Investigating Strike-Slip Faulting Parallel to the Icelandic Plate Boundary Using Boundary Element Models

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Abstract

Most faults in Iceland strike roughly parallel to the divergent plate boundary, a part of the Mid-Atlantic Rift, which would be expected to lead to primarily normal faulting. However, several studies have observed a significant component of riftparallel strike-slip faulting in Iceland. To investigate these fault kinematics, we use the boundary element method to model fault slip and crustal stress patterns of the Icelandic tectonic system, including a spherical hotspot and uniaxial stress that represents rifting. On a network of faults, we estimate the slip required to relieve traction imposed by hotspot inflation and remote stress and compare the model results with observed slip kinematics, crustal seismicity, and geodetic data. We note a good fit between model-predicted and observed deformation metrics, with both indicating significant components of normal and strike-slip faulting as well as consistency between recent data and longer-term records of geologic fault slip. Possible stress permutations between steeply plunging σ_1 and σ_2 axes are common in our models, suggesting that localized stress perturbations may impact strike-slip faulting. Some increases in model complexity, including older hotspot configurations and allowing fault opening to simulate dike intrusion, show improvement to model fit in select regions. This work provides new insight into the physical mechanisms driving faulting styles within Iceland away from the current active plate boundary, implying that a significant portion of observed strike-slip faulting is likely caused by the combined effects of tectonic rifting, hotspot impacts, and mechanical interactions across the fault network.

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1 2	Investigating Strike-Slip Faulting Parallel to the Icelandic Plate Boundary Using Boundary Element Models
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7	Key Points:
8 9	• Many rift-parallel faults in Iceland show oblique or strike-slip instead of the expected normal slip.
10 11	• Boundary element modeling reproduces this unexpected slip based on mechanical interactions between rifting, the hotspot, and faults.
12 13	• Modeling supports additional possible impacts from stress permutations and dike intrusion.

14 Abstract

15 Most faults in Iceland strike roughly parallel to the divergent plate boundary, a part of the Mid-

16 Atlantic Rift, which would be expected to lead to primarily normal faulting. However, several

studies have observed a significant component of rift-parallel strike-slip faulting in Iceland. To

18 investigate these fault kinematics, we use the boundary element method to model fault slip and

19 crustal stress patterns of the Icelandic tectonic system, including a spherical hotspot and uniaxial

stress that represents rifting. On a network of faults, we estimate the slip required to relieve traction imposed by hotspot inflation and remote stress and compare the model results with

22 observed slip kinematics, crustal seismicity, and geodetic data. We note a good fit between

model-predicted and observed deformation metrics, with both indicating significant components

of normal and strike-slip faulting as well as consistency between recent data and longer-term

records of geologic fault slip. Possible stress permutations between steeply plunging σ_1 and σ_2

axes are common in our models, suggesting that localized stress perturbations may impact strike-

27 slip faulting. Some increases in model complexity, including older hotspot configurations and

allowing fault opening to simulate dike intrusion, show improvement to model fit in select

regions. This work provides new insight into the physical mechanisms driving faulting styles

30 within Iceland away from the current active plate boundary, implying that a significant portion of

31 observed strike-slip faulting is likely caused by the combined effects of tectonic rifting, hotspot

32 impacts, and mechanical interactions across the fault network.

33 Plain Language Summary

34 Faulting in Iceland is expected to be mostly normal faulting, which is characteristic of a

35 divergent tectonic environment. However, several studies have found substantial strike-slip

faulting. To try to understand the causes of this unexpected pattern, we create a model that

37 represents the main features of the tectonic environment in Iceland. This includes a three-

dimensional representation of the faults associated with the tectonic plate boundary that runs

39 through Iceland, across which two tectonic plates are pulling apart from each other, and a hotspot

40 underneath. We find that this model can explain a large part of that unexpected strike-slip

41 faulting, along with identifying potential additional complexity from other processes. This

42 provides new information that is important to understanding faulting in Iceland and possibly also

43 other similar tectonic environments.

44 **1 Introduction**

Faults in Iceland mainly strike subparallel to the active rift zone (Figure 1) and are dominated by normal faulting in the primary rift zones as well as strike-slip faulting in the major transform zones (Karson et al., 2018). Surprisingly, widespread rift-parallel strike-slip and oblique slip faulting have also been found outside the active transform zones (Bergerat et al., 2000; Gudmundsson et al., 1992; Karson et al., 2018; Plateaux et al., 2012). This presents a curious feature of faulting kinematics in the region as, in a divergent setting, primarily normal slip is expected on faults that strike parallel to the boundary.

52 1.1 Tectonic setting

A combination of rifting and hotspot processes have allowed Iceland to form above the Mid-Atlantic Ridge, creating an unusual and dynamic geologic setting with high volcanic and seismic activity. Major rift zones strike NNE to SSW through the island, across which the North American and Eurasian Plates diverge along a 105° azimuth with a spreading rate of about 18.4

- 57 mm/yr (DeMets et al., 1994). The major rift zones are connected by two transform fault zones,
- the South Iceland Seismic Zone (SIZ) in Southern Iceland and the Tjörnes Fracture Zone (TFZ)
- in Northern Iceland, as well as further north and south by several oblique rifting zones, including
- 60 the Grímsey Oblique Rift Zone (GORZ) and the Reykjanes Peninsula (RP) (Figure 1)
- 61 (Einarsson, 2008). Rifting in Iceland can serve as an important analogue to better understand
- 62 faulting processes at mid-ocean ridges, as those processes are generally difficult to observe due
- to being found on the seafloor (Karson et al., 2018). Iceland is underlain by a hotspot, which is
- 64 generally identified as centered beneath the Vatnajökull Ice Cap (Figure 1), with models of the 65 proposed mantle plume varying in radius, temperature, and structure (Hanan & Schilling, 1997;
- 65 proposed mantle plume varying in radius, temperature, and structure (Hanan & Schilling, 1997; 66 Martin et al. 2011; Sigmundsson, 2006)
- 66 Martin et al., 2011; Sigmundsson, 2006).



- 67 Figure 1. Map identifying major faulting zones in Iceland and other areas referenced in the text, clockwise from the
- 68 northwest as follows: H (Heggstaðanes), S (Skagi), SPRA (Skagafjördur Paleo-Rift Axis), HS (Hegranes), T
- 69 (Tröllaskagi), A (Akureyri), G (Grenivík), EF (East Flateyjarskagi), TFZ (Tjörnes Fracture Zone), HFF (Húsavík-
- 70 Flatey Fault), GORZ (Grímsey Oblique Rift Zone), NRZ (Northern Rift Zone), VO (Vopnafjörður), LE (Lagarfljót-
- 71 Eiðar), B (Berufjörður), NV(Northeast Vestrahorn), V(Vestrahorn), SC (Southeast Coast), ERZ (Eastern Rift Zone),
- 72 SISZ (South Iceland Seismic Zone, Hreppar area), W (Western Hreppar), WRZ (Western Rift Zone), LG
- (Langavatn-Gljúfurá), and RP (Reykjanes Peninsula). The hotspot is shown as a red circle, Vatnajökull is shown in
 white (Sigurdsson, 2005), and arrows represent the direction of rifting (DeMets et al., 1994).
- Iceland faces a significant seismic hazard due to the combination of rifting and hotspot
 processes, with earthquakes in the SISZ and the TFZ reaching a maximum of M7 and having

caused considerable damage (Árnadóttir et al., 2009; Einarsson, 2008). Significant historical
earthquakes have been focused along the plate boundaries, especially the SISZ and the TFZ, as
well as near volcanic centers (J. Ö. Bjarnason et al., 2016). However, due to the complexity of
the tectonic setting, there is a lack of understanding of the physical mechanisms that drive

faulting styles within Iceland away from the current active plate boundary.

82 1.2 Faulting kinematics and complexities

83 Faults in Iceland dominantly strike subparallel to the active rift zone, with seismicity reaching maximum depths of 15-20 km (Karson et al., 2018). Normal faulting and rift-parallel 84 fissure swarms are common, particularly within the major rift zones (Einarsson, 2008). Slip data 85 collected from outside the active transform zones show widespread strike-slip faulting, including 86 on faults that strike parallel to the rift zones (Bergerat et al., 2000; Gudmundsson et al., 1992; 87 Karson et al., 2018; Plateaux et al., 2012). Slip data within the Karson et al. (2018) and Plateaux 88 89 et al. (2012) datasets document normal, oblique, and strike-slip faulting over a wide region, including many conjugate strike-slip faults. These studies also identify similarly oriented axes of 90 maximum extension for both strike-slip and normal faults, indicating that the strike-slip faults are 91 likely also related to rifting (Plateaux et al., 2012). Constraints on ages of faulting are limited to 92 mostly occurring within the last 9–11 Myr since much of the bedrock is 9 Ma or older, leaving 93 few significant and consistent cross-cutting relationships other than the constraint that faulting 94 95 must have occurred after the rock formed (Karson et al., 2018). However, patterns of multiple sets of slickenlines, representing a shift between normal and strike-slip faulting, while rare, are 96 97 present within the data and some faults do cut historic lavas, which indicates that some slip is relatively modern (Karson et al., 2018). 98

Previous work has proposed a variety of additional mechanisms and complexities to 99 explain the unanticipated pattern of rift-parallel strike-slip faulting outside of the active 100 transform zones. Interaction between hotspot inflation and rifting processes may create a more 101 oblique component of slip than would be expected solely due to rifting. Crust in Iceland is also 102 highly anisotropic, with many overlapping sets of old faults, fissures, and dikes (Karson et al., 103 2018). Anisotropic crust has been suggested as a primary property that favors stress 104 permutations, in which the orientations of two principal stresses exchange places from one locale 105 to another, usually due to variation in vertical loading, which has been proposed to explain 106 coexisting normal and strike-slip faults in many areas of Iceland (Hu & Angelier, 2004; Plateaux 107 et al., 2012). In addition, Iceland seems to be currently undergoing a rift jump, abandoning the 108 Western Rift Zone and transferring the rifting process to the Eastern Rift Zone, with rift 109 propagation away from the hotspot, which is suggested as an additional factor driving strike-slip 110 faulting (Einarsson, 2008). Propagation of the Northern Rift Zone to the north and the Eastern 111 Rift Zone to the south is proposed as causing crustal block rotations, which result in strike-slip 112 faulting on rift-parallel faults (Karson, 2017). Previous rift jumps may also have led to the 113 abandonment of former oblique spreading zones, with at least one former spreading zone 114 identified along Skagafjördur (Figure 1, Garcia et al., 2008). Observing these areas today would 115 then lead to the identification of strike-slip faulting far from modern oblique spreading or 116 transform zones (Karson et al., 2018). Finally, the combination of dike intrusion and tensile 117 rifting stress has been implicated in sinistral and dextral faulting subparallel to the tips of dikes in 118 a diverse set of locations (Ágústsdóttir et al., 2016; Gudmundsson et al., 2009; Hjartardóttir et 119 al., 2009 as cited in Plateaux et al., 2012). Finite-element models suggest north-south trending 120 zones of high shear stress between adjacent volcanic systems as a result of dike intrusions into 121

- each of the adjacent systems, leading to both dextral and sinistral strike-slip faulting
- 123 (Gudmundsson et al., 2009).

Our modeling focuses on investigating different mechanisms proposed within the literature to explain the prevalence of strike-slip faulting in Iceland. We evaluate our boundary element modeling by comparing results to fault slip, seismicity, and deformation data. We use modeling to consider the fault slip and crustal stress patterns in Iceland as they relate to mechanical fault-hotspot interactions and possible additional influences, including stress permutations, dike-induced faulting, rift propagation, and abandoned spreading zones.

130 **2 Methods**

131 2.1 Model structure and evaluation

Modeling for this project is primarily done using *tribemx* (Delano et al., 2017; Loveless, 132 2019; Thomas, 1993), an elastic boundary element method program, in which faulting processes 133 can be simulated by embedding triangular dislocation elements (TDEs) within a homogeneous 134 elastic half-space. In these models, a traction or slip boundary condition is specified in the strike-135 parallel, dip-parallel, and element-normal direction on each TDE, and we specifically assume 136 137 that faults have no shear traction and no element-normal slip, except as described in Section 2.2. The program projects the stress imposed by simulated tectonic inputs onto the element geometry, 138 creating a set of traction vectors for each element, then solves for the slip distribution required to 139 relieve the shear traction imposed by the applied stress, considering interaction among the 140 different faults (Cooke & Dair, 2011). We also calculate stress and strain tensor components and 141 displacement (velocity) vectors at off-fault observation coordinates. 142

We defined our standard model of fault geometry by combining a geologic map of 143 Iceland (Jóhannesson, 2014), a representative sample of the areas of strike-slip faulting identified 144 145 by Karson et al. (2018), and several additional detailed fault maps (Bergerat et al., 2000; Rögnvaldsson et al., 1998). We modeled the three-dimensional geometry of faults by projecting 146 their surface traces to 10 km depth, then rotating to an average dip of the directly associated fault 147 region in the Karson et al. (2018) data or, for faults not included in that dataset, a dip of 70°, 148 149 reflecting patterns of slightly steeper dips found in the Karson et al. (2018) data than predicted for normal faults (Anderson, 1905). Each fault was then meshed as a contiguous network of 150 151 TDEs using the open-source program Gmsh (Geuzaine & Remacle, 2009). The hotspot was incorporated as a hollow sphere with the surface discretized as a network of TDEs, with a radius 152 of 150 km and a top surface at a depth of 100 km centered under the Vatnajökull Ice Cap (Figure 153 1; I. Bjarnason, 2008; Morgan & Morgan, 2007; Wolfe et al., 1997). On these TDEs, we apply 154 element-normal dislocation to simulate inflation. We model rifting as a uniaxial axis of tension 155 along an azimuth of relative plate motion (Figure 1), at a magnitude of 6e6 Pa/yr, which is 156 similar in magnitude to estimates of remote stress as calculated based on a best-fitting horizontal 157 strain rate tensor derived from regional GPS velocities (Árnadóttir et al., 2009; Cardozo & 158 159 Allmendinger, 2009) and Lamé parameters of 3e10 Pa. This applied remote stress, as well as stress arising from the element-normal dislocations on hotspot TDEs, is projected onto fault 160 TDEs as traction vectors. The output slip distribution on each modeled fault (e.g., Figure 2), 161 calculated such that the imposed shear traction is completely relieved, is used as a basis for 162 characterizing fault kinematics described by the model. From the fault slip distributions and 163 calculations of total stress, strain, and displacement rates at specified coordinates within the 164

- l65 elastic medium, we calculate moment tensor, principal stress, and principal strain rate axes.
- 166 These axes are used to evaluate the model results, comparing the model output with existing sets
- of fault slip, seismicity, and crustal deformation data in different regions of Iceland (Figure 3),
- representing the goodness-of-fit of the model to the data as a set of angular differences in axis orientations.



170

Figure 2. Figure showing example slip distribution results for the Húsavík-Flatey Fault for the best-fit parameters
 (hotspot contribution of 110 mm/year, rifting azimuth of 115°). Strike-slip results are shown such that negative

corresponds to dextral movement and dip-slip results are shown such that negative corresponds to normal
 movement.

First, we consider comparison with moment tensor axes (pressure (P) and tension (T) 175 axes) calculated from field measurements of fault slip (Karson et al., 2018) and from earthquakes 176 within the Global Centroid Moment Tensor (CMT) dataset (Dziewonski et al., 1981; Ekström et 177 al., 2012). For the fault slip records, we used the *FaultKin* program (Allmendinger et al., 2011; 178 179 Marrett & Allmendinger, 1990) to derive P and T axes from the strikes, dips, slip sense, and striae trends and plunges in the records (Karson et al., 2018), using all reported measurements at 180 a listed field site to give a single set of best-fit axes for that site. We compared the data to a 181 single set of kinematic axes for each modeled fault corresponding to a listed field area in the 182 Karson et al. (2018) dataset, calculated using the modeled slip distribution output in the 183 MomTens MATLAB function, with the results weighted based on slip magnitude (Cardozo in 184 Allmendinger et al., 2011). For the CMT data, we used the MomTens function to derive moment 185 tensor axes from fault plane solutions available in the Global CMT dataset (Cardozo in 186

187 Allmendinger et al., 2011; Dziewonski et al., 1981; Ekström et al., 2012), which we compared to

- 188 principal axes of modeled strain tensors at each earthquake hypocenter. We eliminated from our
- comparison any earthquakes from the CMT dataset that were located close to the center of the
- 190 hotspot, the site of several major volcanoes including Grímsvötn and Bárðarbunga. These
- 191 earthquakes were eliminated due to a tendency for northeast-trending T axes, a difference that 192 we interpret to be from localized influence of those volcanoes, which we do not consider within
- we interpret to be from localized influence of those volcanoes, which we do not consider within our model. When calculating the overall misfit between modeled and reported kinematic axis
- orientations for the CMTs, we weighted each of the angular differences based on the seismic
- 195 moment of the associated earthquake.



Figure 3. Map of angular misfit with each comparison data point for the reference parameters, including moment
tensor data derived from fault slip (triangles; Karson et al., 2018), principal stress data derived from fault slip
(asterisks; Bergerat et al., 1990; Gudmundsson et al., 1992; Plateaux et al., 2012), moment tensors from seismicity
(crosses; Dziewonski et al., 1981; Ekström et al., 2012), principal stresses from seismicity (circles; Ziegler at al.,
200 2016), and the grid of regions compared with GPS data (open squares placed at the center of each of the nine

- 201 regions noted by the solid black grid; Árnadóttir et al., 2009).
- We also consider principal stress axes derived from field measurements of fault slip 202 (Bergerat et al., 1990; Gudmundsson et al., 1992; Plateaux et al., 2012) and local crustal 203 earthquakes from the Iceland Meteorological Office (IMO) catalog (Ziegler et al., 2016), which 204 we compare directly with principal axes from model stress tensors calculated at the reported 205 measurement or hypocenter sites. We also allow for the possibility of stress permutations based 206 on similarity in orientation of observed and modeled axes. Previous work has identified 207 permutations between steeply plunging σ_1 and σ_2 as a possible reason for strike-slip faulting in 208 Iceland, as extensional settings with a high deviatoric stress ratio can cause permutations 209

- between steeply plunging σ_1 and σ_2 axes that correspond to conjugate normal and strike-slip
- faults, respectively (Figure 4; Hu & Angelier, 2004; Plateaux et al., 2012). In particular, key
- 212 processes favoring stress permutations include anisotropy in the crust as a result of folding and
- faulting as well as elastic rebound, potentially as part of deglaciation (Hu & Angelier, 2004;
- Plateaux et al., 2012). We note a possible stress permutation at sites where exchanging a pair of modeled principal axis orientations yields a misfit that is lower and is less than 50° for both of
- modeled principal axis orientations yields a misfit that is lower and is less than 50° for both of those axes, also noting the stress ratio, defined as $\Phi = (\sigma_2 - \sigma_3) / (\sigma_1 - \sigma_3)$ based on the deviatoric
- stresses as further evidence supporting the possibility of a stress permutation. In other words, we
- consider the model to represent a good fit to data in places where the modeled σ_1 is similar in
- orientation to the observed σ_2 and vice versa.



220 *Figure 4.* Figure demonstrating stress permutations, showing characteristic orientations of principal stress axes for

221 a normal faulting regime on the left and a strike-slip faulting regime on the right. Stress states differ only by flipping 222 the orientations of σ_1 and σ_2 , which can occur due to a small change in magnitudes of the principal stresses. The

222 the orientations of σ_1 and σ_2 , which can occur due to a small change in magnitudes of the principal stresses. The 223 stereonets show selected results from our model using the best-fit model results and comparison data for the

223 Surrouter show selected results from our model using the best-fit model results and comparison data for the 224 Húsavík-Flatey Fault, with several examples of steeply plunging σ_1 axes highlighted in yellow on the left and steeply

225 plunging σ_2 axes highlighted on the right.

Finally, we evaluate model predictions based on principal horizontal strain rate axes

calculated from GPS velocity data throughout Iceland from 1993-2004 in a local reference frame

228 (Árnadóttir et al., 2009; Cardozo & Allmendinger, 2009). We calculate velocity vectors at the

GPS station locations, which arise from the remote stress representing rifting, simulated inflation

of the hotspot, and slip on the modeled faults. We then use the nearest neighbor method to find

the best-fitting horizontal strain rate tensors for the stations in each of nine regions across Iceland
 using the *GridStrain* MATLAB function (Cardozo in Allmendinger et al., 2011), comparing the
 maximum principal extension rate axes from the observed and modeled velocities.

As each type of comparison data can be expressed as a set of orientations, we use angular 234 misfit criteria to define the goodness-of-fit of model results to the datasets. For moment tensor 235 and principal stress axes there are two independent axes, so the average angular misfit at each 236 fault or observation point is defined as the average of the angular misfit for the two axes (P and T 237 axes for the moment tensors and σ_1 and σ_3 axes for the principal stresses). For horizontal 238 principal strain rate axes derived from GPS velocities, there is only one independent axis, so the 239 angular misfit is defined as the misfit between the observed and modeled maximum extension 240 rate axis. 241

242 2.2 Standard model parameters

The tectonic inputs to our boundary element models include a stress tensor that represents 243 rifting and element-normal dislocation applied to the hotspot to represent its inflation. While 244 245 global tectonic models (DeMets et al., 1994) suggest an azimuth of rifting of 105°, we explore a range of potential azimuths, as well as a range of potential hotspot dislocation rates. We term our 246 standard parameter range as a set of candidate rifting azimuths and hotspot dislocation rates. We 247 constructed a series of trials varying the remote stress tensor representing the rifting between the 248 two plates as uniaxial tension along a prescribed azimuth in 5° increments from 0° to 180° 249 (keeping the stress rate constant at 6e6 Pa/yr), along with a variable contribution from the 250 hotspot based on element-normal dislocation to represent inflation, from 0-200 mm/year. For 251 comparison with all available data, we define the reported misfit for each trial as a simple 252 unweighted average of the average angular misfits to the two fault slip datasets, two earthquake 253 datasets, and single GPS-derived strain rate dataset. 254

255 2.2 Additional variations to model parameters

We tested several variations to the standard model parameters to see whether slip patterns 256 observed in some areas of Iceland may be emblematic of the impact of additional processes, 257 focusing on comparing the model results to the moment tensor data derived from fault slip 258 (Karson et al., 2018). We tested variability in the location of the modeled hotspot while holding 259 its diameter and depth constant, incrementally varying the location between the assumed modern 260 location and a proposed location at 6 Ma (Figure S8; I. Bjarnason, 2008; Martin et al., 2011). We 261 ran this set of trials for each parameter pair from the top 5% of trials within the standard 262 parameter range, ranked by angular misfit, and averaged the results. As an additional test, we 263 also expanded the fault map to include the Skagafjördur Paleo-Rift Axis in northwest Iceland 264 (Figure 1) along with an adjusted hotspot location, as previous work has suggested active rifting 265 along that axis from 8-2.8 Ma, so some observed faulting in the area could be related to a now 266 abandoned oblique spreading zone (Garcia et al., 2003; Hjartarson, 2003). For this model, we 267 also removed the Dalvík Lineament from the fault map, as it overlaps with the proposed location 268 of the paleo-rift axis. 269

To test rift propagation as a proposed explanation for strike-slip faulting in Iceland, a process which results in less finite spreading at the propagating rift tips (Karson et al., 2018), we implemented a variable magnitude of uniaxial remote stress to simulate varying intensity of rifting across Iceland. We projected the variable remote stress onto the element geometry directly, weighting the remote stress to be progressively smaller based on the distance from the

center of the hotspot (at the site of the nonpropagating rift tips), and then solved for slip needed

to relieve the imposed traction as in the standard model. Spatially variable weights were
 normalized to between 0 and 1, with the maximum remote stress close to the center of the

normalized to between 0 and 1, with the maximum remote stress closhotspot.

As a method of simulating dike intrusion, we allow the fault TDEs themselves to open (or close) by moving in an element-normal direction, in addition to slipping parallel to their strike and dip. By allowing some of the plate boundary-perpendicular motion to be taken up by opening similar to the formation of dikes, the slip on the faults could potentially be more strikeslip dominated. We tested this method by allowing all faults to open or allowing opening only on faults that are poorly fit by the reference model. We define poorly fit faults as those with an angular misfit above 35°.



Figure 5. Map showing rake patterns across each fault for the reference parameters, based on the average rake across all elements of the fault and weighted by slip magnitude.

288

289 **3 Results**

290 3.1 Evaluation of standard model

Under the standard model, the parameters that yield the lowest average angular misfit across all datasets (29.4°) were a rifting azimuth of 115° with 110 mm/yr of element-normal dislocation on the hotspot. We define these as the reference parameters. The distribution of fault rakes represents the basic set of model results (full stereonet results are shown in Figures S1-S6). The reference model rake pattern (averaged for each fault, weighted by slip magnitude) shows a widespread mix of normal and strike-slip faulting kinematics, most commonly sinistral slip in the northwest of Iceland and dextral slip in the northeast and southwest (Figure 5).

We note similarly good fits to the datasets for the parameter pairs that define the top 5% 298 299 of trials within the standard parameter range, ranked in terms of average angular misfit (white polygon, Figure 6). These pairs feature rifting azimuths between 90° and 120° and a hotspot 300 contribution between 20 mm/yr and 130 mm/yr. We find substantial overlap in the parameter 301 pairs that yield the top 5% of trials when compared to each individual dataset; the principal stress 302 303 datasets show best-fit trials at a lower hotspot contribution (colored polygons, Figure 6) (Bergerat et al., 1990; Gudmundsson et al., 1992; Plateaux et al., 2012; Ziegler et al., 2016). 304 Table 1 summarizes the model parameters that best fit each individual dataset. 305

Some variability between the top 5% of trials fitting individual datasets can be seen 306 (Figures 6, S7). In particular, in comparing modeled moment tensor axes with those determined 307 from the fault slip data published in Karson et al. (2018), we find consistency with the reference 308 parameters, although this dataset is better fit with a higher hotspot influence (Figure S7). Using 309 the reference parameters, the angular misfit is only 0.7° higher than the model that best-fits the 310 individual dataset (37.5° vs. 36.8°), which uses 50 mm/yr of hotspot dislocation and a rifting 311 azimuth of 105°, and is only poorly fit in the regions of Heggstaðanes, Vestrahorn, and a cluster 312 in north central Iceland of Grenivík, Hegranes, and Akureyri (Figures 1, 3). As compared to a 313 trial with the same rifting azimuth of 115° but no hotspot contribution, the modeled fault slip 314 shows increased strike-slip motion on the Húsavík-Flatey Fault and in the areas of Vopnafjörður, 315 Berufjörður, the Flateyjarskagi Peninsula, and Hreppar, along with decreased strike-slip motion 316 in southeast Iceland and Akureyri. The trial with hotspot influence also shows greater sinistral as 317 opposed to dextral strike-slip faulting in northwest Iceland. 318

For the CMT data alone (Dziewonski et al., 1981; Ekström et al., 2012), the top 5% of 319 trials within the standard parameter range show a covariance between rifting azimuth and hotspot 320 contribution, with an azimuth of rifting more consistent with the reference parameters associated 321 with a higher hotspot contribution (Figures 6, S7). Using the reference parameters, the angular 322 misfit is only 0.5° higher than the model that best-fits the CMT data alone (26.9° vs 26.4°) and 323 the highest misfits for both were found in the western part of the Hreppar area (Figure 3). 324 Tension axes are more southerly trending on the Revkjanes Peninsula and in the Hreppar area in 325 326 southwest Iceland for both the model and observed data.





We find that the best-fitting trials to the principal stress data derived from fault slip 333 (Bergerat et al., 1990; Gudmundsson et al., 1992; Plateaux et al., 2012) are largely consistent 334 with the reference parameters but feature a slightly lower hotspot contribution. Northeast 335 Vestrahorn (Figures 1, 3) is the site of the majority of the improvement in misfit between the 336 reference parameters, which have a misfit of 32.7°, and the best-fit parameters to the individual 337 338 dataset, with a misfit of 21.2° at 20 mm/yr of hotspot contribution and a rifting azimuth of 95°. For the reference parameters, the mean deviatoric stress ratio is 0.75, with a mean of 0.6 in the 339 top 5% of individual dataset trials. 340

The top 5% of trials fitting the IMO seismicity data generally agree with the reference 341 parameters but show an additional set of trials with a wide range of rifting azimuths $(60^{\circ}-145^{\circ})$ 342 and no hotspot contribution. Western Hreppar shows the greatest improvement in the best-fit trial 343 as opposed to the reference parameters, with a best-fit misfit of 38.3° as opposed to a misfit of 344 41.8° for the reference parameters (Figure 3), while the best-fit trial shows slightly worse fit in 345 346 the Grímsey Oblique Rift Zone. For the reference parameters, the mean deviatoric stress ratio is 0.47, with a mean of 0.75 in the top 5% of individual dataset trials. Both stress datasets identify 347 permutations between σ_1 and σ_2 as the most common. 348

The reference parameters are contained within the top 5% of trials fitting GPS data alone, with an average misfit of 17.1° , 3.5° higher than the minimum misfit of 13.6° . None of the nine

- regions considered had an angular misfit above 35° in the model run with the reference
- 352 parameters (Figures 3, S7). Strain rate axes derived from both the model results and the
- observed velocities show a general pattern of more southerly trending extensional azimuths insouthern Iceland (Figure 7).



355 *Figure 7.* Map showing axes of maximum extensional strain in each of nine regions of Iceland, represented by the

black boxes. Modeled axes are shown in black, based on the trial with the reference parameters, a rifting azimuth of
and the hotspot at 110 mm of element-normal dislocation. Axes derived directly from GPS velocities are shown
in red. Axes from a model with just 10 mm of hotspot contribution and no consideration of rifting or fault interaction

359 *are shown in green.*

360 3.2 Additional model parameter variations

In assessing the impacts of the older hotspot configuration, rift propagation, and fault opening, we focus on comparing the results to moment tensor axes derived from fault slip records (Karson et al., 2018), as the comparison data that is the most widely geographically distributed and sensitive to variability in the standard parameter range (Table 2).

To consider possible older faulting patterns, we ran trials varying the location of the hotspot based on two sets of rifting and hotspot contribution parameters. The average results from each of the top 5% of trials found the lowest angular misfit of 39.2° at the modern-day location and the highest misfit of 43.1° at the 6 Ma location. For the additional model with the hotspot at its 6 Ma location (Figure S8) and a fault running the length of the Skagafjördur Paleo-

Rift Axis (SPRA), we ran trials based on the full suite of standard parameters. In comparison

with a model with the same hotspot location and without the SPRA, the model showed

- improvements in the areas of Hegranes and Grenivík and worse fit in the areas of Tröllaskagi and
 Flateyjarskagi, leading to a very similar minimum average misfit, 0.3° higher (locations, Figure
- 374 1).

Allowing for less rifting stress at the propagating rift tips resulted in the minimum angular misfit decreasing 0.1° to 36.7° with 10 mm/yr of hotspot contribution and a rifting azimuth of 105°, generally preferring a much lower hotspot contribution but similar rifting azimuth as the standard model. This suggests that a pattern of decreasing remote stress at distance from the hotspot largely replicates the influence of the hotspot itself, requiring only a very small hotspot contribution to obtain a strong fit with the comparison data.

When simulating dike intrusion, we found shifts in some regions as a result of only allowing poorly fit faults to open (defined in Section 2.2). For the moment tensor data derived from fault slip (Karson et al., 2018), the best-fit standard parameters shifted slightly to 40 mm/yr of element-normal dislocation on the hotspot and a 100° azimuth of rifting, and the minimum angular misfit decreased from 36.8° to 33.5°. Less overall improvement was seen when allowing all faults to open. Improvement in the minimum angular misfit was largely due to improvements in Vopnafjörður, Grenivík, East Flateyjarskagi, and Akureyri (Figure 8).



Figure 8. Stereonets show kinematic (P and T) axes for collected fault slip data and for model results using the
 reference parameters and comparison data for an example region, Grenivík, both with and without allowing fault
 opening.

391 4 Discussion

392 4.1 Standard model

The minimum angular misfit of 29.4° from a model with a rifting azimuth of 115° and a hotspot contribution of 110 mm/yr suggests that stress applied in a direction similar to the NUVEL 1-A rifting azimuth of 105° (DeMets et al., 1994) and supplementary influence from the hotspot fits well with a variety of deformation indicators from Iceland in most regions. For the reference model, the most common angular misfit by data point, including data points from all comparison datasets, is 20.6°. Within a three-dimensional space, this most common angular misfit translates to similarity in moment tensor, principal stress, and principal strain axes

between the model and comparison data. In addition, the resulting rakes for the reference model

401 (Figure 5) show geographically widespread strike-slip faulting. This indicates that mechanical

fault-hotspot interactions may explain a large part of faulting kinematics, and especially plate
 boundary-parallel strike-slip faulting in Iceland, using a simple representation of plate boundary

- 403 boundary-parallel strike-slip fau
- 404 stressing.

405 4.2 Impact of primary tectonic processes on faulting kinematics

Comparison of modeled deformation with different datasets largely shows good 406 agreement with the NUVEL 1-A relative plate motion azimuth of 105° (DeMets et al., 1994), 407 with additional complexity seen in some datasets. The Global Centroid Moment Tensor (CMT) 408 data and strain inferred from Icelandic GPS velocity field data collected 1993-2004 in ITRF2005 409 coordinates (Altamimi et al., 2007; Árnadóttir et al., 2009; Cardozo & Allmendinger, 2009; 410 Dziewonski et al., 1981; Ekström et al., 2012) are each consistent with axes of maximum 411 extension that are more southerly trending in southern Iceland. A model run deriving the axis of 412 the maximum extension rate from surface velocities due to hotspot inflation alone, with no 413 impact from fault interaction or plate boundary rifting, shows a very similar pattern of rotations 414 clockwise from the NUVEL 1-A azimuth of 105° (Figure 7), suggesting that the inclusion of the 415 hotspot accounts for much of this regional variation within the model. However, the trial 416 parameters that best fit the CMT data feature a more southeasterly trending rifting azimuth 417 (120°) than NUVEL 1-A, regardless of the intensity of the hotspot. This indicates either 1) a 418 419 uniform remote stress tensor applied across all of Iceland may not be an appropriate description of tectonic forcing or 2) the inclusion of the hotspot as it is considered within our model may not 420 be sufficient to account for the rotation of axes of maximum extension in Southern Iceland. 421

The datasets derived from fault slip were best fit over a range of possible hotspot contributions, with the exception of a subset of parameters that best fit the IMO seismicity data at no hotspot contribution, potentially because those earthquakes are located farther from the hotspot center and so feel its influence to a lesser extent (Table S4, Ziegler et al., 2016). Larger hotspot contributions also led to an increase in modeled sinistral faulting in northwest Iceland (Figure 5), consistent with observed fault slip as well as the rift propagation model proposed by Karson et al. (2018).

Our modeling of hotspot inflation, which appears at the earth's surface as uplift and a 429 radial pattern of horizontal velocities away from the hotspot center, gives results that are broadly 430 similar to velocities predicted by models of glacial isostatic adjustment (GIA) for Iceland. Both 431 our modeled hotspot inflation and GIA models result in a radial pattern of horizontal velocities 432 away from Vatnajökull, due to the location of the center of the hotspot underneath this ice cap. 433 434 This suggests that results supporting the inclusion of the hotspot would be similarly well-fit by models considering GIA. However, inclusion of dislocations applied to the hotspot elements also 435 provide a good fit to fault slip records. While age constraints on fault activity are limited, fault 436 slip records likely include some activity from before the current interglacial, which provides 437 support for the validity of a hotspot model. In addition, other GIA models have predicted lower 438 velocities, especially horizontally, than the velocities observed by GPS (Drouin & Sigmundsson, 439 2019). This indicates that our hotspot implementation may be best considered as a combined 440 effect of contributions from both hotspot inflation and GIA. 441

The results based on stress tensor axes provide insight into the possibility of stress 442 permutations between σ_1 and σ_2 as explanation for the presence of strike-slip faulting in Iceland, 443 based on conjugate normal and strike-slip faults. Additional factors within Iceland, including 444 crustal anisotropy and elastic rebound, could contribute to favoring localized perturbations in the 445 stress field (Hu & Angelier, 2004; Plateaux et al., 2012). While we did not directly implement 446 anisotropy or unloading effects within the model, allowing stress permutations in the assessment 447 of model fit to principal stress axes provides a means of considering a potential impact of these 448 processes. The identification of possible exchanges between steeply plunging σ_1 and σ_2 axes as 449 the most common type of permutations within the top 5% of trials for stress tensor axes derived 450 from both fault slip and earthquakes fits with this hypothesis (Plateaux et al., 2012). 451

452 4.3 Impact of additional model complexity on faulting kinematics

The larger misfits that result from varying the location of the hotspot support modeling 453 the hotspot in its proposed modern day location, rather than its proposed position at 6 Ma (I. 454 Bjarnason, 2008; Martin et al., 2011), suggest that the majority of fault kinematic data in Iceland 455 are reflective of a more modern period. The results also support not including the Skagafjördur 456 Paleo-Rift Axis, although some regions (Hegranes and Grenivík) showed improvement when 457 considering this feature. This local improvement signals the possibility of a representation of 458 older faulting kinematics within the slip record in select areas, related to a now abandoned 459 oblique spreading zone, with a similar lack of age constraints to confirm any variability. 460

A gradient in the magnitude of applied remote stress was included in the model for the 461 purpose of testing rifting propagation, or the idea that the propagation of the Northern Rift Zone 462 to the north and the Eastern Rift Zone to the south creates block rotations which are a primary 463 factor driving strike-slip faulting in Iceland (Karson, 2017). Overall, changes as a result of this 464 gradient introduced no significant improvement in model fit and the results do not strongly 465 support the notion of rift propagation as a primary process influencing fault slip patterns, at least 466 not as simulated in this way. However, more direct modeling of block rotations could provide 467 additional insight into the possibility. 468

Misfit to moment tensor data derived from fault slip (Karson et al., 2018) improved as a 469 result of allowing poorly fit faults to open, simulating dike intrusion. This improvement occurred 470 primarily in the regions of Vopnafjörður, Grenivík, East Flateyjarskagi, and to some extent in 471 Akureyri, implying the influence of dike intrusion as an additional factor influencing fault 472 kinematics in these regions (Figure 1, Figure S8). We attempted to analyze whether these regions 473 could have significantly more cumulative dike intrusion than other areas in Iceland by 474 determining the proportion of measured dikes to measured faults and the average dike width in 475 the Karson et al. (2018) data. However, there is uncertainty as to whether the structure types 476 477 were comprehensively sampled, especially due to dike and fault datasets coming from different sources, and measurements of dike width were limited. Therefore, despite local improvement, we 478 defined the standard model as allowing no opening on faults. 479

480 4.4 Analysis and significance

481 Necessary simplifications of the modeling process (Text S1) could impact the results.
482 However, although additional complexity could provide a more accurate modeled representation
483 of Iceland, the goal of the modeling process was to see how much kinematic complexity can
484 arise from a relatively simple mechanical model. This process allows for an understanding of

what effect the inputs to the simple model have, which is a significant strength of the approach.
Furthermore, no additional tests adding greater complexity to the model resulted in a universal
model improvement, which is a continued argument for focusing on the standard model.

An additional possible complicating factor in comparing modeled and observed fault 488 kinematics is the lack of constraints on the age of faulting in Iceland. In comparing model results 489 to observations, we assume that deformation throughout the country, as represented in the slip 490 data, GPS data, and recent earthquakes, is a response to a common stress field. Different regions 491 might be dominated by faulting from different time periods more accurately represented by 492 separate models and findings of both normal and strike-slip faulting within one region in the 493 field could actually correspond to changed faulting kinematics during two different time periods 494 (Karson et al., 2018). However, we would then expect a pattern visible in the field showing 495 cross-cutting relationships between normal and strike-slip faults as well as multiple sets of 496 slickenlines indicating a change in kinematics over time. Consistent patterns were not observed 497 and at least some of the faults cut glacial or post-glacial lavas (Karson et al., 2018). In addition, 498 while models simulating older tectonic conditions suggest a potential difference, especially 499 around Hegranes, these older parameters yield a generally good fit to modern earthquake data, 500 modern GPS data, and fault slip data. Although it cannot be fully evaluated without more 501 comprehensive age constraints, this supports the idea that much of the slip data in the field 502 503 represents relatively modern faulting kinematics.

504 We find that mechanical fault-hotspot interaction may explain a large part of faulting 505 kinematics, including widespread plate boundary-parallel strike-slip faulting. These results also show consistency across datasets derived from multiple sources, indicating that strike-slip 506 faulting may continue to a significant extent in the modern-day stress field. This has important 507 implications for our understanding of the Icelandic plate boundary system as well as potential 508 seismic hazard in Iceland, especially outside of the major transform zones. Although the major 509 transform zones have been the source of the majority of the large magnitude (M>=6) historical 510 earthquakes in Iceland (J. Ö. Bjarnason et al., 2016), our results help to better understand the 511 potential for faulting in other areas of Iceland. In addition, our finding of an improved fit in some 512 regions due to allowing dike intrusion has implications for the potential for enhanced volcanic 513 activity in those areas, although we were not able to evaluate whether those regions are indeed 514 515 more volcanically active.

This modeling is also significant for considering faulting processes along other Mid-Ocean Ridges, as those processes are difficult to observe on the seafloor. In addition, our work indicates the possibility that similar mechanical fault-hotspot interaction could occur in other hotspot-rift systems, like the Ethiopia/Afar hotspot underlying the East African Rift. Widespread strike-slip faulting has been found in the Afar triangle, although with fewer oblique mechanisms and with the added complication of a triple junction between multiple rifts (Abbate et al., 1995).

522 **5 Conclusions**

A simple model of faulting kinematics in Iceland, based only on an influence from the hotspot, uniaxial remotely applied stress to simulate rifting close to the NUVEL 1-A azimuth of 105°, and interactions among modeled faults, fits well with observed records of fault slip, seismicity, and geodetic deformation in Iceland and produces a significant amount of plate boundary-parallel strike-slip faulting. Increases in model complexity, particularly in allowing fault opening, lead to reduced misfit in select regions but indicate no universal improvement. Fit

- is also relatively consistent across data types, including the geodetic and seismicity data that
- record contemporary deformation, suggesting that the fault slip data available may be largely
- representative of the modern stress field. The good fit of the simple model points to hotspot-
- fault-rifting interactions as a major driver of plate boundary-parallel strike-slip faulting, with a
- potential additional influence from glacial isostatic adjustment that may be convolved with our
- representation of the hotspot contribution. Results do not support a strong impact on faulting in Iceland from differences in rifting stress due to rift propagation. However, possible stress
- permutations between σ_1 and σ_2 are commonly identified in models that mimic rifting conditions
- close to those predicted by NUVEL 1-A, indicating that local perturbations to the stress field
- 538 may also impact strike-slip faulting. These results provide a new understanding of the physical
- 539 mechanisms driving faulting kinematics within Iceland outside of the current active plate
- 540 boundary.

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- 542 We thank Jeff Karson for helpful discussion. This work was supported by funding from Smith
- 543 College. All data used in this study were taken from the cited papers and/or their supplementary
- material. A Matlab Live Script and associated functions that can be used to run the same model
- comparison is available online at <u>http://doi.org/10.5281/zenodo.3333886</u>.

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	Fault-slip derived (moment tensor axes, n=13)			Fault-slip derived (stress tensor axes, n=36)			GPS derived (principal strain axes, n=9)			Focal mechanism derived (moment tensor axes, n=13)			Focal mechanism derived (stress tensor axes, n=82)			Overall		
	н	R	М	н	R	М	н	R	М	н	R	М	н	R	М	н	R	М
Best-Fit	50	105°	36.8°	20	95°	21.2°	90	105°	13.6°	90	120°	26.4°	0	125°	38.3°			
Reference	110	115°	37 5°	110	1150	22.70	110	115°	17 10	110	1150	26.0°	110	115°	/1 8°	110	115°	29.4°

668

669 **Table 1.** Table showing minimum angular misfit in comparison with each of the comparison

datasets and the overall data, for the standard model. The second row shows the misfit in

comparison to each dataset for the trial following the reference parameters (H: hotspot

672 contribution (mm/year), R: rifting azimuth, M: angular misfit).

673

	Fault-slip derived (moment tensor axes, n=13)							
	H R M							
All Dikes	50	110°	36.1°					
Dikes in Poorly Fit Areas	40	100°	33.5°					
Old Hotspot	50	100°	39.6°					
Variable Remote Stress	10	105°	36.7°					

Table 2. Table showing minimum angular misfit in comparison with the Karson et al. (2018)

dataset, for each of the additional variations added to the model: allowing all faults to open,

allowing poorly fit faults to open, placing the hotspot in its proposed location as of 6 Ma (Martin

et al., 2011), and allowing variable remote stress (H: hotspot contribution (mm/year), R: rifting

azimuth, M: angular misfit).