 around noon. In contrast to when V_x is distributed as function of AE, GITM generally 360 underestimated V_x compared to GOCE. GITM best matched GOCE in the mid-latitudes, 361 for which GITM's mean V_x was generally consistent with GOCE's, although with sig-362 nificant variability. The mismatch between GITM and GOCE was strongest in both au-363 roral zones, with the GITM mean V_x exceeding GOCE only for $13 \leq MLT \leq 17$. In the au-364 roral zones, the greatest underestimation by GITM occurred at $MLT \approx 0$ and $MLT \approx 7$ in 365 the southern hemisphere, where GITM's mean V_x undershot GOCE V_x by nearly 100 366 m/s, approximately the same magnitude by which GITM overestimated the mean V_x in 367 the northern hemisphere near MLT=15. In the polar zone, GITM performed best for $7 \leq MLT \leq 15$, 368 where it's 25th, 50th (mean), and 75th percentiles of V_x tracked GOCE with minimal 369 deviation. Outside of that MLT range, GITM generally underestimated V_x by ~50 m/s. 370

Overall, GITM demonstrates the best performance on the dayside, and the worst performance on the nightside around midnight MLT. It may be that the solar-driven ionization, and therefore the ion drag, may be best modeled at high latitudes on the dayside.

We also compared seasonal effects in V_x separately for each hemisphere (Figure 8). 375 Due to the reentry of GOCE in November of 2013, we are unable to extend this anal-376 vsis through December of 2013. The GOCE data showed differing patterns depending 377 on the latitude ranges considered. In the northern polar zone, GOCE showed no discernible 378 seasonal dependence, with its values of mean V_x oscillating around ~ 250 m/s through-379 out the entire time considered. GITM's values of mean V_x tracked those of GOCE very 380 well in this region, with GITM slightly overestimating the wind speed in the days sur-381 rounding the summer solstice. GITM's 25th and 75th percentile V_x values also did not 382 deviate much at all from those of GOCE. Contrary to the northern case, in the south-383 ern polar zone, GITM underestimated V_x in all percentiles, even though it was able to 384 capture the overall trend in the wind speeds throughout the year. Similar to how GITM 385 overestimated the wind most in the northern polar zone during the summer solstice, it 386 is around that time (i.e. the southern winter solstice) that GITM underestimated the 387 wind the southern polar zone most significantly. 388

In the northern auroral zone, the GOCE data showed no discernible dependency 389 on season, with as its mean V_x clustered around ~120 m/s throughout the year. GITM 390 reproduced this behavior overall, with the slight caveat of underestimating the winds by 391 up to ~ 30 m/s between January and late February (i.e. the northern winter). GITM's 392 25th percentile V_x values were similarly close to those of GOCE, but GITM's 75th per-393 centile V_x values were much higher than GOCE's between the March Equinox and just 394 before the autumnal equinox, indicating that GITM's horizontal winds were too vari-395 able during the summer. In the southern auroral zone, the GOCE wind speeds were nearly 396 identical to that of the northern auroral zone, except that the values of V_x were higher 397 by tens of m/s. Here, GITM underestimated V_x in all percentiles throughout the entire time shown, and did not capture the contour of the GOCE data, instead showing a slight 399 decline in winds speed that reaches a nadir at $\sim 100 \text{ m/s}$ during the southern winter sol-400 stice before increasing again. GITM's underestimation can be highlighted by noting that 401 its 75th percentile V_x values tracked the best with GOCE's mean V_x values throughout 402 the entire year, and that its mean V_x values tracked best with GOCE's 25th percentile 403 V_x values. 404

In the mid- and low-latitudes, for both hemispheres, the GOCE data showed a slight 405 slowing of the wind across all percentiles that reached its nadir around June, before in-406 creasing slightly again. GOCE's mean V_x values clustered around 100 m/s in January 407 for both hemispheres and decreased to $\sim 80 \text{ m/s}$ by the summer solutioe, but the follow-408 ing increase in wind speed leading up to the autumnal equinox was slightly more promi-409 nent in the southern hemisphere by several m/s. GITM was closest to GOCE during early 410 January. During the rest of the year, it overestimated V_x in all percentiles compared to 411 GOCE in both hemispheres, and its performance was less laudable in the northern hemi-412 sphere than the southern hemisphere. In the northern hemisphere, GITM did not cap-413 ture the seasonal trend in V_x featuring the trough during the summer solstice, and its 414 mean V_x values remained close to ~100 m/s during the entire year. GITM performed 415 better in the southern hemisphere, capturing the seasonality in V_x throughout the en-416 tire time shown, with its mean V_x values differing from those of GOCE by $\sim 10-15$ 417 m/s outside of January and February. 418

⁴¹⁹ We next compare the horizontal wind response to the F10.7 flux between GITM ⁴²⁰ and GOCE across all latitudes (Figure 9). For the available range of F10.7 between ~ 87 ⁴²¹ sfu and ~ 175 , the GOCE wind speed decreased in mean V_x down from ~ 110 m/s around ⁴²² 90 sfu to ~ 100 m/s around 130 sfu, before increasing to ~ 140 m/s at 175 sfu. The GITM ⁴²³ results did not capture this trend, with the mean V_x remaining around ~ 120 m/s through-

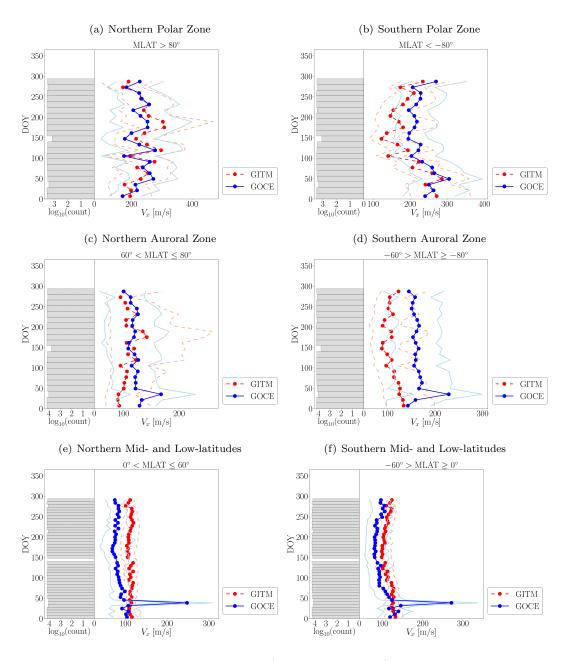
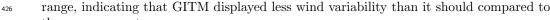


Figure 8. From the top down: Percentiles (25th, 50th, and 75th) of V_x and data count, per bin of DOY index over the Northern Hemisphere (left column) and Southern Hemisphere (right column), the polar cap an auroral oval (bin width 2 weeks), and middle latitudes (bin width 1 week). The high wind speeds in the GOCE data in mid February correspond to the bright yellow regions in Figures 1(b) and 2(b), where GOCE measured anomalously high wind speeds. The associated error with those measurements did not warrant their exclusion, despite their anomalous nature. GITM was most accurate in the northern polar and auroral zone, consistently underestimated the wind speeds in the southern polar and auroral zone, and overestimated the wind speeds in the mid- and low-latitudes.

out the entire F10.7 range. Both GITM's 25th and 75th percentile V_x values were constrained between GOCE's 25th and 75th percentile values throughout the entire F10.7



the measurements.

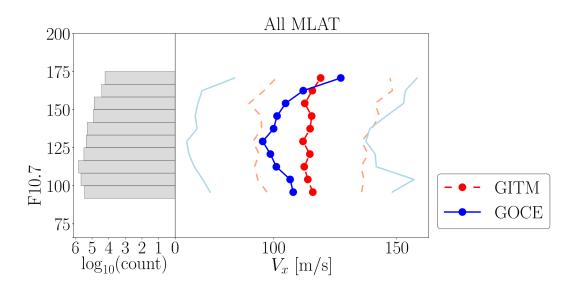


Figure 9. Percentiles (25th, 50th, and 75th) of V_x and data count, per bin of F10.7 flux, over the full MLAT range. Overall, GITM wind speeds were too high on average and not variable enough in comparison to the GOCE data.

When V_x between GITM and GOCE is compared for the ascending (dusk) and de-428 scending (dawn) nodes separately, some important differences are noticeable. For dusk 429 (Figure 10), GITM mean V_x was consistently higher than GOCE mean V_x throughout 430 the entire range of F10.7, except at the highest levels. GITM also modeled faster V_x val-431 ues too often, as shown by its 25th percentile values being greater than those of GITM 432 by ~ 30 m/s. This behavior was not seen, however, for the 75th percentiles, for which 433 GITM matched GOCE very well. GITM matched GOCE better for dawn (descending 434 node) (Figure 11), following its behavior of decreasing from $90 < F10.7 \le 135$, and rising 435 from 135<F10.7 \leq 175. GITM mean V_x matched GOCE very well throughout the entire 436 range of F10.7, while its 25th percentile values were slightly lower than those of GOCE, 437 and its 75th percentile values were slightly higher than those of GOCE. 438

439 4 Conclusion and Discussion

⁴⁴⁰ Overall, GITM demonstrated satisfactory capability in modeling the horizontal ther-⁴⁴¹ mospheric wind V_x in comparison to GOCE, but also shows areas for improvement. The ⁴⁴² major results are as follows:

- 4431. Probability distributions for GITM and GOCE V_x show that GITM's performance444improved as a function of MLAT, being best in the polar zone, and worst in the445midlatitudes, where it seemed to have a persistent wind associated with the equa-446torial ionization anomalies.
- 2. As a function of geomagnetic activity represented by AE, GITM again performed the best in the polar and auroral zones, though it overestimated horizontal winds above AE~400. In all MLAT regions, GITM overestimated V_x as a function of AE. 3. As a function of MLT, GITM performed the best in the polar zone near noon and
- 450 3. As a function of ML1, G11 M performed the best in the polar zone hear noon and 451 worst in the auroral zones near midnight. When GITM was inaccurate compared

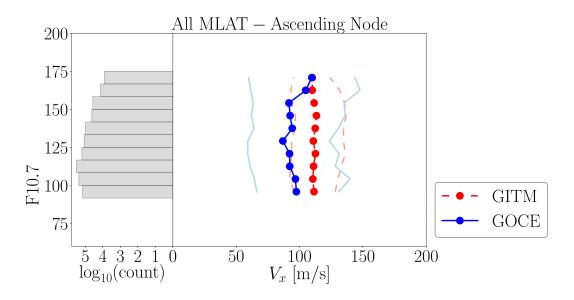


Figure 10. Percentiles (25th, 50th, and 75th) of V_x and data count, per bin of F10.7 flux, over the full MLAT range for the ascending (dusk) node. GITM overestimates the wind speeds very consistently throughout the entire F10.7 range, in all percentiles except above ~160 sfu.

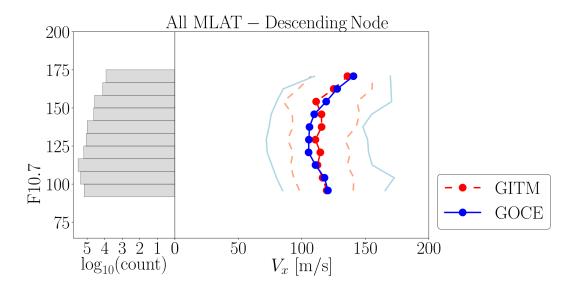


Figure 11. Percentiles (25th, 50th, and 75th) of V_x and data count, per bin of F10.7 flux, over the full MLAT range, for the descending (dawn) node. GITM matches GOCE extremely well over the entire F10.7 range.

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to GOCE as a function of MLT, its tendency was to underestimate V_x more often than overestimate, with this occurring prominently for 12 > MLT < 17.

4. As a function of DOY (season), GITM performed best in the northern polar and auroral zones, and worst in the southern auroral and polar zones. Seasonality in the mid- and low-latitudes was best captured by GITM in the southern hemisphere. GITM primarily underestimated horizontal winds in the winter and overestimated them in the summer, although the amount of over and underestimation varied.

- 5. As a function of F10.7, GITM displayed less variability than GOCE, and overall slightly overestimated horizontal winds, except for F10.7> \sim 150, where it tracked GOCE the best.
- 6. GITM's underestimation of winds in the summer could be an indication that ion
 drag could be inaccurate, which may be driven by either electron densities that
 are inaccurate or ion drifts that are inaccurate. This is true especially in the midlatitudes, where there is a persistent large wind speed modeled by GITM that was
 at a lower amplitude in GOCE.

This study indicates that GITM's modeling of the cross-track (horizontal) wind is broadly 467 best at higher MLAT and generally marked by underestimation and insufficient variabil-468 ity of V_x . This may suggest that GITM places preferential emphasis on high-latitude drivers 469 and needs further improvements in its handling of quiet-time conditions, as well as mod-470 eling of the seasonality of the diurnal tide, which displays amplitudes 2-3 times larger 471 472 at equinoxes compared to solstices (Lu et al., 2011). Addressing these concerns may improve GITM's ability to capture seasonality, especially at the mid- and low-latitudes. Ad-473 ditional work includes investigating how improved modeling of viscosity and tempera-474 ture can aid in GITM's modeling of thermospheric winds, as well as a follow-up study 475 covering a wider period of time and incorporating both data from satellites and ground-476 based FPIs. 477

478 4.1 Vertical Winds

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Vertical winds are additionally of interest as a subject of study, as upward motion 479 in the thermosphere is typically accompanied by horizontal divergence of air higher in 480 the thermosphere, and horizontal convergence of air lower in the thermosphere, with the 481 vertical velocity being inversely proportional to the density and directly proportional to 482 the pressure gradient (Rishbeth & Müller-Wodarg, 1999). It is conventional to assume 483 a hydrostatic atmosphere, which results in the vertical wind emerging as a consequence 181 of redistributed pressure levels, where it acts as a crucial component of adiabatic cool-485 ing (Hsu et al., 2014). GITM simulations have shown that vertical pressure gradient force 486 can locally exceed the gravity force by 25% during strong driving, creating non-hydrostatic 487 conditions, generating a disturbance transported from lower altitudes to higher altitudes 488 through an acoustic wave, which can drive vertical wind perturbations to 150 m/s at 300 489 km, and raise the neutral density at high altitudes by more than 100% (Deng & Ridley, 490 2006). GITM simulations have also indicated that heating above 150 km is a primary 491 source for a large increase of the average vertical velocity (40 m/s) at higher altitudes 492 (Deng et al., 2011). Vertical winds exhibit increased variability and higher peak veloc-493 ities with increasing magnetic latitude (Spencer et al., 1982). In the northern polar cap, 494 the vertical wind velocities can routinely reach maximums of approximately 50 m/s (Ishii 495 et al., 2004), while downward velocities in excess of 100 m/s have been measured at South-496 ern high-latitudes (Anderson et al., 2011). It remains, however, unclear, what mecha-497 nisms are primarily responsible for driving the different levels of the vertical wind at var-498 ious latitudes and altitudes. 499

Figure 12 shows a comparison between GITM and GOCE vertical winds for 10 months. The differences between GITM and GOCE are significant, most clearly manifesting in GITM modeling comparatively slow wind speeds in the upward direction, and with global uniformity and little variability.

As the behavior between GITM and GOCE the two shows strong disagreement, and the following should be addressed:

 GITM is unable to reproduce the GOCE data that show higher upward motion in northern hemisphere and downward motion in the southern hemisphere. Not only does GITM not show this behavior whatsoever, but it shows a nodal dependence.

only does GITM not show this behavior whatsoever, but it shows a nodal depen-

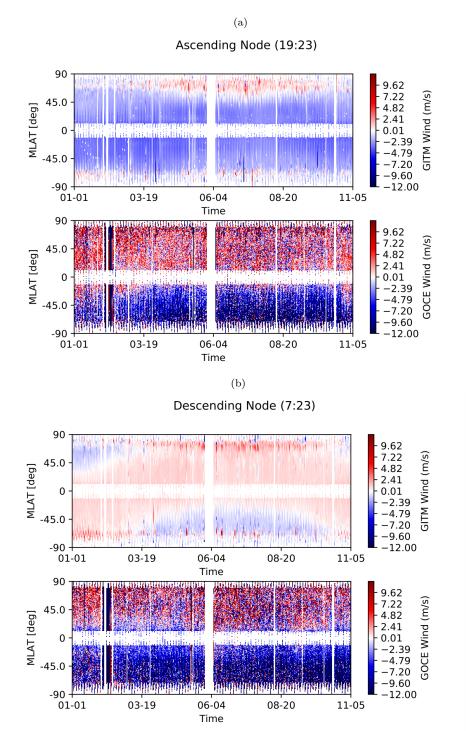


Figure 12. Time series and latitude plots of the GITM and GOCE vertical wind for the ascending node (top) and descending node (bottom) for the entirety of available data for 2013. GITM vertical winds are primarily downward for the ascending node and primarily upwards for the descending node, a dichotomy not seen in the GOCE data. Additionally, the GITM vertical wind speeds are notably slower than those of GOCE.

dency: GITM winds are primarily downward for the ascending node and primar-509 ily upward for the descending node. 510 2. GITM results show too little variability in the vertical wind. This is most dras-511 tic in the northern hemisphere, where the GOCE data shows a random distribu-512 tion of faster upward winds approaching 10 m/s. This behavior is nonexistent in 513 the GITM results. 514 3. The lack of variability in the GITM results compared to the GOCE data raises 515 questions about GITM's assumptions regarding sources of energy input. In GITM, 516 and it is assumed in the thermosphere, the main source of energy input variabil-517 ity is in the auroral zone. If there is another source of energy input, such as waves 518 from the lower atmosphere (Holton, 1982) that are known to have thermal effects 519 on planetary atmospheres (Müller-Wodarg et al., 2019), that can drive such strong 520

variability in the vertical winds, it is not included in any model of the thermosphere. 521 4. It is unclear if the vertical winds measured by GOCE are correct to begin with. 522 The hemispherical differences warrant investigation and comparison to ground-523 based data. 524

While it is possible that GITM could be underestimating vertical wind speeds over-525 all, and especially the variability at high latitudes due to auroral forcing, it is unclear 526 whether or not the GOCE vertical winds are an accurate representation of the vertical 527 winds. More measurements of the vertical winds at all latitudes and seasons are needed 528 to address these discrepancies. Furthermore, comparisons to whole atmosphere models 529 such as WACCM-X (H.-L. Liu et al. (2010) and H.-L. Liu et al. (2018)) or GAIA (Jin 530 et al., 2011) may demonstrate whether there exists significant wave driving from the lower 531 atmosphere that could guarantee variability of vertical winds to ± 15 m/s at middle and 532 low latitudes. 533

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- 538 used for this study is housed on the DeepBlue archive of the University of Michigan: 539
- https://doi.org/10.7302/wzc1-vc88. Data is also accessible on Google Drive: 540
- https://drive.google.com/drive/u/1/folders/1f7lVxGMfgf_zjwQoJaKOItU1dM3ifLGd 541

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