Reconciling Assumptions in Bottom-up and Top-down Approaches for Estimating Aerosol Emission Rates from Wildland Fires using Observations from FIREX-AQ

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Abstract

Accurate fire emissions inventories are crucial to predict the impacts of wildland fires on air quality and atmospheric composition. Two traditional approaches are widely used to calculate fire emissions: a satellite-based top-down approach and a fuels-based bottom-up approach. However, these methods often considerably disagree on the amount of particulate mass emitted from fires. Previously available observational datasets tended to be sparse, and lacked the statistics needed to resolve these methodological discrepancies. Here, we leverage the extensive and comprehensive airborne in situ and remote sensing measurements of smoke plumes from the recent Fire Influence on Regional to Global Environments and Air Quality (FIREX-AQ) campaign to statistically assess the skill of the two traditional approaches. We use detailed campaign observations to calculate and compare emission rates at an exceptionally high resolution using three separate approaches: top-down, bottom-up, and a novel approach based entirely on integrated airborne in situ measurements. We then compute the daily average of these high-resolution estimates and compare with estimates from lower resolution, global top-down and bottom-up inventories. We uncover strong, linear relationships between all of the high-resolution emission rate estimates in aggregate, however no single approach is capable of capturing the emission characteristics of every fire. Global inventory emission rate estimates exhibited weaker correlations with the high-resolution approaches and displayed evidence of systematic bias. The disparity between the low resolution global inventories and the high resolution approaches is likely caused by high levels of uncertainty in essential variables used in bottom-up inventories and imperfect assumptions in top-down inventories.

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22 Key Points:

- In situ measurements of wildland fire smoke plumes provide emission rates for
 evaluating emissions inventories at unprecedented resolution
- Fire emissions inventories struggle to capture the emissions rate characteristics of
 individual fires but may perform well in the aggregate
- Bottom-up inventories suffer from major uncertainty in key variables, while top-down inventories may have bias from imperfect assumptions
- 29

30 Abstract

31 Accurate fire emissions inventories are crucial to predict the impacts of wildland fires on air

- 32 quality and atmospheric composition. Two traditional approaches are widely used to calculate
- 33 fire emissions: a satellite-based top-down approach and a fuels-based bottom-up approach.
- 34 However, these methods often considerably disagree on the amount of particulate mass emitted
- 35 from fires. Previously available observational datasets tended to be sparse, and lacked the
- 36 statistics needed to resolve these methodological discrepancies. Here, we leverage the extensive
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 campaign observations to calculate and compare emission rates at an exceptionally high
- 41 resolution using three separate approaches: top-down, bottom-up, and a novel approach based
- 42 entirely on integrated airborne in situ measurements. We then compute the daily average of these
- 43 high-resolution estimates and compare with estimates from lower resolution, global top-down
- 44 and bottom-up inventories. We uncover strong, linear relationships between all of the high-
- 45 resolution emission rate estimates in aggregate, however no single approach is capable of
- 46 capturing the emission characteristics of every fire. Global inventory emission rate estimates
- 47 exhibited weaker correlations with the high-resolution approaches and displayed evidence of
- 48 systematic bias. The disparity between the low resolution global inventories and the high
- 49 resolution approaches is likely caused by high levels of uncertainty in essential variables used in
- 50 bottom-up inventories and imperfect assumptions in top-down inventories.

51 Plain Language Summary

- 52 Smoke emitted by wildland fires is dangerous to human health and contributes to climate change.
- 53 To predict and evaluate the impacts of fires, we need to know how much smoke is emitted into
- 54 the atmosphere. There are two state-of-the-art methods used to estimate the mass of smoke
- 55 emitted by fires, but they often disagree. In this study, we use unusually detailed measurements
- 56 collected using an aircraft that flew within wildland fire smoke plumes to calculate the amount of
- 57 smoke emitted from fires in the Western United States. We compare emission rates derived from
- 58 the exceptionally high spatial and temporal resolution approach to the two traditional, lower
- 59 resolution approaches to understand why they sometimes diverge.

60 **1 Introduction**

61 Wildland fires can be dangerous, destructive forces of nature that degrade air quality and 62 threaten human health and infrastructure (Larsen et al., 2018). However, fires are also a naturally 63 occurring disturbance needed to maintain the health and biodiversity of many ecosystems 64 (Goldammer et al., 2008; Weaver, 1974). In the Western United States, the natural fire cycle has 65 been completely disrupted and distorted by human interference (Fusco et al., 2016; Harvey, 2016), where large increases in fire size and occurrence are transpiring because of a buildup of 66 67 fuels caused by historically excessive fire suppression, increased settlement in the wildland 68 urban interface, and more favorable fire weather conditions (Abatzoglou & Williams, 2016; 69 Dennison et al., 2014; Mell et al., 2010; Stavros et al., 2014; Stephens & Ruth, 2005; Theobald 70 & Romme, 2007; Westerling et al., 2003). There is a need for balance between reducing the

hazards of wildland fires while maintaining forest health under the influence of a changingclimate.

73 Unless we can better understand and predict the deleterious impacts of wildland fire 74 smoke emissions on air quality and human health, it will be nearly impossible for society to respond and adapt to this evolving and complex system. Informed land management policy that 75 76 utilizes prescribed fires to reduce fuel buildup and reinvigorate ecosystems in order to ultimately 77 minimize smoke exposure for downwind communities necessitates the ability to quantify the 78 composition, magnitude, and transport of smoke (Noss et al., 2006; Schweizer et al., 2018). In 79 the case of accidental or uncontrolled wildfires, the capability to accurately predict smoke 80 transport is necessary to alert sensitive populations and mitigate the overall impact of smoke on 81 human health (Larkin et al., 2009; McKenzie et al., 2006). Atmospheric models rely entirely on 82 geospatial databases of fire locations and estimated emissions (so-called fire emissions 83 inventories) to represent the contribution of fire emissions to downwind atmospheric 84 composition (Wiedinmyer et al., 2006). Two distinct approaches are traditionally used to create 85 these emissions inventories: a fuels-based bottom-up approach and a satellite-based top-down

86 approach (Seiler & Crutzen, 1980; Wooster et al., 2005).

87 The bottom-up approach calculates the mass of carbon emitted by a fire as the product of 88 burned area, fuel mass per unit area, the carbon fraction of fuel, and combustion completeness 89 (Seiler & Crutzen, 1980). This approach, also known as the carbon mass balance method, 90 operates under the explicit assumption that all burnt biomass carbon is volatilized and emitted to 91 the atmosphere (Ward & Radke, 1993). Although a fuels-based approach is the key feature of 92 bottom-up algorithms, most also rely on remote sensing observations from satellite sensors such 93 as the Moderate Resolution Imaging Spectroradiometer (MODIS) or Visible Infrared Imaging 94 Radiometer Suite (VIIRS) to determine burned area. Burned area can be calculated using active 95 fire detections, by assuming the entire landscape captured in the resolution of a single satellite 96 pixel burned, or burned area can be taken directly from higher level data products (Kaiser et al., 97 2012; van der Werf et al., 2017; Wiedinmyer et al., 2011). Fuel mass per unit area is often 98 derived from either a biogeochemical model initialized with satellite observations and/or from 99 labor intensive fuel databases of fuel type and loading (McKenzie et al., 2012; Pettinari & 100 Chuvieco, 2016; Sandberg et al., 2001; van der Werf et al., 2017). Fuel carbon content is often 101 assumed based on laboratory measurements from previous studies or estimated using the sum of 102 CO₂, CO, and CH₄ emission factors (Akagi et al., 2011; McMeeking et al., 2009; Santín et al., 103 2015; Susott et al., 1991; van der Werf et al., 2017; Yokelson et al., 1997). Combustion 104 completeness is calculated as a function of changes in visual landscape characteristics, reduction 105 in fuel moisture, increase in summer land surface temperature, tree cover, and/or daily fire 106 weather indices (Kaiser et al., 2012; van der Werf et al., 2017; Wiedinmyer et al., 2011). 107 The bottom-up approach requires an ecosystem-specific emission factor to convert total

107 The bottom-up approach requires an ecosystem-specific emission factor to convert total
 108 carbon mass emissions to emissions of a particular trace gas or aerosol species (Akagi et al.,
 109 2011; Andreae & Merlet, 2001). Emission factors are often attained from compilations of
 110 previous studies categorized by fuel or vegetation type and show a wide range of natural
 111 variability depending on the exact composition of fuel being burned and combustion conditions.
 112 Certain species, including many volatile organic compounds (VOCs) and aerosols, rapidly
 113 evolve in the atmosphere following emission, which necessitates emission factor estimates

114 derived only from measurements of young, fresh smoke. There are numerous particulate mass

115 (PM) emission factors published from ground and laboratory based studies, however in situ

airborne measurements of PM emission factors for Western US wildland fires are particularlyscarce (Akagi et al., 2011).

118 The top-down approach follows from Wooster et al. (2005), who showed that the burning 119 of dry vegetation yields the same amount of energy, regardless of fuel type. Top-down inventories assume fire radiative power (FRP) observations from satellite remote sensing can be 120 121 used as a direct measurement of the amount of biomass consumed in a fire in an effort to bypass 122 the latency and uncertainty associated with variables required in bottom-up style inventories 123 (Ichoku & Kaufman, 2005). In the top-down approach, FRP is multiplied by a predetermined 124 coefficient, known as a smoke emission coefficient (C_e), to calculate fire emission rates of PM. 125 Smoke emission coefficients are constants derived for individual ecosystems by combining 126 multiple years of aerosol optical depth (AOD) remote sensing observations with a mass 127 extinction efficiency (MEE), a constant that relates particle extinction to particle mass (Giglio et 128 al., 2006; Ichoku et al., 2008; Kaiser et al., 2012). Ichoku et al. (2008) demonstrated that the 129 relationship between fire radiative energy (FRE), or temporally integrated FRP, and the emission 130 rate of PM could be quantified using AOD during a controlled laboratory-based experiment. The 131 smoke emission coefficient determined from the laboratory-based experiment agrees with 132 independent estimates derived from satellite measurements of FRP and AOD measured over 133 large-scale wildfires, which leads to the assumption that this approach can be extrapolated to 134 global scale observations of FRP and AOD. 135 There are dozens of top-down and bottom-up emissions inventories available for use in

136 atmospheric transport models. These inventories encompass wide ranges of spatial and temporal 137 scales and can be used to account for hundreds of individual pollutants emitted by fires 138 (Darmenov & da Silva, 2013; Ichoku & Ellison, 2014; Kaiser et al., 2009; Mota & Wooster, 139 2018; van der Werf et al., 2017; Wiedinmyer et al., 2011). The choice of which inventory to use 140 in modeling applications is crucial, because different fire emissions inventories can profoundly 141 disagree on the magnitude, composition, and temporal variability of fire emissions, especially 142 PM (Carter et al., 2020; Larkin et al., 2014; Liu et al., 2020; Pan et al., 2020). The underlying 143 cause of the disagreement is difficult to isolate, but could be an artifact of the various 144 assumptions used in each inventory. Most global emissions inventories are plagued with high 145 levels of uncertainty stemming from the individual datasets used to calculate emissions, which 146 further complicates the ability to isolate the cause of the discrepancies among inventories 147 (French et al., 2004; Urbanski et al., 2011; Wiedinmyer et al., 2011). For example, the detection 148 and quantification of active fire locations, FRP, and AOD using satellite remote sensing suffers 149 from the obscuration of the land surface by clouds or thick smoke, limited spatiotemporal 150 coverage or resolution, and instrument detection limits.

It is fundamentally challenging to correctly quantify biomass burning emissions due to 151 152 the highly variable composition and structure of the fuels that fires consume, and because fires 153 can rapidly change their behavior in response to dynamic meteorological or environmental 154 conditions (Kennedy et al., 2020; Liu, 2004; Schultz et al., 2008). The datasets used in global fire 155 emissions inventories attempt to capture these dynamics, but they often lack the spatial and temporal resolution needed to fully encapsulate all of the individual components that influence 156 157 emissions. Intensive in situ measurements of smoke from the joint NASA/NOAA Fire Influence 158 on Regional to Global Environments and Air Quality (FIREX-AQ) campaign that was conducted 159 during the summer of 2019 provide a unique opportunity to evaluate the assumptions and 160 uncertainties in both top-down and bottom-up approaches for calculating fire emissions. During

161 FIREX-AQ, the NASA DC-8 aircraft was outfitted with a comprehensive instrument payload

that sampled smoke plumes from Western US wildland fires and Southeastern US prescribed and agricultural fires. The plume sampling strategy for the western portion of the campaign consisted of an above-plume, longitudinal run along the entire length of the plume to allow for nadirpointing remote sensing of the smoke followed by a set of plume transects perpendicular to the direction of smoke transport where the aircraft sampled the plume in situ during a series of sequentially-downwind, cross-sectional passes (Wiggins et al., 2020).

168 Measurements collected during FIREX-AQ provide the opportunity for a rare direct 169 comparison and evaluation of the traditional, lower resolution approaches to calculate fire 170 emissions at an unusually high spatial and temporal resolution. In this study, we calculate fire 171 total carbon and total PM emission rates from Western US wildland fires sampled during 172 FIREX-AQ using a novel, independent approach based on in situ smoke plume measurements. 173 Here, we integrate in situ trace gas and aerosol measurements with information on plume 174 thickness gleaned from airborne High-Spectral Resolution Lidar (HSRL) measurements to 175 calculate emission rates. Although this new approach is subject to its own uncertainties and 176 sources of error, we assume emission rate estimates derived from this approach are as close to 177 accurate as we can realistically achieve, because they are based on in situ measurements, and 178 their calculation doesn't require as many strong assumptions as the more traditional approaches. 179 We further capitalize on FIREX-AQ data to calculate fire emission rates using a high-resolution 180 top-down approach and a high-resolution bottom-up approach. The high-resolution top-down 181 approach (referred to as HSRL-GOES) uses airborne HSRL measurements of particle extinction 182 instead of satellite observations of AOD, and the high-resolution bottom-up approach (referred to 183 as Fuel2Fire) uses carbon emission estimates from the newly-developed Fuel2Fire carbon 184 emissions inventory that has been developed and optimized specifically to estimate emissions 185 from the fires sampled during FIREX-AQ. We also obtain emission rates from a traditional 186 bottom-up fire emissions inventory, Global Fire Emissions Database (GFED4.1s), and a 187 traditional top-down fire emissions inventory, Fire Energetics and Emissions Research 188 (FEERv1.0). GFED and FEER have much lower temporal and spatial resolutions (3-hr/daily, 189 0.25° and daily, 0.1° respectively) compared to the three high-resolution FIREX-AQ based 190 approaches. We evaluate the performance of GFED and FEER, along with the high-resolution 191 approaches, against the in situ measurement based approach to investigate potential bias and 192 assess the validity of the assumptions unique to each approach (Figure 1). We also investigate 193 and quantify uncertainty for all of the approaches used to calculate emission rates in this study. 194 The goal of this paper is to understand how the estimates of total carbon and PM emission rates 195 from traditional, lower resolution methods compare to the high-resolution estimates available for 196 the fires sampled during the FIREX-AO campaign. The results of this analysis should be of keen 197 interest for the global wildfire emissions inventory community as well as atmospheric scientists 198 seeking to use airborne observations to constrain wildland fire aerosol emissions.

199 2 Methods

200 2.1 Emission Rate Estimates from Global Inventories

201 **2.1.1 GFED4.1s (Low-Resolution Bottom-up)**

GFED is a global fire emissions inventory that internally calculates carbon emission rates
 using a traditional bottom-up approach as follows

 $E_{\rm C} = BA \times FL \times CC \times F_{\rm C} \tag{1}$

where E_C is the carbon mass emission rate, BA is the burned area, FL is the fuel mass loading per area, CC is the combustion completeness (expressed as a percent), and F_C is the mass fraction of carbon in the fuel (van der Werf et al., 2017). GFED obtains burned area estimates from MODIS (MCD64A1), fuel loading and combustion completeness are derived from the Carnegie-Ames-Stanford Approach (CASA) biogeochemical model, and carbon mass fraction is defined per ecosystem from compilations of previous studies (Akagi et al., 2011; Andreae & Merlet,

211 2001; van der Werf et al., 2017).

To represent a traditional bottom-up approach, we use daily average carbon emission rates per area from GFED4.1s (<u>https://www.globalfiredata.org/</u>) to calculate daily average PM emission rates (E_{PM}) for the western fires sampled during FIREX-AO as follows

215
$$E_{PM} = EF_{PM} \times \sum \frac{\hat{E}_{C} \times A_{P,GFED}}{F_{c}}$$
(2)

216 where EF_{PM} is the total particulate matter mass emission factor suggested by GFED for

- temperate forests (17.6 gPM kg-biomass consumed⁻¹), \hat{E}_C is the area-normalized daily carbon
- emissions in each GFED pixel, $A_{P,GFED}$ is the GFED pixel area (0.25° x 0.25°), and the
- summation is carried out over all GFED pixels within 0.25° of the centroid of the final United
- 220 States Geological Survey Geospatial Multi-Agency Coordination (GeoMAC) fire perimeter for
- each fire. In Equation 2, we use the F_C suggested by GFED for temperate forests (0.489 kgC kgbiomass consumed⁻¹). The use of ecosystem level constant values for EF_{PM} and F_C is intended to
- provide good results in aggregate on the regional-to-global scales required by models, although individual fires will deviate from these specifications. GFED data is provided on a daily and a 3-
- hindvidual fires will deviate from these specifications. GFED data is provided on a daily and a 5hr basis in UTC time, and here we use the daily product. We convert from UTC time to local time by assuming daily emissions (local time) are equal to 75% of the emissions from the day a given fire was sampled by the DC-8 aircraft (local time) plus 25% of the emissions from the day
- 228 after (local time).

229 We estimate relative uncertainty in E_{C} and E_{PM} estimates derived from GFED by 230 propagating uncertainty through equation 1 and equation 2. For equation 1, we assume the following relative uncertainties: BA = 44%, FL = 111%, CC = 11%, and $F_C = 10\%$. For equation 231 232 2, we assume EF_{PM} has a relative uncertainty of 36% and E_C has a relative uncertainty calculated 233 by propagating uncertainty through equation 1. We obtain the relative uncertainty in the BA 234 product used by GFED from an analysis of MODIS burned area by Giglio et al. (2018). FL and 235 CC relative uncertainty are derived by taking the standard deviation divided by the average for 236 all field measurements of Western US fuels as compiled by van Leeuwen et al. (2014) and 237 updated by van der Werf et al. (2017). F_C relative uncertainty is defined as the standard deviation 238 divided by the average in F_C values given by Akagi et al. (2011). The relative uncertainty in 239

 EF_{PM} is calculated as the standard deviation divided by the average of EF_{PM} derived from all previous studies of temperate forest EF_{PM} measurements used in GFED (Akagi et al., 2011; Andreae and Merlet, 2001; van der Werf et al. 2017). The calculated relative uncertainty in GFED E_{PM} is 126% and E_C is 120% (Supplementary Table S1).

243 2.1.2 FEERv1.0 (Low-Resolution Top-down)

FEER is a global fire emissions inventory that calculates daily average E_{PM} using a traditional top-down approach as

 $E_{\rm PM} = C_{\rm e} \times {\rm FRP}$

247 where C_e is an ecosystem-dependent predetermined smoke emission coefficient, and FRP 248 observations are from MODIS. FEER derives C_e using multiple years of coupled MODIS AOD 249 at 550nm and FRP observations and an assumed constant MEE at 550nm of 4.6 m² g⁻¹ derived 250 from previous studies (Reid et al., 2005b). Smoke emission coefficients have been predetermined 251 by FEER and are provided globally at a 1° x 1° resolution

(3)

252 (https://feer.gsfc.nasa.gov/projects/emissions/) (Ichoku & Ellison, 2014).

253 Daily average E_{PM} estimates are provided at a 0.1° x 0.1° resolution through a coupling 254 of FEER smoke emission coefficients and MODIS FRP observations (FEERv1.0-G1.2). For the

traditional top-down approach, we calculate daily average E_{PM} estimates for each of the western fires sampled during FIREX-AQ as

257 $E_{PM} = \sum \widehat{E}_{PM_{FEEP}} \times A_{P,FEER}$ (4)

where $\widehat{E}_{PM_{FEER}}$ is the area-normalized daily average E_{PM} from each FEER pixel, and $A_{P,FEER}$ is the FEER grid cell area (0.1° x 0.1°). The summation is over all FEER grid cells per fire. FEER grid cells are included if they are within 0.1° of the centroid of the final GeoMAC fire perimeter for each fire. We convert from UTC time to local time following the same approach described in section 2.1.1. We also calculate the average FEER C_e for the fires sampled during the western portion of the FIREX-AQ campaign as the average of all C_e estimates in every 1° grid cell that encompassed at least a fraction of the final GeoMAC perimeter of a fire.

We estimate relative uncertainty in FEER E_{PM} estimates by propagating uncertainty through equation 3. We calculate the relative uncertainty of C_e as the standard deviation divided by the mean of all extracted FEER C_e values used in this analysis, and we obtain the relative uncertainty of MODIS FRP from Freeborn et al. (2014). FEER C_e for Western US wildland fires has a relative uncertainty of 73% and FRP has a relative uncertainty of 27%, yielding a relative uncertainty in FEER E_{PM} of 78% (Supplementary Table S1).

271 2.2 Emission Rate Estimates from FIREX-AQ

272 **2.2.1 In Situ Measurement Approach (High-Resolution)**

We capitalize on the intensive, high spatial and temporal resolution smoke plume measurements from the DC-8 aircraft during FIREX-AQ to calculate E_C and E_{PM} via a novel in situ measurement-driven approach. We assume fire emission rates over time are equal to the flux

of smoke as it passes through a vertical slice of the smoke plume, represented as an HSRL

curtain measured during in situ transects (Figure 1). We calculate E_C and E_{PM} for each wildland fire sampled during FIREX-AQ on a sub-plume (per transect) basis as

279
$$E_{X} = \overline{WS} \times \overline{GS} \times \sum_{t_{start}}^{t_{end}} \Delta X_{t} \times H_{t} \Delta t$$
(5)

where E_x is the emission rate of species X (either carbon or PM), \overline{WS} is the transect average wind 280 speed, \overline{GS} is the transect average ground speed, ΔX_t is the excess concentration of species X 281 282 averaged over 10 second intervals to match the horizontal resolution of the HSRL data collected 283 at aircraft measurement time t, and $H_t(m)$ is the plume thickness measured by the nadir and 284 zenith pointing HSRL profiles at aircraft measurement time t (Hair et al., 2008). This approach 285 assumes that the vertical distribution of each species is uniform, and the lidar is used to find the 286 vertical extent of the plume. Excess concentrations are calculated by subtracting a background 287 concentration defined as the average concentration 5-10 seconds prior to the start of the transect 288 and 5-10 seconds after the end of the transect. In a few cases, the PM background is elevated 289 during the time interval used to define a background such that the excess mixing ratio is 290 computed as a negative value, and these cases are excluded from the analysis. The time interval 291 from t_{start} to t_{end} is equal to the length of time to complete each transect, and Δt is ~10 seconds, 292 which is the horizontal resolution of the HSRL data. H_t is calculated as the sum of HSRL profile 293 bin heights (Δz_t) where the particle backscatter coefficient (β_t) is greater than 1 km⁻¹ sr⁻¹, which 294 was larger than the average background scattering and defined the smoke plume edges for the

cases sampled.

$$H_{t} = \sum_{z=0}^{Z=\infty} \Delta z_{t} [\beta_{t} > 1 \text{ km}^{-1} \text{ sr}^{-1}]$$
(6)

297 In exceptionally dense smoke plumes, the HSRL laser light was fully attenuated before it 298 could completely pass through the smoke plume edge, and for these cases we assume the smoke 299 plume extended to the surface and neglect the missing portion of the plume above the aircraft. 300 This approach is reasonable as the aircraft tended to sample the smoke plumes near the top of the 301 atmospheric boundary layer, which places a weak upper constraint on the top of the plume just as 302 the surface places a lower constraint on boundary layer mixing processes. An alternative 303 approach to calculate plume thickness using HSRL observations leverages the ratio of the 304 backscatter coefficient in a single HSRL bin to the sum of all backscatter coefficients in a 305 vertical column. We estimate the sensitivity of plume thickness to these two approaches and 306 discover strong agreement (slope = 0.72, r = 0.83), although the alternative approach estimates 307 slightly lower plume thickness on average (Figure S1). We ultimately choose the approach to 308 calculate plume thickness as outlined in equation 6 in an effort to avoid additional uncertainty from relving more heavily on the backscatter coefficient, which may be confounded by changes 309 310 in aerosol size and/or optical properties rather than mass loading.

311 Total PM is calculated as the sum of organic aerosol (OA), sulfate, nitrate, ammonium, 312 and black carbon aerosol (BC) reported at standard temperature and pressure conditions and 313 converted to ambient volumetric units. The 50% geometric transmission diameter for the AMS is 314 approximately 600nm, which sufficiently captures the size range for the majority of biomass 315 burning derived particles, with the exception of supermicron ash particles (Adachi et al., 2021; Moore et al., 2021). All components of the submicron non-refractory total PM concentrations are 316 measured using an Aerodyne Time of Flight Aerosol Mass Spectrometer (ToF-AMS) 317 (Canagaratna et al., 2007; DeCarlo et al., 2006; Guo et al., 2021) . Refractory BC mass 318 319 concentrations are provided by a Single Particle Soot Photometer (SP2, Droplet Measurement

320 Technologies). Total carbon is calculated as the sum of CO₂, CO, CH₄, organic carbonaceous

321 aerosol (OC), and BC aerosol. OC is estimated using the OA to OC ratio provided by the ToF-

- AMS. The CO₂ mixing ratio measurements are obtained using a non-dispersive infrared (IR)
- 323 spectrometer (LICOR, Inc. Model 7000) adapted for aircraft measurements in a method similar
- to Vay et al. (2003), while CO and CH₄ mixing ratios are obtained from mid-IR laser absorption spectrometry (Sachse et al., 1991). All three trace gas species were calibrated in-flight with
- 326 standards from the National Oceanic and Atmospheric Administration Earth Science Research
- 327 Laboratories (NOAA ESRL) traceable to World Meteorological Organization (WMO) scales.
- 328 The trace gas measurements were converted from mole fractions to ambient volumetric units by
- 329 multiplying the mixing ratio by the ratio of the molecular weight to the molecular volume at
- ambient temperature and pressure conditions.
- 331 We estimate relative uncertainty in E_C and E_{PM} using equations 5 and 6. We calculate the 332 following relative uncertainties: WS = 20%, GS = 3%, H_t = 28%, ΔC = 56%, and ΔPM = 67%. 333 The relative uncertainty for each variable is assumed to be equal to the mean divided by the 334 standard deviation of observations collected during all smoke plume transects. The computed 335 relative uncertainty in E_C is 66% and the relative uncertainty in E_{PM} is 75% (Supplementary 336 Table S1).

337 2.2.2 Fuel2Fire (High-Resolution Bottom-up)

E_C estimates for all FIREX-AQ wildland fires derived using a bottom-up style approach
 are publicly available on the FIREX-AQ data archive

(<u>https://doi.org/10.5067/SUBORBITAL/FIREXAQ2019/DATA001</u>). This dataset, the Fuel2Fire
 carbon emissions inventory, is optimized and designed to estimate carbon emissions specifically

for the fires sampled during FIREX-AQ. We use Fuel2Fire E_C estimates for the high-resolution bottom-up approach to estimate E_C and E_{PM} on a per transect basis for each of the fires included

in this analysis. As a bottom-up inventory, Fuel2Fire calculates E_C in the same way as GFED,

following equation 1. The Fuel2Fire emissions inventory derives burned area using a

346 combination of active fire detections from MODIS, VIIRS, and/or Geostationary Operational

- 347 Environment Satellite Program (GOES-16 and 17 ABI L2 +). Active fire pixels from one or
- 348 more of these active fire detection products are selected to best match ground-verified
- interagency situational reports from fire management teams, as well as GeoMAC fire perimeters.
 Fuel2Fire determines fuel loading using ultra high-resolution (30 meter) fuels data from the
- Fuels Characteristics and Classification System (FCCS) and models combustion completeness as
- 352 a function of daily fire weather danger ratings. Total daily carbon emissions are temporally
- 353 distributed using a diurnal cycle of fire activity derived from geostationary satellite observations
- of FRP from GOES-16 and 17. Fuel2Fire assumes F_C is 0.5 kg kg⁻¹ (McMeeking et al., 2009;
- 355 Santín et al., 2015; Yokelson et al., 1997). The archived carbon emissions data has a native
- 356 temporal resolution that matches GOES-16 and 17 data (5 minutes) and is linearly interpolated to
- 1 Hz data for consistency with the aircraft data. E_C estimates from Fuel2Fire extend over the
- 358 course of an entire 24-hour day (local time) that a given fire was sampled during FIREX-AQ.
- 359 We convert E_C estimates from the Fuel2Fire inventory to E_{PM} as follows
- $E_{\rm PM} = \frac{E_{\rm C} \times EF_{\rm PM}}{F_{\rm C}} \tag{7}$

361 Here, we obtain E_C from the Fuel2Fire inventory, while EF_{PM} is calculated using aircraft

362 observations. We choose to calculate EF_{PM} from in situ observations as opposed to assuming

- 363 EF_{PM} from a compilation of previous studies in order to investigate the potential influence of the
- 364 choice in EF_{PM} on differences in emission rate estimates. F_C is assumed to be 0.5 kgC kg-fuel⁻¹,

365 but we note F_C can vary from 0.35-0.55 (Akagi et al., 2011; Burling et al., 2010; McMeeking et 366 al. 2009; Susott, 1996). We calculate EF_{PM} for each in situ smoke plume transect using airborne 367 measurements following the carbon mass balance approach (Ward & Radke, 1993; Yokelson et 368 al., 1996, 1999). Although the smoke age and, thus, probability of plume processing increases as 369 a function of downwind distance from the fire, we assume PM is conserved over the relatively 370 short period of time (0.5-7 hrs) that the smoke has been exposed to atmospheric aging processes 371 when it was sampled by the DC-8 and attribute any changes in mass concentration to variability 372 in fire activity (Garofalo et a., 2019; Hodshire et al., 2019). The time of emission is not the same 373 as when the DC-8 sampled the plume, so we correct for this time offset by adding smoke age to 374 the time of emission when determining the Fuel2Fire total carbon emission rates on a sub-plume, 375 per transect basis. The smoke age is calculated for each point on the DC-8 transect assuming 376 horizontal straight line advection of the smoke plume at the DC-8 measured wind speed 377 (Wiggins et al., 2020).

378 We estimate relative uncertainty in E_C and E_{PM} derived from Fuel2Fire by propagating 379 uncertainty through equation 7. The relative uncertainty in E_C is assumed to be 55%, calculated 380 by taking the average divided by the standard deviation of all computed E_C estimates for each 381 fire and every transect included in this analysis. The relative uncertainty in EF_{PM} is 39%, 382 computed as the mean of all calculated EF_{PM} for all fires divided by the standard deviation. The

relative uncertainty in E_{PM} is thus 67% (Supplementary Table S1).

384 2.2.3 HSRL-GOES (High-Resolution Top-down)

385 We use FIREX-AQ aircraft-based HSRL measurements of aerosol extinction and 386 geostationary satellite observations of FRP from GOES to calculate E_{PM} using a high-resolution 387 top-down approach, referred to as HSRL-GOES. We use the same equation that is used in FEER 388 (Equation 3) to calculate E_{PM} for the western fires sampled during FIREX-AQ on a per transect 389 basis for the high-resolution top-down approach. Instead of using MODIS FRP, we obtain FRP 390 from the GOES-16 and GOES-17 ABI L2 + Fire/hot spot Detection and Characterization product 391 from the Wildfire Automated Biomass Burning Algorithm processing system (Schmidt, 2019). GOES has an exceptionally high temporal resolution (~5-15 mins) with FRP observations that 392 393 cover the entire continental US at a spatial resolution of 2km (Schmidt, 2019). We time align 394 GOES FRP observations to match the in situ plume sampling time by adding the smoke age to 395 the FRP observation time, and we include all FRP observations within 4km (the spatial 396 resolution of GOES-16 and 17) of a given fire's final GeoMAC perimeter centroid. FRP per 397 transect is calculated as the sum of all instantaneous FRP observations for a given fire averaged 398 over the in situ plume sampling time for a given transect. The smoke emission coefficient is also 399 calculated for each fire on a per transect basis as follows:

400

401

$$C_{e} = \frac{\overline{WS} \times \overline{GS}}{\overline{MEE} \times \overline{FRP}_{f}} \times \sum_{t_{start}}^{t_{end}} \Delta AOT_{t} \Delta t$$
(8)

402

403 where $\overline{FRP_f}$ is the time-aligned, transect-average GOES FRP, \overline{MEE} is the transect average MEE

404 calculated from in situ measurements as described below, and ΔAOT is aerosol optical thickness

405 derived from vertically integrating the background-subtracted 532nm HSRL particle extinction 406 coefficient ($\Delta \alpha$) as described by

407

408 $\Delta AOT_t = \int \Delta \alpha_t \Delta z \tag{9}$

409

410 HSRL is not able to collect measurements immediately above and below the aircraft. We linearly 411 interpolated through the 60m aircraft gap in the HSRL curtains to account for the missing data. 412 Background extinction is defined as the average HSRL extinction profile 10 sec before and after 413 the smoke plume transect. In cases where the laser light fully attenuated before it reached the 414 bottom of the plume, we assume the plume extended to the surface and extrapolate extinction to 415 the ground using the closest measurement to the surface. In limited cases where the beam is 416 completed attenuated in the zenith direction, we integrate over the measured range but do not add 417 any correction as this is expected to be a relatively small contribution as the aircraft was typically 418 flying near the top of the atmospheric boundary layer near plume top. We use the high-resolution 419 in situ measurements from the DC-8 to calculate MEE; however, we note that most top-down inventories (such as FEER) assume a constant MEE of 4.6 m² g⁻¹ derived from previous studies. 420 421 We calculate transect average MEE as the slope of an reduced major axis regression with a 422 forced zero intercept between total PM and the dry aerosol extinction coefficient at 532nm for 423 each transect. The extinction coefficient is calculated as the sum of dry scattering and absorption 424 coefficients measured by a TSI-3563 Nephelometer at 550nm and a 3-wavelength Particle Soot 425 Absorption Photometer at 532nm (PSAP, Radiance Research) respectively. Scattering 426 coefficients are converted to 532nm to match the absorption coefficients using the angstrom 427 exponent as calculated by the blue and green channels from the nephelometer. Scattering 428 coefficients are corrected for truncation errors following Anderson and Ogren (1998), and PSAP 429 absorption data are corrected following Virkkula et al. (2010). The aerosol extinction 430 humidification factor, f(RH) is assumed to be unity, which is consistent with the FIREX-AQ in-431 plume measurements. 432 We estimate the uncertainty in HSRL-GOES E_{PM} by propagating uncertainty through

433 equation 3, where the relative uncertainty in FISRE-GOES EPM by propagating uncertainty unough
434 equation 3, where the relative uncertainty in Ce derived following equation 8 is calculated as the
435 mean Ce from all fires divided by the standard deviation (67%), and the relative uncertainty in
436 FRP is assumed to be 40% (Li et al., 2020). The relative uncertainty in HSRL-GOES EPM is thus
436 77% (Supplementary Table S1).

437 **2.3 Comparison of Approaches**

438 We summarize the approaches and relevant equations used in this study to calculate $E_{\rm C}$ 439 and E_{PM} in Table 1. We evaluate emission rate estimates between the high-resolution bottom-up 440 (Fuel2Fire) and top-down (HSRL-GOES) based approaches against the in situ approach on a per 441 transect basis for individual wildland fires sampled during FIREX-AQ. The relationship between 442 the different approaches is quantified using the slope of a reduced major axis regression with a 443 forced zero intercept, a Pearson's correlation coefficient, and root mean square error (RMSE). 444 These calculations are performed as a campaign level summary that includes all transects and all 445 fires and for each fire individually. 446 We compare daily (24 hour local time) average E_{PM} estimates from the three high

446 We compare daily (24 hour local time) average E_{PM} estimates from the three high 447 resolution approaches (In Situ, Fuel2Fire, and HSRL-GOES) to daily average estimates derived 448 from lower resolution global fire emissions inventories (GFED and FEER). These comparisons 449 are performed on daily average emission rate estimates, as opposed to estimates on a per transect

are performed on daily average emission rate estimates, as opposed to estimates on a per transect
 basis, because of the lower temporal resolutions of GFED (3 hr/daily) and FEER (daily).

451 **2.4 Smoke Emission Coefficients**

452 The high spatial and temporal resolution of the in situ, bottom-up (Fuel2Fire), and top-453 down (HSRL-GOES) based approaches provide the opportunity to evaluate smoke emission coefficients that are usually derived using many years of data. Smoke emission coefficients for 454 455 PM are calculated as the slope of a reduced major axis regression with a forced zero intercept 456 between GOES FRP time aligned to the transect sampling time versus E_{PM} for each of the three 457 high resolution approaches. These computations are also executed as a campaign level summary 458 and for each fire individually. We compare our Ce from the high resolution approaches to the 459 average FEER C_e for the western fires sampled during FIREX-AQ.

460 **3 Results and Discussion**

461 **3.1 Total Carbon Emission Rates**

462 The derivation of the variables used to calculate E_C using bottom-up approaches are 463 based on assumptions that can lead to both under and overestimation, depending on the data 464 products leveraged by a given fire emissions inventory. We uncover a significant relationship 465 between E_C per transect derived from the high-resolution bottom-up approach (Fuel2Fire) and 466 the in situ approach as shown in Figure 2 (slope = 1.00, r = 0.82). However, there is also a non-467 trivial level of scatter in this relationship (RMSE = 67%), and individual fires considered 468 separately have different correlations and regression slopes.

469 From Figure 3, we similarly find strong, linear correlations between daily fire average E_C 470 from the in situ measurement based estimates and Fuel2Fire (slope = 1.09, r = 0.92, RMSE = 61%) and GFED (slope = 0.20, r = 0.87, RMSE = 132%). The daily average E_C estimated using 471 472 Fuel2Fire are marginally higher than estimates derived from the in situ approach, while the 473 GFED estimates are 80% lower. The strong correlation, but significant offset between GFED and 474 the in situ measurement based approach implies that there may be a systematic bias in one or more of the variables used to calculate the mass of biomass consumed in some traditional 475 476 bottom-up inventories. In this section, we examine the assumptions and uncertainty in individual 477 variables used to calculate E_C using a bottom-up approach in an effort to understand the 478 differences in E_C estimates derived from Fuel2Fire and GFED relative to the in situ approach.

479 **3.1.1 Carbon Mass Balance**

480 The key assumption in a bottom-up approach is that all burnt carbon is volatilized and 481 released into the atmosphere. This carbon mass balance assumption has recently been 482 scrutinized, because not all fuel that has been thermally altered by a fire is emitted to the 483 atmosphere (Santín et al., 2015; Surawski et al., 2016). Some of the burnt fuel remains on the 484 ground as charred biomass. If the carbon mass balance assumption does not hold, then this could 485 potentially cause an overestimation of carbon emissions derived from bottom-up approaches by 486 up to 50% in temperate forests, depending on levels of combustion completeness (Santín et al., 487 2015). Our results do not show significant evidence of bias in E_C estimates from Fuel2Fire, but 488 do show a distinct low bias in estimates from GFED. This suggests there are underlying 489 confounding factors to disentangle before it is possible to determine if the assumptions inherent

- 490 in the carbon mass balance approach are responsible for a significant bias in bottom-up
- 491 inventories.

3.1.2 Burned Area

493 The two methods for calculating burned area using a bottom-up approach operate under 494 specific assumptions that could cause either an over or under estimation of carbon emissions. 495 The active fire based method has the potential to overestimate burned area, because it assumes 496 all the area within the resolution of a single active fire detection is burned. Conversely, the 497 burned area based method using MODIS burned area data products (MCD65A1) has been shown 498 to underestimate burned area, because of high omission error in grid cells with smaller 499 proportions of burned area (Boschetti et al., 2019). A recent validation study that compares 500-500 meter resolution MODIS burned area products (MCD64A1) against 30-meter resolution Landsat 501 data found MODIS underestimated global burned area by 54% (Boschetti et al., 2019). However, 502 MODIS burned area products also have a non-trivial level of uncertainty, approximately 44% 503 (Giglio et al., 2018).

504 Fuel2Fire, calculates burned area using the active fire approach, while GFED uses 505 MODIS burned area data products. GFED4.1s attempts to address the known small fire driven 506 burned area underestimation from MODIS using a supplementary algorithm known as the small 507 fire boost (Randerson et al., 2012; van der Werf et al., 2017). We compare the GFED and 508 Fuel2Fire burned area estimates in Figure S2, which are in good agreement for the western fires 509 sampled during FIREX-AQ (slope = 0.97, r = 0.93). This indicates that the differences in the two 510 approaches to calculate burned area are not responsible for the low bias we see in GFED 511 emission rate estimates.

512

3.1.3 Combustion Completeness

513 All state-of-the-art approaches to calculate combustion completeness rely on daily or 514 monthly average observations, and therefore cannot accurately estimate the pronounced sub-515 daily changes in combustion completeness that occur throughout the diurnal cycle of fire 516 activity. Instead, these methods assume combustion completeness can be estimated using 517 observations averaged over large areas. Combustion completeness in the Fuel2Fire inventory is 518 based on daily fire weather indices, where higher levels of fire danger equate to higher 519 consumption rates, while GFED relies on a biogeochemical model with a monthly time step to 520 estimate combustion completeness.

521 We expect GFED combustion completeness to be overestimated on days with low fire 522 activity and underestimated on days with high fire activity as a result of the monthly averaging 523 scheme, however that is not the observed trend (Figure 3). Instead, GFED underestimates E_C for 524 almost every fire included in this analysis. It is therefore unlikely that differences in the 525 approaches to calculate combustion completeness strongly contribute to the systematic low bias 526 found in GFED E_C estimates.

527 **3.1.4 Fuel Loading**

The complexity and variability of fuel type (or land cover) and loading are difficult to accurately represent and validate. Labor intensive high spatial resolution fuel databases, such as the FCCS database used in the Fuel2Fire inventory, are derived from a compilation of previous remote sensing studies, government databases, photos, in situ measurements, and expert opinion (Ottmar et al., 2007). The spatial resolution of FCCS is 30m; however, this resolution is achieved through the extrapolation of field-based measurements to ecosystem scales, which relies on a

- number of strong assumptions that infer the distribution and composition of fuels from the same,
- similarly-aged, spectrally-similar ecosystems are roughly spatially constant. Fuels are constantly
- 536 changing in response to seasonal, environmental, and anthropogenic forcing, but the laborious
- effort required to develop fuel databases severely restricts the rate at which they can be updated.As a result, fuel bed databases can remain unchanged and out of date for a number of years
- before updates are implemented. This delay can exacerbate the uncertainty and error in fuel
- 540 loading estimates. Model based estimates of fuel loading that rely on remote sensing
- 541 observations of surface characteristics, like those used in GFED, are similarly challenged by the
- 542 limited number of field measurements available to validate estimates. Potential bias stemming 543 from fuel loading estimates can be negative or positive, depending on the accuracy of initial 544 estimates and if the database or model correctly implements changes in fuel loading following 545
- 545 ecosystem disturbance mechanisms including fire.
- 546 We discover an exceptional uncertainty in GFED fuel loading (111%), however the 547 uncertainty in the FCCS fuels database used by Fuel2Fire is estimated to be much lower (\sim 70%) 548 (Keane et al., 2013) (Supplementary Table S1). Combined with the lack of evidence that burned 549 area or combustion completeness significantly contribute to GFED emission estimate bias, this 550 implies the differences in fuel loading estimates from the model used in GFED versus the high-551 resolution fuels database (FCCS) used in Fuel2Fire is the most likely culprit for the persistent 552 underestimation of GFED E_C estimates from Western US wildland fires. The agreement we see 553 in E_C estimates from Fuel2Fire versus the in situ based approach provides confidence for the use 554 of high resolution fuels databases such as FCCS (Figure 2).
- 555 Previous studies aimed at quantifying uncertainty in the parameters used by bottom-up 556 inventories to calculate emissions have also identified fuel loading as a major source of 557 uncertainty (French et al., 2004; Urbanski et al., 2011; Prichard et al., 2019). Furthermore, fuel 558 loading uncertainty likely fluctuates considerably as a function of vegetation type, due to scarce 559 field validation studies for certain ecosystems and/or mapping errors. Our results highlight the
- 560 need for additional field validation studies to constrain fuel loading estimates.
- 561 3.2 Total PM Emission Rates
- 562 We find the strong relationship between Fuel2Fire and the in situ based method persists 563 for E_{PM} at a sub-plume scale, albeit with a similarly high level of scatter as shown in Figure 4a 564 (slope = 0.90, r = 0.77, RMSE = 61%). We derive EF_{PM} from the in situ FIREX-AQ 565 measurements on a per transect basis in order to minimize the potential influence of emission 566 factor uncertainty in Fuel2Fire E_{PM} estimates. The high level of spread in the data is likely an 567 artifact of the uncertainty in E_C from Fuel2Fire caused by the biases and sources of uncertainty 568 discussed in Section 3.1, most notably the impacts of fuel loading uncertainties. Additionally, 569 this comparison is based on the assumption that the transport of fire emissions from the ground 570 to the in-situ transect is accurately modeled in both space and time.
- Figure 4b shows a significant relationship between E_{PM} calculated using HSRL-GOES and the in situ approach at a sub-plume scale (slope = 1.04, r = 0.82, RMSE = 48%). While there is a marginally lower level of scatter in this relationship as shown by the RMSE, HSRL-GOES slightly overestimates E_{PM} on the lower end of the scale compared to the in situ approach. This overestimate implies from Equation 3 that either or both the GOES FRP and C_e for these fire transects are biased high, where it follows from Equation 12 that the latter may be influenced by a low estimate of the smoke MEE or a high estimate of the optical thickness. A high optical

- 578 thickness bias might be due to the extrapolation of HSRL extinction to the surface for cases 579 when the laser light fully attenuates; although, we note the bias is most significant for the lower 580 emission rates, which might discount this hypothesis.
- 581 We find strong correlations between daily average E_{PM} estimates from the in situ approach versus estimates from both of the high-resolution approaches, Fuel2Fire (slope = 1.04, 582 r = 0.93, RMSE = 39%) and HSRL-GOES (slope = 1.18, r = 0.89, RMSE = 47%) (Figure 5). The 583 584 correlation is weaker and the spread is larger between the in situ based estimates and estimates 585 from the lower-resolution global inventories, GFED and FEER. The systematic low bias seen in 586 GFED daily average E_C estimates is also seen for daily average E_{PM} for all but the smallest fires 587 (slope = 0.21, r = 0.85, RMSE = 104%). FEER slightly underestimates E_{PM} from larger fires that 588 emit relatively more PM and overestimates E_{PM} from smaller fires that emit relatively less PM 589 (slope = 1.38, r = 0.64, RMSE = 55%). FEER provides no E_{PM} estimates for the Castle fire on 590 both days of sampling, and we exclude these zero estimates from this fire when computing the 591 linear regression and correlation coefficient given their disproportionate weight in skewing the 592 regression.
- Global fire emissions inventories are known to significantly differ on E_{PM} estimates from temperate fires, especially in North America (Nikonovas et al., 2017; Pan et al., 2020). In the following sections we use the high resolution airborne in situ measurements of smoke plumes collected during FIREX-AQ to isolate the assumptions and variables responsible for the discrepancy and quantify their relative contributions.

3.2.1 Emission Factors

599 Emission factors are used in bottom-up approaches to convert carbon emissions to 600 emissions of a specific trace gas or particulate species, and emission factor estimates usually 601 come from compilation studies that include in situ measurements from wildland fires and 602 laboratory experiments (Akagi et al., 2011; Andreae, 2019; Andreae & Merlet, 2001; May et al., 603 2014). The use of such emission factors relies on the assumption that the most representative 604 value can be approximated as the mean of all previous studies. In reality, emission factors are 605 dynamic and vary as a function of combustion completeness, which can fluctuate both spatially and temporally for a given fire. Laboratory studies struggle to represent the complexity of a 606 607 wildland fire and can disagree with in situ measurements, while in situ measurements are subject 608 to sampling bias (Hodshire et al., 2019; Yokelson et al., 2013). For example, airborne based 609 measurements tend to be limited to daytime sampling of well-developed plumes that have risen 610 to an altitude that is accessible by the aircraft. Consequently, these measurements may be biased 611 towards flaming combustion because nighttime and/or smoldering emissions resulting from less 612 energetic fire activity are not being sampled (Burling et al., 2011; Prichard et al., 2020; Wiggins 613 et al., 2021). The suggested EF_{PM} for temperate forests from GFED is 17.6 g kg⁻¹, and the mean EF_{PM} we calculated using FIREX-AQ in situ airborne measurements is 15.8 ± 4.3 g kg⁻¹, which 614 615 is well within range of the suggested EF_{PM} from GFED. Our results suggest EF_{PM} does not 616 strongly contribute to bias in bottom-up emission rate estimates from Fuel2Fire or GFED for the 617 fires sampled during FIREX-AQ. However, we acknowledge this analysis focused exclusively 618 on fires with well-developed plumes that were sampled during the daytime, and thus may not be

619 subject to EF_{PM} discrepancies that can occur as a result of under sampled smoldering

- 620 combustion.
- 621

3.2.2 Smoke Emission Coefficient (Ce)

622 Smoke emission coefficients used by top-down inventories to convert FRP to E_{PM} are 623 typically derived using multiple years of AOD and FRP observations, but here we use high 624 resolution measurements from FIREX-AQ to calculate Ce over a limited duration for a small 625 number of fires. We find strong to moderate linear relationships between GOES FRP 626 observations and the calculated emission rates from the high resolution in situ approach ($C_e = 5.0$ gPM MW⁻¹, r = 0.75, RMSE = 165%), Fuel2Fire (C_e = 8.2 gPM MW⁻¹, r = 0.94, RMSE = 46%), 627 628 and HSRL-GOES ($C_e = 8.4$ gPM MW⁻¹, r = 0.72, RMSE = 75%) (Figure 6). Individual fires have significantly different Ce, and vary depending on which approach was used to calculate E_{PM} 629 630 (Table 3), which highlights the sensitivity and natural variability of this parameter. All three of 631 the high resolution approaches estimate a lower Ce for the set of Western US wildland fires 632 included in this study compared to the estimated Ce from FEER (10.6 gPM MW⁻¹). However, the 633 calculated Ce are within the large uncertainty (50%) of the Ce for western US fires derived from 634 FEER, and the RMSE is substantial for the in situ approach and HSRL-GOES.

635 Fuel2Fire temporally distributes emissions using the diurnal cycle of GOES FRP 636 observations, which explains the exceptionally strong linearity and correlation between GOES 637 FRP and E_{PM} estimates in Figure 6b. HSRL-GOES E_{PM} estimates shown in Figure 6c continue to 638 have a slight high bias on the lower end of the scale. We find a high bias in E_{PM} for the Castle 639 fire in all three high resolution approaches compared to what would be expected based on the 640 overall campaign level relationship between FRP and emission rates (Figure 6). The Castle fire 641 had the lowest average excess PM concentrations per transect out of all the fires included in this 642 analysis. The elevated emission rates from all three approaches indicate GOES likely missed 643 some of the FRP, likely due to low temperature smoldering or cloud cover, which is consistent 644 with the low fire severity measured in post-burn satellite data.

645 FEER uses MODIS FRP observations to calculate Ce, but we use GOES FRP. There 646 could be a potential offset between FRP observations between MODIS and GOES as a result of differences in instrument resolution and saturation levels as well as overpass time effects (Li et 647 648 al., 2019; Xu et al., 2021). The coarse spatial resolution of GOES (2km) limits its capability to 649 detect cool or small fires with low FRP, and could result in an underestimation of FRP by up to 650 50% globally (Freeborn et al., 2008), which would explain the difference in Ce estimated using 651 the high resolution approaches versus FEER. We use GOES FRP because of the exceptionally 652 high time resolution (5-15 mins) over the continental US versus the twice daily temporal 653 resolution of MODIS or VIIRS. This allows for a more direct comparison between in situ measurements and remote sensing observations. 654

655 Previous studies have suggested E_{PM} and thus C_e calculated using MODIS AOD may be 656 systematically biased low, because of a discrepancy between observed AOD from MODIS 657 versus AERONET and MISR (Pan et al., 2020). However, we find agreement between E_{PM} 658 calculated independently of MODIS AOD and E_{PM} estimated from FEER, which relies on 659 MODIS AOD observations. The results suggest MODIS AOD observations can be used to

accurately represent atmospheric aerosol mass loading of particulates emitted by Western US

- 661 wildland fires.
- 662

3.2.2.1 Mass Extinction Efficiency (MEE)

663 The conversion of FRP to PM assumes that variability in particle extinction, and thus 664 AOD, is driven by changes in aerosol mass concentration rather than aerosol intensive 665 properties. Estimates of particle mass extinction efficiency (MEE) are essential to the conversion of AOD to total PM. However, aerosol extinction and other optical properties depend on particle 666 667 size, morphology, and chemical composition (Seinfeld & Pandis, 2006). The characteristics of 668 biomass burning aerosols are known to vary with fuel type and combustion completeness 669 (McClure et al., 2020; Reid et al., 2005a; Reid et al., 2005b). Furthermore, the physical and 670 optical properties of smoke aerosols rapidly evolve following emission as a result of 671 photochemical aging and aerosol microphysical processes (Akagi et al., 2012; Cappa et al., 2020; 672 Garofalo et al., 2019; Hodshire et al., 2019; May et al., 2014; Shingler et al., 2016). Particle 673 evolution via these processes is additionally influenced by external factors, such as the fire size, rate of dilution, and background aerosol concentrations (Hodshire et al., 2019). The assumption 674 675 that variability in AOD is entirely due to changes in particle concentration oversimplifies the 676 complex interactions of smoke particle microphysical processes and photochemical aging. Some top-down inventories attempt to reconcile this discrepancy by calculating a separate C_e for each 677 678 individual ecosystem. However, this is likely not sufficient to fully address the variability in 679 smoke aerosol extinction that often occurs even in smoke plumes from fires within a single 680 ecosystem type.

Top-down inventories, including FEER, usually assume a constant MEE of 4.6 m² g⁻¹ 681 based on a compilation of previous studies (Ichoku & Kaufman, 2005; Reid et al., 2005b). The 682 compilation found MEE varied between $3.4 - 5.1 \text{ m}^2 \text{ g}^{-1}$ for biomass burning particles of all ages 683 684 across a diverse set of ecosystems (Figure S3) (Reid et al., 2005b). We find MEE values vary 685 between 2 - 6 m² g⁻¹ for the FIREX-AQ smoke plumes and that the MEE increases asymptotically as a function of smoke age (Figure 7). Our observations indicate MEE approaches 686 687 the mean from previous studies as the smoke rapidly evolves in the early hours after emission. 688 The rate at which MEE increases with smoke age is variable among the fires included in this 689 analysis and does not appear to depend on the plume PM concentration. Our range of MEEs for 690 smoke plumes from Western US wildland fires using high-resolution in situ measurements is 691 larger than what has been observed in previous studies. Our results emphasize the variability that 692 can occur in smoke MEE, and suggest that the top-down approach is likely more sensitive to 693 MEE than previous studies imply. The use of a constant MEE could lead to a high bias for fires 694 with lower excess PM concentrations and a low bias for fires with higher excess PM 695 concentrations, which would explain the trend we see in Figure 5 where FEER underestimates 696 fire E_{PM} from the most actively burning fires and overestimates E_{PM} from smaller, weaker fires.

697

3.2.2.2 Instantaneous Observations of FRP and AOD

FEER uses daytime MODIS FRP and AOD observations to derive C_e and assumes that the FRP at the time of observation is directly related to the smoke plume AOD. However, fires have a clear, ecosystem dependent diurnal cycle with the time of peak fire activity depending on the specific landcover, geographic location, elevation, slope, aspect, and type of fire (e.g., wildland, prescribed, crown, surface). FRP observations represent the instantaneous fuel consumption and corresponding emissions of a given fire, but AOD observations represent the

704 total mass of aerosols emitted by a fire, including the time period when the fire was active before 705 the satellite overpass time. The variability in FRP that occurs over the course of a day has a clear 706 impact on the total mass of smoke particles in the plume as a function of downwind distance 707 from the fire and wind speed, but polar orbiters, like MODIS do not have the temporal resolution 708 to quantify this relationship. As a result, C_e derived using FRP and AOD observed after the peak 709 in diurnal fire activity are likely overestimated, while Ce derived using FRP and AOD observed 710 prior to the peak may be slightly underestimated. The exact nature and magnitude of the potential 711 bias would depend on a specific fire's diurnal cycle and the age of the smoke captured in the 712 satellite observations of AOD. With respect to the calculation of E_{PM} using a predetermined C_e, 713 the time offset between MODIS overpass times and peak diurnal fire activity could similarly 714 cause a bias. E_{PM} could potentially be biased high or low if the satellite overpass time occurred 715 either before or after the peak in diurnal fire activity, and if the observed FRP was higher or 716 lower than the daily average FRP.

717 A recent study by Mota and Wooster (2018) demonstrated fire emission rates can be 718 calculated at a high temporal and spatial resolution (hourly and 0.05° x 0.05°, respectively) using 719 a top-down approach that relies on geostationary satellite observations of FRP from the Spinning 720 Enhanced Visible and InfraRed Imager (SEVIRI) to avoid bias caused by inadequate sampling of 721 a fire's diurnal cycle. We compare geostationary satellite observations of FRP from GOES that 722 match the overpass times of MODIS with the average of all FRP observations over the course of 723 a day for each fire to investigate potential bias in E_{PM} estimates from FEER. The Western US 724 wildland fires sampled during FIREX-AQ exhibited peak fire activity from 3-6 pm local time 725 (Pacific daylight time, UTC-7) (Wiggins et al., 2020). Meanwhile, local MODIS overpass times 726 are ~10:30am for the Terra satellite and ~1:20pm for Aqua. We find average GOES FRP at the 727 time of the MODIS overpasses is double the daily average FRP from GOES (Figure S4), which 728 could be partially responsible for the overestimation in FEER E_{PM} estimates for smaller fires that 729 we see in Figure 5.

730 4 Summary and Conclusions

731 We present a comprehensive evaluation of total carbon and aerosol emission rate estimates computed using the methodologies and assumptions that are commonly employed by 732 733 global inventories used by models. These emissions inventories have the monumental task of 734 capturing the composition, magnitude, and temporal variability of fire emissions from nearly 735 every ecosystem on Earth. They are critical for the representation of wildland fires in large scale 736 models, and only recently have sufficiently comprehensive observational datasets become 737 available to evaluate their performance. One such study is the joint NASA/NOAA FIREX-AQ 738 airborne mission that took place in 2019. Here, we extend the methods and assumptions 739 employed by emissions inventories to develop state-of-the-art, high-resolution emission rate 740 estimates for each of the western FIREX-AQ fires, which are based on detailed information 741 garnered from ground, airborne, and satellite assets.

We discover excellent agreement between the high-resolution emission rate estimates calculated using integrated airborne in situ and lidar observations and the high-resolution topdown (HSRL-GOES) and bottom-up (Fuel2Fire) estimates at unusually high sub-plume spatiotemporal resolution. While there is considerable scatter in the one-to-one plots comparing Fuel2Fire to the airborne in situ data, the emissions rate estimates for both total carbon and PM are not consistently biased between these methodological approaches. HSRL-GOES appears to slightly overestimate E_{PM} toward the lower end of the observed range of variability (which appears to also scale with FRP). Emission rate estimates calculated using the lower resolution
 global fire emissions inventories, FEER and GFED, have a weaker relationship with the high resolution approaches and show evidence of systematic bias, which is most apparent for GFED.

752 We discuss, in detail, the key assumptions employed by bottom-up approaches and 753 conclude that the strong performance of the Fuel2Fire inventory stems from detailed information 754 about fuel type and loading that are parameterized with significant uncertainty in the global 755 inventories. In addition, we note that the high-temporal resolution of the Fuel2Fire dataset also 756 allows it to capture the entire diurnal cycle of the fire activity, which also serves to improve its 757 predictive skill. This hints that the high temporal resolution of geostationary satellite 758 observations of FRP could be used to correct the bias caused by satellite overpass times. With 759 respect to top-down approaches, we find a larger range in MEE for this small subset of Western 760 US fires than what has been reported in a compilation of previous studies that includes MEE 761 from fires in a diverse selection of global ecosystems. The high resolution top-down approach 762 (HSRL-GOES) allowed for the application of variable MEE obtained from sub-plume in situ 763 measurements. HSRL extinction measurements of the smoke plumes sampled during FIREX-AQ 764 combined with geostationary satellite observations of FRP offered an exceptionally detailed 765 measure of AOD and FRP associated with the smoke plume. The use of a constant MEE to convert AOD to PM in top-down approaches combined with bias from assumptions related to 766 767 instantaneous observations of FRP and AOD are likely responsible for the underestimation in 768 FEER E_{PM} for larger fires and overestimation for smaller fires.

769 Finally, it's important to note that it is not yet computationally practical or feasible for 770 global fire emissions inventories to achieve the level of complexity and detail in the high-771 resolution approaches presented here. We use these approaches to investigate discrepancies 772 between top-down and bottom-up E_{PM} estimates for Western US wildland fires. However, this 773 collection of fires represents only a small subset of the total number of fires that burn every year 774 in the Western US and may not be a perfect representation of the complexity that can exist in fire 775 emissions. In short, we have the luxury of evaluating the skill of the global emissions inventories 776 for a small subset of wildland fires for which we have unprecedentedly comprehensive data, but 777 we would be wise to remember that the goal of global emissions inventories is to represent all 778 fires reasonably well rather than to represent a few fires perfectly. Consequently, it may be 779 premature to adopt new values for, e.g., the smoke emission coefficient based solely on the 780 FIREX-AQ dataset. Our analysis does emphasize areas of large uncertainty, however, that may 781 be improved. One is the estimate of fuel type and loading that likely contributes to the scatter we 782 see in the bottom-up emission rate estimates from GFED and Fuel2Fire. Burned area and aerosol 783 mass emission factors do not appear to be large sources of uncertainty as there is good agreement 784 seen for both GFED and Fuel2Fire for both of these metrics. The importance of the high-785 temporal resolution observations of both FRP and smoke AOD afforded by the geostationary 786 satellites currently in orbit cannot be overstated, as a lack of complete orbital coverage is also 787 likely to be a strong contributor to the inventory emissions underestimates. The use of a constant 788 MEE to convert AOD to PM should be revisited in light of the much higher variability we find in 789 MEE observations for such a limited number of fires, which accentuates the need for additional 790 measurements of this key variable. In summary, both top-down and bottom-up global fire 791 emissions inventories suffer from assumptions that may hold true in the aggregate, but break 792 down on an individual fire basis. The strong agreement that we show here between the high-793 resolution approaches holds promise for future fire emissions inventories as advances in remote

sensing, improved computational efficiency, and a more complete understanding of fire behavior

begin to offer opportunities to increase the accuracy and resolution of global fire inventories.

796 Appendix: List of Variables and Common Units

Note: units are included here only as examples and do not consider any unit conversions that
may be necessary for the equations given in the text.

799	α_{t}	HSRL extinction coefficient	km ⁻¹
800	A _{P,FEER}	FEER pixel area	km ²
801	A _{P,GFED}	GFED pixel area	km ²
802	AOD	Aerosol optical depth	unitless
803	β	HSRL backscatter ratio	km ⁻¹ sr ⁻¹
804	BA	Burned area	m^2
805	CC	Combustion completeness	%
806	Ce	Smoke emission coefficient	gPM MW ⁻¹
807	ΔC	Excess mass concentration of C	μgC m ⁻³
808	ΔPM	Excess mass concentration of PM	μgPM m ⁻³
809	Δt	HSRL curtain pixel width	S
810	Δz	HSRL curtain pixel height	m
811	Ec	Emission rate of total carbon	kgC s ⁻¹
812	Êc	Area-normalized emission rate of total carbon	kgC m ⁻² s ⁻¹
813	Epm	Emission rate of total PM	kgPM s ⁻¹
814	\widehat{E}_{PM}	Area-normalized emission rate of total PM	kgPM m ⁻² s ⁻¹
815	EF_{PM}	Particle mass emissions factor	gPM kg biomass consumed ⁻¹
816	F_{C}	Mass fraction of carbon in the fuel	gC kg biomass consumed ⁻¹
817	FL	Fuel loading	g biomass m ⁻²
818	FRP	Fire radiative power	MW
819	Н	Plume vertical thickness	m
820	MEE	Particle mass extinction efficiency	$m^2 g^{-1}$
821	MEE	Aircraft transect-average MEE	$m^2 g^{-1}$
822	PM	Particle mass concentration	μgPM m ⁻³
823	GS	Transect-average DC-8 aircraft ground speed	m s ⁻¹
824	WS	Aircraft transect-average wind speed	m s ⁻¹

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- 830 https://doi.org/10.5067/SUBORBITAL/FIREXAQ2019/DATA001 MS, HG, PCJ and JLJ
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- 835 **Conflict of Interest**

836 The authors declare no conflicts of interest or competing interests.

837 Author Contributions

- 838 EBW formal analysis and investigation. EBW and RHM conceptualization and writing original
- draft. EBW, PCJ, GC, JPD, GSD, MF, EG, HG, HWH, HSH, CI, JLJ., JMK, JBN, AEP, CER,
- 840 KJS, MS, JPS, TJS, MAS, AS, ELW, and RHM data curation. JC and CW project
- 841 administration. All co-authors writing reviewing and editing.

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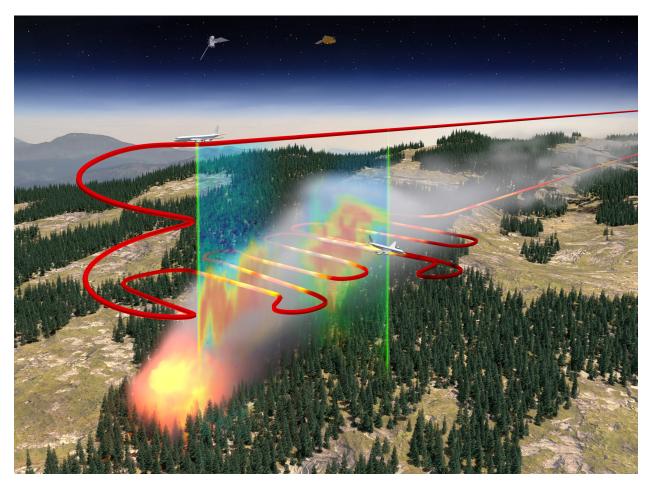
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1190 Figures and Tables

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1192 1193

Figure 1. Conceptual image of a typical wildland fire and smoke plume observed during FIREX-AQ as well as the observational platforms and analysis approaches. The DC-8 flight track is given in red and colored by in situ particle concentrations for the cross-sectional legs. As described in the text, the DC-8 initially completes a longitudinal run where the nadir HSRL measurement provides the full smoke curtain below the aircraft, which is then followed by a series of successively downwind flight legs where the nadir- and zenith-pointing HSRL curtains

- 1200 are used to contextualize the cross-sectional, in situ measurements. Image credit: NASA / Tim
- 1201 Marvel.

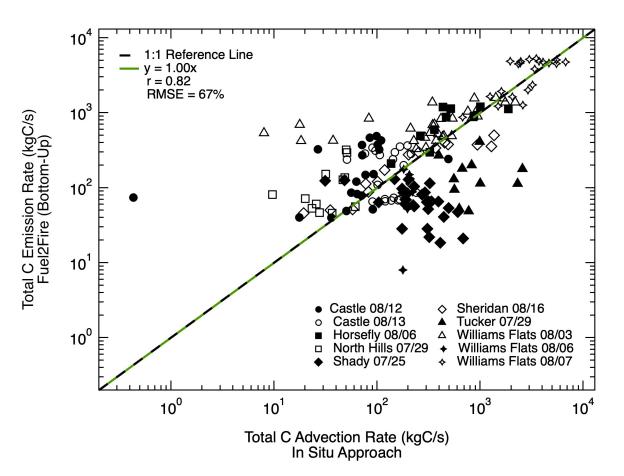
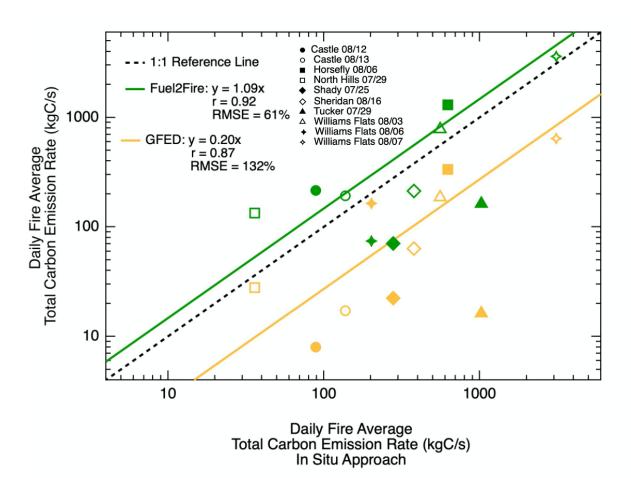


Figure 2. Relationship between total carbon emission rates (E_C) from the high resolution bottomup approach, Fuel2Fire, and the in situ approach. Different markers correspond to specific fires and repeated markers correspond to different transects of the same fire. The green line shows the fit between E_C using a reduced major axis regression with a forced zero intercept. The dashed black line shows a perfect 1:1 relationship for reference. The slope for the linear fit, Pearson's

1208 correlation coefficient (r), and root mean square error (RMSE) is given in the legend.

1209



1211 **Figure 3.** Relationship between daily fire average total carbon emission rates (E_C) from

1212 Fuel2Fire and GFED versus the in situ measurement based approach. Different markers

1213 correspond to specific fires. The green line shows the fit between Fuel2Fire E_C estimates versus 1214 the in situ approach using a reduced major axis regression with a forced zero intercept. The

1214 the in situ approach using a reduced major axis regression with a forced zero intercept. The 1215 yellow line shows the fit between GFED E_C estimates versus the in situ approach. The dashed

1216 black line shows a perfect 1:1 relationship for reference. The slope for the linear fit, Pearson's

1217 correlation coefficient (r), and root mean square error (RMSE) is given in the legend.

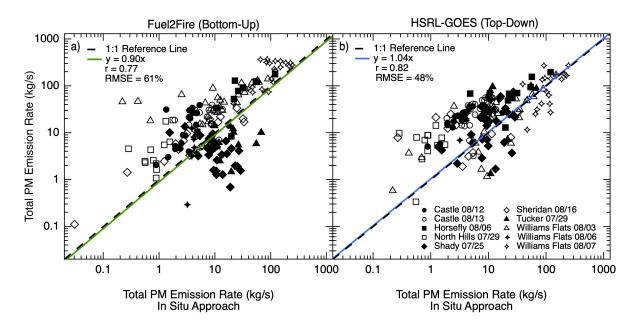
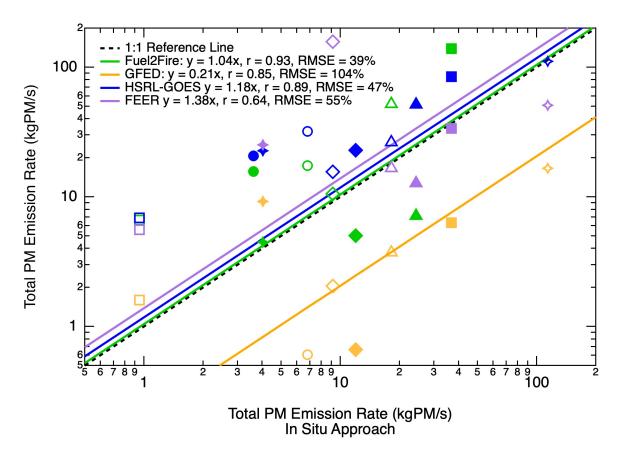




Figure 4. Relationship between total PM emission rates (E_{PM}) derived from the high-resolution
bottom-up approach (Fuel2Fire) versus in situ shown in panel a, and the same relationship
between the high resolution top-down aircraft approach (HSRL-GOES) and the in situ approach
shown in panel b. The green line shows the reduced major axis regression with a forced zero
intercept for Fuel2Fire E_{PM} estimates versus in situ, and the blue line shows the fit for the HSRLGOES E_{PM} estimates versus in situ. Legend gives the slope for the linear fit, Pearson's
correlation coefficient (r), and root mean square error (RMSE).



1227

1228Figure 5. Daily fire average PM emission rates (EPM) from Fuel2Fire, HSRL-GOES, GFED, and1229FEER compared to estimates from the in situ approach. Green markers represent estimates from

1230 Fuel2Fire and the green line represents the reduced major axis regression with a forced zero

1231 intercept between Fuel2Fire estimates and in situ estimates. Blue markers and line represent

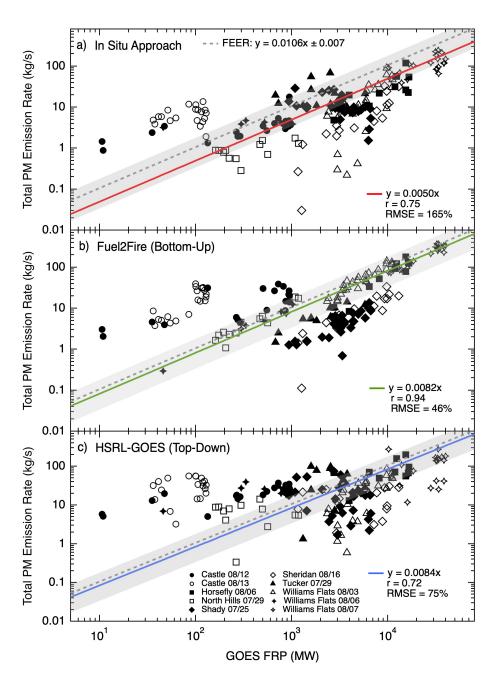
1232 HSRL-GOES estimates and regression. Purple markers and line represent FEER estimates and

regression. Orange markers and line represent GFED estimates and regression. The slope for the

1234 linear fit, Pearson's correlation coefficient (r), and root mean square error (RMSE) is given in the

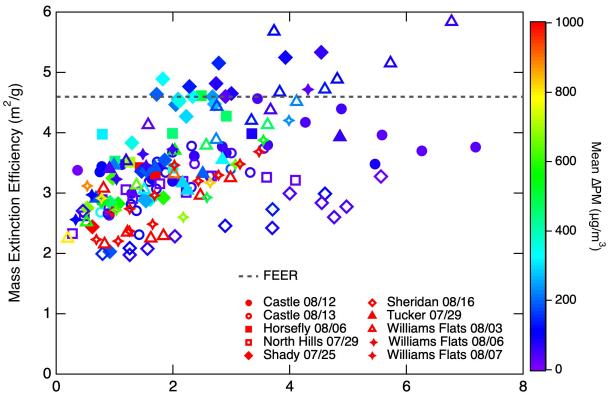
1235 legend.

1237





1239 Figure 6. Relationship between GOES FRP and total PM emission rates (E_{PM}) derived from the 1240 in situ approach (panel a) and the same relationship for Fuel2Fire (panel b) and HSRL-GOES 1241 (panel c). The red line shows the fit to a reduced major axis regression with a forced zero 1242 intercept for the GOES FRP versus in situ comparison, the green line shows the fit for Fuel2Fire, and the blue line shows the fit for HSRL-GOES. The slope of each regression is equal to the 1243 smoke emission coefficient (Ce). The dashed grey line is the Ce derived from FEER and the grey 1244 shading represents the corresponding uncertainty range. Legend gives the slope for the linear fit, 1245 1246 correlation coefficient (r), and root mean square error (RMSE %).



1248

Smoke Age (hrs)

1249 Figure 7. Mass extinction efficiency (MEE) versus smoke age per transect for each fire. Markers

are colored as a function of transect mean excess PM concentration. The constant MEE assumed

1251 by FEER is shown as the dashed black line for reference.

Inventory or Approach	Style	Spatial Range	Temporal Resolution	Eqns.	Input Variables	Output Variables
GFED4.1s	Bottom-up	Global	Daily	1	BA, FL, CC, F _C	E _C
				2	$\boldsymbol{\widehat{E}}_{C_{GFED}},$ EFPM, Fc, $\Delta \boldsymbol{X}_{GFED}$	E_{PM}
FEERv1.0	Top-down	Global	Daily	3	C _e (MODIS), FRP (MODIS)	Epm
				4	$\mathbf{\hat{E}}_{PM_{FEER}}, \Delta \mathbf{X}_{FEER}$	E _{PM}
In Situ In situ Western US Sub-plume (FIREX-AQ) timescale (per		5	CO ₂ , CO, CH ₄ , OC, BC, PM, H, WS, GS	E _C , E _{PM}		
			aircraft transect)	6	$\Delta \mathbf{z}, \boldsymbol{\beta}$	Н
Fuel2Fire	uel2Fire Bottom-up Western US Sub-plume (FIREX-AQ) timescale (per		1	BA, FL, CC, F _C	E _C	
			aircraft transect)	7	E _c , EF _{PM} , F _c	Epm
HSRL-GOES	Top-down	Western US (FIREX-AQ)	Sub-plume timescale (per aircraft transect)	3	C _e (Aircraft-GOES), FRP (GOES)	E _{PM}
				8	WS, GS, MEE, FRP (GOES), AOT	Ce
				9	$\alpha_t, \Delta z$	AOT

Table 1. Summary of approaches used to calculate fire carbon and PM emission rates. Note GFED4.1s also provides data at a 3hr temporal resolution, but we use only the daily product.

		Fu	uel2Fire	e E _{PM}	HSRL-GOES EPM			
Fire Name	Date Flown	m	r	RMSE	m	r	RMSE	
Shady	07/25	0.13	0.44	13%	1.69	0.53	67%	
North Hills	07/29	1.69	0.45	58%	6.27	0.55	30%	
Tucker	07/29	0.10	0.61	39%	1.59	0.66	107%	
Williams Flats	08/03	1.13	0.89	15%	1.07	0.84	149%	
Williams Flats	08/06	0.59	0.07	116%	5.76	0.33	37%	
Horsefly	08/06	1.70	0.63	627%	1.92	0.89	15%	
Williams Flats	08/07	0.88	0.63	45%	0.94	0.69	87%	
Castle	08/12	1.10	0.56	29%	3.54	0.73	18%	
Castle	08/13	1.15	0.53	232%	4.48	0.71	232%	
Sheridan	08/16	0.41	0.77	1529%	0.93	0.69	276%	

1257 **Table 2.** Reduced major axis regression slope (m), Pearson's correlation coefficient (r), and root

mean square error (RMSE) for PM emission rates (E_{PM}) from Fuel2Fire and HSRL-GOES versus the in situ based approach per fire. Fire name is given in the far left panel, followed by date

1260 flown.

		In Situ			Fuel2Fire			HSRL-GOES		
Fire Name	Date Flown	m	r	RMSE	m	r	RMSE	m	r	RMSE
Shady	07/25	0.0022	0.53	85%	0.001	0.80	148%	0.012	0.44	78%
North Hills	07/29	0.0011	0.77	57%	0.014	0.95	46%	0.015	0.61	40%
Tucker	07/29	0.0076	0.49	74%	0.003	0.89	55%	0.033	0.60	63%
Williams Flats	08/03	0.0039	0.69	934%	0.010	0.91	71%	0.011	0.69	139%
Williams Flats	08/06	0.0039	0.70	6%	0.010	0.96	491%	0.057	0.63	69%
Horsefly	08/06	0.0012	0.61	292%	0.008	0.84	311%	0.012	0.69	118%
Williams Flats	08/07	0.0040	0.67	21%	0.009	0.90	1%	0.008	0.45	18%
Castle	08/12	0.0060	0.58	4531%	0.027	0.68	209%	0.060	0.86	111%
Castle	08/13	0.0646	0.61	57%	0.204	0.65	147%	0.555	0.55	81%
Sheridan	08/16	0.0017	0.68	3154%	0.002	0.84	1199%	0.005	0.66	274%

Table 3. Reduced major axis regression slope (m), Pearson's correlation coefficient (r), and root 1262

1263 mean square error (RMSE) for GOES FRP versus total PM emission rates (E_{PM}) for the in situ approach, Fuel2Fire, and HSRL-GOES per individual fire. The slope is equal to the smoke

1264 1265 emission coefficient (C_e).

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Supporting Information for

Reconciling Assumptions in Bottom-up and Top-down Approaches for Estimating Aerosol Emission Rates from Wildland Fires using Observations from FIREX-AQ

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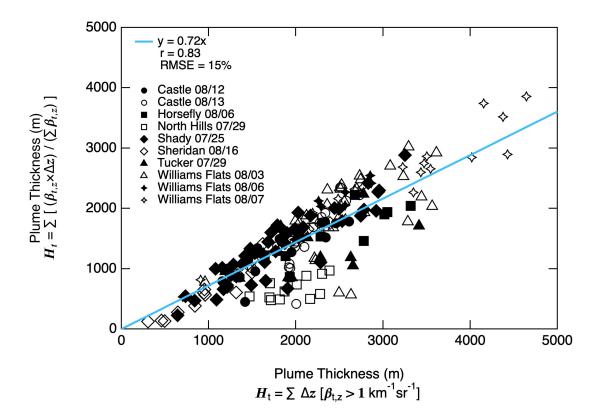


Figure S1. Relationship between two different methods to calculate plume thickness per transect for each fire. X-axis shows plume thickness calculated using equation 6 and y-axis shows plume thickness calculated as a function of the backscatter coefficient distribution throughout the HSRL curtain. Blue line shows the fit to a reduced major axis regression with a forced zero intercept. Pearson's correlation coefficient (r) and root mean square error (RMSE) are given in the legend.

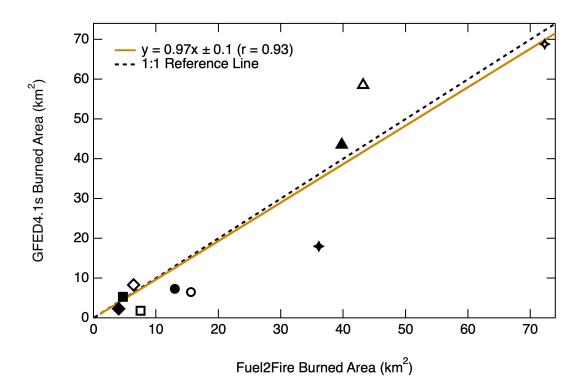
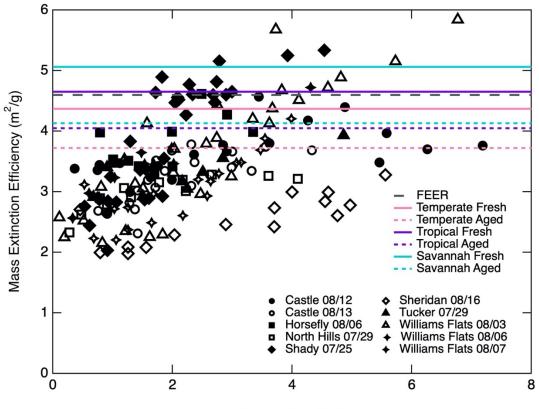


Figure S2. Total daily burned area per fire from Fuel2Fire versus GFED4.1s. The brown line shows the fit to a reduced major axis regression with a forced zero intercept. The slope and correlation coefficient are given in the legend. The black dashed line shows a perfect 1:1 relationship for reference.



Smoke Age (hrs)

Figure S3. Mass extinction efficiency (MEE) calculated using in situ aircraft measurements for each fire per transect versus smoke age. Colored lines represent ecosystem average mass extinction efficiency for biomass burning particles taken from Reid et al. (2005b). Solid lines show MEE from fresh smoke (less than one day old) and dashed lines show MEE calculated using aged smoke (older than one day). The assumed MEE used by FEER is shown as the black dashed line.

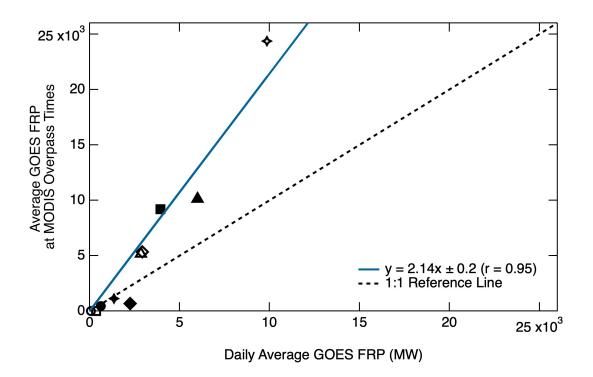


Figure S4. Daily average GOES FRP observations per fire versus average GOES FRP observations within 30 mins of the overpass times for MODIS onboard both Aqua and Terra per fire. The teal line shows reduced major axis regression line with the slope and correlation coefficient given in the legend. The black dashed line shows a perfect 1:1 relationship for reference.

Approach	Varial	ole Relative	$\mu\pm\sigma$	IQR	Reference		
GFED	$\delta E_{PM} = 126\%$		$\delta BA = 44\%$	-	-	Giglio et al. (2018)	
		$\delta E_{\rm C} = 120\%$	$\delta FL = 111\%$	75 ± 83	130	Van Leeuwen et al. (2014)	
			$\delta CC = 11\%$	79 ± 9	14.0	Van Leeuwen et al. (2014)	
			$\delta FC = 10\%$	500 ± 5	-	Akagi et al. (2011)	
		$\delta EF_{PM} = 36\%$		17.6 ± 6.4	7	van der Werf et al. (2017)	
FEER	SE - 790/	$\delta C_e = 73\%$		0.011 ± 0.008	0.008	Ichoku and Ellison (2014)	
TEEK	$\delta E_{PM} = 78\%$	$\delta FRP = 27\%$		-	-	Freeborn et al. (2014)	
	$\begin{array}{l} \delta E_{C}=66\%\\ \delta E_{PM}=75\% \end{array}$	$\delta WS = 17\%$		6 ± 1	4		
		$\delta GS = 3\%$		154 ± 5	16	FIREX-AQ Observations	
In Situ		$\delta Ht = 28\%$		2121 ± 594	593	FIREA-AQ Observations	
		$\delta\Delta C = 56\%$		0.009 ± 0.005	0.009		
		$\delta\Delta PM = 67\%$		0.0006 ± 0.0004	0.001		
Fuel2Fire	$\delta E_{PM}=67\%$	$\delta E_C = 55\%$		1322 ± 729	510	Fuel2Fire (Internal)	
Tuei21 lie		$\delta EF_{PM} = 38\%$		16 ± 6	7	FIREX-AQ Observations	
HSRL-	$\delta E_{PM} = 78\%$	$\delta C_e = 67\%$		0.006 ± 0.004	0.005	FIREX-AQ Observations	
GOES		$\delta FRP = 40\%$		-	-	Li et al. (2020)	

Table S1. Relative uncertainty (δ) given as a percentage for E_{PM} and E_C (when available) derived using GFED, FEER, in situ measurements, Fuel2Fire, and HSRL-GOES. From left to right the dependent variables are broken down into the individual independent variables required for their calculation. Relative uncertainty for each independent variable is calculated as the standard deviation (σ) divided by the mean (μ), and relative uncertainty for each dependent variable is computed by error propagation through the equation by which they are defined. If the mean and standard deviation are not available, the relative uncertainty for a variable is taken directly from the corresponding reference. Mean, standard deviation, and interquartile range (IQR) are derived from aircraft observations during smoke plume transects and averaged over all the Western US wildland fires included in this study or calculated based on data from previous studies when available.