# Flow aware parameterizations invigorate the simulated ocean circulation under the Pine Island ice shelf, West Antarctica

Timothy A Smith<sup>1,1</sup>

 $^1\mathrm{Cooperative}$  Institute for Research in Environmental Sciences at the University of Colorado Boulder

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#### Abstract

Warm, subsurface ocean waters that access ice shelves in the Amundsen Sea are likely to be a key driver of high meltrates and ice shelf thinning. Numerical models of the ocean circulation have been essential for gaining understanding of the mechanisms responsible for heat delivery and meltrate response, but a number of challenges remain for simulations that incorporate this region. Here, we develop a suite of numerical experiments to explore how sub ice shelf cavity circulation and meltrate patterns are impacted by parameterization schemes for (1) subgrid-scale ocean turbulence, and (2) ice-ocean interactions. To provide a realistic context, our experiments are developed to simulate the ocean circulation underneath the Pine Island ice shelf, and validated against mooring observations and satellite derived meltrate estimates. Each experiment is forced with data-informed open boundary conditions that bear the imprint of the gyre in Pine Island Bay. We find that even at a ~600 m grid resolution, flow aware ocean parameterizations for subgrid-scale momentum and tracer transfer are crucial for representing the circulation and meltrate pattern accurately. Our simulations show that enhanced meltwater diffusion near the ice-ocean interface intensifies near wall velocities via thermal wind, which subsequently increases meltrates near the grounding line. Incorporating a velocity dependent ice-ocean transfer coefficient together with a flow aware ocean turbulence parameterization therefore seems to be necessary for modelling the ocean circulation underneath ice shelves in the Amundsen Sea at this resolution.

# Flow aware parameterizations invigorate the simulated ocean circulation under the Pine Island ice shelf, West Antarctica

## Timothy A. Smith<sup>1,2,3</sup>

5	$^1 \mathrm{Oden}$ Institute for Computational Engineering and Sciences, The University of Texas at Austin, Austin,
6 7	Texas $^{2}$ Cooperative Institute for Research in Environmental Sciences (CIRES) at the University of Colorado
8 9	${\rm Boulder} \\ ^3 National Oceanic and Atmospheric Administration (NOAA) Physical Sciences Laboratory (PSL)$

#### Key Points:

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11	•	Modelled meltrate and hydrography best represent observations when both ice-
12		ocean and ocean turbulence parameterizations are flow aware
13	•	Ocean eddy parameterizations that link momentum and buoyancy closures cap-
14		ture enhanced cavity flow generated by meltwater fluxes
15	•	The invigorated circulation manifests as cyclonic gyres, bounded by an ice plain
16		and a bathymetric ridge

Corresponding author: Timothy A. Smith, tim.smith@noaa.gov

#### 17 Abstract

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#### <sup>37</sup> Plain Language Summary

Along the Antarctic coastline, ice shelves form where grounded glaciers reach the 38 sea and form floating extensions over the ocean surface. Ice shelves are important for 39 climate because they hold back land ice from reaching the ocean and contributing to sea 40 level rise. In some regions of Antarctica, warm ocean waters can access ice shelves and 41 lead to ice shelf melting and increased glacial mass loss. Simulating the ocean waters that 42 reach ice shelves remains challenging, however, because it is difficult to accurately rep-43 resent turbulence in the ocean and interactions at the ice-ocean interface. In this study, 44 we show results that can provide practical guidance for accurately capturing these pro-45 cesses in computer models. We focus on developing a simulation of the ocean circula-46 tion under the Pine Island ice shelf, which is fed by one of the fastest flowing glaciers 47 in Antarctica. We show that when the speed of ocean currents is used to determine the 48 rate of heat and salt exchanges due to turbulence, the resulting simulation resembles ob-49 servations. 50

#### 51 **1 Introduction**

Ice shelves in the Amundsen Sea in West Antarctica are characterized by high basal 52 meltrates, and account for roughly a quarter of the meltwater flux from the Antarctic 53 continent over the last two decades (Adusumilli et al., 2020). For many of the ice shelves 54 in the Amundsen Sea, high meltrates lead to ice shelf thinning, which reduces lateral but-55 tressing: a mechanism responsible for holding back upstream ice from reaching the sea 56 (e.g. Dupont & Alley, 2005). Decreased buttressing can therefore lead to an increase in 57 mass loss from grounded ice, and sea level rise (Gudmundsson et al., 2019; Fürst et al., 58 2016). 59

Numerical models of the ocean circulation in the Amundsen Sea have been essential for understanding the link between ocean forcing and ice shelf melting in the region. For instance, modelling efforts have repeatedly shown that relatively warm Circumpolar Deepwater (CDW) is driven onto the continental shelf by Ekman pumping at the shelf edge, (Thoma et al., 2008; Webber et al., 2018; Dotto et al., 2019). The CDW is then steered topographically via troughs to the base of the ice shelves (e.g. St-Laurent et al., 2012; Nakayama et al., 2019, 2017; Kimura et al., 2017; Nakayama et al., 2018).

However, a number of challenges remain for computational models of the Amund-67 sen Sea. For example, the simulated ocean circulation underneath ice shelves and esti-68 mated meltrates are highly sensitive to ice shelf topography and bathymetry (Goldberg 69 et al., 2020, 2019; De Rydt et al., 2014; Schodlok et al., 2012). Uncertain parameters in 70 the representation of ice-ocean interactions, e.g. the drag coefficient at the ice-ocean in-71 terface, can further lead to variation in the intensity of the ocean circulation and melt-72 water flux (Dansereau et al., 2014). Finally, the impact of subgrid-scale ocean turbulence 73 parameterizations on the cavity circulation and ice shelf meltrate is unclear. In this study, 74 we primarily focus on the last of these issues. 75

Modelling the Amundsen Sea requires a high horizontal grid resolution. Resolv-76 ing mesoscale phenomena on the Antarctic continental shelf requires a grid resolution 77 of  $\sim 1-2$  km (Mack et al., 2019), owing to weak stratification, shallow depths, and a large 78 Coriolis parameter at high latitudes (Dinniman et al., 2016). Explicitly resolving pro-79 cesses at this scale is important because mesoscale eddies play an important role in car-80 rying CDW onto the continental shelf (Martinson & McKee, 2012; Stewart & Thomp-81 son, 2015). Resolution requirements become even stricter as one tries to represent pro-82 cesses inside ice shelf cavities with greater detail. Arthun et al. (2013) showed that cap-83 turing the flow of high salinity shelf water into an ice shelf cavity requires a sub-kilometer 84 grid resolution. Here, we model the circulation underneath the Pine Island ice shelf us-85 ing a  $\sim 600$  m grid resolution. Even at this resolution, however, we show that subgrid-86 scale parameterization choices are critical for accurately representing the cavity circu-87 lation and ice-ocean interactions. 88

Dansereau et al. (2014) use a suite of numerical experiments to study the impact 89 90 of various parameterization choices at the ice-ocean boundary on meltwater flux representation and the sub ice shelf circulation. They show that using an ice-ocean transfer 91 parameterization that is dependent on the near-wall velocity is physically justifiable as 92 it captures high meltrates at the location of strong outflow plumes and fast mixed layer 93 currents. However, their simulations exhibit low meltrates near the grounding line, which 94 contradicts recent observational estimates that show some of the highest meltrates ex-95 ist in this grounding zone (e.g. Shean et al., 2019). 96

Here, we resolve this apparent conundrum by studying ice-ocean boundary param-97 eterizations in conjunction with subgrid-scale parameterizations for the transfer of mo-98 mentum and tracer properties. To this aim, we focus on simulating the ocean circula-99 tion underneath the Pine Island ice shelf, building on models developed by Heimbach 100 and Losch (2012) and Dansereau et al. (2014). We use a recent estimate of Antarctic bedrock 101 and ice shelf topography (Morlighem et al., 2020; Morlighem, 2019) and data-informed 102 open boundary conditions to prescribe the flow into and out of Pine Island Bay. With 103 this setup, we develop a suite of numerical experiments that test a variety of parame-104 terization schemes for the representation of subgrid-scale ocean turbulence and fluxes 105 at the ice-ocean interface. To validate our experiments, we compare to in situ ocean ob-106 servations taken during the austral summers of 2009 and 2014 (Christianson et al., 2016; 107 S. S. Jacobs et al., 2011), and satellite-derived meltrate fields (Shean et al., 2019). Fi-108 nally, we discuss the physical mechanisms which link the representation of subgrid-scale 109 ocean turbulence to simulated meltrates via the resolved cavity circulation. We note that 110 111 while our experiments are based on a realistic representation of the cavity circulation underneath the Pine Island ice shelf, we expect that the mechanisms discussed here would 112 generalize to other ice shelves in the Amundsen Sea. 113

#### 114 2 Methods

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#### 2.1 Study area and model setup

Our goal in this study is to provide understanding for the various parameterization choices available to ocean-only models that simulate the circulation under ice shelves in the Amundsen Sea. As such, we develop a number of numerical experiments to test how these parameterizations impact the cavity circulation under the Pine Island ice shelf. The unique configuration for each experiment is shown in Table 1. Here we outline the study region and general model configuration that is applicable to all experiments.

The computational domain includes the cavity underneath the Pine Island ice shelf. 122 It extends westward to  $102.75^{\circ}$ W, and northward to approximately  $74.46^{\circ}$ S, see Figure 123 1. Temperature, salinity, and zonal velocity is specified at the western open boundary, 124 and these are derived from observations - see section 3.1 (more details are available in 125 the supporting information). The western boundary is chosen to be approximately at 126 the center of the gyre in Pine Island Bay (A. M. Thurnherr et al., 2014), such that at 127 the open boundary specifying zonal velocities, which is the component normal to the bound-128 ary, is sufficient. The northern boundary is assumed to be closed because only 2% of the 129 area is open. 130

We use the Massachusetts Institute of Technology general circulation model (MIT-131 gcm) (Campin et al., 2021; Marshall et al., 1997) to simulate the fluid flow underneath 132 the ice shelf, approximating the flow as Boussinesq, hydrostatic, and incompressible. We 133 omit the representation of sea ice because observations show that Pine Island Bay is largely 134 free of sea ice during the simulated time period (Scambos et al., 1996), see section 2.4. 135 We specify the bathymetry and ice topography by regridding output from BedMachine 136 Antarctica v1 (Morlighem, 2019; Morlighem et al., 2020) onto a spherical polar grid us-137 ing the conservative regridding alorithm from Zhuang et al. (2020). Our nominal hor-138 izontal grid spacing is 600 m  $\times$  600 m. We discretize the vertical coordinate into 62 ver-139 tical levels that are 20 m tall. The resolution of our model is chosen to balance compu-140 tational efficiency while capturing the sub-kilometer scale channels in the ice, (e.g. Dutrieux 141 et al., 2013), which are evident in the BedMachine dataset. The vertical grid uses a par-142 tial cell approach to approximate partially closed grid cells at the intersection with ice 143 topography or bathymetry (Adcroft et al., 1997), where the minimum cell size is 2 m. 144

We remove ice from grid cells where the regridded ice topography is only < 0.2 m, 145 such that these grid cells are ice-free. We remove ice from these areas because the com-146 puted heat fluxes in these areas is unreasonably high, due to a division by the ice thick-147 ness. We note that the cutoff chosen here (0.2 m) is arbitrary, and we found values less 148 than  $\sim 5$  m to have little impact on the equilibrium state of the model. The ice shelf 149 is assumed to be floating in isostatic equilibrium on top of the water column. We use 150 the Jackett and McDougall (1995) formulation for the equation of state. All simulations 151 use a virtual salt flux and a linear free surface formulation. With a virtual salt flux, melt-152 water does not add volume locally to the water column and we therefore found the non-153 linear free surface (Campin et al., 2004) to have a negligible impact on the model's equi-154 librium state. 155

We approximate an initial condition for the model spinup by "extruding" the tem-156 perature and salinity open boundary conditions in the longitudinal direction to cover the 157 whole domain, and use an initial velocity field of 0 m/s. All models are then integrated 158 forward in time for ten years with a quasi-second order Adams-Bashforth method, at which 159 point an approximate steady state is reached. All model quantities shown are computed 160 as an average over the final year of spinup. All experiments use a time step of 150 s for 161 numerical stability, except for Leith and QGLeith (section 2.3), which are able to use 162 a larger time step without diminishing the representation of the ocean state (Fox-Kemper 163 & Menemenlis, 2008), see Table 1. 164

**Table 1.** Configuration summary for each numerical experiment performed. Each experiment takes on the parameter values or description given for the **base** experiment, unless noted otherwise. See section 2.3 for the definitions of  $\nu_L$  and  $\nu_{4L}$ .

Experiment Name	Ice-Ocean Thermal Transfer Coefficient	Viscosity	Diffusivity	$\Delta t$
base	$\gamma_T = f(u^*)$	Flow Independent $\nu_h = 0.2 \nu_L$ $\nu_{4h} = 0.02 \nu_{4L}$ $\nu_r = 10^{-4} \text{ m}^2/\text{s}$	Flow Independent $\kappa_h = 0.01 \text{ m}^2/\text{s}$ $\kappa_r = 10^{-4} \text{ m}^2/\text{s}$	150 s
constIO	$\gamma_T = 10^{-4}$			
smallVisc		$ u_h = 0.03  \nu_L $ $ u_{4h} = 0.003  \nu_{4L} $		
Leith		Flow Aware $C_{\text{Leith}} = 2$ $C_{4\text{Leith}} = 2$		300 s
QGLeith		Flow Aware $C_{\text{QGLeith}} = 2$	Flow Aware $C_{\text{QGLeith}} = 2$	300 s

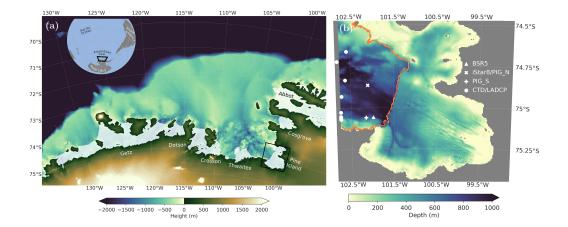


Figure 1. The study area. (a) The Amundsen Sea, West Antarctica. The region's location relative to Antarctica is indicated by the box in the globe in the upper left corner. The colorbar indicates bathymetry on the continental shelf and height of land ice. The white areas refer to the major floating ice shelves in the region, and the computational domain is indicated by the box around the Pine Island ice shelf. The topography and ice shelf locations are from BedMachine Antarctica (Morlighem et al., 2020; Morlighem, 2019). (b) Water column depth of the computational domain. Depth is obtained after regridding the ice topography and bathymetry shown in panel (a). The orange line shows the approximate icefront location, such that the Pine Island ice shelf lies to the east. Locations of observations used in this study are shown in white.

#### 2.2 Parameterizations at the ice-ocean boundary

We represent the exchanges of heat and salt fluxes at the ice-ocean boundary with the three equation model (Hellmer & Olbers, 1989), in its conservative formulation following Jenkins et al. (2001). This parameterization amounts to a balance of heat and salt fluxes at the ice-ocean interface, along with a linearized equation of state:

$$-qS_b = Q_m^S$$

$$-L_m q = Q_m^T + Q_I^T$$

$$T_b = aS_b + b\phi_b + c.$$

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Here  $L_m = 334 \text{ kJ/kg}$  is the latent heat of melting, a, b, c are empirical constants, q is the meltrate as a mass flux where negative (positive) values imply melting (freezing), and  $T_b, S_b$ , and  $\phi_b$  are the in situ temperature, salinity, and pressure at the base of the ice shelf which are assumed to be at the freezing point. The term  $Q_I^T$  is a diffusive flux of heat through the ice (Holland & Jenkins, 1999), and  $Q_m^T, Q_m^S$  are the fluxes of heat and salt through a boundary layer in the ocean just below the ice shelf:

$$Q_m^T = \rho_0 \gamma_T (T - T_b)$$
$$Q_m^S = \rho_0 \gamma_S (S - S_b)$$

where  $\rho_0 = 1030 \text{ kg/m}^3$  is the reference density and T, S are the in situ temperature and salinity in the boundary layer just below the ice shelf. The most important parameter choice in the three equation model is the specification of the heat and salt transfer coefficients,  $\gamma_T$  and  $\gamma_S$  (Holland & Jenkins, 1999), which represent the rate of heat and salt transfer through the oceanic boundary layer.

As a simplified case, in the constIO experiment we use a simple constant to specify the thermal transfer coefficient  $\gamma_T = 10^{-4}$ , Table 1. For this constant coefficient case, we make the assumption that  $\gamma_S = 5.05 \times 10^{-3} \gamma_T$ . In all other experiments, we use a form of the transfer coefficients that is dependent on the near wall velocity:

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$$\gamma_{T,S} = \Gamma_{T,S} u^*$$

where  $\Gamma_{T,S}$  are turbulent exchange coefficients (see Holland and Jenkins (1999) and Appendix B in Dansereau et al. (2014) for details). The friction velocity is:

$$u^* = \sqrt{C_d U_M^2}$$

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where  $C_d = 1.5 \times 10^{-3}$  is the drag coefficient at the ice-ocean interface, and  $U_M$  is the near wall velocity:

<sup>193</sup> 
$$(U_M)_{i,j} = \sqrt{\frac{1}{2} \left[ (\bar{u}_i^{BL})^2 + (\bar{u}_{i+1}^{BL})^2 \right] + \frac{1}{2} \left[ (\bar{v}_j^{BL})^2 + (\bar{v}_{j+1}^{BL})^2 \right]} .$$

<sup>194</sup> Here  $(\bar{\cdot})^{BL}$  denotes a vertical volumetric average over a boundary layer that is one grid <sup>195</sup> cell (20 m) thick, and *i* and *j* denote zonal and meridional grid cell indices, respectively. <sup>196</sup> This formulation takes into account the boundary layer parameterization outlined in Losch <sup>197</sup> (2008), such that the volume underneath the ice shelf that is used to compute vertical <sup>198</sup> fluxes is constant, no matter where the vertical grid intersects with the ice shelf topog-<sup>199</sup> raphy. Horizontal averaging is necessary because of the Arakawa C grid discretization <sup>200</sup> (Arakawa & Lamb, 1977).

#### 2.3 Parameterizations of subgrid ocean turbulence

Representing the effect of subgrid-scale ocean turbulence on the transport of momentum, heat, and salt is a crucial aspect of any ocean model. Here we make the common assumption that these effects can be captured with a dissipative Laplacian and/or biharmonic operator. In the following discussion we explain how the horizontal viscosity and diffusivity,  $\nu_h$  and  $\kappa_h$ , respectively, are defined for each experiment. We add a background vertical viscosity and diffusivity of  $\nu_r = 10^{-4} \text{ m}^2/\text{s}$  and  $\kappa_r = 10^{-4} \text{ m}^2/\text{s}$ , respectively, in all experiments.

It is often the case that viscosity and diffusivity coefficients are chosen to be constant, or to vary weakly with the grid scale of the domain (e.g. Mack et al., 2019; Dansereau et al., 2014; Heimbach & Losch, 2012; Goldberg et al., 2019, 2020). We consider this to be our starting point, and use viscosity and diffusivity coefficients that are approximately constant for the base, constIO, and smallVisc experiments, see Table 1. In these experiments the Laplacian and biharmonic viscosities are chosen to be a fraction of:

$$\nu_L = \frac{L^2}{4\Delta t} \qquad \nu_{4L} = \frac{L^4}{32\Delta t}$$

based on the CFL criterion for numerical stability (Griffies & Hallberg, 2000), where Lis the local grid scale:

$$L = \sqrt{\frac{2}{(\Delta x)^{-2} + (\Delta y)^{-2}}}.$$
 (1)

With a nominal grid spacing such that  $L \simeq 600$  m across the domain, and  $\Delta t = 150$  s 219 for these four experiments, the Laplacian (biharmonic) viscosity is roughly 120 m<sup>2</sup>/s (540,000 m<sup>4</sup>/s) 220 for base and constIO, and 18  $m^2/s$  (81,000  $m^4/s$ ) for smallVisc. We note that the vis-221 cosity values for the first two experiments appear to be high, but are necessary for nu-222 merical stability in constIO. We therefore use the same values in base for comparison. 223 We specify only a Laplacian diffusivity for horizontal tracer transport, which is taken 224 as a small constant following the "do no harm" principle, (e.g. Fox-Kemper & Menemen-225 lis, 2008). The idea behind this principle is to avoid damping the effect of eddy induced 226 tracer transport that is already resolved. 227

Previous studies of eddy activity on the marine margins of Antarctica have shown that these regions exhibit a wide range of spatial scales relevant to the transfer of momentum, heat, and salt (Mack et al., 2019; Årthun et al., 2013; Hattermann et al., 2014). Figure 2 (a) shows that even in this relatively small regional domain, the cavity-type geometry of the ice shelf and highly variable bathymetry impose a range of scales to be represented. Specifically, Figure 2 (a) displays the ratio of the local grid scale (equation (1)) to the first baroclinic Rossby radius of deformation given by Chelton et al. (1998):

$$L_D = \frac{1}{\pi |f|} \int_{-H}^0 \sqrt{-\frac{g}{\rho_0}} \frac{\partial \rho}{\partial z} \, dz \,. \tag{2}$$

Near the grounding line this ratio is below 2, such that the effect of the largest eddies 236 and baroclinic instabilities are only partially resolved (Hallberg, 2013). On the other hand, 237 farther away from the grounding line the resolution is well above the deformation radius. 238 Therefore, even at this sub-kilometer resolution, the model is in a gray zone, motivat-239 ing us to test parameterizations that are "flow aware". Flow aware parameterizations 240 adjust their local impact based on properties of the resolved flow (Bachman et al., 2017). 241 In the following paragraphs, we describe the flow aware parameterizations used in our 242 numerical experiments. 243

First, we test the flow aware parameterization developed by C. E. Leith (1968); C. Leith 244 (1996), with the biharmonic stabilization suggested by Fox-Kemper and Menemenlis (2008). 245 The Leith parameterization is motivated by representing the enstrophy cascade present 246 in 2D turbulence. The specification of nondimensional parameters for the experiments 247 Leith (Table 1) are chosen for numerical stability. In these simulations, it is unclear how 248 to specify the diffusivity field, and we therefore tested the effect of various formulations 249 for the diffusivity tensor and intensity  $\kappa_h$ . With a diffusivity tensor acting aligned with 250 the grid, we tested  $\kappa_h = 1.0 \text{ m}^2/\text{s}$ , which made no discernible difference to  $\kappa_h = 0.1 \text{ m}^2/\text{s}$ 251 in Leith. We additionally tested the effect of rotating the diffusion tensor along isopy-252 cnals as in Redi (1982), and found that this had a negligible effect on the resulting sim-253 ulation as well. 254

Our final experiment uses a recently developed parameterization termed QG Leith 255 (Bachman et al., 2017). We find this scheme to be advantageous from a modelling per-256 spective because it provides theoretical grounding for the specification of unresolved, eddy-257 induced effects in the tracer equations as well as in the momentum equation. Specifically, 258 the scheme results in a formulation of an eddy viscosity,  $\nu_h$ , and suggests to set the trans-259 fer coefficient of the Gent and McWilliams (1990) (GM) eddy advection transfer coef-260 ficient such that  $\kappa_{GM} = \nu_h$ . In our simulations we use the skew flux implementation 261 of the GM scheme (Griffies, 1998), such that  $\kappa_{\rho} = \kappa_{GM} = \nu_h$ . The resulting diffusion 262 tensor is: 263

 $\kappa_{\rho} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 2S_{T} & 2S_{T} & |S|^{2} \end{pmatrix},$ 

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where  $S_x = -\partial_x \sigma / \partial_z \sigma$  and  $S_y = -\partial_y \sigma / \partial_z \sigma$  are the isoneutral slopes and  $\sigma$  is the locally referenced potential density. While this formulation implies a small vertical diffusivity, we add an additional background value of  $\kappa_r = 10^{-4} \text{ m}^2/\text{s}$  for numerical stability.

The time-averaged Laplacian viscosities obtained from the final year of a ten year 269 spinup are shown in Figure 2 (b & c) for Leith and QGLeith, respectively. The viscos-270 ity fields show that the impact of a flow aware subgrid parameterization is particularly 271 important. In both experiments viscosities are as high as  $\sim 60 \text{ m}^2/\text{s}$  in a large southern 272 channel (marked by a black triangle in Figure 2(b)) and along the icefront, where there 273 is strong shear due to interaction with the ice shelf topography. The biggest differences 274 between the two viscosity fields are seen near the black dot in Figure 2(b), where the wa-275 ter column is <50 m deep. The larger values in QGLeith are due to a physical mecha-276

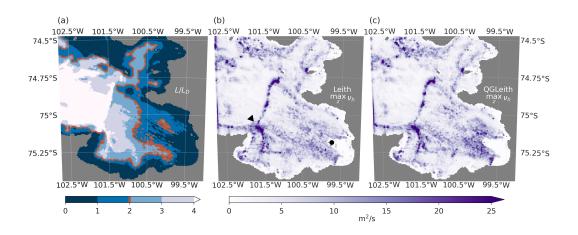


Figure 2. (a) The ratio of the grid scale to the first baroclinic Rossby radius of deformation  $L/L_D$ , see equations (1) & (2). A red contour line is added to emphasize where the grid scale is approximately twice the deformation radius. (b & c) Nonlinear Laplacian viscosities computed in the Leith (b) and QGLeith (c) experiments. The maximum value over the vertical dimension is shown as a representative view. The high spatial variability results from the fact that the Leith and QG Leith parameterizations are flow aware. All other experiments use a viscosity coefficient that is nearly constant across the domain. The black triangle in panel (b) marks the location of a southern channel in the ice shelf topography, and the black circle approximately marks the furthest seaward extent of an ice plain (discussed in section 3.3).

nism discussed in section 3.3, which arises because the QG Leith parameterization spec ifies flow aware diffusivities as well as viscosities.

Finally, we note that we also tested the effect of using the parameterization presented in Griffies and Hallberg (2000); Smagorinsky (1963). In our experiments, this scheme produced similar viscosity values as shown for Leith and had negligible differences on the results, so we omit its presentation.

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#### 2.4 In situ ocean observations

In this study we use observations of the ocean hydrography in Pine Island Bay taken 284 from moorings, Conductivity, Temperature, and Depth (CTD) casts, and velocity from 285 Lowered Acoustic Doppler Current Profiler (LADCP) casts (Christianson et al., 2016; 286 S. S. Jacobs et al., 2011; Dutrieux et al., 2014; Assmann et al., 2013; Webber et al., 2017). 287 Figure 1 shows the location of the moorings used: BSR5, iStar8, PIG\_N, and PIG\_S. The moorings provide a long temporal record at fixed locations, and approximately cover the 289 time periods: 2009-2014 (BSR5) (S. Jacobs & Huber, 2015; Carbotte et al., 2007), 2012-290 2014 (iStar8), and 2014-2016 (PIG\_S & PIG\_N). The CTD and LADCP casts (A. Thurn-291 herr, 2015; Carbotte et al., 2007) provide a snapshot of the ocean state at many loca-292 tions throughout Pine Island Bay and, due to weather constraints, can only be taken dur-293 ing austral summer. 294

Here we use the data for two purposes. First, we use CTD and LADCP data near the open boundary of the domain (white dots in Figure 1) to generate data-informed open boundary conditions via optimal interpolation. Specifically, we use CTD and LADCP casts taken during 2009 and 2014 (A. Thurnherr, 2015). We find that it is appropriate to blend the data taken separately during 2009 and 2014 because the mean state (e.g. thermocline depth) is roughly similar in Pine Island Bay during these years (Webber et al., 2017). Details on how we use these data to obtain open boundary conditions for the model are described in the supporting information.

Secondly, we use the mooring data within the computational domain to validate 303 (or invalidate) the equilibrium state of the numerical experiments described previously. 304 For this task, we must choose a subset of the mooring data that is consistent with the 305 data that is used to obtain the open boundary conditions. Therefore, we select data taken 306 from January through March during 2009 and 2014 as available from each of the moor-307 ings. We note that it would be inconsistent to use data from 2011-2013 for this task, as 308 there was a documented cooling in Pine Island Bay (Webber et al., 2017). Finally, Pine Island Bay is largely free of sea ice during January through March from 2009-2014 (Scambos 310 et al., 1996). This is consistent with our modelling assumption that sea ice is excluded. 311

We compute the temporal mean and standard deviation of the mooring data at each instrument location during the time periods outlined above to obtain a representative state we can compare our models to. At most depth levels the temporal standard deviation,  $\sigma$ , is small, so we prescribe the minimum values:

$$\sigma_{M,\theta} = \max(0.25, \sigma) \,^{\circ}\mathrm{C}$$
$$\sigma_{M,S} = \max(0.025, \sigma) \,\mathrm{g/kg},$$

which provides a means of representation error, i.e. error due to misrepresentation of point data within the model grid cells. Using these minimum values also accounts for potential conflicts between different observed values that correspond to the same grid cell, or nearby neighbors. More details related to raw mooring data processing, including considerations involved with computing potential temperature from in situ temperature, are provided in Appendix A.

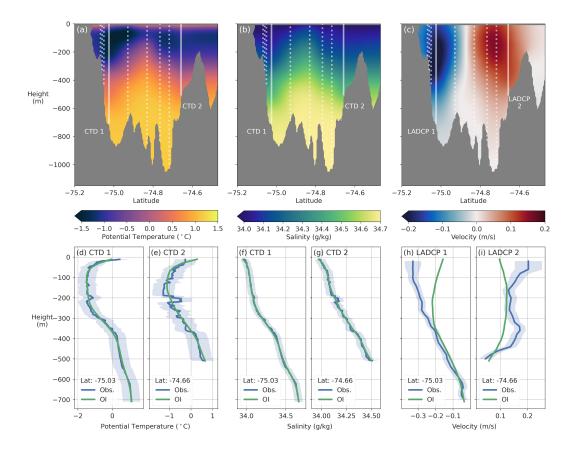
#### 323 3 Results

#### 324

#### 3.1 Data-informed open boundary conditions

The open boundary conditions resulting from optimally interpolating the 2009 and 325 2014 CTD and LADCP data are shown in Figure 3. The upper row shows the poten-326 tial temperature (a), salinity (b), and zonal velocity (c). We highlight a few notewor-327 thy features in the open boundary conditions. The zonal velocity (Figure 3(c)) clearly 328 shows the gyre-structure noted in previous work (A. M. Thurnherr et al., 2014), which 329 approximates the center of the gyre to be at approximately  $74.875^{\circ}$ S. For reference, we 330 compute the location of zero velocity to be at about 74.871°S, for depths above 300 m. 331 The strongest flows are  $\sim 0.1-0.22$  m/s in magnitude, and preside at depths shallower 332 than 400 m. The hydrography shows relatively warm (> 1°C) and salty (> 34.65 g/kg) 333 waters below 600 m depth that is likely CDW fed. This vertical structure is consistent 334 with previous studies (e.g. Christianson et al., 2016; Nakayama et al., 2019). At the cen-335 ter of the gyre there is a notable rise in the thermocline (Figure 3(a)) and halocline (Fig-336 ure 3(b)). At the southern and northern boundaries of the gyre, the 0°C isotherm lies 337 at (75.1°S, 375 m) and (74.64°S, 350 m), respectively, and elevates to its shallowest depth 338 at approximately (74.875°S, 200 m). The elevated thermocline and halocline could be 339 driven by upwelling from Ekman pumping within the gyre. 340

The lower row (Figure 3 (d-i)) shows the observed values compared to the optimal 341 interpolation result for the latitudes  $75.03^{\circ}$ S and  $73.66^{\circ}$ S to highlight the inflow and out-342 flow properties. The interpolated temperature (d & e) and salinity (f & g) fit the data 343 well within one standard deviation. The zonal velocity (h & i) shows a weaker circula-344 tion than the observations above 300 m, but the general structure of the inflow and out-345 flow is represented. In general, the interpolated temperature and salinity fields tend to 346 fit the data better than the zonal velocity. We attribute the better fit to the fact that 347 the temperature and salinity observations have a much more coherent, meridionally cor-348 related structure. In contrast, the velocity observations show less coherence in both the 349



**Figure 3.** Data-Informed Western Open Boundary Conditions. (a-c) Fields resulting from optimally interpolating the CTD and LADCP data shown in Figure 1. Data locations are shown as faint white dotted and solid lines. (d-i) Comparison of the optimal interpolation results (OI; green line) and observational mean plus standard deviation (Obs; blue line). Each line plot corresponds to one of the two solid lines in the panel above. The selected latitudes are chosen to show the inflow and outflow of the gyre, and give a representative view of misfits. Comparisons at all observation locations are shown in the supporting information.

vertical and meridional directions. Optimal interpolation relies on filling the data gaps
with a simple correlation length prescription that must be large enough to fill the space
between data locations. At the same time, longer correlation length scales effectively smooth
out local heterogeneities in the velocity data. The results shown here are based on numerous attempts to balance these two competing aspects of optimal interpolation. Comparisons at all CTD and LADCP data locations are shown in the supporting information.

#### 357

#### 3.2 Model comparison to mooring data

Here we compare the temperature and salinity structure computed from each numerical experiment to the Pine Island Bay mooring data. The ocean states presented here are obtained by integrating each experiment described in Table 1 forward for 10 years, subject to the boundary conditions described in section 3.1. In all cases, the values shown are an average over the final simulation year.

A summary plot of the model-data comparison is shown in Figure 4. The left two plots show a representative vertical profile of temperature (a) and salinity (b) for each

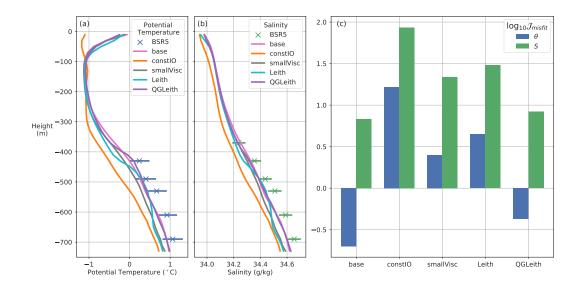


Figure 4. Comparison of the numerical experiments to mooring observations. (a-b) Profiles of potential temperature (a) and salinity (b) for each experiment (colored lines) corresponding to the BSR5 mooring data, with observed mean and standard deviation represented by the x's and horizontal bars. (c) Summary of the total misfit for each model experiment represented as  $\log_{10} \mathcal{J}_{\text{misfit}}$ , see equation (3). The misfit is shown separately for potential temperature (blue) and salinity (green). Lower numbers imply a better fit, and the base-10 logarithm is shown to emphasize that experiments with a value less than zero imply that the data misfit is lower than the standard deviation.

model (colored lines), compared to the mooring data (x's), or CTD data in the case of  
some salinity depth levels (see Appendix A). Figure 4(c) shows a quantitative compar-  
ison for each model against all of the data based on the metric 
$$\mathcal{J}_{\text{misfit}}$$
:

$$\mathcal{J}_{\text{misfit}} = \left\| \left| \frac{\mathbf{m} - \mathbf{d}}{\boldsymbol{\sigma}} \right\|_{2}^{2} = \sum_{i}^{N_{\text{Obs}}} \left( \frac{m_{i} - d_{i}}{\sigma_{i}} \right)^{2}.$$
(3)

Here  $\mathbf{m} = \{m_i\}_{i=1}^{N_{\text{Obs}}}$  and  $\mathbf{d} = \{d_i\}_{i=1}^{N_{\text{Obs}}}$  are the values from the model and observations at each location, *i*, respectively. The vector  $\boldsymbol{\sigma} = \{\sigma_i\}_{i=1}^{N_{\text{Obs}}}$  consists of the standard deviations associated with each data value (section 2.4). Lower values of  $\mathcal{J}_{\text{misfit}}$  imply a closer fit to the data, and we note that Figure 4(c) shows  $\log_{10} \mathcal{J}_{\text{misfit}}$  such that values below zero imply that the misfit is smaller than the assumed standard deviation.

The base and QGLeith experiments produce the least error compared to the observations, fitting the data within 2 standard deviations. On the other hand, the constIO experiment shows the largest deviations from the data, beyond 2-3 standard deviations in many instances. In these experiments, models that use a velocity dependent ice-ocean transfer parameterization tend to fit the data better than constIO. This indicates that a flow aware ice-ocean parameterization is important for correctly representing the ocean circulation, even away from the ice shelf.

#### **3.3 Evaluation of meltrate patterns**

In Figure 5 we qualitatively compare the meltrate patterns generated by each model (a-e) to the 2008-2015 average value inferred from high resolution satellite-derived digital elevation models (f) (Shean et al., 2019). To enable comparison, we convert the modelled meltrates from kg/s to m/yr or Gt/yr assuming a meltwater density of 1000 kg/m<sup>3</sup> and 360 days per year. The meltrate is largely determined by the sub ice shelf circulation, especially since most simulations employ a velocity dependent ice-ocean transfer parameterization. We therefore present the barotropic streamfunction underneath the ice shelf to give a summarized view of the circulation in each case, Figure 6.

We note at the outset of this discussion that no model represents the broad pat-390 tern of intense melting (> 100 m/yr) just seaward of the grounding line (near the white 391 dot in Figure 5(a) and in the hatched area of Figure 5(f), which is a key feature in the 392 satellite-based estimate. Instead, each model shows a dark region where meltrates are 393 nearly zero. This region is referred to as an "ice plain" (Corr et al., 2001; Thomas et al., 394 2004), and in our model the ice shelf here is mostly ungrounded, with a water column 395 height of < 50 m. The weak simulated meltrates in this region can be partially attributed 396 to the fact that only one or two vertical grid cells in the water column are active here, 397 such that any flow induced melting is not well resolved. The discrepancy between mod-398 els and observations could be further accentuated by subglacial discharge. Drainage is 399 not captured by the models, but satellite derived digital elevation models provide some 400 evidence that this occurs somewhat regularly near the Pine Island Glacier grounding line, 401 and could be a reason for high meltrates (Joughin et al., 2016). In any case, we limit our 402 discussion here to a qualitative comparison, rather than quantitative, due to this ma-403 jor difference, and focus on aspects of the meltrate pattern that the ocean models can reasonably capture. To aid in the visual comparison, the region where this large discrep-405 ancy occurs is hatched in panel (f) of Figure 5. 406

The base experiment exhibits the lowest domain integrated meltrate, 24.8 Gt/yr, and a muted spatial pattern throughout the domain (Figure 5(a)). In the region surrounding the ice plain there is little melting. Some of the highest meltrates are in the southern channel, marked by the white triangle in Figure 5(a). The low meltrate in this experiment coincides with a weak circulation: the barotropic streamfunction has a maximum of 0.05 Sv under the ice shelf (Figure 6(a)). We attribute the weak circulation and low meltrates to the relatively large viscosities used.

The smallVisc experiment shows the effect of reducing the base viscosities to a value that is likely to be more practical at this resolution. In particular the circulation is much stronger underneath the ice shelf: the barotropic streamfunction is almost quadrupled to 0.18 Sv (Figure 6(c)). As a result, the total meltrate is increased to 37.8 Gt/yr (Figure 5(c)). However, the spatial pattern still exhibits relatively low values near the ice plain, particularly on the northern side.

Upon first glance, the meltrate pattern shown in the constIO experiment appears 420 credible because it exhibits high meltrates near the grounding line, reaching 72 m/yr (Fig-421 ure 5(b)). Additionally, the high meltrates correspond to the observations such that the 422 highest values are obtained close to the grounding line, and attenuate farther away from 423 this area. However, we note a few subtle, but important discrepancies with the observed 424 spatial pattern. First, the meltrate seems to be artificially high in the northern ice shelf 425 cavity (north of approximately  $74.8^{\circ}$ S), and it is likely the case that the simple guess of 426  $\gamma_T = 10^{-4}$  m/s is too high in this area. Secondly, the pattern in the southern channel 427 is exactly the opposite of what is shown in the observations and in almost all other ex-428 periments. That is, the meltrate is *lowest* exactly in the channel where the most vigor-429 ous outflow is, but it is high in the region surrounding the channel. Both of these cases 430 show that choosing a constant coefficient ice-ocean parameterization is deficient because 431 it does not adapt to the flow field. 432

Before comparing the Leith and QGLeith experiments, it is useful to note the similarities and differences between the Leith and QG Leith schemes. While the viscosity
formulation is somewhat similar in Leith and QG Leith (Bachman et al., 2017), the main
difference between these two parameterizations lies in the representation of tracer dif-

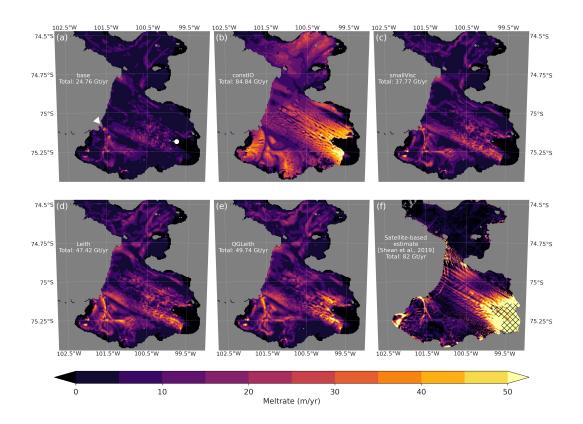


Figure 5. (a-e) Meltrate patterns computed from each numerical experiment. (f) 2008-2015 average meltrate patterns inferred from satellite observations (Shean et al., 2019). The white triangle in panel (a) marks the location of the large southern channel in the ice shelf topography, and the white circle approximately marks the furthest seaward extent of an ice plain that is discussed in the text. The hatching in panel (f) denotes the ice plain discussed in the text.

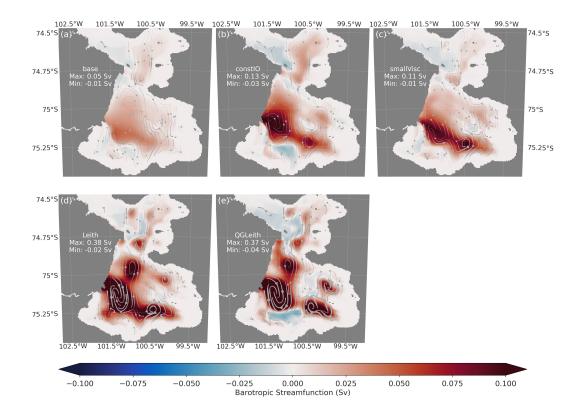


Figure 6. Barotropic streamfunction underneath the ice shelf in each experiment. Arrows indicate the sense of the circulation, and the color and linewidth indicate the intensity. The region outside of the ice shelf is omitted as all simulations show a cyclonic gyre with a maximum strength of 1.55 Sv, driven by the open boundary conditions.

fusion. Recall that when QG Leith is implemented with the skew flux implementation 437 of GM (Griffies, 1998), the spatially varying tracer diffusion coefficient is set equal to the 438 Laplacian viscosity values shown in Figure 2(c). The most notable difference between 439 the QGLeith and Leith experiments is a broad pattern of high meltrate flanking the ice 440 plain to the north and south, with values reaching up to 66 m/yr (Figure 5(e)). Corre-441 spondingly, the gyre-like flow near the grounding line is more vigorous in QGLeith than 442 in Leith, see Figure 6(d & e). Comparing the spinup period of these two experiments explains why this accentuated meltrate pattern and enhanced flow appears in QGLeith 444 but not Leith. 445

Figure 7 shows the difference between the QGLeith and Leith simulations during 446 spinup (a-i) along a section of the domain near the grounding line indicated in panel (j). 447 The left column (Figure 7(a,d,g)) shows the difference in the total horizontal diffusive 448 flux of salt between the two experiments. This difference in diffusion is entirely due to 449 the spatially varying diffusivity coefficient set by the QG Leith parameterization. Neg-450 ative values indicate that the QGLeith experiment exhibits more diffusion of freshwater 451 away from the ice shelf, resulting in a relatively buoyant layer surrounding the ice shelf. 452 Note that in this domain buoyancy is largely driven by salinity differences rather than 453 temperature differences. The middle column, Figure 7(b,e,h), shows the density differ-454 ence between the two experiments,  $\delta \rho = \rho_{\text{QGLeith}} - \rho_{\text{Leith}}$ . The density difference is 455 generally negative near the ice shelf, implying that water near the ice shelf is more buoy-456 ant in QGLeith than in Leith. This layer of buoyant water establishes a horizontal den-457 sity gradient, with lighter waters close to the ice shelf and heavier waters away from the 458 ice shelf. The horizontal density gradient subsequently enhances the flow via thermal wind 459 balance: 460

461

$$\left(\frac{\partial u_{TW}}{\partial z}, \frac{\partial v_{TW}}{\partial z}\right) = \left(\frac{g}{f\rho_0}\frac{\partial\rho}{\partial y}, -\frac{g}{f\rho_0}\frac{\partial\rho}{\partial x}\right).$$

The right column, Figure 7(c,f,i), shows the velocity difference between the two experiments,  $\delta v^{\perp} = v_{\text{QGLeith}}^{\perp} - v_{\text{Leith}}^{\perp}$ . Here  $v^{\perp}$  is the velocity normal to the section indicated in Figure 7(j). Negative (positive) values indicate that the flow toward (away from) the grounding line is larger in QGLeith than in Leith. We note that the sense of the mean flow in Leith and QGLeith is similar (Figure 6(d & e)). Therefore, the structure of the differences shown in Figure 7(c,f,i) show that the inflow and outflow is stronger in QGLeith than Leith.

The result of this mechanism is fast flowing, cyclonic "mini-gyres" on the north and 469 south sides of the ice plain which are evident in Figure 6(e) for QGLeith. The flow in these 470 gyres results in higher velocities at the ice-ocean interface, which drive larger meltrates 471 due to the velocity-dependent formulation of the ice-ocean transfer coefficient. Consid-472 ering the spatially integrated meltrate in a 15 km radius around the white circle in Fig-473 ure 5(a), the invigorated flow amounts to a grounding zone meltwater flux that is 2 Gt/yr 474 larger in QGLeith than Leith. Additionally, the maximum meltrate within this radius 475 is about 14 m/yr larger in QGLeith than Leith, at 66 m/yr. 476

We note that the extent of the cyclonic gyres is, however, limited by the presence of the bathymetric ridge underneath the ice shelf. In the QGLeith experiment, four small cyclonic gyres are present, where the two closer to the icefront are separated from the two closer to the grounding line by the ridge. The imprint of this separation can be seen in the meltrate pattern, Figure 5(e). The enhanced meltrate due to the thermal wind driven flow stops at the bathymetric ridge, suggesting that it blocks the ocean circulation from advancing high meltrates further into the domain.

#### 484 4 Discussion and outlook

In this study, we have shown that using flow aware subgrid-scale parameterizations of ocean turbulence together with a flow aware parameterization at the ice-ocean inter-

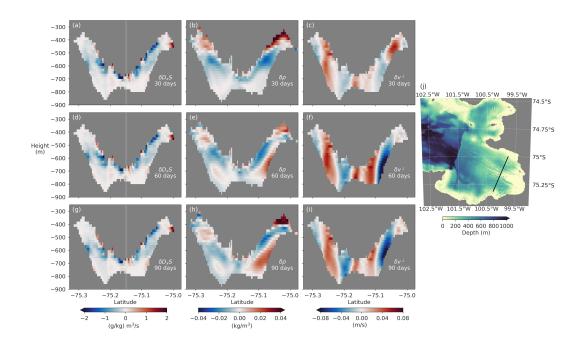


Figure 7. Comparison of QGLeith and Leith during the first 30 (a-c), 60 (d-f), and 90 (g-i) days of spinup. All quantities shown are extracted along the slice indicated by the black line in the map on panel (j). In each plot (a-i), the difference QGLeith - Leith, is shown, indicated by the  $\delta$ . (a,d,g) The difference in the total horizontal diffusivity of salinity in the direction tangent to the black line in panel (j). Negative values indicate that there is a net transport of freshwater away from the ice shelf. We note that the field shown was modified by multiplying the values on the left side of the white line by -1 in order to aid the visualization. (b,e,h) The difference in density, where positive (negative) values indicate regions where water is heavier (lighter) in QGLeith than in Leith. (c,f,i) The difference in velocity normal to the black line in panel (j), where positive (negative) indicates stronger flow in QGLeith that is away from (toward) the grounding line.

face provides the most reliable means to represent the cavity circulation and meltrate
pattern under the Pine Island ice shelf. Specifically, the QGLeith experiment shows the
best balance between fitting the in situ mooring data while generating a credible meltrate
pattern compared to the satellite-based estimates from Shean et al. (2019).

The results from the **base** and **constIO** experiments provide a similar conclusion 491 to those in Dansereau et al. (2014). That is, while the velocity dependent parameter-492 ization seems to be more physically plausible in its formulation, meltrates near the ground-493 ing line are greatly diminshed compared to the constant coefficient case and observation-494 based estimates. Here the conundrum is further exacerbated by the fact that constIO 495 deviates from the Pine Island Bay mooring data by over 2 standard deviations, while the 496 base experiment fits the observations quite well. With the more recent meltrate obser-497 vations from Shean et al. (2019), we are able to detect subtle features in meltrate pat-498 terns that the velocity independent parameterization misses in constIO. From these com-499 parisons to the data, we determine the constIO experiment to be invalid. 500

The smallVisc experiment hints that the representation of subgrid-scale turbu-501 lence could explain reduced meltrates in velocity dependent simulations, and the QGLeith 502 experiment makes this clear. The contrast between the equilibrium state of QGLeith and 503 Leith further highlights the importance of employing flow aware, subgrid parameterizations for momentum and tracer transfer because of the thermodynamic interactions 505 at the ice-ocean boundary. Enhanced diffusion directly underneath the ice shelf creates 506 a layer of buoyant meltwater, which strengthens the horizontal density gradient. The in-507 flow and outflow is subsequently invigorated by thermal wind, creating fast flowing "mini-508 gyres" underneath the ice shelf that are in close contact with the ice-ocean interface. In-509 creased near-wall velocities then drive higher meltrates due to a velocity dependent ice-510 ocean parameterization. As a result, the QGLeith experiment exhibits some of its high-511 est meltrates on either side of the ice plain in a zone bounded by the bathymetric ridge, 512 similar to the satellite derived estimates from Shean et al. (2019). 513

Throughout the study we have focused our attention on simulating the cavity cir-514 culation and meltrate patterns under the Pine Island ice shelf. By focusing on develop-515 ing a realistic numerical model of this particular ice shelf, we were able to validate our 516 experiments with observational data. However, we expect that the thermal wind enhanced 517 flow shown here would manifest in simulations of the cavity flow under other ice shelves 518 in the Amundsen Sea. In particular, this mechanism relies simply on cyclonic flow in-519 side of an enclosed cavity, where relatively warm and salty waters enter on the north/east 520 boundary, and cold and fresh meltwater is driven outward on the south/west boundary, 521 generated by an ice shelf above. Idealized experiments from Little et al. (2008) show that 522 this general circulation is a common feature of ice shelves no matter the bathymetric or 523 ice shelf slope orientation. Observations at the front of the Dotson (Jenkins et al., 2018) 524 and Getz (Wåhlin et al., 2020) ice shelves indicate that such a cyclonic flow could ex-525 ist under the shelves. Therefore, we surmise that a similar acceleration and meltrate en-526 hancement would occur in other Amundsen Sea ice shelf cavity flow simulations, and sug-527 gest experimentation with flow aware subgrid-scale turbulence parameterizations in fu-528 ture studies. 529

For our application, we found the QG Leith parameterization formulated by Bachman et al. (2017) to provide a reasonable representation of subgrid processes. We note that this parameterization is based on QG turbulence, but this assumption may not be valid everywhere underneath the ice shelf. Determining the best representation of subgrid-scale ocean turbulence in this context, for instance with an even higher resolution nonhydrostatic model, could be considered for future work.

In all of the simulations shown, we made a number of assumptions that would need to be relaxed before using any of these models to simulate the time evolution of the ocean circulation under the Pine Island ice shelf, rather than a steady state solution as shown

here. We did not simulate sea ice, which may be valid for the time period we wished to 539 represent, January through March. However, sea ice is present in Pine Island Bay dur-540 ing other months of the year (Scambos et al., 1996). The atmospheric state is also not 541 prescribed or simulated, since we assume that the data-informed open boundary condi-542 tions that force the model bear the imprint of atmospheric forcing. We additionally do 543 not represent the effect of tides, based on previous results indicating that their inclusion 544 has a relatively small effect on Pine Island ice shelf melting (Jourdain et al., 2019). Fi-545 nally, our model omits the representation of ice shelf calving and iceberg melting within 546 the computational domain. Representing these effects is not straightforward in ocean-547 only models, but is important future work for determining the ocean's role in and response 548 to future changes in Pine Island Glacier mass loss (De Rydt et al., 2021). 549

Our computational models employed a high resolution grid:  $\sim 600$  m in the hor-550 izontal and 20 m in the vertical. Still, the simulated meltrate patterns show a "shadow 551 region" near the grounding line that is essentially unresolved, but is an area of extremely 552 high meltrates (> 100 m) in the satellite-derived estimates. This discrepancy suggests 553 at least two areas of future work. First, the presence of subglacial discharge could be re-554 sponsible for these high meltrates (Joughin et al., 2016). Specifically, subglacial discharge 555 increases the buoyancy driven convection, and subsequently the meltrate, under the ice 556 shelf at the source of the discharge near the grounding line (Jenkins, 2011). Discharge 557 has been shown to be an important driver of melting under the Getz ice shelf (Wei et 558 al., 2020). Additionally, very recent experiments have shown that subglacial discharge 559 increases the meltrate in localized regions near the grounding line of the Pine Island ice 560 shelf (Nakayama et al., 2021). It therefore seems necessary to incorporate this forcing 561 mechanism into sub ice shelf cavity circulation models to further understand how discharge affects ice-ocean interactions and the relevant ocean dynamics. Secondly, repre-563 senting meltrate patterns in these small-scale regions of ice shelves is even more com-564 putationally demanding for models that capture a larger spatial area, for instance in mod-565 els of the entire Amundsen Sea Embayment. Our hope is that unstructured meshing strate-566 gies, (e.g. Timmermann et al., 2012; Kimura et al., 2013), can alleviate the computa-567 tional burden for such simulations by resolving the fine-scale interactions underneath ice 568 shelves, while using a larger grid-scale farther away from the cavity. 569

The numerical simulations shown here provide a view of the potential ocean dynamics underneath the Pine Island ice shelf. Our model validation process would not have been possible without in situ measurements of the ocean state and observations of ice topography, bathymetry, and meltrates from remote sensing data. Continuous observational coverage of this region, and of the marine margins of Antarctica in general, is essential to advance our understanding and verify our model-based predictions of ice-ocean interactions in the region.

#### 577 Appendix A Data Processing

586

First we describe the steps we took to prepare the CTD and LADCP data for our 578 study. We convert the vertical coordinate of the 2014 CTD and LADCP casts from pres-579 sure to depth using PyGSW (Campbell, 2012), assuming the mean latitude of the se-580 lected casts. For some of the casts, there is a discrepancy between the maximum depth 581 of the data and the bathymetry regridded from BedMachine. In all instances, the data 582 go deeper than our model's bathymetry, and we neglect these data values. There are no 583 uncertainty estimates associated with potential temperature and salinity, so we use the 584 values: 585

 $\sigma_{CTD,\theta} = 0.5 \,^{\circ}\mathrm{C} \qquad \sigma_{CTD,S} = 0.05 \,\mathrm{g/kg} \,.$ 

We use these values to account for measurement error and, more importantly, representation error, accounting for spatiotemporally localized features that we cannot or do not want to infer during the optimal interpolation. The potential temperature data show spurious jumps, see for example in Figure 3(e) at  $\sim 200$  m depth. These temperature fluctuations are likely due to spatiotemporally localized phenomena that the optimal interpolation cannot succeefully capture, and we do not wish to represent in our equilibriumstate model. As such, we choose a fairly large uncertainty to cover these cases. The salinity data shows no such jumps, so it seems reasonable to provide a relatively small uncertainty. Finally, we note that we only use data with the highest quality control flag, but that this did not remove any data that we considered using.

Next, we describe the steps we took to prepare the mooring data for our study. We first bin average the temporal data to hourly time stamps. All data from a single instrument are assumed to be at a single depth level. This assumption ignores temporal depth variability, which we find to be reasonable because the amplitude of variability is well below the vertical resolution of our grid (20 m).

Some moorings do not have salinity data, so in these cases we represent salinity at these locations with data from the nearest CTD, which is <1 km away. In such instances, we double the observational uncertainty of the salinity estimate, noting that this makes it consistent with the CTD data described above:  $\sigma_{CTD,S} = 2\sigma_{M,S}$ . With in situ temperature and salinity at each mooring depth, we convert in situ temperature to potential temperature using PyGSW (Campbell, 2012).

In the case of the PIG\_S mooring during 2014, data at some depth levels are inconsistent beyond one standard deviation from CTD casts taken at the same time period, less than 1 km away, as well as mooring data from BSR5 during 2009. These inconsistencies occur at 592, 525, 492, and 358 m depth, and in these cases the data from PIG\_S is not considered, as it shows temperatures colder at depth than any other measurements available.

#### 614 Acknowledgments

This study uses data from the Ice Sheeet Stability (iSTAR) and GEOTRACES projects, 615 provided by the British Oceanographic Data Centre (BODC) and funded by NERC. The 616 iStar8, PIG\_N, and PIG\_S mooring data together with the CTD data and 2014 LADCP 617 data were collected from the BODC at bodc.ac.uk. The 2009 LADCP data and BSR5 618 mooring data were collected from the Marine Geoscience Data System, at marine-geo.org 619 (Carbotte et al., 2007). The BedMachine dataset was gathered from (Morlighem, 2019). 620 The satellite-based digital elevation model output was provided by David Shean. The 621 model output and configuration files relevant to this study can be found at (Smith, 2021). 622 I am grateful to Nora Loose, Martin Losch, and Patrick Heimbach for helpful comments 623 that improved the manuscript. Financial support was provided in part by NASA MAP 624 #80NSSC17K0558 and a JPL/Caltech Subcontract (ECCO Consortium). 625

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# Supporting Information for "Flow aware parameterizations invigorate the ocean circulation under the Pine Island ice shelf, West Antarctica"

# Timothy A. Smith<sup>1,2,3</sup>

<sup>1</sup>Oden Institute for Computational Engineering and Sciences, The University of Texas at Austin, Austin, Texas

 $^{2}$ Cooperative Institute for Research in Environmental Sciences (CIRES) at the University of Colorado Boulder

<sup>3</sup>National Oceanic and Atmospheric Administration (NOAA) Physical Sciences Laboratory (PSL)

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2. Figure S1. Comparison of open boundary conditions to all observations

#### Text S1. Optimal interpolation methodology

The prescribed ocean state at the western boundary of the computaional domain serves as an important forcing mechanism for the ice shelf and ocean circulation. Our goal is to determine the most realistic values for the temperature, salinity, and normal velocity fields at the boundary, given the available CTD and LADCP observations during 2009 and 2014. To do this in a relatively straightforward fashion, we find the solution to the optimal interpolation (OI) problem for the generic parameter field  $\mathbf{m} \coloneqq [\boldsymbol{\theta}_W^T, \mathbf{S}_W^T, \mathbf{u}_W^T]^T \in \mathbb{R}^{N_m}$ :

$$\mathbf{m}_{OI} = \operatorname*{arg\,min}_{\mathbf{m} \in \mathbb{R}^{N_m}} \mathcal{J}(\mathbf{m}) \tag{1}$$

where

$$\mathcal{J}(\mathbf{m}) = \frac{1}{2} ||f(\mathbf{m}) - \mathbf{d}||_{\Gamma_{\text{Obs}}^{-1}}^2 + \frac{1}{2} ||\mathbf{m} - \mathbf{m}_0||_{\Gamma_{\text{prior}}^{-1}}^2.$$

Here  $f : \mathbb{R}^{N_m} \ni \mathbf{m} \to \mathbf{d} \in \mathbb{R}^{N_d}$  is simply a linear interpolation operator, mapping the parameter fields to the location of available data.

As a matter of computational convenience we make the following assumptions. First, we assume that each parameter field is independent from one another, allowing us to solve three OI problems for temperature, salinity, and velocity separately. Second, we assume that the observational and prior uncertainties can be described by Gaussian statistics. We further assume that the observations are independent, such that  $\Gamma_{\text{Obs}} = \text{diag}\{\sigma_i^2\}_{i=1}^{N_d}$ . Observational uncertainties (standard deviations) are assumed to be 0.5°C for potential temperature and 0.05 g/kg for salinity as they are not provided, see Appendix A in the main text for details. The LADCP velocity data is provided with uncertainty estimates, which we use.

We specify the prior covariance as Matérn class due to the link between Matérn class Gaussian fields and the solution of the elliptic stochastic partial differential equation

(Lindgren et al., 2011):

$$\left(\delta(\mathbf{x}) - \nabla \cdot K(\mathbf{x})\nabla\right)m(\mathbf{x}) = \mathcal{W}(\mathbf{x}) \qquad \mathbf{x} \in \partial\Omega_{OBW},$$
(2)

where  $\mathcal{W}(\mathbf{x})$  is a standard white noise process. We employ the empirical relationship provided in Lindgren et al. (2011) and choose  $\delta(\mathbf{x})$  and  $K(\mathbf{x})$  such that the parameter fields exhibit a correlation of 0.1 at separation lengths: 18 km meridionally and 150 m vertically.

The last ingredient is the initial guess for the OI problem,  $\mathbf{m}_0$ . Simple inspection of the temperature and salinity data shows that these fields have mostly vertical structure, with slight variations in the depth of thermocline and halocline due to their horizontal location. Therefore, we specify  $\boldsymbol{\theta}_0$  and  $\mathbf{S}_0$  as vertical profiles based on polynomial regressions of the data. We note that using this has similar results to specifying  $\boldsymbol{\theta}_0 = 0^{\circ}$ C and  $\mathbf{S}_0 = 34.36 \text{ g/kg}$ , but the former provides a better fit to the observations. The spatial structure of the velocity data is less obvious *a priori* and we therefore specify  $\mathbf{u}_0 = 0 \text{ m/s}$ .

Given these assumptions and specifications, the minimization problem in equation (1) is linear and we can write the solution to each independent OI problem as:

$$\boldsymbol{\theta}_{OI} = \boldsymbol{\theta}_0 + \Gamma_{\text{post}} F^T \Gamma_{\text{Obs}}^{-1} \left( \mathbf{d} - F \boldsymbol{\theta}_0 \right)$$
$$\Gamma_{\text{post}} = \left( F^T \Gamma_{\text{Obs}}^{-1} F + \Gamma_{\text{prior}}^{-1} \right)^{-1} .$$

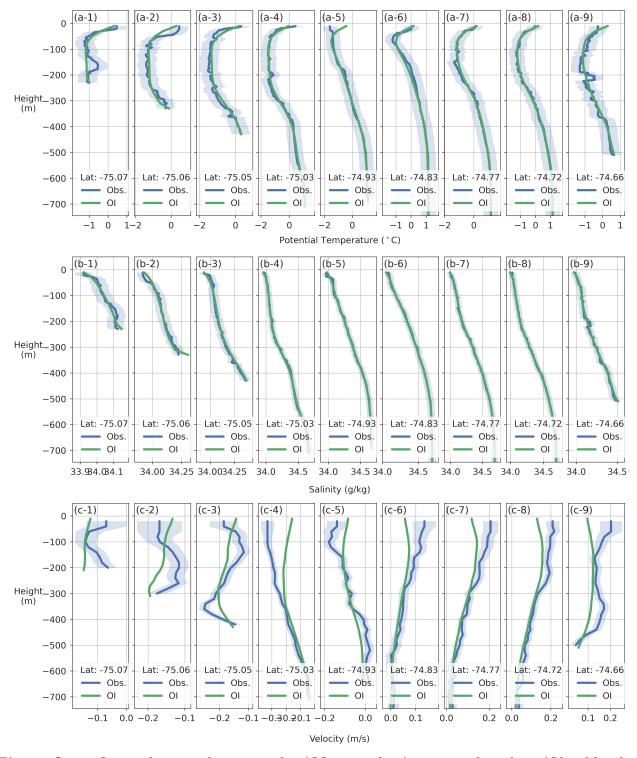
Here, potential temperature is shown as an example, and a similar solution is obtained for salinity and velocity. Before these results can be used directly as forcing for the ocean model, the spatial integral is removed from the zonal velocity:

$$u_W(\mathbf{x}) = u_{OI} - \int_{\partial\Omega_{\text{open}}} u_{OI} \, d\mathbf{x} \, .$$

Removing the spatial mean ensures that we do not add or remove mass from the domain, and there is no artificial sea level rise during the spinup to reach equilibrium. In practice, this corresponds to removing a small average velocity: 0.00943 m/s. The resulting fields are shown in comparison to the observational data in Figure S1.

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Figure S1. Optimal interpolation results (OI; green line) compared to data (Obs; blue line) at all CTD/LADCP locations used to compute open the open boundary conditions. (a-1 - a-9) potential temperature, (b-1 - b-9) salinity, (c-1 - c-9) zonal velocity.